## Hamming Code.

Hamming(n, k) with block length  $n = 2^m - 1$  and messages length k = n - m is a linear code that maps

$$G: \mathbb{F}_2^k \mapsto \mathbb{F}_2^n$$
,

i.e. messages of length k are mapped to codewords of length n. G is injective, so  $C = G(\mathbb{F}_2^k)$  is a k-dim. subspace in  $\mathbb{F}_2^n$ . Usually a systematic code is used where a codeword consists of k message bits together with m = n - k additional parity bits.

It can correct 1 error or detect up to 2 errors. The minimum distance between codewords is 3, and for each word  $w \in \mathbb{F}_2^n$  there is a codeword  $c \in C = G(\mathbb{F}_2^k)$  with distance  $d_h(w,c) \leq 1$  ( $d_h$  being the Hamming-distance).

G is the generator matrix, and there is a parity check matrix  $H: \mathbb{F}_2^n \to \mathbb{F}_2^m$  such that  $Hc=0 \iff c \in C$ . If  $w \in \mathbb{F}_2^n$ , the vector Hw is called the syndrome.

Let c be the transmitted codeword and w = c + e the received word. If there is no error, then e = 0. If there is 1 error, i.e. the vector e has only one non-zero entry, then e is equal to the canonical unit vector  $u_j$  and  $Hw = Hc + He = He = Hu_j$  is equal to the j-th column of the matrix H. If there are more than 1 errors, then w is either another (valid) codeword and Hw = 0, or it has distance 1 to another codeword and Hw is also reproduced by different unit error vector, and the decoder will make an error.

So if the received codeword has 2 errors, it will be decoded to the wrong codeword. A parity bit can be added such that the *extended* Hamming code can correct 1 error and detect 2 errors, or it can detect up to 3 errors. The distance between codewords is at least 4, so we always have  $d_h(w,c) \leq 2$  for some  $c \in C$ , and if  $d_h(w,c) \leq 1$ , w can be corrected. If  $d_h(w,c) = 2$ , a soft decision can be made, if there is additional score/confidence data for the received bits. Then the codeword  $c \in C$  with  $d_h(c,w) = 2$  can be found which matches best with respect to a metric.

## Example: extended Hamming Code (8,4)

$$G = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 1 & 1 & 1 \\ 1 & 0 & 1 & 1 \\ 1 & 1 & 1 & 0 \end{pmatrix} , \quad H = \begin{pmatrix} 0 & 1 & 1 & 1 & 1 & 0 & 0 & 0 \\ 1 & 0 & 1 & 1 & 1 & 0 & 0 & 0 \\ 1 & 0 & 1 & 1 & 0 & 0 & 0 & 1 & 0 \\ 1 & 1 & 0 & 1 & 0 & 0 & 0 & 0 & 1 \end{pmatrix}$$

 $C = G(\mathbb{F}_2^4)$  has 16 codewords. Further there are  $16 \cdot 8 = 128$  elements in  $\mathbb{F}_2^8$  with Hamming-distance  $d_h = 1$  to C (i.e. 1-error-words) and  $7 \cdot 16 = 112$  elements with  $d_h = 2$  (i.e. 2-error-words). If w is a word having 2 errors, then there are 4 codewords c with  $d_h(c, w) = 2$ .

## Soft decision.

For each received bit the decoder produces a score  $s_j \in \mathbb{R}$  and makes a hard decision  $h_j \in \{-1, +1\}$  (or bit-decision  $\hat{h}_j \in \{0, 1\} = \mathbb{F}_2$ ):

$$s_j \ge 0$$
  $\Rightarrow$   $\hat{h}_j = 1$  ,  $h_j = 2\hat{h}_j - 1 = +1$   
 $s_j < 0$   $\Rightarrow$   $\hat{h}_j = 0$  ,  $h_j = 2\hat{h}_j - 1 = -1$ 

Instead of using the algebraic properties of the linear code and the Hamming–distance to decoded the received bit-word  $\hat{h} = (\hat{h}_1, \dots, \hat{h}_n)$ , one can also use the bit-scores of a soft decision decoder and a different distance function to find the best matching codeword by consider the codewords in  $\{-1, +1\}^n \subset \mathbb{R}^n$  and the scores of the received word in  $\mathbb{R}^n$ .

