## The NCAlgebra Suite - Version $5.0\,$

J. William Helton

Mauricio C. de Oliveira

with earlier contributions by Bob Miller & Mark Stankus

# Contents

Li	icense	1
Ι	User Guide	3
1	Acknowledgements	5
2	Changes in Version 5.0	7
	2.1 Version 5.0.5	7
	2.2 Version 5.0.4	7
	2.3 Version 5.0.3	7
	2.4 Version 5.0.2	7
	2.5 Version 5.0.1	7
	2.6 Version 5.0.0	7
3	Introduction	9
	3.1 Running NCAlgebra	9
	3.2 Now what?	
	3.3 Testing	9
	3.4 Pre-2017 NCGB C++ version	10
4	Most Basic Commands	11
	4.1 To Commute Or Not To Commute?	11
	4.2 Inverses, Transposes and Adjoints	12
	4.3 Replace	13
	4.4 Polynomials	14
	4.5 Rationals and Simplification	16
	4.6 Calculus	16
	4.7 Matrices	18
	4.7.1 Inverses, products, adjoints, etc	18
	4.7.2 LU Decomposition	19
	4.7.3 LU Decomposition with complete pivoting	20
	4.7.4 LDL Decomposition	21
	4.7.5 Replace with matrices	22
	4.8 Quadratic polynomials, second direction derivatives and convexity	23
5	More Advanced Commands	25
	5.1 Advanced Rules and Replacements	25
	5.1.1 ReplaceAll (/.) and ReplaceRepeated (//.) often fail	25
	5.1.2 The fix is NCReplace	26
	5.1.3 Trouble with Block and Module	27
	5.2 Expanding matrix products	28

iv CONTENTS

	5.2.1 Trouble with Plus (+) and matrices	 30
	5.3 Polynomials with commutative coefficients	 31
	5.4 Polynomials with noncommutative coefficients	 33
	5.5 Linear polynomials	 35
6	Noncommutative Gröbner Basis	<b>37</b>
	6.1 What is a Gröbner Basis?	
	6.2 Solving equations	
	6.3 A slightly more challenging example	
	6.4 Simplifying polynomial expresions	
	6.5 Minimal versus reduced Gröbner Basis	 41
	6.6 Simplifying rational expresions	 41
	6.7 Simplification with NCGBSimplifyRational	 43
	6.8 Ordering on variables and monomials	
	6.8.1 Lex Order: the simplest elimination order	
	6.8.2 Graded lex ordering: a non-elimination order	
	6.8.3 Multigraded lex ordering: a variety of elimination orders	
	6.9 A complete example: the partially prescribed matrix inverse problem	
	0.5 If complete example, the partially prescribed matrix inverse problem	 40
7	Semidefinite Programming	49
	7.1 Semidefinite Programs in Matrix Variables	
	7.2 Semidefinite Programs in Vector Variables	
	7.2 Schildeninico I 1081chile in 700col Tariacologi I I I I I I I I I I I I I I I I I I I	 01
8	Pretty Output with Notebooks and TeX	53
	8.1 Pretty Output	 53
	8.2 Using NCTeX	
	8.2.1 NCTeX Options	
	8.3 Using NCTeXForm	
		 90
Η	I Reference Manual	59
9	Introduction	61
10	Dl	co
ΤÛ	O Packages for manipulating NC expressions	63
	10.1 NonCommutativeMultiply	
	10.1.1 aj	
	10.1.2 co	
	10.1.3 Id	
	10.1.4 inv	 64
	10.1.5 rt	 64
	10.1.6 tp	64
	10.1.7 CommutativeQ	C 1
	10.1.7 Commutatives	 64
	10.1.8 NonCommutativeQ	64
	•	
	10.1.8 NonCommutativeQ	 64 64
	10.1.8 NonCommutativeQ	 64 64 64
	10.1.8 NonCommutativeQ          10.1.9 SetCommutative          10.1.10 SetNonCommutative          10.1.11 SetNonCommutativeHold	 64 64 64 65
	10.1.8 NonCommutativeQ          10.1.9 SetCommutative          10.1.10 SetNonCommutative          10.1.11 SetNonCommutativeHold          10.1.12 SNC	 64 64 64 65
	10.1.8 NonCommutativeQ          10.1.9 SetCommutative          10.1.10 SetNonCommutative          10.1.11 SetNonCommutativeHold          10.1.12 SNC          10.1.13 SetCommutingOperators	 64 64 65 65 65
	10.1.8 NonCommutativeQ         10.1.9 SetCommutative         10.1.10 SetNonCommutative         10.1.11 SetNonCommutativeHold         10.1.12 SNC         10.1.13 SetCommutingOperators         10.1.14 UnsetCommutingOperators	 64 64 65 65 65
	10.1.8 NonCommutativeQ         10.1.9 SetCommutative         10.1.10 SetNonCommutative         10.1.11 SetNonCommutativeHold         10.1.12 SNC         10.1.13 SetCommutingOperators         10.1.14 UnsetCommutingOperators         10.1.15 CommutingOperatorsQ	64 64 65 65 65 65 65
	10.1.8 NonCommutativeQ         10.1.9 SetCommutative         10.1.10 SetNonCommutative         10.1.11 SetNonCommutativeHold         10.1.12 SNC         10.1.13 SetCommutingOperators         10.1.14 UnsetCommutingOperators         10.1.15 CommutingOperatorsQ         10.1.16 Commutative	64 64 65 65 65 65 65
	10.1.8 NonCommutativeQ         10.1.9 SetCommutative         10.1.10 SetNonCommutative         10.1.11 SetNonCommutativeHold         10.1.12 SNC         10.1.13 SetCommutingOperators         10.1.14 UnsetCommutingOperators         10.1.15 CommutingOperatorsQ         10.1.16 Commutative         10.1.17 CommuteEverything	64 64 65 65 65 65 65 65
	10.1.8 NonCommutativeQ         10.1.9 SetCommutative         10.1.10 SetNonCommutative         10.1.11 SetNonCommutativeHold         10.1.12 SNC         10.1.13 SetCommutingOperators         10.1.14 UnsetCommutingOperators         10.1.15 CommutingOperatorsQ         10.1.16 Commutative	64 64 64 65 65 65 65 65 65

CONTENTS

	10.1.20 ExpandNonCommutativeMultiply	
	10.1.21 NCExpand	
	10.1.22 NCE	66
10.2	NCCollect	66
	10.2.1 NCCollect	66
	10.2.2 NCCollectSelfAdjoint	67
	10.2.3 NCCollectSymmetric	67
	10.2.4 NCStrongCollect	67
	10.2.5 NCStrongCollectSelfAdjoint	68
		68
	10.2.6 NCStrongCollectSymmetric	
	10.2.7 NCCompose	68
	10.2.8 NCDecompose	68
	10.2.9 NCTermsOfDegree	68
	$10.2.10\mathrm{NCTermsOfTotalDegree}\ \dots$	69
10.3	NCReplace	69
	10.3.1 NCReplace	70
	10.3.2 NCReplaceAll	70
	10.3.3 NCReplaceList	70
	10.3.4 NCReplaceRepeated	70
	10.3.5 NCR	70
	10.3.6 NCRA	71
	10.3.7 NCRR	71
	10.3.8 NCRL	71
	10.3.9 NCMakeRuleSymmetric	71
	$10.3.10\mathrm{NCMakeRuleSelfAdjoint}\dots$	71
	10.3.11 NCReplaceSymmetric	71
	$10.3.12\mathrm{NCReplaceAllSymmetric}\dots$	71
	10.3.13 NCReplaceRepeatedSymmetric	71
	10.3.14 NCReplaceListSymmetric	71
	10.3.15 NCRSym	72
	10.3.16 NCRASym	72
	10.3.17 NCRRSym	72
	10.3.18 NCRLSym	72
	V	
	10.3.19 NCReplaceSelfAdjoint	72
	10.3.20 NCReplaceAllSelfAdjoint	72
	$10.3.21\mathrm{NCReplaceRepeatedSelfAdjoint}\dots$	
	$10.3.22\mathrm{NCReplaceListSelfAdjoint}\ \dots$	72
	10.3.23 NCRSA	72
	10.3.24 NCRASA	73
	10.3.25 NCRRSA	73
	10.3.26 NCRLSA	73
	10.3.27 NCMatrixReplaceAll	73
	10.3.28 NCMatrixReplaceRepeated	73
10.4	NCSelfAdjoint	73
10.4	10.4.1 NCSymmetricQ	73
	10.4.2 NCSymmetricTest	74
	10.4.3 NCSymmetricPart	74
	10.4.4 NCSelfAdjointQ	74
	10.4.5 NCSelfAdjointTest	74
10.5	NCSimplifyRational	75
	10.5.1 NCNormalizeInverse	76
	10.5.2 NCSimplifyRational	76
	10.5.3 NCSR	77
	10.5.4 NCSimplifyRationalSinglePass	77

vi CONTENTS

		10.5.5 NCPreSimplifyRational	77
		10.5.6 NCPreSimplifyRationalSinglePass	77
	10.6	NCDiff	77
		10.6.1 NCDirectionalD	77
		10.6.2 NCGrad	78
		10.6.3 NCHessian	78
		10.6.4 DirectionalD	
		10.6.5 NCIntegrate	
	10.7	NCConvexity	
	10.,	10.7.1 NCIndependent	
		10.7.2 NCConvexityRegion	
		10.7.2 IVOConvexityTeegion	
11	Pacl	kages for manipulating NC block matrices	81
		NCDot	
		11.1.1 tpMat	
		11.1.2 ajMat	
		11.1.3 coMat	
		11.1.4 NCDot	
		11.1.5 NCInverse	
		11.1.6 NCMatrixExpand	
	11.9	NCMatrixDecompositions	
	11.2	11.2.1 NCLUDecompositionWithPartialPivoting	
		11.2.2 NCLUDecompositionWithCompletePivoting	
		11.2.3 NCLDLDecomposition	
		11.2.4 NCUpperTriangularSolve	
		11.2.5 NCLowerTriangularSolve	
		11.2.6 NCLUInverse	
		11.2.7 NCLUPartialPivoting	
		11.2.8 NCLUCompletePivoting	
		11.2.9 NCLeftDivide	
		11.2.10 NCRightDivide	84
	11.3	MatrixDecompositions: linear algebra templates	
		11.3.1 LUDecompositionWithPartialPivoting	85
		11.3.2 LUDecompositionWithCompletePivoting	
		11.3.3 LDLDecomposition	86
		11.3.4 UpperTriangularSolve	
		11.3.5 LowerTriangularSolve	
		11.3.6 LUInverse	
		11.3.7 GetLUMatrices	
		11.3.8 GetFullLUMatrices	
		11.3.9 GetLDUMatrices	
		11.3.10 GetFullLDUMatrices	
		11.3.11 Get Diagonal	
		11.3.12 LUPartialPivoting	
		11.3.13 LUComplete Pivoting	
		11.3.14 LURowReduce	
		11.3.15 LURowReduceIncremental	88
19	Pacl	kages for pretty output, testing, and utilities	89
14		NCOutput	
	14.1	12.1.1 NCSetOutput	89 89
	19.9	NCTeX	
	14.4		
		12.2.1 NCTeX	90 90
		17.4.4.1NUDUULIVIED	91

CONTENTS vii

		12.2.3	NCRunLaTeX											 				90
		12.2.4	NCRunPDFL	аТеХ										 				90
		12.2.5	NCRunPDFV	iewer										 				90
		12.2.6	NCRunPS2PI	)F										 				90
	12.3	NCTeΣ	Form											 				90
		12.3.1	NCTeXForm											 				90
		12.3.2	NCTeXFormS	etStarStar										 				90
			NCTeXFormS															90
	12.4		1															91
			NCRun															91
	12.5	NCTes																91
	12.0		NCTest															91
			NCTestCheck															91
			NCTestRun .															91
			NCTestSumm															92
	10.6																	
	12.0		oug															92
	10.5		NCDebug															92
	12.7																	92
			NCConsistent	•														92
			NCGrabFunct															92
			NCGrabSymb															93
			NCGrabIndet															93
																		93
		12.7.6	NCConsolidat	$eList \dots$										 				94
		12.7.7	NCLeafCount											 				94
		12.7.8	NCReplaceDa	ta										 				94
		1279	NCT-E-magai	ion														94
		12.1.0	NCToExpress	оп										 	 •	 •	•	JI
											• •		• •	 	 •	 •	•	
13		a struc	tures for fast	calculat	ions													95
13		a struc NCPol	tures for fast	calculat	ions									 				<b>95</b> 95
13		a struc NCPol 13.1.1	tures for fast	calculat	ions	 mials	 with	 rati	 onal	coeff	 icien	 ts .		 				<b>95</b> 95 95
13		a struc NCPol 13.1.1	tures for fast y Efficient stora Ways to repre	c calculat ge of NC p sent NC p	ions oolynon	 mials nials	 with 	 rati	 onal 	 coeff	 icien 	 ts .		 		 		95 95 95 96
13		a struc NCPol 13.1.1	tures for fast v Efficient stora Ways to repre 13.1.2.1 NCP	c calculat ge of NC p sent NC p oly	ions oolynon olynon	 mials nials 	 with 	 rati 	 onal 	 coeff 	 icien 	 ts . 		 	 	 		95 95 95 96 96
13		a struc NCPol 13.1.1	tures for fast y Efficient stora Ways to repre	c calculat ge of NC p sent NC p oly	ions oolynon olynon	 mials nials 	 with 	 rati 	 onal 	 coeff 	 icien 	 ts . 		 	 	 		95 95 95 96 96
13		a struc NCPol 13.1.1	tures for fast v Efficient stora Ways to repre 13.1.2.1 NCP	ge of NC posent	ions colynor olynon ial .	 mials nials 	with	rati	onal	coeff	 icien  	 ts . 		 	 	 		95 95 95 96 96
13		a struc NCPol 13.1.1	tures for fast y Efficient stora Ways to repre 13.1.2.1 NCP 13.1.2.2 NCP 13.1.2.3 NCP 13.1.2.4 NCP	ge of NC posent	ions  colynomic  ial  nt  t	 mials nials 	with	rati	onal	coeff	 icien   	ts		 		 		95 95 95 96 96
13		a struc NCPol 13.1.1	tures for fast y Efficient stora Ways to repre 13.1.2.1 NCP 13.1.2.2 NCP 13.1.2.3 NCP 13.1.2.4 NCP	ge of NC posent	ions  colynomic  ial  nt  t	 mials nials 	with	rati	onal	coeff	 icien   	ts		 		 		95 95 95 96 96 96
13		a struc NCPol 13.1.1	tures for fast y Efficient stora Ways to repre 13.1.2.1 NCP 13.1.2.2 NCP 13.1.2.3 NCP	ge of NC posent	ions	mials nials	with	rati	onal	coeff	 dicien   	ts .		 		 		95 95 95 96 96 97
13		a struc NCPol 13.1.1 13.1.2	tures for fast y	ge of NC posent	ions colynor clynon ial . nt t coefficie	mials nials entArr	with	rati	onal	coeff		ts .		 		 		95 95 95 96 96 97 97
13		a struc NCPol 13.1.1 13.1.2	tures for fast y Efficient stora Ways to repre 13.1.2.1 NCP 13.1.2.2 NCP 13.1.2.3 NCP 13.1.2.4 NCP 13.1.2.5 NCP 13.1.2.6 NCP Access and ut	ge of NC person of	ions  colynomic  cial  t  cefficier  camMa	 mials nials   entArr atrix	with	rati	onal	coeff		ts .		 		 		95 95 96 96 97 97 98
13		a struc NCPol 13.1.1 13.1.2	tures for fast y Efficient stora Ways to repre 13.1.2.1 NCP 13.1.2.2 NCP 13.1.2.3 NCP 13.1.2.4 NCP 13.1.2.5 NCP 13.1.2.6 NCP Access and ut 13.1.3.1 NCP	ge of NC person of	ions colynomic ial t coefficie ramMa	mials nials entArr atrix	with	rati	onal	coeff		ts		 		 		95 95 96 96 96 97 97 98 98
13		a struc NCPol 13.1.1 13.1.2	tures for fast y Efficient stora Ways to repre 13.1.2.1 NCP 13.1.2.2 NCP 13.1.2.3 NCP 13.1.2.4 NCP 13.1.2.5 NCP 13.1.2.6 NCP Access and ut 13.1.3.1 NCP 13.1.3.2 NCP	ge of NC person of the column	ions  colynomic  ial  t  coefficier  ramMa  ons  ialQ	mials nials entArr atrix	with	rati	onal	coeff		ts						95 95 95 96 96 97 97 98 98 98
13		a struc NCPol 13.1.1 13.1.2	tures for fast y Efficient stora Ways to repre 13.1.2.1 NCP 13.1.2.2 NCP 13.1.2.3 NCP 13.1.2.4 NCP 13.1.2.5 NCP 13.1.2.6 NCP Access and ut 13.1.3.1 NCP 13.1.3.2 NCP 13.1.3.3 NCP	c calculat ge of NC p sent NC p coly Conver colyConstate colyFromColyFromGolyFromGolyFromGolyHonom colyDegree colyPartial	ions colynomerical columns t coefficieramMa cons . ialQ Degree	mials nials entArr atrix	with	rati		coeff		ts						95 95 95 96 96 97 97 98 98 98
13		a struc NCPol 13.1.1 13.1.2	tures for fast y Efficient stora Ways to repre 13.1.2.1 NCP 13.1.2.2 NCP 13.1.2.3 NCP 13.1.2.4 NCP 13.1.2.5 NCP 13.1.2.6 NCP Access and ut 13.1.3.1 NCP 13.1.3.2 NCP 13.1.3.3 NCP 13.1.3.4 NCP	c calculat ge of NC p sent NC p coly colyMonom colyConstat colyFromColyFromGolyFromGolyFromGolyMonom colyMonom colyMonom colyPartiall colyMonom	ions	mials nials entArr atrix entArr	with	rati										95 95 95 96 96 97 97 98 98 98 98 99
13		a struc NCPol 13.1.1 13.1.2	tures for fast y Efficient stora Ways to repre 13.1.2.1 NCP 13.1.2.2 NCP 13.1.2.3 NCP 13.1.2.4 NCP 13.1.2.5 NCP 13.1.2.6 NCP Access and ut 13.1.3.1 NCP 13.1.3.2 NCP 13.1.3.3 NCP 13.1.3.3 NCP 13.1.3.4 NCP 13.1.3.5 NCP	ge of NC posent	ions	mials nials entArr atrix gree riable	with	rati	onal	coeff								95 95 95 96 96 96 97 97 98 98 98 98 99
13		a struc NCPol 13.1.1 13.1.2	tures for fast y Efficient stora Ways to repre 13.1.2.1 NCP 13.1.2.2 NCP 13.1.2.3 NCP 13.1.2.4 NCP 13.1.2.5 NCP 13.1.2.6 NCP Access and ut 13.1.3.1 NCP 13.1.3.2 NCP 13.1.3.3 NCP 13.1.3.4 NCP 13.1.3.5 NCP 13.1.3.6 NCP	ge of NC posent	ions	mials nials entArr atrix gree riable	with	rati	onal	coeff		tts						95 95 95 96 96 96 97 97 98 98 98 98 99 99
13		a struc NCPol 13.1.1 13.1.2	tures for fast y Efficient stora Ways to repre 13.1.2.1 NCP 13.1.2.2 NCP 13.1.2.3 NCP 13.1.2.4 NCP 13.1.2.5 NCP 13.1.2.6 NCP Access and ut 13.1.3.1 NCP 13.1.3.2 NCP 13.1.3.3 NCP 13.1.3.4 NCP 13.1.3.5 NCP 13.1.3.6 NCP 13.1.3.6 NCP 13.1.3.7 NCP	ge of NC posent	ions colynomic colynomic cial coefficie ramMa cons cialQ coeffect cialDeg rOfVa rOfTer ent .	mials nials entArr atrix gree riable rms	with	rati	onal			tts						95 95 95 96 96 97 97 98 98 98 98 99 99
13		a struc NCPol 13.1.1 13.1.2	tures for fast y Efficient stora Ways to repre 13.1.2.1 NCP 13.1.2.2 NCP 13.1.2.3 NCP 13.1.2.5 NCP 13.1.2.6 NCP 13.1.3.1 NCP 13.1.3.1 NCP 13.1.3.2 NCP 13.1.3.3 NCP 13.1.3.4 NCP 13.1.3.5 NCP 13.1.3.6 NCP 13.1.3.6 NCP 13.1.3.7 NCP 13.1.3.8 NCP	calculat ge of NC p sent NC p sent NC p olyMonom olyConsta olyConver olyFromG olyFromG olyPartial olyMonom olyDegree olyPartial olyMonom olyNumbe olyNumbe olyCoeffici olyCoeffici	ions	mials nials entArr atrix gree riable rms ray .	with	rati		coeff								95 95 95 96 96 97 97 98 98 98 99 99 99
13		a struc NCPol 13.1.1 13.1.2	tures for fast y Efficient stora Ways to repre 13.1.2.1 NCP 13.1.2.2 NCP 13.1.2.3 NCP 13.1.2.4 NCP 13.1.2.5 NCP 13.1.2.6 NCP Access and ut 13.1.3.1 NCP 13.1.3.2 NCP 13.1.3.3 NCP 13.1.3.4 NCP 13.1.3.5 NCP 13.1.3.6 NCP 13.1.3.6 NCP 13.1.3.7 NCP 13.1.3.8 NCP 13.1.3.9 NCP	ge of NC person	ions	mials nials entArr atrix gree riable rms ray	with	rati										95 95 95 96 96 97 97 98 98 98 99 99 99 99
13		a struc NCPol 13.1.1 13.1.2	tures for fast y Efficient stora Ways to repre 13.1.2.1 NCP 13.1.2.2 NCP 13.1.2.3 NCP 13.1.2.4 NCP 13.1.2.5 NCP 13.1.2.6 NCP Access and ut 13.1.3.1 NCP 13.1.3.2 NCP 13.1.3.3 NCP 13.1.3.4 NCP 13.1.3.5 NCP 13.1.3.6 NCP 13.1.3.6 NCP 13.1.3.7 NCP 13.1.3.8 NCP 13.1.3.9 NCP 13.1.3.10 NCP	ge of NC posent	ions	mials nials entArr atrix gree riable rms ray ts	with	rati										95 95 96 96 96 97 97 98 98 98 99 99 99 99 100 100
13		a struc NCPol 13.1.1 13.1.2	tures for fast y Efficient stora Ways to repre 13.1.2.1 NCP 13.1.2.2 NCP 13.1.2.3 NCP 13.1.2.4 NCP 13.1.2.5 NCP 13.1.2.6 NCP Access and ut 13.1.3.1 NCP 13.1.3.2 NCP 13.1.3.3 NCP 13.1.3.5 NCP 13.1.3.6 NCP 13.1.3.6 NCP 13.1.3.7 NCP 13.1.3.8 NCP 13.1.3.9 NCP 13.1.3.10 NCP 13.1.3.11 NCP	ge of NC posent	ions  colynomic	mials nials contArr atrix gree riable rms ray ts	with	rati				tts						95 95 95 96 96 96 97 98 98 98 98 99 99 99 100 100
13		a struc NCPol 13.1.1 13.1.2	tures for fast y Efficient stora Ways to repre 13.1.2.1 NCP 13.1.2.2 NCP 13.1.2.3 NCP 13.1.2.5 NCP 13.1.2.6 NCP Access and ut 13.1.3.1 NCP 13.1.3.2 NCP 13.1.3.3 NCP 13.1.3.5 NCP 13.1.3.6 NCP 13.1.3.6 NCP 13.1.3.7 NCP 13.1.3.8 NCP 13.1.3.9 NCP 13.1.3.10 NCP 13.1.3.11 NCP 13.1.3.11 NCP 13.1.3.11 NCP	ge of NC posent	ions  colynomic	mials nials entArr atrix gree riable rms ray ts	with cay say s s s	rati		coeff		tts						95 95 95 96 96 97 97 98 98 98 98 99 99 99 100 100 100
13		a struc NCPol 13.1.1 13.1.2	tures for fast y Efficient stora Ways to repre 13.1.2.1 NCP 13.1.2.2 NCP 13.1.2.3 NCP 13.1.2.5 NCP 13.1.2.6 NCP Access and ut 13.1.3.1 NCP 13.1.3.2 NCP 13.1.3.3 NCP 13.1.3.4 NCP 13.1.3.5 NCP 13.1.3.6 NCP 13.1.3.7 NCP 13.1.3.8 NCP 13.1.3.9 NCP 13.1.3.10 NCP 13.1.3.11 NCP 13.1.3.11 NCP 13.1.3.12 NCP 13.1.3.12 NCP 13.1.3.13 NCP	ge of NC posent	ions  colynomic	mials nials entArr atrix gree riable rms ts omial	with	rati	onal			tts						95 95 95 96 96 97 97 98 98 98 98 99 99 99 100 100 100 101
13		a struc NCPol 13.1.1 13.1.2	tures for fast y Efficient stora Ways to repre 13.1.2.1 NCP 13.1.2.2 NCP 13.1.2.3 NCP 13.1.2.5 NCP 13.1.2.6 NCP Access and ut 13.1.3.1 NCP 13.1.3.2 NCP 13.1.3.3 NCP 13.1.3.5 NCP 13.1.3.6 NCP 13.1.3.6 NCP 13.1.3.7 NCP 13.1.3.8 NCP 13.1.3.9 NCP 13.1.3.10 NCP 13.1.3.11 NCP 13.1.3.11 NCP 13.1.3.11 NCP	ge of NC person	ions colynomical colynomical colynomical colynomical colonial colo	mials nials entArr atrix gree riable rms ts omial	with cay say say	rati	onal			tts						95 95 96 96 96 97 97 98 98 98 99 99 99 100 100 101 101

viii CONTENTS

	13.1.3.16 NCPolyToRule
	13.1.3.17 NCPolyTermsOfDegree
	13.1.3.18 NCPolyTermsOfTotalDegree
	13.1.3.19 NCPolyQuadraticTerms
	13.1.3.20 NCPolyQuadraticChipset
	13.1.3.21 NCPolyReverseMonomials
	13.1.3.22 NCPolyGetOptions
13	1.4 Formating functions
	13.1.4.1 NCPolyDisplay
	13.1.4.2 NCPolyDisplayOrder
13	1.5 Arithmetic functions
10	13.1.5.1 NCPolyDivideDigits
	13.1.5.2 NCPolyDivideLeading
	13.1.5.3 NCPolyFullReduce
	13.1.5.4 NCP-lyNormalize
	13.1.5.5 NCPolyProduct
	13.1.5.6 NCPolyQuotientExpand
	13.1.5.7 NCPolyReduce
	13.1.5.8 NCPolySum
13	1.6 State space realization functions
	13.1.6.1 NCPolyHankelMatrix
	13.1.6.2 NCPolyRealization
13	1.7 Auxiliary functions
	13.1.7.1 NCPolyVarsToIntegers
	13.1.7.2 NCFromDigits
	13.1.7.3 NCIntegerDigits
	13.1.7.4 NCIntegerReverse
	13.1.7.5 NCDigitsToIndex
	13.1.7.6 NCPadAndMatch
13.2 NO	PolyInterface
	2.1 NCToNCPoly
	2.2 NCPolyToNC
	2.3 NCRuleToPoly
	2.4 NCMonomialList
	2.5 NCCoefficientRules
	2.6 NCCoefficientList
	2.7 NCCoefficientQ
	2.8 NCMonomialQ
	2.9 NCPolynomialQ
	Polynomial
	3.1 Efficient storage of NC polynomials with nc coefficients
	3.2 Ways to represent NC polynomials
10	13.3.2.1 NCPolynomial
	13.3.2.2 NCToNCPolynomial
	13.3.2.3 NCPolynomialToNC
10	13.3.2.4 NCRationalToNCPolynomial
13	3.3 Grouping terms by degree
	13.3.3.1 NCPTermsOfDegree
	13.3.3.2 NCPTermsOfTotalDegree
	13.3.3.3 NCPTermsToNC
13	3.4 Utilities
	13.3.4.1 NCPDegree
	13.3.4.2 NCPMonomialDegree
	13 3 4 3 NCPCoefficients 11

CONTENTS ix

			13.3.4.4 NCPLinearQ	.5
			13.3.4.5 NCPQuadraticQ	5
			13.3.4.6 NCPCompatibleQ	.5
			13.3.4.7 NCPSameVariablesQ	
			13.3.4.8 NCPMatrixQ	
			13.3.4.9 NCPNormalize	
		1225	Operations on NC polynomials	
		10.0.0	13.3.5.1 NCPPlus	
			13.3.5.2 NCPTimes	
			13.3.5.3 NCPDot	
			13.3.5.4 NCPSort	
		3700	13.3.5.5 NCPDecompose	
	13.4		dratic	
			NCToNCQuadratic	
			NCPToNCQuadratic	
			NCQuadraticToNC	
		13.4.4	NCQuadraticToNCPolynomial	7
		13.4.5	NCMatrixOfQuadratic	7
		13.4.6	NCQuadraticMakeSymmetric	8
	13.5		rester	
			NCToNCSylvester	
			NCPToNCSylvester	
			NCSylvesterToNC	
			NCSylvesterToNCPolynomial	
		10.0.4	1105y1105001101101 Olynomiai	J
14	Non	comm	ntative Gröbner Bases Algorithms 12	1
			X	-1
	1 1.1		SetMonomialOrder	
			SetKnowns	
			SetUnknowns	
			ClearMonomialOrder	
			GetMonomialOrder	4
		14.1.6	GetMonomialOrder         12           PrintMonomialOrder         12	24 24
		14.1.6 14.1.7	GetMonomialOrder       12         PrintMonomialOrder       12         NCMakeGB       12	24 24 24
		14.1.6 14.1.7 14.1.8	GetMonomialOrder       12         PrintMonomialOrder       12         NCMakeGB       12         NCProcess       12	4 4 24 25
		14.1.6 14.1.7 14.1.8 14.1.9	GetMonomialOrder       12         PrintMonomialOrder       12         NCMakeGB       12         NCProcess       12         NCGBSimplifyRational       12	4 4 4 25 25
		14.1.6 14.1.7 14.1.8 14.1.9 14.1.10	GetMonomialOrder       12         PrintMonomialOrder       12         NCMakeGB       12         NCProcess       12         NCGBSimplifyRational       12         NCReduce       12	4 4 4 25 25 25
	14.2	14.1.6 14.1.7 14.1.8 14.1.9 14.1.10	GetMonomialOrder       12         PrintMonomialOrder       12         NCMakeGB       12         NCProcess       12         NCGBSimplifyRational       12	4 4 4 25 25 25
	14.2	14.1.6 14.1.7 14.1.8 14.1.9 14.1.10 NCPol	GetMonomialOrder       12         PrintMonomialOrder       12         NCMakeGB       12         NCProcess       12         NCGBSimplifyRational       12         NCReduce       12	14 14 15 15 16
		14.1.6 14.1.7 14.1.8 14.1.9 14.1.10 NCPoly 14.2.1	GetMonomialOrder       12         PrintMonomialOrder       12         NCMakeGB       12         NCProcess       12         NCGBSimplifyRational       12         NCReduce       12         vGroebner       12	4 4 4 25 25 26 26
		14.1.6 14.1.7 14.1.8 14.1.9 14.1.10 NCPoly 14.2.1	GetMonomialOrder       12         PrintMonomialOrder       12         NCMakeGB       12         NCProcess       12         NCGBSimplifyRational       12         NCReduce       12         'Groebner       12         NCPolyGroebner       12	4 4 4 25 25 26 26
15	14.3	14.1.6 14.1.7 14.1.8 14.1.9 14.1.10 NCPol 14.2.1 NCGB	GetMonomialOrder       12         PrintMonomialOrder       12         NCMakeGB       12         NCProcess       12         NCGBSimplifyRational       12         NCReduce       12         'Groebner       12         NCPolyGroebner       12	444555666
15	14.3 <b>Sem</b>	14.1.6 14.1.7 14.1.8 14.1.9 14.1.10 NCPol 14.2.1 NCGB	GetMonomialOrder       12         PrintMonomialOrder       12         NCMakeGB       12         NCProcess       12         NCGBSimplifyRational       12         NCReduce       12         vGroebner       12         NCPolyGroebner       12         12       12         13       12         14       12         15       12         16       12         17       12	4445556666
15	14.3 <b>Sem</b>	14.1.6 14.1.7 14.1.8 14.1.9 14.1.10 NCPol 14.2.1 NCGB	GetMonomialOrder       12         PrintMonomialOrder       12         NCMakeGB       12         NCProcess       12         NCGBSimplifyRational       12         NCReduce       12         vGroebner       12         NCPolyGroebner       12         ce Programming Algorithms       12	444555666 77
15	14.3 <b>Sem</b>	14.1.6 14.1.7 14.1.8 14.1.9 14.1.10 NCPol 14.2.1 NCGB	GetMonomialOrder       12         PrintMonomialOrder       12         NCMakeGB       12         NCProcess       12         NCGBSimplifyRational       12         NCReduce       12         'Groebner       12         NCPolyGroebner       12         Se Programming Algorithms       12         NCSDP       12	44445556666 <b>7</b> 777
15	14.3 <b>Sem</b>	14.1.6 14.1.7 14.1.8 14.1.9 14.1.10 NCPol 14.2.1 NCGB nidefini NCSDI 15.1.1 15.1.2	GetMonomialOrder       12         PrintMonomialOrder       12         NCMakeGB       12         NCProcess       12         NCGBSimplifyRational       12         NCReduce       12         rGroebner       12         NCPolyGroebner       12         ce Programming Algorithms       12         NCSDP       12         NCSDPForm       12         NCSDPForm       12	444555666 7778
15	14.3 <b>Sem</b>	14.1.6 14.1.7 14.1.8 14.1.9 14.1.10 NCPol 14.2.1 NCGB nidefini NCSDI 15.1.1 15.1.2 15.1.3	GetMonomialOrder       12         PrintMonomialOrder       12         NCMakeGB       12         NCProcess       12         NCGBSimplifyRational       12         NCReduce       12         vGroebner       12         NCPolyGroebner       12         See Programming Algorithms       12         NCSDP       12         NCSDPForm       12         NCSDPForm       12         NCSDPDual       12	4445556666 777888
15	14.3 <b>Sem</b> 15.1	14.1.6 14.1.7 14.1.8 14.1.9 14.1.10 NCPol 14.2.1 NCGB nidefini NCSDI 15.1.1 15.1.2 15.1.3 15.1.4	GetMonomialOrder       12         PrintMonomialOrder       12         NCMakeGB       12         NCProcess       12         NCGBSimplifyRational       12         NCReduce       12         vGroebner       12         NCPolyGroebner       12         xee Programming Algorithms       12         xee Programming Algorithms       12         NCSDP       12         NCSDPForm       12         NCSDPDual       12         NCSDPDualForm       12         NCSDPDualForm       12	4445556666 <b>7</b> 778888
15	14.3 <b>Sem</b> 15.1	14.1.6 14.1.7 14.1.8 14.1.9 14.1.10 NCPol 14.2.1 NCGB nidefini NCSDI 15.1.1 15.1.2 15.1.3 15.1.4 SDP	GetMonomialOrder       12         PrintMonomialOrder       12         NCMakeGB       12         NCProcess       12         NCGBSimplifyRational       12         NCReduce       12         /Groebner       12         NCPolyGroebner       12         NCPolyGroebner       12         NCSDPGroebner       12         NCSDP       12         NCSDPForm       12         NCSDPDual       12         NCSDPDualForm       12         NCSDPDualForm       12         NCSDPDualForm       12         NCSDPDualForm       12         NCSDPDualForm       12         NCSDPSDER       12         NCSDPSDER       12         NCSDPSDER       12         NCSDPSDER       12         NCSDPSDER       12         NCSDPSDER       12	444555666 6 <b>7</b> 7788888
15	14.3 <b>Sem</b> 15.1	14.1.6 14.1.7 14.1.8 14.1.9 14.1.10 NCPol 14.2.1 NCGB nidefini NCSD 15.1.1 15.1.2 15.1.3 15.1.4 SDP 15.2.1	GetMonomialOrder       12         PrintMonomialOrder       12         NCMakeGB       12         NCProcess       12         NCGBSimplifyRational       12         NCReduce       12         vGroebner       12         NCPolyGroebner       12         NCPolyGroebner       12         NCSDPGroebner       12         NCSDP       12         NCSDP       12         NCSDPForm       12         NCSDPDual       12         NCSDPDualForm       12         NCSDPDualForm       12         SDPMatrices       12	4445556666 777888889
15	14.3 <b>Sem</b> 15.1	14.1.6 14.1.7 14.1.8 14.1.9 14.1.10 NCPol 14.2.1 NCGB nidefini NCSD 15.1.1 15.1.2 15.1.3 15.1.4 SDP 15.2.1 15.2.2	GetMonomialOrder       12         PrintMonomialOrder       12         NCMakeGB       12         NCProcess       12         NCGBSimplifyRational       12         NCReduce       12         'Groebner       12         NCPolyGroebner       12         te Programming Algorithms       12         NCSDP       12         NCSDP       12         NCSDPForm       12         NCSDPDual       12         NCSDPDualForm       12         SDPMatrices       12         SDPSolve       12	4445556666 7778888899
15	14.3 <b>Sem</b> 15.1	14.1.6 14.1.7 14.1.8 14.1.9 14.1.10 NCPol 14.2.1 NCGB nidefini NCSDI 15.1.1 15.1.2 15.1.3 15.1.4 SDP 15.2.1 15.2.2	GetMonomialOrder       12         PrintMonomialOrder       12         NCMakeGB       12         NCProcess       12         NCGBSimplifyRational       12         NCReduce       12         vGroebner       12         NCPolyGroebner       12         te Programming Algorithms       12         NCSDP       12         NCSDPForm       12         NCSDPDual       12         NCSDPDualForm       12         SDPMatrices       12         SDPSolve       12         SDPEval       13	24 24 24 25 25 26 26 26 27 27 27 28 28 28 28 28 29 29 29 29 29 29 29 29 29 29 29 29 29
15	14.3 <b>Sem</b> 15.1	14.1.6 14.1.7 14.1.8 14.1.9 14.1.10 NCPol 14.2.1 NCGB nidefini NCSDI 15.1.1 15.1.2 15.1.3 15.1.4 SDP 15.2.1 15.2.2 15.2.3 15.2.4	GetMonomialOrder       12         PrintMonomialOrder       12         NCMakeGB       12         NCProcess       12         NCGBSimplifyRational       12         NCReduce       12         'Groebner       12         NCPolyGroebner       12         NCPolyGroebner       12         NCSDP       12         NCSDP       12         NCSDPForm       12         NCSDPDual       12         NCSDPDualForm       12         SDPMatrices       12         SDPSolve       12         SDPEval       13         SDPPrimalEval       13	2444444255566666667777888888899960
15	14.3 <b>Sem</b> 15.1	14.1.6 14.1.7 14.1.8 14.1.9 14.1.10 NCPol 14.2.1 NCGB nidefini NCSDI 15.1.1 15.1.2 15.1.3 15.1.4 SDP 15.2.1 15.2.2 15.2.3 15.2.4 15.2.5	GetMonomialOrder       12         PrintMonomialOrder       12         NCMakeGB       12         NCProcess       12         NCGBSimplifyRational       12         NCReduce       12         vGroebner       12         NCPolyGroebner       12         te Programming Algorithms       12         NCSDP       12         NCSDPForm       12         NCSDPDual       12         NCSDPDualForm       12         SDPMatrices       12         SDPSolve       12         SDPEval       13	2444425556666666 <b>77</b> 7788888889996000

