

# YAHOO! MAIL

**Subject** Fwd: [B.14] [B.18] +300[x90] POST.: [ NYSCEF DOCKET 420 ] ob

**From** Bo Dincer <bdincer66@icloud.com>

**To:** richard.lee3@nypd.org <richard.lee3@nypd.org>, angelique.sabia-candero@wilsonelser.com <angelique.sabia-candero@wilsonelser.com>, William.Lleras2@nypd.org <William.Lleras2@nypd.org>, Liam.Moyles3@nypd.org <Liam.Moyles3@nypd.org>, michael.reilly2@nypd.org <michael.reilly2@nypd.org>, raul.rodriguez3@nypd.org <raul.rodriguez3@nypd.org>, 10pctyco@nypd.org <10pctyco@nypd.org>, 14pctyco@nypd.org <14pctyco@nypd.org>, 10pctdvo@nypd.org <10pctdvo@nypd.org>, 17pctyco@nypd.org <17pctyco@nypd.org>, 18pctyco@nypd.org <18pctyco@nypd.org>, cc-nyef@nycourts.gov <cc-nyef@nycourts.gov>, 1pctdvo@nypd.org <1pctdvo@nypd.org>, 1pctyco@nypd.org <1pctyco@nypd.org>, 90pctdvo@nypd.org <90pctdvo@nypd.org>, 90pctyco@nypd.org <90pctyco@nypd.org>, Chair <chair@sec.gov>, BBO 121 <ms60710444266@yahoo.com>, Victor.Mazzotti@1800law1010.com <Victor.Mazzotti@1800law1010.com>, MATTHEW.WHITING@nypd.org <MATTHEW.WHITING@nypd.org>, MICHAEL.CORRADO@nypd.org <MICHAEL.CORRADO@nypd.org>, Marian.Bencea@nypd.org <Marian.Bencea@nypd.org>, Mark.Pagano@nypd.org <Mark.Pagano@nypd.org>, Max.Solomon@nypd.org <Max.Solomon@nypd.org>, Michael.Rachwalski@nypd.org <Michael.Rachwalski@nypd.org>, Michelle.Murray@nypd.org <Michelle.Murray@nypd.org>, Nicholas.Shiffman@nypd.org <Nicholas.Mitchell@nypd.org>, PATRICK.DOYLE@nypd.org <PATRICK.DOYLE@nypd.org>, PAUL.CLARK@nypd.org <PAUL.CLARK@nypd.org>, ROBERT.PASSERO@nypd.org <ROBERT.PASSERO@nypd.org>, Robert.Yturraspe@nypd.org <Robert.Yturraspe@nypd.org>, Ronald.Perillo@nypd.org <Ronald.Perillo@nypd.org>, SEAN.HOGAN@nypd.org <SEAN.HOGAN@nypd.org>, Sean.Aman@nypd.org <Sean.Aman@nypd.org>, SecurityAdminUnit@nycourts.gov <SecurityAdminUnit@nycourts.gov>, Serge.Jean@nypd.org <Serge.Jean@nypd.org>, TARA.TIZZIO@nypd.org <TARA.TIZZIO@nypd.org>, Taimoor.Ahmad@nypd.org <Taimoor.Ahmad@nypd.org>, Thomas.Meaney@nypd.org <Thomas.Meaney@nypd.org>, aloysius.sosic@nypd.org <aloyisius.sosic@nypd.org>, andrea.shiffman@wilsonelser.com <andrea.shiffman@wilsonelser.com>, angel.vitiello@wilsonelser.com <angel.vitiello@wilsonelser.com>, anthony.sclafani@nypd.org <anthony.sclafani@nypd.org>, ashley.humphries@wilsonelser.com <ashley.humphries@wilsonelser.com>, aviva.stein@wilsonelser.com <aviva.stein@wilsonelser.com>, brian.crooks@nypd.org <brian.crooks@nypd.org>, brian.nelsen@nypd.org <brian.nelsen@nypd.org>, carole.nimarroff@wilsonelser.com <carole.nimarroff@wilsonelser.com>, charles.novak@nypd.org <charles.novak@nypd.org>, corrine.shea@wilsonelser.com <corrine.shea@wilsonelser.com>, daniel.flores@wilsonelser.com <daniel.flores@wilsonelser.com>, debra.tama@wilsonelser.com <debra.tama@wilsonelser.com>, elizabeth.scoditti@wilsonelser.com <elizabeth.scoditti@wilsonelser.com>, ellyn.wilder@wilsonelser.com <ellyn.wilder@wilsonelser.com>, erdem.ozen@nypd.org <erdem.ozen@nypd.org>, eric.chau@nypd.org <eric.chau@nypd.org>, erin.zecca@wilsonelser.com <erin.zecca@wilsonelser.com>, francisco.martireyes@nypd.org <francisco.martireyes@nypd.org>, grace.song@wilsonelser.com <grace.song@wilsonelser.com>, jarrett.dilorenzo@nypd.org <jarrett.dilorenzo@nypd.org>, jennifer.provost@wilsonelser.com <jennifer.provost@wilsonelser.com>, jennifer.sciales@wilsonelser.com <jennifer.sciales@wilsonelser.com>, john.lamneck@nypd.org <john.lamneck@nypd.org>, joseph.leonard@nypd.org <joseph.leonard@nypd.org>, judy.selmeci@wilsonelser.com <judy.selmeci@wilsonelser.com>, kathleen.mullins@wilsonelser.com <kathleen.mullins@wilsonelser.com>, lauren.zink@wilsonelser.com <lauren.zink@wilsonelser.com>, lois.ottombrino@wilsonelser.com <lois.ottombrino@wilsonelser.com>, lori.semlies@wilsonelser.com <lori.semlies@wilsonelser.com>, marilyn.noa@nypd.org <marilyn.noa@nypd.org>, meghan.rigney@wilsonelser.com <meghan.rigney@wilsonelser.com>, narine.ramlochan@nypd.org <narine.ramlochan@nypd.org>, patricia.wik@wilsonelser.com <patricia.wik@wilsonelser.com>, mark.hunter@nypd.org <mark.hunter@nypd.org>, ALEXANDER.AVDIC@nypd.org <ALEXANDER.AVDIC@nypd.org>, Adam.Riddick@nypd.org <Adam.Riddick@nypd.org>, Alyssa.Vogel@nypd.org <Alyssa.Vogel@nypd.org>, CHRISTINA.ORTIZ@nypd.org <CHRISTINA.ORTIZ@nypd.org>, CHRISTOPHE.LEAP@nypd.org <CHRISTOPHE.LEAP@nypd.org>, CHRISTOPHER.FREDA@nypd.org <CHRISTOPHER.FREDA@nypd.org>, Christophe.Snagg@nypd.org <Christophe.Snagg@nypd.org>, Dajon.Panton@nypd.org <Dajon.Panton@nypd.org>, Daniel.Bavuso@nypd.org <Daniel.Bavuso@nypd.org>, Daniel.Sendrowski@nypd.org <Daniel.Sendrowski@nypd.org>, FRANK.ALIPERTI@nypd.org <FRANK.ALIPERTI@nypd.org>, GEORGE.KALOGEROPOULOS@nypd.org <GEORGE.KALOGEROPOULOS@nypd.org>, GREGORY.CONLON@nypd.org <GREGORY.CONLON@nypd.org>, JOHN.TOURLOUKIS@nypd.org <JOHN.TOURLOUKIS@nypd.org>, JOSEPH.ALGERIO@nypd.org <JOSEPH.ALGERIO@nypd.org>, Johnny.Liquori@nypd.org <Johnny.Liquori@nypd.org>, Joseph.Soldano@nypd.org <Joseph.Soldano@nypd.org>, Lamarr.Barnes@nypd.org <Lamarr.Barnes@nypd.org>, richard.narciso@nypd.org <richard.narciso@nypd.org>, ricki.roer@wilsonelser.com <ricki.roer@wilsonelser.com>, roger.gottilla@wilsonelser.com <roger.gottilla@wilsonelser.com>, stacey.seltzer@wilsonelser.com <stacey.seltzer@wilsonelser.com>, suzanne.swanson@wilsonelser.com <suzanne.swanson@wilsonelser.com>, tejinder.singh@nypd.org <tejinder.singh@nypd.org>, tracie.shagiuriguen@nypd.org <tracie.shagiuriguen@nypd.org>, urvashi.sinha@wilsonelser.com <urvashi.sinha@wilsonelser.com>, yana.siegel@wilsonelser.com <yana.siegel@wilsonelser.com>, tips@insider.com <tips@insider.com>, nyscef@nycourts.gov <nyscef@nycourts.gov>, newyork@sec.gov <newyork@sec.gov>, lzucker@mskyline.com <lzucker@mskyline.com>, help@vogue.com <help@vogue.com>, tips@latimes.com <tips@latimes.com>, JAREE JONES <JAREE.JONES@nypd.org>, BO DINCER <bo.dincer@yahoo.com>, Lisa.Rosen-Metsch <lm2892@cumc.columbia.edu>, NYSCEF PROCESS HD <oca\_hd\_processor@nycourts.gov>, Shari.Laskowitz <slaskowitz@ingramllp.com>, Brooklyn Tap House <tips@nypost.com>, KENNETH.FEELEY <kenneth.feeley@nypd.org>, edl.civil.rights.division@irs.gov <edi.civil.rights.division@irs.gov>, iceglobalnetwork-info@ice.com <iceglobalnetwork-info@ice.com>, DCAOLetters@sec.gov <DCAOLetters@sec.gov>, 18PCTDVO@nypd.org <18PCTDVO@nypd.org>, eca\_press@state.gov <eca\_press@state.gov>, Tennessee <sbarchenger@tennessean.com>, ofac\_feedback@treasury.gov <ofac\_feedback@treasury.gov>

**Date** Sat, Apr 16, 2022 at 3:37 PM

They are simply NOT FIT to do this... at all.

They need a reminder maybe?

And neither was SFITX you see that letter of credit on the balance the sheet anywhere as a level 3 risk?

/BD

Begin forwarded message:

**From:** Bo Dincer <bdincer66@icloud.com>

**Date:** April 16, 2022 at 3:34:10 PM EDT

**To:** FTC ANTITRUST <antitrust@ftc.gov>, premerger@ftc.gov, newyork@sec.gov, tips@latimes.com, Chair <chair@sec.gov>, tips@insider.com, BBO 121 <ms60710444266@yahoo.com>, BD <bondstrt@protonmail.com>, bo.dincer@yahoo.com

**Subject:** Fwd: [B.14] [B.18] +300[x90] POST.: [ NYSCEF DOCKET 420 ] obo Miss Daugherty. \$1.4MM -----X 08.04.2020

Who's in charge of information over there to update that??

/BD

Begin forwarded message:

**From:** Bo Dincer <bdincer66@icloud.com>

**Date:** April 16, 2022 at 3:30:55 PM EDT

**To:** compliance@jhdarbie.com, compliance@t3.com, xv@jhdarbie.com, FINRA Corporate Notification <finracorporatenotification@finra.org>, NYM-PREAComplianceMgr-S@bop.gov, Josephine.Vella@finra.org, BONDSTRT PROTON <bondstrt@protonmail.com>, bop-ipp-publicaffairs@bop.gov, BOP-RSD-PREACCOORDINATOR@bop.gov, MSRB <msrbsupport@msrb.org>, tips@latimes.com, Chair <chair@sec.gov>, newyork@sec.gov,

tips@insider.com

**Cc:** karim.anani@ey.com, PAM OLSON <PAM.OLSON@us.pwc.com>, Pamela Gibbs <OMWI@sec.gov>, david.brown1@ey.com, David Moore <david.moore.ct95@statefarm.com>, isda@isda.org, isdaeuropa@isda.org, tmc-corps@bloomberg.net, icehelpdesk@ice.com, VOGUE PRESS MAGZ <HELP@voguemagazine.com>, help@vogue.com, physiciansmutual@physiciansmutual.com, statewide.accounting@cpa.texas.gov, State Farm <mutualfunds@statefarm.com>, cshugg@usinfosec.com, FINRA Corporate Notification <finracorporatenotification@finra.org>, sisupport@dowjones.com, Shari Laskowitz <slaskowitz@ingramllp.com>, Ricki Roer <ricki.roer@wilsonelser.com>, Amy Hanrahan <amy.hanrahan@wilsonelser.com>, Alan Rubin <alan.rubin@wilsonelser.com>, WILLIAM BEHR <william.behr@wilsonelser.com>  
**Subject:** Fwd: [B.14] [B.18] +300[x90] POST.: [ NYSCEF DOCKET 420 ] obo Miss Daugherty. \$1.4MM -----X 08.04.2020

Goose.

