

PERIOD: 2020-11-30 FILER: 000009371 #11

Edit <> Code

 **Closed** BSCPGROUPHOL... wants to merge 1 commit into `main` from `2020-11-20-FILER0000093715` 

Conversation 12 Commits 1 Checks 0 Files changed 1



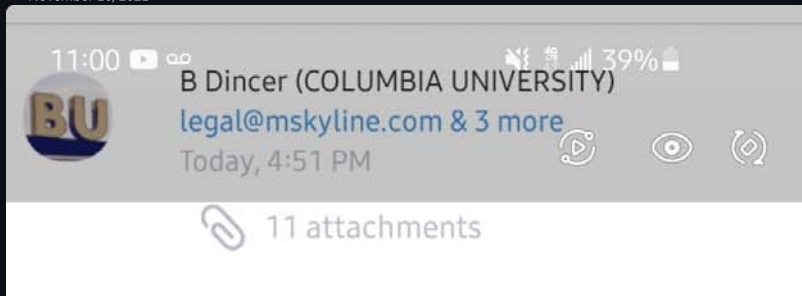
BSCPGROUPHOL... commented 26 days ago • edited ▾

Owner

CIK FILER NUMBER: 93715

PROMOTERS

*** November 16, 2021



From: B Dincer (COLUMBIA UNIVERSITY) At: 11/16/21 14:33:15 UTC-5:00
To: Matt.Harvey@STATEFARMMUTUAL.AU, bondstr1@protonmail.com, bdincer66@icloud.com
Cc: slackowicz@mskyline.com, lzucker@mskyline.com, legal@mskyline.com
Subject: Fwd:NYSCEF >> REBNY >> SEC >> 483 *** MATERIAL CORPORATE ACTIONS *** pl B6BGG6



From: B Dincer (COLUMBIA UNIVERSITY) At: 11/16/21 13:53:55 UTC-5:00
To: "JAMES GORMAN@MORGANSTANLEY.COM"
Cc: "Irfan Susilo (BLOOMBERG/ 919 3RD A)", Bryan Burgly (BLOOMBERG/ 919 3RD A), Ho Tak Tsang (BLOOMBERG/ 919 3RD A), Ram Compliance (BALYASNY ASSET MANAG), Clsra Compliance (CLSRA HONGKONG), Andrea Peterson (SECUR/ EXCHANGE), Andrew Shelton (SECURITIES & EXCHANGE), legal@mskylne.com, slaskowitz@ingramip.com, abanews@amnysec@mynycouts.com
Subject: NYSCEF >> REBNU >> SEC >> 483 *** MATERIAL CORPORATE ACTIONS *** pl BGGGBG

NOTE: Index #: 153974/2020
 >> I FILED A COMPLAINT WITH THE SEC ON FINANCIAL FRAUD CLAIMS OF AN APPROXIMATE MARK UP FROM '2395 TO '2995...
 >> THE LEASES WERE ASSIGNED TO A PUBLIC ARM OF THE STATE FARM INSURANCE CORP.
 >> SO I FILED A TRP WITH THE SECURITIES AND EXCHANGE COMMISSION; AND TO MAKE SURE AUDIT THEIR BOOKS AND RECORDS (WHICH ARE COOKED)

FORWARD THIS TO YOUR COMPLIANCE DEPT.
JUST IN CASE WITH ALL DUE RESPECT YOURS TRULY

pl_BG8G8G

- Caption: Sullivan Properties L.P. v. Baris Dincer
- 483 ADMISSION OF SERVICE AND STIPULATION NYSCEF
- NONJOINER BECAUSE THEY MISREPORTED EARNINGS JOHN

Filing Status: Full Participation Recorded Assigned Case Judge: Shlomo Hagler
During the COVID-19 Health Emergency By order of the Chief Administrative Judge,
the court shall NOT request working copies of documents in paper format.

Documents Received
Doc #
Document
Received Date

482 <https://www.courts.state.ny.us/fbem/DocumentDisplayServlet?documentId=eDfSLMf8ikpB1f4hVSYJ7A==&system=prod>
AFFIRMATION AND NOTICE TO PARTIES PER NYSCFE ELECTRONIC FILING RULES FOR PROPER IDENTIFICATION OF THIS ARTICLE

Motion #: 003
09/27/2020

<https://japps.courts.state.ny.us/fbem/DocumentDisplay>

Motion #: 003
09/27/2020
428

CC: Federal Deposit Insurance Corporation

via email: Regs@fdic.gov, Assessments@fdic.gov, Assetmarketing@fdic.gov, Depositorservices@fdic.gov

ATTN: Division of Finance
3501 North Fairfax Drive
Building E, 5th floor
Arlington, VA 22226

ATTN: Federal Deposit Insurance Corporation
Division of Insurance and Research
550 17th Street, NW (4th Floor)
Washington, DC 20429-9990

ATTN: FDIC National Center for Consumer and Depositor Assistance
Consumer Response Unit
1100 Walnut Street, Box #11
Kansas City, MO 64106

ATTN: Deposit Insurance Outreach
Division of Depositor and Consumer Protection
550 17th Street, NW
Washington, DC 20429

2020-11-30ANNUAL REPORT FOR REGISTERED INVESTMENT COMPANIES

https://www.sec.gov/Archives/edgar/data/0000093715/000114554921006167/xslFormN-CEN_X01/primary_doc.xml

FILER CIK: 0000093715

BY: State Farm Associates Funds Trust

TYPE: Form N-1A Filer (Mutual Fund)

PERIOD ENDING: 2020-11-30

2021-11-13TCRREPORT

Submission Number 16368-809-301-165

FILED: Saturday, November 13, 2021 at 07:54:50 AM

[_TCRReport.pdf](#)

2021-11-30ANNUAL REPORT FOR REGISTERED INVESTMENT COMPANIES

https://www.sec.gov/Archives/edgar/data/0000093715/000114554922006149/xslFormN-CEN_X01/primary_doc.xml

FILER CIK: 0000093715

BY: State Farm Associates Funds Trust

TYPE: Form N-1A Filer (Mutual Fund)

PERIOD ENDING: 2021-11-30

2021-10-29APPLICATION FOR DEREGISTRATION

<https://www.sec.gov/Archives/edgar/data/0000093715/999999999721005616/filename1.pdf>

UNDER SECTION 8(f) OF THE INVESTMENT COMPANY ACT OF 1940

Hearing requests should be received by the SEC by 5:30 p.m. on November 23, 2021

TCRREPORT was filed: Saturday, November 13, 2021 at 07:54:50 AM

2021-11-16 - NOTICE TO THE REGULATORY AUTHORITIES.
Nov.16.2021-.WMCKENZIE@NYCOURTS.GOV.---SEC.---.BOC@BOC.NYC.GOV.pdf

Applicant's Address: 1 STATE FARM PLAZA, BLOOMINGTON, IL, 61710

via email: david.moore.ct95@statefarm.com

2021-04-21INITIAL APPLICATION FILED

<https://www.sec.gov/Archives/edgar/data/0000093715/000119312521278180/d222043dn8f.htm>

IV. Information About Event(s) Leading to Request For Deregistration.

Expenses were paid for in full by the investment adviser: \$912,500

Accounting expenses: \$9,000

Legal expenses: \$240,000

Directors fees: \$431,000

/s/ Joe R. Monk, Jr.
President and Chairperson of the Board

Address of Principal Executive Office:
State Farm Associates' Funds Trust
One State Farm Plaza
Bloomington, IL 61710-0001

David Moore, Assistant Secretary
One State Farm Plaza
Bloomington, IL 61710-0001

State Farm Investment Management Corp.
One State Farm Plaza
Bloomington, Illinois 61710-0001

JP Morgan Chase Bank
North American Insurance Securities Services
3 Chase MetroTech Center, 5th Floor
Brooklyn, New York 11245

