

# Chapter 6: Computations lifted to a functor context I

## Filterable functors, their laws and structure

Sergei Winitzki

Academy by the Bay

2018-02-03

# Computations within a functor context

- Example:

$$\sum_{x \in \mathbb{Z}; 0 \leq x \leq 100; \cos x > 0} \sqrt{\cos x} \approx 38.71$$

Scala code:

```
(0 to 100).map(math.cos(_)).filter(_ > 0).map(math.sqrt).sum
```

- Using Scala's `for`/`yield` syntax (“functor block”, “for comprehension”)

<pre>(for { x ← 0 to 100       y = math.cos(x)       if y &gt; 0     } yield { math.sqrt(y) } ).sum</pre>	<pre>(0 to 100).map { x ⇒   math.cos(x) }.filter { y ⇒   y &gt; 0 }.map { y ⇒   math.sqrt(y) }.sum</pre>
---	--

- ▶ “Functor block” is a syntax for manipulating data within a container
  - ★ Container must be a functor (has `map` such that the laws hold)
  - ★ Data changes but remains within the same container
- A **filterable functor** is a functor that has a `withFilter` method
- Can use “if” when `withFilter(p: A⇒Boolean): F[A] ⇒ F[A]` is defined
  - ▶ What are the required laws for `withFilter`?
  - ▶ What data types are filterable functors?

# Filterable functors: Intuitions I

Intuition: the `filter` call *may decrease* the number of data items held

- a filterable container can hold *more or fewer* data items of type  $T$

Examples:

- $\text{Option}[T] \equiv 1 + T$ 
  - ▶ `Some(123).filter(_ > 0)` returns `Some(123)`
  - ▶ `Some(123).filter(_ == 1)` returns `None`
  - ▶ `Some(123).withFilter(_ == 1).map(identity)` returns `None`
- $\text{List}[T] \equiv 1 + T + T \times T + T \times T \times T + \dots$ 
  - ▶ `List(10, 20, 30).filter(_ > 10)` returns `List(20, 30)`
  - ▶ `List(10, 20, 30).filter(_ == 1)` returns `List()`

What we learn from these examples:

- The data type must contain a *disjunction* having different counts of  $T$
- When the predicate `p` returns `false` on some  $T$  values, the remaining data goes to a part of the disjunction that has fewer  $T$  values
- Values `x` are *algebraically* replaced by 1 (a `Unit`) when `p(x) = false`
- The container can become “empty” as a result of filtering

# Examples of filterable functors I

- Consider these business requirements:
  - ▶ An order can be placed on Tuesday and/or on Friday
  - ▶ An order is approved if requested amount is less than \$1,000, etc.

```
final case class Orders[A](tue: Option[A], fri: Option[A]) {  
  def withFilter(p: A ⇒ Boolean): Orders[A] =  
    Orders(tue.filter(p), fri.filter(p))  
}  
Orders(Some(500), Some(2000)).withFilter(_ < 1000)  
// returns Orders(Some(500), None)
```

- The functor type is  $F^A = (1 + A) \times (1 + A)$ 
  - ▶ When a value does not pass the filter, the  $A$  is replaced by 1
- Filtering is applied to both parts of the product type independently
- What if additional business requirements were given:
  - ▶ (a) both orders must be approved, or else no orders can be placed  
or
  - ▶ (b) both orders can be placed if at least one of them is approved
- Does this still make sense as “filtering”?
  - ▶ Need mathematical laws to decide this

# Filterable functors: Intuitions II

- Intuition: computations in the functor block should “make sense”
  - ▶ we should be able to reason correctly by looking at the program text
- A schematic example of a functor block program using `map` and `filter`:

```
for { // computations lifted to the List functor
  x ← List(...) // the first line has “←”, other lines do not
  y = f(x) // will become a “map(f)” after compilation
  if p1(y) // will become a “withFilter(p1)”
  if p2(y)
  z = g(x, y)
  if q(x, y, z)
} yield // for all x in list, such that conditions hold, compute this:
k(x, y, z) // the new values stay within the container
```