Begin forwarded message:

**From:** Bo Dincer <bdincer66@icloud.com>

**Date:** April 16, 2022 at 3:24:27 PM EDT

**To:** BBO 121 <ms60710444266@yahoo.com>, PAM OLSON <PAM.OLSON@us.pwc.com>, Pamela Gibbs <OMWI@sec.gov>, atlanta@sec.gov, newyork@cravath.com, LA TIMES NEWSLETTERS <newsletters@latimes.com>, Sec News <webmaster@sec.gov>, letters@nytimes.com, tips@latimes.com, tips@insider.com, isda@isda.org, isdaeuropa@isda.org, Ap Info <info@ap.org>, info@ey.com, info@kpmg.com, premerger@ftc.gov, pressinquiries@point72.com

**Cc:** GSAMOnlineRequest@gs.com, gs@gs.com, JPMCIinvestorrelations@jpmchase.com, bofamarkets@bamf.com, bofamarkets@bofa.com, icesglobalnetwork-info@ice.com, tmc-corps@bloomberg.net, BIANCA 00088govtldx HARRIMON <citysecretary@mundaytexas.com>

**Subject:** Fwd: [B.14] [B.18] +300[x90] POST.: [ NYSCEF DOCKET 420 ] obo Miss Daugherty. \$1.4MM -----X 08.04.2020

Also a useless persons case for them at MS, apparently get lost also in their 'code' and ethics and the institutional sales collected are in DEED premiums which were unreasonable to the underlying property values in the scope at risk.

/BD

Enjoy the weekend. Plus swaps at risk also...

, I have no clue who's pricing those things on Morningstar either rated as a four seasons hotel what?  
Sounds like Morgan, Stanley, Dickersons, Elers, Wilson Laskowitz...  
#1.

Begin forwarded message:

**From:** Bo Dincer <bdincer66@icloud.com>

**Date:** April 16, 2022 at 3:08:10 PM EDT

**To:** dviola@sglawyers.com, dviola@sadis.com, Joseph Louis <jlouis@sadis.com>, rgeffner@sglawyers.com, ewinkler@sadis.com, ewinkler@sglawyers.com, edi.civil.rights.division@irs.gov, Chair <chair@sec.gov>, chicago@sec.com, Ap Info <info@ap.org>, tips@vibe.com, tips@latimes.com, tips@nytimes.com, tips@insider.com, info@ey.com, FDIC Public Information <publicinfo@fdic.gov>, newyork@sec.gov, BD <bondstr@protonmail.com>

**Cc:** newyork@cravath.com, info@ey.com, Ap Info <info@ap.org>, "Bloomberg L.P." <bbrief@bloomberg.net>, JAMES GORMAN <james.gorman@morganstanley.com>, Josephine Vella <josephine.vella@finra.org>, FINRA Corporate Notification <finracorporatenotification@finra.org>, premerger@ftc.gov, pressinquiries@point72.com, press@barrons.com

**Subject:** Fwd: [B.14] [B.18] +300[x90] POST.: [ NYSCEF DOCKET 420 ] obo Miss Daugherty. \$1.4MM -----X 08.04.2020

Thank you for ensuring timely delivery to James Gorman, as well.

Be it remembered, I requested an ESTOPPEL and onto all parties prior to that letter and on the 4th of August while these cats were providing institutional coverage to their asset, a promoter of better disclosure for the public in their fiduciary roles did not make any adjustment to their CRDs either, in fact — even got paid extra to be involved in the newly formed “merger” without informing anyone.

Hence is beyond the scope of a gross negligence to insider trading in November 16 2021 through the end of fiscal year ending 12-31-2021... we are not at billions of losses registered with respect to BRK-B and STFGX.

Where we can also compare AAPL to AAPL for the losses in STFGX and the opportunity cost to investors, at \$250 minimum investments (two hundred and fifty dollars) were transferred to an advisor in posthaste and is clear in the losses, however not in the gains reported by MS for equivalence and in their ethics have no grounds for insider trading at any time in the first place.

Just let Gorman as well... he's been at Morgan Stanley prior to the onset of Maritime...  
Not his style, insider trading and a mockery of institutional trading and research.  
— thank you Mr. Viola, for ensuring an IMMEDIATE ESTOPPEL.

FINRA.  
A. [https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=au8qh7Dn66hrVmJ9DX\\_PLUS\\_bdg==](https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=au8qh7Dn66hrVmJ9DX_PLUS_bdg==)  
[RECEIVED NYSCEF: 08/09/2020 02:24 AM]  
B. <https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=wTg2YD2PqXuxmoKgF1ESrw==>  
[RECEIVED NYSCEF: 08/09/2020 02:31 AM]  
C. <https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=gCMsDaFzm0ynPeXZKSHgIQ==>  
[RECEIVED NYSCEF: 08/10/2020 03:42 PM]  
D. [https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=IY8iDH\\_PLUS\\_UpVanEtcRioef3A==](https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=IY8iDH_PLUS_UpVanEtcRioef3A==)  
2.A. I INFORMED ALL MATERIAL PARTIES OF THE UNDERLYING RISKS IN AUGUST OF 2020 AND ALSO PRESENTED THIS LETTER TO SULLIVAN PROPERTIES, ITS COUNSELORS, AND EXECUTIVES. [RECEIVED NYSCEF: 08/10/2020 03:45 AM] A. <https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=K9sgXcweC7esRoSP08MntA=>  
[RECEIVED NYSCEF: 08/11/2020 12:35 PM] B. <https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=D9td7IfwXyajwtBNCFb9g==>  
- THIS IS THE LETTER OF OBSTRUCTION I RECEIVED FROM LAURIE ZUCKER, AND DONALD ZUCKER. OBSTRUCTION OF JUSTICE, ABETTED TO BY THE SULLIVAN PROPERTIES L.P. AND ITS GENERAL PARTNER SULLIVAN GP LLC, NOTWITHSTANDING ITS AFFILIATES AND COUNSELORS.  
- OVER \$6,000,000.00 THE STATE FARM INSTEAD OF DISCLOSING THE LOAN, AND OUTSIDE BUSINESS - THOUGHT IT WOULD BE A BETTER IDEA TO \*\* STEP 1: "CEASE TO EXIST AS AN INVESTMENT ADVISER" \*\* STEP 2: "MERGE INTO A LARGER INVESTMENT ADVISER" \*\* STEP 3: REPORT TO THE SECURITIES AND EXCHANGE COMMISSION AS FOLLOWS:  
[https://reports.adviserinfo.sec.gov/reports/ADV/3487/PDF/3487.pdf\\*\\*\\*1](https://reports.adviserinfo.sec.gov/reports/ADV/3487/PDF/3487.pdf***1). "HAVE NO DISCLOSED LOAN" 2. "COVERED FOR OMISSIONS AND ERRORS" 3. UNREASONABLE PREMIUMS AND INTEREST FOR A SECURED "UNREGISTERED SECURITY" AND THE VALUE OF THE BUILDING [ 22 UNITS ] IN SOHO MANHATTAN ARE NOT WORTH \$150,000.00 / UNIT... NOT EVEN FOR A STUDIO. 2020-2021: Tax Bill Q4: NYC <https://a836-edms.nyc.gov/dctm-rest/repositories/dofedmspts/StatementSearch?bbi=1005030011&stmtDate=20210227&stmtType=SOA>  
2020-2021: Tax Bill Q3: NYC  
<https://a836-edms.nyc.gov/dctm-rest/repositories/dofedmspts/StatementSearch?bbi=1005030011&stmtDate=20201121&stmtType=SOA>  
\*\*\* FAXED ALL THIS TO THE IRS / FINRA / SEC / AND ASKED THE POLICE TO ARREST AND RESTRAIN ANY FURTHER ACTION IN 2020. <https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=XiAuVPg0AmpwFMDL00cMrw==> ESTOPPEL: STATE FARM AND CEASE AND DESIST.  
<https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=s5WaeCnxd/hc0I4eTnbig==>  
ESTOPPEL: THE ZUCKER FAMILY. <https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=Jf3Un/JaVXZwF7kvbaee4w==> \*\* THIS IS THE END RESULT... A LARGER BODY - WITH THE THREE MOST EXPENSIVE AUDIT FIRMS "NOT COVERED" AND NO DISCLOSURE OF A LOAN. - HOW WOULD THEY KNOW ABOUT THE "BULLETPROOF" STATUS OF A "NONPLUSSUED" TAX LIABILITY WHICH FOR ONE BUILDING OF THE 6 HAS NO CERTIFICATE TO COLLECT LAWFUL RENTS AND WAS USED AS A FORM OF "GUARANTEE" OF PAYMENT. INSTEAD PAID NEARLY 1 MILLION DOLLARS TO "CEASE TO EXIST AS INVESTMENT MANAGER" HOWEVER ON THEIR WAY OUT FILED AS FOLLOWS:  
<https://www.sec.gov/Archives/edgar/data/0000093715/999999999721005616/FILENAME1.pdf>  
STEP 3: REPORT TO THE SECURITIES AND EXCHANGE COMMISSION AS FOLLOWS:  
--- FACTS --- 1. "HAVE NO DISCLOSED LOAN" -REPORTED TO THE SOLICITATION AND TABULATION OF ALL THEIR INVESTORS (170,000) 2. "UNCOVERED FOR OMISSIONS AND ERRORS" - TRUE. 100% BUT NOT REPORTED. 3. UNREASONABLE PREMIUMS AND INTEREST FOR A SECURED "UNREGISTERED SECURITY" HELD BY THE NEW ENTITY - WHO MAY OR MAY NOT KNOW ABOUT ALL THIS. "AS EVIDENCED IN THE LOAN ANNEXED IN NY SUPREME COURT" ARE STILL "NOT AN ISSUE OF MATERIAL CONCERN" PER THE SEC:  
UNREPORTED\*\*[https://reports.adviserinfo.sec.gov/reports/ADV/3487/PDF/3487.pdf\\*\\*](https://reports.adviserinfo.sec.gov/reports/ADV/3487/PDF/3487.pdf**) HOWEVER\*\* [https://www.sec.gov/Archives/edgar/data/0000093715/000119312521278180/d222043dn8f.htm\\*\\*](https://www.sec.gov/Archives/edgar/data/0000093715/000119312521278180/d222043dn8f.htm**)  
WILL DISCLOSE: \$431,000.00 PAID AND RECEIVED AS A BONUS, AND HIS PRIOR INVOLVEMENT IN STATE FARM LLOYDS\*\*\*. PAID FOR IN FULL BY THE EARLIER INVESTMENT MANAGER [WHICH IS THE INVESTORS MONEY BY THE WAY] PAID IN TO THE DIRECTORS.  
Total expenses (sum of lines (i) - (iii) above): \$912,500

Let me know if yahoo finance, or anything would provide protection and as a fiduciary to invite other to try and share the damages for Omissions and conflicts of interests collide like the sapped in BRK-B holdings and STFGX holdings.

That loan is straight junk, not Recoverable as per the 40-17G in the prior, and currently not covered for omissions after getting smoked for no reasonable cause, also MS collected premiums unreasonable to the underlying assets they covered  
— no longer exist.

— That YoY double digits for gross returns and over a ten year period in a linear formation.  
... so they bury their client, don't share information, while all those corporate bonds, municipal bonds are all listed in CUSIP/ISIN/TICKER but the loan... is guaranteed by false and misleading records of TAXES evaded as their "guarantee" for payments to a \$6,000,000.00 not which was and is currently "unregistered" on the new books under the same compliance officer as well... undisclosed however a yes disclosed outside business of one certain director.

- who in the former and currently was and is also a Director of State Farm Lloyds.

As indicated in the TWO TCR reports attached.

Begin forwarded message:

**From:** Bo Dincer <bdincer66@icloud.com>  
**Date:** April 16, 2022 at 3:18:25 AM EDT  
**To:** B D2022 <ms60710444266@yahoo.com>, Ricki Roer <ricki.roer@wilsonelser.com>, rebecca.coyle@statefarm.com, "Ashley V. Humphries" <ashley.humphries@wilsonelser.com>, LZUCKER@mskyline.com, slaskowitz@ingramllp.com, tips@latimes.com, tips@nypost.com, tips@insider.com, newyork@sec.gov, dcaoleters@sec.gov, Chair <chair@sec.gov>, Josephine Vella <josephine.vella@finra.org>, Paul Regan <legal@mskyline.com>  
**Cc:** PRAGHURAM2@bloomberg.net, mshyld@bloomberg.net, DEEO@ogs.ny.gov, Comments@dfs.ny.gov, CRA@dfs.ny.gov, foiapa@sec.gov, Secretaries-Office@sec.gov, David Moore <david.moore.ct95@statefarm.com>, mutualfunds@statefarm.com, megan.disciullo@pwc.com, larissa.vonlockner@pwc.com, ryan.a.cangialosi@pwc.com, kivalena.starr@pwc.com, jordana.strosberg@pwc.com, will.b.hodges@pwc.com, jennifer.vanoss@pwc.com, cfaimali@isda.org, isda@isda.org, isdaeuropa@isda.org, jclark@isda.org, ldobbs@isda.org, nlu@isda.org, nsawyer@isda.org, alex.zuluaga@ey.com, david.brown1@ey.com, Deborah M Jones <Deborah.Jones@ey.com>, info@ey.com, jacqueline.kelley@ey.com, karim.anani@ey.com, kevin.klimara@ey.com, lee.henderson@ey.com, mark.schwartz1@ey.com, rachel.gerring@ey.com, colin.brooks@morganstanley.com, cbrooks7@bloomberg.net, JPMInvestorrelations@jpmchase.com, bofamarkets@bofa.com, bofamarkets@baml.com, hsrhelp@ftc.gov, foiaconsultsandref@atf.gov, CongressionalLiaison@occ.treas.gov, liaison2@atf.gov, tim.knight@kpmg.co.uk, "Chris Gursky [FINRA]" <csgursky@bloomberg.net>, cshugg@usinfosec.com, LYLE.ADRIANO@keymedia.com, CORRECTIONS@yahoonews.com, CAPTIONHELP@myabc7.com, "Alisa Maksimova [FRB-NY]" <Alisa.Maksimova@ny.frb.org>, "Solis, Anita" <anita.solis@chi.frb.org>, Cynthia.H.Francis@frb.gov, dallas-reserve-mgmt@dal.frb.org, "Mielke, Evan P" <evan.p.mielke@chi.frb.org>, general.info@ny.frb.com, general.info@ny.frb.org, kenneth.j.fraser@frb.gov, media@chi.frb.org, ny.public.information@ny.frb.org, ny.reserves@ny.frb.com, ny.reserves@ny.frb.org, nyreporting.forms@ny.frb.org, oighotline@frb.gov, reserves@chi.frb.org, IMOCA@sec.gov, dcaoleters@sec.gov, OCAResquest@sec.gov, mstanley321@bloomberg.net, mstanley1541@bloomberg.net, mshy1@bloomberg.net, mshy2@bloomberg.net, INFO@physiciansmutual.com, bk01@cb.nyc.gov, iphonehelp@nypost.com, LZUCKER@mskyline.com, Victimassistance.fraud@usdoj.gov  
**Subject:** Re: [B.14] [B.18] +300[x90] POST.: [ NYSCEF DOCKET 420 ] obo Miss Daugherty. \$1.4MM -----X 08.04.2020

<https://faxzero.com/status/30666994/5790f17018611119e07814be9e36110d164afaa6>

That's NYCEF PROCESSED DOCKET THAT I ALSO ANNEXED WITH THE NY DEPARTMENT OF BUILDINGS AND DURING THE PERIOD OF 40-17G.

