

Basketball playoffs qualification

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### Introduction

- Basketball tournaments are usually split in two parts.
- First, all teams play each other aiming to achieve the greatest number of wins possible.
- Then, a predetermined number of teams which were able to win the most games are qualified to the playoff season, where they play series of knockout matches for the trophy.
- For 10 years, data from players, teams, coaches, games and several other metrics were gathered and arranged on this dataset.
- Goal: use this data to predict which teams will qualify for the playoffs in the next season.

## Methodology

The Cross Industry Standard Process for Data Mining (CRISP-DM) methodology was followed, in particular the following stages:

- Business Understanding
- Data Understanding
- Data Preparation
- Modeling
- Evaluation

## Business Understanding - Playoff Qualifying

4 WC Teams

**Western Conference** 1 🌼 SEA 2 # PHO 15 19 20 14 X 13 21 5 (MIN 0 13 21 6 W DAL 0 28

Meet In the Middle



4 EC Teams

Eas	Eastern Conference				
TEAM			w	L	
1 9	was Was	×	22	12	
2 8	NYL NYL	×	22	12	
3	<u>IND</u>	×	21	13	
4	<u>ATL</u>	×	19	15	
5	CON	0	17	17	
6 (	<u>р сні</u>	0	14	20	

## **Data Understanding**

- Figure out the Statistical Categories
  - PTS Points
  - AST Assists
  - DFGM Field goals made by the opponent while the player or team was defending the rim

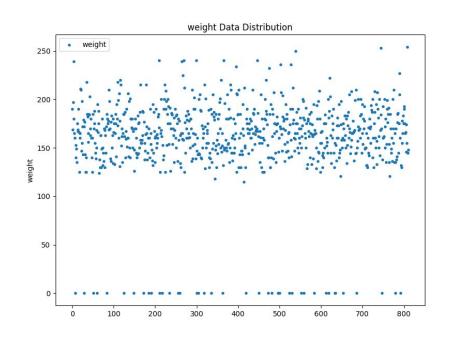


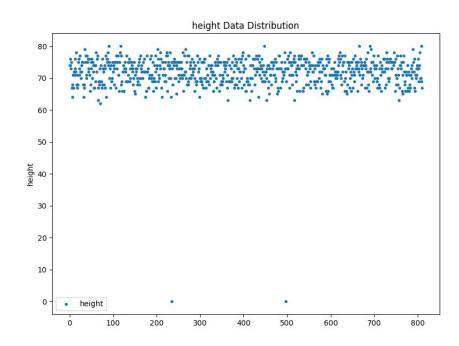


## Data Cleaning

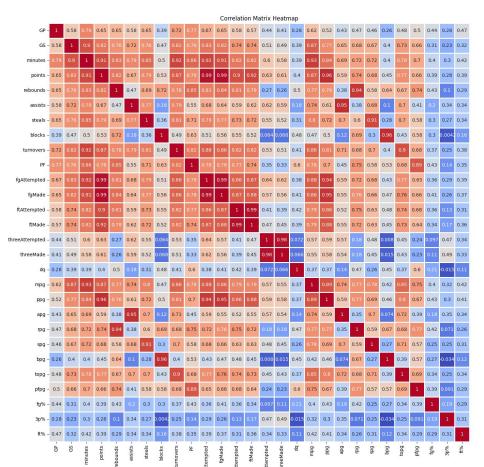
- Fixed missing or erroneous attribute values
  - Kim Perrot Sportsmanship -> Kim Perrot Sportsmanship Award
    - Changed misspelled Award
  - Use BMI to fill player's missing height or weight
- Outliers removed
- Records removed when lacking attribute values

## **Data Cleaning**





- Correlation Matrix for players\_teams.csv
- Since many values were heavily correlated, we decided to simplify the data
  - By rationing
  - By removing



Firstly we got rid of the total stats and just kept the per-games, since there was no point in having both.

We then created new columns to reduce some abnormal correlation values.