2022-03-19 - REDACTED ELECTRONIC COMMUNICATION.
6MM transfer & ACS -- STATE FARM LOAN 50074 2022.03.19-REDACTED.pdf

CIK FILER ID: 93715

*** <https://www.sec.gov/edgar/browse/?CIK=93715>

*** terry ludwig 40-17G -- FIDELITY BOND FILING

2020-04-01 prospectus

• <https://fintel.io/doc/sec-485bpos-2020-march-27-18348-209>

*** <https://fintel.io/doc/sec-sfitx-state-farm-interim-fund-4017g-2020-june-08-18422-692>
Coverage Period 04-2020 through 04-2021---40-17G-FILED_2020.06.08 LUDWIG.pdf

*** 2020-07-28 - state farm associates trust - STFGX - /s/ Joe Monk
2020.07.28 - state farm associates trust filed for 2020.05.31 - STFGX - Joe Monk.pdf

2019-06-14FILER'S CODE OF ETHICS

https://github.com/BSCPGROUPHOLDINGSLLC/ELSER-AND-DICKER/pull/11

3/15

2020.05.11
[00380] - SEVERAL LOAN DOCUMENTS BY STATE FARM TO SULLIVAN ENTERED ex590xxx.pdf

2020.08.09
[00376] -- 9 AUGUST 2020 - PROPERTY REPORTS - REF STATE FARM.pdf

2020.08.09
[00318] 2020 AUGUST - BREACH OF CONTRACTS - VIOLATION OF PRIVACY - ALL IN VIEW.pdf

2020.08.09
[00317] 09 AUGUST 2020 - REPORT FILED WITH BBB - AND THE NY SUPREME COURT.pdf

2020.07.25
[00316] 25 JULY 2020 - REPORT FILED WITH BBB.pdf

2020.05.11
[00314] LOAN 50074 -CRFN 2020000155423 - PARCEL INFO TRANSFERRED 2020052000291004.pdf

2020.05.11
[00313] - LOAN 50074 - ME133300053v.2.pdf

2020.05.11
[00313] - LOAN 50074 - ME133300053v.2 - 6 MILLION BY A FIDELITY - STATE FARM.pdf

2020.05.11
[00312] - LOAN 50074 - MEI 33298935v.2.pdf

2020.05.11
[00311] - LOAN 50074 - MEI 33327579v.1.pdf

2020.05.11
[00310] - LOAN 50074 - 6 LOTS RECORDED - OTHER TWO NO GOOD EITHER.pdf

2020.08.11
[00306] - TAX BLOCK 503 - NEW YORK NY.pdf

2020.08.11
[00304] 2020 AUGUST - CIVIL RIGHTS LAWS.pdf

2020.08.11
[00303] CRIMINAL CODE DISSEMINATION OF PRIVATE NONCONSENSUAL VIDEOS.pdf

2020.08.11
[00303] 2020 AUGUST - Dissemination of Private Sexual Images. LAWS AND DEFINITIONS.pdf

2020.07.17
[00302] - 2020 JULY 17 - DINCER NOTICES SENT TO PLAINTIFF PRIVACY.pdf

2020.08.07
[00299] -- 2020 AUGUST 07 -- TORT OF INVASTION OF PRIVACY.pdf

2020.08.07
[00298] CONSUMER REPORT OF FRAUD 14370.00 -- VIA AUTOPAYMENT.pdf

2020.08.07
[00249] AUGUST 2020 - SHARI BELIEVES THE ZUCKERS ARE EXEMPT FROM PROSECUTION - sworn again IN 10018.pdf

2020.08.07
[00243] -- NOTICE TO AMEND CAPTION.pdf

2020.08.04
[00239] 2020.08.4 AUGUST NO CERTIFICATE OF OCCUPANCY__239 - 111 sullivan rear.pdf

2020.08.04
[00223] - UNLAWFUL FEES DISCLOSED.pdf

2020.06.22
[00221] -- 22 JUNE 2020 --- NOTICE TO wmckenzie@nycourts.gov.pdf

2020.06.22
[00220] -- 22 JUNE 2020 - wmckenzie@nycourts.gov -- NO LONGER WILL COMMUNICATE VIA EMAIL.pdf

2020.08.02
[00218] -- 02 AUGUST 2020 --- NOTICE_OF_CROSS_MOT_218.pdf

2020.06.11
[00178] -- 11 JUNE 2019 -- REAL ESTATE LAWS IN NEW YORK STATE.pdf

2020.07.25
[00088] -- 25 JULY 2020 --- JGIAMBOI@MSKYLINE.COM --- CEASE AND DESIST - NYSCEF.pdf

2020.07.25
[00087] -- 25 JULY 2020 --- TESCHMANN@MSKYLINE.COM --- CEASE AND DESIST - NYSCEF.pdf

2020.07.25
[00086] -- 25 JULY 2020 --- AREYNOSO@MSKYLINE.COM --- REQUEST FOR PRIVACY --- bk01.pdf

2020.07.21
[00084] -- 21 JULY 2020 --- CEASE AND DESIST -- CAMERAS - NYSCEF.pdf



2020.07.21
[00083] -- 21 JULY 2020 --- CEASE AND DESIST -- CAMERAS - NYSCEF.pdf

2020.07.21
[00075] 153974_2020 -- ENCLOSURES FROM DINCER TO THE COURT.pdf

2020.07.21
[00075] 153974_2020 -- ENCLOSURES FROM DINCER TO THE COURT - ZIPCODE 10018.pdf

2020.07.21
[00055] 153974_2020 - INVASION OF PRIVACY PHOTOGRAPH ENTERED IN NY SUPREME.pdf

DOCKET 00048 --- VIOLATION OF PRIVACY.
*** <https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=fXMaXgeyzvA85ViWMmvfAQ==>

  PERIOD: 2020-11-30 FILER: 000009371 ...

Verifieda43be5f



BSCPGROUPHOLDINGSLLCcommented 21 days ago • edited

OwnerAuthor



https://saaze2311prdsra.blob.core.windows.net/clean/e2fe82c1c6a2ec11b400002248316383/153974_2020_Sullivan_Properties_L_P_y_Baris_Dincer_EXHIBIT_S_231%20-%204%20august%202020%20-%20no%20certificate%20-%20see%20also%20bylaws%20and%20deadlines.pdf

DOCKET 48 -- FULL COLORS

*** <https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=fXMaXgeyzvA85ViWMmvfAQ==>


RADIATOR -- -- -- -- -- FROM WHERE?

<https://www.washingtonpost.com/news/early-lead/wp/2016/03/07/erin-andrews-awarded-55-million-in-peeephole-case/>

  BSCPGROUPHOLDINGSLLC closed this 21 days ago

https://github.com/BSCPGROUPHOLDINGSLLC/ELSER-AND-DICKER/pull/11

5/15

 BSCPGROUPHOLDINGSLLC reopened this 21 days ago

 BSCPGROUPHOLDINGSLLC closed this 21 days ago



BSCPGROUPHOLDINGSLLC commented 21 days ago • edited 

Owner Author

Tax Year 2020-21 -- VALUATION

*** <https://a836-edms.nyc.gov/dctm-rest/repositories/dofedmspts/StatementSearch?bbl=1005030011&stmtDate=20200115&stmtType=NPV>

Tax Year 2021-22 -- NPV ESTIMATE

*** <https://a836-edms.nyc.gov/dctm-rest/repositories/dofedmspts/StatementSearch?bbl=1005030011&stmtDate=20210115&stmtType=NPV>

DOCKET 231: NO CERTIFICATE OF OCCUPANCY.

*** FILED WITH THE DEPARTMENT OF BUILDINGS, AND WITH THE NY SUPREME COURT ON AUGUST 4, 2020.
---- HOW DO WE CALCULATE THE NPV OF A BUILDING WITH \$0.00/ANNUM IN LEGALLY COLLECTED RENT?
*** FILED IN THE NY SUPREME COURT - WHILE I RESIDED IN THAT BUILDING.

