3: Discrete Cosine Transform

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- DCT
- Basis Functions
- DCT of sine wave
- DCT Properties
- Energy Conservation
- Energy Compaction
- Frame-based coding
- Lapped Transform
- MDCT (Modified DCT)
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The DFT has some problems when used for this purpose:

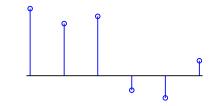
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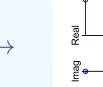
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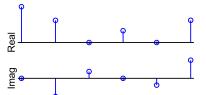
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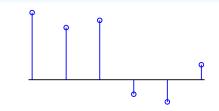
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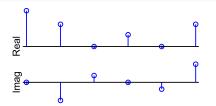
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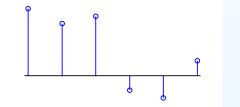
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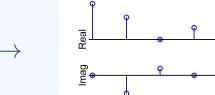
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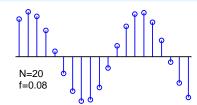
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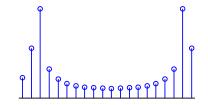




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 - ⇒ Spurious frequency components from boundary discontinuity.







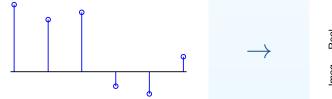
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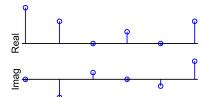
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The Discrete Cosine Transform (DCT) overcomes these problems.

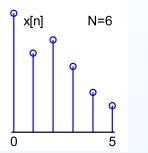
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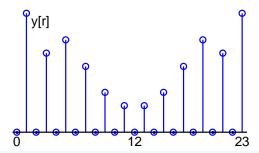
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To form the Discrete Cosine Transform (DCT), replicate x[0:N-1] but in reverse order and insert a zero between each pair of samples:



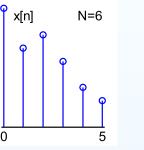


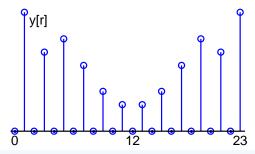
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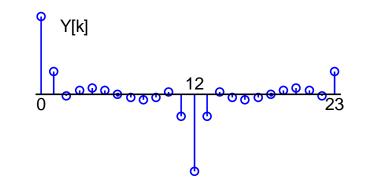
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Take the DFT of length 4N real, symmetric, odd-sample-only sequence. Result is real, symmetric and anti-periodic:



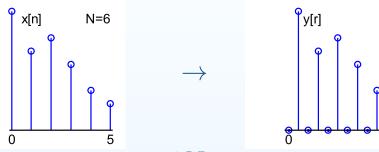
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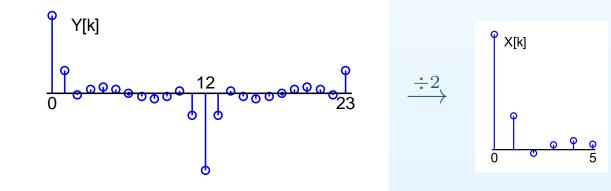
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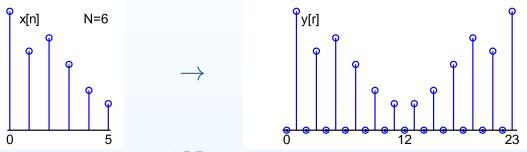
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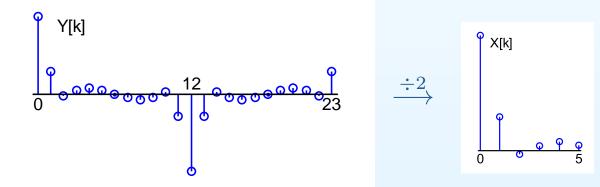
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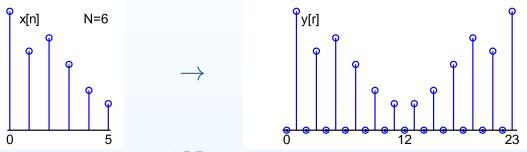


