Resource Allocation for Intelligent Reflecting Surface Aided Vehicular Communications

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Abstract—This paper studies the resource allocation for intelligent reflecting surface aided vehicular communications based on slowly varying large-scale fading channel information. To meet different quality-of-service (QoS) requirements of vehicular communications, we aim to maximize the sum vehicle-to-infrastructure (V2I) link capacity while guaranteeing the minimum signal-to-interference-plus-noise ratio (SINR) of vehicle-to-vehicle (V2V) links. More specifically, the power allocation, IRS reflection coefficients and spectrum allocation are jointly optimized. To tackle the formulated mixed integer non-convex problem, we divide it into two stages, which yields an alternating optimization algorithm. Simulation results demonstrate the effectiveness of the proposed algorithm and the IRS can significantly improve the quality of vehicular communications in terms of the sum V2I capacity.

Index Terms—Intelligent reflecting surface, resource allocation, vehicular communications, alternating optimization.

#### I. Introduction

The emergence of vehicle-to-everything (V2X) communications aims to make people's daily travels safer and more convenient, thereby paving the way for cutting-edge intelligent applications, such as intelligent transportation systems and autonomous driving. However, due to the complicated propagation environment, especially considering the occlusion of multiple buildings in urban areas and the fast varying channels caused by the high mobility of vehicles, the propagation links of vehicular communications are easily deteriorated. In order to improve the quality of vehicular communications, intelligent reflecting surface (IRS) has attracted widespread attention in enhancing wireless transmission [1]–[3].

IRS-aided wireless communication systems have been extensively studied in the literature, such as IRS-aided unmanned aerial vehicle (UAV) system [4], IRS-aided simultaneous wireless information and power transfer (SWIPT) system [5], etc. Nevertheless, the research on resource management of IRS-aided wireless communication is still in its infancy. It was investigated in [6] that the IRS phase shift matrix and power allocation are jointly optimized. The joint optimization of the IRS reflection coefficients together with the orthogonal

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frequency division multiple access (OFDMA) time-frequency resource block and power allocations was studied in [7]. Given the complicated propagation environment of vehicular communications and the high mobility of vehicles [8], the IRS can smartly control the radio environment to improve the communication quality and enlarge the signal coverage with its low cost and high energy-efficiency. However, its reflection coefficients need to be jointly optimized with multi-vehicle transmission scheduling and spectrum allocation. Therefore, it is necessary to design the resource allocation algorithm to guarantee the quality-of-service (QoS) of each V2X communication link. To the best of our knowledge, this is the first work on the design of IRS-aided vehicular communications.

Against the above background and inspired by the superiority of deploying the IRS in vehicular networks to improve the quality of V2X communications, in this paper, we consider the resource allocation for IRS-aided vehicular communications, where vehicle-to-vehicle (V2V) and vehicleto-infrastructure (V2I) links with different QoS requirements share spectrum. Specifically, resource allocation is based on large-scale slowly varying channel statistics instead of instantaneous channel state information (CSI), which embraces the challenging brought by high mobility. To incorporate and satisfy different QoS requirements of applications carried by different types of connections, we aim to maximize the sum V2I capacity while guaranteeing the signal-to-interferenceplus-noise ratio (SINR) of the V2V links. The joint optimization problem is formulated over the power allocation, IRS reflection coefficients, and spectrum allocation. To solve this NP-hard problem, we propose an effective two-stage alternating optimization algorithm: we first jointly optimize the power allocation and IRS reflection coefficients in Stage 1, and then perform the spectrum allocation of the V2I and V2V links in Stage 2. Simulation results show that the proposed algorithm can significantly increase the sum V2I capacity compared with several benchmark schemes. Meanwhile, the IRS can largely compensate for the channel gain loss caused by the high mobility of vehicles, which verifies the superiority of the IRS-aided vehicular communications.

### II. SYSTEM MODEL AND PROBLEM FORMULATION

As shown in Fig.1, we consider an IRS-aided vehicular communication network, where there is a base station (BS), an IRS and ground vehicles. Vehicular communications can be divided into V2I and V2V communication links. The V2I links are designed as the high-speed connections between the vehicles and the BS. Let  $\mathcal{M} = \{1, 2, ..., M\}$  denote the M cellular-vehicle user equipments (C-VUEs) communicating through

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V2I links. Device to device vehicle user equipments (D-VUEs) communicate through device-to-device (D2D) mode when they are close to each other, and the set of D2D-V2V pairs is denoted by  $\mathcal{L}=\{1,2,...,L\}$ . The total available bandwidth is divided into K mutually orthogonal spectrum bands defined as  $\mathcal{K}=\{1,2,...,K\}$ , which need to be reasonably allocated to V2I and V2V links. Without loss of generality, the number of V2V links tends to be larger than that of V2I links, i.e.,  $L\gg M$ . The spectrum bands exploited by C-VUEs are reused by D2D-V2V pairs to improve the spectrum efficiency. In this paper, we focus on resource allocation and point-to-point communication scenarios for V2I and V2V links are configured, and thus it is assumed that the BS and all VUEs are equipped with single antenna [9].

