# Chapter 7: Computations lifted to a functor context II. Monads

Part 2: Laws and structure of semimonads

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#### Semimonad laws I: The intuitions

What properties of functor block programs do we expect to have?

- In  $x \leftarrow c$ , the value of x will go over items held in container c
- Manipulating items in container is followed by a generator:

```
x \leftarrow cont1
                                                                v \leftarrow cont1
      y = f(x)
                                                                         .map(x \Rightarrow f(x))
      z \leftarrow cont2(y)
                                                                z \leftarrow cont2(y)
cont1.flatMap(x \Rightarrow cont2(f(x))) = cont1.map(f).flatMap(y \Rightarrow cont2(y))
```

Manipulating items in container is preceded by a generator:

```
x \leftarrow cont1
                                                       x \leftarrow cont1
      y \leftarrow cont2(x)
                                                       z \leftarrow cont2(x)
      z = f(v)
                                                                  .map(f)
cont1.flatMap(cont2).map(f) = cont1.flatMap(x \Rightarrow cont2(x).map(f))
```

• Within a generator, for {...} yield can be inlined:

```
x \leftarrow cont
                                                              vv \leftarrow for \{ x \leftarrow cont \}
y \leftarrow p(x)
                                                                                   y \leftarrow p(x) } yield y
z \leftarrow cont2(y)
                                                              z \leftarrow cont2(yy)
```

```
cont.flatMap(x \Rightarrow p(x).flatMap(cont2)) = cont.flatMap(p).flatMap(cont2)
```

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### Semimonad laws II: The laws for flatMap

For brevity, write flm instead of flatMap

A semimonad  $S^A$  has  $flm^{[A,B]}: (A \Rightarrow S^B) \Rightarrow S^A \Rightarrow S^B$  with 3 laws:

fmap 
$$f^{A\Rightarrow B}$$
  $S^B$  flm  $g^{B\Rightarrow S^C}$ 

$$S^A \Longrightarrow S^C$$
flm  $(f^{A\Rightarrow B} \circ g^{B\Rightarrow S^C})$ 

2 flm  $(f^{A \Rightarrow S^B} \circ \operatorname{fmap} g^{B \Rightarrow C}) = \operatorname{flm} f \circ \operatorname{fmap} g$  (naturality in B)

$$S^{A} \xrightarrow{\text{flm } f^{A \Rightarrow S^{B}}} S^{B} \xrightarrow{\text{fmap } g^{B \Rightarrow C}} S^{C}$$

$$flm (f^{A \Rightarrow S^{B}} \circ fmap g^{B \Rightarrow C})$$

3  $\operatorname{flm}(f^{A\Rightarrow S^B} \circ \operatorname{flm} g^{B\Rightarrow S^C}) = \operatorname{flm} f \circ \operatorname{flm} g$  (associativity)

$$S^{A} \xrightarrow{\text{flm } f^{A \Rightarrow S^{B}}} S^{B} \xrightarrow{\text{flm } g^{B \Rightarrow S^{C}}} S^{C}$$

Is there a shorter and clearer formulation of these laws?

#### Semimonad laws III: The laws for flatten

The methods flatten (denoted by ftn) and flatMap are equivalent:

$$\operatorname{flm}(f^{A]}: S^{S^{A}} \Rightarrow S^{A} \equiv \operatorname{flm}^{\left[S^{A}, A\right]}(m^{S^{A}} \Rightarrow m)$$

$$\operatorname{flm}(f^{A \Rightarrow S^{B}}) \equiv \operatorname{fmap} f \circ \operatorname{ftn}$$

$$S^{A} \xrightarrow{\operatorname{flm}(f^{A \Rightarrow S^{B}})} S^{S^{D}}$$

It turns out that flatten has only 2 laws:



2 fmap  $(ftn^{[A]}) \circ ftn^{[A]} = ftn^{[S^A]} \circ ftn^{[A]}$  (associativity)