- What we intuitively expect to be true about such programs:
  - ① `y = f(x); if p(y);` is equivalent to `if p(f(x)); y = f(x);`
  - ② `if p1(y); if p2(y);` is equivalent to `if p1(y) && p2(y)`
  - ③ When a filter predicate `p(x)` returns `true` for *all* `x`, we can delete the line “`if p(x)`” from the program with no change to the results
  - ④ When a filter predicate `p(x)` returns `false` for some `x` then *that* `x` will be excluded from computations performed after “`if p(x)`”

# Examples of filterable functors I: Checking the laws

- Properties 1 – 4 are expressed as laws for `filter`  $(p \Rightarrow \text{Boolean}) \Rightarrow F^A \Rightarrow F^A$ :
  - ①  $\text{fmap } f^{A \Rightarrow B} \circ \text{filter } p^{B \Rightarrow \text{Boolean}} = \text{filter } (f \circ p) \circ \text{fmap } f^{A \Rightarrow B}$
  - ②  $\text{filter } p_1^{A \Rightarrow \text{Boolean}} \circ \text{filter } p_2^{A \Rightarrow \text{Boolean}} = \text{filter } (x \Rightarrow p_1(x) \wedge p_2(x))$
  - ③  $\text{filter } (x^A \Rightarrow \text{true}) = \text{id}^{F^A \Rightarrow F^A}$
  - ④  $\text{filter } p \circ \text{fmap } f^{A \Rightarrow B} = \text{filter } p \circ \text{fmap } (f|_p)$  where  $f|_p$  is the *partial function* defined as `x => if (p(x)) f(x) else ???`
- Can define a type class `Filterable`, method `withFilter`
- Check the laws for Example I (see code)
  - ▶ “Orders” example with / without business rule (a) – laws hold
  - ▶  $F^A = 1 + A \times A$  (“collapsible product”)
- Examples of functors that are *not* filterable:
  - ▶ “Orders” with additional business rule (b) – breaks law 2 for some  $p_{1,2}$
  - ▶  $F^A$  defining `filter` in a special way for  $A = \text{Int}$  (breaks law 1)
  - ▶  $F^A = 1 + A$  defining  $\text{filter } (p) (x) \equiv 1 + 0$  breaks law 3
  - ▶  $F^A \equiv A$  – must define  $\text{filter } (p^{A \Rightarrow \text{Boolean}}) (x^A) = x$ , breaking law 4
  - ▶  $F^A \equiv A \times (1 + A)$  – unable to remove the first  $A$ , breaking law 4

The equational laws 1–4 specify *rigorously* what it means to “filter data”!

# Worked examples I: Programming with filterables

- 1 John can have up to 3 coupons, and Jill up to 2. *All* of John's coupons must be valid on purchase day, while each of Jill's coupons is checked independently. Implement the filterable functor describing this setup.
- 2 A server received a sequence of requests. Each request must be authenticated. Once a non-authenticated request is found, no further requests are accepted. Is this setup described by a filterable functor?

For each of these functors, determine whether they are filterable, and if so, implement `withFilter` via a type class:

- 3 `final case class P[T](first: Option[T], second: Option[(T, T)])`
- 4  $F^A = \text{Int} + \text{Int} \times A + \text{Int} \times A \times A + \text{Int} \times A \times A \times A$
- 5  $F^A = \text{NonEmptyList}^A$  defined recursively as  $F^A = A + A \times F^A$
- 6  $F^{Z,A} = Z + \text{Int} \times Z \times A \times A$  (with respect to the type parameter  $A$ )
- 7  $F^{Z,A} = 1 + Z + \text{Int} \times Z \times A \times \text{List}^A$  (w.r.t. the type parameter  $A$ )
- 8 Show that  $C^A = A \Rightarrow \text{Int}$  is a filterable *contrafunctor* (implement `withFilter` with the same type signature)

# Exercises I

- 1 Confucius gave wisdom on each of the 7 days of a week. Sometimes the wise proverbs were hard to remember. If Confucius forgets what he said on a given day, he also forgets what he said on all the previous days of the week. Is this setup described by a filterable functor?
- 2 Define `evenFilter(p)` on an `IndexedSeq[T]` such that a value `x: T` is retained if `p(x)=true` and only if the sequence has an *even* number of elements `y` for which `p(y)=false`. Does this define a filterable functor?