The IRS is equipped with a uniform linear array (ULA) [10] with N reflecting elements and a controller intelligently adjusting the phase shift of each reflecting element. Furthermore, the channel gain from the BS to the mth C-VUE, denoted by  $h_{b,m}$ , is modeled as Rayleigh fading, i.e.,  $h_{b,m} = \sqrt{\rho d_{b,m}^{-\alpha_{b,m}}} \tilde{h}_{b,m}$ , where  $\rho$  is the path loss at the reference distance  $d_0 = \text{Im}$  [4],  $\alpha_{b,m}$  is the corresponding path loss exponent of the BS to the mth C-VUE,  $d_{b,m}$  denotes the distance between the BS and the mth C-VUE, and  $\tilde{h}_{b,m}$  represents a random scattering component modeled by complex Gaussian distribution with zero mean and unit variance.

The channel gain from the BS to the IRS  $h_{b,r} \in \mathbb{C}^{N \times 1}$  follows the Rician distribution [11], which can be expressed as

$$\boldsymbol{h}_{b,r} = \sqrt{\rho d_{b,r}^{-\alpha_{b,r}}} \left( \sqrt{\frac{\zeta_{b,r}}{1 + \zeta_{b,r}}} \boldsymbol{h}_{b,r}^{\text{LoS}} + \sqrt{\frac{1}{1 + \zeta_{b,r}}} \boldsymbol{h}_{b,r}^{\text{NLoS}} \right),$$
(1)

where  $\alpha_{b,r}$  is the the corresponding path loss exponent of the BS to the IRS. It is noted that the first element of the IRS is considered as the reference point, and the distance between the IRS and the VUE can be approximated by the distance between the reference point and the VUE;  $d_{b,r}$  thus is the distance between the BS and the reference point of the IRS and  $\zeta_{b,r}$  is the Rician factor related to small-scale fading. The line-of-sight (LoS) component  $\boldsymbol{h}_{b,r}^{\text{LoS}} \in \mathbb{C}^{N \times 1}$  consists of ULA's array response [10]. Each element of  $\boldsymbol{h}_{b,r}^{\text{NLoS}}$  is i.i.d. complex Gaussian distributed with zero mean and unit variance.

Let  $h_{r,m} \in \mathbb{C}^{N \times 1}$  denote the channel gain between the IRS and the mth C-VUE, which follows Rician distribution. The specific expression of  $h_{r,m}$  is similar to (1), which is omitted here due to the limitation of paper length.

Similarly, we can obtain the channel gain from the transmitter of the lth D2D-V2V pair to the IRS  $\boldsymbol{h}_{l,r} \in \mathbb{C}^{N\times 1}$ , and the channel gain from the IRS to the receiver of the lth D2D-V2V pair  $\boldsymbol{h}_{r,l} \in \mathbb{C}^{N\times 1}$ , respectively. Let  $h_l$  denote the channel gain of the desired transmission for the lth D2D-V2V pair. In addition, let  $h_{b,l}$  denote the interference channel gain from the BS to the receiver of the lth D2D-V2V and  $h_{l,m}$  denote the interference channel gain from the transmitter of the lth D2D-V2V pair to the mth C-VUE.

The phase-shifts vector is defined as  $\boldsymbol{\theta} = [\theta_1, \theta_2, ..., \theta_N]$  and the diagonal matrix  $\boldsymbol{\Theta} = \operatorname{diag}\left(\beta_1 e^{j\theta_1}, \beta_2 e^{j\theta_2}, ..., \beta_N e^{j\theta_N}\right)$  denotes the reflection coefficients of the IRS, where  $\theta_n \in$ 

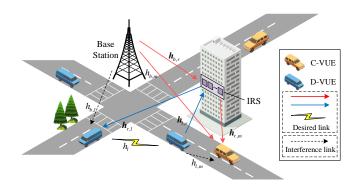


Fig. 1. Intelligent reflecting surface aids vehicular communications.

 $[0,2\pi)$  and  $\beta_n \in [0,1]$  represent the phase shift and amplitude reflection coefficient of the nth IRS reflection element, respectively. In fact, the phase shifts are usually selected from a finite number of discrete values that vary between 0 and  $2\pi$ . For simplicity of analysis, we assume that the phase shifts can be continuously varied in  $[0, 2\pi)$  [1]. The combined channel gain from the BS to the mth C-VUE is  $\left| oldsymbol{h}_{r,m}^H oldsymbol{\Theta} oldsymbol{h}_{b,r} + h_{b,m} \right|^2$ and the combined channel gain of the lth D2D-V2V pair is  $\left| \boldsymbol{h}_{r,l}^{H}\boldsymbol{\Theta}\boldsymbol{h}_{l,r} + h_{l} \right|^{2}$ . Due to the high mobility of vehicles, it is difficult to track the instantaneous CSI of the mobile channel in practical applications. It is practical that the BS can use slowly varying large-scale fading [9] [12]. We assume that the CSI of all channels involved is perfectly known at the BS. Let  $P_{m,k}$ and  $P_{l,k}$  denote the transmit powers of the mth C-VUE and the transmitter of the lth D2D-V2V pair over the kth spectrum band, respectively. The binary variables  $x_{m,k}, x_{l,k} \in \{0,1\}$  is the spectrum allocation indicator with  $x_{m,k} = 1$  indicating the mth C-VUE transmits over the kth spectrum band, and  $x_{m,k} = 0$  otherwise. The spectrum allocation indicator  $x_{l,k}$ for the lth D2D-V2V pair is similarly defined.

