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STATE FARM VP MANAGEMENT CORP.
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CRD#: 43036/SEC#: 8-50128

B

Brokerage Firm
Regulated by **FINRA**
(Chicago district office)

MAIN ADDRESS
ONE STATE FARM PLAZA
BLOOMINGTON, IL 61710-0001 UNITED STATES

Relationship Summary



6
Disclosures



Approved
11/19/1997
SEC Registration Status



Corporation
Company Type



SEC
1 Self-Regulatory Org
51 U.S. States & Territories



Disclosures 

Close

6 Total Disclosures

Regulatory Event 6

For details of these disclosures refer to the [Report](#).

General Information

Close

Main Office Location	ONE STATE FARM PLAZA BLOOMINGTON, IL 61710-0001 UNITED STATES
Mailing Address	ONE STATE FARM PLAZA BLOOMINGTON, IL 61710-0001 UNITED STATES
Phone	800-447-4930
Established in	Delaware since 11/27/1996
Type	Corporation
Fiscal Year End	December

Direct Owners and Executive Officers

STATE FARM INVESTMENT MANAGEMENT (CRD#: 3487)
SHAREHOLDER

HARBERT, RANDALL HOUSTON (CRD#:2992788)

SR. VICE PRESIDENT AND DIRECTOR

HINTZ, SCOTT ALEXANDER (CRD#:2004555)

VICE PRESIDENT - FINANCIAL AND SECRETARY

LUDWIG, TERRENCE MICHAEL (CRD#:3231040)

CHIEF COMPLIANCE OFFICER AND TREASURER, ANTI-MONEY LAUNDERING AND OFFICE OF FOREIGN ASSETS CONTROL COMPLIANCE OFFICER

MINEAU, SARAH (CRD#:4634160)

VICE PRESIDENT AND DIRECTOR

MONK, JOSEPH RILEY JR (CRD#:1357149)

SR. VICE PRESIDENT AND DIRECTOR

MOORE, DAVID MICHAEL (CRD#:2876793)

ASSISTANT SECRETARY & COUNSEL

SMITH, PAUL JOSEPH (CRD#:4971235)

SR. VICE PRESIDENT AND DIRECTOR

TIPSORD, MICHAEL LEON (CRD#:1943922)

PRESIDENT AND DIRECTOR

Licenses

✕ Close

51 U.S. States and Territories

<div>B</div> Alabama	<div>B</div> Montana
<div>B</div> Alaska	<div>B</div> Nebraska
<div>B</div> Arizona	<div>B</div> Nevada
<div>B</div> Arkansas	<div>B</div> New Hampshire
<div>B</div> California	<div>B</div> New Jersey
<div>B</div> Colorado	<div>B</div> New Mexico
<div>B</div> Connecticut	<div>B</div> New York
<div>B</div> Delaware	<div>B</div> North Carolina
<div>B</div> District of Columbia	<div>B</div> North Dakota
<div>B</div> Florida	<div>B</div> Ohio
<div>B</div> Georgia	<div>B</div> Oklahoma
<div>B</div> Hawaii	<div>B</div> Oregon



Idaho



Pennsylvania



Illinois



Rhode Island



Indiana



South Carolina



Iowa



South Dakota



Kansas



Tennessee



Kentucky



Texas



Louisiana



Utah



Maine



Vermont



Maryland



Virginia



Massachusetts



Washington



Michigan



West Virginia



Minnesota



Wisconsin



Mississippi



Wyoming



Missouri

Other Registrations

Federal

- SEC

Self-Regulatory Organizations

- FINRA

This firm conducts 4 types of business

This firm is affiliated with financial and investment institutions

This firm does have referral or financial arrangements with other brokers or dealers

Additional Information

The content of this summary, and the available detailed report, is governed by FINRA Rule 8312, and is primarily based on information filed on [uniform registration forms](#). Rule 8312, amendments to the rule and notices related to U.S. Securities and Exchange Commission approval orders, can be viewed [here](#).

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Click [here](#) for more information about how to check on an investment professional.

B Broker

A brokerage firm, also called a broker-dealer, is in the business of buying and selling securities – stocks, bonds, mutual funds, and certain other investment products – on behalf of its customer (as broker), for its own bank (dealer), or both. Individuals who work for broker-dealers - the sales personnel are commonly referred to as brokers.

IA Investment Adviser

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PR Previously Registered

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