

June 3, 2020

<https://saaze2311prdsra.blob.core.windows.net/clean/61f910a979d5ec11a7b5000d3a1af965/2020-06-03%20Notice%20and%20Obstruction.p>

STATE FARM ASSURANCES FUNDS TRUST

SEC FILE: 811-01519
CIK FILE: 93715

ONE STATE FARM PLAZA
BLOOMINGTON, IL, 61710

MORGAN STANLEY ☐ 8209
MEMBER CRD:6507985
MEMBER CRD:4776256

2020-06-08

40-17G
FIDELITY BOND [Rule 17G-1(g)]
<https://www.sec.gov/Archives/edgar/data/0000093715/000009371520000003/aft2020.txt>

2020-07-28

N-CSRS
SEMI-ANNUAL REPORT

<https://www.sec.gov/Archives/edgar/data/0000093715/000119312520200810/d913497dncsrs.htm>

2020-11-30

N-CEN
ANNUAL REPORT FOR REGISTERED INVESTMENT COMPANIES

https://www.sec.gov/Archives/edgar/data/0000093715/000114554921006167/xslFormN-CEN_X01/primary_doc.xml

2021-07-23

N-CSRS SEMI-ANNUAL REPORT

<https://www.sec.gov/Archives/edgar/data/0000093715/000119312521222842/d763005dncsrs.htm>

APPLICATION FOR DEREGISTRATION UNDER THE INVESTMENT COMPANY ACT OF 1940

2021-09-21

N-8F

STATE FARM ASSURANCES FUNDS TRUST

- State Farm Investment Management Corp.
- State Farm VP Management Corp.

<https://www.sec.gov/Archives/edgar/data/0000093715/000119312521278180/d222043dn8f.htm>

2021-11-02

N-8F NTC
RELEASE NO. 34411. OCTOBER 29, 2021

<https://www.sec.gov/Archives/edgar/data/0000093715/999999999721005616/filename1.pdf>

2021-11-24

N-8F ORDR

RELEASE NO. 34425. NOVEMBER 24, 2021

<https://www.sec.gov/Archives/edgar/data/0000093715/999999999721005790/filename1.pdf>

2021-11-30

N-CEN

ANNUAL REPORT FOR REGISTERED INVESTMENT COMPANIES

https://www.sec.gov/Archives/edgar/data/0000093715/000114554922006149/xslFormN-CEN_X01/primary_doc.xml

[STFGX] [STFBX] [SFITX] [SFBDX]

Fund Surviving the Merger

ADVISERS INVESTMENT TRUST

LEI: 549300BG52TB5QPLYE22

SEC FILE: 811-22538

CIK FILE: 1516523

ADVISERS INVESTMENT TRUST

50 SOUTH LASALLE STREET
CHICAGO, IL 60606

STATE FARM ASSURANCES FUNDS TRUST

ONE STATE FARM PLAZA
BLOOMINGTON, IL, 61710

2021-09-30

N-CEN
ANNUAL REPORT FOR REGISTERED INVESTMENT COMPANIES

State Farm Investment Management Corp.
SEC FILE: 084-00839

https://www.sec.gov/Archives/edgar/data/0001516523/000114554921074536/xslFormN-CEN_X01/primary_doc.xml

2022-01-31

N-MFP2
MONTHLY SCHEDULE OF PORTFOLIO HOLDINGS

- STATE FARM HOLDINGS ARE NOT REPORTED HERE.

https://www.sec.gov/Archives/edgar/data/0001516523/000114554922005596/xslN-MFP2_X01/primary_doc.xml

COMPANY ASSET PROTECTION BOND

2019-12-27

40-17G
January 1, 2020 to January 1, 2021

<https://www.sec.gov/Archives/edgar/data/0001516523/000119312519324413/d847031d4017g.htm>

2020-12-18

40-17G

January 1, 2021 to January 1, 2022

<https://www.sec.gov/Archives/edgar/data/0001516523/000119312520320913/d80254d4017g.htm>

2022-02-02

40-17G

January 1, 2022 to January 1, 2023

<https://www.sec.gov/Archives/edgar/data/0001516523/000119312522025502/d207567d4017g.htm>

LETTER OF CREDIT BETWEEN STATE FARM AND SULLIVAN PROPERTIES LP

0001

LEASES AND RENTS TRANSFERRED TO STATE FARM

PARTY 1: SULLIVAN PROPERTIES LP
101 WEST 55TH STREET
NEW YORK, NY, 10019

EXECUTED

05-11-2020

PARTY 2: STATE FARM REALTY MORTGAGE LLC
ONE STATE FARM PLAZA
BLOOMINGTON, IL, 61710

FILED

05-15-2020

https://a836-acris.nyc.gov/DS/DocumentSearch/DocumentDetail?doc_id=2020052000291003

<https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=19MVPFXy0G0QvnmRLGpYIQ==>

08-09-2020

<https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=572E8gahZUO1KFthrifyFQ==>

08-10-2020

STATE FARM ASSURANCES FUNDS TRUST [STATE FARM VP MANAGEMENT CORP]

0003

https://www.sec.gov/Archives/edgar/data/0000093715/000114554921006167/xslFormN-CEN_X01/primary_doc.xml

ACCESSION NUMBER: 0001145549-21-006167

STATE FARM ASSURANCES FUNDS TRUST

CIK FILE: 93715

SEC FILE: 811-01519

REPORT DATE

FILED DATE

11-30-2020

02-11-2021

PRINCIPAL UNDERWRITER : STATE FARM VP MANAGEMENT CORP.

SEC ID: 008-50128

CRD ID: 43036

INDEPENDENT PUBLIC ACCOUNTANT : PRICE WATERHOUSE COOPERS

117 SULLIVAN STREET [DEED]

0004

SULLIVAN PROPERTIES LP

101 WEST 55TH STREET

NEW YORK, NY, 10019

FT_1350000324035

BLOCK 803, LOT 11

REPORT DATE

11-16-1989

PROPERTY VALUE ESTIMATES FILED WITH THE NEW YORK CITY FINANCE REGISTER

0005

<https://github.com/users/BSCPGROUPHOLDINGSLLC/projects/1>

<https://a836-pts-access.nyc.gov/care/Datalets/PrintDatalet>

<http://maps.nyc.gov/taxmap/map&block=503&lot=11>

117 SULLIVAN STREET
NEW YORK, NY, 10012

2010-2011

0005

[2010-2011: Tax Bill Q1: NYC](#)

[2010-2011: Tax Bill Q2: NYC](#)

[2010-2011: Tax Bill Q3: NYC](#)

[2010-2011: Tax Bill Q4: NYC](#)

2011-2012

0005

[2011-2012: Tax Bill Q1: NYC](#)

[2011-2012: Tax Bill Q2: NYC](#)

[2011-2012: Tax Bill Q3: NYC](#)

[2011-2012: Tax Bill Q4: NYC](#)

[2012-2013: Tax Bill Q4: NYC](#)

[2012-2013: Tax Bill Q3: NYC](#)

[2012-2013: Tax Bill Q2: NYC](#)

[2012-2013: Tax Bill Q1: NYC](#)

[2013-2014: Tax Bill Q1: NYC](#)

[2013-2014: Tax Bill Q2: NYC](#)

[2013-2014: Tax Bill Q3: NYC](#)

[2013-2014: Tax Bill Q4: NYC](#)

[2014-2015: Tax Bill Q4: NYC](#)

[2014-2015: Tax Bill Q3: NYC](#)

[2014-2015: Tax Bill Q2: NYC](#)

[2014-2015: Tax Bill Q1: NYC](#)

[2015-2016: Tax Bill Q4: NYC](#)

[2015-2016: Tax Bill Q3: NYC](#)

[2015-2016: Tax Bill Q2: NYC](#)

[2015-2016: Tax Bill Q1: NYC](#)

2016-2017

0015

[2016-2017 Tax Bill Q4: NYC](#)

[2016-2017 Tax Bill Q3: NYC](#)

[2016-2017 Tax Bill Q2: NYC](#)

[2016-2017 Tax Bill Q1: NYC](#)

2017-2018

0017

[2017-2018: Tax Bill Q4: NYC](#)

[2017-2018: Tax Bill Q3: NYC](#)

[2017-2018: Tax Bill Q2: NYC](#)

[2017-2018: Tax Bill Q1: NYC](#)

2018-2019

0019

[2018-2019: Tax Bill Q4: NYC](#)

[2018-2019: Tax Bill Q4: NYC](#)

[2018-2019: Tax Bill Q2: NYC](#)

[2018-2019: Tax Bill Q1: NYC](#)

2019-2020

0021

[2019-2020: Tax Bill Q4: NYC](#)

[2019-2020: Tax Bill Q3: NYC](#)

[2019-2020: Tax Bill Q2: NYC](#)

[2019-2020: Tax Bill Q1: NYC](#)

2020-2021

0025

[2020-2021: Tax Bill Q4: NYC](#)

[2020-2021: Tax Bill Q3: NYC](#)

[2020-2021: Tax Bill Q2: NYC](#)

[2020-2021: Tax Bill Q1: NYC](#)

CERTIFICATES OF OCCUPANCY FOR THE RENTS AND LEASES TRANSFERRED TO STATE FARM

0029

LETTER OF CREDIT NO: 50074

NOTARIZED: MAY 11, 2020

LEASES AND RENTS TRANSFERRED TO STATE FARM FROM THE FOLLOWING PROPERTIES

BIN CODE

1077252

0029

111 SULLIVAN REAR, NEW YORK, NY, 10012

<https://a810-bisweb.nyc.gov/bisweb/cosbylocationservlet?requestid=3&allbin=1077252>

111 REAR SULLIVAN STREET, NEW YORK, NY, 10012

<https://a810-bisweb.nyc.gov/bisweb/PropertyProfileOverviewServlet?bin=1077252&qo4=+GO+&requestid=0>

FILED IN NYSCEF MATTER: 153974/2020

AUGUST 4, 2020

NYSCEF DOC. NO. 230

<https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=JjKiWNUTBeipcESQFFiwVQ==>

FILED IN NYSCEF MATTER: 153974/2020

AUGUST 10, 2020

NYSCEF DOC. NO. 388

BIN CODE	1077254	0030
<p>113 SULLIVAN REAR, NEW YORK, NY, 10012 https://a810-bisweb.nyc.gov/bisweb/cosbylocationervlet?requestid=3&allbin=1077254</p> <p>113 REAR SULLIVAN STREET, NEW YORK, NY, 10012 https://a810-bisweb.nyc.gov/bisweb/PropertyProfileOverviewServlet?bin=1077254&qo4=+GO+&requestid=0</p>		
BIN CODE	1077256	0031
<p>115 SULLIVAN REAR, NEW YORK, NY, 10012 https://a810-bisweb.nyc.gov/bisweb/COsByLocationServlet?requestid=1&allbin=1077256</p> <p>115 REAR SULLIVAN STREET, NEW YORK, NY, 10012 https://a810-bisweb.nyc.gov/bisweb/PropertyProfileOverviewServlet?bin=1077256&qo4=+GO+&requestid=0</p>		
BIN CODE	1007779	0034
<p>117 SULLIVAN STREET, NEW YORK, NY, 10012 https://a810-bisweb.nyc.gov/bisweb/cosbylocationervlet?requestid=3&allbin=1007779</p> <p>117 SULLIVAN, NEW YORK, NY, 10012 https://a810-bisweb.nyc.gov/bisweb/PropertyProfileOverviewServlet?bin=1007779&qo4=+GO+&requestid=0</p> <p>117 SULLIVAN STREET, MORTGAGE. DOCUMENT ID: FT_1000000324600 11/22/1989 JP MORGAN, CHASE: \$3,580,500 https://a836-acris.nyc.gov/DS/DocumentSearch/DocumentImageView?doc_id=FT_1000000324600</p>		
BIN CODE	1007780	0038
<p>119 SULLIVAN STREET, NEW YORK, NY, 10012 https://a810-bisweb.nyc.gov/bisweb/cosbylocationervlet?requestid=3&allbin=1007780</p> <p>119 SULLIVAN, NEW YORK, NY, 10012 https://a810-bisweb.nyc.gov/bisweb/PropertyProfileOverviewServlet?bin=1007780&qo4=+GO+&requestid=0</p>		
111 SULLIVAN STREET, NEW YORK, NY 10012	#1077252	0039
<p>NO CERTIFICATE OF OCCUPANCY- 2020-08-04 NO CERTIFICATE OF OCCUPANCY- 2020-08-10 NO CERTIFICATE OF OCCUPANCY- 2022-03-03 NO CERTIFICATE OF OCCUPANCY- 2022-05-11</p>		
113 SULLIVAN STREET, NEW YORK, NY 10012	#1077254	0040

NO CERTIFICATE OF OCCUPANCY- [2022-03-03](#)
NO CERTIFICATE OF OCCUPANCY- [2022-05-11](#)

115 SULLIVAN STREET, NEW YORK, NY 10012

#1077256

0041

NO CERTIFICATE OF OCCUPANCY- [2022-03-03](#)
NO CERTIFICATE OF OCCUPANCY- [2022-05-11](#)

NEW YORK STATE FINES ADMINISTERED TO STATE FARM

0042

"TRI-STATE CONSUMER INSURANCE COMPANY"

NO. 2020-0260-S

0042

TRI-STATE CONSUMER INSURANCE COMPANY

ATTN: PENNY FERN HART, PRESIDENT & CEO
100 JERICO QUADRANGLE
JERICO, NY, 11753

"STATE FARM MUTUAL AUTOMOBILE INSURANCE COMPANY"

NO. 2020-0280-S

0049

STATE FARM INSURANCE

ATTN: MICHAEL T. KEATING, VP OPERATIONS
100 STATE FARM PLAZA
MALTA, NY, 12020

"ALLSTATE INDEMNITY COMPANY"

NO. 2020-0239-S

0056

ALLSTATE INDEMNITY COMPANY
ALLSTATE PROPERTY AND CASUALTY INSURANCE COMPANY
ALLSTATE FIRE AND CASUALTY INSURANCE COMPANY

ALLSTATE INSURANCE COMPANY

ATTN: MARK L. GARDNER, REGIONAL COUNSEL
878 VETERANS MEMORIAL HIGHWAY
HAUPPAUGE, NY, 11788

"AIG PROPERTY CASUALTY COMPANY"

NO. 2019-0201-S

0064

AIG PROPERTY CASUALTY COMPANY

**ATTN: STEVEN HARRIS, DEPUTY GENERAL COUNSEL
175 WATER STREET, 18TH FLOOR
NEW YORK, NY, 10038**

BROKER DEALER FINES ADMINISTERED BY FINRA

0071

CREDIT SUISSE

2022-01-20

0071

\$9,000,000

FOR A FAILURE TO ABIDE BY BOTH SEC & FINRA RULES

**REQUIRING FIRMS TO DISCLOSE: " POTENTIAL CONFLICTS OF INTEREST "
WHEN ISSUING RESEARCH REPORTS.**

MORGAN STANLEY

2010-08-10

0072

\$800,000

FOR A FAILURE TO ABIDE BY BOTH SEC & FINRA RULES

**REQUIRING FIRMS TO DISCLOSE: " POTENTIAL CONFLICTS OF INTEREST "
WHEN ISSUING RESEARCH REPORTS.**

DEUTSCHE BANK

2012-03-08

0074

\$2,000,000

FOR FAILURE TO PROVIDE: " BEST EXECUTION "

FOR FAILURE TO PROVIDE: " MATERIAL DISCLOSURES "

ROUTED ORDERS AND FILED: " NON SPECIFIC INFORMATION "

**REQUIRING FIRMS TO DISCLOSE: " POTENTIAL CONFLICTS OF INTEREST "
AND MATERIAL ASPECTS OF RELATIONSHIPS WITH THE MARKETS.**

SEMI-ANNUAL REPORT OF HOLDINGS. JULY 28, 2020

--- PERIOD ENDING: 2020-05-31

<https://www.sec.gov/Archives/edgar/data/0000093715/000119312520200810/d913497dncsrs.htm>

EX-99.CERT July 28, 2021

CERTIFICATION. Pursuant to the Investment Company Act of 1940 (as defined in Rule 30a-3(c)

and internal control over financial reporting (as defined in Rule 30a-3(d))

<https://www.sec.gov/Archives/edgar/data/0000093715/000119312520200810/d913497dex99cert.htm>

EX-99.906 July 28, 2021

CERTIFICATION, Pursuant to Section 906 of the Sarbanes-Oxley Act of 2002 (Subsections (a) and (b) of Section 1350, Chapter 63 of Title 18

<https://www.sec.gov/Archives/edgar/data/0000093715/000119312520200810/d913497dex99906cert.htm>

"... as applicable, of the Securities Exchange Act of 1934...