Simple ones, where we just calculated percentages:

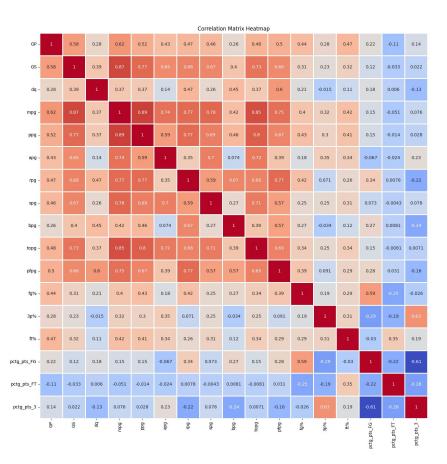
FG% = FGM / FGA

3P% = 3PM/3PA

FT% = FTM / FTA

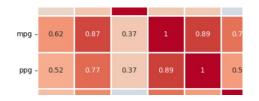
And harder ones:

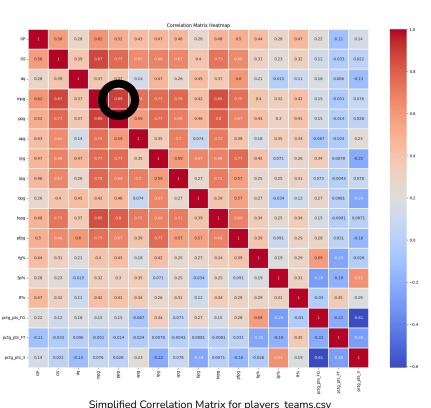
pctg\_pts\_FG (percentage of entire points pctg\_pts\_FT that resulted from these types of shot)



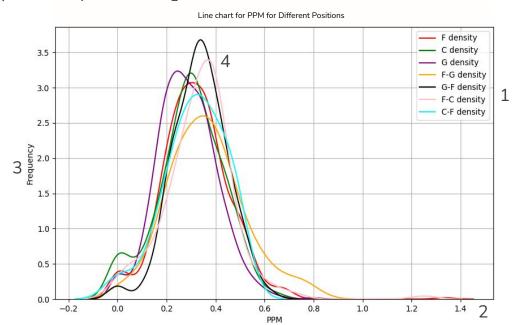
We realized that the minutes per game column still showed a heavy correlation with points per game, which is expected.

So, we tried to devise a plan to get rid of the ppg stat, by replacing it with a per minute variation of it. To do this, we needed to test whether or not the number of points a player scores in a game has anything to do with the position they are playing.





To correctly figure out whether or not a player's position has any impact on the number of points they score in a game, we decided to build line charts.

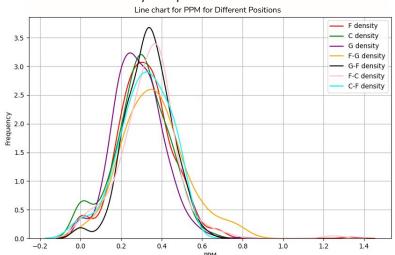


- 1. Players positions
- 2. PPM This axis emcompasses a range of points per minute values
- 3. Frequency This axis emcompasses a range of frequency values
- 4. Each colored line represents the **frequency** with which players from a specific **position** average a certain number of **PPM**.

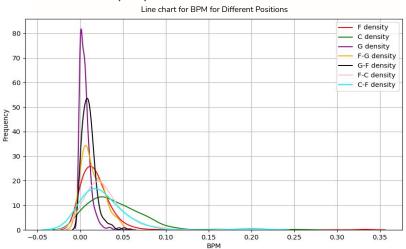


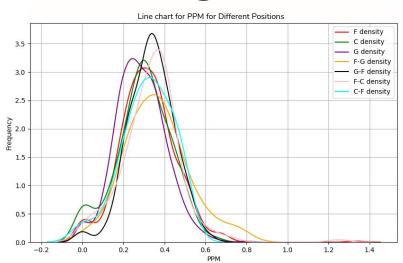
How can we interpret the values?