X CONTENTS

15	.3 SDPFl	at					 	 		 		 		130
	15.3.1	SDPFlatI	Oata				 	 		 		 		131
	15.3.2	SDPFlatI	PrimalEval .				 	 		 		 		131
	15.3.3	SDPFlatI	OualEval				 	 		 		 		131
	15.3.4	SDPFlatS	SylvesterEval				 	 		 		 		131
15														
			esterPrimalEva											
			esterDualEval											
			esterSylvesterE											
15														
			ıal											
	10.0.1	11111012					 	 		 	 •	 •	•	100
16 W	ork in P	rogress												135
16	.1 NCPol	ySOS					 	 		 		 		135
	16.1.1	NCPolyS	OS				 	 		 		 		135
	16.1.2	NCPolyS	OSToSDP				 	 		 		 		135
16	.2 NCRat	tional					 	 		 		 		135
			ce realizations											
			NCRational.											
			NCToNCRatio											
			NCRationalTo											
			NCRationalTo											
			CanonicalToN											
	16.2.2													
	10.2.2		NCROrder .											
			NCRLinearQ											
			NCRStrictlyPi											
	16 2 3		ns on NC ratio											
	10.2.0	-	NCRPlus											
			NCRTimes .											
			NCRTranspose											
			NCRInverse											
	16 2 4		realizations .											
	10.2.4		NCRControlla											
			NCRControlla											
			NCRObservab											
			NCRMinimalF											
16	.3 NCRea		····											
10			ptorRealization											
			ninantalRepres											
			xDescriptorRea		-									
			alDescriptorR											138
			etricDescriptor											138
			etricDetermina	_										138
			etricDetermina	-			_							138
			etrizeMinimall	_										139
			nutativeLift.											139
		_	Of Affine Term											139
			riptorRealization											139
		ZPinnedQ RPinningSi				• •	 	 • •	• •	 	 ٠	 		139 $139$
	10.5.13	. – mmmo:51	Date											1 34

### License

NCAlgebra is distributed under the terms of the BSD License:

Copyright (c) 2017, J. William Helton and Mauricio C. de Oliveira All rights reserved.

Redistribution and use in source and binary forms, with or without modification, are permitted provided that the following conditions are met:

- \* Redistributions of source code must retain the above copyright notice, this list of conditions and the following disclaimer.
- \* Redistributions in binary form must reproduce the above copyright notice, this list of conditions and the following disclaimer in the documentation and/or other materials provided with the distribution.
- \* Neither the name NCAlgebra nor the names of its contributors may be used to endorse or promote products derived from this software without specific prior written permission.

THIS SOFTWARE IS PROVIDED BY THE COPYRIGHT HOLDERS AND CONTRIBUTORS "AS IS" AND ANY EXPRESS OR IMPLIED WARRANTIES, INCLUDING, BUT NOT LIMITED TO, THE IMPLIED WARRANTIES OF MERCHANTABILITY AND FITNESS FOR A PARTICULAR PURPOSE ARE DISCLAIMED. IN NO EVENT SHALL THE COPYRIGHT HOLDERS BE LIABLE FOR ANY DIRECT, INDIRECT, INCIDENTAL, SPECIAL, EXEMPLARY, OR CONSEQUENTIAL DAMAGES (INCLUDING, BUT NOT LIMITED TO, PROCUREMENT OF SUBSTITUTE GOODS OR SERVICES; LOSS OF USE, DATA, OR PROFITS; OR BUSINESS INTERRUPTION) HOWEVER CAUSED AND ON ANY THEORY OF LIABILITY, WHETHER IN CONTRACT, STRICT LIABILITY, OR TORT (INCLUDING NEGLIGENCE OR OTHERWISE) ARISING IN ANY WAY OUT OF THE USE OF THIS SOFTWARE, EVEN IF ADVISED OF THE POSSIBILITY OF SUCH DAMAGE.

2 CONTENTS

# Part I User Guide

## Acknowledgements

This work was partially supported by the Division of Mathematical Sciences of the National Science Foundation.

The program was written by the authors with mjor earlier contributions from:

- Mark Stankus, Math, Cal Poly San Luis Obispo
- Robert L. Miller, General Atomics Corp

Considerable recent help came from

• Igor Klep, University of Ljubljana

Other contributors include:

• David Hurst, Daniel Lamm, Orlando Merino, Robert Obar, Henry Pfister, Mike Walker, John Wavrik, Lois Yu, J. Camino, J. Griffin, J. Ovall, T. Shaheen, John Shopple.

The beginnings of the program come from eran@slac.

## Changes in Version 5.0

#### 2.1 Version 5.0.5

- 1. Improved documentation
- 2. Bug fixes

#### 2.2 Version 5.0.4

- 1. First implementation of NCPolyGramMatrix and NCPolyFromGramMatrix.
- 2. Improvements in NCReplace.
- 3. Several bug fixes and improvements. Thanks Eric Evert!

#### 2.3 Version 5.0.3

- 1. Restored functionality of SetCommutingOperators.
- 2. Improved implementation of CommutativeQ for arrays.

#### 2.4 Version 5.0.2

- 1. NCCollect and NCStrongCollect can handle commutative variables.
- 2. Cleaned up initialization files.
- 3. New function SetNonCommutativeHold with HoldAll attribute can be used to set Symbols that have been previously assigned values.

#### 2.5 Version 5.0.1

- 1. Introducing NCWebInstall and NCWebUpdate.
- 2. Bug fixes.

#### 2.6 Version 5.0.0

- 1. Completely rewritten core handling of noncommutative expressions with significant speed gains.
- 2. Completely rewritten noncommutative Gröbner basis algorithm without any dependence on compiled code. See chapter Noncommutative Gröbner Basis in the user guide and the NCGBX package. Some NCGB features are not fully supported yet, most notably NCProcess.

- 3. New algorithms for representing and operating with noncommutative polynomials with commutative coefficients. These support the new package NCGBX. See this section in the chapter More Advanced Commands and the packages NCPolyInterface and NCPoly.
- 4. New algorithms for representing and operating with noncommutative polynomials with noncommutative coefficients (NCPolynomial) with specialized facilities for noncommutative quadratic polynomials (NCQuadratic) and noncommutative linear polynomials (NCSylvester).
- 5. Modified behavior of CommuteEverything (see important notes in CommuteEverything).
- 6. Improvements and consolidation of noncommutative calculus in the package NCDiff.
- 7. Added a complete set of linear algebra algorithms in the new package MatrixDecompositions and their noncommutative versions in the new package NCMatrixDecompositions.
- 8. General improvements on the Semidefinite Programming package NCSDP.
- 9. New algorithms for simplification of noncommutative rationals (NCSimplifyRational).
- 10. Commands Transform, Substitute, SubstituteSymmetric, etc, have been replaced by the much more reliable commands in the new package NCReplace.
- 11. Command MatMult has been replaced by NCDot. Alias MM has been deprecated.
- 12. Noncommutative power is now supported, with x^3 expanding to x\*\*x\*\*x, x^-1 expanding to inv[x].
- 13. x^T expands to tp[x] and x^\* expands to aj[x]. Symbol T is now protected.
- 14. Support for subscripted variables in notebooks.

## Introduction

This User Guide attempts to document the many improvements introduced in NCAlgebra Version 5.0. Please be patient, as we move to incorporate the many recent changes into this document.

See Reference Manual for a detailed description of the available commands.

There are also notebooks in the NC/DEMOS directory that accompany each of the chapters of this user guide.

#### Running NCAlgebra 3.1

In Mathematica (notebook or text interface), type

<< NC`

If this step fails, your installation has problems (check out installation instructions on the main page). If your installation is successful you will see a message like:

```
You are using the version of NCAlgebra which is found in:
   /your_home_directory/NC.
You can now use "<< NCAlgebra`" to load NCAlgebra.
```

Then just type

<< NCAlgebra

to load NCAlgebra.

#### 3.2 Now what?

Extensive documentation is found in the directory DOCUMENTATION, including this document.

Basic documentation is found in the project wiki:

https://github.com/NCAlgebra/NC/wiki

You may want to try some of the several demo files in the directory DEMOS after installing NCAlgebra.

You can also run some tests to see if things are working fine.

#### 3.3 Testing

You do not need to load NCAlgebra before running any of the tests below, but you need to load NC as in

#### << NC`

There are 3 test sets which you can use to troubleshoot parts of NCAlgebra. The most comprehensive test set is run by typing:

#### << NCTEST

This will test the core functionality of NCAlgebra.

You can test functionality related to the package NCPoly, including the new NCGBX package NCGBX, by typing:

#### << NCPOLYTEST

Finally our Semidefinite Programming Solver NCSDP can be tested with

#### << NCSDPTEST

We recommend that you restart the kernel before and after running tests. Each test takes a few minutes to run.

You can also call

#### << NCPOLYTESGB

to perform extensive and long testing of NCGBX.

#### 3.4 Pre-2017 NCGB C++ version

The old C++ version of our Groebner Basis Algorithm still ships with this version and can be loaded using:

#### << NCGB

This will at once load NCAlgebra and NCGB. It can be tested using

#### << NCGBTEST

## Most Basic Commands

This chapter provides a gentle introduction to some of the commands available in NCAlgebra.

If you want a living analog of this chapter just run the notebook NC/DEMOS/1\_MostBasicCommands.nb.

Before you can use NCAlgebra you first load it with the following commands:

```
<< NC`
<< NCAlgebra`
```

#### 4.1 To Commute Or Not To Commute?

In NCAlgebra, the operator \*\* denotes noncommutative multiplication. At present, single-letter lower case variables are noncommutative by default and all others are commutative by default. For example:

```
a**b-b**a
results in
a**b-b**a
while
A**B-B**A
A**b-b**A
```

both result in 0.

One of Bill's favorite commands is CommuteEverything, which temporarily makes all noncommutative symbols appearing in a given expression to behave as if they were commutative and returns the resulting commutative expression. For example:

```
CommuteEverything[a**b-b**a]
results in 0. The command
EndCommuteEverything[]
```

restores the original noncommutative behavior.

One can make any symbol behave as noncommutative using SetNonCommutative. For example:

```
SetNonCommutative[A,B]
A**B-B**A
results in:
```

#### A\*\*B-B\*\*A

Likewise, symbols can be made commutative using SetCommutative. For example:

SetNonCommutative[A]
SetCommutative[B]

A\*\*B-B\*\*A

results in O. SNC is an alias for SetNonCommutative. So, SNC can be typed rather than the longer SetNonCommutative:

SNC[A]; A\*\*a-a\*\*A

results in:

-a\*\*A+A\*\*a

One can check whether a given symbol is commutative or not using CommutativeQ or NonCommutativeQ. For example:

CommutativeQ[B]
NonCommutativeQ[a]

both return True.

#### 4.2 Inverses, Transposes and Adjoints

The multiplicative identity is denoted Id in the program. At the present time, Id is set to 1.

A symbol a may have an inverse, which will be denoted by inv[a]. inv operates as expected in most cases.

For example:

inv[a]\*\*a inv[a\*\*b]\*\*a\*\*b

both lead to Id = 1 and

a\*\*b\*\*inv[b]

results in a.

**Version 5:** inv no longer automatically distributes over noncommutative products. If this more aggressive behavior is desired use SetOptions[inv, Distribute -> True]. For example

SetOptions[inv, Distribute -> True]
inv[a\*\*b]
returns inv[b]\*\*inv[a]. Conversely
SetOptions[inv, Distribute -> False]
inv[a\*\*b]
returns inv[a\*\*b].

tp[x] denotes the transpose of symbol x and aj[x] denotes the adjoint of symbol x. Like inv, the properties of transposes and adjoints that everyone uses constantly are built-in. For example:

tp[a\*\*b]
leads to
tp[b]\*\*tp[a]

and

4.3. REPLACE 13

```
tp[a+b]
returns

tp[a]+tp[b]
Likewise tp[tp[a]] == a and tp for anything for which CommutativeQ returns True is simply the identity.
For example tp[5] == 5, tp[2 + 3I] == 2 + 3 I, and tp[B] == B.
Similar properties hold to aj. Moreover
aj[tp[a]]
tp[aj[a]]
```

return co[a] where co stands for complex-conjugate.

**Version 5:** transposes (tp), adjoints (aj), complex conjugates (co), and inverses (inv) in a notebook environment render as  $x^T$ ,  $x^*$ ,  $\bar{x}$ , and  $x^{-1}$ . tp and aj can also be input directly as  $x^T$  and  $x^*$ . For this reason the symbol T is now protected in NCAlgebra.

#### 4.3 Replace

A key feature of symbolic computation is the ability to perform substitutions. The Mathematica substitute commands, e.g. ReplaceAll (/.) and ReplaceRepeated (//.), are not reliable in NCAlgebra, so you must use our NC versions of these commands. For example:

```
NCReplaceAll[x**a**b,a**b->c]
results in
X**C
and
NCReplaceAll[tp[b**a]+b**a,b**a->c]
results in
c+tp[a]**tp[b]
Use NCMakeRuleSymmetric and NCMakeRuleSelfAdjoint to automatically create symmetric and self adjoint
versions of your rules:
NCReplaceAll[tp[b**a]+b**a, NCMakeRuleSymmetric[b**a -> c]]
returns
c + tp[c]
The difference between NCReplaceAll and NCReplaceRepeated can be understood in the example:
NCReplaceAll[a**b**b, a**b -> a]
that results in
a**b
and
NCReplaceRepeated[a**b**b, a**b -> a]
that results in
```

Beside NCReplaceAll and NCReplaceRepeated we offer NCReplace and NCReplaceList, which are analogous to the standard ReplaceAll (/.), ReplaceRepeated (//.), Replace and ReplaceList. Note that one rarely uses NCReplace and NCReplaceList.

See the Section Advanced Rules and Replacement for a deeper discussion on some issues involved with rules and replacements in NCAlgebra.

**Version 5:** the commands **Substitute** and **Transform** have been deprecated in favor of the above nc versions of Replace.

#### 4.4 Polynomials

```
The command NCExpand expands noncommutative products. For example:
```

```
NCExpand[(a+b)**x]
returns
a**x+b**x
Conversely, one can collect noncommutative terms involving same powers of a symbol using NCCollect. For
example:
NCCollect[a**x+b**x,x]
recovers
(a+b)**x
NCCollect groups terms by degree before collecting and accepts more than one variable. For example:
expr = a**x+b**x+y**c+y**d+a**x**y+b**x**y
NCCollect[expr, {x}]
returns
y**c+y**d+(a+b)**x**(1+y)
and
NCCollect[expr, {x, y}]
returns
(a+b)**x+y**(c+d)+(a+b)**x**y
Note that the last term has degree 2 in x and y and therefore does not get collected with the first order terms.
The list of variables accepts tp, aj and inv, and
NCCollect[tp[x]**a**x+tp[x]**b**x+z,{x,tp[x]}]
returns
z+tp[x]**(a+b)**x
```

```
to obtain the same result. A similar command, NCCollectSelfAdjoint, works with self-adjoint variables.
```

There is also a stronger version of collect called NCStrongCollect. NCStrongCollect does not group terms by degree. For instance:

```
NCStrongCollect[expr, {x, y}]
```

NCCollectSymmetric[tp[x]\*\*a\*\*x+tp[x]\*\*b\*\*x+z,{x}]

Alternatively one could use

4.4. POLYNOMIALS

```
produces
```

```
y**(c+d)+(a+b)**x**(1+y)
```

Keep in mind that NCStrongCollect often collects more than one would normally expect.

NCAlgebra provides some commands for noncommutative polynomial manipulation that are similar to the native Mathematica (commutative) polynomial commands. For example:

```
expr = B + A y**x**y - 2 x
NCVariables[expr]
returns
{x,y}
and
NCCoefficientList[expr, vars]
NCMonomialList[expr, vars]
NCCoefficientRules[expr, vars]
returns
{B, -2, A}
{1, x, y**x**y}
{1 -> B, x -> -2, y**x**y -> A}
Also for testing
```

NCMonomialQ[expr]

will return False and

NCPolynomialQ[expr]

will return True.

Another useful command is NCTermsOfDegree, which will returns an expression with terms of a certain degree. For instance:

```
NCTermsOfDegree[x**y**x - x**x**y + x**w + z**w, {x,y}, {2,1}]
returns x**y**x - x**x**y,

NCTermsOfDegree[x**y**x - x**x**y + x**w + z**w, {x,y}, {0,0}]
returns z**w, and

NCTermsOfDegree[x**y**x - x**x**y + x**w + z**w, {x,y}, {0,1}]
```

A similar command is NCTermsOfTotalDegree, which works just like NCTermsOfDegree but considers the total degree in all variables. For example:

For example,

```
NCTermsOfTotalDegree[x**y**x - x**x**y + x**w + z**w, {x,y}, 3]
returns x**y**x - x**x**y, and
NCTermsOfTotalDegree[x**y**x - x**x**y + x**w + z**w, {x,y}, 2]
returns 0.
```

The above commands are based on special packages for efficiently storing and calcuating with nc polynomials. Those packages are

- NCPoly: which handles polynomials with noncommutative coefficients, and
- NCPolynomial: which handles polynomials with real coefficients.

For example:

```
1 + y**x**y - A x
```

is a polynomial with commutative coefficients in x and y, whereas

```
a**y**b**x**c**y - A x**d
```

is a polynomial with nc coefficients in x and y, where the letters a, b, c, and d, are the nc coefficients. Of course

```
1 + y**x**y - A x
```

is a polynomial with nc coefficients if one considers only x as the variable of interest.

In order to take full advantage of NCPoly and NCPolynomial one would need to *convert* an expression into those special formats. See NCPolyInterface, NCPoly, and NCPolynomial for details.

#### 4.5 Rationals and Simplification

One of the great challenges of noncommutative symbolic algebra is the simplification of rational nc expressions. NCAlgebra provides various algorithms that can be used for simplification and general manipulation of nc rationals.

One such function is NCSimplifyRational, which attempts to simplify noncommutative rationals using a predefined set of rules. For example:

leads to 1. Of course the great challenge here is to reveal well known identities that can lead to simplification. For example, the two expressions:

```
expr1 = a**inv[1+b**a]
expr2 = inv[1+a**b]**a
```

and one can use NCSimplifyRational to test such equivalence by evaluating

```
NCSimplifyRational[expr1 - expr2]
```

which results in 0 or

```
NCSimplifyRational[expr1**inv[expr2]]
```

which results in 1. NCSimplifyRational works by transforming nc rationals. For example, one can verify that

```
NCSimplifyRational[expr2] == expr1
```

NCAlgebra has a number of packages that can be used to manipulate rational nc expressions. The packages:

- NCGBX perform calculations with nc rationals using Gröbner basis, and
- NCRational creates state-space representations of nc rationals. This package is still experimental.

#### 4.6 Calculus

The package NCDiff provide functions for calculating derivatives and integrals of nc polynomials and nc rationals.

4.6. CALCULUS

The main command is NCDirectionalD which calculates directional derivatives in one or many variables. For example, if:

```
expr = a**inv[1+x]**b + x**c**x
then
NCDirectionalD[expr, {x,h}]
returns
h**c**x + x**c**h - a**inv[1+x]**h**inv[1+x]**b
In the case of more than one variables NCDirectionalD[expr, {x,h}, {y,k}] takes the directional derivative
of expr with respect to x in the direction h and with respect to y in the direction k. For example, if:
expr = x**q**x - y**x
then
NCDirectionalD[expr, {x,h}, {y,k}]
returns
h**q**x + x**q*h - y**h - k**x
A further example, if:
expr = x**a**x**b + x**c**x**d
then its directional derivative in the direction h is
NCDirectionalD[expr, {x,h}]
which returns
h**a**x**b + x**a**h**b + h**c**x**d + x**c**h**d
The command NCGrad calculates no gradients<sup>1</sup>.
For example:
NCGrad[expr, x]
returns the nc gradient
a**x**b + b**x**a + c**x**d + d**x**c
A further example, if:
expr = x**a**x**b + x**c**y**d
is a function on variables x and y then
NCGrad[expr, x, y]
returns the nc gradient list
\{a**x**b + b**x**a + c**y**d, d**x**c\}
```

Version 5: introduces experimental support for integration of nc polynomials. See NCIntegrate.

<sup>&</sup>lt;sup>1</sup>The transpose of the gradient of the nc expression expr is the derivative with respect to the direction h of the trace of the directional derivative of expr in the direction h.

#### 4.7 Matrices

NCAlgebra has many commands for manipulating matrices with noncommutative entries. Think block-matrices. Matrices are represented in Mathematica using *lists of lists*. For example

$$m = \{\{a, b\}, \{c, d\}\}$$

is a representation for the matrix

$$\begin{bmatrix} a & b \\ c & d \end{bmatrix}$$

The Mathematica command MatrixForm output pretty matrices. MatrixForm[m] prints m in a form similar to the above matrix. Beware when copying and pasting parts of an expression rendered by MatrixForm because it may not execute correctly. If in doubt, use FullForm to reveal the contents of the expression.

The experienced matrix analyst should always remember that the Mathematica convention for handling vectors is tricky.

- {{1, 2, 4}} is a 1x3 matrix or a row vector;
- {{1}, {2}, {4}} is a 3x1 matrix or a column vector;
- {1, 2, 4} is a *vector* but **not** a *matrix*. Indeed whether it is a row or column vector depends on the context. We advise not to use *vectors*.

#### 4.7.1 Inverses, products, adjoints, etc

A useful command is NCInverse, which is akin to Mathematica's Inverse command and produces a block-matrix inverse formula<sup>2</sup> for an nc matrix. For example

NCInverse[m]

returns

or, using MatrixForm

NCInverse[m] // MatrixForm NCInverse[m] // MatrixForm

returns

$$\begin{bmatrix} a^{-1}(1+b(d-ca^{-1}b)^{-1}ca^{-1}) & -a^{-1}b(d-ca^{-1}b)^{-1} \\ -(d-ca^{-1}b)^{-1}ca^{-1} & (d-ca^{-1}b)^{-1} \end{bmatrix}$$

Note that a and d - c\*\*inv[a] \*\*b were assumed invertible during the calculation.

Similarly, one can multiply matrices using NCDot, which is similar to Mathematica's Dot. For example

```
m1 = {{a, b}, {c, d}}
m2 = {{d, 2}, {e, 3}}
NCDot[m1, m2]
```

result in

$$\{\{a ** d + b ** e, 2 a + 3 b\}, \{c ** d + d ** e, 2 c + 3 d\}\}$$

Note that products of nc symbols appearing in the matrices are multiplied using \*\*. Compare that with the standard Dot (.) operator.

<sup>&</sup>lt;sup>2</sup>Contrary to what happens with symbolic inversion of matrices with commutative entries, there exist multiple formulas for the symbolic inverse of a matrix with noncommutative entries. Furthermore, it may be possible that none of such formulas is "correct". Indeed, it is easy to construct a matrix m with block structure as shown that is invertible but for which none of the blocks a, b, c, and d are invertible. In this case no *correct* formula exists for the calculation of the inverse of m.

4.7. MATRICES

WARNING: NCDot replaces MatMult, which is still available for backward compatibility but will be deprecated in future releases.

There are many new improvements with **Version 5**. For instance, operators tp, aj, and co now operate directly over matrices. That is

returns

In previous versions one had to use the special commands tpMat, ajMat, and coMat. Those are still supported for backward compatibility.

See advanced matrix commands for other useful matrix manipulation routines, such as NCMatrixExpand, NCMatrixReplace, NCMatrixReplaceRepeated, etc, that allow one to work with matrices with symbolic noncommutative entries.

#### 4.7.2 LU Decomposition

Behind NCInverse there are a host of linear algebra algorithms which are available in the package:

• NCMatrixDecompositions: implements versions of the *LU* Decomposition with partial and complete pivoting, as well as *LDL* Decomposition which are suitable for calculations with nc matrices. Those functions are based on the templated algorithms from the package MatrixDecompositions.

For instance the function NCLUDecompositionWithPartialPivoting can be used as

which returns

lu = 
$$\{\{a, b\}, \{c**inv[a], d - c**inv[a]**b\}\}$$
  
p =  $\{1, 2\}$ 

Using MatrixForm:

$$\begin{bmatrix} a & b \\ ca^{-1} & d - ca^{-1}b \end{bmatrix}$$

The list p encodes the sequence of permutations calculated during the execution of the algorithm. The matrix lu contains the factors L and U in the way most common to numerical analysts. These factors can be recovered using

resulting in this case in

Using MatrixForm:

$$L = \begin{bmatrix} 1 & 0 \\ ca^{-1} & 1 \end{bmatrix}, \qquad U = \begin{bmatrix} a & b \\ 0 & d - ca^{-1}b \end{bmatrix}$$

To verify that M = LU input

which should return a zero matrix.

Note: if you are looking for efficiency, the function GetLUMatrices (also GetLDUMatrices) returns the factors 1 and u as SparseArrays.

The default pivoting strategy prioritizes pivoting on simpler expressions. For instance,

```
m = {{a, b}, {1, d}}
{lu, p} = NCLUDecompositionWithPartialPivoting[m]
{l, u} = GetFullLUMatrices[lu]

results in the factors

l = {{1, 0}, {a, 1}}
u = {{1, d}, {0, b - a**d}}

and a permutation list
```

$$p = \{2, 1\}$$

which indicates that the number 1, appearing in the second row, was used as the pivot rather than the symbol a appearing on the first row. Because of the permutation, to verify that PM = LU input

which should return a zero matrix. Note that in the above example the permutation matrix P is never constructed. Instead, the rows of M are directly permuted using Mathematica's Part ([[]]) command. Of course, if one prefers to work with permutation matrices, they can be easily obtained by permuting the rows of the identity matrix as in the following example

```
p = {2, 1, 3}
IdentityMatrix[3][[p]] // MatrixForm
```

to produce

$$\begin{bmatrix} 0 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

Likewise

```
m = {{a + b, b}, {c, d}}
{lu, p} = NCLUDecompositionWithPartialPivoting[m]
{l, u} = GetFullLUMatrices[lu]
returns
```

p = {2, 1}
l = {{1, 0}, {(a + b)\*\*inv[c], 1}}
u = {{c, d}, {0, b - (a + b)\*\*inv[c]\*\*d}}

showing that the simpler expression c was taken as a pivot instead of a + b.

The function NCLUDecompositionWithPartialPivoting is the one that is used by NCInverse.

#### 4.7.3 LU Decomposition with complete pivoting

Another factorization algorithm is NCLUDecompositionWithCompletePivoting, which can be used to calculate the symbolic rank of nc matrices. For example

```
m = {{2 a, 2 b}, {a, b}}
{lu, p, q, rank} = NCLUDecompositionWithCompletePivoting[m]
returns the left and right permutation lists
```

$$p = \{2, 1\}$$
  
 $q = \{1, 2\}$ 

4.7. MATRICES 21

and rank equal to 1. Note that  $p = \{2, 1\}$  and  $q = \{1,2\}$  tell us that the element that was pivoted on was the symbol a, which is the first entry of the second row, rather then 2 a, which is the first entry of the first row, because a is *simpler* than 2 a. The L and U factors can be obtained as before using

to get

$$1 = \{\{1, 0\}, \{2, 1\}\}\$$
  
 $u = \{\{a, b\}, \{0, 0\}\}\$ 

Using MatrixForm:

$$L = \begin{bmatrix} 1 & 0 \\ 2 & 1 \end{bmatrix}, \qquad U = \begin{bmatrix} a & b \\ 0 & 0 \end{bmatrix}$$

In this case, to verify that PMQ = LU input

which should return a zero matrix. As with partial pivoting, the permutation matrices P and Q are never constructed. Instead we used Part ([[]]) to permute both rows and columns.

#### 4.7.4 LDL Decomposition

Finally NCLDLDecomposition computes the  $LDL^T$  decomposition of symmetric symbolic nc matrices. For example

```
m = {{a, b}, {b, c}}
{ldl, p, s, rank} = NCLDLDecomposition[m]
```

returns 1d1, which contain the factors, and

$$p = \{1, 2\}$$
  
 $s = \{1, 1\}$   
 $rank = 2$ 

The list p encodes left and right permutations, s is a list specifying the size of the diagonal blocks (entries can be either 1 or 2). The factors can be obtained using GetLDUMatrices as in

which in this case returns

```
1 = {{1, 0}, {b**inv[a], 1}}
d = {{a, 0}, {0, c - b**inv[a]**b}}
u = {{1, inv[a]**b}, {0, 1}}}
```

Because  $PMP^T = LDL^T$ ,

is the zero matrix and  $U = L^T$ .

NCLDLDecomposition works only on symmetric matrices and, whenever possible, will make invertibility and symmetry assumptions on variables so that it can run successfully. If not possible it will warn the users.

WARNING: Versions prior to 5 contained the command NCLDUDecomposition which is being deprecated in Version 5 as its functionality is now provided by NCLDLDecomposition, with a slightly different syntax.