# Equivalence of a natural transformation and a "lifting"

- Equivalence of flm and ftn: ftn = flm (id); flm  $f = \text{fmap } f \circ \text{ftn}$
- We saw this before: deflate = fmapOpt(id);  $fmapOpt f = fmap f \circ deflate$ 
  - ▶ Is there a general pattern where two such functions are equivalent?
- Let  $tr: F^{G^A} \Rightarrow F^A$  be a natural transformation (F and G are functors)
- Define ftr:  $(A \Rightarrow G^B) \Rightarrow F^A \Rightarrow F^B$  by ftr  $f = \operatorname{fmap} f \circ \operatorname{tr}$
- It follows that tr = ftr(id), and we have equivalence between tr and ftr:

$$\operatorname{tr}: F^{G^A} \Rightarrow F^A = \operatorname{ftr}(m^{G^A} \Rightarrow m)$$

$$\operatorname{ftr}(f^{A \Rightarrow G^B}) = \operatorname{fmap} f \circ \operatorname{tr}$$

$$f^A \xrightarrow{\operatorname{ftr}(f^{A \Rightarrow G^B})} F^B$$

- An automatic law for ftr ("naturality in A") follows from the definition: fmap  $g \circ \text{ftr } f = \text{fmap } g \circ \text{fmap } f \circ \text{tr} = \text{fmap } (g \circ f) \circ \text{tr} = \text{ftr } (g \circ f)$ 
  - ► This is why tr always has one law fewer than ftr
- To demonstrate equivalence in the direction ftr → tr: Start with an arbitrary ftr satisfying "naturality in A", then obtain tr = ftr (id) from it, then verify ftr f = fmap f ∘ tr with that tr; fmap f ∘ ftr (id) = ftr (f ∘ id) = ftr f

## Semimonad laws IV: Deriving the laws for flatten

Denote for brevity  $q^{\uparrow} \equiv \text{fmap } q$  for any function q ("lifting"  $q^{A \Rightarrow B}$  to S) Express flm  $f = f^{\uparrow} \circ \text{ftn}$  and substitute that into flm's 3 laws:

- flm  $(f \circ g) = f^{\uparrow} \circ \text{flm } g$  gives  $(f \circ g)^{\uparrow} \circ \text{ftn} = f^{\uparrow} \circ g^{\uparrow} \circ \text{ftn}$ – this law holds automatically due to functor composition law
- ②  $\operatorname{flm}(f \circ g^{\uparrow}) = \operatorname{flm} f \circ g^{\uparrow}$  gives  $(f \circ h)^{\uparrow} \circ \operatorname{ftn} = f^{\uparrow} \circ \operatorname{ftn} \circ h$ ; using the functor composition law, we reduce this to  $h^{\uparrow} \circ \operatorname{ftn} = \operatorname{ftn} \circ h$  this is the naturality law
- ③  $flm(f \circ flm g) = flm f \circ flm g$  with functor composition law gives  $f^{\uparrow} \circ g^{\uparrow \uparrow} \circ ftn^{\uparrow} \circ ftn = f^{\uparrow} \circ ftn \circ g^{\uparrow} \circ ftn$ ; using ftn's naturality and omitting the common factor  $f^{\uparrow} \circ g^{\uparrow \uparrow}$ , we get  $ftn^{\uparrow} \circ ftn = ftn \circ ftn$  associativity law
  - flatten has the simplest type signature and the fewest laws
  - It is usually easy to check naturality!
    - ▶ Parametricity theorem: Any pure, fully parametric code for a function of type  $F^A \Rightarrow G^A$  will implement a natural transformation
- Checking flatten's associativity needs a lot more work!

The cats library has a FlatMap type class, defining flatten via flatMap

## Checking the associativity law for standard monads

- Implement flatten for these functors and check the laws (see code):
  - ▶ Option monad:  $F^A \equiv 1 + A$ ; ftn :  $1 + (1 + A) \Rightarrow 1 + A$
  - ▶ Either monad:  $F^A \equiv Z + A$ ; ftn :  $Z + (Z + A) \Rightarrow Z + A$
  - ▶ List monad:  $F^A \equiv \text{List}^A$ ; ftn : List List  $\Rightarrow \text{List}^A$
  - ▶ Writer monad:  $F^A \equiv A \times W$ ; ftn :  $(A \times W) \times W \Rightarrow A \times W$
  - ▶ Reader monad:  $F^A \equiv R \Rightarrow A$ ; ftn :  $(R \Rightarrow (R \Rightarrow A)) \Rightarrow R \Rightarrow A$
  - ▶ State:  $F^A \equiv S \Rightarrow A \times S$ ; ftn :  $(S \Rightarrow (S \Rightarrow A \times S) \times S) \Rightarrow S \Rightarrow A \times S$
  - ► Continuation monad:  $F^A \equiv (A \Rightarrow R) \Rightarrow R$ ; ftn :  $((((A \Rightarrow R) \Rightarrow R) \Rightarrow R) \Rightarrow (A \Rightarrow R) \Rightarrow R$
- Code implementing these flatten functions is fully parametric in A
  - ▶ Naturality of these functions follows from parametricity theorem
  - Associativity needs to be checked for each monad!
- Example of a useful semimonad that is not a full monad:
  - $F^A \equiv A \times V \times W; \text{ ftn } ((a \times v_1 \times w_1) \times v_2 \times w_2) = a \times v_1 \times w_2$
- Examples of *non-associative* (i.e. wrong) implementations of flatten:
  - $F^A \equiv A \times W \times W; \text{ ftn} ((a \times v_1 \times v_2) \times w_1 \times w_2) = a \times w_2 \times w_1$
  - $ightharpoonup F^A \equiv \text{List}^A$ , but flatten concatenates the nested lists in reverse order

