

Subject: *** EY --- STFGX, STFBX, SFITX, SFBDX [cik: 151652 " ADVISERS INVESTMENT TRUST"]
From: B D2022 <ms60710444266@yahoo.com>
Date: 4/12/2022, 4:45 PM
To: "JAMES GORMAN [MORGAN STANLEY]" <james.gorman@morganstanley.com>, "mharvey13@bloomberg.net" <mharvey13@bloomberg.net>, David Moore <david.moore.ct95@statefarm.com>, "thermanson@northmarq.com" <THERMANSON@NORTHMARQ.COM>, "iceglobalnetwork-info@ice.com" <iceglobalnetwork-info@ice.com>, helpcenter@nycourts.gov, "mshyld@bloomberg.net" <mshyld@bloomberg.net>, "mshy1@bloomberg.net" <mshy1@bloomberg.net>, praghuram2@bloomberg.net, mutualfunds@statefarm.com, "alex.zuluaga@ey.com" <alex.zuluaga@ey.com>, "david.brown1@ey.com" <david.brown1@ey.com>, Deborah M Jones <Deborah.Jones@ey.com>, "info@ey.com" <info@ey.com>, "jacqueline.kelley@ey.com" <jacqueline.kelley@ey.com>, "karim.anani@ey.com" <karim.anani@ey.com>, "kevin.klimara@ey.com" <kevin.klimara@ey.com>, "lee.henderson@ey.com" <lee.henderson@ey.com>, "mark.schwartz1@ey.com" <mark.schwartz1@ey.com>, "rachel.gerring@ey.com" <rachel.gerring@ey.com>, "megan.disciullo@pwc.com" <megan.disciullo@pwc.com>, "larissa.vonlockner@pwc.com" <larissa.vonlockner@pwc.com>, "ryan.a.cangialosi@pwc.com" <ryan.a.cangialosi@pwc.com>, "kivalena.starr@pwc.com" <kivalena.starr@pwc.com>, "jordana.strosberg@pwc.com" <jordana.strosberg@pwc.com>, "will.b.hodges@pwc.com" <will.b.hodges@pwc.com>, "jennifer.vanoss@pwc.com" <jennifer.vanoss@pwc.com>, "kerri.saperstein@morganstanley.com" <kerri.saperstein@morganstanley.com>, "18pctdvo@nypd.org" <18PCTDVO@nypd.org>, 23pctyco@nypd.org, 90pctdvo@nypd.org, bk01@cb.nyc.gov, Doc Webmaster <webmaster@doc.gov>, "tmprosecutordocs@uspto.gov" <tmprosecutordocs@uspto.gov>, SARAH 00068govtIdx BARNHART <SarahB@doc.ks.gov>, MICHAEL 00064govtIdx OSVER <mosver@doc.gov>, "Karol.Sabodocha@nypd.org" <Karol.Sabodocha@nypd.org>, "HREmployeeServices@doc.nyc.gov" <HREmployeeServices@doc.nyc.gov>, "gpradocorrea@bloomberg.net" <gpradocorrea@bloomberg.net>, doc@dc.gov, doc-del@libraries.cul.columbia.edu, "Constituentservices@doc.nyc.gov" <Constituentservices@doc.nyc.gov>, CHERYL 00068govtIdx CADUE <CherylCa@doc.ks.gov>, bdocs2019@gmail.com, support@nicic.gov, "NYM-PREAComplianceMgr-S@bop.gov" <NYM-PREAComplianceMgr-S@bop.gov>, info@bop.gov, "CNK-CCM@bop.gov" <CNK-CCM@bop.gov>, "BRO-ExecAssistant-S@bop.gov" <BRO-ExecAssistant-S@bop.gov>, "BOP-RSD-PREACoordinator@bop.gov" <BOP-RSD-PREACoordinator@bop.gov>, "BOP-IPP-PublicAffairs@bop.gov" <BOP-IPP-PublicAffairs@bop.gov>, acjic@alacop.gov, ANNETTE 00000govtIdx FORD <ANNETTE.R.FORD@USDOJ.GOV>, CAROL 00000govtIdx BURGER <CAROL.S.BURGER@USDOJ.GOV>, dojfax@bops.gov, "Randyc.wilson@usdoj.gov" <Randyc.wilson@usdoj.gov>, "tina.jeffery@usdoj.gov" <tina.jeffery@usdoj.gov>, "Victimassistance.fraud@usdoj.gov" <Victimassistance.fraud@usdoj.gov>, 4audit@bloomberg.net, CRC FTC REPORTS <CRCMESSAGES@ftc.gov>, crcomplaints@treasury.gov, TheBronxBBJccl@gmail.com, QueensBBJccl@gmail.com, BrooklynBBJccl@gmail.com, "wmckenzie@nycourts.gov" <wmckenzie@nycourts.gov>, espnfrontrow@espn.com
CC: "JPMCinvestorrelations@jpmchase.com" <JPMCinvestorrelations@jpmchase.com>, "jpetit@mccarter.com" <jpetit@mccarter.com>, "engineering@spglobal.com" <engineering@spglobal.com>, "TIPS@GOTHAMIST.COM" <TIPS@GOTHAMIST.COM>, LA TIMES NEWSLETTERS <NEWSLETTERS@latimes.com>, "stationservices@cbs2ny.com" <stationservices@cbs2ny.com>, "DAVID.LI@NBCUNI.COM" <david.li@nbcuni.com>, "hillary.davis@latimes.com" <hillary.davis@latimes.com>, "cohenassistant@point72.com" <cohenassistant@point72.com>, inquiries@deshaw.com, clientinquiries@davispolk.com, mediainquiries@brooklynnets.com, WMPPrivacy@warnermediagroup.com, "mediarelations@ap.org" <mediarelations@ap.org>
BCC: COCKARENS@VOUCHERSRUS.ORG, Sec News <webmaster@sec.gov>, texas@sec.gov, "shareholderproposals@sec.gov" <shareholderproposals@sec.gov>, Secretarys-Office@sec.gov, Rule-Comments <rule-comments@sec.gov>, "publicinfo@sec.gov" <publicinfo@sec.gov>, philadelphia@sec.gov, "Pamela Gibbs [SEC]" <OMWI@sec.gov>, Ombudsman@sec.gov, "Carl Hoecker [SEC]" <OIG@sec.gov>, "oca@sec.gov" <oca@sec.gov>, NYROBankruptcy@SEC.GOV, Newyork <newyork@sec.gov>, Sec News <news@sec.gov>, losangeles@sec.gov, IMshareholderproposals <IMShareholderProposals@sec.gov>, foiapa@sec.gov, "dfw@sec.gov" <dfw@sec.gov>, denver@sec.gov, "dcaletters@sec.gov" <dcaletters@sec.gov>, cshugg@usinfosec.com, CommissionerRoisman@sec.gov, "CommissionerPeirce@sec.gov" <CommissionerPeirce@sec.gov>, CommissionerLee@sec.gov, CommissionerCrenshaw@sec.gov, "CHICAGO@SEC.GOV" <CHICAGO@SEC.GOV>, "CHAIR@SEC.GOV" <CHAIR@SEC.GOV>, "boston@sec.gov" <boston@sec.gov>, atlanta@sec.gov, "gs@gs.com" <gs@gs.com>, "gsamonlinerequest@gs.com" <gsamonlinerequest@gs.com>, MILTON MCKENZIE <MS60710444266@YAHOO.COM>, dviola@sglawyers.com, dan viola <dviola@sadis.com>, Bo Dincer <bdincer66@icloud.com>

re: CIK 151652 " ADVISERS INVESTMENT TRUST"

I'LL CALL YOU BACK DAN... JUST GIVE ME A MOMENT HERE TO LET THE AUDITORS ADJUST THEIR BOOKS.

presently, are STILL NOT COVERED FOR OMISSIONS, and further PROMOTED BY THEIR BROKER DEALER " MORGAN STANLEY"

also represent this:

**STATE FARM VP MANAGEMENT CORP.
[CRD#: 43036/SEC#: 8-50128]
ONE STATE FARM PLAZA, BLOOMINGTON, IL 61710**

and the same compliance officer from earlier, ludwig.

>>>>https://www.sec.gov/Archives/edgar/data/1516523/000114554921074536/xslFormN-CEN_X01/primary_doc.xml<<<<

this new IA = OMISSIONS WITH THE SAME LUDWIG AS ITS COMPLIANCE OFFICER....

BOND PERIOD: from 12:01 a.m. on January 1, 2021 to 12:01 a.m. on January 1, 2022

<https://www.sec.gov/Archives/edgar/data/0001516523/000119312520320913/d80254d4017g.htm>

BOND PERIOD: from 12:01 a.m. on January 1, 2022 to 12:01 a.m. on January 1, 2023

<https://www.sec.gov/Archives/edgar/data/0001516523/000119312522025502/d207567d4017g.htm>

1.C. NEW YORK SUPREME COURT MATTER 153974/2020

[RECEIVED NYSCEF: 08/09/2020 02:24 AM]

***** VIOLATION AND FAILURE TO REPORT THIS AS A MATERIAL AND ONGOING SUBSTANTIVE CASE, UNDISCLOSED TO THE PUBLIC INVESTORS AT ANY TIME.**

***** NO KNOWLEDGE OF ANY PENDING LAW SUITS, LOANS, LETTERS OF CREDIT, AND AT ANY TIME AS INDICATED IN THE THE CENTRAL REPORTING DEPOSITORY MAINTAINED BY FINRA.**

A. https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=au8qh7Dn66hrVmJ9DX_PLUS_bdg==
[RECEIVED NYSCEF: 08/09/2020 02:24 AM]

B. <https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=wTG2YD2PqXuxmoKgFiESrw==>
[RECEIVED NYSCEF: 08/09/2020 02:31 AM]

C. <https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=gcMSDaFzmOynPeXZKSHgLQ==>

[RECEIVED NYSCEF: 08/10/2020 03:42 PM]

D. https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=IY8iDH_PLUS_UpVanEtcRioef3A==

--- OBSTRUCTION OF JUSTICE --- BY WAY OF NO DISCLOSURE IN THE CRD HAVE LOADED UP NEW INVESTMENTS WITH NO KNOWLEDGE OF THE UNDERLYING RISKS TO THE NEW INVESTORS OF THE FUND.