Implement `filter` for these functors if possible (law checking optional):

- 3  $F^A = \text{Int} + \text{String} \times A \times A \times A$
- 4 `final case class Q[A, Z](id: Long, user1: Option[(A, Z)], user2: Option[(A, Z)])` – with respect to the type parameter `A`
- 5  $F^A = \text{MyTree}^A$  defined recursively as  $F^A = 1 + A \times F^A \times F^A$
- 6 `final case class R[A](x: Int, y: Int, z: A, data: List[A])`, where the standard functor `List` already has `withFilter` defined
- 7 Show that  $C^A = (\text{Int} \Rightarrow A) \Rightarrow \text{Int}$  is a filterable contrafunctor



# Filterable functors: The laws in depth I

Is there a more elegant formulation of the laws, easier to understand?

- Main intuition: When  $p(x) = \text{false}$ , replace  $x: A$  by  $1: \text{Unit}$  in  $F[A]$ 
  - ▶ (1) How to replace  $x$  by  $1$  in  $F[A]$  without breaking the types?
  - ▶ (2) How to transform the resulting type back to  $F[A]$ ?
  - ▶ We could do (1) if instead of the type  $F[A]$  we had  $F[\text{Option}[A]]$ 
    - ★ Map  $F^A$  to  $F^{1+A}$  using  $\text{fmap}(\text{Some}^{A \Rightarrow 1+A}) : F^A \Rightarrow F^{1+A}$
    - ★ Now we can replace  $A$  by  $1$  in each item of type  $1 + A$
  - ▶ Doing (2) means *defining* a function  $\text{flatten} : F[\text{Option}[A]] \Rightarrow F[A]$ 
    - ★ standard library has  $\text{flatten}[T] : \text{Seq}[\text{Option}[T]] \Rightarrow \text{Seq}[T]$
  - ▶ Express  $\text{filter}$  through  $\text{flatten}$  (see example code):
    - ★ Note: the Boolean type is isomorphic to  $1 + 1$  or  $\text{Option}[\text{Unit}]$
    - ★  $\text{filter}(p) = \text{fmap}(\text{optB}(p)) \circ \text{flatten}$ , where we defined  $\text{optB}$  as

```
def optB[T](p: T => Option[Unit]): T => Option[T] =  
  x => p(x).map(_ => x)
```
- Express  $\text{flatten}$  through  $\text{filter}$  (using law 4):

```
def flatten[F[_],T](c: F[Option[T]]): F[T] =  
  c.filter(_.nonEmpty).map(_.get)  
// for F = Seq, this would be c.collect { case Some(x) => x }
```
- Law 4 is satisfied *automatically* if  $\text{filter}$  is defined via  $\text{flatten}$ !

## \* Filterable functors: The laws in depth II

Showing that law 4 is satisfied automatically if `filter` is defined via `flatten`

- Denote  $\psi^{A \Rightarrow 1+A} \equiv \text{optB } (p^{A \Rightarrow 1+1}) = x^A \Rightarrow \text{fmap}^{\text{Opt}} (\_ \Rightarrow x) (p(x))$ 
  - ▶ Have property:  $f^{T \Rightarrow A} \circ \text{optB } (p^{A \Rightarrow 1+1}) = \text{optB } (f \circ p) \circ \text{fmap}^{\text{Opt}} f$
- Law 4:  $\text{fmap } \psi \circ \text{flatten}^{F,T} \circ \text{fmap } f^{T \Rightarrow A} = \text{fmap } \psi \circ \text{flatten}^{F,T} \circ \text{fmap } f|_p$ 
  - ▶ We would like to interchange `flatten` and `fmap` here. Use Law 1?
- Reformulate Law 1 in terms of `flatten`:

$$\text{fmap } f^{T \Rightarrow A} \circ \text{fmap } \psi \circ \text{flatten}^{F,A} = \text{filter } (f \circ p) \circ \text{fmap } f$$

