



自动控制 Automatic Control 原理 Theory

西南交通大学电气工程学院



Chapter3 控制系统的运动分析

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- 3.1 Introduction 引言
- 3.2 Performance of control system 控制系统的暂态响应特性
 - 3.2.1 Unit step input response and performance
 - 单位阶跃响应与性能指标
 - 3.2.2 First order system performance
 - 一阶系统的暂态响应特性
 - 3.2.3 Second order system performance
 - 二阶规范系统的暂态响应特性
 - 3.2.4 Effects of third zero for second order system
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- 3.3 Steady-state error 控制系统的稳态误差

Summary本章小结

System response:

Transient Response动态响应

Steady State Response稳态响应

Stand Inputs to Control System

Mathematical Models

Response

Transient
Performance

Transient

Steady State Response

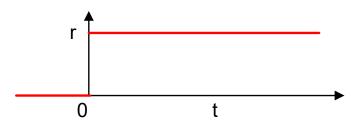
Steady State Error



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- Standard Inputs to Control Systems 典型输入信号
- 1) Step Function 阶跃信号

$$r(t) = \begin{cases} 0, t < 0 \\ A, t > 0 \end{cases}, R(s) = A/s$$



Also represented as 1(t) or U(t)

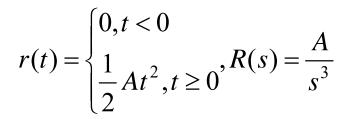
2) Ramp Function 斜坡信号

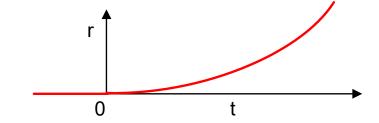
$$r(t) = \begin{cases} 0, t < 0 \\ At, t \ge 0 \end{cases}, R(s) = \frac{A}{s^2}$$



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3) Parabolic Function 抛物线信号

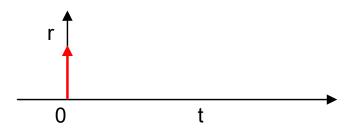




when A=1, Unit step 单位阶跃、Unit ramp 单位斜坡、Unit parabolic 单位抛物线 function (input)

4) Impulse Function 脉冲信号

$$r(t) = \begin{cases} \lim_{t_0 \to 0} \frac{A}{t_0}, 0 < t < t_0 \\ 0, other \end{cases}, R(s) = A$$





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Unit Impulse

$$\delta(t - t_0) = \begin{cases} \infty, t = t_0 \\ 0, t \neq t_0 \end{cases}$$

Characteristic

$$\int_{-\infty}^{\infty} \delta(t)dt = 1 \longrightarrow \int_{0-}^{0+} \delta(t)dt = 1$$

sampling

$$\int_{-\infty}^{\infty} f(t)\delta(t)dt = f(0) \quad \text{or}$$

$$\int_{-\infty}^{\infty} f(t)\delta(t - t_0)dt = f(t_0)$$

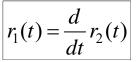
单位脉冲函数作为典型输入信号,用于考察系统的脉冲响应,分析系统的固有性质:

Input: $r(t) = \delta(t), R(s) = 1$

Output:
$$Y(s) = G(s)R(s) = G(s), y(t) = g(t)$$

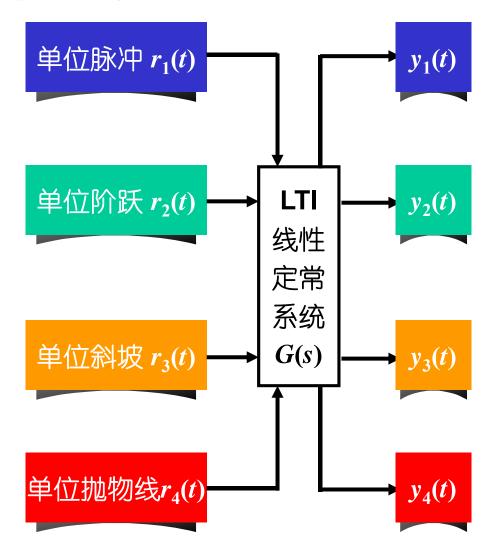


Relationship among 4 functions



$$r_2(t) = \frac{d}{dt} r_3(t)$$

$$r_3(t) = \frac{d}{dt} r_4(t)$$



$$y_1(t) = \frac{d}{dt} y_2(t)$$

$$y_2(t) = \frac{d}{dt} y_3(t)$$

$$y_3(t) = \frac{d}{dt} y_4(t)$$

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Characteristic of LTI System 线性定常系统的一个特性:

系统对输入信号导数的响应,等于系统对该输入信号响应的导数;或者,系统对输入信号积分的响应,等于系统对该输入信号响应的积分,积分常数由零初始条件确定。

5) Sinusoidal Function 正弦信号

$$r(t) = A\sin(\omega t + \varphi),$$

$$R(s) = Ae^{\frac{\varphi}{\omega}s} \frac{\omega}{s^2 + \omega^2},$$



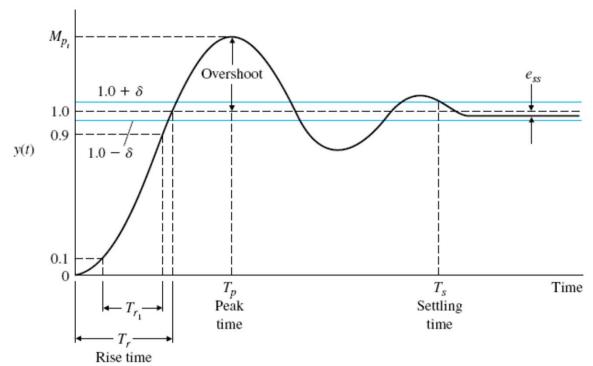


3.2 Performance of control system

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3.2.1 Step response and performance specifications

