

**Subject:** PWC GLOBAL is not offering TAX PRODUCTS FOR MULTI FAMILY DWELLING AFFIRMATIONS<by PWC & COUNSELORS>  
**From:** B D2022 <ms60710444266@yahoo.com>  
**Date:** 4/9/2022, 1:55 AM  
**To:** "colin.brooks@morganstanley.com" <colin.brooks@morganstanley.com>, "JAMES GORMAN [MORGAN STANLEY]" <james.gorman@morganstanley.com>, "mharvey13@bloomberg.net" <mharvey13@bloomberg.net>, KEVIN ROCK <krock5@bloomberg.net>, ikawasaki@kpmg.com, issues.pitches@vice.com, "iceglobalnetwork-info@ice.com" <iceglobalnetwork-info@ice.com>, "Josephine.Vella@finra.org" <Josephine.Vella@finra.org>, Debra Tama <debra.tama@wilsonelser.com>, Doc Webmaster <webmaster@doc.gov>, Finra Webmaster <postmaster@finra.org>, endowmentadmin@columbia.edu, kerri.saperstein@morganstanley.com, "ksaperstein2@bloomberg.net" <ksaperstein2@bloomberg.net>, "mshyld@bloomberg.net" <mshyld@bloomberg.net>, "mshy1@bloomberg.net" <mshy1@bloomberg.net>, "mshy2@bloomberg.net" <mshy2@bloomberg.net>  
**CC:** "megan.disciullo@pwc.com" <megan.disciullo@pwc.com>, "larissa.vonlockner@pwc.com" <larissa.vonlockner@pwc.com>, "ryan.a.cangialosi@pwc.com" <ryan.a.cangialosi@pwc.com>, "kivalena.starr@pwc.com" <kivalena.starr@pwc.com>, "jordana.strosberg@pwc.com" <jordana.strosberg@pwc.com>, "will.b.hodges@pwc.com" <will.b.hodges@pwc.com>, "jennifer.vanoss@pwc.com" <jennifer.vanoss@pwc.com>, privacy@7-11.com, Ricki Roer <ricki.roer@wilsonanddicker.com>, "slaskowitz@ingramllp.com" <slaskowitz@ingramllp.com>, "lzucker@mskyline.com" <lzucker@mskyline.com>, webmaster@7-11.com, info@cfainstitute.org, Stephen O'Connell <sgo2107@columbia.edu>

Please just ask me if I have a KYC issue on the counselors, accepted payment from ME (while in litigation, with me) without a question - processed and took payment from myself.

- have not returned that either.. however THE ZUCKERS - will guarantee anything..

\*\*\* 2020-2021 ANNUAL FILING  
[https://www.sec.gov/Archives/edgar/data/0000093715/000114554921006167/xslFormN-CEN\\_X01/primary\\_doc.xml](https://www.sec.gov/Archives/edgar/data/0000093715/000114554921006167/xslFormN-CEN_X01/primary_doc.xml)

\*\*\* 2021-2022 ANNUAL FILING  
[https://www.sec.gov/Archives/edgar/data/0000093715/000114554922006149/xslFormN-CEN\\_X01/primary\\_doc.xml](https://www.sec.gov/Archives/edgar/data/0000093715/000114554922006149/xslFormN-CEN_X01/primary_doc.xml)

AS THE SOLE ACCOUNTING FIRM REPORTED IN THEIR ANNUAL FILINGS IN THE 2020-2021 FILING PERIOD...

> unless this Sharik Lawyer - is taking care of business under the table... #SLURPLIFE

<https://www.sec.gov/investment/contact/divisions-investment-imcontacthtm.html>

NEW YORK STATE SUPREME CASE: 153974/2020 = + THE IMMINENT PRISON FOR ALL OF THE EVASION = risk. end of story.... ttu later....

<https://www.nasdaq.com/market-activity/funds-and-etfs/>

| 11-30-2021: |        |           |    |        |        |       |       |        |               |
|-------------|--------|-----------|----|--------|--------|-------|-------|--------|---------------|
| STOCK       | TICKER | 3/31/2022 | \$ | CHG.   | %      | CHG.  | PX    | TICKER | PERIOD        |
| PRICE       |        |           |    |        |        |       |       |        | CHAN          |
|             |        | 118.50    |    | 106.88 | -11.62 | -9.80 | 59072 | %STFGX | -642,041,002. |
|             |        | 92.93     |    | 87.17  | -5.76  | -6.19 | 82137 | %STFBX | -149,384,029. |
|             |        | 10.13     |    | 9.73   | -0.40  | -3.94 | 86673 | %SFITX | -18,899,996.  |
|             |        | 8.91      |    | 8.40   | -0.51  | -5.72 | 39057 | %SFBDX | -41,704,459.  |

|  |               |
|--|---------------|
| TOTAL CHANGE IN ASSET VALUES ON THE BASIS OF CHANGES IN TICKERS [EQUALLY WEIGHTED] | -852,029,489. |
|--|---------------|

[https://www.sec.gov/Archives/edgar/data/0000093715/000114554922006149/xslFormN-CEN\\_X01/primary\\_doc.xml](https://www.sec.gov/Archives/edgar/data/0000093715/000114554922006149/xslFormN-CEN_X01/primary_doc.xml)

ASSETS REPORT  
3/31/20

> you think i would mislead investors to believe that PWC would charge BNY fees for accounting and conduct

accounting for a \$10 billion dollar mutual fund'?

3854  
COMMISSIONER'S ORDER  
State Farm Lloyds  
Page 4 of 9

Actual dollar amounts using the specified percentages and interest rates as applied to actual individual-policyholder premiums may vary slightly from the estimated total refund amount shown for each time period and the grand total in the table below.

The intent of the parties is to ensure that the actual total refund amount is as close to the estimated total refund amount of \$352,500,000 as possible. Before making refunds, State Farm Lloyds must calculate the actual total refund amount based on application of the premium refund percentages and interest rates in the table below to the actual premium data for refund-eligible policies. If the actual total refund amount as so calculated is less than \$352,150,000, then State Farm Lloyds must recalculate the premium refund percentage for the Subsequent Period. The recalculation must result in an actual total refund amount of at least \$352,500,000. If the actual total refund amount as so calculated is greater than \$352,850,000, then State Farm Lloyds may recalculate the premium refund percentage for the Subsequent Period. The recalculation must result in an actual total refund amount of at least \$352,500,000.

| Time Period                           | Premium Refund Percentage | Estimated Premium Refund Amount (in millions) | Annual Interest Rate | Estimated Interest Amount (in millions) | Estimated Total Refund Amount (in millions) |
|---------------------------------------|---------------------------|---|----------------------|---|---|
| Sept. 7, 2003, through Aug. 31, 2004  | 6.2009%                   | \$ 75.7                                       | 5.25%                | \$ 43.6                                 | \$119.3                                     |
| Sept. 1, 2004, through Aug. 31, 2005  | 2.6099%                   | \$ 33.2                                       | 5.25%                | \$ 17.4                                 | \$ 50.6                                     |
| Sept. 1, 2005, through Aug. 31, 2006  | 2.6099%                   | \$ 34.7                                       | 9.25%                | \$ 28.8                                 | \$ 63.5                                     |
| Sept. 1, 2006, through Aug. 31, 2007  | 2.6099%                   | \$ 36.8                                       | 9.25%                | \$ 27.1                                 | \$ 63.9                                     |
| Sept. 1, 2007, through Jul. 31, 2008* | 2.6099%                   | \$ 33.5                                       | 9.25%                | \$ 21.7                                 | \$ 55.2                                     |
| Grand Total                           |                           | \$213.9                                       |                      | \$138.6                                 | \$352.5                                     |

\* excluding new policies with effective dates from June 1, 2008, through July 31, 2008  
Note: Certain numbers in the table are rounded down for display purposes.

CONCLUSIONS OF LAW

"THE NEW INVESTMENT FIRM --- OFF TO A GREAT START.. ALSO USES PWC FOR AUDIT BTW... "

Opinions on the Financial Statements

We have audited the accompanying statements of assets and liabilities, including the schedules of investments, of State Farm Growth Fund, State Farm Balanced Fund, State Farm Interim Fund, and State Farm Municipal Bond Fund (four of the funds constituting Advisers Investment Trust, hereafter collectively referred to as the “Funds”) as of September 30, 2021, the related statements of operations for the period December 1, 2020 through September 30, 2021 and the year ended November 30, 2020, the statements of changes in net assets for the period December 1, 2020 through September 30, 2021 and for each of the two years in the period ended November 30, 2020, including the related notes, and the financial highlights for each of the periods indicated therein (collectively referred to as the “financial statements”). In our opinion, the financial statements present fairly, in all material respects, the financial position of each of the Funds as of September 30, 2021, the results of each of their operations for the period December 1, 2020 through September 30, 2021 and the year ended November 30, 2020, the changes in each of their net assets for the period December 1, 2020 through September 30, 2021 and for each of the two years in the period ended November 30, 2020 and each of the financial highlights for each of the periods indicated therein in conformity with accounting principles generally accepted in the United States of America.

Basis for Opinions

These financial statements are the responsibility of the Funds’ management. Our responsibility is to express an opinion on the Funds’ financial statements based on our audits. We are a public accounting firm registered with the Public Company Accounting Oversight Board (United States) (PCAOB) and are required to be independent with respect to the Funds in accordance with the U.S. federal securities laws and the applicable rules and regulations of the Securities and Exchange Commission and the PCAOB.

We conducted our audits of these financial statements in accordance with the standards of the PCAOB. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement, whether due to error or fraud.

Our audits included performing procedures to assess the risks of material misstatement of the financial statements, whether due to error or fraud, and performing procedures that respond to those risks. Such procedures included examining, on a test basis, evidence regarding the amounts and disclosures in the financial statements. Our audits also included evaluating the accounting principles used and significant estimates made by management, as well as evaluating the overall presentation of the financial statements. Our procedures included confirmation of securities owned as of September 30, 2021 by correspondence with the custodian and brokers; when replies were not received from brokers, we performed other auditing procedures. We believe that our audits provide a reasonable basis for our opinions.

November 22, 2021

We have served as the auditor of one or more investment companies in Advisers Investment Trust since 2011.

PricewaterhouseCoopers LLP, One North Wacker, Chicago, IL 60606  
T: (312) 298 2000, [www.pwc.com/us](http://www.pwc.com/us)

<https://www.sec.gov/info/accountants/audit042707.htm>

THIS IS WHY, SEE ALSO - WHAT HAPPENS TO PERPETUAL OFFENDERS, LIKE THE GUY WHO CAUGHT 50 YEARS FOR A SECOND OFFENSE FOR INSIDER TRADING.

<https://violationtracker.goodjobsfirst.org/violation-tracker/tx-state-farm-lloyds>

\$352,500,000.00

<https://www.tdi.texas.gov/commissioner/disciplinary-orders/documents/20153854.pdf>

MORGAN STANLEY IS ONE OF THREE WILLFUL PROMOTERS OF THAT FAMILY OF STOCK.....

a few in the BCC FOR YOU...

From: B Dincer (COLUMBIA UNIVERSITY) At: 11/16/21 14:33:15 UTC-5:00  
To: [Matt Harvey \(STATE FARM MUTUAL AU\)](#), [bondstrr@protonmail.com](#), [bdincer66@icloud.com](#)  
Cc: [slackowitz@mskyline.com](#), [lzucker@mskyline.com](#), [legal@mskyline.com](#)  
Subject: Fwd:NYSCEF >> REBNY >> SEC >> 483 \*\*\* MATERIAL CORPORATE ACTIONS \*\*\* pl\_BGBGBG

•Matt Harvey

Investment Analyst

State Farm Mutual Automobile Ins Co

+1-309-766-2371 (o)

+1-224-436-2391

MHARVEY13@bloomberg.net (w)

Back in the office Tuesday through Thursday

1 State Farm Plz

Investment Department E-8

Bloomington IL 61701, US

News | More »

Career

Current

State Farm Mutual Automobile Insurance Company

Investment Analyst

06/2008-Present

Memberships | More »

Education

University of Chicago

2008

University of Illinois

Bachelor's Degree in Finance

2004

Certificates

Chartered Financial Analyst

From: B Dincer (COLUMBIA UNIVERSITY) At: 11/16/21 13:53:35 UTC-5:00  
To: [JAMES GORMAN@MORGANSTANLEY.COM](#)  
Cc: [Jrfan Sualilo \(BLOOMBERG/ 919 3RD A\)](#), [Bryan Bugyi \(BLOOMBERG/ 919 3RD A\)](#), [Ho Tak Tsang \(BLOOMBERG/ 919 3RD A\)](#), [Bam Compliance \(BALYASNY ASSET MANAG\)](#), [Clisa Compliance \(CLSA HONG KONG\)](#), [Andrea Peterson \(SECURITIES & EXCHANGE\)](#), [Andrew Shelton \(SECURITIES & EXCHANGE\)](#), [legal@mskyline.com](#), [slackowitz@ingramllp.com](#), [abanews@amnyceff@nycourts.com](#)  
Subject: NYSECF >> REBNY >> SEC >> 483 \*\*\* MATERIAL CORPORATE ACTIONS \*\*\* pl\_BGBGBG

NOTE: Index #: 153974/2020  
>> I FILED A COMPLAINT WITH THE SEC ON FINANCIAL FRAUD CLAIMS OF AN APPROXIMATE MARK UP FROM '2395 > '2995...  
>> THE LEASES WERE ASSIGNED TO A PUBLIC ARM OF THE STATE FARM INSURANCE CORP.  
>> SO I FILED A TRIP WITH THE SECURITIES AND EXCHANGE COMMISSION, AND TO MAKE SURE AUDIT THEIR BOOKS AND RECORDS (WHICH ARE COOKED).  
  
FORWARD THIS TO YOUR COMPLIANCE DEPT.  
... JUST IN CASE, WITH ALL DUE RESPECT, YOURS TRULY.

|  |                               |      |                    |
|--|-------------------------------|------|--------------------|
| <a href="#">State Farm Lloyds</a>                          | insurance violation           | 2015 | TX-INS             |
| <a href="#">State Farm</a>                                 | wage and hour violation       | 2005 | private lawsuit-s  |
| <a href="#">State Farm</a>                                 | consumer protection violation | 2008 | MS-AG              |
| <a href="#">State Farm Mutual Insurance Company</a>        | consumer protection violation | 2005 | MULTI-AG           |
| <a href="#">State Farm</a>                                 | insurance violation           | 2017 | CA-INS             |
| <a href="#">State Farm Insurance Group</a>                 | insurance violation           | 2020 | NY-DFS             |
| <a href="#">State Farm Mutual Automobile Insurance Co.</a> | consumer protection violation | 2005 | TX-AG              |
| <a href="#">State Farm</a>                                 | wage and hour violation       | 2013 | private lawsuit-fr |

why can't you disclose the loan or the legal matter of 153974/2020 in your CRD or FINRA?

is it because... .of the \$352,500,000.00 fine in TEXAS that PWC knows about?

- AND AFTER I WARN YOU IN NEW YORK SUPREME COURT, NO LAW SUIT EITHER...

AFTER YOU LOSE 1 BILLION DOLLARS....

OBO: STATE FARM VP MANAGEMENT CORP. and your \$6,000,000.00 undisclosed loan and letter of credit (and accounting fees as promulgated as State Farm sole-accountant)

[CRD#: 43036/SEC#: 8-50128]  
ONE STATE FARM PLAZA, BLOOMINGTON, IL 61710

I just want to make sure everyone is treated fairly. Taxes also check those tax receipts and let me KNOW... is not PWC - than who?

\*\*\* 2020-2021 ANNUAL FILING

[https://www.sec.gov/Archives/edgar/data/0000093715/000114554921006167/xslFormN-CEN\\_X01/primary\\_doc.xml](https://www.sec.gov/Archives/edgar/data/0000093715/000114554921006167/xslFormN-CEN_X01/primary_doc.xml)

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AS THE SOLE ACCOUNTING FIRM REPORTED IN THEIR ANNUAL FILINGS IN THE 2020-2021 FILING PERIOD...

> unless this Sharik Lawyer - is taking care of business under the table... #SLURPLIFE

<https://brokercheck.finra.org/individual/summary/2992788>

NAME: HARBERT, RANDALL HOUSTON  
CRD NUMBER: 2992788  
STATES REGISTERED: Illinois  
POSITION: SR. VICE PRESIDENT AND DIRECTOR

1.A. PRESENT AFFILIATIONS / OUTSIDE BUSINESSES DISCLOSED:

- B. 2016-PRESENT, STATE FARM LIFE INSURANCE COMPANY [ NON-INVESTMENT RELATED ]  
\*\*\* Sr. Vice President, Chief Agency, Sales and Marketing Officer and Director  
C. 2013-PRESENT, STATE FARM AUTOMOBILE INSURANCE COMPANY [ INVESTMENT RELATED ]  
\*\*\* EXECUTIVE VICE PRESIDENT, CHIEF AGENCY SALES MARKETING OFFICER  
D. 2011-PRESENT, STATE FARM LLOYDS, INC [ NON-INVESTMENT RELATED ]  
\*\*\* DIRECTOR.  
E. 2011-PRESENT, STATE FARM INVESTMENT MANAGEMENT CORP. [ INVESTMENT RELATED ]  
<https://reports.adviserinfo.sec.gov/reports/ADV/3487/PDF/3487.pdf>  
F. 2011-PRESENT, STATE FARM VP MANAGEMENT CORP [ INVESTMENT RELATED ]  
\*\*\* SENIOR VICE PRESIDENT AND DIRECTOR

1.B. AIDED AND ABETTED TO BY SULLIVAN PROPERTIES, AND ITS AFFILIATES AND COUNSELORS.  
[RECEIVED NYSCEF: 08/11/2020]

STIPULATION TO AMEND CAPTION AND ADD "STATE FARM REALTY MORTGAGE LLC" WHICH IS MANAGED BY "STATE FARM LIFE INSURANCE COMPANY"

- AN OUTSIDE BUSINESS DISCLOSED BY: HARBERT, RANDALL HOUSTON, AS A DIRECTOR OF [STATE FARM VP MANAGEMENT CORP.]

A. [https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=Xjn0/elNcBADqRc\\_PLUS\\_g1lP4g==](https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=Xjn0/elNcBADqRc_PLUS_g1lP4g==)

\*\*\*\* EXECUTED ON 08/08/2020, FAXED TO THEIR INSURANCE "SEE ALSO LEASE"  
EMAILED TO ALL MATERIAL PARTIES AS REFERENCED IN THE DOCKETED & ANNEXED LOANS IN THE NEW YORK SUPREME COURT MATTER 153974/2020.

\*\*\*\* I WAS TRYING TO ADD THEM TO THE CASE AS A RESPONDENT - THE CLERK, JUDGE, AND BY THE WORK OF THE COUNSELORS OF SULLIVAN PROPERTIES, AND WAS REQUESTED NOT TO CONTACT ANY REGULATORY AUTHORITY.

\*\*\*\* AS REFERENCED HEREUNDER, IS A NON-JOINDER. HOWEVER, AT THE COST OF A MERGER EX-POST THE AVOIDANCE TO THE TRUTH.

ALSO ABSORBED ROUGHLY 8.5% IN LOSSES -852,029,489 DURING THE PERIOD OF "MERGER" AND THE CLOSE OF THE FIRST QUARTER IN 2022 ---

--- DURING THEIR "DEREGISTRATION" AND "MERGER" INTO A LARGER UMBRELLA OF FUNDS WHICH ARE AUDITED BY THREE FIRMS.

\*\*\*\* IW AS AGAIN, TRYING TO PREVENT ANY FURTHER EXPOSURE IN THE IMPLIED TERMS OF THE LOAN CONTRACT ARE NOW HELD AT A LARGER INSTITUTION.

\*\*\*\* THREE AUDITORS.

1. "PRICE WATERHOUSE COOPERS" AT ALL TIMES WAS INFORMED OF THIS LOAN, AND THE NEW YORK SUPREME MATTER OF 153974/2020

--- HOWEVER IN THEIR ANNUAL REPORTS ON 11-30-2020, 11-30-2021, AND MOST RECENTLY AFTER "MERGER"



--- HAVE MADE NO STATEMENT WHICH PROVIDES ANY ASSURANCES OF THEIR OPINIONS, DESPITE HAVING BEEN INFORMED AND IN 2020 AT ALL RELEVANT TIMES OF A CERTAIN LOAN WHICH CARRIES THE BURDENS OF TAXES IN ARREARS.

\*\*\* IN FACT IS CURRENTLY "STILL" AN UNREGISTERED SECURITY IN THE FORM OF A LETTER OF CREDIT AND INDEMNITY ON THE BALANCE SHEET OF THEIR NEW INVESTMENT MANAGER, AND SUB-MANAGER.

\*\*\* IS "NOT COVERED" UNDER THEIR OWN BLANKET INSURANCE PROVISIONS & INDENTURE WHICH WAS EXECUTED THEN BY THE COMPLIANCE OFFICER OF STATE FARM VP MANAGEMENT CORP.

\*\*\* [TERRANCE "TERRY" LUDWIG ][CRD 3231040] UNDER THEIR NEW AND IMPROVED SYSTEM HAVE ALSO FAILED TO DISCLOSE THIS UNREGISTERED SECURITY WHICH IS "NOT COVERED" BY THE NEW IA AND FOR THEIR OMISSIONS AS ENTERED IN THE PRIOR, ARE CURRENTLY AND CONTINUE AS AN OMISSION.

1.C. NEW YORK SUPREME COURT MATTER 153974/2020

[RECEIVED NYSCEF: 08/09/2020 02:24 AM]

\*\*\* VIOLATION AND FAILURE TO REPORT THIS AS A MATERIAL AND ONGOING SUBSTANTIVE CASE, UNDISCLOSED TO THE PUBLIC INVESTORS AT ANY TIME.

\*\*\* NO KNOWLEDGE OF ANY PENDING LAW SUITS, LOANS, LETTERS OF CREDIT, AND AT ANY TIME AS INDICATED IN THE THE CENTRAL REPORTING DEPOSITORY MAINTAINED BY FINRA.

A. [https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=au8qh7Dn66hrVmJ9DX\\_PLUS\\_bdg==](https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=au8qh7Dn66hrVmJ9DX_PLUS_bdg==)

[RECEIVED NYSCEF: 08/09/2020 02:24 AM]

B. <https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=wTG2YD2PgXuxmoKqFiESrw==>

[RECEIVED NYSCEF: 08/09/2020 02:31 AM]

C. <https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=gcMSDaFzm0ynPeXZKSHgLQ==>

[RECEIVED NYSCEF: 08/10/2020 03:42 PM]

D. [https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=IY8iDH\\_PLUS\\_UpVanEtcRioef3A==](https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=IY8iDH_PLUS_UpVanEtcRioef3A==)

2.A. I INFORMED ALL MATERIAL PARTIES OF THE UNDERLYING RISKS IN AUGUST OF 2020 AND ALSO PRESENTED THIS LETTER TO SULLIVAN PROPERTIES, ITS COUNSELORS, AND EXECUTIVES.

[RECEIVED NYSCEF: 08/10/2020 03:45 AM]

A. <https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=K9sgXcweC7esRoSPO8MNtA=>

[RECEIVED NYSCEF: 08/11/2020 12:35 PM]

B. <https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=D9Td7IfWXyajwltBNCfb9g==>

- THIS IS THE LETTER OF OBSTRUCTION I RECEIVED FROM LAURIE ZUCKER, AND DONALD ZUCKER.

- OBSTRUCTION OF JUSTICE, ABETTED TO BY THE SULLIVAN PROPERTIES L.P. AND ITS GENERAL PARTNER SULLIVAN GP LLC, NOTWITHSTANDING ITS AFFILIATES AND COUNSELORS.

- OVER \$6,000,000.00 THE STATE FARM INSTEAD OF DISCLOSING THE LOAN, AND OUTSIDE BUSINESS - THOUGHT IT WOULD BE A BETTER IDEA TO

STEP 1: "CEASE TO EXIST AS AN INVESTMENT ADVISER"

STEP 2: "MERGE INTO A LARGER INVESTMENT ADVISER"

STEP 3: REPORT TO THE SECURITIES AND EXCHANGE COMMISSION AS FOLLOWS:

<https://reports.adviserinfo.sec.gov/reports/ADV/3487/PDF/3487.pdf>

1. "HAVE NO DISCLOSED LOAN"

2. "COVERED FOR OMISSIONS AND ERRORS"

\*\*\*\*\* AN INVESTMENT MANAGER USES ITS "MANAGEMENT FEES" TO PAY FOR ADMINISTRATION, AUDIT, MARKETING, AND OTHER TRANSACTIONAL FEES WHICH ARE ORDINARY.

|   |              |
|---|--------------|
| Legal expenses:                               |              |
| \$  | 240,000      |
| <b>Accounting expenses:</b>                   |              |
| <b>\$</b>                                     | <b>9,000</b> |
| Proxy Solicitation and Tabulation             |              |
| \$  | 170,000      |
| Prospectus Supplements and Regulatory Filings |              |
| \$  | 62,500       |
| Directors fees                                |              |
| \$  | 431,000      |
| TOTAL COST TO MERGE:                          |              |
| \$  | 912,500      |

|                  |         |         |
|------------------|---------|---------|
|                  | 2021-10 | ASSETS: |
| \$10,849,542,163 |         |         |
|                  | 2021-11 | ASSETS: |
| \$10,164,850,238 |         |         |

<https://www.sec.gov/Archives/edgar/data/0000093715/000119312521278180/d222043dn8f.htm>

WILL DISCLOSE: \$431,000.00 PAID AND RECEIVED AS A BONUS, AND HIS PRIOR INVOLVEMENT IN STATE FARM LLOYDS\*\*\*.

PAID FOR IN FULL BY THE EARLIER INVESTMENT MANAGER [WHICH IS THE INVESTORS MONEY BY THE WAY] PAID IN TO THE DIRECTORS.

Total expenses (sum of lines (i) - (iii) above): \$912,500

MISLEAD INVESTORS TO BELIEVE THAT PRICE WATERHOUSE WILL CONDUCT ACCOUNTING FOR A \$10 BILLION DOLLAR MUTUAL FUND AND TO MERGER FOR A TOTAL AMOUNT EQUAL TO \$9,000.00

AS THE SOLE ACCOUNTING FIRM REPORTED IN THEIR ANNUAL FILINGS IN THE 2020-2021 FILING PERIOD...

\*\*\* 2020-2021 ANNUAL FILING

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INSIDER TRADING... maybe even had their clients put their CASH into the LP as well.

hence, as courtesy of their promoters...  
sponsored by their "PROMOTING BROKERS"for HUGE GAINS... and little brains..

call 1900- rikers island - or call PWC and ask them what they charge to conduct an Audit or an accounting for your family - just a neighbor price?

or no? see also, returns on THAT property.... and the TAX receipts in the matter of 153974/2020  
NOT DISCLOSED - LOAN ALSO.

unreasonable fees and premiums with respect to their underlying values.

last fined.. \$352,500,000.00 - no jail time though?

---- REAR BIN CODES ---- UNLAWFUL RENTS

Property Address: 111 SULLIVAN STREET

REAR

Building Gross Square Footage: XX

Block: 503 Lot: 8 BIN: 1077252

UNITS: 10

Property Address: 113 SULLIVAN STREET

Building Gross Square Footage: XX

Block: 503 Lot: 09 BIN: 1077254

UNITS: 10

Property Address: 115 SULLIVAN STREET

Building Gross Square Footage: XX

Block: 503 Lot: 10 BIN: 1077256

UNITS: 10

2010-2011 BLOCK 803, LOT 13

2020-2021 BLOCK 803, LOT 11

2019-2020 BLOCK 803, LOT 11

2018-2019 BLOCK 803, LOT 11

THESE FINES NOT IN TEXAS

<https://www.occ.gov/static/enforcement-actions/ea2020-068.pdf>

[https://www.dfs.ny.gov/system/files/documents/2020/10/ea20201030\\_combined\\_consent\\_orders.pdf](https://www.dfs.ny.gov/system/files/documents/2020/10/ea20201030_combined_consent_orders.pdf)

|                             |                       |
|-----------------------------|-----------------------|
| 2019-0201-S: CIVIL PENALTY: | \$583,900.00          |
| 2020-0239-S: CIVIL PENALTY: | \$671,200.00          |
| 2020-0280-S: CIVIL PENALTY: | \$644,700.00          |
| *****                       | FINED: \$9,799,000.00 |

STATE FARM VP MANAGEMENT CORP.  
[CRD#: 43036/SEC#: 8-50128]  
ONE STATE FARM PLAZA, BLOOMINGTON, IL 61710

---- NO CERTIFICATE OF OCCUPANCY ----- 117 SULLIVAN STREET ----  
  
---- NEW YORK, NY, 10012 ---- Property Address: 117 SULLIVAN STREET  
Building Gross Square Footage: 10,210  
Block: 503 Lot: 11 BIN: 1007779 UNITS: 22

JANUARY 15, 2011  
Market Value: \$2,410,000.00  
Overall Cap Rate: 13.313%  
JANUARY 15, 2012  
Market Value: \$2,665,000.00  
Overall Cap Rate: 13.245%  
JANUARY 15, 2013  
Market Value: \$2,807,000.00  
Overall Cap Rate: 13.131%  
January 15, 2014  
Market Value: \$2,573,000.00  
Overall Cap Rate: 13.115%  
January 15, 2015  
Market Value: \$2,851,000.00  
Overall Cap Rate: 12.504%  
January 15, 2016  
Market Value: \$3,148,000.00  
Overall Cap Rate: 12.497%  
January 15, 2017  
Market Value: \$3,393,000.00  
Overall Cap Rate: 12.501%  
January 15, 2018  
Market Value: \$3,787,000.00  
Overall Cap Rate: 12.424%  
January 15, 2019  
Market Value: \$3,901,000.00  
Overall Cap Rate: 12.375%  
January 15, 2020  
Market Value: \$3,981,000.00  
Overall Cap Rate: 12.313%

obo SULLIVAN PROPERTIES, L.P.  
101 WEST 55TH STREET, NEW YORK, NY, 10019

WHERE MR. HARBERT IS "NOT REGISTERED" AND ON BEHALF OF HIS "STATE FARM LIFE INSURANCE COMPANY" DID EXTEND A \$6,000,000.00 HOWEVER UPON NOTICE, WILL

SELF-DETONATE. PAID NEARLY 1 MILLION DOLLARS TO "CEASE TO EXIST AS AN INVESTMENT MANAGER" AND TO AVOID THE FINES WHICH IS HE IS FAMILIAR WITH AS A DISCLOSED OUTSIDE BUSINESSES:  
\*\* [NON-INVESTMENT RELATED] AS THE DIRECTOR OF "STATE FARM LLOYDS" FINED \$352,500,000.00 IN 2015  
\*\* WAS AND IS CURRENTLY EXECUTIVE VICE PRESIDENT, CHIEF AGENCY SALES MARKETING OFFICER OF "STATE FARM AUTOMOBILE INSURANCE COMPANY"

2013-PRESENT,[ INVESTMENT RELATED ] AS THIS ENTITY "STATE FARM AUTOMOBILE INSURANCE COMPANY" OWNS 75% OF "STATE FARM INVESTMENT MANAGEMENT CORP."

\*\* PAID NEARLY 1 MILLION DOLLARS TO "CEASE TO EXIST AS AN INVESTMENT MANAGER"

: A NEW IMPROVED STATE FARM 4470740 - STATE FARM INVESTMENT MANAGEMENT -2992788

November 22, 2021

We have served as the auditor of one or more investment companies in Advisers Investment Trust since 2011. PricewaterhouseCoopers LLP, One North Wacker, Chicago, IL 60606  
T: (312) 298 2000, [www.pwc.com/us](http://www.pwc.com/us)

<https://www.sec.gov/info/accountants/audit042707.htm>

## Opinions on the Financial Statements

We have audited the accompanying statements of assets and liabilities, including the schedules of investments, of State Farm Growth Fund, State Farm Balanced Fund, State Farm Interim Fund, and State Farm Municipal Bond Fund (four of the funds constituting Advisers Investment Trust, hereafter collectively referred to as the “Funds”) as of September 30, 2021, the related statements of operations for the period December 1, 2020 through September 30, 2021 and the year ended November 30, 2020, the statements of changes in net assets for the period December 1, 2020 through September 30, 2021 and for each of the two years in the period ended November 30, 2020, including the related notes, and the financial highlights for each of the periods indicated therein (collectively referred to as the “financial statements”). In our opinion, the financial statements present fairly, in all material respects, the financial position of each of the Funds as of September 30, 2021, the results of each of their operations for the period December 1, 2020 through September 30, 2021 and the year ended November 30, 2020, the changes in each of their net assets for the period December 1, 2020 through September 30, 2021 and for each of the two years in the period ended November 30, 2020 and each of the financial highlights for each of the periods indicated therein in conformity with accounting principles generally accepted in the United States of America.

## Basis for Opinions

These financial statements are the responsibility of the Funds’ management. Our responsibility is to express an opinion on the Funds’ financial statements based on our audits. We are a public accounting firm registered with the Public Company Accounting Oversight Board (United States) (PCAOB) and are required to be independent with respect to the Funds in accordance with the U.S. federal securities laws and the applicable rules and regulations of the Securities and Exchange Commission and the PCAOB. We conducted our audits of these financial statements in accordance with the standards of the PCAOB. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement, whether due to error or fraud. Our audits included performing procedures to assess the risks of material misstatement of the financial statements, whether due to error or fraud, and performing procedures that respond to those risks. Such procedures included examining, on a test basis, evidence regarding the amounts and disclosures in the financial statements. Our audits also included evaluating the accounting principles used and significant estimates made by management, as well as evaluating the overall presentation of the financial statements. Our procedures included confirmation of securities owned as of September 30, 2021 by correspondence with the custodian and brokers; when replies were not received from brokers, we performed other auditing procedures. We believe that our audits provide a reasonable basis for our opinions.

<https://brokercheck.finra.org/individual/summary/2992788>

NAME: HARBERT, RANDALL HOUSTON

CRD NUMBER: 2992788

STATES REGISTERED: IL

POSITION: SR. VICE PRESIDENT AND DIRECTOR

### 1.A. PRESENT AFFILIATIONS / OUTSIDE BUSINESSES DISCLOSED:

B. 2016-PRESENT, **STATE FARM LIFE INSURANCE COMPANY** [ NON-INVESTMENT RELATED ]

\*\*\* Sr. Vice President, Chief Agency, Sales and Marketing Officer and Director

C. 2013-PRESENT, STATE FARM AUTOMOBILE INSURANCE COMPANY [ INVESTMENT RELATED ]

\*\*\* EXECUTIVE VICE PRESIDENT, CHIEF AGENCY SALES MARKETING OFFICER



D. 2011-PRESENT, STATE FARM LLOYDS, INC [ NON-INVESTMENT RELATED ]  
\*\*\* DIRECTOR.

E. 2011-PRESENT, STATE FARM INVESTMENT MANAGEMENT CORP. [ INVESTMENT RELATED ]  
<https://reports.adviserinfo.sec.gov/reports/ADV/3487/PDF/3487.pdf>

F. 2011-PRESENT, STATE FARM VP MANAGEMENT CORP [ INVESTMENT RELATED ]  
\*\*\* SENIOR VICE PRESIDENT AND DIRECTOR

1.B. AIDED AND ABETTED TO BY SULLIVAN PROPERTIES, AND ITS AFFILIATES AND COUNSELORS.  
[RECEIVED NYSCEF: 08/11/2020]

STIPULATION TO AMEND CAPTION AND ADD "STATE FARM REALTY MORTGAGE LLC" WHICH IS MANAGED BY "STATE FARM LIFE INSURANCE COMPANY"  
- AN OUTSIDE BUSINESS DISCLOSED BY: HARBERT, RANDALL HOUSTON, AS A DIRECTOR OF [STATE FARM VP MANAGEMENT CORP.]

A. [https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=Xjn0/e1NcBADqRc\\_PLUS\\_g11P4g==](https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=Xjn0/e1NcBADqRc_PLUS_g11P4g==)

\*\*\*\* EXECUTED ON 08/08/2020, FAXED TO THEIR INSURANCE "SEE ALSO LEASE" EMAILED TO ALL MATERIAL PARTIES AS REFERENCED IN THE DOCKETED & ANNEXED LOANS IN THE NEW YORK SUPREME COURT MATTER 153974/2020.

\*\*\*\* I WAS TRYING TO ADD THEM TO THE CASE AS A RESPONDENT - THE CLERK, JUDGE, AND BY THE WORK OF THE COUNSELORS OF SULLIVAN PROPERTIES, AND WAS REQUESTED NOT TO CONTACT ANY REGULATORY AUTHORITY.

\*\*\*\* AS REFERENCED HEREUNDER, IS A NON-JOINDER. HOWEVER, AT THE COST OF A MERGER EX-POST THE AVOIDANCE TO THE TRUTH.

ALSO ABSORBED ROUGHLY 8.5% IN LOSSES -852,029,489 DURING THE PERIOD OF "MERGER" AND THE CLOSE OF THE FIRST QUARTER IN 2022 ---

--- DURING THEIR "DEREGISTRATION" AND "MERGER" INTO A LARGER UMBRELLA OF FUNDS WHICH ARE AUDITED BY THREE FIRMS.

\*\*\*\* IW AS AGAIN, TRYING TO PREVENT ANY FURTHER EXPOSURE IN THE IMPLIED TERMS OF THE LOAN CONTRACT ARE NOW HELD AT A LARGER INSTITUTION.

\*\*\*\* THREE AUDITORS.

1. "PRICE WATERHOUSE COOPERS" AT ALL TIMES WAS INFORMED OF THIS LOAN, AND THE NEW YORK SUPREME MATTER OF 153974/2020

--- HOWEVER IN THEIR ANNUAL REPORTS ON 11-30-2020, 11-30-2021, AND MOST RECENTLY AFTER "MERGER"

--- HAVE MADE NO STATEMENT WHICH PROVIDES ANY ASSURANCES OF THEIR OPINIONS, DESPITE HAVING BEEN INFORMED AND IN 2020 AT ALL RELEVANT TIMES OF A CERTAIN LOAN WHICH CARRIES THE BURDENS OF TAXES IN ARREARS.

\*\*\* IN FACT IS CURRENTLY "STILL" AN UNREGISTERED SECURITY IN THE FORM OF A LETTER OF CREDIT AND INDEMNITY ON THE BALANCE SHEET OF THEIR NEW INVESTMENT MANAGER, AND SUB-MANAGER.