$$\text{fmap } (f^{T \Rightarrow A} \circ \text{optB } (p^{A \Rightarrow 1+A})) \circ \text{flatten}^{F,A} = \text{fmap } (\text{optB } (f \circ p)) \circ \text{flatten}^{F,T} \circ \text{fmap } f$$

$$\text{fmap}^F (\text{optB } (f \circ p)) \circ \text{fmap}^F (\text{fmap}^{\text{Opt}} f) = \text{fmap}^F (\text{optB } (f \circ p) \circ \text{fmap}^{\text{Opt}} f)$$

[remove common prefix  $\text{fmap } (\text{optB } (f \circ p)) \circ \dots$  from both sides]

$$\text{fmap } (\text{fmap}^{\text{Opt}} f^{T \Rightarrow A}) \circ \text{flatten}^{F,A} = \text{flatten}^{F,T} \circ \text{fmap } f \quad \text{— law 1 for flatten}$$

- We can now interchange `flatten` and `fmap` in  $\text{flatten}^{F,T} \circ \text{fmap } f|_p^{T \Rightarrow A}$ :

$$\text{fmap } \psi \circ \text{flatten}^{F,T} \circ \text{fmap } f|_p = \text{fmap } \psi \circ \text{fmap } (\text{fmap}^{\text{Opt}} f|_p) \circ \text{flatten}^{F,A}$$

$$= \text{fmap } (\psi \circ \text{fmap}^{\text{Opt}} f) \circ \text{flatten}^{F,A} = \text{fmap } (\psi \circ \text{fmap}^{\text{Opt}} f|_p) \circ \text{flatten}^{F,A}$$

$$\psi \circ \text{fmap}^{\text{Opt}} f = \psi \circ \text{fmap}^{\text{Opt}} f|_p \quad \text{— check this by hand}$$

# Filterable functors: The laws in depth III

Maybe  $\text{fmap} \circ \text{flatten}$  is easier to handle than  $\text{flatten}$ ? Let us define

$$\text{fmapOpt}^{F,A,B}(f^{A \Rightarrow 1+B}) : (A \Rightarrow 1+B) \Rightarrow F^A \Rightarrow F^B = \text{fmap } f \circ \text{flatten}^{F,B}$$

- $\text{fmapOpt}$  and  $\text{flatten}$  are *equivalent*:  $\text{flatten}^{F,A} = \text{fmapOpt}^{F,1+A,A}(\text{id}^{1+A \Rightarrow 1+A})$
- Express laws 1 – 3 in terms of  $\text{fmapOpt}$  and  $\psi^{A \Rightarrow 1+A} \equiv \text{optB}(p)$ 
  - ▶ Express  $\text{filter}$  through  $\text{fmapOpt}$ :  $\text{filter}(p) = \text{fmapOpt}^{F,A,A}(\psi)$
  - ▶ Consider the expression needed for law 2:  $x \Rightarrow p_1(x)$  and  $p_2(x)$ 
    - ★ Written in terms of  $\psi_1$  and  $\psi_2$ , this is  $x^A \Rightarrow \psi_1(x).\text{flatMap}(\psi_2)$
  - ▶ Similar to composition of functions, except the types are  $A \Rightarrow 1+B$ 
    - ★ This is a particular case of **Kleisli composition**; the general case:  
 $\diamond_M : (A \Rightarrow M^B) \Rightarrow (B \Rightarrow M^C) \Rightarrow (A \Rightarrow M^C)$ ; we set  $M^A \equiv 1+A$
    - ★ The **Kleisli identity** function:  $\text{id}_{\diamond_{\text{Opt}}}^{A \Rightarrow 1+A} \equiv x^A \Rightarrow \text{Some}(x)$
    - ★ Kleisli composition  $\diamond_{\text{Opt}}$  is associative and respects the Kleisli identity!
- $\text{fmapOpt}$  lifts a  $\text{Kleisli}_{\text{Opt}}$  function  $f^{A \Rightarrow 1+B}$  into the functor  $F$
- Only *two* laws are necessary for  $\text{fmapOpt}$ !
  - 1 **Identity law** (covers old law 3):  $\text{fmapOpt}(\text{id}_{\diamond_{\text{Opt}}}^{A \Rightarrow 1+A}) = \text{id}^{F^A \Rightarrow F^A}$
  - 2 **Composition law** (covers old laws 1 and 2):  
 $\text{fmapOpt}(f^{A \Rightarrow 1+B}) \circ \text{fmapOpt}(g^{B \Rightarrow 1+C}) = \text{fmapOpt}(f \diamond_{\text{Opt}} g)$ 
    - ▶ The two laws for  $\text{fmapOpt}$  are very similar to the two functor laws

