

Natural Language Processing and Reasoning

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Strong AI Lab & LIU AI Lab



- Strong AI Lab is led by Professor Michael Witbrock, at the intersection of machine learning, reasoning, and natural language understanding, with an additional focus on achieving the best social and civilisational impacts of increasingly powerful AI.



- LIU AI Lab is led by Dr. Jiamou Liu. We are an AI research group at the University of Auckland. We are engaged in artificial intelligence research and development from both the industrial and the academic sides. Our research interests cover a wide range of topics across the modern AI world, including deep learning, reinforcement learning, multi-agent systems, natural language processing, and complex network analysis.

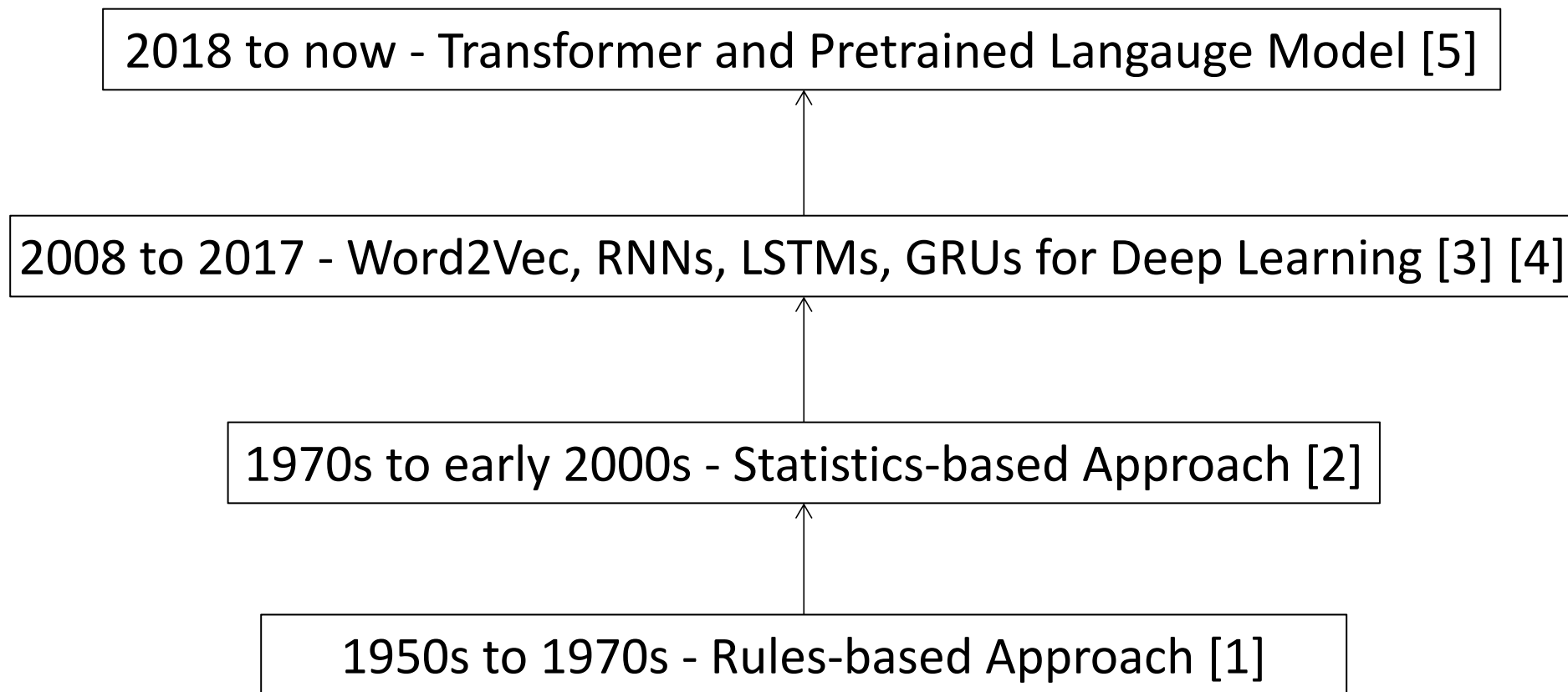
What have we achieved?

- Strong AI Lab has published more than 24 top-rank conferences and journals, including IJCAI, ACL, AAAI/EAAI, COLING, NAACL, AAMAS, ICML, PAKDD, IJCLR, AJCAI and so on. [1] won the best presentation award for AJCAI.
- LIU AI Lab has published more than 47 top-rank conferences and journals, including AAMAS, SIGIR, UAI, NIPS, ICML, IJCAI, AAAI/EAAI, NAACL, ICDM, EUSIPCO, PAKDD, IJCLR, KSS and so on. Some of them have high citations, like Mandy [2] has more than 88 citations.
- We have applied for several international and national grants and strong international/national research cooperation, and we welcome any students(Honours/MSc/Ph.D.) or postdocs to join our labs!

Natural Language Processing (NLP)

- Natural language processing is an important direction in artificial intelligence. It studies various theories and methods enabling effective communication using natural language between humans and computers.
- Natural language processing involves natural language, that is, the language that people use every day, so it is closely related to the study of linguistics, but it is very different. Natural language processing is not the general study of natural language but the study of computer systems, especially software systems and algorithms, that can effectively realize natural language communication.
- Natural language processing has many application scenarios, including machine translation, automatic summarization, text classification, question answering, text semantic comparison, etc.

The Development of NLP



Rules-based Approach

- The earliest research work in natural language processing was machine translation. In 1949, Weaver first proposed the design of machine translation [1].
- The methods at that time were limited to computation resources, fixed rules, and linguistic and syntax grammar, while the variation of natural language was very diverse, and only limited problems were handled.