Forward DCT: $X_C[k] = \sum_{n=0}^{N-1} x[n] \cos \frac{2\pi(2n+1)k}{4N}$ for k = 0: N-1

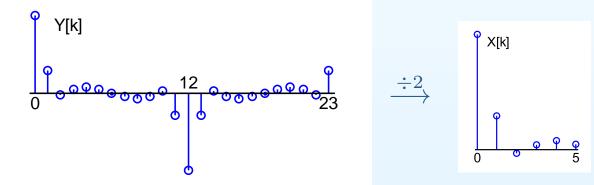
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Inverse DCT: $x[n] = \frac{1}{N}X[0] + \frac{2}{N}\sum_{k=1}^{N-1}X[k]\cos\frac{2\pi(2n+1)k}{4N}$

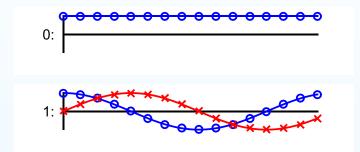
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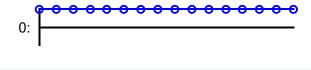
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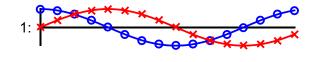
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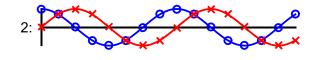


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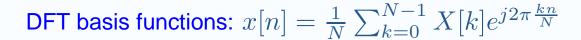
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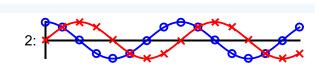


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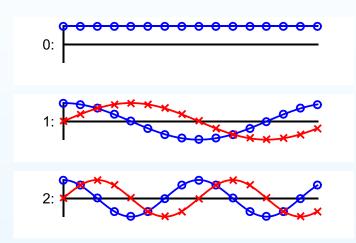


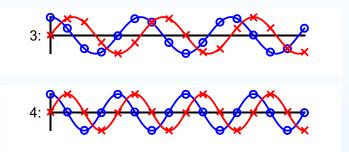




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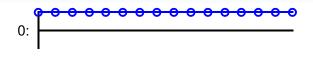
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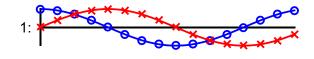


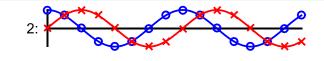


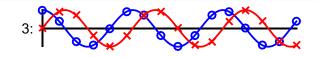
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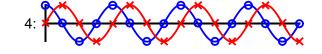
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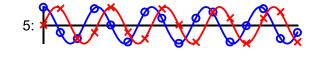






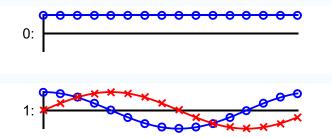


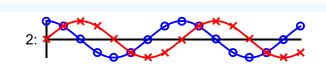


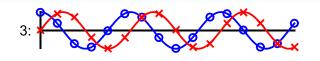


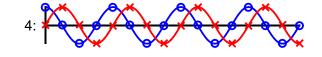
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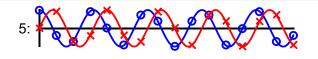
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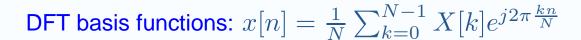




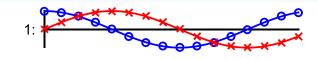
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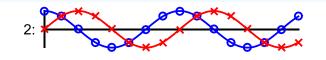
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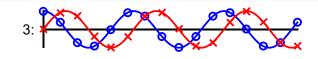
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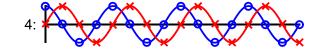


