

1 **Bounded Degree Nonnegative Counting CSP**
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4 JIN-YI CAI*, Department of Computer Sciences, University of Wisconsin-Madison., USA
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7 DANIEL P. SZABO†, Department of Computer Sciences, University of Wisconsin-Madison., USA
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10 Constraint satisfaction problems (CSP) encompass an enormous variety of computational problems. In particular, all partition functions
11 from statistical physics, such as spin systems, are special cases of counting CSP (#CSP). We prove a complete complexity classification
12 for every counting problem in #CSP with nonnegative valued constraint functions that is valid when every variable occurs a bounded
13 number of times in all constraints. We show that, depending on the set of constraint functions \mathcal{F} , every problem in the complexity
14 class $\#CSP(\mathcal{F})$ defined by \mathcal{F} is either polynomial-time computable for all instances without the bounded occurrence restriction, or is
15 #P-hard even when restricted to bounded degree input instances. The constant bound in the degree depends on \mathcal{F} . The dichotomy
16 criterion on \mathcal{F} is decidable. As a second contribution, we prove a slightly modified but more streamlined decision procedure (from [14])
17 to test for the tractability of $\#CSP(\mathcal{F})$. This procedure on an input \mathcal{F} tells us which case holds in the dichotomy for $\#CSP(\mathcal{F})$. This
18 more streamlined decision procedure enables us to fully classify a family of *directed* weighted graph homomorphism problems. This
19 family contains both P-time tractable problems and #P-hard problems. To our best knowledge, this is the first family of such problems
20 explicitly classified that are not *acyclic*, thereby the Lovász-goodness criterion of Dyer-Goldberg-Paterson [24] cannot be applied.
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23 CCS Concepts: • **Theory of computation** → **Complexity classes**; *Problems, reductions and completeness*; *Algebraic complexity*
24 theory; • **Mathematics of computing** → Combinatorics; Enumeration;
25

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34 **1 INTRODUCTION**
35

36 Constraint Satisfaction Problems (CSPs) have been a subject of immense interest due to their wide applicability and
37 intrinsic elegance. In particular, counting CSPs, or #CSPs, have been an active subject in computational counting
38 complexity [7, 9, 10, 13, 14, 18, 19, 22], including their approximate solutions [20, 28, 30, 41, 42]. Roughly speaking, an
39 (unweighted) constraint satisfaction problem deals with the following scenario, where there is a set of variables, each
40 taking values over some finite domain D , and a set of constraints, each applied on an (ordered) subsequence of these
41 variables. The #CSP problem on an instance asks how many assignments there are of these variables that satisfy all of
42 the given constraints.

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46 Authors' addresses: Jin-Yi Cai, jyc@cs.wisc.edu, Department of Computer Sciences, University of Wisconsin-Madison., USA; Daniel P. Szabo, Department
47 of Computer Sciences, University of Wisconsin-Madison., USA, dszabo2@wisc.edu.
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53 Applications of CSP problems are wide-ranging and varied. They range from within computer science to physical
 54 sciences such as physics, chemistry, engineering, even music [1, 38, 47, 52]. Within computer science, belief propagation
 55 has been a popular research topic in AI, which is ultimately based on some forms of partition function evaluations [5,
 56 29, 39, 40, 46, 48, 51]. The term partition function, which we define formally later, arises from statistical physics, where
 57 one can see special cases of (weighted) counting CSPs in the form of spin systems such as the Ising and Potts models,
 58 e.g. [25]. In physical sciences as well as in applications within computer science, the instances of counting CSP problems
 59 that occur in practice are often with the additional restriction that variables occur a bounded number of times.
 60

61 To define (unweighted) #CSP problems formally, let D be a finite domain set, Γ be a set of constraint relations Θ_i ,
 62 where each Θ_i is a relation on D of arity $r_i = r(\Theta_i) \geq 1$. An instance of $\text{#CSP}(\Gamma)$ is then defined by a set X of n variables
 63 over D , and a list of constraints Θ from Γ , and for each constraint Θ in the list a sequence of $r(\Theta)$ variables from X
 64 that the constraint is applied to. This defines an n -ary relation R in D^n on the input variables where an assignment
 65 $(x_1, \dots, x_n) \in D^n$ is in R iff all constraints are satisfied. For any fixed Γ , the counting CSP problem $\text{#CSP}(\Gamma)$ consists of
 66 all input instances using constraint relations from Γ . The computational problem is to compute the size of R given an
 67 arbitrary input instance, where the (worst case) computational complexity is measured in terms of size n of the set of
 68 variables and the size of the list of constraints. For a finite (fixed) Γ , this can be simplified to just n , up to a polynomial
 69 factor. A complexity dichotomy theorem can classify, depending on Γ , the problem $\text{#CSP}(\Gamma)$ as either computable in
 70 polynomial-time (P-time), or #P-complete, with no intermediate cases. Typically, the set Γ is a fixed finite set, which
 71 defines the #CSP problem—this Γ is the name of the problem. However, in most dichotomy theorems one can allow
 72 infinite sets, where in the P-time computable case we assume the specification of the constraints in the instances counts
 73 toward the input size, and in the #P-complete case there is a finite subset $\Gamma_0 \subseteq \Gamma$ such that $\text{#CSP}(\Gamma_0)$ is #P-hard.
 74

75 For example, if we let $D = \{0, 1\}$ and $\Gamma = \{\text{OR}_k | k \geq 1\} \cup \{\neq_2\}$, where OR_k is the k -ary OR function, and \neq_2 the binary
 76 disequality function, then the problem $\text{#CSP}(\Gamma)$ is equivalent to #SAT, the counting Boolean satisfiability problem.
 77

78 This formulation can be generalized to the weighted setting. In the most general case, the constraint functions
 79 can take real or complex values. In this paper we only consider #CSP defined by nonnegatively weighted constraint
 80 functions. This means that we replace the constraint language Γ by a set of constraint functions \mathcal{F} , where each $f_i \in \mathcal{F}$
 81 has some arity $r_i \geq 1$ and maps D^{r_i} to nonnegative algebraic reals, denoted as \mathbb{R}_+ .¹ Any given instance I defines a
 82 function $F_I : D^n \rightarrow \mathbb{R}_+$, such that on each assignment of variables, the value of F_I is the product over the constraint
 83 functions in I evaluated on the assignment. The solution to this instance I of $\text{#CSP}(\mathcal{F})$ is then
 84

$$Z_{\mathcal{F}}(I) = \sum_{(x_1, \dots, x_n) \in D^n} F_I(x_1, \dots, x_n). \quad (1)$$

85 This sum-of-products expression in (1) is called the partition function for an instance of #CSP, with the terminology
 86 coming from statistical physics [3]. When all functions in \mathcal{F} are 0-1 valued, then the product is also 0-1 valued and is
 87 equivalent to the logical AND, and the partition function counts the number of satisfying assignments. Thus this $Z_{\mathcal{F}}(I)$
 88 generalizes the unweighted case when \mathcal{F} is a set of constraint relations Γ .

89 As a special case of #CSP, a q -state spin system is a problem on a domain $[q]$ with the constraint language having only
 90 a single binary constraint defined by the $q \times q$ interaction matrix A . An instance to this problem is a graph $G = (V, E)$,
 91 where the vertices (sites) are considered to be variables (spins) and the edges (bonds) correspond to the interactions
 92 between these vertices. The famous Ising model with parameter λ has domain size $q = 2$, and is defined by its interaction
 93

101
 102 ¹Restricting to algebraic numbers is standard in this research area because we wish to state our results in the Turing machine model for strict bit
 103 complexity. See [17].

matrix $A_{\text{Ising}}^\lambda = \begin{bmatrix} \lambda & 1 \\ 1 & \lambda \end{bmatrix}$ (see Figure 1b). The Potts model (Figure 1d) and Widom-Rowlinson model (Figure 1c) on 3 states are defined by the following interaction matrices respectively,

$$A_{3\text{Potts}}^\lambda = \begin{bmatrix} \lambda & 1 & 1 \\ 1 & \lambda & 1 \\ 1 & 1 & \lambda \end{bmatrix} \quad \text{and} \quad A_{\text{WR}} = \begin{bmatrix} 1 & 1 & 0 \\ 1 & 1 & 1 \\ 0 & 1 & 1 \end{bmatrix}.$$

Familiar problems in computer science can also be expressed in this model; e.g., independent set (IS) is defined by $A_{\text{IS}} = \begin{bmatrix} 1 & 1 \\ 1 & 0 \end{bmatrix}$ (Figure 1a).