Statistics-based Approach

- Frederick Jelinek et al. [1] from IBM proposed a statistic-based method to avoid design complex rules and templates to process language in machine translation.

$$P(S) = P(w_1, w_2, \dots, w_n)$$

$$P(w_1, w_2, \dots, w_n) = P(w_1)P(w_2 \mid w_1)P(w_3 \mid w_1, w_2) \dots P(w_n \mid w_1, w_2, \dots, w_{n-1})$$

Example:

S1: I am happy today.

S2: I today am happy.

S3: Happy I am today.

S1 is more likely to be chosen as a correct sentence than S2 and S3.

Statistics-based Approach

- Markov proposed an effective assumption that the probability of occurrence of any word w_i is only related to its preceding word w_{i-1}
- The bigram statistic language Model is based on this assumption. We can extend that to the n-gram model, which will cost more computation resources.

$$P(S) = P(w_1) \cdot P(w_2 \mid w_1) \cdot P(w_3 \mid w_2) \cdots P(w_i \mid w_{i-1}) \cdots P(w_n \mid w_{n-1})$$

This is Big Data AI Book

Unigram: when $n=1$

Bigram: when $n=2$

Trigram: when $n=3$

Uni-Gram

| | | | | | |
|------|----|-----|------|----|------|
| This | Is | Big | Data | AI | Book |
|------|----|-----|------|----|------|

Bi-Gram

| | | | | |
|---------|--------|----------|---------|---------|
| This is | Is Big | Big Data | Data AI | AI Book |
|---------|--------|----------|---------|---------|

Tri-Gram

| | | | |
|-------------|-------------|-------------|--------------|
| This is Big | Is Big Data | Big Data AI | Data AI Book |
|-------------|-------------|-------------|--------------|

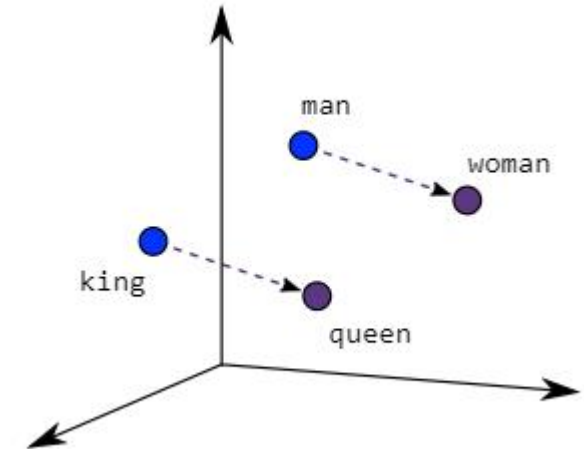
Word Embedding

- How to transfer word into vector representation?
 - One-hot encoding
 - One word, one encoding (vectors are too sparse and vectors are orthogonal.)
 - The dimension of the vector (dimension explosion)

| | | | | | | |
|-------|---|---|---|---|---|---|
| word | 1 | 0 | 0 | 0 | 0 | 0 |
| words | 0 | 1 | 0 | 0 | 0 | 0 |

Word2Vec

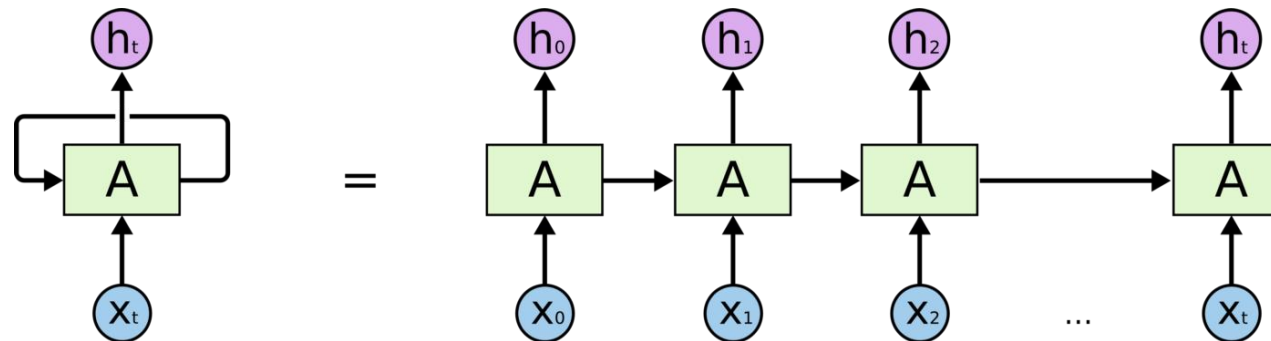
- How to transfer word into vector representation?
 - Word2Vec
 - CBOW: Use context to predict the center word.
 - Skip-gram: Use the center word to predict context.



Words with similar meanings have closer distances [1].