To this end, the received SINRs of the mth C-VUE and the reciever of the lth D2D-V2V pair can be expressed as

$$\gamma_{m,k} = \frac{P_{m,k} \left| \boldsymbol{h}_{r,m}^{H} \boldsymbol{\Theta} \boldsymbol{h}_{b,r} + h_{b,m} \right|^{2}}{\sum_{l \in \mathcal{L}} x_{l,k} P_{l,k} \left| \boldsymbol{h}_{r,m}^{H} \boldsymbol{\Theta} \boldsymbol{h}_{l,r} + h_{l,m} \right|^{2} + \sigma^{2}}, \quad (2)$$

and

$$\gamma_{l,k} = \frac{P_{l,k} \left| \boldsymbol{h}_{r,l}^{H} \boldsymbol{\Theta} \boldsymbol{h}_{l,r} + h_{l} \right|^{2}}{\sum_{m \in \mathcal{M}} x_{m,k} P_{m,k} \left| \boldsymbol{h}_{r,l}^{H} \boldsymbol{\Theta} \boldsymbol{h}_{b,r} + h_{b,l} \right|^{2} + \sigma^{2}}, \quad (3)$$

respectively, where  $\sigma^2$  is the noise power. Then the achievable downlink rate of the mth C-VUE and the achievable transmission rate of the lth D2D-V2V pair can be given by  $R_m = \sum\limits_{k \in \mathcal{K}} x_{m,k} \log_2{(1+\gamma_{m,k})}$  and  $R_l = \sum\limits_{k \in \mathcal{K}} x_{l,k} \log_2{(1+\gamma_{l,k})}$ , respectively.

To satisfy different QoS requirements for V2X links, the sum capacity of the M V2I links is maximized while guaranteeing the minimum transmission SINR of the V2V links, jointly optimizing the power allocation  $\mathbf{P} = \{P_{m,k}, P_{l,k}, \forall m, l, k\}$ , spectrum allocation  $\mathbf{X} = \{x_{m,k}, x_{l,k}, \forall m, l, k\}$  and the reflection-coefficient

matrix  $\Theta$ , subject to the constraints of the IRS reflection coefficients. The problem is formulated as follows

$$\max_{\{\mathbf{P}, \mathbf{X}, \mathbf{\Theta}\}} \quad \sum_{m \in \mathcal{M}} R_m \tag{4a}$$

$$s.t. x_{m,k}, x_{l,k} \in \{0,1\}, \forall m, l, k,$$
 (4b)

$$\sum_{m \in \mathcal{M}} x_{m,k} \le 1, \sum_{l \in \mathcal{L}} x_{l,k} \le 1, \forall k, \tag{4c}$$

$$\sum_{k \in \mathcal{K}} x_{m,k} \le 1, \sum_{k \in \mathcal{K}} x_{l,k} \le 1, \forall m, l, \qquad (4d)$$

$$\sum_{m \in \mathcal{M}} \sum_{k \in \mathcal{K}} x_{m,k} P_{m,k} \le P_{\max},$$

$$\sum_{k \in \mathcal{K}} x_{l,k} P_{l,k} \le P_l^{\max}, \forall l, \tag{4e}$$

$$P_{m,k} \ge 0, P_{l,k} \ge 0, \forall m, l, k,$$
 (4f)

$$|\mathbf{\Theta}_{n,n}| \le 1, \forall n,\tag{4g}$$

$$\gamma_{l,k} \ge \gamma^{req}, \forall l, k,$$
 (4h)

where  $\gamma^{req}$  is the minimum SINR needed to be satisfied for V2V links in (4h). (4c) limits orthogonal spectrum to be allocated among the V2I and V2V links. (4d) models our assumption that each V2I and V2V link is allocated at most one spectrum band. (4e) restricts that the transmission power allocated to each VUE does not exceed the maximum value, and (4f) ensures the non-negativity of the allocated power. (4g) constrains the reflection coefficients of the IRS. Due to the fact that variables  $\mathbf{X}$ ,  $\mathbf{P}$  and  $\mathbf{\Theta}$  are coupled to each other, the problem is a mix-integer non-convex problem that is rather challenging to solve. In the following sections, an effective two-stage algorithm is proposed to tackle this problem.