WAS AND ARE NOT COVERED FOR OMISSIONS EITHER.

[https://saaze2311prdsra.blob.core.windows.net/clean/e2fe82c1c6a2ec11b400002248316383/153974\\_2020\\_Sullivan\\_Properties\\_L\\_P\\_v\\_Baris\\_Dincer\\_EXHIBIT\\_S\\_231%20-%204%20august%202020%20-%20no%20certificate%20-%20see%20also%20bylaws%20and%20deadlines.pdf](https://saaze2311prdsra.blob.core.windows.net/clean/e2fe82c1c6a2ec11b400002248316383/153974_2020_Sullivan_Properties_L_P_v_Baris_Dincer_EXHIBIT_S_231%20-%204%20august%202020%20-%20no%20certificate%20-%20see%20also%20bylaws%20and%20deadlines.pdf)

/BD

On Apr 15, 2022, at 6:18 PM, B D2022 <ms60710444266@yahoo.com> wrote:

[Codified to 12 U.S.C. 1461][Codified to 12 U.S.C. 1462][Codified to 12 U.S.C. 1462a][Codified to 12 U.S.C. 1463]

NOW ABOUT THAT "UNREGISTERED SECURITY..."

HELPED IN THE FIDUCIARY OF THE PRIOR  
November 24, 2021, State Farm Associates' Funds Trust. [K0]  
<https://www.sec.gov/Archives/edgar/data/0000093715/99999999721005790/filename1.pdf>

NOT THAT IT'S UNDERSTOOD  
- HAVING REVIEWED THEIR METHODS OF ELECTRONIC PROXY IN EDGAR [ON SEVERAL OCCASIONS]

\*\*\*\* are reported and under the AUSPICE of the SECURITIES & EXCHANGE COMMISSION, FINRA & ITS AFFILIATED ENTITIES.  
\*\*\*\* WITHOUT DISCLOSURE, SUBSCRIPTIONS ADDED WHILE VOTERS \*\*\*\* INFORMED \*\*\*\*  
\*\*\*\* DISCLOSURE OF \$170,000.00 IN POSTAGE, DIRECTORS FEES ET. AL. TOTAL \$912,500

THE ELECTRONIC PROXIES AND ALSO THE POSTAGE FEES ARE DETAILED HERE AS WELL ---  
\*\*\*\* <https://fintel.io/doc/sec-stfgx-state-farm-growth-fund-ex99p-2020-march-27-18441-449>

NOT COVERED FOR OMISSIONS BY ITS DIRECT REPRESENTATIVES / PROMOTERS DURING THE PERIOD OF JANUARY 1, 2020 AND JANUARY 1, 2022.  
- NOTWITHSTANDING ITS COUNSELORS, AUDITORS, CUSTODIANS, ADVISERS, AND BROKER DEALERS.  
Fund Surviving the Merger - Advisers Investment Trust  
"Investment Company Act File Number "811-22538"  
"State Farm VP Management Corp. "000043036"  
2021-11-30 - 2021-03-31  
ASSETS: \$10,164,850,238  
PERFORMANCE: (-852,029,489.38)

##TICKER: BRK-B##

STATE FARM ASSOCIATES FUNDS TRUST 93715

2022-03-31: MS 14.5-BLN

MS: 14 5MMM. COVERED FOR NET INCOME

Δ PRICE [\$]

Δ PRICE [%]

2021-11-30 SEC

Δ ASSET VALUE

TICKERS	2021-11-30	2022-03-31			*** AUM	
STFGX	118.50	106.88	(11.62)	-9.8059%	6,547,492,154	(642,041,003)
STFBX	92.93	87.17	(5.76)	-6.1982%	2,410,114,218	(149,384,030)
SFITX	10.13	9.73	(0.40)	-3.9487%	478,642,421	(18,899,997)
SFBDX	8.91	8.40	(0.51)	-5.7239%	728,601,446	(41,704,460)

LOSSES REGISTERED USING THE 11-30-2020 FILED BY LUDWIG, MONK, & PAUL SMITH \$ 10,164,850,238.82 \$ (852,029,489.38)

\*\*\*\*

\*\*\* SEMI-ANNUAL HOLDING REPORT

\*\* State Farm Associates' Funds Trust

\*\* [N-CSRS] 0001193125-20-200810

\*\* REPORT DATE: 2020-05-31

\*\* FILING DATE: 2020-07-28

\*\* <https://www.sec.gov/Archives/edgar/data/0000093715/000119312520200810/d913497dncsrs.htm>

## <https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=TxAa7cNVIHKtnJU/ni/zvg==>

o State Farm Growth Fund	\$ 5,110,893,730
o State Farm Balanced Fund	\$ 2,034,992,761
o State Farm Interim Fund	\$ 433,682,403
o State Farm Municipal Bond Fund	\$ 714,878,356

• TOTAL AUM REPORT 2020: \$ 8,294,447,250 ##

• TOTAL AUM NOV 30, 2021: \$ 10,164,850,238

## TOTAL AUM NOV 24, 2021: \$ 0 ##

## <https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=TxAa7cNVIHKtnJU/ni/zvg==>

\*\* Fund Surviving the Merger - Advisers Investment Trust ##

\*\* "Investment Company Act File Number "811-22538" ##

\*\* "State Farm VP Management Corp. "000043036" ##

##Investment Adviser;## \*. \* ##;State Farm Investment Management Corp.;One State Farm Plaza, B-2;Bloomington, IL 61710;Investment Sub-Adviser; Northern Trust Investments, Inc.;;50 South LaSalle Street;Chicago, IL 60603;;Custodian;; The Northern Trust Company;50 South LaSalle Street;Chicago, IL 60603;Independent Registered Public; Accounting Firm;PricewaterhouseCoopersLLP;One North Wacker;Chicago, IL 60606; Legal Counsel;Thompson Hine LLP;41 South High Street, Suite 1700;Columbus, OH 43215-6101; Distributor;Foreside Financial Services, LLC;3 Canal Plaza, Suite 100;Portland, ME 04101; For Additional Information, call;866-342-2418 (toll free) or 312-557-7940; Investment Adviser;;Vontobel Asset Management, Inc.;1540 Broadway;38th Floor;New York, New York 10036; Custodian;The Northern Trust Company;50 South LaSalle Street;Chicago, Illinois 60603; Independent Registered;Public Accounting Firm;Ernst & Young LLP;5 Times Square;New York, New York 10036; Legal Counsel;Thompson Hine LLP;41 South High Street, Suite 1700;Columbus, Ohio 43215-6101; Distributor;Foreside Financial Services, LLC;3 Canal Plaza, Suite 100;Portland, Maine 04101; For Additional Information, call;866-252-5393 (toll free) or 312-630-6583; Investment Adviser;River Canyon Fund Management LLC;2000 Avenue of the Stars, 11th Floor;Los Angeles, California 90067;; Custodian;The Northern Trust Company;50 South LaSalle Street;Chicago, Illinois 60603;Independent Registered; Public Accounting Firm;Deloitte & Touche LLP;111 S. Wacker Drive;Chicago, Illinois 60606;; Legal Counsel;Thompson Hine LLP;41 South High Street, Suite 1700;Columbus, Ohio 43215-6101; Distributor;Foreside Financial Services, LLC;3 Canal Plaza, Suite 100;Portland, Maine 04101; For Additional Information, call;800-245-0371 (toll free) or 312-557-0164.

## TIMESTAMPED link: [LIKE A FLUSH HAND OF BLACK SPADES](#)

[Q1] AS BASIS: DO ALL CUSTOMERS KNOW ABOUT THE LOAN WHICH IS NOT IN THE ANNUAL OR SEMI REPORTS FILED WITH THE SECURITIES & EXCHANGE COMMISSION... IN YOUR FIDUCIARY AS "PROMOTERS OF PUBLIC INFORMATION & FAIR DEALINGS"

TIME: IN FY 2020 AND FY 2021 MAILED THEM ELECTRONICALLY FOR \$170,000.00 IN POSTAGE AS WELL?

A. ARE YOU ALL OPERATING USING THE STANDARD ETHICAL CODES AND MORAL PROCEDURES OF OFAC KYC, FINRA KYC, SEC KYC, FEDERAL KYC, STATE KYC, OR LOCAL KYC.

B. OR DID YOU JUST EAT STATE FARM LIKE A NUMBER ONE MEAL AT KFC WITH NO APPLE DESERTED [STFGX] LIKE THE APPLES IN [BRK-B]

##SO HOW EXACTLY IS IT THESE MORALLY ARE DECISIONS BY THE "PROMOTERS" OF "State Farm Associates' Funds Trust" ITS COMPLIANCE OFFICER & TREASURER WHO CERTIFIED UNDER THESE DOCTRINES HERE.

July 28, 2020

Pursuant to the requirements of the Securities Exchange Act of 1934 and the Investment Company Act of 1940, Sarbanes-Oxley Act of 2002.

[EX-99.CERT]

<https://www.sec.gov/Archives/edgar/data/0000093715/000119312520200810/d913497dex99cert.htm>

/s/ Joe R. Monk Jr., President.

/s/ Paul J. Smith, Senior Vice President and Treasurer.

[EX-99.906CERT]

<https://www.sec.gov/Archives/edgar/data/0000093715/000119312520200810/d913497dex99906cert.htm>

/s/ Joe R. Monk Jr., President.

/s/ Paul J. Smith, Senior Vice President and Treasurer.

NY DFS: DID ALSO USE A GROSS INCOME RATE, JUST LIKE 14.5 IN EARNINGS BY THE PROMOTER.

"Base Cap Rate: We used a capitalization rate of 7.200% which is Finance's estimated of the rate of return that an ordinary investor would expect on their investment in this type of property."

101 WEST 55TH STREET, NEW YORK, NY, 10019

Label # 9469003699300000590947

Tracking Number: 9469003699300000590947

##Loan 50074: ASSIGNMENT OF RENTS AND LEASES

CRFN ID.: 2020052000291002

##PARTY 1: SULLIVAN PROPERTIES, L.P.

C/O THE ZUCKER ORGANIZATION

101 WEST 55TH STREET, NEW YORK, NY, 10019

##PARTY 2: STATE FARM REALTY MORTGAGE, LLC

ONE STATE FARM PLAZA, BLOOMINGTON IL, 60710

\- WITH LITTLE TO NO PROBABILITY THAT "PRICE WATERHOUSE COOPERS" WILL CHARGE ANY PERSON OR COMPANY \$9,000 IN ACCOUNTING FEES TO ACCOUNT FOR \$170,000.00 OF PROXIES AND TABULATIONS FOR N-COUNT OF INVESTORS AND ISSUE A

Opinions on the Financial Statements

We have audited the accompanying statements of assets and liabilities, including the schedules of investments, of State Farm Growth Fund, State Farm Balanced Fund, State Farm Interim Fund, and State Farm Municipal Bond Fund (four of the funds constituting Advisers Investment Trust, hereafter collectively referred to as the "Funds") as of September 30, 2021, the related statements of operations for the period December 1, 2020 through September 30, 2021 and the year ended November 30, 2020, the statements of changes in net assets for the period December 1, 2020 through September 30, 2021 and for each of the two years in the period ended November 30, 2020, including the related notes, and the financial highlights for each of the periods indicated therein (collectively referred to as the "financial statements"). In our opinion, the financial statements present fairly, in all material respects, the financial position of each of the Funds as of September 30, 2021, the results of each of their operations for the period December 1, 2020 through September 30, 2021 and the year ended November 30, 2020, the changes in each of their net assets for the period December 1, 2020 through September 30, 2021 and for each of the two years in the period ended November 30, 2020 and each of the financial highlights for each of the periods indicated therein in conformity with accounting principles generally accepted in the United States of America.