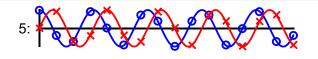








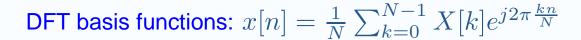


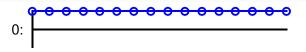


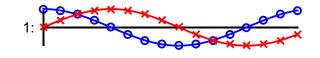
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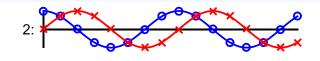
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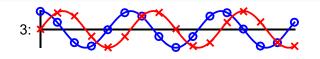
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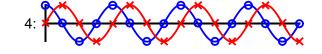


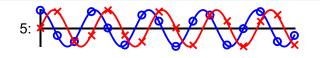




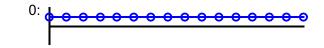


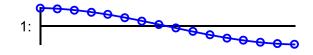






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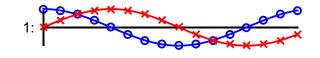


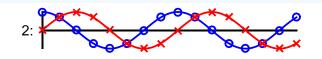
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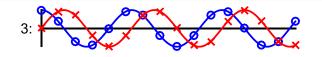
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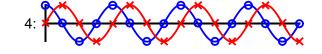
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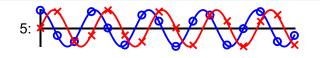




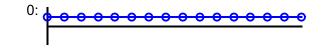








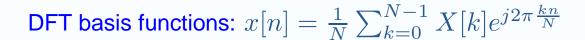
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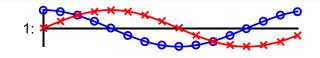


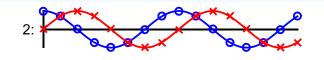


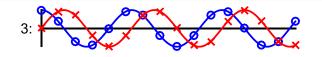
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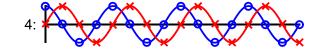


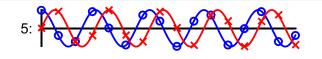




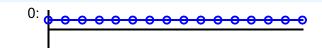




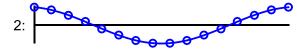




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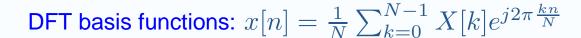


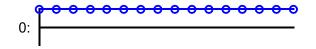


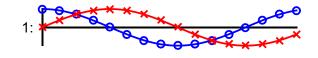


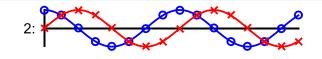


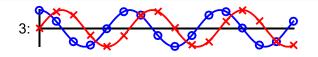
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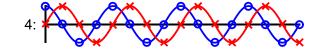


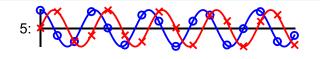




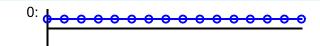








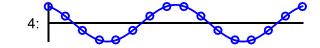
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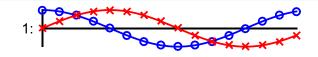


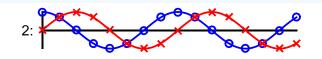
3: Discrete Cosine Transform

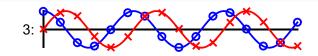
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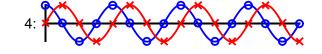
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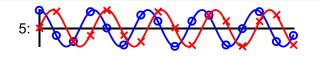




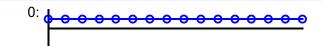




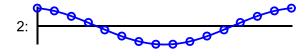




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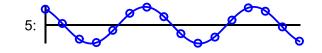












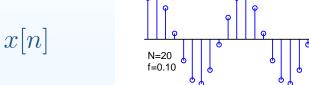
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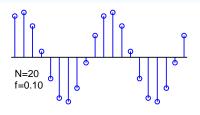
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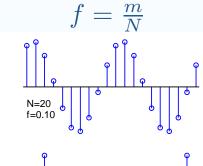


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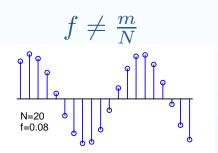
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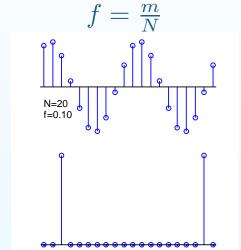