#### 4.7.5 Replace with matrices

NCMatrixReplaceAll and NCMatrixReplaceRepeated are special versions of NCReplaceAll and NCReplaceRepeated that take extra steps to preserve matrix consistency when replacing expressions with nc matrices. For example, with

```
m1 = {{a, b}, {c, d}}
m2 = {{d, 2}, {e, 3}}
and

M = {{a11,a12}}
the call
NCMatrixReplaceRepeated[M, {a11 -> m1, a12 -> m2}]
produces as a result the matrix
{{a, b, d, 2}, {c, d, e, 3}}
or, using MatrixForm:
[a b d 2]
```

Note how the symbols were treated as block-matrices during the substitution. As a second example, with

$$M = \{\{a11, 0\}, \{0, a22\}\}$$

the command

 $\begin{bmatrix} c & d & e & 3 \end{bmatrix}$ 

NCMatrixReplaceRepeated[M, {a11 -> m1, a22 -> m2}]

produces the matrix

or, using MatrixForm:

```
\begin{bmatrix} a & b & 0 & 0 \\ c & d & 0 & 0 \\ 0 & 0 & d & 2 \\ 0 & 0 & e & 3 \end{bmatrix}
```

in which the 0 blocks were automatically expanded to fit the adjacent block matrices.

Another feature of NCMatrixReplace and its variants is its ability to withold evaluation until all matrix substitutions have taken place. For example,

```
NCMatrixReplaceAll[x**y + y, {x -> m1, y -> m2}]
produces
{{d + a**d + b**e, 2 + 2 a + 3 b},
{e + c**d + d**e, 3 + 2 c + 3 d}}
```

Finally, NCMatrixReplace substitutes NCInverse for inv so that, for instance, the result of

```
rule = \{x \rightarrow m1, y \rightarrow m2, id \rightarrow IdentityMatrix[2], z \rightarrow \{\{id,x\},\{x,id\}\}\}\ NCMatrixReplaceRepeated[inv[z], rule]
```

coincides with

NCInverse[ArrayFlatten[{{IdentityMatrix[2], m1}, {m1, IdentityMatrix[2]}}]]

# 4.8 Quadratic polynomials, second direction derivatives and convexity

The closest related demo to the material in this section is NC/DEMOS/NCConvexity.nb.

When working with no quadratics it is useful to be able to "factor" the quadratic into the following form

$$q(x) = c + s(x) + l(x)Mr(x)$$

where s is linear x and l and r are vectors and M is a matrix. Load the package

<< NCQuadratic`

and use the command NCToNCQuadratic to factor an nc polynomial into the the above form:

```
vars = {x, y};
expr = tp[x]**a**x**d + tp[x]**b**y + tp[y]**c**y + tp[y]**tp[b]**x**d;
{const, lin, left, middle, right} = NCToNCQuadratic[expr, vars];
which returns
left = {tp[x],tp[y]}
right = {y, x**d}
middle = {{a,b}, {tp[b],c}}
```

and zero const and lin. The format for the linear part lin will be discussed lated in Section Linear. Note that coefficients of an nc quadratic may also appear on the left and right vectors, as d did in the above example. Conversely, NCQuadraticToNC converts a list with factors back to an nc expression as in:

```
NCQuadraticToNC[{const, lin, left, middle, right}]
```

which results in

```
(tp[x]**b + tp[y]**c)**y + (tp[x]**a + tp[y]**tp[b])**x**d
```

An interesting application is the verification of the domain in which an nc rational function is *convex*. This uses the second direction derivative, called the Hessian. Take for example the quartic

```
expr = x**x**x*;
```

and calculate its noncommutative directional Hessian

```
hes = NCHessian[expr, {x, h}]
```

This command returns

```
2 h**h**x**x + 2 h**x**h**x + 2 h**x**x**h + 2 x**h**h**x + 2 x**h**x*h + 2 x**x**h**h
```

which is quadratic in the direction h. The decomposition of the nc Hessian using NCToNCQuadratic

```
{const, lin, left, middle, right} = NCToNCQuadratic[hes, {h}];
```

produces

```
left = {h, x**h, x**x**h}
right = {h**x**x, h**x, h}
middle = {{2, 2 x, 2 x**x},{0, 2, 2 x},{0, 0, 2}}
```

Note that the middle matrix

$$\begin{bmatrix} 2 & 2x & 2x^2 \\ 0 & 2 & 2x \\ 0 & 0 & 2 \end{bmatrix}$$

is not symmetric, as one might have expected. The command NCQuadraticMakeSymmetric can fix that and produce a symmetric decomposition. For the above example

results in

in which middle is the symmetric matrix

$$\begin{bmatrix} 0 & 0 & 2 \\ 0 & 2 & 2x \\ 2 & 2x & 2x^2 \end{bmatrix}$$

Note the argument Symmetric Variables  $\rightarrow$  {x,h} which tells NCQuadraticMakeSymmetric to consider x and y as symmetric variables. Because the middle matrix is never positive semidefinite for any possible value of x the conclusion<sup>3</sup> is that the nc quartic  $x^4$  is not convex.

The production of such symmetric quadratic decompositions is automated by the convenience command <code>NCMatrixOfQuadratic</code>. Verify that

```
{sleft, smiddle, sright} = NCMatrixOfQuadratic[hes, {h}]
```

automatically assumes that both  $\mathbf{x}$  and  $\mathbf{h}$  are symmetric variables and produces suitable left and right vectors as well as a symmetric middle matrix. Now we illustrate the application of such command to checking the convexity region of a noncommutative rational function.

If one is interested in checking convexity of nc rationals the package NCConvexity has functions that automate the whole process, including the calculation of the Hessian and the middle matrix, followed by the diagonalization of the middle matrix as produced by NCLDLDecomposition.

For example, the commands evaluate the nc Hessian and calculates its quadratic decomposition

```
expr = (x + b**y)**inv[1 - a**x**a + b**y + y**b]**(x + y**b);
{left, middle, right} = NCMatrixOfQuadratic[NCHessian[expr, \{x, h\}], \{h\}];
```

The resulting middle matrix can be factored using

which produces the diagonal factors

$$\begin{bmatrix} 2(1+by+yb-axa)^{-1} & 0 & 0\\ 0 & 0 & 0\\ 0 & 0 & 0 \end{bmatrix}$$

which indicates the the original nc rational is convex whenever

$$(1 + by + yb - axa)^{-1} \succeq 0$$

or, equivalently, whenever

$$1 + by + yb - axa \succeq 0$$

The above sequence of calculations is automated by the command NCConvexityRegion as in

<< NCConvexity

NCConvexityRegion[expr, {x}]

which results in

$$\{2 \text{ inv}[1 + b**y + y**b - a**x**a], 0\}$$

which correspond to the diagonal entries of the LDL decomposition of the middle matrix of the nc Hessian.

<sup>&</sup>lt;sup>3</sup>This is in contrast with the commutative  $x^4$  which is convex everywhere. See [Cam+03] for details.

## More Advanced Commands

In this chapter we describe some more advance features and commands. Most of these were introduced in **Version 5**.

If you want a living version of this chapter just run the notebook NC/DEMOS/2\_MoreAdvancedCommands.nb.

#### 5.1 Advanced Rules and Replacements

Substitution is a key feature of Symbolic computation. We will now discuss some issues related to Mathematica's implementation of rules and replacements that can affect the behavior of NCAlgebra expressions.

#### 5.1.1 ReplaceAll (/.) and ReplaceRepeated (//.) often fail

The first issue is related to how Mathematica performs substitutions, which is through pattern matching. For a rule to be effective if has to match the *structural* representation of an expression. That representation might be different than one would normally think based on the usual properties of mathematical operators. For example, one would expect the rule:

```
rule = 1 + x_ -> x
```

to match all the expressions bellow:

```
1 + a

1 + 2 a

1 + a + b

1 + 2 a * b

so that

expr /. rule
```

with expr taking the above expressions would result in:

```
a
2 a
a + b
2 a * b
```

Indeed, Mathematica's attribute Flat does precisely that. Note that this is still *structural matching*, not mathematical matching, since the pattern 1 + x would not match an integer 2, even though one could write 2 = 1 + 1!

Unfortunately, \*\*, which is the NonCommutativeMultiply operator, is not Flat<sup>1</sup>. This is the reason why substitution based on a simple rule such as:

```
rule = a**b -> c
so that
expr /. rule
will work for some expr like
1 + 2 a**b
resulting in
1 + 2 c
but will fail to produce the expected result in cases like:
a**b**c
c**a**b
c**a**b**d
1 + 2 a**b**c
```

That's what the NCAlgebra family of replacement functions discussed in the next section are made for.

#### 5.1.2 The fix is NCReplace

Continuing with the example in the previous section, the calls

```
NCReplaceAll[a**b**c, rule]
NCReplaceAll[c**a**b, rule]
NCReplaceAll[c**a**b**d, rule]
NCReplaceAll[1 + 2 a**b**c, rule]
produces the results one would expect:
c**c
c**c
c**c
t**c
c**c**d
1 + 2 c**c
```

For this reason, when substituting in NCAlgebra it is always safer to use functions from the NCReplace package rather than the corresponding Mathematica Replace family of functions. Unfortunately, this comes at a the expense of sacrificing the standard operators /. (ReplaceAll) and //. (ReplaceRepeated), which cannot be safely overloaded, forcing one to use the full names NCReplaceAll and NCReplaceRepeated.

On the same vein, the following substitution rule

```
NCReplace[2 a**b + c, 2 a -> b]
will return 2 a**b + c intact since FullForm[2 a**b] is indeed
Times[2, NonCommutativeMuliply[a, b]]
```

which is not structurally related to FullForm[2 a], which is Times[2, a]. Of course, in this case a simple solution is to use the alternative rule:

```
NCReplace[2 a**b + c, a -> b / 2]
```

which results in b\*\*b + c, as one might expect.

<sup>&</sup>lt;sup>1</sup>The reason is that making an operator Flat is a convenience that comes with a price: lack of control over execution and evaluation. Since NCAlgebra has to operate at a very low level this lack of control over evaluation is fatal. Indeed, making NonCommutativeMultiply have an attribute Flat will throw Mathematica into infinite loops in seemingly trivial noncommutative expression. Hey, email us if you find a way around that:)

#### 5.1.3 Trouble with Block and Module

A second more esoteric issue related to substitution in NCAlgebra does not have a clean solution. It is also one that usually lurks in hidden pieces of code and can be very difficult to spot. We have been victim of such "bugs" many times. Luckily it only affect advanced users that are using NCAlgebra inside their own functions using Mathematica's Block and Module constructions. It is also not a real bug, since it follows from some often not well understood issues with the usage of Block versus Module. Our goal here is therefore not to fix the issue but simply to alert advanced users of its existence. Start by first revisiting the following example from the Mathematica documentation. Let

```
m = i^2
and run
Block[{i = a}, i + m]
which returns the "expected"
a + a**a
versus
Module[{i = a}, i + m]
which returns the "surprising"
a + i**i
```

The reason for this behavior is that Block effectively evaluates i as a *local variable* and evaluates m using whatever values are available at the time of evaluation, whereas Module only evaluates the symbol i which appears *explicitly* in i + m and not m using the local value of i = a. This can lead to many surprises when using rules and substitution inside a Module. For example:

```
Block[{i = a}, i_ -> i]
will return
i_ -> a
whereas
Module[{i = a}, i_ -> i]
will return
i_ -> i
```

More devastating for NCAlgebra is the fact that Module will hide local definitions from rules, which will often lead to disaster if local variables need to be declared noncommutative. Consider for example the trivial definitions for F and G below:

```
F[exp_] := Module[
    {rule, aa, bb},
    SetNonCommutative[aa, bb];
    rule = aa_**bb_ -> bb**aa;
    NCReplaceAll[exp, rule]
]

G[exp_] := Block[
    {rule, aa, bb},
    SetNonCommutative[aa, bb];
    rule = aa_**bb_ -> bb**aa;
    NCReplaceAll[exp, rule]
]
```

Their only difference is that one is defined using a Block and the other is defined using a Module. The task is to apply a rule that *flips* the noncommutative product of their arguments, say, x\*\*y, into y\*\*x. The problem is that only one of those definitions work "as expected". Indeed, verify that

```
G[x**y]
returns the "expected"
y**x
whereas
F[x**y]
returns
x y
```

which completely destroys the noncommutative product. The reason for the catastrophic failure of the definition of F, which is inside a Module, is that the letters aa and bb appearing in rule are not treated as the local symbols aa and bb. For this reason, the right-hand side of the rule rule involves the global symbols aa and bb, which are, in the absence of a declaration to the contrary, commutative. On the other hand, the definition of G inside a Block makes sure that aa and bb are evaluated with whatever value they might have locally at the time of execution.

The above subtlety often manifests itself partially, sometimes causing what might be perceived as some kind of *erratic behavior*. Indeed, if one had used symbols that were already declared globaly noncommutative by NCAlgebra, such as single small cap roman letters as in the definition:

```
H[exp_] := Module[
    {rule, a, b},
    SetNonCommutative[a, b];
    rule = a_**b_ -> b**a];
    NCReplaceAll[exp, rule]
]
```

then calling H[x\*\*y] would have worked "as expected", even if for the wrong reasons!

#### 5.2 Expanding matrix products

Starting at **Version 5** the operators \*\* and inv apply also to matrices. However, in order for \*\* and inv to continue to work as full fledged operators, the result of multiplications or inverses of matrices is held unevaluated until the user calls NCMatrixExpand. This is in the the same spirit as good old fashion commutative operations in Mathematica.

```
For example, with
```

aa\*\*bb

would not fail to treat aa and bb locally. It is their appearance in a rule that triggers the mostly odd behavior.

```
\{\{a, b\}, \{c, d\}\}**\{\{d, 2\}, \{e, 3\}\}
Upon calling
m1**m2 // NCMatrixExpand
evaluation takes place returning
\{\{a**d + b**e, 2a + 3b\}, \{c**d + d**e, 2c + 3d\}\}
which is what would have arisen from calling NCDot[m1,m2]<sup>3</sup>. Likewise
inv[m1]
results in
inv[{{a, b}, {c, d}}]
inv[m1] // NCMatrixExpand
returns the evaluated result
\{\{\inf\{a\} **(1 + b**\inf\{d - c**\inf\{a\} **b\} **c**\inf\{a\}), -\inf\{a\} **b**\inf\{d - c**\inf\{a\} **b\}\},
  \{-inv[d - c**inv[a]**b]**c**inv[a], inv[d - c**inv[a]**b]\}\}
or, using MatrixForm:
 \begin{bmatrix} a^{-1}(1+b(d-ca^{-1}b)^{-1}ca^{-1}) & -a^{-1}b(d-ca^{-1}b)^{-1} \\ -(d-ca^{-1}b)^{-1}ca^{-1} & (d-ca^{-1}b)^{-1} \end{bmatrix}
A less trivial example is
m3 = m1**inv[IdentityMatrix[2] + m1] - inv[IdentityMatrix[2] + m1]**m1
that returns
-inv[{{1 + a, b}, {c, 1 + d}}]**{{a, b}, {c, d}} +
             \{\{a, b\}, \{c, d\}\}**inv[\{\{1 + a, b\}, \{c, 1 + d\}\}]
Expanding
NCMatrixExpand[m3]
results in
\{b**inv[b - (1 + a)**inv[c]**(1 + d)] - inv[c]**(1 + (1 + d)**inv[b - (1 + a)**inv[b - (1
               (1 + a)**inv[c]**(1 + d)]**(1 + a)**inv[c])**c - a**inv[c]**(1 + d)**inv[b -
               (1 + a)**inv[c]**(1 + d)] + inv[c]**(1 + d)**inv[b - (1 + a)**inv[c]**(1 + d)]**a,
       a**inv[c]**(1 + (1 + d)**inv[b - (1 + a)**inv[c]**(1 + d)]**(1 + a)**inv[c]) -
              inv[c]**(1 + (1 + d)**inv[b - (1 + a)**inv[c]**(1 + d)]**(1 + a)**inv[c])**d -
             b**inv[b - (1 + a)**inv[c]**(1 + d)]**(1 + a)**inv[c] + inv[c]**(1 + d)**inv[b - (1 + a)**inv[b - (1 + a)**inv[c] + inv[c]**(1 + d)**inv[b - (1 + a)**inv[b -
              (1 + a)**inv[c]**(1 + d)]** b,
    \{d**inv[b - (1 + a)**inv[c]**(1 + d)] - (1 + d)**inv[b - (1 + a)**inv[c]**(1 + d)] - (1 + a)**inv[c]**(1 + d)]
              inv[b - (1 + a)**inv[c]**(1 + d)]**a + inv[b - (1 + a)**inv[c]**(1 + d)]**(1 + a),
       1 - inv[b - (1 + a)**inv[c]**(1 + d)]**b - d**inv[b - (1 + a)**inv[c]**(1 + d)]**(1 + d)
              a)**inv[c] + (1 + d)**inv[b - (1 + a)**inv[c]**(1 + d)]**(1 + a)**inv[c] +
              inv[b - (1 + a)**inv[c]**(1 + d)]**(1 + a)**inv[c]**d}
and finally
NCMatrixExpand[m3] // NCSimplifyRational
returns
```

<sup>&</sup>lt;sup>3</sup>Formerly MatMult[m1,m2].

{{0, 0}, {0, 0}}

as expected.

#### 5.2.1 Trouble with Plus (+) and matrices

Mathematica's choice of treating lists and matrix indistinctively can cause much trouble when mixing \*\* with Plus (+) operator. The reason for that is that Plus is Listable, and an expression like

z + m1

where m1 is an array and z is not, is automatically expanded into

```
\{\{a + z, b + z\}, \{c + z, d + z\}\}
```

or, using MatrixForm:

$$\begin{bmatrix} a+z & b+z \\ c+z & d+z \end{bmatrix}$$

Because of this "feature", the expression

```
m1**m2 + m2 // NCMatrixExpand
```

evaluates to the "wrong" result

```
{{{d + a**d + b**e, 2 a + 3 b + d}, {d + c**d + d**e, 2 c + 4 d}}, {{2 + a**d + b**e, 2 + 2 a + 3 b}, {2 + c**d + d**e, 2 + 2 c + 3 d}}}, {{{e + a**d + b**e, 2 a + 3 b + e}, {e + c**d + d**e, 2 c + 3 d + e}}, {{3 + a**d + b**e, 3 + 2 a + 3 b}, {3 + c**d + d**e, 3 + 2 c + 3 d}}}}
```

which is different than the "correct" result

```
\{\{d + a**d + b**e, 2 + 2 a + 3 b\}, \{e + c**d + d**e, 3 + 2 c + 3 d\}\}
```

which is returned by either

NCMatrixExpand[m1\*\*m2] + m2

or

```
NCDot[m1, m2] + m2
```

The reason for the behavior is that m1\*\*m2 is essentially treated as a *scalar* (it does not have *head* List) and therefore gets added entrywise to m2 *before* NCMatrixExpand has a chance to evaluate the \*\* product.

There are no easy fixes for this problem, which affects not only NCAlgebra but any similar type of matrix product evaluation in Mathematica. With NCAlgebra, a better option is to use NCMatrixReplaceAll or NCMatrixReplaceRepeated. As seen in the section Replace with matrices, the command

```
NCMatrixReplaceAll[x**y + y, {x -> m1, y -> m2}]
```

produces the expected result:

```
\{\{d + a**d + b**e, 2 + 2 a + 3 b\}, \{e + c**d + d**e, 3 + 2 c + 3 d\}\}
```

Note that the above behavior might be erratic, since in an expression like

```
m1**m2 + m2**m1
```

in which \*\* is kept unevaluated as in

$$\{\{a, b\}, \{c, d\}\}**\{\{d, 2\}, \{e, 3\}\} + \{\{d, 2\}, \{e, 3\}\}**\{\{a, b\}, \{c, d\}\}\}$$

the command

```
m1**m2 + m2**m1 // NCMatrixExpand
expands to the expected result
{{2 c + a**d + b**e + d**a, 2 a + 3 b + 2 d + d**b},
{{3 c + c**d + d**e + e**a, 2 c + 6 d + e**b}}
```

#### 5.3 Polynomials with commutative coefficients

The package NCPoly provides an efficient structure for storing and operating with noncommutative polynomials with commutative coefficients. There are two main goals:

- 1. Ordering: to be able to sort polynomials based on an ordering specified by the user. See the chapter Noncommutative Gröbner Basis for more details.
- 2. Efficiency: to efficiently perform polynomial algebra with as little overhead as possible.

Those two properties allow for an efficient implementation of NCAlgebra's noncommutative Gröbner basis algorithm, new in Version 5, without the use of auxiliary accelerating C code, as in NCGB. See Noncommutative Gröbner Basis.

Before getting into details, to see how much more efficient NCPoly is when compared with standard NCAlgebra objects try

```
Table[Timing[NCExpand[(1 + x)^i]][[1]], {i, 0, 20, 5}]
which would typically return something like
{0.000088, 0.001074, 0.017322, 0.240704, 3.61492, 52.0254}
whereas the equivalent
<< NCPoly`
Table[Timing[(1 + NCPolyMonomial[{x}, {x}])^i][[1]], {i, 0, 20, 5}]
would return
{0.00097, 0.001653, 0.002208, 0.003908, 0.004306, 0.005049}</pre>
```

Beware that NCPoly objects have limited functionality and should still be considered experimental at this point.

The best way to work with NCPoly in NCAlgebra is by loading the package NCPolyInterface:

```
<< NCPolyInterface`
```

which provides the commands NCToNCPoly and NCPolyToNC to convert nc expressions back and forth between NCAlgebra and NCPoly.

For example

```
vars = {x, y, z};
p = NCToNCPoly[1 + x**x - 2 x**y**z, vars]
```

converts the polynomial 1 + x\*\*x - 2 x\*\*y\*\*z from the standard NCAlgebra format into an NCPoly object. The reason for the braces in the definition of vars will be explained below, when we introduce *ordering*. See also Section Noncommutative Gröbner Basis. The result in this case is the NCPoly object

```
NCPoly[{1, 1, 1}, <|{0, 0, 0, 0}| -> 1, {0, 0, 2, 0}| -> 1, {1, 1, 1, 5}| -> -2|>]
```

Conversely the command NCPolyToNC converts an NCPoly back into NCAlgebra format. For example

```
NCPolyToNC[p, vars]
```

 $\operatorname{returns}$ 

```
1 + x**x - 2 x**y**z
```

as expected. Note that an NCPoly object does not store symbols, but rather a representation of the polynomial based on specially encoded monomials. This is the reason why one should provide vars as an argument to NCPolyToNC.

Alternatively, one could construct the same NCPoly object by calling NCPoly directly as in

```
NCPoly[{1, 1, -2}, {\{\}, \{x, x\}, \{x, y, z\}\}}, vars]
```

In this syntax the first argument is a list of *coefficients*, the second argument is a list of *monomials*, and the third is the list of *variables*. *Monomials* are given as lists, with {} being equivalent to a constant 1.

The particular coefficients in the NCPoly object depend not only on the polynomial being represented but also on the *ordering* implied by the sequence of symbols in the list of variables vars. For example:

```
vars = {{x}, {y, z}};
p = NCToNCPoly[1 + x**x - 2 x**y**z, vars]
produces:
NCPoly[{1, 2}, <|{0, 0, 0} -> 1, {0, 2, 0} -> 1, {2, 1, 5} -> -2|>
```

The sequence of braces in the list of *variables* encodes the *ordering* to be used for sorting NCPolys. Orderings specify how monomials should be ordered, and is discussed in detail in Noncommutative Gröbner Basis. We provide the convenience command NCPolyDisplayOrder that prints the polynomial ordering implied by a list of symbols. For example

```
NCPolyDisplayOrder[\{x,y,z\}] prints out x \ll y \ll z and NCPolyDisplayOrder[\{\{x\},\{y,z\}\}] prints out x \ll y < z
```

from where you can see that grouping variables inside braces induces a graded type ordering, as discussed in Section 6.8. NCPolys constructed from different orderings cannot be combined.

There is also a special constructor for monomials. For example

```
NCPolyMonomial[{y,x}, vars]
NCPolyMonomial[{x,y}, vars]
```

return the monomials corresponding to yx and xy.

Operations on NCPoly objects result in another NCPoly object that is always expanded. For example:

```
vars = {{x}, {y, z}};
1 + NCPolyMonomial[{x, y}, vars] - 2 NCPolyMonomial[{y, x}, vars]
returns
NCPoly[{1, 2}, <|{0, 0, 0} -> 1, {1, 1, 1} -> 1, {1, 1, 3} -> -2|>]
and
p = (1 + NCPolyMonomial[{x}, vars]**NCPolyMonomial[{y}, vars])^2
returns
NCPoly[{1, 2}, <|{0, 0, 0} -> 1, {1, 1, 1} -> 2, {2, 2, 10} -> 1|>]
```

Another convenience function is NCPolyDisplay which returns a list with the monomials appearing in an NCPoly object. For example:

```
NCPolyDisplay[p, vars]
returns
{x.y.x.y, 2 x.y, 1}
```

The reason for displaying an NCPoly object as a list is so that the monomials can appear in the same order as they are stored. Using Plus would revert to Mathematica's default ordering. For example

```
p = NCToNCPoly[1 + x**x**x - 2 x**x + z, vars]
NCPolyDisplay[p, vars]
returns
{z, x.x.x, -2 x.x, 1}
whereas
NCPolyToNC[p, vars]
would return
1 + z - 2 x**x + x**x**x
```

in which the sorting of the monomials has been destroyed by Plus.

The monomials appear sorted in decreasing order from left to right, with z being the *leading term* in the above example.

With NCPoly the Mathematica command Sort is modified to sort lists of polynomials. For example

```
polys = NCToNCPoly[{x**x**x, 2 y**x - z, z, y**x - x**x}, vars]
ColumnForm[NCPolyDisplay[Sort[polys], vars]]
returns
{x.x.x}
{z}
{y.x, -x.x}
{2 y.x, -z}
```

Sort produces a list of polynomials sorted in ascending order based on their leading terms.

#### 5.4 Polynomials with noncommutative coefficients

A larger class of polynomials in noncommutative variables is that of polynomials with noncommutative coefficients. Think of a polynomial with commutative coefficients in which certain variables are considered to be unknown, i.e. *variables*, where others are considered to be known, i.e. *coefficients*. For example, in many problems in systems and control the following expression

$$p(x) = ax + xa^T - xbx + c$$

is often seen as a polynomial in the noncommutative unknown x with known noncommutative coefficients a, b, and c. A typical problem is the determination of a solution to the equation p(x) = 0 or the inequality  $p(x) \succeq 0$ .

The package NCPolynomial handles such polynomials with noncommutative coefficients. As with NCPoly, the package provides the commands NCToNCPolynomial and NCPolynomialToNC to convert nc expressions back and forth between NCAlgebra and NCPolynomial. For example

```
vars = {x}
p = NCToNCPolynomial[a**x + x**tp[a] - x**b**x + c, vars]
```

converts the polynomial a\*\*x + x\*\*tp[a] - x\*\*b\*\*x + c from the standard NCAlgebra format into an NCPolynomial object. The result in this case is the NCPolynomial object

```
NCPolynomial[c, \langle \{x\} - \{1, a, 1\}, \{1, 1, tp[a]\}\}, \{x, x\} - \{\{-1, 1, b, 1\}\}\} \rangle, \{x\}
```

Conversely the command NCPolynomialToNC converts an NCPolynomial back into NCAlgebra format. For example

NCPolynomialToNC[p]

returns

```
c + a**x + x**tp[a] - x**b**x
```

An NCPolynomial does store information about the polynomial symbols and a list of variables is required only at the time of creation of the NCPolynomial object.

As with NCPoly, operations on NCPolynomial objects result on another NCPolynomial object that is always expanded. For example:

```
vars = {x,y}
1 + NCToNCPolynomial[x**y, vars] - 2 NCToNCPolynomial[y**x, vars]
returns
NCPolynomial[1, <|{y**x} -> {{-2, 1, 1}}, {x**y} -> {{1, 1, 1}}|>, {x, y}]
and
(1 + NCToNCPolynomial[x, vars]**NCToNCPolynomial[y, vars])^2
returns
NCPolynomial[1, <|{x**y**x**y} -> {{1, 1, 1}}, {x**y} -> {{2, 1, 1}}|>, {x, y}]
```

To see how much more efficient NCPolynomial is when compared with standard NCAlgebra objects try

Table[Timing[(NCToNCPolynomial[x, vars])^i][[1]], {i, 0, 20, 5}]

would return

```
\{0.000493, 0.003345, 0.005974, 0.013479, 0.018575, 0.02896\}
```

As you can see, NCPolynomials are not as efficient as NCPolys but still much more efficient than NCAlgebra polynomials.

NCPolynomials do not support *orderings* but we do provide the NCPSort command that produces a list of terms sorted by degree. For example

```
NCPSort[p]
```

returns

```
\{c, a**x, x**tp[a], -x**b**x\}
```

A useful feature of NCPolynomial is the capability of handling polynomial matrices. For example

constructs NCPolynomial objects representing the polynomial matrices mat1 and mat2. Verify that

is zero as expected. Internally NCPolynomial represents a polynomial matrix by constructing matrix factors. For example the representation of the matrix mat1 correspond to the factors

$$\begin{bmatrix} ax + xa^T + cy + y^Tc^T - xqx & bx \\ xb^T & 1 \end{bmatrix} = \begin{bmatrix} 0 & 0 \\ 0 & 1 \end{bmatrix} + \begin{bmatrix} a \\ 0 \end{bmatrix}x\begin{bmatrix} 1 & 0 \end{bmatrix} + \begin{bmatrix} 1 \\ 0 \end{bmatrix}x\begin{bmatrix} a^T & 0 \end{bmatrix} + \begin{bmatrix} -1 \\ 0 \end{bmatrix}xqx\begin{bmatrix} 1 & 0 \end{bmatrix} + \begin{bmatrix} b \\ 0 \end{bmatrix}x\begin{bmatrix} 0 & 1 \end{bmatrix} + \begin{bmatrix} 0 \\ 1 \end{bmatrix}x\begin{bmatrix} b^T & 0 \end{bmatrix} + \begin{bmatrix} c \\ 0 \end{bmatrix}y\begin{bmatrix} 1 & 0 \end{bmatrix} + \begin{bmatrix} 1 \\ 0 \end{bmatrix}y^T\begin{bmatrix} c^T & 0 \end{bmatrix}$$

See section linear polynomials for more features on linear polynomial matrices.

#### 5.5 Linear polynomials

Another interesting class of nc polynomials is that of linear polynomials, which can be factored in the form:

$$s(x) = l(F \otimes x)r$$

where l and r are vectors with symbolic expressions and F is a numeric matrix. This functionality is in the package

#### << NCSylvester`

Use the command NCToNCSylvester to factor a linear nc polynomial into the the above form. For example:

```
vars = {x, y};
expr = 1 + a**x + x**tp[a] - x + b**y**d + tp[d]**tp[y]**tp[b];
{const, lin} = NCToNCSylvester[expr, vars];
which returns
const = 1
```

and an Association lin containing the factorization. For example

lin[x]

returns a list with the left and right vectors 1 and r and the coefficient array F.

$$\{\{1, a\}, \{1, a^T\}, SparseArray[< 2 >, \{2, 2\}]\}$$

which in this case is the matrix:

$$\begin{bmatrix} -1 & 1 \\ 1 & 0 \end{bmatrix}$$

and

lin[tp[y]]

returns

Note that transposes and adjoints are treated as independent variables.

Perhaps the most useful consequence of the above factorization is the possibility of producing a linear polynomial which has the smallest possible number of terms, as explaining in detail in [de 12]. This is done automatically by NCSylvesterToNC. For example

```
vars = {x, y};
expr = a**x**c - a**x**d - a**y**c + a**y**d + b**x**c - b**x**d - b**y**c + b**y**d;
{const, lin} = NCToNCSylvester[expr, vars];
NCSylvesterToNC[{const, lin}]
produces:
(a + b) ** x ** (c - d) + (a + b) ** y ** (-c + d)
```

This factorization even works with linear matrix polynomials, and is used by the our semidefinite programming algorithm (see Chapter Semidefinite Programming) to factor linear matrix inequalities in the least possible number of terms. For example:

# Chapter 6

### Noncommutative Gröbner Basis

The package NCGBX provides an implementation of a noncommutative Gröbner Basis algorithm. It is a Mathematica only replacement to the C++ NCGB which is still provided with this distribution.

If you want a living version of this chapter just run the notebook NC/DEMOS/3\_NCGroebnerBasis.nb.

Gröbner Basis are useful in the study of algebraic relations.

In order to load NCGBX one types:

- << NC`
- << NCAlgebra
- << NCGBX

or simply

<< NCGBX

if NC and NCAlgebra have already been loaded.

#### 6.1 What is a Gröbner Basis?

Most commutative algebra packages contain commands based on Gröbner Basis and uses of Gröbner Basis. For example, in Mathematica, the Solve command puts collections of equations in a *canonical form* which, for simple collections, readily yields a solution. Likewise, the Mathematica Eliminate command tries to convert a collection of m polynomial equations (often called relations)

$$p_1(x_1, \dots, x_n) = 0$$
$$p_2(x_1, \dots, x_n) = 0$$
$$\vdots \qquad \vdots$$
$$p_m(x_1, \dots, x_n) = 0$$

in variables  $x_1, x_2, \dots x_n$  to a triangular form, that is a new collection of equations like

$$q_1(x_1) = 0$$

$$q_2(x_1, x_2) = 0$$

$$q_3(x_1, x_2) = 0$$

$$q_4(x_1, x_2, x_3) = 0$$

$$\vdots$$

$$\vdots$$

$$q_r(x_1, \dots, x_n) = 0$$

Here the polynomials  $\{q_j: 1 \leq j \leq k_2\}$  generate the same *ideal* that the polynomials  $\{p_j: 1 \leq j \leq k_1\}$  generate. Therefore, the set of solutions to the collection of polynomial equations  $\{p_j=0: 1 \leq j \leq k_1\}$  equals the set of solutions to the collection of polynomial equations  $\{q_j=0: 1 \leq j \leq k_2\}$ . This canonical form greatly simplifies the task of solving collections of polynomial equations by facilitating backsolving for  $x_j$  in terms of  $x_1, \ldots, x_{j-1}$ .

Readers who would like to know more about Gröbner Basis may want to read [CLS]. The noncommutatative version of the algorithm implemented by NCGB is loosely based on [Mora].

#### 6.2 Solving equations

Before calculating a Gröbner Basis, one must declare which variables will be used during the computation and must declare a *monomial order* which can be done using SetMonomialOrder as in:

```
SetMonomialOrder[{a, b, c}, x];
```

The monomial ordering imposes a relationship between the variables which are used to *sort* the monomials in a polynomial. The ordering implied by the above command can be visualized using:

PrintMonomialOrder[];

which in this case prints:

$$a < b < c \ll x$$
.

A user does not need to know theoretical background related to monomials orders. Indeed, as we shall see soon, in many engineering problems, it suffices to know which variables correspond to quantities which are known and which variables correspond to quantities which are unknown. If one is solving for a variable or desires to prove that a certain quantity is zero, then one would want to view that variable as unknown. In the above example, the symbol ' $\ll$ ' separate the knowns, a,b,c, from the unknown, x. For more details on orderings see Section Orderings.

