# **Machine Learning HW5**

ML TAs <a href="mailto:ntu-ml-2022-spring-ta@googlegroups.com">ntu-ml-2022-spring-ta@googlegroups.com</a>

### **Outline**

- 1. Machine translation
- 2. Workflow
- 3. Training tips
- 4. Requiements
- 5. Report
- 6. JudgeBoi Guide
- 7. Regulation and Grading policy

## **Machine Translation**

#### **Machine Translation**

In this homework, we'll translate English to Traditional Chinese e.g.

● Thank you so much, Chris. -> 非常謝謝你, 克里斯。

Since sentences are with different length in different languages, the seq2seq framework is applied to this task.

### **Training datasets**

- Paired data
  - TED2020: TED talks with transcripts translated by a global community of volunteers to more than 100 language
  - We will use (en, zh-tw) aligned pairs
- Monolingual data
  - More TED talks in traditional Chinese

### **Evaluation**

source: Cats are so cute

target: <mark>貓</mark>咪真<mark>可愛</mark>

output: <mark>貓</mark>好<mark>可愛</mark>

#### **BLEU**

Modified n-gram precision (n = 1~4)

Brevity penalty: penalizes short hypotheses

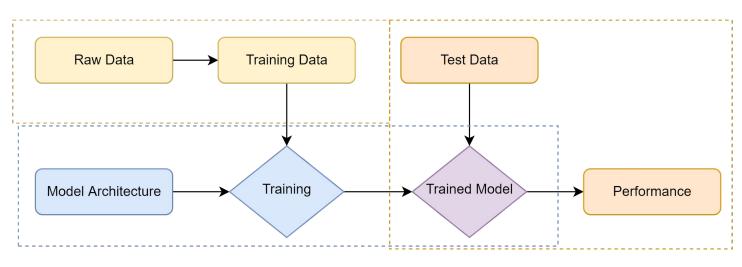
$$\mathrm{BP} = \left\{ egin{array}{ll} 1 & \mathrm{if} \ c > r \ e^{(1-r/c)} & \mathrm{if} \ c \leq r \end{array} 
ight.$$

- o c is the hypothesis length, r is the rerference length
- The BLEU socre is the geometric mean of n-gram precision, miltiplied by brevity penalty

# Workflow

### **Workflow**

#### Preprocessing



Training Testing

### Workflow

#### 1. Preprocessing

- a. download raw data
- b. clean and normalize
- c. remove bad data (too long/short)
- d. tokenization

#### 2. Training

- a. initialize a model
- b. train it with training data

#### 3. Testing

- a. generate translation of test data
- b. evaluate the performance

# **Training tips**

# **Training tips**

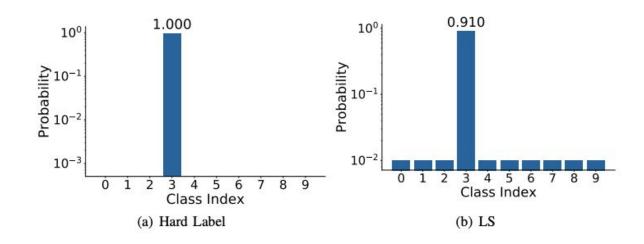
- Tokenize data with sub-word units
- Label smoothing regularization
- Learning rate scheduling
- Back-translation

### **Tokenize**

- Tokenize data with subword units.
  - Reduce the vocabulary size
  - Alleviate the open vocabulary problem
  - example
    - \_put \_your s el ve s \_in \_my \_po s ition \_.
    - Put yourselves in my position.