- https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=1Wx2R9sKfno_PLUS_oI5jFakV9g==
- https://saaze2311prdsra.blob.core.windows.net/clean/e2fe82c1c6a2ec11b400002248316383/153974_2020_Sullivan_Properties_L_P_v_Baris_Dincer_EXHIBIT_S_231%20-%204%20august%202020%20-%20no%20certificate%20--%20see%20also%20bylaws%20and%20deadlines.pdf

November 25, 2021
Nov 25, 2021 - SERVICE@ETRADE.COM RULE-COMMENTS@SEC.GOV [PRIOR] SM.pdf

April 6, 2021

FINRA FILE NUMBER: 6048300
FINRA FILE NUMBER: 6048391--STFGX- 4026328-8209

FINRA FILE NUMBER: 6048345
FINRA FILE NUMBER: 6048391--STFBX- 4776256-8209

FINRA FILE NUMBER: 6048345
FINRA FILE NUMBER: 6048391--SFITX- 4776256-8209

FINRA FILE NUMBER: 6048391
FINRA FILE NUMBER: 6048391--SFBDX - 4026328-8209

 BSCPGROUPHOLDINGSLLC reopened this 21 days ago

 BSCPGROUPHOLDINGSLLC closed this 21 days ago



BSCPGROUPHOLDINGSLLC commented 20 days ago • edited 

Owner Author

CIK FILER NUMBER: 93715

INVESTMENT ADVISERS:
State Farm Investment Management Corp
sec file number: 801-8184
CRD NUMBER: 000003487

TRANSFER AGENT:

State Farm Investment Management Corp
sec file number: 084-08839

Fund Surviving the Merger

*** Investment Company Act Release No. 34411

Advisers Investment Trust 811-22538

497 April 23, 2021

 BSCPGROUPHOLDINGSLLC reopened this 20 days ago

 BSCPGROUPHOLDINGSLLC closed this 20 days ago



BSCPGROUPHOLDINGSLLC commented 19 days ago • edited 

Owner Author

LET US REMEMBER

- <https://violationtracker.goodjobsfirst.org/violation-tracker/-state-farm-mutual-insurance-company>
State Farm agreed to pay \$41 million to settle multistate litigation concerning required record keeping on vehicles damaged by accidents or theft.

- https://www.dfs.ny.gov/system/files/documents/2020/10/ea20201030_combined_consent_orders.pdf

- https://www.dfs.ny.gov/reports_and_publications/press_releases/pr202011031
In addition, DFS NY imposed fines of \$671,200, \$644,700, \$583,900, \$217,300 on Allstate, State Farm, AIG, and Tri-State for their respective violations. A total in excess of \$10,443,700.

20100214073945

- <https://violationtracker.goodjobsfirst.org/violation-tracker/tx-state-farm-lloyds>

- <https://www.tdi.texas.gov/commissioner/disciplinary-orders/documents/20153854.pdf>
A Texas subsidiary of State Farm was ordered to refund \$352.5 million to residential property insurance premiums after the company's rates were found to be unreasonable in relation to the risks they covered.

- https://web.archive.org/web/20100215231311/http://www.ag.ny.gov/media_center/2005/jan/StateFarmtotallossinformation.pdf

11/25/21, 2:04 AM

STATE FARM ASSOCIATES FUNDS TRUSTS - 40-17G -- FIDELITY BOND FILING - June 08, 2020

STATE FARM ASSOCIATES FUNDS TRUSTS - 40-17G - - FIDELITY BOND FILING - June 08, 2020

Profit from legal insider trading by following corporate insiders with a winning track record


Find out which insiders consistently beat the market. Track the post-trade performance of insiders to see which ones generate abnormal returns.

Learn More

Stock Elton - TSLA / Tesla Motors, Inc.

Stock Performance Calculating Abnormal Returns

Source: Yahoo! Finance



Security [SFTX / State Farm Interim Fund](#)

Form Type 40-17G

File Date 2020-06-08

State Farm Associates' Funds Trust

I, Terry Ludwig, Assistant Secretary-Treasurer of the State Farm Associates' Fund Trust, a Delaware trust, hereby certify that the following is a true and correct copy of a resolution duly adopted at a meeting of the Board of Trustees of the Trust held in Bloomington, Illinois on the 13th day of March, 2020 and that said resolution is in full force and effect and has not been revoked.

WHEREAS, in compliance with the requirements of Rule 17g-1 under the Investment Company Act of 1940, as amended (the "Act"), it is proposed that effective April 1, 2020, State Farm Associates' Funds Trust (the "Trust"), become insured and have coverage against larceny and embezzlement under a single insured registered management investment company bond in the amount of \$2,500,000 per loss (the "Fidelity Bond") issued by ICI Mutual Insurance Company ("ICIM"), naming as the Trust as the Insured;

State Farm Associates' Funds Tru... X

sec.gov/Archives/edgar/data/000009371/000119312521278180/d222043d8f.htm

11/25/21, 2:04 AM

Does the fund have any outstanding debts (other than flow-investor certificates if the fund is a flow-investor certificate company) or any other liabilities?

Yes

No

If Yes,

(a) Describe the type and amount of each debt or other liability:

(b) [How does the fund intend to pay these outstanding debts or other liabilities?](#)

Information About Events Leading to Request For Denegation:

(a) List the expenses incurred in connection with the filing of the petition:

(i)

Legal expenses: \$140,000

(ii)

Accounting expenses: \$9,000

(iii)

Other expenses: (list and identify separately):

Proxy Solicitation and Tabulation

Prospectus Supplements and Regulatory Filings

Director Fees

\$ 170,000

\$ 62,500

\$ 451,000

Total

\$ 683,500

(c) Total expenses (sum of items (i) - (iii) above): \$612,500

ea2020-068

https://www.occ.gov/static/enforcement-actions/ea2020-068.pdf

#2020-068

UNITED STATES OF AMERICA
DEPARTMENT OF THE TREASURY
OFFICE OF THE COMPTROLLER OF THE CURRENCY

In the Matter of:

State Farm Bank, F.S.B.
Bloomington, Illinois

)
)
)
)

AA-CE-2020-71

ORDER FOR A CIVIL MONEY PENALTY

Office of the Comptroller of the Currency ("OCC") has supervisory authority over State Farm Bank, F.S.B. ("Bank")
ea2020-068_yep.pdf

IN TESTIMONY WHEREOF, the undersigned, as the duly elected and acting Board of Directors of the Bank, have hereunto set their hands on behalf of the Bank.

/s/

Jon Farney

Oct 27, 2020

Date

/s/

W. Steven Jones

Oct 25, 2020

Date

/s/

Pamela Knous

Oct 24, 2020

Date

/s/

Allan R. Landon

Oct 23, 2020

Date

/s/

Geoffrey Miller

Oct 28, 2020

Date

/s/

Joe Monk, Jr.