If the lines in the chart float around the same values, then the frequency with which a player achieves a certain per minute stat is not affected by its position



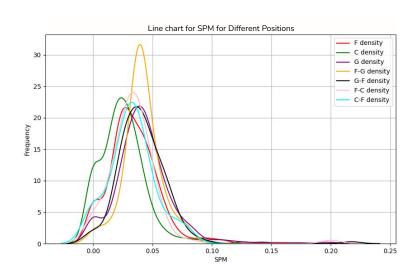
If there is a big discrepancy between some lines, then we can assume the position plays a factor that benefits or detriments the outcome of a player on that stat line.

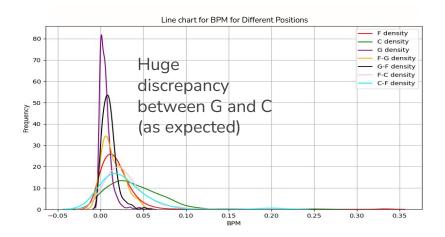


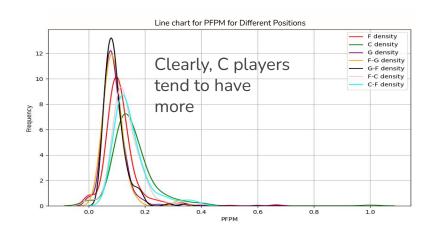


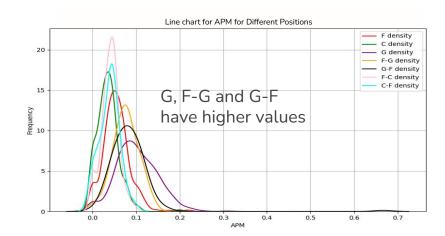
- Values are mostly independent from Position
  - (Values are considered independent if the curves for each position tend to be similar)

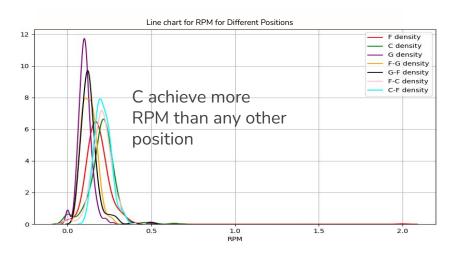
- Why not Ratio everything to Per Minute instead of Per Game?
  - Correlations aren't as high
  - Values depend more on Position
    - Here's proof



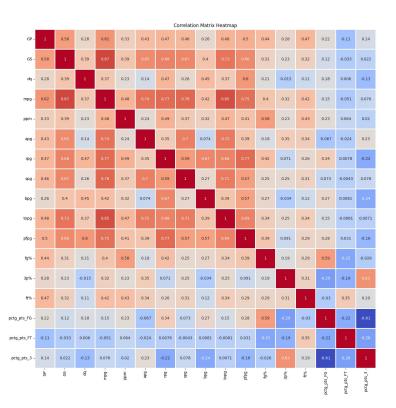








- Final Simplified Correlation Matrix for players\_teams.csv
- No extremely abnormal values that we should get rid of



### **Data Preparation - Reduction**

- Removed irrelevant attributes
  - League ID (awards\_players)
  - Death Date (players)
  - First season and last season (players)
  - Division ID (teams)
  - Arena (teams)
- Ignored post datasets, since we considered they were not relevant for the initial predictive model
  - series\_post
  - teams\_post

## **Data Preparation - Transformation**

- Attribute construction
  - "results" constructed by aggregation of "first round", "semis" and "finals"
    - Values are labels:
      - 0 no playoffs
      - 1 lost on first round
      - 2 lost on semis
      - 3 lost on finals
      - 4 champions
  - "win\_ratio", "homeW\_ratio" and "awayW\_ratio" (teams)
- Transformations
  - Turn "age" to a float (players)
  - Abbreviation of award names to a standard format, capitalized and first letters only (awards\_players)

### Predictive Models - String Values

#### Dealing with string values:

- Encoded categorical features into dummy/indicator features.
- Encoded Ids into numerical values

award_WADTHM	award_WFMVP	confID_EA	confID_WE	results_Unknown	results_label0	results_label1
False	False	True	False	False	True	False
False	False	True	False	False	True	False
False	False	True	False	False	True	False
False	False	True	False	False	True	False
False	False	True	False	False	True	False
False	False	True	False	False	False	True
False	False	True	False	False	False	True
False	False	True	False	False	False	True
False	False	True	False	False	False	True
False	False	True	False	False	False	True

## Predictive Models - Training Split

Checked for data imbalance: found that 53.82% of rows contained Y in playoffs, while 46.18% didn't.