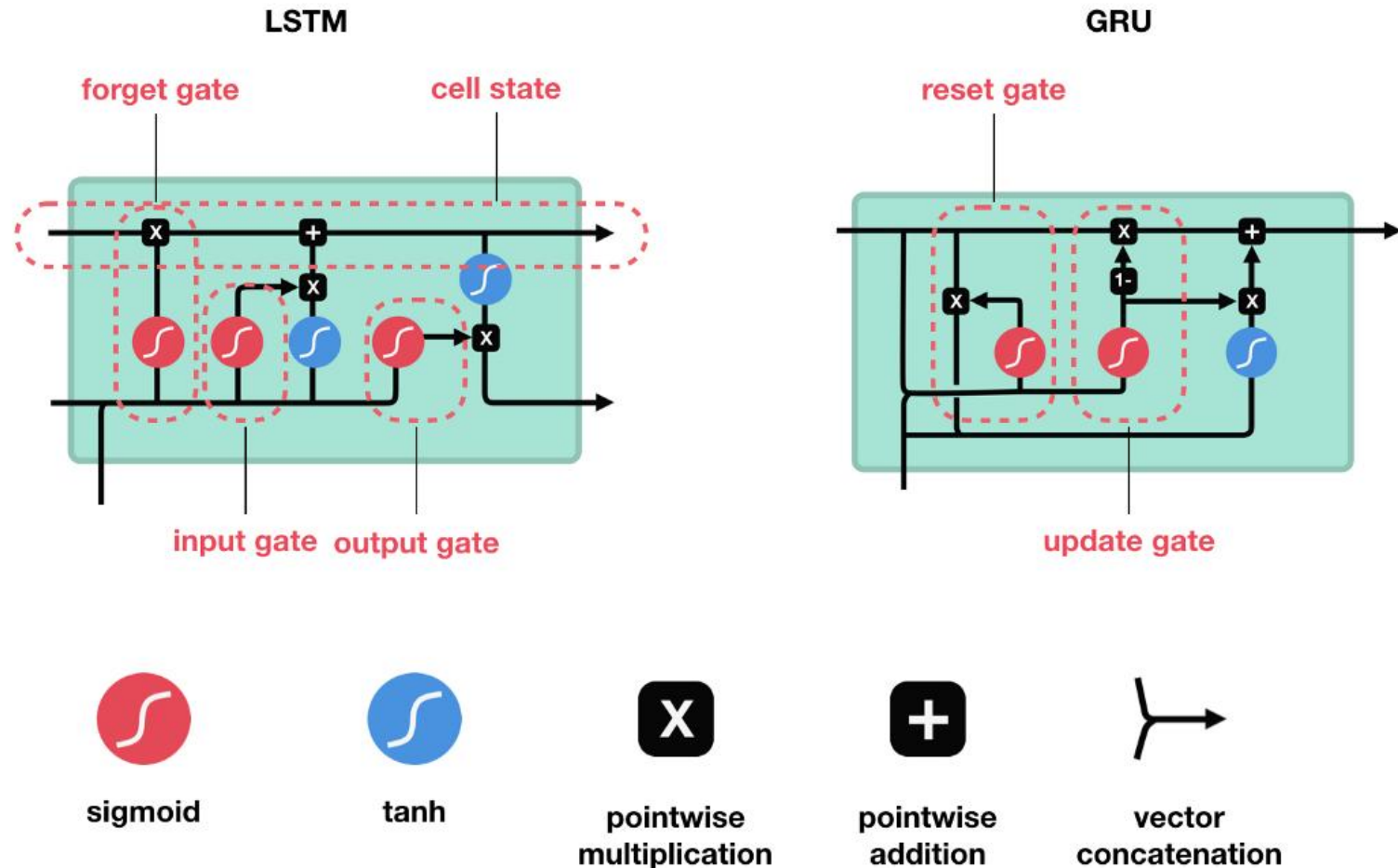
Recurrent Neural Network (RNN)

- RNN is proposed to better address the problem of sequence learning and sequence translation, that is, the problem of word-to-word sequence.
- For example, given sentence “high-speed trains drive at high speed on the rails.”, swapping the order of each word in this sentence changes the meaning of the sentence.



Long/Short Term Memory (LSTM) & GRU

- When the sentence length is very long, RNN will suffer from gradient vanishing and gradient exploding. To solve these problems, there are two existing methods, including LSTM and GRU.



Transformer

- Transformer was proposed in [1] which is a multi-head self-attention architecture. Transformer adopts global dependencies, which breaks through the limitation that RNN-based models cannot be parallelized, and can better handle long-distance text tasks.
- A large number of pre-trained language models including BERT [2], GPT-2 [3], GPT-3 [4], etc., adopt the Transformer architecture, and achieve state-of-the-art results in machine translation, reading comprehension, question answering and other tasks.