#### Motivation for monads

- Monads represent values with a "special computational context"
- Specific monads will have methods to create various contexts
- Monadic composition will "combine" the contexts associatively
- It is generally useful to have an "empty context" available:

pure : 
$$A \Rightarrow M^A$$

Adding the empty context to another context should be a no-op

Empty context is followed by a generator:

```
y \leftarrow pure(x)
                                                           v = x
                                                           z \leftarrow cont(y)
      z \leftarrow cont(y)
                                                          pure \circ flm f = f – left identity
pure(x).flatMap(y \Rightarrow cont(y)) = cont(x)
```

Empty context is preceded by a generator:

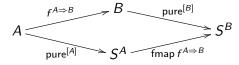
```
x \leftarrow cont
                                                               x \leftarrow cont
y \leftarrow pure(x)
                                                               v = x
```

flm(pure) = id - right identity $cont.flatMap(x \Rightarrow pure(x)) = cont$ 

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#### The monad laws formulated in terms of pure and flatten

Naturality law for pure: f ∘ pure = pure ∘ fmap f



Left identity: pure ∘ flm f = pure ∘ fmap f ∘ ftn = f ∘ pure ∘ ftn = f
 requires that pure ∘ ftn = id (both sides applied to S<sup>A</sup>)

$$S^{A} \xrightarrow{\text{pure}^{[S^{A}]}} S^{S^{A}} \xrightarrow{\text{ftn}^{[A]}} S^{A}$$

• Right identity:  $\mathsf{flm}(\mathsf{pure}) = \mathsf{fmap}(\mathsf{pure}) \circ \mathsf{ftn} = \mathsf{id}^{S^A \Rightarrow S^A}$ 



## Formulating laws via Kleisli functions

- Recall: we formulated the laws of filterables via fmapOpt
  - type signature of fmapOpt :  $(A \Rightarrow 1 + B) \Rightarrow S^A \Rightarrow S^B$
  - ▶ and then we had to compose functions of types  $A \Rightarrow 1 + B$  via  $\diamond_{Ont}$
- Here we have flm :  $(A \Rightarrow S^B) \Rightarrow S^A \Rightarrow S^B$  instead of fmapOpt
- Can we compose **Kleisli functions** with "twisted" types  $A \Rightarrow S^B$ ?
- Use flm to define Kleisli composition:  $f^{A\Rightarrow S^B} \diamond g^{B\Rightarrow S^C} \equiv f \circ \text{flm } g$
- Define **Kleisli identity**  $id_{\diamond}$  of type  $A \Rightarrow S^A$  as  $id_{\diamond} \equiv pure$
- Composition law:  $flm(f \diamond g) = flm f \circ flm g$  (same as for fmapOpt)
  - ▶ Shows that flatMap is a "lifting" of  $A \Rightarrow S^B$  to  $S^A \Rightarrow S^B$
- These laws are similar to functor "lifting" laws...
  - ▶ except that ⋄ is used for composing Kleisli functions
- What are the properties of <?</p>
  - $\triangleright$  Exactly similar to the properties of function composition  $f \circ g$

#### Reformulate flm's laws in terms of the $\diamond$ operation:

- flm's left and right identity laws: pure  $\diamond f = f$  and  $f \diamond$  pure = f
- Associativity law:  $(f \diamond g) \diamond h = f \diamond (g \diamond h)$ 
  - ▶ Follows from the flm law:  $f \circ \text{flm}(g \circ \text{flm}h) = f \circ \text{flm} g \circ \text{flm} h$