Opinions on the Financial Statements We have audited the accompanying statements of assets and liabilities, including the schedules of investments, of State Farm Growth Fund, State Farm Balanced Fund, State Farm Interim Fund, and State Farm Municipal Bond Fund (four of the funds constituting Advisers Investment Trust, hereafter collectively referred to as the “Funds”) as of September 30, 2021, the related statements of operations for the period December 1, 2020 through September 30, 2021 and the year ended November 30, 2020, the statements of changes in net assets for the period December 1, 2020 through September 30, 2021 and for each of the two years in the period ended November 30, 2020, including the related notes, and the financial highlights for each of the periods indicated therein (collectively referred to as the “financial statements”). In our opinion, the financial statements present fairly, in all material respects, the financial position of each of the Funds as of September 30, 2021, the results of each of their operations for the period December 1, 2020 through September 30, 2021 and the year ended November 30, 2020, the changes in each of their net assets for the period December 1, 2020 through September 30, 2021 and for each of the two years in the period ended November 30, 2020 and each of the financial highlights for each of the periods indicated therein in conformity with accounting principles generally accepted in the United States of America. Basis for Opinions***These financial statements are the responsibility of the Funds’ management. Our responsibility is to express an opinion on the Funds’ financial statements based on our audits. We are a public accounting firm registered with the Public Company Accounting Oversight Board (United States) (PCAOB) and are required to be independent with respect to the Funds in accordance with the U.S. federal securities laws and the applicable rules and regulations of the Securities and Exchange Commission and the PCAOB. We conducted our audits of these financial statements in accordance with the standards of the PCAOB. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement, whether due to error or fraud. Our audits included performing procedures to assess the risks of material misstatement of the financial statements, whether due to error or fraud, and performing procedures that respond to those risks. Such procedures included examining, on a test basis, evidence regarding the amounts and disclosures in the financial statements. Our audits also included evaluating the accounting principles used and significant estimates made by management, as well as evaluating the overall presentation of the financial statements. Our procedures included confirmation of securities owned as of September 30, 2021 by correspondence with the custodian and brokers; when replies were not received from brokers, we performed other auditing procedures. We believe that our audits provide a reasonable basis for our opinions.

November 22, 2021

We have served as the auditor of one or more investment companies in Advisers Investment Trust since 2011. PricewaterhouseCoopers LLP, One North Wacker, Chicago, IL 60606

T: (312) 298 2000, www.pwc.com/us

NOTICE TO COUNTY CLERK CPLR 8019(C) (Motion #001) AMENDMENT TO CAPTION	Dincer, B. (Pro Hac / Pro Se) Filed: 08/04/2020 Received: 08/04/2020	*** Pending *** Confirmation Notice
EXHIBIT(S) - 111 (Motion #001) PROPERTY REPORT	Dincer, B. (Pro Hac / Pro Se) Filed: 08/04/2020 Received: 08/04/2020	Processed Confirmation Notice
EXHIBIT(S) - 112 (Motion #001) CERTIFICATE OF OCCUPANCY	Dincer, B. (Pro Hac / Pro Se) Filed: 08/04/2020 Received: 08/04/2020	Processed Confirmation Notice
EXHIBIT(S) - 113 (Motion #001) 111 SULLIVAN STREET	Dincer, B. (Pro Hac / Pro Se) Filed: 08/04/2020 Received: 08/04/2020	Processed Confirmation Notice
EXHIBIT(S) - TR3 (Motion #001) NO VERIFIABLE COMPLAINTS FILED IN MY RESIDENCE	Dincer, B. (Pro Hac / Pro Se) Filed: 08/04/2020 Received: 08/04/2020	Processed Confirmation Notice
NOTICE TO COUNTY CLERK CPLR 8019(C) (Motion #002) AMENDMENT TO CAPTION	Dincer, B. (Pro Hac / Pro Se) Filed: 08/04/2020 Received: 08/04/2020	*** Pending *** Confirmation Notice

<https://www.sec.gov/Archives/edgar/data/0001516523/000119312522025502/d207567d4017g.htm>

those errors and omissions & additional investments getting placed in the NEW FUND FOR WHAT PURPOSE???

back then: not covered NOW: <https://www.sec.gov/edgar/browse/?CIK=1516523> =

<https://www.sec.gov/Archives/edgar/data/0000093715/000009371520000003/aft2020.txt>

WERE IN FACT SET ASIDE FOR A FUTURE EXPENSE OF AUDIT - AND AVOIDED TO PRIOR TO THE NEW PERIOD OF INDENTURE WHICH WAS EFFECTED AS REFERENCED PREVIOUSLY... ILL CALL YOU BACK DAN.

> RANDALL HOUSTON HARBERT

https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=Xjn0/e1NcBADqRc_PLUS_g11P4g==

https://files.brokercheck.finra.org/individual/individual_2992788.pdf

STATE FARM VP MANAGEMENT CORP.
[CRD#: 43036/SEC#: 8-50128]
ONE STATE FARM PLAZA, BLOOMINGTON, IL 61710

ITEM 1. BOND PERIOD: from 12:01 a.m. on January 1, 2022 to 12:01 a.m. on January 1, 2023

ITEM 2. LIMITS OF LIABILITY—DEDUCTIBLE AMOUNTS:

If “Not Covered” is inserted below opposite any specified INSURING CLAUSE, such INSURING CLAUSE and any other reference shall be deemed to be deleted. There shall be no deductible applicable to any loss under INSURING CLAUSE 1. sustained by any Investment Company.

INSURING CLAUSE		SINGLE LOSS LIMIT OF LIABILITY	DEDUCTIBLE AMOUNT
1.	Employee	\$ 2,500,000	\$ 0
2.	On Premises	\$ 2,500,000	\$ 10,000
3.	In Transit	\$ 2,500,000	\$ 10,000
4.	Forgery or Alteration	\$ 2,500,000	\$ 10,000
5.	Extended Forgery	\$ 2,500,000	\$ 10,000
6.	Counterfeit Money	\$ 2,500,000	\$ 10,000
7.	Threats to Person	\$ 2,500,000	\$ 10,000
8.	Computer System	\$ 2,500,000	\$ 10,000
9.	Voice Initiated Funds	\$ 2,500,000	\$ 10,000
Transfer Instruction			
10.	Uncollectible Items of Deposit	\$ 50,000	\$ 10,000
11.	Audit Expense	\$ 50,000	\$ 10,000

***** AN INVESTMENT MANAGER USES ITS "MANAGEMENT FEES" TO PAY FOR ADMINISTRATION, AUDIT, MARKETING, AND OTHER TRANSACTIONAL FEES WHICH ARE ORDINARY.

Legal expenses:	\$ 240,000
Accounting expenses:	\$ 9,000
Proxy Solicitation and Tabulation	\$ 170,000
Prospectus Supplements and Regulatory Filings	\$ 62,500
Directors fees	\$ 431,000
TOTAL COST TO MERGE:	\$ 912,500
2021-10 ASSETS:	\$10,849,542,163
2021-11 ASSETS:	\$10,164,850,238

If "Not Covered" is inserted opposite any Insuring Agreement above, such Insuring Agreement and any reference thereto shall be deemed to be deleted from this Bond.

>> > F-SECURITIES BY ITS FIDELITY AND COMPLIANCE OFFICER, SR. VICE PRESIDENT AND DIRECTOR, UNDER COMPLIANCE OFFICER LUDWIG WHO EXECUTED THE PRIOR INDENTURE

OMISSION: "Not Covered" AND LOSSES OF -852029489.38 REGISTERED UNDER THEIR UMBRELLA OF "State Farm Associates' Funds Trust"

1. Item G.1.a.i. Legal proceedings.

(a) If the Registrant responded "YES" to Item B.11.a., provide a brief description of the proceedings.

As part of the description, provide the case or docket number (if any), and the full names of the principal parties to the proceeding.

> LOAN IS ANNEXED IN THE DOCKET AVOIDED TO: NYSCEF 153974/2020

(b) If the Registrant responded "YES" to Item B.11.b., identify the proceeding and give its date of termination.

> LOAN IS ANNEXED IN THE DOCKET AVOIDED TO: NYSCEF 153974/2020

> H-UNCOLLECTIBLE ITEMS OF DEPOSIT IS ANNEXED IN NYSCEF 153974/2020

State Farm Associates' Funds Trust

<https://www.sec.gov/Archives/edgar/data/0000093715/000009371520000003/aft2020.txt>

Item 3. Limit of Liability-Subject to Sections 9, 10 and 12 hereof:

8:33

21%

← Sent



[MUTUAL AU](#)), [Walter Ruan \(STATE FARM MUTUAL AU\)](#), [Shawna Barlow \(STATE FARM MUTUAL AU\)](#), [Philip Kroger \(STATE FARM MUTUAL AU\)](#), [Gabrielle Poole \(STATE FARM MUTUAL AU\)](#), [Ricardo Correa \(STATE FARM MUTUAL AU\)](#), [Felipe Castella \(STATE FARM MUTUAL AU\)](#), [Matt Krebsbach \(STATE FARM MUTUAL AU\)](#), [Gabriel Prado Correa \(STATE FARM MUTUAL AU\)](#), [Larnita Gates \(STATE FARM MUTUAL AU\)](#), [Ketrick Karsten \(STATE FARM MUTUAL AU\)](#), [Sophie Kim \(STATE FARM MUTUAL AU\)](#), [Vicki Trimpe \(STATE FARM MUTUAL AU\)](#), [Christin Higham \(STATE FARM MUTUAL AU\)](#), [BD DINCER \(COLUMBIA UNIVERSITY\)](#), b.dincer@columbia.edu

Cc: [Kerri Saperstein \(MORGAN STANLEY & CO.\)](#), COLIN.BROOKS@MORGAN.STANLEY.COM

Subject: Fwd:Fwd:Fwd:Fwd:STATE FARM - BLOOMINGTON

From: BD DINCER (COLUMBIA UNIVERSITY) At: 12/18/21 18:34:10 UTC-5:00

To: [Kerri Saperstein \(MORGAN STANLEY & CO.\)](#), colin.brooks@morgan.stanley.com

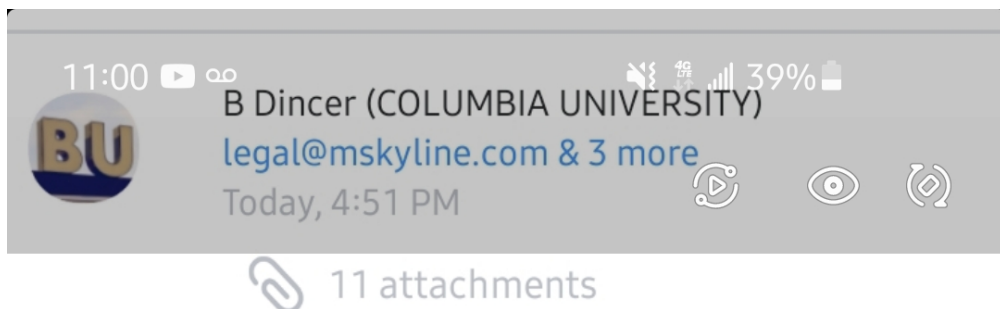
Cc: james.gorman@morganstanley.com

Subject: Fwd:Fwd:Fwd:STATE FARM - BLOOMINGTON

From: BD DINCER (COLUMBIA UNIVERSITY) At: 12/18/21 18:29:29 UTC-5:00

To: [Priya Raghuram \(MORGAN STANLEY & CO.\)](#), [Ted Bassani \(ICE BONDS SECURITIES\)](#), [Bill Cassese \(ICE BONDS SECURITIES\)](#), [Paul Fairbrother \(MFS INTERNATIONAL \(U\)\)](#), [Ian Fairbrother \(EDGEHILL PARTNERS\)](#), colin.brooks@morganstanley.com

