

Filing ID: 6048300 (Please retain this number for further inquiries regarding this form)

Submitted Date: Wed Apr 06 17:15:04 EDT 2022

FINRA is responsible for determining whether brokerage firms and associated persons are in compliance with the federal securities regulations and FINRA Rules. If a determination is made to initiate an investigation, a FINRA examiner may contact you to obtain further information or documentation about your complaint.

FINRA investigations are conducted for the purpose of protecting all public investors. Information about our investor complaint program and FINRA's role in investigating investor complaints is available online in our Investor Complaint Program brochure at <http://www.finra.org/complaint/InvestorBrochure> .

Please understand that we are not representing you individually in this matter. There is no assurance that any action will result in the return of funds or securities to you. If you feel you are entitled to monetary relief, you may wish to initiate an individual action, such as mediation or arbitration. FINRA provides a forum for resolving individual disputes through FINRA Dispute Resolution.

Filing a complaint through the Investor Complaint Center is not the same as submitting an arbitration claim online. For additional Information about FINRA's Dispute Resolutions programs, or to start an arbitration or mediation, please visit the Arbitration & Mediation section of the FINRA Web site at: <http://www.finra.org/ArbitrationMediation/index.htm> .

FINRA Investor Complaint Form

All fields marked with \* are mandatory.

Tell us about your Investment

Security Name

State Farm Growth Fund

Security Symbol

STFGX

Security Type

Mutual Fund

Date and/or Period of Activity

From Date \* (MM/DD/YYYY)

To Date \* (MM/DD/YYYY)

11/30/2021

03/31/2022

☐Activity is ongoing

Tell us about your Complaint

What type of problem(s) are you Reporting?

Primary Problem \*

Misrepresentation or misleading information

Amount in Dispute

642,041,002.77

Complaint Summary

Please provide a summary of your complaint. Summary is limited to 3800 characters. \*  
Do not provide personal confidential information such as financial account numbers, Social Security numbers, or driver's license information. Such information will be requested by FINRA staff only when and if needed, and at the appropriate time. Also, please indicate the type of relevant supporting documentation currently in your possession (e.g. Monthly Account Statements, Canceled Checks, Correspondence to and from Firm, Advertising or Marketing Materials, etc.).

I personally filed a TCR and notified the promoting brokers, and its CEO on November 16th, 2021 as well.  
##### 2021-10-29 APPLICATION FOR DEREGISTRATION  
#####  
####<https://www.sec.gov/Archives/edgar/data/0000093715/999999999721005616/filename1.pdf>#### UNDER SECTION 8(f) OF THE INVESTMENT COMPANY ACT OF 1940 ###Hearing requests should be received by the SEC by 5:30 p.m. on November 23, 2021### TCRREPORT was filed: Saturday, November 13, 2021 at 07:54:50 AM ###  
<[https://github.com/BSCPGROUPHOLDINGSLLC/ELSER-AND-DICKER/files/8308330/\\_TCRReport.pdf](https://github.com/BSCPGROUPHOLDINGSLLC/ELSER-AND-DICKER/files/8308330/_TCRReport.pdf)> ###  
##### 2021-11-16 - NOTICE TO THE REGULATORY AUTHORITIES.  
##### Nov.16.2021.-.WMCKENZIE@NYCOURTS.GOV.--.SEC.--  
-.BOC@BOC.NYC.GOV.pdf <<https://github.com/BSCPGROUPHOLDINGSLLC/ELSER-AND-DICKER/files/8308702/Nov.16.2021.-.WMCKENZIE%40NYCOURTS.GOV.--.SEC.--.BOC%40BOC.NYC.GOV.pdf>>  
##### Applicant's Address: 1 STATE FARM PLAZA, BLOOMINGTON, IL, 61710 via

CRD Number:

8209

Tell us about the individual about whom you have a complaint

Type the individual's name or CRD number below. If that individual is registered with a FINRA firm, you can look up the firm details from [brokercheck.finra.org](#). If you do not see the individual's name or CRD Number in [brokercheck.finra.org](#), please enter the name in the boxes below.

Last Name:

GORMAN

First Name:

JAMES

Middle Name:

PATRICK

Individual CRD Number:

149777

Street

2000 WESTCHESTER AVENUE

Apt/Suite/Bldg

City

PURCHASE

Country

United States

State

NEW YORK

Postal Code

10577

Country code

1

Area code

914

Phone Number

225-0700

Firm Contact

Have you complained to the firm? \*

☒ Yes

☐ No

Please enter reference number (if available), date of contact, name of contact, and status or resolution within the space available below. Further details will be gathered later in the complaint process, if needed. Your input is limited to 250 characters. \*

VIA EMAIL, INCLUDED ITS OTHER BROKERS AS WELL## <<https://github.com/BSCPGROUPHOLDINGSLLC/ELSER-AND-DICKER/files/8308702/Nov.16.2021.-.WMCKENZIE%40NYCOURTS.GOV.---.SEC.---.BOC%40BOC.NYC.GOV.pdf>>

Arbitration

Have you filed an arbitration claim? \*

☐ Yes

☒ No

Tell us about yourself

All complaint information will be treated in confidence to the fullest extent possible. However, FINRA cannot guarantee that during the course of a related investigation or possible prosecution of the matter the source of the complaint will not become known. Unless you provide an email address or a mailing address or a telephone number, checking 'Yes' will prevent us from following up with you to gather additional details.

Do you wish to make an anonymous complaint? \*

☐ No

☒ Yes - I wish to make an anonymous complaint

Your Contact Information

What is the best way to contact you should we have additional questions to better understand your complaint or gather more information?

First name

BARIS

Last name

DINCER

Street Address

99 WALL STREET

City

NEW YORK

State

NEW YORK

ZIP Code

10005

Country

United States