

Fundamentals of Wireless Communication

Capacity of Wireless Channels

Edward Kwao

Hanbat National University

3rd February, 2022

Contents

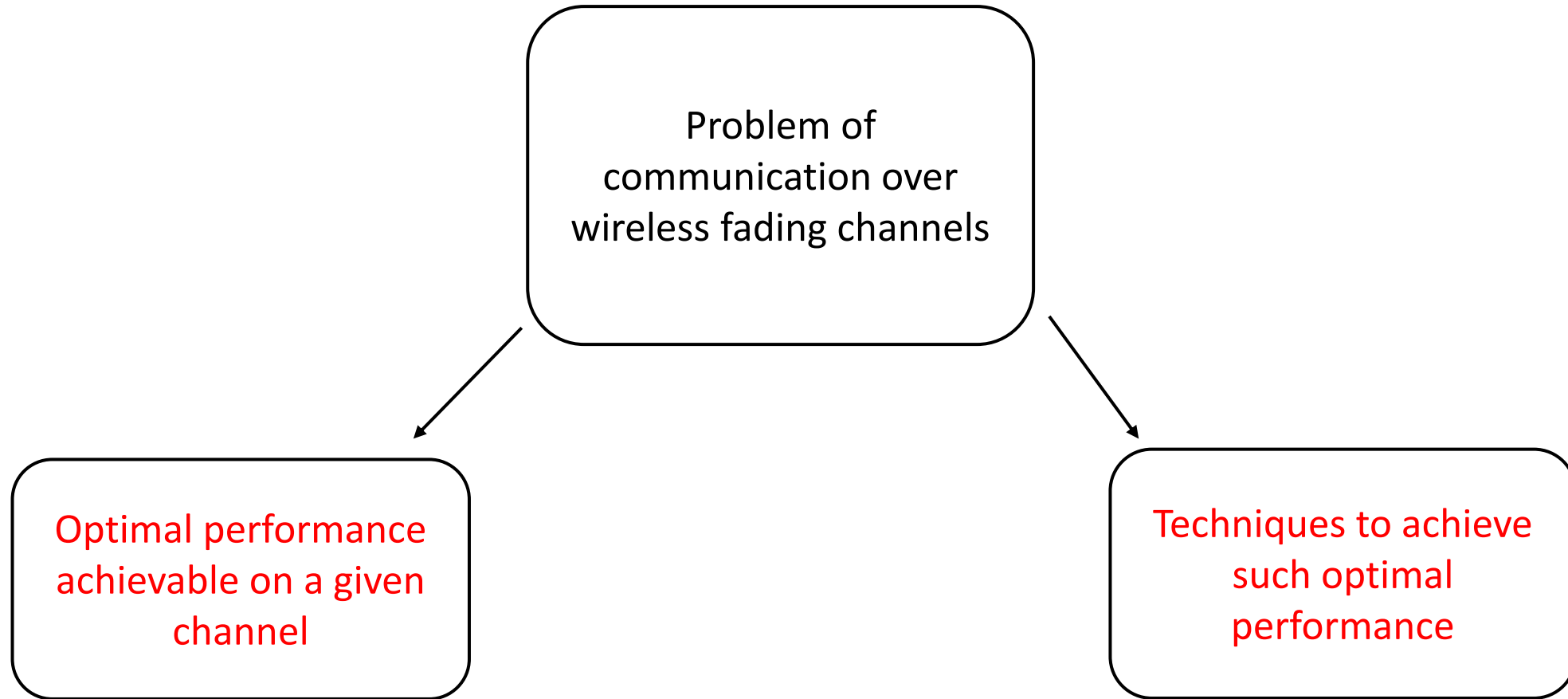
□ Introduction

- AWGN Channel Capacity and Channel Resources
- Frequency – Selective AWGN Channel

□ Capacity of Fading Channels

- Slow Fading Channels
- Fast Fading Channel

Introduction



- The basic measure of performance is the ***capacity*** of a channel.