## \* Filterable functors: The laws in depth IV

Showing that old laws 1 – 3 follow from the identity and composition laws for `fmapOpt`

- Old law 3 is *equivalent* to the identity law for `fmapOpt`:

$$\text{filter}(x^A \Rightarrow 0 + 1) = \text{fmap}(x^A \Rightarrow 0 + x) \circ \text{flatten} = \text{fmapOpt}(\text{id}_{\diamond_{\text{Opt}}}) = \text{id}^{F^A \Rightarrow F^A}$$

- Derive old law 2: need to work with  $\psi \equiv \text{optB}(p) : A \Rightarrow 1 + A$

- ▶ The Boolean conjunction  $x \Rightarrow p_1(x) \wedge p_2(x)$  corresponds to  $\psi_1 \diamond_{\text{Opt}} \psi_2$
- ▶ Apply the composition law to Kleisli functions of types  $A \Rightarrow 1 + A$ :

$$\begin{aligned}\text{filter}(p_1) \circ \text{filter}(p_2) &= \text{fmapOpt}(\psi_1) \circ \text{fmapOpt}(\psi_2) \\ &= \text{fmapOpt}(\psi_1 \diamond_{\text{Opt}} \psi_2) = \text{fmapOpt}(\text{optB}(x \Rightarrow p_1(x) \wedge p_2(x)))\end{aligned}$$

- Derive old law 1: express `filter` through `fmapOpt`, so law 1 becomes

- ▶  $\text{fmap } f \circ \text{fmapOpt}(\text{optB}(p)) = \text{fmapOpt}(\text{optB}(f \circ p)) \circ \text{fmap } f$  – eq. (\*)
- ▶ denote  $k_f^{A \Rightarrow 1+A} = x^A \Rightarrow 0 + f(x)$ ; that is,  $k_f = f \circ \text{id}_{\diamond_{\text{Opt}}}$ ; then we have  $\text{fmapOpt}(k_f) = \text{fmap } k_f \circ \text{flatten} = \text{fmap } f \circ \text{fmap } \text{id}_{\diamond_{\text{Opt}}} \circ \text{flatten} = \text{fmap } f$
- ▶ rewrite (\*) as  $\text{fmapOpt}(k_f \diamond_{\text{Opt}} \text{optB}(p)) = \text{fmapOpt}(\text{optB}(f \circ p) \diamond_{\text{Opt}} k_f)$
- ▶ it remains to show that  $k_f \diamond_{\text{Opt}} \text{optB}(p) = \text{optB}(f \circ p) \diamond_{\text{Opt}} k_f$
- ▶ use the properties  $k_f \diamond_{\text{Opt}} \psi = f \circ \psi$  and  $\psi \diamond_{\text{Opt}} k_f = \psi \circ \text{fmap}^{\text{Opt}} f$ , and  $f \circ \text{optB}(p) = \text{optB}(f \circ p) \circ \text{fmap}^{\text{Opt}} f$  (property from slide 8)