### III. PROPOSED ALGORITHM

In this section, we propose a two-stage joint Resource Allocation algorithm for IRS-aided Vehicular Communications, namely RAIVC, to solve the studied problem. Since there are a total of three kinds of optimization variables in problem (4), i.e., P,  $\Theta$  and X, it is difficult to find a globally optimal solution, so an approximate optimal algorithm is expected. In the following, we first fix the spectrum allocation variable and jointly optimize the power allocation and the IRS reflection coefficients in Stage 1. Then, based on the result of Stage 1, we try to find the optimal spectrum allocation scheme in Stage 2.

A. Stage 1: Joint Optimization of Power Allocation and Reflection Coefficients

For any given spectrum allocation X, the joint optimization problem of power allocation and the IRS reflection coefficients can be formulated as

$$\max_{\mathbf{P},\mathbf{\Theta}} \quad \sum_{m \in \mathcal{M}} R_m \tag{5a}$$

$$s.t.$$
 (4e) – (4h). (5b)

It can be seen that the objective function is non-concave, and the slack variables  $\mathbf{S} = \left\{S_{m,k} = \frac{P_{m,k} \left| \mathbf{h}_{r,m}^H \mathbf{\Theta} \mathbf{h}_{b,r} + h_{b,m} \right|^2}{\sum_{l \in \mathcal{L}} x_{l,k} P_{l,k} \left| \mathbf{h}_{r,l}^H \mathbf{\Theta} \mathbf{h}_{l,r} + h_{l,m} \right|^2 + \sigma^2}, \forall m, k \right\}$  are

introduced to handle the non-concavity of the objective function. Then it is satisfied that

$$\frac{P_{m,k} \left| \boldsymbol{h}_{r,m}^{H} \boldsymbol{\Theta} \boldsymbol{h}_{b,r} + h_{b,m} \right|^{2}}{\sum_{l \in \mathcal{L}} x_{l,k} P_{l,k} \left| \boldsymbol{h}_{r,l}^{H} \boldsymbol{\Theta} \boldsymbol{h}_{l,r} + h_{l,m} \right|^{2} + \sigma^{2}} \ge S_{m,k}, \forall m, k. \quad (6)$$

Thus problem (5) can be equivalently transformed into

$$\max_{\mathbf{P}, \mathbf{\Theta}, \mathbf{S}} \quad \sum_{m \in \mathcal{M}} \sum_{k \in \mathcal{K}} \log_2 \left( 1 + S_{m,k} \right) \tag{7a}$$

$$s.t.$$
 (4e)  $-$  (4h), (6). (7b)

Due to the fact that the variables  $\mathbf{P}$  and  $\mathbf{\Theta}$  are coupled to each other, the non-convex problem (7) is still prohibitive to solve. In order to make problem (7) tractable, we first decompose it into the following two subproblems

$$\max_{\mathbf{P}, \mathbf{S}} \quad \sum_{m \in \mathcal{M}} \sum_{k \in \mathcal{K}} \log_2 \left( 1 + S_{m, k} \right) \tag{8a}$$

$$s.t.$$
 (4e), (4f), (4h), (6), (8b)

and

Find 
$$\Theta$$
 (9a)

$$s.t. (4g), (4h), (6).$$
 (9b)

For given the IRS reflection coefficients, subproblem (8) focuses on finding the optimal power allocation matrix P; for fixed P, subproblem (9) focuses on finding the optimal reflection-coefficient matrix  $\Theta$ . Next, we set out to discuss how to solve the above two sub-problems.

1) Optimization of Power Allocation: For problem (8), the non-convex constraint (6) needs to be dealt with according to the lemma in [13], which means that if we replace it by its convex upper bound and iteratively solve the yielding problem by judiciously updating the variables until convergence, the local optimal can be obtained. To this end, the upper bound of  $\sum_{l \in \mathcal{L}} P_{l,k} S_{m,k}$  is given by

$$\sum_{l \in \mathcal{L}} P_{l,k} S_{m,k} \le \frac{1}{2} \alpha_{m,k} S_{m,k}^2 + \frac{1}{2\alpha_{m,k}} \left( \sum_{l \in \mathcal{L}} P_{l,k} \right)^2, \tag{10}$$

where  $\alpha_{m,k} = \left(\sum_{l \in \mathcal{L}} P_{l,k}\right)/S_{m,k}$ . The given local points  $\alpha_{m,k}$  can be updated in the rth iteration according to  $\alpha_{m,k}^{(r)} = \left(\sum_{l \in \mathcal{L}} P_{l,k}^{(r-1)}\right)/S_{m,k}^{(r-1)}$ , and the constraint (6) can be rewritten as

$$P_{m,k}A \ge \frac{1}{2}\alpha_{m,k}^{(r)}S_{m,k}^2 + \frac{1}{2\alpha_{m,k}^{(r)}} \left(\sum_{l \in \mathcal{L}} x_{l,k}P_{l,k}B\right)^2 + S_{m,k}\sigma^2, \forall m, k,$$
(11)