\*\*\* IS "NOT COVERED" UNDER THEIR OWN BLANKET INSURANCE PROVISIONS & INDENTURE WHICH WAS EXECUTED THEN BY THE COMPLIANCE OFFICER OF STATE FARM VP MANAGEMENT CORP.

\*\*\* [TERRANCE "TERRY" LUDWIG ][CRD 3231040] UNDER THEIR NEW AND IMPROVED SYSTEM HAVE ALSO FAILED TO DISCLOSE THIS UNREGISTERED SECURITY WHICH IS "NOT COVERED" BY THE NEW IA AND FOR THEIR OMISSIONS AS ENTERED IN THE

PRIOR, ARE CURRENTLY AND CONTINUE AS AN OMISSION.

1.C. NEW YORK SUPREME COURT MATTER 153974/2020

[RECEIVED NYSCEF: 08/09/2020 02:24 AM]

\*\*\* VIOLATION AND FAILURE TO REPORT THIS AS A MATERIAL AND ONGOING SUBSTANTIVE CASE, UNDISCLOSED TO THE PUBLIC INVESTORS AT ANY TIME.

\*\*\* NO KNOWLEDGE OF ANY PENDING LAW SUITS, LOANS, LETTERS OF CREDIT, AND AT ANY TIME AS INDICATED IN THE THE CENTRAL REPORTING DEPOSITORY MAINTAINED BY FINRA.

A. [https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=au8qh7Dn66hrVmJ9DX\\_PLUS\\_bdg==](https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=au8qh7Dn66hrVmJ9DX_PLUS_bdg==)

[RECEIVED NYSCEF: 08/09/2020 02:24 AM]

B. <https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=wTG2YD2PqXuxmoKqFiESrw==>

[RECEIVED NYSCEF: 08/09/2020 02:31 AM]

C. <https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=gcMSDaFzm0ynPeXZKSHgLQ==>

[RECEIVED NYSCEF: 08/10/2020 03:42 PM]

D. [https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=IY8iDH\\_PLUS\\_UpVanEtcRioef3A==](https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=IY8iDH_PLUS_UpVanEtcRioef3A==)

2.A. I INFORMED ALL MATERIAL PARTIES OF THE UNDERLYING RISKS IN AUGUST OF 2020 AND ALSO PRESENTED THIS LETTER TO SULLIVAN PROPERTIES, ITS COUNSELORS, AND EXECUTIVES.

[RECEIVED NYSCEF: 08/10/2020 03:45 AM]

A. <https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=K9sgXcweC7esRoSPO8MNtA=>

[RECEIVED NYSCEF: 08/11/2020 12:35 PM]

B. <https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=D9Td7IfWXyajw1tBNCFb9g==>

- THIS IS THE LETTER OF OBSTRUCTION I RECEIVED FROM LAURIE ZUCKER, AND DONALD ZUCKER.

- OBSTRUCTION OF JUSTICE, ABETTED TO BY THE SULLIVAN PROPERTIES L.P. AND ITS GENERAL PARTNER SULLIVAN GP LLC, NOTWITHSTANDING ITS AFFILIATES AND COUNSELORS.

- OVER \$6,000,000.00 THE STATE FARM INSTEAD OF DISCLOSING THE LOAN, AND OUTSIDE BUSINESS - THOUGHT IT WOULD BE A BETTER IDEA TO

STEP 1: "CEASE TO EXIST AS AN INVESTMENT ADVISER"

STEP 2: "MERGE INTO A LARGER INVESTMENT ADVISER"

STEP 3: REPORT TO THE SECURITIES AND EXCHANGE COMMISSION AS FOLLOWS:

<https://reports.adviserinfo.sec.gov/reports/ADV/3487/PDF/3487.pdf>

1. "HAVE NO DISCLOSED LOAN"

2. "COVERED FOR OMISSIONS AND ERRORS"

3. UNREASONABLE PREMIUMS AND INTEREST FOR A SECURED "UNREGISTERED SECURITY" HELD BY THE NEW

- NOTWITHSTANDING THE VALUE OF THE BUILDING [ 22 UNITS ] IN SOHO MANHATTAN ARE NOT WORTH \$150,000.00 / UNIT... NOT EVEN FOR A STUDIO.

2020-2021: Tax Bill Q4: NYC

<https://a836-edms.nyc.gov/dctm-rest/repositories/dofedmspts/StatementSearch?bbl=1005030011&stmtDate=20210227&stmtType=SOA>

2020-2021: Tax Bill Q3: NYC

<https://a836-edms.nyc.gov/dctm-rest/repositories/dofedmspts/StatementSearch?bbl=1005030011&stmtDate=20201121&stmtType=SOA>

2020-2021: Tax Bill Q2: NYC

<https://a836-edms.nyc.gov/dctm-rest/repositories/dofedmspts/StatementSearch?bbl=1005030011&stmtDate=20200829&stmtType=SOA>

2020-2021: Tax Bill Q1: NYC

<https://a836-edms.nyc.gov/dctm-rest/repositories/dofedmspts/StatementSearch?bbl=1005030011&stmtDate=20200606&stmtType=SOA>

FAXED ALL THIS TO THE IRS / FINRA / SEC / AND ASKED THE POLICE TO ARREST AND RESTRAIN ANY FURTHER ACTION IN 2020.

<https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=XiAuVPg0AmpwFMDLO0cMrw==>

ESTOPPEL: STATE FARM AND CEASE AND DESIST.

<https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=s5WAeCnxmd/hcOI4eTnbig==>

ESTOPPEL: THE ZUCKER FAMILY.

<https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=Jf3Un/JaVXZwF7kvbaee4w==>

AND THIS IS THE END RESULT... A LARGER BODY - WITH THE THREE MOST EXPENSIVE AUDIT FIRMS "NOT COVERED" AND NO DISCLOSURE OF A LOAN.

- HOW WOULD THEY KNOW ABOUT THE "BULLETPROOF" STATUS OF A "NONPLUSSED" TAX LIABILITY WHICH FOR ONE BUILDING OF THE 6 HAS NO CERTIFICATE TO COLLECT LAWFUL RENTS AND WAS USED AS A FORM OF "GUARANTEE" OF PAYMENT.

INSTEAD PAID NEARLY 1 MILLION DOLLARS TO "CEASE TO EXIST AS INVESTMENT MANAGER" HOWEVER ON THEIR WAY OUT FILED AS FOLLOWS:

<https://www.sec.gov/Archives/edgar/data/0000093715/999999999721005616/filename1.pdf>

STEP 3: REPORT TO THE SECURITIES AND EXCHANGE COMMISSION AS FOLLOWS:

--- FACTS ---

1. "HAVE NO DISCLOSED LOAN" -REPORTED TO THE SOLICITATION AND TABULATION OF ALL THEIR INVESTORS (170,000)  
(WITH A RETURN ENVELOPE PREPAID?)

2. "UNCOVERED FOR OMISSIONS AND ERRORS" - TRUE. 100% BUT NOT REPORTED.

3. UNREASONABLE PREMIUMS AND INTEREST FOR A SECURED "UNREGISTERED SECURITY" HELD BY THE NEW ENTITY - WHO MAY OR MAY NOT KNOW ABOUT ALL THIS.

"AS EVIDENCED IN THE LOAN ANNEXED IN NY SUPREME COURT" ARE STILL "NOT AN ISSUE OF MATERIAL CONCERN"  
PER THE SEC: UNREPORTED

<https://reports.adviserinfo.sec.gov/reports/ADV/3487/PDF/3487.pdf>

... WITHOUT BEING REGISTERED IN THE STATE OF NEW YORK TO CONDUCT ANY FORM OF INVESTMENT BANKING IS ALSO NOW HOLDING A NOTE THAT IS BEARING THE TAX

## LIABILITY, AND AVOIDANCE TO PROSECUTION

WHEREBY THE PREMIUMS AND INSURANCE COLLECTED ON A LETTER OF CREDIT...

-- HOWEVER "INDEMNIFIED" ---

BY "SULLIVAN PROPERTIES LP" WHO HAS ASSURED IN WRITING THAT THEY WILL REIUMBURSE "STATE FARM" IN THE EVENT OF A DEFAULT, OR LATE PAYMENT.

<https://iapps.courts.state.ny.us/nyscef>

[/ViewDocument?docIndex=IY8iDH\\_PLUS\\_UpVanEtcRioef3A==](/ViewDocument?docIndex=IY8iDH_PLUS_UpVanEtcRioef3A==)

+++ I SENT THIS TO THE SUPREME COURT JUSTICES INDEPENDENTLY IN NOVEMBER AS WELL, BTW.

NOTICE TO STATE FARM: <https://iapps.courts.state.ny.us/nyscef>

[/ViewDocument?docIndex=n\\_PLUS\\_CvSQR36fqPKko6L47FFQ==](/ViewDocument?docIndex=n_PLUS_CvSQR36fqPKko6L47FFQ==)

NOTICE TO STATE FARM: <https://iapps.courts.state.ny.us/nyscef>

</ViewDocument?docIndex=H4jMXghoLMU1ozmlj4VYHg==>

+++ ADVISERS INVESTMENT TRUST: FILE NUMBER 811-22538

[https://www.sec.gov/Archives/edgar/data/1516523/000114554921074536/xslFormN-CEN\\_X01/primary\\_doc.xml](https://www.sec.gov/Archives/edgar/data/1516523/000114554921074536/xslFormN-CEN_X01/primary_doc.xml)

CIK NUMBER: 1516523

A SIMPLE TRANSFER AND ASSIGNMENT OF LEASES AND RENTS COVERED BY THE EXPRESSED GUARANTEES OF UNLAWFUL RENTS TO:

"STATE FARM REALTY MORTGAGE, LLC", ONE STATE FARM PLAZA, BLOOMINGTON, IL, 61710

MANAGED BY: "STATE FARM LIFE INSURANCE COMPANY"

WHICH IS DISCLOSED AND MANAGED BY MR. RANDALL HARBERT IN THE ATTACHED CENTRAL DEPOSITORY REPORT.

STATE FARM VP MANAGEMENT CORP. LOCATED AT: ONE STATE FARM PLAZA, BLOOMINGTON, IL 61710-0001

HENCE I REFER TO THEM SIMPLY AS "STATE FARM" LOCATED AT: ONE STATE FARM PLAZA, BLOOMINGTON, IL, 61710

Loan 50074: ASSIGNMENT OF RENTS AND LEASES  
CRFN ID.: 2020052000291002

PARTY 1: SULLIVAN PROPERTIES, L.P.  
C/O THE ZUCKER ORGANIZATION  
101 WEST 55TH STREET  
NEW YORK, NEW YORK, 10019

PARTY 2: STATE FARM REALTY MORTGAGE, LLC  
ONE STATE FARM PLAZA  
BLOOMINGTON IL, 60710

\*\*\* I DO APOLOGIZE, THAT IS CORRECT SPELLING BUT NOT LLOYDS BANK OF LONDON

[I APOLOGIZE IF THAT IS MISLEADING, HOWEVER THE SAME ENTITY FINED IN THE PRIOR MATTER AFTER 3-YEARS OF DANCING AROUND] WITH THE APPEALS AND EVEN IN TEXAS.

OIG oversight helps prevent fraud and mismanagement that diverts funds from those in need.

Financial Management. DOI has significant financial assets, including ...

SO ABOUT THOSE LEASES AND RENTS TRANSFERRED IN THE CITY OF NEW YORK, NY, 2020.

- ALSO MISLEADING "not a gap loan" IT IS A CONSOLIDATED AND SECURED BY UNLAWFUL RENT.

- PER THE REAL THE REAL ESTATE LAWS IN NEW YORK, YOU CAN'T LEGALLY

## COLLECT RENT WITHOUT A CO

- WHICH IS PROBABLY ALSO WHY JPMORGAN MADE A WISE DECISION NOT TO CONTINUE AS THE CUSTODIAN TO THE FUNDS.
- HOWEVER, AS A STIPULATION TO ADD MORE AUDITORS TO THIS SITUATION IS NOT THE CORRECT SOLUTION EITHER...

NOT COVERED BY THE NEW AND IMPROVED OMISSIONS BY ITS DIRECT REPRESENTATIVES DURING THE PERIOD OF JANUARY 1, 2021 AND JANUARY 1, 2022

- HAVE LOST (-852,029,489.38) DUE TO GROSS NEGLIGENCE, FROM THE PERIOD OF FILING [LAST] \$10,164,850,238

- WITH LITTLE TO NO PROBABILITY THAT "PRICE WATERHOUSE COOPERS" WILL CHARGE ANY PERSON OR COMPANY \$9,000 IN ACCOUNTING FEES TO ACCOUNT FOR \$170,000.00 OF **PROXIES AND TABULATIONS FOR N-COUNT OF INVESTORS AND ISSUE A note like this?**

**November 22, 2021**

We have served as the auditor of one or more investment companies in Advisers Investment Trust since 2011. PricewaterhouseCoopers LLP, One North Wacker, Chicago, IL 60606

T: (312) 298 2000, [www.pwc.com/us](http://www.pwc.com/us)

<https://www.sec.gov/info/accountants/audit042707.htm>

## Opinions on the Financial Statements

We have audited the accompanying statements of assets and liabilities, including the schedules of investments, of State Farm Growth Fund, State Farm Balanced Fund, State Farm Interim Fund, and State Farm Municipal Bond Fund (four of the funds constituting Advisers Investment Trust, hereafter collectively referred to as the “Funds”) as of September 30, 2021, the related statements of operations for the period December 1, 2020 through September 30, 2021 and the year ended November 30, 2020, the statements of changes in net assets for the period December 1, 2020 through September 30, 2021 and for each of the two years in the period ended November 30, 2020, including the related notes, and the financial highlights for each of the periods indicated therein (collectively referred to as the “financial statements”). In our opinion, the financial statements present fairly, in all material respects, the financial position of each of the Funds as of September 30, 2021, the results of each of their operations for the period December 1, 2020 through September 30, 2021 and the year ended November 30, 2020, the changes in each of their net assets for the period December 1, 2020 through September 30, 2021 and for each of the two years in the period ended November 30, 2020 and each of the financial highlights for each of the periods indicated therein in conformity with accounting principles generally accepted in the United States of America.

## Basis for Opinions

These financial statements are the responsibility of the Funds’ management. Our responsibility is to express an opinion on the Funds’ financial statements based on our audits. We are a public accounting firm registered with the Public Company Accounting Oversight Board (United States) (PCAOB) and are required to be independent with respect to the Funds in accordance with the U.S. federal securities laws and the applicable rules and regulations of the Securities and Exchange Commission and the PCAOB. We conducted our audits of these financial statements in accordance with the standards of the PCAOB. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement, whether due to error or fraud. Our audits included performing procedures to assess the risks of material misstatement of the financial statements, whether due to error or fraud, and performing procedures that respond to those risks. Such procedures included examining, on a test basis, evidence regarding the amounts and disclosures in the financial statements. Our audits also included evaluating the accounting principles used and significant estimates made by management, as well as evaluating the overall presentation of the financial statements. Our procedures included confirmation of securities owned as of September 30, 2021 by correspondence with the custodian and brokers; when replies were not received from brokers, we performed other auditing procedures. We believe that our audits provide a reasonable basis



for our opinions.

BCC:

Accounting Group - Interpretations (or Professional Practice Group - Independence, if appropriate)

Office of the Chief Accountant  
U.S. Securities and Exchange Commission  
100 F Street, N.E.; Mail Stop 6628  
Washington, D.C. 20549-6628

Office of Chief Accountant  
Division of Corporation Finance  
U.S. Securities and Exchange Commission  
100 F Street, N.E.; Mail Stop 4546  
Washington, D.C. 20549-4546

Office of Chief Accountant  
Division of Investment Management  
U.S. Securities and Exchange Commission  
100 F Street, N.E.; Mail Stop 4720  
Washington, D.C. 20549-4720

Office of the Chief Accountant  
U.S. Securities and Exchange Commission  
100 F Street, N.E.; Mail Stop 6628  
Washington, D.C. 20549-6628

Office of Chief Accountant  
Division of Corporation Finance  
U.S. Securities and Exchange Commission  
100 F Street, N.E.; Mail Stop 4546  
Washington, D.C. 20549-4546

Office of Chief Accountant  
Division of Investment Management  
U.S. Securities and Exchange Commission  
100 F Street, N.E.; Mail Stop 4720  
Washington, D.C. 20549-4720

**Subject:** Advisers Investment Trust [CIK 0001516523] — (852,029,489.38) [ SFBDX ] [ SFITX ] [ STFBX ] [ STFGX ] mov DISTRIBUTIONS

**From:** B D2022 <ms60710444266@yahoo.com>

**Date:** 4/7/2022, 7:25 PM

**To:** Sec News <webmaster@sec.gov>, texas@sec.gov, "shareholderproposals@sec.gov" <shareholderproposals@sec.gov>, Secretaries-Office@sec.gov, Rule-Comments <rule-comments@sec.gov>, publicinfo@sec.gov, philadelphia@sec.gov, "Pamela Gibbs [SEC]" <OMWI@sec.gov>, Ombudsman@sec.gov, "Carl Hoecker [SEC]" <OIG@sec.gov>, "oca@sec.gov" <oca@sec.gov>, NYROBankruptcy@SEC.GOV, Newyork <newyork@sec.gov>, Sec News <news@sec.gov>, losangeles@sec.gov, IMshareholderproposals <IMShareholderProposals@sec.gov>, foia@sec.gov, "dfw@sec.gov" <dfw@sec.gov>, denver@sec.gov, "dcaletters@sec.gov" <dcaletters@sec.gov>, cshugg@usinfosec.com, CommissionerRoisman@sec.gov, "CommissionerPeirce@sec.gov" <CommissionerPeirce@sec.gov>, CommissionerLee@sec.gov, CommissionerCrenshaw@sec.gov, "CHICAGO@SEC.GOV" <CHICAGO@SEC.GOV>, "CHAIR@SEC.GOV" <CHAIR@SEC.GOV>, "boston@sec.gov" <boston@sec.gov>, atlanta@sec.gov, "premerger@ftc.gov" <premerger@ftc.gov>, "Josephine.Vella@finra.org" <Josephine.Vella@finra.org>, WebfileHelp@cpa.texas.gov, unclaimed.property@cpa.texas.gov, Treasury.operations@cpa.texas.gov, TexasABLE@cpa.texas.gov, texas.tomorrow@cpa.texas.gov, statewide.accounting@cpa.texas.gov, spd.outreach@cpa.texas.gov, ptad.cpa@cpa.texas.gov, open.records@cpa.texas.gov, ombudsman@tdcj.texas.gov, oigspecialops@tdcj.texas.gov, oig.openrecords@tdcj.texas.gov, judiciary@cpa.texas.gov, exempt.orgs@cpa.texas.gov, econ.dev@cpa.texas.gov, court.costs@cpa.texas.gov, BIANCA 00088govtldx HARRIMON <citysecretary@mundaytexas.com>, Amy Hanrahan <amy.hanrahan@wilsonelser.com>, Alan Rubin <alan.rubin@wilsonelser.com>, Ricki Roer <ricki.roer@wilsonelser.com>, "megan.disciullo@pwc.com" <megan.disciullo@pwc.com>, "larissa.vonlockner@pwc.com" <larissa.vonlockner@pwc.com>, "ryan.a.cangialosi@pwc.com" <ryan.a.cangialosi@pwc.com>, "kivalena.starr@pwc.com" <kivalena.starr@pwc.com>, "jordana.strosberg@pwc.com" <jordana.strosberg@pwc.com>, "will.b.hodges@pwc.com" <will.b.hodges@pwc.com>, "jennifer.vanoss@pwc.com" <jennifer.vanoss@pwc.com>, juliojhernandez@kpmg.com, ukfmseniorhi@KPMG.co.uk, "press@barrons.com" <press@barrons.com>, "Comments@dfs.ny.gov" <Comments@dfs.ny.gov>, "CRA@dfs.ny.gov" <CRA@dfs.ny.gov>,

Brian.McCooy@point72.com, "info@bressler.com" <info@bressler.com>, info@tudor.com, ir-operations-team@tudor.com, champion gears <cockarens@vouchersrus.org>, "cohenassistant@point72.com" <cohenassistant@point72.com>, ikawasaki@kpmg.com, "JPMCIinvestorrelations@jpmchase.com" <JPMCIinvestorrelations@jpmchase.com>, "gsamonlinerequest@gs.com" <gsamonlinerequest@gs.com>, GovernmentAffairs@rebny.com, "BOP-IPP-PublicAffairs@bop.gov" <BOP-IPP-PublicAffairs@bop.gov>, "CNK-CCM@bop.gov" <CNK-CCM@bop.gov>, "edi.civil.rights.division@irs.gov" <edi.civil.rights.division@irs.gov>, Extellmanagement Info <info@extellmanagement.com>, BrooklynBBJccl@gmail.com, "amasters@boc.nyc.gov" <amasters@boc.nyc.gov>

**CC:**

"colin.brooks@morganstanley.com" <colin.brooks@morganstanley.com>, kerri.saperstein@morganstanley.com, "JAMES GORMAN [MORGAN STANLEY]" <james.gorman@morganstanley.com>, "mharvey13@bloomberg.net" <mharvey13@bloomberg.net>, mutualfunds@statefarm.com, david.moore.ct95@statefarm.com, INFO@JONESTRADING.COM, hr@jonestrading.com, "sisupport@dowjones.com" <sisupport@dowjones.com>, "mshy1@bloomberg.net" <mshy1@bloomberg.net>, "mshy2@bloomberg.net" <mshy2@bloomberg.net>, mstanley321@bloomberg.net, mstanley1541@bloomberg.net, "citimod1@bloomberg.net" <citimod1@bloomberg.net>, "citid1mo@bloomberg.net" <citid1mo@bloomberg.net>

**BCC:**

mvanalstyne@odeoncap.com, isabel.zisselsberger@kpmg.com, EVAN@ODEONCAP.COM, michelle.ong@finra.org, nancy.condon@finra.org, "stationservices@cbs2ny.com" <stationservices@cbs2ny.com>, ray.pellecchia@finra.org, Bo Dincer <bo.dincer@yahoo.com>, BONDSTR PROTON <bondstr@protonmail.com>

sec.gov/Archives/edgar/data/1516523/000114554921074536/xslFormN-CEN\_X01/primary\_doc.xml

**I. Full name** State Farm VP Management Corp.

ii. SEC file number (e.g., 8-) 008-50128

iii. CRD number 000043038

iv. LEI, if any N/A

v. State, if applicable DELAWARE

vi. Foreign country, if applicable UNITED STATES OF AMERICA

vii. Is the principal underwriter an affiliated person of the Registrant, or its investment adviser(s) or depositor? ☒ Yes ☐ No

Principal underwriter Record: 2

i. Full name Foreside Financial Services, LLC

ii. SEC file number (e.g., 8-) 008-68027

iii. CRD number 000148477

iv. LEI, if any N/A

v. State, if applicable MAINE

vi. Foreign country, if applicable UNITED STATES OF AMERICA

vii. Is the principal underwriter an affiliated person of the Registrant, or its investment adviser(s) or depositor? ☐ Yes ☒ No

b. Have any principal underwriters been hired or terminated during the reporting period? ☒ Yes ☐ No

**Item B.17. Independent public accountant.**

Provide the following information about each the independent public accountant:

**Public accountant Record: 1**

a. Full Name PricewaterhouseCoopers LLP

b. PCAOB Number 00238

c. LEI, if any 5493002GVO7EO8RNNS37

d. State, if applicable ILLINOIS

e. Foreign country, if applicable UNITED STATES OF AMERICA

**Public accountant Record: 2**

a. Full Name Ernst & Young LLP

b. PCAOB Number 00042

c. LEI, if any N/A

d. State, if applicable DELAWARE

e. Foreign country, if applicable UNITED STATES OF AMERICA

**Public accountant Record: 3**

a. Full Name Deloitte & Touche LLP

b. PCAOB Number 00034

c. LEI, if any N/A

d. State, if applicable ILLINOIS

**A. LUDWIG, TERRENCE MICHAEL (CRD#:3231040)**

CHIEF COMPLIANCE OFFICER AND TREASURER, ANTI-MONEY LAUNDERING AND OFFICE OF FOREIGN ASSETS CONTROL COMPLIANCE OFFICER

<https://brokercheck.finra.org/individual/summary/3231040>

**ALSO EXECUTOR OF:**

<https://reports.adviserinfo.sec.gov/reports/ADV/3487/PDF/3487.pdf>

- NO REPORT OR DISCLOSURE THERE EITHER OF A PROCEDURE... THAT INVESTMENT ADVISOR IS STILL IN BUSINESS.

THE ASSURANCES TRUST --- IS ILL-ADVISED BY ITS SUB MANAGER.

- 03-31-2022 DID SUFFER THE LOSSES AS REPORTED IN THE SPREADSHEET FOR THE QUARTER ENDING IN FY 2022.

**March 08, 2022**

November 16th to December 31st in 2021... in ticker prices, is not on the table below.

THE ASSETS REPORTED IN THE FIRST QUARTER ENDING IN FY 2022 ARE SIMILAR TO THE ASSET "GROWTH PERIOD" FROM 11-30-2021 - 12-31-2021

**FINRA Fines Deutsche Bank Securities, Inc. \$2 Million For Best Execution Violations.**

<https://www.finra.org/media-center/newsreleases/2022/finra-fines-deutsche-bank-securities-inc-2-million-best-execution>

"WASHINGTON—FINRA announced today that it has fined Deutsche Bank Securities, Inc. \$2 million for failing to comply with its obligation to seek best execution for its customers' orders."

"The duty to seek best execution for customer orders is a fundamental obligation of any broker-dealer that buys or sells securities on behalf of customers," said Jessica Hopper, Executive Vice President and Head of FINRA's Department of Enforcement. "We will continue to pursue disciplinary action against firms that fail to use reasonable diligence to execute customer transactions so that the price is as favorable as possible under prevailing market conditions."

From: B Dincer (COLUMBIA UNIVERSITY) At: 11/16/21 14:33:15 UTC-5:00  
To: [Matt Harvey \(STATE FARM MUTUAL AU.\)](mailto:Matt.Harvey@STATEFARMMUTUALAU.com), [bondstr@protonmail.com](mailto:bondstr@protonmail.com), [bdincer66@icloud.com](mailto:bdincer66@icloud.com)  
Cc: [slackowitz@mskyline.com](mailto:slackowitz@mskyline.com), [lzucker@mskyline.com](mailto:lzucker@mskyline.com), [legal@mskyline.com](mailto:legal@mskyline.com)  
Subject: Fwd:NYSCEF >> REBNY >> SEC >> 483 \*\*\* MATERIAL CORPORATE ACTIONS \*\*\* pl\_BGBGBG



From: B Dincer (COLUMBIA UNIVERSITY) At: 11/16/21 13:53:35 UTC-5:00  
To: [JAMES.GORMAN@MORGANSTANLEY.COM](mailto:JAMES.GORMAN@MORGANSTANLEY.COM)  
Cc: [Irfan.Susilo@BLOOMBERG/919.3RD.A](mailto:Irfan.Susilo@BLOOMBERG/919.3RD.A), [Bryan.Bugyi@BLOOMBERG/919.3RD.A](mailto:Bryan.Bugyi@BLOOMBERG/919.3RD.A), [Ho.Tak.Tsang@BLOOMBERG/919.3RD.A](mailto:Ho.Tak.Tsang@BLOOMBERG/919.3RD.A), [Bam.Compliance@BALYASNYASSETMANAG](mailto:Bam.Compliance@BALYASNYASSETMANAG), [Clisa.Compliance@CLISA.HONGKONG](mailto:Clisa.Compliance@CLISA.HONGKONG), [Andrea.Peterson@SECURITIESEXCHANGE](mailto:Andrea.Peterson@SECURITIESEXCHANGE), [Andrew.Shelton@SECURITIES&EXCHANGE](mailto:Andrew.Shelton@SECURITIES&EXCHANGE), [legal@mskyline.com](mailto:legal@mskyline.com), [slaskowitz@ingramllp.com](mailto:slaskowitz@ingramllp.com), [abanewa@amnyseef@nycourts.com](mailto:abanewa@amnyseef@nycourts.com)  
Subject: NYSCEF >> REBNY >> SEC >> 483 \*\*\* MATERIAL CORPORATE ACTIONS \*\*\* pl\_BGBBGB

NOTE: Index #: 153974/2020

>> I FILED A COMPLAINT WITH THE SEC ON FINANCIAL FRAUD CLAIMS OF AN APPROXIMATE MARK UP FROM '2395' > '2995...  
>> THE LEASES WERE ASSIGNED TO A PUBLIC ARM OF THE STATE FARM INSURANCE CORP.  
>> SO I FILED A TRIP WITH THE SECURITIES AND EXCHANGE COMMISSION; AND TO MAKE SURE AUDIT THEIR BOOKS AND RECORDS (WHICH ARE COOKED)

FORWARD THIS TO YOUR COMPLIANCE DEPT.  
... JUST IN CASE, WITH ALL DUE RESPECT, YOURS TRULY

B. MONK, JOSEPH RILEY JR (CRD#:1357149)

SR. VICE PRESIDENT AND DIRECTOR

<https://brokercheck.finra.org/individual/summary/1357149>>

WILL DISCLOSE A \$9,000.00 ACCOUNTING AND HIS OWN BONUS.

-BUT THE LOAN, OMISSIONS, COVERAGE, AND THE TAX EVASION IN THE  
LOAN... NEVER.

-WILL BURN DOWN THIS NEW Advisers Investment Trust [CIK 0001516523]  
AS WELL.

<https://www.sec.gov/Archives/edgar/data/0000093715/000119312521278180/d222043dn8f.htm>

Item B.9. Chief compliance officer.

Provide the information requested below about each person serving as chief compliance officer of the Registrant for purposes of rule 38a-1 (17 CFR 270.38a-1):

Chief compliance officer Record: 1

a. Full Name

b. CRD Number, if any

c. Street Address 1

Street Address 2

d. City

e. State, if applicable

f. Foreign country, if applicable

g. Zip code and zip code extension, or foreign postal code

h. Telephone number (including country code if foreign)

i. Has the chief compliance officer changed since the last filing?

Rodney L. Ruehle

N/A

660 Taylor Road, Suite 210

Gahanna

OHIO

UNITED STATES OF AMERICA

43230

XXXXXX

Yes

No

If the chief compliance officer is compensated or employed by any person other than the Registrant, or an affiliated person of the Registrant, for providing chief compliance c

CCO employer Record: 1

i. Name of the person

ii. Person's IRS Employer Identification Number

Foreside Financial Group, LLC

02-0767886

Chief compliance officer Record: 2

a. Full Name

b. CRD Number, if any

c. Street Address 1

Street Address 2

d. City

e. State, if applicable

f. Foreign country, if applicable

g. Zip code and zip code extension, or foreign postal code

h. Telephone number (including country code if foreign)

i. Has the chief compliance officer changed since the last filing?

Terrence Ludwig

003231040

One State Farm Plaza

Bloomington

ILLINOIS

UNITED STATES OF AMERICA

61710-0001

XXXXXX

Yes

No

FORM ADV:                    ASSETS UNDER MANAGEMENT:                    \$ 10,849,542,163

FACSIMILE 1: 1-925-737-0147  
FACSIMILE 2: 309-763-9462

<https://brokercheck.finra.org/firm/summary/3487>  
<https://reports.adviserinfo.sec.gov/reports/ADV/3487/PDF/3487.pdf>

CIK NUMBER 1: 729056  
CIK NUMBER 2: 735063

CHECK THE REPORTED VALUE ON  
11-30-2021 [which I used]  
... and the cost of the MERGER PAID  
FOR BY THE INVESTMENT MANAGER \$912,000.00  
... those dividends add-up either.  
FIFTH DECIMAL?

January 20, 2022

FINRA Fines Credit Suisse Securities \$9 Million for Multiple Operational Failures.

<https://www.finra.org/media-center/newsreleases/2022/finra-fines-credit-suisse-securities-9-million-multiple-operational>

"WASHINGTON—FINRA announced today that it has fined Credit Suisse Securities \$9 million for failing to comply with securities laws and rules designed to protect investors, including the Securities and

18 of 76

6/24/2022, 7:24 AM



Exchange Commission's Customer Protection Rule and FINRA rules requiring firms to disclose potential conflicts of interest when issuing research reports. As part of the settlement, FINRA also required Credit Suisse to certify that it has implemented supervisory systems and procedures reasonably designed to comply with the Customer Protection Rule and other requirements.

"The Customer Protection Rule is intended to protect customers' securities by prohibiting firms from using those securities for their own purposes and to ensure the prompt return of customer securities in the event of broker-dealer insolvency," said Jessica Hopper, Executive Vice President and Head of FINRA's Department of Enforcement. "This case should serve as a reminder to member firms of their obligation to protect customer funds from improper use, and to ensure accurate disclosures of potential conflicts between research subjects and firms in research reports, both of which are critically important for investor protection."

**April 14, 2011**

**FINRA Fines Jefferies \$1.5 Million for Failing to Disclose Additional Compensation Paid and Conflicts in Sale of Auction Rate Securities.**

<https://www.finra.org/media-center/news-releases/2011/finra-fines-jefferies-15-million-failing-disclose-additional>

"WASHINGTON – The Financial Industry Regulatory Authority (FINRA) announced today that it has fined Jefferies & Company, Inc. \$1.5 million for failing to disclose additional compensation received and conflicts in connection with the sale of auction rate securities (ARS). FINRA also ordered Jefferies to repay \$425,000 in fees and commissions earned from the sale of ARS to the affected customers. FINRA also took action against the three brokers involved in the sale of these products, sanctioning two Jefferies brokers, Anthony Russo (\$20,000 fine and five business-day suspension) and Robert D'Addario (\$25,000 fine and 10 business-day suspension), and filing a complaint against a third, Richard Morrison, for their role in not disclosing the additional compensation and conflicts.

**August 10, 2010**

-

**FINRA Fines Morgan Stanley \$800,000 for Deficient Conflict of Interest Disclosures in Equity Research Reports and Public Appearances by Research Analysts.**

[04-10-2020](#)

WASHINGTON – The Financial Industry Regulatory Authority (FINRA) announced today that it has censured and fined Morgan Stanley & Co., Inc. \$800,000 for failing to make public disclosures required by FINRA's rules governing research analyst conflicts of interest. The firm also failed to comply

with a key provision of the 2003 Research Analyst Settlement by failing to disclose the availability of independent research in customer account statements.

Morgan Stanley also did not disclose in approximately 127,600 monthly account statements sent to customers from August 2007 to February 2008 that it had available independent, third-party research. The requirement to provide customers with this notification was part of the Securities and Exchange Commission's final agreement with Morgan Stanley as part of the 2003 Research Analyst Settlement and was incorporated into a separate agreement with FINRA.

August 4, 2020  
August 8, 2020  
November 13, 2021  
November 16, 2021  
November 25, 2021  
April 4, 2022

|   |              |            |             |        |                               |                          |
|---|--------------|------------|-------------|--------|-------------------------------|--------------------------|
| NEW YORK STATE SUPREME CASE: 153974/2020 = + THE IMMINENT PRISON FOR ALL OF THOSE INVOLVED TO AID IN TAX EVASION AND FINES WHICH NEED BE COLLECTED BY BOTH FINRA AND THE SECURITIES & EXCHANGE COMMISSIONS.                 |              |            |             |        |                               |                          |
| SOURCE: <a href="https://www.nasdaq.com/market-activity/funds-and-etfs/">https://www.nasdaq.com/market-activity/funds-and-etfs/</a>   |              |            |             |        |                               |                          |
| 11-30-2021: STOCK TICKER PRICE  | 3/31/2022 PX | \$ CHG. PX | % CHG. PX   | TICKER | PERIOD CHANGE IN ASSET CHANGE | ASSETS REPORTED 11-30-21 |
| 118.50  | 106.88       | -11.62     | -9.8059072% | STFGX  | -642,041,002.77               | 6,547,492,153.90         |
| 92.93   | 87.17        | -5.76      | -6.1982137% | STFBX  | -149,384,029.87               | 2,410,114,218.05         |
| 10.13   | 9.73         | -0.40      | -3.9486673% | SFITX  | -18,899,996.89                | 478,642,421.36           |
| 8.91  | 8.40         | -0.51      | -5.7239057% | SFBDX  | -41,704,459.84                | 728,601,445.50           |
| TOTAL CHANGE IN ASSET VALUES ON THE BASIS OF CHANGES IN TICKERS (EQUALLY WEIGHTED)  |              |            |             |        | -852,029,489.38               | 10,164,850,238.82        |
| <a href="https://www.sec.gov/Archives/edgar/data/0000093715/000114554922006149/xslFormN-CEN_X01/primary_doc.xml">https://www.sec.gov/Archives/edgar/data/0000093715/000114554922006149/xslFormN-CEN_X01/primary_doc.xml</a> |              |            |             |        |                               |                          |
| ASSETS REPORTED   |              |            |             |        | 3/31/2022                     | 11/30/2021               |

NEW YORK STATE SUPREME CASE: 153974/2020 = + THE IMMINENT PRISON FOR ALL OF THOSE INVOLVED TO AND FINES WHICH NEED BE COLLECTED BY BOTH FINRA AND THE SECURITIES & EXCHANGE COMMISSIONS.

SOURCE: <https://www.nasdaq.com/market-activity/funds-and-etfs/>

|                    |           |         |             |       |        |                               |
|--------------------|-----------|---------|-------------|-------|--------|-------------------------------|
| 11-30-2021:        | 3/31/2022 | \$ CHG. | % CHG.      | PX    | TICKER | PERIOD CHANGE IN ASSET CHANGE |
| STOCK TICKER PRICE | PX        | PX      |             |       |        |                               |
| 118.50             | 106.88    | -11.62  | -9.8059072% | STFGX |        | -642,041,002.77               |
| 92.93              | 87.17     | -5.76   | -6.1982137% | STFBX |        | -149,384,029.87               |
| 10.13              | 9.73      | -0.40   | -3.9486673% | SFITX |        | -18,899,996.89                |
| 8.91               | 8.40      | -0.51   | -5.7239057% | SFBDX |        | -41,704,459.84                |

TOTAL CHANGE IN ASSET VALUES ON THE BASIS OF CHANGES IN TICKERS [EQUALLY WEIGHTED] -852,029,489.38

[https://www.sec.gov/Archives/edgar/data/0000093715/000114554922006149/xslFormN-CEN\\_X01/primary\\_doc.xml](https://www.sec.gov/Archives/edgar/data/0000093715/000114554922006149/xslFormN-CEN_X01/primary_doc.xml)

ASSETS REPORTED  
3/31/2022

NO LEGAL INDEX 153974/2020 REPORTED BY ANY OF THESE CHARACTERS OUTSTANDING THEN, AND AS OF 2022-03-31...

