#### 15: Subband Processing

- Subband processing
- 2-band Filterbank
- Perfect Reconstruction
- Quadrature Mirror

Filterbank (QMF)

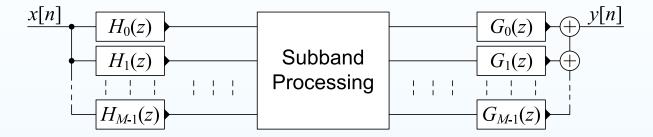
- Polyphase QMF
- QMF Options
- Linear Phase QMF
- IIR Allpass QMF
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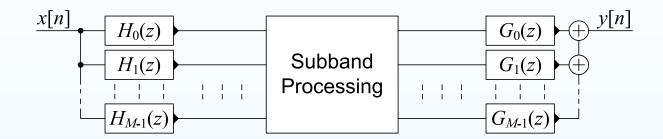


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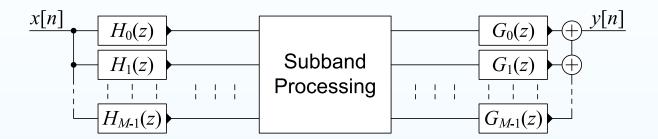
• The  $H_m(z)$  are bandpass *analysis filters* and divide x[n] into frequency bands

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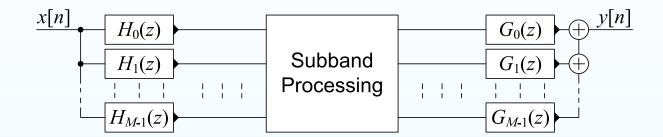
- The  $H_m(z)$  are bandpass *analysis filters* and divide x[n] into frequency bands
- Subband processing often processes frequency bands independently

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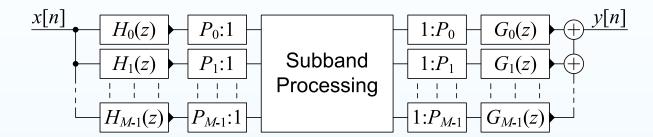
Filterbank (QMF)

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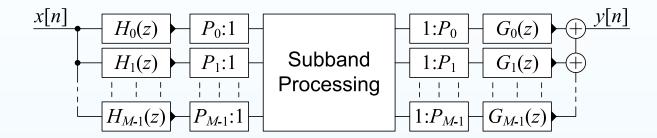
- The  $H_m(z)$  are bandpass *analysis filters* and divide x[n] into frequency bands
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- Subband processing
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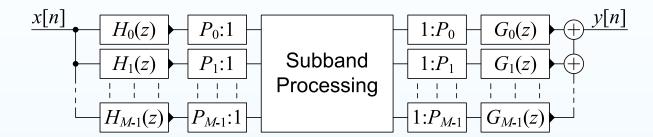
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- Subband processing
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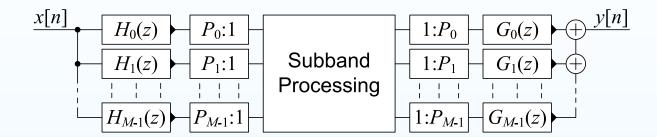
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  - $\circ$  Sample rate multiplied overall by  $\sum \frac{1}{P_i}$

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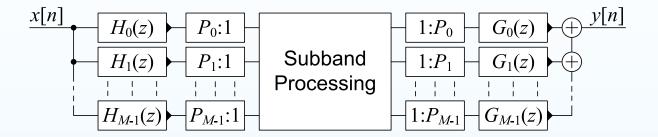
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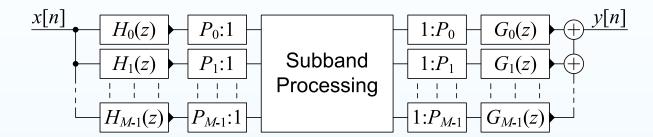
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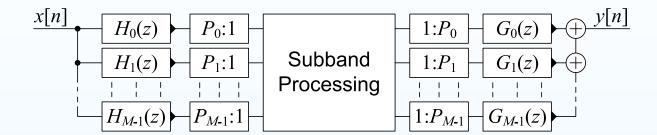
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- Goals:
  - (a) good frequency selectivity in  $H_m(z)$

