# **PID Control Theory**

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## 1. Introduction

Feedback control is a control mechanism that uses information from measurements. In a feedback control system, the output is sensed. There are two main types of

feedback control systems: 1) positive feedback 2) negative feedback. The positive feedback is used to increase the size of the input but in a negative feedback, the feedback is used to decrease the size of the input. The negative

systems are usually stable. A PID is widely used in feedback control of industrial processes on the market in 1939 and has remained the most widely used controller in process control until today. Thus, the PID controller

in process control until today. Thus, the PID controller can be understood as a controller that takes the present,

change does not influence the essential part of the analysis and design of PID controllers. A proportionalintegral-derivative controller (PID controller) is a method of the control loop feedback. This method is composing of

the past, and the future of the error into consideration. After digital implementation was introduced, a certain change of the structure of the control system was proposed and has been adopted in many applications. But that

3. Derivative controller (DC)

Proportional controller (PC) Integral controller (IC)

three controllers [1]:

 $P = K_{p}.error(t)$ 

1.

# **1.1 Role of a Proportional Controller (PC)**The role of a proportional depends on the

The role of a proportional depends on the present error, I

on the accumulation of past error and D on prediction of future error. The weighted sum of these three actions is used to adjust

Proportional control is a simple and widely used method of control for many kinds of systems. In a proportional controller, steady state error tends to depend inversely upon the proportional gain (ie: if the gain is

made larger the error goes down). The proportional response can be adjusted by multiplying the error by a constant  $K_p$ , called the proportional gain. The proportional term is given by:

gain is very high, the system can become unstable. In contrast, a www.intechopen.com

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A high proportional gain results in a large change in the output for a given change in the error. If the proportional

small gain results in a small output response to a large input error. If the proportional gain is very low, the control action may be too small when responding to system disturbances. Consequently, a proportional

controller (Kp) will have the effect of reducing the rise time and will reduce, but never eliminate, the steady-state error. In practice the proportional band (PB) is expressed as a

percentage so:
$$PB\% = \frac{100}{K_{\rm P}}$$
(2)

Thus a PB of  $10\% \Leftrightarrow \text{Kp=}10$ 

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1.2 Role of an Integral Controller (IC) An Integral controller (IC) is proportional to both the magnitude of the error and the duration of the error. The integral in in a PID controller is the sum of the instantaneous error over time and gives the accumulated  $I = K_I \int_0^t error(t) dt$ (3)

offset that should have been corrected previously. Consequently, an integral control (Ki) will have the effect of eliminating the steady-state error, but it may

#### 1.3 Role of a Derivative Controller (DC) The derivative of the process error is calculated by

make the transient response worse. The integral term is given by:

determining the slope of the error over time and multiplying this rate of change by the derivative gain Kd. The derivative term slows the rate of change of the controller output.A derivative control (Kd) will have the effect of increasing the stability of the system, reducing

the overshoot, and improving the transient response. The

derivative term is given by: 
$$D = K_D \cdot \frac{derror(t)}{dt}$$

Effects of each of controllers Kp, Kd, and Ki on a closed-loop system are summarized in the table shown (4)

below in tableau 1.

#### 2. PID controller (PIDC)

A typical structure of a PID control system is shown in

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derivative actions, with the resulting signals weighted and summed to form the control signal u(t) applied to the plant model.

integrator

 $\tau_d s$  differentiator

Fig.1. Fig.2 shows a structure of a PID control system. The error signal e(t) is used to generate the proportional,

Settling time

Small change

Increase

Steady-state error

Decrease

Decrease significantly

No effect in theory

controller output

Overshoot

Increase

Increase

Minor decrease Minor decrease Minor decrease

integral, and

K,

K;

Ka

error input

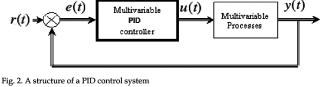
Rise time

Decrease

Table 1. A PID controller in a closed-loop system

Decrease

Fig. 1. A PID control system



where u(t) is the input signal to the multivariable processes, the error signal e(t) is defined as e(t) = r(t) - y(t),

and r(t) is the reference input signal. A standard PID controller structure is also known as the "three-term" controller. This principle mode of action of the PID controller can be explained by the parallel connection of the P, I and D elements shown in Figure 3.