# \* Motivation for categories and functors

Compare different "liftings" seen so far, and generalize

Category	Type $A \rightsquigarrow B$	Identity	Composition
plain functions	$A \Rightarrow B$	$id: A \Rightarrow A$	$f^{A\Rightarrow B}\circ g^{B\Rightarrow C}$
lifted to F	$F^A \Rightarrow F^B$	$id: F^A \Rightarrow F^A$	$f^{F^A \Rightarrow F^B} \circ g^{F^B \Rightarrow F^C}$
Kleisli over F	$A \Rightarrow F^B$	pure : $A \Rightarrow F^A$	$f^{A\Rightarrow F^B} \diamond g^{B\Rightarrow F^C}$

Category theory generalizes this situation

**Category**: a certain class of "twisted functions"  $A \rightsquigarrow B$  called **morphisms** 

- For any two morphisms  $f^{A \leadsto B}$  and  $g^{B \leadsto C}$  the **composition** morphism  $f \diamond g$  of type  $A \leadsto C$  must exist
- For each type A, the **identity** morphism id<sub> $\diamond$ </sub> of type  $A \rightsquigarrow A$  must exist
- Composition respects identity:  $id_{\diamond} \diamond f = f$  and  $f \diamond id_{\diamond} = f$
- Composition is associative:  $(f \diamond g) \diamond h = f \diamond (g \diamond h)$

General functor: a map from one category to another

- A functor must fmap each morphism from one category to the other
- Functor laws: fmap must preserve identity and composition
  - ▶ What we call "functor" is called **endofunctor** in category theory
- ► An endofunctor's fmap goes from plain functions to F-lifted functions

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## \* From Kleisli back to flatMap

The Kleisli functions,  $A \rightsquigarrow B \equiv A \Rightarrow S^B$ , form a category iff S is a monad

- map and flatMap are computationally equivalent to Kleisli composition:
  - ▶ Define flatMap through Kleisli: flm  $f^{A\Rightarrow S^B} \equiv id^{S^A\Rightarrow S^A} \diamond f$
  - ► Require two additional laws that connect ⋄, fmap, and ⋄:
    - ★ Left naturality:  $f^{A\Rightarrow B} \circ g^{B\Rightarrow S^c} = (f \circ pure) \diamond g$
    - ★ Right naturality:  $f^{A\Rightarrow S^B} \circ \operatorname{fmap} g^{B\Rightarrow C} = f \diamond (g \circ \operatorname{pure})$
  - ▶ So, can define fmap through Kleisli: fmap  $g^{A\Rightarrow B} \equiv \operatorname{id}^{S^A\Rightarrow S^A} \diamond (g \circ \operatorname{pure})$

The laws for pure and flatMap then follow from category axioms for Kleisli:

- Left and right identity laws follow from id  $\diamond$  pure = id and pure  $\diamond$  f = f
  - Associativity for flatMap follows from  $(id \diamond f) \diamond g = id \diamond (f \diamond g)$
  - Use "left naturality", get:  $(f \circ g) \diamond h = (f \circ pure) \diamond g \diamond h = f \circ (g \diamond h)$
  - Naturality for pure: pure  $\circ$  fmap  $f = \text{pure} \diamond (f \circ \text{pure}) = f \circ \text{pure}$
  - Define flatten:  $\mathsf{ftn} = \mathsf{id}^{S^S} \Rightarrow S^S$   $\diamond \mathsf{id}^{S^A} \Rightarrow S^A$
  - Naturality for flatten:  $ftn \circ fmap f = id \diamond id \diamond (f \circ pure) = id \diamond fmap f$ and  $fmap (fmap f) \circ ftn = id \diamond ((fmap f) \circ pure) \circ id \diamond id = id \diamond fmap f$

## Structure of semigroups and monoids

- Semimonad contexts are combined associatively, as in a semigroup
  - ▶ A full monad includes an "empty" context, i.e. the identity element
  - Semigroup with an identity element is a monoid

#### Some constructions of semigroups and monoids (see code):

- **1** Any type Z is a semigroup with operation  $z_1 \circledast z_2 = z_1$  (or  $z_2$ )
- ② 1+S is a monoid if S is (at least) a semigroup (or  $S\equiv 0$ )
- **3** List<sup>A</sup> is a monoid (for any type A), also Seq<sup>A</sup> etc.
- **1** The function type  $A \Rightarrow A$  is a monoid (for any type A)
  - ▶ The operation  $f \circledast g$  can be either  $f \circ g$  or  $g \circ f$
- ullet Any totally ordered type is a monoid, with  $\circledast$  defined as max or min
- $\circ$   $S_1 + S_2$  is a semigroup (monoid) if  $S_1$ ,  $S_2$  are semigroups (monoids)
- - ▶ The "action" is  $\alpha: S \Rightarrow P \Rightarrow P$  such that  $\alpha(s_2) \circ \alpha(s_1) = \alpha(s_1 \circledast s_2)$ .
  - ▶  $S \times P$  is a "twisted product." Examples:  $(A \Rightarrow A) \times A$ ; Bool × (1 + A).
  - Other examples of monoids: Int (many), String, Set<sup>A</sup>, Akka's Route

# Structure of (semi)monads

How to recognize a (semi)monad by its type? Open question!