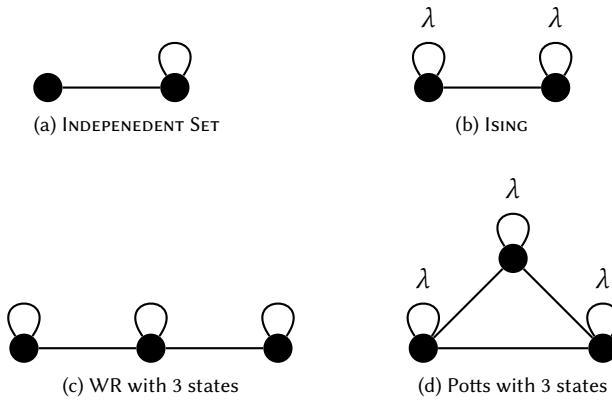


Fig. 1. The graphs corresponding to some well known spin systems.

Bulatov [9] proved a sweeping complexity dichotomy for unweighted #CSPs. His proof used deep results from universal algebra. His dichotomy theorem states that $\#\text{CSP}(\Gamma)$ is solvable in polynomial-time if Γ satisfies a condition called congruence singularity; it is #P-complete otherwise. Dyer and Richerby [22] gave another proof of this dichotomy using a new P-time tractability criterion, which they proved to be equivalent to congruence singularity.

A nonnegative matrix is block-rank-1 if it becomes a block-diagonal matrix after a permutation of its rows and a permutation of its columns separately, such that all blocks are rank 1 except for possibly one all-zero block. (Here the blocks in the block-diagonal form of the matrix need not be square matrices.) For example, the following matrix (where blank entries are 0's)

$$\begin{bmatrix} A_{0,0} & & A_{0,2} & & & & & \\ & A_{1,0} & & A_{1,2} & & & & \\ & & & & A_{2,4} & & A_{2,6} & \\ & & & & A_{3,4} & & A_{3,6} & \\ A_{4,1} & & A_{4,3} & & & & & \\ A_{5,1} & & A_{5,3} & & & & & \\ & & & & A_{6,5} & & A_{6,7} & \\ & & & & A_{7,5} & & A_{7,7} & \end{bmatrix} \quad (2)$$

157 is block-rank-1 if each nonzero rectangle of the form $\begin{bmatrix} A_{i,j} & A_{i,j'} \\ A_{i',j} & A_{i',j'} \end{bmatrix}$ has rank 1.
 158

159 For unweighted #CSP, the Dyer-Richerby condition in [22] for polynomial-time tractability in the dichotomy theorem
 160 is *Strong Balance*. Let $d = |D|$ be the domain size. We say a constraint language Γ is *Strongly Balanced* if every n -ary
 161 relation R defined by an instance of $\text{#CSP}(\Gamma)$ satisfies the following condition:
 162

163 For any $a, b \geq 1$ and $c \geq 0$ with $a + b + c \leq n$, the following $d^a \times d^b$ matrix M is block-rank-1:
 164

$$165 M(\mathbf{u}, \mathbf{v}) = \left| \{\mathbf{w} \in D^c : \exists \mathbf{z} \in D^{n-c-b-a} \text{ s.t. } (\mathbf{u}, \mathbf{v}, \mathbf{w}, \mathbf{z}) \in R\} \right|.$$

166 (If $a+b+c = n$, then the quantified statement “ $\exists \mathbf{z} \in D^{n-c-b-a}$ such that $(\mathbf{u}, \mathbf{v}, \mathbf{w}, \mathbf{z}) \in R$ ” simply means that $(\mathbf{u}, \mathbf{v}, \mathbf{w}) \in R$.)
 167

168 If we are dealing with \mathcal{F} rather than Γ , and if \mathcal{F} is not a set of 0-1 valued functions, then the existential quantified
 169 statement “ $\exists \mathbf{z}$ ” has no meaning. It turns out that there are several equivalent notions of *Balance*, which when \mathcal{F} is
 170 restricted to a set of 0-1 valued functions (i.e. when \mathcal{F} can be identified with a constraint language Γ) are all equivalent
 171 to the notion of Strong Balance; see Lemma 9.4 in [14]. These notions of Balance do not use existential quantifiers (see
 172 Definition 1 in Section 2). These notions are central to the #CSP dichotomies for $\text{#CSP}(\mathcal{F})$ for nonnegative valued \mathcal{F} .
 173

174 The study of #CSPs is closely related to that of counting graph homomorphisms [4, 27, 35, 36]. For two graphs G
 175 and H , a graph homomorphism from G to H is a mapping $f : V(G) \rightarrow V(H)$ that preserves vertex adjacency. In other
 176 words, if $e = \{u, v\} \in E(G)$ then $e' = \{f(u), f(v)\} \in E(H)$, for all edges e in G . The question of interest in counting
 177 complexity is the number of graph homomorphisms from one graph to another, which can also be represented by a
 178 partition function. If we let A be the $m \times m$ adjacency 0-1 matrix of the graph H , then the number of homomorphisms
 179 from G to H can be represented as a sum-of-products partition function as follows,
 180

$$182 Z_A(G) = \sum_{f: V(G) \rightarrow [m]} \prod_{\{u,v\} \in E(G)} A_{f(u), f(v)}. \\ 183$$

184 Partition functions of graph homomorphism can represent important physical spin systems such as the Ising, Potts,
 185 or Widom-Rowlinson models, as well as many other well known problems in computer science.
 186

187 Counting graph homomorphisms is a special case of #CSP. In fact, the vertex-edge incidence graph of G defines an
 188 input to a #CSP problem, where vertices $V(G)$ are variables and edges $E(G)$ are (applications of binary) constraints,
 189 and the constraint language consists of a single binary relation represented by the adjacency matrix A defining the
 190 graph homomorphism problem $G \mapsto Z_A(G)$. Just as in #CSPs, the counting graph homomorphism function $Z_A(G)$ can
 191 be generalized from the 0-1 unweighted case to the weighted case where A is a real or complex matrix. It is symmetric
 192 for an undirected graph H , in which case we also only consider undirected G ; for directed graph homomorphisms, A
 193 need not be symmetric.
 194

195 The first dichotomy on counting graph homomorphisms was due to Dyer and Greenhill [21] for undirected graphs.
 196 They showed that there is a simple criterion such that if A satisfies the criterion then $G \mapsto Z_A(G)$ is computable
 197 in P-time, otherwise it is #P-complete. In fact they proved that if A does not satisfy the criterion then the problem
 198 of evaluating $Z_A(G)$ remains #P-complete even when restricted to graphs G with bounded degree Δ , for some Δ
 199 depending on A . Computing $G \mapsto Z_A(G)$ when restricted to graphs G with bounded degree Δ is called $\text{EVAL}^{(\Delta)}(A)$.
 200 The Dyer-Greenhill dichotomy was extended to the nonnegatively weighted case by Bulatov and Grohe in [8]. This
 201 dichotomy was then referenced throughout the field, as many other discoveries, including the results on #CSPs, ended
 202 up applying it. However, the hardness part of the proof of the Bulatov-Grohe dichotomy theorem required input
 203 graphs that have unbounded degrees. When restricted to bounded degree graphs, the worst case complexity of the
 204

Bulatov-Grohe dichotomy was left open for 15 years, until it was finally resolved by Gvorov, Cai, and Dyer in [26] for graph homomorphisms with nonnegative weights, and extended by Cai and Gvorov in [15] for complex weights. Most problems in statistical physics [6, 11, 31] use bounded degree graphs, and also most of the approximation algorithms work on bounded degree graphs [2, 23, 32, 33, 37, 43–45, 49]. Over the Boolean domain where variables take 0-1 values, it is known that the #CSP dichotomy for complex valued constraint functions holds for input instances where each variable occurs at most three times [16].

It has been an open problem to extend the general domain #CSP dichotomies to include the bounded degree case, i.e. where each variable occurs a bounded number of times. It was open even for the 0-1 unweighted case. For the nonnegative cases, this would be the analogous Gvorov-Cai-Dyer extension [26] of the Bulatov-Grohe dichotomy for graph homomorphism, but applied to a much broader class of problems, as graph homomorphism is the special case of $\#CSP(\mathcal{F})$ where \mathcal{F} consists of a single binary function.

In this paper we prove such a dichotomy for bounded degree nonnegative #CSPs. For any finite domain D , any finite set of nonnegative constraint functions \mathcal{F} on D , and any integer $\Delta \geq 0$, we define $\#CSP^{(\Delta)}(\mathcal{F})$ to be the #CSP problem, where the input consists of n variables x_1, \dots, x_n over D and a sequence of constraint functions $f_1, \dots, f_m \in \mathcal{F}$ each applied to a subsequence of the n variables, where each variable x_i appears no more than Δ times among f_1, \dots, f_m . Note that in general, a function $f \in \mathcal{F}$ may occur multiple times among f_1, \dots, f_m . We take $n + m$ as the input size. We prove that the same dichotomy criterion in [14] applies to the bounded degree case: if the P-time tractability criterion is not satisfied, then $\#CSP^{(\Delta)}(\mathcal{F})$ remains #P-hard for some $\Delta > 0$. The dichotomy criterion of [14] will be explained in more detail after we introduce some more definitions in Section 2. These notions are further explicated in Theorem 2, and a more technical statement of Theorem 1 is given in Theorem 3.