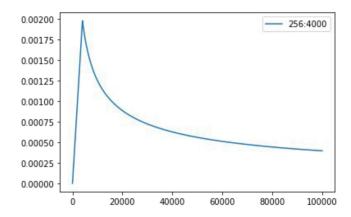
### **Label smoothing**

- Label smoothing regularization
  - When calculating loss, reserve some probability for incorrect labels
  - Avoids overfitting



## Leaning rate scheduling

- Learning rate scheduling
  - o Increasing the learning rate linearly for the first warmup\_steps training steps, and decreasing it thereafter proportionally to the inverse square root of the step number.
  - Stabilizing training for transformers in early stages



#### **Back translation**

Using monolingual data for creating synthetic translation data

- 1. Train a translation system in the **opposite direction**
- 2. Collect monolingual data in target side and apply machine traslation
- 3. Use translated and original monolingual data as additional parallel data to train stronger translation systems

#### **Back translation**

Some points to note about back-translation

- 1. Monolingual data should be in the same domain as the parallel corpus
- 2. The performance of the backward model is critical
- 3. Increase model capacity since the data amount is increased

# Requirements

### **Baselines**

Baseline	Public score Estimated time(kaggle		
Simple	14.58	1 hour	
Medium	18.04	1 hour 40 mins	
Strong	25.20	~3 hours	
Boss	29.13	> 12hours	

### **Baseline Guide**

- Simple Baseline: Train a simple RNN seq2seq to acheive translation
- Medium Baseline: Add learning rate scheduler and train longer
- Strong Baseline: Switch to Transformer and tuning hyperparameter
- Boss Baseline: Apply back-translation

### **Simple Baseline**

Train a simple RNN seq2seq to acheive translation

Running the sample code should pass the baseline

#### **Medium Baseline**

#### Add learning rate scheduler and train longer

```
lrate = d_{model}^{-0.5} \cdot \min(step\_num^{-0.5}, step\_num \cdot warmup\_steps^{-1.5})  \begin{tabular}{ll} def & get\_rate(d\_model, & step\_num, & warmup\_step): \\ & \# & TODO: & Change & lr & from & constant & to & the \\ equation & shown & above \\ & lr & = & 0.001 \\ & return & lr \\ \end{tabular}
```

```
config = Namespace(
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    # maximum epochs for training
    max_epoch=15, # medium: → 30
    start_epoch=1,
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```

# **Strong Baseline**

#### Switch to Transformer and tuning hyperparameter

```
encoder = RNNEncoder(args, src_dict, encoder_embed_tokens)
decoder = RNNDecoder(args, tgt_dict, decoder_embed_tokens)

→ # encoder = TransformerEncoder(args, src_dict, encoder_embed_tokens)
# decoder = TransformerDecoder(args, tgt_dict, decoder_embed_tokens)
```

### **Boss Baseline**

#### Apply back-translation

1. Train a backward model by switching languages

