Homework 1 Propositional Sequent Calculus Sample Solution

15-316: Software Foundations of Security & Privacy Frank Pfenning

Due Tuesday, September 10, 2024 70 points

Note that this is a *sample solution*! There are often multiple correct ways to solve a problem and we do not try to comprehensive in any way.

1 Validity and Counterexamples (20 points)

For each of following sequents, either show a sequent calculus derivation as evidence that it is valid, or show an incomplete derivation where all leaves consist only of propositional variables. In the latter case, also read off all assignments of truth values to propositional variables that are counterexamples to the validity of the original sequent. (This technique was shown at the beginning of Lecture 3.)

Task 1 (5 points) $\cdot \vdash ((p \rightarrow q) \rightarrow p) \rightarrow p$

$$\begin{split} &\frac{\overline{p \vdash p,q}}{\cdot \vdash p \to q,p} \xrightarrow{\rightarrow} R \quad \frac{}{p \vdash p} & \text{id} \\ &\frac{}{\cdot \vdash p \to q,p} \to R \quad \frac{}{p \vdash p} \to L \\ &\frac{}{\cdot \vdash ((p \to q) \to p) \to p} \to R \end{split}$$

Task 2 (5 points) $\cdot \vdash ((p \rightarrow q) \rightarrow q) \rightarrow p$

$$\begin{array}{c} \frac{\overline{p \vdash q, p} \text{ id}}{P \vdash q, p} \rightarrow R & ??? \\ \frac{\cdot \vdash p \rightarrow q, p}{P \rightarrow q \vdash p} \rightarrow L \\ \frac{(p \rightarrow q) \rightarrow q \vdash p}{\cdot \vdash ((p \rightarrow q) \rightarrow q) \rightarrow p} \rightarrow R \end{array}$$

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This sequent is not valid when q is \top and p is \bot .

Task 3 (5 points) $\neg (p \land q) \vdash (\neg p) \land (\neg q)$

$$\frac{\frac{p \vdash p \text{ id } ???}{p \vdash p \land q} \land R}{\frac{p \vdash p \land q}{\cdot \vdash p \land q, \neg p} \neg R} \land R \frac{\frac{q \vdash q \text{ id } q \vdash p}{q \vdash p \land q} \land R}{\frac{q \vdash p \land q}{\cdot \vdash p \land q, \neg q} \neg R} \rightarrow R}{\frac{\neg (p \land q) \vdash \neg p}{\neg p \land q \vdash \neg q} \land R}$$

This sequent can be falsified when $p = \top$ and $q = \bot$ or when $q = \top$ and $p = \bot$.

Task 4 (5 points) $(\neg p) \land (\neg q) \vdash \neg (p \land q)$

$$\frac{\frac{\overline{q,p\vdash p,q}}{\neg q,q,p\vdash p} \stackrel{\mathsf{id}}{\neg R}}{\neg q,q,p\vdash p} \wedge R \\ \frac{\frac{\overline{\neg q,p\land q\vdash p}}{\neg p,\neg q,p\land q\vdash \cdot} \neg L}{\neg p,\neg q\vdash \neg (p\land q)} \neg R \\ \frac{\overline{\neg p,\neg q\vdash \neg (p\land q)}}{(\neg p)\land (\neg q)\vdash \neg (p\land q)} \wedge L$$

2 Rule Design (40 points)

In this problem we ask you to give right and left sequent calculus rules for some logical constants and a new connective. Whatever rules you give should preserve all the good properties of the sequent calculus for propositional logic, that is:

- (a) They should be *sound*.
- (b) They should be invertible.
- (c) All their premises should be smaller than the conclusion, when counting the number of logical connectives and constants \top and \bot in a sequent.

The last two items combine to entail completeness and decidability. You only need to prove these properties when explicitly asked but your rules should nonetheless satisfy them. When you do write out proofs, please follow the template for $\rightarrow L$ (soundness, page L2.11) and $\vee R$ (invertibility, page L2.12) in the lecture notes to make them easy for us to grade.