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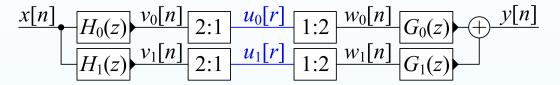
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  - (b) perfect reconstruction: y[n] = x[n-d] if no processing

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- The  $H_m(z)$  are bandpass *analysis filters* and divide x[n] into frequency bands
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- The  $G_m(z)$  are synthesis filters and together reconstruct the output
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- Goals:
  - (a) good frequency selectivity in  $H_m(z)$
  - (b) perfect reconstruction: y[n] = x[n-d] if no processing
- Benefits: Lower computation, faster convergence if adaptive

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$$x[n]$$
  $U_0[n]$   $U_0$ 

$$V_m(z) = H_m(z)X(z)$$
 [ $m \in \{0, 1\}$ ]

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$$x[n]$$
  $U_0[n]$   $U_0$ 

$$V_m(z) = H_m(z)X(z)$$

$$U_m(z) = \frac{1}{K} \sum_{k=0}^{K-1} V_m(e^{\frac{-j2\pi k}{K}} z^{\frac{1}{K}})$$

$$[m \in \{0, 1\}]$$

$$[K=2]$$

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$$x[n]$$
  $u_0[r]$   $u_0[n]$   $u_0$ 

$$V_m(z) = H_m(z)X(z) \qquad [m \in \{0, 1\}]$$

$$U_m(z) = \frac{1}{K} \sum_{k=0}^{K-1} V_m(e^{\frac{-j2\pi k}{K}} z^{\frac{1}{K}}) = \frac{1}{2} \left\{ V_m(z^{\frac{1}{2}}) + V_m(-z^{\frac{1}{2}}) \right\}$$

$$[K = 2]$$

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$$x[n]$$
  $H_0(z)$   $v_0[n]$   $2:1$   $u_0[r]$   $1:2$   $w_0[n]$   $G_0(z)$   $U_0[n]$   $U_1[n]$   $U_1[n]$ 

$$V_m(z) = H_m(z)X(z) \qquad [m \in \{0, 1\}]$$

$$U_m(z) = \frac{1}{K} \sum_{k=0}^{K-1} V_m(e^{\frac{-j2\pi k}{K}} z^{\frac{1}{K}}) = \frac{1}{2} \left\{ V_m\left(z^{\frac{1}{2}}\right) + V_m\left(-z^{\frac{1}{2}}\right) \right\}$$

$$W_m(z) = U_m(z^2) = \frac{1}{2} \left\{ V_m(z) + V_m(-z) \right\} \qquad [K = 2]$$

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$$x[n]$$
  $H_0(z)$   $v_0[n]$   $2:1$   $u_0[r]$   $1:2$   $w_0[n]$   $G_0(z)$   $U_0[n]$   $U_1[n]$   $U_1[n]$ 

$$V_{m}(z) = H_{m}(z)X(z) \qquad [m \in \{0, 1\}]$$

$$U_{m}(z) = \frac{1}{K} \sum_{k=0}^{K-1} V_{m} \left(e^{\frac{-j2\pi k}{K}} z^{\frac{1}{K}}\right) = \frac{1}{2} \left\{ V_{m} \left(z^{\frac{1}{2}}\right) + V_{m} \left(-z^{\frac{1}{2}}\right) \right\}$$

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$$= \frac{1}{2} \left\{ H_{m}(z)X(z) + H_{m}(-z)X(-z) \right\}$$

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$$x[n]$$
  $H_0(z)$   $v_0[n]$   $2:1$   $u_0[r]$   $1:2$   $w_0[n]$   $G_0(z)$   $U_0[n]$   $U_1[n]$   $U_1[n]$ 

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$$= \frac{1}{2} \left\{ H_{m}(z)X(z) + H_{m}(-z)X(-z) \right\}$$

$$Y(z) = \begin{bmatrix} W_0(z) & W_1(z) \end{bmatrix} \begin{bmatrix} G_0(z) \\ G_1(z) \end{bmatrix}$$