单位阶跃响应与性能指标



Transient response of a control system





3.2.1Step response and performance specifications

a) Delay Time (延迟时间) T_d : The delay time, measures the time to 50% of the magnitude of the input. 系统响应从 0上升到稳态值的50%所需要的时间

b) Rise Time(上升时间) T_r :

- 1) The 0-100% rise time, measures the time to 100% of the magnitude of the input. (Under-damped systems) 系统响应从0上升到稳态值所需时间(有振荡系统)
- 2) The 10%-90% rise time, measures the time from 10% to 90% of the magnitude of the input. (Overdamped systems)系统响应从稳态值的10%上升到90%所需时间(无振荡系统)





3.2.1Step response and performance specifications

- c) Peak time (峰值时间) T_p : The time for a system to respond to a step input and rise to a peak response. 系统响应达到最大峰值所需要的时间.
- d) Percent Overshoot (最大)超调量 σ : The amount by which the system output response proceeds beyond the desired response.

系统响应超出稳态值的最大偏离量(常以百分比表示)

$$\sigma\% \stackrel{def}{=} \frac{y(T_p) - y(\infty)}{y(\infty)} \times 100\%$$
 (3.1)



3.2.1Step response and performance specifications

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e) Settling time(调节时间) T_s : The time required for the system output to settle within a certain percentage of the input amplitude.

系统响应与稳态值之差达到误差 ± △所需要的最小时间;

$$|y(t) - y(\infty)| \le y(\infty)\Delta, \quad t \ge T_s$$





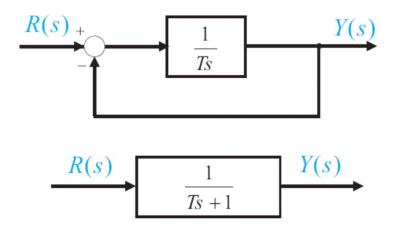
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First order inertia system (一阶惯性系统)

Closed-loop transfer function:

$$G(s) = \frac{Y(s)}{R(s)} = \frac{1}{Ts+1}$$

• Block diagram:





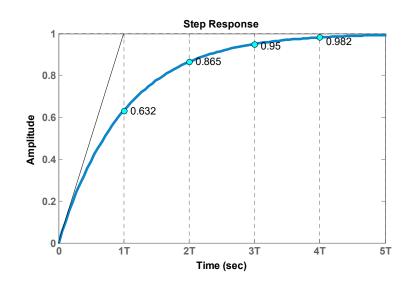
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Unit step input response

$$r(t) = 1(t), R(s) = 1/s$$

$$Y(s) = G(s)R(s) = \frac{1}{Ts+1} \frac{1}{s}$$

$$= \frac{1}{s} - \frac{T}{Ts+1} = \frac{1}{s} - \frac{1}{s+(1/T)}$$



$$y(t) = 1 - e^{-\frac{t}{T}}, t \ge 0$$
 (3.2)



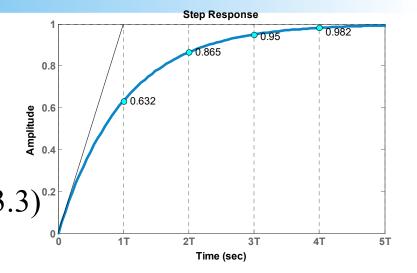


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- Performance specifications
 - ▶ Delay Time *Td*:

$$y(t)|_{t=T_d} = 1 - e^{-T_d/T} = 0.5$$

$$T_d = -T \ln(0.5) = 0.69T \left[(3.3)^{0.2} \right]$$



Raise Time Tr:

$$y(t_{0.1}) = 0.1 = 1 - e^{-t_{0.1}/T} \implies t_{0.1} = -T \ln 0.9 = 0.105T$$

$$y(t_{0.9}) = 0.9 = 1 - e^{-t_{0.9}/T} \implies t_{0.9} = -T \ln 0.1 = 2.303T$$

$$T_r = t_{0.9} - t_{0.1} = 2.20T$$
 (3.4)

⋄ Settling Time *Ts*:

$$T_s(5\%) = 3T$$
 $T_s(2\%) = 4T$



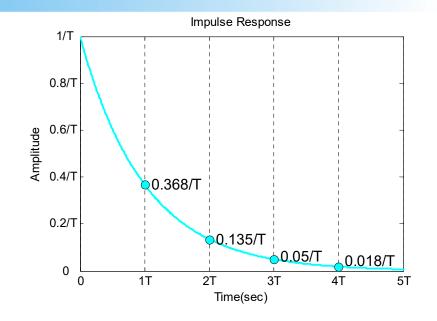
3.2.2 Transient response of 1st order system

Unit Pulse input response

$$r(t) = \delta(t), R(s) = 1$$

$$Y(s) = G(s)R(s)$$

$$= \frac{1}{Ts+1} 1 = \frac{1/T}{s+1/T}$$



$$y(t) = \frac{1}{T}e^{-\frac{t}{T}}, t \ge 0$$
 (3.5)