THEOREM 1. *For any finite domain D and any nonnegatively weighted constraint functions \mathcal{F} on D , if \mathcal{F} satisfies the tractability criterion in [14], then $\#CSP(\mathcal{F})$ is P-time computable; otherwise, $\#CSP^{(\Delta)}(\mathcal{F})$ is #P-hard² for some $\Delta > 0$.*

For any fixed finite set \mathcal{F} of constraint functions, the arities of $f \in \mathcal{F}$ are bounded. Viewing any instance as a bipartite graph, with the variables x_1, \dots, x_n on one side and constraints $f_1, \dots, f_m \in \mathcal{F}$ on the other, with an edge between x_i and f_j if x_i is an input to the function f_j , we can see that the condition for a #CSP instance to be bounded degree corresponds exactly to this bipartite graph having bounded degree.

Our second contribution in this paper is a slightly modified but more streamlined decision procedure (compared to that of [14]) for polynomial-time tractability. This enables us to fully classify a family of *directed* weighted graph homomorphism problems. This family contains both P-time tractable problems and #P-hard problems. To our best knowledge, this is the first family of such problems explicitly classified that are not *acyclic*, thereby the Lovász-goodness criterion of Dyer-Goldberg-Paterson [24] cannot be applied.

2 BALANCE

Several variants of the *Balance* condition have been used in the study of counting constraint satisfaction problems. In addition to the *Strong Balance* condition [22], the following conditions have been introduced in [14]. Recall that $d = |D|$ denotes the domain size.

DEFINITION 1 (VARIOUS NOTIONS OF BALANCE). *We have the following notions:*

²The problem $\#CSP^{(\Delta)}(\mathcal{F})$ is also no harder than #P under a polynomial-time Turing reduction for any \mathcal{F} . The statement for Theorem 1 does not state #P-complete only for the technical reason that functions in #P by definition take nonnegative integer values while the partition function in (1) may take values more general than nonnegative integers.

- (1) (*Balance*) We say \mathcal{F} is Balanced if for any $n \geq 2$, any $a \geq 1$ and $b \geq 1$ with $a + b \leq n$, and any instance I of $\#CSP(\mathcal{F})$ which defines an n -ary function $F_I(x_1, \dots, x_n)$ over D^n , the following $d^a \times d^b$ matrix M_I is block-rank-1: The rows and columns of M_I are indexed by tuples $\mathbf{u} \in D^a$ and $\mathbf{v} \in D^b$ respectively, and

$$M_I(\mathbf{u}, \mathbf{v}) = \sum_{\mathbf{w} \in D^{n-a-b}} F_I(\mathbf{u}, \mathbf{v}, \mathbf{w}),$$

for all $\mathbf{u} \in D^a, \mathbf{v} \in D^b$. If $a + b = n$ then the sum $\sum_{\mathbf{w} \in D^{n-a-b}} F_I(\mathbf{u}, \mathbf{v}, \mathbf{w})$ is simply $F_I(\mathbf{u}, \mathbf{v})$.

(2) (*Weak Balance*) We say \mathcal{F} is Weakly Balanced if the definition for Balance holds for $b = 1$.

(3) (*Primitive Balance*) We say \mathcal{F} is Primitively Balanced if the definition for Balance holds for $a = b = 1$.

While these three notions may seem to have varying strengths, all three are in fact equivalent by combining the proof in [14] and [34]. See Theorem 2 below. We need the following definition.

DEFINITION 2 (STRONG RECTANGULARITY). We say a matrix M is Rectangular if after a row permutation and a column permutation it is a block diagonal matrix where all diagonal blocks have no zero entries, except possibly one all zero block. We say a constraint language Γ over D is Strongly Rectangular if for any input instance I of $\#CSP(\Gamma)$ which defines an n -ary relation R_I over D^n and for any a and b such that $1 \leq a < b \leq n$, the following $|D|^a \times |D|^{b-a}$ matrix M is rectangular: The rows of M are indexed by $\mathbf{u} \in D^a$, the columns of M are indexed by $\mathbf{v} \in D^{b-a}$, and

$$M(\mathbf{u}, \mathbf{v}) = \left| \{ \mathbf{w} \in D^{n-b} : (\mathbf{u}, \mathbf{v}, \mathbf{w}) \in R_I \} \right|.$$

THEOREM 2. The notions of Balance, Weak Balance, and Primitive Balance are equivalent, and can be taken as the P-time tractability criterion of the dichotomy in [14].

PROOF. It is clear that balance implies weak balance, and weak balance implies primitive balance simply by setting b or a to 1 in Definition 1. We only need to show that primitive balance implies balance, then all three notions are equivalent. For any \mathcal{F} of nonnegative valued constraint functions, Cai, Chen and Lu proved in [14] that (1) if \mathcal{F} is Balanced then it is Weakly Balanced and that the support constraint language of \mathcal{F} satisfies Strong Rectangularity, and (2) the latter two conditions imply that $\#CSP(\mathcal{F})$ is P-time computable. Here the support constraint language of \mathcal{F} is obtained by taking the support set of each function in \mathcal{F} . On the other hand they also proved that if \mathcal{F} is not Balanced then $\#CSP(\mathcal{F})$ is #P-hard. Thus their dichotomy criterion is that \mathcal{F} is Balanced. They also proved in [14] that Primitive Balance implies Weak Balance. Lin and Wang proved in [34] that Weak Balance implies Balance, thus unifying all three notions. \square

Remark: We take this opportunity to clarify the dichotomy criteria from [14] for $\#CSP(\mathcal{F})$. [14] gave two versions of the dichotomy criteria for $\#CSP(\mathcal{F})$: Theorems 1.1 and 1.2 (pp. 2179-2180 [14]). Theorems 1.1 states that Balance is a complexity dichotomy criterion for $\#CSP(\mathcal{F})$: if \mathcal{F} satisfies the Balance condition then $\#CSP(\mathcal{F})$ is P-time computable, otherwise it is #P-hard. Theorems 1.2 states that Weak Balance plus Strong Rectangularity (of the support of \mathcal{F}) is also a complexity dichotomy criterion for $\#CSP(\mathcal{F})$. The proof in [14] is logically based on proving the following two implications: Weak Balance plus Strong Rectangularity imply P-time tractability, and Non-Balance implies #P-hardness. It is easy to see that Balance implies Weak Balance and also Strong Rectangularity, both Theorems 1.1 and 1.2 of [14] follow. If one assumes #P does not collapse to P (a well-accepted hypothesis, without which these dichotomy theorems would not be very meaningful, although still logically valid) then the two dichotomy criteria (Theorems 1.1 and 1.2) in [14] must be equivalent. However, this fact was not proved unconditionally in [14]. Lin and Wang proved unconditionally in [34] that Weak Balance is equivalent to Balance. At the time of writing [14], while it was easy to

see that Balance implies Strong Rectangularity, it was not immediately obvious that Weak Balance also implies Strong Rectangularity unconditionally. This is the main reason why Strong Rectangularity was included in the dichotomy criterion for Theorem 1.2 in [14] (another reason is that technically the proof did go through Strong Rectangularity.) With the unconditional proof by Lin and Wang [34], we also know Weak Balance implies Strong Rectangularity, and thus the statement of Theorem 1.2 in [14] can be simplified to just Weak Balance. Finally, it was already proved in [14] that Weak Balance is equivalent to the seemingly even weaker notion of Primitive Balance, thus all three notions are equivalent and are unconditionally the dichotomy criteria as stated in Theorem 2. We will see in Section 4 that Primitive Balance is the crucial notion for the decidability of the dichotomy of $\#\text{CSP}(\mathcal{F})$.

3 BOUNDED DEGREE #CSPS

LEMMA 1. *Let M be a nonnegative matrix. If M is not block-rank-1 then neither is MM^T .*

PROOF. If every two rows of M are either proportional or their nonzero entries are on disjoint subsets of columns, then M would be block-rank-1. Thus there are rows M_i and M_j , such that they are linearly independent, and the subsets of columns where their nonzero entries occur intersect. Being nonnegative, the latter condition implies that they are not orthogonal. So by the Cauchy-Schwarz inequality we have

$$0 < (M_i \cdot M_j)^2 < (M_i \cdot M_i)(M_j \cdot M_j).$$

Letting $A = MM^T$ we find four nonzero elements $A_{i,i}, A_{i,j} = A_{j,i}$, and $A_{j,j}$ satisfying $A_{i,i}A_{j,j} > A_{i,j}^2 > 0$, so A is not block-rank-1. \square

We can now prove our main result, i.e., if a nonnegative constraint set \mathcal{F} does not satisfy the Balance condition, then $\#\text{CSP}^{(\Delta)}(\mathcal{F})$ is #P-hard for some $\Delta > 0$.