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$$x[n]$$
  $H_0(z)$   $v_0[n]$   $2:1$   $u_0[r]$   $1:2$   $w_0[n]$   $G_0(z)$   $Y[n]$   $H_1(z)$   $v_1[n]$   $2:1$   $u_1[r]$   $1:2$   $w_1[n]$   $G_1(z)$ 

$$V_{m}(z) = H_{m}(z)X(z)$$

$$U_{m}(z) = \frac{1}{K} \sum_{k=0}^{K-1} V_{m} \left(e^{\frac{-j2\pi k}{K}} z^{\frac{1}{K}}\right) = \frac{1}{2} \left\{ V_{m} \left(z^{\frac{1}{2}}\right) + V_{m} \left(-z^{\frac{1}{2}}\right) \right\}$$

$$W_{m}(z) = U_{m}(z^{2}) = \frac{1}{2} \left\{ V_{m}(z) + V_{m}(-z) \right\}$$

$$= \frac{1}{2} \left\{ H_{m}(z)X(z) + H_{m}(-z)X(-z) \right\}$$

$$[K = 2]$$

$$Y(z) = \begin{bmatrix} W_0(z) & W_1(z) \end{bmatrix} \begin{bmatrix} G_0(z) \\ G_1(z) \end{bmatrix}$$

$$= \frac{1}{2} \begin{bmatrix} X(z) & X(-z) \end{bmatrix} \begin{bmatrix} H_0(z) & H_1(z) \\ H_0(-z) & H_1(-z) \end{bmatrix} \begin{bmatrix} G_0(z) \\ G_1(z) \end{bmatrix}$$

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$$x[n]$$
  $H_0(z)$   $v_0[n]$   $2:1$   $u_0[r]$   $1:2$   $w_0[n]$   $G_0(z)$   $Y[n]$   $H_1(z)$   $v_1[n]$   $2:1$   $u_1[r]$   $1:2$   $w_1[n]$   $G_1(z)$ 

$$V_{m}(z) = H_{m}(z)X(z)$$

$$U_{m}(z) = \frac{1}{K} \sum_{k=0}^{K-1} V_{m} \left(e^{\frac{-j2\pi k}{K}} z^{\frac{1}{K}}\right) = \frac{1}{2} \left\{ V_{m} \left(z^{\frac{1}{2}}\right) + V_{m} \left(-z^{\frac{1}{2}}\right) \right\}$$

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$$[K = 2]$$

$$Y(z) = \begin{bmatrix} W_0(z) & W_1(z) \end{bmatrix} \begin{bmatrix} G_0(z) \\ G_1(z) \end{bmatrix}$$

$$= \frac{1}{2} \begin{bmatrix} X(z) & X(-z) \end{bmatrix} \begin{bmatrix} H_0(z) & H_1(z) \\ H_0(-z) & H_1(-z) \end{bmatrix} \begin{bmatrix} G_0(z) \\ G_1(z) \end{bmatrix}$$

$$= \begin{bmatrix} X(z) & X(-z) \end{bmatrix} \begin{bmatrix} T(z) \\ A(z) \end{bmatrix}$$

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$$x[n]$$
  $U_0[n]$   $U_0$ 

$$V_{m}(z) = H_{m}(z)X(z) \qquad [m \in \{0, 1\}]$$

$$U_{m}(z) = \frac{1}{K} \sum_{k=0}^{K-1} V_{m} \left(e^{\frac{-j2\pi k}{K}} z^{\frac{1}{K}}\right) = \frac{1}{2} \left\{ V_{m} \left(z^{\frac{1}{2}}\right) + V_{m} \left(-z^{\frac{1}{2}}\right) \right\}$$

$$W_{m}(z) = U_{m}(z^{2}) = \frac{1}{2} \left\{ V_{m}(z) + V_{m}(-z) \right\} \qquad [K = 2]$$

$$= \frac{1}{2} \left\{ H_{m}(z)X(z) + H_{m}(-z)X(-z) \right\}$$

$$Y(z) = \begin{bmatrix} W_0(z) & W_1(z) \end{bmatrix} \begin{bmatrix} G_0(z) \\ G_1(z) \end{bmatrix}$$

$$= \frac{1}{2} \begin{bmatrix} X(z) & X(-z) \end{bmatrix} \begin{bmatrix} H_0(z) & H_1(z) \\ H_0(-z) & H_1(-z) \end{bmatrix} \begin{bmatrix} G_0(z) \\ G_1(z) \end{bmatrix}$$

$$= \begin{bmatrix} X(z) & X(-z) \end{bmatrix} \begin{bmatrix} T(z) \\ A(z) \end{bmatrix}$$