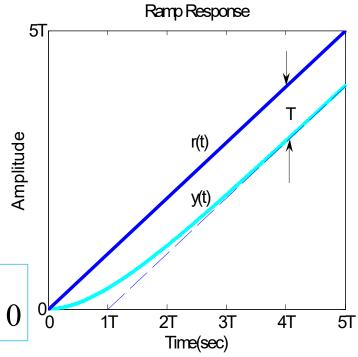
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Unit ramp input response

$$r(t) = t1(t), R(s) = 1/s^2$$

$$Y(s) = G(s)R(s)$$

$$= \frac{1}{Ts+1} \frac{1}{s^2} = \frac{1}{s^2} - \frac{T}{s} + \frac{T^2}{Ts+1}$$



$$y(t) = t - T + Te^{-\frac{t}{T}} = t - T(1 - e^{-\frac{t}{T}}), t \ge 0$$

$$e(t) = r(t) - y(t) = T(1 - e^{-\frac{t}{T}})$$
 (3.6)
(3.7)

$$e(\infty) = T$$







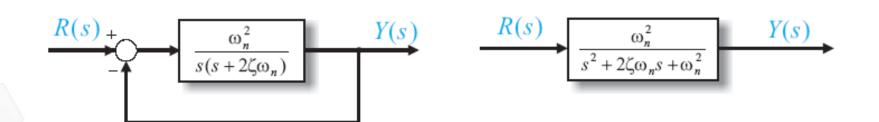
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Second order system(二阶典型(无零点)系统)

Closed-loop transfer Function:

$$T(s) = \frac{Y(s)}{R(s)} = \frac{\omega_n^2}{s^2 + 2\zeta\omega_n s + \omega_n^2}$$
 (3.8)

Block diagram:





Characteristic Equation:

$$s^2 + 2\zeta \omega_n s + \omega_n^2 = 0 {(3.9)}$$

Characteristic Roots:

$$-p_{1,2} = -\zeta \omega_n \pm \omega_n \sqrt{\zeta^2 - 1}$$
 (3.10)

According the value of ζ , discuss as following:

$\zeta < 0$, Real(- $p_{1,2}$) > 0	System is unstable	系统不稳定
$\zeta = 0$	Undamped system	无阻尼系统
$0 < \zeta < 1$	Under-damped system	欠阻尼系统
$\zeta = 1$	Critically damped system	n临界阻尼系统
$\zeta > 1$	Over-damped system	过阻尼系统





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- Unit-step response of 2nd order system
- Under-damped $(0 < \zeta < 1)$

$$-p_{1,2} = -\zeta \omega_n \pm j\omega_n \sqrt{1-\zeta^2} = \sigma \pm j\omega_d \qquad (3.11)$$

Complete Damping ratio 阻尼比

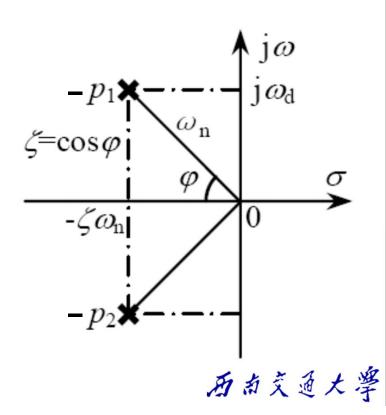
 ω_n Undamped natural frequency 无阻尼自然振荡(角)频率

 ω_d Damped natural frequency

阻尼自然振荡(角)频率

σ Damping constant 阻尼系数或衰减系数

φ Damped angle 阻尼角





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$$Y(s) = \frac{\omega_n^2}{(s^2 + 2\zeta\omega_n s + \omega_n^2)} \frac{1}{s} = \frac{1}{s} - \frac{s + 2\zeta\omega_n}{s^2 + 2\zeta\omega_n s + \omega_n^2}$$

$$= \frac{1}{s} - \frac{\zeta}{(s + \zeta\omega_n)^2 + \omega_n^2 (1 - \zeta^2)}$$
(3.12)

$$\mathcal{L}^{-1}[Y(s)] = y(t) = 1 - e^{-\zeta \omega_n t} \left(\cos \omega_n \sqrt{1 - \zeta^2} t + \frac{\zeta}{\sqrt{1 - \zeta^2}} \sin \omega_n \sqrt{1 - \zeta^2} t \right)$$

$$=1-\frac{e^{-\zeta\omega_n t}}{\sqrt{1-\zeta^2}}\sin\left(\omega_n\sqrt{1-\zeta^2}t+\varphi\right), t\geq 0$$
(3.13)

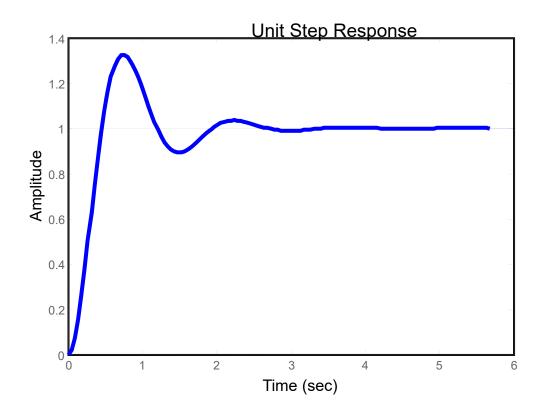
$$\varphi = \arctan \frac{\sqrt{1 - \zeta^2}}{\zeta} = \arccos \zeta \tag{3.14}$$



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Transient response of unit step input for a second order system is oscillatory as $0 < \zeta < 1$.