Oct 27, 2020

Date

/s/

Gary Perlin

Oct 24, 2020

Date

/s/

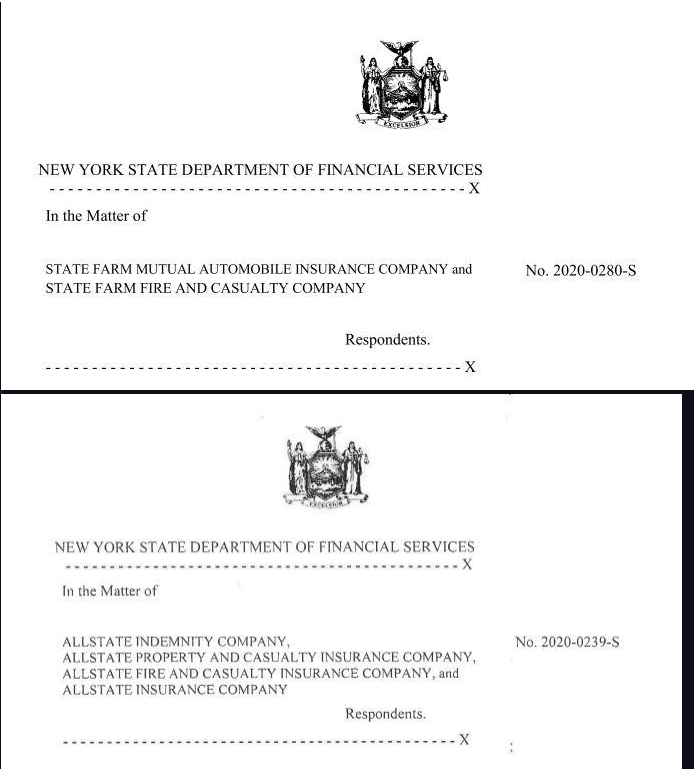
Laura Schulte

Oct 27, 2020

Date

https://github.com/BSCPGROUPHOLDINGSLLC/ELSER-AND-DICKER/pull/11

7/15



BSCPGROUPHOLDINGSLLC commented 12 days ago

OwnerAuthor

Release No. 34425 / November 24, 2021

https://www.sec.gov/Archives/edgar/data/93715/999999999721005790/filename1.pdf

THE DECISION AND ORDER TO ACCEPT THEIR DECISION NO LONGER TO ACT AS AN INVESTMENT COMPANY UNDER THE 40' ACT, IS MUCH APPRECIATED.

EDGAR FILER: 001027856

State Farm Variable Universal Life Insurance Policy

*** OFFICER 1 : TERRANCE LUDWIG
*** under the Securities Act of 1933

Direct Owners and Executive Officers

Name	Position
STATE FARM INVESTMENT MANAGEMENT (CRD#: 3487)	SHAREHOLDER
HARBERT, RANDALL HOUSTON (CRD#:2992788)	SR. VICE PRESIDENT AND DIRECTOR
HINTZ, SCOTT ALEXANDER (CRD#:2004555)	VICE PRESIDENT - FINANCIAL AND SECRETARY
LUDWIG, TERRENCE MICHAEL (CRD#:3231040)	CHIEF COMPLIANCE OFFICER AND TREASURER, ANTI-MONEY LAUNDERING AND OFFICE OF FOREIGN ASSETS CONTROL COMPLIANCE OFFICER
MINEAU, SARAH (CRD#:4634160)	VICE PRESIDENT AND DIRECTOR
MONK, JOSEPH RILEY JR (CRD#:1357149)	SR. VICE PRESIDENT AND DIRECTOR
MOORE, DAVID MICHAEL (CRD#:2876793)	ASSISTANT SECRETARY & COUNSEL
SMITH, PAUL JOSEPH (CRD#:4971235)	SR. VICE PRESIDENT AND DIRECTOR
TIPSORD, MICHAEL LEON (CRD#:1943922)	PRESIDENT AND DIRECTOR

STFGX -- JOE MONK
_2020.07.28 - state farm associates trust filed for 2020.05.31 - STFGX - Joe Monk.pdf
_CEASE TO EXIST - NO FINES -.pdf

MARCH 30TH, 2022 --- NOTARIZED ON THE 11TH OF MAY IN 2020

BOTARIZED on the 30TH of MARCH, 2022. STATE FARM TRUST FUND ---sec-sfctx-state-farm-interim-fund-4017g-2020-june-08.pdf

HERE ARE THE DIRECTORS - AND HERE ARE THEIR REQUIRED DISCLOSURES.

NO DISCLOSURE OF A LOAN - NO PROBLEM EITHER.... EVEN AT THE RISK OF ALL 4 FUNDS AT RISK.

firm_43036.pdf

[SFBDX] — [SFITX]— [STFBX] —[STFGX]

**** DID YOU ALSO SEND A LETTER EXPLAINING THE LOSSES AND RISKS TO THE NEW AND OLD INVESTORS?



BSCPGROUPHOLDINGSLLC commented 9 days ago • edited

OwnerAuthor

SUPPLEMENTS - LOAN DOCKETS IN THE NEW YORK SUPREME COURT

*** DOCKET 337
LOAN DOCKET IN NEW YORK
*** RECEIVED NYSCEF: 08/09/2020
153974_2020_Sullivan_Properties_L_P_v_Baris_Dincer_EXHIBIT_S_337.pdf

*** RECEIVED NYSCEF: 08/11/2020
*** DATED: 08/10/2020
*** LETTER OF OBSTRUCTION OF JUSTICE FOR "STATE FARM REALTY INSURANCE LLC"

ANNEXED 399
*** https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=D9Td7fFWXyajw1tBNCFb9g==

FILED: NEW YORK COUNTY CLERK 08/09/2020 02:31 AM

INDEX NO. 153974/2020

NYSCEF DOC. NO. 315

RECEIVED NYSCEF: 08/09/2020

STATE FARM REALTY MORTGAGE, L.L.C.

By: State Farm Life Insurance Company,
its Managing Member

By: Philip J. Reuter
Investment Professional

By: Matthew D. Melick
Assistant Secretary

STATE OF ILLINOIS, COUNTY OF McLEAN, SS:

BE IT REMEMBERED that on this 11th day of May, 2020, before me, the subscriber, personally appeared Philip J. Reuter, the Investment Professional, and Matthew D. Melick, the Assistant Secretary of STATE FARM LIFE INSURANCE COMPANY, managing member of STATE FARM REALTY MORTGAGE, L.L.C., who, I am satisfied, are the persons who have signed the within instrument, and I having first made known to them the contents thereof they acknowledged that they signed, sealed with the corporate seal and delivered the said instrument in their respective capacities as officers of such corporation, and that the within instrument is the voluntary act and deed of said corporation on behalf of said limited liability company, made by virtue of authority from its Board of Directors.

OFFICIAL SEAL
MOLLY GOODRICH
NOTARY PUBLIC - STATE OF ILLINOIS
My Commission Expires September 22, 2021

Molly Goodrich
Notary Public

*** OBSTRUCTION OF JUSTICE "STATE FARM REALTY INSURANCE LLC"
** I SENT THIS TO LAURIE ZUCKER - NOT TO SHARI LASKOWITZ... THEY HAVE A SHARED EMAIL OR SOMETHING ---

FILED: NEW YORK COUNTY CLERK 08/11/2020 12:35 PM

INDEX NO. 153974/2020

NYSCEF DOC. NO. 398

RECEIVED NYSCEF: 08/11/2020

b-dincer66@outlook.com

From: Laskowitz, Shari <slaskowitz@ingramllp.com>
Sent: Monday, August 10, 2020 3:40 PM
To: BARIS DINCER
Cc: Bo Dincer
Subject: RE: STATE FARM.
Attachments: Myscan_2020081014343276.PDF

Please see attached.

Shari S. Laskowitz | Of Counsel

INGRAM

YUZEK • GAINEN • CARROLL • BERTOLOTTI • LLP

150 East 42nd Street, 19th Floor
New York, NY 10017
212.907.9696 or 347.880.1899 (cell)
slaskowitz@ingramllp.com

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Please visit our web site at...
www.ingramllp.com

From: BARIS DINCER <b-dincer66@outlook.com>
Sent: Monday, August 10, 2020 2:43 AM
To: Laskowitz, Shari <slaskowitz@ingramllp.com>
Cc: BARIS DINCER <b-dincer66@outlook.com>; LEGAL@MSKYLINE.COM; LZUCKER@MSKYLINE.COM
Subject: RE: STATE FARM.