We want (a) 
$$T(z) = \frac{1}{2} \left\{ H_0(z) G_0(z) + H_1(z) G_1(z) \right\} = z^{-d}$$

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$$x[n]$$
  $H_0(z)$   $v_0[n]$  2:1  $u_0[r]$  1:2  $w_0[n]$   $G_0(z)$   $U_1[n]$  2:1  $u_1[r]$  1:2  $u_1[n]$   $U_1[n$ 

$$V_{m}(z) = H_{m}(z)X(z) \qquad [m \in \{0, 1\}]$$

$$U_{m}(z) = \frac{1}{K} \sum_{k=0}^{K-1} V_{m} \left(e^{\frac{-j2\pi k}{K}} z^{\frac{1}{K}}\right) = \frac{1}{2} \left\{ V_{m} \left(z^{\frac{1}{2}}\right) + V_{m} \left(-z^{\frac{1}{2}}\right) \right\}$$

$$W_{m}(z) = U_{m}(z^{2}) = \frac{1}{2} \left\{ V_{m}(z) + V_{m}(-z) \right\} \qquad [K = 2]$$

$$= \frac{1}{2} \left\{ H_{m}(z)X(z) + H_{m}(-z)X(-z) \right\}$$

$$\begin{split} Y(z) &= \left[ \begin{array}{cc} W_0(z) & W_1(z) \end{array} \right] \left[ \begin{array}{c} G_0(z) \\ G_1(z) \end{array} \right] \\ &= \frac{1}{2} \left[ \begin{array}{cc} X(z) & X(-z) \end{array} \right] \left[ \begin{array}{cc} H_0(z) & H_1(z) \\ H_0(-z) & H_1(-z) \end{array} \right] \left[ \begin{array}{c} G_0(z) \\ G_1(z) \end{array} \right] \\ &= \left[ \begin{array}{cc} X(z) & X(-z) \end{array} \right] \left[ \begin{array}{cc} T(z) \\ A(z) \end{array} \right] \quad \text{[$X(-z)$$$$$$$$$$$$$$$$$[X(-z)$$$$$A(z)$ is "aliased" term]} \end{split}$$

We want (a) 
$$T(z)=\frac{1}{2}\left\{H_0(z)G_0(z)+H_1(z)G_1(z)\right\}=z^{-d}$$
 and (b)  $A(z)=\frac{1}{2}\left\{H_0(-z)G_0(z)+H_1(-z)G_1(z)\right\}=0$ 

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$$\frac{1}{2} \begin{bmatrix} H_0(z) & H_1(z) \\ H_0(-z) & H_1(-z) \end{bmatrix} \begin{bmatrix} G_0(z) \\ G_1(z) \end{bmatrix} = \begin{bmatrix} z^{-d} \\ 0 \end{bmatrix}$$

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$$\frac{1}{2} \begin{bmatrix} H_0(z) & H_1(z) \\ H_0(-z) & H_1(-z) \end{bmatrix} \begin{bmatrix} G_0(z) \\ G_1(z) \end{bmatrix} = \begin{bmatrix} z^{-d} \\ 0 \end{bmatrix}$$

Hence: 
$$\begin{bmatrix} G_0(z) \\ G_1(z) \end{bmatrix} = \begin{bmatrix} H_0(z) & H_1(z) \\ H_0(-z) & H_1(-z) \end{bmatrix}^{-1} \begin{bmatrix} 2z^{-d} \\ 0 \end{bmatrix}$$

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$$\frac{1}{2} \begin{bmatrix} H_0(z) & H_1(z) \\ H_0(-z) & H_1(-z) \end{bmatrix} \begin{bmatrix} G_0(z) \\ G_1(z) \end{bmatrix} = \begin{bmatrix} z^{-d} \\ 0 \end{bmatrix}$$

Hence: 
$$\begin{bmatrix} G_0(z) \\ G_1(z) \end{bmatrix} = \begin{bmatrix} H_0(z) & H_1(z) \\ H_0(-z) & H_1(-z) \end{bmatrix}^{-1} \begin{bmatrix} 2z^{-d} \\ 0 \end{bmatrix}$$

$$= \frac{2z^{-d}}{H_0(z)H_1(-z) - H_0(-z)H_1(z)} \begin{bmatrix} H_1(-z) & -H_1(z) \\ -H_0(-z) & H_0(z) \end{bmatrix} \begin{bmatrix} 1 \\ 0 \end{bmatrix}$$