Our goal is to calculate the Gröbner basis associated with the following relations (i.e. a list of polynomials):

$$a x a = c$$
,  $a b = 1$ ,  $b a = 1$ .

We shall use the word *relation* to mean a polynomial in noncommuting indeterminates. For example, if an analyst saw the equation AB = 1 for matrices A and B, then he might say that A and B satisfy the polynomial equation ab - 1 = 0. An algebraist would say that ab - 1 is a relation.

To calculate a Gröbner basis one defines a list of relations:

```
rels = {a ** x ** a - c, a ** b - 1, b ** a - 1}
```

and issues the command:

```
gb = NCMakeGB[rels, 10]
```

which should produces an output similar to:

\* \* \* \* \* \* \* \* \* \* \* \* \* \* \* \* \*
\* Monomial order: a < b < c << x
\* Reduce and normalize initial set
> Initial set could not be reduced
\* Computing initial set of obstructions
> MAJOR Iteration 1, 4 polys in the basis, 2 obstructions
> MAJOR Iteration 2, 5 polys in the basis, 2 obstructions
\* Cleaning up...
\* Found Groebner basis with 3 polynomials
\* \* \* \* \* \* \* \* \* \* \* \* \* \* \* \* \*

The number 10 in the call to NCMakeGB is very important because a finite GB may not exist. It instructs NCMakeGB to abort after 10 iterations if a GB has not been found at that point.

The result of the above calculation is the list of relations in the form of a list of rules:

$$\{x \rightarrow b ** c ** b, a ** b \rightarrow 1, b ** a \rightarrow 1\}$$

Version 5: For efficiency, NCMakeGB returns a list of rules instead of a list of polynomials. The left-hand side of the rule is the leading monomial in the current order. This is incompatible with early versions, which returned a list of polynomials. You can recover the old behavior setting the option ReturnRules -> False This can be done in the NCMakeGB command or globally through SetOptions[ReturnRules -> False].

Our favorite format for displaying lists of relations is ColumnForm.

ColumnForm[gb]

which results in

The *rules* in the output represent the relations in the GB with the left-hand side of the rule being the leading monomial. Replacing Rule by Subtract recovers the relations but one would then loose the leading monomial as Mathematica alphabetizes the resulting sum.

Someone not familiar with GB's might find it instructive to note this output GB effectively solves the input equation

$$a x a - c = 0$$

under the assumptions that

$$ba - 1 = 0$$
,  $ab - 1 = 0$ .

that is  $a = b^{-1}$  and produces the expected result in the form of the relation:

$$x = b c b$$
.

#### 6.3 A slightly more challenging example

For a slightly more challenging example consider the same monomial order as before:

SetMonomialOrder[{a, b, c}, x];

that is

$$a < b < c \ll x$$

and the relations:

$$ax - c = 0,$$

$$aba - a = 0,$$

$$bab - b = 0.$$

from which one can recognize the problem of solving the linear equation a x = c in terms of the *pseudo-inverse*  $b = a^{\dagger}$ . The calculation:

```
gb = NCMakeGB[{a ** x - c, a ** b ** a - a, b ** a ** b - b}, 10];
```

finds the Gröbner basis:

```
a ** x -> c
a ** b ** c -> c
a ** b ** a -> a
b ** a ** b -> b
```

In this case the Gröbner basis cannot quite *solve* the equations but it remarkably produces the necessary condition for existence of solutions:

$$0 = a b c - c = a a^{\dagger} c - c$$

that can be interpreted as c being in the range-space of a.

#### 6.4 Simplifying polynomial expresions

Our goal now is to verify if it is possible to *simplify* the following expression:

$$bbaa - aabb + aba$$

if we know that

$$aba = b$$

using Gröbner basis. With that in mind we set the order:

```
SetMonomialOrder[a,b];
```

and calculate the GB associated with the constraint:

```
rels = {a ** b ** a - b};
rules = NCMakeGB[rels, 10];
```

b \*\* b \*\* a -> a \*\* b \*\* b

which produces the output

The GB revealed another relationship that must hold true if aba = b. One can use these relationships to simplify the original expression using NCReplaceRepeated as in

```
expr = b ** b ** a ** a - a ** a ** b ** b + a ** b ** a
simp = NCReplaceRepeated[expr, rules]
```

which results in

simp = b

#### 6.5 Minimal versus reduced Gröbner Basis

The algorithm implemented by NCGB always produces a Gröbner Basis with the *minimal* possible number of polynomials. However, such polynomials are not necessarily the "simplest" possible polynomials; called the *reduced* Gröbner Basis. The *reduced* Gröbner Basis is unique given the relations and the monomial order. Consider for example the following monimial order

```
SetMonomialOrder[x, y]
and the relations
rels = \{x^3 - 2 \times ** y, x^2 ** y - 2 y^2 + x\}
for which
NCMakeGB[rels]
produces the following minimal Gröbner Basis
x**x->0
x**y->x**x*x/2
y**x->x**y
y**y->x/2+x**x**y/2
but
NCMakeGB[rels, ReduceBasis -> True]
returns the reduced Gröbner Basis
x**x->0
x**y->0
y**x->0
y**y->x/2
```

in which not only the leading mononials but also all lower-order monomials have been reduced by the basis' leading monomials.

#### 6.6 Simplifying rational expresions

It is often desirable to simplify expressions involving inverses of noncommutative expressions. One challenge is to recognize identities implied by the existence of certain inverses. For example, that the expression

$$x(1-x)^{-1} - (1-x)^{-1}x$$

is equivalent to 0. One can use a nc Gröbner basis for that task. Consider for instance the order

$$x \ll (1-x)^{-1}$$

implied by the command:

```
SetMonomialOrder[x, inv[1-x]]
```

This ordering encodes the following precise idea of what we mean by *simple* versus *complicated*: it formally corresponds to specifying that x is simpler than  $(1-x)^{-1}$ , which might sits well with one's intuition.

Now consider the following command:

```
rules = NCMakeGB[{}, 3]
```

which produces the output

and results in the rules:

```
x ** inv[1 - x] -> -1 + inv[1 - x],

inv[1-x] ** x -> -1 + inv[1-x],
```

As in the previous example, the GB revealed new relationships that must hold true if 1-x is invertible, and one can use this relationship to *simplify* the original expression using NCReplaceRepeated as in:

```
NCReplaceRepeated[x ** inv[1 - x] - inv[1 - x] ** x, rules]
```

The above command results in 0, as one would hope.

For a more challenging example consider the identity:

$$(1 - x - y(1 - x)^{-1}y)^{-1} = \frac{1}{2}(1 - x - y)^{-1} + \frac{1}{2}(1 - x + y)^{-1}$$

One can verify that the rule based command NCSimplifyRational fails to simplify the expression:

```
expr = inv[1 - x - y ** inv[1 - x] ** y] - 1/2 (inv[1 - x + y] + inv[1 - x - y]) NCSimplifyRational[expr]
```

We set the monomial order and calculate the Gröbner basis

```
SetMonomialOrder[x, y, inv[1-x], inv[1-x+y], inv[1-x-y], inv[1-x-y**inv[1-x]**y]]; rules = NCMakeGB[\{\}, 3];
```

based on the rational involved in the original expression. The result is the nc GB:

```
inv[1-x-y**inv[1-x]**y] -> (1/2)inv[1-x-y]+(1/2)inv[1-x+y]
x**inv[1-x] -> -1+inv[1-x]
y**inv[1-x+y] -> 1-inv[1-x+y]+x**inv[1-x+y]
y**inv[1-x-y] -> -1+inv[1-x-y]-x**inv[1-x-y]
inv[1-x]**x -> -1+inv[1-x]
inv[1-x+y]**y -> 1-inv[1-x+y]+inv[1-x+y]**x
inv[1-x-y]**y -> -1+inv[1-x-y]-inv[1-x-y]**x
inv[1-x-y]**x**inv[1-x-y] -> -(1/2)inv[1-x-y]-(1/2)inv[1-x+y]+inv[1-x+y]**inv[1-x-y]
inv[1-x-y]**x**inv[1-x+y] -> -(1/2)inv[1-x-y]-(1/2)inv[1-x+y]+inv[1-x-y]**inv[1-x+y]
```

which successfully simplifies the original expression using:

```
expr = inv[1 - x - y ** inv[1 - x] ** y] - 1/2 (inv[1 - x + y] + inv[1 - x - y]) NCReplaceRepeated[expr, rules] // NCExpand
```

resulting in 0.

#### 6.7 Simplification with NCGBSimplifyRational

The simplification process described above is automated in the function NCGBSimplifyRational.

```
For example, calls to

expr = x ** inv[1 - x] - inv[1 - x] ** x

NCGBSimplifyRational[expr]

or

expr = inv[1 - x - y ** inv[1 - x] ** y] - 1/2 (inv[1 - x + y] + inv[1 - x - y])

NCGBSimplifyRational[expr]
```

#### 6.8 Ordering on variables and monomials

As seen above, one needs to declare a monomial order before making a Gröbner Basis. There are various monomial orders which can be used when computing Gröbner Basis. The most common are lexicographic and graded lexicographic orders. We consider also multi-graded lexicographic orders.

Lexicographic and multi-graded lexicographic orders are examples of elimination orderings. An elimination ordering is an ordering which is used for solving for some of the variables in terms of others.

We now discuss each of these types of orders.

SetMonomialOrder[{a},{b},{x},{y}];

#### 6.8.1 Lex Order: the simplest elimination order

```
To impose lexicographic order, say a \ll b \ll x \ll y on a, b, x and y, one types SetMonomialOrder[a,b,x,y]; or, equivalently
```

This order is useful for attempting to solve for y in terms of a, b and x, since the highest priority of the GB algorithm is to produce polynomials which do not contain y. If producing high order polynomials is a consequence of this fanaticism so be it. Unlike graded orders, lex orders pay little attention to the degree of terms. Likewise its second highest priority is to eliminate x.

Once this order is set, one can use all of the commands in the preceding section in exactly the same form.

We now give a simple example how one can solve for y given that a,b,x and y satisfy the equations:

$$-bx + xya + xbaa = 0$$
  
 $xa - 1 = 0$   
 $ax - 1 = 0$ 

The command

both result in 0.

```
NCMakeGB[{-b**x+x**y**a+x**b**a**a, x**a-1, a**x-1},4]
produces the Gröbner basis:
y -> -b**a + a**b**x**x
a**x -> 1
x**a -> 1
after one iteration.
```

Now, we change the order to

which is not a Gröbner basis since the algorithm was interrupted at 4 iterations. Note the presence of the rule

```
a**b**x**x -> y+b**a
```

which shows that the order is not set up to solve for y in terms of the other variables in the sense that y is not on the left hand side of this rule (but a human could easily solve for y using this rule). Also the algorithm created a number of other relations which involved y.

#### 6.8.2 Graded lex ordering: a non-elimination order

To impose graded lexicographic order, say a < b < x < y on a, b, x and y, one types

```
SetMonomialOrder[{a,b,x,y}];
```

This ordering puts high degree monomials high in the order. Thus it tries to decrease the total degree of expressions. A call to

```
NCMakeGB[{-b**x+x**y**a+x**b**a**a, x**a-1, a**x-1},4]

now produces

a**x -> 1

x**a -> 1

b**a**a -> -y**a+a**b**x

x**b**x**x -> y*b**x*x

a**b**x**x -> y+b**a

b**x**x**b**x**x -> y**y+b**a**y+y**b**a+b**a*b**a

b**x**x**b**x**x -> x**b**y+x**b**b**a+b**a*b**a

b**x**x**b**x**x -> x**b**y+x**b**b**a+x*y*y*b**a**y+y**b**a**b**a

b**x**x**b**x**b**x**x -> y**y*y+b**a**y*y+y**b**a**y+y**b**a**b**a+b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**b**a**
```

which again fails to be a Gröbner basis and does not eliminate y. Instead, it tries to decrease the total degree of expressions involving a, b, x, and y.

#### 6.8.3 Multigraded lex ordering: a variety of elimination orders

There are other useful monomial orders which one can use other than graded lex and lex. Another type of order is what we call multigraded lex and is a mixture of graded lex and lex order. To impose multi-graded

lexicographic order, say  $a < b < x \ll y$  on a, b, x and y, one types

```
SetMonomialOrder[{a,b,x},y];
```

which separates y from the remaining variables. This time, a call to

```
NCMakeGB[\{-b**x+x**y**a+x**b**a**a, x**a-1, a**x-1\}, 4]
```

yields once again

```
y -> -b**a+a**b**x**x
a**x -> 1
x**a -> 1
```

which not only eliminates y but is also Gröbner basis, calculated after one iteration.

For an intuitive idea of why multigraded lex is helpful, we think of a, b, and x as corresponding to variables in some engineering problem which represent quantities which are known and y to be unknown. The fact that a, b and x are in the top level indicates that we are very interested in solving for y in terms of a, b, and x, but are not willing to solve for, say x, in terms of expressions involving y.

This situation is so common that we provide the commands SetKnowns and SetUnknowns. The above ordering would be obtained after setting

```
SetKnowns[a,b,x];
SetUnknowns[y];
```

#### 6.9 A complete example: the partially prescribed matrix inverse problem

This is a type of problem known as a matrix completion problem. This particular one was suggested by Hugo Woerdeman. We are grateful to him for discussions.

**Problem:** Given matrices a, b, c, and d, we wish to determine under what conditions there exists matrices x, y, z, and w such that the block matrices

$$\begin{bmatrix} a & x \\ y & b \end{bmatrix} \qquad \begin{bmatrix} w & c \\ d & z \end{bmatrix}$$

are inverses of each other. Also, we wish to find formulas for x, y, z, and w.

This problem was solved in a paper by W.W. Barrett, C.R. Johnson, M. E. Lundquist and H. Woerderman [BJLW] where they showed it splits into several cases depending upon which of a, b, c and d are invertible. In our example, we assume that a, b, c and d are invertible and discover the result which they obtain in this case.

First we set the matrices a, b, c, and d and their inverses as knowns and x, y, w, and z as unknowns:

```
SetKnowns[a, inv[a], b, inv[b], c, inv[c], d, inv[d]];
SetUnknowns[{z}, {x, y, w}];
```

Note that the graded ordedring of the unknowns means that we care more about solving for x, y and w than for z.

Then we define the relations we are interested in, which are obtained after multiplying the two block matrices on both sides and equating to identity

```
A = \{\{a, x\}, \{y, b\}\}\
B = \{\{w, c\}, \{d, z\}\}
rels = {
```

```
MatMult[A, B] - IdentityMatrix[2],
MatMult[B, A] - IdentityMatrix[2]
} // Flatten
```

We use Flatten to reduce the matrix relations to a simple list of relations. The resulting relations in this case are:

```
rels = \{-1+a**w*+x**d, a**c*+x**z, b**d*+y**w, -1+b**z*+y**c, 
        -1+c**y+w**a, c**b+w**x, d**a+z**y, -1+d**x+z**b
After running
NCMakeGB[rels, 8]
we obtain the Gröbner basis:
x -> inv[d]-inv[d]**z**b
y -> inv[c]-b**z**inv[c]
w -> inv[a] **inv[d] **z**b**d
z**b**z -> z+d**a**c
c**b**z**inv[c]**inv[a] -> inv[a]**inv[d]**z**b**d
inv[c]**inv[a]**inv[d]**z**b -> b**z**inv[c]**inv[a]**inv[d]
inv[d]**z**b**d**a -> a**c**b**z**inv[c]
z**b**d**a**c -> d**a**c**b**z
z**inv[c]**inv[a]**inv[d]**inv[b] -> inv[b]**inv[c]**inv[a]**inv[d]**z
z**inv[c]**inv[a]**inv[d]**z -> inv[b]+inv[b]**inv[c]**inv[a]**inv[d]**z
d**a**c**b**z**inv[c] -> z**b**d**a
after seven iterations. The first four relations
                                         x = d^{-1} - d^{-1} z b
```

are the solutions we are looking for, which states that one can find x, y, z, and w such that the matrices above are inverses of each other if and only if z b z = z + d a c. The first three relations gives formulas for x, y and w in terms of z.

z b z = z + d a c

 $y = c^{-1} - bz c^{-1}$  $w = a^{-1} d^{-1} z b d$ 

A variety of scenarios can be quickly investigated under different assumptions. For example, say that c is not invertible. Is it still possible to solve the problem? One solution is obtained with the ordering implied by

```
SetKnowns[a, inv[a], b, inv[b], c, d, inv[d]];
SetUnknowns[{y}, {z, w, x}];
In this case

NCMakeGB[rels, 8]

produces the Gröbner basis:

z -> inv[b]-inv[b]**y**c
w -> inv[a]-c**y**inv[a]
x -> a**c**y**inv[a]**inv[d]
y**c**y -> y+b**d**a
c**y**inv[a]**inv[d]**inv[b] -> inv[a]**inv[d]**inv[b]**y**c
d**a**c**y**inv[a] -> inv[b]**y**c**b**d
inv[d]**inv[b]**y**c**b -> a**c**y**inv[a]**inv[d]
y**c**b**d**a -> b**d**a**c**y
y**inv[a]**inv[d]**inv[b]**y**c -> 1+y**inv[a]**inv[d]**inv[b]
```

after five iterations. Once again, the first four relations

$$z = b^{-1} - b^{-1} y c$$

$$w = a^{-1} - c y a^{-1}$$

$$x = a c y a^{-1} d^{-1}$$

$$y c y = y + b d a$$

provide formulas, this time for z, w, and z in terms of y satisfying  $y \, c \, y = y + b \, d \, a$ . Note that these formulas do not involve  $c^{-1}$  since c is no longer assumed invertible.

# Chapter 7

# Semidefinite Programming

If you want a living version of this chapter just run the notebook NC/DEMOS/4\_SemidefiniteProgramming.nb.

There are two different packages for solving semidefinite programs:

- SDP provides a template algorithm that can be customized to solve semidefinite programs with special structure. Users can provide their own functions to evaluate the primal and dual constraints and the associated Newton system. A built in solver along conventional lines, working on vector variables, is provided by default. It does not require NCAlgebra to run.
- NCSDP coordinates with NCAlgebra to handle matrix variables, allowing constraints, etc, to be entered directly as noncommutative expressions.

#### 7.1 Semidefinite Programs in Matrix Variables

The package NCSDP allows the symbolic manipulation and numeric solution of semidefinite programs.

After loading NCAlgebra, the package NCSDP must be loaded using:

```
<< NCSDP`
```

Semidefinite programs consist of symbolic noncommutative expressions representing inequalities and a list of rules for data replacement. For example the semidefinite program:

$$\begin{aligned} & \underset{Y}{\min} & & < I, Y > \\ & \text{s.t.} & & AY + YA^T + I \preceq 0 \\ & & & Y \succ 0 \end{aligned}$$

can be solved by defining the noncommutative expressions

```
SNC[a, y];
obj = {-1};
ineqs = {a ** y + y ** tp[a] + 1, -y};
```

The inequalities are stored in the list ineqs in the form of noncommutative linear polyonomials in the variable y and the objective function constains the symbolic coefficients of the inner product, in this case -1. The reason for the negative signs in the objective as well as in the second inequality is that semidefinite programs are expected to be cast in the following *canonical form*:

$$\max_{y} < b, y >$$
s.t.  $f(y) \leq 0$ 

or, equivalently:

$$\max_{y} \quad < b, y >$$
 s.t.  $f(y) + s = 0, \quad s \succeq 0$ 

Semidefinite programs can be visualized using NCSDPForm as in:

```
vars = \{y\};
NCSDPForm[ineqs, vars, obj]
```

The above commands produce a formatted output similar to the ones shown above.

In order to obtaining a numerical solution for an instance of the above semidefinite program one must provide a list of rules for data substitution. For example:

$$A = \{\{0, 1\}, \{-1, -2\}\};$$
  
 $data = \{a \rightarrow A\};$ 

Equipped with the above list of rules representing a problem instance one can load SDPSylvester and use NCSDP to create a problem instance as follows:

```
{abc, rules} = NCSDP[ineqs, vars, obj, data];
```

The resulting abc and rules objects are used for calculating the numerical solution using SDPSolve. The command:

```
<< SDPSylvester`
{Y, X, S, flags} = SDPSolve[abc, rules];
```

produces an output like the following:

#### Problem data:

```
* Dimensions (total):
```

variables
- Inequalities - Variables = 4 = 2

\* Dimensions (detail):

 $= \{\{2,2\}\}$ - Variables - Inequalities  $= \{2,2\}$ 

Method:

\* Method = PredictorCorrector

\* Method = Pre \* Search direction = NT

Precision:

\* Gap tolerance =  $1.*10^{-9}$ \* Feasibility tolerance = 1.\*10^(-6) \* Rationalize iterates = False

Other options:

\* Debug level = 0

1 1.638e+00 1.846e-01 2.371e-01 8.299e-01 1.135e+00 9.968e-01 9.868e-16 2.662e-16 2 1.950e+00 1.971e-02 2.014e-02 8.990e-01 1.512e+00 9.138e-01 2.218e-15 2.937e-16 3 1.995e+00 1.976e-03 1.980e-03 8.998e-01 1.487e+00 9.091e-01 1.926e-15 3.119e-16 4 2.000e+00 9.826e-07 9.826e-07 9.995e-01 1.485e+00 9.047e-01 8.581e-15 2.312e-16 5 2.000e+00 4.913e-10 4.913e-10 9.995e-01 1.485e+00 9.047e-01 1.174e-14 4.786e-16	K	<b, y=""></b,>	mu	theta/tau	alpha	X S 2	X S oo	A* X-B	A Y+S-C
	2	1.950e+00	1.971e-02	2.014e-02	8.990e-01	1.512e+00	9.138e-01	2.218e-15	2.937e-16
	3	1.995e+00	1.976e-03	1.980e-03	8.998e-01	1.487e+00	9.091e-01	1.926e-15	3.119e-16
	4	2.000e+00	9.826e-07	9.826e-07	9.995e-01	1.485e+00	9.047e-01	8.581e-15	2.312e-16

```
* Primal solution is not strictly feasible but is within tolerance
```

 $<sup>(0 \</sup>le \max eig(A*Y - C) = 8.06666*10^{-10} < 1.*10^{-6})$ 

<sup>\*</sup> Dual solution is within tolerance

The output variables Y and S are the *primal* solutions and X is the *dual* solution.

A symbolic dual problem can be calculated easily using NCSDPDual:

The dual program for the example problem above is:

$$\max_{x} \quad < c, x >$$
 s.t.  $f^*(x) + b = 0, \quad x \succeq 0$ 

In the case of the above problem the dual program is

$$\max_{X_1, X_2} < I, X_1 >$$
s.t.  $A^T X_1 + X_1 A - X_2 - I = 0$   
 $X_1 \succeq 0,$   
 $X_2 \succeq 0$ 

which can be visualized using NCSDPDualForm using:

NCSDPDualForm[dIneqs, dVars, d0bj]

#### 7.2 Semidefinite Programs in Vector Variables

The package SDP provides a crude and not very efficient way to define and solve semidefinite programs in standard form, that is vectorized. You do not need to load NCAlgebra if you just want to use the semidefinite program solver. But you still need to load NC as in:

Semidefinite programs are optimization problems of the form:

$$\max_{y,S} b^T y$$
s.t.  $Ay + S = c$ 

$$S \succeq 0$$

where S is a symmetric positive semidefinite matrix and y is a vector of decision variables.

A user can input the problem data, the triplet (A, b, c), or use the following convenient methods for producing data in the proper format.

For example, problems can be stated as:

$$\min_{y} \quad f(y),$$
  
s.t.  $G(y) \succeq 0$ 

where f(y) and G(y) are affine functions of the vector of variables y.

Here is a simple example:

```
y = {y0, y1, y2};
f = y2;
G = {y0 - 2, {{y1, y0}, {y0, 1}}, {{y2, y1}, {y1, 1}}};
```

The list of constraints in G is to be interpreted as:

$$y_0 - 2 \ge 0,$$

$$\begin{bmatrix} y_1 & y_0 \\ y_0 & 1 \end{bmatrix} \succeq 0,$$

$$\begin{bmatrix} y_2 & y_1 \\ y_1 & 1 \end{bmatrix} \succeq 0.$$

The function SDPMatrices convert the above symbolic problem into numerical data that can be used to solve an SDP.

All required data, that is A, b, and c, is stored in the variable abc as Mathematica's sparse matrices. Their contents can be revealed using the Mathematica command Normal.

#### Normal[abc]

The resulting SDP is solved using SDPSolve:

The variables Y and S are the *primal* solutions and X is the *dual* solution. Detailed information on the computed solution is found in the variable flags.

The package SDP is built so as to be easily overloaded with more efficient or more structure functions. See for example SDPFlat and SDPSylvester.

# Chapter 8

# Pretty Output with Notebooks and T<sub>F</sub>X

If you want a living version of this chapter just run the notebook NC/DEMOS/5\_PrettyOutput.nb.

NCAlgebra comes with several utilities for beautifying expressions which are output. NCTeXForm converts NC expressions into IATeX. NCTeX goes a step further and compiles the results expression in IATeX and produces a PDF that can be embedded in notebooks of used on its own.

#### 8.1 Pretty Output

In a Mathematica notebook session the package NCOutput can be used to control how nc expressions are displayed. NCOutput does not alter the internal representation of nc expressions, just the way they are displayed on the screen.

The function NCSetOutput can be used to set the display options. For example:

```
NCSetOutput[tp -> False, inv -> True];
makes the expression
expr = inv[tp[a] + b]
be displayed as
(tp[a] + b)<sup>-1</sup>
Conversely
NCSetOutput[tp -> True, inv -> False];
makes expr be displayed as
inv[a<sup>T</sup> + b]
The default settings are
NCSetOutput[tp -> True, inv -> True];
which makes expr be displayed as
(a<sup>T</sup> + b)<sup>-1</sup>
```

The complete set of options and their default values are:

• NonCommutativeMultiply (False): If True x\*\*y is displayed as 'x • y';

```
tp (True): If True tp[x] is displayed as 'x<sup>T</sup>';
inv (True): If True inv[x] is displayed as 'x<sup>-1</sup>';
aj (True): If True aj [x] is displayed as 'x*';
co (True): If True co[x] is displayed as 'x̄';
rt (True): If True rt[x] is displayed as 'x<sup>1/2</sup>'.
```

The special symbol All can be used to set all options to True or False, as in

NCSetOutput[All -> True];

#### 8.2 Using NCTeX

You can load NCTeX using the following command

```
<< NC'
<< NCTeX'
```

NCTeX does not need NCAlgebra to work. You may want to use it even when not using NCAlgebra. It uses NCRun, which is a replacement for Mathematica's Run command to run pdflatex, latex, divps, etc.

**WARNING:** Mathematica does not come with LaTeX, dvips, etc. The package NCTeX does not install these programs but rather assumes that they have been previously installed and are available at the user's standard shell. Use the Verbose option to troubleshoot installation problems.

With NCTeX loaded you simply type NCTeX[expr] and your expression will be converted to a PDF image which, by default, appears in your notebook after being processed by LaTeX. See options for information on how to change this behavior to display the PDF on a separate window.

For example:

```
expr = 1 + Sin[x + (y - z)/Sqrt[2]]; NCTeX[expr] produces 1 + \sin\left(x + \frac{y-z}{\sqrt{2}}\right)
```

If NCAlgebra is not loaded then NCTeX uses the built in TeXForm to produce the LaTeX expressions. If NCAlgebra is loaded, NCTeXForm is used. See NCTeXForm for details.

Here is another example:

```
expr = \{\{1 + Sin[x + (y - z)/2 Sqrt[2]], x/y\}, \{z, n Sqrt[5]\}\};
NCTeX[expr]
```

that produces

$$\begin{pmatrix} \sin\left(x + \frac{y-z}{\sqrt{2}}\right) + 1 & \frac{x}{y} \\ z & \sqrt{5}n \end{pmatrix}$$

In some cases Mathematica will have difficulty displaying certain PDF files. When this happens NCTeX will span a PDF viewer so that you can look at the formula. If your PDF viewer does not pop up automatically you can force it by passing the following option to NCTeX:

```
expr = \{\{1 + Sin[x + (y - z)/2 Sqrt[2]], x/y\}, \{z, n Sqrt[5]\}\};
NCTeX[exp, DisplayPDF \rightarrow True]
```

Here is another example were the current version of Mathematica fails to import the PDF:

```
expr = Table[x^i y^(-j) , {i, 0, 10}, {j, 0, 30}];
NCTeX[expr, DisplayPDF -> True]
```

8.2. USING NCTEX 55

You can also suppress Mathematica from importing the PDF altogether as well. This and other options are covered in detail in the next section.

#### 8.2.1 NCTeX Options

The following command:

```
expr = {{1 + Sin[x + (y - z)/2 Sqrt[2]], x/y}, {z, n Sqrt[5]}};
NCTeX[exp, DisplayPDF -> True, ImportPDF -> False]
```

uses DisplayPDF -> True to ensure that the PDF viewer is called and ImportPDF -> False to prevent Mathematica from displaying the formula inline. In other words, it displays the formula in the PDF viewer without trying to import the PDF into Mathematica. The default values for these options when using the Mathematica notebook interface are:

- 1. DisplayPDF (False)
- 2. ImportPDF (True)

When NCTeX is invoked using the command line interpreter version of Mathematica the defaults are:

- 1. DisplayPDF (False)
- 2. ImportPDF (True)

Other useful options and their default options are:

- 1. Verbose (False),
- 2. BreakEquations (True)
- 3. TeXProcessor (NCTeXForm)

Set BreakEquations -> True to use the LaTeX package beqn to produce nice displays of long equations. Try the following example:

```
expr = Series[Exp[x], {x, 0, 20}]
NCTeX[expr]
```

Use TexProcessor to select your own TeX converter. If NCAlgebra is loaded then NCTeXForm is the default. Otherwise Mathematica's TeXForm is used.

If Verbose -> True you can see a detailed display of what is going on behing the scenes. This is very useful for debugging. For example, try:

```
expr = BesselJ[2, x]
NCTeX[exp, Verbose -> True]
```

to produce an output similar to the following one:

- \* NCTeX LaTeX processor for NCAlgebra Version 0.1
- > Creating temporary file '/tmp/mNCTeX.tex'...
- > Processing '/tmp/mNCTeX.tex'...
- > Running 'latex -output-directory=/tmp/ /tmp/mNCTeX 1> "/tmp/mNCRun.out" 2> "/tmp/mNCRun.err"'...
- > Running 'dvips -o /tmp/mNCTeX.ps -E /tmp/mNCTeX 1> "/tmp/mNCRun.out" 2> "/tmp/mNCRun.err"'...
- > Running 'epstopdf /tmp/mNCTeX.ps 1> "/tmp/mNCRun.out" 2> "/tmp/mNCRun.err"'...
- > Importing pdf file '/tmp/mNCTeX.pdf'...

Locate the files with extension .err as indicated by the verbose run of NCTeX to diagnose errors.

The remaining options:

- 1. PDFViewer ("open"),
- 2. LaTeXCommand ("latex")
- 3. PDFLaTeXCommand (Null)
- 4. DVIPSCommand ("dvips")
- 5. PS2PDFCommand ("epstopdf")

let you specify the names and, when appropriate, the path, of the corresponding programs to be used by NCTeX. Alternatively, you can also directly implement custom versions of

NCRunDVIPS NCRunLaTeX NCRunPDFLaTeX NCRunPDFViewer NCRunPS2PDF

Those commands are invoked using NCRun. Look at the documentation for the package NCRun for more details.

#### 8.3 Using NCTeXForm

NCTeXForm is a replacement for Mathematica's TeXForm which adds definitions allowing it to handle noncommutative expressions. It works just as TeXForm. NCTeXForm is automatically loaded with NCAlgebra and is the default TeX processor for NCTeX.

Here is an example:

```
SetNonCommutative[a, b, c, x, y];
exp = a ** x ** tp[b] - inv[c ** inv[a + b ** c] ** tp[y] + d]
NCTeXForm[exp]
produces
a.x.{b}^T-{\left(d+c.{\left(a+b.c\right)}^{-1}.{y}^T\right)}^{-1}
```

Note that the LaTeX output contains special code so that the expression looks neat on the screen. You can see the result using NCTeX to convert the expression to PDF. Try

```
SetOptions[NCTeX, TeXProcessor -> NCTeXForm];
NCTeX[exp]
```

to produce

$$a.x.b^{T} - \left(d + c.(a + b.c)^{-1}.y^{T}\right)^{-1}$$

NCTeX represents noncommutative products with a dot (.) in order to distinguish it from its commutative cousin. We can see the difference in an expression that has both commutative and noncommutative products:

```
\exp = 2 a ** b - 3 c ** d NCTeX[exp] produces 2(a.b) - 3(c.d)
```

NCTeXForm handles lists and matrices as well. Here is a list:

```
exp = \{x, tp[x], x + y, x + tp[y], x + inv[y], x ** x\} NCTeX[exp]
```

and its output:

$$\{x, x^T, x + y, x + y^T, x + y^{-1}, x.x\}$$

and here is a matrix example:

and its output:

$$\begin{bmatrix} x & y \\ y & z \end{bmatrix}$$

Here are some more examples:

$$exp = \{\{1 + Sin[x + (y - z)/2 Sqrt[2]], x/y\}, \{z, n Sqrt[5]\}\}$$
NCTeX[exp]

produces

$$\begin{bmatrix} 1 + \sin\left(x + \frac{1}{\sqrt{2}}(y - z)\right) & xy^{-1} \\ z & \sqrt{5}n \end{bmatrix}$$

produces:

$$\{(x+y)^{-1}, (x+y^{-1})^{-1}\}$$

NCTeX[exp]

produces:

$$\{\sin x, xy, y \sin x, \sin (x+y), \cos \gamma, (x^T, (y-y^T)) \sin \alpha, yz (x+x^T), -y^T, \frac{1}{2}, \sqrt{2}(x,y)\}$$

$$exp = inv[x + tp[inv[y]]]$$

NCTeX[exp]

produces:

$$\left(x+y^{T-1}\right)^{-1}$$

NCTeXForm does not know as many functions as TeXForm. In some cases TeXForm will produce better results. Compare:

exp = BesselJ[2, x]

NCTeX[exp, TeXProcessor -> NCTeXForm]

output:

BesselJ (2, x)

with

NCTeX[exp, TeXProcessor -> TeXForm]

output:

$$J_2(x)$$

It should be easy to customize NCTeXForm though. Just overload NCTeXForm. In this example:

makes

NCTeX[exp, TeXProcessor -> NCTeXForm]

produce

 $J_2(x)$ 

# Part II Reference Manual

# Chapter 9

# Introduction

The following chapters and sections describes packages inside NCAlgebra.