# Summary so far

Filterable functors can be defined via `filter`, `flatten`, or `fmapOpt`

- All three are computationally *equivalent* but have different roles:
  - ▶ The easiest to use in program code is `filter` / `withFilter`
  - ▶ The easiest type signature to implement is `flatten`
  - ▶ The easiest to use for checking laws is `fmapOpt`
- The easiest way to derive the laws is to *begin* with simpler laws
- \* The 2 laws for `fmapOpt` are functor laws with a Kleisli “twist”
- Category theory accommodates this via a generalized definition of functors as liftings between “twisted” function types. Compare:
  - ▶  $\text{fmap} : (A \Rightarrow B) \Rightarrow F^A \Rightarrow F^B$  – ordinary container (“endofunctor”)
  - ▶  $\text{contrafmap} : (B \Rightarrow A) \Rightarrow F^A \Rightarrow F^B$  – lifting from reversed functions
  - ▶  $\text{fmapOpt} : (A \Rightarrow 1 + B) \Rightarrow F^A \Rightarrow F^B$  – lifting from  $\text{Kleisli}_{\text{Opt}}$ -functions
- CT gives us an intuition: prefer type signatures that resemble “lifting”
  - ▶ but CT is abstract, does not directly deliver a good formulation of laws
  - ▶ CT gives us no help with derivations when we struggle with the laws

# Structure of filterable functors

Intuition from `flatten`: reshuffle data in  $F^A$  after replacing some  $A$ 's by 1

- “reshuffling” means reusing different parts of a disjunction

Construction of exponential-polynomial filterable functors

- 1  $F^A = Z$  (constant functor) for any type  $Z$  (define  $\text{fmapOpt } f = \text{id}$ )
  - Note:  $F^A = A$  (identity functor) is *not* filterable
- 2  $F^A \equiv G^A \times H^A$  for any filterable functors  $G^A$  and  $H^A$
- 3  $F^A \equiv G^A + H^A$  for any filterable functors  $G^A$  and  $H^A$
- 4  $F^A \equiv G^{H^A}$  for *any* functor  $G^A$  and filterable functor  $H^A$
- 5  $F^A \equiv 1 + A \times G^A$  for a filterable functor  $G^A$ 
  - Note: *pointed* types  $P$  are isomorphic to  $1 + Z$  for some type  $Z$ 
    - ★ Example of non-trivial pointed type:  $A \Rightarrow A$
    - ★ Example of non-pointed type:  $A \Rightarrow B$  when  $A$  is different from  $B$
  - So  $F^A \equiv P + A \times G^A$  where  $P$  is a pointed type and  $G^A$  is filterable
  - Also have  $F^A \equiv P + A \times A \times \dots \times A \times G^A$  similarly
- 6  $F^A \equiv G^A + A \times F^A$  (recursive) for a filterable functor  $G^A$
- 7  $F^A \equiv G^A \Rightarrow H^A$  if contrafunctor  $G^A$  and functor  $H^A$  *both filterable*
  - Note: the functor  $F^A \equiv G^A \Rightarrow A$  is not filterable

## \* Worked examples II: Constructions of filterable functors I

(2) The `fmapOpt` laws hold for  $F^A \times G^A$  if they hold for  $F^A$  and  $G^A$

- For  $f^{A \Rightarrow 1+B}$ , get  $\text{fmapOpt}_F(f) : F^A \Rightarrow F^B$  and  $\text{fmapOpt}_G(f) : G^A \Rightarrow G^B$
- Define  $\text{fmapOpt}_{F \times G} f \equiv p^{F^A} \times q^{G^A} \Rightarrow \text{fmapOpt}_F(f)(p) \times \text{fmapOpt}_G(f)(q)$
- Identity law:  $f = \text{id}_\diamond$ , so  $\text{fmapOpt}_F f = \text{id}$  and  $\text{fmapOpt}_G f = \text{id}$ 
  - ▶ Hence we get  $\text{fmapOpt}_{F+G}(f)(p \times q) = \text{id}(p) \times \text{id}(q) = p \times q$
- Composition law:

$$\begin{aligned} & (\text{fmapOpt}_{F \times G} f_1 \circ \text{fmapOpt}_{F+G} f_2)(p \times q) \\ &= \text{fmapOpt}_{F \times G}(f_2) (\text{fmapOpt}_F(f_1)(p) \times \text{fmapOpt}_G(f_1)(q)) \\ &= (\text{fmapOpt}_F f_1 \circ \text{fmapOpt}_F f_2)(p) \times (\text{fmapOpt}_G f_1 \circ \text{fmapOpt}_G f_2)(q) \\ &= \text{fmapOpt}_F(f_1 \diamond f_2)(p) \times \text{fmapOpt}_G(f_1 \diamond f_2)(q) \\ &= \text{fmapOpt}_{F \times G}(f_1 \diamond f_2)(p \times q) \end{aligned}$$