Cc: [William Downey \(FINRA\)](#), [Ms Hy \(MORGAN STANLEY INVES\)](#), [Ms Hyld \(MORGAN STANLEY\)](#), [Trudy Clarke \(FINRA\)](#), [Susan Byrne \(WESTWOOD MANAGEMENT\)](#), [Julie Hoyer \(STATE FARM MUTUAL AU\)](#), [Greg Shull \(STATE FARM MUTUAL AU\)](#), [Jeffrey Attwood \(STATE FARM MUTUAL AU\)](#), [John Malito \(STATE FARM MUTUAL AU\)](#), [Steven Santiccioli \(NORTHERN TRUST COMPA\)](#), [Robert Stephan \(STATE FARM MUTUAL AU\)](#), [Lisa Rogers \(STATE FARM MUTUAL AU\)](#), [Elena Khoziaeva \(BRIDGEWAY CAPITAL MA\)](#), [Bill Trauner \(STATE FARM MUTUAL AU\)](#), [Heather Caldwell \(STATE FARM MUTUAL AU\)](#), [Theresa Baker \(STATE FARM MUTUAL AU\)](#), [Tammy Gipson \(STATE FARM MUTUAL AU\)](#), [Brent Reeder \(NORTHERN TRUST COMPA\)](#), [Michael Whipple \(BRIDGEWAY CAPITAL MA\)](#), [Michael Zaroogian \(STATE FARM MUTUAL AU\)](#), [Terence Lynch \(GAINSCO SERVICE CORP\)](#), [Rebekah Holt \(STATE FARM MUTUAL AU\)](#), [Katie Hubbard \(STATE FARM MUTUAL AU\)](#), [Leigh Ann Rogalski \(STATE FARM MUTUAL AU\)](#), [Chad Moser \(STATE FARM MUTUAL AU\)](#), [Tim Zelgert \(STATE FARM MUTUAL AU\)](#), [Ray Renken \(STATE FARM MUTUAL AU\)](#), [Kara Olson \(STATE FARM MUTUAL AU\)](#), [Jim Chan \(BLACKROCK INSTITUTIO\)](#), [Matt Harvey \(STATE FARM MUTUAL AU\)](#), [Steve Brucker \(STATE FARM MUTUAL AU\)](#), [John Socha \(STATE FARM MUTUAL AU\)](#), [Jennifer Hsui \(BLACKROCK INSTITUTIO\)](#), [Joe Young \(STATE FARM MUTUAL AU\)](#), [Wade](#)



From: B Dincer (COLUMBIA UNIVERSITY) At: 11/16/21 14:33:15 UTC-5:00
To: [Matt Harvey \(STATE FARM MUTUAL AU\)](#), [bondstr@protonmail.com](#), [bdincer66@icloud.com](#)
Cc: [slackowitz@mskyline.com](#), [lzucker@mskyline.com](#), [legal@mskyline.com](#)
Subject: Fwd:NYSCEF >> REBNY >> SEC >> 483 *** MATERIAL CORPORATE ACTIONS *** pl_BGBGBG



From: B Dincer (COLUMBIA UNIVERSITY) At: 11/16/21 13:53:35 UTC-5:00
To: [JAMES.GORMAN@MORGANSTANLEY.COM](#)
Cc: [Irfan Susilo \(BLOOMBERG/ 919 3RD A\)](#), [Bryan Bugyi \(BLOOMBERG/ 919 3RD A\)](#), [Ho Tak Tsang \(BLOOMBERG/ 919 3RD A\)](#), [Bam Compliance \(BALYASNY ASSET MANAG\)](#), [Clsa Compliance \(CLSA HONG KONG\)](#), [Andrea Peterson \(SECURITIES & EXCHANGE\)](#), [Andrew Shelton \(SECURITIES & EXCHANGE\)](#), [legal@mskyline.com](#), [slackowitz@ingramllp.com](#), [abanews@ame](#), [nyscef@nycourts.com](#)
Subject: NYSCEF >> REBNY >> SEC >> 483 *** MATERIAL CORPORATE ACTIONS *** pl_BGBGBG

NOTE: Index #: 153974/2020
>> I FILED A COMPLAINT WITH THE SEC ON FINANCIAL FRAUD CLAIMS OF AN APPROXIMATE MARK UP FROM '2395 > '2995...
>> THE LEASES WERE ASSIGNED TO A PUBLIC ARM OF THE STATE FARM INSURANCE CORP.
>> SO I FILED A TRP WITH THE SECURITIES AND EXCHANGE COMMISSION; AND TO MAKE SURE AUDIT THEIR BOOKS AND RECORDS (WHICH ARE COOKED).

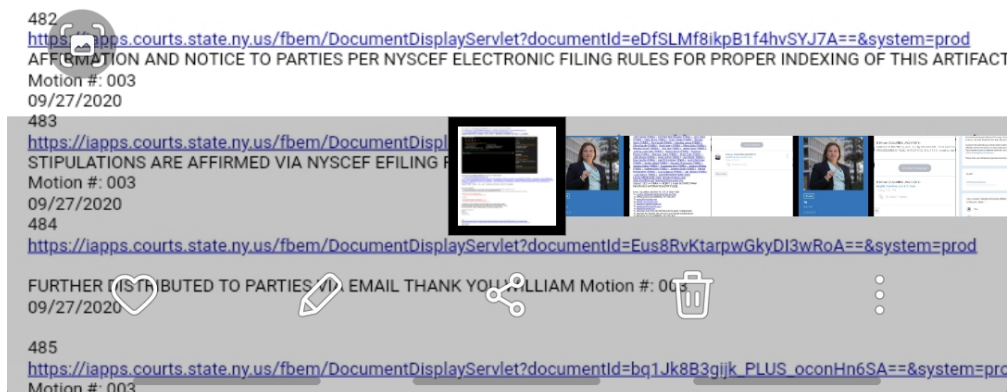
FORWARD THIS TO YOUR COMPLIANCE DEPT.
... JUST IN CASE, WITH ALL DUE RESPECT, YOURS TRULY.

pl_BGBGBG

- Caption: Sullivan Properties L.P. v. Baris Dincer
- 483 ADMISSION OF SERVICE AND STIPULATION NYSCEF
- NONJOINER... BECAUSE THEY MISREPORTED EARNINGS JOHN.

Filing Status: Full Participation Recorded Assigned Case Judge: Shlomo Hagler
During the COVID-19 Health Emergency By order of the Chief Administrative Judge,
the court shall NOT request working copies of documents in paper format.

Documents Received
Doc #
Document
Received Date



----- Forwarded Message -----

Subject:Fwd: to: EY & the representatives of STFGX - SFITX - ... NOT COVERED FILER: 1516523

Date:Tue, 12 Apr 2022 16:05:49 -0500

From:B D2022 <cms60710444266@yahoo.com>

To:bofamarkets@bofa.com <bofamarkets@bofa.com>, JPMCinvestorrelations@jpmchase.com <JPMCinvestorrelations@jpmchase.com>, jpetit@mccarter.com <jpetit@mccarter.com>, Dow Jones <pronewsletter@dowjones.com>, Josephine.Vella@finra.org <Josephine.Vella@finra.org>

CC:dcaoleters@sec.gov <dcaoleters@sec.gov>, Rule-Comments <rule-comments@sec.gov>, IARrules@sec.gov, iard@finra.org, IARDLIVE@sec.gov, megan.disciullo@pwc.com <megan.disciullo@pwc.com>, larissa.vonlockner@pwc.com <larissa.vonlockner@pwc.com>, ryan.a.cangialosi@pwc.com <ryan.a.cangialosi@pwc.com>, kivalena.starr@pwc.com <kivalena.starr@pwc.com>, jordana.strosberg@pwc.com <jordana.strosberg@pwc.com>, will.b.hodges@pwc.com <will.b.hodges@pwc.com>, jennifer.vanoss@pwc.com <jennifer.vanoss@pwc.com>, juliojhernandez@kpmg.com <juliojhernandez@kpmg.com>

and no disclosure by its promoters as well Miss Vella.

++ see also: NO DISCLOSURE "HAVING BEEN INFORMED OF THE MATERIAL SUBSTANCE" of the FILER

>>>> THE NEW: ADVISERS INVESTMENT TRUST :: CIK#1516523#
>>>> <https://www.sec.gov/Archives/edgar/data/0001516523/000119312522025502/d207567d4017g.htm> <<<<

>> AIDED AND ABETTED BY ITS "PROMOTING BROKERS" in the former by FILER CIK#93715#

----- Forwarded Message -----

Subject:to: EY & the representatives of STFGX - SFITX - ... NOT COVERED FILER: 1516523

Date:Tue, 12 Apr 2022 16:02:15 -0500

From:B D2022 <ms60710444266@yahoo.com>

To:Inquiries@Point72.com <inquiries@point72.com>, cohenassistant@point72.com <cohenassistant@point72.com>, Ap Info <info@ap.org>, Tudor Info <info@tudor.com>, foiaconsultsandref@atf.gov <foiaconsultsandref@atf.gov>, 60710 BD. 153974 <bdincer66@icloud.com>, B D2022 <ms60710444266@yahoo.com>, rajat.tandon@ivca.in, lee.henderson@ey.com <lee.henderson@ey.com>, karim.anani@ey.com <karim.anani@ey.com>, kevin.klimara@ey.com <kevin.klimara@ey.com>, jacqueline.kelley@ey.com <jacqueline.kelley@ey.com>, cbrooks7@bloomberg.net <cbrooks7@bloomberg.net>, CRC FTC REPORTS <crcmessages@ftc.gov>, premerger@ftc.gov <premerger@ftc.gov>, FTC ANTITRUST <antitrust@ftc.gov>, bofamarkets@bofa.com <bofamarkets@bofa.com>, MATT LOWE <mloewe@fdic.gov>, FDIC Public Information <publicinfo@fdic.gov>, ELECTRONIC FILE <efoia@fdic.gov>, Shari Laskowitz <slaskowitz@ingramllp.com>, kerri.saperstein@morganstanley.com <kerri.saperstein@morganstanley.com>, mshyld@bloomberg.net <mshyld@bloomberg.net>

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"... BE IT REMEMBERED ..."

THIS OBSTRUCTION BY THE SECURITIES INDUSTRY AND AVOIDANCE TO PROSECUTION FOR MATTERS WHICH PERTAIN TO A FAILURE TO REPORT MATERIAL FACTS, PEEPING TOMS THAT REACT AT-WILL, WITH NO FEAR OF ANY AUTHORITY WAS PERMITTED AND WITHOUT ESTOPPEL FILED IN A NEW YORK STATE SUPREME COURT OF LAW WITHOUT ANY NOTICE TO THE PUBLIC OF ANY POTENTIAL HAZARD. THE VIOLATION OF PRIVACY, IS CLEAR - AND SO IS THE DISTRIBUTION OF THOSE UNCONSENTED VIDEOS ANNEXED WITHOUT ANY PUBLIC RELEASE TO WARN OTHER TENANTS - THEY DON'T HAVE A WARRANT TO ILLEGALLY VIDEOTAPE, OR A CERTIFICATE OF OCCUPANCY TO LEGALLY COLLECT AND CUSTODY RENT OR SECURITY DEPOSITS. FOR BUILDINGS - PLURAL...