 $y(\infty)=1$, Steady State Error is zero 无稳态误差;







3.2.3Transient response of 2nd order system

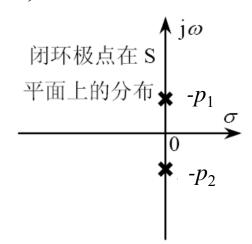
• Undamped ($\zeta = 0$)

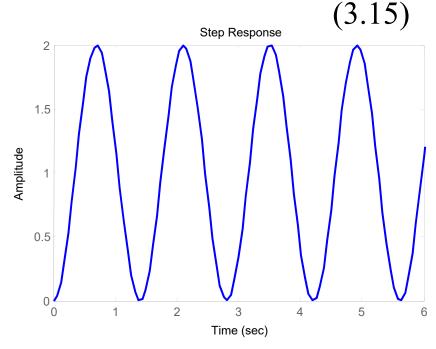
由(3.12), (3.13)令 $\zeta = 0$ 得到无阻尼时的阶跃响应

$$Y(s) = \frac{\omega_n^2}{(s^2 + \omega_n^2)} \frac{1}{s} = \frac{1}{s} - \frac{s}{s^2 + \omega_n^2}$$

$$y(t) = 1 - \cos \omega_n t, \quad t \ge 0$$

(3.15)是一个无衰减的振荡;







3.2.3Transient response of 2nd order system

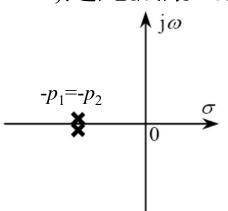
• Critically damped ($\zeta = 1$)

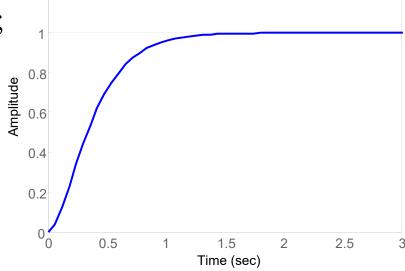
$$-p_{1,2} = \sigma \pm j\omega_d = -\omega_n$$

$$Y(s) = \frac{\omega_n^2}{(s + \omega_n)^2} \frac{1}{s} = \frac{1}{s} - \frac{1}{s + \omega_n} - \frac{\omega_n}{(s + \omega_n)^2}$$

$$y(t) = 1 - e^{-\omega_n t} (1 + \omega_n t), \quad t \ge 0$$
Step Response (3.16)

(3.16)是无振荡的上升曲线;







Over damped ($\zeta > 1$)

$$Y(s) = \frac{\omega_n^2}{(s+p_1)(s+p_2)} \frac{1}{s} = \frac{1}{s} + \frac{\omega_n}{2\sqrt{\zeta^2 - 1}} \left(\frac{-1/p_1}{s+p_1} + \frac{1/p_2}{s+p_2} \right)$$

$$Y(t) = 1 + \frac{\omega_n}{2\sqrt{\zeta^2 - 1}} \left(\frac{-1}{p_1} e^{-p_1 t} + \frac{1}{p_2} e^{-p_2 t} \right), \quad t \ge 0$$

$$T_1 = \frac{1}{|-p_1|} = \frac{1}{p_1} = \frac{1}{\omega_n (\zeta - \sqrt{\zeta^2 - 1})}$$

$$T_2 = \frac{1}{|-p_2|} = \frac{1}{p_2} = \frac{1}{\omega_n (\zeta + \sqrt{\zeta^2 - 1})}$$

$$T_3 = \frac{1}{|-p_2|} = \frac{1}{p_2} = \frac{1}{\omega_n (\zeta + \sqrt{\zeta^2 - 1})}$$

为过阻尼二阶规范系统 的两个时间常数,可得



$$y(t) = 1 - \frac{\omega_n}{2\sqrt{\zeta^2 - 1}} \left(T_1 e^{-\frac{t}{T_1}} - T_2 e^{-\frac{t}{T_2}} \right)$$

$$=1-\frac{1}{2\sqrt{\zeta^2-1}}\left(\frac{1}{\zeta-\sqrt{\zeta^2-1}}e^{-\frac{t}{T_1}}-\frac{1}{\zeta+\sqrt{\zeta^2-1}}e^{-\frac{t}{T_2}}\right), t \ge 0 \quad (3.18)$$

$$\frac{T_1}{T_2} = \frac{|-p_2|}{|-p_1|} = \frac{\zeta + \sqrt{\zeta^2 - 1}}{\zeta - \sqrt{\zeta^2 - 1}} = \left(\zeta + \sqrt{\zeta^2 - 1}\right)^2$$

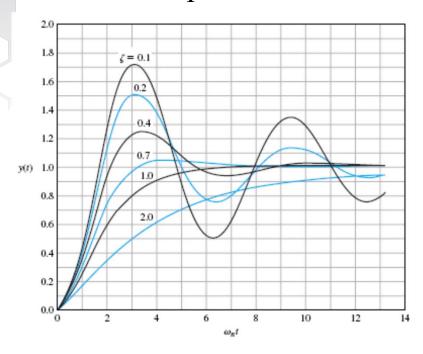
当 $\zeta >> 1, T_1 >> T_2, e^{-\frac{t}{T_2}}$ 项的衰减比 $e^{-\frac{t}{T_1}}$ 项快得多 $(e^{-\frac{t}{T_1}}$ 项的系数

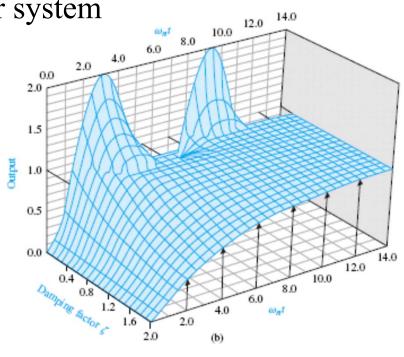
也较大)对于系统暂态响应 $e^{-\frac{1}{T_2}}$ 项在后期的影响很小,因此当 $\zeta >> 1, T_1 >> T_2, (|-p_2|>> |-p_1|),$ 系统暂态响应近似于一阶系统