THEOREM 3. *If \mathcal{F} is Primitively Balanced, then the problem $\#\text{CSP}(\mathcal{F})$ without degree restriction is computable in polynomial-time, otherwise $\#\text{CSP}^{(\Delta)}(\mathcal{F})$ is #P-hard for some $\Delta > 0$.*

PROOF. As the tractability was already shown in [14], we only need to prove the hardness part. Let \mathcal{F} be any set of nonnegatively weighted constraint functions that is not Primitively Balanced. Then for some instance I on n variables, the $|D| \times |D|$ matrix M defined by

$$M(x_1, x_2) = \sum_{(x_3, \dots, x_n) \in D^{n-2}} F_I(x_1, x_2, x_3, \dots, x_n)$$

is not block-rank 1. This instance only uses a finite subset $\mathcal{F}' \subseteq \mathcal{F}$ of constraint functions. In the rest of this proof we will show that $\#\text{CSP}^{(\Delta)}(\mathcal{F}')$ is #P-hard for some $\Delta > 0$, and therefore we may as well assume \mathcal{F} is a finite set of nonnegatively weighted constraint functions that is not Primitively Balanced.

Let $A = MM^T$. Then the matrix A is symmetric, nonnegative, and not block-rank 1 by Lemma 1. This A defines a graph homomorphism problem. We know from [26] that the bounded degree nonnegative graph homomorphism problem $\text{EVAL}^{(\Delta)}(A)$ is #P-hard for some $\Delta > 0$, where the constant Δ depends on A . Here we show a reduction $\text{EVAL}^{(\Delta)}(A) \leq_P \#\text{CSP}^{(\Delta')}(\mathcal{F})$, for some $\Delta' > 0$, thereby showing that $\#\text{CSP}^{(\Delta')}(\mathcal{F})$ is #P-hard for some $\Delta' > 0$.

To show that, consider a graph G with maximum degree at most Δ as input instances of $\text{EVAL}^{(\Delta)}(A)$. We can compute the value $Z_A(G)$ by expressing it as the partition function $Z_{\mathcal{F}}(I(G))$ for some instance $I(G)$ of polynomial size in $\#\text{CSP}^{(\Delta')}(\mathcal{F})$. We will use the instance I that defines the matrix M as having constant size, as it does not depend on

365 G. We construct $I(G)$, an input to $\#\text{CSP}(\mathcal{F})$, with the additional property that every variable occurs at most Δ' times,
366 such that $Z_{\mathcal{F}}(I(G)) = Z_A(G)$, as follows.

367 We note that each entry in A is a dot product of two row vectors in M , and every entry of M is a sum over $|D|^{n-2}$
368 evaluations of F_I .

370 We will define a (binary) gadget, which is an instance of $\#\text{CSP}(\mathcal{F})$ of bounded size, with two specially labelled
371 variables called x^* and x^{**} . Copies of this gadget will be used in the construction of (global) $\#\text{CSP}(\mathcal{F})$ instances. A
372 (binary) gadget may have other variables, but in the global $\#\text{CSP}(\mathcal{F})$ instances all constraints applied to the variables
373 other than x^* and x^{**} in each copy are from within the gadget. We define $I(G)$ by replacing every edge in G by a copy
374 of this gadget. Formally, the construction is as follows, where the gadget simulates the edge weights in A in the $\#\text{CSP}$
375 setting.

- 378 1. Define a variable x_v over D for every $v \in V(G)$.
- 379 2. For each $e = uv \in E(G)$ we add $2n - 3 = 1 + 2(n - 2)$ variables y_e , $z_e = (z_{e,1}, \dots, z_{e,n})$, and $z'_e = (z'_{e,1}, \dots, z'_{e,n})$
380 over the same domain D . We then apply two copies of I as constraints, one copy over the variables (x_u, y_e, z_e)
381 and another over (x_v, y_e, z'_e) . There are no other constraints applied on the variables y_e , z_e and z'_e .

383 Thus one copy of the binary gadget is used to replace each edge $uv \in E(G)$, with the two specially labelled variables
384 x^* and x^{**} identified with x_u and x_v . This gadget defines the following constraint function with input variables x_u and
385 x_v taking values in D ,

$$\begin{aligned} & \sum_{y_e \in D} \left(\sum_{z_e \in D^{n-2}} F_I(x_u, y_e, z_e) \sum_{z'_e \in D^{n-2}} F_I(x_v, y_e, z'_e) \right) \\ &= \sum_{y_e \in D} M_I(x_u, y_e) M_I(x_v, y_e) \\ &= (MM^T)(x_u, x_v) \\ &= A(x_u, x_v). \end{aligned}$$

396 Therefore the gadget defines the edge constraint function represented by the matrix A , which is exactly the edge
397 weights in $Z_A(G)$.

399 Since G has bounded degrees, and I has constant size, $I(G)$ also has bounded degrees. The variables y_e are “local” to
400 each edge $e \in E(G)$ in the sense that there are no other constraints on them except in the definition of the gadget for
401 this edge e , which has constant size. The same is true for z_e and z'_e .

403 Also, the size of $I(G)$ is linear in the size of G , so this is a polynomial-time reduction. That $Z_{\mathcal{F}}(I(G)) = Z_A(G)$
404 follows from the fact that A is the edge constraint function by our construction. \square

406 **Remark:** The relationship of Δ from [26] to Δ' in the proof of Theorem 3 is as follows. Assuming \mathcal{F} is not Primitively
407 Balanced, we have an instance I which defines the matrix M . This instance I has a constant size and a constant maximum
408 degree d (this depends on \mathcal{F} but not the input graph G to $\text{EVAL}^{(\Delta)}(A)$, where $A = MM^T$). If G has maximum degree
409 at most Δ , then the construction in the proof reveals that the maximum degree of $I(G)$ is at most $\max\{d\Delta, 2d\}$. Thus
410 we can take $\Delta' = \max\{d\Delta, 2d\}$. We also note that being not block-rank 1 also includes the possibility of being not
411 rectangular.

414 The constant Δ in the statement of Theorem 1 (equivalently in Theorem 3) depends on \mathcal{F} . (This is called Δ' in the
415 proof of Theorem 3.) This dependence is already present in [26] that $\text{EVAL}^{(\Delta)}(A)$ is $\#P$ -hard for some $\Delta > 0$, where the
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constant Δ depends on A but it is not explicitly given. In addition, there is also the dependence of d on \mathcal{F} in the proof of Theorem 3. In [21], Dyer and Greenhill conjectured that a universal constant $\Delta = 3$ suffices for $\text{EVAL}^{(\Delta)}(A)$ where A is a 0-1 symmetric matrix. This is still open. It is open whether a universal constant Δ , or a constant that only depends on the domain size $|D|$, may suffice for even the 0-1 case, for both $\text{EVAL}^{(\Delta)}(A)$ and $\#\text{CSP}^{(\Delta)}(\mathcal{F})$. In [16] it is known that the constant 3 suffices for the Boolean domain. Xia [50] proved that a universal Δ *does not* exist for $\text{EVAL}^{(\Delta)}(A)$ for complex symmetric matrices A , assuming $\#P$ does not collapse to P.

4 EFFECTIVE DICHOTOMY AND A FAMILY OF DIRECTED GH

The condition of Balance (Definition 1) in the dichotomy refers to all instances I of $\#\text{CSP}(\mathcal{F})$, which is an infinitary statement. Thus it is not immediate that the tractability condition in Theorem 3 is decidable. However the condition is the same as the one in [14] for the unbounded degree case, and in that paper a decision procedure is given. Here we give a slight modification of the same decision procedure for the dichotomy in Theorem 3. This form is more symmetric and allows us to apply the following procedure more effectively.

THEOREM 4. *The polynomial-time tractability condition of balance in Theorem 3 can be tested by the following two conditions. Measured in the size of D and \mathcal{F} , this shows that the decision problem for testing balance is in NP.*

(A) *There is a Mal'tsev polymorphism $\varphi : D^3 \rightarrow D$ for (the support of) every function in \mathcal{F} . This means that φ preserves all relations defined as the support of some function in \mathcal{F} (this is called a polymorphism), and satisfies $\varphi(a, a, b) = \varphi(b, a, a) = b$ for all $a, b \in D$ (Mal'tsev property). The existence of such a mapping φ is equivalent to Strong Rectangularity.*

(B) *For all $\alpha \neq \beta, \kappa \neq \lambda \in D$ there is a bijection $\pi : D^6 \rightarrow D^6$ satisfying the following three properties:*

- (1) $\pi((\alpha, \alpha, \alpha, \beta, \beta, \beta)) = (\alpha, \alpha, \alpha, \beta, \beta, \beta)$.
- (2) $\pi((\kappa, \lambda, \kappa, \lambda, \kappa, \lambda)) = (\lambda, \kappa, \lambda, \kappa, \lambda, \kappa)$.