THIS IS A NEW PLATEAU OF DEPLORABLE CONDUCT AS THE LIABILITY FOR INVESTORS, WHERE THE REGULATORS ARE CONSIDERED AS "NONPLUSSED" AND WITHOUT DISCLOSURE TO THE PUBLIC...

- WHILE I WAS FURTHER HINDERED BY THE OBSTRUCTION BY OTHER COUNSELORS, AND AFFILIATES WHILE THE STATE FARM ATTEMPTED A MERGER IN NOVEMBER OF 2021 AS WELL.

<https://github.com/BSCPGROUPHOLDINGSLLC/ELSER-AND-DICKER/pull/11>

NO RELEASE OR NOTICE OF AN ONGOING INVESTIGATION BY THE SECURITIES & EXCHANGE COMMISSION FOR ALL OTHER INVESTMENT COMPANIES TO BE CONCERNED WITH REGARDING THIS DANGER

NOTWITHSTANDING FURTHER INVESTMENTS TO A TRUST THAT WILL NOT DISCLOSE A \$6 MILLION DOLLAR EXPOSURE

THE LETTER OF CREDIT FOR \$6 MILLION WAS ANNEXED AND RECEIVED IN A NEW YORK SUPREME COURT OF LAW, DOCKET 315...

- THEY WILL ALSO HIDE A \$6 BILLION DOLLAR LOSS. AND WILL NOT ACCEPT THE FACT THAT THEY WERE NOT COVERED, AND UNDER ANY SCOPE OF LEGAL PROCEEDINGS AS WELL.

- THIS ALL OCCURRED DURING THE PERIOD OF THEIR OWN BOND INDENTURE AND COVERAGE FOR ERRORS AND OMISSIONS... AND I

ALSO FILED A TCR ON THE 13TH OF NOVEMBER - PRIOR TO THE EXPIRATION TERM.

https://www.sec.gov/Archives/edgar/data/0000093715/000114554922006149/xslFormN-CEN_X01/primary_doc.xml

<https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=gcMSDaFzmOynPeXZKSHgLQ==>

A. FIDELITY - DEFINED

MOTIVE _40-17G-FILED-----2020.06.08

NOTARIZED on the 11th of May, 2020.

during the period of Coverage by its Investment Professionals [E-8]

STATE FARM LIFE INSURANCE COMPANY.

STATE FARM REALTY MORTGAGE LLC.

OBSTRUCTION OF JUSTICE & NO ORDER OF ESTOPPEL -

MOTIVES...

THOSE FINES GET HEAVIER THE LONGER YOU HIDE FROM THE TRUTH.

... BTW, I NEVER ASKED FOR ANYTHING... OTHER THAN THE MONEY THEY STOLE & MY SECURITY DEPOSIT WHICH = LARCENY.

... DO I GET ANY JUSTICE THOUGH? IF NOT THEN PAY ME THE WHISTLEBLOWER FEES OR GIVE ME A ESPY AT LEAST CONSIDER IT.

STATE FARM VP MANAGEMENT CORP.

[CRD#: 43036/SEC#: 8-50128]

ONE STATE FARM PLAZA, BLOOMINGTON, IL 61710

If "Not Covered" is inserted opposite any Insuring Agreement above, such Insuring Agreement and any reference thereto shall be deemed to be deleted from this Bond.

>> > F-SECURITIES BY ITS FIDELITY AND COMPLIANCE OFFICER, SR. VICE PRESIDENT AND DIRECTOR, UNDER COMPLIANCE OFFICER LUDWIG WHO EXECUTED THE PRIOR INDENDURE

OMISSION: "Not Covered" AND LOSSES OF -852029489.38 REGISTERED UNDER THEIR UMBRELLA OF "State Farm Associates' Funds Trust"

1. Item G.1.a.i. Legal proceedings.

(a) If the Registrant responded "YES" to Item B.11.a., provide a brief description of the proceedings.

As part of the description, provide the case or docket number (if any), and the full names of the principal parties to the proceeding.

> LOAN IS ANNEXED IN THE DOCKET AVOIDED TO: NYSCEF 153974/2020

(b) If the Registrant responded "YES" to Item B.11.b., identify the proceeding and give its date of termination.

> LOAN IS ANNEXED IN THE DOCKET AVOIDED TO: NYSCEF 153974/2020

> H-UNCOLLECTIBLE ITEMS OF DEPOSIT IS ANNEXED IN NYSCEF 153974/2020

State Farm Associates' Funds Trust

<https://www.sec.gov/Archives/edgar/data/0000093715/000009371520000003/aft2020.txt>

Item 3. Limit of Liability-Subject to Sections 9, 10 and 12 hereof:

LIMIT OF LIABILITY DEDUCTIBLE AMOUNT

Insuring Agreement A-FIDELITY \$2,500,000 \$50,000

> Insuring Agreement B-AUDIT EXPENSE \$50,000 \$10,000

Insuring Agreement C-ON PREMISES \$2,500,000 \$50,000

Insuring Agreement D-IN TRANSIT \$2,500,000 \$50,000

Insuring Agreement E-FORGERY OR ALTERATION \$2,500,000 \$50,000

> Insuring Agreement F-SECURITIES \$2,500,000 \$50,000

Insuring Agreement G-COUNTERFEIT CURRENCY \$2,500,000 \$50,000

> Insuring Agreement H-UNCOLLECTIBLE ITEMS OF DEPOSIT \$25,000 \$5,000

Insuring Agreement I-PHONE/ELECTRONIC \$2,500,000 \$50,000

TRANSACTIONS

> B. AUDIT EXPENSE " Expense incurred by the Insured for that part of the costs of audits or examinations required by any governmental regulatory authority or Self-

Regulatory Organization to be conducted by such authority or Organization or by an independent accountant or other person, by reason of the discovery of loss sustained by the Insured and covered by this Bond."

>> THIS WAS NOTED IN THE NEW REGISTERED "MERGER" TO THE IA - WHO SET ASIDE THOSE AUDIT FUNDS, HENCE THE LOSSES INCURRED ARE MOST PROMINENT FROM JANUARY 1, 2022 - MARCH 31, 2022 AS THE NEW IA IS ALSO NOT COVERED FOR THE LOSSES IN PRICE DUE TO A CERTAIN OMISSION.

>>>> THE NEW: ADVISERS INVESTMENT TRUST :: CIK#1516523#

>>>> <https://www.sec.gov/Archives/edgar/data/0001516523/000119312522025502/d207567d4017g.htm> <<<<

>> AIDED AND ABETTED BY ITS "PROMOTING BROKERS" in the former by FILER CIK#93715#

<https://brokercheck.finra.org/individual/summary/2992788>

NAME: HARBERT, RANDALL HOUSTON

CRD NUMBER: 2992788

STATES REGISTERED: Illinois

POSITION: SR. VICE PRESIDENT AND DIRECTOR

1.A. PRESENT AFFILIATIONS / OUTSIDE BUSINESSES DISCLOSED:

B. 2016-PRESENT, STATE FARM LIFE INSURANCE COMPANY [NON-INVESTMENT RELATED]

*** Sr. Vice President, Chief Agency, Sales and Marketing Officer and Director

C. 2013-PRESENT, STATE FARM AUTOMOBILE INSURANCE COMPANY [INVESTMENT RELATED]

*** EXECUTIVE VICE PRESIDENT, CHIEF AGENCY SALES MARKETING OFFICER

D. 2011-PRESENT, STATE FARM LLOYDS, INC [NON-INVESTMENT RELATED]

*** DIRECTOR.

E. 2011-PRESENT, STATE FARM INVESTMENT MANAGEMENT CORP. [INVESTMENT RELATED]

<https://reports.adviserinfo.sec.gov/reports/ADV/3487/PDF/3487.pdf>

F. 2011-PRESENT,STATE FARM VP MANAGEMENT CORP [INVESTMENT RELATED]

*** SENIOR VICE PRESIDENT AND DIRECTOR

1.B. AIDED AND ABETTED TO BY SULLIVAN PROPERTIES, AND ITS AFFILIATES AND COUNSELORS.

[RECEIVED NYSCEF: 08/11/2020]

STIPULATION TO AMEND CAPTION AND ADD "STATE FARM REALTY MORTGAGE LLC" WHICH IS MANAGED BY "STATE FARM LIFE INSURANCE COMPANY"

- AN OUTSIDE BUSINESS DISCLOSED BY: HARBERT, RANDALL HOUSTON, AS A DIRECTOR OF [STATE FARM VP MANAGEMENT CORP.]

A. https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=Xjn0/e1NcBADqRc_PLUS_g11P4g==

**** EXECUTED ON 08/08/2020, FAXED TO THEIR INSURANCE "SEE ALSO LEASE"

EMAILED TO ALL MATERIAL PARTIES AS REFERENCED IN THE DOCKETED & ANNEXED LOANS IN THE NEW YORK SUPREME COURT MATTER 153974/2020.

**** I WAS TRYING TO ADD THEM TO THE CASE AS A RESPONDENT - THE CLERK, JUDGE, AND BY THE WORK OF THE COUNSELORS OF SULLIVAN PROPERTIES, AND WAS REQUESTED NOT TO CONTACT ANY REGULATORY AUTHORITY.

**** AS REFERENCED HEREUNDER, IS A NON-JOINDER. HOWEVER, AT THE COST OF A MERGER EX-POST THE AVOIDANCE TO THE TRUTH.

ALSO ABSORBED ROUGHLY 8.5% IN LOSSES -852,029,489 DURING THE PERIOD OF "MERGER" AND THE CLOSE OF THE FIRST QUARTER IN 2022 ---

--- DURING THEIR "DEREGISTRATION" AND "MERGER" INTO A LARGER UMBRELLA OF FUNDS WHICH ARE AUDITED BY THREE FIRMS.

**** IW AS AGAIN, TRYING TO PREVENT ANY FURTHER EXPOSURE IN THE IMPLIED TERMS OF THE LOAN CONTRACT ARE NOW HELD AT A LARGER INSTITUTION.

**** THREE AUDITORS.

1. "PRICE WATERHOUSE COOPERS" AT ALL TIMES WAS INFORMED OF THIS LOAN, AND THE NEW YORK SUPREME MATTER OF 153974/2020

--- HOWEVER IN THEIR ANNUAL REPORTS ON 11-30-2020, 11-30-2021, AND MOST RECENTLY AFTER "MERGER"

--- HAVE MADE NO STATEMENT WHICH PROVIDES ANY ASSURANCES OF THEIR OPINIONS, DESPITE HAVING BEEN INFORMED AND IN 2020 AT ALL RELEVANT TIMES OF A CERTAIN LOAN WHICH CARRIES THE BURDENS OF TAXES IN ARREARS.