"... The information contained in the Report fairly presents, in all material respects, the financial condition and results of operations of the registrant."

/s/ Joe R. Monk Jr.

/s/ Paul J. Smith

SEMI ANNUAL HOLDING REPORT

CIK 93715 2020-07-28

BALANCE SHEET AND HOLDINGS REPORT FOR TICKERS AS OF MAY 31, 2020

State Farm Associates Funds Trust

Investment Company Act file number: 811-01519

CIK: 0000093715

BALANCE SHEET

FILED: 2020-07-08

0076

SEMI ANNUAL HOLDING REPORT

PERIOD: 05/31/2020

MESSAGE TO SHAREHOLDERS

0081

State Farm Associates Funds Trust
Investment Company Act file number: 811-01519
/s/ Paul J. Smith.

STATE FARM VP MANAGEMENT CORP

0081

Indirect owners of the brokerage firm.

STATE FARM MUTUAL AUTOMOBILE INSURANCE COMPANY
via: STATE FARM INVESTMENT MANAGEMENT CORP

STATE FARM VP MANAGEMENT CORP.
SEC No.: 8-50128
CRD No.: 43037

Direct Owners and Executive Officers

STATE FARM INVESTMENT MANAGEMENT [CRD No.: 3487]
SEC No.: 801-8184, 8-13379

DIRECTORS

RANDALL HOUSTON HARBERT [CRD No.: 2992788]
SR. VICE PRESIDENT AND DIRECTOR

SCOTT ALEXANDER HINTZ [CRD No.: 2004555]
VICE PRESIDENT - FINANCIAL AND SECRETARY

TERRENCE MICHAEL LUDWIG, [CRD No.: 3231040]
CHIEF COMPLIANCE OFFICER AND TREASURER

SARAH MINEAU, [CRD No.: 3231040]
VICE PRESIDENT AND DIRECTOR

JOSEPH RILEY MONK JR, [CRD No.: 1357149]
SR. VICE PRESIDENT AND DIRECTOR

DAVID MICHAEL MOORE, [CRD No.: 2876793]
ASSISTANT SECRETARY & COUNSEL

BRIAN LYNN PRESTON,[CRD No.: 4312143]
ANTI-MONEY LAUNDERING AND OFFICE OF FOREIGN ASSETS CONTROL COMPLIANCE OFFICER

PAUL JOSEPH SMITH,[CRD No.: 4971235]
SR. VICE PRESIDENT AND DIRECTOR

MICHAEL LEON TIPSORD,[CRD No.: 1943922]
SR. VICE PRESIDENT AND DIRECTOR

/s/ Paul J. Smith.

STATE FARM MUTUAL FUNDS TEAM

0083

STATE FARM INVESTMENT MANAGEMENT CORP.
SEC No.: 801-8184
SEC No.: 8-13379
CRD No.: 3487

/s/ Joe R. Monk Jr.

PORTFOLIO COMPOSITION

0084

-- STATE FARM GROWTH FUND
-- STATE FARM BALANCED FUND
-- STATE FARM INTERIM FUND
-- STATE FARM MUNICIPAL BOND FUND

SCHEDULE OF INVESTMENTS: MAY 31, 2020

0087

-- LOSSES REGISTERED BETWEEN 11-30-2021 AND 03-31-2022
\$852,029,489

-- LOSSES REGISTERED BETWEEN 03-31-2022 AND 04-30-2022
\$505,818,725

-- LOSSES REGISTERED BETWEEN [11-30-2021 THROUGH 04-30-2022]
\$1,357,848,214

STFGX STATE FARM ASSOCIATES FUNDS TRUST GROWTH FUND

0087

TICKER	SEC FILE NUMBER	LEI	SERIES	CIN	CIK
STFGX	811-01519	25490064REEQMGE0GC29	5000002055	C000005410	93715
STFGX					
2021-11-30	2021-12-18	Δ PX: 2021-12-18	2022-03-31	Δ PX: 2022-03-31	Δ PCT. PX.
118.50	121.93	+ 3.43		106.88 -11.62	-9.8059%

STFBX STATE FARM ASSOCIATES FUNDS TRUST BALANCED FUND

0089

TICKER	SEC FILE NUMBER	LEI	SERIES	CIN	CIK
STFBX	811-01519	254900EBILZP44C0ET43	5000002056	C000005411	93715
STFBX					
2021-11-30	2021-12-18	Δ PX: 2021-12-18	2022-03-31	Δ PX: 2022-03-31	Δ PCT. PX.
92.93	95.92	+ 2.99		87.17 - 5.76	-6.1982%

SFITX STATE FARM ASSOCIATES FUNDS TRUST INTERIM FUND

0099

TICKER	SEC FILE NUMBER	LEI	SERIES	CIN	CIK
SFITX	811-01519	254900QMKPLXFY2DO125	S000002057	C000005412	93715

SFITX

2021-11-30	2021-12-18	Δ PX: 2021-12-18	2022-03-31	Δ PX: 2022-03-31	Δ PCT. PX.
10.13	10.11	+ 0.02		9.31 -0.92	-8.0948%

SFBDX STATE FARM ASSOCIATES FUNDS TRUST MUNICIPAL BOND FUND 0100

TICKER	SEC FILE NUMBER	LEI	SERIES	CIN	CIK
SFBDX	811-01519	254900XY61CSODNC1J50	S000002058	C000005413	93715

SFBDX

2021-11-30	2021-12-18	Δ PX: 2021-12-18	2022-03-31	Δ PX: 2022-03-31	Δ PCT. PX.
9.04	9.04	+ 0.00		8.40 -0.64	-7.0796%

LEVEL 3 ASSETS WERE OMITTED BY STATE FARM REPEATEDLY 0125

FORM NCSR --- REPORT 11-30-2020

<https://www.sec.gov/Archives/edgar/data/0000093715/000119312521020704/d48781dncsr.htm>

LEVEL 3

Unobservable inputs for the asset or liability to the extent that relevant observable inputs are not available.

These inputs, based on the best information available in the circumstances, would include reasonably available information about the assumptions that a market participant would use in valuing the asset or liability and might include SFIMC's own data.

To the extent that valuation is based on models or inputs that are less observable or unobservable in the market, the determination of fair value requires more judgment. Accordingly, the degree of judgment exercised in determining fair value is greatest for instruments categorized in Level 3.

REPORT OF INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM

Opinions on the Financial Statements

JANUARY 28, 2021

We have audited the accompanying statements of assets and liabilities, including the schedules of investments, of State Farm Growth Fund, State Farm Balanced Fund, State Farm Interim Fund and State Farm Municipal Bond Fund (constituting State Farm Associates' Funds Trust, hereafter collectively referred to as the "Funds") as of November 30, 2020, the related statements of operations for the year ended November 30, 2020, the statements of changes in net assets for each of the two years in the period ended November 30, 2020, including the related notes, and the financial highlights for each of the five years in the period ended November 30, 2020 (collectively referred to as the "financial statements").

In our opinion, the financial statements present fairly, in all material respects, the financial position of each of the Funds as of November 30, 2020, the results of each of their operations for the year then ended, the changes in each of their net assets for each of the two years in the period ended November 30, 2020 and each of the financial highlights for each of the five years in the period ended November 30, 2020 in conformity with accounting principles generally accepted in the United States of America.

These financial statements are the responsibility of the Funds' management. Our responsibility is to express an opinion on the Funds' financial statements based on our audits. We are a public accounting firm registered with the Public Company Accounting Oversight Board (United States) (PCAOB) and are required to be independent with respect to the Funds in accordance with the U.S. federal securities laws and the applicable rules and regulations of the Securities and Exchange Commission and the PCAOB.

We conducted our audits of these financial statements in accordance with the standards of the PCAOB. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement, whether due to error or fraud.

Our audits included performing procedures to assess the risks of material misstatement of the financial statements, whether due to error or fraud, and performing procedures that respond to those risks. Such procedures included examining, on a test basis, evidence regarding the amounts and disclosures in the financial statements.

Our audits also included evaluating the accounting principles used and significant estimates made by management, as well as evaluating the overall presentation of the financial statements.

Our procedures included confirmation of securities owned as of November 30, 2020 by correspondence with the custodian. We believe that our audits provide a reasonable basis for our opinions.

January 28, 2021

PricewaterhouseCoopers LLP
Chicago, Illinois

EX-99.CERT January 28, 2021

<https://www.sec.gov/Archives/edgar/data/0000093715/000119312521020704/d48781dex99cert.htm>

CERTIFICATION. Pursuant to the Investment Company Act of 1940 (as defined in Rule 30a-3(c)
and internal control over financial reporting (as defined in Rule 30a-3(d))

EX-99.906 January 28, 2021

<https://www.sec.gov/Archives/edgar/data/0000093715/000119312521020704/d48781dex99906cert.htm>

CERTIFICATION, Pursuant to Section 906 of the Sarbanes-Oxley Act of 2002 (Subsections (a) and (b) of Section 1350, Chapter 63 of Title 18

"... as applicable, of the Securities Exchange Act of 1934...

"... The information contained in the Report fairly presents, in all material respects, the financial condition and results of operations of the registrant."

/s/ Joe R. Monk Jr.

/s/ Paul J. Smith

SEMI-ANNUAL REPORT OF HOLDINGS. JULY 23, 2021

--- PERIOD ENDING: 2021-05-31

<https://www.sec.gov/Archives/edgar/data/0000093715/000119312520200810/d913497dncsrs.htm>

EX-99.CERT July 23, 2021

CERTIFICATION. Pursuant to the Investment Company Act of 1940 (as defined in Rule 30a-3(c)
and internal control over financial reporting (as defined in Rule 30a-3(d))
<https://www.sec.gov/Archives/edgar/data/0000093715/000119312521222842/d763005dex99cert.htm>

EX-99.906 July 23, 2021

CERTIFICATION, Pursuant to Section 906 of the Sarbanes-Oxley Act of 2002 (Subsections (a) and (b) of Section 1350, Chapter 63 of Title 18

<https://www.sec.gov/Archives/edgar/data/0000093715/000119312521222842/d763005dex99906cert.htm>

"... as applicable, of the Securities Exchange Act of 1934...

"... The information contained in the Report fairly presents, in all material respects, the financial condition and results of operations of the registrant."

/s/ Joe R. Monk Jr.

/s/ Paul J. Smith

[ITEM 12] DISCLOSURE OF SECURITIES LENDING

0140

ANNUAL REPORT [11-30-2020]

https://www.sec.gov/Archives/edgar/data/0000093715/000114554921006167/xslFormN-CEN_X01/primary_doc.xml

DOCKET 385

<https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=K9sgXcweC7esRoSPO8MntA==>

2021-11-13 SUBMISSION NUMBER 16368-809-301-165 --- FILED

0142

" Material misstatement or omission in a company's public filings
or financial statements, or a failure to file ... "

2021-11-25 NOTICE TO C.16 BROKERS OF CIK 93715 STATE FARM ASSOCIATES' FUNDS TRUST

0167

NOVEMBER 25, 2021: EMAIL

0168

FROM: ADMIN@HUTCHMEN.COM

TO: LZUCKER@MSKYLINE.COM, SLASKOWITZ@INGRAMLLP.COM, SLASKOWITZ@MSKYLINE.COM, ASHLEY.HUMPHRIES@WILSONELSER.COM, MSRBSUPPORT@MSRB.ORG, ALEX.KRESS@WILSONELSER.COM, BBREIF@BLOOMBERG.NET, MHARVEY13@BLOOMBERG.NET COLIN.BROOKS@MORGANSTANLEY.COM, ICEGLOBALNETWORK-INFO@ICE.COM, ICEINDICES@ICE.COM, ADMINISTRATOR@MSKYLINE.COM, COMPLIANCE@T3TRADING.COM

CONTEXT: LOAN AND CERTIFICATES OF OCCUPANCY WERE ANNEXED IN THE MATTER OF NYSCEF 153974/2020

DOCKET 309 AND DOCKET 379 IN THE MESSAGE BODY.
LEASES AND RENTS TRANSFERRED TO "STATE FARM"
ALL SIX PROPERTIES WITH "NO CERTIFICATE OF OCCUPANCY"

THE LEASES AND RENTS WERE UNLAWFUL INCOMES WHICH WERE USED AS AN ARTIFACT OF "UNLAWFUL" CREDITWORTHINESS PRESENTED TO " STATE FARM " ALONG WITH THE TAX RECEIPTS ABOVE AS THE IMPLIED GUARANTEE OF INCOME WAS USED TO OBTAIN A " LETTER OF CREDIT " AND " LOAN " FROM STATE FARM FOR \$6 MILLION DOLLARS.

https://a836-acris.nyc.gov/DS/DocumentSearch/DocumentDetail?doc_id=2020052000291003

CONTEXT: LOAN DOCKET 50074 WAS ANNEXED IN NYSCEF MATTER 153974/2020

NYSCEF DOC. NO. 309
RECEIVED NYSCEF: 08/09/2020

<https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=19MVPFXy0G0QvnmRLGpYIQ==>

NYSCEF DOC. NO. 379
RECEIVED NYSCEF: 08/10/2020

<https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=572E8gahZU01KFthrfiyFQ==>

2021-11-25	NOTICE TO C.16 BROKERS OF CIK 93715 STATE FARM ASSOCIATES' FUNDS TRUST	0170
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FROM: BO.DINCER@YAHOO.COM

TO: LZUCKER@MSKYLINE.COM, SLASKOWITZ@INGRAMLLP.COM, SERVICE@FTRADE.COM, CHAIR@SEC.GOV

RECEIVED NYSCEF:
08/10/2020
DOCKET 385

I informed your client of this material information and want no involvement with your personal affairs and dealings with Plaintiffs, and more specifically in their claims as attested to and as referenced in the attachments.

You can accept this as a courtesy and treat this as "time is of the essence"; I don't want to enjoin myself in any fraudulent behavior or placed in false- light, aiding or abetting, or enjoined in these business dealings which you are privy to.

Have a good evening.

FROM: B-DINCER66@OUTLOOK.COM
TO: LZUCKER@MSKYLINE.COM, SLASKOWITZ@INGRAMLLP.COM
SUBJECT: RE: STATE FARM

NYSCEF 153974/2020

<https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=H4jMXghoLMU1ozmlj4VYHg==>

RECEIVED NYSCEF:
08/11/2020
DOCKET 397

I informed your client of this material information and want no involvement with your personal affairs and dealings with Plaintiffs, and more specifically in their claims as attested to and as referenced in the attachments.

You can accept this as a courtesy and treat this as "time is of the essence"; I don't want to enjoin myself in any fraudulent behavior or placed in false- light,

Have a good evening.

RECEIVED NYSCEF:
08/11/2020
DOCKET 420

>> LAURIE ZUCKER <LZUCKER@mskyline.com>, <CRA@dfs.ny.gov>,

KEVIN ROCK <KROCK5@BLLOMBERG.NET>,
PAUL REGAN <legal@mskyline.com>, JOSEPH GIAMBOI <joseph.giamboi@brooklaw.edu>,
DONALD ZUCKER <dzucker@mskyline.com>, STEVE O'DONNELL <Sgo2107@columbia.edu>,
RICKI ROER <ricki.roer@wilsonelser.com>, SHARI LASKOWITZ <slasokowitz@ingramllp.com>,
KEVIN ROCK <krock5@bloomberg.net>, PETER MERILL <peter.merill@us.pwc.com>,
MICHAEL BERSTEN <michael.bersten@au.pwc.com>, MATT HARVEY <mharvey13@bloomberg.net>,
JP MORGAN CHASE <JPMCinvestorrelations@jpmchase.com>,
COLIN BROOKS <colin.brooks@morgan.stanley.com>, <CHSCommunications@nychhc.org>,
<CRA@dfs.ny.gov>, <Comments@dfs.ny.gov>, <Constituentservices@doc.nyc.gov>,
<Regs@fdic.gov>, <oighotline@fdicoig.gov>, <assessments@fdic.gov>

[INSIDER TRADING] [BREACH OF DUTY] [UNFAIR DEALINGS] [OMISSIONS]

2020-07-21

0177

VIOLATION OF PRIVACY.

NYSCEF 153974/2020

https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=qDo2Eg8i9_PLUS_9IDPjn/iAVkw==

RECEIVED NYSCEF:
07/21/2020
DOCKET 83

OBSESSION WITH HARASSING ME CONSTANTLY THOUGHOUT MY LEASE TERM.

2020-07-21

0178

THERE'S A CAMERA POINTED INTO MY APARTMENT AND AT MY LAPTOP.

FOR MOTIVE - THE CASE OF ERIN ANDREWS

0181

THEY WILL DO " ANYTHING " TO AVOID PROSECUTION IN THIS RESPECT.