3.2.3Transient response of 2nd order system

Transient response of second order system





5	ζ=0 无阻尼	0<5<1 欠阻尼	$\zeta=1$ 临界阻尼	$\zeta > 1$ 过阻尼
心向应	无衰减振荡	衰减振荡	无振荡	无振荡

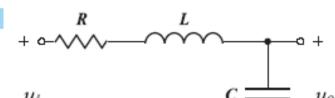






E 3.1>: RLC circuit

Transfer function:



$$G(s) = \frac{Y(s)}{R(s)} = \frac{1}{LCs^2 + RCs + 1} = \frac{\frac{1}{LC}}{s^2 + \frac{R}{L}s + \frac{1}{LC}}$$

$$\omega_n = \frac{1}{\sqrt{LC}} \qquad 2\zeta\omega_n = \frac{R}{L} \qquad \zeta = \frac{R}{2\omega_n L} = \frac{1}{2}\sqrt{LC}\frac{R}{L} = \frac{1}{2}\sqrt{\frac{R}{\frac{1}{Cs}}\frac{R}{sL}}$$

 ζ 大-R较大(R为耗能元件) Ls, 1/Cs 较小(L, C储能元件)

R较大,能耗较大(如上串联电路中)磁能和场能相互转换过程中在R上耗能较多,使得振荡衰减较快,甚至不能产生振荡。



- Transient response performance of a under-damped second order system
- 1) Peak Time T_p : 响应曲线第一次达到峰值的时间

$$\frac{dy(t)}{dt}\Big|_{t=T_p} = 0 \quad sY(s) = \frac{\omega_n^2}{s^2 + 2\zeta\omega_n s + \omega_n^2}$$

$$= \frac{\omega_n}{\sqrt{1 - \zeta^2}} \frac{\omega_n \sqrt{1 - \zeta^2}}{(s + \zeta\omega_n)^2 + \omega_n^2 (1 - \zeta^2)}$$

$$= \frac{\sigma_n}{\sqrt{1 - \zeta^2}} \frac{\sigma_n \sqrt{1 - \zeta^2}}{(s + \zeta\omega_n)^2 + \omega_n^2 (1 - \zeta^2)}$$
Thus, time

$$\frac{dy(t)}{dt} = \frac{\omega_n}{\sqrt{1-\zeta^2}} e^{-\zeta\omega_n t} \sin \omega_d t = 0$$

 $\sin \omega_d t = 0 \Rightarrow \omega_d t = n\pi \Rightarrow t = n\pi / \omega_d, (n = 0,1,2,\cdots)$

第一次到达峰值, $\mathbf{n} = 1$

$$T_p = \frac{\pi}{\omega_d} = \frac{\pi}{\omega_n \sqrt{1 - \zeta^2}}$$

(3.19) 西南交通大學



3.2.3Transient response of 2nd order system

2) Percent Overshoot P.O. $\sigma\%$:

$$t = T_p = \pi / \omega_d$$

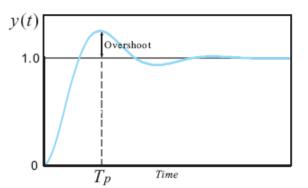
$$\sigma\% = [y(T_p) - 1] \times 100\%$$

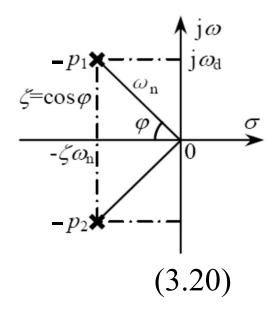
$$= -\frac{1}{\sqrt{1-\zeta^2}} e^{-\zeta\omega_n T_p} \sin(\omega_d T_p + \varphi)$$

$$= -\frac{1}{\sqrt{1-\zeta^2}} \exp(-\zeta \omega_n \frac{\pi}{\omega_n \sqrt{1-\zeta^2}}) \sin(\pi + \varphi)$$

since $\sin(\pi + \varphi) = -\sin \varphi = -\sqrt{1 - \zeta^2}$

$$\sigma\% = \exp(-\frac{\zeta\pi}{\sqrt{1-\zeta^2}}) \times 100\%$$



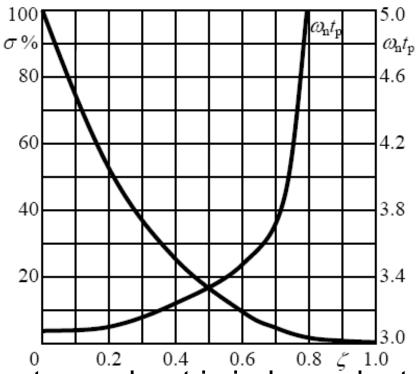




3.2.3Transient response of 2nd order system

The percent overshoot versus the damping ratio and the normalized peak time versus the damping ratio is shown below.

二阶规范系统的超调量和峰值时间与阻尼比的关系如下图所示



Note: Percent overshoot is independent of ω_n

超调量 σ % 只是 ζ 的函数,与 ω_n 无关

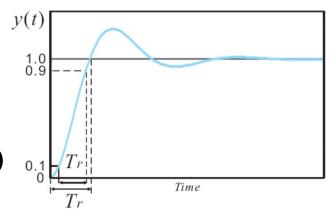


3.2.3Transient response of 2nd order system

3) Rise Time上升时间 T_r :

采用"0→100%"的上升时间定义

$$y(T_r) = 1 - \frac{1}{\sqrt{1 - \zeta^2}} e^{-\zeta \omega_n T_r} \sin(\omega_d T_r + \varphi)$$



Let $\sin(\omega_d T_r + \varphi) = 0 \Rightarrow \omega_d T_r + \varphi = \pi$

$$T_r = \frac{\pi - \varphi}{\omega_d} = \frac{\pi - \varphi}{\omega_n \sqrt{1 - \zeta^2}}$$

(3.21)