** LETTER FROM SHARI LASKOWITZ... - ITS "STATE FARM LIFE INSURANCE COMPANY" BTW...

FILED: NEW YORK COUNTY CLERK 08/11/2020 12:35 PM

INDEX NO. 153974/2020

NYSCEF DOC. NO. 399

RECEIVED NYSCEF: 08/11/2020

INGRAM

YUZEK • GAINEN • CARROLL • BERTOLOTTI • LLP

Shari S. Laskowitz

August 10, 2020

Writer's Direct Dial: (212) 907-9696
E-Mail: slaskowitz@ingramllp.com

VIA FIRST-CLASS MAIL
And EMAIL: bd2561@columbia.edu
Baris Dincer
111 Sullivan Street, Apt. 2BR
New York, New York 10012

Re: 111 Sullivan Street, Apt. 2BR
New York, New York 10012 (the "Premises")

Dear Mr. Dincer:

As you know, we are the attorneys for Sullivan Properties, L.P, ("Landlord") your Landlord at the Premises. Over this past weekend in particular, you have contacted and harassed Landlord's employees, management and owners. Please be advised that under no circumstances should you contact my client or anyone associated with the Landlord directly. If you have a maintenance request or issue with the building, you may contact me via e-mail only. I will only respond to proper requests; any other communications will not be responded to.

By the terms of your own documents, including your numerous court filings, you have filed fallacious claims and/or reports with the Better Business Bureau, the Federal Trade Commission, State Farm Realty Insurance LLC, as well as others. On behalf of the Landlord, we hereby demand you cease and desist from any and all contact and interference with any business affiliates of the Landlord, including, but not limited to, State Farm Realty Insurance LLC, and

** WHICH IS THE ABOVE REFERENCED ENTITY - "STATE FARM LIFE INSURANCE COMPANY LLC" IN THE LOAN 50074
-- THERE IS NO "REALTY INSURANCE LLC." OF RECORD.

"STATE FARM LIFE INSURANCE COMPANY LLC"

*** I FORGOT TO INCLUDE THANK YOU FOR YOUR MISGUIDANCE -
*** https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=Xjn0/e1NcBADqRc.PLUS_g11P4g==

FILED IN NEW YORK COUNTY OF NEW YORK
INDEX NO. 153974/2020
RECEIVED NYSCEF: 08/11/2020

08/11/2020 01:05 PM

SULLIVAN PROPERTIES, L.P.,
MANHATTAN SKYLINE MANAGEMENT CORP.,
THE ZUCKER ORGANIZATION.,

Plaintiffs,

AND

ADNAN UTIC.,

Plaintiffs-Servant,

AND

PAUL REGAN., DONALD ZUCKER., LAURIE ZUCKER.,
JOSEPH J. GIAMBOI., TOM ESCHMANN.,

Plaintiffs-Respondents,

V,

BARIS DINCER.,

Defendant,

AND

MELANIE E. LA ROCCA., JEFFREY A. PETIT.,
STATE FARM REALTY MORTGAGE, L.L.C.,

Respondents,
-----X

Index No.: 15974/2020

STIPULATION TO
AMEND CAPTION
[AMENDED]

IT IS HEREBY STIULATED THAT THE UNDERSIGNED HAS AFFIRMED ALL PREVIOUSLY REFERENCED PARTIES AS MANDATORY IN THE ABOVE REFERENCED MATTER. FOR EASE OF REFERENCE, PARTIES NAMED ABOVE HAVE BEEN IDENTIFIED UNDER QUESTIONABLE INSURANCE LIABILITY COVERAGE, AND PROVISIONS THEREIN:

https://files.brokercheck.finra.org/individual/individual_2992788.pdf

SERIES 51 - MUNICIPAL FUND SECURITIES PRINCIPAL

SR. VICE PRESIDENT AND DIRECTOR
[HARBERT, RANDALL HOUSTON \(CRD#:2992788\)](#)
*** HAS DISCLOSED THE FOLLOWING - BUT NO OUTSIDE BUSINESS OF THE MATERIAL ENTITY, AND AS OF CURRENT.
RANDALL - PAGE 5 STATE FARM LIFE INSURANCE COMPANY

- STATE FARM LIFE INSURANCE COMPANY
STATE FARM INVESTMENT MANAGEMENT (CRD#: 3487)

www.finra.org/brokercheck

User Guidance

Registration and Employment History

finra

Registration History

The broker previously was registered with the following firms:

Registration Dates	Firm Name	CRD#	Branch Location
No information reported.			

Employment History

This section provides up to 10 years of an individual broker's employment history as reported by the individual broker on the most recently filed Form U4.

Please note that the broker is required to provide this information only while registered with FINRA or a national securities exchange and the information is not updated via Form U4 after the broker ceases to be registered. Therefore, an employment end date of "Present" may not reflect the broker's current employment status.

Employment	Employer Name	Position	Investment Related	Employer Location
09/2019 - Present	Sundial Labs	Director	N	Bloomington, IL, United States
01/2016 - Present	State Farm Health Insurance Company	Sr. Vice President, Chief Agency, Sales and Marketing Officer and Director	N	Bloomington, IL, United States
01/2016 - Present	State Farm Life Insurance Company	Sr. Vice President, Chief Agency, Sales and Marketing Officer and Director	N	Bloomington, IL, United States
01/2016 - Present	State Farm Life and Accident Assurance Company	Sr. Vice President, Chief Agency, Sales and Marketing Officer and Director	N	Bloomington, IL, United States
01/2013 - Present	State Farm Mutual Automobile Insurance Company	EXECUTIVE VICE PRESIDENT, CHIEF AGENCY SALES MARKETING OFFICER	Y	BLOOMINGTON, IL, United States
01/2012 - Present	State Farm Fire and Casualty Company	Sr. Vice President and Director	N	Bloomington, IL, United States
12/2011 - Present	STATE FARM VP MANAGEMENT CORP	SENIOR VICE PRESIDENT AND DIRECTOR	Y	BLOOMINGTON, IL, United States

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- STATE FARM LLOYDS
*** FINED 352,500,000.00 IN 2015 IN THE STATE OF TEXAS.

www.finra.org/brokercheck

User Guidance

Registration and Employment History

finra

Employment History, continued

Employment	Employer Name	Position	Investment Related	Employer Location
12/2011 - Present	State Farm Investment Management Corp.	Sr. Vice President and Director	Y	Bloomington, IL, United States
12/2011 - Present	State Farm Lloyds, Inc.	Director	N	Bloomington, IL, United States
03/2016 - 04/2019	State Farm General Insurance Company	Director	N	Bloomington, IL, United States
12/2011 - 01/2016	State Farm Health Insurance Company	Director	N	Bloomington, IL, United States
12/2011 - 01/2016	State Farm Life Insurance Company	Director	N	Bloomington, IL, United States
12/2011 - 01/2016	State Farm Life and Accident Assurance Company	Director	N	Bloomington, IL, United States
01/2011 - 01/2013	STATE FARM MUTUAL AUTOMOBILE INSURANCE COMPANY	SENIOR VICE PRESIDENT	Y	BLOOMINGTON, IL, United States

Other Business Activities

This section includes information, if any, as provided by the broker regarding other business activities the broker is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious or fraternal and is recognized as tax exempt.

SPECTRUM PARTNERS LLC/ NO/ 2012 LONGWOOD LANE BLOOMINGTON IL 61704/ ASSISTED LIVING COMPANY/ BOARD MEMBER/ 05/1996-PRESENT/ 0/ BOARD MEMBER-BOARD MEETINGS ONCE A YEAR.