Block diagram of the PID controller

$$G(s) = K_P \left( 1 + \frac{1 + T_I \cdot T_D \cdot S^2}{T_I \cdot S} \right) = K_P \left( 1 + \frac{1}{T_i s} + T_D s \right)$$
(5)

where  $K_P$  is the proportional gain,  $T_I$  is the integral time constant,  $T_D$  is the derivative time constant,  $K_I = K_P / T_I$  is the integral gain and  $K_D = K_P T_D$  is the derivative gain.

The "threeterm" functionalities are highlighted below. The terms  $K_P$ ,  $T_I$  and  $T_D$  definitions are: The proportional term: providing an overall control action proportional to the error

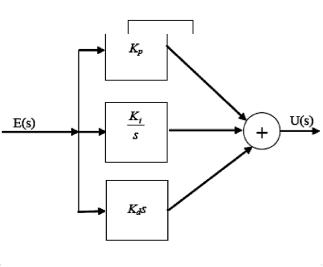
through low frequency compensation by
an integrator.

The derivative term: improving transient response through high frequency compensation by a differentiator.

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The integral term: reducing steady state errors

signal through the all pass gain factor.



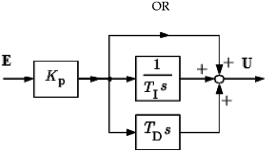


Fig. 3. Parallel Form of the PID Compensator These three variables  $K_P$  ,  $T_I$  and  $T_D$  are usually tuned

within given ranges. Therefore, they are often called the *tuning parameters* of the controller. By proper choice of these tuning parameters a controller can be adapted for a

specific plant to obtain a good behaviour of the controlled

system.

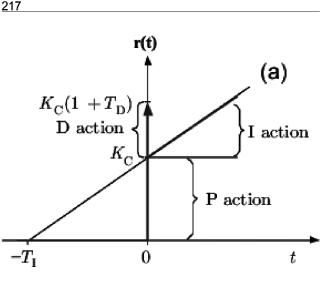
The time response of the controller output is 
$$U(t)=K_P(e(t)+\frac{\int\limits_0^t e(t)dt}{T_t}+T_d\frac{de(t)}{dt})$$

(6)

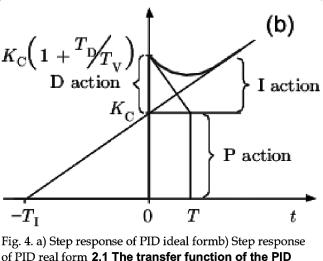
Using this relationship for a step input of e(t), i.e.  $e(t) = \delta(t)$ , the step response r(t) of the PID controller can be easily

observe that the length of the arrow  $K_PT_D$  of the D action is only a measure of the weight of the  $\delta$  impulse. www.intechopen.com PID Control Theory

determined. The result is shown in below. One has to



r(t)



**controller**The transfer function of the PID controller is

$$G(s) = \frac{U(s)}{E(s)}$$

$$G(s) = K_P + \frac{K_I}{S} + K_D S = \frac{K_D S^2 + K_P S + K_I}{S}$$
(7)

(8)

#### 2.2 PID pole zero cancellation

The PID equation can be written in this form:

 $G(s) = \frac{K_d(s^2 + \frac{K_p}{K_d}s + \frac{K_i}{K_d})}{s}$ 

Then

Ιf

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$$H(s) = \frac{1}{s^2 + 2\xi\omega_0 s + \omega_0^2}$$

(10)

There are several prescriptive rules used in PID tuning. The most effective methods generally involve the development of some form of process model, and then choosing P, I, and D based on the dynamic model

(11)

(12)

(13)

2.3 Tuning methods We present here four tuning methods for a PID controller

parameters.

 $\frac{K_i}{K_d} = \omega_0^2$   $\frac{K_p}{K_d} = 2\xi\omega_0$ 

 $G(s)H(s) = \frac{K_d}{s}$ 

[2,3].

		Some math Good only for first order processes
co	nline or offline method, onsistent tuning, Support on-Steady State tuning	Some cost and training involved
Co No	nline or offline method, onsistent tuning, Support on-Steady State tuning, ery precise	Very slow

Disadvantages

Offline method

tuning

Requires experienced personnel

Some trial and error, process

upset and very aggressive

Advantages

Online method

Online method Proven method

No math expression

Good process models

Method

Manual

Ziegler-Nichols

Cohen-Coon

of tuning a PID controller. It was proposed by John G. Ziegler and Nichols in the 1940's. It is performed by setting I (integral) and D (derivative) gains to zero. The P

(proportional) gain, Kp is then increased (from zero) until it reaches the ultimate gain Ku, at which the output of the control loop oscillates with a constant amplitude. Ku and the oscillation period Tu are used to set the P, I, and D gains depending on the type of controller used [3,4]:

Ziegler–Nichols method			
Control Type	$K_p$	$K_i$	$K_d$
P	$K_u/2$	-	-
PI	$K_u / 2.2$	$1.2K_p / T_u$	-
PID	$0.60K_u$	$2K_p/T_u$	$K_pT_u/8$
Some overshoot	$0.33K_{u}$	$2K_p / T_u$	$K_pT_u/3$
No overshoot	$0.2K_u$	$2K_p / T_u$	$K_pT_u/3$
No overshoot $0.2K_u$ $2K_p$ / $T_u$ $K_pT_u$ / $3$ www.intechopen.com       PID Control Theory       We can realise a PID controller by two methods:       First, an analog PID controller       Second, a digital PID controller       1. Circuit diagram below (figure.5) shows an analog       PID controller. In this figure, we present an analog PID controller with three simple op amp amplifier, integrator and differentiator circuits.			

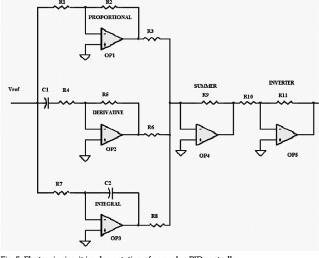


Fig. 5. Electronic circuit implementation of an analog PID controller

TERM	DEFINITION	Op Amp Circuit Function
∥ P	Proportiona	l/ Amplifier

Vo=(R2/R1).Vref

Differentiator

Vo=R5.C1.dVref/dt

#### Integrator

### Vo=1/(R7.C2). ∫ Vref dt

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Finally, we need to add the three PID terms together.

Again the summing amplifier OP4 serves us well. Because the error amp, PID and summing circuits are inverting types, we need to add a final op amp inverter

OP5 to make the final output positive.

2. Today, digital controllers are being used in many

large and small-scale control systems,

replacing the analog controllers. It is now a common practice to implement PID

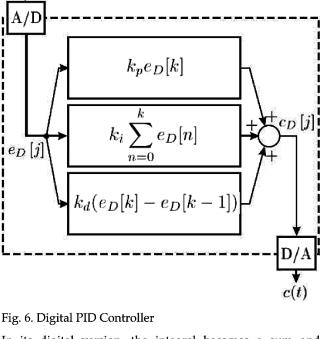
controllers in its digital version, which means that they operate in discrete time domain and deal with analog signals quantized in a limited

number of levels. Moreover, in such

controller we do not need much space and they are not expensive. A digital version of

the PID controller is shown in figure 6 [5,6].

e(t)



In its digital version, the integral becomes a sum and the deferential a difference. The continuous time signal e(t) is sampled in fixed time intervals equals a determined sample period, here called Tc (in figure 6 Tc = 1). An A/D (analog to digital) converter interfaces the

all  $k \ge 0 \in Z$ . A lower bound for the sample period is the computing time of a whole cycle of the digital PID (which includes the A/D and D/A conversion).

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While PID controllers are applicable to many control problems, and often perform satisfactorily without any improvements or even tuning, they can

input and a D/A (digital to analog) converter interfaces the output. This sampled and digitalized input, called eD[j], exists only in time instants  $t = kT_C$  for

perform poorly in some applications, and do not in general provide optimal control.

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**3. Fractional systems**Fractional order systems are characterized by fractional-order differential equations. Fractional calculus considers any real number for derivatives and integrals. The FOPID controller is the expansion of the conventional integer-order PID controller based on

# fractional calculus [7,8].

**3.1 Fractional-order PID (FOPID) controller**The PIDs are linear and in particular symmetric and they have difficulties in the presence of non-linearities. We

PID (FOPID) controller. A FOPID controller is presented below [7-9]:  $G(s) = K_P + \frac{K_I}{S^{\alpha}} + K_D S^{\beta} = \frac{K_P S^{\alpha} + K_I + K_D S^{\alpha} S^{\beta}}{S^{\alpha}}$ 

can solve this problem by using a fractional-order

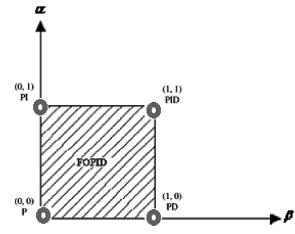


Fig. 7. Generalization of the FOPID controller: from point

There are several methods to calculate the fractional order derivative and integrator of a fractional order PID controller. For this purpose we present a real order

calculus according to the Riemann-Liouville definition.

