

# Chapter 8: Applicative and traversable functors

## Part 1: Practical examples

Sergei Winitzki

Academy by the Bay

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# Motivation for applicative functors

Monads are inconvenient for expressing *independent* effects

- Monads perform effects *sequentially* even if effects are independent:

```
x ← Future { c1 }
y ← Future { c2 }
z ← Future { c3 }

Future { c1 }.flatMap { x ⇒
  Future { c2 }.flatMap { y ⇒
    Future { c3 }.map { z ⇒ ... }
  } }
```

- We would like to parallelize independent computations
- We would like to accumulate *all* errors, rather than stop at the first one
- Changing the order of effects will (generally) change the result:

```
for {
  x ← List(1, 2)
  y ← List(10, 20)
} yield f(x, y)
// f(1, 10), f(1, 20), f(2, 10), f(2, 20)

for {
  y ← List(10, 20)
  x ← List(1, 2)
} yield f(x, y)
// f(1, 10), f(2, 10), f(1, 20), f(2, 20)
```

- We would like to express a computation where effects are unordered
  - This can be expressed with a method `map2`, *not* defined via `flatMap`: the desired type signature is  $\text{map2} : F^A \times F^B \Rightarrow (A \times B \Rightarrow C) \Rightarrow F^C$
  - So we need a functor that has `map2` but is not necessarily a monad

## Defining `map2`, `map3`, etc.

Consider 1, 2, 3, ... commutative and independent “effects”

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<code>for { x1 ← c1 } yield f(x1)</code>	<code>c1.map(f)</code>
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<code>for { x1 ← c1   x2 ← c2 } yield f(x1, x2)</code>	<code>(c1, c2).map2(f)</code>
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<code>for { x ← c1   x2 ← c2   x3 ← c3 } yield f(x1, x2, x3)</code>	<code>(c1, c2, c3).map3(f)</code>
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- Generalize to `mapN` from

$$\text{map}_1 : F^A \Rightarrow (A \Rightarrow Z) \Rightarrow F^Z$$

$$\text{map}_2 : F^A \times F^B \Rightarrow (A \times B \Rightarrow Z) \Rightarrow F^Z$$

$$\text{map}_3 : F^A \times F^B \times F^C \Rightarrow (A \times B \times C \Rightarrow Z) \Rightarrow F^Z$$

- Can we avoid having to define `mapn` separately for each  $n$ ?

# Intuition: the `zip` operation on lists

- Simplify  $\text{fmap2} : (A \times B \Rightarrow C) \Rightarrow F^A \times F^B \Rightarrow F^C$  by substituting  $f = \text{id}^{A \times B \Rightarrow A \times B}$ , expecting to obtain a simpler natural transformation:

$$\text{zip} : F^A \times F^B \Rightarrow F^{A \times B}$$

- This is quite similar to `zip` for lists:

`List(1, 2).zip(List(10, 20)) = List((1, 10), (2, 20))`

- The functions `zip` and `fmap2` are computationally equivalent:

$$\begin{aligned}\text{zip} &= \text{fmap2}(\text{id}) \\ \text{fmap2}(f^{A \times B \Rightarrow C}) &= \text{zip} \circ \text{fmap } f\end{aligned}$$

A commutative diagram illustrating the relationship between `zip` and `fmap2`. It features three nodes:  $F^A \times F^B$  on the left,  $F^{A \times B}$  at the top center, and  $F^C$  on the right. An arrow labeled `zip` points from  $F^A \times F^B$  to  $F^{A \times B}$ . An arrow labeled `fmap  $f^{A \times B \Rightarrow C}$`  points from  $F^{A \times B}$  to  $F^C$ . A long arrow labeled `fmap2( $f^{A \times B \Rightarrow C}$ )` points directly from  $F^A \times F^B$  to  $F^C$ , positioned below the other two arrows.

- The functor  $F$  is “zippable” if such a `zip` exists

# Deriving the `ap` operation

- Set  $A \equiv B \Rightarrow C$ , get  $\text{zip}^{[B \Rightarrow C, B]} : F^{B \Rightarrow C} \times F^B \Rightarrow F^{(B \Rightarrow C) \times B}$
- Use `eval` :  $(B \Rightarrow C) \times B \Rightarrow C$  and  $\text{fmap}(\text{eval}) : F^{(B \Rightarrow C) \times B} \Rightarrow F^C$
- Define  $\text{app}^{[B, C]} : F^{B \Rightarrow C} \times F^B \Rightarrow F^C \equiv \text{zip} \circ \text{fmap}(\text{eval})$
- The functions `zip` and `app` are computationally equivalent:
  - ▶ use  $\text{pair} : (A \Rightarrow B \Rightarrow A \times B) = a^A \Rightarrow b^B \Rightarrow a \times b$
  - ▶ use  $\text{fmap}(\text{pair}) \equiv \text{pair}^\uparrow$  on an  $fa^{F^A}$ , get  $(\text{pair}^\uparrow fa) : F^{B \Rightarrow A \times B}$ ; then

$$\text{zip}(fa \times fb) = \text{app}\left((\text{pair}^\uparrow fa) \times fb\right)$$

$$\text{app}^{[B \Rightarrow C, B]} = \text{zip}^{[B \Rightarrow C, B]} \circ \text{fmap}(\text{eval})$$

$$F^{B \Rightarrow C} \times F^B \begin{array}{c} \xrightarrow{\text{zip}} F^{(B \Rightarrow C) \times B} \\ \xrightarrow{\text{app}^{[B \Rightarrow C, B]}} F^C \\ \searrow \text{fmap}(\text{eval}) \end{array}$$

- Rewrite this using curried arguments:  $\text{fzip}^{[A, B]} : F^A \Rightarrow F^B \Rightarrow F^{A \times B}$ ;  $\text{ap}^{[B, C]} : F^{B \Rightarrow C} \Rightarrow F^B \Rightarrow F^C$ ; then  $\text{ap } f = \text{fzip } f \circ \text{fmap}(\text{eval})$ .
- Now  $\text{fzip } p^{F^A} q^{F^B} = \text{ap}(\text{pair}^\uparrow p) q$ , hence we can write as point-free:  $\text{fzip} = \text{pair}^\uparrow \circ \text{ap}$ . With explicit types:  $\text{fzip}^{[A, B]} = \text{pair}^\uparrow \circ \text{ap}^{[B, A \Rightarrow B]}$ .