Used time series splitting with expanding window:

- Train with the first 5 years, test with the 6th.
- Train with the first 6 years, test with the 7th.
- And so on ...

#### Avoiding Data Leakage

 Replaced features only knowable at the end of the season with last available years data, where possible.

## Predictive Models - Recursive Feature Selection

#### Process:

- Starting from the entire feature set, we tested our models.
- Iteratively removed features, one by one, and test again.
- Everytime our results got worse, we added the feature back in.

#### Removed features:

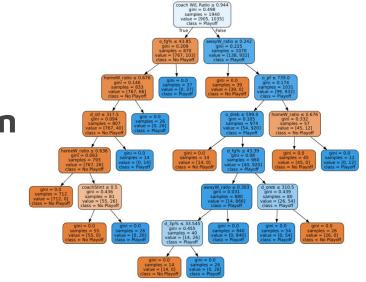
results, seed, college, collegeOther, birthDate, win\_ratio, stint, GS, GP, rebounds, fgAttempted, ftAttempted, threeAttempted, minutes, pointsFromFieldGoal, pos, age, award, coachAward, ftMade, topg, percentage\_pointsFromThree

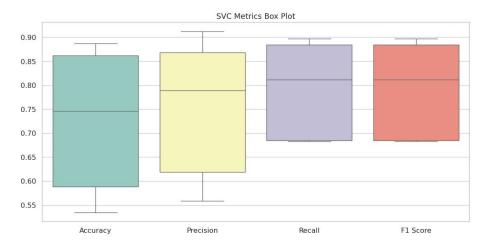


- Decision Tree
- Random Forest
- SVM
- Nearest Neighbor
- Naive Bayes

#### Best Model Metrics - SVM:

- Average Accuracy: 72.3%
- Average Precision: 74.9%
- Average Recall: 79.2%
- Average F1: 79.2%









During the dataset several teams were relocated, maintaining a large part of its roster.

#### Solution:

- Match the new teams with the values of the pre-relocated team.

### Hyper Parameter Tuning

#### The following parameters were tested:

- Decision Tree:
  - max\_depth: 1 -> 20
  - max\_features: auto, sqrt, log2
- Random Forest:
  - o max\_depth: 1 -> 20
  - o max\_features: auto, sqrt, log2
- Support Vector Machine:
  - o C: 0.1, 1, 10, 100, 1000
  - pamma: scale, auto
  - kernel: linear, rbf, poly, sigmoid

- Nearest Neighbor:
  - n\_neighbors: 1 -> 20
  - weights: uniform, distance
  - o metric: euclidean, manhattan, minkowski
- Naive Bayes:
  - var\_smoothing: 1e-09, 1e-08, 1e-07, 1e-06, 1e-05
- Neural Network:
  - hidden\_layer\_sizes:
    - 1 layer with 25 -> 200 neurons, in increments of 25
    - 2 layers with 25 -> 200 neurons, in increments of 25, each
  - o activation: relu, logistic, tanh
  - alpha: 0.0001, 0.001, 0.01

# Predictive Models - Training Improvements

New model used: Neural Network

Through the mentioned teams matching method, as well as through hyperparameter tuning, the performance of our models was improved.

In general, their evaluation metrics were higher and more consistent, as can be seen in the box plots in the following slides.