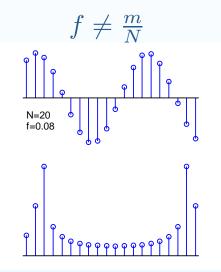
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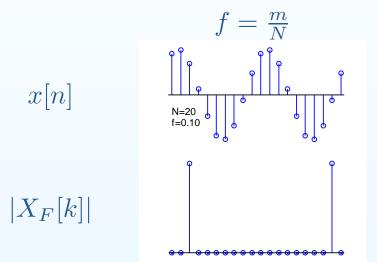


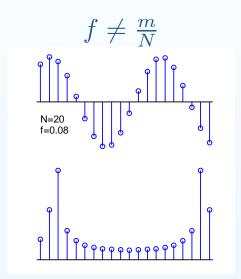


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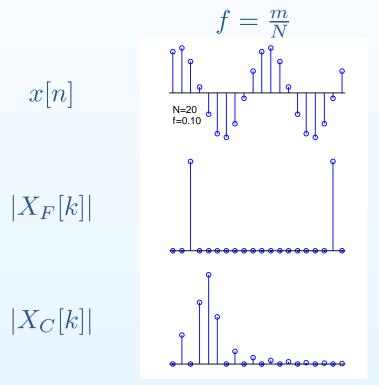


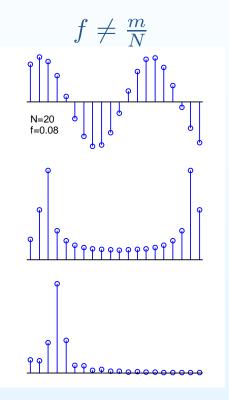
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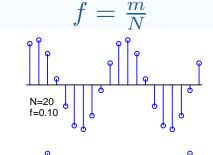
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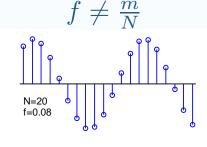
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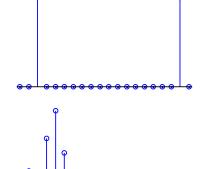
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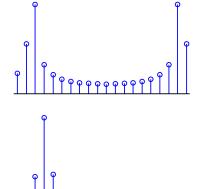












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DCT: Real \rightarrow Real; Freq range [0, 0.5]; Well localized $\forall f$;

$$|X_C[k]| \propto k^{-2}$$
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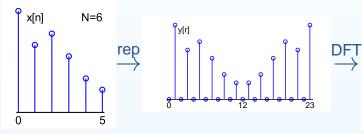
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• Periodic: X[k + 4N] = -X[k + 2N] = X[k]

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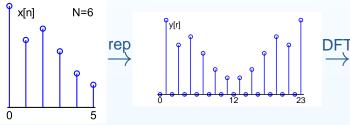




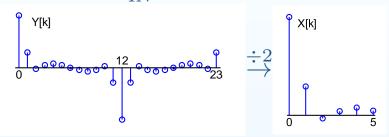
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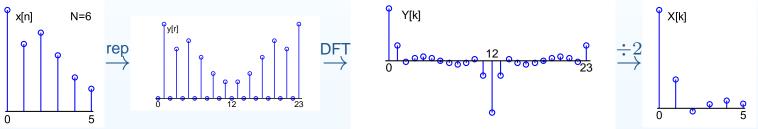
Energy:
$$E = \sum_{n=0}^{N-1} |x[n]|^2$$



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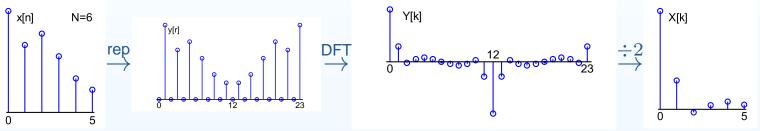
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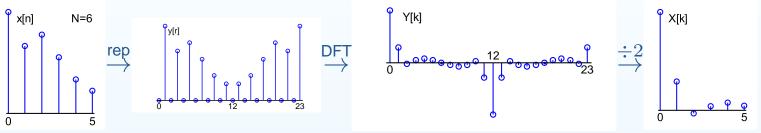
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In diagram above: $E \rightarrow 2E \rightarrow 8NE \rightarrow \approx 0.5NE$