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to plane.

3.2 Fractional calculus Fractional calculus is a branch of mathematics

of derivative and integral. Among all the different definitions, the definition which has been proposed by Riemann and Liouville is the most usual one [9,10]. The definition is as follows:

dealing with real number powers of differential or integral operators. It generalizes the common concepts

$${}_{c}D_{x}^{-n}f(x) = \int_{c}^{x} \frac{(x-t)^{n-1}}{(n-1)!} f(t)dt, \quad n \in \Re$$
(15)

(15)

The general definition of D is given by (2):

 ${}_{c}D_{x}^{\ v}f(x) = \begin{cases} \int_{c}^{x} \frac{(x-t)^{-v-1}}{\Gamma(-v)} f(t) dt, & if v < 0\\ f(x) & if v = 0\\ D^{n}[{}_{c}D_{x}^{\ v-n}f(x)] & if v > 0 \end{cases}$ (16)

# $n = \min\{K \in \Re, K > v\}$ Where $\Gamma(\cdot)$ is the well-known Euler's gamma function.

Function  $F(s) = s^{\nu}$  (17) Function (17) is not only the simplest fractional order

transfer function hat may appear but is also very important for applications, as shall be seen subsequently. For that reason, we analyse its time and frequency

responses.
Time responses of (17)

Time responses or (17)
The derivatives of the exponential function are given by  $_0 \ D^{\nu}_{\ t} e^{at} = E_t(-\nu, a), t > 0$ For negative orders, from definition (16) we have:

$${}_{0}D_{t}^{-\nu}e^{at} = \frac{1}{\Gamma(\nu)} \int_{0}^{t} (t - \xi)^{\nu - 1} e^{a\xi} d\xi, \nu \in \Re^{+}$$

(19) By means of the substitution  $x = t - \xi$ , in the first place, and of the substitution ax = y, in the second place, we obtain

 $_{0}D_{t}^{-\nu}e^{at} = -\frac{1}{\Gamma(\nu)}\int_{t}^{0}x^{\nu-1}e^{a(t-x)}dx = \frac{e^{at}}{\Gamma(\nu)}\int_{0}^{t}x^{\nu-1}e^{-ax}dx =$ 

$$\frac{e^{at}}{\Gamma(\nu)} \int_0^{at} (\frac{y}{a})^{\nu-1} e^{-y} \frac{dy}{a} = \frac{e^{at}}{\Gamma(\nu)a^{\nu}} \int_0^{at} y^{\nu-1} e^{-y} dy = E_t(\nu, a)$$

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(20)

(18)

 $_{0}D_{t}^{\nu}e^{at} = D_{0}^{n}D_{t}^{\nu-n}e^{at}$ 

For positive orders, the same definition gives

 $= \frac{d^{n}}{dt^{n}} E_{t}(n-v,a) = E_{t}(-v,a), v \in \Re^{+} \land n = \min\{k \in N : k > v\}$ If v = 0, we have:

 $E_t(0,a) = \sum_{k=0}^{+\infty} \frac{(at)^k}{\Gamma(k+1)}$ which is the series development of eat. Finally, the Laplace transform of Et is:

 $\ell[E_t(v,a)] = \frac{1}{s^v(s-a)}$ 

and (19) we obtain

The Convolution theorem:

 $\ell[E_t(v,a)] = \frac{1}{\Gamma(v)}\ell[t^{v-1}]\ell[e^{at}] = \frac{1}{s^v(s-a)}$ 

 $\ell[\int_0^t f(t-\tau)g(\tau)d\tau] = \ell[f(t)]\ell[g(t)]$ 

(22)

(21)

(23)For negative orders, applying the convolution theorem (23)

we have:  $\ell[E_t(-\nu,a)] = \ell[\frac{d^n}{dt^n}E_t(n-\nu,a] = \frac{s^n}{s^{n-\nu}(s-a)} = \frac{1}{s^{-\nu}(s-a)}$ 

For positive orders, applying the Laplace transform and

(25) And when 
$$\nu = 0$$
, we find: 
$$\ell[E_t(0,a)] = \ell[e^{at}] = \frac{1}{s-a}$$
 (26)

### 3.3 Approximation of fractional order

#### Approximation of Fractional Order Derivative Integral There are many different ways of finding such approximations but unfortunately it is not possible to say that one of them is the best, because even though some are better than others in regard to certain characteristics, the relative merits of each approximation depend on the differentiation order, on whether one is more interested in

an accurate frequency behaviour or in accurate time responses, on how large admissible transfer functions may be, and other factors such like these. For that reason this

section shall present several alternatives and conclude with a comparison of them.

