

Subject Fw: Injunctions against gross abuse. - 40 Act +Supplements to 50

From Bo Dincer <bo.dincer@yahoo.com>

To: Governor Hochul <governor.hochul@exec.ny.gov>, David Moore <david.moore.ct95@statefarm.com>, State Farm <mutualfunds@statefarm.com>, State Farm <info@statefarm.com>, engineering@spglobal.com <engineering@spglobal.com>

Cc: MILTON MCKENZIE <ms60710444266@yahoo.com>, Rule-Comments <rule-comments@sec.gov>, Chair <chair@sec.gov>

Bcc: tmalafrente2@bloomberg.net <tmalafrente2@bloomberg.net>, GOLDMAN INVESTOR RELATIONS <marquee@gs.com>, Goldman Sachs <briefings@gs.com>, FDIC Public Information <publicinfo@fdic.gov>, Career Pivot Team <gs@gs.com>

Date Sat, Jun 25, 2022 at 4:28 PM

Hey BTW Governor Hochul,

That SFITX is an interim Treasury bond fund... ask anyone!

- In case you were unaware, when yields go higher, the bond prices go lower, by more than 10 basis points.

For example, on Friday, June 24th, 2022.
— those rates moved HIGHER in each key rate bucket, but non-material to the prices of their holdings? You need to regress for about two years to understand HOW much negative. TY.

That's U.S. Treasury bonds... but if I gave you a count of occurrences, I would be lying...

See also: SFBDX (the Municipal Bond Fund).

/S/ BO DINCER.

----- Forwarded Message -----
From: "Bo Dincer" <bo.dincer@yahoo.com>
To: "FDIC Public Information" <publicinfo@fdic.gov>
Cc: "Chair" <chair@sec.gov>
Sent: Sat, Jun 25, 2022 at 3:46 PM
Subject: Fw: Injunctions against gross abuse. - 40 Act +Supplements to 50
Thank you for the guidance as well.

/S/ BO DINCER.

----- Forwarded Message -----
From: "B D2022" <ms60710444266@yahoo.com>
To: "ComplianceOutreach@sec.gov" <ComplianceOutreach@sec.gov>, "Charly Shugg" <CShugg@sylint.com>
Cc: "KATHY HOCHUL" <governor.hochul@exec.ny.gov>, "ms60710444266@yahoo.com" <MS60710444266@YAHOO.COM>, "CHAIR@SEC.GOV" <CHAIR@SEC.GOV>
Sent: Sat, Jun 25, 2022 at 3:34 PM
Subject: Injunctions against gross abuse. - 40 Act +Supplements to 50

Miss Schock,

re: Injunctions against gross abuse. - 40 Act +Supplements to 50074 [29 compact]

Please also add this short-list of concerns attached and below for your review and consideration as well.

Most Respectfully,

/s/ BO DINCER.

Under the Investment Company Act of 1940.
DESTRUCTION AND FALSIFICATION OF REPORTS AND RECORDS
SEC. 34.

(a) It shall be unlawful for any person, except as permitted by rule, regulation, or order of the Commission, willfully to destroy, mutilate, or alter any account, book, or other document the preservation of which has been required pursuant to section 31 (a) or 32 (c).
(b) It shall be unlawful for any person to make any untrue statement of a material fact in any registration statement, application, report, account, record, or other document filed or transmitted pursuant to this title or the keeping of which is required pursuant to section 31 (a).

It shall be unlawful for any person so filing, transmitting, or keeping any such document to omit to state therein any fact necessary in order to prevent the statements made therein, in the light of the circumstances under which they were made, from being materially misleading. For the purposes of this subsection, any part of any such document which is signed or certified by an accountant or auditor in his capacity as such shall be deemed to be made, filed, transmitted, or kept by such accountant or auditor, as well as by the person filing, transmitting, or keeping the complete document.

Injunctions against gross abuse.

SEC. 36.

The Commission is authorized to bring an action in the proper district court of the United States or United States court of any Territory or other place subject to the jurisdiction of the United States, alleging that a person serving or acting in one or more of the following capacities has been guilty, after the enactment of this title and within five years of the commencement of the action, of gross misconduct or gross abuse of trust in respect of any registered investment company for which such person so serves or acts:

- (1) as officer, director, member of an advisory board, investment adviser, or depositor; or
- (2) as principal underwriter, if such registered company is an open-end company, unit investment trust, or face-amount certificate company.

If the Commission's allegations of such gross misconduct or gross abuse of trust are established, the court shall enjoin such person from acting in such capacity or capacities either permanently or for such period of time as it in its discretion shall deem appropriate.

If the Commission's allegations of such gross misconduct or gross abuse of trust are established, the court shall enjoin such person from acting in such capacity or capacities either permanently or for such period of time as it in its discretion shall deem appropriate.