ASSETS FOR EACH INDIVIDUAL TICKER FUND - UNDER THE INDENTURE OF SFITX COVERED FOR OMISSIONS & ERRORS/  
FINRA enables investors and firms to participate in the market with co safeguarding its integrity. AND I SEE NOTHING ON THERE ABOUT A PERVERS FILING

STOCK PRICES FOR THE MUTUAL FUNDS WHICH DID NOT REPORT ANY MATERIAL INFORMATION IN THE EDGAR, OR IN THE FINRA CRD.

NEW YORK SUPREME COURT 1513974/2020  
REGARDING THE OUTSIDE BUSINESS OF:

- "STATE FARM REALTY MORTGAGE LLC. "
- NOT DISCLOSED BY THE ADVISER

<https://reports.adviserinfo.sec.gov/reports/ADV/3487/PDF/3487.pdf>

– NOT DISCLOSED BY THE THEIR DEALER, OR THEIR SALESMAN, EXECUTIVES, DIRECTORS, AFFILIATES, AND BROKERS.

<https://brokercheck.finra.org/firm/summary/43036>

– THEY have new subscribers, no disclosure of a letter of credit, not covered for error and omissions, and no disclosure at ANY time of a legal matter in NEW YORK SUPREME MATTER 153974/2020

August 9, 2020

<https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=wTG2YD2PqXuxmoKqFiESrw==>

I SENT THIS TO YOU AND FILED A SECOND TCR on April 4th, 2022  
but on November 13, 2021 - DURING THE MERGER NOTICE PERIOD...

- not covered for errors and omissions then and now..

---

- YOU WANT TO SEE MY SCHEDULE AT COLUMBIA UNIVERSITY FOR THIS TERM?

---

I AM DISGUSTED BY THE BEHAVIOR OF GUIDANCE COUNSELORS ----- THEY ARE NOT AN IVY LEAGUE.. NOT IN MY PURVIEW. SORRY....

- IN BED WITH ZUCKERS, IT'S CONFIRMED (TRACKERS ON EMAILS MAN C'MON) *///ASKcuit.*

UNTIL THEY ARE GONE... I INFORMED THE LAW SCHOOL AS WELL. AFTER I SEE LOSSES LIKE THIS WHILE I AM TRYING TO PREVENT ANY FURTHRANCE (an "estoppel")

- I CAN NOT, AND WILL NOT RETURN UNTIL THOSE COUNSELORS ARE ALL GONE... ANYTHING SUB-121 REALLY.

---

State Farm Associates Funds Trusts ----

[ HAS CEASED TO EXIST ] BUT OWNS 75% OF THE VP  
[<https://brokercheck.finra.org/firm/summary/43036>]

STATE FARM VP MANAGEMENT CORP.

– NOW ALSO REGISTERED IN THE STATE OF NEW YORK...

BUT THE INDIVIDUALS WHO PARTICIPATED IN THE LOAN AND THEIR OTHER OUTSIDE BUSINESS ACTIVITIES...

– WERE NOT REGISTERED IN THE STATE OF NEW YORK on behalf of STATE FARM VP MANAGEMENT CORP. EXECUTED THAT LOAN AS WELL.

HARBERT, RANDALL HOUSTON (CRD#:2992788)

<<https://brokercheck.finra.org/individual/summary/2992788>>

*///ASKcuit.*

– LET ME KNOW WHERE YOU CAN BUY 22 CO-OPS IN MANHATTAN FOR \$3.981 MILLION

= TAX EVASION BACK-CHOPPED 10-YEARS... COMES WITH \$50,000,000.00 (FIFTY MILLION IN PENALTIES & CARRIED INTEREST FOR ONE BUILDING WITH TAXES EVADED FOR 10-YEARS BACK)

GET BACK TO ME ON \$3.981 MILLION AND THE OTHER 5 BUILDINGS...

PENALTIES AND INTEREST THAT IS ALSO AIDED AND ABETTED BY THE ZUCKER'S COUNSELORS... IS WORTH MORE THAN YOU UNDERSTAND .///

- LET'S SEE WHAT EXCUSE RICKI HAS FOR THAT TICKER OVER A 4 MONTH PERIOD.
- AFTER I WARNED THEM AND THEY GET SMOKED FOR 1 BILLION DOLLARS.

ITS CALLED "GROSS NEGLIGENCE" BY COUNSELORS AS WELL & NO INTERVENTION OR REQUIREMENT TO DISCLOSE OR FINE ANYONE EITHER....

///ASKcuit.  
CHART LOOKS DIFFERENT [COMBINE] THROUGH 12-31-2021; I SAVED THAT FOR LATER. FROM EARLIER ALSO.  
  
AND I WAS UNAWARE THAT FINRA GOT THAT LOOSE, BUT THE SECURITIES & EXCHANGE COMMISSION AS WELL?

THIS TAX EVASION... ROCKET SCIENCE...

[https://www1.nyc.gov/assets/finance/downloads/tar/avroll\\_23.zip](https://www1.nyc.gov/assets/finance/downloads/tar/avroll_23.zip)

it's basically JUST ANOTHER "auto-quoter"  
- so what I did is apply the "BASE CAP RATE" AS PROMULGATED BY THE STATE OF NEW YORK DEPT. OF FINANCE.  
"the expected value an ordinary investor would expect" and other publicly available information, using the SIMPLIFIED METHOD [below]  
  
ALL PROPERTIES IN THE NY DEPT OF FINANCE SPREADSHEET.  
[[https://www1.nyc.gov/assets/finance/downloads/tar/avroll\\_23.zip](https://www1.nyc.gov/assets/finance/downloads/tar/avroll_23.zip)]

- paid quarterly, those are receipts for each Year and Quarter [EVADED IN FULL](#)

- ALSO SENT IT TO KPMG, FOR THE OTHER TICKER - THEY MISSED A SPOT.

<https://home.kpmg/content/dam/kpmg/xx/pdf/2021/10/orchestrating-experiences.pdf>

ABOUT YOUR PROPERTY TAXES

Property taxes are determined using a complex formula that takes into account many different amounts and calculations. Visit [www.nyc.gov/nopv](http://www.nyc.gov/nopv) for more information about property valuation and taxation.

The Department of Finance estimates that as of January 5, 2022, the market value for this property is \$3,201,000.

We estimate your property's market value using the income approach. Market value is determined by dividing the net operating income by the overall capitalization rate.

The following factors are used by the Department of Finance to determine market value:  
Estimated Building Gross Square Footage: 10,210  
Estimated Gross Income: \$563,883  
Estimated Expenses: \$166,728

Net Operating Income: We subtract estimated expenses from estimated gross income, resulting in a net operating income of \$397,155.

✓ Base capitalization rate: We used a capitalization rate of 6.90% which is the Department of Finance's estimate of the rate of return that an ordinary investor would expect on their investment in this type of property.

Overall capitalization rate: We add an effective tax rate of 5.506% to account for taxes due. Added together your overall capitalization rate is 12.406%.

Visit [www.nyc.gov/nopv](http://www.nyc.gov/nopv) for more information.

If you own income-producing property, you must file a Real Property Income and Expense (RPIE) statement or a claim of exclusion unless you are exempt by law. You must also file information about any ground or second floor commercial units on the premises, even if you are exempt from filing an RPIE statement. The deadline to file is June 1, 2022. Failure to file will result in penalties and interest, which will become a lien on your property if they go unpaid. Visit [www.nyc.gov/rpie](http://www.nyc.gov/rpie) for more information.

RPIE filers with a tentative actual assessed value of \$750,000 or greater will be required to file an addendum containing rent roll information for the tax year during which filing of the income and expense statement is required.

**How You Will Be Billed:**  
Property tax bills are mailed quarterly for properties with an assessed value of \$250,000 or less and semiannually for properties assessed at more than \$250,000. If you pay your property taxes through a bank, mortgage servicing company, or co-op board, you will not receive a bill from the Department of Finance unless you are responsible for other charges, such as sidewalk or emergency repair charges.

I EVEN COLORED IT RIKERS / DEPT OF CORRECTIONS TO HELP GUIDE YOU ALL... BELOW ----X

SO YOU UNDERSTAND.  
22 "UNLAWFUL RENTAL UNITS WITH NO CERTIFICATE OF OCCUPANCY" CANNOT ESTEEM TO A LINEAR GROSS INCOME.  
- ESPECIALLY WHEN THE COUNSELORS/ATTORNEYS WRITE LETTERS & ANNEX THEIR VACANCIES AS "PROBLEMATIC"...

HENCE 22 COOPERATIVE UNITS ON BLOCK 803, LOT 11.

- ASK A REAL ESTATE EXPERT WITHOUT A CONFLICT OF INTEREST...  
- THAT'S \$147,364 OF VALUATION ASSESSED AND TAXED / UNIT... PAID QUARTERLY TO AVOID ANY FLAGS OR "OBSTRUCTIONS"  
- 10 YEARS BACK TAXED HERE WITH THE RECEIPTS FOR EACH PAYMENT -

1.           SIMPLIFIED VERSION  
I PROVIDED AN ESTIMATE USING THE FILE OF ALL PROPERTY TAXES REPORTED AND PER THE NY DEPT OF TAXATION.
- https://github.com/BSCPGROUPHOLDINGSLLC/ELSER-AND-DICKER/issues/24
2.           THE RECEIPTS THAT PROVE TAX EVASION FOR EACH YEAR.:
- https://github.com/users/BSCPGROUPHOLDINGSLLC/projects/1
3.           PEOPLE GO TO JAIL FOR TAX EVASION LIKE THIS...  
WHICH IS WHY THEY WILL ALL RUN / CHEAT / STEAL ...  
I DON'T KNOW OR CARE WHAT LAWYER OR AUDITOR OR BANK WILL HOLD THOSE ASSETS EITHER...

| BLDG | OWNER                                 | ZONIN |                     |       | DT IRREC | BLD EXT | BLD STORY | NUM BLDGS | YRBUI | YRALY | YRALY | COOP_APTS | UNITS | px/unit      |
|------|---------------------------------------|-------|---------------------|-------|----------|---------|-----------|-----------|-------|-------|-------|-----------|-------|--------------|
| C7   | SULLIVAN PROPERTIESLP                 | R7-2  | 109 SULLIVAN STREET | 10012 | R        | N       | 6         | 1         | 1900  | 0     | 0     | 37        | 40    | \$ 142,000   |
| C5   | SULLIVAN PROPERTIESLP                 | R7-2  | 111 SULLIVAN STREET | 10012 | R        | N       | 5         | 2         | 1900  | 0     | 0     | 20        | 20    | \$ 170,500   |
| C5   | SULLIVAN PROPERTIESLP                 | R7-2  | 113 SULLIVAN STREET | 10012 | R        | N       | 5         | 2         | 1900  | 0     | 0     | 29        | 29    | \$ 154,138   |
| C4   | SULLIVAN PROPERTIESLP                 | R7-2  | 115 SULLIVAN STREET | 10012 | R        | N       | 4         | 2         | 1900  | 0     | 0     | 16        | 16    | \$ 140,125   |
| C4   | SULLIVAN PROPERTIESLP                 | R7-2  | 117 SULLIVAN STREET | 10012 | R        | N       | 6         | 1         | 1900  | 0     | 0     | 22        | 22    | \$ 147,364   |
| C7   | SULLIVAN PROPERTIESLP                 | R7-2  | 119 SULLIVAN STREET | 10012 | R        | N       | 5         | 1         | 1900  | 2016  | 0     | 20        | 21    | \$ 168,476   |
| R1   | CHAPMAN, ANDREW                       | R7-2  | 90 SULLIVAN STREET  | 10012 | R        | N       | 7         | 1         | 2001  | 0     | 0     | 1         | 1     | \$ 3,368,784 |
| R1   | FONKENELL, GUILLAUME                  | R7-2  | 123 SULLIVAN STREET | 10012 | R        | N       | 7         | 1         | 2000  | 0     | 0     | 1         | 1     | \$ 3,092,948 |
| R1   | KARNABY, FADI                         | R7-2  | 65 THOMPSON STREE   | 10012 | I        | N       | 6         | 1         | 1999  | 0     | 0     | 1         | 1     | \$ 1,901,658 |
| R1   | FONKENELL, GUILLAUME                  | R7-2  | 123 SULLIVAN STREET | 10012 | R        | N       | 7         | 1         | 2000  | 0     | 0     | 1         | 1     | \$ 2,105,810 |
| R1   | TRIBUNO, LAURA                        | R7-2  | 123 SULLIVAN STREET | 10012 | R        | N       | 7         | 1         | 2000  | 0     | 0     | 1         | 1     | \$ 2,040,082 |
| R1   | SLATER, JAMES                         | R7-2  | 123 SULLIVAN STREET | 10012 | R        | N       | 7         | 1         | 2000  | 0     | 0     | 1         | 1     | \$ 1,974,353 |
| R1   | UCER, MINE                            | R7-2  | 123 SULLIVAN STREET | 10012 | R        | N       | 7         | 1         | 2000  | 0     | 0     | 1         | 1     | \$ 1,908,454 |
| R1   | LOUIS LIDDY HOLDINGS CORP             | R7-2  | 65 THOMPSON STREE   | 10012 | I        | N       | 6         | 1         | 1999  | 0     | 0     | 1         | 1     | \$ 1,459,445 |
| R1   | THORNTON, TROY S.                     | R7-2  | 65 THOMPSON STREE   | 10012 | I        | N       | 6         | 1         | 1999  | 0     | 0     | 1         | 1     | \$ 1,404,139 |
| R1   | ROSE,DANIEL,O                         | R7-2  | 65 THOMPSON STREE   | 10012 | I        | N       | 6         | 1         | 1999  | 0     | 0     | 1         | 1     | \$ 1,382,000 |
| R1   | COOPER, JAMES                         | R7-2  | 90 SULLIVAN STREET  | 10012 | R        | N       | 7         | 1         | 2001  | 0     | 0     | 1         | 1     | \$ 1,660,274 |
| R1   | STEPHEN G. NYCHAY                     | R7-2  | 90 SULLIVAN STREET  | 10012 | R        | N       | 7         | 1         | 2001  | 0     | 0     | 1         | 1     | \$ 1,636,122 |
| R1   | FERNANDA LACERDA, MA                  | R7-2  | 65 THOMPSON STREE   | 10012 | I        | N       | 6         | 1         | 1999  | 0     | 0     | 1         | 1     | \$ 1,326,757 |
| R1   | HUFSCHMID, ALEXANDER JOSEPH           | R7-2  | 181 SULLIVAN STREET | 10012 | I        | N       | 5         | 1         | 1910  | 2005  | 2006  | 1         | 1     | \$ 1,586,761 |
| R1   | RICCIARDI, WALTER                     | R7-2  | 123 SULLIVAN STREET | 10012 | R        | N       | 7         | 1         | 2000  | 0     | 0     | 1         | 1     | \$ 1,974,353 |
| R1   | KHALASTCHI, LESLEY                    | R7-2  | 90 SULLIVAN STREET  | 10012 | R        | N       | 7         | 1         | 2001  | 0     | 0     | 1         | 1     | \$ 1,491,841 |
| R1   | FITZGERALD, DENNIS LANG               | R7-2  | 136 SULLIVAN STREET | 10012 | R        | N       | 7         | 0         | 0     | 0     | 0     | 1         | 1     | \$ 1,479,537 |
| R1   | FOSTER, SHEILA R                      | R7-2  | 197 PRINCE STREET   | 10012 | R        | N       | 0         | 1         | 1988  | 0     | 0     | 1         | 1     | \$ 1,044,538 |
| R1   | UNAVAILABLE OWNER                     | R7-2  | 181 SULLIVAN STREET | 10012 | I        | N       | 5         | 1         | 1910  | 2005  | 2006  | 1         | 1     | \$ 1,522,863 |
| R1   | KREITMAN, ELYSE                       | R7-2  | 175 SULLIVAN STREET | 10012 | R        | N       | 7         | 1         | 2002  | 0     | 0     | 1         | 1     | \$ 2,200,547 |
| R1   | UNAVAILABLE OWNER                     | R7-2  | 175 SULLIVAN STREET | 10012 | R        | N       | 7         | 1         | 2002  | 0     | 0     | 1         | 1     | \$ 2,200,547 |
| R1   | FILM FORUM CONDOMINIUM CORPORA        | R7-2  | 3 KING STREET       | 10012 | R        | N       | 5         | 1         | 1925  | 2006  | 2007  | 1         | 1     | \$ 604,750   |
| R1   | FILM FORUM REAL ESTATE, LLC           | R7-2  | 3 KING STREET       | 10012 | R        | N       | 5         | 1         | 1925  | 2006  | 2007  | 1         | 1     | \$ 604,750   |
| R1   | RHOADES, JONATHAN A                   | R7-2  | 3 KING STREET       | 10012 | R        | N       | 5         | 1         | 1925  | 2006  | 2007  | 1         | 1     | \$ 604,750   |
| R1   | THE V.O. 2014 REVOCABLE TRUST         | R7-2  | 3 KING STREET       | 10012 | R        | N       | 5         | 1         | 1925  | 2006  | 2007  | 1         | 1     | \$ 604,750   |
| R1   | HUFSCHMID, ALEXANDER JOSEPH           | R7-2  | 181 SULLIVAN STREET | 10012 | I        | N       | 5         | 1         | 1910  | 2005  | 2006  | 1         | 1     | \$ 1,114,459 |
| R1   | JEFFREY H. CURLER & LEA M. CURLER JOI | R7-2  | 181 SULLIVAN STREET | 10012 | I        | N       | 5         | 1         | 1910  | 2005  | 2006  | 1         | 1     | \$ 1,114,459 |
| R1   | SONG, JUNDAI                          | R7-2  | 181 SULLIVAN STREET | 10012 | I        | N       | 5         | 1         | 1910  | 2005  | 2006  | 1         | 1     | \$ 1,114,459 |
| R1   | FITZGERALD, DENNIS LANG               | R7-2  | 136 SULLIVAN STREET | 10012 | R        | N       | 7         | 0         | 0     | 0     | 0     | 1         | 1     | \$ 887,700   |
| R1   | 136 RAULT CONDO LLC                   | R7-2  | 136 SULLIVAN STREET | 10012 | R        | N       | 7         | 0         | 0     | 0     | 0     | 1         | 1     | \$ 867,988   |
| R1   | MORTICIA REALTY LLC                   | R7-2  | 136 SULLIVAN STREET | 10012 | R        | N       | 7         | 0         | 0     | 0     | 0     | 1         | 1     | \$ 848,252   |
| R1   | KDS CAPITAL LLC                       | R7-2  | 136 SULLIVAN STREET | 10012 | R        | N       | 7         | 0         | 0     | 0     | 0     | 1         | 1     | \$ 1,084,983 |
| R1   | ABBIEY,JONATHAN,D                     | R7-2  | 136 SULLIVAN STREET | 10012 | R        | N       | 7         | 0         | 0     | 0     | 0     | 1         | 1     | \$ 928,540   |
| R1   | DEL BALSIO, PAUL                      | R7-2  | 175 SULLIVAN STREET | 10012 | R        | N       | 7         | 1         | 2002  | 0     | 0     | 1         | 1     | \$ 1,827,772 |
| R1   | DALLEY, JOSEPH                        | R7-2  | 175 SULLIVAN STREET | 10012 | R        | N       | 7         | 1         | 2002  | 0     | 0     | 1         | 1     | \$ 1,352,455 |
| R1   | KRONFELD, NICHOLAS                    | R7-2  | 175 SULLIVAN STREET | 10012 | R        | N       | 7         | 1         | 2002  | 0     | 0     | 1         | 1     | \$ 1,224,476 |
| R1   | KARAM, RHEA                           | R7-2  | 175 SULLIVAN STREET | 10012 | R        | N       | 7         | 1         | 2002  | 0     | 0     | 1         | 1     | \$ 777,203   |
| C4   | THOMPSON 69 LLC                       | R7-2  | 69 THOMPSON STREE   | 10012 | R        | N       | 5         | 2         | 1900  | 1988  | 0     | 9         | 10    | \$ 629,700   |
| C1   | 106 THOMPSON LLC                      | R7-2  | 106 THOMPSON STREE  | 10012 | R        | N       | 4         | 1         | 1900  | 1984  | 0     | 10        | 10    | \$ 425,800   |
| C4   | 207 SULLIVAN ASSOCIATES               | R7-2  | 207 SULLIVAN STREET | 10012 | R        | N       | 5         | 1         | 1900  | 0     | 0     | 10        | 10    | \$ 413,900   |
| C4   | 431 HOLDING COMPANY                   | R7-2  | 1 KING STREET       | 10012 | R        | N       | 5         | 1         | 1920  | 0     | 0     | 10        | 10    | \$ 384,100   |
| C7   | HOSKOB ASSOCIATES LLC                 | R7-2  | 92 THOMPSON STREE   | 10012 | R        | N       | 6         | 1         | 1900  | 1985  | 0     | 27        | 29    | \$ 354,621   |

# NO DISCLOSURE IN THE ADV OR THE CRD #

NOVEMBER 13TH, 2021 - ATTACHED - TIMELY FILED...

ADVISERS INVESTMENT TRUST: FILE NUMBER 811-22538  
CIK NUMBER: 1516523

ESTOPPEL: STATE FARM AND CEASE AND DESIST - NEW YORK SUPREME COURT MATTER 153974/2020

<https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=s5WAeCnxmd/hcOI4eTnbig==>

ESTOPPEL: THE ZUCKER FAMILY - NEW YORK SUPREME COURT MATTER 153974/2020  
<https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=Jf3Un/JaVXZwF7kvbaee4w==>

NO FINES FOR A FAILURE TO DISCLOSE A MATERIAL COURT MATTER EITHER?  
AND NO FINES FOR GROSS NEGLIGENCE AFTER LOSING (852,029,489.38) - LOOK AT THE PERCENTAGE CHANGE IN PRICE, AND THE ASSETS REPORTED BY LUDWIG IN THE EDGAR FILE.  
THERE IS AN ADDITIONAL LOSS OF ASSETS UNDER MANAGEMENT OF APPROXIMATELY \$912,000.00 = THEY USED THE INVESTED MONIES TO MERGE THE OUTFIT INTO A LARGER BLANKET WITH 3 AUDITORS...  
- GENIUS PLAN - NO SIDE LETTERS THERE - ESPECIALLY WHEN I ACTUALLY CALLED A FIRM LIKE THAT TO DO MY PERSONAL TAXES ONE YEAR AS WELL... WAS QUOTED ABOUT \$15,000.00

TCRReport-16491-117-831-823

4X[FINRA INVESTOR COMPLAINTS FILED ON BEHALF OF THE INVESTORS FOR THE LOSSES REGISTERED AND DURING THE RELEVANT PERIOD ]



- HERE ARE THE ESTIMATES, ABOVE - ARE PAYMENTS AND RECEIPTS FOR THE TEN YEARS PRIOR.

Notices of Property Value

|                     |                           |
|---------------------|---------------------------|
| 2022 - 2023         | <a href="#">15-Jan-22</a> |
| 2021 - 2022         | <a href="#">15-Jan-21</a> |
| 2020 - 2021         | <a href="#">15-Jan-20</a> |
| 2019 - 2020         | <a href="#">15-Jan-19</a> |
| 2018 - 2019         | <a href="#">15-Jan-18</a> |
| 2017 - 2018         | <a href="#">15-Jan-17</a> |
| 2016 - 2017         | <a href="#">15-Jan-16</a> |
| Revised 2015 - 2016 | <a href="#">13-May-15</a> |
| 2015 - 2016         | <a href="#">15-Jan-15</a> |
| 2014 - 2015         | <a href="#">15-Jan-14</a> |
| 2013 - 2014         | <a href="#">15-Jan-13</a> |
| 2012 - 2013         | <a href="#">15-Jan-12</a> |
| 2011 - 2012         | <a href="#">15-Jan-11</a> |
| 2010 - 2011         | <a href="#">15-Jan-10</a> |

FORWARD AS YOU FEEL COMFORTABLE... ALL PUBLICLY AVAILABLE TAX RECORDS - OBSTRUCTED IN NY SUPREME MATTER 153974/2020 AS WELL: UNDISCLOSED.

THOSE ARE ALL NY DEPT. OF STATE FEDERAL TAX RECEIPTS & RECORDS AND ALSO © 2022 FINRA, All Rights Reserved - FINRA is a registered trademark of the Financial Industry Regulatory Authority, Inc.

<https://brokercheck.finra.org/firm/summary/43036>

Direct Owners and Executive Officers

| Name  |
|---|
| STATE FARM INVESTMENT MANAGEMENT (CRD#: 3487) |
| HARBERT, RANDALL HOUSTON (CRD#:2992788)       |
| HINTZ, SCOTT ALEXANDER (CRD#:2004555)         |
| LUDWIG, TERRENCE MICHAEL (CRD#:3231040)       |
| MINEAU, SARAH (CRD#:4634160)                  |
| MONK, JOSEPH RILEY JR (CRD#:1357149)          |
| MOORE, DAVID MICHAEL (CRD#:2876793)           |
| PRESTON, BRIAN LYNN (CRD#:4312143)            |
| SMITH, PAUL JOSEPH (CRD#:4971235)             |
| TIPSORD, MICHAEL LEON (CRD#:1943922)          |

ON NOVEMBER 22ND, LOOK AT THE BRAINS ON PRICE WATERHOUSE - FILED THE SAME FOR THE NEW - IMPROVED - ADVISER IN THE MERGER.

## 11-01519 STATE FARM ASSOCIATES FUNDS TRUSTS

### *Opinions on the Financial Statements*

We have audited the accompanying statements of assets and liabilities, including the schedules of investments, of State Farm Growth Fund, State Farm Balanced Fund, State Farm Interim Fund, and State Farm Municipal Bond Fund (four of the funds constituting Advisers Investment Trust, hereafter collectively referred to as the "Funds") as of September 30, 2021, the related statements of operations for the period December 1, 2020 through September 30, 2021 and the year ended November 30, 2020, the statements of changes in net assets for the period December 1, 2020 through September 30, 2021 and for each of the two years in the period ended November 30, 2020, including the related notes, and the financial highlights for each of the periods indicated therein (collectively referred to as the "financial statements"). In our opinion, the financial statements present fairly, in all material respects, the financial position of each of the Funds as of September 30, 2021, the results of each of their operations for the period December 1, 2020 through September 30, 2021 and the year ended November 30, 2020, the changes in each of their net assets for the period December 1, 2020 through September 30, 2021 and for each of the two years in the period ended November 30, 2020 and each of the financial highlights for each of the periods indicated therein in conformity with accounting principles generally accepted in the United States of America.

### *Basis for Opinions*

These financial statements are the responsibility of the Funds' management. Our responsibility is to express an opinion on the Funds' financial statements based on our audits. We are a public accounting firm registered with the Public Company Accounting Oversight Board (United States) (PCAOB) and are required to be independent with respect to the Funds in accordance with the U.S. federal securities laws and the applicable rules and regulations of the Securities and Exchange Commission and the PCAOB.

We conducted our audits of these financial statements in accordance with the standards of the PCAOB. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement, whether due to error or fraud.

Our audits included performing procedures to assess the risks of material misstatement of the financial statements, whether due to error or fraud, and performing procedures that respond to those risks. Such procedures included examining, on a test basis, evidence regarding the amounts and disclosures in the financial statements. Our audits also included evaluating the accounting principles used and significant estimates made by management, as well as evaluating the overall presentation of the financial statements. Our procedures included confirmation of securities owned as of September 30, 2021 by correspondence with the custodian and brokers; when replies were not received from brokers, we performed other auditing procedures. We believe that our audits provide a reasonable basis for our opinions.

November 22, 2021

We have served as the auditor of one or more investment companies in Advisers Investment Trust since 2011.

PricewaterhouseCoopers LLP, One North Wacker, Chicago, IL 60606  
T: (312) 298 2000, [www.pwc.com/us](http://www.pwc.com/us)

PER THE SEC...

<https://www.sec.gov/info/accountants/audit042707.htm>

:: FILED :: WITH THIS AREA FOR INVESTMENT ADVISORS ( FORWARD GUIDANCE ) WITH THE DISCLOSURE REVIEW OFFICE.

Investment Companies (mutual funds, closed-end funds, business development companies, unit investment trusts, interval funds and exchange-traded funds) and Insured Investments (variable annuities and variable life insurance)  
Chief Counsel's Office (CCO)

HERE JUST KEEP SCROLLING... MORE LOSSES BTW SINCE MARCH 31, 2021 - FILED QUARTERLY - JUST LIKE THE ZUCKERS TAXES IN THE REPO

<https://github.com/BSCPGROUPHOLDINGSLLC/ELSER-AND-DICKER/pull/11>

JUST LIKE A WELL RECEIVED DOCKET IN THE NY SUPREME COURT THAT WAS NOT FORWARDED BY THE CLERK...

- I TOOK IT UPON MYSELF TO HANDLE THIS AND ANNEXED EARLIER.... DOCKET 212----213 also

<https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=gcMSDaFzm0ynPeXZKSHgLQ==>

THIS NOTICE - TO STATE FARM DIRECT [2020.06.03] [2020.07.20] [2020.08.13] IN THE TOP RIGHT CORNER OF DOCKET 440

[https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=BxluYplBuwK\\_PLUS\\_olmmNb1SGA==](https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=BxluYplBuwK_PLUS_olmmNb1SGA==)

THEY REPORTED YoY GROWTH OF MENTAL INCOME (INTENTIONALLY SPELLED THAT WAY)

BECAUSE RENTAL INCOME WAS ALSO ANNEXED AS "A HIGH RATE OF VACANCY" BY COUNSELORS FOR THE ZUCKER.

[117 SULLIVAN STREET - BLOCK 803 - LOT 11](#)

TAXES PAID IN A QUARTERLY FASHION - NO FLAGS ---- JUST LIKE A CAR WITH NO UNPAID PARKING TICKETS.

<https://github.com/users/BSCPGROUPHOLDINGSLLC/projects/1#column-18309490>

- 74TH/PARK AVENUE - PLEASE HAVE THEM EVALUATED... YOU HAVE TO CALL EMS - THEY WILL HAVE TO FIND THEM FIRST.

### TERRENCE LUDWIG SIGHTING.

State Farm Variable Universal Life Insurance Policy

STATE FARM LIFE INSURANCE CO VARIABLE LIFE SEPARATE ACCOUNT: Form N-6 Filer (Variable Life **UIT** Separate Account)

[https://www.sec.gov/Archives/edgar/data/0001027856/000102785621000001/xslFormN-CEN\\_X01/primary\\_doc.xml](https://www.sec.gov/Archives/edgar/data/0001027856/000102785621000001/xslFormN-CEN_X01/primary_doc.xml)

at first, i was going to sign this with a signature, but after I realized how stupid these counselors are for aiding and abetting - I feel much better just using the documents from ACRIS that I annexed instead.

- Plus, I still can't find the number for this other individual...

### EXHIBIT(S) - AC0 (Motion #001)

ACRIS Detailed Document Information

(2019000021408)2019010800475001

<https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=ze6a1KA9akRV9TGfXXJT/g==>

### EXHIBIT(S) - AC1 (Motion #001)

ACRIS Detailed Document Information

(2020000155422)2020052000291003

<https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=bVk8sIt7n3kGwHqebPg0fw==>

### EXHIBIT(S) - AC2 (Motion #001)

ACRIS Detailed Document Information

(2020000155421)2020052000291002

<https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=wTG2YD2PqXuxmoKqFiESrw==>

### EXHIBIT(S) - AC3 (Motion #001)

ACRIS Detailed Document Information

(2020000155422)2020052000291003

[https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=au8qh7Dn66hrVmJ9DX\\_PLUS\\_bdg==](https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=au8qh7Dn66hrVmJ9DX_PLUS_bdg==)

### EXHIBIT(S) - AC4 (Motion #001)

ACRIS Detailed Document Information

(2020000155423)2020052000291004

<https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=/yhElCiKJ0BGv2DF/MOn4g==>  
[EXHIBIT\(S\) - ACR \(Motion #002\)](#)  
ACRIS.NYC.GOV >> ASSIGNMENT OF LEASE AND RENTS ON FILED ON MAY 26TH - <https://a836-acris.nyc.gov/>  
<https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=gcMSDaFzm0ynPeXZKSHgLQ==>

Plus, I emailed others as well.

/S/ BO DINCER.

[BONDSTRT@PROTONMAIL.COM](mailto:BONDSTRT@PROTONMAIL.COM)  
[BO.DINCER@YAHOO.COM](mailto:BO.DINCER@YAHOO.COM)  
[BDINCER211@BLOOMBERG.NET](mailto:BDINCER211@BLOOMBERG.NET)  
[BDINCER1768@BLOOMBERG.NET](mailto:BDINCER1768@BLOOMBERG.NET)  
[BDINCER1738@BLOOMBERG.NET](mailto:BDINCER1738@BLOOMBERG.NET)

- THOSE BLOOMBERGS WERE DE-ACTIVATED BY THE JUNIOR VARSITY COUNSELORS AT COLUMBIA - ALSO COUNSELORS...  
- NOT MY WELL-DEAN THOUGH. PLEASE? ILL SIGN A WAIVER.

SEE ALSO: November 13, 2021.

AKA 1212-58-58 [cockarens@vouchersrus.org](mailto:cockarens@vouchersrus.org)

I'll circle this around to the smaller firms for you as well.... AFTER YOU DO NOTHING ABOUT IT - TWICE.

- NO FINES, NO DISCLOSURES, NO DAMAGES, NOT BY THE SEC OR BY FINRA?

#### EFFECTIVELY

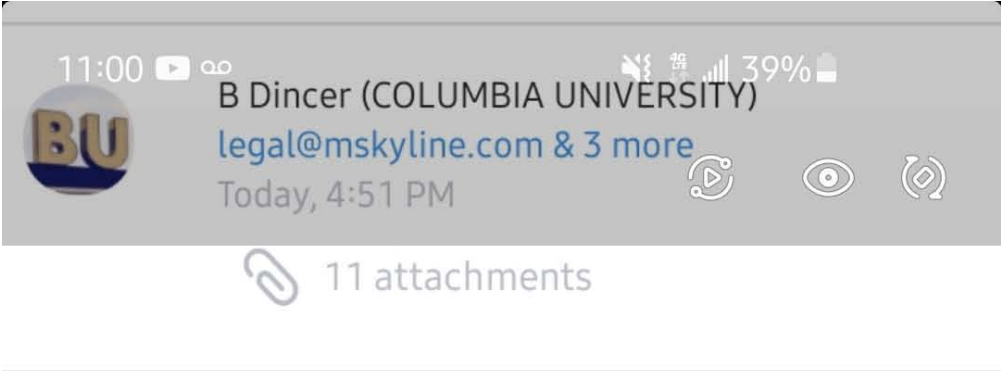
YOU ARE PERMITTING THEM TO CONTINUE WITH THEIR BUSINESS AFFAIRS WITHOUT ANY FAIR CHANCE FOR THOSE \$250.00 ENVELOPES GOING IN AND OUT OF ONE STATE FARM PLAZA, IN BLOOMINGTON, IL, 61710 AND IN \$50.00 INCREMENTS

FULL KNOWLEDGE BY A PUBLICLY TRADED BROKER OF RECORD, WHO HAS A CERTAIN CONFLICT OF INTEREST AS A "PROMOTING BROKER" OR A FAMILY MEMBER OF A COMMUNITY BOARD IN BROOKLYN [bk01@cb.nyc.gov](mailto:bk01@cb.nyc.gov)??A

THE ONLY PERSON THERE I KNOW SINCE 2009, WHO PRESENTLY IS STILL AN EXECUTIVE AT THE FIRM.

THAT WOULD BE MR. JAMES GORMAN - WHO I INFORMED ON THE 16TH OF NOVEMBER 2021 - FILED A COMPLAINT ON BEHALF OF THE INVESTORS TO GET HIS ATTENTION...

- ALSO DURING THE MERGER PERIOD.



From: B Dincer (COLUMBIA UNIVERSITY) At: 11/16/21 14:33:15 UTC-5:00  
To: [Matt Harvey \(STATE FARM MUTUAL AU\)](#), [bondstr@protonmail.com](#), [bdincer66@icloud.com](#)  
Cc: [slackowitz@mskyline.com](#), [lzucker@mskyline.com](#), [legal@mskyline.com](#)  
Subject: Fwd:NYSCEF >> REBNY >> SEC >> 483 \*\*\* MATERIAL CORPORATE ACTIONS \*\*\* pl\_BGBGBG



From: B Dincer (COLUMBIA UNIVERSITY) At: 11/16/21 13:53:35 UTC-5:00  
To: [JAMES.GORMAN@MORGANSTANLEY.COM](#)  
Cc: [Irfan Susilo \(BLOOMBERG/ 919 3RD A\)](#), [Bryan Bugyi \(BLOOMBERG/ 919 3RD A\)](#), [Ho Tak Tsang \(BLOOMBERG/ 919 3RD A\)](#), [Bam Compliance \(BALYASNY ASSET MANAG\)](#), [Clsa Compliance \(CLSA HONG KONG\)](#), [Andrea Peterson \(SECURITIES & EXCHANGE\)](#), [Andrew Shelton \(SECURITIES & EXCHANGE\)](#), [legal@mskyline.com](#), [slackowitz@ingramllp.com](#), [abanews@ame](#), [nyscef@nycourts.com](#)  
Subject: NYSCEF >> REBNY >> SEC >> 483 \*\*\* MATERIAL CORPORATE ACTIONS \*\*\* pl\_BGBGBG

NOTE: Index #: 153974/2020  
>> I FILED A COMPLAINT WITH THE SEC ON FINANCIAL FRAUD CLAIMS OF AN APPROXIMATE MARK UP FROM '2395 > '2995...  
>> THE LEASES WERE ASSIGNED TO A PUBLIC ARM OF THE STATE FARM INSURANCE CORP.  
>> SO I FILED A TRP WITH THE SECURITIES AND EXCHANGE COMMISSION; AND TO MAKE SURE AUDIT THEIR BOOKS AND RECORDS (WHICH ARE COOKED).  
  
FORWARD THIS TO YOUR COMPLIANCE DEPT.  
... JUST IN CASE, WITH ALL DUE RESPECT, YOURS TRULY.  
  
pl\_BGBGBG  
  
- Caption: Sullivan Properties L.P. v. Baris Dincer  
- 483 ADMISSION OF SERVICE AND STIPULATION NYSCEF  
- NONJOINER... BECAUSE THEY MISREPORTED EARNINGS JOHN.  
  