CONTEXT: ERIN ANDREWS AWARDED \$55 MILLION IN PEEPHOLE LAWSUIT

IN THEIR AFFIDAVITS, HAVE SWORN UNDER OATH TO WATCHING ME CONDUCT HAMMERING, AND HAVE OBSERVED ME...

***** BANGING ON MY RADIATOR *****

ALL DOCUMENTED " INSIDE " OF MY APARTMENT WITHOUT CONSENT.

AFFIDAVIT OF MIWAKO G. MESSER OF SULLIVAN PROPERTIES LP

***** UNDER THE AFFIDAVIT OF THEIR OWN 15-YEAR TENANT - MONITORED MY EVERY MOTION ON A DAILY BASIS.**

<https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=Wy0kIojSiAUMQ92XFgpf5Q==>

***** THE AFFIDAVIT OF MIWAKO G. MESSER.**

https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=7s5HRvTUSP40v_PLUS_Vs1Ju4sg==

HIRED A PROFESSIONAL VIDEO-EDITOR TO "HOST" MY VIDEOS ON THE INTERNET WITHOUT CONSENT

- ROASALIA CHANN - " ON-DEMAND VIDEO "

<https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=PWFQc/WFihoyIKwEunaalQ==>

IN THE MATTER OF ERIN ANDREWS, WHO WAS ALSO OBSERVED THROUGH A PEEP-HOLE WAS AWARDED \$55 MILLION DOLLARS.

<https://www.washingtonpost.com/news/early-lead/wp/2016/03/07/erin-andrews-awarded-55-million-in-peephole-case/>

There is an expectation that hotel rooms are private spaces, and most people can relate to Andrews's experience as incredibly invasive. Barrett admitted in a 2012 deposition that he filmed up to 10 other women at hotels using the same method of tampering with peepholes (which he learned from watching a hotel employee at different hotel). The combination of the familiarity of staying at a hotel, the revelation that Barrett's act was not an isolated incident, and the perception that a simple phone call to Andrews could have prevented everything, would have seriously violated jurors' expectations, eroded their feelings of safety, and ignited personal fears and protective instincts.

<https://www.americanbar.org/groups/litigation/committees/mass-torts/practice/2016/erin-andrews-verdict/>

https://a836-acris.nyc.gov/DS/DocumentSearch/DocumentDetail?doc_id=2020052000291003 docket 388 - no certificate of occupancy
<https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=ZOCFS3HH2UeHQe8j2tXJoQ==> JULY 6, 2020 = CAMERA I STILL AND ISSUE. NYSCEF DOC.
NO. 92 RECEIVED NYSCEF: 07/25/2020 https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=1lPLUS_zTDYIQb3OfAW6vF2mpA==

2021-09-21 STATE FARM ASSOCIATES' FUNDS TRUST [FORM N-8F]

0183

APPLICATION FOR DEREGISTRATION OF CERTAIN REGISTERED INVESTMENT COMPANIES
<https://www.sec.gov/Archives/edgar/data/0000093715/000119312521278180/d222043dn8f.htm>

FILED: FY 2021
APPROVED: FY 2021

REASON FUND IS APPLYING TO DEREGISTER

0184

APPLICATION TO DEREGISTER AS AN INVESTMENT ADVISER.
<https://www.sec.gov/Archives/edgar/data/0000093715/000119312521278180/d222043dn8f.htm>

FILED: FY 2021
APPROVED: FY 2021

This posthaste merger is the essence of gross negligence, which is understood in the losses of its investors, as the old ones have no knowledge of any materially substantive matters, and nor to the new investors who blindly invested their capital into the new Adviser. CIK filer 1519523.

EXECUTED UNDER
David Moore. Assistant Secretary

ONE STATE FARM PLAZA
BLOOMINGTON, IL, 61710
(309) 766-1908

NAME, ADDRESS AND TELEPHONE NUMBER OF INVESTMENT ADVISER

0184

STATE FARM INVESTMENT MANAGEMENT CORP
ONE STATE FARM PLAZA
BLOOMINGTON, IL 61710
309-766-1908

NAME, ADDRESS AND TELEPHONE NUMBER OF THE UNDERWRITER OF THE FUND

0185

STATE FARM VP MANAGEMENT CORP
ONE STATE FARM PLAZA
BLOOMINGTON, IL 61710
309-766-1908

GROSS NEGLIGENCE IN MANAGING ASSETS AND DURING A MERGER

186

[1] APPLICATION TO DEREGISTER AS AN INVESTMENT ADVISER

STATE FARM INVESTMENT MANAGEMENT CORP

STATE FARM VP MANAGEMENT CORP

<https://www.sec.gov/Archives/edgar/data/0000093715/000119312521278180/d222043dn8f.htm>

Release No. 34411

[2] APPLICATION TO DEREGISTER AS AN INVESTMENT ADVISER

<https://www.sec.gov/Archives/edgar/data/0000093715/999999999721005616/filename1.pdf>

2021-10-29

[3] STATE FARM ASSOCIATES' FUNDS TRUST
HAS CEASED TO BE AN INVESTMENT COMPANY

Release No. 34425

MISLEADING REPORTS IN THE PUBLIC DOMAIN**0187**

Accounting for the \$250.00 minimum investments further enhances the gross negligence as seen in their filings.

i.	Legal expenses:	\$240,000
ii.	Accounting expenses:	\$9,000
iii.	Proxy Solicitation and Tabulation:	\$170,000
iv.	Prospectus Supplements and Regulatory Filings:	\$62,500
v.	Directors fees:	\$431,000

TOTAL EXPENSES REPORTED

\$921,500

LITIGATION AND ADMINISTRATIVE PROCEEDINGS**0187**

<https://www.sec.gov/Archives/edgar/data/0000093715/000119312521278180/d222043dn8f.htm>

QUESTION 24. Is the fund a party to any litigation or administrative proceeding?

If Yes, describe the nature of any litigation or proceeding and the position taken by the fund in that litigation...

-- HERE ARE SOME PHOTOGRAPHS FOR YOU TO UNDERSTAND. ---

UNDISCLOSED BUSINESS ACTIVITIES**0188**

<https://www.sec.gov/Archives/edgar/data/0000093715/000119312521278180/d222043dn8f.htm>

QUESTION 25. Is the fund now engaged, or intending to engage, in any business activities other than those necessary for winding up its affairs?

FORM N-8F: ATTESTATION**0191**

VERIFICATION. Under the Investment Company Act of 1940

on behalf of State Farm Associates' Funds Trust

Executed by: Joe R. Monk

COMPLAINTS FILED WITH FINRA

0192

MORGAN STANLEY

0192

FINRA CENTRAL REGISTRATION MEMBER: PRIYA RAGHURAM DARSINI 6507985

[ref.: SEC FILE NUMBER 811-22538 -- SUBMITTED MON APR 18 2022](#)

[ref.: CIK 1516523 -- SUBMITTED MON APR 18 2022](#)

FIRM CRD 8209	CRD NUMBER 6507985	SERIES 3 COMMODITY & FUTURES	SERIES 7 SECURITIES REPRESENTATIVE	SERIES 57 SECURITIES TRADER
1585 BROADWAY, NEW YORK, NY, 10036				0192
FILING ID 6101763	FIRM CRD 8209	BROKER CRD 6507985	TICKER STFGX	DATE OBSERVED 11-16-2021 - 12-31-2021
SECURITY NAME STATE FARM GROWTH FUND	SECURITY TYPE MUTUAL FUND		PRIMARY VIOLATION FRAUDENT SCHEME	SECONDARY VIOLATION GROSS NEGLIGENCE

FUND PRICES

			NET ASSET VALUE		PERFORMANCE
11-16-2021	11-30-2021	12-31-2021	11-30-2021	Δ % NAV	11-30-2021 - 12-31-2021
121.60	118.50	109.48	\$6,547,492,153	-7.6118%	(\$498,382,008)

				PERFORMANCE	
			11-16-2021	Δ % NAV	11-16-2021 - 12-31-2021
			\$6,718,776,758	-9.9671%	(\$669,667,198)

FINRA CENTRAL REGISTRATION MEMBER: COLIN R. BROOKS 4776528

[ref.: SEC FILE NUMBER 811-22538 -- SUBMITTED MON APR 19 2022](#)

FIRM CRD	CRD NUMBER	SERIES 3	SERIES 7	SERIES 65
8209	4776528	COMMODITY & FUTURES	SECURITIES REPRESENTATIVE	INVESTMENT ADVISER LAW
777 SOUTH FLAGLER DRIVE, WEST PALM BEACH, FL, 33401				0208
FILING ID	FIRM CRD	BROKER CRD	TICKER	DATE OBSERVED
6100923	8209	4776528	STFGX	11-16-2021 - 03-31-2021
SECURITY NAME	SECURITY TYPE	PRIMARY VIOLATION	SECONDARY VIOLATION	
STATE FARM GROWTH FUND	MUTUAL FUND	MANIPULATION	INSIDER TRADING	

FUND PRICES

			NET ASSET VALUE		PERFORMANCE
11-16-2021	11-30-2021	03-31-2022	11-30-2021	Δ % NAV	11-30-2021 - 03-31-2022
121.60	118.50	106.88	\$6,547,492,153	-9.8059%	(\$642,041,002)
					PERFORMANCE
			11-16-2021	Δ % NAV	11-16-2021 - 03-31-2022
			\$6,718,776,758	-12.1053%	(\$792,591,155)

ELECTRONIC NOTICE SENT TO MORGAN STANLEY

0211

[crd 149777: STATE FARM ASSURANCES FUNDS TRUST, C.16 PROMOTIONAL BROKERS](#)[FILER 93715: STATE FARM ASSURANCES FUNDS TRUST, C.16 PROMOTIONAL BROKERS](#)JAMES.GORMAN@MORGANSTANLEY.COM NOV 16, 2021JAMES.GORMAN@MORGANSTANLEY.COM NOV 16, 2021JAMES.GORMAN@MORGANSTANLEY.COM NOV 16, 2021JAMES.GORMAN@MORGANSTANLEY.COM NOV 16, 2021JAMES.GORMAN@MORGANSTANLEY.COM NOV 16, 2021JAMES.GORMAN@MORGANSTANLEY.COM NOV 16, 2021

PRAGHURAM2@BLOOMBERG.NET, KSAPERSTEIN2@BLOOMBERG DEC 18, 2021

THERMANSON@NORTHMARQ.COM, iceglobalnetwork-info@ice.com, kerri.saperstein@morganstanley.com APR 12, 2022

DECEMBER 18TH, 2021: STFGX - - NOTICE TO MORGAN STANLEY AND STATE FARM

0212

PRAGHURAM2@BLOOMBERG.NET, KSAPERSTEIN2@BLOOMBERG DEC 18, 2021

THERMANSON@NORTHMARQ.COM, iceglobalnetwork-info@ice.com, kerri.saperstein@morganstanley.com APR 12, 2022

JPETIT@MCCARTER.COM, COLIN.BROOKS@MORGANSTANLEY.COM MAY 05, 2022

AUDIT AND OPINION OF PRICE WATERHOUSE COOPERS

0213

NYSCEF DOCKET 309

[ASSIGNMENT OF LEASES AND RENTS TO STATE FARM](#)

RECEIVED NYSCEF

08/09/2020

INDEX NO. 153974/2020

NYSCEF DOCKET 310

https://a836-acris.nyc.gov/DS/DocumentSearch/DocumentImageView?doc_id=2019010800475001

RECEIVED NYSCEF

08/09/2020

thermanson@northmarq.com

NYSCEF DOCKET 311

https://a836-acris.nyc.gov/DS/DocumentSearch/DocumentImageView?doc_id=2020052000291001

RECEIVED NYSCEF

08/09/2020

jpetit@mccarter.com

NYSCEF DOCKET 312

https://a836-acris.nyc.gov/DS/DocumentSearch/DocumentImageView?doc_id=2020052000291002

RECEIVED NYSCEF

08/09/2020

jpetit@mccarter.com

NYSCEF DOCKET 313

https://a836-acris.nyc.gov/DS/DocumentSearch/DocumentImageView?doc_id=2020052000291003

RECEIVED NYSCEF

08/09/2020

20NYM13450 212-490-2277

NYSCEF DOCKET 314

https://a836-acris.nyc.gov/DS/DocumentSearch/DocumentImageView?doc_id=2020052000291004

RECEIVED NYSCEF

08/09/2020

JEFFREY A. PETIT, MCCARTER & ENGLISH LLP

NYSCEF DOCKET 315

<https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=gcMSDaFzm0ynPeXZKSHgQLQ==>

RECEIVED NYSCEF

08/09/2020

PAGE 27, 76, 82, [84], [86]

REPORT OF INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM

Opinions on the Financial Statements

November 22, 2021

We have audited the accompanying statements of assets and liabilities, including the schedules of investments, of State Farm Growth Fund, State Farm Balanced Fund, State Farm Interim Fund and State Farm Municipal Bond Fund (constituting State Farm Associates' Funds Trust, hereafter collectively referred to as the "Funds") as of November 30, 2020, the related statements of operations for the year ended November 30, 2020, the statements of changes in net assets for each of the two years in the period ended November 30, 2020, including the related notes, and the financial highlights for each of the five years in the period ended November 30, 2020 (collectively referred to as the "financial statements").

In our opinion, the financial statements present fairly, in all material respects, the financial position of each of the Funds as of November 30, 2020, the results of each of their operations for the year then ended, the changes in each of their net assets for each of the two years in the period ended November 30, 2020 and each of the financial highlights for each of the five years in the period ended November 30, 2020 in conformity with accounting principles generally accepted in the United States of America.

Basis for Opinions

These financial statements are the responsibility of the Funds' management. Our responsibility is to express an opinion on the Funds' financial statements based on our audits. We are a public accounting firm registered with the Public Company Accounting Oversight Board (United States) (PCAOB) and are required to be independent with respect to the Funds in accordance with the U.S. federal securities laws and the applicable rules and regulations of the Securities and Exchange Commission and the PCAOB.

We conducted our audits of these financial statements in accordance with the standards of the PCAOB. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement, whether due to error or fraud.

Our audits included performing procedures to assess the risks of material misstatement of the financial statements, whether due to error or fraud, and performing procedures that respond to those risks. Such procedures included examining, on a test basis, evidence regarding the amounts and disclosures in the financial statements.

Our audits also included evaluating the accounting principles used and significant estimates made by management, as well as evaluating the overall presentation of the financial statements.

Our procedures included confirmation of securities owned as of September 30, 2021 by correspondence with the custodian and brokers; when replied were not received from brokers, we performed other auditing procedures. We believe that our audits provide a reasonable basis for our opinions.

November 22, 2021

PricewaterhouseCoopers LLP
Chicago, Illinois

TAX RISK AND LIABILITY OF "STATE FARM" IN A LETTER OF GUARANTEE

0214

MSCO

C16 FIDUCIARY BROKERS, MORGAN STANLEY & CO.

NOTICE TO: MORGAN STANLEY & CO.

2022-05-07
FIRM CRD: 8209

MSCO

UNLAWFUL CUSTODY OF ASSETS AT JP MORGAN CHASE

NOTICE TO: MORGAN STANLEY & CO.

2022-05-07
FIRM CRD: 8209

MSCO

NO CERTIFICATE OF OCCUPANCY FOR THE RENTS AND LEASES

NOTICE TO: MORGAN STANLEY & CO.

2022-05-11
FIRM CRD: 8209

EMAIL TO LAURIE ZUCKER

OBSTRUCTION BY SULLIVAN PROPERTIES, LP.

08/10/2020
STATE FARM REALTY INSURANCE LLC

STIPULATION TO AMEND CAPTION

NOTICE TO ADD STATE FARM AS A RESPONDENT

08/10/2020
NYSCEF DOCKET 420

LETTER OF CREDIT AND LIABILITY - WITHOUT INDEMNITY TO STATE FARM

0214

OBSTRUCTION: Aided & Abetted by Representatives of Sullivan Properties LP and State Farm

Wednesday, June 3rd, 2020
[EMAIL TO COUNSELORS OF SULLIVAN PROPERTIES LP](#)

RECEIVED NYSCEF 08/09/2020
legal@m skyline.com

NYSCEF DOCKET 312
<https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=wTG2YD2PqXuxmoKqFiESrw==>

RECEIVED NYSCEF 08/09/2020
jpetit@mccarter.com

STIPULATION TO AMEND CAPTION AND THE MANAGED ENTITY OF " STATE FARM LIFE INSURANCE COMPANY "
https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=Xjn0/e1NcBADqRc_PLUS_g11P4g==

RECEIVED NYSCEF 08/11/2020
NYSCEF DOCKET 420

SECTION 3.6 Tax and Lien Payments by State Farm

(a) Upon the failure of Mortgagor to pay the Tax Deposits as required in Section 3.3 or, in the event said payments are waived by State Farm, to pay the Taxes required to be paid in Section 3.5, State Farm is authorized, in its sole discretion to make any payment of Taxes in accordance with any tax bill or statement from the appropriate public office without inquiring into the accuracy or validity of any Taxes, sales, forfeiture of title or claim relating thereto.