```
source_lang = "zh",
target_lang = "en",
```

- 2. Translate monolingual data with backward model to obtain synthetic data
  - a. Complete TODOs in the sample code
  - b. All the TODOs can be completed by using commands from earlier cells
- 3. Train a stronger forward model with the new data
  - a. If done correctly, ~30 epochs on new data should pass the baseline

### Links

Colab sample code

Kaggle sample code

<u>JudgeBoi</u>

# Report

### **Report Overview**

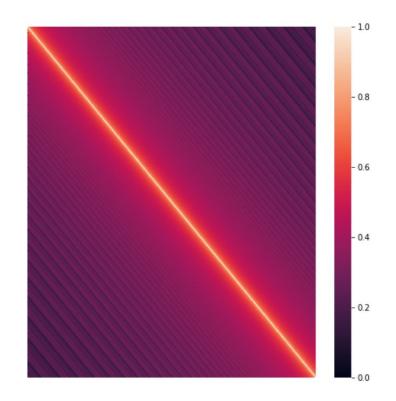
- Problem 1
  - Visualize the similarity between different pairs of positional embedding and briefly explain the result.
- Problem 2
  - Clips gradient norm and visualize the changes of gradient norm in different steps. Circle two places with gradient explosion.

## **Problem 1: Visualize Positional Embedding**

Given a (N x D) positional embeding lookup table, you aim to get a (N x N) "similarity matrix" by calculating similarity between different pairs of embeddings in the table.

You need to **visualize the similarity matrix and briefly explain the result**.

In this problem, we focus on the positional embeddings of the **decoder** 



## **Problem 1: Similarity Matrix**

	p1	p2	р3	p4	p5
р1	1	8.0	0.6	0.4	0.3
p2	0.8	1	0.8	0.6	0.4
рЗ	0.6	8.0	1	8.0	0.6
p4	0.4	0.6	0.8	1	0.8
р5	0.3	0.4	0.6	0.8	1

In the sence of encoding positional information, we expect that the similarity between the embedding of close positions is stronger.

## **Problem 1: Cosine Similarity**

We recommend you to measure the similarity between two vectors by cosine similarity.

There is a pytorch implementation of cosine similarity. Check more detail in the following link.

https://pytorch.org/docs/stable/generated/torch.nn.functional.cosine\_similarity.html

similarity = 
$$\frac{x_1 \cdot x_2}{\max(\|x_1\|_2 \cdot \|x_2\|_2, \epsilon)}$$

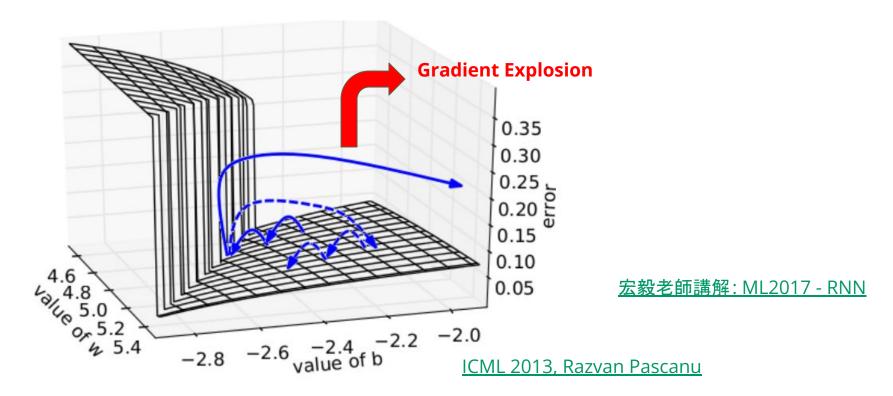
## **Problem 1: Tips and Hint**

You could get the positional embeddings of decoder by following codes