Let  $s = (s_1, \ldots, s_n)$  be the received *soft* word and  $h = (h_1, \ldots, h_n) \in \{-1, +1\}^n$  with  $s_j = h_j |s_j|$  the corresponding *hard* word:

$$h_j = \frac{s_j}{|s_j|} = \operatorname{sgn} s_j \qquad (s_j \neq 0)$$
$$|h_j| = 1 = h_j h_j \quad , \quad s_j = h_j |s_j| \quad \rightsquigarrow \quad |s_j| = h_j s_j$$

If  $y = (y_1, \dots, y_n) \in \{-1, +1\}^n$  is another hard word, then  $\operatorname{corr}(s, y) \leq \operatorname{corr}(s, h)$ , where

$$corr(s,h) = \sum_{j} s_{j}h_{j} = \sum_{j} |s_{j}| = ||s||_{1} \ge 0.$$

The best valid match  $y \in \{-1, +1\}^n$  maximizes corr(s, y). Using  $y_j = \pm h_j$ , we have

$$\operatorname{corr}(s,h) - \operatorname{corr}(s,y) = \sum_{j} s_j(h_j - y_j) = \sum_{h_j \neq y_j} s_j(h_j - y_j) = 2 \sum_{h_j \neq y_j} |s_j| \ge 0$$
.

Thus the best match y is for which the sum of  $|s_j|$  is minimal for  $y_j \neq h_j$ , i.e. the errors are probably at positions with lower scores:

$$corr(s, y) = \max_{\hat{x} \in C} \{corr(s, x)\} \le corr(s, h) .$$

It is also possible to use the Euclidean distance  $d_2$  or Manhattan distance  $d_1$ , though for  $d_1$  the scores  $s_j$  need to be normalized.

For  $h, y \in \{-1, +1\}^n$  and  $s_i = h_i |s_i|$  we have

$$d_{p}(s,h)^{p} = \sum_{j} |s_{j} - h_{j}|^{p} = \sum_{j} ||s_{j}|h_{j} - h_{j}|^{p} = \sum_{j} ||s_{j}| - 1|^{p},$$

$$d_{p}(s,y)^{p} = \sum_{j} |s_{j} - y_{j}|^{p} = \sum_{j} ||s_{j}|h_{j} - y_{j}|^{p}$$

$$= \sum_{h_{j}=y_{j}} ||s_{j}|h_{j} - h_{j}|^{p} + \sum_{h_{j}\neq y_{j}} ||s_{j}|h_{j} + h_{j}|^{p}$$

$$= \sum_{h_{j}=y_{j}} ||s_{j}| - 1|^{p} + \sum_{h_{j}\neq y_{j}} ||s_{j}| + 1|^{p}$$

$$> d_{p}(s,h)^{p}.$$

Since

$$d_1(s,y) - d_1(s,h) = \sum_{h_j \neq y_j} (|1 + |s_j|| - |1 - |s_j||) \ge 0$$

a soft decision for  $d_1$  is only possible for  $s_j \in [-1, +1]$ , i.e. if the bit-scores are normalized. Then choose valid  $\hat{y} \in C$  such that  $d_1(s, y)$  is minimal,

$$d_1(s,y) = \min_{\hat{x} \in C} \{d_1(s,x)\}$$
.

For  $d_2$  we get

$$\begin{split} d_2(s,y)^2 - d_2(s,h)^2 &= \sum_{h_j \neq y_j} \left( (1 + |s_j|)^2 - (1 - |s_j|)^2 \right) \\ &= \sum_{h_j \neq y_j} \left( 2|s_j| + 2|s_j| \right) = 4 \sum_{h_j \neq y_j} |s_j| \ge 0 \ , \end{split}$$

which leads to the same soft decision as  $\operatorname{corr}(s,h) - \operatorname{corr}(s,y)$  for  $s \in \mathbb{R}^n$ ,

$$d_2(s,y)^2 - d_2(s,h)^2 = 2(\operatorname{corr}(s,h) - \operatorname{corr}(s,y))$$
.

Choose valid  $\hat{y} \in C$  such that  $d_2(s, y)$  is minimal,

$$d_2(s,y) = \min_{\hat{x} \in C} \{d_2(s,x)\}$$
.

If soft decision is frequently used for 2-error words, then it is likely that 3 errors occur that will be decoded to the wrong codeword. Thus for higher error rates, e.g. an additional CRC over several codewords can give a second opinion.