(b) State Farm is also authorized, in place and stead of Mortgagor, to make any payment relating to any apparent or threatened adverse title, lien, claim of lien, encumbrance, charge or payment otherwise relating to any other purpose but not enumerated in this Section, whenever, in State Farm's judgment and discretion, such payment seems necessary to protect the full security intended to be created by this Mortgage.

(c) All such payments authorized by this Section 3.6 that are not promptly reimbursed by Mortgagor shall constitute additional Indebtedness and shall be immediately due and payable by Mortgagor to State Farm upon demand with interest at the Default Rate (as defined in the Note) from the date of such payment.

To help Registrants distinguish between agency and principal transactions, and to promote consistent reporting of the information required by these items under C.16 for each year.

0218

2021-11-
CIK 0000093715

DIRECT PARTICIPANT FIRM

STANLEY
& CO SEC File Number: 008-68191
LLC FINRA CRD Number: 149777

N-CEN: ADVISERS INVESTMENT TRUST
Investment Company Act File Number: 811-22538
LEI: 549300BG52TB5QPLYE22

2021 -
ADVISERS
INVESTMENT
TRUST

2021-12-
CIK 0001516523

The difference in 2020 vs. 2021 in premiums collected by Morgan Stanley is reported \$19,281
- roughly 20% less in the fiscal year 2021 with respect to 2020.

--

#####

Item B.14. Provision of financial support.

Did an affiliated person, promoter, or principal underwriter of the Registrant, or an affiliated person of such a person, provide any form of financial support to the Registrant during the reporting period?

#####

- ☐
- ☐
- ☐

" STATE FARM LIFE INSURANCE COMPANY " THIS IS IN FACT AN OUTSIDE BUSINESS OF:

RANDALL HOUSTON HARBERT [2992788]
IN ADDITION TO THE OTHER
DIRECTORS OF STATE FARM VP MANAGEMENT CORP.

issuers of a class of securities registered
--

under the Securities Act of 1933

Joe R Monk Jr [001357149]

Paul J Smith [004971235]

Terrence Ludwig [003231040]

-- NOT INDEMNIFIED, OR COVERED FOR OMISSIONS AT ANY POINT IN TIME.

STIPULATION TO AMEND CAPTION AND THE MANAGED ENTITY OF

" STATE FARM LIFE INSURANCE COMPANY " WAS EMAILED TO ALL MATERIAL PARTIES.

https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=Xjn0/e1NcBADqRc_PLUS_g11P4g==

<https://github.com/BSCPGROUPHOLDINGSLLC/ELSER-AND-DICKER/pull/35>

" STATE FARM LLOYDS "

<https://www.tdi.texas.gov/commissioner/disciplinary-orders/documents/20153854.pdf>

---BROKERCHECK RANDALL HOUSTON HARBERT [MEMBER 2992788]

" STATE FARM LIFE INSURANCE COMPANY "

<https://user-images.githubusercontent.com/70865813/169648064-f9e93568-ebc4-41b9-bfd7-c83386351208.jpg>

-- OF LOAN 50074

Filed: 08/09/2020

" STATE FARM AUTOMOBILE INSURANCE COMPANY "

--- NOT LICENSED IN THE STATE OF NEW YORK TO CONDUCT INVESTMENT BANKING

--- NOT LICENSED IN THE STATE OF NEW YORK TO CONDUCT SECURITIES TRANSACTIONS.

Item B.14. Provision of financial support.

Did an affiliated person, promoter, or principal underwriter of the

Registrant, or an affiliated person of such a person, provide any

form of financial support to the Registrant during the reporting period?

2020 https://www.sec.gov/Archives/edgar/data/0000093715/000114554921006167/xslFormN-CEN_X01/primary_doc.xml

2021 https://www.sec.gov/Archives/edgar/data/0000093715/000114554922006149/xslFormN-CEN_X01/primary_doc.xml

2021-09-30 https://www.sec.gov/Archives/edgar/data/0001516523/000114554921074536/xslFormN-CEN_X01/primary_doc.xml

Report of Independent Registered Public Accounting Firm
<https://www.sec.gov/Archives/edgar/data/0001516523/000119312521347485/d221423dncsr.htm>

2022 https://www.sec.gov/Archives/edgar/data/0001516523/000114554921074536/xslFormN-CEN_X01/primary_doc.xml 2020: The Northern Trust Company
Custody records, Administrative records, and Shareholder records.

<https://www.sec.gov/Archives/edgar/data/0001516523/000119312522025502/d207567d4017g.htm>
[40-17G] ADVISERS INVESTMENT TRUST
BOND PERIOD: January 1, 2022 to January 1, 2023
Bond Number: 70436972
Chubb Group of Insurance Companies
202B Hall's Mill Road
Whitehouse Station, NJ 08889
CRYSTAL IBC LLC
ATTN: Matthew Flynn 32
OLD STIP - 29TH FL.
NEW YORK, NY 10005

NOVEMBER 16 2021 AND DECEMBER 18. 2021----- -- INSIDER TRADING IS HIGHLY PROBABLY [NOVEMBER
13TH, 2021]. [0218] -- NOTICE TO JAMES GORMAN, CEO OF MORGAN STANLEY [NOVEMBER 16TH, 2021].
.[0218] -- PROMOTIONAL/PREMIUMS PAID TO MORGAN STANLEY IN 2020 & 2021

-- NOTICE TO STATE FARM RECEIVED NYSCEF: 08/11/2020

https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=Xjn0/e1NcBADqRc_PLUS_g11P4g==
[AUGUST 8TH, 2021]. [0220]
-- NON-JOINDER, WAS NOT PERMITTED BY THE COUNSELORS OF
SULLIVAN PROPERTIES, LP
NOTWITHSTANDING ITS COUNSELORS.

-- LETTER FROM ONE OF SEVERAL COUNSELORS OF SULLIVAN PROPERTIES LP. [0220]
-- "State Farm Realty Insurance LLC"
ANNEXED IN NYSCEF 153974/2020 DOCKET 398
https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=n_PLUS_CvSQR36fqPKko6L47FFQ==

□ <https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=D9Td7IfWXyajw1tBNCfb9g==>

-- NON-JOINDER, WAS NOT PERMITTED BY THE COUNSELORS OF
-- SULLIVAN PROPERTIES, LP, NOTWITHSTANDING ITS COUNSELORS to CONTACT STATE FARM

TCR REPORT WAS FILED NOVEMBER 13, 2021. [0220]
" MATERIAL MISSTATEMENT OR OMISSION IN A COMPANY'S PUBLIC FILINGS, OR A FAILURE TO FILE."

26 CFR § 1.6662-5 - Substantial and gross valuation
https://www.irs.gov/pub/irs-apa/treas_reg_1.6662-6.pdf

The penalty is equal to 20 percent of the underpayment of tax attributable to that substantial valuation misstatement. Pursuant to section 6662(h) the penalty is increased to 40 percent of the underpayment in the case of a gross valuation misstatement with respect to either penalty... "

☐
☐
 " For purposes of this section, the term "property" refers to both tangible and intangible property. Tangible property includes property such as land, buildings, fixtures and inventory. Intangible property includes property such as goodwill, covenants not to compete, leaseholds, patents, contract rights, debts and choses in action..."

be the sum of— (A) the Federal short-term rate determined under subsection (b),plus (B) 3 percentage points. (b) Federal short-term rate For purposes of this section— (1) General rule The Secretary shall determine the Federal short-term rate for the first month in each calendar quarter.

~~(2)~~Period during which rate applies

~~(A)~~In general

~~Except~~ as provided in subparagraph (B), the Federal short-term rate determined under paragraph (1) for any month shall apply during the first calendar quarter beginning after such month.

~~(B)~~Special rule for individual estimated tax

~~In~~ determining the addition to tax under section 6654 for failure to pay estimated tax for any taxable year, the Federal short-term rate which applies during the 3rd month following such taxable year shall also apply during the first 15 days of the 4th month following such taxable year.

~~(3)~~Federal short-term rate

The Federal short-term rate for any month shall be the Federal short-term rate determined during such month by the Secretary in accordance with section 1274(d). Any such rate shall be rounded to the nearest full percent (or, if a multiple of 1/2 of 1 percent, such rate shall be increased to the next highest full percent).

26 U.S. Code § 6663 Imposition of fraud penalty

□

(a) Imposition of penalty

□If any part of any underpayment of tax required to be shown on a return is due to fraud, there shall be added to the tax an amount equal to 75 percent of the portion of the underpayment which is attributable to fraud.

□□

(b) Determination of portion attributable to fraud

□If the Secretary establishes that any portion of an underpayment is attributable to fraud, the entire underpayment shall be treated as attributable to fraud, except with respect to any portion of the underpayment which the taxpayer establishes (by a preponderance of the evidence) is not attributable to fraud.

□

--- 26 U.S. Code § 6621, Determination of rate of interest

<https://www.law.cornell.edu/uscode/text/26/6621>

□□□

□□ "RANDOMLY AND WITHOUT ANY UNDERLYING REASON AFTER 27 YEARS OF OPERATIONS"

[N-8F ORDR]Release No. 34425 / November 24, 2021

<https://www.sec.gov/Archives/edgar/data/0000093715/999999999721005790/filename1.pdf>

[N-8F NTC] NOTICE NO 34411

<https://www.sec.gov/Archives/edgar/data/0000093715/999999999721005616/filename1.pdf>

[N-8F]APPLICATION

<https://www.sec.gov/Archives/edgar/data/0000093715/000119312521278180/d222043dn8f.htm>

REPORT FROM PRICE WATERHOUSE COOPERS. [0222]

NOVEMBER 22, 2021

-- CERTIFIED SHAREHOLDER REPORT

<https://www.sec.gov/Archives/edgar/data/0001516523/000119312521347485/d221423dncsr.htm>

2021-09-30: REPORT DATE

2021-12-03: FILE DATE

-----PRICE WATERHOUSE COOPERS

-----Report of Independent Registered Public Accounting Firm

September 30, 2021

ADVISERS INVESTMENT TRUST, STATE FARM FUNDS

□

<https://www.sec.gov/Archives/edgar/data/0001516523/000119312521347485/d221423dncsr.htm>

"...Our procedures included confirmation of securities owned as of September 30,

2021 by correspondence with the custodian and brokers; when replies were not

received from brokers, we performed other auditing procedures. We believe that

our audits provide a reasonable basis for our opinions... "

November 22, 2021

--- --- BE IT REMEMBERED

BLOCK 503, LOT 11 --> TRANSFERRED TO: STATE FARM

ONE STATE FARM PLAZA

BLOOMINGTON, IL, 61710

<https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=19MVPFXy0G0QvnmRLGpYIQ==>

CERTIFICATE OF OCCUPANCY FOR 117 SULLIVAN STREET. [0223]

MARCH OF 2020

-- PER THE DEPARTMENT OF FINANCE.

-- MARKET VALUE FOR 22 UNITS FOR THE PROPERTY WAS DETERMINED TO BE \$3,981,000.00

-- GROSS INCOME OF 12.313% I THAT YEAR, WITHOUT GROUNDS TO LEGALLY COLLECT RENT.

CERTIFICATE OF OCCUPANCY FOR 111 SULLIVAN STREET. [0224]
--- I ANNEXED THE CERTIFICATE OF OCCUPANCY FOR MY BUILDING ON AUGUST 4TH, 2020

--- NYSCEF DOC. NO. 231 RECEIVED NYSCEF: 08/04/2020
https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=1Wx2R9sKfno_PLUS_ol5jFakV9g==

--- NYSCEF DOC. NO. 230 RECEIVED NYSCEF: 08/04/2020
<https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=JjKiWNUTBeipcESQfFiwVQ==>
☐

12/22/2021. [0224]
EMAIL TO LAURIE ZUCKER WITH THE MATERIAL COURT DOCKETS.
LZUCKER@MSKYLINE.COM

03/13/2022. [0225]
ASSESSMENT HISTORY FOR 117 SULLIVAN STREET, NEW YORK, NY, 10012
15-YEARS OF MARKET-VALUES, ASSESSMENTS, ETC.
2007-2021 -----
☐

FINRA CENTRAL REGISTRATION DEPOSITORY [FILING ID 0008209]. [0226] MORGAN STANLEY & CO. LLC

117 SULLIVAN STREET, NEW YORK, NEW YORK, 10012 TAX RECEIPTS. [0239]

NOTICE TO MATERIAL PARTIES ON NOVEMBER 16TH, 2021. [0245] NOTICE TO MATERIAL PARTIES ON DECEMBER 16TH, 2021.
. [0246] -- LZUCKER@MSKYLINE.COM -- SLASKOWITZ@INGRAMLLP.COM -- LEGAL@MSKYLINE.COM

-- STATE FARM [EVERY STATE FARM ASSOCIATE I COULD FIND ON BLOOMBERG]. [0246]
☐- JAMES.GORMAN@MORGANSTANLEY.COM
☐- MHARVEY13@BLOOMBERG.COM
☐- PRIYA.RAGHURAM@MORGANSTANLEY.COM
☐- PRAGRHURAM2@BLOOMBERG.NET
☐

INFORMATION AND LINKS CIRCULATED VIA EMAIL RE STATE FARM. [0248] - ITS COUNSELORS -- jpetit@mccarter.com

jpetit@mccarter.com -- thermanson@northmarq.com THERMANSON@NORTHMARQ.COM -- AFFILIATES, EMPLOYEES, DIRECTORS, AND ALSO ITS REPRESENTATIVES.

#2020-068. [0252]
STATE FARM BANK, FSB.
S/ JOE MONK, JR
S/ Michael Tipsord

LOAN DOCKETS WERE NOTARIZED AND ANNEXED IN NYSCEF 153974/2020. [0254]

MAY 11, 2020

"STATE FARM LIFE INSURANCE COMPANY"
BY ITS MANAGING MEMBER

STATE FARM REALTY MORTGAGE LLC

https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=Xjn0/e1NcBADqRc_PLUS_g11P4g==

RECEIVED NYSCEF: 08/11/2020
AUG 9, 2020
RE: STATE FARM.DOCKET 398
https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=n_PLUS_CvSQR36fqPKko6L47FFQ==

AUG 10, 2020
LETTER FROM COUNSELORS. DOCKET 399
>>>"STATE FARM REALTY INSURANCE LLC" <<<
https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=D9Td7IfWXyajw1tBNCFb9g==
I AM UNFAMILIAR WITH >>> "STATE FARM REALTY INSURANCE LLC"

STATE FARM LIFE INSURANCE COMPANY [0255] AN OUTSIDE BUSINESS OF STATE FARM VP MANAGEMENT CORP. CRD# 43036 1. RANDALL HOUSTON HARBERT [2992788]individual_2992788-2022-05-06 2. JOSEPH RILEY MONK [001357149]individual_1357149-2022-05-06 3. PAUL JOSEPH SMITH [004971235]individual_4971235-2022-05-06 4. TERRENCE MICHAEL LUDWIG [003231040]individual_4971235-2022-05-06

ITEM C.16 CONSISTENT REPORTING REQUIREMENTS. [0258]

https://www.sec.gov/Archives/edgar/data/0000093715/000114554922006149/xslFormN-CEN_X01/primary_doc.xml
OMISSIONS:Item B.11
" any proceeding to which any director, officer or other affiliated person of the "
"Registrant is a party adverse to the Registrant or any of its subsidiaries; and "

---PARTY 2---
 SULLIVAN PROPERTIES, LP
 101 WEST 55TH STREET, NEW YORK, NY, 10019

TAX CONSEQUENCES ANNEXED IN THE MATTER OF NYSCEF 153974/2020 [0259]

<https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=ze6a1KA9akRV9TGfXXJT/g==>
<https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=bVk8sIt7n3kGwHqebPg0fw==>
<https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=wTG2YD2PqXuxmoKqFiESrw==>
https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=au8qh7Dn66hrVmJ9DX_PLUS_bdg==
<https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=/yhElCiKJ0BGv2DF/MOn4g==>
<https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=gcMSDaFzm0ynPeXZKSHgLQ==>

PRIVACY VIOLATION [262] ANNEXED BY THEIR OWN ATTORNEYS.
<https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=fXMaXgeyzvA85ViWMmvfAQ==>

> CARRIES THE BURDEN OF "POTENTIAL" CARRY-OVER TO THE NEW "HOLDERS" OF THE LEASES AND RENTS... PER THE TERMS OF 255 AFFIDAVIT (LETTER OF CREDIT & LOAN) BETWEEN THE PARTIES ABOVE.