(3) *Any function $f \in \mathcal{F}$ with arity r is invariant under π , that is, for any sequence $(\mathbf{y}_1, \dots, \mathbf{y}_r)$ of length r of 6-tuples where $\mathbf{y}_i = (y_{i,1}, \dots, y_{i,6}) \in D^6$ for $1 \leq i \leq r$, the following holds:*

$$\prod_{j \in [6]} f(y_{1,j}, \dots, y_{r,j}) = \prod_{j \in [6]} f(\pi(\mathbf{y}_1)_j, \dots, \pi(\mathbf{y}_r)_j). \quad (3)$$

The only difference in the statement of this decision criterion compared to the one stated in [14] is a more symmetric expression for condition 2 of (B). The proof closely follows the proof in [14]; for completeness, we will give a proof in an appendix. Alternatively, one can apply a perm $\pi = \begin{pmatrix} 1 & 2 & 3 & 4 & 5 & 6 \\ 1 & 3 & 2 & 4 & 6 & 5 \end{pmatrix}$ and show that it is an automorphism of a relational structure $(\mathfrak{D}, \mathfrak{F})$, which is defined to be the 6th power of $\#\text{CSP}(\mathcal{F})$, to derive this form of the decision criterion from the form proved in [14].³ However, the more symmetric form in Theorem 4 makes the criterion more easily applicable. We demonstrate this by proving new tractable and intractable cases of directed graph homomorphisms that were previously unknown. The tractability or intractability of these problems were decidable in principle by the previous method in [14] which was an extension of the method by Dyer and Richerby for the unweighted case [22]; however, the decision procedure of the previous method seemed in practice too complicated to be useful.

Dyer, Goldberg and Paterson in [24] proved a decidable complexity dichotomy for (unweighted) directed graph homomorphisms that is restricted to directed *acyclic* graphs. Their polynomial-time tractability criterion is an interesting condition of being *layered* and *Lovász-good* for directed acyclic graphs. They state in [24] that “An interesting feature

³In [14], the corresponding condition 2 of (B) is stated as $\pi((\kappa, \kappa, \lambda, \lambda, \kappa, \kappa)) = (\lambda, \lambda, \kappa, \kappa, \kappa, \lambda)$.

of the dichotomy, which is absent from previously-known dichotomy results, is that there is a rich supply of tractable graphs H with complex structure". Going beyond directed *acyclic* graphs, as it is done with Theorem 3 and 4, is expected to yield even more polynomial-time tractable problems. However, up until now we don't have any interesting concrete examples. (Part of the reason is probably that testing for the tractability criterion, while decidable, is not a simple matter; see below.) The dichotomy theorem in this paper applies more generally without the *acyclicity* restriction. We now give a family of non-acyclic directed graph homomorphism problems that we can completely classify using our tractability criterion in Theorem 4. To our best knowledge, this is the first such explicit family that can be classified, going beyond the Lovász-goodness criterion [24].

To start, if we take all nonzero $A_{i,j} = 1$ in equation (2), we get a binary relation that defines a polynomial-time tractable problem. This represents an adjacency matrix of a directed graph H illustrated in Figure 2. In fact, we can give an infinite family of tractable #CSP based on a weighted binary constraint function given in equation (4). These problems were considered in [12], where it was shown that, while the complexity of the #CSP defined by these relations is provably decidable, the decision criterion was yet too complicated, therefore for what values of $A_{i,j}$ in equation (2) the problem it defines is tractable for #CSP was not resolved.

We will show that a nonnegative binary constraint function given in the form of equation (2) with positive entries $A_{i,j}$ defines a tractable #CSP iff the constraint function is a positive multiple of the function in equation (4)

$$A = \begin{bmatrix} 1 & v & & \\ u & uv & & \\ & & y & yv \\ & & yu & yuv \\ x & xv & & \\ xu & xuv & & \\ & & z & zv \\ & & zu & zuv \end{bmatrix} \quad (4)$$

for some positive reals u, v, x, y, z , with the condition that $z = xy$.

The #CSP problem it defines is on a domain of size 8 and has a constraint function set \mathcal{F} consisting of a single binary (but not symmetric) constraint function given by the matrix in (4). The directed graph defined by the support of the function is not acyclic.

We first prove the relation in (4) for any positive u, v, x, y and $z = xy$ defines a tractable problem. After that we prove the reverse direction.

To apply Theorem 4, we treat $D = \{0, \dots, 7\}$ as a vector space $(\text{GF}[2])^3$ of size 8, represented by three bit strings $\{0, 1\}^3$. Then we can take the Mal'tsev polymorphism $\varphi : D^3 \rightarrow D$ where $\varphi(x, y, z) = x - y + z$. (Here $-$ is the same as $+$ in $\text{GF}[2^3]$.) It is Mal'tsev because $\varphi(a, a, b) = \varphi(b, a, a) = b$ for all $a, b \in D$. The directed edge relation given by the matrix (2) (by setting all 16 nonzero entries $A_{i,j} = 1$) is given symbolically as follows:

$$A_{i,j} = 1 \text{ where } i = i_1i_2i_3, j = j_1j_2j_3 \in D \iff j_1 = i_2 \text{ and } j_3 = i_1. \quad (5)$$

One can easily check that φ is a polymorphism, i.e. for any (x, x') , (y, y') and (z, z') , if $A_{x,x'} = A_{y,y'} = A_{z,z'} = 1$ then $A_{\varphi(x,y,z),\varphi(x',y',z')} = 1$.

For the second requirement (B), there are $|D|^6! = 262144! > 10^{1306590}$ bijections from D^6 to D^6 , so it is infeasible to enumerate them. However the following map π works.

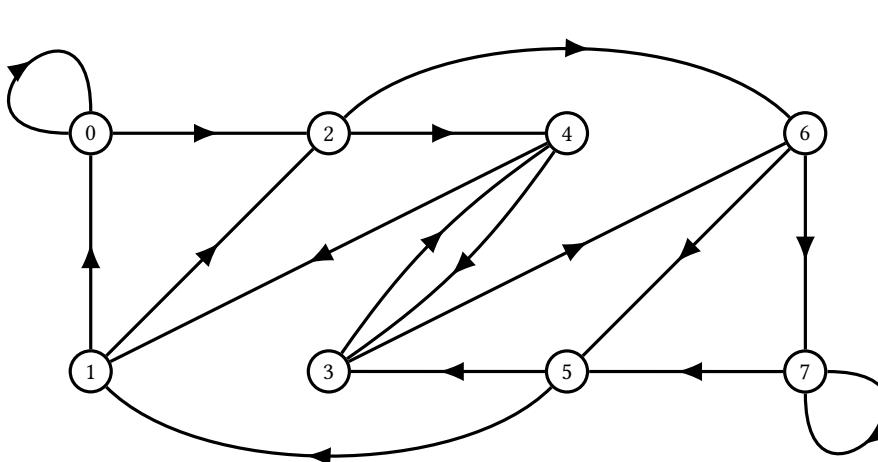


Fig. 2. A tractable binary relation represented by a directed graph. The adjacency matrix is given in equation (4).

Let $M : \{0, 1\}^6 \rightarrow \{0, 1\}^6$ be the bijection that swaps (010101) and (101010) and acts as the identity on the rest. In particular, M preserves the Hamming weight. Let M_i be the i th output bit of M , and let $x_1, x_2, \dots, x_6 \in D$ where each x_i consists of three bits $x_i = a_i b_i c_i \in \{0, 1\}^3$.

We write $\mathbf{x} = (x_1, \dots, x_6) = (a_1 b_1 c_1, \dots, a_6 b_6 c_6) \in D^6$. We will also represent \mathbf{x} bitwise using $\mathbf{a} = (a_1, \dots, a_6) \in \{0, 1\}^6$, $\mathbf{b} = (b_1, \dots, b_6) \in \{0, 1\}^6$, and $\mathbf{c} = (c_1, \dots, c_6) \in \{0, 1\}^6$, and write $\mathbf{x} = \mathbf{abc}$.

Then we define

$$\begin{aligned}\pi(\mathbf{x}) &= \pi(x_1, x_2, \dots, x_6) = \pi(a_1 b_1 c_1, a_2 b_2 c_2, \dots, a_6 b_6 c_6) \\ &= (M_1(\mathbf{a}) M_1(\mathbf{b}) M_1(\mathbf{c}), M_2(\mathbf{a}) M_2(\mathbf{b}) M_2(\mathbf{c}), \dots, M_6(\mathbf{a}) M_6(\mathbf{b}) M_6(\mathbf{c})),\end{aligned}$$

where we recall that M_i denotes the i th output bit of the function $M : \{0, 1\}^6 \rightarrow \{0, 1\}^6$ that swaps (010101) and (101010) , and is the identity on the rest.