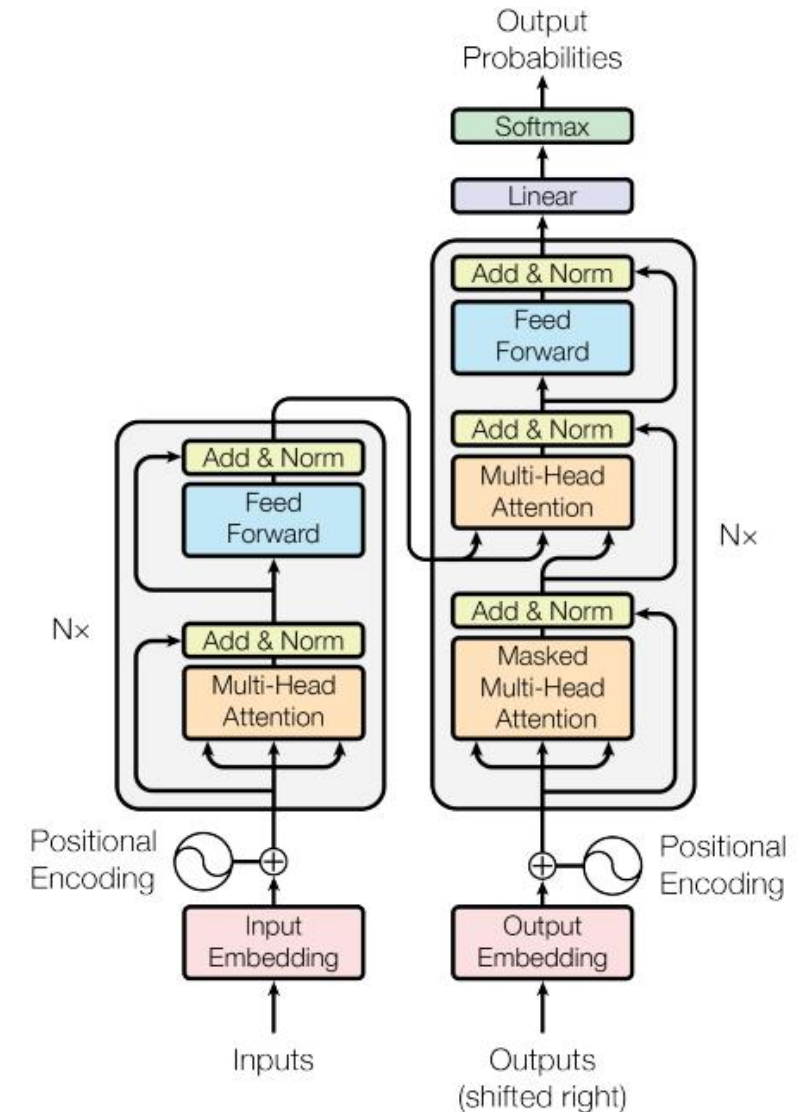


Figure 1: The Transformer - model architecture.

Symbolic Logic Reasoning (SLR)

- **Symbolic logic** is a set of formal languages that deals with how symbols express meaning and how reasoning can be carried out through symbolic means.
- Symbolic logic example:
 - Propositions:
 - (A) Bob is big.
 - (B) All big people are rough.
 - (C) Bob is rough.
 - Conclusion:
 - $A \wedge B \Rightarrow C$
 - The \wedge means “and,” and the \Rightarrow symbol means “implies.”

Symbolic Logic Programs

- A normal logic program expressed by Prolog [1]

$$p(X) : \neg q(X).$$
$$q(a).$$

$p(X)$, where variables are upper case characters

$q(a)$, where ground truth are lower case.

Symbolic Logic Programs

1: Facts

$e(l).$

$?e(l). 1$

$?i(d). 0$

2: Unification

$o(V, V).$

$?o(d, d). 1$

$?o(b, d). 0$

3: 1 Step

$p(X) : \neg q(X).$

$q(a).$

$?p(a).1$

$?p(b).0$

Natural Language Reasoning

- We can understand natural language reasoning as representing symbolic logic in natural language.
 - **Logic of natural language**, such as propositional logic and first-order logic.
 - **Diversity and flexibility of natural language**, such as polysemy, a paraphrase of sentences.
 - Reasoning requires understanding existing information to obtain unknown information.

Deductive reasoning: Given premise and rules to derive the conclusion.

Inductive reasoning: Given premise and conclusion to derive rules.

Abductive reasoning: Given rules and conclusion to derive premise.

More examples can be found in [1] and [2].

Example for Natural Language Reasoning

(Input Facts:) Alan is blue. Alan is rough. Alan is young.

Bob is big. Bob is round.

Charlie is big. Charlie is blue. Charlie is green.

Dave is green. Dave is rough.

(Input Rules:) Big people are rough.

If someone is young and round then they are kind.

If someone is round and big then they are blue.

All rough people are green.

Q1: Bob is green. True/false? [**Answer: T**]

Q2: Bob is kind. True/false? [**F**]

Q3: Dave is blue. True/false? [**F**]

Example for Natural Language Reasoning

(Input Facts:) Alan is blue. Alan is rough. Alan is young.

Bob is big. Bob is round.

Charlie is big. Charlie is blue. Charlie is green.

Dave is green. Dave is rough.

(Input Rules:) Big people are rough.

If someone is young and round then they are kind.

If someone is round and big then they are blue.

All rough people are green.

Q1: Bob is green. True/false? [**Answer: T**]

Q2: Bob is kind. True/false? [**F**]

Q3: Dave is blue. True/false? [**F**]

Example for Natural Language Reasoning

(Input Facts:) Alan is blue. Alan is rough. Alan is young.

Bob is big. Bob is round.

Charlie is big. Charlie is blue. Charlie is green.

Dave is green. Dave is rough.

(Input Rules:) Big people are rough.

If someone is young and round then they are kind.

If someone is round and big then they are blue.

All rough people are green.

Q1: Bob is green. True/false? [**Answer: T**]

Q2: Bob is kind. True/false? [**F**]

Q3: Dave is blue. True/false? [**F**]



Example for Natural Language Reasoning

(Input Facts:) Alan is blue. Alan is rough. Alan is young.

Bob is big. Bob is round.

Charlie is big. Charlie is blue. Charlie is green.

Dave is green. Dave is rough.

(Input Rules:) Big people are rough.

If someone is young and round then they are kind.

If someone is round and big then they are blue.

All rough people are green.

Q1: Bob is green. True/false? [**Answer: T**]