Packages are automatically loaded unless otherwise noted.  $\,$ 

# Chapter 10

# Packages for manipulating NC expressions

# 10.1 NonCommutativeMultiply

NonCommutativeMultiply is the main package that provides noncommutative functionality to Mathematica's native NonCommutativeMultiply bound to the operator \*\*.

#### Members are:

- aj
- co
- Id
- inv
- tp
- rtCommutativeQ
- NonCommutativeQ
- SetCommutative
- SetNonCommutative
- SetNonCommutativeHold
- SetCommutingOperators
- UnsetCommutingOperators
- CommutingOperatorsQ
- Commutative
- CommuteEverything
- $\bullet \ \ Begin Commute Everything$
- EndCommuteEverything
- ExpandNonCommutativeMultiply

#### Aliases are:

- SNC for SetNonCommutative
- NCExpand for ExpandNonCommutativeMultiply
- NCE for ExpandNonCommutativeMultiply

# 10.1.1 aj

aj [expr] is the adjoint of expression expr. It is a conjugate linear involution.

See also: tp, co.

#### 10.1.2 co

co[expr] is the conjugate of expression expr. It is a linear involution.

See also: aj.

#### 10.1.3 Id

Id is noncommutative multiplicative identity. Actually Id is now set equal 1.

#### 10.1.4 inv

inv[expr] is the 2-sided inverse of expression expr.

If Options[inv, Distrubute] is False (the default) then

inv[a\*\*b]

returns inv[a\*\*a]. Conversely, if Options[inv, Distrubute] is True then it returns inv[b]\*\*inv[a].

#### 10.1.5 rt

rt[expr] is the root of expression expr.

# 10.1.6 tp

tp[expr] is the tranpose of expression expr. It is a linear involution.

See also: aj, co.

# 10.1.7 CommutativeQ

CommutativeQ[expr] is True if expression expr is commutative (the default), and False if expr is noncommutative.

See also: SetCommutative, SetNonCommutative.

# 10.1.8 NonCommutativeQ

NonCommutativeQ[expr] is equal to Not[CommutativeQ[expr]].

See also: CommutativeQ.

#### 10.1.9 SetCommutative

SetCommutative[a,b,c,...] sets all the Symbols a, b, c, ... to be commutative.

See also: SetNonCommutative, CommutativeQ, NonCommutativeQ.

## 10.1.10 SetNonCommutative

SetNonCommutative[a,b,c,...] sets all the Symbols a, b, c, ... to be noncommutative.

See also: SetCommutative, CommutativeQ, NonCommutativeQ.

## 10.1.11 SetNonCommutativeHold

SetNonCommutativeHold[a,b,c,...] sets all the Symbols a, b, c, ... to be noncommutative.

SetNonCommutativeHold has attribute HoldAll and can be used to set Symbols which have already been assigned a value.

See also: SetCommutative, CommutativeQ, NonCommutativeQ.

## 10.1.12 SNC

SNC is an alias for SetNonCommutative.

See also: SetNonCommutative.

# 10.1.13 SetCommutingOperators

SetCommutingOperators[a,b] will define a rule that substitute any noncommutative product b \*\* a by a \*\* b, effectively making the pair a and b commutative. If you want to create a rule to replace a \*\* b by b \*\* a use SetCommutingOperators[b,a] instead.

See also: UnsetCommutingOperators, CommutingOperatorsQ

# 10.1.14 UnsetCommutingOperators

UnsetCommutingOperators[a,b] remove any rules previously created by SetCommutingOperators[a,b] or SetCommutingOperators[b,a].

See also: SetCommutingOperators, CommutingOperatorsQ

#### 10.1.15 CommutingOperatorsQ

CommutingOperatorsQ[a,b] returns True if a and b are commuting operators.

See also: SetCommutingOperators, UnsetCommutingOperators

#### 10.1.16 Commutative

Commutative [symbol] is commutative even if symbol is noncommutative.

See also: CommuteEverything, CommutativeQ, SetCommutative, SetNonCommutative.

# 10.1.17 CommuteEverything

CommuteEverything[expr] is an alias for BeginCommuteEverything.

See also: BeginCommuteEverything, Commutative.

# 10.1.18 BeginCommuteEverything

BeginCommuteEverything[expr] sets all symbols appearing in expr as commutative so that the resulting expression contains only commutative products or inverses. It issues messages warning about which symbols have been affected.

EndCommuteEverything[] restores the symbols noncommutative behaviour.

BeginCommuteEverything answers the question what does it sound like?

See also: EndCommuteEverything, Commutative.

# 10.1.19 EndCommuteEverything

EndCommuteEverything[expr] restores noncommutative behaviour to symbols affected by BeginCommuteEverything.

See also: BeginCommuteEverything, Commutative.

# 10.1.20 ExpandNonCommutativeMultiply

ExpandNonCommutativeMultiply[expr] expands out \*\*s in expr.

For example

ExpandNonCommutativeMultiply[a\*\*(b+c)]

returns

a\*\*b + a\*\*c.

See also: NCExpand, NCE.

# 10.1.21 NCExpand

 ${\tt NCExpand} \ \ {\rm is} \ \ {\rm an} \ \ {\rm alias} \ \ {\rm for} \ \ {\tt ExpandNonCommutativeMultiply}.$ 

See also: ExpandNonCommutativeMultiply, NCE.

#### 10.1.22 NCE

NCE is an alias for ExpandNonCommutativeMultiply.

See also: ExpandNonCommutativeMultiply, NCExpand.

# 10.2 NCCollect

Members are:

- NCCollect
- NCCollectSelfAdjoint
- NCCollectSymmetric
- NCStrongCollect
- NCStrongCollectSelfAdjoint
- NCStrongCollectSymmetric
- NCCompose
- NCDecompose
- NCTermsOfDegree

# 10.2.1 NCCollect

NCCollect[expr,vars] collects terms of nc expression expr according to the elements of vars and attempts to combine them. It is weaker than NCStrongCollect in that only same order terms are collected together. It basically is NCCompose[NCStrongCollect[NCDecompose]]].

If expr is a rational nc expression then degree correspond to the degree of the polynomial obtained using NCRationalToNCPolynomial.

NCCollect also works with nc expressions instead of *Symbols* in vars. In this case nc expressions are replaced by new variables and NCCollect is called using the resulting expression and the newly created *Symbols*.

This command internally converts no expressions into the special NCPolynomial format.

NCCollect[expr,vars,options] uses options.

10.2. NCCOLLECT 67

The following option is available:

• ByTotalDegree (False): whether to collect by total or partial degree.

#### Notes:

While NCCollect[expr, vars] always returns mathematically correct expressions, it may not collect vars from as many terms as one might think it should.

See also: NCStrongCollect, NCCollectSymmetric, NCCollectSelfAdjoint, NCStrongCollectSymmetric, NCStrongCollectSelfAdjoint, NCRationalToNCPolynomial.

# 10.2.2 NCCollectSelfAdjoint

NCCollectSelfAdjoint[expr,vars] allows one to collect terms of nc expression expr on the variables vars and their adjoints without writing out the adjoints.

This command internally converts no expressions into the special NCPolynomial format.

NCCollectSelfAdjoint[expr,vars,options] uses options.

The following option is available:

• ByTotalDegree (False): whether to collect by total or partial degree.

See also: NCCollect, NCStrongCollectSymmetric, NCStrongCollectSymmetri

# 10.2.3 NCCollectSymmetric

NCCollectSymmetric[expr,vars] allows one to collect terms of nc expression expr on the variables vars and their transposes without writing out the transposes.

This command internally converts no expressions into the special NCPolynomial format.

NCCollectSymmetric[expr, vars, options] uses options.

The following option is available:

• ByTotalDegree (False): whether to collect by total or partial degree.

See also: NCCollect, NCStrongCollect, NCCollectSelfAdjoint, NCStrongCollectSymmetric, NCStrongCollectSelfAdjoint.

# 10.2.4 NCStrongCollect

NCStrongCollect[expr,vars] collects terms of expression expr according to the elements of vars and attempts to combine by association.

In the noncommutative case the Taylor expansion and so the collect function is not uniquely specified. The function NCStrongCollect often collects too much and while correct it may be stronger than you want.

For example, a symbol x will factor out of terms where it appears both linearly and quadratically thus mixing orders.

This command internally converts no expressions into the special NCPolynomial format.

 $See \ also: \ NCCollect Symmetric, \ NCCollect Self Adjoint, \ NCStrong Collect Symmetric, \ NCStrong Collect Self Adjoint.$ 

# 10.2.5 NCStrongCollectSelfAdjoint

NCStrongCollectSymmetric[expr,vars] allows one to collect terms of nc expression expr on the variables vars and their transposes without writing out the transposes.

This command internally converts no expressions into the special NCPolynomial format.

See also: NCCollect, NCStrongCollect, NCCollectSymmetric, NCCollectSelfAdjoint, NCStrongCollectSymmetric.

# 10.2.6 NCStrongCollectSymmetric

NCStrongCollectSymmetric[expr,vars] allows one to collect terms of nc expression expr on the variables vars and their transposes without writing out the transposes.

This command internally converts no expressions into the special NCPolynomial format.

See also: NCCollect, NCStrongCollect, NCCollectSymmetric, NCCollectSelfAdjoint, NCStrongCollectSelfAdjoint.

# 10.2.7 NCCompose

NCCompose[dec] will reassemble the terms in dec which were decomposed by NCDecompose.

NCCompose[dec, degree] will reassemble only the terms of degree degree.

The expression NCCompose[NCDecompose[p,vars]] will reproduce the polynomial p.

The expression NCCompose [NCDecompose [p,vars], degree] will reproduce only the terms of degree degree.

This command internally converts no expressions into the special NCPolynomial format.

See also: NCDecompose, NCPDecompose.

# 10.2.8 NCDecompose

NCDecompose[p,vars] gives an association of elements of the nc polynomial p in variables vars in which elements of the same order are collected together.

NCDecompose[p] treats all nc letters in p as variables.

This command internally converts no expressions into the special NCPolynomial format.

Internally NCDecompose uses NCPDecompose.

See also: NCCompose, NCPDecompose.

# 10.2.9 NCTermsOfDegree

NCTermsOfDegree[expr,vars,degrees] returns an expression such that each term has degree degrees in variables vars.

For example,

```
NCTermsOfDegree[x**y**x - x**x**y + x**w + z**w, {x,y}, {2,1}]
returns x**y**x - x**x**y,

NCTermsOfDegree[x**y**x - x**x**y + x**w + z**w, {x,y}, {1,0}]
returns x**w,

NCTermsOfDegree[x**y**x - x**x**y + x**w + z**w, {x,y}, {0,0}]
returns z**w, and
```

10.3. NCREPLACE 69

```
NCTermsOfDegree[x**y**x - x**x**y + x**w + z**w, {x,y}, {0,1}] returns 0.
```

This command internally converts no expressions into the special NCPolynomial format.

See also: NCTermsOfTotalDegree, NCDecompose, NCPDecompose.

# 10.2.10 NCTermsOfTotalDegree

NCTermsOfTotalDegree[expr,vars,degree] returns an expression such that each term has total degree degree in variables vars.

For example,

```
NCTermsOfTotalDegree[x**y**x - x**x**y + x**w + z**w, {x,y}, 3]
returns x**y**x - x**x**y,

NCTermsOfTotalDegree[x**y**x - x**x**y + x**w + z**w, {x,y}, 1]
returns x**w,

NCTermsOfTotalDegree[x**y**x - x**x**y + x**w + z**w, {x,y}, 0]
returns z**w, and

NCTermsOfTotalDegree[x**y**x - x**x**y + x**w + z**w, {x,y}, 2]
returns 0.
```

This command internally converts no expressions into the special NCPolynomial format.

See also: NCTermsOfDegree, NCDecompose, NCPDecompose.

# 10.3 NCReplace

NCReplace is a package containing several functions that are useful in making replacements in noncommutative expressions. It offers replacements to Mathematica's Replace, ReplaceAll, ReplaceRepeated, and ReplaceList functions.

Commands in this package replace the old Substitute and Transform family of command which are been deprecated. The new commands are much more reliable and work faster than the old commands. From the beginning, substitution was always problematic and certain patterns would be missed. We reassure that the call expression that are returned are mathematically correct but some opportunities for substitution may have been missed.

#### Members are:

- NCReplace
- NCReplaceAll
- NCReplaceList
- NCReplaceRepeated
- NCMakeRuleSymmetric
- NCMakeRuleSelfAdjoint
- NCReplaceSymmetric
- NCReplaceAllSymmetric
- NCReplaceListSymmetric
- NCReplaceRepeatedSymmetric
- NCReplaceSelfAdjoint
- NCReplaceAllSelfAdjoint
- NCReplaceListSelfAdjoint

- NCReplaceRepeatedSelfAdjoint
- NCMatrixReplaceAll
- NCMatrixReplaceRepeated

#### Aliases:

- NCR for NCReplace
- NCRA for NCReplaceAll
- NCRL for NCReplaceList
- NCRR for NCReplaceRepeated
- NCRSym for NCReplaceSymmetric
- NCRASym for NCReplaceAllSymmetric
- NCRLSym for NCReplaceListSymmetric
- $\bullet \ \ NCRRSym\ for\ NCReplaceRepeatedSymmetric$
- NCRSA for NCReplaceSelfAdjoint
- NCRASA for NCReplaceAllSelfAdjoint
- $\bullet \ \ NCRLSA \ for \ NCReplaceListSelfAdjoint$
- NCRRSA for NCReplaceRepeatedSelfAdjoint

# 10.3.1 NCReplace

NCReplace[expr,rules] applies a rule or list of rules rules in an attempt to transform the entire no expression expr.

NCReplace[expr,rules,levelspec] applies rules to parts of expr specified by levelspec.

See also: NCReplaceAll, NCReplaceList, NCReplaceRepeated.

# 10.3.2 NCReplaceAll

NCReplaceAll[expr,rules] applies a rule or list of rules rules in an attempt to transform each part of the nc expression expr.

See also: NCReplace, NCReplaceList, NCReplaceRepeated.

#### 10.3.3 NCReplaceList

NCReplace[expr,rules] attempts to transform the entire nc expression expr by applying a rule or list of rules rules in all possible ways, and returns a list of the results obtained.

ReplaceList[expr,rules,n] gives a list of at most n results.

See also: NCReplace, NCReplaceAll, NCReplaceRepeated.

# 10.3.4 NCReplaceRepeated

NCReplaceRepeated[expr,rules] repeatedly performs replacements using rule or list of rules rules until expr no longer changes.

See also: NCReplace, NCReplaceAll, NCReplaceList.

## 10.3.5 NCR

NCR is an alias for NCReplace.

See also: NCReplace.

10.3. NCREPLACE 71

## 10.3.6 NCRA

NCRA is an alias for NCReplaceAll.

See also: NCReplaceAll.

#### 10.3.7 NCRR

NCRR is an alias for NCReplaceRepeated.

See also: NCReplaceRepeated.

#### 10.3.8 NCRL

NCRL is an alias for NCReplaceList.

See also: NCReplaceList.

# 10.3.9 NCMakeRuleSymmetric

NCMakeRuleSymmetric[rules] add rules to transform the transpose of the left-hand side of rules into the transpose of the right-hand side of rules.

See also: NCMakeRuleSelfAdjoint, NCReplace, NCReplaceAll, NCReplaceList, NCReplaceRepeated.

# 10.3.10 NCMakeRuleSelfAdjoint

NCMakeRuleSelfAdjoint[rules] add rules to transform the adjoint of the left-hand side of rules into the adjoint of the right-hand side of rules.

See also: NCMakeRuleSymmetric, NCReplace, NCReplaceAll, NCReplaceList, NCReplaceRepeated.

## 10.3.11 NCReplaceSymmetric

 ${\tt NCReplaceSymmetric[expr, rules] applies NCMakeRuleSymmetric to rules before calling NCReplace.}$ 

See also: NCReplace, NCMakeRuleSymmetric.

## 10.3.12 NCReplaceAllSymmetric

NCReplaceAllSymmetric[expr, rules] applies NCMakeRuleSymmetric to rules before calling NCReplaceAll.

See also: NCReplaceAll, NCMakeRuleSymmetric.

## 10.3.13 NCReplaceRepeatedSymmetric

NCReplaceRepeatedSymmetric[expr, rules] applies NCMakeRuleSymmetric to rules before calling NCReplaceRepeated.

See also: NCReplaceRepeated, NCMakeRuleSymmetric.

# 10.3.14 NCReplaceListSymmetric

NCReplaceListSymmetric[expr, rules] applies NCMakeRuleSymmetric to rules before calling NCReplaceList.

See also: NCReplaceList, NCMakeRuleSymmetric.

# 10.3.15 NCRSym

NCRSym is an alias for NCReplaceSymmetric.

See also: NCReplaceSymmetric.

# 10.3.16 NCRASym

NCRASym is an alias for NCReplaceAllSymmetric.

See also: NCReplaceAllSymmetric.

# 10.3.17 NCRRSym

 ${\tt NCRRSym} \ {\rm is} \ {\rm an} \ {\rm alias} \ {\rm for} \ {\tt NCReplaceRepeatedSymmetric}.$ 

See also: NCReplaceRepeatedSymmetric.

# 10.3.18 NCRLSym

NCRLSym is an alias for NCReplaceListSymmetric.

See also: NCReplaceListSymmetric.

# 10.3.19 NCReplaceSelfAdjoint

NCReplaceSelfAdjoint[expr, rules] applies NCMakeRuleSelfAdjoint to rules before calling NCReplace.

See also: NCReplace, NCMakeRuleSelfAdjoint.

## 10.3.20 NCReplaceAllSelfAdjoint

NCReplaceAllSelfAdjoint[expr, rules] applies NCMakeRuleSelfAdjoint to rules before calling NCReplaceAll.

See also: NCReplaceAll, NCMakeRuleSelfAdjoint.

# 10.3.21 NCReplaceRepeatedSelfAdjoint

NCReplaceRepeatedSelfAdjoint[expr, rules] applies NCMakeRuleSelfAdjoint to rules before calling NCReplaceRepeated.

See also: NCReplaceRepeated, NCMakeRuleSelfAdjoint.

# 10.3.22 NCReplaceListSelfAdjoint

NCReplaceListSelfAdjoint[expr, rules] applies NCMakeRuleSelfAdjoint to rules before calling NCReplaceList.

See also: NCReplaceList, NCMakeRuleSelfAdjoint.

## 10.3.23 NCRSA

NCRSA is an alias for NCReplaceSymmetric.

See also: NCReplaceSymmetric.

10.4. NCSELFADJOINT 73

## 10.3.24 NCRASA

NCRASA is an alias for NCReplaceAllSymmetric.

See also: NCReplaceAllSymmetric.

#### 10.3.25 NCRRSA

NCRRSA is an alias for NCReplaceRepeatedSymmetric.

See also: NCReplaceRepeatedSymmetric.

#### 10.3.26 NCRLSA

NCRLSA is an alias for NCReplaceListSymmetric.

See also: NCReplaceListSymmetric.

# 10.3.27 NCMatrixReplaceAll

NCMatrixReplaceAll[expr,rules] applies a rule or list of rules rules in an attempt to transform each part of the nc expression expr.

NCMatrixReplaceAll works as NCReplaceAll but takes extra steps to make sure substitutions work with matrices.

See also: NCReplaceAll, NCMatrixReplaceRepeated.

# 10.3.28 NCMatrixReplaceRepeated

NCMatrixReplaceRepeated[expr,rules] repeatedly performs replacements using rule or list of rules rules until expr no longer changes.

NCMatrixReplaceRepeated works as NCReplaceRepeated but takes extra steps to make sure substitutions work with matrices.

See also: NCReplaceRepeated, NCMatrixReplaceAll.

# 10.4 NCSelfAdjoint

Members are:

- NCSymmetricQ
- NCSymmetricTest
- NCSymmetricPart
- NCSelfAdjointQ
- NCSelfAdjointTest

## 10.4.1 NCSymmetricQ

NCSymmetricQ[expr] returns True if expr is symmetric, i.e. if tp[exp] == exp.

NCSymmetricQ attempts to detect symmetric variables using NCSymmetricTest.

See also: NCSelfAdjointQ, NCSymmetricTest.

#### 10.4.2 NCSymmetricTest

NCSymmetricTest[expr] attempts to establish symmetry of expr by assuming symmetry of its variables.

NCSymmetricTest[exp,options] uses options.

NCSymmetricTest returns a list of two elements:

- the first element is *True* or *False* if it succeeded to prove expr symmetric.
- the second element is a list of the variables that were made symmetric.

The following options can be given:

- SymmetricVariables: list of variables that should be considered symmetric; use All to make all variables symmetric;
- ExcludeVariables: list of variables that should not be considered symmetric; use All to exclude all variables;
- Strict: treats as non-symmetric any variable that appears inside tp.

See also: NCSymmetricQ, NCNCSelfAdjointTest.

# 10.4.3 NCSymmetricPart

NCSymmetricPart[expr] returns the symmetric part of expr.

NCSymmetricPart[exp,options] uses options.

NCSymmetricPart[expr] returns a list of two elements:

- the first element is the *symmetric part* of expr;
- the second element is a list of the variables that were made symmetric.

NCSymmetricPart[expr] returns {\$Failed, {}} if expr is not symmetric.

For example:

```
{answer, symVars} = NCSymmetricPart[a ** x + x ** tp[a] + 1];
returns
answer = 2 a ** x + 1
symVars = {x}
```

The following options can be given:

- SymmetricVariables: list of variables that should be considered symmetric; use All to make all variables symmetric;
- ExcludeVariables: list of variables that should not be considered symmetric; use All to exclude all
  variables.
- Strict: treats as non-symmetric any variable that appears inside tp.

See also: NCSymmetricTest.

#### 10.4.4 NCSelfAdjointQ

NCSelfAdjointQ[expr] returns true if expr is self-adjoint, i.e. if aj[exp] == exp.

See also: NCSymmetricQ, NCSelfAdjointTest.

# 10.4.5 NCSelfAdjointTest

NCSelfAdjointTest[expr] attempts to establish whether expr is self-adjoint by assuming that some of its variables are self-adjoint or symmetric. NCSelfAdjointTest[expr,options] uses options.

NCSelfAdjointTest returns a list of three elements:

- the first element is *True* or *False* if it succeded to prove expr self-adjoint.
- the second element is a list of variables that were made self-adjoint.
- the third element is a list of variables that were made symmetric.

The following options can be given:

- SelfAdjointVariables: list of variables that should be considered self-adjoint; use All to make all variables self-adjoint;
- SymmetricVariables: list of variables that should be considered symmetric; use All to make all variables symmetric;
- ExcludeVariables: list of variables that should not be considered symmetric; use All to exclude all variables.
- Strict: treats as non-self-adjoint any variable that appears inside aj.

See also: NCSelfAdjointQ.

# 10.5 NCSimplifyRational

**NCSimplifyRational** is a package with function that simplifies noncommutative expressions and certain functions of their inverses.

NCSimplifyRational simplifies rational noncommutative expressions by repeatedly applying a set of reduction rules to the expression. NCSimplifyRationalSinglePass does only a single pass.

Rational expressions of the form

inv[A + terms]

are first normalized to

inv[1 + terms/A]/A

using NCNormalizeInverse. Here A is commutative.

For each inv found in expression, a custom set of rules is constructed based on its associated NC Groebner basis.

For example, if

inv[mon1 + ... + K lead]

where lead is the leading monomial with the highest degree then the following rules are generated:

Original	Transformed
	$ \begin{array}{c} (1 - inv[mon1 + \ldots + K \ lead] \ (mon1 + \ldots))/K \\ (1 - (mon1 + \ldots) \ inv[mon1 + \ldots + K \ lead])/K \end{array} $

Finally the following pattern based rules are applied:

Original	Transformed
$\overline{\operatorname{inv}[a] \operatorname{inv}[1 + K \ a \ b]}$	inv[a] - K b inv[1 + K a b]
inv[a] inv[1 + K a]	inv[a] - K inv[1 + K a]
inv[1 + K a b] inv[b]	inv[b] - K $inv[1 + K a b]$ a
inv[1 + K a] inv[a]	inv[a] - K inv[1 + K a]
inv[1 + K a b] a	a  inv[1 + K b a]
inv[A inv[a] + B b] inv[a]	(1/A)  inv[1 + (B/A)  a b]
inv[a] inv[A inv[a] + K b]	(1/A)  inv[1 + (B/A)  b a]

	Original	Transformed	
--	----------	-------------	--

NCPreSimplifyRational only applies pattern based rules from the second table above. In addition, the following two rules are applied:

Original	Transformed
$ \frac{1}{\text{inv}[1 + \text{K a b}] \text{ a b}} $ $ \frac{1}{\text{inv}[1 + \text{K a}] \text{ a}} $ $ \frac{1}{\text{a b inv}[1 + \text{K a}]} $ $ \frac{1}{\text{a inv}[1 + \text{K a}]} $	(1 - inv[1 + K a b])/K (1 - inv[1 + K a])/K (1 - inv[1 + K a b])/K (1 - inv[1 + K a])/K

Rules in NCSimplifyRational and NCPreSimplifyRational are applied repeatedly.

Rules in NCSimplifyRationalSinglePass and NCPreSimplifyRationalSinglePass are applied only once.

The particular ordering of monomials used by NCSimplifyRational is the one implied by the NCPolynomial format. This ordering is a variant of the deg-lex ordering where the lexical ordering is Mathematica's natural ordering.

NCSimplifyRational is limited by its rule list and what rules are best is unknown and might depend on additional assumptions. For example:

NCSimplifyRational[y \*\* inv[y + x \*\* y]]

returns y \*\* inv[y + x \*\* y] not inv[1 + x], which is what one would expect if y were to be invertible. Indeed,

NCSimplifyRational[inv[y] \*\* inv[inv[y] + x \*\* inv[y]]]

does return inv[1 + x], since in this case the appearing of inv[y] trigger rules that implicitely assume y is invertible.

#### Members are:

- NCNormalizeInverse
- NCSimplifyRational
- $\bullet \ \ NC Simplify Rational Single Pass$
- NCPreSimplifyRational
- NCPreSimplifyRationalSinglePass

#### Aliases:

• NCSR for NCSimplifyRational

#### 10.5.1 NCNormalizeInverse

NCNormalizeInverse[expr] transforms all rational NC expressions of the form inv[K + b] into inv[1 + (1/K) b]/K if A is commutative.

 $See \ also: \ NCS implify Rational, \ NCS implify Rational Single Pass.$ 

# 10.5.2 NCSimplifyRational

NCSimplifyRational[expr] repeatedly applies NCSimplifyRationalSinglePass in an attempt to simplify the rational NC expression expr.

 $See \ also: \ NCNormalize Inverse, \ NCS implify Rational Single Pass.$ 

10.6. NCDIFF 77

## 10.5.3 NCSR

NCSR is an alias for NCSimplifyRational.

See also: NCSimplifyRational.

# 10.5.4 NCSimplifyRationalSinglePass

NCSimplifyRationalSinglePass[expr] applies a series of custom rules only once in an attempt to simplify the rational NC expression expr.

See also: NCNormalizeInverse, NCSimplifyRational.

# 10.5.5 NCPreSimplifyRational

NCPreSimplifyRational[expr] repeatedly applies NCPreSimplifyRationalSinglePass in an attempt to simplify the rational NC expression expr.

See also: NCNormalizeInverse, NCPreSimplifyRationalSinglePass.

# 10.5.6 NCPreSimplifyRationalSinglePass

NCPreSimplifyRationalSinglePass[expr] applies a series of custom rules only once in an attempt to simplify the rational NC expression expr.

See also: NCNormalizeInverse, NCPreSimplifyRational.

# 10.6 NCDiff

NCDiff is a package containing several functions that are used in noncommutative differention of functions and polynomials.

Members are:

- NCDirectionalD
- NCGrad
- NCHessian
- NCIntegrate

Members being deprecated:

• DirectionalD

#### 10.6.1 NCDirectionalD

NCDirectionalD[expr, {var1, h1}, ...] takes the directional derivative of expression expr with respect to variables var1, var2, ... successively in the directions h1, h2, ....

For example, if:

```
expr = a**inv[1+x]**b + x**c**x
```

then

NCDirectionalD[expr, {x,h}]

returns

```
h**c**x + x**c**h - a**inv[1+x]**h**inv[1+x]**b
```

In the case of more than one variables  $\texttt{NCDirectionalD[expr, \{x,h\}, \{y,k\}]}$  takes the directional derivative of expr with respect to x in the direction h and with respect to y in the direction h. For example, if:

```
expr = x**q**x - y**x
then
NCDirectionalD[expr, {x,h}, {y,k}]
returns
h**q**x + x**q*h - y**h - k**x
See also: NCGrad, NCHessian.
```

#### 10.6.2 NCGrad

NCGrad[expr, var1, ...] gives the nc gradient of the expression expr with respect to variables var1, var2, .... If there is more than one variable then NCGrad returns the gradient in a list.

The transpose of the gradient of the nc expression expr is the derivative with respect to the direction h of the trace of the directional derivative of expr in the direction h.

For example, if:

```
expr = x**a**x**b + x**c**x**d
then its directional derivative in the direction h is
NCDirectionalD[expr, {x,h}]
which returns
h**a**x**b + x**a**h**b + h**c**x**d + x**c**h**d
and
NCGrad[expr, x]
returns the nc gradient
a**x**b + b**x**a + c**x**d + d**x**c
For example, if:
expr = x**a**x**b + x**c**y**d
is a function on variables x and y then
NCGrad[expr, x, y]
returns the nc gradient list
{a**x**b + b**x**a + c**y**d, d**x**c}
```

**IMPORTANT**: The expression returned by NCGrad is the transpose or the adjoint of the standard gradient. This is done so that no assumption on the symbols are needed. The calculated expression is correct even if symbols are self-adjoint or symmetric.

See also: NCDirectionalD.

#### 10.6.3 NCHessian

NCHessian[expr, {var1, h1}, ...] takes the second directional derivative of nc expression expr with respect to variables var1, var2, ... successively in the directions h1, h2, ....

```
For example, if:
```

then

```
expr = y**inv[x]**y + x**a**x
```

10.7. NCCONVEXITY 79

```
NCHessian[expr, {x,h}, {y,s}]
returns
2 h**a**h + 2 s**inv[x]**s - 2 s**inv[x]**h**inv[x]**y -
2 y**inv[x]**h**inv[x]**s + 2 y**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h**inv[x]**h*
```

In the case of more than one variables NCHessian[expr, {x,h}, {y,k}] takes the second directional derivative of expr with respect to x in the direction h and with respect to y in the direction k.

See also: NCDiretionalD, NCGrad.

#### 10.6.4 DirectionalD

DirectionalD[expr,var,h] takes the directional derivative of nc expression expr with respect to the single variable var in direction h.

**DEPRECATION NOTICE**: This syntax is limited to one variable and is being deprecated in favor of the more general syntax in NCDirectionalD.

See also: NCDirectionalD.

# 10.6.5 NCIntegrate

NCIntegrate[expr, {var1, h1},...] attempts to calculate the nc antiderivative of nc expression expr with respect to the single variable var in direction h.

For example:

```
NCIntegrate[x**h+h**x, {x,h}]
returns
x**x
```

See also: NCDirectionalD.

# 10.7 NCConvexity

**NCConvexity** is a package that provides functionality to determine whether a rational or polynomial noncommutative function is convex.

Members are:

- NCIndependent
- NCConvexityRegion

# 10.7.1 NCIndependent

NCIndependent [list] attempts to determine whether the nc entries of list are independent.

Entries of NCIndependent can be no polynomials or no rationals.

For example:

```
NCIndependent[{x,y,z}]
return True while
NCIndependent[{x,0,z}]
NCIndependent[{x,y,x}]
NCIndependent[{x,y,x+y}]
NCIndependent[{x,y,x+y}]
```

NCIndependent[{inv[1+x]\*\*inv[x], inv[x], inv[1+x]}]

all return False.

See also: NCConvexityRegion.

# 10.7.2 NCConvexityRegion

NCConvexityRegion[expr,vars] is a function which can be used to determine whether the nc rational expr is convex in vars or not.

For example:

```
d = NCConvexityRegion[x**x**x, {x}];
```

 $\operatorname{returns}$ 

```
d = \{2 x, -2 inv[x]\}
```

from which we conclude that  $\mathbf{x}**\mathbf{x}**\mathbf{x}$  is not convex in  $\mathbf{x}$  because  $x \succ 0$  and  $-x^{-1} \succ 0$  cannot simultaneously hold.

NCConvexityRegion works by factoring the NCHessian, essentially calling:

```
hes = NCHessian[expr, {x, h}];
```

then

```
{lt, mq, rt} = NCMatrixOfQuadratic[hes, {h}]
```

to decompose the Hessian into a product of a left row vector, lt, times a middle matrix, mq, times a right column vector, rt. The middle matrix, mq, is factored using the NCLDLDecomposition:

```
{ldl, p, s, rank} = NCLDLDecomposition[mq];
{lf, d, rt} = GetLDUMatrices[ldl, s];
```

from which the output of NCConvexityRegion is the a list with the block-diagonal entries of the matrix d.

See also: NCHessian, NCMatrixOfQuadratic, NCLDLDecomposition.

# Chapter 11

# Packages for manipulating NC block matrices

# 11.1 NCDot

Members are:

- tpMat
- ajMat
- coMat
- NCDot
- NCInverse
- NCMatrixExpand

# 11.1.1 tpMat

tpMat[mat] gives the transpose of matrix mat using tp.

See also: ajMat, coMat, NCDot.

# 11.1.2 ajMat

ajMat[mat] gives the adjoint transpose of matrix mat using aj instead of ConjugateTranspose.

See also: tpMat, coMat, NCDot.

#### 11.1.3 coMat

coMat[mat] gives the conjugate of matrix mat using co instead of Conjugate.

See also: tpMat, ajMat, NCDot.

## 11.1.4 NCDot

NCDot[mat1, mat2, ...] gives the matrix multiplication of mat1, mat2, ... using NonCommutativeMultiply rather than Times.

#### Notes:

The experienced matrix analyst should always remember that the Mathematica convention for handling vectors is tricky.