Intuition from flatten: reshuffle data in  $F^{FA}$  to fit into  $F^{A}$ Some constructions of exponential-polynomial (semi)monads:

- $F^A \equiv Z$  (constant functor) for a fixed type Z
  - For a full monad, need to choose Z=1
- $F^A \equiv A \times G^A$  for any functor  $G^A$  (a full monad only if  $G^A \equiv 1$ )
- $F^A \equiv G^A \times H^A$  for any (semi)monads  $G^A$  and  $H^A$
- $F^A \equiv A + G^A$  is a monad for a semimonad  $G^A$  (free pointed over G)
- **5**  $F^A \equiv G^{Z+A\times W}$  is a (semi)monad if G is a (semi)monad
  - ▶ For a full monad, need W to be a monoid
- $F^A \equiv A + G^{F^A}$  (recursive) for any functor  $G^A$  (free monad over G)
- §  $F^A \equiv R \Rightarrow G^A$  is a (semi)monad for any (semi)monad  $G^A$
- $P^A \equiv H^A \Rightarrow A \times G^A$  for any contrafunctor  $H^A$  and functor  $G^A$ 
  - ▶ For a full monad, need to set  $G^A \equiv 1$ , i.e.  $F^A \equiv H^A \Rightarrow A$

#### Exercises II

- Show that M[P] is a monoid if M[] is a monad and P is a monoid.
- 2 A framework implements a "route" type R as  $R \equiv Q \Rightarrow (E + S)$ , where Q is a guery, E is an error response, and S is a success response. A server is defined as a "sum" of several routes. For a given query Q, the response is the first route (if it exists) that yields a success. Implement the route "summation" operation and show that it makes R into a semigroup. What would be necessary to make R into a monoid?
- **3** Verify the associativity law for the semimonad  $F^A \equiv Z + \text{Bool} \times A$ .
- **3** Show that the functor  $F^A \equiv \text{Boolean} \times M^A$  (where  $M^A$  is an arbitrary monad) can be made into a semimonad but not into a monad.
- 5 If W and R are arbitrary fixed types, which of the functors can be made into a semimonad:  $F^A \equiv W \times (R \Rightarrow A)$ ,  $G^A = R \Rightarrow (W \times A)$ ?
- **6** Show that  $F^A = (P \Rightarrow A) + (Q \Rightarrow A)$  is not a semimonad (cannot define flatMap) when P and Q are arbitrary, different types.
- 1 Implement the flatten and pure methods for  $D^A \equiv 1 + A \times A$  (type D[A] = Option[(A, A)]) in at least two significantly different ways, and show that the monad laws always fail to hold. ( $D^A$  is not a monad!)

# Exercises II (continued)

- **1** Implement flatten and pure for  $F^A \equiv A + (R \Rightarrow A)$ , where R is a fixed type, and show that all the monad laws hold.
- ① Check the identity laws for monad construction 6,  $F^A \equiv A + G^A$ , when  $\mathsf{pure}_F$  is defined as  $\mathsf{id}^{A\Rightarrow A} + 0$  (given that  $G^A$  is a monad). Show that the identity laws fail if  $\mathsf{pure}_F$  were defined as  $0 + \mathsf{pure}_G$ .
- 10 Implement the monad methods for  $F^A \equiv (Z \Rightarrow 1 + A) \times \text{List}^A$  using the known monad constructions (no need to check the laws).
- ① Suppose a functor  $F^A$  has a natural transformation  $ex^{[A]}: F^A \Rightarrow A$  that "extracts the value" from  $F^A$ . Would  $F^A$  be a semimonad if we defined flatten as  $ftn = ex^{[F^A]}$  or  $ftn = fmap \, ex$ ?
- **@** A programmer implemented the fmap method for  $F^A \equiv A \times (A \Rightarrow Z)$  as

```
def fmap[A,B](f: A\RightarrowB): ((A, A\RightarrowZ)) \Rightarrow (B, B\RightarrowZ) = { case (a, az) \Rightarrow (f(a), (_: B) \Rightarrow az(a)) }
```

Show that this implementation fails to satisfy the functor laws.