*** IN FACT IS CURRENTLY "STILL" AN UNREGISTERED SECURITY IN THE FORM OF A LETTER OF CREDIT AND INDEMNITY ON THE BALANCE SHEET OF THEIR NEW INVESTMENT MANAGER, AND SUB-MANAGER.

*** IS "NOT COVERED" UNDER THEIR OWN BLANKET INSURANCE PROVISIONS & INDENTURE WHICH WAS EXECUTED THEN BY THE COMPLIANCE OFFICER OF STATE FARM VP MANAGEMENT CORP.

*** [TERRANCE "TERRY" LUDWIG][CRD 3231040] UNDER THEIR NEW AND IMPROVED SYSTEM HAVE ALSO FAILED TO DISCLOSE THIS UNREGISTERED SECURITY WHICH IS "NOT COVERED" BY THE NEW IA AND FOR THEIR OMISSIONS AS ENTERED IN THE PRIOR, ARE CURRENTLY AND CONTINUE AS AN OMISSION.

1.C. NEW YORK SUPREME COURT MATTER 153974/2020

[RECEIVED NYSCEF: 08/09/2020 02:24 AM]

*** VIOLATION AND FAILURE TO REPORT THIS AS A MATERIAL AND ONGOING SUBSTANTIVE CASE, UNDISCLOSED TO THE PUBLIC INVESTORS AT ANY TIME.

*** NO KNOWLEDGE OF ANY PENDING LAW SUITS, LOANS, LETTERS OF CREDIT, AND AT ANY TIME AS INDICATED IN THE THE CENTRAL REPORTING DEPOSITORY MAINTAINED BY FINRA.

A. https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=au8qh7Dn66hrVmJ9DX_PLUS_bdg==

[RECEIVED NYSCEF: 08/09/2020 02:24 AM]

B. <https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=wTG2YD2PqXuxmoKqFiESrw==>

[RECEIVED NYSCEF: 08/09/2020 02:31 AM]

C. <https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=gcMSDaFzm0ynPeXZKSHgLQ==>

[RECEIVED NYSCEF: 08/10/2020 03:42 PM]

D. https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=IY8iDH_PLUS_UpVanEtcRioef3A==

2.A. I INFORMED ALL MATERIAL PARTIES OF THE UNDERLYING RISKS IN AUGUST OF 2020 AND ALSO PRESENTED THIS LETTER TO SULLIVAN PROPERTIES, ITS COUNSELORS, AND EXECUTIVES.

[RECEIVED NYSCEF: 08/10/2020 03:45 AM]

A. <https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=K9sgXcweC7esRoSPO8MNTA=>

[RECEIVED NYSCEF: 08/11/2020 12:35 PM]

B. <https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=D9Td7lfWXyajw1tBNCfb9g==>

- THIS IS THE LETTER OF OBSTRUCTION I RECEIVED FROM LAURIE ZUCKER, AND DONALD ZUCKER.

- OBSTRUCTION OF JUSTICE, ABETTED TO BY THE SULLIVAN PROPERTIES L.P. AND ITS GENERAL PARTNER SULLIVAN GP LLC, NOTWITHSTANDING ITS AFFILIATES AND COUNSELORS.

- OVER \$6,000,000.00 THE STATE FARM INSTEAD OF DISCLOSING THE LOAN, AND OUTSIDE BUSINESS - THOUGHT IT WOULD BE A BETTER IDEA TO

STEP 1: "CEASE TO EXIST AS AN INVESTMENT ADVISER"

STEP 2: "MERGE INTO A LARGER INVESTMENT ADVISER"

STEP 3: REPORT TO THE SECURITIES AND EXCHANGE COMMISSION AS FOLLOWS:

<https://reports.adviserinfo.sec.gov/reports/ADV/3487/PDF/3487.pdf>

1. "HAVE NO DISCLOSED LOAN"

2. "COVERED FOR OMISSIONS AND ERRORS"

3. UNREASONABLE PREMIUMS AND INTEREST FOR A SECURED "UNREGISTERED SECURITY" HELD BY THE NEW

- NOTWITHSTANDING THE VALUE OF THE BUILDING [22 UNITS] IN SOHO MANHATTAN ARE NOT WORTH \$150,000.00 / UNIT... NOT EVEN FOR A STUDIO.

2020-2021: Tax Bill Q4: NYC

<https://a836-edms.nyc.gov/dctm-rest/repositories/dofedmspts/StatementSearch?bbl=1005030011&stmtDate=20210227&stmtType=SOA>

2020-2021: Tax Bill Q3: NYC

<https://a836-edms.nyc.gov/dctm-rest/repositories/dofedmspts/StatementSearch?bbl=1005030011&stmtDate=20201121&stmtType=SOA>

2020-2021: Tax Bill Q2: NYC

<https://a836-edms.nyc.gov/dctm-rest/repositories/dofedmspts/StatementSearch?bbl=1005030011&stmtDate=20200829&stmtType=SOA>

[stmtType=SOA](#)

2020-2021: Tax Bill Q1: NYC
<https://a836-edms.nyc.gov/dctm-rest/repositories/dofedmspts/StatementSearch?bbl=1005030011&stmtDate=20200606&stmtType=SOA>

FAXED ALL THIS TO THE IRS / FINRA / SEC / AND ASKED THE POLICE TO ARREST AND RESTRAIN ANY FURTHER ACTION IN 2020.
<https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=XiAuVPg0AmpwFMDLO0cMrw==>

ESTOPPEL: STATE FARM AND CEASE AND DESIST.
<https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=s5WAeCnxmd/hcOI4eTnbig==>

ESTOPPEL: THE ZUCKER FAMILY.
<https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=Jf3Un/JaVXZwF7kvbaee4w==>

AND THIS IS THE END RESULT... A LARGER BODY - WITH THE THREE MOST EXPENSIVE AUDIT FIRMS "NOT COVERED" AND NO DISCLOSURE OF A LOAN.
- HOW WOULD THEY KNOW ABOUT THE "BULLETPROOF" STATUS OF A "NONPLUSSED" TAX LIABILITY WHICH FOR ONE BUILDING OF THE 6 HAS NO CERTIFICATE TO COLLECT LAWFUL RENTS AND WAS USED AS A FORM OF "GUARANTEE" OF PAYMENT.

INSTEAD PAID NEARLY 1 MILLION DOLLARS TO "CEASE TO EXIST AS INVESTMENT MANAGER" HOWEVER ON THEIR WAY OUT FILED AS FOLLOWS:
<https://www.sec.gov/Archives/edgar/data/0000093715/999999999721005616/filename1.pdf>

STEP 3: REPORT TO THE SECURITIES AND EXCHANGE COMMISSION AS FOLLOWS:

- FACTS ---
1. "HAVE NO DISCLOSED LOAN" -REPORTED TO THE SOLICITATION AND TABULATION OF ALL THEIR INVESTORS (170,000) (WITH A RETURN ENVELOPE PREPAID?)
 2. "UNCOVERED FOR OMISSIONS AND ERRORS" - TRUE. 100% BUT NOT REPORTED.
 3. UNREASONABLE PREMIUMS AND INTEREST FOR A SECURED "UNREGISTERED SECURITY" HELD BY THE NEW ENTITY - WHO MAY OR MAY NOT KNOW ABOUT ALL THIS.

"AS EVIDENCED IN THE LOAN ANNEXED IN NY SUPREME COURT" ARE STILL "NOT AN ISSUE OF MATERIAL CONCERN" PER THE SEC: UNREPORTED

<https://reports.adviserinfo.sec.gov/reports/ADV/3487/PDF/3487.pdf>

HOWEVER: <https://www.sec.gov/Archives/edgar/data/0000093715/000119312521278180/d222043dn8f.htm>

WILL DISCLOSE: \$431,000.00 PAID AND RECEIVED AS A BONUS, AND HIS PRIOR INVOLVEMENT IN STATE FARM LLOYDS***.

PAID FOR IN FULL BY THE EARLIER INVESTMENT MANAGER [WHICH IS THE INVESTORS MONEY BY THE WAY] PAID IN TO THE DIRECTORS.
Total expenses (sum of lines (i) - (iii) above): \$912,500

***** AN INVESTMENT MANAGER USES ITS "MANAGEMENT FEES" TO PAY FOR ADMINISTRATION, AUDIT, MARKETING, AND OTHER TRANSACTIONAL FEES WHICH ARE ORDINARY.

Legal expenses:	\$	240,000
Accounting expenses:	\$	9,000
Proxy Solicitation and Tabulation	\$	170,000
Prospectus Supplements and Regulatory Filings	\$	62,500
Directors fees	\$	431,000

TOTAL COST TO MERGE: \$ 912,500

2021-10	ASSETS:	\$10,849,542,163
2021-11	ASSETS:	\$10,164,850,238

obo SULLIVAN PROPERTIES, L.P.
101 WEST 55TH STREET, NEW YORK, NY, 10019
- WHERE MR. HARBERT IS "NOT REGISTERED" AND ON BEHALF OF HIS "STATE FARM LIFE INSURANCE COMPANY" DID EXTEND A \$6,000,000.00 HOWEVER UPON NOTICE, WILL SELF-DETONATE. PAID NEARLY 1 MILLION DOLLARS TO "CEASE TO EXIST AS AN INVESTMENT MANAGER" AND TO AVOID THE FINES WHICH IS HE IS FAMILIAR WITH AS A DISCLOSED OUTSIDE BUSINESSES:
** [NON-INVESTMENT RELATED] AS THE DIRECTOR OF "STATE FARM LLOYDS" FINED \$352,500,000.00 IN 2015
** WAS AND IS CURRENTLY EXECUTIVE VICE PRESIDENT, CHIEF AGENCY SALES MARKETING OFFICER OF "STATE FARM AUTOMOBILE INSURANCE COMPANY"
2013-PRESENT,[INVESTMENT RELATED] AS THIS ENTITY "STATE FARM AUTOMOBILE INSURANCE COMPANY" OWNS 75% OF "STATE FARM INVESTMENT MANAGEMENT CORP."
** PAID NEARLY 1 MILLION DOLLARS TO "CEASE TO EXIST AS AN INVESTMENT MANAGER"
MISLEAD INVESTORS TO BELIEVE THAT PRICE WATERHOUSE WILL CONDUCT ACCOUNTING FOR A \$10 BILLION DOLLAR MUTUAL FUND AND TO MERGER FOR A TOTAL AMOUNT EQUAL TO \$9,000.00
AS THE SOLE ACCOUNTING FIRM REPORTED IN THEIR ANNUAL FILINGS IN THE 2020-2021 FILING PERIOD...