GROSS VALUATION [262] Gross valuation misstatements

DEMONSTRATE HOW THE SIX PROPERTIES AND INCOME IN THE ZIPCODE 10012 ARE NOT PROPERLY VALUED AND THUS, I ALSO TOOK THE INITIATIVE TO REPORT THIS TO THE IRS "CRIMINAL INVESTIGATIONS DEPARTMENT, AND ALSO TO THE NY SUPREME COURT AT 212 401 9146 ON THE 22ND DECEMBER, 2021 AT 7:27PM EST [269]

[https://saaze2311prdsra.blob.core.windows.net/clean/2df8ebfad2d7ec11a7b50022483079c1/\[STATE%20FARM%20VP%2043036\]Advisers%20Investment%20T](https://saaze2311prdsra.blob.core.windows.net/clean/2df8ebfad2d7ec11a7b50022483079c1/[STATE%20FARM%20VP%2043036]Advisers%20Investment%20T)

[https://saaze2311prdsra.blob.core.windows.net/clean/25aff4b997d3ec11a7b500224828654e/\[STATE%20FARM%20VP%2043036\]Advisers%20Investment%20T](https://saaze2311prdsra.blob.core.windows.net/clean/25aff4b997d3ec11a7b500224828654e/[STATE%20FARM%20VP%2043036]Advisers%20Investment%20T)

[https://saaze2311prdsra.blob.core.windows.net/clean/5380dd8997d3ec11a7b5000d3a132789/\[STATE%20FARM%20VP%2043036\]Advisers%20Investment%20T](https://saaze2311prdsra.blob.core.windows.net/clean/5380dd8997d3ec11a7b5000d3a132789/[STATE%20FARM%20VP%2043036]Advisers%20Investment%20T)

[https://saaze2311prdsra.blob.core.windows.net/clean/e9eb965d97d3ec11a7b5000d3a1326fe/\[STATE%20FARM%20VP%2043036\]-%203487%20\\$.pdf](https://saaze2311prdsra.blob.core.windows.net/clean/e9eb965d97d3ec11a7b5000d3a1326fe/[STATE%20FARM%20VP%2043036]-%203487%20$.pdf)

[https://saaze2311prdsra.blob.core.windows.net/clean/25aff4b997d3ec11a7b500224828654e/\[STATE%20FARM%20VP%2043036\]Advisers%20Investment%20T](https://saaze2311prdsra.blob.core.windows.net/clean/25aff4b997d3ec11a7b500224828654e/[STATE%20FARM%20VP%2043036]Advisers%20Investment%20T)

[https://saaze2311prdsra.blob.core.windows.net/clean/ff91792a95d3ec11a7b50022482864f0/\[sfVP43036\]%20\\$2876793%20-%20david.moore%20\\$3487%20-%20IA%208018184.pdf](https://saaze2311prdsra.blob.core.windows.net/clean/ff91792a95d3ec11a7b50022482864f0/[sfVP43036]%20$2876793%20-%20david.moore%20$3487%20-%20IA%208018184.pdf)

[https://saaze2311prdsra.blob.core.windows.net/clean/af081f4095d3ec11a7b50022482864f0/\[STATE%20FARM%20VP%2043036\]%20\\$3231040-2004555.pdf](https://saaze2311prdsra.blob.core.windows.net/clean/af081f4095d3ec11a7b50022482864f0/[STATE%20FARM%20VP%2043036]%20$3231040-2004555.pdf)

<https://saaze2311prdsra.blob.core.windows.net/clean/d88e25ae5fd3ec11a7b5002248286997/StateFarmVP%20Management%20Corp-CRD%2343036.pdf>

[https://saaze2311prdsra.blob.core.windows.net/clean/172de37992d3ec11a7b500224828654e/\[sfVP%2043036\]%204971235-%20\\$SMITH%20-%20SEMI.pdf](https://saaze2311prdsra.blob.core.windows.net/clean/172de37992d3ec11a7b500224828654e/[sfVP%2043036]%204971235-%20$SMITH%20-%20SEMI.pdf)

[https://saaze2311prdsra.blob.core.windows.net/clean/bee2b76c92d3ec11a7b5002248286997/\[SF.VP%2043036\]%202876793%20-%20\\$david%20moore%20\\$3487%20-%20IA%208018184.pdf](https://saaze2311prdsra.blob.core.windows.net/clean/bee2b76c92d3ec11a7b5002248286997/[SF.VP%2043036]%202876793%20-%20$david%20moore%20$3487%20-%20IA%208018184.pdf)

[https://saaze2311prdsra.blob.core.windows.net/clean/9194266492d3ec11a7b500224828654e/\[sf%20VP%2043036-\\$3487\]%201943922-%20\\$%20tipsord%20\\$%20STATE%20FARM%20mutual%20automobile%20insurance%20company-\\$3487.pdf](https://saaze2311prdsra.blob.core.windows.net/clean/9194266492d3ec11a7b500224828654e/[sf%20VP%2043036-$3487]%201943922-%20$%20tipsord%20$%20STATE%20FARM%20mutual%20automobile%20insurance%20company-$3487.pdf)

[https://saaze2311prdsra.blob.core.windows.net/clean/172de37992d3ec11a7b500224828654e/%5BsfVP%2043036%5D%204971235-%20\\$SMITH%20-%20SEMI.pdf](https://saaze2311prdsra.blob.core.windows.net/clean/172de37992d3ec11a7b500224828654e/%5BsfVP%2043036%5D%204971235-%20$SMITH%20-%20SEMI.pdf)

[https://saaze2311prdsra.blob.core.windows.net/clean/bee2b76c92d3ec11a7b5002248286997/\[SF.VP%2043036\]%202876793%20-%20\\$david%20moore%20\\$3487%20-%20IA%208018184.pdf](https://saaze2311prdsra.blob.core.windows.net/clean/bee2b76c92d3ec11a7b5002248286997/[SF.VP%2043036]%202876793%20-%20$david%20moore%20$3487%20-%20IA%208018184.pdf)

[https://saaze2311prdsra.blob.core.windows.net/clean/9194266492d3ec11a7b500224828654e/\[sf%20VP%2043036-\\$3487\]%201943922-%20\\$%20tipsord%20\\$%20STATE%20FARM%20mutual%20automobile%20insurance%20company-\\$3487.pdf](https://saaze2311prdsra.blob.core.windows.net/clean/9194266492d3ec11a7b500224828654e/[sf%20VP%2043036-$3487]%201943922-%20$%20tipsord%20$%20STATE%20FARM%20mutual%20automobile%20insurance%20company-$3487.pdf)

[https://saaze2311prdsra.blob.core.windows.net/clean/e9eb965d97d3ec11a7b5000d3a1326fe/\[STATE%20FARM%20VP%2043036\]-%203487%20\\$.pdf](https://saaze2311prdsra.blob.core.windows.net/clean/e9eb965d97d3ec11a7b5000d3a1326fe/[STATE%20FARM%20VP%2043036]-%203487%20$.pdf)

<https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=TxAa7cNVIHKtnJU/ni/zvg==>

https://a836-acris.nyc.gov/DS/DocumentSearch/DocumentImageView?doc_id=2020052000291003

<https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex= PLUS TlrEGCsUUcCvvtJ8O/dfg==>

<https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=19MVPFXy0G0QvnmRLGpYIQ==>

<https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=19MVPFXy0G0QvnmRLGpYIQ==>

<https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=gcMSDaFzm0ynPeXZKSHgLQ==>

<https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=au8qh7Dn66hrVmJ9DX PLUS bdg==>

<https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=gcMSDaFzm0ynPeXZKSHgLQ==>

<https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=TxAa7cNVIHKtnJU/ni/zvg==>

<https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=LMUE9g PLUS k6vCmKgfCSJEzuQ==>

<https://portal.311.nyc.gov/sr-details/?id=4296b0c3-c4a2-ec11-826d-0003ff86900c>

<https://saaze2311prdsra.blob.core.windows.net/clean/d585ccd85fd3ec11a7b5000d3a1326fe/TAX%20EVASION%20%20attachments%20%252F%20Omissions%20>

<https://saaze2311prdsra.blob.core.windows.net/clean/f2d6a0fe5fd3ec11a7b5000d3a1326fe/Fwd:%20unlawful%20RENT%20and%20PAYMENTS%20in%20CUST>

<https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=19MVPFXy0G0QvnmRLGpYIQ==>

STATE FARM GROWTH FUND

STFGX	Close/Last	Open	High	Low	Adjusted Close
2020-07-28	88.26	88.26	88.26	88.26	85.9074
2020-06-01	83.72	83.72	83.72	83.72	80.4762
2020-05-29	83.61	83.61	83.61	83.61	80.3705

STATE FARM BALANCED FUND

STFBX	Close/Last	Open	High	Low	Adjusted Close
2020-07-28	75.62	75.62	75.62	75.62	73.6164
2020-06-01	72.92	72.92	72.92	72.92	70.1514
2020-05-29	72.82	72.82	72.82	72.82	70.0552

STATE FARM INTERIM FUND

SFITX	Close/Last	Open	High	Low	Adjusted Close
2020-07-28	10.42	10.42	10.42	10.42	10.1917
2020-06-01	10.42	10.42	10.42	10.42	10.1799
2020-05-29	10.42	10.42	10.42	10.42	10.1799

STATE FARM MUNICIPAL BOND FUND

SFBDX	Close/Last	Open	High	Low	Adjusted Close
2020-07-28	9.06	9.06	9.06	9.06	8.6683
2020-06-01	9.01	9.01	9.01	9.01	8.6014
2020-05-29	9.01	9.01	9.01	9.01	8.6014

State Farm Associates Funds Trust
Pursuant to the requirements of the Investment Company Act of 1940

MORGAN STANLEY

TICKER	SEC NUMBER	Assets Reported: February 11, 2021		ITEM.		C.16	ITEM. C.17
		2020-05-31	2020-11-30	vii.	b.	PREMIUMS	TRANSACTIONS
STFGX	811-01519	5,110,893,730	5,308,974,798	5,657.25	86,127.30	91,784.55	0.00
STFBX	811-01519	2,034,992,761	2,084,421,670	4,148.45	0.00	4,148.45	1,496,310.00
SFITX	811-01519	433,682,403	429,251,103	0.00	0.00	0.00	1,033,320.31
SFBDX	811-01519	714,878,356	718,300,227	0.00	0.00	0.00	12,866,477.60
TOTAL		8,294,447,250	8,540,947,798	9,805.70	86,127.30	95,933.00	15,396,107.91

Advisers Investment Trust

Investment Company Act file number:811-22538

CIK: 0001516523

LEI:549300BG52TB5QPLYE22

https://www.sec.gov/Archives/edgar/data/0001516523/000114554921074536/xslFormN-CEN_X01/primary_doc.xml

Advisers Investment Trust
Pursuant to the requirements of the Investment Company Act of 1940

Morgan Stanley & Co. LLC

TICKER	SEC NUMBER	Assets Reported: December 10, 2021		ITEM.		C.16	ITEM. C.17
		2020-11-30	2021-09-30	vii.	b.	PREMIUMS	TRANSACTIONS
STFGX	811-22538	5,308,974,798	6,566,353,665	21,307.10	0.00	21,307.10	0.00
STFBX	811-22538	2,084,421,670	2,412,218,048	0.00	0.00	0.00	32,718,613.44
SFITX	811-22538	429,251,103	478,489,044	0.00	0.00	0.00	27,177,090.86
SFBDX	811-22538	718,300,227	730,112,182	0.00	0.00	0.00	7,672,197.47
TOTAL		8,540,947,798	10,187,172,939	21,307.10	0.00	21,307.10	59,895,704.30

SERIES DATE OF TERMINATIONS [SERIES] ADJUSTED 08 / 2021 https://www.sec.gov/Archives/edgar/data/0000093715/000114554922006149/xslFormN-CEN_X01/primary_doc.xml Item B.6.

Organization. State Farm Investment Management Corp. 08/2021 -- State Farm Growth Fund -- -- S000002055

State Farm Balanced Fund

-- -- S000002056

State Farm Interim Fund

-- -- S000002057

State Farm Municipal Bond Fund

-- -- S000002058

https://www.sec.gov/Archives/edgar/data/0000093715/000114554922006149/xslFormN-CEN_X01/primary_doc.xml

State Farm Associates Funds Trust

MORGAN STANLEY

Pursuant to the requirements of the Investment Company Act of 1940

TICKER	SEC NUMBER	Assets Reported: February 9, 2022		ITEM.		C.16	ITEM. C.17
		2020-11-30	2021-11-30	vii.	b.	PREMIUMS	TRANSACTIONS
STFGX	811-01519	5,308,974,798	6,547,492,153	21,307.10	0.00	21,307.10	0.00
STFBX	811-01519	2,084,421,670	2,410,114,218	4,148.45	51,086.46	55,234.91	1,498,660.00
SFITX	811-01519	429,251,103	478,642,421	0.00	0.00	0.00	0.00
SFBDX	811-01519	718,300,227	728,601,445	0.00	0.00	0.00	0.00
TOTAL		8,540,947,798	10,164,850,239	25,455.55	51,086.46	76,542.01	1,498,660.00

Advisers Investment Trust

Investment Company Act file number:811-22538

CIK: 0001516523

LEI:549300BG52TB5QPLYE22

https://www.sec.gov/Archives/edgar/data/0001516523/000114554921074536/xslFormN-CEN_X01/primary_doc.xml

Morgan Stanley & Co. LLC

SEC file number: 008-68191

CRD: 000149777

Item C.9. Investment advisers.

State Farm Investment Management Corp.

SEC file number: 801-8184

CRD number: 000003487

Sub Advisors: Northern Trust Investments, Inc.

LEI: BEL4B8X7EHJU845Y2N39
SEC file number: 801-33358
CRD number: 000105780

Item C.10. Transfer agents.
The Northern Trust Company
SEC file number: 85-05127
LEI: 6PTKHDJ8HDUF78PFWH30

State Farm Investment Management Corp
084-00839

Item C.12. Custodians.
Custodians Record: 30
a custodian been hired or terminated during the reporting period--
The Northern Trust Company
LEI: 6PTKHDJ8HDUF78PFWH30
1.Bank - section 17(f)(1) (15 U.S.C. 80a-17(f)(1))

Item C.13. Shareholder servicing agents.
The Northern Trust Company
LEI: 6PTKHDJ8HDUF78PFWH30
State Farm Investment Management Corp.