#### Basis for Opinions

These financial statements are the responsibility of the Funds' management. Our responsibility is to express an opinion on the Funds' financial statements based on our audits. We are a public accounting firm registered with the Public Company Accounting Oversight Board (United States) (PCAOB) and are required to be independent with respect to the Funds in accordance with the U.S. federal securities laws and the applicable rules and regulations of the Securities and Exchange Commission and the PCAOB. We conducted our audits of these financial statements in accordance with the standards of the PCAOB. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement, whether due to error or fraud. Our audits included performing procedures to assess the risks of material misstatement of the financial statements, whether due to error or fraud, and performing procedures that respond to those risks. Such procedures included examining, on a test basis, evidence regarding the amounts and disclosures in the financial statements. Our audits also included evaluating the accounting principles used and significant estimates made by management, as well as evaluating the overall presentation of the financial statements. Our procedures included confirmation of securities owned as of September 30, 2021 by correspondence with the custodian and brokers; when replies were not received from brokers, we performed other auditing procedures. We believe that our audits provide a reasonable basis for our opinions.

November 22, 2021

We have served as the auditor of one or more investment companies in Advisers Investment Trust since 2011.

PricewaterhouseCoopers LLP, One North Wacker, Chicago, IL 60606

T: (312) 298 2000, [www.pwc.com/us](http://www.pwc.com/us)

<https://www.sec.gov/info/accountants/audit042707.htm>

#### Item B.14. Provision of financial support.

Instruction. For purposes of this Item, a provision of financial support includes any (1) capital contribution, (2) purchase of a security from a Money Market Fund in reliance on rule 17a-9 under the Act (17 CFR 270.17a-9), (3) purchase of any defaulted or devalued security at fair value reasonably intended to increase or stabilize the value or liquidity of the Registrant's portfolio, (4) execution of letter of credit or letter of indemnity, (5) capital support agreement (whether or not the Registrant ultimately received support), (6) performance guarantee, or (7) other similar action reasonably intended to increase or stabilize the value or liquidity of the Registrant's portfolio. Provision of financial support does not include any (1) routine waiver of fees or reimbursement of Registrant's expenses, (2) routine inter-fund lending, (3) routine inter-fund purchases of Registrant's shares, or (4) action that would qualify as financial support as defined above, that the board of directors has otherwise determined not to be reasonably intended to increase or stabilize the value or liquidity of the Registrant's portfolio.

MS in FY 2020 AND FY 2021 as its PROMOTER, despite a CERTAIN "CONFLICT OF INTEREST" SOLD MORE SUBSCRIPTIONS...

CIK: 1516523 26 U.S. Code § [\[1\]](#)

— FOR EACH OF THE 6 BUILDINGS TOGETHER IS more than \$50MM...

— I RAN THE 'MF' FOR 10-YEARS IN BACK TAXES AND USING +300 ( WHICH SHOULD BE +500 BTW) \

SCRATCH UNDER THE SURFACE AND SEE WHAT YOU FIND FOR ALL 6 BUILDINGS IN LOAN 50074 AS AN EXPRESSED "GUARANTEE" MADE BY THE ONE STATE FARM, I EVEN ANNEXED THE DEFAULT PROVISION FOR THEM TO CANCEL THE LOAN - WITHIN THE 90-DAY PERIOD OF THE NOTE AND LETTER OF CREDIT... WHICH THEY ELECTED NOT TO TERMINATE THE LOAN, DESPITE HAVING FAXED ALL OF THE DAMAGES ALLEGED IN THE MATTER OF 153974/2020 BY THE COUNSELOR... I GUESS THEY LIKE THEIR COUPONS AND INTEREST, AND ALSO UNDER THIS SURFACE HERE - IS A \$250,000,000.00 LIABILITY THAT COMPOUNDS DAILY.

#### 26 CFR § 1.6662

\*\*\* THAT BOND GOT A CUSIP? BECAUSE LAST I CHECKED THIS HARBERT CHARACTER ALSO IS NOT LICENSED IN THE STATE OF NEW YORK UNDER THE FIDELITY OF THEIR BOND IN THE PRIOR... 40-17G "NOT COVERED" FOR THE OMISSIONS THEN - WHICH DOES NOT EXCUSE THEM FOR UNFAIR DEALINGS AND TO CIRCUMVENT THE PROCEDURES OF AUDIT, ACCOUNTING AND IN A 40 ACT ON MY WATCH IS ATTACHED HERE AS AN ESTIMATE FOR ONE BUILDING. THEIR LAWYERS, PUT A DIFFERENT ENTITY IN THEIR LETTER REQUESTING OBSTRUCTION.

YOU'RE WELCOME FOR THE PRIOR NOTICE AS WELL - I EVEN GAVE THEM A LAST LOOK AND ATTACHED THAT DOCKET ##420##  
[2020.06.03] [2020.07.20] [2020.08.13]

LET IT BE REMEMBERED ON THE 11TH OF MAY AND LATER HOW THEY MOVE SWIFT IN AUGUST WHEN THEY FEEL LIKE IT.

BK01 - STILL TRYING TO MAKE MOVES = STILL IN BUSINESS.

GOOD DEEDS...

OR IN THE REAR...

74TH AND LEX, AKA THE LENOX INSTITUTE FOR THE CRIMINALLY INSANE -----

DEED: [https://a836-acris.nyc.gov/DS/DocumentSearch/DocumentImageView?doc\\_id=FT\\_1350000324035](https://a836-acris.nyc.gov/DS/DocumentSearch/DocumentImageView?doc_id=FT_1350000324035)

DEED: [https://a836-acris.nyc.gov/DS/DocumentSearch/DocumentDetail?doc\\_id=FT\\_1350000324035](https://a836-acris.nyc.gov/DS/DocumentSearch/DocumentDetail?doc_id=FT_1350000324035)

JUST ASK MR. REYNOSO DIRECT IF YOU NEED TO

>> [bk01@CB.nyc.gov](mailto:bk01@CB.nyc.gov)

\*\*\* take a look at the top right hand corner  
NYSCEF DOC. NO. 440

[2020.06.03] [2020.07.20] [2020.08.13]  
RECEIVED NYSCEF: 08/11/2020

FILED: 08/10/2020  
RECEIVED NYSCEF: 08/10/2020  
NYSCEF DOC. NO.: 385

FILED: 08/11/2020  
RECEIVED NYSCEF: 08/10/2020  
NYSCEF DOC. NO.: 441

NO CERTIFICATE OF OCCUPANCY - ALSO DOES NOT EXIST - AND NEITHER DID MY EGRESS TO THE FIRE ESCAPE.

\*\*\* Please also notice THE CHANGE IN MY TONE as seen in DOCKET 441 \*\*\*  
- I did hang two chandeliers, and those beams are not from the year 1900 in the ceiling  
- There is no fire sprinkler system in the ceiling either.

---

FILED: 08/04/2020 JULY 20 - 2020 8:50 AM  
RECEIVED NYSCEF: 08/04/2020 .MOV DISTRIBUTION  
NYSCEF DOC. NO.: 285

ENTITLED TO "CHECK THE CAMERAS AT WILL"  
ENTITLED TO VIDEOTAPE ME AND DISTRIBUTED VIA: [ASHLEY.HUMPHRIES@WILSONELSER.COM](mailto:ASHLEY.HUMPHRIES@WILSONELSER.COM)

---

FILED: 08/04/2020 JULY 13 - 2020 6:24 PM  
RECEIVED NYSCEF: 08/04/2020 .MOV DISTRIBUTION  
NYSCEF DOC. NO.: 280  
ENTITLED TO VIDEOTAPE ME AND DISTRIBUTED VIA: [ASHLEY.HUMPHRIES@WILSONELSER.COM](mailto:ASHLEY.HUMPHRIES@WILSONELSER.COM)

---

FILED: 08/04/2020 JULY 13 - 2020 6:03 PM  
RECEIVED NYSCEF: 08/04/2020 .MOV DISTRIBUTION  
NYSCEF DOC. NO.: 279  
ENTITLED TO VIDEOTAPE ME AND DISTRIBUTED VIA: [ASHLEY.HUMPHRIES@WILSONELSER.COM](mailto:ASHLEY.HUMPHRIES@WILSONELSER.COM)

---

FILED: 08/04/2020 JULY 13 - 2020 5:51 PM  
RECEIVED NYSCEF: 08/04/2020 .MOV DISTRIBUTION  
NYSCEF DOC. NO.: 278  
ENTITLED TO VIDEOTAPE ME AND DISTRIBUTED VIA: [ASHLEY.HUMPHRIES@WILSONELSER.COM](mailto:ASHLEY.HUMPHRIES@WILSONELSER.COM)

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FILED: 08/04/2020 JULY 10 - 2020 10:29 PM  
RECEIVED NYSCEF: 08/04/2020 .MOV DISTRIBUTION  
NYSCEF DOC. NO.: 277  
ENTITLED TO VIDEOTAPE ME AND DISTRIBUTED VIA: [ASHLEY.HUMPHRIES@WILSONELSER.COM](mailto:ASHLEY.HUMPHRIES@WILSONELSER.COM)

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FILED: 08/04/2020 JULY 10 - 2020 10:19 PM  
RECEIVED NYSCEF: 08/04/2020 .MOV DISTRIBUTION  
NYSCEF DOC. NO.: 276  
ENTITLED TO VIDEOTAPE ME AND DISTRIBUTED VIA: [ASHLEY.HUMPHRIES@WILSONELSER.COM](mailto:ASHLEY.HUMPHRIES@WILSONELSER.COM)

"... I SMELLED IT... AND AS WELL JUST NOW..."

---

FILED: 08/04/2020 JULY 10 - 2020 08:38 AM  
RECEIVED NYSCEF: 08/04/2020 .MOV DISTRIBUTION  
NYSCEF DOC. NO.: 275  
ENTITLED TO VIDEOTAPE ME AND DISTRIBUTED VIA: [ASHLEY.HUMPHRIES@WILSONELSER.COM](mailto:ASHLEY.HUMPHRIES@WILSONELSER.COM)

"... ONLY BOOKING ALL DAY APPOINTMENTS ..."

---

FILED: 08/04/2020 JULY 10 - 2020 10:39 PM  
RECEIVED NYSCEF: 08/04/2020 .MOV DISTRIBUTION  
NYSCEF DOC. NO.: 274  
ENTITLED TO VIDEOTAPE ME AND DISTRIBUTED VIA: [ASHLEY.HUMPHRIES@WILSONELSER.COM](mailto:ASHLEY.HUMPHRIES@WILSONELSER.COM)

"... EATING A TON OF CELLULAR DATA ..."

---

FILED: 08/04/2020 JULY 10 - 2020 08:37 PM  
RECEIVED NYSCEF: 08/04/2020 VIDEO.MOV DISTRIBUTIONS  
NYSCEF DOC. NO.: 273  
ENTITLED TO VIDEOTAPE ME AND DISTRIBUTED VIA: [ASHLEY.HUMPHRIES@WILSONELSER.COM](mailto:ASHLEY.HUMPHRIES@WILSONELSER.COM)

"... A STRONG ODOR ..."

---

FILED: 08/04/2020 JULY 10 - 2020 06:58 PM  
RECEIVED NYSCEF: 08/04/2020 VIDEO.MOV DISTRIBUTIONS  
NYSCEF DOC. NO.: 272  
ENTITLED TO VIDEOTAPE ME AND DISTRIBUTED VIA: [ASHLEY.HUMPHRIES@WILSONELSER.COM](mailto:ASHLEY.HUMPHRIES@WILSONELSER.COM)

"... HAMMERING AGAIN ..."

---

FILED: 08/04/2020 JULY 03 - 2020 02:12 PM  
RECEIVED NYSCEF: 08/04/2020 VIDEO.MOV DISTRIBUTIONS  
NYSCEF DOC. NO.: 271  
ENTITLED TO VIDEOTAPE ME AND DISTRIBUTED VIA: [ASHLEY.HUMPHRIES@WILSONELSER.COM](mailto:ASHLEY.HUMPHRIES@WILSONELSER.COM)

"... KNOCKING BOOTS with RISPOLI'S iPHONE ..."

---

FILED: 08/04/2020 JULY 03 - 2020 01:12 PM  
RECEIVED NYSCEF: 08/04/2020 VIDEO.MOV DISTRIBUTIONS  
NYSCEF DOC. NO.: 269  
ENTITLED TO VIDEOTAPE ME AND DISTRIBUTED VIA: [ASHLEY.HUMPHRIES@WILSONELSER.COM](mailto:ASHLEY.HUMPHRIES@WILSONELSER.COM)  
"... Shari you need to amend pleadings ..."

"... Daniel cannot be expected to live like this ..."

