

Chapter 8: Applicative and traversable functors

Part 1: Practical examples

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Motivation for applicative functors

- Monads are inconvenient for expressing *independent* effects

Monads perform effects *sequentially* even if effects are independent:

```
x ← Future { c1 }
y ← Future { c2 }
z ← Future { c3 }

Future { c1 }.flatMap { x ⇒
  Future { c2 }.flatMap { y ⇒
    Future { c3 }.map { z ⇒ ... }
  } }
```

- We would like to parallelize independent computations
- We would like to accumulate *all* errors, rather than stop at the first one

Changing the order of monad's effects will (generally) change the result:

```
for {
  x ← List(1, 2)
  y ← List(10, 20)
} yield f(x, y)
// f(1, 10), f(1, 20), f(2, 10), f(2, 20)

for {
  y ← List(10, 20)
  x ← List(1, 2)
} yield f(x, y)
// f(1, 10), f(2, 10), f(1, 20), f(2, 20)
```

- We would like to express a computation where effects are unordered
 - This can be done using a method `map2`, *not* defined via `flatMap`: the desired type signature is $\text{map2} : F^A \times F^B \Rightarrow (A \times B \Rightarrow C) \Rightarrow F^C$
 - An **applicative functor** has `map2` but is not necessarily a monad

Defining `map2`, `map3`, etc.

Consider 1, 2, 3, ... commutative and independent “effects”

<pre>for { x1 ← c1 } yield f(x1)</pre>	<code>c1.map(f)</code>
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<pre>for { x1 ← c1 x2 ← c2 } yield f(x1, x2)</pre>	<code>(c1, c2).map2(f)</code>
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<pre>for { x ← c1 x2 ← c2 x3 ← c3 } yield f(x1, x2, x3)</pre>	<code>(c1, c2, c3).map3(f)</code>
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- Generalize to `mapN` from

$$\text{map}_1 : F^A \Rightarrow (A \Rightarrow Z) \Rightarrow F^Z$$

$$\text{map}_2 : F^A \times F^B \Rightarrow (A \times B \Rightarrow Z) \Rightarrow F^Z$$

$$\text{map}_3 : F^A \times F^B \times F^C \Rightarrow (A \times B \times C \Rightarrow Z) \Rightarrow F^Z$$

- Can we avoid having to define `mapn` separately for each n ?

Examples of using `mapN`

- $F^A \equiv Z + A$ where Z is a monoid: collect all errors
- $F^A = Z + A$: Create a validated case class out of validated parts
- $F^A \equiv \text{Future}[A]$: perform several computations concurrently
- $F^A \equiv E \Rightarrow A$: pass arguments to functions automatically
- $F^A \equiv \text{List}^A$: transposing a matrix is an applicative operation
- “fold fusion”: automatically merge several `fold`s into one

Deriving the `ap` operation from `map2`

- Use curried arguments, $\text{fmap}_2 : (A \Rightarrow B \Rightarrow Z) \Rightarrow F^A \Rightarrow F^B \Rightarrow F^Z$
- Set $A = B \Rightarrow Z$ and apply fmap_2 to the identity $\text{id}^{(B \Rightarrow Z) \Rightarrow (B \Rightarrow Z)}$:
obtain

$$\text{ap} : F^{B \Rightarrow Z} \Rightarrow F^B \Rightarrow F^Z \equiv \text{fmap}_2 (\text{id})$$

- The functions `fmap2` and `ap` are computationally equivalent:

$$\text{fmap}_2 f^{A \Rightarrow B \Rightarrow Z} = \text{fmap } f \circ \text{ap}$$

A commutative diagram illustrating the relationship between fmap_2 and ap . It starts with F^A on the left. An upper arrow labeled $\text{fmap } f$ points to $F^{B \Rightarrow Z}$. A lower arrow labeled $\text{fmap}_2 (f^{A \Rightarrow B \Rightarrow Z})$ points directly to $(F^B \Rightarrow F^Z)$. A right arrow labeled ap points from $F^{B \Rightarrow Z}$ to $(F^B \Rightarrow F^Z)$.