- {{1,2,4}} is a 1x3 *matrix* or a *row vector*;
- $\{\{1\},\{2\},\{4\}\}$  is a 3x1 matrix or a column vector;
- {1,2,4} is a *vector* but **not** a *matrix*. Indeed whether it is a row or column vector depends on the context. We advise not to use *vectors*.

See also: tpMat, ajMat, coMat.

#### 11.1.5 NCInverse

NCInverse [mat] gives the nc inverse of the square matrix mat. NCInverse uses partial pivoting to find a nonzero pivot.

NCInverse is primarily used symbolically. Usually the elements of the inverse matrix are huge expressions. We recommend using NCSimplifyRational to improve the results.

See also: tpMat, ajMat, coMat.

# 11.1.6 NCMatrixExpand

NCMatrixExpand[expr] expands inv and \*\* of matrices appearing in nc expression expr. It effectively substitutes inv for NCInverse and \*\* by NCDot.

See also: NCInverse, NCDot.

# 11.2 NCMatrixDecompositions

NCMatrixDecompositions provide noncommutative versions of the linear algebra algorithms in the package MatrixDecompositions.

See the documentation for the package MatrixDecompositions for details on the algorithms and options.

Members are:

- Decompositions
  - $\ {\it NCLUDe composition With Partial Pivoting}$
  - $\ \, NCLUDe composition With Complete Pivoting$
  - NCLDLDecomposition
- Solvers
  - NCLowerTriangularSolve
  - NCUpperTriangularSolve
  - NCLUInverse
- Utilities
  - NCLUCompletePivoting
  - NCLUPartialPivoting
  - NCLeftDivide
  - NCRightDivide

## 11.2.1 NCLUDecompositionWithPartialPivoting

NCLUDecompositionWithPartialPivoting is a noncommutative version of NCLUDecompositionWithPartialPivoting.

The following options can be given:

- ZeroTest (PossibleZeroQ): function used to decide if a pivot is zero;
- RightDivide (NCRightDivide): function used to divide a vector by an entry;
- Dot (NCDot): function used to multiply vectors and matrices;
- Pivoting (NCLUPartialPivoting): function used to sort rows for pivoting;

• SuppressPivoting (False): whether to perform pivoting or not.

See also: LUDecompositionWithPartialPivoting.

# 11.2.2 NCLUDecompositionWithCompletePivoting

NCLUDecompositionWithCompletePivoting is a noncommutative version of NCLUDecompositionWithCompletePivoting.

The following options can be given:

- ZeroTest (PossibleZeroQ): function used to decide if a pivot is zero;
- RightDivide (NCRightDivide): function used to divide a vector by an entry;
- Dot (NCDot): function used to multiply vectors and matrices;
- Pivoting (NCLUCompletePivoting): function used to sort rows for pivoting;
- SuppressPivoting (False): whether to perform pivoting or not.

See also: LUDecompositionWithCompletePivoting.

# 11.2.3 NCLDLDecomposition

NCLDLDecomposition is a noncommutative version of LDLDecomposition.

The following options can be given:

- ZeroTest (PossibleZeroQ): function used to decide if a pivot is zero;
- RightDivide (NCRightDivide): function used to divide a vector by an entry on the right;
- LeftDivide (NCLeftDivide): function used to divide a vector by an entry on the left;
- Dot (NCDot): function used to multiply vectors and matrices;
- CompletePivoting (NCLUCompletePivoting): function used to sort rows for complete pivoting;
- PartialPivoting (NCLUPartialPivoting): function used to sort matrices for complete pivoting;
- Inverse (NCLUInverse): function used to invert 2x2 diagonal blocks;
- SelfAdjointMatrixQ (NCSelfAdjointQ): function to test if matrix is self-adjoint;
- SuppressPivoting (False): whether to perform pivoting or not.

See also: LUDecompositionWithCompletePivoting.

# 11.2.4 NCUpperTriangularSolve

NCUpperTriangularSolve is a noncommutative version of UpperTriangularSolve.

See also: UpperTriangularSolve.

# 11.2.5 NCLowerTriangularSolve

NCLowerTriangularSolve is a noncommutative version of LowerTriangularSolve.

See also: LowerTriangularSolve.

#### 11.2.6 NCLUInverse

NCLUInverse is a noncommutative version of LUInverse.

See also: LUInverse.

# 11.2.7 NCLUPartialPivoting

NCLUPartialPivoting is a noncommutative version of LUPartialPivoting.

See also: LUPartialPivoting.

# 11.2.8 NCLUCompletePivoting

NCLUCompletePivoting is a noncommutative version of LUCompletePivoting.

See also: LUCompletePivoting.

#### 11.2.9 NCLeftDivide

```
\texttt{NCLeftDivide}[x,y] divides each entry of the list y by x on the left.
```

For example:

```
NCLeftDivide[x, {a,b,c}]
returns
{inv[x]**a, inv[x]**b, inv[x]**c}
See also: NCRightDivide.
```

# 11.2.10 NCRightDivide

NCRightDivide[x,y] divides each entry of the list x by y on the right.

For example:

```
NCRightDivide[{a,b,c}, y]
returns
{a**inv[y], b**inv[y], c**inv[y]}
See also: NCLeftDivide.
```

# 11.3 MatrixDecompositions: linear algebra templates

MatrixDecompositions is a package that implements various linear algebra algorithms, such as *LU Decomposition* with *partial* and *complete pivoting*, and *LDL Decomposition*. The algorithms have been written with correctness and ease of customization rather than efficiency as the main goals. They were originally developed to serve as the core of the noncommutative linear algebra algorithms for NCAlgebra.

See the package NCMatrixDecompositions for noncommutative versions of these algorithms.

Members are:

- Decompositions
  - LUDecompositionWithPartialPivoting
  - $\ LUDe composition With Complete Pivoting$
  - LDLDecomposition
  - LURowReduce
  - LURowReduceIncremental
- Solvers
  - LowerTriangularSolve
  - UpperTriangularSolve
  - LUInverse
- Utilities
  - GetLUMatrices
  - GetFullLUMatrices
  - GetLDUMatrices
  - GetFullLDUMatrices
  - GetDiagonal

- LUPartialPivoting
- LUCompletePivoting

# 11.3.1 LUDecompositionWithPartialPivoting

LUDecompositionWithPartialPivoting[m] generates a representation of the LU decomposition of the rectangular matrix m.

LUDecompositionWithPartialPivoting[m, options] uses options.

LUDecompositionWithPartialPivoting returns a list of two elements:

- the first element is a combination of upper- and lower-triangular matrices;
- the second element is a vector specifying rows used for pivoting.

LUDecompositionWithPartialPivoting is similar in functionality with the built-in LUDecomposition. It implements a partial pivoting strategy in which the sorting can be configured using the options listed below. It also applies to general rectangular matrices as well as square matrices.

The triangular factors are recovered using GetLUMatrices or GetFullLUMatrices.

The following options can be given:

- ZeroTest (PossibleZeroQ): function used to decide if a pivot is zero;
- RightDivide (Divide): function used to divide a vector by an entry;
- Dot (Dot): function used to multiply vectors and matrices;
- Pivoting (LUPartialPivoting): function used to sort rows for pivoting;
- SuppressPivoting (False): whether to perform pivoting or not.

See also: LUDecompositionWithPartialPivoting, LUDecompositionWithCompletePivoting, GetLUMatrices, GetFullLUMatrices, LUPartialPivoting.

## 11.3.2 LUDecompositionWithCompletePivoting

LUDecompositionWithCompletePivoting[m] generates a representation of the LU decomposition of the rectangular matrix m.

LUDecompositionWithCompletePivoting[m, options] uses options.

LUDecompositionWithCompletePivoting returns a list of four elements:

- the first element is a combination of upper- and lower-triangular matrices;
- the second element is a vector specifying rows used for pivoting;
- the third element is a vector specifying columns used for pivoting;
- the fourth element is the rank of the matrix.

LUDecompositionWithCompletePivoting implements a *complete pivoting* strategy in which the sorting can be configured using the options listed below. It also applies to general rectangular matrices as well as square matrices.

The triangular factors are recovered using GetLUMatrices or GetFullLUMatrices.

The following options can be given:

- ZeroTest (PossibleZeroQ): function used to decide if a pivot is zero;
- Divide (Divide): function used to divide a vector by an entry;
- Dot (Dot): function used to multiply vectors and matrices;
- Pivoting (LUCompletePivoting): function used to sort rows for pivoting;

See also: LUDecomposition, GetLUMatrices, GetFullLUMatrices, LUCompletePivoting, LUDecomposition-WithPartialPivoting.

# 11.3.3 LDLDecomposition

 $\label{locomposition matrix m.} \textbf{LDLDecomposition [m]} \ \ generates \ a \ representation \ of the \ LDL \ decomposition \ of the \ symmetric \ or \ self-adjoint \ matrix \ m.$ 

LDLDecomposition[m, options] uses options.

LDLDecomposition returns a list of four elements:

- the first element is a combination of upper- and lower-triangular matrices;
- the second element is a vector specifying rows and columns used for pivoting;
- the third element is a vector specifying the size of the diagonal blocks (entries can be either 1 or 2);
- the fourth element is the rank of the matrix.

LUDecompositionWithCompletePivoting implements a *Bunch-Parlett pivoting* strategy in which the sorting can be configured using the options listed below. It applies only to square symmetric or self-adjoint matrices.

The triangular factors are recovered using GetLDUMatrices or GetFullLDUMatrices.

The following options can be given:

- ZeroTest (PossibleZeroQ): function used to decide if a pivot is zero;
- RightDivide (Divide): function used to divide a vector by an entry on the right;
- LeftDivide (Divide): function used to divide a vector by an entry on the left;
- Dot (Dot): function used to multiply vectors and matrices;
- CompletePivoting (LUCompletePivoting): function used to sort rows for complete pivoting;
- PartialPivoting (LUPartialPivoting): function used to sort matrices for complete pivoting;
- Inverse (Inverse): function used to invert 2x2 diagonal blocks;
- SelfAdjointMatrixQ (HermitianQ): function to test if matrix is self-adjoint;
- SuppressPivoting (False): whether to perform pivoting or not.

See also: LUDecompositionWithPartialPivoting, LUDecompositionWithCompletePivoting, GetLUMatrices, GetFullLDUMatrices, LUCompletePivoting, LUPartialPivoting.

# 11.3.4 UpperTriangularSolve

UpperTriangularSolve[u, b] solves the upper-triangular system of equations ux = b using back-substitution.

For example:

```
x = UpperTriangularSolve[u, b];
```

returns the solution x.

 ${\bf See\ also:\ LUDe composition With Partial Pivoting,\ LUDe composition With Complete Pivoting,\ LDLDe composition.}$ 

## 11.3.5 LowerTriangularSolve

LowerTriangularSolve[1, b] solves the lower-triangular system of equations lx = b using forward-substitution.

For example:

```
x = LowerTriangularSolve[1, b];
```

returns the solution x.

 ${\bf See\ also:\ LUDe composition With Partial Pivoting,\ LUDe composition With Complete Pivoting,\ LDLDe composition.}$ 

## 11.3.6 LUInverse

LUInverse[a] calculates the inverse of matrix a.

LUInverse uses the LUDecompositionWithPartialPivoting and the triangular solvers LowerTriangularSolve and UpperTriangularSolve.

See also: LUDecompositionWithPartialPivoting.

#### 11.3.7 GetLUMatrices

GetLUMatrices[m] extracts lower- and upper-triangular blocks produced by LDUDecompositionWithPartialPivoting and LDUDecompositionWithCompletePivoting.

For example:

```
{lu, p} = LUDecompositionWithPartialPivoting[A];
{l, u} = GetLUMatrices[lu];
```

returns the lower-triangular factor 1 and upper-triangular factor u as SparseArrays.

 ${\bf See\ also:\ LUDe composition With Partial Pivoting,\ LUDe composition With Complete Pivoting,\ Get Full LUM attrices.}$ 

#### 11.3.8 GetFullLUMatrices

GetFullLUMatrices[m] extracts lower- and upper-triangular blocks produced by LDUDecompositionWithPartialPivoting and LDUDecompositionWithCompletePivoting.

For example:

```
{lu, p} = LUDecompositionWithPartialPivoting[A];
{l, u} = GetFullLUMatrices[lu];
```

returns the lower-triangular factor 1 and upper-triangular factor u.

See also: LUDecompositionWithPartialPivoting, LUDecompositionWithCompletePivoting, GetLUMatrices.

#### 11.3.9 GetLDUMatrices

GetLDUMatrices [m,s] extracts lower-, upper-triangular and diagonal blocks produced by LDLDecomposition.

For example:

```
{ldl, p, s, rank} = LDLDecomposition[A];
{l,d,u} = GetLDUMatrices[ldl,s];
```

returns the lower-triangular factor 1, the upper-triangular factor u, and the block-diagonal factor d as SparseArrays.

See also: LDLDecomposition, GetFullLDUMatrices.

#### 11.3.10 GetFullLDUMatrices

GetLDUMatrices[m,s] extracts lower-, upper-triangular and diagonal blocks produced by LDLDecomposition.

For example:

```
{ldl, p, s, rank} = LDLDecomposition[A];
{l,d,u} = GetLDUMatrices[ldl,s];
```

returns the lower-triangular factor 1, the upper-triangular factor u, and the block-diagonal factor d.

See also: LDLDecomposition, GetLDUMatrices.

# 11.3.11 GetDiagonal

GetDiagonal[m] extracts the diagonal entries of matrix m.

GetDiagonal[m, s] extracts the block-diagonal entries of matrix m with block size s.

For example:

```
d = GetDiagonal[{{1,-1,0},{-1,2,0},{0,0,3}}];
returns
d = {1,2,3}
and
d = GetDiagonal[{{1,-1,0},{-1,2,0},{0,0,3}}, {2,1}];
returns
d = {{{1,-1},{-1,2}},3}
```

See also: LDLDecomposition.

# 11.3.12 LUPartialPivoting

LUPartialPivoting[v] returns the index of the element with largest absolute value in the vector v. If v is a matrix, it returns the index of the element with largest absolute value in the first column.

LUPartialPivoting[v, f] sorts with respect to the function f instead of the absolute value.

See also: LUDecompositionWithPartialPivoting, LUCompletePivoting.

# 11.3.13 LUCompletePivoting

LUCompletePivoting[m] returns the row and column index of the element with largest absolute value in the matrix m.

LUCompletePivoting[v, f] sorts with respect to the function f instead of the absolute value.

See also: LUDecompositionWithCompletePivoting, LUPartialPivoting.

# 11.3.14 LURowReduce

## 11.3.15 LURowReduceIncremental

# Chapter 12

# Packages for pretty output, testing, and utilities

# 12.1 NCOutput

**NCOutput** is a package that can be used to beautify the display of noncommutative expressions. NCOutput does not alter the internal representation of nc expressions, just the way they are displayed on the screen.

Members are:

• NCSetOutput

# 12.1.1 NCSetOutput

NCSetOutput[options] controls the display of expressions in a special format without affecting the internal representation of the expression.

The following options can be given:

- NonCommutativeMultiply (False): If True x\*\*y is displayed as 'x y';
- tp (True): If True tp[x] is displayed as 'x";
- inv (True): If True inv[x] is displayed as 'x<sup>-1</sup>';
- aj (True): If True aj [x] is displayed as 'x\*';
- co (True): If True co[x] is displayed as 'x̄';
- rt (True): If True rt[x] is displayed as 'x<sup>1/2</sup>';
- All: Set all available options to True or False.

See also: NCTex, NCTexForm.

# 12.2 NCTeX

Members are:

- NCTeX
- NCRunDVIPS
- NCRunLaTeX
- NCRunPDFLaTeX
- NCRunPDFViewer
- NCRunPS2PDF

## 12.2.1 NCTeX

NCTeX[expr] typesets the LaTeX version of expr produced with TeXForm or NCTeXForm using LaTeX.

#### 12.2.2 NCRunDVIPS

NCRunDVIPS[file] run dvips on file. Produces a ps output.

#### 12.2.3 NCRunLaTeX

NCRunLaTeX[file] typesets the LaTeX file with latex. Produces a dvi output.

#### 12.2.4 NCRunPDFLaTeX

NCRunLaTeX[file] typesets the LaTeX file with pdflatex. Produces a pdf output.

#### 12.2.5 NCRunPDFViewer

NCRunPDFViewer[file] display pdf file.

# 12.2.6 NCRunPS2PDF

NCRunPS2PDF[file] run pd2pdf on file. Produces a pdf output.

# 12.3 NCTeXForm

Members are:

- NCTeXForm
- NCTeXFormSetStarStar

## 12.3.1 NCTeXForm

NCTeXForm[expr] prints a LaTeX version of expr.

The format is compatible with AMS-LaTeX.

Should work better than the Mathematica TeXForm:)

# 12.3.2 NCTeXFormSetStarStar

NCTeXFormSetStarStar[string] replaces the standard '\*\*' for string in noncommutative multiplications.

For example:

NCTeXFormSetStarStar["."]

uses a dot (.) to replace NonCommutativeMultiply(\*\*).

See also: NCTeXFormSetStar.

## 12.3.3 NCTeXFormSetStar

NCTeXFormSetStar[string] replaces the standard '\*' for string in noncommutative multiplications.

For example:

NCTeXFormSetStar[" "]

12.4. NCRUN 91

uses a space () to replace Times(\*).

NCTeXFormSetStarStar.

# 12.4 NCRun

Members are:

• NCRun

#### 12.4.1 NCRun

NCRun[command] is a replacement for the built-in Run command that gives a bit more control over the execution process.

NCRun[command, options] uses options.

The following options can be given:

- Verbose (True): print information on command being run;
- CommandPrefix (""): prefix to command;

See also: Run.

# 12.5 NCTest

These are commands for automatically testing if our algorithms produce the correct answer. Problems and answers are stored under the directory NC/TESTING.

Members are:

- NCTest
- NCTestCheck
- NCTestRun
- NCTestSummarize

#### 12.5.1 NCTest

NCTest[expr,answer] asserts whether expr is equal to answer. The result of the test is collected when NCTest is run from NCTestRun.

See also: NCTestCheck, NCTestRun, NCTestSummarize.

#### 12.5.2 NCTestCheck

NCTestCheck[expr,messages] evaluates expr and asserts that the messages in messages have been issued. The result of the test is collected when NCTest is run from NCTestRun.

NCTestCheck[expr,answer,messages] also asserts whether expr is equal to answer.

NCTestCheck[expr,answer,messages,quiet] quiets messages in quiet.

See also: NCTest, NCTestRun, NCTestSummarize.

#### 12.5.3 NCTestRun

NCTest[list] runs the test files listed in list after appending the '.NCTest' suffix and return the results.

For example:

results = NCTestRun[{"NCCollect", "NCSylvester"}]

will run the test files "NCCollect.NCTest" and "NCSylvester.NCTest" and return the results in results.

See also: NCTest, NCTestCheck, NCTestSummarize.

## 12.5.4 NCTestSummarize

NCTestSummarize[results] will print a summary of the results in results as produced by NCTestRun.

See also: NCTestRun.

# 12.6 NCDebug

Members are:

• NCDebug

# 12.6.1 NCDebug

NCDebug[level, message] prints the objects message if level is higher than the current DebugLevel option.

Use SetOptions[NCDebug, DebugLevel -> level] to set up the current debug level.

Available options are:

- DebugLevel (0): current debug level;
- DebugLogFile (\$Ouput): current file to which messages are printed.

## 12.7 NCUtil

NCUtil is a package with a collection of utilities used throughout NCAlgebra.

Members are:

- NCConsistentQ
- NCGrabFunctions
- $\bullet \ \ NCGrabSymbols$
- NCGrabIndeterminants
- NCVariables
- NCConsolidateList
- NCLeafCount
- NCReplaceData
- NCToExpression

# 12.7.1 NCConsistentQ

NCConsistentQ[expr] returns True is expr contains no commutative products or inverses involving noncommutative variables.

# 12.7.2 NCGrabFunctions

NCGrabFunctions [expr] returns a list with all fragments of expr containing functions.

NCGrabFunctions[expr,f] returns a list with all fragments of expr containing the function f.

For example:

NCGrabFunctions[inv[x] + tp[y]\*\*inv[1+inv[1+tp[x]\*\*y]], inv]

12.7. NCUTIL 93

```
returns
{inv[1+inv[1+tp[x]**y]], inv[1+tp[x]**y], inv[x]}
and
NCGrabFunctions[inv[x] + tp[y]**inv[1+inv[1+tp[x]**y]]]
returns
{inv[1+inv[1+tp[x]**y]], inv[1+tp[x]**y], inv[x], tp[x], tp[y]}
See also: NCGrabSymbols.
```

# 12.7.3 NCGrabSymbols

NCGrabSymbols[expr] returns a list with all Symbols appearing in expr.

NCGrabSymbols[expr,f] returns a list with all *Symbols* appearing in expr as the single argument of function f.

For example:

```
NCGrabSymbols[inv[x] + y**inv[1+inv[1+x**y]]]
returns {x,y} and
NCGrabSymbols[inv[x] + y**inv[1+inv[1+x**y]], inv]
returns {inv[x]}.
See also: NCGrabFunctions.
```

#### 12.7.4 NCGrabIndeterminants

NCGrabIndeterminants[expr] returns a list with first level symbols and nc expressions involved in sums and nc products in expr.

For example:

```
NCGrabIndeterminants[y - inv[x] + tp[y]**inv[1+inv[1+tp[x]**y]]]
returns
{y, inv[x], inv[1 + inv[1 + tp[x] ** y]], tp[y]}
See also: NCGrabFunctions, NCGrabSymbols.
```

#### 12.7.5 NCVariables

NCVariables [expr] gives a list of all independent nc variables in the expression expr.

For example:

```
NCVariables[B + A y ** x ** y - 2 x]
returns
{x,y}
```

See also: NCGrabSymbols.

# 12.7.6 NCConsolidateList

NCConsolidateList[list] produces two lists:

- The first list contains a version of list where repeated entries have been suppressed;
- The second list contains the indices of the elements in the first list that recover the original list.

For example:

```
{list,index} = NCConsolidateList[{z,t,s,f,d,f,z}];
results in:
list = {z,t,s,f,d};
index = {1,2,3,4,5,4,1};
See also: Union
```

#### 12.7.7 NCLeafCount

NCLeafCount[expr] returns an number associated with the complexity of an expression:

- If PossibleZeroQ[expr] == True then NCLeafCount[expr] is -Infinity;
- If NumberQ[expr]] == True then NCLeafCount[expr] is Abs[expr];
- Otherwise NCLeafCount[expr] is -LeafCount[expr];

NCLeafCount is Listable.

See also: LeafCount.

# 12.7.8 NCReplaceData

NCReplaceData[expr, rules] applies rules to expr and convert resulting expression to standard Mathematica, for example replacing \*\* by ..

NCReplaceData does not attempt to resize entries in expressions involving matrices. Use NCToExpression for that.

See also: NCToExpression.

#### 12.7.9 NCToExpression

NCToExpression[expr, rules] applies rules to expr and convert resulting expression to standard Mathematica.

NCToExpression attempts to resize entries in expressions involving matrices.

See also: NCReplaceData.

# Chapter 13

# Data structures for fast calculations

This chapter describes packages that handle special data structures that enable fast calculations in Mathematica.

# 13.1 NCPoly

# 13.1.1 Efficient storage of NC polynomials with rational coefficients

#### Members are:

- Constructors
  - NCPoly
  - NCPolyMonomial
  - NCPolyConstant
  - NCPolyConvert
  - NCPolyFromCoefficientArray
  - NCPolyFromGramMatrix
- Access and utilities
  - NCPolyMonomialQ
  - NCPolyDegree
  - NCPolyPartialDegree
  - NCPolyMonomialDegree
  - NCPolyNumberOfVariables
  - NCPolyNumberOfTerms
  - NCPolyCoefficient
  - NCPolyCoefficientArray
  - NCPolyGramMatrix
  - NCPolyGetCoefficients
  - NCPolyGetDigits
  - NCPolyGetIntegers
  - NCPolyLeadingMonomial
  - NCPolyLeadingTerm
  - NCPolyOrderType
  - NCPolyToRule
  - NCPolyTermsOfDegree
  - NCPolyTermsOfTotalDegree
  - NCPolyQuadraticTerms
  - NCPolyQuadraticChipset
  - NCPolyReverseMonomials

- NCPolyGetOptions
- Formatting
  - NCPolyDisplay
  - NCPolyDisplayOrder
- Arithmetic
  - NCPolyDivideDigits
  - NCPolyDivideLeading
  - NCPolyFullReduce
  - NCPolyNormalize
  - NCPolyProduct
  - NCPolyQuotientExpand
  - NCPolyReduce
  - NCPolySum
- State space realization
  - NCPolyHankelMatrix
  - NCPolyRealization (#NCPolyRealization)
- Auxiliary functions
  - NCPolyVarsToIntegers
  - NCFromDigits
  - NCIntegerDigits
  - NCIntegerReverse
  - NCDigitsToIndex
  - NCPadAndMatch

## 13.1.2 Ways to represent NC polynomials

#### 13.1.2.1 NCPoly

NCPoly[coeff, monomials, vars] constructs a noncommutative polynomial object in variables vars where the monomials have coefficient coeff.

Monomials are specified in terms of the symbols in the list vars as in NCPolyMonomial.

For example:

```
vars = \{x,y,z\};
poly = NCPoly[\{-1, 2\}, \{\{x,y,x\}, \{z\}\}, \text{ vars}\};
```

constructs an object associated with the noncommutative polynomial 2z - xyx in variables x, y and z.

The internal representation varies with the implementation but it is so that the terms are sorted according to a degree-lexicographic order in vars. In the above example, x < y < z.

The construction:

```
vars = \{\{x\}, \{y,z\}\};
poly = NCPoly[\{-1, 2\}, \{\{x,y,x\}, \{z\}\}, \text{vars}\};
```

represents the same polyomial in a graded degree-lexicographic order in vars, in this example, x << y < z.

See also: NCPolyMonomial, NCIntegerDigits, NCFromDigits.

#### 13.1.2.2 NCPolyMonomial

NCPolyMonomial [monomial, vars] constructs a noncommutative monomial object in variables vars.

Monic monomials are specified in terms of the symbols in the list vars, for example:

```
vars = {x,y,z};
mon = NCPolyMonomial[{x,y,x},vars];
```

13.1. NCPOLY 97

returns an NCPoly object encoding the monomial xyx in noncommutative variables x,y, and z. The actual representation of mon varies with the implementation.

Monomials can also be specified implicitly using indices, for example:

```
mon = NCPolyMonomial[{0,1,0}, 3];
```

also returns an NCPoly object encoding the monomial xyx in noncommutative variables x,y, and z.

If graded ordering is supported then

```
vars = {{x},{y,z}};
mon = NCPolyMonomial[{x,y,x},vars];
or
mon = NCPolyMonomial[{0,1,0}, {1,2}];
```

construct the same monomial xyx in noncommutative variables x,y, and z this time using a graded order in which  $x \ll y \leqslant z$ .

There is also an alternative syntax for NCPolyMonomial that allows users to input the monomial along with a coefficient using rules and the output of NCFromDigits. For example:

```
mon = NCPolyMonomial[{3, 3} -> -2, 3];

or

mon = NCPolyMonomial[NCFromDigits[{0,1,0}, 3] -> -2, 3];

represent the monomial -2xyx that has coefficient -2.

See also: NCPoly, NCIntegerDigits, NCFromDigits.
```

# 13.1.2.3 NCPolyConstant

NCPolyConstant[value, vars] constructs a noncommutative monomial object in variables vars representing the constant value.

For example:

```
NCPolyConstant[3, {x, y, z}]
```

constructs an object associated with the constant 3 in variables x, y and z.

See also: NCPoly, NCPolyMonomial.

## 13.1.2.4 NCPolyConvert

NCPolyConvert[poly, vars] convert NCPoly poly to the ordering implied by vars.

```
For example, if
```

```
vars1 = {{x, y, z}};
coeff = {1, 2, 3, -1, -2, -3, 1/2};
mon = {{}}, {x}, {z}, {x, y}, {x, y, x, x}, {z, x}, {z, z, z}};
poly1 = NCPoly[coeff, mon, vars1];
with respect to the ordering
x \ll y \ll z
then
vars2 = {{x},{y,z}};
poly2 = NCPolyConvert[poly, vars];
```

is the same polynomial as poly1 but in the ordering

$$x \ll y < z$$

See also: NCPoly, NCPolyCoefficient.

#### 13.1.2.5 NCPolyFromCoefficientArray

NCPolyFromCoefficientArray[mat, vars] returns an NCPoly constructed from the coefficient array mat in variables vars.

For example, for mat equal to the SparseArray corresponding to the rules:

the commands

 $vars = \{\{x\}, \{y,z\}\};$ 

NCPolyFromCoefficientArray[mat, vars]

return

See also: NCPolyCoefficientArray, NCPolyCoefficient.

## 13.1.2.6 NCPolyFromGramMatrix

NCPolyFromGramMatrix[mat, vars] returns an NCPoly constructed from the Gram matrix mat in variables vars.

For example, for mat equal to the SparseArray corresponding to the rules:

$$\{\{1, 1\} \rightarrow 1, \{2, 1\} \rightarrow 2, \{2, 3\} \rightarrow -1, \{4, 1\} \rightarrow 3, \{4, 2\} \rightarrow -3, \{6, 5\} \rightarrow -2, \{13, 13\} \rightarrow 1/2\}$$

the commands

 $vars = \{\{x\}, \{y,z\}\};$ 

NCPolyFromCoefficientArray[mat, vars]

return

See also: NCPolyGramMatrix.

#### 13.1.3 Access and utility functions

## 13.1.3.1 NCPolyMonomialQ

NCPolyMonomialQ[poly] returns True if poly is a NCPoly monomial.

See also: NCPoly, NCPolyMonomial.

#### 13.1.3.2 NCPolyDegree

NCPolyDegree[poly] returns the degree of the nc polynomial poly.

See also: NCPolyPartialDegree

13.1. NCPOLY 99

#### 13.1.3.3 NCPolyPartialDegree

NCPolyPartialDegree[poly] returns the maximum degree appearing in the monomials of the nc polynomial poly.

See also: NCPolyDegree

#### 13.1.3.4 NCPolyMonomialDegree

NCPolyMonomialDegree[poly] returns the partial degree of each symbol appearing in the monomials of the nc polynomial poly.

See also: NCPolyDegree

#### 13.1.3.5 NCPolyNumberOfVariables

NCPolyNumberOfVariables[poly] returns the number of variables of the nc polynomial poly.

#### 13.1.3.6 NCPolyNumberOfTerms

NCPolyNumberOfTerms[poly] returns the number of terms of the nc polynomial poly.

#### 13.1.3.7 NCPolyCoefficient

NCPolyCoefficient [poly, mon] returns the coefficient of the monomial mon in the nc polynomial poly.

For example, in:

```
coeff = {1, 2, 3, -1, -2, -3, 1/2};
mon = {{}, {x}, {z}, {x, y}, {x, y, x, x}, {z, x}, {z, z, z}};
vars = {x,y,z};
poly = NCPoly[coeff, mon, vars];

c = NCPolyCoefficient[poly, NCPolyMonomial[{x,y},vars]];
returns
c = -1
See also: NCPoly, NCPolyMonomial.
```

#### 13.1.3.8 NCPolyCoefficientArray

NCPolyCoefficientArray[poly] returns a coefficient array corresponding to the monomials in the nc polynomial poly.

For example:

```
coeff = {1, 2, 3, -1, -2, -3, 1/2};
mon = {{}, {x}, {z}, {x, y}, {x, y, x, x}, {z, x}, {z, z, z}};
vars = {x,y,z};
poly = NCPoly[coeff, mon, vars];
mat = NCPolyCoefficient[poly];
returns mat as a SparseArray corresponding to the rules:
{{1} -> 1, {2} -> 2, {6} -> -1, {50} -> -2, {4} -> 3, {11} -> -3, {121} -> 1/2}
```

See also: NCPolyFromCoefficientArray, NCPolyCoefficient.

#### 13.1.3.9 NCPolyGramMatrix

NCPolyGramMatrix[poly] returns a Gram matrix corresponding to the monomials in the nc polynomial poly.

For example:

```
coeff = {1, 2, 3, -1, -2, -3, 1/2};
mon = {{}, {x}, {z}, {x, y}, {x, y, x, x}, {z, x}, {z, z, z};
vars = {x,y,z};
poly = NCPoly[coeff, mon, vars];

mat = NCPolyGramMatrix[poly];
returns mat as a SparseArray corresponding to the rules:
{{1, 1} -> 1, {2, 1} -> 2, {2, 3} -> -1, {4, 1} -> 3, {4, 2} -> -3, {6, 5} -> -2, {13, 13} -> 1/2}
See also: NCPolyFromGramMatrix.
```

#### 13.1.3.10 NCPolyGetCoefficients

NCPolyGetCoefficients[poly] returns a list with the coefficients of the monomials in the nc polynomial poly.

For example:

```
vars = {x,y,z};
poly = NCPoly[{-1, 2}, {{x,y,x}, {z}}, vars];
coeffs = NCPolyGetCoefficients[poly];
returns
coeffs = {2,-1}
```

The coefficients are returned according to the current graded degree-lexicographic ordering, in this example x < y < z.

See also: NCPolyGetDigits, NCPolyCoefficient, NCPoly.

# 13.1.3.11 NCPolyGetDigits

NCPolyGetDigits[poly] returns a list with the digits that encode the monomials in the nc polynomial poly as produced by NCIntegerDigits.

For example:

```
vars = {x,y,z};
poly = NCPoly[{-1, 2}, {{x,y,x}, {z}}, vars];
digits = NCPolyGetDigits[poly];
returns
digits = {{2}, {0,1,0}}
```

The digits are returned according to the current ordering, in this example x < y < z.

See also: NCPolyGetCoefficients, NCPoly.

#### 13.1.3.12 NCPolyGetIntegers

NCPolyGetIntegers[poly] returns a list with the digits that encode the monomials in the nc polynomial poly as produced by NCFromDigits.

13.1. NCPOLY 101

For example:

```
vars = {x,y,z};
poly = NCPoly[{-1, 2}, {{x,y,x}, {z}}, vars];
digits = NCPolyGetIntegers[poly];
returns
digits = {{1,2}, {3,3}}
```

The digits are returned according to the current ordering, in this example x < y < z.

See also: NCPolyGetCoefficients, NCPoly.

#### 13.1.3.13 NCPolyLeadingMonomial

NCPolyLeadingMonomial[poly] returns an NCPoly representing the leading term of the nc polynomial poly.