Orthogonal DCT (preserves energy: $\sum |x[n]|^2 = \sum |X[n]|^2$)

Note: MATLAB dct() calculates the ODCT

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DCT:
$$X[k] = \sum_{n=0}^{N-1} x[n] \cos \frac{2\pi(2n+1)k}{4N}$$

IDCT:
$$x[n] = \frac{1}{N}X[0] + \frac{2}{N}\sum_{k=1}^{N-1}X[k]\cos\frac{2\pi(2n+1)k}{4N}$$



Energy:
$$E = \sum_{n=0}^{N-1} |x[n]|^2 = \frac{1}{N} |X[0]|^2 + \frac{2}{N} \sum_{n=1}^{N-1} |X[n]|^2$$

In diagram above:
$$E \rightarrow 2E - 8NE^? \Rightarrow 0.5NE$$

Orthogonal DCT (preserves energy: $\sum |x[n]|^2 = \sum |X[n]|^2$)

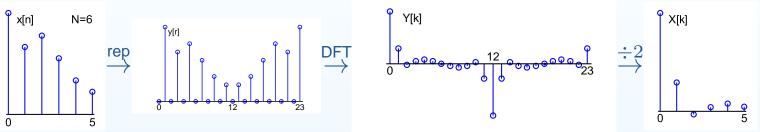
ODCT:
$$X[k] = \begin{cases} \sqrt{\frac{1}{N}} \sum_{n=0}^{N-1} x[n] & k = 0\\ \sqrt{\frac{2}{N}} \sum_{n=0}^{N-1} x[n] \cos \frac{2\pi(2n+1)k}{4N} & k \neq 0 \end{cases}$$

Note: MATLAB dct() calculates the ODCT

3: Discrete Cosine Transform

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If consecutive x[n] are positively correlated, DCT concentrates energy in a few X[k] and decorrelates them.

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Example: Markov Process: $x[n] = \rho x[n-1] + \sqrt{1-\rho^2}u[n]$ where u[n] is i.i.d. unit Gaussian.

Then $\langle x^2[n] \rangle = 1$ and $\langle x[n]x[n-1] \rangle = \rho$.

Covariance of vector \mathbf{x} is $\mathbf{S}_{i,j} = \langle \mathbf{x} \mathbf{x}^H \rangle_{i,j} = \rho^{|i-j|}$.

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Diagonal elements give mean coefficient energy.

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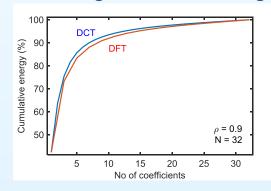
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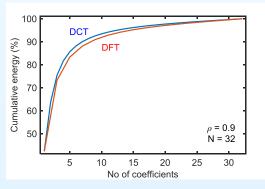
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- Used in MPEG and JPEG (superseded by JPEG2000 using wavelets)
- Used in speech recognition to decorrelate spectral coeficients: DCT of log spectrum

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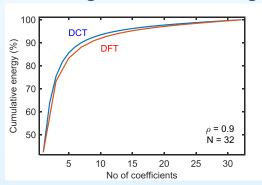
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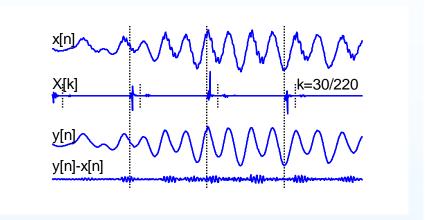
Energy compaction good for coding (low-valued coefficients can be set to 0)