- The functions `fmap3`, `fmap4` etc. can be defined similarly:

$$\text{fmap}_3 f^{A \Rightarrow B \Rightarrow C \Rightarrow Z} = \text{fmap } f \circ \text{ap} \circ \text{fmap}_{F^B \Rightarrow ?} \text{ap}$$

A commutative diagram illustrating the definition of fmap_3 . It starts with F^A on the left. An upper arrow labeled $\text{fmap } f$ points to $F^{B \Rightarrow C \Rightarrow Z}$. From $F^{B \Rightarrow C \Rightarrow Z}$, an arrow labeled ap points to $(F^B \Rightarrow F^{C \Rightarrow Z})$, and another arrow labeled $\text{fmap}_{F^B \Rightarrow ?} \text{ap}$ points to $(F^B \Rightarrow F^C \Rightarrow F^Z)$. A long lower arrow labeled $\text{fmap}_3 (f^{A \Rightarrow B \Rightarrow C \Rightarrow Z})$ points directly from F^A to $(F^B \Rightarrow F^C \Rightarrow F^Z)$.

Intuition: the `zip` operation on lists

- Note: Function types $A \Rightarrow B \Rightarrow C$ and $A \times B \Rightarrow C$ are equivalent
- Uncurry fmap_2 to $\text{fmap2} : (A \times B \Rightarrow C) \Rightarrow F^A \times F^B \Rightarrow F^C$
- Compute $\text{fmap2}(f)$ with $f = \text{id}^{A \times B \Rightarrow A \times B}$, expecting to obtain a simpler natural transformation:

$$\text{zip} : F^A \times F^B \Rightarrow F^{A \times B}$$

- This is quite similar to `zip` for lists:
`List(1, 2).zip(List(10, 20)) = List((1, 10), (2, 20))`
- The functions `zip` and `fmap2` are computationally equivalent:

$$\begin{array}{ccc} & & F^{A \times B} \\ & \nearrow \text{zip} & \\ F^A \times F^B & \xrightarrow{\quad \quad \quad} & F^C \\ & \searrow \text{fmap2}(f^{A \times B \Rightarrow C}) & \\ & & \end{array}$$

$\text{fmap2}(f^{A \times B \Rightarrow C})$

- The functor F is “zippable” if such a `zip` exists

Deriving the `ap` operation from `zip`

- Set $A \equiv B \Rightarrow C$, get $\text{zip}^{[B \Rightarrow C, B]} : F^{B \Rightarrow C} \times F^B \Rightarrow F^{(B \Rightarrow C) \times B}$
- Use `eval` : $(B \Rightarrow C) \times B \Rightarrow C$ and $\text{fmap}(\text{eval}) : F^{(B \Rightarrow C) \times B} \Rightarrow F^C$
- Define $\text{app}^{[B, C]} : F^{B \Rightarrow C} \times F^B \Rightarrow F^C \equiv \text{zip} \circ \text{fmap}(\text{eval})$
- The functions `zip` and `app` are computationally equivalent:
 - ▶ use $\text{pair} : (A \Rightarrow B \Rightarrow A \times B) = a^A \Rightarrow b^B \Rightarrow a \times b$
 - ▶ use $\text{fmap}(\text{pair}) \equiv \text{pair}^\uparrow$ on an fa^{F^A} , get $(\text{pair}^\uparrow fa) : F^{B \Rightarrow A \times B}$; then

$$\text{zip}(fa \times fb) = \text{app}\left((\text{pair}^\uparrow fa) \times fb\right)$$

$$\text{app}^{[B \Rightarrow C, B]} = \text{zip}^{[B \Rightarrow C, B]} \circ \text{fmap}(\text{eval})$$

$$F^{B \Rightarrow C} \times F^B \begin{array}{c} \xrightarrow{\text{zip}} F^{(B \Rightarrow C) \times B} \\ \xrightarrow{\text{app}^{[B \Rightarrow C, B]}} F^C \\ \searrow \text{fmap}(\text{eval}) \end{array}$$

- Rewrite this using curried arguments: $\text{fzip}^{[A, B]} : F^A \Rightarrow F^B \Rightarrow F^{A \times B}$; $\text{ap}^{[B, C]} : F^{B \Rightarrow C} \Rightarrow F^B \Rightarrow F^C$; then $\text{ap } f = \text{fzip } f \circ \text{fmap}(\text{eval})$.
- Now $\text{fzip } p^{F^A} q^{F^B} = \text{ap}(\text{pair}^\uparrow p) q$, hence we can write as point-free: $\text{fzip} = \text{pair}^\uparrow \circ \text{ap}$. With explicit types: $\text{fzip}^{[A, B]} = \text{pair}^\uparrow \circ \text{ap}^{[B, A \Rightarrow B]}$.