TRANSACTIONS OF CERTAIN AFFILIATED PERSONS AND UNDERWRITERS
-UNLAWFUL TRANSACTIONS

SEC. 17.

(a) It shall be unlawful for any affiliated person or promoter of or principal underwriter for a registered investment company (other than a company of the character described in section 12 (d) (3) (A) and (B)), or any affiliated person of such a person, *promoter*, or *principal underwriter*, acting as principal- (1) knowingly to sell any security or other property to such registered company or to any company controlled by such registered company, unless such sale involves solely

(A) securities of which the buyer is the issuer,

(B) securities of which the seller is the issuer and which are part of a general offering to the holders of a class of its securities, or

(C) securities deposited with the trustee of a unit investment trust or periodic payment plan by the depositor thereof;

(2) knowingly to purchase from such registered company, or from any company controlled by such registered company, any security or other property (except securities of which the seller is the issuer)

Liability of directors, etc., for willful misfeasance.

(h) After one year from the effective date of this title, neither the charter, certificate of incorporation, articles of association, indenture of trust, nor the by-laws of any registered investment company, nor any other instrument pursuant to which such a company is organized or administered, shall contain any provision which protects or purports to protect any director or officer of such company against any liability to the company or to its security holders to which he would otherwise be subject by reason of willful misfeasance, bad faith, gross negligence or reckless disregard of the duties involved in the conduct of his office.

In the event that any such instrument does not at the effective date of this Act comply with the requirements of this subsection (h) and is not amended to comply therewith prior to the expiration of said one year, such company may nevertheless continue to be a registered investment company and shall not be deemed to violate this subsection if prior to said expiration date each such director or officer shall have filed with the Commission a waiver in writing of any protective provision of the instrument to the extent that it does not comply with this subsection, and each such person subsequently elected or appointed shall before assuming office file a similar waiver.

(i) After one year from the effective date of this title no contract or agreement under which any person undertakes to act as investment adviser of, or principal underwriter for, a registered investment company shall contain any provision which protects or purports to protect such person against any liability to such company or its security holders to which he would otherwise be subject by reason of willful misfeasance, bad faith, or gross negligence, in the performance of his duties, or by reason of his reckless disregard of his obligations and duties under such contract or agreement.

SEC. 32.
ACCOUNTANTS AND AUDITORS

(c) The Commission is authorized, by rules and regulations or order in the public interest or for the protection of investors, to require accountants and auditors to keep reports, work sheets, and other documents and papers relating to registered investment companies for such period or periods as the Commission may prescribe, and to make the same available for inspection by the Commission or any member or representative thereof.

INVESTMENT ADVISERS ACT OF 1940

SEC. 206.
It shall be unlawful for any investment adviser registered under section 203, by use of the mails or any means or instrumentality of interstate commerce, directly or indirectly-

(1) to employ any device, scheme, or artifice to defraud any client or prospective client;

(2) to engage in any transaction, practice, or course of business which operates as a fraud or deceit upon any client or prospective client

MATERIAL MISSTATEMENTS
SEC. 207.
It shall be unlawful for any person willfully to make any untrue statement of a material fact in any registration application or report filed with the Commission under section 203 or 204, or willfully to omit to state in any such application or report any material fact which is required to be stated therein.

ATTACHED DEED AND NYC DEPT OF FINANCE TAX RECORDS FOR THE 10-YEARS PRIOR.

LOAN DOCKET 50074 - NYSCEF MATTER 153974/2020

LETTER OF CREDIT FOR \$6,000,000.00 SECURED BY UNLAWFUL LEASES AND RENTS.

USC 18.21, 18.225, 18.215, 18.4, 18.3, 18.229B
++ Tax records & unlawful income.

[LOAN 50074 EST++]

FILED AND KNOWN AS REFERENCED IN THE SEQUENCE OF EXHIBITS FILED IN THE MATTER OF NYSCEF 153974/2020

<<https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=19MVPFXy0G0QvnmRLGpYIQ==>>

STATE FARM (ADDRESSED IN THE STATE OF ILLINOIS) ISSUED THIS TO THE BENEFIT OF THE FOLLOWING CORPORATIONS AND UNDER THE AUSPICE OF THEIR DIRECTORS, AS FOLLOWS:

- A. SULLIVAN PROPERTIES LP.
- B. SULLIVAN GP LLC.
- C. MANHATTAN SKYLINE MANAGEMENT CORP.
- D. THE ZUCKER ORGANIZATION.

NOTARIZED BY DONALD ZUCKER ON MAY 13TH, 2020 THEN FILED WITH THE NYC DEPT. OF FINANCE REGISTER.