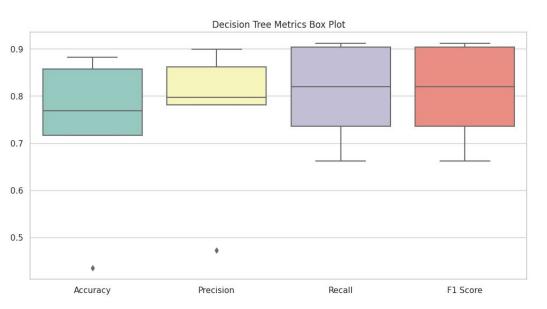
## Decision Tree -Second Approach Evaluation

Average Accuracy: 73.2%

Average Precision: 76.2%

Average Recall: 80.6%

Average F1: 80.6%



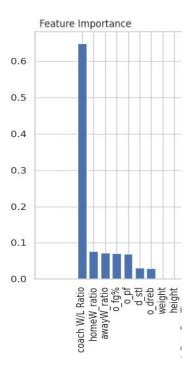
Training time: 187 seconds

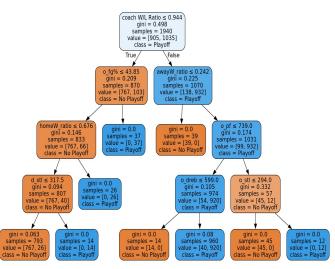
Very consistent metrics during training and good average performance, with one of the lowest training times.

However, it's not consistent in predicting the number of qualifying teams per conference, even if it predicts them with high certainty.



## Decision Tree -Second Approach Evaluation





Year 11 Predictions with Conference and Certainty

Tm IDnbsp;▲▼	Conf ID_EAT	Playoff ▼	Certainty ▼
ATL	1	0	0.41
CHI	1	1	100
IND	1	1	95.83
LAS	0	1	95.83
MIN	0	0	3.28
NYL	1	0	3.28
ORL	1	1	96.88
PHO	0	1	100
SEA	0	1	95.83
TUL	0	1	95.83
UTA	0	0	3.28
WAS	1	0	3.28

## Random Forest-Second Approach Evaluation

Accuracy Average: 71.4%

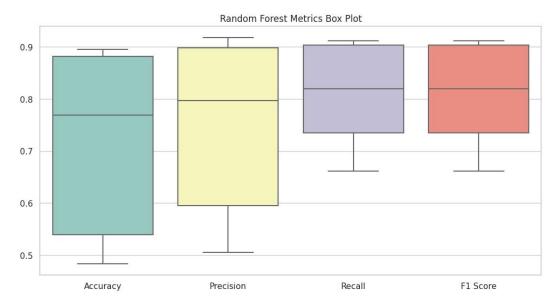
Precision Average: 74.3%

Recall Average: 80.6%

F1 Average: 80.6%

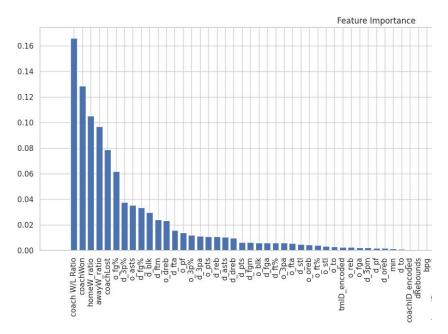
Training Time: 330 seconds

Much like the decision tree, it doesn't always predict 4 qualifying teams per conference, with lower certainties than the decision tree.





## Random Forest-Second Approach Evaluation



Year 11 Predictions with Conference and Certainty

Tm IDnbsp;▲ ▼	Conf ID_EA▼	Playoff ▼	Certainty ▼
ATL	1	0	47.38
CHI	1	0	33.46
IND	1	1	81.92
LAS	0	1	88.03
MIN	0	0	15.12
NYL	1	0	33.24
ORL	1	1	73.56
РНО	0	1	51.02
SEA	0	1	84.31
TUL	0	1	86.66
UTA	0	0	24.64
WAS	1	0	33.77

## Support Vector Machine - Second Approach Evaluation

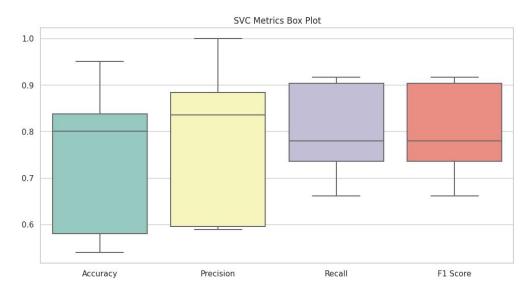
Accuracy Average: 74.2%

Precision Average: 78.1%

Recall Average: 79.9%

F1 Average: 79.9%

Training Time: 753 seconds



Only model to consistently predict 4 qualifying teams from each conference. Considering this and the fact that it scored among the highest metrics of our models, we consider the predictions it produces to be our best.