Item C.15. Affiliated broker-dealers.
State Farm VP Management Corp.
SEC file number: 008-50128
CRD number: 000043036

Item G.1a. Attachments.
iii. Independent public accountant's report on internal control

SEMI-ANNUAL HOLDING REPORT. September 30, 2021 the related statements of operations for the period December 1, 2020 through September 30, 2021 and the year ended November 30, 2020

-- STATE FARM GROWTH FUND
-- STATE FARM MUNICIPAL BOND FUND
-- STATE FARM INTERIM FUND
-- STATE FARM BALANCED FUND

I did warn you... they had and have legal issues... <https://www.sec.gov/Archives/edgar/data/0000093715/000119312521278180/d222043dn8f.htm> I filed these documents with the Securities and Exchange Commission; The New York Supreme Court; and emailed them to FINRA. *** During all relevant time during the the application for registration filed by STATE FARM. <https://github.com/BSCPGROUPHOLDINGSLLC/ELSER-AND-DICKER/pull/11#issue-1174121964>

FY 2020 8/9/2020 <https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=19MVPFXy0G0QvnmRLGpYIQ==> NO CERTIFICATE OF OCCUPANCY FILED ON AUGUST 9TH, 2020

8/8/2020
<https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=ZOCFS3HH2UeHQe8j2tXJoQ==>
NO CERTIFICATE OF OCCUPANCY FILED ON AUGUST 10TH, 2020

FY 2020 LOAN 50074 - FILED WITH THE NYC DEPT. OF FINANCE REGISTER.

https://a836-acris.nyc.gov/DS/DocumentSearch/DocumentImageView?doc_id=2020052000291003
https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=Xjn0/e1NcBADqRc_PLUS_g11P4g==
<https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=H4jMXghoLMU1ozmlj4VYHg==>
https://github.com/BSCPGROUPHOLDINGSLLC/ELSER-AND-DICKER/files/8601118/00420.153974_2020_Sullivan_Properties_L_P_v_Baris_Dincer_

test this here. [[1](#)]

2020-11-30 https://www.sec.gov/Archives/edgar/data/0000093715/000114554921006167/xslFormN-CEN_X01/primary_doc.xml
AVERAGE NET ASSETS UNDER MANAGEMENT: 5,308,974,798

STATE FARM GROWTH FUND
2020-05-31
ASSETS UNDER MANAGEMENT: 5,110,893,730

2020-11-30 https://www.sec.gov/Archives/edgar/data/0000093715/000114554921006167/xslFormN-CEN_X01/primary_doc.xml
AVERAGE NET ASSETS UNDER MANAGEMENT: 718,300,227

STATE FARM MUNICIPAL BOND FUND
2020-05-31
AVERAGE NET ASSETS UNDER MANAGEMENT: 714,878,356

AVERAGE NET ASSETS UNDER MANAGEMENT: 429,251,103

STATE FARM INTERIM FUND

2020-05-31

ASSETS UNDER MANAGEMENT: 433,682,403

AVERAGE NET ASSETS UNDER MANAGEMENT: 2,084,421,670

STATE FARM BALANCED FUND

2020-05-31

ASSETS UNDER MANAGEMENT: 2,034,992,761

Item C.16. Brokers. Instructions to Item C.16 and Item C.17. To help Registrants distinguish between agency and principal transactions, and to promote consistent reporting of the information required by these items, the following criteria should be used:

1. If a security is purchased or sold in a transaction for which the confirmation specifies the amount of the commission to be paid by the Registrant, the transaction should be considered an agency transaction and included in determining the answers to Item C.16.
 2. If a security is purchased or sold in a transaction for which the confirmation specifies only the net amount to be paid or received by the Registrant and such net amount is equal to the market value of the security at the time of the transaction, the transaction should be considered a principal transaction and included in determining the amounts in Item C.17.
 3. If a security is purchased by the Registrant in an underwritten offering, the acquisition should be considered a principal transaction and included in answering Item C.17 even though the Registrant has knowledge of the amount the underwriters are receiving from the issuer.
 4. If a security is sold by the Registrant in a tender offer, the sale should be considered a principal transaction and included in answering Item C.17 even though the Registrant has knowledge of the amount the offeror is paying to soliciting brokers or dealers.
 5. If a security is purchased directly from the issuer (such as a bank CD), the purchase should be considered a principal transaction and included in answering Item C.17.
 6. The value of called or maturing securities should not be counted in either agency or principal transactions and should not be included in determining the amounts shown in Item C.16 and Item C.17. This means that the acquisition of a security may be included, but it is possible that its disposition may not be included. Disposition of a repurchase agreement at its expiration date should not be included.
 7. The purchase or sales of securities in transactions not described in paragraphs (1) through (6) above should be evaluated by the Fund based upon the guidelines established in those paragraphs and classified accordingly. The agents considered in Item C.16 may be persons or companies not registered under the Exchange Act as securities brokers. The persons or companies from whom the investment company purchased or to whom it sold portfolio instruments on a principal basis may be persons or entities not registered under the Exchange Act as securities dealers.
-
1. For each of the ten brokers that received the largest dollar amount of brokerage commissions (excluding dealer concessions in underwritings) by virtue of direct or indirect participation in the Fund's portfolio transactions, provide the information below:
 7. Gross commissions paid by the Fund for the reporting period: 5,657.25
 2. Aggregate brokerage commissions paid by Fund during the reporting period: 86,127.30

Item C.17. Principal transactions.

7. Total value of purchases and sales (excluding maturing securities) with Fund:

Item C.7. Reliance on certain rules. Rule 12d1-1 (17 CFR 270.12d1-1)

Item C.9. Investment advisers. State Farm Investment Management Corp. SEC file number: 801-8184 CRD number: 000003487

Item C.10. Transfer agents. State Farm Investment Management Corp SEC file number: 084-00839

Item C.11. Pricing services. Interactive Data Pricing and Reference Data, Inc

Item C.12. Custodians. JPMorgan Chase Bank, N.A. LEI: 7H6GLXDRUGQFU57RNE97 CUSTODY: Bank - section 17(f)(1) (15 U.S.C. 80a-17(f)(1)) 811-01519 Item C.13. Shareholder servicing agents. State Farm Investment Management Corp.

Item C.14. Administrators. State Farm Investment Management Corp.

**Item C.15. Affiliated broker-dealers.
State Farm VP Management Corp SEC file number: 008-50128 CRD number: 000043036**

**Item C.16. Brokers. Morgan Stanley & Co. LLC SEC file number: 008-15869
CRD number: 000008209**

Item C.18. Payments for brokerage and research.

[FILE 93715] State Farm Mutual Automobile Insurance Company credit line: \$25,000,000.00

https://www.sec.gov/Archives/edgar/data/0000093715/000114554921006167/xslFormN-CEN_X01/primary_doc.xml

State Farm Mutual Automobile Insurance Company credit line: \$25,000,000.00

**Morgan Stanley & Co. LLC
SEC file number: 008-15869
CRD number: 8209**

**2020-11-30: ANNUAL REPORT
Accession number: 0001145549-21-006167
Filed: 2021-02-11**

STFGX: State Farm Growth Fund \$5,308,974,798.000000000000 [A] Gross commissions paid by the Fund for the reporting period: \$5,657.25 [B] Aggregate brokerage commissions paid by Fund during the reporting period: \$86,127.30

[FILE 1516523]

https://www.sec.gov/Archives/edgar/data/1516523/000114554921074536/xslFormN-CEN_X01/primary_doc.xml

*** LOAN 5007 WAS ANNEXED IN THE MATTER OF NYSCEF 153974/2020

THE LOSSES ARE REALIZED BY INVESTORS AS A CERTAIN " OPPORTUNITY COST " AND BENEFIT TO THE ZUCKER ORGANIZATIONS IN THE TEN YEARS PRIOR, WHO ALSO REPORTED YoY GROSS INCOME IN A LINEAR FASHION AND REPRESENT TO THE NY DFS THAT THE COMBINED VALUE OF ALL SIX PROPERTIES IS APPROXIMATELY \$22.5 MILLION AND DOUBLE DIGIT RETURNS AS REFERENCED IN THE TAX RECORDS TO THE LAST TEN YEARS. THOSE LEASES AND RENTS WERE TRANSFERRED TO STATE FARM, AND IMPOSE THE UNDERLYING "GUARANTEE" OF PAYMENT IN THE EVENT OF "INSOLVENCY" OR "LATE PAYMENT" BY THE ZUCKERS...

TOTAL LOSSES SUFFERED ON THE BASIS OF THE REPORT FILED BY THE COMPLIANCE OFFICER OF STATE FARM VP. MANAGEMENT CORP ON 11-30-2021 THROUGH THE END OF Q1, 2022

STFGXSTFBXSFITXSFBDX

COMBINED LOSSES FROM 11-30-2021 THOUGH 03-31-2022

\$852,029,498.38

FOR ALL FOUR OF THE TICKERS UNDER THE FORMER UMBRELLA OF 93715
MERGED INTO A LARGER BODY OF RISK UNDER THE UMBRELLA 1516523
UNDER THE ADVISEMENT AND OMISSION OF ITS COUNSELORS, CONTINUE TO
HEMMORAGE ITS CAPITAL AT THE EXPENSE OF ITS INVESTORS WITHOUT
ANY PUBLIC DISCLOSURE, OR ESTOPPEL BY FINRA PERMIT FOR THEM TO
CONTINUE TO FUNCTION WITHOUT ANY "TRUE" SPECIALIST TO HANDLE
THEIR AFFIARS, DEMONSTRABLY AS SEEN IN THE PERFORMANCE OF THE
FUND.

STFGX

DateClose/LastAdjusted Close

11/10/2021	21.17	21.17
11/11/2021	20.77	20.77
11/12/2021	21.48	21.48
11/15/2021	21.34	21.34
11/16/2021	21.61	21.6
11/17/2021	21.52	21.52
11/18/2021	21.87	21.87
11/19/2021	21.69	21.69
11/22/2021	21.64	21.64
11/23/2021	21.79	21.79
11/24/2021	21.85	21.85
11/26/2021	19.44	19.44
11/29/2021	20.48	20.48
11/30/2021	18.51	18.5
12/1/2021	17.78	17.78
12/2/2021	18.95	18.95

12/3/2021118.46118.46
12/6/2021119.95119.95
12/7/2021121.89121.89
12/8/2021122.51122.51
12/9/2021121.99121.99
12/10/2021123.25123.25
12/13/2021122.76122.76
12/14/2021122.16122.16
12/15/2021124.36124.36
12/16/2021123.55123.55
12/17/2021121.93121.93

INSIDER TRADING [DECEMBER 18TH, 2021].[0212]

□□□□□□□□□□□□□□□□

12/20/2021120.72120.72
12/21/2021106.35106.35
12/22/2021107.39107.39
12/23/2021108.08108.08
12/27/2021109.52109.52
12/28/2021109.57109.57
12/29/2021109.82109.82
12/30/2021109.55109.55
12/31/2021109.48109.48

-- obo OF SULLIVAN PROPERTIES LP HAVE ALSO "PROMISED" TO PAY THEM BACK, SOMEWHERE ON PAGE 800 OF THE UNREGISTERED SECURITY (THE COMBINED AND RE-STATED PROSPECTUS) WHICH I ALSO ANNEXED IN THE MATTER OF NYSCEF 153974/2020.

<https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=ze6a1KA9akRV9TGfXXJT/g==>

<https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=bVk8sIt7n3kGwHqebPg0fw==>

<https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=wTG2YD2PqXuxmoKqFiESrw==>

https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=au8qh7Dn66hrVmJ9DX_PLUS_bdg==

<https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=/yhElCiKJ0BGv2DF/MOn4g==>

<https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=gcMSDaFzm0ynPeXZKSHgLQ==>

□□

UNFAIR DEALINGS

I.THEY MONITORED MY LAPTOP FROM OUTSIDE OF MY APARTMENT.

- ON A 24 HOUR BASIS, RECORDED MY EVERY STEP AND MOTION.□□□

https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=c3iexOlBwsgcllnMJ2_PLUS_AqQ==

ASHLEY HUMPHRIES, OF WILSON & DICKER.

□□□

" ... PLEASE CHECK THE SECURITY TAPES ... "

<https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=HbnFLHB3tyjhEWAYb6mOPw==>

https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=YvkihzMlcwANTAvbUwWX_PLUS_g==

II. VIDEOTAPED ME "INDISE OF MY APARTMENT".

<https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=UZsCx4RNly/6V9gflBkpTQ==>

III. DISTRIBUTED VIDEOS OF MYSELF IN MY APARTMENT -- THE INTERIOR.

<https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=YGRsoOyDJuc93MrOnwh5Jw==>

□□□

<https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=84wdx4RhX5LEi0sISXetBw==>

IV. ATTACHED VIDEO OF MYSELF DRILLING INSIDE OF MY APARTMENET.

<https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=5uMb/ORklCen4NaSEt6oFg==>

□□□

V. ATTACHED VIDEO OF MYSELF HAMMERING INSIDE OF MY APARTMENET.

https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=C4X_PLUS_6_PLUS_kgBxoElZyFgKxGEQ==

VI. THEY ALSO ANNEX MY RECEIPT TO HELP BUY THEMSELVES MORE TIME AND TO DISTRACT THE JUDGE, CLERK AND INTEAD OF DEALING WITH THEIR TAX-EVASIONS AND ILLEGAL CONDUCT.

<https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=Uavl5NRQV4YHKqWUf8fyVQ==>

□□□

VII. ALSO WILL SWEAR IN THEIR AFFIDAVITS THAT GO ON FOR PAGES THAT THEY HAVE NO INVOLVEMENT.

☐ HAVE ALSO MONITORED ME FROM THE CORRIDOR, AND THROUGH MY DOOR.

☐ BY ALL OF THE ATTORNEYS, COUNSELORS, AND STAFF OF SULLIVAN PROPERTIES, LP.

☐

VIII. HAVE ALSO ANNEXED AND SWORE UNDER OATH THEY SAW ME

<https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=PWFQc/WFihoyIKwEunaalQ==>

☐

"□□□□ BANGING ON A RADIATOR ... "

□□□□□

<https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=oz2nfEu9a94Y3U5/kpIt5g==>

□□□□□

IX. ALSO HAVE ANNEXED THEY "HOSTED" MY VIDEOS ON THE INTERNET --□□□□

☐- USING ONE OF THEIR OWN TENANTS AS THE VIDEOGRAPHER.

☐

<https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=PWFQc/WFihoyIKwEunaalQ==>

☐

LOSSES ARE UNDERSTOOD BY THE FOUR TICKERS IN QUESTION:

RANDALL HOUSTON HARBERT (RAND HARBERT) CRD#: 2992788

I ANNEXED THE LOAN ON THE FOURTH OF AUGUST IN 2020, AND ALSO PROVIDED NOTICE TO ALL MATERIAL PARTIES PRIOR TO THE FOURTH AND IN JUNE, CUSTODY OF MY SECURITY DEPOSIT MAY/MAY NOT HAVE BEEN COMMINGLED AND USED AS COLLATERAL AS WELL WHEN PRESENTED TO THE STATE FARM, LOCATED AT:

ONE STATE PLAZA, BLOOMINGTON, IL, 61710

□

ALBEIT THE CUSTODIAN HAS CHANGED THEY ARE NOW SELF-ADMINISTERED AND SELF-CUSTODIED ---- WHICH IN FACT REDUCES THE COMPLIANCE/SUPERVISORY OF THE NEW FUND WITHOUT A PUBLICLY TRADED CUSTODIAN LIKE JP MORGAN TO MAINTAIN AN ADDITIONAL BARRIER OF COMPLIANCE REPORTING FOR THEIR ONGOING AFFAIRS.

THIS IS WHERE THE SHERMAN ANTI-TRUST AND FAIR DEALINGS ARE " ETHICALLY " NOT NEGOTIABLE AS THOSE RIGHTS OF BULLET-PROOF LAWSUITS MUST BE PROVIDED TO ALL REAL ESTATE HOLDERS OF PROPERTY, BOTH PUBLIC AND PRIVATE, NOTWITHSTANDING THEIR VALUATION METHOD OF ACCOUNTING... A PROVISION IN THE NEW UMBRELLA (SAVED THOSE ACCOUNTING FOR LATER, BECAUSE THEY WERE NOT COVERED BY THE 40-17G INDENTURE EXECUTED BY ITS OWN COMPLIANCE OFFICER) TERRANCE LUDWIG, WHO SUPERVISES [STATE FARM VP 43036] CRD MEMBER #2992788 _ HARBERT.

FROM 11-16-2021 THROUGH 12-31-2021

WITHOUT FAIR DEALINGS AND DISCLOSURE FOR THE OTHER FIRMS IS UNDERSTOOD IN FEWER "PROMOTIONAL" DOLLARS AND MORE "BROKERAGE COMMISSIONS EARNED FOR SELLING AND TRANSACTIONS. WHICH CAN ALSO BE USED IN "SOFT DOLLARS" TO HELP PAY FOR THE AUDIT AND ACCOUNTING FEES. A LOSS IN PERCENTAGE VALUE IN EACH TICKER OF THE FUND, AND AFTER TAKING ON ADDITIONAL SUBSCRIPTIONS BY MAIL IS A HUGE OPPORTUNITY COST, AND NO DISCLOSURE OF ANY MATERIAL MATTERS OR NOTICE IN A HISTORY OF FAIR-DEALINGS TO "OTHER CONSTITUENTS"

- MORGAN STANLEY HAS INVITED OTHERS ONTO THE NEW FILER 1516523 WHO ALSO BOUGHT THOSE TICKERS, AND JOINED AS PROMOTING BROKERS WITHOUT ANY KNOWLEDGE WANTED TO KEEP THE PREMIUMS AND INTEREST AS WELL, IN LIEU OF PRESENTING THE MATERIAL FACTS TO THE FORE.

TWO TYPES OF INCOME ARE FILED WITH THE SECURITIES & EXCHANGE COMMISSION -----

STFGX: State Farm Growth Fund \$5,308,974,798.000000000000 [A] Gross commissions paid by the Fund for the reporting period: \$5,657.25

[B] Aggregate brokerage commissions paid by Fund during the reporting period: \$86,127.30

☐☐-----

BLOCK 803, LOT 11...

DOES NOT HAVE A CERTIFICATE OF OCCUPANCY.
-- NEITHER DO THE OTHER 5 PROPERTIES.
GOCARDS

<https://github.com/users/BSCPGROUPHOLDINGSLLC/projects/1#column-18309490>

<https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=BHKQCNuN0DHZNk5LD/32Lg==>

*** CRD FILES FOR THE MEMBERS REGARDING ANY LAWSUIT, LOAN, OR COVERAGE BY ITS DIRECTORS, PER THE BOND INDENTURE AND DURING THE RELEVANT PERIOD OF COVERAGE DO NOT INCLUDE OR DISCLOSE THE RISKS IMPLIED AND IN THE NEGLIGENCE OF THE CLERK/COURTS. REGULATORS TO ACT IN A TIMELY FASHION ARE CAUSE FOR THE LOSSES.