where  $A = \left| \boldsymbol{h}_{r,m}^{H} \boldsymbol{\Theta} \boldsymbol{h}_{b,r} + h_{b,m} \right|^{2}$  and  $B = \left| \boldsymbol{h}_{r,l}^{H} \boldsymbol{\Theta} \boldsymbol{h}_{l,r} + h_{l,m} \right|^{2}$ . Then problem (8) is approximated as the following problem

$$\max_{\mathbf{P}, \mathbf{S}} \sum_{m \in \mathcal{M}} \sum_{k \in \mathcal{K}} \log_2 \left( 1 + S_{m,k} \right) \tag{12a}$$

$$s.t. (4e), (4f), (4h), (11).$$
 (12b)

Problem (12) is a convex optimization problem, and the optimal solution can be effectively obtained by the convex optimization solving tools such as CVX [14].

2) Design of the IRS Reflection Coefficients: The combined channel gain  $|\mathbf{h}_{r,m}^H \mathbf{\Theta} \mathbf{h}_{b,r} + h_{b,m}|^2$  can be rewritten as

$$\left| \boldsymbol{h}_{r,m}^{H} \boldsymbol{\Theta} \boldsymbol{h}_{b,r} + h_{b,m} \right|^{2} = \left| \boldsymbol{z} \boldsymbol{\Phi} + h_{b,m} \right|^{2},$$
 (13)

where  $\mathbf{z} = \mathbf{h}_{r,m}^H \operatorname{diag} \left\{ \mathbf{h}_{b,r} \right\}$  and  $\mathbf{\Phi} = \left( \beta_1 e^{j\theta_1}, ..., \beta_N e^{j\theta_N} \right)^T$ . Slack variables  $\boldsymbol{\kappa} = \left\{ \kappa_m = \operatorname{Re} \left( \mathbf{z} \mathbf{\Phi} + h_{b,m} \right), \forall m \right\}$  and  $\boldsymbol{\xi} = \left\{ \xi_m = \operatorname{Im} \left( \mathbf{z} \mathbf{\Phi} + h_{b,m} \right), \forall m \right\}$  are introduced, where  $\kappa_m^2 + \xi_m^2 = \left| \mathbf{z} \mathbf{\Phi} + h_{b,m} \right|^2$ . Similarly, for the combined channel gain  $\left| \mathbf{h}_{r,l}^H \mathbf{\Theta} \mathbf{h}_{l,r} + h_{l,m} \right|^2$ , slack variables  $\boldsymbol{\eta} = \left\{ \eta_l = \operatorname{Re} \left( \tilde{\mathbf{z}} \mathbf{\Phi} + h_{l,m} \right), \forall l \right\}$  are introduced, where  $\tilde{\mathbf{z}} = \mathbf{h}_{r,l}^H \operatorname{diag} \left( \mathbf{h}_{l,r} \right)$ . Meanwhile, it should be satisfied that  $\eta_l^2 + \omega_l^2 = \left| \tilde{\mathbf{z}} \mathbf{\Phi} + h_{l,m} \right|^2$ . To this end, problem (9) can be reformulated as a feasibility-check problem as follows

Find 
$$\Phi$$
 (14a)

$$s.t. \quad |\Phi_n| \le 1, \forall n, \tag{14b}$$

$$\kappa_m = \operatorname{Re}(\boldsymbol{z}\boldsymbol{\Phi} + h_{b,m}), \xi_m = \operatorname{Im}(\boldsymbol{z}\boldsymbol{\Phi} + h_{b,m}), \forall m, (14c)$$

$$\eta_l = \operatorname{Re}\left(\tilde{\mathbf{z}}\mathbf{\Phi} + h_{l,m}\right), \omega_l = \operatorname{Im}\left(\tilde{\mathbf{z}}\mathbf{\Phi} + h_{l,m}\right), \forall l, \quad (14d)$$

$$\frac{P_{m,k}\left(\kappa_m^2 + \xi_m^2\right)}{\sum\limits_{l \in \mathcal{L}} x_{l,k} P_{l,k}\left(\eta_l^2 + \omega_l^2\right) + \sigma^2} \ge S_{m,k}, \forall m, k. \tag{14e}$$

To tackle the non-convexity of (14e), the successive convex approximation technique can be applied in each iteration. With given local points  $\left(\kappa_{m,k}^{(r)},\xi_{m,k}^{(r)}\right)$ , the lower bound of  $\kappa_m^2+\xi_m^2$ , denoted by  $\Gamma_m^{lb}$ , can be found according to the first-order Taylor expansion [14], which follows

$$\kappa_m^2 + \xi_m^2 \ge \left(\kappa_m^{(r)}\right)^2 + \left(\xi_m^{(r)}\right)^2$$
(15)

$$+2\kappa_{m}^{(r)}\left(\kappa_{m}-\kappa_{m}^{(r)}\right)+2\xi_{m}^{(r)}\left(\xi_{m}-\xi_{m}^{(r)}\right)$$
 (16)

$$=\Gamma_m^{lb}, \tag{17}$$

Therefore, problem (14) can be further formulated as

Find 
$$\Phi$$
 (18a)

s.t. 
$$\frac{P_{m,k}\Gamma_m^{lb}}{\sum_{l \in \mathcal{L}} x_{l,k}^{(t)} P_{l,k} (\eta_l^2 + \omega_l^2) + \sigma^2} \ge S_{m,k}, \forall m, k, \quad (18b)$$

$$(14b) - (14d).$$
 (18c)

Problem (18) is a convex optimization problem, and can be solved effectively by using CVX.