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continuous approximations or approximations in the frequency domain; the latter, discrete approximations, or approximations in the time domain.

There are 32 approximation methods for fractional order

Approximations are available both in the s-domain and in the z-domain. The former shall henceforth be called

derivative and integral, we present here Crone approximation method [10, 11].

3.3.1 Crone approximation method

#### The Crone methodology provides a continuous

and poles at well-chosen intervals, allows building a transfer function with a gain nearly linear on the logarithm of the frequency and a phase nearly constant being possible for the values of the slope of the gain and of

approximation, based on a recursive distribution of zeros and poles. Such a distribution, alternating zeros

the phase for any value of  $\nu$  [12-14]. The functions we are dealing with in this section provide integer-order frequency-domain approximation of transfer functions involving fractional powers of s.

functions involving fractional powers of s. For the frequency-domain transfer function C(s) which is given by:

given by: 
$$C(s) = Ks^{v} \qquad v \in \Re$$
 (27)

One of the well-known continuous approximation approaches is called Crone. Crone is a French acronym

zeros and N poles leading to a transfer function as (28).  $C(s) = K' \prod_{n=1}^{N} \frac{1 + \frac{s}{\omega_{2n}}}{1 + \frac{s}{\omega_{2n}}}$ (28)

which means 'robust fractional order control'. This approximation implements a recursive distribution of N

Where K' is an adjusted gain so that both (26) and (27) have unit gain at 1 rad/s. Zeros and poles have to be found ever a frequency domain 
$$[a, a, b]$$
 where the

found over a frequency domain  $[\omega_l, \omega_h]$  where the approximation is valid, they are given for a positive v, by (29), (30) and (31).

$$\omega_{z1} = \omega_l \sqrt{\eta} \tag{29}$$

$$\omega_{z1} - \omega_{\eta} \eta$$

$$\omega_{pn} = \omega_{z,n-1} \alpha \qquad n = 1...N$$

$$oldsymbol{\omega}_{pn} = \omega_{z,n-1} \alpha \qquad \qquad n = 1...N$$

$$\omega_{pn} = \omega_{z,n-1}\alpha \qquad \qquad n = 1...IV$$
(30)

$$\omega = \omega \quad n \qquad n=2 \quad N$$

$$\omega_{zn} = \omega_{p,n-1}\eta \qquad n = 2...N$$

$$\omega_{2n} = \omega_{p,n-1}\eta \qquad n = 2...N$$

(31)

(3)  
Where 
$$\alpha$$
 and  $\eta$  can be calculated thanks to (32) and (33).

Where  $\alpha$  and  $\eta$  can be calculated thanks to (32) and (33).

Where 
$$\alpha$$
 and  $\eta$  can be calculated thanks to (32) and (33).

 $\alpha = \left(\frac{\omega_h}{\omega}\right)^{\frac{\nu}{N}}$ 

For negative values of  $\nu$ , the role of the zeros and the poles is swapped. The number of poles and zeros is selected at first and the desired performance of this

it can cause ripples in both gain and phase characteristics. When 
$$|v| > 1$$
, the approximation is not satisfactory. The fractional order  $v$  usually is separated as (34) and only the first term  $s^{\rho}$  needs to be approximated.

$$s^v = s^\rho s^n, \quad v = n + \rho, \quad n \in \Re, \quad \rho \in [0, 1]$$
(34)

#### 3.4 Bode and Nichols plots of s<sup>v</sup> for real orders The frequency response of $s^{\nu}$ is:

 $arg[F(j\omega)] = v\pi / 2$ 

 $\eta = \left(\frac{\omega_h}{\omega}\right)^{\frac{1-\upsilon}{N}}$ 

$$F(j\omega) = (j\omega)^{\nu}$$

$$|F(j\omega)| = |j^{\nu}\omega^{\nu}|$$

$$|F(j\omega)| = |j^{\nu}\omega^{\nu}| = |\omega^{\nu}| = \omega^{\nu}$$

$$\arg[F(j\omega)] = \arg(j^{\nu}\omega^{\nu}) = \arg(j^{\nu})$$
(35)

Now there are several complex numbers z with different arguments such that  $z = j^{\nu}$ ; by choosing the one with a lower argument in interval [0;  $2\pi$  [ , we will obtain:

(36)

(34)