3.2.3 Transient response of 2nd order system

4) Settling Time T_s :

$$t \ge T_s : |y(t) - y(\infty)| \le y(\infty)\delta$$

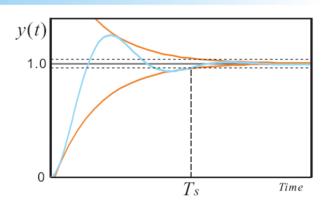
$$1 \pm \frac{1}{\sqrt{1 - \zeta^2}} e^{-\zeta \omega_n t}$$

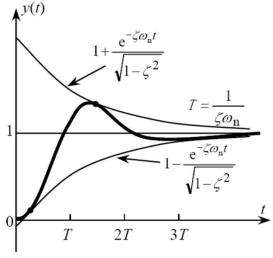
响应曲线的包络线:
$$1 \pm \frac{1}{\sqrt{1-\zeta^2}} e^{-\zeta\omega_n t}$$

$$\left| \frac{1}{\sqrt{1-\zeta^2}} e^{-\zeta\omega_n t} \sin(\omega_d t + \varphi) \right| \leq \delta$$

为了便于计算,近似取

$$\frac{1}{\sqrt{1-\zeta^2}}e^{-\zeta\omega_n T_s} \approx \delta$$





$$T_{s} = \frac{1}{\zeta \omega_{n}} \ln \frac{1}{\delta \sqrt{1 - \zeta^{2}}} = \frac{1}{\zeta \omega_{n}} \left[-\ln \delta - \frac{1}{2} \ln(1 - \zeta^{2}) \right]$$
(3.22)



$$T_s(2\%) = \frac{1}{\zeta \omega_n} \left[4 - \frac{1}{2} \ln(1 - \zeta^2) \right]$$

$$T_s(5\%) = \frac{1}{\zeta \omega_n} \left[3 - \frac{1}{2} \ln(1 - \zeta^2) \right]$$

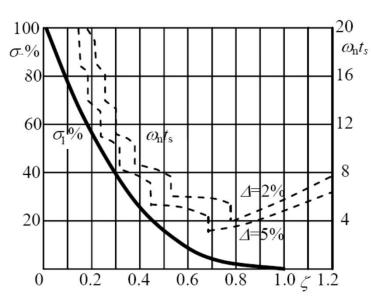
对于0<5<0.9,近似取

$$T_s(2\%) = \frac{4}{\zeta \omega_n} \tag{3.25}$$

$$T_s(5\%) = \frac{3}{\zeta \omega_n} \tag{3.26}$$

(3.23)

(3.24)



 T_s 的精确曲线实际上是不连续的,由 T_s 的定义,可知造成 T_s 为不连续的曲线,如图所示.

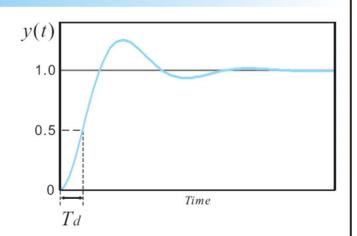


3.2.3Transient response of 2nd order system

5) Delay Time T_d :

$$t = T_d, y(T_d) = 0.5$$

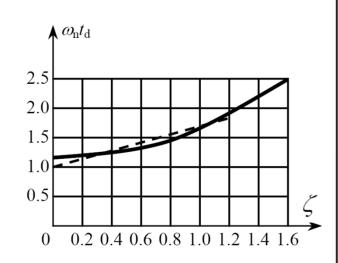
$$\frac{1}{\sqrt{1-\zeta^2}}e^{-\zeta\omega_n T_d}\sin(\omega_d T_d + \varphi) = 0.5$$



 T_d 的求解由隐函数给出

$$\omega_n T_d = \frac{1}{\zeta} \ln \frac{2 \sin(\omega_d T_d + \varphi)}{\sqrt{1 - \zeta^2}}$$
 (3.27)

其曲线如图所示





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6) 振荡次数 N:

阻尼振荡周期:

$$\tau_d = \frac{2\pi}{\omega_d} = \frac{2\pi}{\omega_n \sqrt{1 - \zeta^2}}$$

由公式(3.25)或(3.26)可以给出振荡次数N的近似计算公式:

$$N = \frac{T_s}{\tau_d} = \frac{(3 \sim 4)\sqrt{1 - \zeta^2}}{2\pi\zeta}$$
 (3.28)



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▲ 注:兼顾超调量和调节时间,控制系统常选择

 $\zeta = 0.4 \sim 0.8$,相应的 $\sigma\% = 25.4\% \sim 1.5\%$

实际控制系统常选取工作在欠阻尼状态,只有当不允许出现超调或对象本身惯性很大时,才采用接近临界阻尼的过阻尼状态。

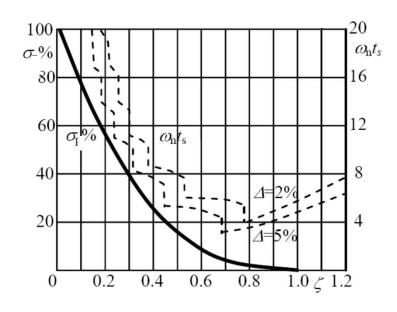


▲ 二阶工程最佳参数

某些控制系统采用所谓"二阶工程最佳参数"作为控制系统工程设计的依据,即选择参数使

$$\zeta = 1/\sqrt{2} = 0.707$$
,相应的 $\sigma\% = e^{-\pi} \times 100\% = 4.3\%$

由 σ % 和 $\omega_n T_s$ 与 ζ 的关系曲线可见,此时控制系统较好地兼顾了暂态响应的平稳性与快速性。





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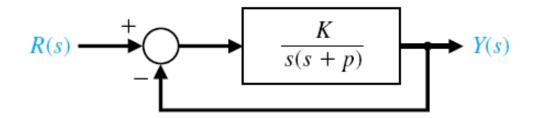


3.2.3Transient response of 2nd order system

<E 3.1> Parameter selection

A single-loop feedback control system is shown below. We desire to select the gain *K* and the parameter *p* so that the time-domain specifications will be satisfied.