Decorrelation good for coding and for probability modelling

3: Discrete Cosine Transform

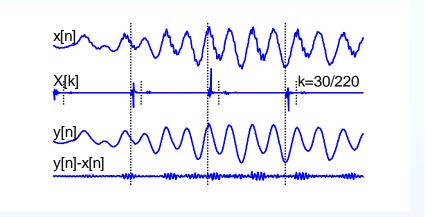
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 Divide continuous signal into frames



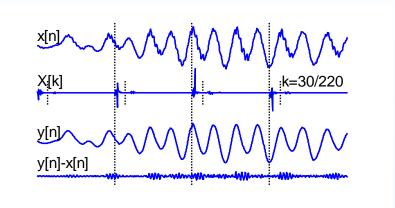
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- Divide continuous signal into frames
- Apply DCT to each frame



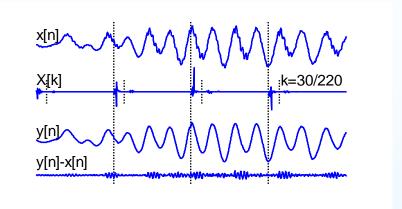
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- Divide continuous signal into frames
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- Encode DCT
 - \circ e.g. keep only 30 X[k]



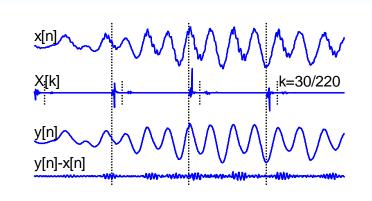
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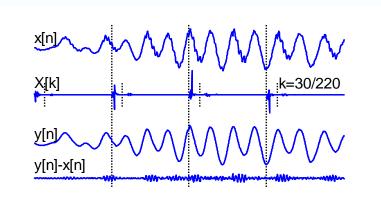


Problem: Coding may create discontinuities at frame boundaries

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Problem: Coding may create discontinuities at frame boundaries e.g. JPEG, MPEG use 8×8 pixel blocks



8.3 kB (PNG)



1.6 kB (JPEG)



0.5 kB (JPEG)

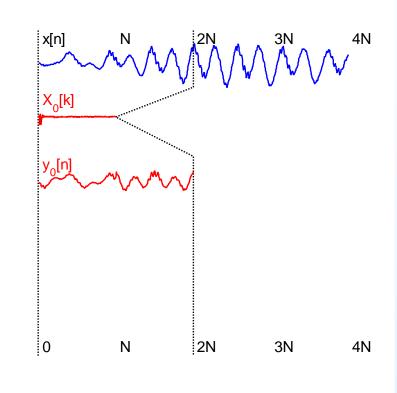
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Modified Discrete Cosine Transform (MDCT): overlapping frames 2N long

$$x[0:2N-1]$$



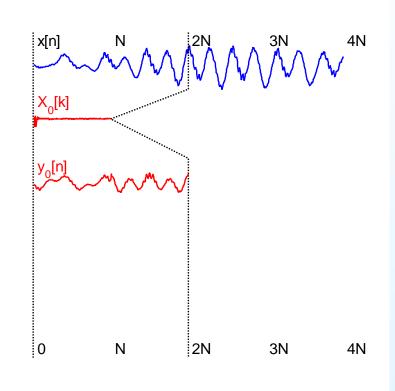
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MDCT: $2N \rightarrow N$ coefficients

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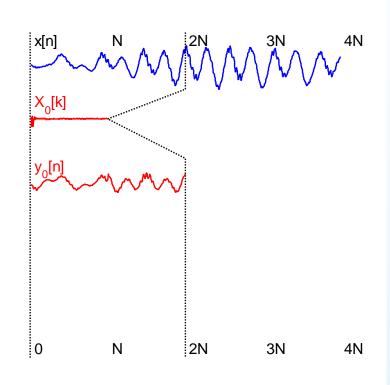
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$$\xrightarrow{\mathsf{MDCT}} X_0[0:N-1]$$