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Task 5 (5 points) *Give right and left rules for the logical constant* \top *(truth).*

$$\frac{}{\Gamma \vdash \top, \Delta} \; \top R \qquad \quad \frac{\Gamma \vdash \Delta}{\Gamma, \top \vdash \Delta} \; \top L$$

Task 6 (5 points) *Given right and left rules for the logical constant* \perp *(falsehood).*

$$\frac{\Gamma \vdash \Delta}{\Gamma \vdash \bot, \Delta} \perp R \qquad \frac{\Gamma, \bot \vdash \Delta}{\Gamma, \bot \vdash \Delta} \perp L$$

We define a new connective $F \overline{\wedge} G$ by the following truth table.

$$\begin{array}{c|cccc} F & G & F \overline{\wedge} G \\ \hline \top & \top & \bot \\ \hline \top & \bot & \top \\ \bot & \top & \top \\ \bot & \bot & \top \\ \end{array}$$

In the remainder of this problem we ask you to give right and left rules for this new connective. These rules should preserve all the good properties listed at the beginning of the problem.

Observe the following truth table for $\neg(F \land G)$:

$$\begin{array}{c|cccc} F & G & \neg (F \wedge G) \\ \hline \top & \top & \bot \\ \hline \top & \bot & \top \\ \bot & \top & \top \\ \bot & \bot & \top \\ \end{array}$$

This is equivalent to the truth table for $F \overline{\wedge} G$. So we can define $F \overline{\wedge} G \triangleq \neg (F \wedge G)$. We show that right and left rules are derivable, and therefore that they satisfy soundness and invertibility.

Task 7 (5 points) *Give the right rule or rules for* $\overline{\wedge}$.

$$\frac{\Gamma, F, G \vdash \Delta}{\Gamma \vdash F \mathrel{\overline{\wedge}} G, \Delta} \mathrel{\overline{\wedge}} R$$

Task 8 (5 points) *Prove your right rule(s) are* sound.

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By the derivation of the right rule.

$$\frac{\frac{\Gamma, F, G \vdash \Delta}{\Gamma, F \land G \vdash \Delta} \land L}{\Gamma \vdash \neg (F \land G), \Delta} \neg R$$

Task 9 (5 points) *Prove your right rule(s) are* invertible.

(see derivation of right rule, with each rule invertible)

Task 10 (5 points) *Give the left rule or rules for* $\overline{\wedge}$.

$$\frac{\Gamma \vdash F, \Delta \quad \Gamma \vdash G, \Delta}{\Gamma, F \land G \vdash \Delta} \land L$$

Task 11 (5 points) *Prove your left rule(s) are* sound.

We again show that $\overline{\wedge}L$ is derivable.

$$\frac{\Gamma \vdash F, \Delta \quad \Gamma \vdash G, \Delta}{\Gamma \vdash F \land G, \Delta} \land R$$
$$\frac{\Gamma \vdash F \land G, \Delta}{\Gamma, \neg (F \land G) \vdash \Delta} \neg L$$

Task 12 (5 points) *Prove your left rule(s) are* invertible.

(see derivation of left rule, with each rule invertible)

3 Cut (10 points)

Gentzen's system also included the rule of cut which can introduce a lemma F into a proof: the first premise proves it while the second premise assumes it. Here is one way to formulate it:

$$\frac{\Gamma \vdash F, \Delta \quad \Gamma, F \vdash \Delta}{\Gamma \vdash \Delta} \text{ cut }$$

Task 13 (5 points) *Prove that the rule of* cut *is* sound.

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all \Gamma true implies some (F, \Delta) true
                                                                             (validity of first premise)
all (\Gamma, F) true implies some \Delta true
                                                                         (validity of second premise)
all \Gamma true
                                                                                          (assumption)
some (F, \Delta) true
                                                    (apply validity of first premise to assumption)
case: F is true
  some \Delta true
                                                                      (by validity of second premise)
case: some \Delta is true
  some \Delta true
                                                                                           (by this case)
some \Delta true
                                                                                              (by cases)
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Task 14 (5 points) Prove that the rule of cut is redundant, that is, if we can prove a sequent using it, we can also prove it without using this rule.

Proof:

By completeness (without the cut rule), if we have that $\Gamma \vdash \Delta$ is valid then we can derive $\Gamma \vdash \Delta$. We know that cut is sound, so if we can prove a sequent $\Gamma \vdash \Delta$ with it, then $\Gamma \vdash \Delta$ is valid. Then we apply completeness to obtain a cut-free proof.

So cut is sound but redundant. It can be used to shorten proofs, but it is not easy to devise strategies for finding appropriate formulas F (which is unknown if you apply this rule bottomup, as we do during proof search).

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