- a. The transient response to a step should be as fast as is attainable while retaining an overshoot of less than 5% (P.O.<5%).
- b. The settling time to within 2% of the final value should be less than 4 seconds (T_s <4 (δ %=2%)).







$$P.O. = \sigma\% = \exp(-\frac{\zeta\pi}{\sqrt{1-\zeta^2}}) \times 100\% < 5\%$$

$$e^{-\frac{\zeta\pi}{\sqrt{1-\zeta^2}}} < 0.05 \Rightarrow -\frac{\zeta\pi}{\sqrt{1-\zeta^2}} < \ln(0.05) \Rightarrow \frac{\zeta^2\pi^2}{1-\zeta^2} > 8.97$$

$$\zeta^2(\pi^2 + 8.97) > 8.97$$

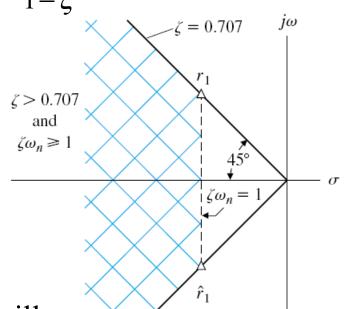
$$\zeta > 0.69$$

$$\varphi = \cos^{-1} \zeta \approx 46.36^{\circ}$$

$$T_s = \frac{4}{\zeta \omega_n} \le 4$$

$$\zeta \omega_n \ge 1$$

The region is shown in the figure will satisfy both time-domain requirements is shown cross-hatched on the *s*-plane.



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When the closed-loop roots are chosen as

$$r_{1,2} = -1 \pm j1$$

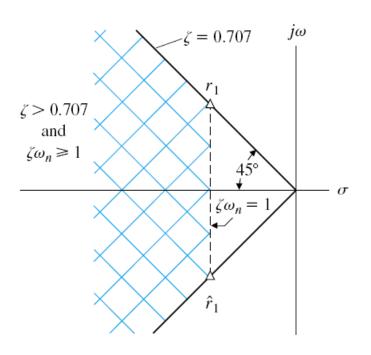
$$\operatorname{So} \left\{ \frac{\zeta \omega_n = 1}{\zeta = 1/\sqrt{2}} \right\} \right\} \left\{ \frac{\omega_n = \sqrt{2}}{\zeta = 1/\sqrt{2}} \right\}$$

$$T(s) = \frac{G(s)}{1 + G(s)}$$

$$= \frac{\omega_n^2}{s^2 + 2\zeta \omega_n s + \omega_n^2} = \frac{K}{s^2 + ps + K}$$

$$p = 2$$

$$K = 2$$





3.2.4 Effects of a 3rd zero on the 2nd

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system response

For a second order system, insert a 3rd zero, the closed-loop transfer function of the system:

$$T(s) = \frac{Y_Z(s)}{R(s)} = \frac{\omega_n^2 (\tau s + 1)}{s^2 + 2\zeta \omega_n s + \omega_n^2}$$

$$= \frac{\omega_n^2 (s + z)}{z(s^2 + 2\zeta \omega_n s + \omega_n^2)}$$
(3.29)

where,
$$-z = -\frac{1}{\tau}$$
 is the zero



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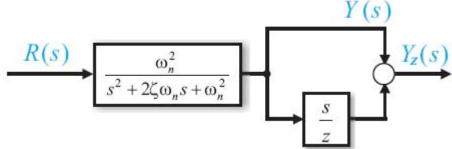
Unit step response of the 2nd order system with a zero

$$r(t) = 1(t), R(s) = 1/s; 0 < \zeta < 1$$

$$\Phi_{Z}(s) = \frac{{\omega_{n}}^{2}}{s^{2} + 2\zeta\omega_{n}s + {\omega_{n}}^{2}} + \frac{s}{z} \frac{{\omega_{n}}^{2}}{s^{2} + 2\zeta\omega_{n}s + {\omega_{n}}^{2}}$$

The block diagram

$$Y_Z(s) = Y(s) + \frac{s}{z}Y(s) \qquad \frac{R(s)}{s^2 + 2\zeta\omega_n s + \omega_n^2}$$



With zero initial condition

$$y_Z(t) = y(t) + \frac{1}{z}\dot{y}(t)$$

$$\frac{R(s)}{z(s^2 + 2\zeta\omega_n s + \omega_n^2)} \frac{Y_{\mathbf{z}}(s)}{\sum_{s=0}^{\infty} \frac{Y_{\mathbf{z}}(s)}{z(s^2 + 2\zeta\omega_n s + \omega_n^2)}$$

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3.2.4 Effects of a 3rd zero on the 2nd system response

$$\frac{1}{z}\dot{y}(t) = \frac{e^{-\zeta\omega_n t}}{z\sqrt{1-\zeta^2}} \left(\zeta\omega_n \sin(\omega_d t + \varphi) - \omega_d \cos(\omega_d t + \varphi)\right)$$

$$y_z(t) = y(t) + \frac{1}{z}\dot{y}(t)$$

$$= 1 - \frac{e^{-\zeta\omega_n t}}{z\sqrt{1-\zeta^2}} \left((z - \zeta\omega_n)\sin(\omega_d t + \varphi) - \omega_d \cos(\omega_d t + \varphi)\right)$$

$$= 1 - \frac{e^{-\zeta\omega_n t}}{\sqrt{1-\zeta^2}} \frac{l}{z}\sin(\omega_d t + \varphi + \psi), t \ge 0$$

