

YAHOO! MAIL

**Subject** Fw: Fwd: OCC action, State Farm Bank, FSB.  
**From** Bo Dincer <bo.dincer@yahoo.com>  
**To:** lzucker@mskyline.com <lzucker@mskyline.com>, Stephen O'Connell <sgo2107@columbia.edu>, Shari Laskowitz <slaskowitz@ingramllp.com>, David Moore <david.moore.ct95@statefarm.com>  
**Cc:** Governor Hochul <governor.hochul@exec.nyc.com>  
**Date** Thu, Jun 16, 2022 at 7:46 AM

/S/ BO DINCER

----- Forwarded Message -----  
**From:** "Bo Dincer" <bdincer66@icloud.com>  
**To:** "Bo Dincer" <bo.dincer@yahoo.com>  
**Sent:** Wed, Jun 15, 2022 at 9:08 PM  
**Subject:** Fwd: OCC action, State Farm Bank, FSB.

/BD

Begin forwarded message:

**From:** Bo Dincer <bdincer66@icloud.com>  
**Date:** June 15, 2022 at 9:07:13 PM EDT  
**To:** Josephine Vella <josephine.vella@finra.org>  
**Cc:** RuleComments Sec <rule-comments@sec.gov>, edelivery@morganstanley.com, ois@nycourts.gov  
**Subject:** OCC action, State Farm Bank, FSB.

Nevermind...  
I found the order, not in FINRA though...

<https://www.occ.gov/static/enforcement-actions/ea2020-068.pdf>

//s// Digitally Signed, Dated: 2020.11.04  
William D. Haas  
Deputy Comptroller  
Midsize Banks

11/4/2020  
Date

IN TESTIMONY WHEREOF, the undersigned, as the duly elected and acting Board of Directors of the Bank, have hereunto set their hands on behalf of the Bank.

<u>/s/</u> Jon Farney	<u>Oct 27, 2020</u> Date
<u>/s/</u> W. Steven Jones	<u>Oct 25, 2020</u> Date
<u>/s/</u> Pamela Knous	<u>Oct 24, 2020</u> Date
<u>/s/</u> Allan R. Landon	<u>Oct 23, 2020</u> Date
<u>/s/</u> Geoffrey Miller	<u>Oct 28, 2020</u> Date

/s/	Oct 27, 2020
Joe Monk, Jr.	Date
/s/	Oct 24, 2020
Gary Perlin	Date
/s/	Oct 27, 2020
Laura Schulte	Date
/s/	Oct 28, 2020
Bruce Smith	Date
/s/	Oct 28, 2020
Michael Tipsord	Date
/s/	Oct 23, 2020
Jeffrey Weeden	Date

Executed—  
1. /s/ Joe Monk, Jr.  
2. /s/ Michael Tipsord

UNITED STATES OF AMERICA  
DEPARTMENT OF THE TREASURY  
OFFICE OF THE COMPTROLLER OF THE CURRENCY

In the Matter of:	)	
	)	
State Farm Bank, F.S.B.	)	AA-CE-2020-71
Bloomington, Illinois	)	

ORDER FOR A CIVIL MONEY PENALTY

WHEREAS, the Office of the Comptroller of the Currency (“OCC”) has supervisory authority over State Farm Bank, F.S.B. (“Bank”);

WHEREAS, the OCC intends to initiate civil money penalty proceedings against the Bank pursuant to section 102(f) of the Flood Disaster Protection Act, as amended (“Flood Act”) (42 U.S.C. § 4012a(f)) for a pattern or practice of violation of the Flood Act and its implementing regulations, specifically 12 C.F.R. § 22.3 and 12 C.F.R. § 22.7;

WHEREAS, in the interest of cooperation and to avoid additional costs associated with administrative and judicial proceedings with respect to the above matter, the Bank, by and through its duly elected and acting Board of Directors (“Board”), consents to the issuance of this Consent Order (“Order”), by the OCC through the duly authorized representative of the Comptroller of the Currency (“Comptroller”); and

NOW, THEREFORE, in consideration of the above premises, the Comptroller, through his duly authorized representative, and the Bank, through its duly elected and acting Board of Directors, stipulate and agree to the following:

ARTICLE I

JURISDICTION

(1) The Bank is a federal savings association, chartered and examined by the Comptroller pursuant to the Home Owners' Loan Act, as amended, 12 U.S.C. § 1461 *et seq.* Accordingly, the

Bank is an "insured depository institution" as that term is defined in 12 U.S.C. § 1813(c)(2) and is a "regulated lending institution" as that term is defined in 42 U.S.C. § 4003(a)(10).

(2) Pursuant to 42 U.S.C. §§ 4003(a)(5) and 4012a(f), the Comptroller is the appropriate "Federal entity for lending regulation" to maintain an enforcement proceeding against the Bank for Flood Act violations.

ARTICLE II

ORDER FOR CIVIL MONEY PENALTY

(1) The Bank shall make payment of a civil money penalty in the total amount of five

<https://www.occ.gov/static/enforcement-actions/ea2020-068.pdf>

Per the order, was executed.

IN TESTIMONY WHEREOF, the undersigned, as the duly elected and acting Board of Directors of the Bank, have hereunto set their hands on behalf of the Bank.

/s/Jon Farney  
/s/W. Steven Jones  
/s/Pamela Knous  
/s/Allan R. Landon  
/s/Geoffrey Miller  
/s/Gary Perlin  
/s/Laura Schulte  
/s/Bruce Smith  
/s/Jeffrey Weeden

Directors of State Farm VP Management Corp.

1. /s/ Joe Monk, Jr.
2. /s/ Michael Tipsord

Begin forwarded message:

**From:** Bo Dincer <bdincer66@icloud.com>  
**Date:** June 15, 2022 at 8:27:33 PM EDT  
**To:** Josephine Vella <josephine.vella@finra.org>  
**Subject:** [https://files.brokercheck.finra.org/individual/individual\\_1357149.pdf](https://files.brokercheck.finra.org/individual/individual_1357149.pdf)

Paul Smith from the "semi-annual"

Director  
- State Farm Life Insurance Company

[https://files.brokercheck.finra.org/individual/individual\\_4971235.pdf](https://files.brokercheck.finra.org/individual/individual_4971235.pdf)

Also not related correct?  
/BD  
Begin forwarded message:

**From:** Bo Dincer <bdincer66@icloud.com>  
**Date:** June 15, 2022 at 8:26:24 PM EDT  
**To:** Josephine Vella <josephine.vella@finra.org>  
**Subject:** [https://files.brokercheck.finra.org/individual/individual\\_1357149.pdf](https://files.brokercheck.finra.org/individual/individual_1357149.pdf)

[https://files.brokercheck.finra.org/individual/individual\\_1357149.pdf](https://files.brokercheck.finra.org/individual/individual_1357149.pdf)

Not related either right?  
/BD