**Filing Status: Full Participation Recorded Assigned Case Judge: Shlomo Hagler**  
**During the COVID-19 Health Emergency By order of the Chief Administrative Judge,**  
**the court shall NOT request working copies of documents in paper format.**  
  
Documents Received  
Doc #  
Document  
Received Date



THANK YOU AGAIN JOSEPHINE FOR YOUR PROMPT RESPONSES... PLEASE HANDLE THIS.

re: mov DISTRIBUTIONS

#1 DISCO.



#BBONFL #NW

----- Forwarded Message -----

Subject:Fwd: [ SFBDX ] [ SFITX ] [ STFBX ] [STFGX ] — "STATE FARM REALTY MORTGAGE LLC. "  
Date:Mon, 4 Apr 2022 15:14:24 -0500  
From:B D2022 <ms60710444266@yahoo.com>

To:WebfileHelp@cpa.texas.gov, unclaimed.property@cpa.texas.gov, Treasury.operations@cpa.texas.gov, TexasABLE@cpa.texas.gov, texas.tomorrow@cpa.texas.gov, statewide.accounting@cpa.texas.gov, spd.outreach@cpa.texas.gov, ptad.cpa@cpa.texas.gov, open.records@cpa.texas.gov, ombudsman@tdcj.texas.gov, oigspecialops@tdcj.texas.gov, oig.openrecords@tdcj.texas.gov, judiciary@cpa.texas.gov, exempt.orgs@cpa.texas.gov, econ.dev@cpa.texas.gov, court.costs@cpa.texas.gov, BIANCA 00088govtldx HARRIMON <citysecretary@mundaytexas.com>, INFO@ingramllp.com, asbpolicy@fdic.gov <asbpolicy@fdic.gov>, assessments@fdic.gov <assessments@fdic.gov>, assetmarketing@fdic.gov, careers@fdic.gov, Depositorservices@fdic.gov, efoia@fdic.gov <efoia@fdic.gov>, MediaRequests@fdic.gov <MediaRequests@fdic.gov>, MATT LOWE <mlowe@fdic.gov>, OCMgmtGrp@fdic.gov <OCMgmtGrp@fdic.gov>, oighotline@fdicoig.gov <oighotline@fdicoig.gov>, publicinfo@fdic.gov <publicinfo@fdic.gov>, realestateforsale@fdic.gov, Regs@fdic.gov <Regs@fdic.gov>, supervision@fdic.gov <supervision@fdic.gov>

NO DISCLOSURE OF A FINE / NO DISCLOSURE OF THE LEGAL NYSCEF MATTER 153974/2020

- I REQUESTED AN ESTOPPEL IN 2020.

MISS LASKOWITZ WHO WORKS WITH THE PLAINTIFF IN 153974/2020

SENT A LETTER WITH THE NAME: "STATE FARM REALTY MORTGAGE, L.L.C"

"REQUESTING THAT ABSTAIN AND CEASE TO CONTACT AND NOT RESTRAIN THEM"

TO THEIR AIDING AND ABETTING TO THE ABOVE OBSTRUCTION OF JUSTICE

WHERE ALL MEMBERS, CERTAIN OF THOSE WHO ARE ALSO NOT LICENSED AS BROKERS IN THE STATE OF NEW YORK - FAILED TO DISCLOSE A MATERIAL OUTSIDE BUSINESS: STATE FARM REALTY MORTGAGE LLC.

----- Forwarded Message -----

Subject:[ SFBDX ] [ SFITX ] [ STFBX ] [STFGX ] — "STATE FARM REALTY MORTGAGE LLC. "  
Date:Mon, 4 Apr 2022 15:12:48 -0500  
From:B D2022 <ms60710444266@yahoo.com>  
To:Josephine.Vella@finra.org <Josephine.Vella@finra.org>, BONDSTRT PROTON <bondstrt@protonmail.com>

MISSES VELLA..

<https://www.sec.gov/Archives/edgar/data/93715/999999999721005790/filename1.pdf>  
<https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=NvtIUa5Jls0V4/OF7/XIGg==>

ACRIS TAX NOTES - NO PRIOR RELATIONS THERE EITHER... IF YOU ASK HAIIERIE --- YOU MIGHT GET THAT DEATH SENTENCE LETTER AS WELL

>> [https://a836-acris.nyc.gov/DS/DocumentSearch/DocumentImageView?Doc\\_ID=2008050900467003](https://a836-acris.nyc.gov/DS/DocumentSearch/DocumentImageView?Doc_ID=2008050900467003)  
>> [https://a836-acris.nyc.gov/DS/DocumentSearch/DocumentImageView?Doc\\_ID=2008050900467002](https://a836-acris.nyc.gov/DS/DocumentSearch/DocumentImageView?Doc_ID=2008050900467002)

PAGE 5 of 67

[https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=IY8iDH\\_PLUS\\_UpVanEtcRioef3A==](https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=IY8iDH_PLUS_UpVanEtcRioef3A==)

FOLLOWING THE NOTICE TO MR. TUDOR JONES EARLIER...

- I HAVE ALSO BCCed MR. STEVEN COHEN AS WELL TO THIS MESSAGE HERE.
- A SEPARATE MATTER - WHICH IS OF GREAT IMPORTANCE AS REFERENCE BELOW IN THE DISTRIBUTION OF MY PRIVACY BY THE COUNSELORS OF THE ZUCKERS, NOTWITHSTANDING THEIR ORGANIZATION CAUSED FALTER TO THE INTEGRITY OF THE MARKET IN THEIR EXCUSE, AFTER EXCUSE AFTER EXCUSE AND IN THEIR AFFIDAVITS OF EMERGENCY... TO AVOID PAYMENT OF TAXES WHICH I HAVE ACCOUNTED FOR - TO THE EXCESS OF ROUGHLY 200,000,000.00 OWED TO THE NEW YORK DEPARTMENT OF STATE FOR THE 6 BUILDINGS USED AS COLLATERAL - ANNEXED IN THE MATTER OF 153974/2020

NOT A SINGLE NOTATION AND/OR DISCLOSURE AT THIS POINT. REGARDING THE OUTSIDE BUSINESS OF  
— "STATE FARM REALTY MORTGAGE LLC. "  
<https://reports.adviserinfo.sec.gov/reports/ADV/3487/PDF/3487.pdf>

BELOW ---- A FELONY AND AS OBSERVED UNDER THE CONSTITUTION AND IN ANY JURISDICTION WAS AIDED AND ABETTED TO BY

THE ELSER & DICKER FIRM — WITHOUT ANY CARE OR CONCERN FOR THE \$10 BILLION DOLLARS AT RISK THEN, OR NOW...

TO PROTECT THEIR OWN PERSONAL AND BUSINESS OBJECTIVE AS THE ZUCKERS ORGANIZATIONS, THE ELSERS, AND THE PURPORTED INGRAM FIRM.. ARE ALL RESPONSIBLE FOR COLLUDING OF SECURITIES FRAUD... AND THE CERTAIN VIOLATION OF PRIVACY THAT COMES WITH THE ADDITIONAL CENSURE TO PRACTICE LAW - NOTWITHSTANDING PRISON TIME FOR ALL OF THOSE WHO FAILED IN THEIR GOOD WILL AND FIDUCIARY IN THEIR CAPACITIES AS ATTORNEYS ON BEHALF OF PLAINTIFFS

# NO DISCLOSURE IN THE ADV OR THE CRD #

NOVEMBER 13TH, 2021 - ATTACHED - TIMELY FILED...

ADVISERS INVESTMENT TRUST: FILE NUMBER 811-22538  
CIK NUMBER: 1516523

ESTOPPEL: STATE FARM AND CEASE AND DESIST.

<https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=s5WAeCnxmd/hcOI4eTnbig==>

ESTOPPEL: THE ZUCKER FAMILY. <https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=Jf3Un/JaVXZwF7kvbaee4w==>

BARRET EARNED HIMSELF 30 MONTHS OF PRISON FOR A FAILURE TO RESPOND IN A OTHER CASE... SO STOP HELPING THESE DEGENERATES BEFORE I PROVIDE THEIR PRIVILEGE TO THE INTELLIGENT ONES HEADQUARTERED AT 9 WEST 57TH STREET, AND OTHER BUILDINGS THAT YOU PROBABLY HAVE NEVER HEARD OF...

FROM 101 WEST 55TH STREET, AND / OR THEIR HOMES

<https://github.com/users/BSCPGROUPHOLDINGSLLC/projects/1>

SEE ALSO: INTERESTED PARTIES BELOW:

Monetary/Fine \$75,000.00

AGENT WHO OFFERED AND SOLD UNREGISTERED SECURITIES IN PA IN VIOLATION OF THE PA SECURITIES ACT OF 1972

LOAN - INVESTMENT PROFESSIONAL NOTARIZED

STATE FARM VP MANAGEMENT CORP. FAILED TO REASONABLY NOTIFY THEIR INVESTORS BECAUSE THESE ATTORNEYS AND PLAINTIFFS WANTED TO AVOID A CERTAIN FINE AND JAIL TIME.

THE LOSSES DURING THE LAST PERIOD OF FILING BY MR.LUDWIG IS DOCUMENTED.

THE LOSSES EQUAL TO APPROXIMATELY (852,029,489.38) FOLLOWING THE REPORT PERIOD, HOWEVER DURING THE PERIOD OF MERGER AND THROUGH THE NEW YEAR, DOES NOT REPRESENT THE SAME.

NOVEMBER 13TH, 2021 AND YOU PERMITTED THIS TO CONTINUE?

STATE FARM VP MANAGEMENT CORP.

State Farm VP Management Corp [ FIRM 43036 ]

Central Registration Depository (CRD)

<https://brokercheck.finra.org/firm/summary/43036>

Securities fraud also known as stock fraud and investment fraud, is a deceptive practice in the stock or commodities markets that induces investors to make purchase or sale decisions on the basis of false information, frequently resulting in losses, in violation of securities laws.

1. WILSON DICKER & ELSER FIRM.

2. THE ZUCKER ORGANIZATIONS

- COLLECTIVELY WITH THEIR AFFILIATED ENTITIES AIDED THIS ENORMOUS LOSS...

WHICH WAS AIDED AND ABETTED WITHOUT ANY DISCLOSURE BY ANY MEMBER REGISTERED

AND IN THE SECURITIES & EXCHANGE FORM ADV... ALSO A MATERIAL OMISSION "NOT COVERED"

· For "yes" answers to the following questions, complete a Civil Judicial Action DRP:

[https://files.brokercheck.finra.org/individual/individual\\_2992788.pdf](https://files.brokercheck.finra.org/individual/individual_2992788.pdf)

PHILIP J. REUTER CRD #4847079

- <https://brokercheck.finra.org/individual/summary/4847079>

DEALER 1: NORTHWESTERN MUTUAL INVESTMENT SERVICES, LLC (CRD#:2881)  
ADVISOR 1: NORTHWESTERN MUTUAL INVESTMENT SERVICES,LLC (CRD#:2881)

- NOT REGISTERED MEMBER IN NEW YORK STATE HAS ON BEHALF OF THE STATE FARM REALTY MORTGAGE LLC WHICH IS OWNED IN PART AS DISCLOSED BY THE OPERATING MEMBER FIRM

ON BEHALF OF THE SUB MANAGER OF THE STATE FARM ASSURANCES TRUST.  
[ NORTHWESTERN MUTUAL INVESTMENT SERVICES ]

THE EXECUTION OF AN UNREGISTERED SECURITY IN THE TRANSFER OF "UNLAWFUL ASSETS" PER THE FDIC EXECUTED AND EXTENDED THIS LETTER OF CREDIT AND INDEMNITY, WITHOUT HIMSELF BEING REGISTERED IN THE STATE OF NEW YORK - IS ALSO AN AFFILIATED OUTSIDE BUSINESS WHICH IS DISCLOSED BY MR. RANDALL HOUSTON HARBERT.

THE STATE FARM VP MANAGEMENT CORP. AS ITS OUTSIDE BUSINESS

- FAILED TO REPORT STATE FARM REALTY MORTGAGE LLC AS AN OUTSIDE BUSINESS ACTIVITY, DESPITE HAVING REPORTED THE STATE FARM LIFE INSURANCE COMPANY AS AN OUTSIDE BUSINESS

NYSCEF DOC. NO. 386 PAGE NUMBER 42/67  
08/10/2020 03:42 PM

FILED: NEW YORK COUNTY CLERK 08/10/2020 03:42 PM INDEX NO. 153974/2020  
NYSCEF DOC. NO. 386 RECEIVED NYSCEF: 08/10/2020

STATE FARM REALTY MORTGAGE, L.L.C.

By: State Farm Life Insurance Company,  
its Managing Member

By:   
Philip J. Reuter  
Investment Professional

By:   
Matthew D. Melick  
Assistant Secretary

STATE OF ILLINOIS, COUNTY OF McLEAN, SS.:

BE IT REMEMBERED that on this 14<sup>th</sup> day of May, 2020, before me, the subscriber, personally appeared Philip J. Reuter, the Investment Professional, and Matthew D. Melick, the Assistant Secretary of STATE FARM LIFE INSURANCE COMPANY, managing member of STATE FARM REALTY MORTGAGE, L.L.C., who, I am satisfied, are the persons who have signed the within instrument, and I having first made known to them the contents thereof they acknowledged that they signed, sealed with the corporate seal and delivered the said instrument in their respective capacities as officers of such corporation, and that the within instrument is the voluntary act and deed of said corporation on behalf of said limited liability company, made by virtue of authority from its Board of Directors.



  
Molly Goodrich  
Notary Public

SIGNATURE AND ACKNOWLEDGMENT PAGE  
CONSOLIDATED, AMENDED AND RESTATED MORTGAGE AND SECURITY  
AGREEMENT

THERE IS NO OUTSIDE BUSINESS THAT IS REPORTED BY ANY MEMBER OR DIRECTOR OF THE STATE FARM VP MANAGEMENT CORP. IN THE SCOPE OF STATE FARM REALTY MORTGAGE LLC WHICH HAS BEEN DISCLOSED ONLY BY ONE PERSONS. STATE FARM REALTY MORTGAGE LLC  
  
IS A PARENT OF STATE FARM LIFE INSURANCE COMPANY

STATE FARM LIFE INSURANCE COMPANY

IS REPORTED BY THEIR CURRENT AND PRESENT STATE FARM VP MANAGEMENT CORP. [ CRD NUMBER 43036]

HOWEVER AS A MANAGING MEMBER OF STATE FARM REALTY MORTGAGE L.L.C

- IS NOT REPORTED .

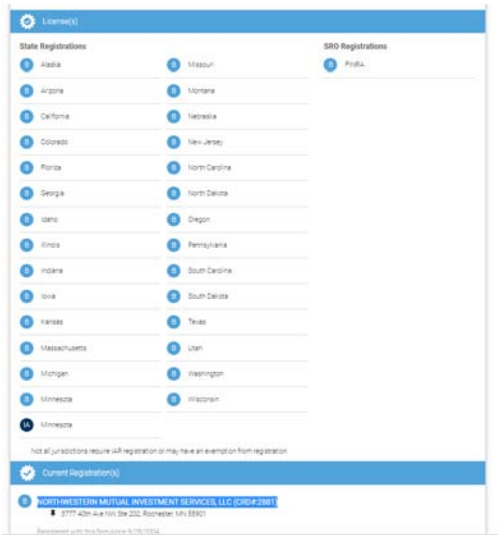
--- IT'S PARENT IN THIS CASE AND UNDER ITS AGENTS, DIRECTORS, AND SUB-MANAGER OF THE STATE FARM ASSURANCES TRUST, HAD NO LICENSE TO SELL AN UNREGISTERED SECURITY [WHICH IS WORSE THAN A LETTER OF CREDIT THAT IS UNDISCLOSED] AS STATED IN TWO ANNUAL REPORTS BY THE STATE FARM ASSURANCES TRUST, GUARANTEED WITHOUT LIMITATION OF THE TAX PAYMENTS IN THE EVENT THAT "SULLIVAN PROPERTIES L.P." DEFAULTED.

THIS WOULD MAKE IT VERY DIFFICULT TO \*\*\*DEFENSE\*\*\* THE LOAN – AND BY NO MEANS UNDER THE COVERAGE OF “OMISSIONS” PER THE MR. LUDWIG, WAS EXECUTED DURING THE PERIOD OF INDENTURE THEN IS ALSO NOT COVERED BY THE NEW ENTITY WHO WAS ASSUMED THE POSITION OF INVESTMENT MANAGER AND WITHOUT ANY CHANGE TO THE SUB-ADVISOR AS STATED PREVIOUSLY.

\*\*\* A defeased security is a bond which, after its issuance, has its outstanding debt collateralized by cash equivalents or risk-free securities. The funds used as collateral are sufficient to meet all payments of principal and interest on the outstanding bonds as they become due. \*\*\*

Monetary/Fine \$155,000.00

ASSESSING THE SANCTIONS IN THIS MATTER, FINRA TOOK INTO ACCOUNT THE FACT THAT, BEFORE FINRA COMMENCED ANY EXAMINATION INTO ANNUAL DELIVERY OF UPDATED MUTUAL FUND PROSPECTUSES, THE FIRM SELF-REPORTED THE FAILURES TO DELIVER UPDATED PROSPECTUSES, AND FOLLOWING FINRA'S INVESTIGATION OF THE FIRM'S FAILURE TO TIMELY DELIVER MUTUAL FUND PROSPECTUSES, THE FIRM SELF-REPORTED TO FINRA THAT ITS SERVICE PROVIDER DID NOT DELIVER CERTAIN UPDATED PROSPECTUSES DURING A PERIOD.



NORTHERN TRUST AND THE NORTHWEST MUTUAL INVESTMENT SERVICES ARE INDIRECTLY RELATED, HOWEVER IN THE SAME INDUSTRY.

HARBERT, RANDALL HOUSTON (CRD#:2992788) <<https://brokercheck.finra.org/individual/summary/2992788>>

SR. VICE PRESIDENT AND DIRECTOR

[https://files.brokercheck.finra.org/individual/individual\\_2992788.pdf](https://files.brokercheck.finra.org/individual/individual_2992788.pdf)

Employment History, continued

| Employment        | Employer Name                                  | Position                        | Investment Related | Employer Location              |
|-------------------|--|---------------------------------|--------------------|--------------------------------|
| 12/2011 - Present | State Farm Investment Management Corp.         | Sr. Vice President and Director | Y                  | Bloomington, IL, United States |
| 12/2011 - Present | State Farm Lloyds, Inc.                        | Director                        | N                  | Bloomington, IL, United States |
| 03/2016 - 04/2019 | State Farm General Insurance Company           | Director                        | N                  | Bloomington, IL, United States |
| 12/2011 - 01/2016 | State Farm Health Insurance Company            | Director                        | N                  | Bloomington, IL, United States |
| 12/2011 - 01/2016 | State Farm Life Insurance Company              | Director                        | N                  | Bloomington, IL, United States |
| 12/2011 - 01/2016 | State Farm Life and Accident Assurance Company | Director                        | N                  | Bloomington, IL, United States |
| 01/2011 - 01/2013 | STATE FARM MUTUAL AUTOMOBILE INSURANCE COMPANY | SENIOR VICE PRESIDENT           | Y                  | BLOOMINGTON, IL, United States |

Other Business Activities

This section includes information, if any, as provided by the broker regarding other business activities the broker is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious or fraternal and is recognized as tax exempt.

SPECTRUM PARTNERS LLC/ NO/ 2012 LONGWOOD LANE BLOOMINGTON IL 61704/ ASSISTED LIVING COMPANY/ BOARD MEMBER/ 05/1996-PRESENT/ 0/ BOARD MEMBER-BOARD MEETINGS ONCE A YEAR.

NO DISCLOSURE OF A FINE / NO DISCLOSURE OF THE LEGAL NYSCEF MATTER 153974/2020

- I REQUESTED AN ESTOPPEL IN 2020.

MISS LASKOWITZ WHO WORKS WITH THE PLAINTIFF IN 153974/2020

SENT A LETTER AND NAMED THE: "STATE FARM REALTY MORTGAGE, L.L.C"

FURTHER REQUESTING ON BEHALF OF THE PLAINTIFFS THAT I:

"ABSTAIN AND CEASE TO CONTACT ANY REGULATOR"

THEIR AIDING AND ABETTING TO THE ABOVE OBSTRUCTION OF JUSTICE WAS IN PART CAUSAL TO THE NON-DISCLOSURES, NOTWITHSTANDING ALL OF THE ELSEY & DICKERS WHO IN THEIR FIDUCIARY ROLES, DESPITE MY NOTICE TO THEM PRIOR TO THESE FILINGS, AND IN THE CRD - SHEEPISHLY DID NOT PROVIDE ANY NOTICE TO THE REGULATORS, STATE FARM, AND ALSO AIDED AND ABETTED TO THIS.

WHERE ALL MEMBERS, CERTAIN OF THOSE WHO ARE ALSO NOT LICENSED AS BROKERS IN THE STATE OF NEW YORK - FAILED TO DISCLOSE A MATERIAL OUTSIDE BUSINESS: STATE FARM REALTY MORTGAGE LLC.

Registrations

This section provides the self-regulatory organizations (SROs) and U.S. states/territories the broker is currently registered and licensed with, the category of each license, and the date on which it became effective. This section also provides, for every brokerage firm with which the broker is currently employed, the address of each branch where the broker works.

This individual is currently registered with 1 SRO and is licensed in 1 U.S. state or territory through his or her employer.

| Employment 1 of 1   |  |          |            |
|---|--|----------|------------|
| Firm Name: STATE FARM VP MANAGEMENT CORP.                               |  |          |            |
| Main Office Address: ONE STATE FARM PLAZA<br>BLOOMINGTON, IL 61710-0001 |  |          |            |
| Firm CRD#: 43036  |  |          |            |
| SRO   | Category                               | Status   | Date       |
| FINRA   | Invest. Co and Variable Contracts      | APPROVED | 03/04/1998 |
| FINRA   | Investment Co./Variable Contracts Prin | APPROVED | 08/11/1999 |
| FINRA   | Operations Professional                | APPROVED | 10/18/2021 |
| U.S. State/ Territory   | Category                               | Status   | Date       |
| Illinois  | Agent                                  | APPROVED | 02/02/2012 |

Branch Office Locations

STATE FARM VP MANAGEMENT CORP.  
ONE STATE FARM PLAZA  
BLOOMINGTON, IL 61710-0001

Hey Josephine,

Docketed in the NEW YORK SUPREME COURT 1513974/2020 AND I SENT THIS TO YOU AND FILED A TCR WITH THE SECOND THE 13TH OF NOVEMBER WHILE MY GUIDANCE COUNSELOR - YOU WANT TO SEE MY SCHEDULE AT COLUMBIA UNIVERSITY FOR THIS TERM? THAT IS HOW DISGUSTED I AM BY THE BEHAVIOR OF MY GUIDANCE COUNSELORS ----- THEY ARE NOT AN IVY LEAGUE --- NOT UNTIL THEY ARE GONE... THE LAW SCHOOL AS WELL.

<https://www.nasdaq.com/market-activity/funds-and-etfs/stfgx/historical>



NEW YORK STATE SUPREME CASE: 153974/2020 = + THE IMMINENT PRISON FOR ALL OF THOSE INVOLVED TO AID IN TAX EVASION AND FINES WHICH NEED BE COLLECTED BY BOTH FINRA AND THE SECURITIES & EXCHANGE COMMISSIONS.  
FINRA enables investors and firms to participate in the market with confidence by safeguarding its integrity. AND I SEE NOTHING ON THERE ABOUT FILING

©2022 FINRA, All Rights Reserved

note: ©2022 FINRA [FEDERAL PRISON TIME ] CAN CAUSE CERTAIN DELUSIONS AND PANIC - LIKE RANDOMLY CHANGING INVESTMENT MANAGER STOCK PRICES FOR THE MUTUAL FUNDS WHICH DID NOT REPORT ANY MATERIAL INFORMATION IN THE EDGAR, OR IN THE FINRA CRD.

SOURCE: <https://www.nasdaq.com/market-activity/funds-and-etfs/>

| 11-30-2021: REPORT PRICE                | 3/31/2022 PX | TICKER | PERIOD CHANGE IN ASSET CHANGE |                  |
|---|--------------|--------|-------------------------------|------------------|
| 118.50                                  | 106.88       | STFGX  | LOSS                          | (642,041,002.77) |
| 92.93                                   | 87.17        | STFBX  | LOSS                          | (149,384,029.87) |
| 10.13                                   | 9.73         | SFITX  | LOSS                          | (18,899,996.89)  |
| 8.91                                    | 8.40         | SFBDX  | LOSS                          | (41,704,459.84)  |
| TOTAL CHANGE IN PERCENTAGE STOCK PRICES |              |        |                               | (852,029,489.38) |

[https://www.sec.gov/Archives/edgar/data/0000093715/000114554922006149/xsIFormN-CEN\\_X01/primary\\_doc.xml](https://www.sec.gov/Archives/edgar/data/0000093715/000114554922006149/xsIFormN-CEN_X01/primary_doc.xml)

ASSETS REPORTED 2021-11-30

ASSETS FOR EACH INDIVIDUAL TICKER FUND - UNDER THE INDENTURE OF SFITX 45-17G WERE NOT COVERED FOR OI ERRORS/

NO LEGAL INDEX 153974/2020 REPORTED BY ANY OF THESE CHARACTERS NOT RESOLVED EITHER--- 2  
OUTSTANDING THEN, AND AS OF 2022-03-31...

[ <https://reports.adviserinfo.sec.gov/reports/ADV/3487/PDF/3487.pdf> ]

Are you or any advisory affiliate now the subject of any civil proceeding that could result in a "yes" answer to any part of Item 11.H.(1)?

For "yes" answers to the following questions, complete a Civil Judicial Action DRP:

H.

(1) Has any domestic or foreign court:

(a) in the past ten years, enjoined you or any advisory affiliate in connection with any investment-related activity?

(b) ever found that you or any advisory affiliate were involved in a violation of investment-related statutes or regulations?

(c) ever dismissed, pursuant to a settlement agreement, an investment-related civil action brought against you or any advisory affiliate by a state or foreign financial regulatory authority?

(2) Are you or any advisory affiliate now the subject of any civil proceeding that could result in a "yes" answer to any part of Item 11.H.(1)?

FORM ADV: ASSETS UNDER MANAGEMENT: \$ 10,849,542,163  
FACSIMILE 1: 1-925-737-0147  
FACSIMILE 2: 309-763-9462

<https://brokercheck.finra.org/firm/summary/3487>  
<https://reports.adviserinfo.sec.gov/reports/ADV/3487/PDF/3487.pdf>

CIK NUMBER 1: 729056  
CIK NUMBER 2: 735063

STATE FARM VP MANAGEMENT CORP.

IS REGISTERED AND APPROVED AND OWNED BY  
STATE FARM INVESTMENT MANAGEMENT CORP. CRD#3487  
<https://brokercheck.finra.org/firm/summary/3487>

STATE FARM INVESTMENT MANAGEMENT CORP. AKA: STATE FARM INVESTMENT MANAGEMENT, STATE FARM INVESTMENT MANAGEMENT CORP.  
CRD#3487

TERMINATED

34 of 76

6/24/2022, 7:24 AM

STATE FARM INVESTMENT MANAGEMENT CORP.

STATE FARM INVESTMENT MANAGEMENT, STATE FARM INVESTMENT MANAGEMENT CORP.

CRD#: 3487/SEC#: 801-8184,8-13379

PR

Previously Registered Brokerage Firm

IA

Investment Adviser Firm

Visit SEC Site

Not currently registered as broker

0

Disclosures

Terminated

06/30/2001

SEC Registration Status

Corporation

Company Type

0

Self-Regulatory Orgs

0

U.S. States & Territories

General Information

Established in

Delaware since 12/09/1966

Type

Corporation

Fiscal Year End

November

Direct Owners and Executive Officers

| Name   | Position |
|--|----------|
| STATE FARM MUTUAL AUTOMOBILE INSURANCE COMPANY | OWNER    |

STATE FARM MUTUAL AUTOMOBILE INSURANCE COMPANY OWNS 75%  
OF: STATE FARM INVESTMENT MANAGEMENT CORP [ CRD FIRM 3487 ]

STATE FARM INVESTMENT MANAGEMENT CORP [ CRD FIRM 3487 ] OWNS 75%  
OF: STATE FARM VP MANAGEMENT CORP.

EACH OF THESE DIRECTORS AND OWNERS OF STATE FARM VP MANAGEMENT CORP...

HAVE FAILED TO DISCLOSE - JOINTLY AND SEVERALLY TO THE MATTERS IN 153974/2020 WITH INCONTROVERTIBLE KNOWLEDGE IN MY CROSS MOTION WHICH WOULD NOT BE ALLOWED BY THE CLERK - ANNEXED AS "STATE FARM REALTY MORTGAGE LLC" AND AT THE REQUEST OF THE PLAINTIFFS AND THEIR COUNSELORS "REQUESTED AND ANNEXED THAT I CEASE AND DESIST TO REPORT ANYTHING TO ANY REGULATOR"

"STATE FARM REALTY MORTGAGE LLC. "

OWING TO THE FACT THAT DIRECTOR UNDER THE SHAREHOLDER WHO IS "TERMINATED" AS A CRD MEMBER (AND IS A SHAREHOLDER OF THE STATE FARM VP MANAGEMENT CORP.) HOWEVER MR RANDALL HOUSTON HARBERT 2992788

HAS REPORTED several of the entities that have been previously fined by STATE FARM:

STATE FARM LIFE INSURANCE COMPANY, STATE FARM LLOYDS, AS REPORTED IN THE CRD ATTACHED HERE

IS ALSO A MUNICIPAL REPRESENTATIVE, SENIOR VICE PRESIDENT AND DIRECTOR, UNDER THE STATE FARM INVESTMENT #3487.

THE INDIVIDUALS WERE ALSO NOT REGISTERED IN THE STATE OF NEW YORK.

Direct Owners and Executive Officers

| Name  | Position  |
|---|---|
| STATE FARM INVESTMENT MANAGEMENT (CRD#: 3487) | SHAREHOLDER   |
| HARBERT, RANDALL HOUSTON (CRD#:2992788)       | SR. VICE PRESIDENT AND DIRECTOR   |
| HINTZ, SCOTT ALEXANDER (CRD#:2004555)         | VICE PRESIDENT- FINANCIAL AND SECRETARY   |
| LUDWIG, TERRENCE MICHAEL (CRD#:3231040)       | CHIEF COMPLIANCE OFFICER AND TREASURER, ANTI-MONEY LAUNDERIN<br>FOREIGN ASSETS CONTROL COMPLIANCE OFFICER |

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6/24/2022, 7:24 AM

| Name                                 | Position                        |
|--------------------------------------|---------------------------------|
| MINEAU, SARAH (CRD#:4634160)         | VICE PRESIDENT AND DIRECTOR     |
| MONK, JOSEPH RILEY JR (CRD#:1357149) | SR. VICE PRESIDENT AND DIRECTOR |
| MOORE, DAVID MICHAEL (CRD#:2876793)  | ASSISTANT SECRETARY & COUNSEL   |
| SMITH, PAUL JOSEPH (CRD#:4971235)    | SR. VICE PRESIDENT AND DIRECTOR |
| TIPSORD, MICHAEL LEON (CRD#:1943922) | PRESIDENT AND DIRECTOR          |

2020-2021 annual FILING

[https://www.sec.gov/Archives/edgar/data/0000093715/000114554921006167/xslFormN-CEN\\_X01/primary\\_doc.xml](https://www.sec.gov/Archives/edgar/data/0000093715/000114554921006167/xslFormN-CEN_X01/primary_doc.xml)

Item C.9. Investment advisers.  
a. Provide the following information about each investment adviser (other than a sub-adviser) of the Fund:  
Investment Advisers Record: 1

i. Full name

ii. SEC file number ( e.g., 801- )

iii. CRD number

iv. LEI, if any

v. State, if applicable

vi. Foreign country, if applicable

vii. Was the investment adviser hired during the reporting period?

State Farm Investment Management Corp.

801-8184

00003487

None

DELAWARE

UNITED STATES OF AMERICA

☐ Yes ☒ No

Investment Adviser Firm Summary

STATE FARM INVESTMENT MANAGEMENT CORP. ( CRD # 3487/SEC#:801-8184,8-13379 )  
STATE FARM INVESTMENT MANAGEMENT, STATE FARM INVESTMENT MANAGEMENT CORP.

VIEW LATEST FORM ADV FILED

PART 2 BROCHURES

The adviser's **REGISTRATION** status is listed below.

This adviser is also a brokerage firm

REGISTRATION STATUS

| SEC / JURISDICTION | REGISTRATION STATUS ⓘ | EFFECTIVE DATE |
|--------------------|-----------------------|----------------|
| SEC                | Approved              | 12/14/1971     |

NO LICENSE TO DO BUSINESS in New York as ] EACH REGISTERED CRD MEMBER

Principal/Supervisory Exam

Series 24 - General Securities Principal Examination

Dec 27, 2019

Series 27 - Financial and Operations Principal Examination

Feb 10, 2016

Series 26 - Investment Company Products/Variable Contracts Principal Examination

Jun 9, 2009

Add additional information including the candidate's previous designations accessible in the Detailed Record

Licenses(0)

State Registrations

Illinois

Illinois

New Mexico

New Mexico

Ohio

Ohio

South Dakota

South Dakota

Texas

SRD Registrations

FINRA

**LUDWIG, TERENCE MICHAEL** (CRD#:3231040) <<https://brokercheck.finra.org/individual/summary/3231040>>  
*CHIEF COMPLIANCE OFFICER AND TREASURER, ANTI-MONEY LAUNDERING AND OFFICE OF FOREIGN ASSETS CONTROL COMPLIANCE OFFICER*

**EXECUTED THE INDENTURE AS "TERRY LUDWIG" DOES NOT HAVE THIS AS A "OTHER KNOWN NAME" IN HIS CRD EITHER.**

**MR LUDWIG, ALSO WAS THE EXECUTOR OF:**  
<https://reports.adviserinfo.sec.gov/reports/ADV/3487/PDF/3487.pdf>

- NO REPORT OR DISCLOSURE THERE EITHER OF A PROCEDURE... THAT INVESTMENT

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6/24/2022, 7:24 AM

ADVISOR IS STILL IN BUSINESS.

THE ASSURANCES TRUST --- IS ILL-ADVISED BY ITS SUB MANAGER - BUT LET'S TALK ABOUT THAT LATER OK....

THE ASSETS REPORTED ARE SIMILAR TO THE ASSET "GROWTH PERIOD" FROM 11-30-2021 - 12-31-2021

- WHICH ON 03-31-2022 DID SUFFER THE LOSSES AS REPORTED ABOVE IN THE SPREADSHEET.

STATE FARM VP MANAGEMENT CORP. (#043036)

<https://brokercheck.finra.org/firm/summary/3487>

MONK, JOSEPH RILEY JR (CRD#:1357149) <<https://brokercheck.finra.org/individual/summary/1357149>>

SR. VICE PRESIDENT AND DIRECTOR

Examination(s)

State Securities Law Exam

Series 63 - Uniform Securities Agent State Law Examination Jul 16, 2010

General Industry/Products Exam

SIE - Securities Industry Essentials Examination Oct 1, 2018

Series 6 - Investment Company Products/Variable Contracts Representative Examination Apr 4, 1998

Principal/Supervisory Exam

Series 26 - Investment Company Products/Variable Contracts Principal Examination Jun 11, 2005

Additional information including this individual's professional designations is available in the Detailed Report.

License(s)

State Registrations

Illinois

SRO Registrations

FINRA

Current Registration(s)

STATE FARM VP MANAGEMENT CORP. (CRD#:43036)  
ONE STATE FARM PLAZA, BLOOMINGTON, IL 61710-0001

SMITH, PAUL JOSEPH (CRD#:4971235) <<https://brokercheck.finra.org/individual/summary/4971235>>

SR. VICE PRESIDENT AND DIRECTOR

Examination(s)

State Securities Law Exam

Series 63 - Uniform Securities Agent State Law Examination Nov 29, 2010

General Industry/Products Exam

SIE - Securities Industry Essentials Examination Oct 1, 2018

Series 6 - Investment Company Products/Variable Contracts Representative Examination Sep 19, 2005

Principal/Supervisory Exam

Series 26 - Investment Company Products/Variable Contracts Principal Examination Jun 6, 2007

Additional information including this individual's professional designations is available in the Detailed Report.

