

Subject Mr monk of State Farm FSB in 2021 (93715) OX 63.18
From pinkbookswap@yahoo.com <pinkbookswap@yahoo.com>
To: FDIC Public Information <publicinfo@fdic.gov>, Bo Dincer <bo.dincer@yahoo.com>
Cc: Rule-Comments <rule-comments@sec.gov>, losangeles@sec.gov <losangeles@sec.gov>, IMshareholderproposals <imshareholderproposals@sec.gov>
Date Wed, Jun 15, 2022 at 9:01 AM

First filed in 3/2021 to set aside CIK filer 93715.

In happenstance the bank as well, in March 2021.
- after the fact, because the letter of credit was Notarized and filed in May 2020.

https://files.brokercheck.finra.org/individual/individual_1357149.pdf

President and CEO of State Farm Bank, FSB

A breach of the Sabanes-Oxley, due to obstructions as see in those proceedings and results = OMISSIONS carried over to statements by its publicly traded audit firm, for starters.
– no class iii holdings reported, no legal matters, not covered for omissions.
– Lost the greater of \$500,000,000.00 by that time.

cert99-EX

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Registration and Employment History

Registration History

The broker previously was registered with the following firms:

Registration Dates	Firm Name	CRD#	Branch Location
No information reported.			

Employment History

This section provides up to 10 years of an individual broker's employment history as reported by the individual broker on the most recently filed Form U4.

Please note that the broker is required to provide this information only while registered with FINRA or a national securities exchange and the information is not updated via Form U4 after the broker ceases to be registered. Therefore, an employment end date of "Present" may not reflect the broker's current employment status.

Employment	Employer Name	Position	Investment Related	Employer Location
09/2019 - Present	Sundial Labs	Director	N	Bloomington, IL, United States
09/2018 - Present	State Farm Ventures, LLC	Board of Managers	N	Bloomington, IL, United States
03/2018 - Present	State Farm Fire and Casualty Company	Director	N	Bloomington, IL, United States
11/2017 - Present	State Farm International Services, Inc.	President, Chief Executive Officer, and Director	N	Phoenix, AZ, United States
08/2017 - Present	State Farm Mutual Automobile Insurance Company	Senior Vice President - Financial Services	N	Bloomington, IL, United States
09/2016 - Present	State Farm Lloyds, Inc.	Director	N	Bloomington, IL, United States
06/2015 - Present	State Farm Variable Product Trust	Chairperson of the Board, President and Trustee	Y	Bloomington, IL, United States
07/2010 - Present	STATE FARM VP MANAGEMENT CORP	Sr. Vice President and Director	Y	BLOOMINGTON, IL, United States
07/2010 - Present	State Farm Investment Management Corp.	Sr. Vice President and Director	Y	Bloomington, IL, United States

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Registration and Employment History

Employment History, continued

Employment	Employer Name	Position	Investment Related	Employer Location
05/2010 - Present	State Farm Heath Insurance Company	Sr. Vice President, Chief Administrative Officer, and Director	N	Bloomington, IL, United States
05/2010 - Present	State Farm Life Insurance Company	Sr. Vice President, Chief Administrative Officer, and Director	N	Bloomington, IL, United States
05/2010 - Present	State Farm Life and Accident Assurance Company	Sr. Vice President, Chief Administrative Officer, and Director	N	Bloomington, IL, United States
06/2015 - 03/2022	State Farm Associates' Fund Trust	Chairperson of the Board, President, and Trustee	Y	Bloomington, IL, United States
07/2017 - 03/2021	State Farm Bank F.S.B	President & Chief Executive Officer	Y	Bloomington, IL, United States
09/2017 - 09/2020	Plaza One Realty Co	President, Director, and Chief Executive Officer	N	Bloomington, IL, United States
06/2015 - 04/2019	State Farm Mutual Fund Trust	Chairperson of the Board, President and Trustee	Y	Bloomington, IL, United States
03/2016 - 07/2017	State Farm Bank, F.S.B.	Director	Y	Bloomington, IL, United States
04/2010 - 07/2017	STATE FARM MUTUAL AUTOMOBILE INSURANCE COMPANY	SR. VICE PRESIDENT & CHIEF ADMINISTRATIVE OFFICER - LIFE, VICE PRESIDENT - HEALTH/MUTUAL FUNDS	Y	BLOOMINGTON, IL, United States
01/2017 - 01/2017	American Council of Life Insurance	Board Member	Y	Washington, DC, United States
01/2013 - 12/2016	AMERICAN COUNCIL OF LIFE INSURANCE	Board Member	Y	WASHINGTON, DC, United States
07/2012 - 09/2016	State Farm Lloyds	Underwriter	N	Bloomington, IL, United States
01/2014 - 12/2015	LL GLOBAL, INC.	Chairman of the Board	Y	Windsor, CT, United States

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- casually handed the title over, no conflict and nothing going on...
- and all else under CERT-99 and it's supplements executed by Mr. Paul Smith.
- index page, attestations filed annually and supported further by Pricewaterhouse Coopers.