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*** NO OUTSIDE BUSINESS FOR "STATE FARM REALTY INSURANCE LLC" AND LOAN 50074

BSCPGROUPHOLDINGSLLC reopened this 9 days ago

BSCPGROUPHOLDINGSLLC closed this 9 days ago



BSCPGROUPHOLDINGSLLC commented 8 days ago

Owner Author

TCRReport-16491-117-831-823.pdf

BSCPGROUPHOLDINGSLLC reopened this 8 days ago

  BSCPGROUPHOLDINGSLLC closed this 8 days ago

  BSCPGROUPHOLDINGSLLC reopened this 7 days ago



BSCPGROUPHOLDINGSLLC commented 7 days ago • edited ▾

Owner Author

STATE FARM VP MANAGEMENT CORP. (CRD#: 43036)

*** <https://brokercheck.finra.org/firm/summary/43036>

Direct Owners and Executive Officers

STATE FARM INVESTMENT MANAGEMENT (CRD#: 3487)

- SR. VICE PRESIDENT AND DIRECTOR
HARBERT, RANDALL HOUSTON (CRD#:2992788)
- VICE PRESIDENT - FINANCIAL AND SECRETARY
HINTZ, SCOTT ALEXANDER (CRD#:2004555)
- CHIEF COMPLIANCE OFFICER AND TREASURER
LUDWIG, TERRENCE MICHAEL (CRD#:3231040)
- VICE PRESIDENT AND DIRECTOR
MINEAU, SARAH (CRD#:4634160)
- SR. VICE PRESIDENT AND DIRECTOR
MONK, JOSEPH RILEY JR (CRD#:1357149)
- ANTI-MONEY LAUNDERING AND OFFICE OF FOREIGN ASSETS CONTROL COMPLIANCE OFFICER
PRESTON, BRIAN LYNN (CRD#:4312143)
- SR. VICE PRESIDENT AND DIRECTOR
SMITH, PAUL JOSEPH (CRD#:4971235)

PRESIDENT AND DIRECTOR

TIPSORD, MICHAEL LEON (CRD#:1943922)

ASSISTANT SECRETARY & COUNSEL

MOORE, DAVID MICHAEL (CRD#:2876793)



BSCPGROUPHOLDINGSLLC commented 7 days ago • edited ▾

Owner Author

STATE FARM INVESTMENT MANAGEMENT (CRD#: 3487)

ONE STATE FARM PLAZA B-2
BLOOMINGTON, IL 61710-0001
CRD#: 3487
SEC#: 801-8184, 8-13379
*** <https://brokercheck.finra.org/firm/summary/3487>

ASSISTANT SECRETARY

TIPSORD, MICHAEL LEON (CRD#:1943922)

ASSISTANT SECRETARY

MOORE, DAVID MICHAEL (CRD#:2876793)
Direct Owners and Executive Officers

STATE FARM MUTUAL AUTOMOBILE INSURANCE COMPANY OWNER
CHEVALIER, JEREL SAM (CRD#: 2209892) ASSISTANT SECRETARY-TREASURER
GRIMES, DAVID ROY (CRD#: 1432710) VICE PRESIDENT & SECRETARY
GRIZZLE, DAVID RAY (CRD#:4084087) ASSISTANT SECRETARY/TREASURER
HORTON, STEPHEN LOWELL (CRD#: 3124493) ASSISTANT SECRETARY
JAYNES, DONALD ONEIL (CRD#: 3124497) ASSISTANT SECRETARY
JOSLIN, ROGER SCOTT (CRD#: 262350) DIRECTOR, VICE PRESIDENT & TREASURER
KILLIAN, JOHN JOSEPH (CRD#: 2614915) DIRECTOR

MOSER, KURT GEORGE (CRD#: 2065254) DIRECTOR & SENIOR VICE PRESIDENT
RUST, EDWARD BARRY JR (CRD#:1450795) DIRECTOR AND PRESIDENT
THOMAS, HOWARD ANDREW (CRD#: 3150520) ASSISTANT SECRETARY-TREASURER

TROSINO, VINCENT JOSEPH (CRD#: 1780681) DIRECTOR



BSCPGROUPHOLDINGSLLC commented 6 days ago • edited ▾

Owner Author

November 13, 2021: TCR FILED

*** Submission 16368-809-301-165 was submitted on Saturday, November 13, 2021 at 07:54:50 AM EST
[_TCRReport.pdf](#)

November 16, 2021: NOTICE

11:00 39%

B Dincer (COLUMBIA UNIVERSITY)
legal@mskyline.com & 3 more
Today, 4:51 PM

11 attachments

From: B Dincer (COLUMBIA UNIVERSITY) At: 11/16/21 14:33:15 UTC-5:00
To: Matt Harvey (STATE FARM MUTUAL AU), bondstr1@protonmail.com, bdincer66@icloud.com
Cc: slackowitz@mskyline.com, lzucker@mskyline.com, legal@mskyline.com
Subject: Fwd:NYSCEF >> REBNY >> SEC >> 483 *** MATERIAL CORPORATE ACTIONS *** pl_BGBGBG

• Matt Harvey
Investment Analyst
State Farm Mutual Automobile Ins Co
+1-309-766-2371 (o)
+1-224-436-2391
MHARVEY13@bloomberg.net (w)
Back in the office Tuesday through Thursday

News | More »

Career
Current
State Farm Mutual Auto
Investment Analyst
06/2006-Present

Memberships | More »

Education
University of Chicago
2008
University of Illinois
Bachelor's Degree in P
2004

Certificates
Chartered Financial Ana

From: B Dincer (COLUMBIA UNIVERSITY) At: 11/16/21 13:53:35 UTC-5:00
To: JAMES GORMAN@MORGANSTANLEY.COM
Cc: Irfan Susilo (BLOOMBERG/ 919 3RD A), Bryan Bugyi (BLOOMBERG/ 919 3RD A), Ho Tak Tsang (BLOOMBERG/ 919 3RD A), Bam Compliance (BALYASNY ASSET MANAG), Clsa Compliance (CLSA HONG KONG), Andrea Peterson (SECURIT EXCHANG), Andrew Shelton (SECURITIES & EXCHANG), legal@mskyline.com, slackowitz@ingramlp.com, abanews@ame nyscef@nycourts.com
Subject: NYSCF >> REBNY >> SEC >> 483 *** MATERIAL CORPORATE ACTIONS *** pl_BGBGBG

NOTE: Index #: 153974/2020
>> I FILED A COMPLAINT WITH THE SEC ON FINANCIAL FRAUD, CLAIMS OF AN APPROXIMATE MARK UP FROM '2395 > '2995...
>> THE LEASES WERE ASSIGNED TO A PUBLIC ARM OF THE STATE FARM INSURANCE CORP.
>> SO I FILED A TRP WITH THE SECURITIES AND EXCHANGE COMMISSION, AND TO MAKE SURE AUDIT THEIR BOOKS AND RECORDS (WHICH ARE COOKED).

FORWARD THIS TO YOUR COMPLIANCE DEPT
... JUST IN CASE, WITH ALL DUE RESPECT, YOURS TRULY.

pl_BGBGBG

- Caption: Sullivan Properties L.P. v. Baris Dincer
- 483 ADMISSION OF SERVICE AND STIPULATION NYSCF
- NONJOINER... BECAUSE THEY MISREPORTED EARNINGS JOHN.

Filing Status: Full Participation Recorded Assigned Case Judge: Shlomo Hagler
During the COVID-19 Health Emergency By order of the Chief Administrative Judge,
the court shall NOT request working copies of documents in paper format.

Documents Received
Doc #
Document
Received Date

482
<https://appscourts.state.ny.us/fbem/DocumentDisplayServlet?documentId=eDfSLMf8ikpB1f4hvsYJ7A==&system=prod>
AFFIRMATION AND NOTICE TO PARTIES PER NYSCF ELECTRONIC FILING RULES FOR PROPER INDEXING OF THIS ARTIFACT
Motion #: 003
09/27/2020

483
<https://appscourts.state.ny.us/fbem/DocumentDisplayServlet?documentId=Eus8RvKtarpwGkyDI3wRoA==&system=prod>
STIPULATIONS ARE AFFIRMED VIA NYSCF EFILED
Motion #: 003
09/27/2020

484
https://appscourts.state.ny.us/fbem/DocumentDisplayServlet?documentId=bq1Jk8B3gijk_PLUS_oconHn6SA==&system=prod
MOTION # 003

485
https://appscourts.state.ny.us/fbem/DocumentDisplayServlet?documentId=bq1Jk8B3gijk_PLUS_oconHn6SA==&system=prod
MOTION # 003

2021-11-30 FILED.