License(s)

State Registrations

Illinois

SRO Registrations

FINRA

Current Registration(s)

STATE FARM VP MANAGEMENT CORP. (CRD#:43036)  
ONE STATE FARM PLAZA, BLOOMINGTON, IL 61710-0001  
Registered with this firm since 9/20/2005

TIPSORD, MICHAEL LEON (CRD#:1943922) <<https://brokercheck.finra.org/individual/summary/1943922>>

PRESIDENT AND DIRECTOR

| Examination(s)  |              |
|---|--------------|
| ■ State Securities Law Exam   |              |
| Series 63 - Uniform Securities Agent State Law Examination  | Jul 30, 1992 |
| ■ General Industry/Products Exam  |              |
| SIE - Securities Industry Essentials Examination  | Oct 1, 2018  |
| Series 6 - Investment Company Products/Variable Contracts Representative Examination                              | Aug 27, 1990 |
| ■ Principal/Supervisory Exam  |              |
| Series 27 - Financial and Operations Principal Examination  | Dec 2, 2002  |
| Series 26 - Investment Company Products/Variable Contracts Principal Examination                                  | Nov 16, 2001 |
| Additional information including this individual's professional designations is available in the Detailed Report. |              |
| License(s)  |              |
| State Registrations   |              |
| Illinois  |              |
| SRO Registrations   |              |
|   | FINRA        |
| Current Registration(s)   |              |
| STATE FARM VP MANAGEMENT CORP. (CRD#:43036)   |              |
| ONE STATE FARM PLAZA, BLOOMINGTON, IL 61710-0001  |              |
| Registered with this firm since 5/4/2001  |              |

MOORE, DAVID MICHAEL (CRD#:2876793) <<https://brokercheck.finra.org/individual/summary/2876793>>  
ASSISTANT SECRETARY & COUNSEL

| Examination(s)  |              |
|---|--------------|
| ■ State Securities Law Exam   |              |
| Series 63 - Uniform Securities Agent State Law Examination  | Apr 18, 2000 |
| ■ General Industry/Products Exam  |              |
| SIE - Securities Industry Essentials Examination  | Oct 1, 2018  |
| Series 6 - Investment Company Products/Variable Contracts Representative Examination                              | Jun 16, 1997 |
| ■ Principal/Supervisory Exam  |              |
| Series 26 - Investment Company Products/Variable Contracts Principal Examination                                  | Apr 18, 2000 |
| Additional information including this individual's professional designations is available in the Detailed Report. |              |
| License(s)  |              |
| State Registrations   |              |
| Illinois  |              |
| SRO Registrations   |              |
|   | FINRA        |
| Current Registration(s)   |              |
| STATE FARM VP MANAGEMENT CORP. (CRD#:43036)   |              |
| ONE STATE FARM PLAZA, BLOOMINGTON, IL 61710-0001  |              |
| Registered with this firm since 4/26/2001   |              |

MINEAU, SARAH (CRD#:4634160) <<https://brokercheck.finra.org/individual/summary/4634160>>  
VICE PRESIDENT AND DIRECTOR

| Examination(s)  |              |
|---|--------------|
| ■ State Securities Law Exam   |              |
| Series 66 - Uniform Combined State Law Examination  | Sep 2, 2004  |
| Series 63 - Uniform Securities Agent State Law Examination  | Apr 24, 2003 |
| ■ General Industry/Products Exam  |              |
| SIE - Securities Industry Essentials Examination  | Oct 1, 2018  |
| Series 7 - General Securities Representative Examination  | Aug 11, 2004 |
| Series 6 - Investment Company Products/Variable Contracts Representative Examination                              | Apr 17, 2003 |
| ■ Principal/Supervisory Exam  |              |
| Series 26 - Investment Company Products/Variable Contracts Principal Examination                                  | May 22, 2006 |
| Additional information including this individual's professional designations is available in the Detailed Report. |              |
| License(s)  |              |
| SRO Registrations   |              |
|   | FINRA        |
| Current Registration(s)   |              |
| STATE FARM VP MANAGEMENT CORP. (CRD#:43036)   |              |
| ONE STATE FARM PLAZA, BLOOMINGTON, IL 61710-0001  |              |
| Registered with this firm since 3/31/2021   |              |

HINTZ, SCOTT ALEXANDER (CRD#:2004555) <<https://brokercheck.finra.org/individual/summary/2004555>>



VICE PRESIDENT - *FINANCIAL AND SECRETARY*

Examination(s)

State Securities Law Exam

B

Series 63 - Uniform Securities Agent State Law Examination

Dec 14, 1990

General Industry/Products Exam

B

SIE - Securities Industry Essentials Examination

Oct 1, 2018

B

Series 7 - General Securities Representative Examination

Nov 16, 1990

Principal/Supervisory Exam

B

Series 27 - Financial and Operations Principal Examination

Nov 15, 2018

B

Series 51 - Municipal Fund Securities Principal Examination

Aug 19, 2009

B

Series 26 - Investment Company Products/Variable ContractsPrincipal Examination

Oct 12, 2004

Additional information including this individual's professional designations is available in the Detailed Report.

License(s)

State Registrations

B

Illinois

B

Texas

SRO Registrations

B

FINRA

Current Registration(s)

B

STATE FARM VP MANAGEMENT CORP. (CRD#:43036)

✖

ONE STATE FARM PLAZA, BLOOMINGTON, IL 61710-0001

✖

1251 STATE STREET, SUITE 1000CITY LINE BUILDING 3, FLOOR 8, RICHARDSON, TX 75082-2031

Registered with this firm since 6/22/2004

no disclosure of the loan OR NEW YORK STATE MATTER 153974/2020

>> RANDALL HOUSTON HARBERT  
>> CRD# 2992788

STATE FARM LIFE INSURANCE COMPANY: attached 43036

STATE FARM INVESTMENT MANAGEMENT CORP.

STATE FARM INVESTMENT MANAGEMENT STATE FARM INVESTMENT MANAGEMENT CORP.

CRD#: 3487/SEC#: 801-8184,8-13379

Not currently registered as broker

Previously Registered Brokerage Firm

Investment Adviser Firm

Visit SEC Site

0

Disclosures

Terminated

06/30/2001

SEC Registration Status

Corporation

Company Type

Self Regulatory Orgs

U.S. States & Territories

General information

Established in

Delaware since 12/09/1966

Type

Corporation

Fiscal Year End

November

Direct Owners and Executive Officers

Name

Position

STATE FARM MUTUAL AUTOMOBILE INSURANCE COMPANY

OWNER

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6/24/2022, 7:24 AM

Examination(s)

■ State Securities Law Exam

Series 63 - Uniform Securities Agent State Law Examination

Aug 4, 2000

■ General Industry/Products Exam

SIE - Securities Industry Essentials Examination

Oct 1, 2018

Series 6 - Investment Company Products/Variable Contracts Representative Examination

Feb 27, 1998

■ Principal/Supervisory Exam

Series 51 - Municipal Fund Securities Principal Examination

May 28, 2003

Series 26 - Investment Company Products/Variable Contracts Principal Examination

Aug 11, 1999

Additional information including this individual's professional designations is available in the Detailed Report.

License(s)

State Registrations

Illinois

SRO Registrations

FINRA

Current Registration(s)

STATE FARM VP MANAGEMENT CORP. (CRD #:43036)

ONE STATE FARM PLAZA, BLOOMINGTON, IL 61710-0001

Registered with this firm since 3/4/1998

<https://reports.adviserinfo.sec.gov/reports/ADV/3487/PDF/3487.pdf>

ARTICLE V, SECTION 3 OF NASD BY-LAWS AND NASD CONDUCT RULES 2110 AND 3010; RESPONDENT SUBMITTED LATE TERMINATION NOTICE FOR SECURITIES INDUSTRY REGISTRATION (FORM US); IT FAILED TO ESTABLISH AND MAINTAIN A SUPERVISORY SYSTEM REASONABLY DESIGNED TO ACHIEVE COMPLIANCE WITH APPLICABLE SECURITIES LAWS AND REGULATIONS TO ENSURE THE TIMELY FILING OF FORMS US FOR INDIVIDUALS WHOSE REGISTRATIONS WERE TERMINATED

- YOU CAN'T SOLICIT IN NEW YORK, AND BROOKLYN IS IN THE STATE OF NEW YORK...

- JUST IN CASE SHARI WAS UNAWARE BECAUSE SHE DOESN'T UNDERSTAND THAT 101 WEST 55TH STREET, IS LOCATED IN ZIP-CODE 10019

--- THE STATE FARM CRD IS ATTACHED. [firm\_43036]



# HIGHLIGHTS: NETS VS. WARRIORS

November 16, 2021: Golden State Warriors vs. Brooklyn Nets - Highlights of Patty Mills, Bruce Brown, DeAndre' Bembry, Kevin Durant, James Harden, Jevon Carter

RECORDS of all affairs – notwithstanding those business interests as referenced ab

|                       |                                    |
|-----------------------|------------------------------------|
| <u>ACCOUNT NAME</u>   | BARIS DINCER                       |
| <u>BANK NAME</u>      | T.D. BANK, N/A                     |
| <u>BANK ADDRESS</u>   | 2 WALL STREET, NEW YORK, NY, 10038 |
| <u>ACCOUNT NUMBER</u> | 4375185563                         |
| <u>ROUTING NUMBER</u> | 026013673                          |

Most Respectfully,

|        |                     |
|--------|---------------------|
| /s/    | <b>BARIS DINCER</b> |
| Tel.:  | 646-256-3609        |
| Email: | BONDSTRT@PRO        |

FILED: NEW YORK COUNTY CLERK 08/10/2020 03:45 AM

INDEX

NYSCEF DOC. NO. 385

RECEIVED NYS

b-dincer66@outlook.com

From:

BARIS DINCER <b-dincer66@outlook.com> on behalf of b-dincer66@outlook.com

Sent:

Monday, August 10, 2020 2:43 AM

To:

slaskowitz@ingramllp.com

Cc:

Bo Dincer; 'LEGAL@MSKYLINE.COM'; 'LZUCKER@MSKYLINE.COM'

Subject:

RE: STATE FARM.

Attachments:

CCF\_000031.pdf; 153974\_2020  
\_Sullivan\_Properties\_L\_P\_v\_Baris\_Dincer\_EXHIBIT\_S\_\_312.pdf

Miss Laskowitz,

I informed your client of this material information and want no involvement with your personal affairs and dealings with Plaintiffs, and more specifically in their claims as attested to and as referenced in the attachments.

You can accept this as a courtesy and treat this as "time is of the essence"; I don't want to enjoin or restrain in any fraudulent behavior or placed in false-light, aiding or abetting, or enjoined in these business dealings which you are privy to.

Have a good evening.

BD.

On 4/3/2022 9:46 AM, Bo Dincer wrote:

Hey Laurie, have Sharie call them from 150 east 42nd street and ask Haggler if he can write a check to cover the \$852,029,489.38 lost in stock value, without the penalties and no Loan , by any means. Also the 10,210 sqft township of a house with 22 units, is taxes at a dime a brick. I looked one last week it was a 25 footer with a backyard for \$7.5 milliomm and just shy of 1000 sq ft.

One story. Landmarked and tenement carriage house from the early 20th century werent covered then for the indenture... because that one is NOT owned by the Zucker - free and clear ofnthe Loan risk.

Even if Shari will annex the loan ... if attorney for Plaintiff motions an tilts her head and crosses her arms , she can also chop a dime off of 10 billion dollars (8.5%) on a front end treasury mutual fund.

Which she did, by way of obstruction, and with her elves at 150 east 42nd street, new york, NY 10017.

The most respectfully detonated invesment manager State Farm Assursnces trust forgot to fire their compliance officer btw...

He must been part of the kansas city shuffle, nothing filed there either to warn the new and improved managers with the same sub managers, the Northern Trust. Assured and assumed the liability of Loan 50074 inclusive of the guarantee of tax in the event of insolvency.

Also, those properties and Loan >>> not disclosed by the new investment manager. Plus the old one, not registered in the State of New York notarized the loan by their investment proffrssionals at one state farm plaza --- no loan--- even when annexed and if Shari says dont telll the regulators. I don't listen to her , and neither should anybody.

On Sun, Apr 3, 2022 at 10:09 AM, Bo Dincer  
<[bdincer66@icloud.com](mailto:bdincer66@icloud.com)> wrote:

How is the State Farm investment manager holding up?  
- they forgot to fire the compliance officer...

Still will not attest or claim ownership to a Loan - even if their lawyers annex the loan in a supreme court of law

Not registered both the ia and The broker dealer to do business in New York State.  
Notarized in New York State ... for consideration.

But no loans, even the ones annexed by their licensed investment professionals who notarized under the umbrella.

Other Inquiries [ just one ]

**Series Licensing (e.g., Series 6, 7, 63, 65, 66)**  
**Division of Trading and Markets**  
Phone: (202) 551-5777 | E-mail: [TradingandMarkets@sec.gov](mailto:TradingandMarkets@sec.gov)

**1 QUESTION**

**YOU HAVE HER NUMBER? BECAUSE IF GO TO T-MOBILE... ITS A WHOLE OTHER ISSUE...**

*101 WEST 55TH STREET, NEW YORK, NY, 10019*  
*150 EAST 42ND STREET, NEW YORK, NY, 10017*  
811-01519 STATE FARM ASSOCIATES FUNDS TRUSTS.pdf

NYSCEF DOC. NO. 315 RECEIVED NYSCEF: 08/09/2020

Loan 50074 = undisclosed + CRD non-disclosures to aid and further abet for the **Securities Fraud** in the prior...

full disclosure

\*\*\* the new Investment Manager \*\*\*

I already have filed a report...

just take a look below...

<https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=gcmSDaFzm0ynPeXZKSHgLQ==>

811-01519 STATE FARM ASSOCIATES FUNDS TRUSTS.pdf

***Opinions on the Financial Statements***

We have audited the accompanying statements of assets and liabilities, including the schedules of investments, of State Farm Growth Fund, State Farm Balanced Fund, State Farm Interim Fund, and State Farm Municipal Bond Fund (four of the funds constituting Advisers Investment Trust, hereafter collectively referred to as the “Funds”) as of September 30, 2021, the related statements of operations for the period December 1, 2020 through September 30, 2021 and the year ended November 30, 2020, the statements of changes in net assets for the period December 1, 2020 through September 30, 2021 and for each of the two years in the period ended November 30, 2020, including the related notes, and the financial highlights for each of the periods indicated therein (collectively referred to as the “financial statements”). In our opinion, the financial statements present



fairly, in all material respects, the financial position of each of the Funds as of September 30, 2021, the results of each of their operations for the period December 1, 2020 through September 30, 2021 and the year ended November 30, 2020, the changes in each of their net assets for the period December 1, 2020 through September 30, 2021 and for each of the two years in the period ended November 30, 2020 and each of the financial highlights for each of the periods indicated therein in conformity with accounting principles generally accepted in the United States of America.

**Basis for Opinions**

These financial statements are the responsibility of the Funds’ management. Our responsibility is to express an opinion on the Funds’ financial statements based on our audits. We are a public accounting firm registered with the Public Company Accounting Oversight Board (United States) (PCAOB) and are required to be independent with respect to the Funds in accordance with the U.S. federal securities laws and the applicable rules and regulations of the Securities and Exchange Commission and the PCAOB.

We conducted our audits of these financial statements in accordance with the standards of the PCAOB. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement, whether due to error or fraud.

Our audits included performing procedures to assess the risks of material misstatement of the financial statements, whether due to error or fraud, and performing procedures that respond to those risks. Such procedures included examining, on a test basis, evidence regarding the amounts and disclosures in the financial statements. Our audits also included evaluating the accounting principles used and significant estimates made by management, as well as evaluating the overall presentation of the financial statements. Our procedures included confirmation of securities owned as of September 30, 2021 by correspondence with the custodian and brokers; when replies were not received from brokers, we performed other auditing procedures. We believe that our audits provide a reasonable basis for our opinions.

November 22, 2021

We have served as the auditor of one or more investment companies in Advisers Investment Trust since 2011.

PricewaterhouseCoopers LLP, One North Wacker, Chicago, IL 60606  
T: (312) 298 2000, [www.pwc.com/us](http://www.pwc.com/us)

<https://www.sec.gov/info/accountants/audit042707.htm>

:: FILED :: WITH THIS AREA FOR INVESTMENT ADVISORS ( FORWARD GUIDANCE ) WITH THE DISCLOSURE REVIEW OFFICE.

Investment Companies (mutual funds, closed-end funds, business development companies, unit investment trusts, interval funds and exchange-traded funds) and Insured Investments (variable annuities and variable life insurance)  
Chief Counsel's Office (CCO)

----- Forwarded Message -----

**Subject:**[ SFBDX ] [ SFITX ] [ STFBX ] [ STFGX ] — NO DISCLOSURES FOR THE OLD OR NEW INVESTORS.  
**Date:**Fri, 1 Apr 2022 07:01:14 -0500  
**From:**B D2022 <[ms60710444266@yahoo.com](mailto:ms60710444266@yahoo.com)>  
**To:**[asbpolicy@fdic.gov](mailto:asbpolicy@fdic.gov) <[asbpolicy@fdic.gov](mailto:asbpolicy@fdic.gov)>, [assessments@fdic.gov](mailto:assessments@fdic.gov) <[assessments@fdic.gov](mailto:assessments@fdic.gov)>, [assetmarketing@fdic.gov](mailto:assetmarketing@fdic.gov), [careers@fdic.gov](mailto:careers@fdic.gov), [Depositorservices@fdic.gov](mailto:Depositorservices@fdic.gov), [efoia@fdic.gov](mailto:efoia@fdic.gov) <[efoia@fdic.gov](mailto:efoia@fdic.gov)>, [MediaRequests@fdic.gov](mailto:MediaRequests@fdic.gov) <[MediaRequests@fdic.gov](mailto:MediaRequests@fdic.gov)>, [MATT LOWE](mailto:MATT LOWE) <[mllowe@fdic.gov](mailto:mllowe@fdic.gov)>, [OCMgmtGrp@fdic.gov](mailto:OCMgmtGrp@fdic.gov) <[OCMgmtGrp@fdic.gov](mailto:OCMgmtGrp@fdic.gov)>, [oighotline@fdicoig.gov](mailto:oighotline@fdicoig.gov) <[oighotline@fdicoig.gov](mailto:oighotline@fdicoig.gov)>, [publicinfo@fdic.gov](mailto:publicinfo@fdic.gov) <[publicinfo@fdic.gov](mailto:publicinfo@fdic.gov)>, [realestateforsale@fdic.gov](mailto:realestateforsale@fdic.gov), [Regs@fdic.gov](mailto:Regs@fdic.gov) <[Regs@fdic.gov](mailto:Regs@fdic.gov)>, [supervision@fdic.gov](mailto:supervision@fdic.gov) <[supervision@fdic.gov](mailto:supervision@fdic.gov)>, [Sec News](mailto:Sec News) <[webmaster@sec.gov](mailto:webmaster@sec.gov)>, [texas@sec.gov](mailto:texas@sec.gov), [shareholderproposals@sec.gov](mailto:shareholderproposals@sec.gov) <[shareholderproposals@sec.gov](mailto:shareholderproposals@sec.gov)>, [Secretarys-Office@sec.gov](mailto:Secretarys-Office@sec.gov), [Rule-Comments@rule-comments@sec.gov](mailto:Rule-Comments@rule-comments@sec.gov), [publicinfo@sec.gov](mailto:publicinfo@sec.gov), [philadelphia@sec.gov](mailto:philadelphia@sec.gov), [Pamela Gibbs \[SEC\]](mailto:Pamela Gibbs [SEC]) <[OMWI@sec.gov](mailto:OMWI@sec.gov)>, [Ombudsman@sec.gov](mailto:Ombudsman@sec.gov), [Carl Hoecker \[SEC\]](mailto:Carl Hoecker [SEC]) <[OIG@sec.gov](mailto:OIG@sec.gov)>, [oca@sec.gov](mailto:oca@sec.gov) <[oca@sec.gov](mailto:oca@sec.gov)>, [NYROBankruptcy@SEC.GOV](mailto:NYROBankruptcy@SEC.GOV), [Newyork@sec.gov](mailto:Newyork@sec.gov), [Kids Privacy](mailto:Kids Privacy) <[kidsprivacy@viacomcbs.com](mailto:kidsprivacy@viacomcbs.com)>, [oig.openrecords@tdcj.texas.gov](mailto:oig.openrecords@tdcj.texas.gov), [VSprivacy@victoria.com](mailto:VSprivacy@victoria.com), [TradingandMarkets@sec.gov](mailto:TradingandMarkets@sec.gov)  
**CC:**[Josephine.Vella@finra.org](mailto:Josephine.Vella@finra.org) <[Josephine.Vella@finra.org](mailto:Josephine.Vella@finra.org)>, [FINRA Product Management](mailto:FINRA Product Management) <[finraproductmanagement@finra.org](mailto:finraproductmanagement@finra.org)>, [megan.disciullo@pwc.com](mailto:megan.disciullo@pwc.com) <[megan.disciullo@pwc.com](mailto:megan.disciullo@pwc.com)>, [larissa.vonlockner@pwc.com](mailto:larissa.vonlockner@pwc.com) <[larissa.vonlockner@pwc.com](mailto:larissa.vonlockner@pwc.com)>, [ryan.a.cangialosi@pwc.com](mailto:ryan.a.cangialosi@pwc.com) <[ryan.a.cangialosi@pwc.com](mailto:ryan.a.cangialosi@pwc.com)>, [kivalena.starr@pwc.com](mailto:kivalena.starr@pwc.com) <[kivalena.starr@pwc.com](mailto:kivalena.starr@pwc.com)>, [jordana.strosberg@pwc.com](mailto:jordana.strosberg@pwc.com) <[jordana.strosberg@pwc.com](mailto:jordana.strosberg@pwc.com)>, [will.b.hodges@pwc.com](mailto:will.b.hodges@pwc.com) <[will.b.hodges@pwc.com](mailto:will.b.hodges@pwc.com)>, [jennifer.vanoss@pwc.com](mailto:jennifer.vanoss@pwc.com) <[jennifer.vanoss@pwc.com](mailto:jennifer.vanoss@pwc.com)>, [Amy Hanrahan](mailto:Amy Hanrahan) <[amy.hanrahan@wilsonelser.com](mailto:amy.hanrahan@wilsonelser.com)>, [Alan Rubin](mailto:Alan Rubin) <[alan.rubin@wilsonelser.com](mailto:alan.rubin@wilsonelser.com)>, [Ashley V. Humphries](mailto:Ashley V. Humphries) <[ashley.humphries@wilsonelser.com](mailto:ashley.humphries@wilsonelser.com)>, [stationservices@cbs2ny.com](mailto:stationservices@cbs2ny.com) <[stationservices@cbs2ny.com](mailto:stationservices@cbs2ny.com)>, [iard@finra.org](mailto:iard@finra.org), [IARrules@sec.gov](mailto:IARrules@sec.gov), [bofamarkets@bofa.com](mailto:bofamarkets@bofa.com) <[bofamarkets@bofa.com](mailto:bofamarkets@bofa.com)>

NYSCEF DOC. NO. 315 RECEIVED NYSCEF: 08/09/2020

Loan 50074 = undisclosed + CRD non-disclosures to aid and further abet for the Securities fraud

<https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=gcMSDaFzm0ynPeXZKSHgLQ==>

**Securities fraud**, also known as stock fraud and investment fraud, is a deceptive practice in the stock or commodities markets that induces investors to make purchase or sale decisions on the basis of false information, frequently resulting in losses, in violation of securities laws.

<https://www.nasdaq.com/market-activity/funds-and-etfs/stfgx/historical>

NEW YORK STATE SUPREME CASE: 153974/2020 = CAUSE FOR THE LOAN NON-DISCLOSE + THE IMMINENT PRISON FOR ALL OF THOSE INV  
FINRA enables investors and firms to participate in the market with confidence by safeguarding its integrity. AND I SEE NOTHING ON THERE  
©2022 FINRA, All Rights Reserved

note: ©2022 FINRA [FEDERAL PRISON TIME ] CAN CAUSE CERTAIN DELUSIONS AND PANIC - LIKE RANDOMLY CHANGING INVESTMENT M  
STOCK PRICES FOR THE MUTUAL FUNDS WHICH DID NOT REPORT ANY MATERIAL INFORMATION IN THE EDGAR, OR IN THE FINRA CRD.

SOURCE: <https://www.nasdaq.com/market-activity/funds-and-etfs/>

| 11-30-2021: REPORT PRICE                | 3/31/2022 CLOSE PRICETICKER | ASSETS ON THE BASIS OF PRICE CHAN |  |                |
|---|-----------------------------|-----------------------------------|--|----------------|
| 118.50                                  | 106.88STFGX                 | LOSS                              |  | (642,041,002.7 |
| 92.93                                   | 87.17STFBX                  | LOSS                              |  | (149,384,029.8 |
| 10.13                                   | 9.73SFITX                   | LOSS                              |  | (18,899,996.8  |
| 8.91                                    | 8.40SFBDX                   | LOSS                              |  | (41,704,459.8  |
| TOTAL CHANGE IN PERCENTAGE STOCK PRICES |                             |                                   |  | (852,029,489.3 |

[https://www.sec.gov/Archives/edgar/data/0000093715/000114554922006149/xslFormN-CEN\\_X01/primary\\_doc.xml](https://www.sec.gov/Archives/edgar/data/0000093715/000114554922006149/xslFormN-CEN_X01/primary_doc.xml)

ASSETS REPORTED 2021-11-30  
ASSETS FOR EACH INDIVIDUAL TICKER FUND - UNDER THE INDENTURE OF SFITX 45-17G WERE NOT COVERED  
NO LEGAL INDEX 153974/2020 REPORTED BY ANY OF THESE CHARACTERS  
OUTSTANDING THEN, AND AS OF 2022-03-31... NOT RESOLVED EITHER---

TOTAL LOSSES IN STOCK PRICE BETWEEN THE REPORT PERIOD 2022-11-30 ON THE BASIS OF ASSETS REPORTED AN %  
CHANGE IN PRICE IS AS FOLLOWS, FOR FY 2022:Q1...

| PERIOD ENDING  | 2022-03-31     | STFGX | LOSS | (642,041,002.7 |
|----------------|----------------|-------|------|----------------|
|                |                | STFBX | LOSS | (149,384,029.8 |
|                |                | SFITX | LOSS | (18,899,996.8  |
|                |                | SFBDX | LOSS | (41,704,459.8  |
| PERIOD SUMMARY | GAINS (LOSSES) |       |      | (852,029,489.3 |

[https://www.sec.gov/Archives/edgar/data/0000093715/000114554922006149/xslFormN-CEN\\_X01/primary\\_doc.xml](https://www.sec.gov/Archives/edgar/data/0000093715/000114554922006149/xslFormN-CEN_X01/primary_doc.xml)

PERIOD REPORTED 2021-11-30

I WENT AHEAD AND CHECKED CRD FOR THE MATERIAL PARTIES, AND BETWEEN THAT, THE  
OBSTRUCTION LETTER WHICH PROVES THAT STATE FARM WAS NOTIFIED AND DIDN'T  
DISCLOSE THE INFORMATION, AND THE \$852 MILLION DOLLARS LOST BY THOSE FOUR  
TICKERS....

THAT THESE LOSSES ARE MUTUAL AND UNDER THE UMBRELLA OF:

**State Farm Mutual Automobile Insurance Company**

**State Farm VP Management Corp. [CRD 43036]**  
SEC FILE NUMBER: [008-50128 ]

**STATE FARM INVESTMENT MANAGEMENT [ CRD 3487 ]**  
**AKA.: State Farm Investment Management Corp. [ SEC FILE NUMBER 801-8184]**

**THIS BROKER DEALER ALSO DID NOT DISCLOSE THE SUBSTANTIVE RISKS FOR  
THEIR INVESTORS?**

Item C.9. Investment advisers.

a. Provide the following information about each investment adviser (other than a sub-adviser) of the Fund:

Investment Advisers Record: 1

|  |   |
|--|---|
| i. Full name   | State Farm Investment Management Corp.                        |
| ii. SEC file number ( e.g., 801- )                                 | 801-8184  |
| iii. CRD number  | 000003487   |
| iv. LEI, if any  | N/A   |
| v. State, if applicable  | DELAWARE  |
| vi. Foreign country, if applicable                                 | UNITED STATES OF AMERICA                                      |
| vii. Was the investment adviser hired during the reporting period? | <input type="radio"/> Yes <input checked="" type="radio"/> No |

State Farm Associates Funds Trusts ----- [ HAS CEASED TO EXIST ]

1. HARBERT, RANDALL HOUSTON (CRD#:2992788)

[<https://brokercheck.finra.org/individual/summary/2992788>](https://brokercheck.finra.org/individual/summary/2992788)

SR. VICE PRESIDENT AND DIRECTOR
2. HINTZ, SCOTT ALEXANDER (CRD#:2004555)

[<https://brokercheck.finra.org/individual/summary/2004555>](https://brokercheck.finra.org/individual/summary/2004555)

VICE PRESIDENT - FINANCIAL AND SECRETARY
3. LUDWIG, TERENCE MICHAEL (CRD#:3231040)

[<https://brokercheck.finra.org/individual/summary/3231040>](https://brokercheck.finra.org/individual/summary/3231040)

CHIEF COMPLIANCE OFFICER AND TREASURER, ANTI-MONEY LAUNDERING AND OFFICE OF FOREIGN ASSETS CONTROL COMPLIANCE OFFICER
4. MINEAU, SARAH (CRD#:4634160)

[<https://brokercheck.finra.org/individual/summary/4634160>](https://brokercheck.finra.org/individual/summary/4634160)

VICE PRESIDENT AND DIRECTOR
5. MONK, JOSEPH RILEY JR (CRD#:1357149)

[<https://brokercheck.finra.org/individual/summary/1357149>](https://brokercheck.finra.org/individual/summary/1357149)

SR. VICE PRESIDENT AND DIRECTOR
6. MOORE, DAVID MICHAEL (CRD#:2876793)

[<https://brokercheck.finra.org/individual/summary/2876793>](https://brokercheck.finra.org/individual/summary/2876793)

ASSISTANT SECRETARY & COUNSEL
7. SMITH, PAUL JOSEPH (CRD#:4971235)

[<https://brokercheck.finra.org/individual/summary/4971235>](https://brokercheck.finra.org/individual/summary/4971235)

SR. VICE PRESIDENT AND DIRECTOR
8. TIPSORD, MICHAEL LEON (CRD#:1943922)

[<https://brokercheck.finra.org/individual/summary/1943922>](https://brokercheck.finra.org/individual/summary/1943922)

PRESIDENT AND DIRECTOR
9. STATE FARM INVESTMENT MANAGEMENT(CRD#: 3487)

SHAREHOLDER 75%

N-CEN:Part B: Information About the Registrant

Item B.1. Background information.

|  |                                    |
|--|------------------------------------|
| a. Full name of Registrant                         | State Farm Associates Funds Trusts |
| b. Investment Company Act file number (e.g., 811-) | 811-01519                          |
| c. CIK   | 0000093715                         |
| d. LEI   | 254900O40XUSSLGWSS55               |

Item B.2. Address and telephone number of Registrant.

|  |                          |
|--|--------------------------|
| a. Street 1  | One State Farm Plaza     |
| Street 2   |                          |
| b. City  | Bloomington              |
| c. State, if applicable                                    | ILLINOIS                 |
| d. Foreign country, if applicable                          | UNITED STATES OF AMERICA |
| e. Zip code and zip code extension, or foreign postal code | 617100001                |
| f. Telephone number (including country code if foreign)    | 8004470740               |
| g. Public Website, if any                                  | www.statefarm.com        |

2020-2021 annual FILING

https://www.sec.gov/Archives/edgar/data/0000093715/000114554921006167/xslFormN-CEN\_X01/primary\_doc.xml

2021-2022 annual FILING

https://www.sec.gov/Archives/edgar/data/0000093715/000114554922006149/xslFormN-CEN\_X01/primary\_doc.xml

P.S. THE FINRA BROKER CHECK THING DOESN'T SHOW ANY DISCLOSURES EITHER --- FOR MOM AND POP TO LOOK AT... SO IF THE AUM IS GREATER THAN WHAT IT SHOULD BE... THAT MEANS PEOPLE PURCHASED MORE OF THOSE TICKERS SINCE THE REPORTING PERIOD...

HAVE LOST APPROXIMATELY 1 BILLION DOLLARS SO FAR --- PLUS --- I AM ALSO WARNING YOU NOW.

- IF I DON'T SEE THOSE UPDATES, I WILL ASSUME THE SAME APPLIED TO OTHERS FOR PRECEDENT AS WELL.

STATE FARM MUTUAL AUTOMOTIVE INSURANCE COMPANY...

Line Institutions Record: 1

|                     |  |
|---------------------|--|
| Name of institution | State Farm Mutual Automobile Insurance Company |
|---------------------|--|

iv. Is the line of credit just for the Fund, or is it shared among multiple funds? ☒ Sole ☐ Shared

1. If shared, list the names of other funds that may use the line of credit.

Shared Credit Users Record: 1

|                               |                        |
|-------------------------------|------------------------|
| Name of fund                  | State Farm Growth Fund |
| SEC File number( e.g., 811- ) | 811-01519              |

Shared Credit Users Record: 2

|                               |                          |
|-------------------------------|--------------------------|
| Name of fund                  | State Farm Balanced Fund |
| SEC File number( e.g., 811- ) | 811-01519                |

Shared Credit Users Record: 3

|                               |                         |
|-------------------------------|-------------------------|
| Name of fund                  | State Farm Interim Fund |
| SEC File number( e.g., 811- ) | 811-01519               |

Shared Credit Users Record: 4

|                               |                                |
|-------------------------------|--------------------------------|
| Name of fund                  | State Farm Municipal Bond Fund |
| SEC File number( e.g., 811- ) | 811-01519                      |

v. Did the Fund draw on the line of credit this period? ☐ Yes ☒ No

|  |   |
|--|---|
| b. Did the Fund engage in interfund lending?   | <input type="radio"/> Yes <input checked="" type="radio"/> No |
| c. Did the Fund engage in interfund borrowing? | <input type="radio"/> Yes <input checked="" type="radio"/> No |

Item C.21. Swing pricing.

For open-end management investment companies, respond to the following:

|  |   |
|--|---|
| a. Did the Fund (if not a Money Market Fund, Exchange-Traded Fund, or Exchange-Traded Managed Fund) engage in swing pricing? | <input type="radio"/> Yes <input checked="" type="radio"/> No |
|--|---|

TICKER: STFGX  
SERIES: S000002055  
ITEM C.19  
AUM: \$6,547,492,153.903330000000

|   |          |          |                          |
|---|----------|----------|--------------------------|
| EDGAR FILED BY STATE FARM FOR THE PERIOD ENDING |          |          | 11/30/2021               |
| 2021-11-30                                      | PRICE    | 118.50   | State Farm Growth Fund   |
| 2022-03-31                                      | CURR. PX | 106.88   | TICKER: STFGX            |
| PRICE DIFFERENCE                                |          | 11.62    | ITEM C.19                |
| PERCENTAGE DIFFERENCE                           |          | -9.8059% | AUM: \$ 6,547,492,153.90 |
|   |          |          | LOSS (642,041,002.77)    |

SOURCE: <https://www.nasdaq.com/market-activity/funds-and-etfs/STFGX/historical>

State Farm Balanced Fund  
TICKER: STFBX  
SERIES: S000002056  
ITEM C.19  
AUM - \$2,410,114,218.052220000000

|   |          |          |                          |
|---|----------|----------|--------------------------|
| EDGAR FILED BY STATE FARM FOR THE PERIOD ENDING |          |          | 11/30/2021               |
| 2021-11-30                                      | PRICE    | 92.93    | State Farm Balanced Fund |
| 2022-03-31                                      | CURR. PX | 87.17    | TICKER: STFBX            |
| PRICE DIFFERENCE                                |          | 5.76     | ITEM C.19                |
| PERCENTAGE DIFFERENCE                           |          | -6.1982% | AUM: \$ 2,410,114,218.05 |
|   |          |          | LOSS (149,384,029.87)    |

SOURCE: <https://www.nasdaq.com/market-activity/funds-and-etfs/STFBX/historical>

State Farm Interim Fund  
TICKER: SFITX  
SERIES: S000002057  
ITEM C.19  
AUM: \$478,642,421.362222000000

|   |          |          |                         |
|---|----------|----------|-------------------------|
| EDGAR FILED BY STATE FARM FOR THE PERIOD ENDING |          |          | 11/30/2021              |
| 2021-11-30                                      | PRICE    | 10.13    | State Farm Interim Fund |
| 2022-03-31                                      | CURR. PX | 9.73     | TICKER: SFITX           |
| PRICE DIFFERENCE                                |          | 0.40     | ITEM C.19               |
| PERCENTAGE DIFFERENCE                           |          | -3.9487% | AUM: \$ 478,642,421.36  |
|   |          |          | LOSS (18,899,996.89)    |

SOURCE: <https://www.nasdaq.com/market-activity/funds-and-etfs/SFITX/historical>

State Farm Municipal Bond Fund  
TICKER: SFBDX  
SERIES: S000002058  
ITEM C.19  
AUM: 728,601,445.497778000000

|   |          |          |                                |
|---|----------|----------|--------------------------------|
| EDGAR FILED BY STATE FARM FOR THE PERIOD ENDING |          |          | 11/30/2021                     |
| 2021-11-30                                      | PRICE    | 8.91     | State Farm Municipal Bond Fund |
| 2022-03-31                                      | CURR. PX | 8.40     | TICKER: SFBDX                  |
| PRICE DIFFERENCE                                |          | 0.51     | ITEM C.19                      |
| PERCENTAGE DIFFERENCE                           |          | -5.7239% | AUM: \$ 728,601,445.50         |
|   |          |          | LOSS (41,704,459.84)           |

SOURCE: <https://www.nasdaq.com/market-activity/funds-and-etfs/SFBDX/historical>

AS FOR THE OTHER 40' ACT FUNDS WHO DO HAVE TO MAINTAIN ORDERLY RECORDS WITHOUT OBSTRUCTION - ALSO CARRIES THE IMPLIED RISK OF COLLATERAL ESTOPPEL WHEREBY THE ALL OTHER FIRMS UNDER THE AUSPICE OF THE REGULATORY AUTHORITIES - WHERE APPLICABLE - ARE EXEMPT

- HENCE THE SEC AND FINRA WILL HAVE A DIFFICULT TIME EXPLAINING HOW THIS WAS OVERLOOKED

- THE AUM (MARKET CAP) - INCREASED FOR SOME TICKERS...

INCREASED... WHICH MEANS PEOPLE BOUGHT MORE SHARES (OPEN ENDED FUND)

WITHOUT A PRIORI KNOWLEDGE OF THE MATERIAL SUBSTANCE IN 153974/2020

- THE PRICE HOWEVER FROM 11.16.2021 - 11.30.2021 INCREASED...



<https://github.com/BSCPGROUPHOLDINGSLLC/ELSER-AND-DICKER/pull/5>

<https://github.com/BSCPGROUPHOLDINGSLLC/ELSER-AND-DICKER/pull/11>

[ SFBDX ] [ SFITX ] [ STFBX ] [STFGX ]

— CRD BROKERS INVOLVED HAVE NOT UPDATED, OR RECEIVED ANY FINE FOR NON-DISCLOSURE?

NEITHER WAS THE CRD FINRA MEMBER FIRM.

# State Farm Investment Management Corp.

CRD NUMBER: 3487

Line Institutions Record: 1

Name of institution

State Farm Mutual Automobile Insurance Company

iv. Is the line of credit just for the Fund, or is it shared among multiple funds?

☐ Sole

☒ Shared

1. If shared, list the names of other funds that may use the line of credit.

Shared Credit Users Record: 1

Name of fund

State Farm Growth Fund

SEC File number( e.g., 811- )

811-01519

Shared Credit Users Record: 2

Name of fund

State Farm Balanced Fund

SEC File number( e.g., 811- )

811-01519

Shared Credit Users Record: 3

Name of fund

State Farm Interim Fund

SEC File number( e.g., 811- )

811-01519

Shared Credit Users Record: 4

Name of fund

State Farm Municipal Bond Fund

SEC File number( e.g., 811- )

811-01519

v. Did the Fund draw on the line of credit this period?

☐ Yes

☒ No

b. Did the Fund engage in interfund lending?

☐ Yes

☒ No

c. Did the Fund engage in interfund borrowing?

☐ Yes

☒ No

Item C.21. Swing pricing.