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Employment	Employer Name	Position	Investment Related	Employer Location
09/2020 - Present	State Farm Mutual Automobile Insurance Company	EVP & Chief Operating Officer	N	Bloomington, IL, United States
01/2020 - Present	Dover Bay Specialty Insurance Company	Vice President and Director	N	Bloomington, IL, United States
12/2019 - Present	HiRoad Assurance Company	Director and President	N	Bloomington, IL, United States
10/2019 - Present	Oglesby Reinsurance Company	Vice President and Director	N	Bloomington, IL, United States
09/2018 - Present	State Farm Ventures, LLC	Board of Managers	N	Bloomington, IL, United States
06/2016 - Present	State Farm Lloyds, Inc.	Director and Secretary	N	Bloomington, IL, United States
01/2016 - Present	State Farm Fire and Casualty Company	Sr. Vice President and Director	N	Bloomington, IL, United States
01/2015 - Present	State Farm Variable Product Trust	Sr. Vice President, Treasurer, and Trustee	Y	Bloomington, IL, United States
03/2011 - Present	State Farm Health Insurance Company	Director	N	Bloomington, IL, United States
03/2011 - Present	State Farm Investment Management Corp.	Sr. Vice President and Director	Y	Bloomington, IL, United States
03/2011 - Present	State Farm Life and Accident Assurance	Director	N	Bloomington, IL, United States

03/2011 - Present	State Farm Life and Accident Insurance Company	Director	N	Bloomington, IL, United States
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Registration and Employment History

Employment History, continued

Employment	Employer Name	Position	Investment Related	Employer Location
03/2011 - Present	State Farm VP Management Corp.	Sr. Vice President and Director	Y	Bloomington, IL, United States
06/2010 - Present	State Farm Life Insurance Company	Director	N	Bloomington, IL, United States
11/2009 - Present	International Holding Company	Director	N	Bloomington, IL, United States
01/2015 - 03/2022	State Farm Associates' Funds Trust	Sr. Vice President, Treasurer and Trustee	Y	Bloomington, IL, United States
01/2020 - 09/2020	State Farm Mutual Automobile Insurance Company	Executive Vice President - Technology and Innovation	N	Bloomington, IL, United States
04/2016 - 01/2020	Dover Bay Specialty Insurance Company	President and Director	N	Bloomington, IL, United States
01/2016 - 01/2020	State Farm Mutual Automobile Insurance Company	Executive Vice President - Property & Casualty	N	Bloomington, IL, United States
02/2017 - 12/2019	HiRoad Assurance Company	Vice President and Director	N	Bloomington, IL, United States
01/2017 - 12/2019	DaVinci Reinsurance Ltd.	Director	N	Pembroke, Bermuda
11/2016 - 12/2019	Top Layer Reinsurance Ltd.	Director and Chairman	N	Pembroke, Bermuda
06/2016 - 10/2019	Oglesby Reinsurance Company	President	N	Bloomington, IL, United States
06/2016 - 10/2019	Oglesby Reinsurance Company	President and Director	N	Bloomington, IL, United States
09/2013 - 10/2019	Oblesby Reinsurance Company	Director	N	Bloomington, IL, United States
09/2013 - 10/2019	Oglesby Reinsurance Company	Director	N	Bloomington, IL, United States
01/2015 - 04/2019	State Farm Mutual Fund Trust	Sr. Vice President, Treasurer and Trustee	Y	Bloomington, IL, United States
11/2013 - 04/2019	State Farm General Insurance Company	Director	N	Bloomington, IL, United States
01/2015 - 07/2017	State Farm International Life Insurance Company Ltd.	President and Director	N	Bloomington, IL, United States

Paul Smith from the “semi-annual”
Director
- State Farm Life Insurance Company

https://files.brokercheck.finra.org/individual/individual_4971235.pdf

State Farm Lloyds 2016-present.