- Exactly the same proof as that for functor property for  $F^A \times G^A$ 
  - ▶ this is because `fmapOpt` corresponds to a generalized functor
- New proofs are necessary only when using non-filterable functors
  - ▶ these are used in constructions 4 – 6

## \* Worked examples II: Constructions of filterable functors II

(5) The `fmapOpt` laws hold for  $F^A \equiv 1 + A \times G^A$  if they hold for  $G^A$

- For  $f^{A \Rightarrow 1+B}$ , get  $\text{fmapOpt}_G(f) : G^A \Rightarrow G^B$
- Define  $\text{fmapOpt}_F(f)(1 + a^A \times q^{G^A})$  by returning  $0 + b \times \text{fmapOpt}_G(f)(q)$  if the argument is  $0 + a \times q$  and  $f(a) = 0 + b$ , and returning  $1 + 0$  otherwise
- Identity law:  $f = \text{id}_\diamond$ , so  $f(a) = 0 + a$  and  $\text{fmapOpt}_G f = \text{id}$ 
  - ▶ Hence we get  $\text{fmapOpt}_F(\text{id}_\diamond)(1 + a \times q) = 1 + a \times q$
- Composition law: need only to check for arguments  $0 + a \times q$ , and only when  $f_1(a) = 0 + b$  and  $f_2(b) = 0 + c$ , in which case  $(f_1 \diamond f_2)(a) = 0 + c$ ; then

$$\begin{aligned} & (\text{fmapOpt}_F f_1 \circ \text{fmapOpt}_F f_2)(0 + a \times q) \\ &= \text{fmapOpt}_F(f_2)(\text{fmapOpt}_F(f_1)(0 + a \times q)) \\ &= \text{fmapOpt}_F(f_2)(0 + b \times \text{fmapOpt}_G(f_1)(q)) \\ &= 0 + c \times (\text{fmapOpt}_G f_1 \circ \text{fmapOpt}_G f_2)(q) \\ &= 0 + c \times \text{fmapOpt}_G(f_1 \diamond f_2)(q) \\ &= \text{fmapOpt}_F(f_1 \diamond f_2)(0 + a \times q) \end{aligned}$$

This is a “greedy filter”: if  $f(a)$  is empty, deletes all  $G^A$  data



## \* Worked examples II: Constructions of filterable functors III

(6) The `fmapOpt` laws hold for  $F^A \equiv G^A + A \times F^A$  if they hold for  $G^A$