#### 15: Subband Processing

- Subband processing
- 2-band Filterbank
- Perfect Reconstruction
- Quadrature Mirror

Filterbank (QMF)

- Polyphase QMF
- QMF Options
- Linear Phase QMF
- IIR Allpass QMF
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$$= \frac{2z^{-d}}{H_0(z)H_1(-z)-H_0(-z)H_1(z)} \begin{bmatrix} H_1(-z) & -H_1(z) \\ -H_0(-z) & H_0(z) \end{bmatrix} \begin{bmatrix} 1 \\ 0 \end{bmatrix}$$

$$= \frac{2z^{-d}}{H_0(z)H_1(-z)-H_0(-z)H_1(z)} \begin{bmatrix} H_1(-z) \\ -H_0(-z) \end{bmatrix}$$

#### 15: Subband Processing

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For perfect reconstruction without aliasing, we require

$$\frac{1}{2} \begin{bmatrix} H_0(z) & H_1(z) \\ H_0(-z) & H_1(-z) \end{bmatrix} \begin{bmatrix} G_0(z) \\ G_1(z) \end{bmatrix} = \begin{bmatrix} z^{-d} \\ 0 \end{bmatrix}$$

Hence: 
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$$= \frac{2z^{-d}}{H_0(z)H_1(-z) - H_0(-z)H_1(z)} \begin{bmatrix} H_1(-z) & -H_1(z) \\ -H_0(-z) & H_0(z) \end{bmatrix} \begin{bmatrix} 1 \\ 0 \end{bmatrix}$$

$$= \frac{2z^{-d}}{H_0(z)H_1(-z)-H_0(-z)H_1(z)} \begin{bmatrix} H_1(-z) \\ -H_0(-z) \end{bmatrix}$$

For all filters to be FIR, we need the denominator to be

$$H_0(z)H_1(-z) - H_0(-z)H_1(z) = cz^{-k}$$

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 , which implies

$$\begin{bmatrix} G_0(z) \\ G_1(z) \end{bmatrix} = \frac{2}{c} z^{k-d} \begin{bmatrix} H_1(-z) \\ -H_0(-z) \end{bmatrix}$$

#### 15: Subband Processing

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$$H_1(z)$$
 $H_1(-z)$ 
 $\begin{bmatrix} 2z^{-d} \\ 0 \end{bmatrix}$ 

$$= \frac{2z^{-d}}{H_0(z)H_1(-z)-H_0(-z)H_1(z)} \begin{bmatrix} H_1(-z) & -H_1(z) \\ -H_0(-z) & H_0(z) \end{bmatrix} \begin{bmatrix} 1 \\ 0 \end{bmatrix}$$

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For perfect reconstruction without aliasing, we require

$$\frac{1}{2} \begin{bmatrix} H_0(z) & H_1(z) \\ H_0(-z) & H_1(-z) \end{bmatrix} \begin{bmatrix} G_0(z) \\ G_1(z) \end{bmatrix} = \begin{bmatrix} z^{-d} \\ 0 \end{bmatrix}$$

Hence: 
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$$= \frac{2z^{-d}}{H_0(z)H_1(-z)-H_0(-z)H_1(z)} \begin{bmatrix} H_1(-z) \\ -H_0(-z) \end{bmatrix}$$

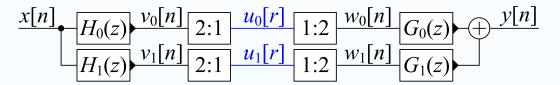
For all filters to be FIR, we need the denominator to be

$$H_0(z)H_1(-z) - H_0(-z)H_1(z) = cz^{-k}$$
 , which implies

$$\begin{bmatrix} G_0(z) \\ G_1(z) \end{bmatrix} = \frac{2}{c} z^{k-d} \begin{bmatrix} H_1(-z) \\ -H_0(-z) \end{bmatrix} \stackrel{d=k}{=} \frac{2}{c} \begin{bmatrix} H_1(-z) \\ -H_0(-z) \end{bmatrix}$$

Note: c just scales  $H_i(z)$  by  $c^{\frac{1}{2}}$  and  $G_i(z)$  by  $c^{-\frac{1}{2}}$ .