*** 2020-2021 ANNUAL FILING
https://www.sec.gov/Archives/edgar/data/0000093715/000114554921006167/xslFormN-CEN_X01/primary_doc.xml

*** 2021-2022 ANNUAL FILING

https://www.sec.gov/Archives/edgar/data/0000093715/000114554922006149/xslFormN-CEN_X01/primary_doc.xml

... WITHOUT BAND REGISTERED IN THE STATE OF NEW YORK TO CONDUCT ANY FORM OF INVESTMENT BANKING IS ALSO NOW HOLDING A NOTE THAT IS BEARING THE TAX LIABILITY, AND AVOIDANCE TO PROSECUTION WHEREBY THE PREMIUMS AND INSURANCE COLLECTED ON A LETTER OF CREDIT...

-- HOWEVER "INDEMNIFIED" ---

BY "SULLIVAN PROPERTIES LP" WHO HAS ASSURED IN WRITING THAT THEY WILL REIMBURSE "STATE FARM" IN THE EVENT OF A DEFAULT, OR LATE PAYMENT.

https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=IY8iDH_PLUS_UpVanEtcRioef3A==

+++ I SENT THIS TO THE SUPREME COURT JUSTICES INDEPENDENTLY IN NOVEMBER AS WELL, BTW.

NOTICE TO STATE FARM: https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=n_PLUS_CvSQR36fqPKko6L47FFQ==

NOTICE TO STATE FARM: <https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=H4jMXghoLMU1ozmlj4VYHg==>

+++ ADVISERS INVESTMENT TRUST: FILE NUMBER 811-22538

https://www.sec.gov/Archives/edgar/data/1516523/000114554921074536/xslFormN-CEN_X01/primary_doc.xml

CIK NUMBER: 1516523

A SIMPLE TRANSFER AND ASSIGNMENT OF LEASES AND RENTS COVERED BY THE EXPRESSED GUARANTEES OF UNLAWFUL RENTS TO: "STATE FARM REALTY MORTGAGE, LLC", ONE STATE FARM PLAZA, BLOOMINGTON, IL, 61710

MANAGED BY: "STATE FARM LIFE INSURANCE COMPANY"

WHICH IS DISCLOSED AND MANAGED BY MR. RANDALL HARBERT IN THE ATTACHED CENTRAL DEPOSITORY REPORT.

STATE FARM VP MANAGEMENT CORP. LOCATED AT: ONE STATE FARM PLAZA, BLOOMINGTON, IL 61710-0001

HENCE I REFER TO THEM SIMPLY AS "STATE FARM" LOCATED AT: ONE STATE FARM PLAZA, BLOOMINGTON, IL, 61710

Loan 50074: ASSIGNMENT OF RENTS AND LEASES

CRFN ID.: 2020052000291002

PARTY 1: SULLIVAN PROPERTIES, L.P.
C/O THE ZUCKER ORGANIZATION
101 WEST 55TH STREET
NEW YORK, NEW YORK, 10019

PARTY 2: STATE FARM REALTY MORTGAGE, LLC
ONE STATE FARM PLAZA
BLOOMINGTON IL, 60710

*** I DO APOLOGIZE, THAT IS CORRECT SPELLING BUT NOT LLOYDS BANK OF LONDON

[I APOLOGIZE IF THAT IS MISLEADING, HOWEVER THE SAME ENTITY FINED IN THE PRIOR MATTER AFTER 3-YEARS OF DANCING AROUND] WITH THE APPEALS AND EVEN IN TEXAS.

OIG oversight helps prevent fraud and mismanagement that diverts funds from those in need.

Financial Management. DOI has significant financial assets, including ...

SO ABOUT THOSE LEASES AND RENTS TRANSFERRED IN THE CITY OF NEW YORK, NY, 2020.

- ALSO MISLEADING "not a gap loan" IT IS A CONSOLIDATED AND SECURED BY UNLAWFUL RENT.

- PER THE REAL ESTATE LAWS IN NEW YORK, YOU CAN'T LEGALLY COLLECT RENT WITHOUT A CO

- WHICH IS PROBABLY ALSO WHY JPMORGAN MADE A WISE DECISION NOT TO CONTINUE AS THE CUSTODIAN TO THE FUNDS.

- HOWEVER, AS A STIPULATION TO ADD MORE AUDITORS TO THIS SITUATION IS NOT THE CORRECT SOLUTION EITHER...

NOT COVERED BY THE NEW AND IMPROVED OMISSIONS BY ITS DIRECT REPRESENTATIVES LURING THE PERIOD OF JANUARY 1, 2021 AND JANUARY 1, 2022

- HAVE LOST (-852,029,489.38) DUE TO GROSS NEGLIGENCE, FROM THE PERIOD OF FILING [LAST] \$10,164,850,238

- WITH LITTLE TO NO PROBABILITY THAT "PRICE WATERHOUSE COOPERS" WILL CHARGE ANY PERSON OR COMPANY \$9,000 IN ACCOUNTING FEES TO ACCOUNT FOR \$170,000.00 OF PROXIES AND TABULATIONS FOR N-COUNT OF INVESTORS AND ISSUE A

Opinions on the Financial Statements

We have audited the accompanying statements of assets and liabilities, including the schedules of investments, of State Farm Growth Fund, State Farm Balanced Fund, State Farm Interim Fund, and State Farm Municipal Bond Fund (four of the funds constituting Advisers Investment Trust, hereafter collectively referred to as the "Funds") as of September 30, 2021, the related statements of operations for the period December 1, 2020 through September 30, 2021 and the year ended November 30, 2020, the statements of changes in net assets for the period December 1, 2020 through September 30, 2021 and for each of the two years in the period ended November 30, 2020, including the related notes, and the financial highlights for each of the periods indicated therein (collectively referred to as the "financial statements"). In our opinion, the financial statements present fairly, in all material respects, the financial position of each of the Funds as of September 30, 2021, the results of each of their operations for the period December 1, 2020 through September 30, 2021 and the year ended November 30, 2020, the changes in each of their net assets for the period December 1, 2020 through September 30, 2021 and for each of the two years in the period ended November 30, 2020 and each of the financial highlights for each of the periods indicated therein in conformity with accounting principles generally accepted in the United States of America.

Basis for Opinions

These financial statements are the responsibility of the Funds' management. Our responsibility is to express an opinion on the Funds' financial statements based on our audits. We are a public accounting firm registered with the Public Company Accounting Oversight Board (United States) (PCAOB) and are required to be independent with respect to the Funds in accordance with the U.S. federal securities laws and the applicable rules and regulations of the Securities and Exchange Commission and the PCAOB.

We conducted our audits of these financial statements in accordance with the standards of the PCAOB. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement, whether due to error or fraud.

Our audits included performing procedures to assess the risks of material misstatement of the financial statements, whether due to error or fraud, and performing procedures that respond to those risks. Such procedures included examining, on a test basis, evidence regarding the amounts and disclosures in the financial statements. Our audits also included evaluating the accounting principles used and significant estimates made by management, as well as evaluating the overall presentation of the financial statements. Our procedures included confirmation of securities owned as of September 30, 2021 by correspondence with the custodian and brokers; when replies were not received from brokers, we performed other auditing procedures. We believe that our audits provide a reasonable basis for our opinions.

November 22, 2021
We have served as the auditor of one or more investment companies in Advisers Investment Trust since 2011.
PricewaterhouseCoopers LLP, One North Wacker, Chicago, IL 60606
T: (312) 298 2000, www.pwc.com/us
<https://www.sec.gov/info/accountants/audit042707.htm>

OCARequest@sec.gov
dcaoletters@sec.gov
IMOCA@sec.gov

Investment Companies (mutual funds, closed-end funds, business development companies, unit investment trusts, interval funds and exchange-traded funds) and Insured Investments (variable annuities and variable life insurance)
Chief Counsel's Office (CCO)

---- NO CERTIFICATE OF OCCUPANCY ----
---- 117 SULLIVAN STREET ----
---- NEW YORK, NY, 10012 ----
Property Address: 117 SULLIVAN STREET
Building Gross Square Footage: 10,210
Block: 503 Lot: 11 BIN: 1007779
UNITS: 22

JANUARY 15, 2011
Market Value: \$2,410,000.00
Overall Cap Rate: 13.313%
JANUARY 15, 2012
Market Value: \$2,665,000.00
Overall Cap Rate: 13.245%
JANUARY 15, 2013
Market Value: \$2,807,000.00
Overall Cap Rate: 13.131%
January 15, 2014
Market Value: \$2,573,000.00
Overall Cap Rate: 13.115%
January 15, 2015
Market Value: \$2,851,000.00
Overall Cap Rate: 12.504%
January 15, 2016
Market Value: \$3,148,000.00
Overall Cap Rate: 12.497%
January 15, 2017
Market Value: \$3,393,000.00
Overall Cap Rate: 12.501%
January 15, 2018
Market Value: \$3,787,000.00
Overall Cap Rate: 12.424%
January 15, 2019
Market Value: \$3,901,000.00
Overall Cap Rate: 12.375%
January 15, 2020
Market Value: \$3,981,000.00
Overall Cap Rate: 12.313%

---- REAR BIN CODES ---- UNLAWFUL RENTS
Property Address: 111 SULLIVAN STREET
REAR
Building Gross Square Footage: XX
Block: 503 Lot: 8 BIN: 1077252
UNITS: 10
Property Address: 113 SULLIVAN STREET
Building Gross Square Footage: XX
Block: 503 Lot: 09 BIN: 1077254
UNITS: 10
Property Address: 115 SULLIVAN STREET
Building Gross Square Footage: XX
Block: 503 Lot: 10 BIN: 1077256
UNITS: 10

<https://www.occ.gov/static/enforcement-actions/ea2020-068.pdf>

https://www.dfs.ny.gov/system/files/documents/2020/10/ea20201030_combined_consent_orders.pdf

2019-0201-S: CIVIL PENALTY:	\$583,900.00
2020-0239-S: CIVIL PENALTY:	\$671,200.00
2020-0280-S: CIVIL PENALTY:	\$644,700.00
*****	FINED: \$9,799,000.00

Registered with this firm since: 03/04/1998

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 STATE FARM VP MANAGEMENT CORP.
 HINTZ, SCOTT ALEXANDER (CRD 2004555
 LUDWIG, TERRENCE MICHAEL (CRD 3231040
 MINEAU, SARAH (CRD 4634160
 MONK, JOSEPH RILEY JR (CRD 1357149
 MOORE, DAVID MICHAEL (CRD 2876793
 SMITH, PAUL JOSEPH (CRD 4971235
 TIPSORD, MICHAEL LEON (CRD 1943922