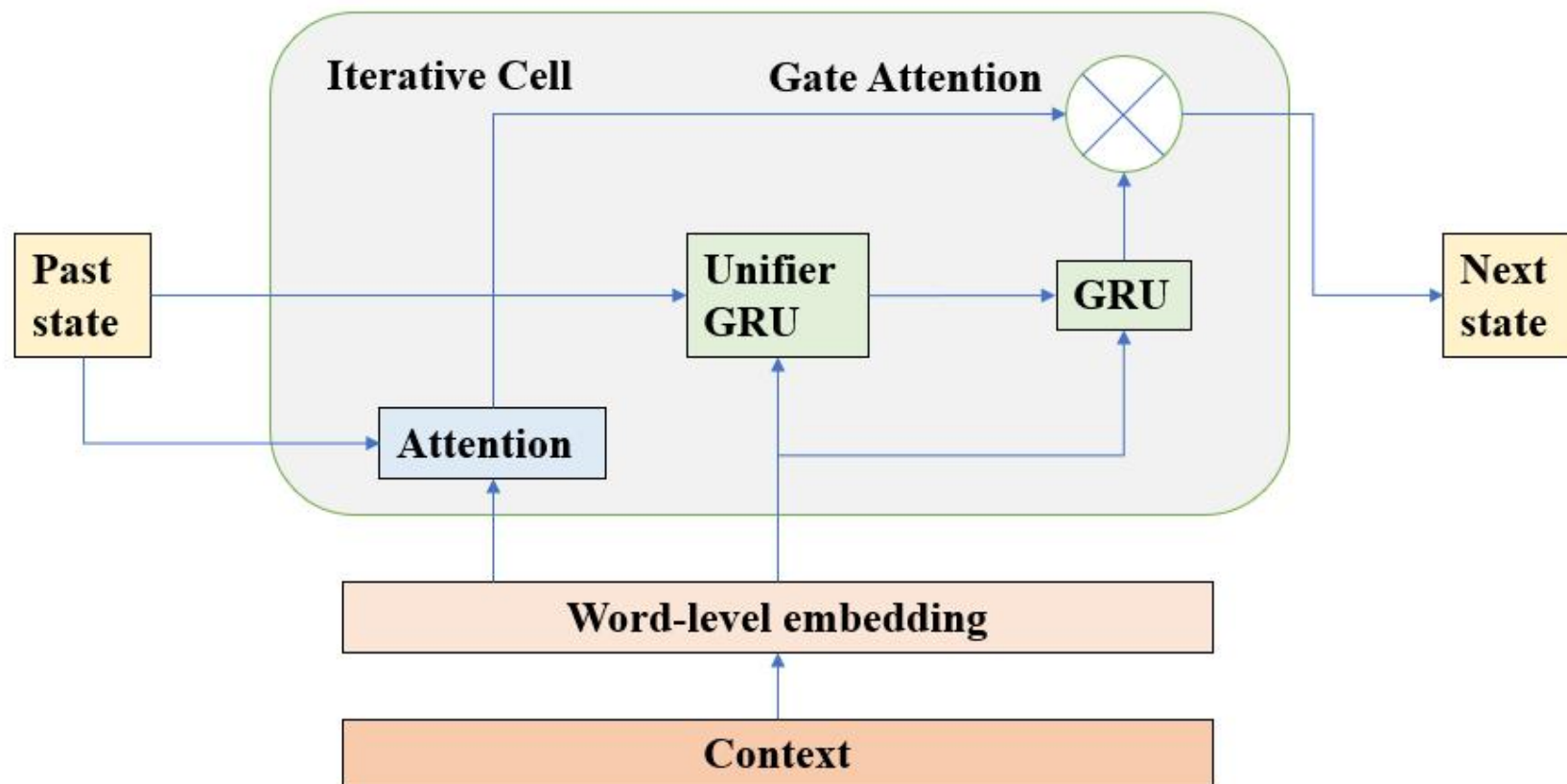
Q2: Bob is kind. True/false? [**F**]

Q3: Dave is blue. True/false? [**F**]

Research Gap

- Existing models, including DeepLogic and other RNN-based baseline models, have room for improvement in their reasoning abilities over natural language.
- We found existing models are not good at out-of-distribution (OOD) generalisation, including three aspects:
 - When model be trained on shallow reasoning depth set and tested on the deeper reasoning depth.
 - When model be trained on synthetic natural language dataset and tested on the dataset with extra paraphrased natural language rewritten by human.
 - When model be trained on unshuffled dataset and tested on shuffled dataset.
- Existing multi-step deductive reasoning datasets like PARARULES and CONCEPTRULE V1 and V2 have unbalanced distributions on the shallow and deep reasoning depth. The deeper depth ($2 \leq \text{Depth} \leq 5$) has much less dataset than the shallow depth ($\text{Depth} < 2$).

Model Overview



Word-level Embedding

- The word vector representation is based on GloVe [1].
- The input representation layer to the network is a sequence of two word-level sentences used for context and statement, respectively.
- The concatenation of context and statement representation will be feed into the gated recurrent unit (GRU).

Iteration

- The iteration process is from the DeepLogic [1]. The iteration step consists of attending to the rules, computing a new state using each rule and **updating** the old state.
- To apply a rule, we use another recurrent neural network called the **inner GRU unifier** that processes every literal of every rule. The inner GRU unifier needs to learn unification between **variables** and **constants** as well as how each rule interacts with the current state.

Gate Attention

- Dynamic Memory Network+ [1] achieved 100% test accuracy by using gate attention on bAbI deductive reasoning task (Task-15), which gave us the idea of integrating Gate Attention into DeepLogic. GRU can use gate attention to update the internal state.

Establish Baselines - RNNs & PLM

- We have three baseline models that we borrowed from the bAbI task leaderboard. We also set DeepLogic as one of the baseline methods, and then we have a Transformer-based model RoBERTa-Large as a baseline model. We use glove.6B.zip [4] as the word vector representation for the RNN-based models.
 - Long short-term memory (LSTM, 1997) [1] (The baseline method on bAbI dataset),
 - Dynamic Memory Network (DMN, 2016) [2] (One of the first paper use Attention in the memory network),
 - Memory Attention Control networks (MAC, 2018) [3] (A classical method from memory network).

- [1] Hochreiter, et al. 1997. Long short-term memory,
- [2] Kumar, et al. 2016. Ask me anything: Dynamic memory networks for natural language processing, ICML
- [3] Hudson, et al. 2018. Compositional attention networks for machine reasoning, ICLR.
- [4] Pennington, et al. 2014. Glove: Global vectors for word representation, EMNLP.
- [5] Liu, Y. et al., 2019. Roberta: A robustly optimized bert pretraining approach. arxiv.