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$$x[n]$$
  $U_0[n]$   $U_0$ 

### QMF satisfies:

(a)  $H_0(z)$  is causal and real

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$$x[n]$$
  $H_0(z)$   $v_0[n]$   $2:1$   $u_0[r]$   $1:2$   $w_0[n]$   $G_0(z)$   $U_0[n]$   $U_1[n]$   $U_1[n]$ 

### QMF satisfies:

- (a)  $H_0(z)$  is causal and real
- (b)  $H_1(z)=H_0(-z)$ : i.e.  $\left|H_0(e^{j\omega})\right|$  is reflected around  $\omega=\frac{\pi}{2}$

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$$x[n]$$
  $H_0(z)$   $v_0[n]$   $2:1$   $u_0[r]$   $1:2$   $w_0[n]$   $G_0(z)$   $U_1[n]$   $U_1[n]$ 

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$$x[n]$$
  $H_0(z)$   $v_0[n]$   $2:1$   $u_0[r]$   $1:2$   $w_0[n]$   $G_0(z)$   $U_1[n]$   $U_1[n]$ 

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$$x[n]$$
  $u_0[r]$   $u_0[r]$   $u_0[n]$   $u_0$ 

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- (a)  $H_0(z)$  is causal and real
- (b)  $H_1(z)=H_0(-z)$ : i.e.  $\left|H_0(e^{j\omega})\right|$  is reflected around  $\omega=\frac{\pi}{2}$
- (c)  $G_0(z) = 2H_1(-z) = 2H_0(z)$
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### QMF is alias-free:

$$A(z) = \frac{1}{2} \left\{ H_0(-z)G_0(z) + H_1(-z)G_1(z) \right\}$$

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$$x[n]$$
  $H_0(z)$   $v_0[n]$   $2:1$   $u_0[r]$   $1:2$   $w_0[n]$   $G_0(z)$   $y[n]$   $H_1(z)$   $v_1[n]$   $2:1$   $u_1[r]$   $1:2$   $w_1[n]$   $G_1(z)$ 

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$$x[n]$$
  $H_0(z)$   $v_0[n]$   $2:1$   $u_0[r]$   $1:2$   $w_0[n]$   $G_0(z)$   $U_1[n]$   $U_1[n]$ 

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### **QMF Transfer Function:**

$$T(z) = \frac{1}{2} \left\{ H_0(z) G_0(z) + H_1(z) G_1(z) \right\}$$

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$$x[n]$$
  $H_0(z)$   $v_0[n]$   $2:1$   $u_0[r]$   $1:2$   $w_0[n]$   $G_0(z)$   $Y[n]$   $H_1(z)$   $v_1[n]$   $2:1$   $u_1[r]$   $1:2$   $w_1[n]$   $G_1(z)$ 

### QMF satisfies:

- (a)  $H_0(z)$  is causal and real
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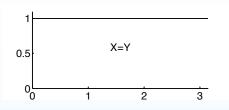
### QMF is alias-free:

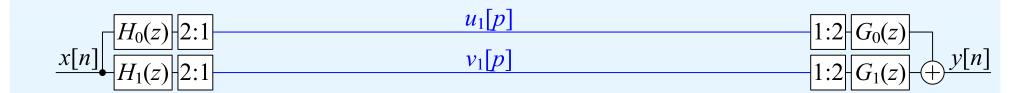
$$A(z) = \frac{1}{2} \left\{ H_0(-z)G_0(z) + H_1(-z)G_1(z) \right\}$$
$$= \frac{1}{2} \left\{ 2H_1(z)H_0(z) - 2H_0(z)H_1(z) \right\} = 0$$

### **QMF Transfer Function:**

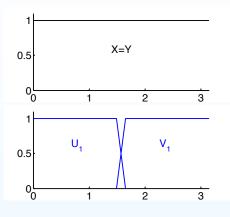
$$T(z) = \frac{1}{2} \{ H_0(z)G_0(z) + H_1(z)G_1(z) \}$$
  
=  $H_0^2(z) - H_1^2(z) = H_0^2(z) - H_0^2(-z)$ 

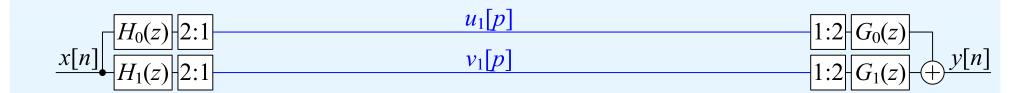
A *half-band filterbank* divides the full band into two equal halves.