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Employment	Employer Name	Position	Investment Related	Employer Location
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09/2020 - Present	State Farm Mutual Automobile Insurance Company	EVP & Chief Operating Officer	N	Bloomington, IL, United States
01/2020 - Present	Dover Bay Specialty Insurance Company	Vice President and Director	N	Bloomington, IL, United States
12/2019 - Present	HiRoad Assurance Company	Director and President	N	Bloomington, IL, United States
10/2019 - Present	Oglesby Reinsurance Company	Vice President and Director	N	Bloomington, IL, United States
09/2018 - Present	State Farm Ventures, LLC	Board of Managers	N	Bloomington, IL, United States
06/2016 - Present	State Farm Lloyds, Inc.	Director and Secretary	N	Bloomington, IL, United States
01/2016 - Present	State Farm Fire and Casualty Company	Sr. Vice President and Director	N	Bloomington, IL, United States
01/2015 - Present	State Farm Variable Product Trust	Sr. Vice President, Treasurer, and Trustee	Y	Bloomington, IL, United States
03/2011 - Present	State Farm Health Insurance Company	Director	N	Bloomington, IL, United States
03/2011 - Present	State Farm Investment Management Corp.	Sr. Vice President and Director	Y	Bloomington, IL, United States
03/2011 - Present	State Farm Life and Accident Assurance Company	Director	N	Bloomington, IL, United States

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Registration and Employment History

Employment History, continued

Employment	Employer Name	Position	Investment Related	Employer Location
03/2011 - Present	State Farm VP Management Corp.	Sr. Vice President and Director	Y	Bloomington, IL, United States
06/2010 - Present	State Farm Life Insurance Company	Director	N	Bloomington, IL, United States
11/2009 - Present	International Holding Company	Director	N	Bloomington, IL, United States
01/2015 - 03/2022	State Farm Associates' Funds Trust	Sr. Vice President, Treasurer and Trustee	Y	Bloomington, IL, United States
01/2020 - 09/2020	State Farm Mutual Automobile Insurance Company	Executive Vice President - Technology and Innovation	N	Bloomington, IL, United States
04/2016 - 01/2020	Dover Bay Specialty Insurance Company	President and Director	N	Bloomington, IL, United States
01/2016 - 01/2020	State Farm Mutual Automobile Insurance Company	Executive Vice President - Property & Casualty	N	Bloomington, IL, United States
02/2017 - 12/2019	HiRoad Assurance Company	Vice President and Director	N	Bloomington, IL, United States
01/2017 - 12/2019	DaVinci Reinsurance Ltd.	Director	N	Pembroke, Bermuda
11/2016 - 12/2019	Top Layer Reinsurance Ltd.	Director and Chairman	N	Pembroke, Bermuda
06/2016 - 10/2019	Oglesby Reinsurance Company	President	N	Bloomington, IL, United States
06/2016 - 10/2019	Oglesby Reinsurance Company	President and Director	N	Bloomington, IL, United States
09/2013 - 10/2019	Oglesby Reinsurance Company	Director	N	Bloomington, IL, United States
09/2013 - 10/2019	Oglesby Reinsurance Company	Director	N	Bloomington, IL, United States
01/2015 - 04/2019	State Farm Mutual Fund Trust	Sr. Vice President, Treasurer and Trustee	Y	Bloomington, IL, United States
11/2013 - 04/2019	State Farm General Insurance Company	Director	N	Bloomington, IL, United States
01/2015 - 07/2017	State Farm International Life Insurance Company Ltd.	President and Director	N	Bloomington, IL, United States

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Harbert
- Promoted (too many times I think what does that say)?
—State Farm Life Insurance Company

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Employment	Employer Name	Position	Investment Related	Employer Location
09/2019 - Present	Sundial Labs	Director	N	Bloomington, IL, United States
01/2016 - Present	State Farm Health Insurance Company	Sr. Vice President, Chief Agency, Sales and Marketing Officer and Director	N	Bloomington, IL, United States
01/2016 - Present	State Farm Life Insurance Company	Sr. Vice President, Chief Agency, Sales and Marketing Officer and Director	N	Bloomington, IL, United States
01/2016 - Present	State Farm Life and Accident Assurance Company	Sr. Vice President, Chief Agency, Sales and Marketing Officer and Director	N	Bloomington, IL, United States
01/2013 - Present	State Farm Mutual Automobile Insurance Company	EXECUTIVE VICE PRESIDENT, CHIEF AGENCY SALES MARKETING OFFICER	Y	BLOOMINGTON, IL, United States
01/2012 - Present	State Farm Fire and Casualty Company	Sr. Vice President and Director	N	Bloomington, IL, United States
12/2011 - Present	STATE FARM VP MANAGEMENT CORP	SENIOR VICE PRESIDENT AND DIRECTOR	Y	BLOOMINGTON, IL, United States

Other than tax evasion and a letter of credit that I found, have you found any others? They don't disclose much so I am serious when I ask you this.

Have you asked them if they know about a transfer and assignment of leases for \$6,000,000.00 in May of 2020, can't be defeased until the title is clear— that's 15-years of back taxes, a 70% penalty and carried interest for each day avoided and the benefit of rising interest rates, never would have imagined.

Look what E-8 in two days, dec 18..2021. Eject.

Tel: 646-256-3609