---

FILED: 08/04/2020 JULY 03 - 2020 01:12 PM  
RECEIVED NYSCEF: 08/04/2020 VIDEO.MOV DISTRIBUTIONS  
NYSCEF DOC. NO.: 268

ENTITLED TO VIDEOTAPE ME AND MY LAPTOP ALSO  
- AFTER I CUT THE WIRES TO THE CAMERA AND GOT OUT OF JAIL - THEY MOUNTED THEM AGAIN.  
- WOULD NOT CEASE AND DESIST FROM FILMING ME SO I CUT THE ETHERNET WIRES AGAIN...  
- AS SOON AS I GOT OUT FROM THE CENTRAL BOOKING, THE FIRST TIME WAS TRYING TO HAVE SOME  
PRIVACY WITH MY BALLERINA FRIEND. THIS ASHLEY CHARACTER I THINK WAS WORRIED DANIEL WAS

GOING TO BREAK UP WITH HER AND TAKE OFF WITH ONE OF MY OTHER FRIENDS OR SOMETHING TO BE HONEST.

---

FILED: 08/04/2020 JULY 03 - 2020 07:22 PM  
RECEIVED NYSCEF: 08/04/2020 VIDEO.MOV DISTRIBUTIONS  
NYSCEF DOC. NO.: 267

ENTITLED TO VIDEOTAPE ME AND MONITOR MY ACTIONS - ASHLEY AND HER PARANOIA...

ATTACHED A VIDEO OF MYSELF AND SENT IT TO THE ELSER COMPOUND AND SHARI.  
150 EAST 42ND STREET, NEW YORK, NY, 10017

---

FILED: 08/04/2020 JUNE 29 - 2020 08:36 PM  
RECEIVED NYSCEF: 08/04/2020 VIDEO.MOV DISTRIBUTIONS  
NYSCEF DOC. NO.: 266

ENTITLED TO VIDEOTAPE ME AND MONITOR MY ACTIONS - ASHLEY AND HER PARANOIA...

ATTACHED A VIDEO OF MYSELF AND SENT IT TO THE ELSER COMPOUND AND SHARI.  
150 EAST 42ND STREET, NEW YORK, NY, 10017

\* SHARI IS NOW DISAPPOINTED SHE CAN'T OPEN THE VIDEO ON HER PHONE \*

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FILED: 08/04/2020 JUNE 27 - 2020 11:21 AM  
RECEIVED NYSCEF: 08/04/2020 VIDEO.MOV DISTRIBUTIONS  
NYSCEF DOC. NO.: 264

ENTITLED TO VIDEOTAPE ME AND MONITOR MY ACTIONS - ASHLEY AND THE ELSER

ATTACHED A VIDEO OF MYSELF AND SENT IT TO THE ELSER COMPOUND AND SHARI.  
150 EAST 42ND STREET, NEW YORK, NY, 10017

\* MISS ELSER 2020 ... DOES NOT LIKE A 2-STAR MOTEL \*

\* TAKES ORDERS AND COMMUNICATES WITH A 2-DOLLAR PRO \* \*\*\*\*\* NOV 24TH, 2021

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FILED: 08/04/2020 JUNE 27 - 2020 08:20 PM  
RECEIVED NYSCEF: 08/04/2020 VIDEO.MOV DISTRIBUTIONS  
NYSCEF DOC. NO.: 263

ENTITLED TO VIDEOTAPE ME AND MONITOR MY ACTIONS - ASHLEY AND THE ELSER

ATTACHED A VIDEO OF MYSELF "... DRILLING ... BLASTING MUSIC"  
- FOR OPINIONS ON MODERN LINGUISTICS, PLEASE REFER TO BAD BOY RECORDS, OR VOGUE MAGAZINE  
IF YOU REQUIRE AN OPINION ON THE VIDEOS THAT WERE DISTRIBUTED WITHOUT MY CONSENT.

" ... TRIED RECORDING [ME] ON MULTIPLE TIMES ... UNFORTUNATELY MY PHONE ISN'T GREAT ..."  
" ... I WILL KEEP TRYING ..."

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FILED: 08/04/2020 JUNE 24 - 2020 07:00 PM  
RECEIVED NYSCEF: 08/04/2020 VIDEO.MOV DISTRIBUTIONS  
NYSCEF DOC. NO.: 263

ENTITLED TO VIDEOTAPE ME AND MONITOR MY ACTIONS - ASHLEY AND SHARI

ATTACHED A VIDEO OF MYSELF  
"... SHARI WANTED TO RECORD THE NOISE OF MYSELF WHILE TAKING EITHER A SHOWER, A S\*\*\*, OR

A SNEEZE..."

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\*.MOV DISTRIBUTION BELOW - LINED UP BELOW...

= UNCONSENTED VIDEOTAPES 'INSIDE' WITH NO SHIRT ON = HALF NAKED.....

<https://github.com/BSCPGROUPHOLDINGSLLC/ELSER-AND-DICKER/pull/5>

THEY ALSO TAPED MY LAPTOP... AND I ANNEXED THE DOCKET WITH THE PROVISION THAT WOULD CAUSE AN IMMEDIATE TERMINATION OF THE NOTE WHICH IS AN UNREGISTERED SECURITY, AUDITED BY PWC, AND REPORTED AS SUCH IN THE NEW ADVISER... UNDER THE AUSPICE OF THESE COMPANIES.

--- RECEIPTS, NOT ESTIMATES IN ARREARS FOR 15+ AND ALSO, BELOW...  
HERE IS FED FUNDS TO HELP YOU ASSESS THE OTHER PENALTIES +300.  
--- like i said, i used the LOWER BOUND to find 49.5MM in fines and penalties for 117 SULLIVAN STREET, NEW YORK, NY, 10012

[§ 7201. Attempt to evade or defeat tax](#)  
[§ 7202. Willful failure to collect or pay over tax](#)  
[§ 7203. Willful failure to file return, supply information, or pay tax](#)  
[§ 7204. Fraudulent statement or failure to make statement to employees](#)  
[§ 7205. Fraudulent withholding exemption certificate or failure to supply information](#)  
[§ 7206. Fraud and false statements](#)  
[§ 7207. Fraudulent returns, statements, or other documents](#)  
[§ 7208. Offenses relating to stamps](#)  
[§ 7209. Unauthorized use or sale of stamps](#)  
[§ 7210. Failure to obey summons](#)  
[§ 7211. False statements to purchasers or lessees relating to tax](#)  
[§ 7212. Attempts to interfere with administration of internal revenue laws](#)  
[§ 7213. Unauthorized disclosure of information](#)  
[§ 7213A. Unauthorized inspection of returns or return information](#)  
[§ 7214. Offenses by officers and employees of the United States](#)  
[§ 7215. Offenses with respect to collected taxes](#)  
[§ 7216. Disclosure or use of information by preparers of returns](#)  
[§ 7217. Prohibition on executive branch influence over taxpayer audits and other investigations](#)



so that way upon discovery, you'll be shocked --- EX-POST.

--- it is in fact the greater of \$49,739,594 for 1 BUILDING AND 10-YEARS.

> <https://github.com/users/BSCPGROUPHOLDINGSLLC/projects/1#column-18309490>

/S/ BBS-101.

STFGX - STATE FARM VP MANAGEMENT CORP SEC FILE NUMBER 008-50128 - STATE FARM GROWTH FUND

Investment Adviser;;State Farm Investment Management Corp.;One State Farm Plaza, B-2;Bloomington, IL 61710;Investment Sub-Adviser Northern Trust Investments, Inc.;50 South LaSalle Street;Chicago, IL 60603;;Custodian;; The Northern Trust Company;50 South LaSalle Street;Chicago, IL 60603;Independent Registered Public Accounting Firm;PricewaterhouseCoopersLLP;One North Wacker;Chicago, IL 60606 Legal Counsel;Thompson Hine LLP;41 South High Street, Suite 1700;Columbus, OH 43215-6101 Distributor;Foreside Financial Services, LLC;3 Canal Plaza, Suite 100;Portland, ME 04101 For Additional Information, call;866-342-2418 (toll free) or 312-557-7940 Investment Adviser;;Vontobel Asset Management, Inc.;1540 Broadway;38th Floor;New York, New York 10036 Custodian;The Northern Trust Company;50 South LaSalle Street;Chicago, Illinois 60603; Independent Registered;Public Accounting Firm;Ernst & Young LLP;5 Times Square;New York, New York 10036 Legal Counsel;Thompson Hine LLP;41 South High Street, Suite 1700;Columbus, Ohio 43215-6101; Distributor;Foreside Financial Services, LLC;3 Canal Plaza, Suite 100;Portland, Maine 04101 For Additional Information, call;866-252-5393 (toll free) or 312-630-6583 Investment Adviser;River Canyon Fund Management LLC;2000 Avenue of the Stars, 11th Floor;Los Angeles, California 90067; Custodian;The Northern Trust Company;50 South LaSalle Street;Chicago, Illinois 60603;Independent Registered; Public Accounting Firm;Deloitte & Touche LLP;111 S. Wacker Drive;Chicago, Illinois 60606; Legal Counsel;Thompson Hine LLP;41 South High Street, Suite 1700;Columbus, Ohio 43215-6101 Distributor;Foreside Financial Services, LLC;3 Canal Plaza, Suite 100;Portland, Maine 04101 For Additional Information, call;800-245-0371 (toll free) or 312-557-0164

---- NO CERTIFICATE OF OCCUPANCY ----  
---- 117 SULLIVAN STREET ----  
---- NEW YORK, NY, 10012 ----

Property Address: 117 SULLIVAN STREET  
Building Gross Square Footage: 10,210  
Block: 503 Lot: 11 BIN: 1007779  
UNITS: 22

JANUARY 15, 2011  
Market Value: \$2,410,000.00  
Overall Cap Rate: 13.313%

JANUARY 15, 2012  
Market Value: \$2,665,000.00  
Overall Cap Rate: 13.245%

JANUARY 15, 2013  
Market Value: \$2,807,000.00  
Overall Cap Rate: 13.131%

January 15, 2014  
Market Value: \$2,573,000.00  
Overall Cap Rate: 13.115%

January 15, 2015  
Market Value: \$2,851,000.00  
Overall Cap Rate: 12.504%

January 15, 2016  
Market Value: \$3,148,000.00  
Overall Cap Rate: 12.497%

January 15, 2017  
Market Value: \$3,393,000.00  
Overall Cap Rate: 12.501%

January 15, 2018  
Market Value: \$3,787,000.00  
Overall Cap Rate: 12.424%

January 15, 2019  
Market Value: \$3,901,000.00  
Overall Cap Rate: 12.375%

January 15, 2020  
Market Value: \$3,981,000.00  
Overall Cap Rate: 12.313%

---- REAR BIN CODES ---- UNLAWFUL RENTS  
Property Address: 111 SULLIVAN STREET  
REAR

Building Gross Square Footage: XX  
Block: 503 Lot: 8 BIN: 1077252  
UNITS: 10

Property Address: 113 SULLIVAN STREET  
Building Gross Square Footage: XX  
Block: 503 Lot: 09 BIN: 1077254  
UNITS: 10

Property Address: 115 SULLIVAN STREET  
Building Gross Square Footage: XX  
Block: 503 Lot: 10 BIN: 1077256  
UNITS: 10

<https://www.occ.gov/static/enforcement-actions/ea2020-068.pdf>

[https://www.dfs.ny.gov/system/files/documents/2020/10/ea20201030\\_combined\\_consent\\_orders.pdf](https://www.dfs.ny.gov/system/files/documents/2020/10/ea20201030_combined_consent_orders.pdf)

2019-0201-S: CIVIL PENALTY: \$583,900.00  
2020-0239-S: CIVIL PENALTY: \$671,200.00  
2020-0280-S: CIVIL PENALTY: \$644,700.00  
\*\*\*\*\* FINED: \$9,799,000.00

Registered with this firm since: 03/04/1998

\  
STATE FARM VP MANAGEMENT CORP.  
HINTZ, SCOTT ALEXANDER (CRD 2004555  
LUDWIG, TERRENCE MICHAEL (CRD 3231040  
MINEAU, SARAH (CRD 4634160  
MONK, JOSEPH RILEY JR (CRD 1357149  
MOORE, DAVID MICHAEL (CRD 2876793  
SMITH, PAUL JOSEPH (CRD 4971235  
TIPSORD, MICHAEL LEON (CRD 1943922

STATE FARM INVESTMENT MANAGEMENT 3487

Opinions on the Financial Statements We have audited the accompanying statements of assets and liabilities, including the schedules of investments, of State Farm Growth Fund, State Farm Balanced Fund, State Farm Interim Fund, and State Farm Municipal Bond Fund (four of the funds constituting Advisers Investment Trust, hereafter collectively referred to as the "Funds") as of September

30, 2021, the related statements of operations for the period December 1, 2020 through September 30, 2021 and the year ended November 30, 2020, the statements of changes in net assets for the period December 1, 2020 through September 30, 2021 and for each of the two years in the period ended November 30, 2020, including the related notes, and the financial highlights for each of the periods indicated therein (collectively referred to as the "financial statements"). In our opinion, the financial statements present fairly, in all material respects, the financial position of each of the Funds as of September 30, 2021, the results of each of their operations for the period December 1, 2020 through September 30, 2021 and the year ended November 30, 2020, the changes in each of their net assets for the period December 1, 2020 through September 30, 2021 and for each of the two years in the period ended November 30, 2020 and each of the financial highlights for each of the periods indicated therein in conformity with accounting principles generally accepted in the United States of America. Basis for Opinions\*\*\*These financial statements are the responsibility of the Funds' management. Our responsibility is to express an opinion on the Funds' financial statements based on our audits. We are a public accounting firm registered with the Public Company Accounting Oversight Board (United States) (PCAOB) and are required to be independent with respect to the Funds in accordance with the U.S. federal securities laws and the applicable rules and regulations of the Securities and Exchange Commission and the PCAOB. We conducted our audits of these financial statements in accordance with the standards of the PCAOB. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement, whether due to error or fraud. Our audits included performing procedures to assess the risks of material misstatement of the financial statements, whether due to error or fraud, and performing procedures that respond to those risks. Such procedures included examining, on a test basis, evidence regarding the amounts and disclosures in the financial statements. Our audits also included evaluating the accounting principles used and significant estimates made by management, as well as evaluating the overall presentation of the financial statements. Our procedures included confirmation of securities owned as of September 30, 2021 by correspondence with the custodian and brokers; when replies were not received from brokers, we performed other auditing procedures. We believe that our audits provide a reasonable basis for our opinions.