CONCEPTRULE vs CONCEPTRULE V2

(*Input Context:*) Book is not located in bed.
Bed is located in loft.
Loft is located in city.
City is located in fast-food restaurant.
Question 1: Book is located in loft. True/False? [Answer: T]
Question 2: Bed is located in city. True/False? [Answer: T]
Question 3: Book is located in bed. True/False? [Answer: F]

(*Input Context:*) Book is not located in bed.
Bed is located in loft.
Loft is located in city.
City is located in fast-food restaurant.
Question 1: Book is not located in bed. True/false? [Answer: T] [Depth: 0]
Question 2: Book is not located in loft. True/false? [Answer: T] [Depth: 1]
Question 3: Book is not located in city. True/false? [Answer: T] [Depth: 2]

Dataset Description

Table 2

Information about the datasets used in this paper. PARARULES has less number of examples that require deep reasoning steps. CONCEPTRULES V2 does not consider reasoning depths greater than 3. The train, dev and test set are already splitted by the author of each dataset.

| Dataset | | Depth=0 | Depth=1 | Depth=2 | Depth=3 | Depth=4 | Depth=5 |
|------------------------------|-------|---------|---------|---------|---------|---------|---------|
| PARARULES | Train | 290435 | 157440 | 75131 | 48010 | 9443 | 7325 |
| | Dev | 41559 | 22276 | 10833 | 6959 | 1334 | 1038 |
| | Test | 83119 | 45067 | 21496 | 13741 | 2691 | 2086 |
| PARARULE-Plus | Train | - | - | 89952 | 90016 | 90010 | 90022 |
| | Dev | - | - | 16204 | 16154 | 16150 | 16150 |
| | Test | - | - | 2708 | 2694 | 2704 | 2692 |
| CONCEPTRULES V2 (full) | Train | 2074360 | 1310622 | 873748 | 436874 | - | - |
| | Dev | 115148 | 72810 | 48540 | 24270 | - | - |
| | Test | 115468 | 72810 | 48540 | 24270 | - | - |
| CONCEPTRULES V2 (simplified) | Train | 131646 | 74136 | 49424 | 24712 | - | - |
| | Dev | 7166 | 4116 | 2744 | 1372 | - | - |
| | Test | 7362 | 4116 | 2744 | 1372 | - | - |

Dataset Description

Table 3

The entity types and relation types for CONCEPTRULES V1 (simplified/full), CONCEPTRULES V2 (simplified/full), PARARULES, and our PARARULE-Plus.

| Dataset | #Entity | #Relation | Shuffled Rules | Depth Tag | Derivable | NAF |
|------------------------------|---------|-----------|----------------|-----------|-----------|-----|
| CONCEPTRULES V1 (simplified) | 385 | 7 | No | No | Yes | Yes |
| CONCEPTRULES V1 (full) | 4048 | 24 | Yes | No | Yes | No |
| CONCEPTRULES V2 (simplified) | 385 | 7 | No | Yes | Yes | Yes |
| CONCEPTRULES V2 (full) | 4048 | 24 | Yes | Yes | Yes | Yes |
| PARARULES | 19 | 4 | No | Yes | Yes | Yes |
| PARARULE-Plus | 71 | 8 | No | Yes | Yes | Yes |

A Sample for Negation as Failure (NAF)

(*Input Facts:*) The bear visits the lion.

The tiger likes the cat.

The cat does not like the bear.

The lion likes the tiger.

(*Input Rules:*) If someone sees the lion then the lion is kind.

If the tiger visits the lion and someone does not see the tiger then the tiger visits the bear.

If someone likes the bear and they like the tiger then the bear visits the tiger.

If someone is not round then they like the cat.

If someone visits the lion then they are blue.

If someone visits the bear and they do not see the lion then they visit the tiger.

If someone is cold and they do not visit the lion then the lion visits the tiger.

If someone visits the tiger and they are green then the tiger likes the cat.

Question 1: The bear likes the cat. True/false? [Answer: T]

Question 2: The bear is round. True/false? [F]

Question 3: The bear is not round. True/false? [T]

Experiment Result

Table 4

We use GloVe [16] as the word vector representation. We use PARARULES with all depths as the training set for all models and then test them on examples with different reasoning depths (D). Comparison among our IMA-GloVe-GA, IMA-GloVe, MAC-GloVe, DMN-GloVe, IMASM-GloVe, LSTM-GloVe, and RoBERTa-Large on PARARULES test sets with different reasoning depths.

| Train ↓; Test → | D=1 | D=2 | D=3 | $D \leq 3$ | $D \leq 3 + \text{NatLang}$ | $D \leq 5$ | $D \leq 5 + \text{NatLang}$ |
|-----------------|--------------|--------------|--------------|--------------|-----------------------------|--------------|-----------------------------|
| IMA-GloVe | 0.861 | 0.853 | 0.830 | 0.842 | 0.810 | 0.792 | 0.705 |
| MAC-GloVe | 0.792 | 0.776 | 0.750 | 0.763 | 0.737 | 0.701 | 0.652 |
| DMN-GloVe | 0.846 | 0.843 | 0.817 | 0.827 | 0.789 | 0.779 | 0.666 |
| IMASM-GloVe | 0.864 | 0.855 | 0.824 | 0.838 | 0.801 | 0.782 | 0.608 |
| LSTM-GloVe | 0.500 | 0.500 | 0.500 | 0.499 | 0.499 | 0.500 | 0.500 |
| IMA-GloVe-GA | 0.950 | 0.943 | 0.919 | 0.927 | 0.883 | 0.879 | 0.741 |
| RoBERTa-Large | 0.986 | 0.985 | 0.977 | 0.979 | 0.972 | 0.967 | 0.949 |