For example:

```
vars = {x,y,z};
poly = NCPoly[{-1, 2}, {{x,y,x}, {z}}, vars];
lead = NCPolyLeadingMonomial[poly];
```

returns an NCPoly representing the monomial xyx. The leading monomial is computed according to the current ordering, in this example x < y < z. The actual representation of lead varies with the implementation.

See also: NCPolyLeadingTerm, NCPolyMonomial, NCPoly.

#### 13.1.3.14 NCPolyLeadingTerm

NCPolyLeadingTerm[poly] returns a rule associated with the leading term of the nc polynomial poly as understood by NCPolyMonomial.

For example:

```
vars = {x,y,z};
poly = NCPoly[{-1, 2}, {{x,y,x}, {z}}, vars];
lead = NCPolyLeadingTerm[poly];
returns
lead = {3,3} -> -1
```

representing the monomial -xyx. The leading monomial is computed according to the current ordering, in this example x < y < z.

See also: NCPolyLeadingMonomial, NCPolyMonomial, NCPoly.

# $13.1.3.15 \quad NCPolyOrderType$

NCPolyOrderType[poly] returns the type of monomial order in which the nc polynomial poly is stored. Order can be NCPolyGradedDegLex or NCPolyDegLex.

See also: NCPoly,

#### 13.1.3.16 NCPolyToRule

NCPolyToRule[poly] returns a Rule associated with polynomial poly. If poly = lead + rest, where lead is the leading term in the current order, then NCPolyToRule[poly] returns the rule lead -> -rest where the coefficient of the leading term has been normalized to 1.

For example:

```
vars = {x, y, z};
poly = NCPoly[{-1, 2, 3}, {{x, y, x}, {z}, {x, y}}, vars];
rule = NCPolyToRule[poly]
```

returns the rule lead -> rest where lead represents is the nc monomial xyx and rest is the nc polynomial 2z + 3xy

See also: NCPolyLeadingTerm, NCPolyLeadingMonomial, NCPoly.

#### 13.1.3.17 NCPolyTermsOfDegree

 ${\tt NCPolyTermsOfDegree[p, d]}$  returns a polynomial p in which only the monomials with degree d are present. The degree d is a list with the partial degrees on each variable.

For example:

```
vars = \{x, y\};
poly = NCPoly[\{1, 2, 3, 4\}, \{\{x\}, \{x, y\}, \{y, x\}, \{x, x\}\}, \text{ vars}];
corresponds to the polynomial x + 2xy + 3yx + 4x^2 and
NCPolyTermsOfTotalDegree[p, \{1,1\}]
returns
NCPoly[\{1, 1\}, \{1, 1, 1\} \rightarrow 2, \{1, 1, 2\} \rightarrow 3|]
which corresponds to the polyomial 2xy + 3yx. Likewise
NCPolyTermsOfTotalDegree[p, \{2,0\}]
```

returns

 $NCPoly[{1, 1}, {0, 2, 0} \rightarrow 4|>]$ 

which corresponds to the polyomial  $4x^2$ .

 $See \ also: \ NCPolyTermsOfTotalDegree.$ 

#### 13.1.3.18 NCPolyTermsOfTotalDegree

NCPolyTermsOfTotalDegree[p, d] returns a polynomial p in which only the monomials with total degree d are present. The degree d is an integer.

For example:

```
vars = {x, y};
poly = NCPoly[{1, 2, 3, 4}, {{x}, y}, {y, x}, {x, x}}, vars];
corresponds to the polynomial x + 2xy + 3yx + 4x^2 and
NCPolyTermsOfTotalDegree[p, 2]
returns
NCPoly[{1, 1}, <|{0, 2, 0} -> 4, {1, 1, 1} -> 2, {1, 1, 2} -> 3|>]
which corresponds to the polynomial 2xy + 3yx + 4x^2.
```

See also: NCPolyTermsOfDegree.

13.1. NCPOLY 103

#### 13.1.3.19 NCPolyQuadraticTerms

NCPolyQuadraticTerms[p] returns a polynomial with only the "square" quadratic terms of p.

For example:

```
vars = {{x, y, z}}
coeff = {1, 1, 4, 3, 2, -1}
digits = {{}, {x}, {y, x}, {z, x}, {z, y}, {x, z, z, y}}
p = NCPoly[coeff, digits, vars, TransposePairs -> {{x, y}}]
```

corresponds to the polynomial p(x, y, z) = -x.z.z.y + 2z.y + 3z.x + 4y.x + x + 1 in which x and y are transposes of each other, that is  $y = x^T$ . Its NCPoly object is

A call to

NCPolyQuadraticTerms[p]

results in

```
NCPoly[{3}, <|{0, 0} -> 1, {2, 3} -> 4, {4, 25} -> -1|>, TransposePairs -> {{0, 1}}}]
```

corresponding to the polynomial -x.z.z.y+4y.x+1 which contains only "square" quadratic terms of p(x,y,z).

See also: NCPolyQuadraticChipset(#NCPolyQuadraticChipset).

# 13.1.3.20 NCPolyQuadraticChipset

NCPolyQuadraticChipset[p] returns a polynomial with only the "half" terms of p that can be in an NC SOS decomposition of p.

For example:

```
vars = {{x, y, z}}
coeff = {1, 1, 4, 3, 2, -1}
digits = {{}, {x}, {y, x}, {z, x}, {z, y}, {x, z, z, y}}
p = NCPoly[coeff, digits, vars, TransposePairs -> {{x, y}}]
```

corresponds to the polynomial  $p(x, y, z) = -x \cdot z \cdot z \cdot y + 2z \cdot y + 3z \cdot x + 4y \cdot x + x + 1$  in which x and y are transposes of each other, that is  $y = x^T$ . Its NCPoly object is

A call to

NCPolyQuadraticChipset[p]

results in

```
NCPoly[{3}, <|{0, 0} -> 1, {1, 0} -> 1, {1, 1} -> 1, {2, 2} -> 1|>, TransposePairs -> {{0, 1}}}]
```

corresponding to the polynomial x.z + y + x + 1 that contains only terms which contain monomials with the "left half" of the monomials of p(x, y, z) which can appear in an NC SOS decomposition of p.

See also: NCPolyQuadraticTerms(#NCPolyQuadraticTerms).

#### 13.1.3.21 NCPolyReverseMonomials

NCPolyReverseMonomials[p] reverses the order of the symbols appearing in each monomial of the polynomial p.

For example:

```
vars = \{x, y\};
poly = NCPoly[\{1, 2, 3, 4\}, \{\{x\}, \{x, y\}, \{y, x\}, \{x, x\}\}, vars];
```

corresponds to the polynomial  $x + 2xy + 3yx + 4x^2$  and

NCPolyReverseMonomials[p]

returns

$$NCPoly[{1, 1}, <|{0, 1, 0} \rightarrow 1, {0, 2, 0} \rightarrow 4, {1, 1, 2} \rightarrow 2, {1, 1, 1} \rightarrow 3|>]$$

which correspond to the polynomial  $x + 2yx + 3xy + 4x^2$ .

See also: NCIntegerReverse.

# ${\bf 13.1.3.22 \quad NCPolyGetOptions}$

NCPolyGetOptions[p] returns the options embedded in the polynomial p.

Available options are:

- TransposePairs: list with pairs of variables to be treated as transposes of each other;
- SelfAdjointPairs: list with pairs of variables to be treated as adjoints of each other.

# 13.1.4 Formating functions

#### 13.1.4.1 NCPolyDisplay

NCPolyDisplay[poly] prints the noncommutative polynomial poly.

NCPolyDisplay[poly, vars] uses the symbols in the list vars.

# ${\bf 13.1.4.2} \quad {\bf NCPolyDisplayOrder}$

NCPolyDisplayOrder[vars] prints the order implied by the list of variables vars.

# 13.1.5 Arithmetic functions

#### 13.1.5.1 NCPolyDivideDigits

NCPolyDivideDigits[F,G] returns the result of the division of the leading digits If and lg.

#### 13.1.5.2 NCPolyDivideLeading

NCPolyDivideLeading[1F,1G,base] returns the result of the division of the leading Rules If and Ig as returned by NCGetLeadingTerm.

#### 13.1.5.3 NCPolyFullReduce

NCPolyFullReduce[f,g] applies NCPolyReduce successively until the remainder does not change.

See also: NCPolyReduce, NCPolyQuotientExpand.

#### 13.1.5.4 NCPolyNormalize

NCPolyNormalize[poly] makes the coefficient of the leading term of p to unit. It also works when poly is a list.

# 13.1.5.5 NCPolyProduct

NCPolyProduct[f,g] returns a NCPoly that is the product of the NCPoly's f and g.

13.1. NCPOLY 105

#### 13.1.5.6 NCPolyQuotientExpand

NCPolyQuotientExpand[q,g] returns a NCPoly that is the left-right product of the quotient as returned by NCPolyReduce by the NCPoly g. It also works when g is a list.

#### 13.1.5.7 NCPolyReduce

NCPolyReduce[polys, rules] reduces the list of NCPolys polys with respect to the list of NCPolys rules. The substitutions implied by rules are applied repeatedly to the polynomials in the polys until no further reduction occurs.

NCPolyReduce[polys] reduces each polynomial in the list of NCPolys polys with respect to the remaining elements of the list of polyomials polys until no further reduction occurs.

By default, NCPolyReduce only reduces the leading monomial in the current order. Use the optional boolean flag complete to completely reduce all monomials. For example, NCPolyReduce[polys, rules, True] and NCPolyReduce[polys, True].

See also: NCPolyGroebner.

#### 13.1.5.8 NCPolySum

NCPolySum[f,g] returns a NCPoly that is the sum of the NCPoly's f and g.

# 13.1.6 State space realization functions

poly =  $NCPoly[{1, -1}, {x, y}, {y, x}, vars];$ 

#### 13.1.6.1 NCPolyHankelMatrix

NCPolyHankelMatrix[poly] produces the nc *Hankel matrix* associated with the polynomial poly and also their shifts per variable.

For example:

 $vars = \{\{x, y\}\};$ 

```
{H, Hx, Hy} = NCPolyHankelMatrix[poly]
results in the matrices
1, -1 },
                       0 },
       0, 0, 1,
                   0,
                   Ο,
       0, -1, 0,
                       0 },
     { 1, 0,
               0,
                   0,
                       0 },
               Ο,
                       0 }}
     \{-1,
            0,
                   0,
Hx = \{\{
       0, 0,
               1,
                       0 },
               Ο,
     { 0, 0,
                   0,
                       0 },
     \{-1,
            Ο,
               0,
                   0,
                       0 },
     {
       Ο,
           Ο,
               Ο,
                   Ο,
                       0 },
     {
       0, 0,
               0.
                   0.
                       0 }}
Hy = \{\{0, -1,
               Ο,
                   0,
                       0 },
     { 1, 0,
               Ο,
                   Ο,
                       0 },
               Ο,
     { 0, 0,
                   Ο,
                       0 },
       0, 0, 0,
     {
                       0 },
                   0,
     { 0, 0, 0,
                   Ο,
                       0 }}
```

which are the Hankel matrices associated with the commutator xy - yx.

See also: NCPolyRealization, NCDigitsToIndex.

#### 13.1.6.2 NCPolyRealization

NCPolyRealization[poly] calculate a minimal descriptor realization for the polynomial poly.

NCPolyRealization uses NCPolyHankelMatrix and the resulting realization is compatible with the format used by NCRational.

For example:

```
vars = {{x, y}};
poly = NCPoly[{1, -1}, {{x, y}, {y, x}}, vars];
{{a0,ax,ay},b,c,d} = NCPolyRealization[poly]
```

produces a list of matrices {a0,ax,ay}, a column vector **b** and a row vector **c**, and a scalar **d** such that  $c.inv[a0 + ax \, x + ay \, y].b + d = xy - yx.$ 

See also: NCPolyHankelMatrix, NCRational.

# 13.1.7 Auxiliary functions

#### 13.1.7.1 NCPolyVarsToIntegers

NCPolyVarsToIntegers[vars] converts the list of symbols vars into a list of integers corresponding to the graded ordering implied by vars.

For example:

```
NCPolyVarsToIntegers[{{x},{y,z}}]
```

returns {1,2}, indicating that there are a total of three variables with the last two ranking higher than the first.

NCPolyVarsToIntegers raises NCPoly::InvalidList in case it cannot correctly parse the list of variables.

If vars is a list of integers, NCPolyVarsToIntegers returns this list intact.

See also: NCPoly.

#### 13.1.7.2 NCFromDigits

NCFromDigits[list, b] constructs a representation of a monomial in b encoded by the elements of list where the digits are in base b.

```
NCFromDigits[{list1,list2}, b] applies NCFromDigits to each list1, list2, ....
```

List of integers are used to codify monomials. For example the list  $\{0,1\}$  represents a monomial xy and the list  $\{1,0\}$  represents the monomial yx. The call

```
NCFromDigits[{0,0,0,1}, 2]
```

returns

{4,1}

in which 4 is the degree of the monomial xxxy and 1 is 0001 in base 2. Likewise

```
NCFromDigits[{0,2,1,1}, 3]
```

returns

 $\{4,22\}$ 

in which 4 is the degree of the monomial xzyy and 22 is 0211 in base 3.

If **b** is a list, then degree is also a list with the partial degrees of each letters appearing in the monomial. For example:

13.1. NCPOLY 107

```
NCFromDigits[{0,2,1,1}, {1,2}]
```

returns

{3, 1, 22}

in which 3 is the partial degree of the monomial xzyy with respect to letters y and z, 1 is the partial degree with respect to letter x and 22 is 0211 in base 3 = 1 + 2.

This construction is used to represent graded degree-lexicographic orderings.

See also: NCIntegerDigits.

#### 13.1.7.3 NCIntegerDigits

NCIntegerDigits[n,b] is the inverse of the NCFromDigits.

NCIntegerDigits[{list1,list2}, b] applies NCIntegerDigits to each list1, list2, ....

For example:

NCIntegerDigits[{4,1}, 2]

returns

{0,0,0,1}

in which 4 is the degree of the monomial x\*\*x\*\*x\*y and 1 is 0001 in base 2. Likewise

NCIntegerDigits[{4,22}, 3]

returns

{0,2,1,1}

in which 4 is the degree of the monomial x\*\*z\*\*y\*\*y and 22 is 0211 in base 3.

If **b** is a list, then degree is also a list with the partial degrees of each letters appearing in the monomial. For example:

NCIntegerDigits[{3, 1, 22}, {1,2}]

returns

{0,2,1,1}

in which 3 is the partial degree of the monomial x\*\*z\*\*y\*\*y with respect to letters y and z, 1 is the partial degree with respect to letter x and 22 is 0211 in base 3 = 1 + 2.

See also: NCFromDigits.

#### 13.1.7.4 NCIntegerReverse

 ${\tt NCIntegerReverse[n,b]}\ \ {\tt reverses}\ \ {\tt the\ integer\ n\ on\ the\ base\ b\ as\ returned\ by\ \tt NCFromDigits.}$ 

NCIntegerReverse[{list1,list2}, b] applies NCIntegerReverse to each list1, list2, ....

For example:

NCIntegerReverse[{4,1}, 2]

in which  $\{4,1\}$  correspond to the digits  $\{1,0,0,0\}$  returns

{4, 8}

which correspond to the digits {1,0,0,0}.

See also: NCIntegerDigits.

#### 13.1.7.5 NCDigitsToIndex

NCDigitsToIndex[digits, b] returns the index that the monomial represented by digits in the base b would occupy in the standard monomial basis.

```
NCDigitsToIndex[{digit1,digits2}, b] applies NCDigitsToIndex to each digit1, digit2, ....
```

NCDigitsToIndex[digits, b, Reverse -> True] returns the index as occupied by the permuted digits.

NCDigitsToIndex returns the same index for graded or simple basis.

```
For example:
```

```
digits = {0, 1};
NCDigitsToIndex[digits, 2]
NCDigitsToIndex[digits, {2}]
NCDigitsToIndex[digits, {1, 1}]
all return
5
which is the index of the monomial xy in the standard monomial basis of polynomials in x and y. Likewise
digits = {{}}, {1}, {0, 1}, {0, 2, 1, 1}};
NCDigitsToIndex[digits, 2]
returns
{1,3,5,27}
Finally
NCDigitsToIndex[{0, 1}, 2, Reverse -> True]
returns 6 instead of 5.
See also: NCFromDigits, NCIntegerDigits.
```

#### 13.1.7.6 NCPadAndMatch

When list a is longer than list b, NCPadAndMatch[a,b] returns the minimum number of elements from list a that should be added to the left and right of list b so that a = 1 b r. When list b is longer than list a, return the opposite match.

NCPadAndMatch returns all possible matches with the minimum number of elements.

# 13.2 NCPolyInterface

The package NCPolyInterface provides a basic interface between NCPoly and NCAlgebra. Note that to take full advantage of the speed-up possible with NCPoly one should always convert and manipulate NCPoly expressions before converting back to NCAlgebra.

Members are:

- NCToNCPoly
- NCPolyToNC
- NCRuleToPoly
- NCMonomialList
- NCCoefficientRules
- NCCoefficientList
- NCCoefficientQ
- NCMonomialQ

• NCPolynomialQ

#### 13.2.1 NCToNCPoly

NCToNCPoly[expr, var] constructs a noncommutative polynomial object in variables var from the nc expression expr.

For example

```
NCToNCPoly[x**y - 2 y**z, {x, y, z}]
```

constructs an object associated with the noncommutative polynomial xy - 2yz in variables x, y and z. The internal representation is so that the terms are sorted according to a degree-lexicographic order in vars. In the above example, x < y < z.

# 13.2.2 NCPolyToNC

NCPolyToNC[poly, vars] constructs an nc expression from the noncommutative polynomial object poly in variables vars. Monomials are specified in terms of the symbols in the list var.

For example

```
poly = NCToNCPoly[x**y - 2 y**z, {x, y, z}];
expr = NCPolyToNC[poly, {x, y, z}];
returns
expr = x**y - 2 y**z
See also: NCPolyToNC, NCPoly.
```

#### 13.2.3 NCRuleToPoly

```
NCRuleToPoly[a -> b] converts the rule a -> b into the relation a - b.
```

For instance:

```
NCRuleToPoly[x**y**y -> x**y - 1]
returns
x**y**y - x**y + 1
```

# 13.2.4 NCMonomialList

NCMonomialList[poly] gives the list of all monomials in the polynomial poly.

For example:

```
vars = {x, y}
expr = B + A y ** x ** y - 2 x
NCMonomialList[expr, vars]
returns
{1, x, y ** x ** y}
```

See also: NCCoefficientRules, NCCoefficientList, NCVariables.

#### 13.2.5 NCCoefficientRules

NCCoefficientRules[poly] gives a list of rules between all the monomials polynomial poly.

For example:

```
vars = {x, y}
expr = B + A y ** x ** y - 2 x
NCCoefficientRules[expr, vars]
returns
{1 -> B, x -> -2, y ** x ** y -> A}
```

See also: NCMonomialList, NCCoefficientRules, NCVariables.

#### 13.2.6 NCCoefficientList

NCCoefficientList[poly] gives the list of all coefficients in the polynomial poly.

For example:

```
vars = {x, y}
expr = B + A y ** x ** y - 2 x
NCCoefficientList[expr, vars]
returns
{B, -2, A}
```

See also: NCMonomialList, NCCoefficientRules, NCVariables.

# 13.2.7 NCCoefficientQ

NCCoefficientQ[expr] returns True if expr is a valid polynomial coefficient.

For example:

SetCommutative[A]

NCCoefficientQ[1]
NCCoefficientQ[2 A]
NCCoefficientQ[2 A]
all return True and
SetNonCommutative[x]
NCCoefficientQ[x]
NCCoefficientQ[x\*\*x]
NCCoefficientQ[Exp[x]]

all return False.

IMPORTANT: NCCoefficientQ[expr] does not expand expr. This means that NCCoefficientQ[2 (A
+ 1)] will return False.

See also: NCMonomialQ, NCPolynomialQ

#### 13.2.8 NCMonomialQ

NCCoefficientQ[expr] returns True if expr is an nc monomial.

For example:

13.3. NCPOLYNOMIAL 111

```
SetCommutative[A]
NCMonomialQ[1]
NCMonomialQ[x]
NCMonomialQ[A x ** y]
NCMonomialQ[2 A x ** y ** x]
all return True and
NCMonomialQ[x + x ** y]
returns False.
```

IMPORTANT: NCMonomialQ[expr] does not expand expr. This means that NCMonomialQ[2 (A + 1) x\*\*x] will return False.

See also: NCCoefficientQ, NCPolynomialQ

# 13.2.9 NCPolynomialQ

NCPolynomialQ[expr] returns True if expr is an nc polynomial with commutative coefficients.

For example:

```
NCPolynomialQ[A x ** y]
all return True and
NCMonomialQ[x + x ** y]
returns False.
```

IMPORTANT: NCPolynomialQ[expr] does expand expr. This means that NCPolynomialQ[(x + y)^3] will return True.

See also: NCCoefficientQ, NCMonomialQ

# 13.3 NCPolynomial

# 13.3.1 Efficient storage of NC polynomials with nc coefficients

This package contains functionality to convert an nc polynomial expression into an expanded efficient representation that can have commutative or noncommutative coefficients.

For example the polynomial

```
exp = a**x**b - 2 x**y**c**x + a**c
in variables x and y can be converted into an NCPolynomial using
p = NCToNCPolynomial[exp, {x,y}]
which returns
p = NCPolynomial[a**c, <|{x}->{{1,a,b}},{x**y,x}->{{2,1,c,1}}|>, {x,y}]
Members are:
```

- Constructors
  - NCPolynomial
  - NCToNCPolynomial
  - NCPolynomialToNC
  - NCRationalToNCPolynomial
- Access and utilities
  - NCPCoefficients

- NCPTermsOfDegree
- NCPTermsOfTotalDegree
- NCPTermsToNC
- NCPDecompose
- NCPDegree
- NCPMonomialDegree
- NCPCompatibleQ
- NCPSameVariablesQ
- NCPMatrixQ
- NCPLinearQ
- NCPQuadraticQ
- NCPNormalize
- Arithmetic
  - NCPTimes
  - NCPDot
  - NCPPlus
  - NCPSort

# 13.3.2 Ways to represent NC polynomials

#### 13.3.2.1 NCPolynomial

NCPolynomial[indep,rules,vars] is an expanded efficient representation for an nc polynomial in vars which can have commutative or noncommutative coefficients.

The nc expression indep collects all terms that are independent of the letters in vars.

The Association rules stores terms in the following format:

```
\{mon1, \ldots, monN\} \rightarrow \{scalar, term1, \ldots, termN+1\} where:
```

- mon1, ..., monN: are nc monomials in vars;
- scalar: contains all commutative coefficients; and
- term1, ..., termN+1: are no expressions on letters other than the ones in vars which are typically the noncommutative coefficients of the polynomial.

vars is a list of Symbols.

For example the polynomial

```
a**x**b - 2 x**y**c**x + a**c
```

in variables x and y is stored as:

```
\label{eq:ncpolynomial} $$ NCPolynomial[a**c, <|\{x\}->\{\{1,a,b\}\},\{x**y,x\}->\{\{2,1,c,1\}\}|>, \{x,y\}] $$
```

NCPolynomial specific functions are prefixed with NCP, e.g. NCPDegree.

See also: NCToNCPolynomial, NCPolynomialToNC, NCPTermsToNC.

#### 13.3.2.2 NCToNCPolynomial

NCToNCPolynomial[p, vars] generates a representation of the noncommutative polynomial p in vars which can have commutative or noncommutative coefficients.

NCToNCPolynomial[p] generates an NCPolynomial in all nc variables appearing in p.

Example:

13.3. NCPOLYNOMIAL 113

```
exp = a**x**b - 2 x**y**c**x + a**c
p = NCToNCPolynomial[exp, {x,y}]
returns
NCPolynomial[a**c, <|{x}->{{1,a,b}},{x**y,x}->{{2,1,c,1}}|>, {x,y}]
See also: NCPolynomial, NCPolynomialToNC.
```

#### 13.3.2.3 NCPolynomialToNC

NCPolynomialToNC[p] converts the NCPolynomial p back into a regular nc polynomial.

See also: NCPolynomial, NCToNCPolynomial.

#### 13.3.2.4 NCRationalToNCPolynomial

NCRationalToNCPolynomial[r, vars] generates a representation of the noncommutative rational expression r in vars which can have commutative or noncommutative coefficients.

NCRationalToNCPolynomial[r] generates an NCPolynomial in all nc variables appearing in r.

NCRationalToNCPolynomial creates one variable for each inv expression in vars appearing in the rational expression r. It returns a list of three elements:

- the first element is the NCPolynomial;
- the second element is the list of new variables created to replace invs;
- the third element is a list of rules that can be used to recover the original rational expression.

For example:

```
exp = a**inv[x]**y**b - 2 x**y**c**x + a**c
{p,rvars,rules} = NCRationalToNCPolynomial[exp, {x,y}]
returns
p = NCPolynomial[a**c, <|{rat1**y}->{{1,a,b}},{x**y,x}->{{2,1,c,1}}|>, {x,y,rat1}]
rvars = {rat1}
rules = {rat1->inv[x]}
See also: NCToNCPolynomial, NCPolynomialToNC.
```

#### 13.3.3 Grouping terms by degree

#### 13.3.3.1 NCPTermsOfDegree

NCPTermsOfDegree[p,deg] gives all terms of the NCPolynomial p of degree deg.

The degree deg is a list with the degree of each symbol.

For example:

returns

$$\{(x,x)->\{(1,a,b,c)\}, (x**x)->\{(-1,a,b)\}\}$$

See also: NCPTermsOfTotalDegree,NCPTermsToNC.

#### 13.3.3.2 NCPTermsOfTotalDegree

NCPTermsOfDegree[p,deg] gives all terms of the NCPolynomial p of total degree deg.

The degree deg is the total degree.

For example:

NCPTermsOfDegree[p, 2]

returns

$$<|\{x,y\}->\{\{2,a,b,c\}\},\{x,x\}->\{\{1,a,b,c\}\},\{x**x\}->\{\{-1,a,b\}\}|>$$

See also: NCPTermsOfDegree,NCPTermsToNC.

#### 13.3.3.3 NCPTermsToNC

NCPTermsToNC gives a nc expression corresponding to terms produced by NCPTermsOfDegree or NCPTermsOfTotalDegree.

For example:

```
terms = <|\{x,x\}->\{\{1,a,b,c\}\}, \{x**x\}->\{\{-1,a,b\}\}|> NCPTermsToNC[terms]
```

returns

a\*\*x\*\*b\*\*c-a\*\*x\*\*b

See also: NCPTermsOfDegree,NCPTermsOfTotalDegree.

#### 13.3.4 Utilities

#### 13.3.4.1 NCPDegree

NCPDegree[p] gives the degree of the NCPolynomial p.

See also: NCPMonomialDegree.

#### 13.3.4.2 NCPMonomialDegree

NCPMonomialDegree[p] gives the degree of each monomial in the NCPolynomial p.

See also: NCDegree.

#### 13.3.4.3 NCPCoefficients

NCPCoefficients[p, m] gives all coefficients of the NCPolynomial p in the monomial m.

For example:

```
exp = a**x**b - 2 x**y**c**x + a**c + d**x
p = NCToNCPolynomial[exp, {x, y}]
NCPCoefficients[p, {x}]
```

13.3. NCPOLYNOMIAL 115

returns

 $\{\{1, d, 1\}, \{1, a, b\}\}\$ 

and

NCPCoefficients[p, {x\*\*y, x}]

returns

{{-2, 1, c, 1}}

See also: NCPTermsToNC.

#### 13.3.4.4 NCPLinearQ

NCPLinearQ[p] gives True if the NCPolynomial p is linear.

See also: NCPQuadraticQ.

#### 13.3.4.5 NCPQuadraticQ

NCPQuadraticQ[p] gives True if the NCPolynomial p is quadratic.

See also: NCPLinearQ.

#### 13.3.4.6 NCPCompatibleQ

NCPCompatibleQ[p1,p2,...] returns True if the polynomials p1,p2,... have the same variables and dimensions.

See also: NCPSameVariablesQ, NCPMatrixQ.

#### 13.3.4.7 NCPSameVariablesQ

NCPSameVariablesQ[p1,p2,...] returns True if the polynomials p1,p2,... have the same variables.

See also: NCPCompatibleQ, NCPMatrixQ.

#### 13.3.4.8 NCPMatrixQ

 ${\tt NCMatrixQ[p]} \ \ {\tt returns} \ \ {\tt \textit{True}} \ \ {\tt if the polynomial} \ \ {\tt p} \ \ {\tt is a matrix polynomial}.$ 

See also: NCPCompatibleQ.

# 13.3.4.9 NCPNormalize

NCPNormalizes[p] gives a normalized version of NCPolynomial p where all factors that have free commutative products are collected in the scalar.

This function is intended to be used mostly by developers.

See also: NCPolynomial

# 13.3.5 Operations on NC polynomials

#### 13.3.5.1 NCPPlus

NCPPlus[p1,p2,...] gives the sum of the nc polynomials p1,p2,...

# 13.3.5.2 NCPTimes

NCPTimes[s,p] gives the product of a commutative s times the nc polynomial p.

#### 13.3.5.3 NCPDot

NCPDot[p1,p2,...] gives the product of the nc polynomials p1,p2,...

#### 13.3.5.4 NCPSort

NCPSort[p] gives a list of elements of the NCPolynomial p in which monomials are sorted first according to their degree then by Mathematica's implicit ordering.

For example

```
NCPSort[NCToNCPolynomial[c + x**x - 2 y, {x,y}]]
will produce the list
```

```
\{c, -2 y, x**x\}
```

See also: NCPDecompose, NCDecompose, NCCompose.

#### 13.3.5.5 NCPDecompose

NCPDecompose[p] gives an association of elements of the NCPolynomial p in which elements of the same order are collected together.

For example

```
NCPDecompose [NCToNCPolynomial [a**x**b+c+d**x**e+a**x**e**x**b+a**x**y, {x,y}]] will produce the Association <|{1,0}->a**x**b + d**x**e, {1,1}->a**x**y, {2,0}->a**x**e**x**b, {0,0}->c|> See also: NCPSort, NCDecompose, NCCompose.
```

# 13.4 NCQuadratic

NCQuadratic is a package that provides functionality to handle quadratic polynomials in NC variables.

Members are:

- NCToNCQuadratic
- NCPToNCQuadratic
- NCQuadraticToNC
- NCQuadraticToNCPolynomial
- NCMatrixOfQuadratic
- $\bullet \quad NCQuadratic Make Symmetric\\$

# 13.4.1 NCToNCQuadratic

NCToNCQuadratic[p, vars] is shorthand for

NCPToNCQuadratic[NCToNCPolynomial[p, vars]]

See also: NCToNCQuadratic, NCToNCPolynomial.

#### 13.4.2 NCPToNCQuadratic

NCPToNCQuadratic[p] gives an expanded representation for the quadratic NCPolynomial p.

NCPToNCQuadratic returns a list with four elements:

- the first element is the independent term;
- the second represents the linear part as in NCSylvester;

- the third element is a list of left NC symbols:
- the fourth element is a numeric SparseArray;
- the fifth element is a list of right NC symbols.

#### Example:

```
exp = d + x + x**x + x**a**x + x**e**x + x**b**y**d + d**y**c**y**d;
vars = {x,y};
p = NCToNCPolynomial[exp, vars];
{p0,sylv,left,middle,right} = NCPToNCQuadratic[p];
produces
p0 = d
sylv = <|x->{{1},{1},SparseArray[{{1}}]}, y->{{},{}},{}}|>
left = {x,d**y}
middle = SparseArray[{{1+a+e,b},{0,c}}]
right = {x,y**d}
```

See also: NCSylvester, NCQuadraticToNCPolynomial, NCPolynomial.

# 13.4.3 NCQuadraticToNC

```
NCQuadraticToNC[{const, lin, left, middle, right}] is shorthand for
NCPolynomialToNC[NCQuadraticToNCPolynomial[{const, lin, left, middle, right}]]
```

See also: NCQuadraticToNCPolynomial,NCPolynomialToNC.

# 13.4.4 NCQuadraticToNCPolynomial

NCQuadraticToNCPolynomial[rep] takes the list rep produced by NCPToNCQuadratic and converts it back to an NCPolynomial.

NCQuadraticToNCPolynomial[rep,options] uses options.

The following options can be given:

• Collect (*True*): controls whether the coefficients of the resulting NCPolynomial are collected to produce the minimal possible number of terms.

See also: NCPToNCQuadratic, NCPolynomial.

#### 13.4.5 NCMatrixOfQuadratic

NCMatrixOfQuadratic[p, vars] gives a factorization of the symmetric quadratic function p in noncommutative variables vars and their transposes.

NCMatrixOfQuadratic checks for symmetry and automatically sets variables to be symmetric if possible.

Internally it uses NCPToNCQuadratic and NCQuadraticMakeSymmetric.

It returns a list of three elements:

- the first is the left border row vector;
- the second is the middle matrix;
- the third is the right border column vector.

For example:

```
expr = x**y**x + z**x**x*;
{left,middle,right}=NCMatrixOfQuadratics[expr, {x}];
```

returns:

```
left={x, z**x}
middle=SparseArray[{{y,0},{0,1}}]
right={x,x**z}
```

The answer from NCMatrixOfQuadratics always satisfies p = NCDot[left,middle,right].

See also: NCPToNCQuadratic, NCQuadraticMakeSymmetric.

# 13.4.6 NCQuadraticMakeSymmetric

NCQuadraticMakeSymmetric[{p0, sylv, left, middle, right}] takes the output of NCPToNCQuadratic and produces, if possible, an equivalent symmetric representation in which Map[tp, left] = right and middle is a symmetric matrix.

See also: NCPToNCQuadratic.

# 13.5 NCSylvester

NCSylvester is a package that provides functionality to handle linear polynomials in NC variables.

Members are:

- NCToNCSylvester
- NCPToNCSylvester
- NCSylvesterToNC
- NCSylvesterToNCPolynomial

#### 13.5.1 NCToNCSylvester

NCToNCSylvester[p, vars] is shorthand for NCPToNCSylvester[NCToNCPolynomial[p, vars]] See also: NCToNCSylvester, NCToNCPolynomial.

# 13.5.2 NCPToNCSylvester

NCPToNCSylvester[p] gives an expanded representation for the linear NCPolynomial p.

NCPToNCSylvester returns a list with two elements:

- the first is a the independent term;
- the second is an association where each key is one of the variables and each value is a list with three elements:
  - the first element is a list of left NC symbols;
  - the second element is a list of right NC symbols;
  - the third element is a numeric SparseArray.