## Support Vector Machine - Second Approach Evaluation

Weight	Feature
0.0532 ± 0.0299	o_dreb
0.0468 ± 0.0313	d_pts
$0.0355 \pm 0.0403$	o_pts
0.0210 ± 0.0219	o_asts
0.0194 ± 0.0241	o_reb
0.0129 ± 0.0164	d_asts
$0.0129 \pm 0.0079$	d_to
$0.0097 \pm 0.0121$	o_fta
0.0081 ± 0.0102	d_blk
$0.0048 \pm 0.0079$	dRebounds
$0.0048 \pm 0.0079$	o_to
$0.0048 \pm 0.0079$	o_stl
$0.0048 \pm 0.0079$	coachID_encoded
$0.0032 \pm 0.0079$	d_oreb
$0.0032 \pm 0.0079$	points
0.0032 ± 0.0219	playerID_encoded
$0.0032 \pm 0.0079$	d_fga
0.0016 ± 0.0065	fgMade
$0.0016 \pm 0.0158$	d_3pa
$0.0016 \pm 0.0065$	coachLost
53	more

Year 11 Predictions with Conference and Certainty

Tm IDnbsp;▲ ▼	Conf ID_EAT	Playoff ▼	Certainty ▼
ATL	1	1	57.92
CHI	1	0	5.84
IND	1	1	97.8
LAS	0	1	100
MIN	0	0	0.55
NYL	1	1	53.91
ORL	1	1	78.62
PHO	0	1	56
SEA	0	1	99.42
TUL	0	1	100
UTA	0	0	37.04
WAS	1	0	17.21

## Nearest Neighbor -Second Approach Evaluation

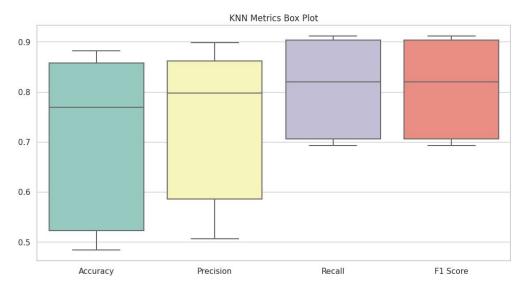
Accuracy Average: 70.3%

Precision Average: 73.0%

Recall Average: 80.7%

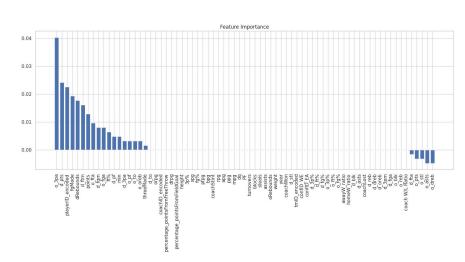
F1 Average: 80.7%

Training Time: 369 seconds



Predicts 7 qualifying teams instead of 8, but has high certainty of those 7. It's among the models with the lowest average and least consistent metrics during training.

## Nearest Neighbor -Second Approach Evaluation



Year 11 Predictions with Conference and Certainty

Tm IDnbsp;▲▼	Conf ID_EA▼	Playoff ▼	Certainty ▼
ATL	1	0	2.51
CHI	1	0	15.46
IND	1	1	90.08
LAS	0	1	100
MIN	0	0	20.99
NYL	1	1	100
ORL	1	1	84.78
PHO	0	0	12.65
SEA	0	1	98.36
TUL	0	1	100
UTA	0	1	89.24
WAS	1	0	7.49