November 22, 2021

We have served as the auditor of one or more investment companies in Advisers Investment Trust since 2011. PricewaterhouseCoopers LLP, One North Wacker, Chicago, IL 60606

T: (312) 298 2000, [www.pwc.com/us](http://www.pwc.com/us)

<https://www.sec.gov/info/accountants/audit042707.htm>

\*\*\* A TRANSFER AND ASSIGNMENT OF LEASES AND RENTS COVERED BY THE EXPRESSED GUARANTEES OF UNLAWFUL RENTS TO: "STATE FARM REALTY MORTGAGE, LLC", ONE STATE FARM PLAZA, BLOOMINGTON, IL, 61710 \*\*\* MANAGED BY: "STATE FARM LIFE INSURANCE COMPANY". WHICH IS DISCLOSED AND MANAGED BY MR. RANDALL HARBERT IN THE ATTACHED CENTRAL DEPOSITORY REPORT. STATE FARM VP MANAGEMENT CORP. LOCATED AT: ONE STATE FARM PLAZA, BLOOMINGTON, IL 61710-0001. HENCE I REFER TO THEM SIMPLY AS "STATE FARM" LOCATED AT: ONE STATE FARM PLAZA, BLOOMINGTON, IL, 61710 Loan 50074: ASSIGNMENT OF RENTS AND LEASES CRFN ID.: 202005200291002 #PARTY 1: SULLIVAN PROPERTIES, L.P., C/O THE ZUCKER ORGANIZATION, 101 WEST 55TH STREET, NEW YORK, NEW YORK, 10019. #PARTY 2: STATE FARM REALTY MORTGAGE, LLC, ONE STATE FARM PLAZA, BLOOMINGTON IL, 60710 \*\*\* I DO APOLOGIZE, THAT IS CORRECT SPELLING BUT NOT LLOYDS BANK OF LONDON. [I APOLOGIZE IF THAT IS MISLEADING, HOWEVER THE SAME ENTITY FINED IN THE PRIOR MATTER AFTER 3-YEARS OF DANCING AROUND] WITH THE APPEALS AND EVEN IN TEXAS. OIG oversight helps prevent fraud and mismanagement that diverts funds from those in need. Financial Management. DOI has significant financial assets, including ... SO ABOUT THOSE LEASES AND RENTS TRANSFERRED IN THE CITY OF NEW YORK, NY, 2020. ALSO MISLEADING "not a gap loan" IT IS A CONSOLIDATED AND SECURED BY UNLAWFUL RENT. PER THE REAL ESTATE LAWS IN NEW YORK, YOU CAN'T LEGALLY COLLECT RENT WITHOUT A [CO]. ALSO WHY JPMORGAN MADE A WISE DECISION NOT TO CONTINUE AS THE CUSTODIAN TO THE FUNDS. AS STIPULATION TO ADD MORE AUDITORS TO THIS SITUATION IS NOT THE CORRECT SOLUTION EITHER...NOT COVERED BY THE NEW AND IMPROVED OMISSIONS BY ITS DIRECT REPRESENTATIVES DURING THE PERIOD OF JANUARY 1, 2021 AND JANUARY 1, 2022 - HAVE LOST (-852,029,489.38) DUE TO GROSS NEGLIGENCE, FROM THE PERIOD OF FILING [LAST] 10,164,850,238 - WITH LITTLE TO NO PROBABILITY THAT "PRICE WATERHOUSE COOPERS" WILL CHARGE ANY PERSON OR COMPANY \$9,000 IN ACCOUNTING FEES TO ACCOUNT FOR \$170,000.00 OF PROXIES AND TABULATIONS FOR N-COUNT OF INVESTORS:

STATE FARM VP MANAGEMENT CORP. [CRD#: 43036/SEC#: 8-50128]ONE STATE FARM PLAZA, BLOOMINGTON, IL 61710\*\*\*[https://brokercheck.finra.org/individual/summary/2992788\\*\\*](https://brokercheck.finra.org/individual/summary/2992788**)

NAME: HARBERT, RANDALL HOUSTON CRD NUMBER: 2992788

STATES REGISTERED: Illinois POSITION: SR. VICE PRESIDENT AND DIRECTOR.

1.A. PRESENT AFFILIATIONS / OUTSIDE BUSINESSES DISCLOSED:

B. 2016-PRESENT, STATE FARM LIFE INSURANCE COMPANY [ NON-INVESTMENT RELATED

\*\*\* Sr. Vice President, Chief Agency, Sales and Marketing Officer and Director

C. 2013-PRESENT, STATE FARM AUTOMOBILE INSURANCE COMPANY [ INVESTMENT RELATED]

\*\*\* EXECUTIVE VICE PRESIDENT, CHIEF AGENCY SALES MARKETING OFFICER \*\*D. 2011-PRESENT, STATE FARM LLOYDS, INC [ NON-INVESTMENT RELATED ] \*\*\* DIRECTOR. E. 2011-PRESENT, STATE FARM INVESTMENT MANAGEMENT CORP. [ INVESTMENT RELATED ]

<https://reports.adviserinfo.sec.gov/reports/ADV/3487/PDF/3487.pdf>

F. 2011-PRESENT, STATE FARM VP MANAGEMENT CORP [ INVESTMENT RELATED ]

\*\*\* SENIOR VICE PRESIDENT AND DIRECTOR \*\* 1.B. AIDED AND ABETTED TO BY SULLIVAN PROPERTIES, AND ITS AFFILIATES AND COUNSELORS.\*\* [RECEIVED NYSCEF: 08/11/2020]

STIPULATION TO AMEND CAPTION AND "STATE FARM REALTY MORTGAGE LLC" WHICH IS MANAGED BY "STATE FARM LIFE INSURANCE COMPANY" AN OUTSIDE BUSINESS DISCLOSED BY: HARBERT, RANDALL HOUSTON, AS A DIRECTOR OF [STATE FARM VP MANAGEMENT CORP.] A. [https://iaapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=xj0vInCBADgr-PLUS\\_g1Pdg-\\*\\*\\*\\*](https://iaapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=xj0vInCBADgr-PLUS_g1Pdg-****) EXECUTED ON 08/08/2020, FAXED TO THEIR INSURANCE "SEE ALSO LEASE" EMAILED TO ALL MATERIAL PARTIES NYSCEF 153974/2020. \*\* I WAS TRYING TO ADD THEM TO THE CASE AS A RESPONDENT - THE CLERK, JUDGE, AND BY THE WORK OF THE COUNSELORS OF SULLIVAN PROPERTIES, AND WAS REQUESTED NOT TO CONTACT ANY REGULATORY AUTHORITY. \*\* AS REFERENCED HERUNDER, AT THE COST OF A MERGER EX-POST TO AVOIDANCE AND OBSTRUCTION. \*\*\*\*ALSO ABSORBED ROUGHLY 8.5% IN LOSSES -852,029,489 DURING THE PERIOD OF "MERGER" AND THE CLOSE OF THE FIRST QUARTER IN 2022. \*\* DURING THEIR "REGISTRATION" AND "MERGER" INTO A LARGER UMBRELLA OF FUNDS WHICH ARE AUDITED BY THREE FIRMS \*\* I WAS AGAIN, TRYING TO PREVENT ANY FURTHER EXPOSURE IN THE IMPLIED TERMS OF THE LOAN CONTRACT ARE NOW HELD AT A LARGER INSTITUTION.

\*\*\* THREE AUDITORS. 1. "PRICE WATERHOUSE COOPERS" AT ALL TIMES WAS INFORMED OF THIS LOAN, AND THE NEW YORK SUPREME MATTER OF 153974/2020 \*\*\* HOWEVER IN THEIR ANNUAL REPORTS ON 11-30-2020, 11-30-2021, AND MOST RECENTLY AFTER "MERGER" \*\*\* HAVE MADE NO STATEMENT WHICH PROVIDES ANY ASSURANCES OF THEIR OPINIONS. DESPITE HAVING BEEN INFORMED AND IN 2020 AT ALL RELEVANT TIMES OF A CERTAIN LOAN WHICH CARRIES THE BURDENS OF TAXES IN ARREARS. \*\*\* IN FACT IS CURRENTLY "STILL" AN UNREGISTERED SECURITY IN THE FORM OF A LETTER OF CREDIT AND INDEMNITY ON THE BALANCE SHEET OF THEIR NEW INVESTMENT MANAGER, AND SUB-MANAGER. \*\*\* IS "NOT COVERED" UNDER THEIR OWN BLANKET INSURANCE PROVISIONS & INDENTURE WHICH WAS EXECUTED THEN BY THE COMPLIANCE OFFICER OF STATE FARM VP MANAGEMENT CORP. \*\*\* [TERRANCE "TERRY" LUDWIG [CRD 3231040] UNDER THEIR NEW AND IMPROVED SYSTEM HAVE ALSO FAILED TO DISCLOSE THIS UNREGISTERED SECURITY WHICH IS "NOT COVERED" BY THE NEW TA AND FOR THEIR OMISSIONS AS ENTERED IN THE PRIOR, ARE CURRENTLY AND CONTINUE AS AN OMISSION. 1.C. NEW YORK SUPREME COURT MATTER 153974/2020 [RECEIVED NYSCEF: 08/09/2020 02:24 AM] \*\*\* VIOLATION AND FAILURE TO REPORT THIS AS A MATERIAL AND ONGOING SUBSTANTIVE CASE, UNDISCLOSED TO THE PUBLIC INVESTORS AT ANY TIME.

\*\*\* NO KNOWLEDGE OF ANY PENDING LAW SUITS, LOANS, LETTERS OF CREDIT, AND AT ANY TIME AS INDICATED IN THE CENTRAL REPORTING DEPOSITORY MAINTAINED BY FINRA.

A. [https://iaapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=au8qh7Dn6hrVnJ9DX PLUS\\_bdg-](https://iaapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=au8qh7Dn6hrVnJ9DX PLUS_bdg-)

[RECEIVED NYSCEF: 08/09/2020 02:24 AM]

B. <https://iaapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=wTG2Y2D2PqXuxmoKqFIESrw->

[RECEIVED NYSCEF: 08/09/2020 02:31 AM]

C. <https://iaapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=gcMSDaFzmQvynPeXZKSHgLQ->

[RECEIVED NYSCEF: 08/10/2020 03:42 PM]

D. [https://iaapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=IY8iDH PLUS\\_UpVanEtrCioef3A-](https://iaapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=IY8iDH PLUS_UpVanEtrCioef3A-)

2.A. I INFORMED ALL MATERIAL PARTIES OF THE UNDERLYING RISKS IN AUGUST OF 2020 AND ALSO PRESENTED THIS LETTER TO SULLIVAN PROPERTIES, ITS COUNSELORS, AND EXECUTIVES. [RECEIVED NYSCEF: 08/10/2020 03:45 AM] A. <https://iaapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=K9srXcweC7esRoSP08MntrA->

[RECEIVED NYSCEF: 08/11/2020 12:35 PM] B. <https://iaapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=9DTd7IfwXyajiwtBNCFb9g->

- THIS IS THE LETTER OF OBSTRUCTION I RECEIVED FROM LAURIE ZUCKER, AND DONALD ZUCKER. OBSTRUCTION OF JUSTICE, ABETTED TO BY THE SULLIVAN PROPERTIES L.P. AND ITS GENERAL PARTNER SULLIVAN GP LLC, NOTWITHSTANDING ITS AFFILIATES AND COUNSELORS.