File Date: 2020-06-08 INVESTMENT COMPANY BLANKET BOND "COVERAGE" 40-17G: State Farm Associates' Funds Trust

<https://fintel.io/doc/sec-sfitx-state-farm-interim-fund-4017g-2020-june-08-18422-692>
BOND NUMBER: 87036120B
EFFECTIVE DATE BOND PERIOD: April 1, 2020 to April 1, 2021
ISSUER: ICI MUTUAL INSURANCE COMPANY

APPLICATION FILED. 2021-10-29
Hearing requests should be received by the SEC by 5:30 p.m.
on November 23, 2021
<https://www.sec.gov/Archives/edgar/data/0000093715/999999999721005616/filename1.pdf>

MERGER APPLICATION FILED. 2021-04-23
N-8F: STATE FARM ASSOCIATES' FUNDS TRUST
<https://www.sec.gov/Archives/edgar/data/0000093715/000119312521278180/d222043dn8f.htm>

NOTE.FILER 93715
Once deregistered, a fund is still required to maintain and
preserve the records described in rules 31a-1 and 31a-2 for
the periods specified in those rules.

□□

□□

State Farm Mutual Automobile Insurance Company
credit line: \$25,000,000.00
https://www.sec.gov/Archives/edgar/data/0000093715/000114554921006167/xslFormN-CEN_X01/primary_doc.xml

Morgan Stanley & Co. LLC
SEC file number: 008-15869
CRD number: 8209

2020-11-30: ANNUAL REPORT
Accession number: 0001145549-21-006167
Filed: 2021-02-11

NOTE. FILER 93715

STFGX. State Farm Growth Fund \$5,308,974,798.000000000000 [A] Gross commissions paid by the Fund for the reporting period: \$5,657.25 [B] Aggregate brokerage commissions paid by Fund during the reporting period: \$86,127.30

https://www.sec.gov/Archives/edgar/data/1516523/000114554921074536/xslFormN-CEN_X01/primary_doc.xml

THE LOSSES ARE REALIZED BY INVESTORS AS A CERTAIN " OPPORTUNITY COST " AND BENEFIT TO THE ZUCKER ORGANIZATIONS IN THE TEN YEARS PRIOR, WHO REPORTED YoY GROSS INCOME IN A LINEAR FASHION AND REPRESENT TO THE NY DFS THAT THE COMBINED VALUE OF ALL SIX PROPERTIES IS VALUED AT APPROXIMATELY \$22.5 MILLION AND DOUBLE DIGIT RETURNS AS REFERENCED IN THE TAX RECORDS FOR THE LAST TEN YEARS.

THOSE LEASES AND RENTS WERE TRANSFERRED TO STATE FARM, AND IMPOSE THE UNDERLYING "GUARANTEE" OF PAYMENT IN THE EVENT OF "INSOLVENCY" OR "LATE PAYMENT" BY THE ZUCKERS -- WHO HAVE ALSO "PROMISED" TO PAY THEM BACK, SOMEWHERE ON PAGE 800 OF THE UNREGISTERED SECURITY (THE COMBINED AND RE-STATED PROSPECTUS) WHICH I ALSO ANNEXED IN THE MATTER OF NYSCEF 153974/2020.

UNDERSTOOD BY THE FOUR TICKERS IN QUESTION:

TICKER: STFGX
SEC FILE NUMBER: 811-01519
SERIES: S000002055
LEI: 25490064REEQMGE0GC29
CIN: C000005410
CIK: 93715

TICKER: STFBX
SEC FILE NUMBER: 811-01519
SERIES: S000002056
LEI: 254900EBILZP44C0ET43
CIN: C000005411
CIK: 93715

TICKER: SFITX
SEC FILE NUMBER: 811-01519
SERIES: S000002057
LEI: 254900QMKPLXFY2DO125
CIN: C000005412
CIK: 93715

TICKER: SFBDX SEC FILE NUMBER: 811-01519 SERIES: S00000205 LEI: 254900XY61CSODNC1J50 CIN: C000005413 CIK: 93715

I ANNEXED THE LOAN ON THE FOURTH OF AUGUST IN 2020, AND ALSO PROVIDED NOTICE TO ALL MATERIAL PARTIES PRIOR TO THE FOURTH AND IN JUNE:

\CUSTODY OF MY SECURITY DEPOSIT MAY/MAY NOT HAVE BEEN COMMINGLED AND USED AS COLLATERAL AS WELL WHEN PRESENTED TO THE STATE FARM, LOCATED AT ONE STATE PLAZA, BLOOMINGTON, IL, 61710.

xxx THIS IS WHERE THE SHERMAN ANTI-TRUST AND FAIR DEALINGS ARE "ETHICALLY" NOT NEGOTIABLE AS THOSE RIGHTS OF BULLET-PROOF LAWSUITS MUST BE PROVIDED TO ALL REAL ESTATE HOLDERS OF PROPERTY, BOTH PUBLIC AND PRIVATE, NOTWITHSTANDING THEIR VALUATION METHOD OF ACCOUNTING... A PROVISION IN THE NEW UMBRELLA (SAVED THOSE ACCOUNTING FOR LATER, BECAUSE THEY WERE NOT COVERED BY THE 40-17G INDENTURE EXECUTED BY ITS OWN COMPLIANCE OFFICER).

AFTER YOU CAN POTENTIALLY ALSO CAN EARN MONEY MAKING GOOD TRADES FROM 11-16-2021 THROUGH 12-31-2021, WITHOUT FAIR DEALINGS AND AWARENESS FOR THE OTHER FIRMS....

THEN OBSERVE A LOSS IN PERCENTAGE VALUE IN EACH TICKER OF THE FUND, AND AFTER TAKING ON ADDITIONAL SUBSCRIPTIONS BY MAIL.

TCR, DATED NOVEMBER 13, 2021 AND NO DISCLOSURE OF ANY MATERIAL MATTERS WHICH WERE OMITTED, AND WITHOUT ANY FAIR NOTICE OR FAIR-DEALING TO "MARKET PARTICIPANTS" - WHO BOUGHT THOSE TICKERS WITHOUT KNOWLEDGE AS FURTHER PROMOTED BY THEIR THIS NOTCH MORGAN STANLEY - THREE YEARS IN A ROW...

I ASSUME THEY WANTED TO KEEP THE PREMIUMS AND INTEREST AS WELL.

TAX RECORDS ARE PUBLIC

I USED THE ONE BUILDING WITH 22 UNITS AND NO CERTIFICATE OF OCCUPANCY (WHERE IN NY CITY YOU CANNOT COLLECT SECURITY DEPOSITS AND RENT LEGALLY (AND PER THE FDIC, CANNOT CUSTODY UNLAWFULLY EARNED MONEY) WHICH TO MY SURPRISE WAS ALSO PRODUCING DOUBLE DIGIT RETURNS YoY FOR THE LAST 10-YEARS. AFTER I EVALUATED THIS PROPERTY, I REVIEWED THE OTHER PROPERTIES AND FOUND PARTIAL OR "NO CERTIFICATE OF OCCUPANCY AT ALL" FOR THE PROPERTIES, LEASES, AND RENTS USED AS ASSET TO GUARANTEE AND SECURE A LOAN FROM STATE FARM IN MAY OF 2021.

FOR EASE OF REFERENCE HERE ARE THE QUARTERLY RECEIPTS FOR THE LAST TEN-YEARS FOR 117 SULLIVAN STREET, NEW YORK, NY, 10012 ----- ##

<https://github.com/users/BSCPGROUPHOLDINGSLLC/projects/1#column-18309490>

FTC REPORT: UNLAWFUL FEES BILLED, INVOICED, AND PAID.

<https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=BHKQCNuN0DHZNk5LD/32Lg==>

##

CONTEXT: STATE FARM ASSOCIATES FUNDS TRUST: CIK 93715 >INTO >> FILER ## CIK 1516523 ##

##

Δ PRICE [\$] Δ PRICE [%]

SOURCE: EDGAR REPORT: 2021-11-30 Δ ASSET VALUE 11-30-2020 - 2022-03-31 Δ ASSET VALUE 2022-03-31 -- 04-30-2022
TICKERS ## ## ## ## ## ## ## ## ## ## 2021-11-30 ## ## 2022-03-31 2022-04-30 MoM -4.98% IN AUM (APPROXIMATELY) AND 12.35% (APPROXIMATELY) USING THE FILED ASSETS ... SINCE

"LAST FILING" 2021-11-30 ## BY ITS COMPLIANCE OFFICERS AND DIRECTORS AND EXECUTED BY THE DIRECTORS OF THEIR OPERATING FINANCIAL ADVISORY FIRMS.

[STATE FARM VP 43036] STATE FARM VP MANAGEMENT CORP [## DIRECTORS ##] [STATE FARM VP 43036] Advisers Investment Trust [CIK 1516523] MONK [CRD 1357149]

DAVID MOORE ## TERRANCE "TERRY" LUDWIG ## PAUL SMITH

%% %% %% %% %% %% %% %% %% %% %% %% %% %% %% %% %%
*** DOCKET 420, 1 PAGER.

<https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=Xjn0/e1NcBADgRc PLUS g11P4g==> %%

%% %% %% %% %% %% %% %% %% %% %% %% %% %% %% %% %%

>>>> [STATE FARM VP 43036]2992788 _ HARBERT <<<<<<<<<

%% %% %% %% %% %% %% %% %% %% %% %% %% %% %% %% %%

[STATE FARM VP 43036]2992788 _ HARBERT " STATE FARM LLOYDS "

[STATE FARM VP 43036]2992788 _ HARBERT " STATE FARM LIFE INSURANCE COMPANY "

" STATE FARM LIFE INSURANCE COMPANY" IS THE MANAGING ENTITY WHO IS ALSO ENCUMBERED BY LOAN 50074.

ENTITY " STATE FARM LIFE INSURANCE COMPANY " :: STATE FARM REALTY MORTGAGE LLC" %% %%
%% %% %% %% %% %% %% %% %% %% %% %% %% %% %% %% %%

DID NOT "RESPOND" TO THE LEGAL ACTION AFTER HAVING CONTACTED BOTH

thermanson@northmarq.com AND jpetit@mccarter.com the representatives and attorneys in the dockets filed with the NY Finance Register

##

>> https://a836-acris.nyc.gov/DS/DocumentSearch/DocumentImageView?doc_id=2020052000291003 <<

##

THIS WAS A GOOD FAITH ATTEMPT TO TERMINATE THE LOAN 50074 DURING THE 90-DAY WINDOW. ENTERED AND ADMITTED ON 420 AS AN
"EXHIBIT" AND WAS ALSO OBSTRUCTED BY COUNSELORS OF 'PARTY 1'

##

STFGX ## 118.50 106.88 100.19 (6.69) -5.6456% 6,547,492,154 -642,041,003 ## -369,643,228**STFBX ## 92.93 87.17 82.84 (4.33) -4.6594% 2,410,114,218 -149,384,030 ## -112,297,370****SFITX ## 10.13 9.73 9.64 (0.09) -0.8885% 478,642,421 -18,899,997 ## -4,252,499****SFBDX ## 8.91 8.40 8.16 (0.24) -2.6936% 728,601,446 -41,704,460 ## -19,625,628**

##

[illegible]

##

THE EXCESS OF FIVE HUNDRED AND FIVE MILLION US DOLLARS IN ONE MONTH <<<<
REPRESENTS AN ACCELERATED RATE OF LOSS WITH RESPECT TO THE PRIOR LOSSES AND DURING >>>>
[THE PUBLICLY TRADED AUDIT FIRM].

##

IN FURTHERANCE, THE C.16 PROMOTING BROKERS DID NOT PROVIDE ANY RESEARCH REPORT OR ANY >>>>

##

SUMMARY OF ASSETS AND THE CHANGE IN PERCENTAGE VALUES SINCE LAST REPORTED

##

prior filer: STATE FARM ASSURANCES FUNDS TRUST [CIK 93715] ## ## ##

UNDER SECTION 8(f) OF THE INVESTMENT COMPANY ACT OF 1940 APPLICANT HAS CEASED TO BE AN

INVESTMENT COMPANY *****

##

FILED, NOV 13, 2021, TCR 1 [Submission Number 16368-809-301-165 was submitted on Saturday, November 13, 2021] <"https://github.com/BSCPGROUPHOLDINGSLLC/ELSER-AND-DICKER/files/8600789/_2021-11-13---TCRReport.pdf">

FILED, APR 04, 2022, TCR 2 [Submission Number 16491-117-831-823 was submitted on Monday, April 04, 2022] <"https://github.com/BSCPGROUPHOLDINGSLLC/ELSER-AND-DICKER/files/8600792/_2022-04-22---TCRReport-16491-117-831-823.pdf">

FILED, APR 28, 2022, TCR 3 [Submission Number 16511-049-089-843 was submitted on Thursday, April 28, 2022] https://github.com/BSCPGROUPHOLDINGSLLC/ELSER-AND-DICKER/files/8600857/_2022-04-28---TCRReport.16511-049-089-843.pdf

##

OZERO

##

TOTAL LOSSES SINCE NOV 13, 2021 FILED AND ON THE BASIS OF ASSETS REPORTED ON 11-30-2021. -1,357,848,214 [ONE BILLION THREE HUNDRED AND FIFTY SEVEN MILLION EIGHT HUNDRED AND FOURTY HEIGHT THOUSAND TWO HUNDRED AND FOURTEEN DOLLARS]

##

ITS C.16 FIDUCIARY BROKERS ALSO WILL PROVIDE GUIDANCE FOR "BRK-B"
HOWEVER DID NOT REPORT ANY "MATERIAL" INFORMATION TO BETTER PROMOTE AND DISSEMINATE THE
INFORMATION IN A TIMELY MANNER WHERE OTHER CONSTITUENTS CAN MAKE AN "INFORMED DECISION"

##

NOT COVERED FOR OMISSIONS, OBSTRUCTION, AND THE UNFAIR DEALINGS BY ITS NEW FILER AND REPEAT C.16 PROMOTER, NAMELY MORGAN STANLEY.

File Date: 2020-06-08 INVESTMENT COMPANY BLANKET BOND "COVERAGE"

##

##

BOND NUMBER: 87036120B EFFECTIVE DATE BOND PERIOD: April 1, 2020 to April 1, 2021 ## ISSUER: ICI MUTUAL INSURANCE COMPANY

APPLICATION FILED: 2021-10-29 ## ## ## ## ## ## ## ## ## ## ## ## ## ## ## ##

TCR FILED ON NOVEMBER 13, 2021. Hearing requests should be received by the SEC by 5:30 p.m. on November 23, 2021 ## ## ## ## ## ## ## ## ## ## ## ## ## ## ## ##

<https://www.sec.gov/Archives/edgar/data/0000093715/999999999721005616/filename1.pdf> ## ## ## ## ## ## ## ## ## ## ## ## ## ## ## ##

MERGER APPLICATION FILED: 2021-04-23 N-8F: STATE FARM ASSOCIATES' FUNDS TRUST

<https://www.sec.gov/Archives/edgar/data/0000093715/000119312521278180/d222043dn8f.htm> ## ## ## ## ## ## ## ## ## ## ## ## ## ## ## ##

##

NOTE: Once deregistered, a fund is still required to maintain and preserve the records described in rules 31a-1 and 31a-2 for the periods specified in those rules. Q21, Q24: " Is the fund a party to any litigation or administrative proceeding? "

Fund Surviving the Merger: ##### Advisers Investment Trust ##### [Act File Number 811-22538] State Farm VP Management Corp. 000043036 ##### ASSETS REPORTED: 2021-11-30

https://www.sec.gov/Archives/edgar/data/0000093715/000114554922006149/xslFormN-CEN_X01/primary_doc.xml

<https://www.sec.gov/Archives/edgar/data/93715/999999999721005790/filename1.pdf>

File Date 2020-07-28

<https://fintel.io/doc/sec-stfgx-state-farm-growth-fund-ncsrs-2020-july-28-18472-569>

\$> CODE OF ETHICS

\$> <https://fintel.io/doc/sec-stfgx-state-farm-growth-fund-ex99p-2020-march-27-18441-449>

A TOTAL OF -1,357,848,214 IN FOUR MONTHS, HOWEVER AN ACCELERATED LOSS IN MONTH PRIOR.

-505,818,725

AUM: 11,016,879,728 IN THE PRIOR 11-30-2021 UNDER MANAGEMENT ON THE BASIS OF 11-30-2021 . THE MARKET CAPITALIZATION OF THE FOUR TICKERS ABOVE REPRESENTS ADDITIONALLY SUBSCRIPTION WERE TAKEN WITHOUT ANY NOTICE TO THE NEW OR OLD INVESTORS.