- For  $f^{A \Rightarrow 1+B}$ , get  $\text{fmapOpt}_G(f) : G^A \Rightarrow G^B$  and  $\text{fmapOpt}'_F(f) : F^A \Rightarrow F^B$  (for use in recursive arguments as the inductive assumption)
- Define  $\text{fmapOpt}_F(f)(q^{G^A} + a^A \times p^{F^A})$  by returning  $0 + \text{fmapOpt}'_F(f)(p)$  if  $f(a) = 1 + 0$ , and  $\text{fmapOpt}_G(f)(q) + b \times \text{fmapOpt}'_F(f)(p)$  otherwise
- Identity law:  $f(a) = \text{id}_\diamond(a) \neq 1 + 0$ , so  $\text{fmapOpt}_F(\text{id}_\diamond)(q + a \times p) = q + a \times p$
- Composition law:  
$$(\text{fmapOpt}_F(f_1) \circ \text{fmapOpt}_F(f_2))(q + a \times p) = \text{fmapOpt}_F(f_1 \diamond f_2)(q + a \times p)$$
- For arguments  $q + 0$ , the laws for  $G^A$  hold; so assume arguments  $0 + a \times p$ . When  $f_1(a) = 0 + b$  and  $f_2(b) = 0 + c$ , the proof of the previous example will go through. So we need to consider the two cases  $f_1(a) = 1 + 0$  and  $f_1(a) = 0 + b$ ,  $f_2(b) = 1 + 0$
- If  $f_1(a) = 1 + 0$  then  $(f_1 \diamond f_2)(a) = 1 + 0$ ; to show  $\text{fmapOpt}'_F(f_2)(\text{fmapOpt}'_F(f_1)(p)) = \text{fmapOpt}'_F(f_1 \diamond f_2)(p)$ , use the inductive assumption about  $\text{fmapOpt}'_F$  on  $p$
- If  $f_1(a) = 0 + b$  and  $f_2(b) = 1 + 0$  then  $(f_1 \diamond f_2)(a) = 1 + 0$ ; to show  $\text{fmapOpt}_F(f_2)(0 + b \times \text{fmapOpt}'_F(f_1)(p)) = \text{fmapOpt}'_F(f_1 \diamond f_2)(p)$ , rewrite  $\text{fmapOpt}_F(f_2)(0 + b \times \text{fmapOpt}'_F(f_1)(p)) = \text{fmapOpt}'_F(f_2)(\text{fmapOpt}'_F(f_1)(p))$  and use the inductive assumption about  $\text{fmapOpt}'_F$  on  $p$

This is a “list-like filter”: if  $f(a)$  is empty, recurses into nested  $F^A$  data

## Worked examples II: Constructions of filterable functors IV

Use known filterable constructions to show that

$F^A \equiv (\text{Int} \times \text{String}) \Rightarrow (1 + \text{Int} \times A + A \times (1 + A) + (\text{Int} \Rightarrow 1 + A + A \times A \times \text{String}))$   
is a filterable functor

- Instead of implementing `Filterable` and verifying laws by hand, we analyze the structure of this data type and use known constructions
- Define some auxiliary functors that are parts of the structure of  $F^A$ ,
  - ▶  $R_1^A = (\text{Int} \times \text{String}) \Rightarrow A$  and  $R_2^A = \text{Int} \Rightarrow A$
  - ▶  $G^A = 1 + \text{Int} \times A + A \times (1 + A)$  and  $H^A = 1 + A + A \times A \times \text{String}$
- Now we can rewrite  $F^A = R_1 [G^A + R_2 [H^A]]$ 
  - ▶  $G^A$  is filterable by construction 5 because it is of the form  $G^A = 1 + A \times K^A$  with filterable functor  $K^A = 1 + \text{Int} + A$
  - ▶  $K^A$  is of the form  $1 + A + X$  with constant type  $X$ , so it is filterable by constructions 1 and 3 with the `Option` functor  $1 + A$
  - ▶  $H^A$  is filterable by construction 5 with  $H^A = 1 + A \times (1 + A \times \text{String})$ , while  $1 + A \times \text{String}$  is filterable by constructions 5 and 1
- Constructions 3 and 4 show that  $R_1 [G^A + R_2 [H^A]]$  is filterable

Note that there are more than one way of implementing `Filterable` here

## \* Exercises II

- 1 Implement a `Filterable` instance for `type F[T] = G[H[T]]` assuming that the functor `H[T]` already has a `Filterable` instance. Verify the laws rigorously.
- 2 For `type F[T] = Option[Int  $\Rightarrow$  Option[(T, T)]]`, implement a `Filterable` instance. Show that the filterable laws hold by using known filterable constructions (avoiding explicit proofs).
- 3 Implement a `Filterable` instance for  $F^A \equiv G^A + \text{Int} \times A \times A \times F^A$  (recursive) for a filterable functor  $G^A$ . Verify the laws rigorously.
- 4 Show that  $F^A = 1 + A \times G^A$  is in general *not* filterable if  $G^A$  is an arbitrary (non-filterable) functor; it is enough to give an example.
- 5 Show that  $F^A = 1 + G^A + H^A$  is filterable if  $1 + G^A$  and  $1 + H^A$  are filterable (even when  $G^A$  and  $H^A$  are by themselves not filterable)