AWGN Channel Capacity and Channel Resources

Consider a continuous-time AWGN Channel with bandwidth W Hz, power constraint \bar{P} watts, AWGN with psd $N_0/2$. For sampling at $1/W$, the discrete-time complex baseband channel:

$$y[m] = x[m] + w[m], \text{ where } w[m] \text{ is } \mathcal{CN}(0, N_0) \text{ and is i.i.d over time}$$

The capacity of the channel is:

$$C_{awgn}(\bar{P}, W) = W \log \left(1 + \frac{\bar{P}}{N_0 W} \right) \dots \dots \dots (1) \text{ bps}$$

$$\text{Given } SNR := \frac{\bar{P}}{N_0 W}$$

$$C_{awgn} = \log(1 + SNR) \dots \dots \dots (2) \text{ bps/Hz}$$

(1) suggests that capacity of the channel depends on the basic resources:

- Received power \bar{P}
- Bandwidth W

Bandwidth limited regime $\text{SNR} \gg 1$: Capacity logarithmic in received power but approximately linear in bandwidth.

$$W \log \left(1 + \frac{\bar{P}}{N_0 W} \right) \approx W \left(\frac{\bar{P}}{N_0 W} \right) \log_2 e = \frac{\bar{P}}{N_0} \log_2 e \dots \dots \dots (3)$$

Power limited regime $\text{SNR} \ll 1$: Capacity linear in received power but insensitive to bandwidth.

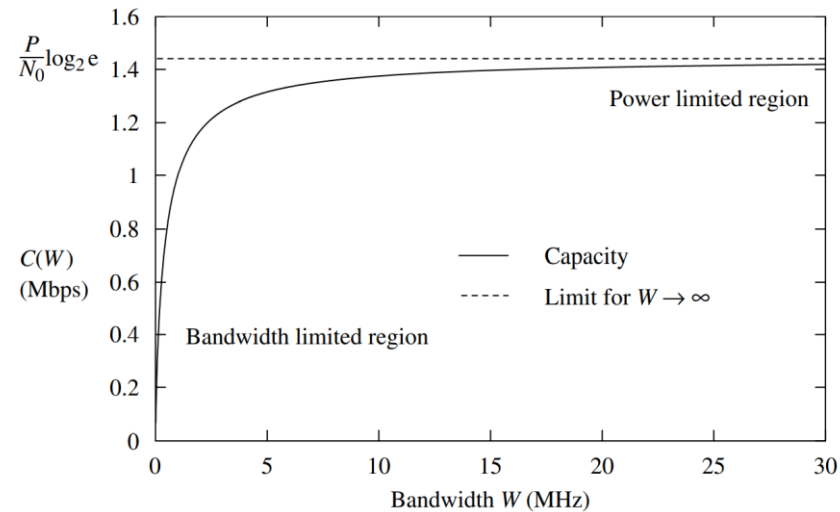
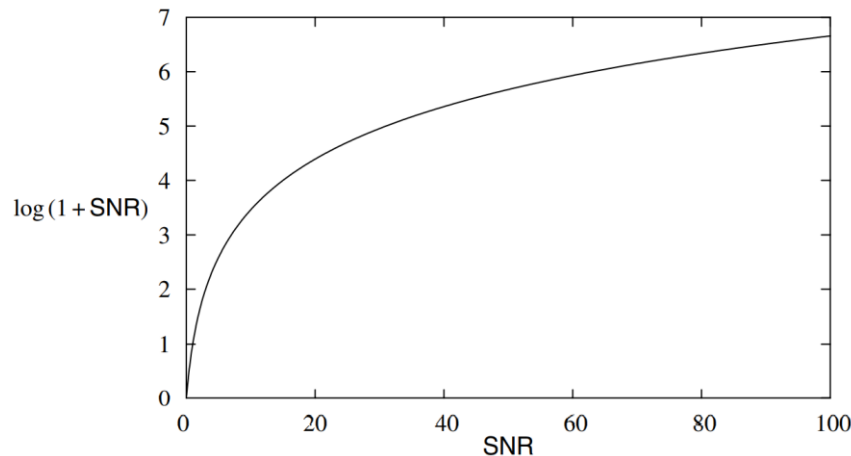
$$C_\infty = \frac{\bar{P}}{N_0} \log_2 e \dots \dots \dots (4) \text{ bits/s}$$

- From (4), the capacity is finite even if there is no bandwidth constraint.

The main objective is to minimize the required energy per bit ε_b rather than maximizing spectral efficiency in some communication applications.