## B. Stage 2: Optimization of Spectrum Allocation

For any given power allocation  $\mathbf{P}$  and the IRS reflection coefficients  $\mathbf{\Theta}$ , the spectrum allocation of problem (4) can be optimized by solving the following problem

$$\min_{\mathbf{X}} \quad -\sum_{m \in \mathcal{M}} \sum_{k \in \mathcal{K}} x_{m,k} \log_2 \left(1 + \gamma_{m,k}\right)$$
 (19a)

$$s.t.$$
 (4b)  $-$  (4e), (4h). (19b)

Due to the the non-convexity of the objective function and binary variables X, problem (19) is a mixed integer non-convex problem. To make problem (19) more tractable, we first relax

the binary variables in (4b) into continuous variables. Auxiliary slack variables  $\varphi = \{\varphi_{m,k} = \log_2\left(1 + \gamma_{m,k}\right), \forall m,k\}$  are introduced, which leads to the bilinear part  $x_{m,k}\varphi_{m,k}$ . Meanwhile, the constraint  $\varphi_{m,k} \leq \log_2\left(1 + \gamma_{m,k}\right)$  should be satisfied. To deal with these non-convex factors,  $-x_{m,k}\varphi_{m,k}$  can be written as the difference between two convex functions, which is given by

$$-x_{m,k}\varphi_{m,k} = \frac{1}{2}x_{m,k}^2 + \frac{1}{2}\varphi_{m,k}^2 - \frac{1}{2}(x_{m,k} + \varphi_{m,k})^2$$

$$\stackrel{(a)}{\leq} \frac{1}{2}x_{m,k}^2 + \frac{1}{2}\varphi_{m,k}^2 - \frac{1}{2}\left(x_{m,k}^{(r)} + \varphi_{m,k}^{(r)}\right)^2$$

$$-\left(x_{m,k}^{(r)} + \varphi_{m,k}^{(r)}\right)\left(x_{m,k} - x_{m,k}^{(r)}\right) - \left(x_{m,k}^{(r)} + \varphi_{m,k}^{(r)}\right)\left(\varphi_{m,k} - \varphi_{m,k}^{(r)}\right)$$

$$= \Lambda_{m,k}^{ub}.$$
(20a)

where (a) holds since any concave function is globally upper-bounded by its first-order Taylor expansion at any point [14] with given points  $x_{m,k}^{(r)}$  and  $\varphi_{m,k}^{(r)}$  in the rth iteration. Similarly, the convex function  $\log_2\left(1+\gamma_{m,k}\right)$  can be lower-bounded by its first-order Taylor expansion with given points  $x_{l,k}^{(r)}$ , which follows

$$\log_{2}(1 + \gamma_{m,k}) \ge \log_{2}\left(1 + \gamma_{m,k}^{(r)}\right) + \Delta_{m,k} \sum_{l \in \mathcal{L}} \left(x_{l,k} - x_{l,k}^{(r)}\right)$$

$$= R_{m,k}^{lb}, \tag{21}$$

 $\Delta_{m,k}$  is the coefficient related to the derivative that is not dominant and omitted here. Thus, problem (19) is further transformed into the following problem

$$\min_{\mathbf{X}, \boldsymbol{\varphi}} \quad \sum_{m \in \mathcal{M}} \sum_{k \in \mathcal{K}} \Lambda_{m,k}^{ub} \tag{22a}$$

$$s.t. \quad x_{m,k}, x_{l,k} \in [0,1], \forall m, l, k,$$
 (22b)

$$\varphi_{m,k} \le R_{m,k}^{lb}, \forall m, k, \tag{22c}$$

$$(4c) - (4e), (4h).$$
 (22d)

Note that problem (22) is a convex optimization problem, and the optimal solution can be effectively obtained by the convex optimization solving tools such as CVX.

Remark 1: It is noted that the spectrum variables  ${\bf X}$  obtained from problem (22) are continuous values between 0 and 1, which means that the binary variables of the spectrum allocation needs to be reconstructed. The rule of reconstruction is to maximize the objective function of problem (4), i.e.,  $m^* = \arg\max_{m} \frac{\partial \left(\sum_{m\in\mathcal{M}}R_m\right)}{\partial x_{m,k}}$  and  $l^* = \arg\max_{l} \frac{\partial \left(\sum_{m\in\mathcal{M}}R_m\right)}{\partial x_{l,k}}$ , where  $x^*_{m^*,k}=1$  and  $x^*_{l^*,k}=1$  indicate the suboptimal spectrum allocation variables, respectively.