Thus, we have defined $\pi : D^6 \rightarrow D^6$ in such a way that, if we write the input $\mathbf{x} = (x_1, x_2, \dots, x_6) \in D^6$ as a 3×6 matrix over $\{0, 1\}$ where each x_j is written as a column

$$\begin{bmatrix} a_1 & a_2 & \cdots & a_6 \\ b_1 & b_2 & \cdots & b_6 \\ c_1 & c_2 & \cdots & c_6 \end{bmatrix},$$

then the output of π is also a 3×6 matrix over $\{0, 1\}$ obtained by applying M to each row. In particular, the i th row of the output only depends on the i th row of the input.

CLAIM 1. *The mapping $\pi : D^6 \rightarrow D^6$ satisfies properties 1, 2, and 3 of (B) in Theorem 4 for all $\alpha \neq \beta, \kappa \neq \lambda \in D$.*⁴

⁴In Theorem 4 the mapping π may depend on $\alpha \neq \beta, \kappa \neq \lambda \in D$, but the π in Claim 1 is in fact the same for all $\alpha, \beta, \kappa, \lambda$.

573 PROOF. (of Claim 1) Property 1 holds by construction, because M fixes pointwise 0^6 , 1^6 , 0^31^3 , 1^30^3 . It satisfies
574 property 2 because in addition it swaps (010101) and (101010).

575 For property 3 equation (3) is expressed as (more details are given below)

$$\begin{aligned} \text{577} \quad u^{\sum c_i} v^{\sum d_i} x^{\sum a_i} y^{\sum b_i} \left(\frac{z}{xy} \right)^{\sum a_i b_i} &= u^{\sum M_i(\mathbf{c})} v^{\sum M_i(\mathbf{d})} x^{\sum M_i(\mathbf{a})} y^{\sum M_i(\mathbf{b})} \left(\frac{z}{xy} \right)^{\sum M_i(\mathbf{a}) M_i(\mathbf{b})} \end{aligned} \quad (6)$$

578 where M_i denotes the i th output bit of M , all sums (as well as those below) range from $i = 1$ to 6. Because M preserves
579 Hamming weight, we get $\sum_{i=1}^6 a_i = \sum_{i=1}^6 M_i(\mathbf{a})$, and similarly for $\mathbf{b}, \mathbf{c}, \mathbf{d}$, and since $z = xy$, this equation holds. \square

580 We now investigate *all* possible tractable cases represented by a matrix A in the form (2) with positive entries $A_{i,j}$.
581 By the necessary condition of Balance applied to the binary function A itself, all relevant four 2×2 blocks must be of
582 rank 1, in order to be tractable, i.e., it takes the form in (4) with some positive u, v, x, y and z , up to a global positive
583 factor. We prove that, up to a global positive factor, a nonnegative matrix A in (2) with the given support structure
584 defines a tractable partition function $Z_{\mathcal{F}}(\cdot)$ where $\mathcal{F} = \{A\}$ iff A has the form in (4) for some positive reals u, v, x, y and
585 $z = xy$; otherwise $Z_{\mathcal{F}}(\cdot)$ is #P-hard.

586 This #CSP problem has \mathcal{F} consisting of a single binary (nonsymmetric) constraint function defined by the matrix
587 A . By its support structure and the Mal'tsev polymorphism we already satisfied condition (A) of Theorem 4. So, the
588 problem is tractable if and only if for all $\alpha \neq \beta, \kappa \neq \lambda \in D$, there is a bijection $\pi : D^6 \rightarrow D^6$ that satisfies the following
589 three properties:

590 (1) $\pi((\alpha, \alpha, \alpha, \beta, \beta, \beta)) = (\alpha, \alpha, \alpha, \beta, \beta, \beta)$.

591 (2) $\pi((\kappa, \lambda, \kappa, \lambda, \kappa, \lambda)) = (\lambda, \kappa, \lambda, \kappa, \lambda, \kappa)$.

592 (3) For the binary function represented by the matrix A , and any 6-tuples $\mathbf{x}, \mathbf{y} \in D^6$, where $\mathbf{x} = (x_1, \dots, x_6)$ and
593 $\mathbf{y} = (y_1, \dots, y_6)$, we have the following invariance under π ,

$$\prod_{i \in [6]} A_{x_i, y_i} = \prod_{i \in [6]} A_{\pi(x)_i, \pi(y)_i}. \quad (7)$$

594 Suppose there is a bijection $\pi : D^6 \rightarrow D^6$ that satisfies these properties. Let $\pi(x_1, \dots, x_6) = (\pi_1(x_1, \dots, x_6),$
595 $\dots, \pi_6(x_1, \dots, x_6))$, where $\pi_i : D^6 \rightarrow D$, and $\pi_i(x_1, \dots, x_6)$ is the i th output entry in D of π . Denote the three bits of
596 $\pi_i(x_1, \dots, x_6)$ as $f_i(x_1, \dots, x_6)$, $g_i(x_1, \dots, x_6)$, and $h_i(x_1, \dots, x_6)$. To satisfy property 3, we need each π_i to preserve the
597 edge relation 5, i.e., preserve the support set. Since π is a bijection, if we verify that a nonzero LHS of (7) implies a
598 nonzero RHS of (7), we will also have proved that it maps a zero LHS to a zero RHS; thus it preserves the support set.
599 So, consider arbitrary

$$\mathbf{x} = (x_1, \dots, x_6) = (a_1 b_1 c_1, \dots, a_6 b_6 c_6) \in D^6, \quad \mathbf{y} = (y_1, \dots, y_6) = (b_1 d_1 a_1, \dots, b_6 d_6 a_6) \in D^6.$$

600 This is a generic pair of tuples such that $A_{x_i, y_i} \neq 0$, for $1 \leq i \leq 6$. We need $A_{\pi_i(\mathbf{x}), \pi_i(\mathbf{y})} \neq 0$ for each i . As before we
601 will also represent \mathbf{x} bitwise using $\mathbf{a} = (a_1, \dots, a_6) \in \{0, 1\}^6$, $\mathbf{b} = (b_1, \dots, b_6) \in \{0, 1\}^6$, $\mathbf{c} = (c_1, \dots, c_6) \in \{0, 1\}^6$ and
602 $\mathbf{d} = (d_1, \dots, d_6) \in \{0, 1\}^6$, and write $\mathbf{x} = \mathbf{abc}$ and $\mathbf{y} = \mathbf{bda}$.

603 Therefore, by the edge relation, we have $f_i(\mathbf{abc}) = h_i(\mathbf{bda})$. Hence f_i is independent of the third part of the input \mathbf{c} .
604 Also, $g_i(\mathbf{abc}) = f_i(\mathbf{bda})$, so f_i is also independent of the second part of the input, and therefore is in fact a function on
605 the first part of the input only. Thus there is a function $f'_i : \{0, 1\}^6 \rightarrow \{0, 1\}$, such that $f_i(\mathbf{abc}) = f'_i(\mathbf{a})$. Then, from
606 $f'_i(\mathbf{a}) = h_i(\mathbf{bda})$, we know that h_i is actually a function of its third part of the input only. From $g_i(\mathbf{abc}) = f'_i(\mathbf{b})$, we
607 know that g_i is a function of its second part of the input only. Thus, there are functions $g'_i, h'_i : \{0, 1\}^6 \rightarrow \{0, 1\}$, such
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that $g_i(\mathbf{abc}) = g'_i(\mathbf{b})$ and $h_i(\mathbf{abc}) = h'_i(\mathbf{c})$. Putting these together, we see $f'_i(\mathbf{a}) = g'_i(\mathbf{a}) = h'_i(\mathbf{a})$. Since $\mathbf{a} \in \{0, 1\}^6$ is arbitrary, we get $f'_i = g'_i = h'_i$. We now rename these as $M_i := f'_i = g'_i = h'_i$. In other words, $\pi : D^6 \rightarrow D^6$ has the form $\pi = (\pi_1, \pi_2, \dots, \pi_6)$ where $\pi_i(\mathbf{abc}) = M_i(\mathbf{a})M_i(\mathbf{b})M_i(\mathbf{c})$. We will name the mapping $M = (M_1, M_2, \dots, M_6) : \{0, 1\}^6 \rightarrow \{0, 1\}^6$ with M_i being its i th bit output. Since π is a bijection, so must be M .

Now we pick $\alpha = \begin{bmatrix} 0 \\ 1 \\ 0 \end{bmatrix}$, $\beta = \begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix}$, $\kappa = \begin{bmatrix} 1 \\ 0 \\ 1 \end{bmatrix}$, $\lambda = \begin{bmatrix} 0 \\ 1 \\ 1 \end{bmatrix} \in D$. (We write them as column vectors to visually aid the readers.) Then clearly $\alpha \neq \beta, \kappa \neq \lambda$. We have $(\alpha, \alpha, \alpha, \beta, \beta, \beta) = \begin{bmatrix} 0 & 0 & 0 & 1 & 1 & 1 \\ 1 & 1 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \end{bmatrix}$. For any π defined by a bijection M as above, it satisfies property 1. above iff M pointwise fixes 000000, 000111 and 111000. We also have $(\kappa, \lambda, \kappa, \lambda, \kappa, \lambda) = \begin{bmatrix} 1 & 0 & 1 & 0 & 1 & 0 \\ 0 & 1 & 0 & 1 & 0 & 1 \\ 1 & 1 & 1 & 1 & 1 & 1 \end{bmatrix}$, and $(\lambda, \kappa, \lambda, \kappa, \lambda, \kappa) = \begin{bmatrix} 0 & 1 & 0 & 1 & 0 & 1 \\ 1 & 0 & 1 & 0 & 1 & 0 \\ 1 & 1 & 1 & 1 & 1 & 1 \end{bmatrix}$. Hence π satisfies property 2. above iff M fixes 111111 and swaps 010101 with 101010. Below we assume M is a bijection that satisfies these properties.