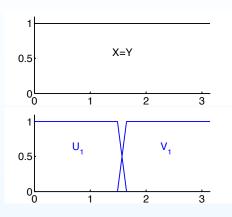
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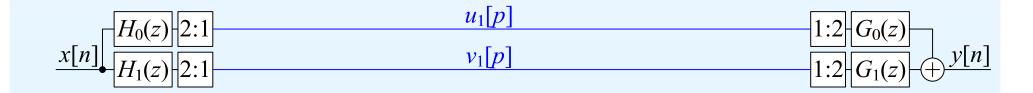




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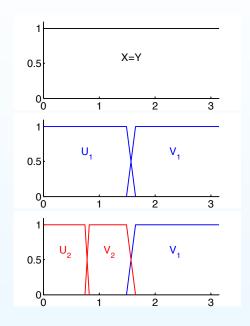
You can repeat the process on either or both of the signals  $u_1[p]$  and  $v_1[p]$ .

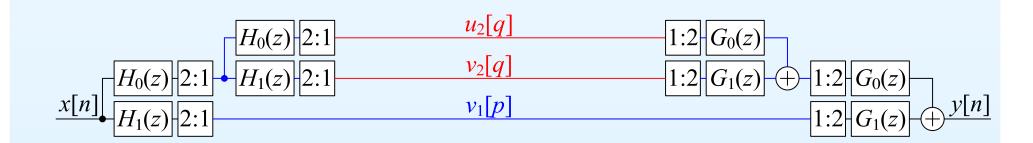




A half-band filterbank divides the full band into two equal halves.

You can repeat the process on either or both of the signals  $u_1[p]$  and  $v_1[p]$ .

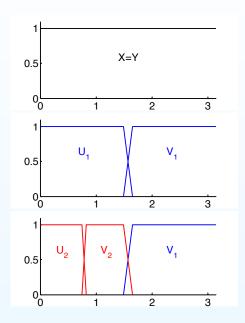


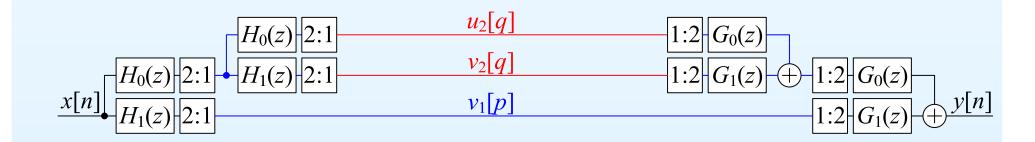


A half-band filterbank divides the full band into two equal halves.

You can repeat the process on either or both of the signals  $u_1[p]$  and  $v_1[p]$ .

Dividing the lower band in half repeatedly results in an *octave* band filterbank.

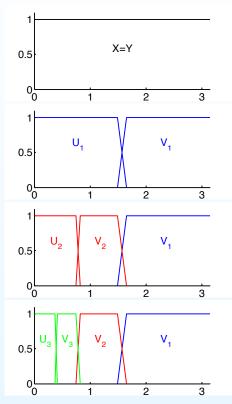


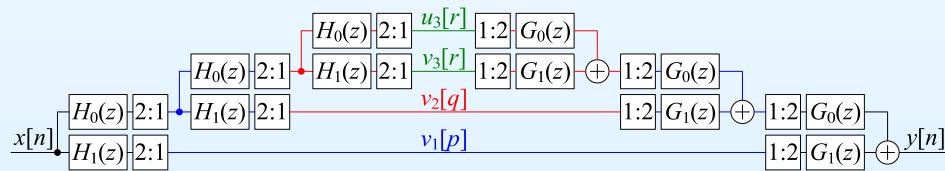


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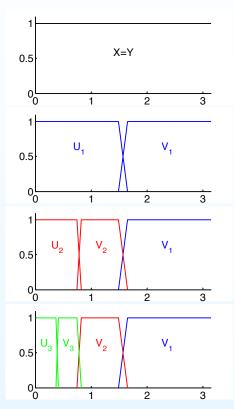