The minimum ε_b , $\frac{\bar{P}}{C_{awgn}(\bar{P}, W)}$ is achieved when $\bar{P} \rightarrow 0$

$$\Rightarrow \left(\frac{\varepsilon_b}{N_0} \right)_{min} = \lim_{\bar{P} \rightarrow 0} \frac{\bar{P}}{C_{awgn}(\bar{P}, W)} = \frac{\bar{P}}{W \log \left(1 + \frac{\bar{P}}{N_0 W} \right)} = \frac{1}{\log_2 e} = -1.59 dB$$



Frequency – Selective AWGN Channel

Consider a *time – invariant* L – tap frequency-selective AWGN channel:

$$y[m] = \sum_{l=0}^{L-1} h_l x[m-l] + w[m]$$

- OFDM converts it into a parallel channel:

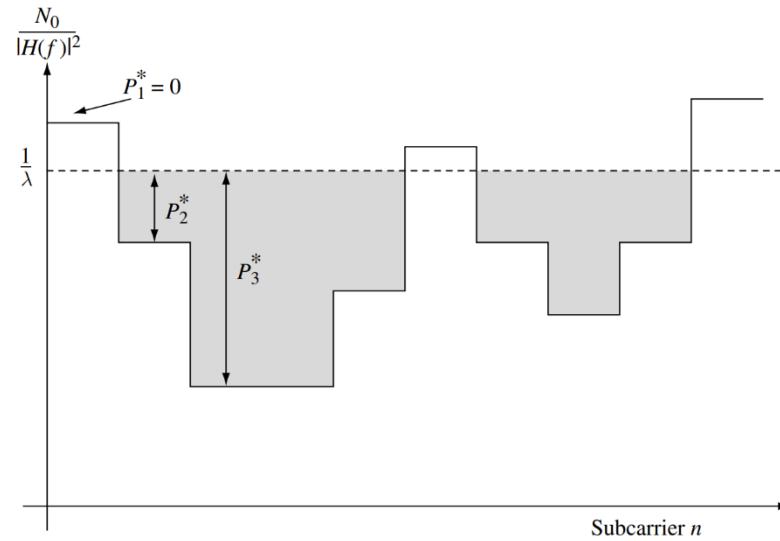
$$\tilde{y}_n = \tilde{h}_n \tilde{d}_n + \tilde{w}_n, \quad n = 0, 1, \dots, N_c - 1$$

The maximum rate of reliable communication with this scheme is:

$$C_{N_c} = \sum_{l=0}^{L-1} \log \left(1 + \frac{p_n^* |\tilde{h}_n|^2}{N_0} \right) \dots \dots \dots (5)$$

Where, p_n^* is the waterfilling power allocation, defined as: $\left(\frac{1}{\lambda} - \frac{N_0}{|\tilde{h}_n|^2} \right)^+$

λ , the *Lagrange multiplier* is chosen such that the power constraint is met.



- The values $\frac{N_0}{|\tilde{h}_n|^2}$ plotted as a function of the sub-carrier index $n = 0, 1, \dots, N_c - 1$
- P units of water per sub-carrier are filled into the vessel, the depth of the water at sub-carrier n is the ***power allocated to that sub-carrier***.
- $\frac{1}{\lambda}$ is the height of the water surface.

Capacity of Fading Channels

Slow Fading Channels

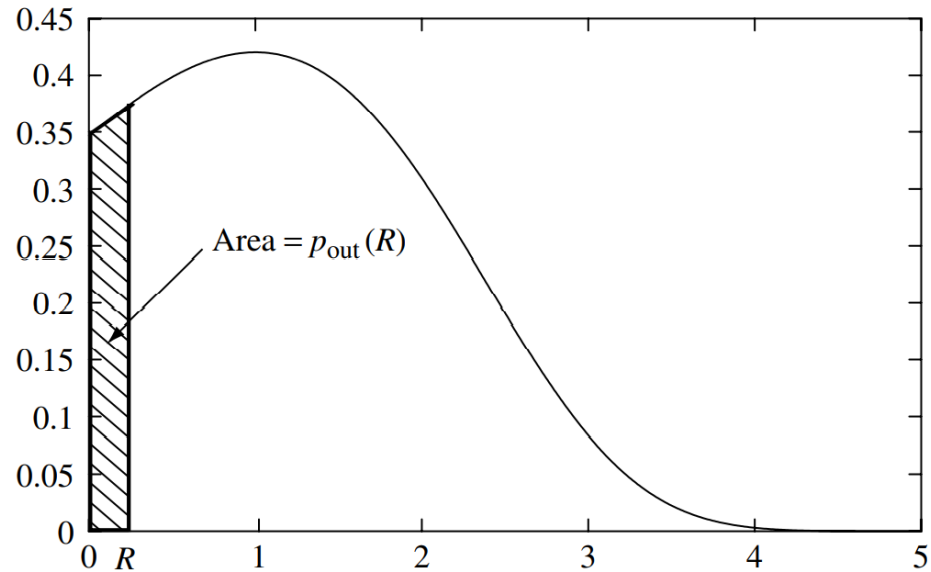
Considering a channel where the channel gain is random but remains constant for all time:

$$y[m] = hx[m] + w[m]$$

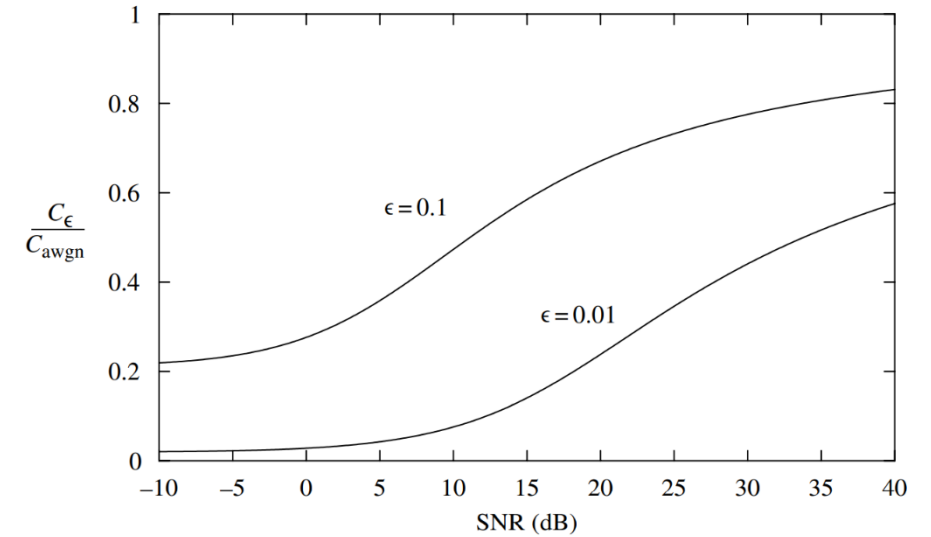
- **For slow fading situation:** *coherence time* \gg *latency requirement*
- It can be observed that there's no definite capacity. The performance is a function of h .
Good $h \Rightarrow$ Good performance and vice versa.
- In this situation, we can define the notion of outage probability:
$$P_{out}(R) = \mathbb{P}\{\log(1 + |h|^2 \text{SNR}) < R\} \dots \dots \dots (6)$$
- A good performance measure is: ϵ – *outage capacity*
- This is the largest rate of transmission R such that $P_{out}(R)$ is less than ϵ .

- $C_\epsilon = P_{out}^{-1}(\epsilon) \dots \dots \dots (7)$

PDF: $\log(1 + |h|^2 \text{SNR})$

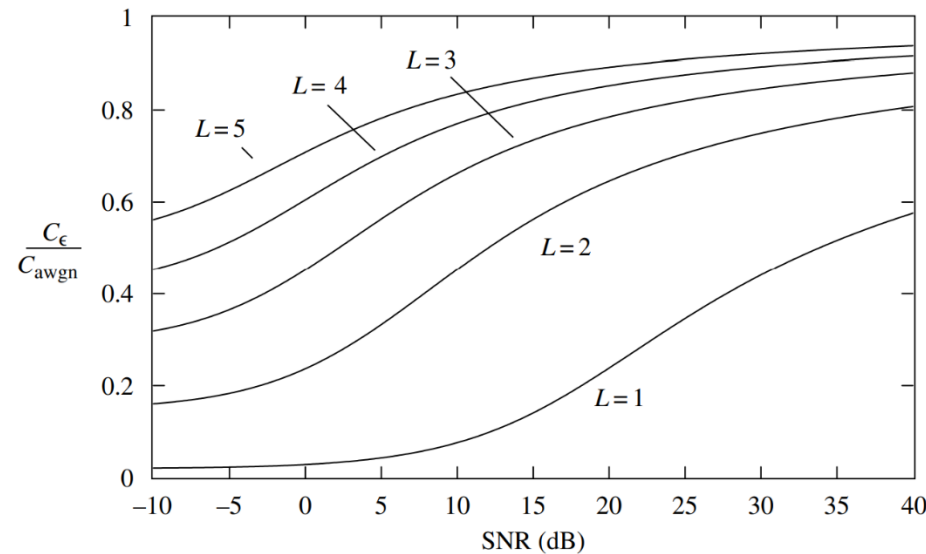


$$P_{out}(R) \approx \frac{2^R - 1}{\text{SNR}}$$



To assess the impact of fading, the ϵ -outage capacity is plotted as a fraction of the AWGN capacity at the same SNR

- If we increase the diversity of the channel by using L receive antennas
- Outage occurs whenever: $P_{out}^{rx}(R) = \mathbb{P} \left\{ \log \left(1 + ||h||^2 \text{SNR} \right) < R \right\} \dots (8)$



- This causes dramatic improvement in the gain
- At $\epsilon = 0.01$ and $L = 2$, the outage capacity is increased to 14% of the AWGN capacity (as opposed to 1% for $L = 1$).