STATE FARM INVESTMENT MANAGEMENT 3487

Opinions on the Financial Statements We have audited the accompanying statements of assets and liabilities, including the schedules of investments, of State Farm Growth Fund, State Farm Balanced Fund, State Farm Interim Fund, and State Farm Municipal Bond Fund (four of the funds constituting Advisers Investment Trust, hereafter collectively referred to as the “Funds”) as of September 30, 2021, the related statements of operations for the period December 1, 2020 through September 30, 2021 and the year ended November 30, 2020, the statements of changes in net assets for the period December 1, 2020 through September 30, 2021 and for each of the two years in the period ended November 30, 2020, including the related notes, and the financial highlights for each of the periods indicated therein (collectively referred to as the “financial statements”). In our opinion, the financial statements present fairly, in all material respects, the financial position of each of the Funds as of September 30, 2021, the results of each of their operations for the period December 1, 2020 through September 30, 2021 and the year ended November 30, 2020, the changes in each of their net assets for the period December 1, 2020 through September 30, 2021 and for each of the two years in the period ended November 30, 2020 and each of the financial highlights for each of the periods indicated therein in conformity with accounting principles generally accepted in the United States of America. Basis for Opinions***These financial statements are the responsibility of the Funds’ management. Our responsibility is to express an opinion on the Funds’ financial statements based on our audits. We are a public accounting firm registered with the Public Company Accounting Oversight Board (United States) (PCAOB) and are required to be independent with respect to the Funds in accordance with the U.S. federal securities laws and the applicable rules and regulations of the Securities and Exchange Commission and the PCAOB. We conducted our audits of these financial statements in accordance with the standards of the PCAOB. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement, whether due to error or fraud. Our audits included performing procedures to assess the risks of material misstatement of the financial statements, whether due to error or fraud, and performing procedures that respond to those risks. Such procedures included examining, on a test basis, evidence regarding the amounts and disclosures in the financial statements. Our audits also included evaluating the accounting principles used and significant estimates made by management, as well as evaluating the overall presentation of the financial statements. Our procedures included confirmation of securities owned as of September 30, 2021 by correspondence with the custodian and brokers; when replies were not received from brokers, we performed other auditing procedures. We believe that our audits provide a reasonable basis for our opinions.

November 22, 2021
 We have served as the auditor of one or more investment companies in Advisers Investment Trust since 2011. PricewaterhouseCoopers LLP, One North Wacker, Chicago, IL 60606
 T: (312) 298 2000, www.pwc.com/us
<https://www.sec.gov/info/accountants/audit042707.htm>

*** A TRANSFER AND ASSIGNMENT OF LEASES AND RENTS COVERED BY THE EXPRESSED GUARANTEES OF UNLAWFUL RENTS TO: "STATE FARM REALTY MORTGAGE, LLC", ONE STATE FARM PLAZA, BLOOMINGTON, IL, 61710
 *** MANAGED BY: "STATE FARM LIFE INSURANCE COMPANY". WHICH IS DISCLOSED AND MANAGED BY MR. RANDALL HARBERT IN THE ATTACHED CENTRAL DEPOSITORY REPORT. STATE FARM VP MANAGEMENT CORP. LOCATED AT: ONE STATE FARM PLAZA, BLOOMINGTON, IL 61710-0001. HENCE I REFER TO THEM SIMPLY AS "STATE FARM" LOCATED AT: ONE STATE FARM PLAZA, BLOOMINGTON, IL, 61710
 Loan 50074: ASSIGNMENT OF RENTS AND LEASES CRFN ID.: 2020052000291002 #PARTY 1: SULLIVAN PROPERTIES, L.P., C/O THE ZUCKER ORGANIZATION, 101 WEST 55TH STREET, NEW YORK, NEW YORK, 10019. #PARTY 2: STATE FARM REALTY MORTGAGE, LLC, ONE STATE FARM PLAZA, BLOOMINGTON IL, 60710 *** I DO APOLOGIZE, THAT IS CORRECT SPELLING BUT NOT LLOYDS BANK OF LONDON. [I APOLOGIZE IF THAT IS MISLEADING, HOWEVER THE SAME ENTITY FINED IN THE PRIOR MATTER AFTER 3-YEARS OF DANCING AROUND] WITH THE APPEALS AND EVEN IN TEXAS. OIG oversight helps prevent fraud and mismanagement that diverts funds from those in need. Financial Management. DOI has significant financial assets, including ...
 SO ABOUT THOSE LEASES AND RENTS TRANSFERRED IN THE CITY OF NEW YORK, NY, 2020.
 ALSO MISLEADING "not a gap loan" IT IS A CONSOLIDATED AND SECURED BY UNLAWFUL RENT.
 PER THE REAL THE REAL ESTATE LAWS IN NEW YORK, YOU CAN'T LEGALLY COLLECT RENT WITHOUT A [CO]. ALSO WHY JPMORGAN MADE A WISE DECISION NOT TO CONTINUE AS THE CUSTODIAN TO THE FUNDS. AS STIPULATION TO ADD MORE AUDITORS TO THIS SITUATION IS NOT THE CORRECT SOLUTION EITHER...NOT COVERED BY THE NEW AND IMPROVED OMISSIONS BY ITS DIRECT REPRESENTATIVES LURING THE PERIOD OF JANUARY 1, 2021 AND JANUARY 1, 2022 - HAVE LOST (-852,029,489.38) DUE TO GROSS NEGLIGENCE, FROM THE PERIOD OF FILING [LAST] \$10,164,850,238 - WITH LITTLE TO NO PROBABILITY THAT "PRICE WATERHOUSE COOPERS" WILL CHARGE ANY PERSON OR COMPANY \$9,000 IN ACCOUNTING FEES TO ACCOUNT FOR \$170,000.00 OF PROXIES AND

TABULATIONS FOR N-COUNT OF INVESTORS:

OCARequest@sec.gov
dcaoletters@sec.gov
IMOCA@sec.gov

STATE FARM VP MANAGEMENT CORP. [CRD#: 43036/SEC#: 8-50128]ONE STATE FARM PLAZA, BLOOMINGTON, IL 61710***<https://brokercheck.finra.org/individual/summary/2992788>**

NAME: HARBERT, RANDALL HOUSTON CRD NUMBER: 2992788

STATES REGISTERED: Illinois POSITION: SR. VICE PRESIDENT AND DIRECTOR.

1.A. PRESENT AFFILIATIONS / OUTSIDE BUSINESSES DISCLOSED:

B. 2016-PRESENT, STATE FARM LIFE INSURANCE COMPANY [NON-INVESTMENT RELATED

*** Sr. Vice President, Chief Agency, Sales and Marketing Officer and Director

C. 2013-PRESENT, STATE FARM AUTOMOBILE INSURANCE COMPANY [INVESTMENT RELATED]

*** EXECUTIVE VICE PRESIDENT, CHIEF AGENCY SALES MARKETING OFFICER **D. 2011-PRESENT, STATE FARM LLOYDS, INC [NON-INVESTMENT RELATED] *** DIRECTOR. E. 2011-PRESENT, STATE FARM INVESTMENT MANAGEMENT CORP. [INVESTMENT RELATED]
<https://reports.adviserinfo.sec.gov/reports/ADV/3487/PDF/3487.pdf>

F. 2011-PRESENT,STATE FARM VP MANAGEMENT CORP [INVESTMENT RELATED]

*** SENIOR VICE PRESIDENT AND DIRECTOR ** 1.B. AIDED AND ABETTED TO BY SULLIVAN PROPERTIES, AND ITS AFFILIATES AND COUNSELORS.** [RECEIVED NYSCEF: 08/11/2020]

STIPULATION TO AMEND CAPTION AND ADD "STATE FARM REALTY MORTGAGE LLC" WHICH IS MANAGED BY "STATE FARM LIFE INSURANCE COMPANY" AN OUTSIDE BUSINESS DISCLOSED BY: HARBERT, RANDALL HOUSTON, AS A DIRECTOR OF [STATE FARM VP MANAGEMENT CORP.] A. https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=Xjn0/e1NcBADqRc_PLUS_g11P4g==*** EXECUTED ON 08/08/2020, FAXED TO THEIR INSURANCE "SEE ALSO LEASE" EMAILED TO ALL MATERIAL PARTIES NYSCEF 153974/2020. ** I WAS TRYING TO ADD THEM TO THE CASE AS A RESPONDENT - THE CLERK, JUDGE, AND BY THE WORK OF THE COUNSELORS OF SULLIVAN PROPERTIES, AND WAS REQUESTED NOT TO CONTACT ANY REGULATORY AUTHORITY. ** AS REFERENCED HEREUNDER, AT THE COST OF A MERGER EX-POST TO AVOIDANCE AND OBSTRUCTION. ****ALSO ABSORBED ROUGHLY 8.5% IN LOSSES -852,029,489 DURING THE PERIOD OF "MERGER" AND THE CLOSE OF THE FIRST QUARTER IN 2022 ** DURING THEIR "REGISTRATION" AND "MERGER" INTO A LARGER UMBRELLA OF FUNDS WHICH ARE AUDITED BY THREE FIRMS *** I WAS AGAIN, TRYING TO PREVENT ANY FURTHER EXPOSURE IN THE IMPLIED TERMS OF THE LOAN CONTRACT ARE NOW HELD AT A LARGER INSTITUTION.

**** THREE AUDITORS. 1. "PRICE WATERHOUSE COOPERS" AT ALL TIMES WAS INFORMED OF THIS LOAN, AND THE NEW YORK SUPREME MATTER OF 153974/2020 *** HOWEVER IN THEIR ANNUAL REPORTS ON 11-30-2020, 11-30-2021, AND MOST RECENTLY AFTER "MERGER" *** HAVE MADE NO STATEMENT WHICH PROVIDES ANY ASSURANCES OF THEIR OPINIONS, DESPITE HAVING BEEN INFORMED AND IN 2020 AT ALL RELEVANT TIMES OF A CERTAIN LOAN WHICH CARRIES THE BURDENS OF TAXES IN ARREARS. *** IN FACT IS CURRENTLY "STILL" AN UNREGISTERED SECURITY IN THE FORM OF A LETTER OF CREDIT AND INDEMNITY ON THE BALANCE SHEET OF THEIR NEW INVESTMENT MANAGER, AND SUB-MANAGER. *** IS "NOT COVERED" UNDER THEIR OWN BLANKET INSURANCE PROVISIONS & INDENTURE WHICH WAS EXECUTED THEN BY THE COMPLIANCE OFFICER OF STATE FARM VP MANAGEMENT CORP. *** [TERRANCE "TERRY" LUDWIG][CRD 3231040] UNDER THEIR NEW AND IMPROVED SYSTEM HAVE ALSO FAILED TO DISCLOSE THIS UNREGISTERED SECURITY WHICH IS "NOT COVERED" BY THE NEW IA AND FOR THEIR OMISSIONS AS ENTERED IN THE PRIOR, ARE CURRENTLY AND CONTINUE AS AN OMISSION. 1.C. NEW YORK SUPREME COURT MATTER 153974/2020 [RECEIVED NYSCEF: 08/09/2020 02:24 AM] *** VIOLATION AND FAILURE TO REPORT THIS AS A MATERIAL AND ONGOING SUBSTANTIVE CASE, UNDISCLOSED TO THE PUBLIC INVESTORS AT ANY TIME.