(33)

shown in Figure 8 and Figure 9:

|F(j\omega)|/dB | 20\times dB/dec | F(j\omega)|/dB | 20\times dB/dec | 20\times dB/dec

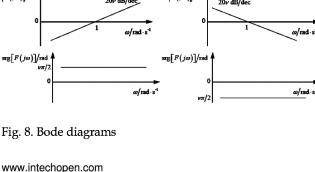
Thus the Bode and Nichols plots of  $F(s) = s^{v}$  are those

 $|F(j\omega)| = 20\log \omega^{\nu} = 20\nu \log \omega$ 

(*dB*) (37)

The gain in decibel shall be

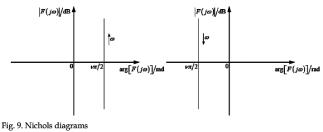
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case  $\nu > 0$  case  $\nu < 0$ 

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4. Conclusions

Manny industrial processes are nonlinear and thus complicate to describe mathematically. However, it is known that a good many nonlinear processes can

satisfactory controlled using PID controllers providing that controller parameters are tuned well. PID controller and its different types such as P, PI and PD controllers are today basic building blocks in control of various

processes. In spite their simplicity; they can be used to solve even a very complex control problems, especially

when combined with different functional blocks, filters (compensators or correction blocks), selectors etc. One of the ways to improve the traditional PID controllers is to use fractional order controllers with non integer derivation and integration parts. However, the difficulties of designing Fractional Order PID (FOPID) is relatively

higher because these controllers include derivative order and integer order in comparison with traditional PID controllers. As for a linear system, if the dynamic integer-order PID controller or FOPID controller, the result of using FOPID controller may provide a better robustness. We get the optimal control with a FOPID than a conventional PID controller.

Controller type

PI (Proportional plus Integral)

PD (Proportional plus Derivative)

P (Proportional)

I (Integral)

 $K_n$ 

 $\neq 0$ 

zero

 $\neq 0$ 

 $\pm 0$ 

characteristics are basically the same using either

## 5. Annex

P		+ Integral + Derivative)	≠0
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K,	$K_d$	C(s)	
zero	zero	$K_p$	
≠0	zero	$K_i$	
1110.00	1495-1406-1406	5 S	

zero 
$$\neq 0$$
  $K_d s + K_p = K_d (s + \frac{K_p}{K_d})$ 

$$\neq 0 \qquad \neq 0 \qquad \frac{K_d s^2 + K_p s + K_i}{s}$$

$$= \frac{K_d \left(s^2 + \frac{K_p}{K_d} s + \frac{K_i}{K_d}\right)}{s}$$

 $K_p s + K_i$ 

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≠0

zero

V	. Approximated transfer function for an FOPID
0.1	1584.8932(s + 0.1668)(s + 27.83)
	(s+0.1)(s+16.68)(s+2783)
0.2	79.4328(s + 0.05623)(s + 1)(s + 17.78)
	(s + 0.03162)(s + 0.5623)(s + 10)(s + 177.8)
0.3	39.8107(s + 0.0416)(s + 0.3728)(s + 3.34)(s + 29.94)
	(s+0.02154)(s+0.1931)(s+1.73)(s+15.51)(s+138.9)
0.4	35.4813(s + 0.03831)(s + 0.261)(s + 1.778)(s + 12.12)(s + 82.54)
	(s+0.01778)(s+0.1212)(s+0.8254)(s+5.623)(s+38.31)(s+261)
0.5	15.8489(s+0.03981)(s+0.2512)(s+1.585)(s+10)(s+63.1)
	(s + 0.01585)(s + 0.1)(s + 0.631)(s + 3.981)(s + 3.981)(s + 25.12)(s + 158.5)
0.6	10.7978(s+0.04642)(s+0.3162)(s+2.154)(s+14.68)(s+100)
	(s+0.01468)(s+0.1)(s+0.631)(s+4.642)(s+31.62)(s+215.4)
0.7	9.3633(s + 0.06449)(s + 0.578)(s + 5.179)(s + 46.42)(s + 416)
	(s+0.01389)(s+0.1245)(s+1.116)(s+10)(s+89.62)(s+803.1)
0.8	5.3088(s + 0.1334)(s + 2.371)(s + 42.17)(s + 749.9)
	(s + 0.01334)(s + 0.2371)(s + 4.217)(s + 74.99)(s + 1334)
0.9	2.2675(s + 1.292)(s + 215.4)
	(s+0.01292)(s+2.154)(s+359.4)

Table 2. Approximation of 1/Sv for different v values

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