$$\xrightarrow{\mathsf{IMDCT}} y_0[0:2N-1]$$



MDCT: $2N \to N$ coefficients, IMDCT: $N \to 2N$ samples

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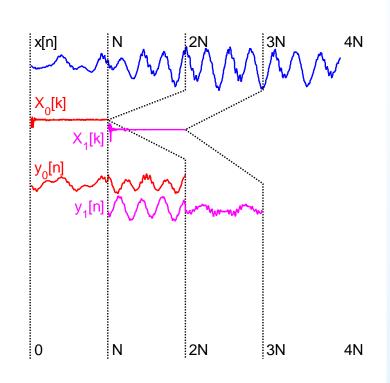
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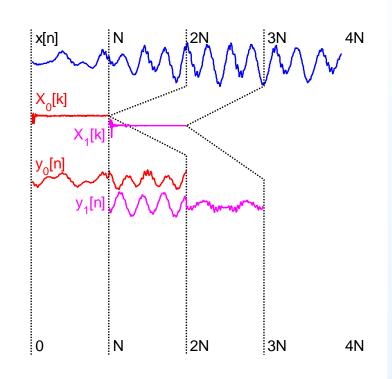
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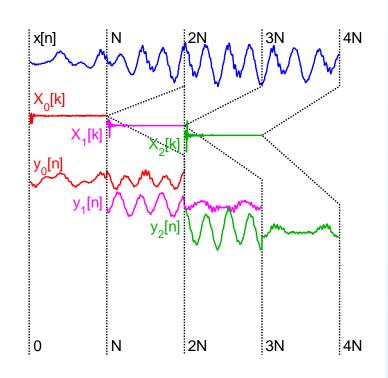
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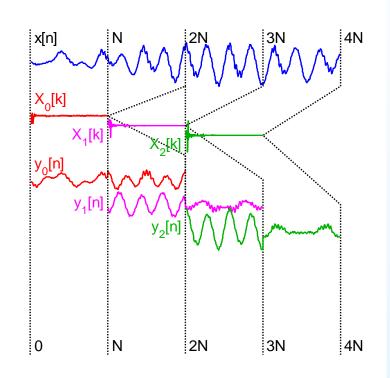
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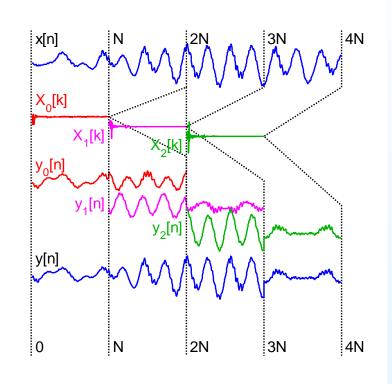
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MDCT: $2N \to N$ coefficients, IMDCT: $N \to 2N$ samples Add $y_i[n]$ together to get y[n]. Only two non-zero terms far any n.

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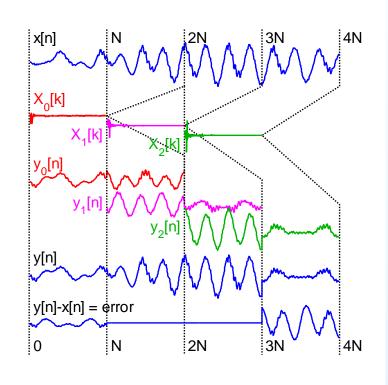
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If x, X and y are column vectors, then X = Mx and $y = \frac{1}{N}M^TX = \frac{1}{N}M^TMx$

where ${\bf M}$ is an $N \times 2N$ matrix with $m_{k,n} = \cos \frac{2\pi(2n+1+N)(2k+1)}{8N}$.