AS REFERENCED ABOVE, WAS FILED WITH THE NY FINANCE REGISTER AND IN NEW YORK SUPREME COURT CIVIL PART, PRIOR TO THE SEMI-ANNUAL REPORT WAS FILED BY STATE FARM UNDER PAUL SMITH AND TERRANCE LUDWIG [IN HIS 40-17G FILINGS] NEGLECTED OVER SEVERAL REPORTING PERIODS TO INCLUDE THE MATERIAL SUBSTANCE AND EXPOSURES AS IMPLIED BY THE RISKS OF THE OUTCOME OF NYSCEF MATTER 153974/2020 - WHICH NEVER WAS QUASHED OR FORGIVEN, OR WAIVED TO ANY EFFECT.

THE PROCEEDINGS WERE OBSTRUCTED BY THE CORPORATIONS, THEIR DIRECTORS, AND ATTORNEYS AS SEEN IN THOSE PROCEEDINGS WERE AWARE OF ALL CONFIRMATIONS FILED, NOTWITHSTANDING THE NOTARY SERVICES OF MISS ASHLEY HUMPHRIES WHO ALSO PARTICIPATED IN THE CASE.

ALSO ANNEXED AND FILED THEIR DISTRIBUTION OF PRIVATE VIDEOS AND PHOTOGRAPHS FROM THE INTERIOR OF MY APARTMENT - TAKEN WITHOUT MY CONSENT.

THESE VIDEOS WERE ADULTERED, PHOTO-SHOPPED, HOSTED, AND ALSO CONVERTED AND EMAILED INTO *.MOV FILES AS SEEN IN THE DOCKETS ENTERED AND ADMITTED BY THEIR COUNSELORS, WERE AWARE AND WILLFULLY CONTINUED TO OBSTRUCT JUSTICE IN ORDER TO AVOID ANY DELUGE OF INFORMATION BY STATE FARM AND TO UNLAWFULLY SECURE A LOAN FOR \$6,000,000.00 WAS DISTRIBUTED BY AND BETWEEN THOSE MEMBERS BELOW (IN SALARIES, WAGES, AND FOR WHATEVER PURPOSES THEY WOULD OTHERWISE USE THOSE FUNDS) WERE PRESENTED TO THE CLERK AND JUDGE ALONG WITH MY REQUESTS FOR THEM TO CEASE AND DESIST FROM ANY FURTHERANCE AND TO STOP FILMING AND PHOTOGRAPHING THE INTERIOR OF APARTMENT - FELT THAT IT WOULD BE ENTERTAINING TO CONTINUE TO HARASS BOTH MY TIME - AS WELL AS THE STATE'S RESOURCES DURING THE HEIGHT OF THE COVID-19 PANDEMIC. THE TAX RECEIPTS WERE ALSO FILED AND DISTRIBUTED TO ALL MATERIAL PARTIES UPON DISCOVERY, AS FOLLOWS [A SHORT LIST OF 10 INDIVIDUALS, WITHOUT HAVING TO NAME ALL OF STATE FARM'S ENTITIES]:

- 1. MR. DONALD ZUCKER.
- 2. MS. LAURIE ZUCKER.

THE ATTORNEYS IN NYSCEF 153974/2020 - FOR CONFIRMATION CONTINUED IN THEIR AFFAIR OVER A PERIOD OF SEVERAL MONTHS, BEGINNING FIRST ON JUNE 5TH, 2020 - BEGAN FILING ARBITRARY CLAIMS WITHOUT ANY DEMAND FOR MONEY, OR A CLAIM UPON WHICH ANY MERIT FOR AWARD EXISTS, ABSENT OF THOSE WHICH I DEMANDED FROM THE COURTS AND ALSO FILED UPON MY ADVERSARIES IN THE MATTER - HAVE NOT RETURNED AN EMAIL, PHONE CALL, OR THE UNLAWFUL RENTS WHICH THEY COLLECTED - WERE USED AS AN ARTIFACT OF "CREDIBILITY" TO OBTAIN A LOAN FROM STATE FARM.

- 3. MS. SHARI LASKOWITZ.
- 4. MR. PAUL REGAN.
- 5. MR. CORY WEISS.
- 6. MS. ASHLEY HUMPHRIES.
- 7. MR. JOSEPH GIAMBOI.

>> LETTER OF OBSTRUCTION [DOCKET 399]

>> CAUSED - IN PART - A BREACH OF THE SARBANES-OXLEY AND THE OMISSIONS AS EXPRESSED BELOW.

>> FAILURE TO DISCLOSE BY PRICE WATERHOUSE COOPERS IN TWO SEMI-ANNUAL REPORTS.

>> BOTH FILED WITH THE SECURITIES & EXCHANGE COMMISSION UNDER CIK FILER 93715 AND 1516523.