```
if arch_args.decoder_learned_pos == False:
    pos_emb = model.decoder.embed_positions.weights.cpu().detach()
    else:
        pos_emb = model.decoder.embed_positions.weight.cpu().detach()
    print(pos_emb.shape)
```

torch. Size([1026, 256])

### **Problem 2: Gradient Explosion**



## **Problem 2: Clipping Gradient Norm**

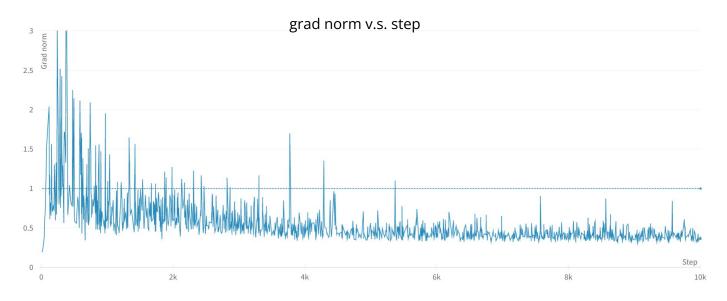
- 1. Set up a maximum norm value *max\_norm*
- Collecting the gradient of each parameters to be a vector. Calculate the p-norm of the vector to be *Lnorm*
- 3. If *Lnorm* <= *max\_norm*, do nothing. Otherwise calculate the scale factor *scale\_factor* = *max\_norm* / *Lnorm* and multiply each gradient by the scale factor.

$$\mathbf{x} = \begin{bmatrix} x_1 \\ x_2 \\ \vdots \\ x_n \end{bmatrix}$$
  $\|\mathbf{x}\|_p := \left(\sum_{i=1}^n |x_i|^p\right)^{1/p}$ .  $\|\boldsymbol{x}\|_2 := \sqrt{x_1^2 + \dots + x_n^2}$ .

### **Problem 2: Visualize Gradient Norm**

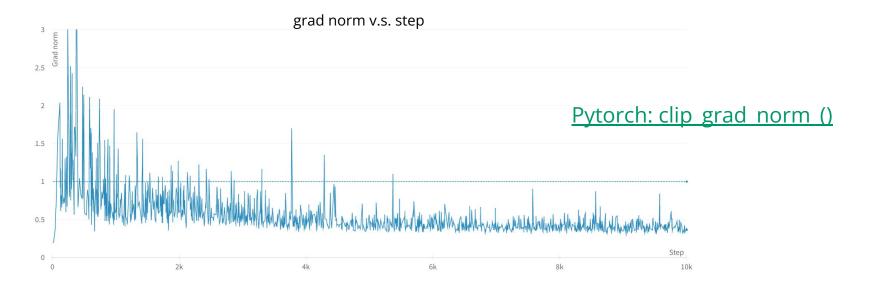
Step1: Apply clips gradient norm and set max\_norm = 1.0.

Step2: Make a plot of "gradient norm v.s step".



### **Problem 2: Visualize Gradient Norm**

Step3: Circle two places with gradient explosion (where the clip\_grad\_norm function take effect)



#### **Problem 2: Overview**

In this problem, you need to do

Plot the grad\_norm

2. Circle two place with gradient explosion (if there is gradient explosion)

# JudgeBoi Guide

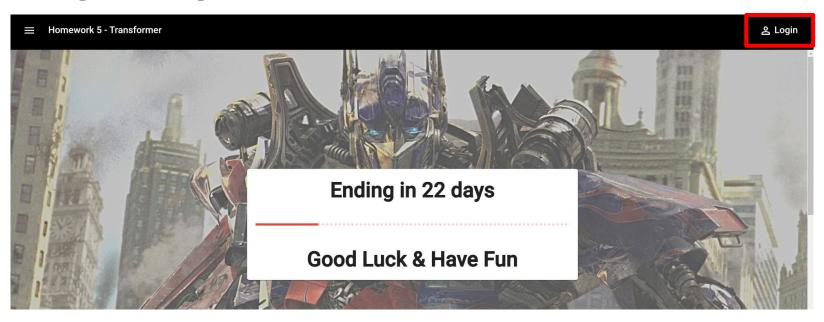
### **Previously... Github Account Survey**

We have kindly requested everyone to report your github username and ID.

IMPORTANT: You must take this survey in order to submit to JudgeBoi server.

## **Step 1: Register for Submission**

Go to JudgeBoi to login.

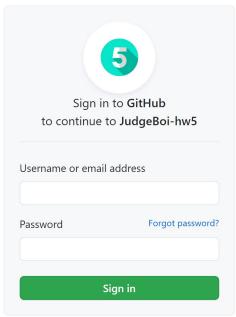


### **Step 2: Sign-in with Github**

- If you have not completed the Github account survey
  - You can log in
  - You will not be able to submit

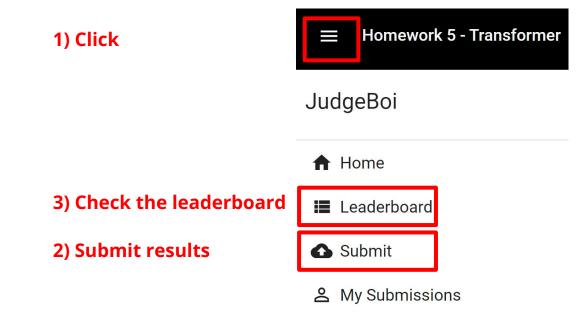


fill in password >



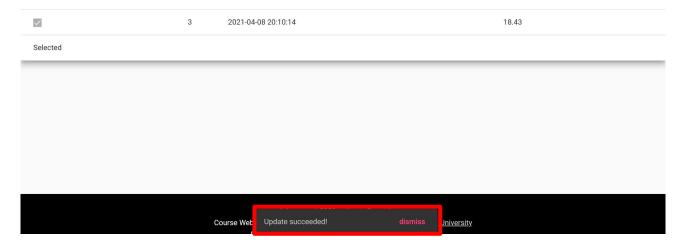
### **Step 3: Submit your Results**

You can now submit results to the server and view the leaderboard.



### **Step 4: Select your submissions**

- You can select up to 2 submissions.
- If none of your submissions is chosen, we will use the submission with the best public score.
- If your selection is successful, you will see a message box as follows:



### JudgeBoi Rules

- 5 submission quota per day, reset at midnight.
  - Users not in the <u>whitelist</u> will have no quota.
- Only \*.txt file is allowed, filesize should be smaller than 700kB.
- The countdown timer on the homepage is for reference only.
- We do limit the number of connections and request rate for each IP.
  - If you cannot access the website temporarily, please wait a moment.
- The system can be very busy as the deadline approaches
  - o If this prevents uploads, we do not offer additional opportunities for remediation
- Please do not attempt to attack JudgeBoi.
- Every **Friday** from **6:00 to 9:00** is our system maintenance time.
- For any JudgeBoi issues, please post on NTUCOOL discussion
  - Discussion Link: <a href="https://cool.ntu.edu.tw/courses/11666/discussion-topics/91777">https://cool.ntu.edu.tw/courses/11666/discussion-topics/91777</a>

# **Regulations and Grading Policy**

### **Grading**