*** NO KNOWLEDGE OF ANY PENDING LAW SUITS, LOANS, LETTERS OF CREDIT, AND AT ANY TIME AS INDICATED IN THE THE CENTRAL REPORTING DEPOSITORY MAINTAINED BY FINRA.

A. https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=au8qh7Dn66hrVmJ9DX_PLUS_bdg==
[RECEIVED NYSCEF: 08/09/2020 02:24 AM]

B. <https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=wTG2YD2PqXuxmoKgFiESrw==>
[RECEIVED NYSCEF: 08/09/2020 02:31 AM]

C. <https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=gcMSDaFzm0ynPeXZKSHgLQ==>
[RECEIVED NYSCEF: 08/10/2020 03:42 PM]

D. https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=IY8iDH_PLUS_UpVanEtcRioef3A==

2.A. I INFORMED ALL MATERIAL PARTIES OF THE UNDERLYING RISKS IN AUGUST OF 2020 AND ALSO PRESENTED THIS LETTER TO SULLIVAN PROPERTIES, ITS COUNSELORS, AND EXECUTIVES. [RECEIVED NYSCEF: 08/10/2020 03:45 AM] A.

<https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=K9sgXcweC7esRoSPO8MNtA=>

[RECEIVED NYSCEF: 08/11/2020 12:35 PM] B. <https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=D9Td7IfWXyajw1tBNCfb9g==>

- THIS IS THE LETTER OF OBSTRUCTION I RECEIVED FROM LAURIE ZUCKER, AND DONALD ZUCKER. OBSTRUCTION OF JUSTICE, ABETTED TO BY THE SULLIVAN PROPERTIES L.P. AND ITS GENERAL PARTNER SULLIVAN GP LLC, NOTWITHSTANDING ITS AFFILIATES AND COUNSELORS.

- OVER \$6,000,000.00 THE STATE FARM INSTEAD OF DISCLOSING THE LOAN, AND OUTSIDE BUSINESS - THOUGHT IT WOULD BE A BETTER IDEA TO ** STEP 1: "CEASE TO EXIST AS AN INVESTMENT ADVISER" ** STEP 2: "MERGE INTO A LARGER INVESTMENT ADVISER" ** STEP 3: REPORT TO THE SECURITIES AND EXCHANGE COMMISSION AS FOLLOWS:

<https://reports.adviserinfo.sec.gov/reports/ADV/3487/PDF/3487.pdf>***1. "HAVE NO DISCLOSED LOAN" 2. "COVERED FOR OMISSIONS AND ERRORS" 3. UNREASONABLE PREMIUMS AND INTEREST FOR A SECURED "UNREGISTERED SECURITY" AND THE VALUE OF THE BUILDING [22 UNITS] IN SOHO MANHATTAN ARE NOT WORTH \$150,000.00 / UNIT... NOT EVEN FOR A STUDIO. 2020-2021: Tax Bill Q4: NYC "<https://a836-edms.nyc.gov/dctm-rest/repositories/dofedmspts/StatementSearch?bbl=1005030011&stmtDate=20210227&stmtType=SOA>"

2020-2021: Tax Bill Q3: NYC

<https://a836-edms.nyc.gov/dctm-rest/repositories/dofedmspts/StatementSearch?bbl=1005030011&stmtDate=20210121&stmtType=SOA>

*** FAXED ALL THIS TO THE IRS / FINRA / SEC / AND ASKED THE POLICE TO ARREST AND RESTRAIN ANY FURTHER ACTION IN 2020.

"<https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=XiAuVPg0AmpwFMDLO0cMrw==>" ESTOPPEL: STATE FARM AND CEASE AND DESIST.

"<https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=s5WAeCnxmd/hcOI4eTnbig==>"

ESTOPPEL: THE ZUCKER FAMILY. "<https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=Jf3Un/JaVXZwF7kvbaee4w==>" ** THIS IS THE END RESULT... A LARGER BODY - WITH THE THREE MOST EXPENSIVE AUDIT FIRMS "NOT COVERED" AND NO DISCLOSURE OF A LOAN. - HOW WOULD THEY KNOW ABOUT THE "BULLETPROOF" STATUS OF A "NONPLUSSED" TAX LIABILITY WHICH FOR ONE BUILDING OF THE 6 HAS NO CERTIFICATE TO COLLECT LAWFUL RENTS AND WAS USED AS A FORM OF "GUARANTEE" OF PAYMENT. INSTEAD PAID NEARLY 1 MILLION DOLLARS TO "CEASE TO EXIST AS INVESTMENT MANAGER" HOWEVER ON THEIR WAY OUT FILED AS FOLLOWS:<<https://www.sec.gov/Archives/edgar/data/0000093715/999999999721005616/filename1.pdf>>

STEP 3: REPORT TO THE SECURITIES AND EXCHANGE COMMISSION AS FOLLOWS:

--- FACTS --- 1. "HAVE NO DISCLOSED LOAN" -REPORTED TO THE SOLICITATION AND TABULATION OF ALL THEIR INVESTORS (170,000) 2. "UNCOVERED FOR OMISSIONS AND ERRORS" - TRUE. 100% BUT NOT REPORTED. 3. UNREASONABLE PREMIUMS AND INTEREST FOR A SECURED "UNREGISTERED SECURITY" HELD BY THE NEW ENTITY - WHO MAY OR MAY NOT KNOW ABOUT ALL THIS. "AS EVIDENCED IN THE LOAN ANNEXED IN NY SUPREME COURT" ARE STILL "NOT AN ISSUE OF MATERIAL CONCERN" PER THE SEC: UNREPORTED** <https://reports.adviserinfo.sec.gov/reports/ADV/3487/PDF/3487.pdf>** HOWEVER** <https://www.sec.gov/Archives/edgar/data/0000093715/000119312521278180/d222043dn8f.htm>**

WILL DISCLOSE: \$431,000.00 PAID AND RECEIVED AS A BONUS, AND HIS PRIOR INVOLVEMENT IN STATE FARM LLOYDS***. PAID FOR IN FULL BY THE EARLIER INVESTMENT MANAGER [WHICH IS THE INVESTORS MONEY BY THE WAY] PAID IN TO THE DIRECTORS.

Total expenses (sum of lines (i) - (iii) above): \$912,500

2021-10	ASSETS:	\$10,849,542,163
2021-11	ASSETS:	\$10,164,850,238

*** WHERE MR. HARBERT IS "NOT REGISTERED" AND ON BEHALF OF HIS "STATE FARM LIFE INSURANCE COMPANY" DID EXTEND A \$6,000,000.00 HOWEVER UPON NOTICE, WILL *** SELF-DETONATE. PAID NEARLY 1 MILLION DOLLARS TO "CEASE TO EXIST AS AN INVESTMENT MANAGER" AND TO AVOID THE FINES WHICH IS HE IS FAMILIAR WITH AS A DISCLOSED OUTSIDE BUSINESSES: ** [NON-INVESTMENT RELATED] AS THE DIRECTOR OF "STATE FARM LLOYDS" FINED \$352,500,000.00 IN 2015 ** WAS AND IS CURRENTLY EXECUTIVE VICE PRESIDENT, CHIEF AGENCY SALES MARKETING OFFICER OF "STATE FARM AUTOMOBILE INSURANCE COMPANY" 2013-PRESENT,[INVESTMENT RELATED] AS THIS ENTITY "STATE FARM AUTOMOBILE INSURANCE COMPANY" OWNS 75% OF "STATE FARM INVESTMENT MANAGEMENT CORP." ** PAID NEARLY 1 MILLION DOLLARS TO "CEASE TO EXIST AS AN INVESTMENT MANAGER"

--- MISLEAD INVESTORS TO BELIEVE THAT PRICE WATERHOUSE WILL CONDUCT ACCOUNTING FOR A \$10 BILLION DOLLAR MUTUAL FUND AND TO MERGER FOR A TOTAL AMOUNT EQUAL TO \$9,000.00. AS THE SOLE ACCOUNTING FIRM REPORTED IN THEIR ANNUAL FILINGS IN THE 2020-2021 FILING PERIOD... *** 2020-2021 ANNUAL FILING ["https://www.sec.gov/Archives/edgar/data/0000093715/000114554921006167/xslFormN-CEN_X01/primary_doc.xml"](https://www.sec.gov/Archives/edgar/data/0000093715/000114554921006167/xslFormN-CEN_X01/primary_doc.xml)*** 2021-2022 ANNUAL FILING ["https://www.sec.gov/Archives/edgar/data/0000093715/000114554922006149/xslFormN-CEN_X01/primary_doc.xml"](https://www.sec.gov/Archives/edgar/data/0000093715/000114554922006149/xslFormN-CEN_X01/primary_doc.xml) ... WITHOUT BAND REGISTERED IN THE STATE OF NEW YORK TO CONDUCT ANY FORM OF INVESTMENT BANKING IS ALSO NOW HOLDING A NOTE THAT IS BEARING THE TAX LIABILITY, AND AVOIDANCE TO PROSECUTION WHEREBY THE PREMIUMS AND INSURANCE COLLECTED ON A LETTER OF CREDIT... HOWEVER "INDEMNIFIED..."

BY "SULLIVAN PROPERTIES LP" WHO HAS ASSURED IN WRITING THAT THEY WILL REIMBURSE "STATE FARM" IN THE EVENT OF A DEFAULT, OR LATE PAYMENT. ["https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=IY8iDH_PLUS_UpVanEtcRioef3A=="](https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=IY8iDH_PLUS_UpVanEtcRioef3A==) +++ I SENT THIS TO THE SUPREME COURT JUSTICES INDEPENDENTLY IN NOVEMBER AS WELL, BTW. NOTICE TO STATE FARM: ["https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=n_PLUS_CvSQR36fqPKko6L47FFQ=="](https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=n_PLUS_CvSQR36fqPKko6L47FFQ==) +++ NOTICE TO STATE FARM: ["https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=H4jMXghoLMU1ozmlj4VYHg=="](https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=H4jMXghoLMU1ozmlj4VYHg==) +++ ADVISERS INVESTMENT TRUST: FILE NUMBER 811-22538 ["https://www.sec.gov/Archives/edgar/data/1516523/000114554921074536/xslFormN-CEN_X01/primary_doc.xml"](https://www.sec.gov/Archives/edgar/data/1516523/000114554921074536/xslFormN-CEN_X01/primary_doc.xml) [CIK NUMBER: 1516523]

4470740 - STATE FARM INVESTMENT MANAGEMENT -2992788

— Attachments: —	
TCRReport.pdf	62.2 KB
[00380]...ex590xxxx.pdf	6.7 MB
2022.04.11 [200] isda NOTICES.pdf	2.2 MB
4470740 - STATE FARM INVESTMENT MANAGEMENT -2992788.pdf	155 KB
[STATE FARM VP 43036] STATE FARM VP MANAGEMENT CORP.pdf	112 KB
[STATE FARM VP 43036]2992788 _ HARBERT.pdf	78.6 KB
TCRReport-16491-117-831-823.pdf	170 KB