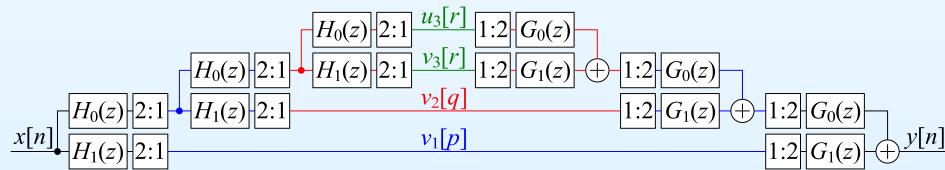


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Dividing the lower band in half repeatedly results in an *octave* band filterbank. Each subband occupies one octave (= a factor of 2 in frequency) except the first subband.



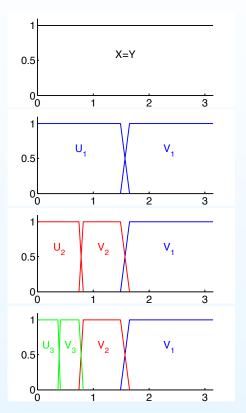


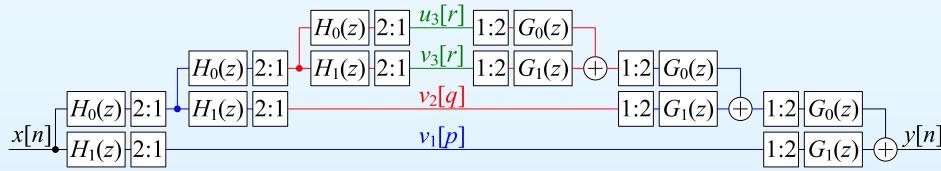
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Dividing the lower band in half repeatedly results in an *octave* band filterbank. Each subband occupies one octave (= a factor of 2 in frequency) except the first subband.

The properties "perfect reconstruction" and "allpass" are preserved by the iteration.





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- Half-band filterbank:
  - $\circ$  Reconstructed output is T(z)X(z) + A(z)X(-z)
  - $\circ$  Unwanted alias term is A(z)X(-z)

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- Half-band filterbank:
  - $\circ$  Reconstructed output is T(z)X(z) + A(z)X(-z)
  - $\circ$  Unwanted alias term is A(z)X(-z)
- Perfect reconstruction: imposes strong constraints on analysis filters  $H_i(z)$  and synthesis filters  $G_i(z)$ .

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- Half-band filterbank:
  - $\circ$  Reconstructed output is T(z)X(z) + A(z)X(-z)
  - $\circ$  Unwanted alias term is A(z)X(-z)
- Perfect reconstruction: imposes strong constraints on analysis filters  $H_i(z)$  and synthesis filters  $G_i(z)$ .
- Quadrature Mirror Filterbank (QMF) adds an additional symmetry constraint  $H_1(z) = H_0(-z)$ .
  - Perfect reconstruction now impossible except for trivial case.
  - $\circ$  Neat polyphase implementation with A(z)=0
  - $\circ$  Johnston filters: Linear phase with T(z)pprox 1
  - $\circ$  Allpass filters: Elliptic or Butterworth with |T(z)|=1

#### 15: Subband Processing

- Subband processing
- 2-band Filterbank
- Perfect Reconstruction
- Quadrature Mirror
   Filterbank (QMF)
- Polyphase QMF
- QMF Options
- Linear Phase QMF
- IIR Allpass QMF
- Tree-structured filterbanks
- Summary
- Merry Xmas

- Half-band filterbank:
  - $\circ$  Reconstructed output is T(z)X(z) + A(z)X(-z)
  - $\circ$  Unwanted alias term is A(z)X(-z)
- Perfect reconstruction: imposes strong constraints on analysis filters  $H_i(z)$  and synthesis filters  $G_i(z)$ .
- Quadrature Mirror Filterbank (QMF) adds an additional symmetry constraint  $H_1(z) = H_0(-z)$ .
  - Perfect reconstruction now impossible except for trivial case.
  - $\circ$  Neat polyphase implementation with A(z)=0
  - $\circ$  Johnston filters: Linear phase with T(z)pprox 1
  - $\circ\quad$  Allpass filters: Elliptic or Butterworth with |T(z)|=1
- Can iterate to form a tree structure with equal or unequal bandwidths.

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See Mitra chapter 14 (which also includes some perfect reconstruction designs).