>> FAILURE TO DISCLOSE BY PRICE WATERHOUSE COOPERS IN TWO ANNUAL REPORTS.

>> BOTH FILED WITH THE SECURITIES & EXCHANGE COMMISSION UNDER CIK FILER 93715 AND 1516523.

STATE FARM

THEIR DIRECTORS.

- 8. MR. TERRENCE LUDWIG.

>> FAILS TO DISCLOSE ANY MATERIAL LEGAL ACTIONS, CLAIMS.

>> NOT COVERED FOR LOSSES AS A RESULT OF OMISSIONS.

>>>> CERTIFIED UNDER CERT-99 AND A BREACH UNDER 63.18 OF THE SARBANES-OXLEY (FILED WITH THE SECURITIES AND EXCHANGE COMMISSION) IN SEVERAL REPORTING PERIODS.

>>>> ASSERTED THE SAME AND IN FISCAL REPORTING PERIODS 2020, 2021, AND 2022 UNDER CIK FILER 93715 AND 1516523.

- 9. MR. JOE MONK, JR.
- 10. MR. PAUL SMITH.

LOAN 50074 EST++
<<https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=19MVPFXy0G0QvnmRLGpYIQ==>>

RE: JP MORGAN CHASE
RE: MORGAN STANLEY & CO (USED TWO CRD INDICATORS UNDER CIK FILER 93715 AND 1516523)

<<https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=R9aac7D6DBJZ1wsiq0b38A==>>
- Unlawful custody and deposits AT A US BANK - is unlawful, per the FDIC. this was also obstructed by the assisted services at the towers of EARL.

Does this make sense, Miss Hochul

- for a C5 edifice in ZIP CODE 10012 in the following tax periods for the 20 units at 111 SULLIVAN STREET, NEW YORK, NY, 10012?

- ALL SIX PROPERTIES CONTAIN A FULL OR PARTIAL ABSENCE OF A CERTIFICATE OF OCCUPANCY, OR INSPECTION AT ALL RELEVANT TIMES.

----- Forwarded Message -----
Subject:Fwd: what's the opposite of not sharing externally.
Date:Sat, 25 Jun 2022 13:12:02 -0500
From:B D2022 <ms60710444266@yahoo.com>
To:ComplianceOutreach@sec.gov

----- Forwarded Message -----
Subject:what's the opposite of not sharing externally.
Date:Sat, 25 Jun 2022 10:49:33 -0500
From:B D2022 <ms60710444266@yahoo.com>
To:Terrance Ludwig <terrance.ludwig@statefarm.com>, replacementprogram@statefarm.com, rebecca.coyle@statefarm.com <rebecca.coyle@statefarm.com>, PHIL SUPPLE <phil.supple.hid9@statefarm.com>, PAUL.SMITH@STATEFARM.COM, Nicole Bowyer <nicole.bowyer@statefarm.com>, State Farm <mutualfunds@statefarm.com>, MIKE.CARTER.HUSL@STATEFARM.COM, JOSE.MONK@STATEFARM.COM, dick.luedke.h2hj@statefarm.com, David Moore <david.moore.ct95@statefarm.com>, brian.hodgson.nyz6@statefarm.com <brian.hodgson.nyz6@statefarm.com>, [NTRS] Steven Santiccioli <sjs5@ntrs.com>, Steven Santiccioli, Northern Trust <steve@bloomberg.net>, Brent Reeder <bdr11@bloomberg.net>, Rebekah Holt <rholt22@bloomberg.net>, Jon Wilson <jwilson578@bloomberg.net>, gtmoatp@ntrs.com <gtmoatp@ntrs.com>, Charly Shugg <CShugg@syllint.com>
CC:whistleblowerprotection@doioig.gov, ACSInspectorGeneral@doi.nyc.gov <ACSInspectorGeneral@doi.nyc.gov>

micro-structures?

- BUT WHAT ABOUT BENJAMINS, SIMPLIFIED IS IN FACT MORE COMPLICATED-

----- Forwarded Message -----
Subject:Read: Fwd: Say it with me now, what's the opposite of not sharing externally.
Date:Tue, 21 Jun 2022 01:50:53 +0000
From:Charly Shugg <CShugg@syllint.com>
To:B D2022 <ms60710444266@yahoo.com>

Your message

To: Charly Shugg
Subject: Fwd: Say it with me now, what's the opposite of not sharing externally.
Sent: Monday, June 20, 2022 7:49:34 PM (UTC-05:00) Eastern Time (US & Canada)

was read on Monday, June 20, 2022 9:50:53 PM (UTC-05:00) Eastern Time (US & Canada).

Charly Shugg

Partner | COO

Syllint

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