## Naive Bayes -Second Approach Evaluation

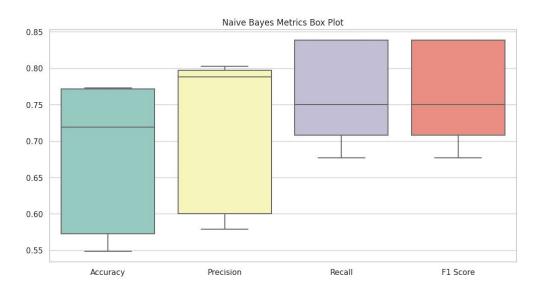
Accuracy Average: 67.7%

Precision Average: 71.3%

Recall Average: 76.2%

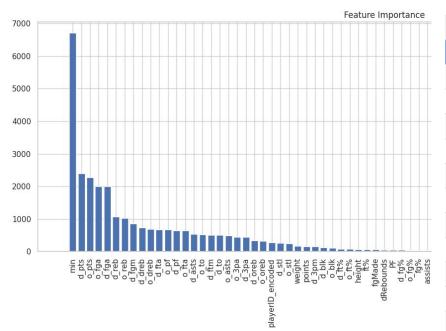
F1 Average: 76.2%

Training Time: 15 seconds



Fastest model to train, with the lowest metrics. Doesn't predict 4 qualifying teams per conference, but predicts them with high certainty.

## Naive Bayes -Second Approach Evaluation



Year 11 Predictions with Conference and Certainty

Tm IDnbsp;▲▼	Conf ID_EA▼	Playoff ▼	Certainty ▼
ATL	1	0	36.64
CHI	1	0	26.83
IND	1	1	99.98
LAS	0	1	100
MIN	0	0	0.01
NYL	1	0	0.37
ORL	1	1	75.99
PHO	0	1	98.7
SEA	0	1	99.91
TUL	0	1	100
UTA	0	1	88.71
WAS	1	0	23.07

### **Neural Network**

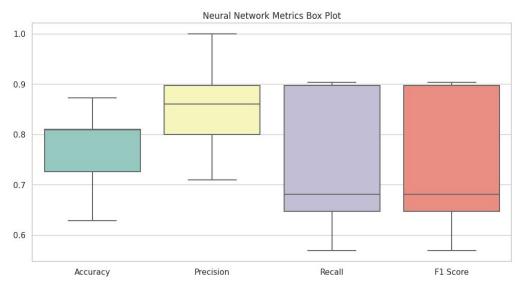
Accuracy Average: 76.9%

Precision Average: 85.3%

Recall Average: 73.9%

F1 Average: 73.9%

Training Time: 4074 seconds



By far the most time consuming to train, with the best training evaluation metrics. However, the year 11 predictions only have 5 qualifying teams, with low certainty.

### **Neural Network**

14/-!-L1	P. L.
Weight	Feature
0.0677 ± 0.0219	d_reb
$0.0661 \pm 0.0534$	o_dreb
0.0468 ± 0.0296	d_dreb
0.0403 ± 0.0540	d_3pa
$0.0387 \pm 0.0724$	d_pts
$0.0339 \pm 0.0277$	o_oreb
$0.0242 \pm 0.0289$	d_to
$0.0226 \pm 0.0065$	d_stl
0.0210 ± 0.0262	points
0.0177 ± 0.0258	o_pts
$0.0081 \pm 0.0177$	PF
$0.0065 \pm 0.0121$	d_fga
$0.0048 \pm 0.0129$	d_3pm
$0.0016 \pm 0.0065$	d_fgm
$0.0016 \pm 0.0065$	fgMade
$0 \pm 0.0000$	3p%
$0 \pm 0.0000$	height
$0 \pm 0.0000$	percentage_pointsFromFreeThrow
$0 \pm 0.0000$	fg%
$0 \pm 0.0000$	ft%
	53 more

Year 11 Predictions with Conference and Certainty

Tm IDnbsp;▲ ▼	Conf ID_EAT	Playoff ▼	Certainty ▼
ATL	1	0	0.85
CHI	1	0	0
IND	1	1	88.91
LAS	0	1	99.41
MIN	0	0	0
NYL	1	0	0.01
ORL	1	0	28.58
PHO	0	0	0.22
SEA	0	1	97.83
TUL	0	1	78.08
UTA	0	0	0
WAS	1	0	0

### References

https://www.basketball-reference.com/

https://www.wnba.com/