For open-end management investment companies, respond to the following:

a. Did the Fund (if not a Money Market Fund, Exchange-Traded Fund, or Exchange-Traded Managed Fund) engage in swing pricing?

☐ Yes

☒ No

THANK YOU FOR PERMITTING THE STATE FARM TO CONTINUE THE OBSTRUCTION WHICH IN MY OPINION ARE NOT FIT (LIKE SFITX, A SHORT TERM TREASURY BOND FUND)

NO DIFFERENT THAN TICKER: "SHY" -- WHICH I CERTAINLY AM NOT.

TOTAL LOSSES ON A WEIGHTED PERCENTAGE OF ASSETS REPORTED IN THE 2021-11-30 EDGAR =

\$852,029,489.38 IN LOSSES ON THE BASIS OF STOCK PRICES, IN AGGREGATE VALUE

APPROXIMATELY -8.3821155% ON THE BASIS OF

\$10,164,850,238.8155 UNDER MANAGEMENT IN THEIR FILING ON 2021-11-30

--- AS PURPORTED BY THE FILER 0000093715 HAD

49 of 76

6/24/2022, 7:24 AM

ONE STATE FARM PLAZA, OR

THREE STATE FARM PLAZA?

firm\_43036

IS NOT EVEN REGISTERED IN THE STATE OF NEW YORK BTW...

Director Record: 6

|  |   |
|--|---|
| a. Full Name   | Paul J Smith  |
| b. CRD number, if any  | 004971235   |
| c. Is the person an "interested person" of the Registrant as that term is defined in section 2(a)(19) of the Act (15 U.S.C. 80a-2(a)(19))?   | <input checked="" type="radio"/> Yes <input type="radio"/> No |
| d. Investment Company Act file number of any other registered investment company for which the person also serves as a director (e.g., 811-) |   |

File Number Record: 1

|                     |     |
|---------------------|-----|
| File Number Record: | N/A |
|---------------------|-----|

[ WHO DECIDED NOT TO MANAGE \$8 BILLION DOLLARS WITHOUT CAUSE ... RANDOMLY ... ]

/S/ BARIS DINCER

646-256-3609

MATERIAL NOTICE: NO FINES OR DISCLOSURE.. ALL MEMBERS REGISTERED... AT ONE STILL AND THREE STATE FARM PLAZA, THE BROKER DEALER AND THE INVESTMENT MANAGER..

BUT THIS NEW ONE... STILL USES THE NORTHERN TRUST AS A SUB MANAGER AND ALSO PWC AS THEIR AUDITOR.

<https://www.sec.gov/investment/contact/divisions-investment-imcontacthtm.html>

"THE NEW INVESTMENT FIRM --- OFF TO A GREAT START.. ALSO USES PWC FOR AUDIT BTW... "

**Opinions on the Financial Statements**

We have audited the accompanying statements of assets and liabilities, including the schedules of investments, of State Farm Growth Fund, State Farm Balanced Fund, State Farm Interim Fund, and State Farm Municipal Bond Fund (four of the funds constituting Advisers Investment Trust, hereafter collectively referred to as the “Funds”) as of September 30, 2021, the related statements of operations for the period December 1, 2020 through September 30, 2021 and the year ended November 30, 2020, the statements of changes in net assets for the period December 1, 2020 through September 30, 2021 and for each of the two years in the period ended November 30, 2020, including the related notes, and the financial highlights for each of the periods indicated therein (collectively referred to as the “financial statements”). In our opinion, the financial statements present fairly, in all material respects, the financial position of each of the Funds as of September 30, 2021, the results of each of their operations for the period December 1, 2020 through September 30, 2021 and the year ended November 30, 2020, the changes in each of their net assets for the period December 1, 2020 through September 30, 2021 and for each of the two years in the period ended November 30, 2020 and each of the financial highlights for each of the periods indicated therein in conformity with accounting principles generally accepted in the United States of America.

**Basis for Opinions**

These financial statements are the responsibility of the Funds’ management. Our responsibility is to express an opinion on the Funds’ financial statements based on our audits. We are a public accounting firm registered with the Public Company Accounting Oversight Board (United States) (PCAOB) and are required to be independent with respect to the Funds in accordance with the U.S. federal securities laws and the applicable rules and regulations of the Securities and Exchange Commission and the PCAOB.

We conducted our audits of these financial statements in accordance with the standards of the PCAOB. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement, whether due to error or fraud.

Our audits included performing procedures to assess the risks of material misstatement of the financial statements, whether due to error or fraud, and performing procedures that respond to those risks. Such procedures included examining, on a test basis, evidence regarding the amounts and disclosures in the financial statements. Our audits also included evaluating the accounting principles used and significant estimates made by management, as well as evaluating the overall presentation of the financial statements. Our procedures included

confirmation of securities owned as of September 30, 2021 by correspondence with the custodian and brokers; when replies were not received from brokers, we performed other auditing procedures. We believe that our audits provide a reasonable basis for our opinions.

November 22, 2021

We have served as the auditor of one or more investment companies in Advisers Investment Trust since 2011.

PricewaterhouseCoopers LLP, One North Wacker, Chicago, IL 60606  
T: (312) 298 2000, [www.pwc.com/us](http://www.pwc.com/us)

<https://www.sec.gov/info/accountants/audit042707.htm>

:: FILED :: WITH THIS AREA FOR INVESTMENT ADVISORS ( FORWARD GUIDANCE ) WITH THE DISCLOSURE REVIEW OFFICE.

Investment Companies (mutual funds, closed-end funds, business development companies, unit investment trusts, interval funds and exchange-traded funds) and Insured Investments (variable annuities and variable life insurance)  
Chief Counsel's Office (CCO)

ALSO FOR INTERPRETIVE QUESTIONS... CONTACTED THIS AREA  
Interpretive Questions (investment adviser registration, exempt reporting adviser reports, Forms ADV, ADV-E, ADV-H, ADV-NR, ADV-W)

LET ME KNOW WHEN YOU DECIDE TO UPDATE THOSE FOR THE PUBLIC SO THEY ARE INFORMED...

" FINRA enables investors and firms to participate in the market with confidence by safeguarding its integrity."

I SEE NOTHING ON THERE ABOUT A LAW SUIT FILED AND PENDING AND A NON-JOINDER IN FACT WOULD DEFAULT TO A MATERIAL LOSS AS WELL, FOR THE PLAINTIFFS ON MY CROSS MOTION. 153974/2020 - BUT UNFORTUNATELY.... THEY FAILED ON THE 1ST DOCKET AND 1ST PAGE TO HOLD ANY CLAIM OR ANY POSSIBILITY TO WIN - THE ADDRESS WAS ALSO AVOIDED TO INTENTIONALLY AND PRESENTS AN ADDITIONAL OBSTRUCTION OF JUSTICE - AND IS NOT AN ADDRESS WHERE I CAN SERVE ANYONE, NOR CAN I BE SERVED WITHOUT AN APARTMENT UNIT ON THEIR PURPORTED "REQUEST FOR JUDICIAL INTERVENTION" ---

On 3/31/2022 6:14 PM, B D2022 wrote:

----- Forwarded Message -----

**Subject:**Investment Company Act Release No. 34411 # TCR FILED # NORTHERN TRUST NOTIFIED.

**Date:**Thu, 31 Mar 2022 18:12:06 -0500

**From:**Work <[cockarens@vouchersrus.org](mailto:cockarens@vouchersrus.org)>

**To:**[clientservice@franchisepartners.com](mailto:clientservice@franchisepartners.com), [boaudit@bloomberg.net](mailto:boaudit@bloomberg.net) <[boaudit@bloomberg.net](mailto:boaudit@bloomberg.net)>, [jennifer@preaauditing.com](mailto:jennifer@preaauditing.com), [pam.olson@us.pwc.com](mailto:pam.olson@us.pwc.com) <[pam.olson@us.pwc.com](mailto:pam.olson@us.pwc.com)>, [MARY.C.MONFRIED@UK.PWC.COM](mailto:MARY.C.MONFRIED@UK.PWC.COM) <[MARY.C.MONFRIED@UK.PWC.COM](mailto:MARY.C.MONFRIED@UK.PWC.COM)>, [thermanson@northmarq.com](mailto:thermanson@northmarq.com) <[THERMANSON@NORTHMARQ.COM](mailto:THERMANSON@NORTHMARQ.COM)>, Newyork <[newyork@sec.gov](mailto:newyork@sec.gov)>, [CHAIR@SEC.GOV](mailto:CHAIR@SEC.GOV) <[CHAIR@SEC.GOV](mailto:CHAIR@SEC.GOV)>, [susan.olsen@us.pwc.com](mailto:susan.olsen@us.pwc.com) <[susan.olsen@us.pwc.com](mailto:susan.olsen@us.pwc.com)>, [brian.hodgson.nyz6@statefarm.com](mailto:brian.hodgson.nyz6@statefarm.com) <[brian.hodgson.nyz6@statefarm.com](mailto:brian.hodgson.nyz6@statefarm.com)>, [david.moore.ct95@statefarm.com](mailto:david.moore.ct95@statefarm.com), [Secretarys-Office@sec.gov](mailto:Secretarys-Office@sec.gov), [FundLegalGroup@cohenandsteers.com](mailto:FundLegalGroup@cohenandsteers.com)

**CC:**[mediarelations@ap.org](mailto:mediarelations@ap.org) <[mediarelations@ap.org](mailto:mediarelations@ap.org)>, [investor@annaly.com](mailto:investor@annaly.com) <[investor@annaly.com](mailto:investor@annaly.com)>, [JPMCinvestorrelations@jpmchase.com](mailto:JPMCinvestorrelations@jpmchase.com) <[JPMCinvestorrelations@jpmchase.com](mailto:JPMCinvestorrelations@jpmchase.com)>, [Josephine.Vella@finra.org](mailto:Josephine.Vella@finra.org) <[Josephine.Vella@finra.org](mailto:Josephine.Vella@finra.org)>

NOVEMBER 13TH, 2021 - ATTACHED - TIMELY FILED...

ADVISERS INVESTMENT TRUST: FILE NUMBER 811-22538  
CIK NUMBER: 1516523

ESTOPPEL: STATE FARM AND CEASE AND DESIST. <https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=s5WAeCnxmd/hcOI4eTnbig==>

ESTOPPEL: THE ZUCKER FAMILY. <https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=Jf3Un/JaVXZwF7kvbae4w==>

BARRET EARNED HIMSELF 30 MONTHS OF PRISON FOR A FAILURE TO RESPOND IN A OTHER CASE... SO STOP HELPING THESE DEGENERATES BEFORE I PROVIDE THEIR PRIVILEGE TO THE INTELLIGENT ONES HEADQUARTERED AT 9 WEST 57TH STREET, AND OTHER BUILDINGS THAT YOU PROBABLY HAVE NEVER HEARD OF...  
THESE ONES...

FROM 101 WEST 55TH STREET, AND / OR THEIR HOMES

- NONE OF MY BUSINESS WHERE THEY LIVE, OTHER THAN TO MAKE SURE THEY ARE IN PRISON.... IT'S LIKE STONEHEARTBST ASYLUM HOW THEY FUNCTION...

<https://www.sec.gov/Archives/edgar/data/0001516523/000119312521347485/d221423dncsr.htm>

2021-11-30 ANNUAL REPORT FOR REGISTERED INVESTMENT COMPANIES

[https://www.sec.gov/Archives/edgar/data/0000093715/000114554922006149/xslFormN-CEN\\_X01/primary\\_doc.xml](https://www.sec.gov/Archives/edgar/data/0000093715/000114554922006149/xslFormN-CEN_X01/primary_doc.xml)

FILER CIK: 0000093715

BY: State Farm Associates Funds Trust

TYPE: Form N-1A Filer (Mutual Fund)

PERIOD ENDING: 2021-11-30

2021-10-29 APPLICATION FOR DEREGISTRATION

<https://www.sec.gov/Archives/edgar/data/0000093715/999999999721005616/filename1.pdf>

UNDER SECTION 8(f) OF THE INVESTMENT COMPANY ACT OF 1940

Hearing requests should be received by the SEC by 5:30 p.m. on [November 23, 2021](#)

TCRREPORT was filed: [Saturday, November 13, 2021 at 07:54:50 AM](#)

2021-11-16 - NOTICE TO THE REGULATORY AUTHORITIES.  
[Nov.16.2021.-.WMCKENZIE@NYCOURTS.GOV.---.SEC.---.BOC@BOC.NYC.GOV.pdf](#)

Applicant's Address: 1 STATE FARM PLAZA, BLOOMINGTON, IL, 61710  
via email: [david.moore.ct95@statefarm.com](mailto:david.moore.ct95@statefarm.com)

2021-04-21 INITIAL APPLICATION FILED

<https://www.sec.gov/Archives/edgar/data/0000093715/000119312521278180/d222043dn8f.htm>

IV. Information About Event(s) Leading to Request For Deregistration.

Expenses were paid for in full by the investment adviser: \$912,500

|                      |           |
|----------------------|-----------|
| Accounting expenses: | \$9,000   |
| Legal expenses:      | \$240,000 |
| Directors fees:      | \$431,000 |

/s/ Joe R. Monk, Jr.  
President and Chairperson of the Board

Address of Principal Executive Office:  
State Farm Associates' Funds Trust  
One State Farm Plaza  
Bloomington, IL 61710-0001

David Moore, Assistant Secretary  
One State Farm Plaza  
Bloomington, IL 61710-0001

State Farm Investment Management Corp.  
One State Farm Plaza  
Bloomington, Illinois 61710-0001

JP Morgan Chase Bank  
North American Insurance Securities Services  
3 Chase MetroTech Center, 5th Floor  
Brooklyn, New York 11245

2022-03-19 - REDACTED ELECTRONIC COMMUNICATION.  
[6MM transfer & ACS -- STATE FARM LOAN 50074 2022.03.19-REDACTED.pdf](#)

CIK FILER ID: 93715

\*\*\* <https://www.sec.gov/edgar/browse/?CIK=93715>  
\*\*\* terry ludwig 40-17G -- FIDELITY BOND FILING

2020-04-01 prospectus

<https://fintel.io/doc/sec-485bpos-2020-march-27-18348-209>

\*\*\* <https://fintel.io/doc/sec-sfitx-state-farm-interim-fund-4017g-2020-june-08-18422-692>  
Coverage Period 04-2020 through 04-2021---40-17G-FILED\_2020.06.08 LUDWIG.pdf

\*\*\* 2020-07-28 - state farm associates trust - STFGX - /s/ Joe Monk  
[2020.07.28 - state farm associates trust filed for 2020.05.31 - STFGX - Joe Monk.pdf](#)

2019-06-14 FILER'S CODE OF ETHICS

\*\*\* <https://fintel.io/doc/sec-stfgx-state-farm-growth-fund-ex99p-2020-march-27-18441-449>

State Farm Associates’ Funds Trust

State Farm Investment Management Corp.

State Farm VP Management Corp.

Ticker: SFITX

<https://fintel.io/sf/us/sfitx>

Ticker: STFGX

<https://fintel.io/sf/us/stfgx>

Fund Surviving the Merger Advisers Investment Trust  
Investment Company Act File Number 811-22538

[merger.pdf](#)

[FDIC Law. Section 8000.pdf](#)

2020.05.11  
[EXHIBIT S\\_383 - LOAN 50074 -- ME133300053v.2.pdf](#)

<https://github.com/BSCPGROUPHOLDINGSLLC/BSCPGROUPHOLDINGSLLC/files/8378311/BOTARIZED.on.the.30TH.of.MARCH.2022.STATE.FARM.TRUST.FUND.---sec-sfitx-state-farm-interim-fund-4017g-2020-june-08.pdf>

"HERE GO FETCH THIS FROM THE ANNUAL REPORT - ITS FROM EARLIER AND OBO OF THE NEW INVESTMENT FIRM --- OFFF TO A GREAT START..."

***Opinions on the Financial Statements***

We have audited the accompanying statements of assets and liabilities, including the schedules of investments, of State Farm Growth Fund, State Farm Balanced Fund, State Farm Interim Fund, and State Farm Municipal Bond Fund (four of the funds constituting Advisers Investment Trust, hereafter collectively referred to as the “Funds”) as of September 30, 2021, the related statements of operations for the period December 1, 2020 through September 30, 2021 and the year ended November 30, 2020, the statements of changes in net assets for the period December 1, 2020 through September 30, 2021 and for each of the two years in the period ended November 30, 2020, including the related notes, and the financial highlights for each of the periods indicated therein (collectively referred to as the “financial statements”). In our opinion, the financial statements present fairly, in all material respects, the financial position of each of the Funds as of September 30, 2021, the results of each of their operations for the period December 1, 2020 through September 30, 2021 and the year ended November 30, 2020, the changes in each of their net assets for the period December 1, 2020 through September 30, 2021 and for each of the two years in the period ended November 30, 2020 and each of the financial highlights for each of the periods indicated therein in conformity with accounting principles generally accepted in the United States of America.

***Basis for Opinions***

These financial statements are the responsibility of the Funds’ management. Our responsibility is to express an opinion on the Funds’ financial statements based on our audits. We are a public accounting firm registered with the Public Company Accounting Oversight Board (United States) (PCAOB) and are required to be independent with respect to the Funds in accordance with the U.S. federal securities laws and the applicable rules and regulations of the Securities and Exchange Commission and the PCAOB.

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estimates made by management, as well as evaluating the overall presentation of the financial statements. Our procedures included confirmation of securities owned as of September 30, 2021 by correspondence with the custodian and brokers; when replies were not received from brokers, we performed other auditing procedures. We believe that our audits provide a reasonable basis for our opinions.

November 22, 2021

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PricewaterhouseCoopers LLP, One North Wacker, Chicago, IL 60606  
T: (312) 298 2000, [www.pwc.com/us](http://www.pwc.com/us)

<https://www.sec.gov/Archives/edgar/data/0000093715/000119312521278180/d222043dn8f.htm>

----- Forwarded Message -----

**Subject:**Re: Fwd: IF THESE ARRESTS ARE NOT COMPLETED TODAY JOS.... IM DONE OFFERING ANY ASSITANCE TO YOU/YOURS.  
**Date:**Thu, 31 Mar 2022 17:36:42 -0500  
**From:**Work <[cockarens@vouchersrus.org](mailto:cockarens@vouchersrus.org)>  
**To:**[CHAIR@SEC.GOV](mailto:CHAIR@SEC.GOV) <[CHAIR@SEC.GOV](mailto:CHAIR@SEC.GOV)>, Newyork <[newyork@sec.gov](mailto:newyork@sec.gov)>, [CHICAGO@SEC.GOV](mailto:CHICAGO@SEC.GOV) <[CHICAGO@SEC.GOV](mailto:CHICAGO@SEC.GOV)>  
**CC:**[tips@nypost.com](mailto:tips@nypost.com) <[tips@nypost.com](mailto:tips@nypost.com)>, [tips@latimes.com](mailto:tips@latimes.com) <[tips@latimes.com](mailto:tips@latimes.com)>, [tips@vibe.com](mailto:tips@vibe.com) <[tips@vibe.com](mailto:tips@vibe.com)>, [JPMCinvestorrelations@jpmchase.com](mailto:JPMCinvestorrelations@jpmchase.com) <[JPMCinvestorrelations@jpmchase.com](mailto:JPMCinvestorrelations@jpmchase.com)>, CRC FTC REPORTS <[CRCMESSAGES@ftc.gov](mailto:CRCMESSAGES@ftc.gov)>, [askus@golfchannel.com](mailto:askus@golfchannel.com), [asksipc@sipc.gov](mailto:asksipc@sipc.gov), [bofamarkets@bofa.com](mailto:bofamarkets@bofa.com) <[bofamarkets@bofa.com](mailto:bofamarkets@bofa.com)>, [INFO@ingramllp.com](mailto:INFO@ingramllp.com), Ricki Roer <[ricki.roer@wilsonelser.com](mailto:ricki.roer@wilsonelser.com)>, Amy Hanrahan <[amy.hanrahan@wilsonelser.com](mailto:amy.hanrahan@wilsonelser.com)>, Alan Rubin <[alan.rubin@wilsonelser.com](mailto:alan.rubin@wilsonelser.com)>, [premerger@ftc.gov](mailto:premerger@ftc.gov) <[premerger@ftc.gov](mailto:premerger@ftc.gov)>, [wmckenzie@nycourts.gov](mailto:wmckenzie@nycourts.gov) <[wmckenzie@nycourts.gov](mailto:wmckenzie@nycourts.gov)>, Dow Jones <[pronewsletter@dowjones.com](mailto:pronewsletter@dowjones.com)>, Dow Jones <[wsjprosupport@dowjones.com](mailto:wsjprosupport@dowjones.com)>, [emil.herzog@goodnewsjail.org](mailto:emil.herzog@goodnewsjail.org)

IS THIS OBSTRUCTION OF JUSTICE????

<https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=dsAL8eNLGxyAjb2wOsRrPA==>

**THAT'S \$8 BILLION DOLLARS REGULATED BY A 40' ACT THAT WAS MOVED TO A SELF-DECLARED ASSIGNMENT "MERGER" TO AN INVESTMENT ADVISOR BY MONK JR. AND TERRY LUDWIG?**

**EVEN GAVE THEMSELVES A \$400,000.00 BONUS.**

**I HAVE ONE QUESTION:**

**WAS BERNIE MADOFF PROVIDED THE SAME OPPORTUNITY FOR FAILURE TO DISCLOSE HIS ASSETS AND RETURNS?**

/S/ BARIS DINCER.  
646-256-3609

A. FIDELITY - DEFINED  
MOTIVE \_40-17G-FILED----2020.06.08

NOTARIZED on the 11th of May, 2020.  
during the period of Coverage by its Investment Professionals [ E-8 ]  
STATE FARM LIFE INSURANCE COMPANY.  
STATE FARM REALTY MORTGAGE LLC.

<https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=gcMSDaFzm0ynPeXZKSHgLQ==>  
[https://www.sec.gov/Archives/edgar/data/0000093715/000114554922006149/xslFormN-CEN\\_X01/primary\\_doc.xml](https://www.sec.gov/Archives/edgar/data/0000093715/000114554922006149/xslFormN-CEN_X01/primary_doc.xml)

On 3/31/2022 5:31 PM, Work wrote:

<https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=dsAL8eNLGxyAjb2wOsRrPA=>

+++ WHY THE HECK WOULD THEY DECIDE ALL OF A SUDDEN TO CHANGE THE NAME OF THEIR INVESTMENT MANAGERS & THEN TAKE 50% OF THOSE EXPENSES AS A "BONUS".

- THEY EVEN WENT SO FAR TO ASSURE THE INVESTORS (WHO PAY THEIR FEES) THAT THE INVOLVEMENT MANAGER HAS PAID FOR THOSE EXPENSES USING THE MONEY OF THE INVESTORS. SOME DISCLOSURE ---

- YOU SEE WHERE THE CONFLICT IS NON-SENSICAL HERE...

THAT'S A ~\$8 BILLION DOLLAR FUND, AND ONLY \$9,000.00 SET ASIDE AND WILLFULLY REPORTED AS THEIR ACCOUNTING EXPENSE ----

A. FIDELITY - DEFINED  
MOTIVE \_40-17G-FILED----2020.06.08

NOTARIZED on the 11th of May, 2020.  
during the period of Coverage by its Investment Professionals [ E-8 ]  
STATE FARM LIFE INSURANCE COMPANY.  
STATE FARM REALTY MORTGAGE LLC.

[https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=gcMSDaFzm0ynPeXZKSHgLQ==https://www.sec.gov/Archives/edgar/data/0000093715/000114554922006149/xslFormN-CEN\\_X01/primary\\_doc.xml](https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=gcMSDaFzm0ynPeXZKSHgLQ==https://www.sec.gov/Archives/edgar/data/0000093715/000114554922006149/xslFormN-CEN_X01/primary_doc.xml)

THE SEMI AND ANNUAL REPORTS WERE AN OBSTRUCTED OF JUSTICE AND WAS CORRUPTED BY SULLIVAN PROPERTIES LP, WITH THE ASSISTANCE OF ITS COUNSELORS, ADVISORS, FAMILY MEMBERS, AND FRIENDS... WHO IMPORED THAT I "ABSTAIN" FROM CONTACTING ANY REGULATORY AUTHORITY... I ALSO INFORMED THE NEW INVESTMENT MANAGER WHO ASSUMED THE TASK TO MANAGE THE UNREPORTED MATERIAL MATTERS EARLIER THIS MORNING... AND OF COURSE HAVE NOT HEARD A BACK FROM THEM.

AS THE OBSTRUCTION OF JUSTICE BY LAURIE ZUCKER, DONALD ZUCKER, RICKIE ROER, ASHLEY HUMPHRIES, AND THEIR ASSOCIATES CAUSED FOR A DOCUMENTARY OF MATERIAL FACTS WHICH WERE NOT DISCLOSED IN THE PUBLIC FILINGS TO INVESTORS, AND WITHOUT ANY PRISON SENTENCE, FINE, OR AWARD - WERE PERMITTED TO GO ABOUT THEIR BUSINESS WITHOUT RESTRAINT.

A HANDFUL OF OF THOSE ANNEXED BY "COUNSEL FOR PLAINTIFF" ATTACHED HERE FOR EASE OF REFERENCE  
- A CLEAR AND PRESENT DANGER IN THEIR OBSTRUCTION OF JUSTICE, FAIR DEALINGS, AND TAX EVASION ALSO REQUESTED THAT I NOT CONTACT THE REGULATORS.....  
- THANK YOU IN ADVANCE. 74TH AND PARK (THE EXECUTIVE MENTAL AREA FOR EVALUATION) IS ONLY ACCEPTABLE FOR GUIDANCE COUNSELORS...

I DID WARN YOU ABOUT THESE 10 LUCKY ONES ON AUGUST 5, 2020 -----  
ESTOPPEL: STATE FARM AND CEASE AND DESIST. <https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=s5WAeCnxmd/hcOI4eTnbig==>

ESTOPPEL: THE ZUCKER FAMILY. <https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=Jf3Un/JaVXZwF7kvbaee4w==>

BARRET EARNED HIMSELF 30 MONTHS OF PRISON FOR A FAILURE TO RESPOND IN A OTHER CASE... SO STOP HELPING THESE DEGENERATES BEFORE I PROVIDE THEIR PRIVILEGE TO THE INTELLIGENT ONES HEADQUARTERED AT 9 WEST 57TH STREET, AND OTHER BUILDINGS THAT YOU PROBABLY HAVE NEVER HEARD OF...  
THESE ONES...

FROM 101 WEST 55TH STREET, AND / OR THEIR HOMES

- NONE OF MY BUSINESS WHERE THEY LIVE, OTHER THAN TO MAKE SURE THEY ARE IN PRISON.... IT'S LIKE STONEHEARTBST ASYLUM HOW THEY FUNCTION...

On 3/30/2022 9:14 AM, Work wrote:

<https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=dsAL8eNLGxyAjb2wOsRrPA==>

UNDER THE SARBANES-OXLEY ACT, THE 1933 AND 40' ACT FOR PRIVATE AND PUBLIC CORPORATIONS "FREE AND CLEAR" ...

IF THE ARRESTS AND CHARGES WHICH I REQUESTED ARE NOT CONDUCTED TODAY, ALL HELD WITHOUT BAIL - - - - NOT A FLIGHT RISK... BUT FOR PERMITTING THEM TO CONDUCT THEIR DAILY AFFAIRS AFTER OBSTRUCTION, PEEPING TOMS, WHO ALSO EVADED TO ROUGHLY 50 MILLIONS IN TAXES...

I WILL PERSONALLY NOTIFY EVERY ATTENDEE AND FIRM OF DAVOS, THE SALT CONVENTIONS AND FROM THE PRIOR TEN YEARS WITH ALL DOCKETS ANNEXED IN 153974/20

TRIED TO PULL A COMMUNITY BOARD STUNT IN BROOKLYN --- NO I DON'T HAVE TO BE REASONABLE EITHER...

- 1. LAURIE ZUCKER.
- 2. DONALD ZUCKER.
- 3. PAUL REGAN.
- 4. SHARI LASKOWITZ.
- 5. TOM ECHMANN.
- 6. RICKI ROER.
- 7. ASHLEY HUMPHRIES.
- 8. MIWAKO MESSER.
- 9. ROSALIA CHANN.
- 10. WILLIAM MCKENZIE.

P.S. AT LEAST GIVE ME LIKE 6 DIGITS AND ILL GUESS THE OTHER THREE DIGITS.

----- Forwarded Message -----

**Subject:**Re: IF THESE ARRESTS ARE NOT COMPLETED TODAY JOS.... IM DONE OFFERING ANY ASSITANCE TO YOU/YOURS.

**Date:**Wed, 30 Mar 2022 09:07:40 -0500

**From:**B D2022 <ms60710444266@yahoo.com>

**To:**Bo Dincer <bdincer66@icloud.com>, cc-nyef@nycourts.gov <cc-nyef@nycourts.gov>, Nyscef <nyscef@nycourts.gov>, William.Lleras2@nypd.org, Thomas.Meaney@nypd.org, tejinder.singh@nypd.org, TARA.TIZZIO@nypd.org, Taimoor.Ahmad@nypd.org, Serge.Jean@nypd.org, SEAN.HOGAN@nypd.org, Sean.Aman@nypd.org, Ronald.Perillo@nypd.org, Robert.Yturraspe@nypd.org, ROBERT.PASSERO@nypd.org, richard.narciso@nypd.org, richard.lee3@nypd.org <richard.lee3@nypd.org>, raul.rodriguez3@nypd.org, PAUL.CLARK@nypd.org, PATRICK.DOYLE@nypd.org, oliver.matos@nypd.org, Nicholas.Mitchell@nypd.org, Nicholas.Henry@nypd.org, nibal.pena@nypd.org, narine.ramlochan@nypd.org, Miguel.Rivera2@nypd.org, Michelle.Murray@nypd.org, michael.reilly2@nypd.org, Michael.Rachwalski@nypd.org, MICHAEL.CORRADO@nypd.org, Max.Solomon@nypd.org, MATTHEW.WHITING@nypd.org, Mark.Pagano@nypd.org, mark.hunter@nypd.org, marilyn.noa@nypd.org, Marian.Bencea@nypd.org, Liam.Moyles3@nypd.org, Leonard.Bradley@nypd.org, lance.trent@nypd.org, Lamarr.Barnes@nypd.org, Kerriann.Smith@nypd.org, kenneth.feeley@nypd.org, Karol.Sabodocha@nypd.org <Karol.Sabodocha@nypd.org>, Joseph.Soldano@nypd.org, joseph.leonard@nypd.org, Joseph.Hartnett@nypd.org, JOSEPH.ALGERIO@nypd.org, Johnny.Liquori@nypd.org, JOHN.TOURLOUKIS@nypd.org, john.lamneck@nypd.org, JARREE.JONES@nypd.org, jarett.dilorenzo@nypd.org, JAREE.JONES@nypd.org, IAB@nypd.org, Herbert.Wroten2@nypd.org, hctf@nypd.org, GREGORY.CONLON@nypd.org, GEORGE.KALOGEROPOULOS@nypd.org, FRANK.ALIPERTI@nypd.org, francisco.martireyes@nypd.org, eric.chau@nypd.org, erdem.ozen@nypd.org, Edward.Bergmann@nypd.org, dion.gaspard@nypd.org, Daniel.Sendrowski@nypd.org, Daniel.Bavuso@nypd.org, Dajon.Panton@nypd.org, Connor.Lisante@nypd.org, CHRISTOPHER.FREDA@nypd.org, Christophe.Snagg@nypd.org, CHRISTOPHE.LEAP@nypd.org, christina.ortiz@nypd.org <christina.ortiz@nypd.org>, charles.novak@nypd.org, brian.nelsen@nypd.org, brian.crooks@nypd.org, anthony.sclafani@nypd.org, Alyssa.Vogel@nypd.org, aloysius.sosic@nypd.org, ALEXANDER.AVDIC@nypd.org, Adam.Riddick@nypd.org, 90pctyco@nypd.org, 90pctdvo@nypd.org, 23pctyco@nypd.org, 23pctdvo@nypd.org, 23pctdvo@nypd.com <23pctdvo@nypd.com>, 19pctyco@nypd.org <19pctyco@nypd.org>, 19pctdvo@nypd.org <19pctdvo@nypd.org>, 18pctyco@nypd.org <18pctyco@nypd.org>, 18pctdvo@nypd.org <18PCTDVO@nypd.org>, 17pctyco@nypd.org, 17pctdvo@nypd.org, 14pctyco@nypd.org <14pctyco@nypd.org>, 14pctdvo@nypd.org <14pctdvo@nypd.org>, 10pctyco@nypd.org <10pctyco@nypd.org>, 10pctdvo@nypd.org <10pctdvo@nypd.org>, 1pctyco@nypd.org <1pctyco@nypd.org>, 1pctdvo@nypd.org <1pctdvo@nypd.org>, hsrhelp@ftc.gov <hsrhelp@ftc.gov>

**CC:**bk01@cb.nyc.gov, Victimassistance.fraud@usdoj.gov <Victimassistance.fraud@usdoj.gov>, espnfrontrow@espn.com

<https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=dsAL8eNLGxyAjb2wOsRrPA==>

On 3/30/2022 9:01 AM, B D2022 wrote:

- 1. ANNEXED THEIR VACANCIES AS A DOCKET IN NEW YORK STATE SUPREME COURT.
- 2. REPORT AN INCREASE IN GROSS INCOME, EVERY YEAR.

UNDER THE SARBANES-OXLEY ACT, THE 1933 AND 40' ACT FOR PRIVATE AND PUBLIC CORPORATIONS "FREE AND CLEAR" ...

IF THE ARRESTS AND CHARGES WHICH I REQUESTED ARE NOT CONDUCTED TODAY, ALL HELD WITHOUT BAIL - - - - NOT A FLIGHT RISK... BUT FOR PERMITTING THEM TO CONDUCT THEIR DAILY AFFAIRS AFTER OBSTRUCTION, PEEPING TOMS, WHO ALSO EVADED TO ROUGHLY 50 MILLIONS IN TAXES...

I WILL PERSONALLY NOTIFY EVERY ATTENDEE AND FIRM OF DAVOS, THE SALT CONVENTIONS AND FROM THE PRIOR TEN YEARS WITH ALL DOCKETS ANNEXED IN 153974/20

TRIED TO PULL A COMMUNITY BOARD STUNT IN BROOKLYN --- NO I DON'T HAVE TO BE REASONABLE EITHER...

- 1. LAURIE ZUCKER.
- 2. DONALD ZUCKER.
- 3. PAUL REGAN.
- 4. SHARI LASKOWITZ.
- 5. TOM ECHMANN.
- 6. RICKI ROER.
- 7. ASHLEY HUMPHRIES.
- 8. MIWAKO MESSER.
- 9. ROSALIA CHANN.
- 10. WILLIAM MCKENZIE.

I WARNED YOU OF THEIR DANGER TO SOCIETY EARLIER, AND REQUESTED THE SAME IN THE NY SUPREME COURT OF LAW.

<https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=XiAuVPg0AmpwFMDL00cMrw==>

<https://github.com/BSCPGROUPHOLDINGSLLC/ELSER-AND-DICKER/pull/11#issue-1174121964>

\*\*\* I DONT GIVE A FLYING F\*\*\* WHAT EXCUSE THEY HAVE...

ACCOUNTED \$50,000,000.00 IN TAXES EVADED TO IN ONE BUILDING ALONE.

-- I WILL EXTEND THE SAME PRIVILEGE YOU ALL GAVE THE STATE FARM OF A ZUCKER IF THEY ARE HELD WITH ANY BAIL PROVISION OR INDENTURE... UNTIL PROVEN INNOCENT.

PLUS, I DON'T HAVE TO BE REASONABLE... FOR REASONABLE CAUSE. ASK LASEK --- SHES FUNNY ALSO... CUTTING THOSE CORNERS....

--- ANY FURTHER OBSTRUCTION WILL BE IMMEDIATELY REPORTED TO ANY MEMBER OR FIRM WHO HAS PARTICIPATED IN THE DAVOS CONVENTION AND SALT CONVENTION TO ENSURE THEY ARE WELL GROUNDED IN THE EVENT THAT YOU ATTEMPT ANY INVESTIGATION OR PROSECUTION BEFORE I SEE THE ARRESTS THAT I NEED NOT STATE ANY NAMES IN CHICAGO, BECAUSE I DON'T LIVE THERE AND THEY DO NOT IMPOSE A THREAT TO MY IMMEDIATE COMMUNITY. THESE TEN PEOPLE ARE IN FACT A DANGER TO SOCIETY, DESPITE THEIR ENDEAVORS TO AVOID PROSECUTION... AND PLEADINGS, WILL BEG, CRY AND WHATEVER.. BUT GUESS WHAT - 100% HELD WITHOUT BAIL - AND FOR ALL OF THEM. THERE IS NO EXCUSE FOR LETTING IT ESCALATE TO THIS POINT AFTER HAVING PERMITTED FOR THEM TO CONDUCT THEIR BUSINESS WITH FULL KNOWLEDGE JOINTLY AND SEVERALLY.

I REQUESTED TO PRESS CHARGES, AND PROVIDE DEPOSITIONS IN A COURT OF LAW - AND THEY STILL REPORT YoY gains to evade taxes...

LET THEM DEAL WITH THE COURTS AND LET ME KNOW THERE ARE NO CHARGES THAT ARE ENFORCEABLE, AND I WILL RELAY THE SAME TO OTHERS

WHAT GROUNDS WILL YOU HAVE IF BAIN CAPITAL GETS THESE DOCUMENTS  
- I CAN CIRCULATE THIS IN A DAY - AND YOU SHOULD HAVE BOOKED THEM AND LOCKED THEM UP OVER A YEAR AGO...

+++ WHY THE HECK WOULD THEY DECIDE ALL OF A SUDDEN TO CHANGE THE NAME OF THEIR INVESTMENT MANAGERS & THEN TAKE 50% OF THOSE EXPENSES AS A "BONUS".

- THEY EVEN WENT SO FAR TO ASSURE THE INVESTORS (WHO PAY THEIR FEES) THAT THE INVOLVEMENT MANAGER HAS PAID FOR THOSE EXPENSES USING THE MONEY OF THE INVESTORS. SOME DISCLOSURE ---

- YOU SEE WHERE THE CONFLICT IS NON-SENSICAL HERE...