Fast Fading Channel

$$\text{Model: } y[m] = h[m]x[m] + w[m]$$

- When the codeword length spans many coherence periods, we are in the *so – called* fast fading regime.

Block fading model: $h[m] = h_l$ remains constant over l^{th} coherence period of T_c Symbols and is *i. i. d* across different coherence period.

Suppose coding is done over L coherence periods. If $T_c \gg 1$, L parallel sub-channels fade independently.

$$P_{out}(R) = \mathbb{P} \left\{ \frac{1}{L} \sum_{l=1}^L \log(1 + |h_l|^2 \text{SNR}) < R \right\} \dots\dots\dots (9)$$

- For finite L , $P_{out}(R) = \frac{1}{L} \sum_{l=1}^L \log(1 + |h_l|^2 \text{SNR})$

As $L \rightarrow \infty$, and by the law of large numbers:

$$\frac{1}{L} \sum_{l=1}^L \log(1 + |h_l|^2 \text{SNR}) \rightarrow \mathbb{E}[\log(1 + |h|^2 \text{SNR})] \dots \dots \dots (10)$$

$$\therefore C = \mathbb{E}[\log(1 + |h|^2 \text{SNR})] \text{ bps/Hz} \dots \dots \dots (11)$$

- In the case of ideal interleaving, we assume $h[m]$ s are i.i.d.

For a large block length N and a given realization of fading gains $h[1], \dots, h[N]$,

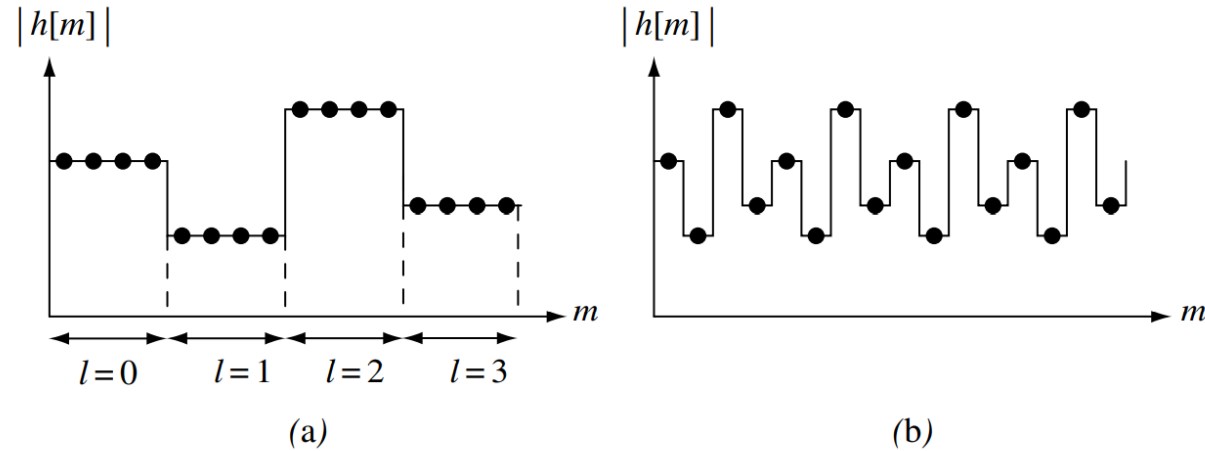
The maximum achievable rate through this interleaved channel is:

$$\frac{1}{N} \sum_{m=1}^N \log(1 + |h[m]|^2 SNR) \text{ bps/Hz}$$

As $N \rightarrow \infty$, and by the law of large numbers:

$$\frac{1}{N} \sum_{m=1}^N \log(1 + |h[m]|^2 SNR) \rightarrow \mathbb{E}[\log(1 + |h|^2 SNR)]$$

- Trajectory of the channel strength as a function of symbol time under **block fading model** and after **interleaving**



- Even with interleaving the capacity of the fast-fading channel can be achieved.
- **The benefit however is:** Capacity is achieved with a much shorter block length

Any Questions?