# Example:

13.5. NCSYLVESTER 119

See also: NCSylvesterToNCPolynomial, NCSylvesterToNC, NCToNCSylvester, NCPolynomial.

# 13.5.3 NCSylvesterToNC

NCSylvesterToNC[{const, lin}] is shorthand for

NCPolynomialToNC[NCSylvesterToNCPolynomial[{const, lin}]]

See also: NCSylvesterToNCPolynomial, NCPolynomialToNC.

# 13.5.4 NCSylvesterToNCPolynomial

NCSylvesterToNCPolynomial[rep] takes the list rep produced by NCPToNCSylvester and converts it back to an NCPolynomial.

 ${\tt NCSylvesterToNCPolynomial[rep,options]}\ uses\ {\tt options}.$ 

The following options can be given: \* Collect (*True*): controls whether the coefficients of the resulting NCPolynomial are collected to produce the minimal possible number of terms.

See also: NCPToNCSylvester, NCToNCSylvester, NCPolynomial.

# Chapter 14

# Noncommutative Gröbner Bases Algorithms

# 14.1 NCGBX

This is an interface to a Gröebner Bases code that runs purely under Mathematica. The actual algorithm is implemented in the package NCPolyGroebner. Its function names, inputs and outputs are very similar (but not always exactly the same) to the ones provided in the legacy package NCGB, which requires both Mathematica and auxiliary executables compiled from C++ to run. NCGBX may run slower on some medium size problems but will succeed on large size problems which might fail under NCGB.

#### Members are:

- SetMonomialOrder
- SetKnowns
- SetUnknowns
- ClearMonomialOrder
- GetMonomialOrder
- PrintMonomialOrder
- NCMakeGB
- NCProcess
- NCGBSimplifyRational
- NCReduce

#### 14.1.1 SetMonomialOrder

SetMonomialOrder[var1, var2, ...] sets the current monomial order.

For example

SetMonomialOrder[a,b,c]

sets the lex order  $a \ll b \ll c$ .

If one uses a list of variables rather than a single variable as one of the arguments, then multigraded lex order is used. For example

SetMonomialOrder[{a,b,c}]

sets the graded lex order a < b < c.

Another example:

```
SetMonomialOrder[{{a, b}, {c}}] or  SetMonomialOrder[{a, b}, c] \\ set the multigraded lex order <math>a < b \ll c.  Finally  SetMonomialOrder[{a,b}, {c}, {d}] \\ or \\ SetMonomialOrder[{a,b}, c, d] \\ is equivalent to the following two commands <math display="block"> SetKnowns[a,b] \\ SetUnknowns[c,d] \\ There is also an older syntax which is still supported: \\ SetMonomialOrder[{a, b, c}, n] \\ sets the order of monomials to be <math>a < b < c and assigns them grading level n.  SetMonomialOrder[{a, b, c}, 1]
```

is equivalent to SetMonomialOrder[{a, b, c}]. When using this older syntax the user is responsible for calling ClearMonomialOrder to make sure that the current order is empty before starting.

See also: ClearMonomialOrder, GetMonomialOrder, PrintMonomialOrder, SetKnowns, SetUnknowns.

#### 14.1.2 SetKnowns

SetKnowns[var1, var2, ...] records the variables var1, var2, ... to be corresponding to known quantities.

SetUnknowns and Setknowns prescribe a monomial order with the knowns at the bottom and the unknowns at the top.

For example  $\begin{aligned} & \texttt{SetKnowns} \, [\mathtt{a}, \mathtt{b}] \\ & \texttt{SetUnknowns} \, [\mathtt{c}, \mathtt{d}] \\ & \texttt{is equivalent to} \\ & \texttt{SetMonomialOrder} \, [\{\mathtt{a}, \mathtt{b}\}, \ \{\mathtt{c}\}, \ \{\mathtt{d}\}] \\ & \texttt{which corresponds to the order } a < b \ll c \ll d \text{ and } \\ & \texttt{SetKnowns} \, [\mathtt{a}, \mathtt{b}] \\ & \texttt{SetUnknowns} \, [\{\mathtt{c}, \mathtt{d}\}] \\ & \texttt{is equivalent to} \\ & \texttt{SetMonomialOrder} \, [\{\mathtt{a}, \mathtt{b}\}, \ \{\mathtt{c}, \ \mathtt{d}\}] \\ & \texttt{which corresponds to the order } a < b \ll c < d. \\ & \texttt{Note that SetKnowns} \, \{\mathtt{lattens grading so that } \\ & \texttt{SetKnowns} \, [\mathtt{a}, \mathtt{b}] \\ & \texttt{and} \\ & \texttt{SetKnowns} \, [\{\mathtt{a}\}, \{\mathtt{b}\}] \end{aligned}$ 

14.1. NCGBX 123

result both in the order a < b.

Successive calls to SetUnknowns and SetKnowns overwrite the previous knowns and unknowns. For example

SetKnowns[a,b]
SetUnknowns[c,d]
SetKnowns[c,d]
SetUnknowns[a,b]

results in an ordering  $c < d \ll a \ll b$ .

See also: SetUnknowns, SetMonomialOrder.

#### 14.1.3 SetUnknowns

SetUnknowns [var1, var2, ...] records the variables var1, var2, ... to be corresponding to unknown quantities.

SetUnknowns and SetKnowns prescribe a monomial order with the knowns at the bottom and the unknowns at the top.

For example SetKnowns[a,b] SetUnknowns[c,d] is equivalent to SetMonomialOrder[{a,b}, {c}, {d}] which corresponds to the order  $a < b \ll c \ll d$  and SetKnowns[a,b] SetUnknowns [{c,d}] is equivalent to SetMonomialOrder[{a,b}, {c, d}] which corresponds to the order  $a < b \ll c < d$ . Note that SetKnowns flattens grading so that SetKnowns[a,b] and SetKnowns[{a},{b}] result both in the order a < b.

Successive calls to SetUnknowns and SetKnowns overwrite the previous knowns and unknowns. For example

See also: SetKnowns, SetMonomialOrder.

#### 14.1.4 ClearMonomialOrder

ClearMonomialOrder[] clear the current monomial ordering.

It is only necessary to use ClearMonomialOrder if using the indexed version of SetMonomialOrder.

See also: SetKnowns, SetUnknowns, SetMonomialOrder, ClearMonomialOrder, PrintMonomialOrder.

#### 14.1.5 GetMonomialOrder

GetMonomialOrder[] returns the current monomial ordering in the form of a list.

For example

```
SetMonomialOrder[{a,b}, {c}, {d}]
order = GetMonomialOrder[]
returns
order = {{a,b},{c},{d}}
See also: SetKnowns, SetUnknowns, SetMonomialOrder, ClearMonomialOrder, PrintMonomialOrder.
```

#### 14.1.6 PrintMonomialOrder

PrintMonomialOrder[] prints the current monomial ordering.

For example

gb = NCMakeGB[ $\{x^2 - 1, x^3 - 1\}$ , 20, RedudeBasis -> True]

See also: SetKnowns, SetUnknowns, SetMonomialOrder, ClearMonomialOrder, PrintMonomialOrder.

#### 14.1.7 NCMakeGB

NCMakeGB[{poly1, poly2, ...}, k] attempts to produces a nc Gröbner Basis (GB) associated with the list of nc polynomials {poly1, poly2, ...}. The GB algorithm proceeds through *at most* k iterations until a Gröbner basis is found for the given list of polynomials with respect to the order imposed by SetMonomialOrder.

If NCMakeGB terminates before finding a GB the message NCMakeGB::Interrupted is issued.

The output of NCMakeGB is a list of rules with left side of the rule being the *leading* monomial of the polynomials in the GB.

For example:

```
SetMonomialOrder[x]; gb = NCMakeGB[{x^2 - 1, x^3 - 1}, 20] returns gb = {x -> 1} that corresponds to the polynomial x - 1, which is the nc Gröbner basis for the ideal generated by x^2 - 1 and x^3 - 1. NCMakeGB[{poly1, poly2, ...}, k, options] uses options. For example
```

14.1. NCGBX 125

runs the Gröbner basis algortihm and completely reduces the output set of polynomials.

The following options can be given:

- ReduceBasis (False): control whether the resulting basis output by the command is a reduced Gröbner basis at the completion of the algorithm. This corresponds to running NCReduce with the Boolean flag True to completely reduce the output basis. Can be set globally as SetOptions[NCMakeGB, ReturnBasis -> True].
- SimplifyObstructions (True): control whether whether to remove obstructions before constructing more S-polynomials;
- SortObstructions (False): control whether obstructions are sorted before being processed;
- SortBasis (False): control whether initial basis is sorted before initiating algorithm;
- VerboseLevel (1): control level of verbosity from 0 (no messages) to 5 (very verbose);
- PrintBasis (False): if True prints current basis at each major iteration;
- PrintObstructions (False): if True prints current list of obstructions at each major iteration;
- PrintSPolynomials (False): if True prints every S-polynomial formed at each minor iteration.
- ReturnRules (True): if True rules representing relations in which the left-hand side is the leading monomial are returned instead of polynomials. Use False for backward compatibility. Can be set globally as SetOptions[NCMakeGB, ReturnRules -> False].

NCMakeGB makes use of the algorithm NCPolyGroebner implemented in NCPolyGroebner.

See also: NCReduce, ClearMonomialOrder, GetMonomialOrder, PrintMonomialOrder, SetKnowns, SetUnknowns, NCPolyGroebner.

#### 14.1.8 NCProcess

NCProcess[{poly1, poly2, ...}, k] finds a new generating set for the ideal generated by {poly1, poly2, ...} using NCMakeGB then produces an summary report on the findings.

Not all features of NCProcess in the old NCGB C++ version are supported yet.

See also: NCMakeGB.

#### 14.1.9 NCGBSimplifyRational

NCGBSimplifyRational[expr] creates a set of relations for each rational expression and sub-expression found in expr which are used to produce simplification rules using NCMakeGB then replaced using NCReduce.

For example:

```
expr = x ** inv[1 - x] - inv[1 - x] ** x
NCGBSimplifyRational[expr]
or
expr = inv[1 - x - y ** inv[1 - x] ** y] - 1/2 (inv[1 - x + y] + inv[1 - x - y])
NCGBSimplifyRational[expr]
both result in 0.
See also: NCMakeGB, NCReduce.
```

#### 14.1.10 NCReduce

NCReduce[polys, rules] reduces the list of polynomials polys with respect to the list of polynomials rules. The substitutions implied by rules are applied repeatedly to the polynomials in the polys until no further reduction occurs.

NCReduce[polys] reduces each polynomial in the list of polynomials polys with respect to the remaining elements of the list of polyomials polys until no further reduction occurs.

By default, NCReduce only reduces the leading monomial in the current order. Use the optional boolean flag complete to completely reduce all monomials. For example, NCReduce[polys, rules, True] and NCReduce[polys, True].

See also: NCMakeGB, NCGBSimplifyRational.

# 14.2 NCPolyGroebner

This packages implements a Gröebner Bases algorithm that runs purely under Mathematica. This algorithm is the one called by the user-friendly functions in the package NCGBX.

Members are:

• NCPolyGroebner

# 14.2.1 NCPolyGroebner

NCPolyGroebner[G] computes the noncommutative Groebner basis of the list of NCPoly polynomials G.

NCPolyGroebner[G, options] uses options.

The following options can be given:

- SimplifyObstructions (True) whether to remove obstructions before constructing more S-polynomials;
- SortObstructions (False) whether to sort obstructions using Mora's SUGAR ranking;
- SortBasis (False) whether to sort basis before starting algorithm;
- Labels ({}) list of labels to use in verbose printing;
- VerboseLevel (1): function used to decide if a pivot is zero;
- PrintBasis (False): function used to divide a vector by an entry;
- PrintObstructions (False);
- PrintSPolynomials (False);

The algorithm is based on [Mor94] and uses the terminology there.

See also: NCPoly.

# 14.3 NCGB

This packages supports our legacy Gröebner Bases algorithm that requires both Mathematica and auxiliary executables compiled from C++ to run. NCGBX may run slower on some medium size problems but will succeed on large size problems which might fail under NCGB.

This code has become hard to maintain and support and may be deprecated in the future. See older versions of the NC documentation for a complete description of its functionality.

# Chapter 15

# Semidefinite Programming Algorithms

# 15.1 NCSDP

**NCSDP** is a package that allows the symbolic manipulation and numeric solution of semidefinite programs.

Members are:

- NCSDP
- NCSDPForm
- NCSDPDual
- NCSDPDualForm

#### 15.1.1 NCSDP

NCSDP[inequalities,vars,obj,data] converts the list of NC polynomials and NC matrices of polynomials inequalities that are linear in the unknowns listed in vars into the semidefinite program with linear objective obj. The semidefinite program (SDP) should be given in the following canonical form:

```
max <obj, vars> s.t. inequalities <= 0.
```

It returns a list with two entries:

- The first is a list with the an instance of SDPSylvester;
- The second is a list of rules with properties of certain variables.

Both entries should be supplied to SDPSolve in order to numerically solve the semidefinite program. For example:

```
{abc, rules} = NCSDP[inequalities, vars, obj, data];
generates an instance of SDPSylvester that can be solved using:
<< SDPSylvester`
{Y, X, S, flags} = SDPSolve[abc, rules];</pre>
```

NCSDP uses the user supplied rules in data to set up the problem data.

NCSDP[inequalities, vars, data] converts problem into a feasibility semidefinite program.

NCSDP[inequalities, vars, obj, data, options] uses options.

The following options can be given:

• DebugLevel (0): control printing of debugging information.

See also: NCSDPForm, NCSDPDual, SDPSolve.

#### 15.1.2 NCSDPForm

NCSDPForm[[inequalities,vars,obj] prints out a pretty formatted version of the SDP expressed by the list of NC polynomials and NC matrices of polynomials inequalities that are linear in the unknowns listed in vars.

See also: NCSDP, NCSDPDualForm.

#### 15.1.3 NCSDPDual

{dInequalities, dVars, dObj} = NCSDPDual[inequalities,vars,obj] calculates the symbolic dual of the SDP expressed by the list of NC polynomials and NC matrices of polynomials inequalities that are linear in the unknowns listed in vars with linear objective obj into a dual semidefinite in the following canonical form:

max <dObj, dVars> s.t. dInequalities == 0, dVars >= 0.

{dInequalities, dVars, dObj} = NCSDPDual[inequalities,vars,obj,dualVars] uses the symbols in dualVars as dVars.

NCSDPDual[inequalities, vars,...,options] uses options.

The following options can be given:

- DualSymbol ("w"): letter to be used as symbol for dual variable;
- $\bullet$  DebugLevel (0): control printing of debugging information.

See also: NCSDPDualForm, NCSDP.

# 15.1.4 NCSDPDualForm

NCSDPForm[[dInequalities,dVars,dObj] prints out a pretty formatted version of the dual SDP expressed by the list of NC polynomials and NC matrices of polynomials dInequalities that are linear in the unknowns listed in dVars with linear objective dObj.

See also: NCSDPDual, NCSDPForm.

# 15.2 SDP

SDP is a package that provides data structures for the numeric solution of semidefinite programs of the form:

$$\begin{aligned} \max_{y,S} & b^T y \\ \text{s.t.} & Ay + S = c \\ & S \succeq 0 \end{aligned}$$

where S is a symmetric positive semidefinite matrix and y is a vector of decision variables.

See the package SDP for a potentially more efficient alternative to the basic implementation provided by this package.

Members are:

- SDPMatrices
- SDPSolve
- SDPEval

15.2. SDP 129

- SDPPrimalEval
- SDPDualEval
- SDPSylvesterEval

#### 15.2.1 SDPMatrices

SDPMatrices[f, G, y] converts the symbolic linear functions f, G in the variables y associated to the semidefinite program:

$$\min_{y} \quad f(y),$$
  
s.t.  $G(y) \succeq 0$ 

into numerical data that can be used to solve an SDP in the form:

$$\begin{aligned} \max_{y,S} & b^T y \\ \text{s.t.} & Ay + S = c \\ & S \succeq 0 \end{aligned}$$

SDPMatrices returns a list with three entries:

- The first is the coefficient array A;
- The second is the coefficient array b;
- The third is the coefficient array c.

For example:

```
f = -x
G = {{1, x}, {x, 1}}
vars = {x}
{A,b,c} = SDPMatrices[f, G, vars]
results in
A = {{{0, -1}, {-1, 0}}}
b = {{{1}}}
c = {{1, 0}, {0, 1}}
```

All data is stored as SparseArrays.

See also: SDPSolve.

# 15.2.2 SDPSolve

 ${\tt SDPSolve[\{A,b,c\}]}$  solves an SDP in the form:

$$\begin{aligned} \max_{y,S} & b^T y \\ \text{s.t.} & Ay + S = c \\ & S \succeq 0 \end{aligned}$$

SDPSolve returns a list with four entries:

- The first is the primal solution y;
- The second is the dual solution X;
- The third is the primal slack variable S;
- The fourth is a list of flags:

- PrimalFeasible: True if primal problem is feasible;
- FeasibilityRadius: less than one if primal problem is feasible;
- PrimalFeasibilityMargin: close to zero if primal problem is feasible;
- DualFeasible: True if dual problem is feasible;
- DualFeasibilityRadius: close to zero if dual problem is feasible.

For example:

{Y, X, S, flags} = SDPSolve[abc]

solves the SDP abc.

SDPSolve[{A,b,c}, options] uses options.

options are those of PrimalDual.

See also: SDPMatrices.

#### 15.2.3 SDPEval

SDPEval[A, y] evaluates the linear function Ay in an SDP.

This is a convenient replacement for SDPPrimalEval in which the list y can be used directly.

See also: SDPPrimalEval, SDPDualEval, SDPSolve, SDPMatrices.

#### 15.2.4 SDPPrimalEval

SDPPrimalEval[A,  $\{\{y\}\}\}$ ] evaluates the linear function Ay in an SDP.

See SDPEval for a convenient replacement for SDPPrimalEval in which the list y can be used directly.

See also: SDPEval, SDPDualEval, SDPSolve, SDPMatrices.

#### 15.2.5 SDPDualEval

SDPDualEval[A, X] evaluates the linear function  $A^*X$  in an SDP.

See also: SDPPrimalEval, SDPSolve, SDPMatrices.

# 15.2.6 SDPSylvesterEval

SDPSylvesterEval[a, W] returns a matrix representation of the Sylvester mapping  $A^*(WA(\Delta_y)W)$  when applied to the scaling W.

SDPSylvesterEval[a, Wl, Wr] returns a matrix representation of the Sylvester mapping  $A^*(W_lA(\Delta_y)W_r)$  when applied to the left- and right-scalings Wl and Wr.

See also: SDPPrimalEval, SDPDualEval.

#### 15.3 SDPFlat

SDPFlat is a package that provides data structures for the numeric solution of semidefinite programs of the form:

$$\max_{y,S} b^{T}y$$
s.t.  $Ay + S = c$ 

$$S \succeq 0$$

where S is a symmetric positive semidefinite matrix and y is a vector of decision variables.

It is a potentially more efficient alternative to the basic implementation provided by the package SDP.

15.4. SDPSYLVESTER 131

Members are:

- SDPFlatData
- SDPFlatPrimalEval
- SDPFlatDualEval
- SDPFlatSylvesterEval

#### 15.3.1 SDPFlatData

SDPFlatData[{a,b,c}] converts the triplet {a,b,c} from the format of the package SDP to the SDPFlat format.

It returns a list with four entries:

- The first is the input array a;
- The second is its flattened version AFlat;
- The third is the flattened version of c, cFlat;
- The fourth is an array with the flattened dimensions.

See also: SDP.

#### 15.3.2 SDPFlatPrimalEval

SDPFlatPrimalEval[aFlat, y] evaluates the linear function Ay in an SDPFlat.

See also: SDPFlatDualEval, SDPFlatSylvesterEval.

#### 15.3.3 SDPFlatDualEval

 $\mathtt{SDPFlatDualEval}[\mathtt{aFlat}, \mathtt{X}]$  evaluates the linear function  $A^*X$  in an  $\mathtt{SDPFlat}.$ 

See also: SDPFlatPrimalEval, SDPFlatSylvesterEval.

#### 15.3.4 SDPFlatSylvesterEval

SDPFlatSylvesterEval[a, aFlat, W] returns a matrix representation of the Sylvester mapping  $A^*(WA(\Delta_y)W)$  when applied to the scaling W.

SDPFlatSylvesterEval[a, aFlat, Wl, Wr] returns a matrix representation of the Sylvester mapping  $A^*(W_lA(\Delta_u)W_r)$  when applied to the left- and right-scalings Wl and Wr.

See also: SDPFlatPrimalEval, SDPFlatDualEval.

# 15.4 SDPSylvester

SDPSylvester is a package that provides data structures for the numeric solution of semidefinite programs of the form:

$$\max_{y,S} \quad \sum_{i} \operatorname{trace}(b_{i}^{T} y_{i})$$
s.t. 
$$Ay + S = \frac{1}{2} \sum_{i} a_{i} y_{i} b_{i} + (a_{i} y_{i} b_{i})^{T} + S = C$$

$$S \succeq 0$$

where S is a symmetric positive semidefinite matrix and  $y = \{y_1, \dots, y_n\}$  is a list of matrix decision variables.

Members are:

- SDPEval
- SDPSylvesterPrimalEval

- SDPSylvesterDualEval
- SDPSylvesterSylvesterEval

#### 15.4.1 SDPEval

SDPEval[A, y] evaluates the linear function  $Ay = \frac{1}{2} \sum_i a_i y_i b_i + (a_i y_i b_i)^T$  in an SDPSylvester.

This is a convenient replacement for SDPSylvesterPrimalEval in which the list y can be used directly.

See also: SDPSylvesterPrimalEval, SDPSylvesterDualEval.

# 15.4.2 SDPSylvesterPrimalEval

SDPSylvesterPrimalEval[a, y] evaluates the linear function  $Ay = \frac{1}{2} \sum_i a_i y_i b_i + (a_i y_i b_i)^T$  in an SDPSylvester.

See SDPSylvesterEval for a convenient replacement for SDPPrimalEval in which the list y can be used directly.

See also: SDPSylvesterDualEval, SDPSylvesterSylvesterEval.

# 15.4.3 SDPSylvesterDualEval

SDPSylvesterDualEval[A, X] evaluates the linear function  $A^*X = \{b_1Xa_1, \cdots, b_nXa_n\}$  in an SDPSylvester.

For example

See also: SDPSylvesterPrimalEval, SDPSylvesterSylvesterEval.

# 15.4.4 SDPSylvesterSylvesterEval

SDPSylvesterEval[a, W] returns a matrix representation of the Sylvester mapping  $A^*(WA(\Delta_y)W)$  when applied to the scaling W.

SDPSylvesterEval[a, Wl, Wr] returns a matrix representation of the Sylvester mapping  $A^*(W_lA(\Delta_y)W_r)$  when applied to the left- and right-scalings Wl and Wr.

See also: SDPSylvesterPrimalEval, SDPSylvesterDualEval.

# 15.5 PrimalDual

PrimalDual provides an algorithm for solving a pair of primal-dual semidefinite programs in the form

$$\begin{aligned} & \underset{X}{\min} & & \operatorname{trace}(cX) \\ & \text{s.t.} & & A^*(X) = b \\ & & & X \succeq 0 \end{aligned} \tag{Primal}$$

$$\max_{y,S} \quad b^T y$$
 s.t.  $A(y) + S = c$  (Dual) 
$$S \succeq 0$$

where X is the primal variable and (y, S) are the dual variables.

The algorithm is parametrized and users should provide their own means of evaluating the mappings A,  $A^*$  and also the Sylvester mapping

$$A^*(W_lA(\Delta_u)W_r)$$

15.5. PRIMALDUAL 133

used to solve the least-square subproblem.

Users can develop custom algorithms that can take advantage of special structure, as done for instance in NCSDP.

The algorithm constructs a feasible solution using the Self-Dual Embedding of [].

Members are:

PrimalDual

#### 15.5.1 PrimalDual

PrimalDual[PrimalEval,DualEval,SylvesterEval,b,c] solves the semidefinite program using a primal dual method.

PrimalEval should return the primal mapping  $A^*(X)$  when applied to the current primal variable X as in PrimalEval @@ X.

DualEval should return the dual mapping A(y) when applied to the current dual variable y as in DualEval QQ y.

SylvesterVecEval should return a matrix representation of the Sylvester mapping  $A^*(W_lA(\Delta_y)W_r)$  when applied to the left- and right-scalings W1 and Wr as in SylvesterVecEval @@ {W1, Wr}.

PrimalDual[PrimalEval,DualEval,SylvesterEval,b,c,options] uses options.

The following options can be given:

- Method (PredictorCorrector): choice of method for updating duality gap; possible options are ShortStep, LongStep and PredictorCorrector;
- SearchDirection (NT): choice of search direction to use; possible options are NT for Nesterov-Todd, KSH for HRVM/KSH/M, KSHDual for dual HRVM/KSH/M;
- FeasibilityTol (10^-3): tolerance used to assess feasibility;
- GapTol (10^-9): tolerance used to assess optimality;
- MaxIter (250): maximum number of iterations allowed;
- SparseWeights (True): whether weights should be converted to a SparseArray;
- RationalizeIterates (False): whether to rationalize iterates in an attempt to construct a rational solution;
- Symmetric Variables ({}): list of index of dual variables to be considered symmetric.
- ScaleHessian (True): whether to scale the least-squares subproblem coefficient matrix;
- PrintSummary (True): whether to print summary information;
- PrintIterations (True): whether to print progrees at each iteration;
- DebugLevel (0): whether to print debug information;
- Profiling (False): whether to print messages with detailed timing of steps.

# Chapter 16

# Work in Progress

Sections in this chapter describe experimental packages which are still under development.

# 16.1 NCPolySOS

Members are:

- NCPolySOS
- NCPolySOSToSDP (#NCPolySOSToSDP)

# 16.1.1 NCPolySOS

NCPolySOS[p, var] returns an NCPoly with symbolic coefficients on the variable var corresponding to a possible Gram representation of the polynomial p.

NCPolySOS uses NCPolyQuadraticChipset to generate a sparse Gram representation.

NCPolySOS[p] uses q as default symbol.

See also: NCPolySOSToSDP, NCPolyQuadraticChipset

#### 16.1.2 NCPolySOSToSDP

NCPolySOSToSDP[G, options]

See also: NCPolySOS.

# 16.2 NCRational

This package contains functionality to convert an nc rational expression into a descriptor representation.

For example the rational

```
exp = 1 + inv[1 + x]
```

in variables x and y can be converted into an NCPolynomial using

```
p = NCToNCPolynomial[exp, {x,y}]
```

which returns

```
p = NCPolynomial[a**c, <|\{x\}->\{\{1,a,b\}\},\{x**y,x\}->\{\{2,1,c,1\}\}|>, \{x,y\}]
```

Members are:

- NCRational
- NCToNCRational
- NCRationalToNC
- NCRationalToCanonical
- CanonicalToNCRational
- NCROrder
- NCRLinearQ
- NCRStrictlyProperQ
- NCRPlus
- NCRTimes
- NCRTranspose
- NCRInverse
- NCRControllableSubspace
- NCRControllableRealization
- NCRObservableRealization
- NCRMinimalRealization

# 16.2.1 State-space realizations for NC rationals

#### 16.2.1.1 NCRational

NCRational::usage

#### 16.2.1.2 NCToNCRational

NCToNCRational::usage

# 16.2.1.3 NCRationalToNC

NCRationalToNC::usage

#### 16.2.1.4 NCRationalToCanonical

NCRationalToCanonical::usage

#### 16.2.1.5 CanonicalToNCRational

 ${\bf Canonical To NCRational :: usage}$ 

#### 16.2.2 Utilities

# 16.2.2.1 NCROrder

NCROrder::usage

# 16.2.2.2 NCRLinearQ

NCRLinearQ::usage

16.3. NCREALIZATION 137

#### 16.2.2.3 NCRStrictlyProperQ

NCRStrictlyProperQ::usage

# 16.2.3 Operations on NC rationals

#### 16.2.3.1 NCRPlus

NCRPlus::usage

#### 16.2.3.2 NCRTimes

NCRTimes::usage

# 16.2.3.3 NCRTranspose

NCRTranspose::usage

#### 16.2.3.4 NCRInverse

NCRInverse::usage

#### 16.2.4 Minimal realizations

#### 16.2.4.1 NCRControllableRealization

NCRControllableRealization::usage

# 16.2.4.2 NCRControllableSubspace

NCRControllableSubspace::usage

#### 16.2.4.3 NCRObservableRealization

NCRObservableRealization::usage

#### 16.2.4.4 NCRMinimalRealization

NCRMinimal Realization :: usage

# 16.3 NCRealization

#### WARNING: OBSOLETE PACKAGE WILL BE REPLACED BY NCRational

The package **NCRealization** implements an algorithm due to N. Slinglend for producing minimal realizations of nc rational functions in many nc variables. See "Toward Making LMIs Automatically".

It actually computes formulas similar to those used in the paper "Noncommutative Convexity Arises From Linear Matrix Inequalities" by J William Helton, Scott A. McCullough, and Victor Vinnikov. In particular, there are functions for calculating (symmetric) minimal descriptor realizations of nc (symmetric) rational functions, and determinantal representations of polynomials.

#### Members are:

- Drivers:
  - NCDescriptorRealization
  - NCMatrixDescriptorRealization
  - NCMinimalDescriptorRealization
  - $-\ {\bf NCDeterminantal Representation Reciprocal}$

- NCSymmetrizeMinimalDescriptorRealization
- NCSymmetricDescriptorRealization
- NCSymmetricDeterminantalRepresentationDirect
- $-\ NCSymmetric Determinantal Representation Reciprocal$
- NonCommutativeLift
- Auxiliary:
  - PinnedQ
  - PinningSpace
  - TestDescriptorRealization
  - SignatureOfAffineTerm

# 16.3.1 NCDescriptorRealization

NCDescriptorRealization[RationalExpression,UnknownVariables] returns a list of 3 matrices  $\{C,G,B\}$  such that  $CG^{-1}B$  is the given RationalExpression. i.e. NCDot[C,NCInverse[G],B] === RationalExpression.

C and B do not contain any UnknownsVariables and G has linear entries in the UnknownVariables.

# 16.3.2 NCDeterminantalRepresentationReciprocal

NCDeterminantalRepresentationReciprocal[Polynomial, Unknowns] returns a linear pencil matrix whose determinant equals Constant \* CommuteEverything[Polynomial]. This uses the reciprocal algorithm: find a minimal descriptor realization of inv[Polynomial], so Polynomial must be nonzero at the origin.

# 16.3.3 NCMatrixDescriptorRealization

NCMatrixDescriptorRealization[RationalMatrix,UnknownVariables] is similar to NCDescriptorRealization except it takes a *Matrix* with rational function entries and returns a matrix of lists of the vectors/matrix {C,G,B}. A different {C,G,B} for each entry.

# 16.3.4 NCMinimalDescriptorRealization

NCMinimalDescriptorRealization[RationalFunction,UnknownVariables] returns {C,G,B} where NCDot[C,NCInverse[G],B] == RationalFunction, G is linear in the UnknownVariables, and the realization is minimal (may be pinned).

# 16.3.5 NCSymmetricDescriptorRealization

NCSymmetricDescriptorRealization[RationalSymmetricFunction, Unknowns] combines two steps: NCSymmetrizeMinimalDescriptorRealization[NCMinimalDescriptorRealization[RationalSymmetricFunction, Unknowns]].

# 16.3.6 NCSymmetricDeterminantalRepresentationDirect

NCSymmetricDeterminantalRepresentationDirect[SymmetricPolynomial,Unknowns] returns a linear pencil matrix whose determinant equals Constant \* CommuteEverything[SymmetricPolynomial]. This uses the direct algorithm: Find a realization of 1 - NCSymmetricPolynomial,...

# 16.3.7 NCSymmetricDeterminantalRepresentationReciprocal

NCSymmetricDeterminantalRepresentationReciprocal [SymmetricPolynomial, Unknowns] returns a linear pencil matrix whose determinant equals Constant \* CommuteEverything [NCSymmetricPolynomial]. This uses the reciprocal algorithm: find a symmetric minimal descriptor realization of inv [NCSymmetricPolynomial], so NCSymmetricPolynomial must be nonzero at the origin.

16.3. NCREALIZATION 139

# 16.3.8 NCSymmetrizeMinimalDescriptorRealization

NCSymmetrizeMinimalDescriptorRealization[{C,G,B},Unknowns] symmetrizes the minimal realization {C,G,B} (such as output from NCMinimalRealization) and outputs {Ctilda,Gtilda} corresponding to the realization {Ctilda, Gtilda,Transpose[Ctilda]}.

WARNING: May produces errors if the realization doesn't correspond to a symmetric rational function.

#### 16.3.9 NonCommutativeLift

NonCommutativeLift[Rational] returns a noncommutative symmetric lift of Rational.

# 16.3.10 SignatureOfAffineTerm

SignatureOfAffineTerm[Pencil,Unknowns] returns a list of the number of positive, negative and zero eigenvalues in the affine part of Pencil.

# 16.3.11 TestDescriptorRealization

TestDescriptorRealization[Rat,{C,G,B},Unknowns] checks if Rat equals  $CG^{-1}B$  by substituting random 2-by-2 matrices in for the unknowns. TestDescriptorRealization[Rat,{C,G,B},Unknowns,NumberOfTests] can be used to specify the NumberOfTests, the default being 5.

#### 16.3.12 PinnedQ

PinnedQ[Pencil\_,Unknowns\_] is True or False.

# 16.3.13 PinningSpace

PinningSpace[Pencil\_,Unknowns\_] returns a matrix whose columns span the pinning space of Pencil. Generally, either an empty matrix or a d-by-1 matrix (vector).

# References

- [Cam+03] Juan F. Camino et al. "Matrix Inequalities: a Symbolic Procedure to Determine Convexity Automatically". In: *Integral Equation and Operator Theory* 46.4 (2003), pp. 399–454.
- [de 12] Mauricio C. de Oliveira. "Simplification of symbolic polynomials on non-commutative variables". In: Linear Algebra and its Applications 437.7 (2012), pp. 1734–1748. ISSN: 0024-3795. DOI: 10.1016/j.laa.2012.05.015.
- [Mor94] Teo Mora. "An introduction to commutative and noncommutative Groebner Bases". In: *Theoretical Computer Science* 134 (1994), pp. 131–173.