THAT'S A ~\$8 BILLION DOLLAR FUND, AND ONLY \$9,000.00 SET ASIDE AND WILLFULLY REPORTED AS THEIR ACCOUNTING EXPENSE ----

A. FIDELITY - DEFINED  
MOTIVE \_40-17G-FILED----2020.06.08

NOTARIZED on the 11th of May, 2020.  
during the period of Coverage by its Investment Professionals [ E-8 ]  
STATE FARM LIFE INSURANCE COMPANY.  
STATE FARM REALTY MORTGAGE LLC.

<https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=gcMSDaFzm0ynPeXZKSHgLQ==>

[https://www.sec.gov/Archives/edgar/data/0000093715/000114554922006149/xslFormN-CEN\\_X01/primary\\_doc.xml](https://www.sec.gov/Archives/edgar/data/0000093715/000114554922006149/xslFormN-CEN_X01/primary_doc.xml)

THE SEMI AND ANNUAL REPORTS WERE AN OBSTRUCTED OF JUSTICE AND WAS CORRUPTED BY SULLIVAN PROPERTIES LP, WITH THE ASSISTANCE OF ITS COUNSELORS, ADVISORS, FAMILY MEMBERS, AND FRIENDS... WHO IMPORED THAT I "ABSTAIN" FROM CONTACTING ANY REGULATORY AUTHORITY... I ALSO INFORMED THE NEW INVESTMENT MANAGER WHO ASSUMED THE TASK TO MANAGE THE UNREPORTED MATERIAL MATTERS EARLIER THIS MORNING... AND OF COURSE HAVE NOT HEARD A BACK FROM THEM.

AS THE OBSTRUCTION OF JUSTICE BY LAURIE ZUCKER, DONALD ZUCKER, RICKIE ROER, ASHLEY HUMPHRIES, AND THEIR ASSOCIATES CAUSED FOR A DOCUMENTARY OF MATERIAL FACTS WHICH WERE NOT DISCLOSED IN THE PUBLIC FILINGS TO INVESTORS, AND WITHOUT ANY PRISON SENTENCE, FINE, OR AWARD - WERE PERMITTED TO GO ABOUT THEIR BUSINESS WITHOUT RESTRAINT.

A HANDFUL OF OF THOSE ANNEXED BY "COUNSEL FOR PLAINTIFF" ATTACHED HERE FOR EASE OF REFERENCE  
- A CLEAR AND PRESENT DANGER IN THEIR OBSTRUCTION OF JUSTICE, FAIR DEALINGS, AND TAX EVASION ALSO REQUESTED THAT I NOT CONTACT THE REGULATORS.....  
- THANK YOU IN ADVANCE. 74TH AND PARK (THE EXECUTIVE MENTAL AREA FOR EVALUATION) IS ONLY ACCEPTABLE FOR GUIDANCE COUNSELORS...

I DID WARN YOU ABOUT THESE 10 LUCKY ONES ON AUGUST 5, 2020

-----  
ESTOPPEL: STATE FARM AND CEASE AND DESIST. <https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=s5WAeCnxmd/hc0I4eTnbig==>

ESTOPPEL: THE ZUCKER FAMILY. <https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=Jf3Un/JaVXZwF7kvbaee4w==>

BARRET EARNED HIMSELF 30 MONTHS OF PRISON FOR A FAILURE TO RESPOND IN A OTHER CASE... SO STOP HELPING THESE DEGENERATES BEFORE I PROVIDE THEIR PRIVILEGE TO THE INTELLIGENT ONES HEADQUARTERED AT 9 WEST 57TH STREET, AND OTHER BUILDINGS THAT YOU PROBABLY HAVE NEVER HEARD OF...  
THESE ONES...

FROM 101 WEST 55TH STREET, AND / OR THEIR HOMES

- NONE OF MY BUSINESS WHERE THEY LIVE, OTHER THAN TO MAKE SURE THEY ARE IN PRISON.... IT'S LIKE STONEHEARTBST ASYLUM HOW THEY FUNCTION...

THE COUNSEL FOR PLAINTIFF ANNEXED THEIR VACANCIES, AS DID THE  
THEIR ASSOCIATE GENERAL COUNSEL...

THEN REPORTED AN INCREASE IN GROSS INCOME, AND FOR THE 10-YEARS  
PRIOR CAUSED THE NY DEPT OF FINANCE TO AUTOMATICALLY GENERATE A  
VALUE UPON WHICH THEY CAN ASSESS A PROPERTY VALUE WHICH WORTH  
ABOUT A DIME A BRICK. 10% -ISH.

IF YOU ALL GRANT THE SAME PROTECTION AND ASSURANCES TO INVESTORS IN THE FUTURE THAT "NO MATTER  
WHAT" STATE FARM IS A GUARANTEED INVESTMENT, BULLETPROOF TO MATERIAL DISCLOSURES, PENALTIES,  
FINES, AND WITHOUT AMPLE NOTICE DECIDED TO MERGE WITHOUT ANY MATERIAL REASON TO... MY  
INTERVENTIONS, EMAILS, AND FAXES TO THE INTERNAL REVENUE SERVICE...

TO ALL MATERIAL MEMBERS AT STATE FARM -- YOU WILL HAVE TO OFFER THE SAME RIGHTS TO ALL OTHERS,  
AND UNDER THEIR RIGHTS OF COLLATERAL ESTOPPEL(S) WHERE DOUBLE JEOPARDY CAN ESTABLISH THE SAME  
DEFENSE AND THUS THEY CAN ALL ACT WITHOUT ANY REGARD TO THE RULES AND REGULATIONS OF THE  
CONSTITUTION, THE SARBANES-OXLEY, CFR, OR IRS REGULATIONS AND HENCE, WILL MAKE IT IMPOSSIBLE TO  
REGULATE THOSE AND MORE SPECIFICALLY --- AFTER TWO YEARS AND NO PUBLIC NOTICE OF THE CLEAR AND  
PRESENT DANGER OF A 40' ACT FUND WITH NO RECOURSE, FINE, OR NOTICE ABOUT THE ONGOING MATERIAL  
MATTERS BY AND BETWEEN THE ZUCKER AND STATE FARM (THE AUTOMOTIVE INSURANCE COMPANY) TO FURTHER  
OBSTRUCT THE JUSTICE OF THE DISCLOSURE REQUIRED IN THE ANNUAL FILING OF A CERTAIN "VIOLATION OF  
PRIVACY" WHICH ENTITLES THE ZUCKERS TO A GUARANTEED PRISON SENTENCE.

THE DEGREE OF CONDUCT IS BEYOND DEPLORABLE - HOWEVER THE IMPACT ON THE GREATER COMMUNITY  
All litigants have a "full and fair" opportunity to bring suit except where one party has  
brought effectively the same suit as defined by the same substantive legal issue in another venue  
or at another time against the same defendant.... MYSELF INCLUDED.

- IN THE CONDUCT AND COLLUSION BY AND BETWEEN THE ZUCKERS AT 101 WEST 55TH STREET TO OBTAIN A LOAN  
FROM STATE FARM, AT THE EXPENSE OF MY PRIVACY CREATED AN ENORMOUS GAP IN AVOIDANCE TO THEIR  
PROSECUTION AT ANY COST - PREVENTED ME FROM FILING A CERTAIN "VIOLATION OF PRIVACY" LAWSUIT HAVE  
CLEARLY BRIBED, CORRUPTED AND COLLUDED TO AN OPEN MARKET WHICH REQUIRES NO DISCLOSURE AND AN "AT-  
WILL" OR LAISSEZ-FAIRE ASSET VALUATION PROGRAM THAT THE SEC, FINRA, OR ANY AUTHORITY WOULD RESULT  
IN DISCOVERY OF PAPERS THAT WOULD CAUSE A "COLLATERAL ESTOPPEL":

ALSO PERMITTED TO CONTINUE THEIR BUSINESSES AND MERGE WHILE FILMING ME BUTT NAKED WITHOUT CONSENT  
- AND THE WHOLE TAX THING IS INSANITY---  
NO LEGAL ISSUES REPORTED EITHER --- ALSO INSANITY.

a. NOTWITHSTANDING THE TCR I FILED ON THE 13TH OF NOVEMBER IN 2021 PRIOR TO THE "MERGER"

- FOR THE SECURITY AND PAY-OFFS BY ALL MEMBERS NAMED PREVIOUSLY AT 101 WEST  
55TH STREET, NEW YORK, NY, 10019

State Farm Associates Funds Trust A 40' ACT MUTUAL FUND



Investment Company Act of 1940 makes it unlawful for certain persons associated with investment advisers or principal underwriters of investment companies to engage in conduct that is deceitful, fraudulent, or manipulative, or which involves false or misleading statements or omissions of material facts, in connection with the purchase or sale of a security held or proposed to be acquired by a registered investment company. In addition, Section 204A of the Investment Advisers Act of 1940 and Rule 204A-1 thereunder, require investment advisers to establish, maintain, and enforce written policies and procedures designed to prevent misuse of material non-public information and to adopt a written code of ethics. The objective of this Code is to maintain the behavior of Subscribers within the general principles set forth above, as well as to prevent such persons from engaging in conduct that violates the federal securities laws.

Fund Surviving the Merger  
\*\*\* Investment Company Act Release No. 34411  
\*\*\* Advisers Investment Trust 811-22538

- IN THE CONTEXT OF PRESSING CHARGES, IF I DON'T SEE AN ARREST AND RESTRAINT

HOW WILL THE SEC, NYPD, NYFD, OR ANY REGULATORY AGENCY HAVE GROUNDS TO REQUEST INFORMATION, OR PURSUE ANY OF THE FORMER GUESTS AT THE DAVOS CONFERENCE, THE SALT CONFERENCE, AND THE TOP 500 HEDGE FUNDS WITH A PAPER TRAIL THAT STEMS FROM A NEW YORK SUPREME COURT CASE WHERE I WAS RESTRAINED WITHOUT REASONABLE CAUSE... YOU HAVE A LIST, THERE ARE MORE THAN 10 OF THEM AT 101 WEST 55TH STREET, AND 150 EAST 42ND STREET. NO FLIGHT RISK, BUT NO BAIL, AND I WANT CONFIRMATION, NOT THIS DISNEY BULLS\*\*\* GAME YOU THINK YOU ARE PLAYING WITH.

YOU KNOW WHERE TO FIND ME... SO TAKE A LOOK AT THIS: [117 SULLIVAN STREET - BLOCK 803 - LOT 11](#)

BRING ALL INFORMATION DOCKETED IN 153974/2020 INTO THE VIEW OF THOSE PALS OF MINE IN EXCHANGE FOR A HANDFUL OF RIGHTFULLY EARNED PRISON SENTENCES AND EFFECTIVELY - HAVE REDUCED YOUR SALARIES COMBINED BY AT LEAST ONE FULL OCTAVE.

<https://github.com/users/BSCPGROUPHOLDINGSLLC/projects/1#column-18309490>

2020-2021 annual FILING - no disclosure of a Loan?  
[https://www.sec.gov/Archives/edgar/data/0000093715/000114554921006167/xslFormN-CEN\\_X01/primary\\_doc.xml](https://www.sec.gov/Archives/edgar/data/0000093715/000114554921006167/xslFormN-CEN_X01/primary_doc.xml)

2021-2022 annual FILING - no disclosure of an Ordinary Lawsuit?  
[https://www.sec.gov/Archives/edgar/data/0000093715/000114554922006149/xslFormN-CEN\\_X01/primary\\_doc.xml](https://www.sec.gov/Archives/edgar/data/0000093715/000114554922006149/xslFormN-CEN_X01/primary_doc.xml)

AS FOR THE OTHER 40' ACT FUNDS WHO DO HAVE TO MAINTAIN ORDERLY RECORDS WITHOUT OBSTRUCTION - ALSO CARRIES THE IMPLIED RISK OF COLLATERAL ESTOPPEL WHEREBY THE ALL OTHER FIRMS UNDER THE AUSPICE OF THE REGULATORY AUTHORITIES - WHERE APPLICABLE - ARE EXEMPT - HENCE THE SEC AND FINRA WILL HAVE A DIFFICULT TIME EXPLAINING HOW THIS WAS OVERLOOKED. plus i lost my other line.. earlier.  
<https://github.com/BSCPGROUPHOLDINGSLLC/ELSER-AND-DICKER/pull/5>

THE ABILITY TO SEEK JUDGMENT FOR OTHERS WHO FAIL TO DISCLOSE MATERIAL AND SUBSTANTIVE FACTS IN THEIR SEMI-ANNUAL AND ANNUAL REPORTS, NOTWITHSTANDING THE GROSS NEGLIGENCE OF THE BYLAWS IN THEIR PROSPECTUS. CARRIES FORWARD TO THOSE WHO HOLD MORTGAGE NOTES.  
IF THOSE LOTS ARE PERMITTED AS PURPORTED IN THE ABOVE BLOCK 803, LOT 11-  
State Farm Associates’ Funds Trust is a GUARANTEED INVESTMENT, SIMILAR TO THOSE RETURNS ON INVESTMENT AS PURPORTED TO BY SULLIVAN PROPERTIES L.P. AS PROMULGATED BY THE NY DEPARTMENT OF FINANCE. I CAN PROMISE YOU IT WILL BE IMPOSSIBLE TO REGULATE THE LARGER FIRMS IF I DON'T SEE THE ARRESTS, CONFIRMATION OF THOSE ARRESTS IN A PUBLIC REPOSITORY TO PREVENT ANYTHING LIKE THIS FROM EVER HAPPENING AGAIN.

- WOULD ALSO REDUCE THE VALUE OF MANHATTAN'S REAL ESTATE BY 90% OF ITS CURRENT VALUE

- AND WITHOUT DISCLOSURE TO THE INVESTMENT COMMUNITY, THOSE WHO SHARED IN THE PROFITS ARE UNKNOWN TO ME, AND OTHERS AS WELL.

[semi annual while indentured under 40-17G](#)

HEADER ON THE INDEX FILE:

CIK: 0000093715/000119312521278180 >>> DEREGISTRATION

[https://www.sec.gov/Archives/edgar/data/0000093715/000114554922006149/xslFormN-CEN\\_X01/primary\\_doc.xml](https://www.sec.gov/Archives/edgar/data/0000093715/000114554922006149/xslFormN-CEN_X01/primary_doc.xml)

|                  |            |      |           |
|------------------|------------|------|-----------|
| Terrence Ludwig, | Compliance | crd: | 003231040 |
| Joe R Monk Jr,   | Director   | crd: | 001357149 |
| Paul J Smith,    | Director   | crd: | 004971235 |

2021-04-21

INITIAL APPLICATION FILED

<https://www.sec.gov/Archives/edgar/data/0000093715/000119312521278180/d222043dn8f.htm>

IV. Information About Event(s) Leading to Request For Deregistration.

Expenses were paid for in full by the investment adviser:

\$912,500 +++

Accounting expenses:

\$9,000

Legal expenses:

\$240,000

Directors fees:

\$431,000

s/ Joe R. Monk, Jr.  
President and Chairperson of the Board

State Farm Associates’ Funds Trust [File No. 811-1519]

<https://www.sec.gov/Archives/edgar/data/0000093715/999999999721005616/filename1.pdf>

obo State Farm Associates’ Funds Trust [File No. 811-1519]

Applicant’s Address: [david.moore.ct95@statefarm.com](mailto:david.moore.ct95@statefarm.com)  
Counsel: [FundLegalGroup@cohenandsteers.com](mailto:FundLegalGroup@cohenandsteers.com)

P.S. I CAN ALSO JUST GET EVEN BTW, YOU FORGOT TO REPORT THE STUNT TO THE REGULATORS ON 16TH? OR =WARREN PAPS UNDER YOUR DESK AGAIN KEEPING YOU EARLIER IN 2019 I THINK SHE WAS STUDYING FOR THE SERIES 3? FORGOT BUT I LOST HER NUMBER ON MY OTHER LINE EARLIER SHE GOT MAD HER FRIEND LICKED THE BACK OF MY EAR i think i forgot - but combined????

Subject:\$431,000 IN DIRECTORS FEES, ARE FEES PAID BY INVESTORS > should be returned to them.

Date: Sun, 27 Mar 2022 12:30:53 -0500

From: Work <[cockarens@vouchersrus.org](mailto:cockarens@vouchersrus.org)>

To: [Josephine.Vella@finra.org](mailto:Josephine.Vella@finra.org) <[Josephine.Vella@finra.org](mailto:Josephine.Vella@finra.org)>

CC: MILTON MCKENZIE <[MS60710444266@YAHOO.COM](mailto:MS60710444266@YAHOO.COM)>

<https://github.com/BSCPGROUPHOLDINGSLLC/ELSER-AND-DICKER/pull/11>

JUST LIKE A WELL RECEIVED DOCKET IN THE NY SUPREME COURT THAT WAS NOT FORWARDED BY THE CLERK...

- I TOOK IT UPON MYSELF TO HANDLE THIS AND ANNEXED EARLIER.... DOCKET 212----213 also

<https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=gcMSDaFzm0ynPeXZKSHgLQ==>

THIS NOTICE - TO STATE FARM DIRECT [2020.06.03] [2020.07.20] [2020.08.13] IN THE TOP RIGHT CORNER OF DOCKET 440

[https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=Bx1uYp1BuwK\\_PLUS\\_o1mmNb1SGA==](https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=Bx1uYp1BuwK_PLUS_o1mmNb1SGA==)

THEY REPORTED YoY GROWTH OF MENTAL INCOME (INTENTIONALLY SPELLED THAT WAY)

BECAUSE RENTAL INCOME WAS ALSO ANNEXED AS "A HIGH RATE OF VACANCY" BY COUNSELORS FOR THE ZUCKER.

[117 SULLIVAN STREET - BLOCK 803 - LOT 11](#)

TAXES PAID IN A QUARTERLY FASHION - NO FLAGS ---- JUST LIKE A CAR WITH NO UNPAID PARKING TICKETS.



PERIOD ENDING:2020-11-30

2021-11-13TCRREPORT

Submission Number 16368-809-301-165  
FILED:Saturday, November 13, 2021 at 07:54:50 AM

[\\_TCRReport.pdf](#)

2021-11-30ANNUAL REPORT FOR REGISTERED INVESTMENT COMPANIES

[https://www.sec.gov/Archives/edgar/data/0000093715/000114554922006149/xslFormN-CEN\\_X01/primary\\_doc.xml](https://www.sec.gov/Archives/edgar/data/0000093715/000114554922006149/xslFormN-CEN_X01/primary_doc.xml)

FILER CIK: 0000093715

BY:State Farm Associates Funds Trust

TYPE:Form N-1A Filer (Mutual Fund)

PERIOD ENDING:2021-11-30

2021-10-29APPLICATION FOR DEREGISTRATION

<https://www.sec.gov/Archives/edgar/data/0000093715/999999999721005616/filename1.pdf>

UNDER SECTION 8(f) OF THE INVESTMENT COMPANY ACT OF 1940

Hearing requests should be received by the SEC by 5:30 p.m. on [November 23, 2021](#)

TCRREPORT was filed: [Saturday, November 13, 2021 at 07:54:50 AM](#)

2021-11-16 - NOTICE TO THE REGULATORY AUTHORITIES.  
[Nov.16.2021.-.WMCKENZIE@NYCOURTS.GOV.---.SEC.---.BOC@BOC.NYC.GOV.pdf](#)

Applicant’s Address:1 STATE FARM PLAZA, BLOOMINGTON, IL, 61710  
via email:[david.moore.ct95@statefarm.com](mailto:david.moore.ct95@statefarm.com)

2021-04-21INITIAL APPLICATION FILED

<https://www.sec.gov/Archives/edgar/data/0000093715/000119312521278180/d222043dn8f.htm>

IV. Information About Event(s) Leading to Request For Deregistration.

Expenses were paid for in full by the investment adviser: \$912,500

Accounting expenses:\$9,000  
Legal expenses:\$240,000  
Directors fees:\$431,000

/s/ Joe R. Monk, Jr.  
President and Chairperson of

the Board

Address of Principal Executive Office:  
State Farm Associates’ Funds Trust  
One State Farm Plaza  
Bloomington, IL 61710-0001

David Moore, Assistant Secretary  
One State Farm Plaza  
Bloomington, IL 61710-0001

State Farm Investment Management Corp.  
One State Farm Plaza  
Bloomington, Illinois 61710-0001

JP Morgan Chase Bank  
North American Insurance Securities Services  
3 Chase MetroTech Center, 5th Floor  
Brooklyn, New York 11245

2022-03-19 - REDACTED ELECTRONIC COMMUNICATION.  
[6MM transfer & ACS -- STATE FARM LOAN 50074 2022.03.19-REDACTED.pdf](#)

CIK FILER ID: 93715

\*\*\* <https://www.sec.gov/edgar/browse/?CIK=93715>  
\*\*\* terry ludwig 40-17G -- FIDELITY BOND FILING

2020-04-01 prospectus

<https://fintel.io/doc/sec-485bpos-2020-march-27-18348-209>

\*\*\* <https://fintel.io/doc/sec-sfitx-state-farm-interim-fund-4017g-2020-june-08-18422-692>  
[Coverage Period 04-2020 through 04-2021---40-17G-FILED 2020.06.08 LUDWIG.pdf](#)

\*\*\* 2020-07-28 - state farm associates trust - STFGX - /s/ Joe Monk  
[2020.07.28 - state farm associates trust filed for 2020.05.31 - STFGX - Joe Monk.pdf](#)

2019-06-14 FILER'S CODE OF ETHICS

\*\*\* <https://fintel.io/doc/sec-stfgx-state-farm-growth-fund-ex99p-2020-march-27-18441-449>

State Farm Associates’ Funds Trust

State Farm Investment Management Corp.

State Farm VP Management Corp.

Ticker: SFITX

<https://fintel.io/sf/us/sfitx>

Ticker: STFGX

<https://fintel.io/sf/us/stfgx>

Fund Surviving the Merger Advisers Investment Trust  
Investment Company Act File Number 811-22538

[merger.pdf](#)

[FDIC Law. Section 8000.pdf](#)

2020.05.11  
[EXHIBIT\\_S\\_383 - LOAN 50074 -- ME133300053v.2.pdf](#)

<https://github.com/BSCPGROUPHOLDINGSLLC/BSCPGROUPHOLDINGSLLC/files/8378311/BOTARIZED.on.the.30TH.of.MARCH.2022.STATE.FARM.TRUST.FUND.---sec-sfitx-state-farm-interim-fund-4017g-2020-june-08.pdf>

<https://github.com/users/BSCPGROUPHOLDINGSLLC/projects/1>

2022 - 2023 [15-Jan-22](#)

2021 - 2022 [15-Jan-21](#)

2020 - 2021 [15-Jan-20](#)

2019 - 2020 [15-Jan-19](#)

2018 - 2019 [15-Jan-18](#)

2017 - 2018 [15-Jan-17](#)

2016 - 2017 [15-Jan-16](#)

Revised 2015 - 2016 [13-May-15](#)

2015 - 2016 [15-Jan-15](#)

2014 - 2015 [15-Jan-14](#)

2013 - 2014 [15-Jan-13](#)

2012 - 2013 [15-Jan-12](#)

2011 - 2012 [15-Jan-11](#)

2010 - 2011 [15-Jan-10](#)



On 3/30/2022 2:54 AM, Work wrote:

NOTARIZED, NOT NOTARIZED... SORRY ABOUT THAT.

On 3/30/2022 1:46 AM, Work wrote:

RE: 1.6662-2 Accuracy-related penalty. ||| § 1.6662-5 ||| .STATE FARM REALTY MORTGAGE, LLC AKA STATE FARM ([WWW.STAEFARM.COM](http://WWW.STAEFARM.COM))

HERE'S YOUR MOTIVE FOR \$8 BILLION DOLLARS AT RISK

\*\*\* failure to take legal action until the other party is prejudiced by the delay (estoppel by laches), and a court ruling against the party on the same matter in a different case (collateral estoppel). \*\*\* THEY CUSTODY THEIR ASSETS AT JP MORGAN CHASE

THE ZUCKER FAMILY BELONGS IN JAIL FOR NEGLECT & HIS CARE FOR OTHERS WHILE PLAYING WITH MY PHOTOGRAPHS AND VIDEOS IN HIS POCKET. CHILDREN AS WELL..

Instruction. For purposes of this Item, the following proceedings should be described: (1) any bankruptcy, receivership or similar proceeding with respect to the Registrant or any of its significant subsidiaries; (2) any proceeding to which any director, officer or other affiliated person of the Registrant is a party adverse to the Registrant or any of its subsidiaries; and (3) any proceeding involving the revocation or suspension of the right of the Registrant to sell securities.

SEE ALSO: PREREGISTRATION AND MERGER

NYSCEF DOC. NO. 212, RECEIVED NYSCEF: 08/02/2020

ALL I ASKED FOR WAS EFFECTIVELY AN ESTOPPEL. EARLIER...

<https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=Jf3Un/JaVXZwF7kvbaee4w==>

\*\*\* HERE I MADE THIS DECK OF CARDS FOR YOU...

<https://github.com/users/BSCPGROUPHOLDINGSLLC/projects/1#column-18309490>

ALL I ASKED FOR WAS EFFECTIVELY AN ESTOPPEL AND MY MONEY BACK UPON DISCOVERY.  
\*\*\* failure to take legal action until the other party is prejudiced by the delay (estoppel by laches), and a court ruling against the party on the same matter in a different case (collateral estoppel). \*\*\* THEY CUSTODY THEIR ASSETS AT JP MORGAN CHASE

- I HAVE BEEN NOTHING OTHER THAN HINDERED AND OBSTRUCTED FROM ALERTING AUTHORITIES AT ALL RELEVANT TIMES UNDER THE SCOPE OF THEIR CAMERA, ATTORNEYS, AND THANK GOODNESS FOR ALL OF THEIR LETTERS AND COUNSELORS AS WELL.

<https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=Jf3Un/JaVXZwF7kvbaee4w==>

THE impediment (obstruction) which precludes a person from asserting a fact or a right or prevents one from denying a fact.

Such a hindrance is due to a person's actions, conduct, statements, admissions, failure to act or judgment against the person in an identical legal case.

Estoppel includes being barred by false representation or concealment (equitable estoppel),

HERE'S YOUR FLOP FOR THE FIRST BUILDING I WENT THROUGH..  
paid TIMELY, AND TO AVOID ANY INTERRUPTION OR INQUIRY BY THE DEPT OF FINANCE.

MAY 11TH 2020: LOAN 50074. NOTARIZED  
  
RECEIVED NYSCEF: 08/09/2020  
NYSCEF DOC. NO. 315  
  
BY STATE FARM

<https://github.com/BSCPGROUPHOLDINGSLLC/ELSER-AND-DICKER/pull/10#issuecomment-1071174718>

22 COOPERATIVE UNITS, AND PER THEIR COUNSELORS

- mostly VACANT

\*\*\* BUT WITH NO CERTIFICATE OF OCCUPANCY TO COLLECT LEGAL RENT IS UNLAWFUL INCOME AND SECURITY DEPOSIT

\*\*\* THE MARKET VALUE... GO FIGURE IT OUT AND LET ME KNOW OTHERWISE, OR ARREST THEM BEFORE I LET THEM KNOW.

\*\*\* EVERY OTHER VULTURE FUND THAT ATTENDED THE SALT CONVENTION FOR THE LAST 10 YEARS - SHOULD HAVE HAD A FAIR ADVANTAGE AT ALL RELEVANT TIMES TO BE EXEMPT FROM REPORTING ANY SUBSTANTIVE OR MATERIAL INFORMATION AS WELL.

SUBSTANCE 101: LAURIE & DONALD ZUCKER OBSTRUCTED JUSTICE BEYOND THE SCOPE OF EVEN MY UNDERSTANDING...

WITHOUT ANY RELEASE OR PUBLIC FILING BY ANY REGULATORY AUTHORITY...

AT THE COST TO AID AND ABET WARNING TO ANY INVESTOR ABOUT THE State Farm Associates Funds Trusts

AND WITH NO DISCLOSURE, DESPITE HAVING NOTARIZED THE LOAN DID SO TO AVOID RELEASE OF THEIR STATUS AS "PEEPING TOMS"

LET ME KNOW WHAT YOU THINK: YES OR NO FOR PERIOD ENDING 2021-11-30

[https://www.sec.gov/Archives/edgar/data/0000093715/000114554922006149/xslFormN-CEN\\_X01/primary\\_doc.xml](https://www.sec.gov/Archives/edgar/data/0000093715/000114554922006149/xslFormN-CEN_X01/primary_doc.xml)

Item B.11. Legal proceeding.  
Instruction. For purposes of this Item, the following proceedings should be described: (1) any bankruptcy, receivership or similar proceeding with respect to the Registrant or any of its significant subsidiaries; (2) any proceeding to which any director, officer or other affiliated person of the Registrant is a party adverse to the Registrant or any of its subsidiaries; and (3) any proceeding involving the revocation or suspension of the right of the Registrant to sell securities.

Item B.14. Provision of financial support.  
Instruction. For purposes of this Item, a provision of financial support includes any (1) capital contribution, (2) purchase of a security from a Money Market Fund in reliance on rule 17a-9 under the Act (17 CFR 270.17a-9), (3) purchase of any defaulted or devalued security at fair value reasonably intended to increase or stabilize the value or liquidity of the Registrant's portfolio, (4) execution of letter of credit or letter of indemnity, (5) capital support agreement (whether or not the Registrant ultimately received support), (6) performance guarantee, or (7) other similar action reasonably intended to increase or stabilize the value or liquidity of the Registrant's portfolio. Provision of financial support does not include any (1) routine waiver of fees or reimbursement of Registrant's expenses, (2) routine inter-fund lending, (3) routine inter-fund purchases of Registrant's shares, or (4) action that would qualify as financial support as defined above, that the board of directors has otherwise determined not to be reasonably intended to increase or stabilize the value or liquidity of the Registrant's portfolio.

Item B.12. Fidelity bond and insurance (management investment companies only).  
a. Were any claims with respect to the Registrant filed under a fidelity bond (including, but not limited to, the fidelity insuring agreement of the bond) during the reporting period?

Item B.13. Directors and officers/errors and omissions insurance (management investment companies only).  
a. Are the Registrant's officers or directors covered in their capacities as officers or directors under any directors and officers/errors and omissions insurance policy owned by the Registrant or anyone else?

SUBSTANCE 1: UNREASONABLE RATES WITH RESPECT TO THE UNDERLYING RISKS, CORRUPTION, BRIBERY, TAX FRAUD, SECURITIES FRAUD

SUBSTANCE 2: STATE FARM WAS FINED LAST IN TEXAS FOR \$352.5MM, CORRUPTION, BRIBERY, TAX FRAUD, SECURITIES FRAUD

MOTIVE 750: STATE FARM IS A 40' ACT REGISTERED TRUST, WHICH MEANS ALL THE OTHER 40' ACT FUNDS - ALSO CAN CONTINUE OPERATIONS WITH NO DISCLOSURE OF A MATERIAL SUBSTANTIVE DANGER TO THEIR INVESTORS AS WELL... JUST LIKE THE ZUCKER'S WILL SHOW YOU AN APARTMENT - THE SAME BROKER ACTUALLY EMAILED ME BACK ON AN ANONYMOUS INQUIRY I MADE ON THEIR WEBPAGE...

... LET me understand otherwise... JAIL AND PRISON ARE MANDATORY, AS \$8 BILLION DOLLARS IN THE HANDS OF STATE FARM WERE UNDER SEVERE RISKS AT ALL TIMES AND THE OBSTRUCTIONS THEN AND LATER

JAIL AND PRISON ARE MANDATORY FRONT PAGE NOTICE FOR THE PUBLIC SAFETY

/ AIDED AND ABETTED BY THE COUNSELORS & FRIENDS (OR FIDELITIES, WHATEVER YOU CALL YOURSELVES) AS CS RECIPIENTS OF THE SULLIVAN PROPERTIES, L.P. GROUP

THE 5 BUILDINGS IN LOAN 50074, PER STATE FARM'S INVESTMENT MANAGEMENT DEPARTMENT....

THEY NEED A WARRANT FOR THIS ALSO BTW

- OR AT LEAST AN ORDER FROM THE JUDGE

WHICH WOULD NEVER HAPPEN: <https://github.com/BSCPGROUPHOLDINGSLLC/ELSER-AND-DICKER/pull/5#issue-1125282035>

THE LOAN HOWEVER, WAS NOTARIZED BY THE INVESTMENT MANAGERS AT 1 STATE FARM PLAZA.

1. HQ AT STATE FARM WILL NOT TAKE OWNERSHIP TO THEIR UNFAIR DEALINGS AND OBSTRUCTION, FAILURE TO DISCLOSURE AND AVOIDANCE TO PROSECUTION.

2. DID NOT REPORT A \$6 MILLION DOLLAR LOAN IN THE SEMI OR THE ANNUAL REPORT IN 2020 OR 2021

3. " Not Covered " RECEIVED NYSCEF: 08/02/2020

SCARED OF THE CERTAIN OUTCOME OF A SERIOUS OF OTHER TORTS IN THE TRANSFER AND ASSIGNMENT OF LIABILITY IN THE LEASES AND RENTS..

P.S. THE DEMONSTRATION AND MERGER PERIOD - WAS CONTESTED (BY MYSELF)

WITHOUT ANY ISSUE OR PROBLEM BY THE SECURITIES AND EXCHANGE COMMISSION....

I PROMISE YOU FROM THE BOTTOM OF EVERY HEART, IF I DON'T SEE A RELEASE AND FOR CONTESTING ME OVER 10,210 SQ FT.

CANNOT CLAIM AN INCREASE OF VALUE ON THE BASIS OF GROSS INCOME YoY WHICH PRESENTS CERTAIN GUARANTEES AND FOR THE ASSET BACKED LOAN... DURING COVID-19 SEASON... PUT BILLION DOLLARS AT RISK FOR PREMIUMS AND INTEREST COLLECTED AT THE HQ FOR STATE FARM....

THE NYS DEPT OF FINANCE AUTOMATICALLY CALCULATES THE MARKET VALUE OF THE PROPERTY.

--- USING THE GROSS INCOME REPORTED.

AVOIDED TO A REVIEW BY SULLIVAN PROPERTIES L.P. FOR THE GREATER OF 10 YEARS...

CARRIED INTEREST AND PENALTIES USING THE BASE CAP RATE MODEL:

BLOCK 803, LOT 11: COMPOUNDED ON 30/360 = \$49,739,593.828965.....

Base Cap Rate: THE NEW YORK DEPARTMENT OF Finance's estimate of the rate of return that an ordinary investor would expect on their investment in this type of property.

(2) Gross valuation misstatement.

There is a gross valuation misstatement if the value or adjusted basis of any property claimed on a return of tax imposed under chapter 1 is 400 percent or more of the correct amount.

Notices of Property Value

|                     |                           |
|---------------------|---------------------------|
| 2022 - 2023         | <a href="#">15-Jan-22</a> |
| 2021 - 2022         | <a href="#">15-Jan-21</a> |
| 2020 - 2021         | <a href="#">15-Jan-20</a> |
| 2019 - 2020         | <a href="#">15-Jan-19</a> |
| 2018 - 2019         | <a href="#">15-Jan-18</a> |
| 2017 - 2018         | <a href="#">15-Jan-17</a> |
| 2016 - 2017         | <a href="#">15-Jan-16</a> |
| Revised 2015 - 2016 | <a href="#">13-May-15</a> |
| 2015 - 2016         | <a href="#">15-Jan-15</a> |
| 2014 - 2015         | <a href="#">15-Jan-14</a> |
| 2013 - 2014         | <a href="#">15-Jan-13</a> |
| 2012 - 2013         | <a href="#">15-Jan-12</a> |
| 2011 - 2012         | <a href="#">15-Jan-11</a> |
| 2010 - 2011         | <a href="#">15-Jan-10</a> |

<https://github.com/BSCPGROUPHOLDINGSLLC/ELSER-AND-DICKER/pull/10>

ABOUT THAT HQ... YOU KNOW ANYTHING ABOUT ANY CAUSE FOR RISK TO NOT MAKE AN INVESTMENT OVER THERE?

PLUS, YOU NEED A WARRANT FOR THIS... IF THEY ARE BULLETPROOF FROM THE SEC... SOUNDS GOOD TO ME.

BUT THEN, EVERYONE ELSE MISSED OUT ON THAT OPPORTUNITY FOR TWO YEARS TO DO WHATEVER THEY WANT.

- SO WHAT'S THE OPPORTUNITY COST IN THE SCOPE OF THESE LADIES AND GENTLEMAN:  
<https://www.salt.org/>

IF I DON'T SEE THIS AS A REGISTERED RISK FOR INVESTORS AND TENANTS.... NEITHER DO THEY...

+ I WAS ABLE TO MAKE AN APPOINTMENT ONLINE TO VIEW AN APARTMENT, AND WAS INVITED TO MEET WITH THE SAME BROKER THAT SHOWED ME THIS UNIT...

<https://github.com/BSCPGROUPHOLDINGSLLC/ELSER-AND-DICKER/pull/5>

<https://github.com/BSCPGROUPHOLDINGSLLC/ELSER-AND-DICKER/pull/11>

NO ESTOPPEL? awards??

--- all i did was ask the JUDGE TO PLACE AN ESTOPPEL TO THEIR CONTINUANCE - legal, state farm, the zuckers, and their FIDELITY.

I WANT NO HONORABLE MENTION, BUT IF THESE ZUCKERS ARE NOT KNOWN TO THE PUBLIC IN NEW YORK CITY LIKE THIS:

<https://github.com/BSCPGROUPHOLDINGSLLC/ELSER-AND-DICKER/pull/5>

I FIGURED OUT WHO AIDED AND ABETTED TO THE OBSTRUCTION OF JUSTICE

\- AND ILL DUALY POST THE SALT CONVENTION MEMBERS OF THEIR ABILITY TO ALSO CONDUCT

THEIR BUSINESSES WITHOUT ANY REGULATORY INTERVENTION AS SEEN IN THE NY SUPREME DOCKETS ANNEXED  
HEREUNDER -- NO JUSTIFICATION FOR THEIR ACTS EITHER.. SO NO BAIL PROVISIONS...  
PLUS, I DON'T HAVE TO BE REASONABLE AT THIS POINT... YOU WANTED TO SEE A QUICK DECK OF CARDS --- I  
LOCATED THE PAID INVOICES... NOT THE ESTIMATES.