```
simple
             (public)
                               +0.5 pts
simple
             (private)
                               +0.5 pts
             (public)
medium
                              +0.5 pts
medium
              (private)
                               +0.5 pts
             (public)
                               +0.5 pts
strong
 strong
             (private)
                               +0.5 pts
             (public)
 boss
                               +0.5 pts
                               +0.5 pts
             (private)
 boss
code submission
                               +2 pts
 report
                               +4 pts
```

Total: 10 pts

#### **Code Submission**

- NTU COOL (4pts)
  - Compress your code and report into

<student ID>\_hwX.zip

- \* e.g. b06901020\_hw1.zip
- \* X is the homework number

- We can only see your last submission.
- Do not submit your model or dataset.
- If your code is not reasonable, your semester grade x 0.9.

#### **Code Submission**

- Your .zip file should include only
  - o **Code**: either .py or .ipynb
  - Report: .pdf (only for those who got 10 points)
- Example:



### **Report Submission**

Answer the questions on GradeScope

### **Deadlines**

2022/04/08 23:59 (UTC+8)

### **Grading -- Bonus**

 If you got 10 points, we make your code public to the whole class.

 In this case, if you also submit a PDF report briefly describing your methods (<100 words in English), you get a bonus of 0.5 pt. (your report will also be available to all students)

Report template

### Regulation

- You should NOT plagiarize, if you use any other resource, you should cite it in the reference. (\*)
- You should NOT modify your prediction files manually.
- Do NOT share codes or prediction files with any living creatures.
- Do NOT use any approaches to submit your results more than 5 times a day.
- Do NOT search or use additional data or pre-trained models.
- Your final grade x 0.9 if you violate any of the above rules.
- Prof. Lee & TAs preserve the rights to change the rules & grades.

### If any questions, you can ask us via...

- NTU COOL (recommended)
  - https://cool.ntu.edu.tw/courses/4793
- Email
  - ntu-ml-2021spring-ta@googlegroups.com
  - The title should begin with "[hwX]" (X is the homework number)
- TA hour
  - Each Friday during class