... TO PROMOTE CONSISTENT REPORTING ...

Instructions to Item C-16 and Item C-17

To help Registrants distinguish between agency and principal transactions, and to promote consistent reporting of the information required by these items, the following criteria should be used:
1. If a security is purchased or sold in a transaction for which the confirmation specifies the amount of the commission to be paid by the Registrant, the transaction should be considered an agency transaction and included in determining the answers to Item C-16.
2. If a security is purchased or sold in a transaction for which the confirmation specifies only the net amount to be paid or received by the Registrant and such net amount is equal to the market value of the security at the time of the transaction, the transaction should be considered a principal transaction and included in determining the amounts in Item C-17.
3. If a security is purchased by the Registrant in an underwritten offering, the acquisition should be considered a principal transaction and included in answering Item C-17 even though the Registrant has knowledge of the amount the underwriters are paying to the dealer.
4. If a security is sold by the Registrant in a tender offer, the sale should be considered a principal transaction and included in answering Item C-17 even though the Registrant has knowledge of the amount the offeror is paying to soliciting brokers or dealers.
5. If a security is purchased solely from the issuer (such as a bank CD), the purchase should be considered a principal transaction and included in answering Item C-17.
6. The value of sales of security securities should not be counted in either agency or principal transactions and should not be included in determining the amounts shown in Item C-16 and Item C-17. This means that the acquisition of a security may be included, but it is possible that its disposition may not be included. Execution of a purchase agreement at its expiration date should not be included.
7. The purchase or sale of securities in transactions not specified in paragraphs 1) through 6) above should be evaluated by the Fund based upon the guidelines established in those paragraphs and classified accordingly. The agents consisted in Item C-17 may be persons or companies, not companies, under the Securities Laws. The persons or companies from whom the investment company purchases or to whom it sold portfolio instruments or a portfolio sale may be persons or companies registered with the SEC.
8. For each of the ten brokers that received the largest dollar amount of brokerage commissions (excluding dealer concessions in underwritings) by virtue of direct or indirect participation in the Fund's portfolio transactions, provide the information below:

Brokers Record - 1

I. Full name of broker

II. SEC file number

III. CRD number

IV. UEL, if any

V. State, if applicable

VI. Foreign country, if applicable

VII. Gross commissions paid by the Fund for the reporting period

III. CRD number

IV. UEL, if any

V. State, if applicable

VI. Foreign country, if applicable

VII. Gross commissions paid by the Fund for the reporting period

Brokers Record - 2

I. Full name of broker

II. SEC file number

III. CRD number

IV. UEL, if any

V. State, if applicable

VI. Foreign country, if applicable

VII. Gross commissions paid by the Fund for the reporting period

III. CRD number

IV. UEL, if any

V. State, if applicable

VI. Foreign country, if applicable

VII. Gross commissions paid by the Fund for the reporting period

Brokers Record - 3

I. Full name of broker

II. SEC file number

III. CRD number

IV. UEL, if any

V. State, if applicable

VI. Foreign country, if applicable

VII. Gross commissions paid by the Fund for the reporting period

III. CRD number

IV. UEL, if any

V. State, if applicable

VI. Foreign country, if applicable

VII. Gross commissions paid by the Fund for the reporting period

B. Aggregate brokerage commissions paid by FCH during the reporting period

103,388,880,000,000,000

 BSCPGROUPHOLDINGSLLC closed this 6 days ago



BSCPGROUPHOLDINGSLLC commented 6 days ago · edited Owner Author

1. DEED

deed 111 sullivan street - 10019.pdf
*** <https://apps.courts.state.ny.us/nyscef/ViewDocument?docIndex=slCUPpRN9EO7ulr1BC0kA==>

2. NO CERTIFICATE OF OCCUPANCY: AUGUST 4, 2020

153974_2020_Sullivan_Properties_L_P_v_Baris_Dincer_EXHIBIT_S__231 08/04/2020

3. Title Documents

State Farm Realty Mortgage, LLC, One State Farm Plaza, Bloomington, IL, 61710
LOAN HISTORY - INCLUDING 50074

HARBER, MONK, LUDWIG, HARVEY, et. al.

1. NO LOAN DISCLOSED.

2. NO "STATE FARM REALTY MORTGAGE LLC" AS AN OUTSIDE BUSINESS

3. \$352,500,000.00 FINE IN THE PRIOR: STATE FARM LLOYDS.

4. LOAN 50074 DOCKET ANNEXED IN THE MATTER.

*** <https://apps.courts.state.ny.us/nyscef/ViewDocument?docIndex=wTG2YD2PqXuxmoKqFIEsrw==>

state farm fine in the prior B2497C52-5D09-48EE-AD7F-7FDA3F72DFDA.pdf

- DOCKET 312 - RECEIVED NYSCEF 08-09-2020 - UNREGISTERED SECURITY

1. PROMISSORY NOTE & SECURITY AGREEMENT.

2. MTY DATE: JUNE 1, 2035

- THE UNDERLYING RISKS, PREMIUMS COLLECTED, AND INTEREST WITH RESPECT TO THE UNDERLYING PROPERTY VALUE, WHERE 10,210 SQFT ARE VALUED AT 3,981,000.00 PER THE DEPT OF NY STATE TAXATION WITHOUT OBLIGATION BY STATE FARM TO "NOT COMMINGLE" AS A PROVISION.

4. FOR CONVENIENCE >> THE TAX RECEIPTS ON 22 UNITS AND ONE BUILDING.

<https://github.com/users/BSCPGROUPHOLDINGSLLC/projects/1>


FILED: NEW YORK COUNTY CLERK 08/09/2020 02:24 AM

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NYSCEF DOC. NO. 312

RECEIVED NYSCEF: 08/09/2020

NYC DEPARTMENT OF FINANCE
OFFICE OF THE CITY REGISTER


2020052000291002002CFEEF

RECORDING AND ENDORSEMENT COVER PAGE (CONTINUATION) PAGE 2 OF 50

Document ID: 2020052000291002 Document Date: 05-15-2020 Preparation Date: 05-26-2020

Document Type: AGREEMENT

PROPERTY DATA

Borough	Block	Lot	Unit	Address
MANHATTAN	503	8	Entire Lot	111 SULLIVAN STREET
Property Type: APARTMENT BUILDING				
Borough	Block	Lot	Unit	Address
MANHATTAN	503	9	Entire Lot	113 SULLIVAN STREET
Property Type: APARTMENT BUILDING				
Borough	Block	Lot	Unit	Address
MANHATTAN	503	10	Entire Lot	115 SULLIVAN STREET
Property Type: APARTMENT BUILDING				
Borough	Block	Lot	Unit	Address
MANHATTAN	503	11	Entire Lot	117 SULLIVAN STREET
Property Type: APARTMENT BUILDING				
Borough	Block	Lot	Unit	Address
MANHATTAN	503	12	Entire Lot	119 SULLIVAN STREET
Property Type: COMMERCIAL REAL ESTATE				

CROSS REFERENCE DATA

MANHATTAN	Year: 1995	Reel: 2169	Page: 96
MANHATTAN	Year: 1998	Reel: 2707	Page: 1635
CRFN:	2008000425149		
Document ID:	2020052000291001		

AN UNREGISTERED SECURITY

https://a836-acris.nyc.gov/DS/DocumentSearch/DocumentImageView?doc_id=2020052000291002

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CONSOLIDATED, AMENDED AND RESTATED MORTGAGE AND SECURITY AGREEMENT

THIS CONSOLIDATED, AMENDED AND RESTATED MORTGAGE AND SECURITY AGREEMENT (this "**Mortgage**") is made and executed as of the 15th day of May, 2020, by **SULLIVAN PROPERTIES, L.P.**, a Delaware limited partnership, whose mailing address is c/o The Zucker Organization, 101 West 55th Street, New York, New York 10019, Attn: Mr. Donald Zucker ("**Mortgagor**") to, in favor of and for the benefit of **STATE FARM REALTY MORTGAGE, L.L.C.**, a Delaware limited liability company, whose mailing address is One State Farm Plaza, Bloomington, Illinois 61710 ("**State Farm**"), and pertains to the real estate (the "**Real Estate**") described on **Exhibit A** attached hereto and made a part hereof.