Experiment Result

Table 5

IMA-GloVe, IMA-GloVe-GA, and RoBERTa-Large trained on CONCEPTRULES V1 (simplified / full) and tested on different test sets. Rules in CONCEPTRULES V1 Simplified are not shuffled, while CONCEPTRULES V1 full contains randomly shuffled rules. CONCEPTRULES V1 full has larger number of relations and entities than CONCEPTRULES V1 simplified.

| Model | Train set | Test accuracy (Simplified Test set) | Test accuracy (Full Test set) |
|---------------|------------|--|----------------------------------|
| IMA-GloVe | Simplified | 0.994 | 0.729 |
| | Full | 0.844 | 0.997 |
| IMA-GloVe-GA | Simplified | 0.998 | 0.747 |
| | Full | 0.851 | 0.999 |
| RoBERTa-Large | Simplified | 0.997 | 0.503 |
| | Full | 0.927 | 0.995 |

Experiment Result

Table 6

IMA-GloVe, IMA-GloVe-GA, and RoBERTa-Large trained on CONCEPTRULES V2 (full) and tested on test sets that require different depths of reasoning.

| Model | Test set | Mod1 Depth=1 | Mod2 Depth=2 | Mod3 Depth=3 | Mod01 Depth \leq 1 | Mod012 Depth \leq 2 | Mod0123 Depth \leq 3 |
|---------------|----------|-----------------|-----------------|-----------------|-------------------------|--------------------------|---------------------------|
| IMA-GloVe | Depth=1 | 0.999 | 0.998 | 0.990 | 0.997 | 0.998 | 0.997 |
| | Depth=2 | 0.998 | 0.999 | 0.988 | 0.995 | 0.998 | 0.997 |
| | Depth=3 | 0.997 | 0.998 | 0.981 | 0.991 | 0.996 | 0.997 |
| IMA-GloVe-GA | Depth=1 | 0.993 | 0.996 | 0.987 | 0.987 | 0.991 | 0.997 |
| | Depth=2 | 0.993 | 0.999 | 0.974 | 0.986 | 0.991 | 0.995 |
| | Depth=3 | 0.988 | 1 | 0.994 | 0.989 | 0.997 | 0.994 |
| RoBERTa-Large | Depth=1 | 0.998 | 0.975 | 0.831 | 0.995 | 0.975 | 0.971 |
| | Depth=2 | 0.997 | 0.972 | 0.885 | 0.993 | 0.972 | 0.965 |
| | Depth=3 | 0.987 | 0.951 | 0.984 | 0.988 | 0.951 | 0.936 |

Experiment Result

Table 7

RoBERTa-Large trained on PARARULES with different reasoning depths and tested on test sets that require different depths of reasoning. A bold number indicates the highest accuracy in a test set.

| Model | Test set | Mod012 (Depth \leq 2) | Mod0123 (Depth \leq 3) | Mod0123Nat (Depth \leq 3+NatLang) | Mod012345 (Depth \leq 5) |
|---------------|----------|----------------------------|-----------------------------|--|-------------------------------|
| RoBERTa-Large | Depth=0 | 0.971 | 0.946 | 0.968 | 0.953 |
| | Depth=1 | 0.943 | 0.907 | 0.933 | 0.909 |
| | Depth=2 | 0.933 | 0.902 | 0.932 | 0.902 |
| | Depth=3 | 0.562 | 0.902 | 0.926 | 0.907 |
| | Depth=4 | 0.481 | 0.863 | 0.904 | 0.888 |
| | Depth=5 | 0.452 | 0.856 | 0.916 | 0.933 |
| | NatLang | 0.573 | 0.579 | 0.962 | 0.594 |