MDCT:
$$X[k] = \sum_{n=0}^{2N-1} x[n] \cos \frac{2\pi (2n+1+N)(2k+1)}{8N}$$
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$$\text{IMDCT: } y[n] = \frac{1}{N} \sum_{k=0}^{N-1} X[k] \cos \frac{2\pi (2n+1+N)(2k+1)}{8N}$$
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If \mathbf{x} , \mathbf{X} and \mathbf{y} are column vectors, then $\mathbf{X} = \mathbf{M}\mathbf{x}$ and $\mathbf{y} = \frac{1}{N}\mathbf{M}^T\mathbf{X} = \frac{1}{N}\mathbf{M}^T\mathbf{M}\mathbf{x}$ where \mathbf{M} is an $N \times 2N$ matrix with $m_{k,n} = \cos\frac{2\pi(2n+1+N)(2k+1)}{8N}$.

Quasi-Orthogonality: The $2N \times 2N$ matrix, $\frac{1}{N} \mathbf{M}^T \mathbf{M}$, is almost the identity:

$$\frac{1}{N}\mathbf{M}^{T}\mathbf{M} = \frac{1}{2} \begin{bmatrix} \mathbf{I} - \mathbf{J} & \mathbf{0} \\ \mathbf{0} & \mathbf{I} + \mathbf{J} \end{bmatrix} \text{ with } \mathbf{I} = \begin{bmatrix} 1 & \cdots & 0 \\ \vdots & \ddots & \vdots \\ 0 & \cdots & 1 \end{bmatrix}, \mathbf{J} = \begin{bmatrix} 0 & \cdots & 1 \\ \vdots & \ddots & \vdots \\ 1 & \cdots & 0 \end{bmatrix}$$

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When two consective \mathbf{y} frames are overlapped by N samples, the second half of the first frame has thus been multiplied by $\frac{1}{2}\left(\mathbf{I}+\mathbf{J}\right)$ and the first half of the second frame by $\frac{1}{2}\left(\mathbf{I}-\mathbf{J}\right)$. When these \mathbf{y} frames are added together, the corresponding \mathbf{x} samples have been multiplied by $\frac{1}{2}\left(\mathbf{I}+\mathbf{J}\right)+\left(\frac{1}{2}\left(\mathbf{I}-\mathbf{J}\right)\right)=\mathbf{I}$ giving perfect reconstruction.

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Normally the 2N-long ${\bf x}$ and ${\bf y}$ frames are windowed before the MDCT and again after the IMDCT to avoid any discontinuities; if the window is symmetric and satisfies $w^2[i] + w^2[i+N] = 2$ the perfect reconstruction property is still true.

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The rows of M form the MDCT basis elements.

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Example (N=4):

$$\mathbf{M} = \begin{bmatrix} 0.56 & 0.20 & -0.20 & -0.56 & -0.83 & -0.98 & -0.98 & -0.83 \\ -0.98 & -0.56 & 0.56 & 0.98 & 0.20 & -0.83 & -0.83 & 0.20 \\ 0.20 & 0.83 & -0.83 & -0.20 & 0.98 & -0.56 & -0.56 & 0.98 \\ 0.83 & -0.98 & 0.98 & -0.83 & 0.56 & -0.20 & -0.20 & 0.56 \end{bmatrix}$$

3: Discrete Cosine Transform

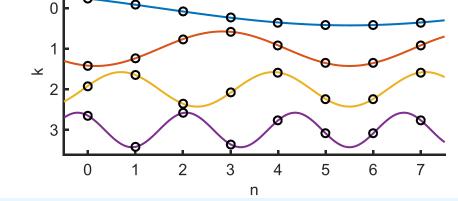
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3: Discrete Cosine Transform

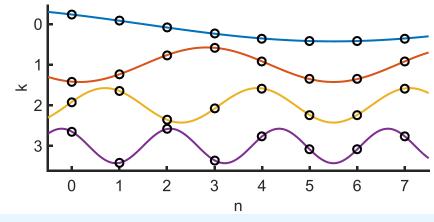
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The basis frequencies are $\{0.5, 1.5, 2.5, 3.5\}$ times the fundamental.

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DCT: Discrete Cosine Transform

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• Lapped transform: $2N \to N \to 2N$

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For further details see Mitra: 5.

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dct, idct	ODCT with optional zero-padding
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