- OVER \$6,000,000.00 THE STATE FARM INSTEAD OF DISCLOSING THE LOAN, AND OUTSIDE BUSINESS - THOUGHT IT WOULD BE A BETTER IDEA TO \*\* STEP 1: "CEASE TO EXIST AS AN INVESTMENT ADVISER" \*\* STEP 2: "MERGE INTO A LARGER INVESTMENT ADVISER" \*\* STEP 3: REPORT TO THE SECURITIES AND EXCHANGE COMMISSION AS FOLLOWS:

[https://reports.adviserinfo.sec.gov/reports/ADV/3487/PDF/3487.pdf\\*\\*1](https://reports.adviserinfo.sec.gov/reports/ADV/3487/PDF/3487.pdf**1), "HAVE NO DISCLOSED LOAN" 2. "COVERED FOR OMISSIONS AND ERRORS" 3. UNREASONABLE PREMIUMS AND INTEREST FOR A SECURED

"UNREGISTERED SECURITY" AND THE VALUE OF THE BUILDING [ 22 UNITS ] IN SOHO MANHATTAN ARE NOT WORTH \$150,000.00 / UNIT... NOT EVEN FOR A STUDIO. 2020-2021: Tax Bill Q4: NYC <https://a836->

<https://www.sec.gov/dcm-rest/repositories/dofedsmpts/StatementSearch?bbl=1005030011&stmtDate=20210227&stmtType=SOA>

2020-2021: Tax Bill Q3: NYC

<https://a836-edms.nyc.gov/dcm-rest/repositories/dofedsmpts/StatementSearch?bbl=1005030011&stmtDate=20201121&stmtType=SOA>

\*\*\* FAXED ALL THIS TO THE IRS / FINRA / SEC / AND ASKED THE POLICE TO ARREST AND RESTRAIN ANY FURTHER ACTION IN 2020. <https://iaapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=XiAuPpQ0AmpwFMDL00Cmrw->

ESTOPPEL: STATE FARM AND CEASE AND DESIST.

<https://iaapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=sWAEcnxmd/hcOI4eTnbgie->

ESTOPPEL: THE ZUCKER FAMILY. <https://iaapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=Jf3UnJaVXZwf7kvbaee4w-> \*\* THIS IS THE END RESULT... A LARGER BODY - WITH THE THREE MOST EXPENSIVE AUDIT FIRMS "NOT COVERED" AND NO DISCLOSURE OF A LOAN. - HOW WOULD THEY KNOW ABOUT THE "BULLETPROOF" STATUS OF A "NONPLUSED" TAX LIABILITY WHICH FOR ONE BUILDING OF THE 6 HAS NO CERTIFICATE TO COLLECT LAWFUL RENTS AND WAS USED AS A FORM OF "GUARANTEE" OF PAYMENT. INSTEAD PAID NEARLY 1 MILLION DOLLARS TO "CEASE TO EXIST AS INVESTMENT MANAGER" HOWEVER ON THEIR WAY OUT FILED AS FOLLOWS:

<https://www.sec.gov/Archives/edgar/data/0000093715/999999999721005616/filename1.pdf->

STEP 3: REPORT TO THE SECURITIES AND EXCHANGE COMMISSION AS FOLLOWS:

--- FACTS --- 1. "HAVE NO DISCLOSED LOAN" -REPORTED TO THE SOLICITATION AND TABULATION OF ALL THEIR INVESTORS (170,000) 2. "UNCOVERED FOR OMISSIONS AND ERRORS" - TRUE. 100% BUT NOT REPORTED.

3. UNREASONABLE PREMIUMS AND INTEREST FOR A SECURED "UNREGISTERED SECURITY" HELD BY THE NEW ENTITY - WHO MAY OR MAY NOT KNOW ABOUT ALL THIS. "AS EVIDENCED IN THE LOAN ANNEXED IN NY SUPREME COURT" ARE STILL "NOT AN ISSUE OF MATERIAL CONCERN" PER THE SEC: UNREPORTED\*\* [https://reports.adviserinfo.sec.gov/reports/ADV/3487/PDF/3487.pdf\\*\\*](https://reports.adviserinfo.sec.gov/reports/ADV/3487/PDF/3487.pdf**) HOWEVER\*\*

[https://www.sec.gov/Archives/edgar/data/0000093715/000119312521278180/d222043dn8f.htm\\*\\*](https://www.sec.gov/Archives/edgar/data/0000093715/000119312521278180/d222043dn8f.htm**)

WILL DISCLOSE: \$431,000.00 PAID AND RECEIVED AS A BONUS, AND HIS PRIOR INVOLVEMENT IN STATE FARM LLOYDS\*\*\*. PAID FOR IN FULL BY THE EARLIER INVESTMENT MANAGER [WHICH IS THE INVESTORS MONEY BY THE WAY] PAID IN TO THE DIRECTORS.

Total expenses (sum of lines (i) - (iii) above): \$912,500

2021-10 ASSETS: \$10,849,542,163

2021-11 ASSETS: \$10,164,850,238

\*\*\* WHERE MR. HARBERT IS "NOT REGISTERED" IN NEW YORK STATE AND ON BEHALF OF HIS "STATE FARM LIFE INSURANCE COMPANY" DID EXTEND A \$6,000,000.00

HOWEVER UPON NOTICE, WILL

\*\*\* SELF-DETONATE. PAID NEARLY 1 MILLION DOLLARS TO "CEASE TO EXIST AS AN INVESTMENT MANAGER" AND TO AVOID THE FINES WHICH IS HE IS FAMILIAR WITH AS A DISCLOSED OUTSIDE BUSINESSES: \*\* [NON-INVESTMENT RELATED] AS THE DIRECTOR OF "STATE FARM LLOYDS" FINED \$352,500,000.00 IN 2015 \*\* WAS AND IS CURRENTLY EXECUTIVE VICE PRESIDENT, CHIEF AGENCY SALES MARKETING OFFICER OF "STATE FARM AUTOMOBILE INSURANCE COMPANY" 2013-PRESENT, [ INVESTMENT RELATED ] AS THIS ENTITY "STATE FARM AUTOMOBILE INSURANCE COMPANY" OWNS 75% OF "STATE FARM INVESTMENT MANAGEMENT CORP." \*\* PAID NEARLY 1 MILLION DOLLARS TO "CEASE TO EXIST AS AN INVESTMENT MANAGER"

--- MISLEADING INVESTORS BY "PROMOTIONS" TO BELIEVE THAT PRICE WATERHOUSE WILL CONDUCT ACCOUNTING FOR A \$10 BILLION DOLLAR MUTUAL FUND AND FOR A MERGER.."

A TOTAL AMOUNT EQUAL TO \$9,000.00. AS THE SOLE ACCOUNTING FIRM REPORTED IN THEIR ANNUAL FILINGS IN THE 2020-2021 FILING PERIOD...

\*\*\* 2020-2021 ANNUAL FILING

[https://www.sec.gov/Archives/edgar/data/0000093715/000114554921006167/xslFormN-CEN\\_X01/primary\\_doc.xml](https://www.sec.gov/Archives/edgar/data/0000093715/000114554921006167/xslFormN-CEN_X01/primary_doc.xml)

\*\*\* 2021-2022 ANNUAL FILING [https://www.sec.gov/Archives/edgar/data/0000093715/000114554922006149/xslFormN-CEN\\_X01/primary\\_doc.xml](https://www.sec.gov/Archives/edgar/data/0000093715/000114554922006149/xslFormN-CEN_X01/primary_doc.xml)

... WITHOUT BEING REGISTERED IN THE STATE OF NEW YORK TO CONDUCT ANY FORM OF INVESTMENT BANKING IS ALSO NOW HOLDING A NOTE THAT IS BEARING THE TAX LIABILITY, AND AVOIDANCE TO PROSECUTION WHEREBY THE PREMIUMS AND INSURANCE COLLECTED ON A LETTER OF CREDIT... HOWEVER "INDEMNIFIED...BY "SULLIVAN PROPERTIES LP" WHO HAS ASSURED IN WRITING THAT THEY WILL REIMBURSE "STATE FARM" IN THE EVENT OF A DEFAULT, OR LATE PAYMENT.

ANNEXED IN NYSCEF 153974/2020

[https://iaapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=IY8iDH PLUS\\_UpVanEtrCioef3A-](https://iaapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=IY8iDH PLUS_UpVanEtrCioef3A-)

+++ I SENT THIS TO THE SUPREME COURT JUSTICES INDEPENDENTLY IN NOVEMBER AS WELL, BTW.

NOTICE TO STATE FARM: ["https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=n\\_PLUS\\_Cv5QR36fqPKko6L47FFQ=="](https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=n_PLUS_Cv5QR36fqPKko6L47FFQ==)

NOW READ THAT LOAN AND EXPLAIN TO WHAT HAPPENED, AND WHY THEY DISCLOSE IT FOR THE NEW INVESTORS OF TICKERS:26 CFR § 1.6662

#### Instructions.

##### 1. Item G.1.a.i. Legal proceedings.

- (a) If the Registrant responded "YES" to Item B.11.a., provide a brief description of the proceedings.
  - As part of the description, provide the case or docket number (if any), and the full names of the principal parties to the proceeding.
- (b) If the Registrant responded "YES" to Item B.11.b., identify the proceeding and give its date of termination.

##### 2. Item G.1.a.ii. Provision of financial support.

If the Registrant responded "YES" to Item B.14., provide the following information (unless the Registrant is a Money Market Fund):

- (a) Description of nature of support.
- (b) Person providing support.
- (c) Brief description of relationship between the person providing support and the Registrant.
- (d) Date support provided.
- (e) Amount of support.
- (f) Security supported (if applicable). Disclose the full name of the issuer, the title of the issue (including coupon or yield, if applicable) and at least two identifiers, if available (e.g., CIK, CUSIP, ISIN, LEI).
- (g) Value of security supported on date support was initiated (if applicable).
- (h) Brief description of reason for support.
- (i) Term of support.
- (j) Brief description of any contractual restrictions relating to support.

##### 3. Item G.1.a.iii.

Independent public accountant's report on internal control (management investment companies other than small business investment companies only). Each management investment company shall furnish a report of its independent public accountant on the company's system of internal accounting controls. The accountant's report shall be based on the review, study and evaluation of the accounting system, internal accounting controls, and procedures for safeguarding securities made during the audit of the financial statements for the reporting period. The report should disclose any material weaknesses in: (a) the accounting system; (b) system of internal accounting control; or (c) procedures for safeguarding securities which exist as of the end of the Registrant's fiscal year.

The accountant's report shall be furnished as an exhibit to the form and shall: (1) be addressed to the Registrant's shareholders and board of directors; (2) be dated; (3) be signed manually; and (4) indicate the city and state where issued. Attachments that include a report that discloses a material weakness should include an indication by the Registrant of any corrective action taken or proposed.

The fact that an accountant's report is attached to this form shall not be regarded as acknowledging any review of this form by the independent public accountant.

4. Item G.1.a.iv. Change in accounting principles and practices. If the Registrant responded "YES" to Item B.21, provide an attachment that describes the change in accounting principles or practices, or the change in the method of applying any such accounting principles or practices. State the date of the change and the reasons therefor. A letter from the Registrant's independent accountants, approving or otherwise commenting on the change, shall accompany the description.

5. Item G.1.a.v. Information required to be filed pursuant to exemptive orders. File as an attachment any information required to be reported on Form N-CEN or any predecessor form to Form N-CEN (e.g., Form N-SAR) pursuant to exemptive orders issued by the Commission and relied on by the Registrant.

6. Item G.1.a.vi. Other information required to be included as an attachment pursuant to Commission rules and regulations. File as an attachment any other information required to be included as an attachment pursuant to Commission rules and regulations.

Pursuant to the requirements of the Investment Company Act of 1940, the Registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

#### Instructions to Item C.16 and Item C.17.

[https://www.sec.gov/Archives/edgar/data/0000093715/000114554922006149/xslFormN-CEN\\_X01/primary\\_doc.xml](https://www.sec.gov/Archives/edgar/data/0000093715/000114554922006149/xslFormN-CEN_X01/primary_doc.xml)

<https://www.sec.gov/Archives/edgar/data/0000093715/000119312521278180/d222043dn8f.htm>

State Farm Associates' Funds Trust

SEC FILE NUMBER: 811-01519

[N-CEN] 0001145549-21-006167

REPORT DATE: 2020-11-30

FILING DATE: 2021-02-11

[https://www.sec.gov/Archives/edgar/data/0000093715/000114554921006167/xslFormN-CEN\\_X01/primary\\_doc.xml](https://www.sec.gov/Archives/edgar/data/0000093715/000114554921006167/xslFormN-CEN_X01/primary_doc.xml)

State Farm Associates' Funds Trust

SEC FILE NUMBER: 811-01519

[N-CEN] 0001145549-22-006149

REPORT DATE: 2021-11-30

FILING DATE: 2022-02-09

[https://www.sec.gov/Archives/edgar/data/0000093715/000114554922006149/xslFormN-CEN\\_X01/primary\\_doc.xml](https://www.sec.gov/Archives/edgar/data/0000093715/000114554922006149/xslFormN-CEN_X01/primary_doc.xml)

State Farm Associates' Funds Trust

[40-17G] 0000093715-20-000003

REPORT DATE: 2020-06-08

FILING DATE: 2020-06-08

Bond Period: April 1, 2020 to April 1, 2021

<https://www.sec.gov/Archives/edgar/data/0000093715/000009371520000003/aft2020.txt>

ICI MUTUAL INSURANCE COMPANY  
ATTN: RRG, 1401 H St. NW  
WASHINGTON, DC 20005  
— INVESTMENT COMPANY BLANKET BOND  
o State Farm Growth Fund  
o State Farm Balanced Fund  
o State Farm Interim Fund  
o State Farm Municipal Bond Fund

#### SEMI-ANNUAL HOLDING REPORT

State Farm Associates' Funds Trust

[N-CSRS] 0001193125-20-200810

REPORT DATE: 2020-05-31

FILING DATE: 2020-07-28

<https://www.sec.gov/Archives/edgar/data/0000093715/000119312520200810/d913497dncsrs.htm>

o State Farm Growth Fund	\$ 5,110,893,730
o State Farm Balanced Fund	\$ 2,034,992,761
o State Farm Interim Fund	\$ 433,682,403
o State Farm Municipal Bond Fund	\$ 714,878,356

TOTAL CAPITAL AT RISK: \$ 8,294,447,250

"The Funds did not hold any Level 3 securities or derivative instruments as of November 30, 2019 or for the six months ended."