### C. RAIVC Algorithm

Based on the results presented in the previous two subsections, we propose a two-stage alternating iterative algorithm called RAIVC for problem (4). Specifically, in the (r+1)th iteration, the optimal power allocation and IRS reflection coefficients can be achieved in Stage 1 based on fixed  $\{\mathbf{X}^{(r)}\}$ , yielding the sum V2I capacity  $\sum_{m\in\mathcal{M}}R_m\left(\mathbf{P}^{(r+1)},\mathbf{\Theta}^{(r+1)},\mathbf{X}^{(r)}\right)$ . Then, relying on given  $\{\mathbf{P}^{(r+1)},\mathbf{\Theta}^{(r+1)}\}$ , in Stage 2, we obtain the optimal spectrum allocation  $\mathbf{X}^{(r+1)}$  and the pseudo-optimal sum V2I capacity

**Algorithm 1** Two-Stage Joint Resource Allocation Algorithm for IRS-aided Vehicular Communications (RAIVC)

- 1: Initialize  $\mathbf{P}^{(0)}$  and  $\mathbf{S}^{(0)}$ . Set the iterative number v=0.
- 2: repeat
- 3: **Stage 1:** For fixed  $\{\mathbf{X}^{(r)}\}$ , solve problem (12) for given  $\{\mathbf{\Theta}^{(r)}\}$  and solve the feasibility-check problem (18) for given  $\{\mathbf{P}^{(r)}\}$ . Denote the optimal solution as  $\{\mathbf{P}^{(r+1)}, \mathbf{\Theta}^{(r+1)}\}$ .
- 4: **Stage 2:** Solve problem (22) for given  $\{\mathbf{P}^{(r+1)}, \mathbf{\Theta}^{(r+1)}\}$ , and denote the optimal solution as  $\{\mathbf{X}^{(r+1)}\}$ .
- 5: Update r = r + 1.
- 6: **until** The change of the objective value is below a threshold  $\varepsilon > 0$ .

 $\begin{array}{l} \sum_{m\in\mathcal{M}}R_m\left(\mathbf{P}^{(r+1)},\mathbf{\Theta}^{(r+1)},\mathbf{X}^{(r+1)}\right) \text{ in the } (r+1) \text{th iteration of the proposed RAIVC. The overall algorithm stops iterating when } \sum_{m\in\mathcal{M}}\left(R_m^{(r+1)}-R_m^{(r)}\right)/R_m^{(r+1)}\leq \varepsilon \text{ is satisfied.} \end{array}$  The procedure of the RAIVC is summarized in Algorithm 1.

The complexity of the proposed RAIVC algorithm mainly stems from Step 3 and Step 4. To be specific, in Step 3, the complexities of solving problem (12) and (18) with the interior-point method are both  $\mathcal{O}\left((MLK)^{3.5}\right)$  [15]. In Step 4, similarly, solving convex problem (22) with the interior-point method results in the complexity of  $\mathcal{O}\left((MLK)^{3.5}\right)$ . Let  $r_{\rm max}$  denote the maximum number of iterations that allows RAIVC to converge. Thus, the complexity of the proposed RAIVC algorithm can be given by  $\mathcal{O}\left(r_{\rm max}(MLK)^{3.5}\right)$ .

### IV. SIMULATION RESULTS

In this section, simulation results are presented to verify the proposed RAIVC algorithm for IRS-aided vehicular networks. The simulated setup for the highway case detailed in 3GPP TR 36.885 is follwed, where a multi-lane freeway passes through a single cell with the BS at the center of the cell [16] [17]. We model a total of six lanes where there exist three lanes with 4 m width in each direction. The vehicles are dropped on the roads according to spatial Poisson process and the vehicle density depends on the vehicle speed, where the average distance between vehicles is 2.5v (v in m/s). The absolute vehicle speed is set to v = 70 km/h. The three-dimensional coordinates of the BS and IRS are (0, 0, 25 m) and (50 m, 0, 25 m), respectively. The path loss exponents for BS-VUEs link, BS-IRS link and IRS-VUEs link are 3, 2.2 and 2.5, respectively. The Rician factor is configured as 3 dB. Other related system parameters are set as follows:  $\sigma^2=-114~\mathrm{dBm},~\rho=-20~\mathrm{dB},~\gamma^{req}=5~\mathrm{dB},~P_{\mathrm{max}}=P_l^{\mathrm{max}}=23~\mathrm{dBm},~M=L=10,$  and

Figure 2 plots the sum V2I capacity versus the number of IRS reflecting elements. We set three other benchmark schemes: 1) CLOS: Combine the Local Optimal Solutions of the Satge 1 and Stage 2 into a global solution of the original problem; 2) Random  $\Theta$ : the elements in IRS reflection-coefficient matrix are randomly set to  $\Theta_{n,n} \in (0,1]$ ; 3) Without IRS: vehicular communications without IRS. It should be noted that the iteration is not considered in the scheme

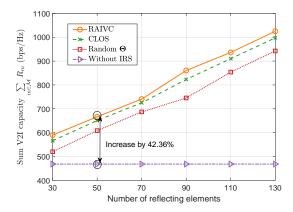


Fig. 2. Sum V2I capacity versus the number of reflecting elements.