It is easy to verify that for any bijection $M : \{0, 1\}^6 \rightarrow \{0, 1\}^6$, the mapping π defined above preserves the support (defined by nonzero values of the LHS in (7)). Since M is a bijection, in the following we only need to verify that (7) holds for any nonzero LHS of (7) (as any zero LHS automatically has a zero RHS).

Now we show that equation (7) in property 3 is the same as (6).

To see that, take any nonzero of the LHS in (7) with $\mathbf{x} = \mathbf{abc}$ and $\mathbf{y} = \mathbf{bda}$, then the LHS is evaluated as

$$\begin{aligned} & \prod_{i \in [6]} (u^{c_i} v^{d_i})^{(1-a_i)(1-b_i)} (y u^{c_i} v^{d_i})^{(1-a_i)b_i} (x u^{c_i} v^{d_i})^{a_i(1-b_i)} (z u^{c_i} v^{d_i})^{a_i b_i} \\ &= \prod_{i \in [6]} u^{c_i} v^{d_i} x^{a_i} y^{b_i} \left(\frac{z}{xy}\right)^{a_i b_i} \\ &= u^{\sum c_i} v^{\sum d_i} x^{\sum a_i} y^{\sum b_i} \left(\frac{z}{xy}\right)^{\sum a_i b_i} \end{aligned}$$

The expression for the RHS is nearly identical, with $M_i(\mathbf{a})$ substituting a_i , and so on.

Now it is clear that if $z = xy$, then the partition function $Z_{\mathcal{F}}(\cdot)$ is tractable, witnessed by any π defined by a bijection on $\{0, 1\}^6$ that preserves Hamming weight, pointwise fixes 000000, 111111, 000111, 111000, and swaps 010101 and 101010.

Next, assume $z \neq xy$. We can multiply both sides of (6) over all 2^6 possible \mathbf{c} and all 2^6 possible \mathbf{d} , and using the fact that M is a bijection, to get

$$u^{6 \cdot 2^{11}} v^{6 \cdot 2^{11}} x^{2^{12} \sum a_i} y^{2^{12} \sum b_i} \left(\frac{z}{xy}\right)^{2^{12} \sum a_i b_i} = u^{6 \cdot 2^{11}} v^{6 \cdot 2^{11}} x^{2^{12} \sum M_i(\mathbf{a})} y^{2^{12} \sum M_i(\mathbf{b})} \left(\frac{z}{xy}\right)^{2^{12} \sum M_i(\mathbf{a}) M_i(\mathbf{b})},$$

which is equivalent to

$$x^{\sum a_i} y^{\sum b_i} \left(\frac{z}{xy}\right)^{\sum a_i b_i} = x^{\sum M_i(\mathbf{a})} y^{\sum M_i(\mathbf{b})} \left(\frac{z}{xy}\right)^{\sum M_i(\mathbf{a}) M_i(\mathbf{b})}. \quad (8)$$

Then multiplying over all 2^6 possible 6-tuples \mathbf{b} ,

$$x^{2^6 \sum a_i} y^{6 \cdot 2^{11}} \left(\frac{z}{xy}\right)^{2^5 \sum a_i} = x^{2^6 \sum M_i(\mathbf{a})} y^{6 \cdot 2^{11}} \left(\frac{z}{xy}\right)^{2^5 \sum M_i(\mathbf{a})},$$

we get $\left(\frac{xz}{y}\right)^{\sum a_i} = \left(\frac{xz}{y}\right)^{\sum M_i(\mathbf{a})}$.

⁶⁷⁷ Suppose $xz \neq y$, it follows that $\sum_{i=1}^6 a_i = \sum_{i=1}^6 M_i(\mathbf{a})$ for any \mathbf{a} , i.e., M preserves Hamming weight. Then it follows from
⁶⁷⁸ (6) that
⁶⁷⁹

$$\left(\frac{z}{xy}\right)^{\sum a_i b_i} = \left(\frac{z}{xy}\right)^{\sum M_i(\mathbf{a}) M_i(\mathbf{b})}.$$

⁶⁸⁰ But if we take $\mathbf{a} = 000111$ and $\mathbf{b} = 010101$, we have $M(\mathbf{a}) = \mathbf{a}$ and $M(\mathbf{b}) = 101010$. Then $\sum_{i=1}^6 a_i b_i = 2$, but $\sum_{i=1}^6 M_i(\mathbf{a}) M_i(\mathbf{b}) =$
⁶⁸¹ 1. This is a contradiction to (6), since $z \neq xy$. Hence we conclude that the partition function $Z_{\mathcal{F}}(\cdot)$ is #P-hard.
⁶⁸²

⁶⁸³ Next, suppose that $xz = y$, then (8) is simplified to
⁶⁸⁴

$$x^{\sum a_i} y^{\sum b_i} x^{-2 \sum a_i b_i} = x^{\sum M_i(\mathbf{a})} y^{\sum M_i(\mathbf{b})} x^{-2 \sum M_i(\mathbf{a}) M_i(\mathbf{b})}. \quad (9)$$

⁶⁸⁵ Multiplying over all possible \mathbf{a} , this becomes
⁶⁸⁶

$$x^{6 \cdot 2^5} y^{2^6 \sum b_i} x^{-2 \cdot 2^5 \sum b_i} = x^{6 \cdot 2^5} y^{2^6 \sum M_i(\mathbf{b})} x^{-2 \cdot 2^5 \sum M_i(\mathbf{b})}.$$

⁶⁸⁷ This simplifies to
⁶⁸⁸

$$\left(\frac{y}{x}\right)^{\sum b_i} = \left(\frac{y}{x}\right)^{\sum M_i(\mathbf{b})}.$$

⁶⁸⁹ If $x \neq y$, M preserves weight and we are done by the same argument as for when $xz \neq y$.
⁶⁹⁰

⁶⁹¹ Otherwise if $x = y$, (9) becomes
⁶⁹²

$$x^{\sum a_i + \sum b_i - 2 \sum a_i b_i} = x^{\sum M_i(\mathbf{a}) + \sum M_i(\mathbf{b}) - 2 \sum M_i(\mathbf{a}) M_i(\mathbf{b})}.$$

⁶⁹³ This is equivalent to
⁶⁹⁴

$$x^{\frac{1}{2} - \frac{1}{2} \sum (2a_i - 1)(2b_i - 1)} = x^{\frac{1}{2} - \frac{1}{2} \sum (2M_i(\mathbf{a}) - 1)(2M_i(\mathbf{b}) - 1)},$$

⁶⁹⁵ which can be written as
⁶⁹⁶

$$x^{\sum a'_i b'_i} = x^{\sum M_i(\mathbf{a})' M_i(\mathbf{b})'}$$

⁶⁹⁷ where $a'_i = 2a_i - 1 \in \{-1, 1\}$, and similarly for b'_i , and $M_i(\mathbf{a})'$, $M_i(\mathbf{b})'$. We can fix the same \mathbf{a} and \mathbf{b} as above, $\mathbf{a} = 000111$
⁶⁹⁸ and $\mathbf{b} = 010101$, and this gives $\mathbf{a}' = (-1, -1, -1, 1, 1, 1)$ and $\mathbf{b}' = (-1, 1, -1, 1, -1, 1)$. Then we get $2 = \sum_{i=1}^6 a'_i b'_i \neq$
⁶⁹⁹ $\sum_{i=1}^6 M_i(\mathbf{a})' M_i(\mathbf{b})' = -2$. Thus we must have $x = 1$, and then $y = 1$ and $z = 1$, which contradicts $z \neq xy$. We have proved
⁷⁰⁰ that if $z \neq xy$ the partition function $Z_{\mathcal{F}}(\cdot)$ is #P-hard.
⁷⁰¹

APPENDIX: PROOF OF THEOREM 4

⁷¹³ For completeness, in this appendix we give a proof of Theorem 4, which follows closely the proof in [14].
⁷¹⁴

⁷¹⁵ First, condition (A) is equivalent to Strong Rectangularity of Γ , the support constraint language of \mathcal{F} . To verify
⁷¹⁶ this condition one simply exhaustively searches for a mapping $D^3 \rightarrow D$ with the stated properties, called a Mal'tsev
⁷¹⁷ polymorphism of Γ ; see [9, 22] for Mal'tsev polymorphisms and this equivalence.
⁷¹⁸