"There were no transfers of securities between Level 1 and Level 2 as of may 31, 2020 as compared to November 30, 2019."

July 28, 2020

Pursuant to the requirements of the Securities Exchange Act of 1934 and the Investment Company Act of 1940, Sarbanes-Oxley Act of 2002.

#### [EX-99.CERT]

<https://www.sec.gov/Archives/edgar/data/0000093715/000119312520200810/d913497dex99cert.htm>

/s/ Joe R. Monk Jr., President.  
/s/ Paul J. Smith, Senior Vice President and Treasurer.

#### [EX-99.906CERT]

<https://www.sec.gov/Archives/edgar/data/0000093715/000119312520200810/d913497dex99906cert.htm>

/s/ Joe R. Monk Jr., President.  
/s/ Paul J. Smith, Senior Vice President and Treasurer.

#### SEC. 1001. SHORT TITLE.

This title may be cited as the "Consumer Financial Protection under the OY

This document may be filed as the "Consumer Financial Protection" under the CFPB.  
SEC. 1002. DEFINITIONS.

- (1) AFFILIATE.—The term "affiliate" means any person that controls, is controlled by, or is under common control with another person.  
(2) BUREAU.—The term "Bureau" means the Bureau of Consumer Financial Protection.  
(3) BUSINESS OF INSURANCE.—The term "business of insurance" means the writing of insurance or the reinsuring of risks by an insurer, including all acts necessary to such writing or reinsuring and the activities relating to the writing of insurance or the reinsuring of risks conducted by persons who act as, or are, officers, directors, agents, or employees of insurers or who are other persons authorized to act on behalf of such persons.

FORM: N-CEN

ANNUAL REPORT FOR REGISTERED INVESTMENT COMPANIES

TYPE: Form N-1A Filer [Mutual Fund]  
PERIOD: 2020-11-30  
FILER: 0000093715  
OMB NUMBER: 3235-0729

Registrant: State Farm Associates Funds Trust  
Date: 2021-02-11  
Signature: Terrence Ludwig  
Title: Chief Compliance Officer

NAME: State Farm Associates Funds Trust  
INVESTMENT COMPANY ACT FILE NUMBER: 811-01519  
CIK: 0000093715  
LEI: 254900040XUSSLGWSS55

REGISTRANT: Open-end management investment company  
registered under the Act on Form N-1A

SHARED CREDIT LINES

NAME: State Farm Mutual Automobile Insurance Company

SEC FILE NUMBER: 811-01519

NAME 1: State Farm Municipal Bond Fund  
NAME 2: State Farm Interim Fund  
NAME 3: State Farm Balanced Fund  
NAME 4: State Farm Growth Fund

INVESTMENT ADVISERS: State Farm Investment Management Corp.  
SEC FILE NUMBER: 801-8184  
CRD NUMBER: 000003487

TRANSFER AGENTS: State Farm Investment Management Corp  
SEC FILE NUMBER: 084-00839

SERVICE AGENTS: State Farm Investment Management Corp.

ADMINISTRATORS: State Farm Investment Management Corp.

UNDERWRITER: State Farm VP Management Corp.  
CRD: N/A  
SEC FILE NUMBER: 008-50128

BROKER-DEALER: State Farm VP Management Corp.  
SEC FILE NUMBER: 008-50128  
CRD NUMBER: 000043036

CHIEF COMPLIANCE OFFICER: Terrence Ludwig  
CRD NUMBER: 003231040  
ONE STATE FARM PLAZA  
BLOOMINGTON, IL, 61710

DIRECTOR 1: Thomas M Mengler  
DIRECTOR 2: David L Vance  
DIRECTOR 3: Alan R Latshaw  
DIRECTOR 4: Anita M Nagler

DIRECTOR 5: Joe R Monk Jr  
CRD NUMBER: 001357149  
DIRECTOR 6: Paul J Smith  
CRD NUMBER: 004971235

CUSTODIAN 1: JPMorgan Chase Bank, N.A.  
LEI: 7H6GLXDRUGOFU57RNE97  
CUSTODY: Section 17(f)(1)  
[15 U.S.C. 80a-17(f)(1)]  
STATE: NEW YORK

ACCOUNTANT 1: Pricewaterhouse Coopers LLP  
PCAOB NUMBER: 00238

State Farm Investment Management Corp.

N-1A, SERIES REGISTERED: 4  
RELIANCES: 40-17G SFITX BLANKET COVERAGE.  
Rule 12d1-1 [17 CFR 270.12d1-1]

NAME 1: State Farm Growth Fund  
AUM: 5,308,974,798.00  
TICKER: STFGX  
SEC FILE NUMBER: 811-01519  
SERIES: S000002055  
LEI: 25490064REEQMGE0GC29  
CIN: C000005410  
CIK: 93715

NAME 2: State Farm Balanced Fund  
AUM: 2,084,421,670.00  
TICKER: STFBX  
SEC FILE NUMBER: 811-01519  
SERIES: S000002056  
LEI: 254900EBILZP44C0ET43  
CIN: C000005411  
CIK: 93715

NAME 3: State Farm Interim Fund  
AUM: 429,251,103.00  
TICKER: SFITX  
SEC FILE NUMBER: 811-01519  
SERIES: S000002057  
LEI: 254900QMKPLXFY2D0125  
CIN: C000005412  
CIK: 93715

NAME 4: State Farm Municipal Bond Fund  
AUM: 718,300,227.00  
TICKER: SFBDX  
SEC FILE NUMBER: 811-01519  
SERIES: S00000205  
LEI: 254900XY61CSODNC1J50  
CIN: C000005413  
CIK: 93715

FDIC Law, Regulations, Related Acts is a compilation of banking-related statutes, regulations, statements of policy, and similar material. It includes the FDIC Act, FDIC regulations, FDIC Statements of Policy, and as well as other banking laws, regulations, and similar material. This publication is not intended to present all laws and regulations applicable to insured depository institutions. If it does not include the material you are looking for, please consult the online versions of the US Code, Code of Federal Regulations, Federal Register, or the promulgating agency's website: <https://www.fdic.gov/regulations/laws/rules/8000-4300.html>

I ALSO PROVIDED TO MISS DOUGHERTY & HER COUNSELORS AS WELL..

- SO LET ME KNOW IF THOSE CRDs ARE NOT REFLECTING THE DOCKET, AND LOSSES FOR THE \$9000.00 AUDIT AS WELL FOR \$170,000.00 PAID IN POSTAGE.

1. "UNREGISTERED SECURITY" and a 'FIDELITY' of State Farm Assurances Funds Trust, under its Principals is ANNEXED in NYSCEF Matter 153974/2020, as is the State Farm Assurances Funds Trust but is not disclosed under B.14 had been omitted in the new IA, ADVISERS INVESTMENT TRUST as well.
2. Omissions held at the new Investment Adviser are tucked from the public eye, absent of their Promoters in the earlier fund, which DECIDED TO NO LONGER EXIST.
3. The premiums and interest paid by the Sullivan Properties LP to State Farm are unreasonable with respect to the properties they guarantee, as the Tax payments are an assurance in the Loan I annexed in the matter of 153974/2020 which was in a NY Supreme Court so we have a failure by all members of State Farm VP Management Corp, who executed and Notarized the Loan 50074.
5. OBSTRUCTION. I requested to amend the CAPTION to include all material parties, notwithstanding the ENTITY in the scope of this Loan 50074, having NOT indemnified themselves in the event of an issue of insolvency; where reimbursement would be impossible.
6. INVESTOR RISK EXPOSURE. 10-years of erroneous reports and linear growth in income generated an automatic PROPERTY VALUE ASSESSMENT [NOPV] by the NY Dept. of Taxation, which was paid quarterly and for the last-15 years. The penalties, compounded interest, and for 10-YEARS is approximately \$50,000,000.00; and was calculated on the basis of the property taxes reported and paid as promulgated by the NY Dept of Finance and Taxation, is so not authorized to conduct investment banking transactions or structure Loans for clients in the State of New York (Harbert) who is affiliated and as a director of State Farm Lloyds and the State Farm Assurances Trust.
7. CRIMINAL PROSECUTION. EVASION with INTENT is understood as seen in the proceedings and NY tax records for all properties, which have reported YoY double digit gains in the estimates and also the receipts for the buildings to obtain a \$6,000,000.00 (six million dollar loan) collateralized by those assets and guaranteed in letter of credit from State Farm, issued those monies to the SULLIVAN PROPERTIES LP and the NOTE 50074 was secured by the unlawful fees, rents, and leases which are not permitted to be held at a US depository, per the FDIC, without a certificate of occupancy - present an indeterminate risk and liability to its investors without any DISCLOSURE by any Member of State Farm VP Management Corp (FINRA MEMBER 43036) and its PROMOTERS (MS), as contemporaneous notice of the TCR filed on November 13th, 2021 was also provided to MS on November 16th, 2021; and again on December 18th, 2021 as their "Promoters of consistent and correct information..." received premiums and without any public records which demonstrate any responsibility as their fiduciary roles to both the investors and risks as seen in the losses observed in the losses by the four tickers now under the management of ADVISERS INVESTMENT TRUST.
- As far as the opportunity cost for the investors who blindly invested their assets into the surviving IA, could have placed the money into a safer and similar holding company like ticker: BRK-B, without having to take advantage of the underlying information and holdings which are reported by Mr. Monk and without and Level 3 exposure, who works with Mr.Harbert at STATE FARM VP MANAGEMENT CORP as its Principal at the State Farm Assurances Trusts In the prior.
- Bonds, Stocks, and other derivatives more likely than not were used to their advantage in addition to the premiums received by MORGAN STANLEY, and at the sacrifice of certain obligations to the State Farm Assurances Funds Trust also obtained payments to "better promote the quality of information..." and without consideration of the implications to aiding and abetting to the taxes avoided by the Sullivan Properties LP, as referenced hereunder, which also poses a huge threat to the current investors of ADVISERS INVESTMENT TRUST, do not report this in their public filings, and by their new Compliance Officer 'LUDWIG' from the prior is licensed with State Farm VP management Corp as its Chief compliance Officer.
- 11-30-2021 Annual Report reported 'LUDWIG' 'Monk' and later Nasdaq for the closing prices ending on 03-31-2022 under the new Adviser is also "Not Covered" for the Omission and losses registered, whereby the Compliance officer has not DISCLOSED the Loan, legal proceedings, and compensated the C.16 Brokers — despite having knowledge of Loan 50074 in the matter of New York Supreme 153974/2020.
- I discovered and annexed upon discovery of no Certificate of Occupancy those risks in June of 2020 and for several buildings owned and operated by the recipient of the cash for Loan 50074, which was credited by State Farm, and located at one State Farm Plaza was also Notarized by its FIDELITY under the blanket of the SFITX 40-17G indenture.
8. CUSTODY RISK. JP Morgan has stepped aside as its custodian, however the Loan 50074 has caused a certain loss and at the cost of \$912,500.00, it was decided that a merge me into a larger body of risk; despite having doubled their limit of their AUDIT expense... are not covered for the Omissions and by their own indenture for the losses suffered for a failure to DISCLOSE the legal proceedings, Loan, have DISCLOSED the Directors as "Covered" for Omissions after having absorbed nearly \$900,000,000.00 (nine hundred million dollars) in losses and in the first quarter of 2022.
- The last and final report by the CIK 931715 in 11-30-2021 as ASSETS transferred to the new Adviser [151...] lost these monies as accounted for each ticker and using the link below.
9. AUDIT FEES. Under the indenture and limit of liability in the prior, we're "Not Covered" hence paid \$9,000.00 I'm accounting with Price Waterhouse as their sole accounting firm, however did check off a box to set aside certain expenses for the future and in the new umbrella, which was increased from \$25,000 to \$50,000 however is not covered given the total assets under management which accepts a minimum investments at \$250.00 and in \$50.00 increments, and paid \$170,000.00 in postage to main those "proxies" and during the interim period of "Merger" the Directors of State Farm VP Management Corp also paid themselves roughly \$400,000.00 in "Director Fees".
10. UNFAIR DEALINGS. Morgan Stanley accounted YoY payments in FY2020, FY2021, and in 2020 accounted a partial payment for the interim period and in their fiduciary roles permitted for other to enjoin without any notice of disclosure both to the other "Brokers" under C.16 and to the investors who suffered losses at the GROSS NEGLIGENCE also may have also taken advantage of the imminent losses, with prior knowledge of the material and substance of the Loan, pending legal action, continued to further aid and abet to the above matters and also were paid to bring more investors into the New Adviser.