FILED

\$11,016,879,728 UNDER MANAGEMENT BY THE CIK FILER 93715, WHERE ALL DIRECTORS SURVIVED THE MERGER INTO "CIK 1516523" AND LOAN 50074 CAN NOT BE TRANSFERRED HENCE THE SAME BROKER DEALER "State Farm VP Management Corp. 000043036 AND ITS DIRECTORS AT STATE FARM, ONE STATE FARM PLAZA, BLOOMINGTON, IL, 61710 ---- DID NOT CHANGE THEIR ADDRESS EITHER. " IN THE GROSS NEGLIGENCE, NAMELY IN THE AUDITORS IN A POSTHASTE AVOIDANCE TO CRIMINAL / CIVIL PROSECUTION WHICH WAS AIDED AND ABETTED BY THE ATTORNEYS OF SULLIVAN PROPERTIES, LP. NOTWITHSTANDING MR. REYNOSO, SEE ALSO MANHATTAN SKYLINE MANAGEMENT CORP WEBPAGE "ANNEXED" AND FILED WITH THE NYSCEF SYSTEM IN THE MATTER OF 153974/2020. FAXED 212-586-9867 DIRECTLY AND DID NOT RECEIVE A RESPONSE, HOWEVER SENT A REQUEST ABOUT AN APARTMENT IN MANHATTAN ONLINE AND RECEIVED COMMUNICATION FROM A REALTOR TO VIEW IT.

#####

THE TCR REPORTS HEREIN WERE DISSEMINATED TO ITS PROMOTING BROKERS IN THE PRIOR, ON NOV 16 2021, DEC 18TH 2021 AND AT ALL RELEVANT TIMES TO AVOID THIS "MERGER" INTO A REGISTERED ADVISOR WITH THREE PUBLIC AUDIT FIRMS #####

<https://github.com/BSCPGROUPHOLDINGSLLC/ELSER-AND-DICKER/files/8601202/Nov.16.2021.-.WMCKENZIE%40NYCOURTS.GOV.---.SEC.---.BOC%40BOC.NYC.GOV.pdf>

#####

##

26 U.S. Code § 6662 - Imposition of accuracy-related penalty on underpayments (a)Imposition of penalty If this section applies to any portion of an underpayment of tax required to be shown on a return, there shall be added to the tax an amount equal to 20 percent of the portion of the underpayment to which this section applies.

(b)Portion of underpayment to which section applies This section shall apply to the portion of any underpayment which is attributable to 1 or more of the following: (1)Negligence or disregard of rules or regulations. (2)Any substantial understatement of income tax. (3)Any substantial valuation misstatement under chapter 1. (4)Any substantial overstatement of pension liabilities. (5)Any substantial estate or gift tax valuation understatement. (6)Any disallowance of claimed tax benefits by reason of a transaction lacking economic substance (within the meaning of section 7701(o)) or failing to meet the requirements of any similar rule of law. (7)Any undisclosed foreign financial asset understatement. (8)Any inconsistent estate basis. (9)Any overstatement of the deduction provided in section 170(p). This section shall not apply to any portion of an underpayment on which a penalty is imposed under section 6663. Except as provided in paragraph (1) or (2)(B) of section 6662A(e), this section shall not apply to the portion of any underpayment which is attributable to a reportable transaction understatement on which a penalty is imposed under section 6662A. (c)Negligence For purposes of this section, the term “negligence” includes any failure to make a reasonable attempt to comply with the provisions of this title, and the term “disregard” includes any careless, reckless, or intentional disregard.

(d)Substantial understatement of income tax (1)Substantial understatement (A)In general For purposes of this section, there is a substantial understatement of income tax for any taxable year if the amount of the understatement for the taxable year exceeds the greater of— (i)10 percent of the tax required to be shown on the return for the taxable year, or (ii)\$5,000. (B)Special rule for corporations In the case of a corporation other than an S corporation or a personal holding company (as defined in section 542), there is a substantial understatement of income tax for any taxable year if the amount of the understatement for the taxable year exceeds the lesser of— (i)10 percent of the tax required to be shown on the return for the taxable year (or, if greater, \$10,000), or (ii)\$10,000,000. (C)Special rule for taxpayers claiming section 199A deduction In the case of any taxpayer who claims any deduction allowed under section 199A for the taxable year, subparagraph (A) shall be applied by substituting “5 percent” for “10 percent”.

(2)Understatement (A)In general For purposes of paragraph (1), the term “understatement” means the excess of— (i)the amount of the tax required to be shown on the return for the taxable year, over (ii)the amount of the tax imposed which is shown on the return, reduced by any rebate (within the meaning of section 6211(b)(2)). The excess under the preceding sentence shall be determined without regard to items to which section 6662A applies. (B)Reduction for understatement due to position of taxpayer or disclosed item The amount of the understatement under subparagraph (A) shall be reduced by that portion of the understatement which is attributable to— (i)the tax treatment of any item by the taxpayer if there is or was substantial authority for such treatment, or (ii)any item if— (I)the relevant facts affecting the item’s tax treatment are adequately disclosed in the return or in a statement attached to the return, and (II)there is a reasonable basis for the tax treatment of such item by the taxpayer. For purposes of clause (ii)(II), in no event shall a corporation be treated as having a reasonable basis for its tax treatment of an item attributable to a multiple-party financing transaction if such treatment does not clearly reflect the income of the corporation. (C)Reduction not to apply to tax shelters (i)In general Subparagraph (B) shall not apply to any item attributable to a tax shelter.

(ii)Tax shelter For purposes of clause (i), the term “tax shelter” means— (I)a partnership or other entity, (II)any investment plan or arrangement, or (III)any other plan or arrangement, if a significant purpose of such partnership, entity, plan, or arrangement is the avoidance or evasion of Federal income tax. (3)Secretarial list The Secretary may prescribe a list of positions which the Secretary believes do not meet 1 or more of the standards specified in paragraph (2)(B)(i), section 6664(d)(3), and section 6694(a)(1). Such list (and any revisions thereof) shall be published in the Federal Register or the Internal Revenue Bulletin.

(e)Substantial valuation misstatement under chapter 1 (1)In general For purposes of this section, there is a substantial valuation misstatement under chapter 1 if— (A)the value of any property (or the adjusted basis of any property) claimed on any return of tax imposed by chapter 1 is 150 percent or more of the amount determined to be the correct amount of such valuation or adjusted basis (as the case may be), or (B) (i)the price for any property or services (or for the use of property) claimed on any such return in connection with any transaction between persons described in section 482 is 200 percent or more (or 50 percent or less) of the amount determined under section 482 to be the correct amount of such price, or (ii)the net section 482 transfer price adjustment for the taxable year exceeds the lesser of \$5,000,000 or 10 percent of the taxpayer’s gross receipts. (2)Limitation No penalty shall be imposed by reason of subsection (b)(3) unless the portion of the underpayment for the taxable year attributable to substantial valuation misstatements under chapter 1 exceeds \$5,000 (\$10,000 in the case of a corporation other than an S corporation or a

personal holding company (as defined in section 542)).

(3)Net section 482 transfer price adjustment For purposes of this subsection— (A)In general The term “net section 482 transfer price adjustment” means, with respect to any taxable year, the net increase in taxable income for the taxable year (determined without regard to any amount carried to such taxable year from another taxable year) resulting from adjustments under section 482 in the price for any property or services (or for the use of property).

(B)Certain adjustments excluded in determining threshold For purposes of determining whether the threshold requirements of paragraph (1)(B)(ii) are met, the following shall be excluded: (i)Any portion of the net increase in taxable income referred to in subparagraph (A) which is attributable to any redetermination of a price if— (I)it is established that the taxpayer determined such price in accordance with a specific pricing method set forth in the regulations prescribed under section 482 and that the taxpayer’s use of such method was reasonable, (II)the taxpayer has documentation (which was in existence as of the time of filing the return) which sets forth the determination of such price in accordance with such a method and which establishes that the use of such method was reasonable, and (III)the taxpayer provides such documentation to the Secretary within 30 days of a request for such documentation. (ii)Any portion of the net increase in taxable income referred to in subparagraph (A) which is attributable to a redetermination of price where such price was not determined in accordance with such a specific pricing method if— (I)the taxpayer establishes that none of such pricing methods was likely to result in a price that would clearly reflect income, the taxpayer used another pricing method to determine such price, and such other pricing method was likely to result in a price that would clearly reflect income, (II)the taxpayer has documentation (which was in existence as of the time of filing the return) which sets forth the determination of such price in accordance with such other method and which establishes that the requirements of subclause (I) were satisfied, and (III)the taxpayer provides such documentation to the Secretary within 30 days of request for such documentation. (iii)Any portion of such net increase which is attributable to any transaction solely between foreign corporations unless, in the case of any such corporations, the treatment of such transaction affects the determination of income from sources within the United States or taxable income effectively connected with the conduct of a trade or business within the United States. (C)Special rule If the regular tax (as defined in section 55(c)) imposed by chapter 1 on the taxpayer is determined by reference to an amount other than taxable income, such amount shall be treated as the taxable income of such taxpayer for purposes of this paragraph.

(D)Coordination with reasonable cause exception For purposes of section 6664(c) the taxpayer shall not be treated as having reasonable cause for any portion of an underpayment attributable to a net section 482 transfer price adjustment unless such taxpayer meets the requirements of clause (i), (ii), or (iii) of subparagraph (B) with respect to such portion.

(f)Substantial overstatement of pension liabilities (1)In general For purposes of this section, there is a substantial overstatement of pension liabilities if the actuarial determination of the liabilities taken into account for purposes of computing the deduction under paragraph (1) or (2) of section 404(a) is 200 percent or more of the amount determined to be the correct amount of such liabilities.

(2)Limitation No penalty shall be imposed by reason of subsection (b)(4) unless the portion of the underpayment for the taxable year attributable to substantial overstatements of pension liabilities exceeds \$1,000.

(g)Substantial estate or gift tax valuation understatement (1)In general For purposes of this section, there is a substantial estate or gift tax valuation understatement if the value of any property claimed on any return of tax imposed by subtitle B is 65 percent or less of the amount determined to be the correct amount of such valuation.

(2)Limitation No penalty shall be imposed by reason of subsection (b)(5) unless the portion of the underpayment attributable to substantial estate or gift tax valuation understatements for the taxable period (or, in the case of the tax imposed by chapter 11, with respect to the estate of the decedent) exceeds \$5,000.

(h)Increase in penalty in case of gross valuation misstatements (1)In general To the extent that a portion of the underpayment to which this section applies is attributable to one or more gross valuation misstatements, subsection (a) shall be applied with respect to such portion by substituting “40 percent” for “20 percent”.

(2)Gross valuation misstatements The term “gross valuation misstatements” means— (A)any substantial valuation misstatement under chapter 1 as determined under subsection (e) by substituting— (i)in paragraph (1)(A), “200 percent” for “150 percent”, (ii)in paragraph (1)(B)(i)— (I)“400 percent” for “200 percent”, and (II)“25 percent” for “50 percent”, and (iii)in paragraph (1)(B)(ii)— (I)“\$20,000,000” for “\$5,000,000”, and (II)“20 percent” for “10 percent”. (B)any substantial overstatement of pension liabilities as determined under subsection (f) by substituting “400 percent” for “200 percent”, and (C)any substantial estate or gift tax valuation understatement as determined under subsection (g) by substituting “40 percent” for “65 percent”. (i)Increase in penalty in case of nondisclosed noneconomic substance transactions (1)In general In the case of any portion of an underpayment which is attributable to one or more nondisclosed noneconomic substance transactions, subsection (a) shall be applied with respect to such portion by substituting “40 percent” for “20 percent”.

(2)Nondisclosed noneconomic substance transactions For purposes of this subsection, the term “nondisclosed noneconomic substance transaction” means any portion of a transaction described in

subsection (b)(6) with respect to which the relevant facts affecting the tax treatment are not adequately disclosed in the return nor in a statement attached to the return.

(3)Special rule for amended returns In no event shall any amendment or supplement to a return of tax be taken into account for purposes of this subsection if the amendment or supplement is filed after the earlier of the date the taxpayer is first contacted by the Secretary regarding the examination of the return or such other date as is specified by the Secretary.

(j)Undisclosed foreign financial asset understatement (1)In general For purposes of this section, the term “undisclosed foreign financial asset understatement” means, for any taxable year, the portion of the understatement for such taxable year which is attributable to any transaction involving an undisclosed foreign financial asset.

(2)Undisclosed foreign financial asset For purposes of this subsection, the term “undisclosed foreign financial asset” means, with respect to any taxable year, any asset with respect to which information was required to be provided under section 6038, 6038B, 6038D, 6046A, or 6048 for such taxable year but was not provided by the taxpayer as required under the provisions of those sections.

(3)Increase in penalty for undisclosed foreign financial asset understatements In the case of any portion of an underpayment which is attributable to any undisclosed foreign financial asset understatement, subsection (a) shall be applied with respect to such portion by substituting “40 percent” for “20 percent”.

(k)Inconsistent estate basis reporting For purposes of this section, the term “inconsistent estate basis” means any portion of an underpayment attributable to the failure to comply with section 1014(f).

(l)Increase in penalty in case of overstatement of qualified charitable contributions In the case of any portion of an underpayment which is attributable to one or more overstatements of the deduction provided in section 170(p), subsection (a) shall be applied with respect to such portion by substituting “50 percent” for “20 percent”.

<https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=fXMaXgeyzvA85ViWMmvfAQ==>

ACRIS REPORT: transfer and assignment of RENTS and LEASES [income used as guarantee]

<https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=19MVPFXy0G0QvnmRLGpYIQ==>

of the Sarbanes-Oxley Act of 2002 <https://github.com/BSCPGROUPHOLDINGSLLC/ELSER-AND-DICKER/pull/27>

FILER: 93715

<https://www.sec.gov/Archives/edgar/data/0000093715/000119312520200810/d913497dex99cert.htm>

<https://www.sec.gov/Archives/edgar/data/0000093715/000119312520200810/d913497dex99906cert.htm>

LOAN 50074, BY AND BETWEEN STATE FARM AND THE SULLIVAN PROPERTIES LP NOTE: HARBERTS IS NOT LICENSED TO CONDUCT INVESTMENT BANKING OR SECURITIES TRANSACTIONS.

M:\estuary\pmail ATTACHED_state farm\CRD FILED

N-8F 1 d222043dn8f.htm STATE FARM ASSOCIATES' FUNDS TRUST <https://www.occ.gov/static/enforcement-actions/ea2020-068.pdf>

https://files.brokercheck.finra.org/individual/individual_3231040.pdf

ORDINARY LEGAL PROCEEDINGS

- **PODCAST** <https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=PWFQc/WFihoyIKwEunaalQ==>

NPORT-P 2022-02-28 C000225897 REPORT DATE: 2021-12-31 https://www.sec.gov/Archives/edgar/data/0001516523/000114554922012787/xslFormNPORT-P_X01/primary_doc.xml

State Farm Municipal Bond Fund C000225898 REPORT DATE: 2021-12-31 FILED DATE: 2022-02-28 https://www.sec.gov/Archives/edgar/data/0001516523/000114554922012785/xslFormNPORT-P_X01/primary_doc.xml

State Farm Growth Fund C000225895 REPORT DATE: 2021-12-31 FILED DATE: 2022-02-28 https://www.sec.gov/Archives/edgar/data/0001516523/000114554922012784/xslFormNPORT-P_X01/primary_doc.xml

Item B.6. Flow information.

1.
Total net asset value of shares sold (including exchanges but excluding reinvestment of dividends and distributions).
2.
Total net asset value of shares sold in connection with reinvestments of dividends and distributions.
3.
Total net asset value of shares redeemed or repurchased, including exchanges.

DECEMBER

Month 1 a. 17,692,492.97 b. -35.52 c. -55,746,208.09

NOVEMBER

Month 2 a. 21,869,986.64 b. 0 c. -54,825,808.85

OCTOBER

Month 3 a. 40,272,687.99 b. 865,162,011.36 c. -65,284,668.30

NAME	State Farm Municipal Bond Fund	State Farm Interim Fund	State Farm Balanced Fund	State Farm Growth Fund
SEC #	811-22538	811-22538	811-22538	811-22538
LEI	549300W6U5EDP2F0QN20	549300GZ9YLIDRQVTY75	549300S6Y40MX47LDJ87	549300TI3KK34JQJ3N54

SERIES	S000071160	S000071159	S000071158	S000071157
CIN	C000225898	C000225897	C000225896	C000225895
TICKER	SFBDX	SFITX	STFBX	STFGX

th, td { padding-bottom: 20px; padding-left: 30px; padding-right: 40px; }

LETTER OF CREDIT BETWEEN STATE FARM AND SULLIVAN PROPERTIES LP	0001
LETTER OF CREDIT BETWEEN STATE FARM AND SULLIVAN PROPERTIES LP	0001

https://a836-acris.nyc.gov/DS/DocumentSearch/DocumentDetail?doc_id=2020052000291003

<https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=19MVPFXy0G0QvnmRLGpYIQ==>