[CFR](#)

[Title 1](#) - General Provisions

[Title 2](#) - Grants and Agreements

[Title 4](#) - Accounts

[Title 5](#) - Administrative Personnel

[Title 6](#) - Domestic Security

[Title 11](#) - Federal Elections

[Title 12](#) - Banks and Banking

[Title 13](#) - Business Credit and Assistance

[Title 15](#) - Commerce and Foreign Trade

[Title 16](#) - Commercial Practices

[Title 17](#) - Commodity and Securities Exchanges

[Title 24](#) - Housing and Urban Development

[Title 26](#) - Internal Revenue

[Title 31](#) - Money and Finance: Treasury

[Title 32](#) - National Defense

[Title 37](#) - Patents, Trademarks, and Copyrights

[Title 40](#) - Protection of Environment

[Title 41](#) - Public Contracts and Property Management

[Title 44](#) - Emergency Management and Assistance

[Title 45](#) - Public Welfare

[Title 47](#) - Telecommunication

[Title 48](#) - Federal Acquisition Regulations System

----- Forwarded Message -----

Subject:NOTARIZED on the 11th of May, 2020. ||| STATE FARM TRUST FUND --- 58-58 INDENTURE. ##HQ  
12-5858

Date: Wed, 30 Mar 2022 00:03:26 -0500

From: Work <[cockarens@vouchersrus.org](mailto:cockarens@vouchersrus.org)>



To: CHERYL 00068govtIdx CADUE <CherylCa@doc.ks.gov>, <ConstituentServices@doc.nyc.gov>, <ConstituentServices@doc.nyc.gov>, doc-del@libraries.cul.columbia.edu, doc@dc.gov, gpradocorrea@bloomberg.net <gpradocorrea@bloomberg.net>, HREmployeeServices@doc.nyc.gov <HREmployeeServices@doc.nyc.gov>, Karol.Sabodocha@nypd.org <Karol.Sabodocha@nypd.org>, MICHAEL 00064govtIdx OSVER <mosver@doc.gov>, SARAH 00068govtIdx BARNHART <SarahB@doc.ks.gov>, tmprosecutordocs@uspto.gov <tmprosecutordocs@uspto.gov>, Doc Webmaster <webmaster@doc.gov>, leaston@ap.org <leaston@ap.org>, info@ap.org <info@ap.org>, mediarelations@ap.org <mediarelations@ap.org>, Dow Jones <wsjprosupport@dowjones.com>, FINRA Product Management <finraproductmanagement@finra.org>, Nicole Bowyer <nicole.bowyer@statefarm.com>, stationservices@cbs2ny.com <stationservices@cbs2ny.com>, Kids Privacy <kidsprivacy@viacomcbs.com>, General Law Unit (FDNY) <Generallaw.Unit@fdny.nyc.gov>, Paul Regan <legal@mskyline.com>, legalasst@mskyline.com <legalasst@mskyline.com>, cscsspwaiver@buildings.nyc.gov <cscsspwaiver@buildings.nyc.gov>, TRACE Data Services <tracedataservices@finra.org>, espnfrontrow@espn.com, PIONoReply@supremecourt.gov, support@nicic.gov, mharvey13@bloomberg.net <mharvey13@bloomberg.net>, JPMCinvestorrelations@jpmchase.com <JPMCinvestorrelations@jpmchase.com>, jpetit@mccarter.com <jpetit@mccarter.com>, thermanson@northmarq.com <THERMANSON@NORTHMARQ.COM>, hhp\_info@hms.harvard.edu, Josephine.Vella@finra.org <Josephine.Vella@finra.org>

CC: brian.hodgson.nyz6@statefarm.com <brian.hodgson.nyz6@statefarm.com>, Fisher William <rcrcnwaf@omh.state.ny.us>, david.moore.ct95@statefarm.com, dick.luedke.h2hj@statefarm.com, eca\_press@state.gov, KEVIN ROCK <krock5@bloomberg.net>, mutualfunds@statefarm.com, Nicole Bowyer <nicole.bowyer@statefarm.com>, njtysl@bloomberg.net, PHIL SUPPLE <phil.supple.hid9@statefarm.com>, postmaster@state.gov, realestateforsale@fdic.gov, rebecca.coyle@statefarm.com <rebecca.coyle@statefarm.com>, replacementprogram@statefarm.com, statewide.accounting@cpa.texas.gov, mshyld@bloomberg.net <mshyld@bloomberg.net>, bofamarkets@bofa.com <bofamarkets@bofa.com>, bk01@cb.nyc.gov, Secretarys-Office@sec.gov, FINRA Corporate Notification <finracorporatenotification@finra.org>, premerger@ftc.gov <premerger@ftc.gov>, newsoffice@mit.edu <newsoffice@mit.edu>

ATTN: STATE FARM, THE ZUCKERS, AND ELSER & DICKER LAW FIRM  
State Farm Investment Management Corp.  
CRD# 000003487

I understand your request to opt-opt to the fines and investigations in NEW YORK, TEXAS, and BY ANY FEDERAL REGULATORY AGENCY.

BUT I DON'T VALUE YOUR OPINION IN THAT RESPECT...OR TRUST ANYTHING GOING ON AT 1 STATE FARM PLAZA, BLOOMINGTON, IL, 61701

- DON'T ADVERTISE IN BROOKLYN EITHER, THE BROOKLYN NETS ARE ALL COPIED HERE IN THE BCC.

THE ZUCKER FAMILY, AND ITS COUNSELORS WILL ALL DENY ANY KNOWLEDGE UNTIL THE PROPERTY AUTHORITY COMES ACROSS THESE PAPERS.

-- NOTWITHSTANDING THE COMPLIANCE OFFICERS AT STATE FARM WHO I NOTIFIED IN 2020, CERTAIN OF WHICH ARE CRD REGISTERED FINRA MEMBERS.

-- FOR DISCLOSURE AND RISK.

THIS is what is called MOTIVE FOR UNFAIR DEALINGS

to OBSTRUCT MYSELF FROM FILING A VIOLATION OF PRIVACY SUIT...  
to AVOID A FAILURE TO DISCLOSE IN THE SEMI THEY ARE "NOT COVERED" IN THEIR CAPACITY AS ANNEXED.  
\_40-17G-FILED---2020.06.08

MAY 11TH 2020: LOAN 50074. NOTARIZED

RECEIVED NYSCEF: 08/09/2020  
NYSCEF DOC. NO. 315

BY STATE FARM

<https://github.com/BSCPGROUPHOLDINGSLLC/ELSER-AND-DICKER/pull/10#issuecomment-1071174718>

"... BE IT REMEMBERED ..."

THIS OBSTRUCTION BY THE SECURITIES INDUSTRY AND AVOIDANCE TO PROSECUTION FOR MATTERS WHICH PERTAIN TO A FAILURE TO REPORT MATERIAL FACTS, PEEPING TOMS THAT REACT AT-WILL, WITH NO FEAR OF ANY AUTHORITY WAS PERMITTED AND WITHOUT ESTOPPEL FILED IN A NEW YORK STATE SUPREME COURT OF LAW WITHOUT ANY NOTICE TO THE PUBLIC OF ANY POTENTIAL HAZARD. THE VIOLATION OF PRIVACY, IS CLEAR - AND SO IS THE DISTRIBUTION OF THOSE UNCONSENTED VIDEOS ANNEXED WITHOUT ANY PUBLIC RELEASE TO WARN OTHER TENANTS - THEY DON'T HAVE A WARRANT TO ILLEGALLY VIDEOTAPE, OR A CERTIFICATE OF OCCUPANCY TO LEGALLY COLLECT AND CUSTODY RENT OR SECURITY DEPOSITS. FOR BUILDINGS - PLURAL...

THIS IS A NEW PLATEAU OF DEPLORABLE CONDUCT AS THE LIABILITY FOR INVESTORS, WHERE THE REGULATORS ARE CONSIDERED AS "NONPLUSSSED" AND WITHOUT DISCLOSURE TO THE PUBLIC...  
- WHILE I WAS FURTHER HINDERED BY THE OBSTRUCTION BY OTHER COUNSELORS, AND AFFILIATES WHILE THE STATE FARM ATTEMPTED A MERGER IN NOVEMBER OF 2021 AS WELL.

<https://github.com/BSCPGROUPHOLDINGSLLC/ELSER-AND-DICKER/pull/11>

NO RELEASE OR NOTICE OF AN ONGOING INVESTIGATION BY THE SECURITIES & EXCHANGE COMMISSION FOR ALL OTHER INVESTMENT COMPANIES TO BE CONCERNED WITH REGARDING THIS DANGER

NOTWITHSTANDING FURTHER INVESTMENTS TO A TRUST THAT WILL NOT DISCLOSE A \$6 MILLION DOLLAR EXPOSURE

THE LETTER OF CREDIT FOR \$6 MILLION WAS ANNEXED AND RECEIVED IN A NEW YORK SUPREME COURT OF LAW, DOCKET 315...

- THEY WILL ALSO HIDE A \$6 BILLION DOLLAR LOSS. AND WILL NOT ACCEPT THE FACT THAT THEY WERE NOT COVERED, AND UNDER ANY SCOPE OF LEGAL PROCEEDINGS AS WELL.
- THIS ALL OCCURRED DURING THE PERIOD OF THEIR OWN BOND INDENTURE AND COVERAGE FOR ERRORS AND OMISSIONS... AND I ALSO FILED A TCR ON THE 13TH OF NOVEMBER - PRIOR TO THE EXPIRATION TERM.

[https://www.sec.gov/Archives/edgar/data/0000093715/000114554922006149/xslFormN-CEN\\_X01/primary\\_doc.xml](https://www.sec.gov/Archives/edgar/data/0000093715/000114554922006149/xslFormN-CEN_X01/primary_doc.xml)

<https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=gcMSDaFzm0ynPeXZKSHgLQ==>

A. FIDELITY - DEFINED  
MOTIVE \_40-17G-FILED----2020.06.08

NOTARIZED on the 11th of May, 2020.  
during the period of Coverage by its Investment Professionals [ E-8 ]  
STATE FARM LIFE INSURANCE COMPANY.  
STATE FARM REALTY MORTGAGE LLC.

OBSTRUCTION OF JUSTICE & NO ORDER OF ESTOPPEL -  
MOTIVES...

THOSE FINES GET HEAVIER THE LONGER YOU HIDE FROM THE TRUTH.  
... BTW, I NEVER ASKED FOR ANYTHING... OTHER THAN THE MONEY THEY STOLE & MY SECURITY DEPOSIT WHICH = LARCENY.  
... DO I GET ANY JUSTICE THOUGH? IF NOT THEN PAY ME THE WHISTLEBLOWER FEES OR GIVE ME A ESPY AT LEAST CONSIDER IT.

Monday August 10, 2020 12:36 AM

NYSCEF DOC. NO. 419 10 AUGUST 2020 - LETTER TO ZUCKER  
[https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=0oALanv/mNr\\_PLUS\\_zX0ysW5zeg==](https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=0oALanv/mNr_PLUS_zX0ysW5zeg==)

LETTER FROM THE OBSTRUCTION OF A ZUCKER & THEIR DICKER OF A COUNSELOR(S)

%% NYSCEF DOC. NO. 418 RECEIVED NYSCEF: 08/11/2020

<https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=dsAL8eNLGxyAjb2w0sRrPA==>

%% NYSCEF DOC. NO. 399 RECEIVED NYSCEF: 08/11/2020

<https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=D9Td7IfwXyajw1tBNCFb9g==>

A LETTER FROM 101 WEST 55TH STREET, NEW YORK, NY, 10019  
DEED: [https://a836-acris.nyc.gov/DS/DocumentSearch/DocumentImageView?doc\\_id=FT\\_1350000324035](https://a836-acris.nyc.gov/DS/DocumentSearch/DocumentImageView?doc_id=FT_1350000324035)

%% demand you cease and desist from any and all contact and interference with any business affiliates of the Landlord, including, but not limited to, State Farm Realty Insurance LLC, and cease and desist from the dissemination of false information regarding the Landlord %

OBSTRUCTED THE PROTECTION OF \$8 BILLION DOLLARS AND KEPT TABS ON ME ON ROUTINE CLOCKWORK TO MAKE SURE I DIDN'T BRING OUT THIS "ORDINARY LEGAL PROCEEDING..." AS THE LEASES AND RENTS WERE TRANSFERRED WHILE I RESIDED AT 111 SULLIVAN STREET, #2BR, NEW YORK, NY, 10012 AND LET US REMEMBER, THE \$352,500,000.00 FINED IN TEXAS FOR THE PREMIUMS WHICH WERE UNREASONABLE WITH RESPECT TO THE PROPERTY VALUES IN THAT INSTANCE - WAS A FINE PAID TO TEXAS... THIS OCCURRED IN NEW YORK\*\*\*

% HQ = 1 STATE FARM PLAZA, BLOOMINGTON, IL, 61710

NOTARIZED on the 11th of May, 2020.  
during the period of Coverage by its Investment Professionals  
STATE FARM LIFE INSURANCE COMPANY.  
STATE FARM REALTY MORTGAGE LLC.

//S BARIS DINCER.  
\*.MOV DISTRIBUTION BELOW - LINED UP BELOW...

UNCONSENTED VIDEOTAPES 'INSIDE' WITH NO SHIRT ON = HALF NAKED.....

<https://github.com/BSCPGROUPHOLDINGSLLC/ELSER-AND-DICKER/pull/5>

THEY ALSO TAPED MY LAPTOP... LET IT BE REMEMBERED ON THE 11TH OF MAY AND LATER HOW  
THEY MOVE SWIFT IN AUGUST WHEN THEY FEEL LIKE IT.

BK01 - STILL TRYING TO MAKE MOVES = STILL IN BUSINESS. JUST ASK MR. REYNOSO DIRECT IF  
YOU NEED TO  
>> [bk01@CB.nyc.gov](mailto:bk01@CB.nyc.gov)

GOOD DEEDS... OR IN THE REAR... 74TH AND LEX - THE LENOX INSTITUTE FOR THE CRIMINALLY INSANE  
-----

DEED: [https://a836-acris.nyc.gov/DS/DocumentSearch/DocumentImageView?doc\\_id=FT\\_1350000324035](https://a836-acris.nyc.gov/DS/DocumentSearch/DocumentImageView?doc_id=FT_1350000324035)  
DEED: [https://a836-acris.nyc.gov/DS/DocumentSearch/DocumentDetail?doc\\_id=FT\\_1350000324035](https://a836-acris.nyc.gov/DS/DocumentSearch/DocumentDetail?doc_id=FT_1350000324035)

YOU'RE WELCOME FOR THE PRIOR NOTICE AS WELL  
[2020.06.03] [2020.07.20] [2020.08.13]

\*\*\* take a look at the top right hand corner [2020.06.03] [2020.07.20] [2020.08.13]  
NYSCEF DOC. NO. 440 RECEIVED NYSCEF: 08/11/2020

FILED: 08/10/2020  
RECEIVED NYSCEF: 08/10/2020  
NYSCEF DOC. NO.: 385

FILED: 08/11/2020  
RECEIVED NYSCEF: 08/10/2020  
NYSCEF DOC. NO.: 441

NO CERTIFICATE OF OCCUPANCY - ALSO DOES NOT EXIST - AND NEITHER DID MY EGRESS TO THE FIRE  
ESCAPE.

\*\*\* Please also notice THE CHANGE IN MY TONE as seen in DOCKET 441 \*\*\*  
- I did hang two chandeliers, and those beams are not from the year 1900 in the ceiling  
- There is no fire sprinkler system in the ceiling either.

FILED: 08/04/2020 JULY 20 - 2020 8:50 AM  
RECEIVED NYSCEF: 08/04/2020 .MOV DISTRIBUTION  
NYSCEF DOC. NO.: 285

ENTITLED TO "CHECK THE CAMERAS AT WILL"  
ENTITLED TO VIDEOTAPE ME AND DISTRIBUTED VIA: [ASHLEY.HUMPHRIES@WILSONELSER.COM](mailto:ASHLEY.HUMPHRIES@WILSONELSER.COM)

FILED: 08/04/2020 JULY 13 - 2020 6:24 PM  
RECEIVED NYSCEF: 08/04/2020 .MOV DISTRIBUTION  
NYSCEF DOC. NO.: 280  
ENTITLED TO VIDEOTAPE ME AND DISTRIBUTED VIA: [ASHLEY.HUMPHRIES@WILSONELSER.COM](mailto:ASHLEY.HUMPHRIES@WILSONELSER.COM)

FILED: 08/04/2020 JULY 13 - 2020 6:03 PM  
RECEIVED NYSCEF: 08/04/2020 .MOV DISTRIBUTION  
NYSCEF DOC. NO.: 279  
ENTITLED TO VIDEOTAPE ME AND DISTRIBUTED VIA: [ASHLEY.HUMPHRIES@WILSONELSER.COM](mailto:ASHLEY.HUMPHRIES@WILSONELSER.COM)

FILED: 08/04/2020 JULY 13 - 2020 5:51 PM  
RECEIVED NYSCEF: 08/04/2020 .MOV DISTRIBUTION  
NYSCEF DOC. NO.: 278  
ENTITLED TO VIDEOTAPE ME AND DISTRIBUTED VIA: [ASHLEY.HUMPHRIES@WILSONELSER.COM](mailto:ASHLEY.HUMPHRIES@WILSONELSER.COM)

FILED: 08/04/2020 JULY 10 - 2020 10:29 PM  
RECEIVED NYSCEF: 08/04/2020 .MOV DISTRIBUTION  
NYSCEF DOC. NO.: 277  
ENTITLED TO VIDEOTAPE ME AND DISTRIBUTED VIA: [ASHLEY.HUMPHRIES@WILSONELSER.COM](mailto:ASHLEY.HUMPHRIES@WILSONELSER.COM)

FILED: 08/04/2020 JULY 10 - 2020 10:19 PM

RECEIVED NYSCEF: 08/04/2020 .MOV DISTRIBUTION  
NYSCEF DOC. NO.: 276  
ENTITLED TO VIDEOTAPE ME AND DISTRIBUTED VIA: [ASHLEY.HUMPHRIES@WILSONELSER.COM](mailto:ASHLEY.HUMPHRIES@WILSONELSER.COM)

"... I SMELLED IT... AND AS WELL JUST NOW..."

FILED: 08/04/2020 JULY 10 - 2020 08:38 AM  
RECEIVED NYSCEF: 08/04/2020 .MOV DISTRIBUTION  
NYSCEF DOC. NO.: 275  
ENTITLED TO VIDEOTAPE ME AND DISTRIBUTED VIA: [ASHLEY.HUMPHRIES@WILSONELSER.COM](mailto:ASHLEY.HUMPHRIES@WILSONELSER.COM)

"... ONLY BOOKING ALL DAY APPOINTMENTS ..."

FILED: 08/04/2020 JULY 10 - 2020 10:39 PM  
RECEIVED NYSCEF: 08/04/2020 .MOV DISTRIBUTION  
NYSCEF DOC. NO.: 274  
ENTITLED TO VIDEOTAPE ME AND DISTRIBUTED VIA: [ASHLEY.HUMPHRIES@WILSONELSER.COM](mailto:ASHLEY.HUMPHRIES@WILSONELSER.COM)

"... EATING A TON OF CELLULAR DATA ..."

FILED: 08/04/2020 JULY 10 - 2020 08:37 PM  
RECEIVED NYSCEF: 08/04/2020 VIDEO.MOV DISTRIBUTIONS  
NYSCEF DOC. NO.: 273  
ENTITLED TO VIDEOTAPE ME AND DISTRIBUTED VIA: [ASHLEY.HUMPHRIES@WILSONELSER.COM](mailto:ASHLEY.HUMPHRIES@WILSONELSER.COM)

"... A STRONG ODOR ..."

FILED: 08/04/2020 JULY 10 - 2020 06:58 PM  
RECEIVED NYSCEF: 08/04/2020 VIDEO.MOV DISTRIBUTIONS  
NYSCEF DOC. NO.: 272  
ENTITLED TO VIDEOTAPE ME AND DISTRIBUTED VIA: [ASHLEY.HUMPHRIES@WILSONELSER.COM](mailto:ASHLEY.HUMPHRIES@WILSONELSER.COM)

"... HAMMERING AGAIN ..."

FILED: 08/04/2020 JULY 03 - 2020 02:12 PM  
RECEIVED NYSCEF: 08/04/2020 VIDEO.MOV DISTRIBUTIONS  
NYSCEF DOC. NO.: 271  
ENTITLED TO VIDEOTAPE ME AND DISTRIBUTED VIA: [ASHLEY.HUMPHRIES@WILSONELSER.COM](mailto:ASHLEY.HUMPHRIES@WILSONELSER.COM)

"... KNOCKING BOOTS with RISPOLI'S iPHONE ..."

FILED: 08/04/2020 JULY 03 - 2020 01:12 PM  
RECEIVED NYSCEF: 08/04/2020 VIDEO.MOV DISTRIBUTIONS  
NYSCEF DOC. NO.: 269  
ENTITLED TO VIDEOTAPE ME AND DISTRIBUTED VIA: [ASHLEY.HUMPHRIES@WILSONELSER.COM](mailto:ASHLEY.HUMPHRIES@WILSONELSER.COM)  
"... Shari you need to amend pleadings ..."

"... Daniel cannot be expected to live like this ..."

FILED: 08/04/2020 JULY 03 - 2020 01:12 PM  
RECEIVED NYSCEF: 08/04/2020 VIDEO.MOV DISTRIBUTIONS  
NYSCEF DOC. NO.: 268

ENTITLED TO VIDEOTAPE ME AND MY LAPTOP ALSO  
- AFTER I CUT THE WIRES TO THE CAMERA AND GOT OUT OF JAIL - THEY MOUNTED THEM AGAIN.  
- WOULD NOT CEASE AND DESIST FROM FILMING ME SO I CUT THE ETHERNET WIRES AGAIN...  
- AS SOON AS I GOT OUT FROM THE CENTRAL BOOKING, THE FIRST TIME WAS TRYING TO HAVE SOME  
PRIVACY WITH MY BALLERINA FRIEND. THIS ASHLEY CHARACTER I THINK WAS WORRIED DANIEL WAS  
GOING TO BREAK UP WITH HER AND TAKE OFF WITH ONE OF MY OTHER FRIENDS OR SOMETHING TO BE  
HONEST.

FILED: 08/04/2020 JULY 03 - 2020 07:22 PM  
RECEIVED NYSCEF: 08/04/2020 VIDEO.MOV DISTRIBUTIONS  
NYSCEF DOC. NO.: 267

ENTITLED TO VIDEOTAPE ME AND MONITOR MY ACTIONS - ASHLEY AND HER PARANOIA...

ATTACHED A VIDEO OF MYSELF AND SENT IT TO TO THE ELSER COMPOUND AND SHARI.  
150 EAST 42ND STREET, NEW YORK, NY, 10017

FILED: 08/04/2020 JUNE 29 - 2020 08:36 PM  
RECEIVED NYSCEF: 08/04/2020 VIDEO.MOV DISTRIBUTIONS  
NYSCEF DOC. NO.: 266  
ENTITLED TO VIDEOTAPE ME AND MONITOR MY ACTIONS - ASHLEY AND HER PARANOIA...

ATTACHED A VIDEO OF MYSELF AND SENT IT TO TO THE ELSER COMPOUND AND SHARI.  
150 EAST 42ND STREET, NEW YORK, NY, 10017  
\* SHARI IS NOW DISAPPOINTED SHE CAN'T OPEN THE VIDEO ON HER PHONE \*

FILED: 08/04/2020 JUNE 27 - 2020 11:21 AM  
RECEIVED NYSCEF: 08/04/2020 VIDEO.MOV DISTRIBUTIONS  
NYSCEF DOC. NO.: 264  
ENTITLED TO VIDEOTAPE ME AND MONITOR MY ACTIONS - ASHLEY AND THE ELSER

ATTACHED A VIDEO OF MYSELF AND SENT IT TO TO THE ELSER COMPOUND AND SHARI.  
150 EAST 42ND STREET, NEW YORK, NY, 10017  
\* MISS ELSER 2020 .... DOES NOT LIKE A 2-STAR MOTEL \*

\* TAKES ORDERS AND COMMUNICATES WITH A 2-DOLLAR PRO \* \*\*\*\*\* NOV 24TH, 2021

FILED: 08/04/2020 JUNE 27 - 2020 08:20 PM  
RECEIVED NYSCEF: 08/04/2020 VIDEO.MOV DISTRIBUTIONS  
NYSCEF DOC. NO.: 263  
ENTITLED TO VIDEOTAPE ME AND MONITOR MY ACTIONS - ASHLEY AND THE ELSER

ATTACHED A VIDEO OF MYSELF "... DRILLING ... BLASTING MUSIC"  
- FOR OPINIONS ON MODERN LINGUISTICS, PLEASE REFER TO BAD BOY RECORDS, OR VOGUE MAGAZINE  
IF YOU REQUIRE AN OPINION ON THE VIDEOS THAT WERE DISTRIBUTED WITHOUT MY CONSENT.

" ... TRIED RECORDING [ME] ON MULTIPLE TIMES ... UNFORTUNATELY MY PHONE ISN'T GREAT ..."  
" ... I WILL KEEP TRYING ..."

FILED: 08/04/2020 JUNE 24 - 2020 07:00 PM  
RECEIVED NYSCEF: 08/04/2020 VIDEO.MOV DISTRIBUTIONS  
NYSCEF DOC. NO.: 263  
ENTITLED TO VIDEOTAPE ME AND MONITOR MY ACTIONS - ASHLEY AND SHARI

ATTACHED A VIDEO OF MYSELF  
"... SHARI WANTED TO RECORD THE NOISE OF MYSELF WHILE TAKING EITHER A SHOWER, A S\*\*\*, OR

A SNEEZE..."

AKE

<https://www.law.cornell.edu/cfr/text/26/1.6662-5>

OBSTRUCTION

<https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=D9Td7IfwXyajw1tBNCFb9g==>

CAKE

<https://github.com/BSCPGROUPHOLDINGSLLC/ELSER-AND-DICKER/pull/11>

CAKE

<https://github.com/BSCPGROUPHOLDINGSLLC/ELSER-AND-DICKER/pull/11#issuecomment-1076939683>

CAKE

[https://saaze2311prdsra.blob.core.windows.net/clean/e2fe82c1c6a2ec11b400002248316383/153974\\_2020\\_Sullivan\\_Properties\\_L\\_P\\_v\\_Baris\\_Dincer\\_EXHIBIT\\_S\\_231%20-%204%20august%202020%20-%20no%20certif](https://saaze2311prdsra.blob.core.windows.net/clean/e2fe82c1c6a2ec11b400002248316383/153974_2020_Sullivan_Properties_L_P_v_Baris_Dincer_EXHIBIT_S_231%20-%204%20august%202020%20-%20no%20certif)

LOAN DOCKET 50074 =  
UNDISCLOSED BY THE HQ FOR \$8 BILLION DOLLARS AT:  
## HQ ##  
<https://www.sec.gov/Archives/edgar/data/93715/000119312514121178/d687609d485bpos.htm>

CAKE

<https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=gcMSDaFzm0ynPeXZKSHgLQ==>

P.S. ENJOY THE RIDE.

\$6,000,000,00 = NOT REAL CAKE.. VACANT RATES THAT STAY FIXED AS RETURNS = FUNNY MONEY.

NYSCEF DOC. NO. 53 21 JULY 2020

FOLLOWING THE LOAN 50074 TO SULLIVAN PROPERTIES L.P. BY STATE FARM ON MAY 11TH, 2020  
[https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=LMUE9g\\_PLUS\\_k6vCmKgFCSJEzuQ==](https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=LMUE9g_PLUS_k6vCmKgFCSJEzuQ==)

IX. "... PLAINTIFF IS AWARE THEY ARE IN VIOLATION OF ETHICAL, AND GROSSLY  
NEGLIGENT OF MATTERS WHICH PERTAIN TO THE VIOLATION OF PRIVACY..."

X. "... REPRESENTATIVES FOR PLAINTIFF SHOULD BE DISBARRED..."

XIII. "... ABUSE OF AUTHORITY, PANIC, AND AVOIDANCE IS VERY CLEARLY A PANIC AND OBSTRUCTION

DUE TO THE REALITY OF THE EFFECTS OF AIDING AND ABETTING TO BOTH TAX EVASION, AND THE BREACH OF FIDUCIARY DUTIES...

NO DISCLOSURES BY A PUBLICLY TRADED MUTUAL FUND... AND A BREACH OF THEIR PROSPECTUS AT ANY COST..."

XIV. "... PLAINTIFF WAS WATCHING ME, AND AT THE SACRIFICE OF MY TIME ALSO CAUSED DAMAGES BEYOND THE SCOPE OF MY HEALTH, AND VIOLATED MY PRIVACY WHILE AIDING AND ABETTING THE NONDISCLOSURE AND OBSTRUCTION OF MY INTERVENTION TO PREVENT ANY FURTHER DAMAGES TO INVESTORS, TAXPAYERS, AND TO THE BENEFIT OF ITS OWN CITY..."

XV. "... REQUESTS THE COURT TO CONSIDER ALL REMEDIES AVAILABLE JOINTLY AND SEVERALLY CONSIDERING EACH INDIVIDUAL WHO HAS REPRESENTED THE INTERESTS OF THE PLAINTIFF..."

XVI. "... REQUESTS THE COURT TO REVIEW THE CONDUCT OF COUNSEL, REPRESENTATIVES, AND THOSE ENJOINED IN THE NEGLIGENCE OF MY PRIVACY..."

" PROVIDE INJUNCTIVE RELIEF AS THE COURT ESTEEMS JUST AND PROPER, NOTARIZED AND SENT TO THE JUDGE ON THE 20TH OF JULY, 2020.

DINCER: "SEEKS INJUNCTIVE RELIEF AND FOR THE COURTS TO REVIEW THE CONDUCT OF COUNSEL, REPRESENTATIVE, AND ALL PARTIES WHO ARE INVOLVED OR ENJOINED WITH THE ACTIONS OF THE PLAINTIFF, INCLUDING ITS NEGLIGENCE OF Privacy... REFUSE TO REMOVE THE CAMERA... AND A BLATANT DISREGARD TO THE CONSTITUTIONAL RIGHTS OF PRIVACY IN THE SANCTITY OF MY HOME"

ABOUT UNFAIR DEALINGS - MONDAY JULY 20TH, 2020 NYSCEF DOC. NO. 215

NYSCEF DOC. NO. 220 [https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=oFSKORPzy551BVUfHZGI\\_PLUS\\_Q==](https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=oFSKORPzy551BVUfHZGI_PLUS_Q==)

NYSCEF DOC. NO. 401 Tuesday, August 11, 2020 10:56 AM <https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=pElufxUbj5UakQ/uOR4VzQ==>

Please note our new address in the signature block.

<https://fintel.io/doc/sec-stfgx-state-farm-growth-fund-sfbdx-state-farm-municipal-bond-fund-ex99-cert-2019-april-23-18369-676>

DATE: April 23, 2019  
/s/ Paul J. Smith  
Senior Vice President and Treasurer

STATE FARM MUTUAL AUTOMOTIVE INSURANCE COMPANY

- <https://www.bloomberg.com/profile/company/1530Z:US>

NYSCEF DOC. NO. 78 - 16 JUNE 2020 - LETTER TO SHARI - CERTIFIED - NO FIRE EGRESS.

NYSCEF DOC. NO. 57 21 JULY 2020 - NO FIRE EGRESS - WINDOW IS NOT REPAIRED.

<https://github.com/BSCPGROUPHOLDINGSLLC/ELSER-AND-DICKER/pull/11#issue-1174121964>

---- NOT ONLY WAS I FILMED WITHOUT CONSENT, THE VIDEOS WERE ALSO EDITED, AND DISTRIBUTED AS ANNEXED BY THE REPRESENTATIVES AT

1. THE WILSON & DICKER FIRM LOCATED COMMUNALLY AT:  
150 EAST 42ND STREET, NEW YORK, NY, 10017
2. AT THE EXPENSE OF LAURIE ZUCKER, DONALD ZUCKER, AND THEIR 'FIDELITY'  
101 WEST 55TH STREET, NEW YORK, NY, 10019

DEED: [https://a836-acris.nyc.gov/DS/DocumentSearch/DocumentImageView?doc\\_id=FT\\_1350000324035](https://a836-acris.nyc.gov/DS/DocumentSearch/DocumentImageView?doc_id=FT_1350000324035)

<https://github.com/BSCPGROUPHOLDINGSLLC/ELSER-AND-DICKER/pull/7>

RJI = ADDRESS FOR SHARI LASKOWITZ IN CHARGE OF PROTECTING THE \$8 BILLION PROTECTED BY A MUTUAL TRUST?  
UNDER THE 40' ACT DID NOT DISCLOSE A LOAN BY THEIR FIDELITY?



\*\*\* I MUST ASSUME AMY HANRAHAN IS WELL VERSED WITH THE GUIDELINES OF DODD-FRANK, BUT THE SARBONAS-OXLEY IS MORE RELEVANT.

%% %% % WHAT ABOUT THEIR OTHER FIDUCIARY ROLES THEY OWN UP TO FINRA & THE SEC TO OTHER STAKE HOLDERS IN THE FUTURE?  
% TO OBSTRUCT OTHERS IS ALSO OBSTRUCTION OF JUSTICE. CHECK THE SEC.GOV WEBSITE FOR FINES  
---- PUBLIC & NON-PUBLIC INVESTMENT COMPANIES.  
% THEY EVEN WROTE ME A RETURN LETTER AND ADMITTED TO THAT OBSTRUCTION.

## RADIO CITY BOOKED A FLIGHT TO SPACE TO PROTECT ANY FURTHER AVOIDANCE TO PROSECUTION AND THEN... THE ZUCKER CANCELLED HER FLIGHT I HEARD EARLIER AS SOON AS SHE FOUND OUT THEY ARE WATCHING IN CASE SHE MAKES A SWIFT MOVE LIKE THAT...

ALSO... 74TH & LENOX IS JUST A ROUTINE CHECK UP ANYWAYS. IT SHOULD JUST HELP HER CASE I MEAN YOU HAVE TO AT LEAST MAKE SURE SHE IS FIT, USING THE ETHICAL OBSERVATIONS AND DECISIONS OF A THIRD PARTY DOCTOR... WHICH I AM NOT- PLUS... IT'S PARK AVENUE ITS GORGEOUS - LENOX 10TH FLOOR IS FOR THE HIGH FUNCTIONING EXECUTIVES ONLY. NOT THE EMERGENCY ROOM - THE OTHER BUILDING NEXT DOOR, EXECUTIVE OFFICES....

## THANK YOU - I HOPE THEY EITHER GAVE YOU A EXTRA +1 VOUCHER OR COMPED THAT ... BUT SHE BETTER HAVE PAID TAXES FOR THAT FLIGHT TICKET AND A REFUND BECAUSE SHE OWES THE CITY, STATE, AND FEDERAL GOVERNMENTS  
<https://www.sec.gov/Archives/edgar/data/93715/000119312514121178/d687609d485bpos.htm>

NO SPELL CHECK BTW.. SORRY - kp..

#bbo #k1 copy/

Attachments:

|   |         |
|---|---------|
| firm_43036.pdf  | 112 KB  |
| firm_3487.pdf   | 57.8 KB |
| individual_4847079.pdf  | 80.8 KB |
| individual_2992788 - MUNICIPAL SECURITIES PRINCIPAL 51.pdf                | 78.6 KB |
| 3487_ADV DRP.pdf  | 1.3 MB  |
| _TCRReport.pdf  | 62.2 KB |
| TCRReport-16491-117-831-823.pdf   | 170 KB  |
| _CRD MEMBER MORGAN STANLET _ 149777_GORMAN4026328--SFBDX - 6048391.pdf    | 148 KB  |
| _CRD MEMBER MORGAN STANLEY_8209-BROOKS--4776256 SFITX -6048355.pdf        | 150 KB  |
| _CRD MEMBER MORGAN STANLEY_8209-BROOKS--4776256-6048345.pdf               | 111 KB  |
| _CRD MEMBER MORGAN STANLET _ 149777_GORMAN4026328--6048300.pdf            | 111 KB  |
| FINRA FINED Morgan Stanley FOR DEFICIENT CONFLICT OF INTERESTS 800000.pdf | 911 KB  |
| FINRA FINED Credit Suisse 9 million in January 2022.pdf                   | 675 KB  |
| FINRA FINED Deutsche Bank 2 million in march 2022.pdf                     | 982 KB  |

Attachments:

|  |         |
|--|---------|
| 4470740 - STATE FARM INVESTMENT MANAGEMENT -2992788.pdf                | 155 KB  |
| 3487_ADV DRP.pdf   | 1.3 MB  |
| individual_2992788 - HARBERT RANDALL HOUSTON.pdf                       | 78.6 KB |
| 2020-11-30 FILER 93715 -- DEREGISTRATION 2021.pdf                      | 621 KB  |
| _CRD MEMBER MORGAN STANLET _ 149777_GORMAN4026328--6048300.pdf         | 111 KB  |
| _CRD MEMBER MORGAN STANLET _ 149777_GORMAN4026328--SFBDX - 6048391.pdf | 148 KB  |

|   |         |
|---|---------|
| _CRD MEMBER MORGAN STANLEY_8209-BROOKS--4776256 SFITX -6048355.pdf                                      | 150 KB  |
| _CRD MEMBER MORGAN STANLEY_8209-BROOKS--4776256-6048345.pdf   | 111 KB  |
| _40-17G-FILED----2020.06.08.pdf   | 825 KB  |
| [00249] AUGUST 2020 - SHARI BELIEVES THE ZUCKERS ARE EXEMPT FROM PROSECUTION - sworn again IN 10018.pdf | 784 KB  |
| [00220] -- 22 JUNE 2020 - wmckenzie@nycourts.gov -- NO LONGER WILL COMMUNICATE VIA EMAIL.pdf            | 1.0 MB  |
| [00440] -- 11 AUGUST 2020 -- NOTICE TO STATE FARM - FIDELITY (MEMBERS).pdf                              | 118 KB  |
| NYC DEPT OF FINANCE - PUBLIC RECORD.pdf   | 259 KB  |
| Nov 16, 2021 - WMCKENZIE@NYCOURTS.GOV --- SEC --- BOC@BOC.NYC.GOV.pdf                                   | 1.7 MB  |
| 2021.11.13 -- TCRReport.pdf   | 62.2 KB |
| Nov 25, 2021 - SERVICE@ETRADE.COM RULE-COMMENTS@SEC.GOV [ PRIOR ] SM.pdf                                | 1.7 MB  |
| TCRReport-16491-117-831-823.pdf   | 170 KB  |
| EX72.---.2020.08.10.---.NOTICE.FROM.COUNSEL.FOR.ZUCKERS.TO.NOT.CONTACT.ANY.AUTHORITY.ENCLOSURE .pdf     | 1.2 MB  |