Experiment Result

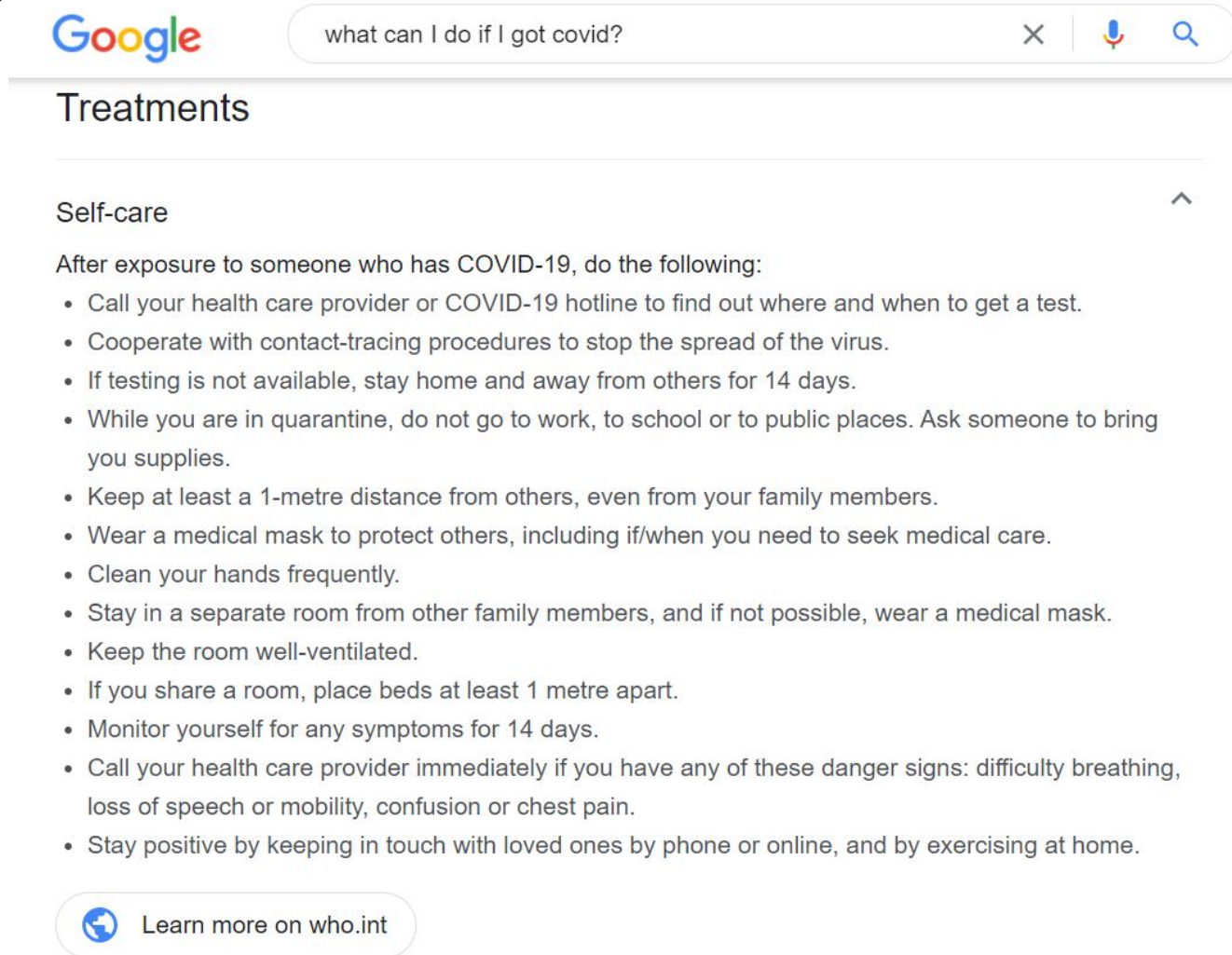
Table 8

RoBERTa-Large is fine-tuned on examples with different depths from PARARULES and also the entire PARARULE-Plus(PPT), and then is evaluated on test sets that require different depths of reasoning. The yellow background indicates improvement on accuracy after adding our PARARULE-Plus in the training process.

| Model | Test set | Mod012 (Depth \leq 2+PPT) | Mod0123 (Depth \leq 3+PPT) | Mod0123Nat (Depth \leq 3+NatLang+PPT) | Mod012345 (Depth \leq 5+PPT) |
|---------------|----------|--------------------------------|---------------------------------|--|-----------------------------------|
| RoBERTa-Large | Depth=0 | 0.946 | 0.901 | 0.965 | 0.963 (+0.010) |
| | Depth=1 | 0.877 | 0.847 | 0.937 (+0.004) | 0.881 |
| | Depth=2 | 0.868 | 0.873 | 0.927 | 0.839 |
| | Depth=3 | 0.771 (+0.209) | 0.862 | 0.904 | 0.826 |
| | Depth=4 | 0.675 (+0.194) | 0.852 | 0.897 | 0.832 |
| | Depth=5 | 0.661 (+0.209) | 0.888 (+0.032) | 0.923 (+0.007) | 0.934 (+0.001) |
| | NatLang | 0.557 | 0.593 (+0.014) | 0.970 (+0.008) | 0.649 (+0.055) |

Application Scenarios

- Search engine like Google, Bing, Baidu. Google has announced that they use BERT to improve the search quality [1].



Google


what can I do if I got covid?

Treatments

Self-care

After exposure to someone who has COVID-19, do the following:

- Call your health care provider or COVID-19 hotline to find out where and when to get a test.
- Cooperate with contact-tracing procedures to stop the spread of the virus.
- If testing is not available, stay home and away from others for 14 days.
- While you are in quarantine, do not go to work, to school or to public places. Ask someone to bring you supplies.
- Keep at least a 1-metre distance from others, even from your family members.
- Wear a medical mask to protect others, including if/when you need to seek medical care.
- Clean your hands frequently.
- Stay in a separate room from other family members, and if not possible, wear a medical mask.
- Keep the room well-ventilated.
- If you share a room, place beds at least 1 metre apart.
- Monitor yourself for any symptoms for 14 days.
- Call your health care provider immediately if you have any of these danger signs: difficulty breathing, loss of speech or mobility, confusion or chest pain.
- Stay positive by keeping in touch with loved ones by phone or online, and by exercising at home.

 [Learn more on who.int](https://www.who.int)

[1] <https://blog.google/products/search/search-language-understanding-bert/>

Machine Translation

- Google Translation [1] and DeepL Translator [2] are the current top 2 best machine translation tools using Transformer as the core architecture. They also support text-to-text, speech-to-text, and document-to-document cross-linguistic translation.
- More possible directions include low-resource language translation like Maori-to-other language and other language-to-Maori.

Chatbot and Personal Mobile Assistant

- Personal mobile assistant and chatbots like Xiaolce, Siri, Google assistant, IBM watson are the applications that considering natural language processing and question answering which can answer user's question and chat with user.
- Moreover, medical chatbots like Mandy [1] can be used to help patients improve the efficiency of medical treatment, the patient can talk to the chatbot first, and then the chatbot collects the required user information according to a pre-defined template, and then summarize it into an electronic record. It can help doctors improve the medical treatment and simplify the process.
- Combining deep learning and knowledge graphs, we build a retrieval-based medical chatbot platform called HHH [2], and design an attention-based medical sentence similarity comparison algorithm, which can effectively improve the calculation of medical text similarity.

COVID-19 with NLP

- This study [1] focuses on predicting COVID-19 patient shielding — identifying and protecting patients who are clinically extremely vulnerable from coronavirus. This study focuses on techniques used for the multi-label classification of medical text. Using the information published by the United Kingdom NHS and the World Health Organisation, this paper present a novel approach to predicting COVID-19 patient shielding as a multi-label classification problem.

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