⁷¹⁹ For condition (B), again, searching for a possible mapping $\pi : D^6 \rightarrow D^6$ with the stated properties is clearly in NP.
⁷²⁰ We now show that it is equivalent to the following:
⁷²¹

⁷²² PRIMITIVE BALANCE GIVEN STRONG RECTANGULARITY: Given D and \mathcal{F} and assume the support constraint
⁷²³ language of Γ is Strongly Rectangular. For every instance I of $\text{#CSP}(\mathcal{F})$, the square $|D| \times |D|$ matrix M
⁷²⁴ defined by I is block-rank-1; and this holds if and only if for all $\alpha \neq \beta \in D$ and $\kappa \neq \lambda \in D$,

$$M(\alpha, \kappa)^2 M(\beta, \lambda)^2 M(\alpha, \lambda) M(\beta, \kappa) = M(\alpha, \lambda)^2 M(\beta, \kappa)^2 M(\alpha, \kappa) M(\beta, \lambda). \quad (10)$$

729 Here, we assume the instance I has n variables and the rows and the columns of the matrix M are
 730 indexed by $x \in D$ and $y \in D$, and
 731

$$732 M(x, y) = \sum_{x_3, \dots, x_n \in D} F_I(x, y, x_3, \dots, x_n), \quad \text{for all } x, y \in D. \quad (11)$$

$$733$$

734 We note that equation (10) can be written as
 735

$$736 M(\alpha, \kappa)M(\beta, \lambda)M(\alpha, \lambda)M(\beta, \kappa) \det \begin{bmatrix} M(\alpha, \kappa) & M(\alpha, \lambda) \\ M(\beta, \kappa) & M(\beta, \lambda) \end{bmatrix} = 0.$$

$$737$$

$$738$$

739 From this it is easy to see its equivalence to block-rank-1.
 740

We next reformulate the property in terms of a new pair $(\mathfrak{D}, \mathfrak{F})$ which is called the *6-th power* of (D, \mathcal{F}) .
 741

- 742 (1) The new domain $\mathfrak{D} = D^6$, and we use $\mathbf{s} = (s_1, \dots, s_6)$ to denote an element in \mathfrak{D} , where $s_i \in D$.
- 743 (2) $\mathfrak{F} = \{g_1, \dots, g_h\}$ has the same number of functions as \mathcal{F} and every g_i , $i \in [h]$, has the same arity r_i as f_i .
 744 Function $g_i : \mathfrak{D}^{r_i} \rightarrow \mathbb{R}_+$ is constructed explicitly from f_i as follows:
 745

$$746 g_i(\mathbf{s}_1, \dots, \mathbf{s}_{r_i}) = \prod_{j \in [6]} f_i(s_{1,j}, \dots, s_{r_i,j}), \quad \text{for all } \mathbf{s}_1, \dots, \mathbf{s}_{r_i} \in \mathfrak{D} = D^6.$$

$$747$$

748 An input instance I of (D, \mathcal{F}) over n variables (x_1, \dots, x_n) naturally defines an input instance \mathfrak{I} of $(\mathfrak{D}, \mathfrak{F})$ over n
 749 variables (y_1, \dots, y_n) as follows: for each tuple $(f, i_1, \dots, i_r) \in I$, add a tuple (g, i_1, \dots, i_r) to \mathfrak{I} , where $g \in \mathfrak{F}$ corresponds
 750 to $f \in \mathcal{F}$. Similarly, we let $G : \mathfrak{D}^n \rightarrow \mathbb{R}_+$ denote the n -ary function that \mathfrak{I} defines:
 751

$$752 G(y_1, \dots, y_n) = \prod_{(g, i_1, \dots, i_r) \in \mathfrak{I}} g(y_{i_1}, \dots, y_{i_r}), \quad \text{for all } y_1, \dots, y_n \in \mathfrak{D}.$$

$$753$$

$$754$$

755 Let $\mathbf{a}, \mathbf{b}, \mathbf{c}$ denote the following three specific elements from \mathfrak{D} :
 756

$$757 \mathbf{a} = (\alpha, \alpha, \alpha, \beta, \beta, \beta), \quad \mathbf{b} = (\kappa, \lambda, \kappa, \lambda, \kappa, \lambda), \quad \mathbf{c} = (\lambda, \kappa, \lambda, \kappa, \lambda, \kappa).$$

$$758$$

759 Since $\alpha \neq \beta$ and $\kappa \neq \lambda$, $\mathbf{a}, \mathbf{b}, \mathbf{c}$ are three distinct elements in \mathfrak{D} . For each $\mathbf{s} \in \mathfrak{D}$, let
 760

$$761 \text{hom}_{\mathbf{s}}(\mathfrak{I}) \stackrel{\text{def}}{=} \sum_{y_3, \dots, y_n \in \mathfrak{D}} G(\mathbf{a}, \mathbf{s}, y_3, \dots, y_n), \quad \text{for every instance } \mathfrak{I} \text{ of } (\mathfrak{D}, \mathfrak{F}).$$

$$762$$

763 Let \mathfrak{I} be the instance of $(\mathfrak{D}, \mathfrak{F})$ that corresponds to I , and let M be the $|D| \times |D|$ matrix defined in (11). Then, these
 764 choices of \mathbf{a}, \mathbf{b} , and \mathbf{c} are such that
 765

$$766 \text{hom}_{\mathbf{b}}(\mathfrak{I}) = M(\alpha, \kappa)^2 M(\beta, \lambda)^2 M(\alpha, \lambda)M(\beta, \kappa) \quad \text{and}$$

$$767$$

$$768 \text{hom}_{\mathbf{c}}(\mathfrak{I}) = M(\alpha, \lambda)^2 M(\beta, \kappa)^2 M(\alpha, \kappa)M(\beta, \lambda),$$

$$769$$

770 after multiplying out the expressions and reassembling the sums. As a result, we have the following reformulation of
 771 the decision problem:
 772

$$773 M \text{ satisfies (10) for all } I \iff \text{hom}_{\mathbf{b}}(\mathfrak{I}) = \text{hom}_{\mathbf{c}}(\mathfrak{I}) \text{ for all } \mathfrak{I}$$

$$774$$

775 The next reformulation considers sums over *injective* tuples only. We say $(y_1, \dots, y_n) \in \mathfrak{D}^n$ is an injective tuple if
 776 $y_i \neq y_j$ for all $i \neq j \in [n]$. We use Y_n to denote the set of injective n -tuples. We now define functions $\text{mon}_{\mathbf{s}}(\mathfrak{I})$, which
 777 are sums over injective tuples: For each $\mathbf{s} \in \mathfrak{D}$, let
 778

$$779 \text{mon}_{\mathbf{s}}(\mathfrak{I}) \stackrel{\text{def}}{=} \sum_{(\mathbf{a}, \mathbf{s}, y_3, \dots, y_n) \in Y_n} G(\mathbf{a}, \mathbf{s}, y_3, \dots, y_n), \quad \text{for every instance } \mathfrak{I} \text{ of } (\mathfrak{D}, \mathfrak{F}).$$

$$780$$

The following lemma is from [22] [Lemma 41] which shows that $\text{hom}_b(\mathfrak{I}) = \text{hom}_c(\mathfrak{I})$ for all \mathfrak{I} if and only if the same equation holds for the sums over injective tuples. The proof uses the Möbius inversion.

LEMMA 2 ([22], LEMMA 41). $\text{hom}_b(\mathfrak{I}) = \text{hom}_c(\mathfrak{I})$ for all \mathfrak{I} if and only if $\text{mon}_b(\mathfrak{I}) = \text{mon}_c(\mathfrak{I})$ for all \mathfrak{I} .

The condition of the following lemma can be verified in NP, and exactly the same proof for Lemma 6.6 in [14] works here (although the definitions of b and c are different).⁵)

LEMMA 3. $\text{mon}_b(\mathfrak{I}) = \text{mon}_c(\mathfrak{I})$ for all \mathfrak{I} if and only if there exists a bijection π from the domain \mathfrak{D} to itself (called an automorphism of $(\mathfrak{D}, \mathfrak{F})$) such that $\pi(a) = a$, $\pi(b) = c$, and for every r -ary function $g \in \mathfrak{F}$, we have

$$g(y_1, \dots, y_r) = g(\pi(y_1), \dots, \pi(y_r)), \quad \text{for all } y_1, \dots, y_r \in \mathfrak{D}. \quad (12)$$

This completes the proof of Theorem 4.

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⁵There was an unfortunate typo in the statement of Lemma 6.6 in [14], where $\pi(a) = a$, $\pi(b) = c$ was mistakenly stated as $\pi(a) = \pi(a)$, $\pi(b) = \pi(c)$.
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