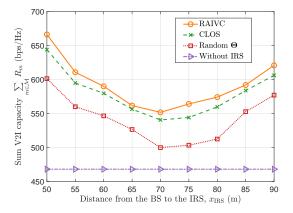


Fig. 3. Sum V2I capacity versus the location of the IRS coordinate.

CLOS, which implies that the solutions obtained by the scheme CLOS are not globally optimal. To illustrate the effectiveness and accuracy of the RAIVC based on alternating iteration to solve problem (4), we use CLOS as one of the benchmark schemes. As shown in Fig. 2, the sum V2I capacity achieved by the RAIVC algorithm is significantly larger than that of other algorithms. The sum V2I capacity tends to increase significantly with the increment of the number of IRS reflecting elements. This is because more IRS passive reflecting elements are able to reflect more power of the signal received from the BS, which results in more power gain. For example, when N=50, compared to the scheme without IRS, the sum of V2I capacity for the RAIVC algorithm is increased by 42.36%.

The impact of the IRS location on the sum V2I capacity is demonstrated in Fig. 3. The coordinates of the BS and IRS are set to  $(0,0,25~\mathrm{m})$  and  $(x_{\mathrm{IRS}},0,25~\mathrm{m})$ , respectively, that is, the distance from the BS to the IRS is  $x_{\mathrm{IRS}}(\mathrm{m})$ . It can be observed that RAIVC outperforms three other schemes in terms of the sum of V2I capacity. As  $x_{\mathrm{IRS}}$  increases, the sum V2I capacity first decreases and then increases after achieving the minimum at  $x_{\mathrm{IRS}}=70\mathrm{m}$ , which illustrates that the deployment of IRS will affect the actual communication effect. The reasons for this phenomenon can be attributed to the following facts. Since the vehicles are dropped according to the spatial Poisson process in our simulation, the vehicles that are far away from the BS and two cars that are far away from each other do

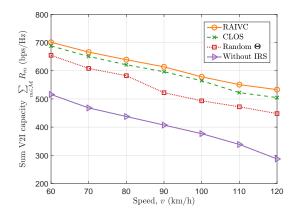


Fig. 4. Sum V2I capacity versus varying vehicle speed.

not mean that they have lower transmission rates. As they approach the IRS, they may receive stronger reflected signals from the IRS. It reveals that a larger BS-VUE distance may not lead to the decrease of the communication performance by deploying an IRS.

Figure 4 illustrates that as the speed of the vehicle increases, the sum V2I capacity obtained by each scheme decreases, but RAIVC is still superior to three other benchmark schemems in terms of the sum V2I capacity. Meanwhile, it can be seen that with the increase of vehicle speed, the decrease of the sum V2I capacity achieved by the three schemes with IRSaided (i.e., RAIVC, CLOS, and Random  $\Theta$ ) is obviously less than that of the scheme without IRS. Specifically, when the vehicle speed varies from 60 km/h to 120 km/h, the sum V2I capacity obtained by RAIVC decreases by 31.55%, and obtained by without-IRS scheme decreases more, which is 79.78%. This can be attributed to the following facts. According to our simulation settings, higher vehicle speeds result in sparse traffic, which will increase the average distance between vehicles [16]. In order to guarantee the SINR of V2V links, the transmission power of the D2D-V2V transmitter needs to be increased to compensate for the larger path loss of the V2V signal channel. As a result, V2I links need to tolerate greater interference from V2V links, which thus restricts the sum V2I capacity. When the IRS aids vehicular communications, the power reflected from the IRS passive reflecting elements will effectively compensate for the path loss, so that higher vehicle speed does not cause the system performance to decrease faster.

# V. Conclusions

In this paper, we investigate the resource allocation for IRS-aided vehicular communications. Considering different QoS requirements of V2X communications, we aim to maximize the sum V2I capacity while guaranteeing the minimum SINR of V2V links. The studied problem is decomposed into two stages to solve, which yields the proposed algorithm RAIVC. Simulation results show that the proposed RAIVC algorithm is superior to other benchmark schemes and can make optimal resource allocation decisions judiciously. In terms of improving the QoS of vehicular communications, IRS-aided vehicular communications is of great significance, especially to deal with

the challenges caused by complex propagation environments and high mobility. Meanwhile, significant system gain can be obtained by carefully deploying the location of the IRS. In addition, IRS-aided vehicular communications provides valuable guidelines for the practical deployment of future vehicular networks.

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