ARTICLE ONE
RECITALS

1.1 Notes and Mortgages.

State Farm is the owner and holder of certain mortgages covering the fee simple estate of Mortgagor in the Real Estate as are more particularly described in **Exhibit B** attached hereto and made a part hereof (collectively, the "**Existing Mortgages**") and of those certain notes, bonds or other obligations secured thereby (collectively, the "**Existing Notes**"). State Farm is the owner and holder of that certain Gap Mortgage Promissory Note dated as of the date hereof made by Mortgagor to State Farm in the principal sum of \$248,814.20 (the "**Gap Note**"), which Gap Note is secured by that certain Gap Mortgage and Security Agreement dated as of the date hereof given by Mortgagor to State Farm (the "**Gap Mortgage**") and intended to be recorded simultaneously herewith in the Office of the City Register of the City of New York. By that certain Consolidated, Amended and Restated Promissory Note dated as of the date hereof made by Mortgagor to State Farm in the principal amount of \$6,000,000.00 (the "**Loan**") (such note together with all extensions, renewals, replacements, restatements or modifications thereof being hereinafter referred to as the "**Note**") the indebtedness heretofore evidenced by the Existing Notes and the Gap Note is being consolidated, renewed, extended, amended and restated. From the date hereof, the Loan shall be repaid with interest thereon, in monthly installments as set forth in the Note, and the entire unpaid principal balance and all accrued interest thereon shall be due and payable on June 1, 2035 (the "**Maturity Date**").

TO HAVE AND TO HOLD: A NON-MATRIMONIAL HEARING

https://a836-acris.nyc.gov/DS/DocumentSearch/DocumentImageView?doc_id=2020052000291002

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TO HAVE AND TO HOLD the Secured Property unto State Farm, its successors and assigns, forever, free from all rights and benefits under and by virtue of any homestead exemption laws or similar laws of the state or other jurisdiction in which the Secured Property is located (the "**State**") (which rights and benefits are hereby expressly released and waived) for the uses and purposes herein set forth.

MORTGAGOR hereby covenants with and warrants to State Farm and with the purchaser at any foreclosure sale that at the execution and delivery hereof, Mortgagor owns the Secured Property and has a good and indefeasible estate therein in fee simple; that the Secured Property is free from all encumbrances whatsoever (and any claim of any other **Person** (as defined below) thereto) other than those encumbrances expressly permitted by State Farm in writing (or as set forth in Schedule B of the loan title insurance policy in the principal amount of the Loan, issued to State Farm on the date hereof in anticipation of the recording of this Mortgage); that Mortgagor has good and lawful right to sell, convey, mortgage and encumber the Secured Property; and that Mortgagor and its successors and assigns shall forever warrant and defend the title to the Secured Property against all claims and demands whatsoever. As used herein, "**Person**" means any natural person, corporation, limited liability company, partnership,

Loan No. 50074

MEI 33298955v.2

5

LOAN 50074 - SECTION 1.4 --- INDEMNITY.

https://a836-acris.nyc.gov/DS/DocumentSearch/DocumentImageView?doc_id=2020052000291002

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Loan Documents (as defined in Section 1.3) including, without limitation, sums owing from or required to be paid by Mortgagor as a result of the breach or non-performance of any of the **Obligations** (as defined in Article Two), regardless of whether Mortgagor is personally liable for any such payment.

1.4 Loan Documents.

In addition to this Mortgage and the Note, there have been executed and delivered to and in favor of State Farm certain other loan documents (the Note, this Mortgage and all other documents and instruments, whether now or hereafter existing, which secure or guarantee payment of the Note or are otherwise executed in connection with the Loan, as the same may hereafter be amended, modified, supplemented or replaced from time to time, are collectively referred to herein as the "**Loan Documents**"). The Loan Documents include, without limitation, a guaranty (whether one or more, the "**Guaranty**") executed by Donald Zucker (whether one or more, the "**Guarantor**") of even date herewith.

ARTICLE TWO
THE GRANT

LOAN 50074 - SECTION 3.6 --- TAX RISKS.

https://a836-acris.nyc.gov/DS/DocumentSearch/DocumentImageView?doc_id=2020052000291002

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(b) Mortgagor shall first notify State Farm in writing of the intention of Mortgagor to contest the same before any Contested Liens have been increased by any interest, penalties or costs.

3.6 Tax and Lien Payments by State Farm.

(a) Upon the failure of Mortgagor to pay the Tax Deposits as required in Section 3.3 or, in the event said payments are waived by State Farm, to pay the Taxes required to be paid in Section 3.5 above (unless Mortgagor is contesting the Taxes as provided in Section 3.5 above), State Farm is authorized, in its sole discretion, to make any payment of Taxes in accordance with any tax bill or statement from the appropriate public office without inquiry into the accuracy or validity of any Taxes, sales, forfeiture of title or claim relating thereto.

(b) State Farm is also authorized, in the place and stead of Mortgagor, to make any payment relating to any apparent or threatened adverse title, lien, claim of lien, encumbrance, claim, charge or payment otherwise relating to any other purpose but not enumerated in this Section, whenever, in State Farm's judgment and discretion, such payment seems necessary to protect the full security intended to be created by this Mortgage.

(c) All such payments authorized by this Section 3.6 that are not promptly reimbursed by Mortgagor shall constitute additional indebtedness and shall be immediately due and payable by Mortgagor to State Farm upon demand with interest at the **Default Rate** (as defined in the Note) from the date of such payment.

3.7 Insurance.



BSCPGROUPHOLDINGSLLC commented 6 days ago

Owner

Author

TCR FILED APRIL 4, 2022 [16491-117-831-823]

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DISTRIBUTED TO RELEVANT PARTIES.

[2022-04-04_TCRReport \(16491-117-831-823\) EMAILED TO PARITES WITH 7 ATTACHMENTS.pdf](#)

6 ATTACHMENTS.

ATTACHMENT 1: FIRM CRD 43036- STATE FARM VP. MANAGEMENT CORP.

[firm_43036.pdf](#)

ATTACHMENT 2: INDIVIDUAL CRD 2992788 - HARBERT RANDALL HOUSTON.

[individual_2992788 - HARBERT RANDALL HOUSTON.pdf](#)

ATTACHMENT 3: Submission Number 16491-117-831-823 was submitted on Monday, April 04, 2022

[TCRReport-16491-117-831-823.pdf](#)

ATTACHMENT 4: Submission 16368-809-301-165 was submitted on Saturday, November 13, 2021 at 07:54:50 AM EST

[_TCRReport.pdf](#)

ATTACHMENT 5: ELECTRONIC NOTICE: November 25, 2021

[Nov 25, 2021 - SERVICE@ETRADE.COM RULE-COMMENTS@SEC.GOV \[PRIOR \] SM.pdf](#)

ATTACHMENT 6: FIRM CRD MEMBER NUMBER 3487, SEC: FILE NUMBERS 801-8184,8-13379

[firm_3487.pdf](#)



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