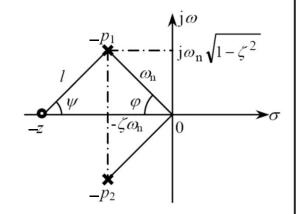
$$\stackrel{|}{\pm} \psi l = \sqrt{(z - \zeta\omega_n)^2 + \omega_d^2} = \sqrt{z^2 - 2\zeta\omega_n z + \omega_n^2}$$

$$\psi = tg^{-1} \frac{\omega_n \sqrt{1-\zeta^2}}{z - \zeta\omega_n}, \quad \varphi = tg^{-1} \frac{\sqrt{1-\zeta^2}}{\zeta}$$
(3.30)



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$$\frac{1}{z} = \frac{\sqrt{z^2 - 2\zeta\omega_n z + \omega_n^2}}{z} = \sqrt{1 - \frac{2\zeta\omega_n}{z} + \frac{\omega_n^2}{z^2}}$$
$$= \frac{1}{\zeta} \sqrt{\zeta^2 - 2r\zeta^2 + r^2}$$



其中 $r = \frac{\zeta \omega_n}{z}$ 为复数极点实部与零点之比

$$y(t) = 1 - \frac{\sqrt{\zeta^2 - 2r\zeta^2 + r^2}}{\zeta\sqrt{1 - \zeta^2}} e^{-\zeta\omega_n t} \sin(\omega_d t + \varphi + \psi), t \ge 0$$
 (3.31)



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- Transient response performance specifications
 - 1) Rise Time T_{rz} :

$$T_{rz} = \frac{\pi - \varphi - \psi}{\omega_d} = T_r - \frac{\psi}{\omega_d}$$
 (3.32)

2) Peak Time T_p :

$$T_{pz} = \frac{\pi - \psi}{\omega_d} = T_p - \frac{\psi}{\omega_d} \tag{3.33}$$

3) Percent Overshoot $\sigma\%$:

$$\sigma_z \% = \frac{1}{\zeta} \sqrt{\zeta^2 - 2r\zeta^2 + r^2} e^{-\zeta \omega_n T_{pz}} \times 100\%$$
(3.34)

$$=\frac{l}{z}e^{-\zeta\omega_{n}T_{p}}e^{\zeta\omega_{n}\frac{\psi}{\omega_{d}}}=\sigma^{0}/\sqrt{\frac{l}{z}}e^{\frac{\zeta\psi}{\sqrt{1-\zeta^{2}}}}$$



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4) Settling Time T_{sz} :

As the solution for T_s , we choose

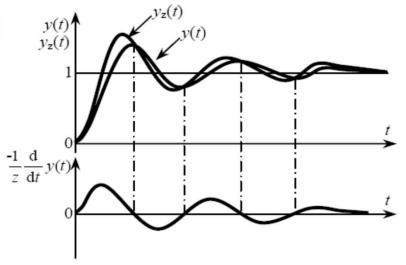
$$\frac{l}{z} \frac{e^{-\zeta \omega_n t}}{\sqrt{1 - \zeta^2}} = \delta$$

$$T_{sz} = \frac{1}{\zeta \omega_n} \left[-\ln \delta - \frac{1}{2} \ln(1 - \zeta^2) + \ln \frac{l}{z} \right]$$

$$= T_s + \frac{1}{\zeta \omega_n} \ln \frac{l}{z}$$
(3.35)



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 $y_z(t)$ 2.0
1.8
1.6
1.4
1.2
1.0
0.8
0.6
0.4
0.2
0
1 2 3 4 5 6 7 8 $\omega_n t$

(a)闭环零点对系统暂态响应的影响

(b)单位阶跃响应曲线(5=0.5)

添加零点对原无零点规范二阶系统性能的影响:

- Peak time decrease 峰值时间提前;
- Percent overshooting increase 超调量增大(振荡加剧);
- Settling time 调节时间

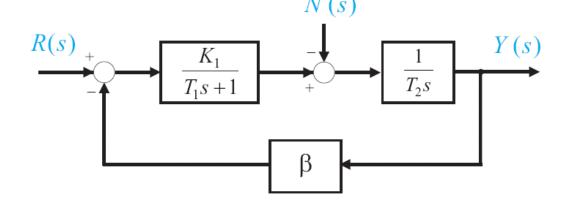
$$\frac{z}{\zeta\omega_n} = \frac{1}{r}$$
 越小,影响越大



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E 3.2>: The block diagram of a Dc motor control system



With input r(t) when (n(t) = 0):

$$\frac{Y(s)}{R(s)} = \frac{K_1}{T_1 T_2 s^2 + T_2 s + \beta K_1}$$
 无零点的二阶系统

With n(t) (when r(t)=0):

$$\frac{Y(s)}{N(s)} = \frac{T_1 s + 1}{T_1 T_2 s^2 + T_2 s + \beta K_1}$$
 有零点的二阶系统







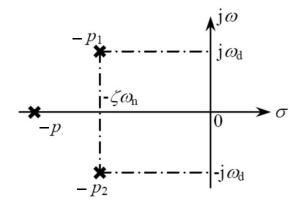
50

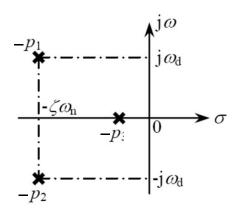
Closed-loop transfer function of 3rd order system

$$\frac{Y(s)}{R(s)} = \frac{\omega_n^2}{(Ts+1)(s^2 + 2\zeta\omega_n s + \omega_n^2)}$$

$$= \frac{\omega_n^2 p}{(s+p)[(s+\zeta\omega_n)^2 + \omega_n^2 (1-\zeta^2)]}$$
(3.36)

where p = 1/T







Unit step input response of a 3rd order system

$$0 < \zeta < 1, r(t) = 1(t), R(s) = 1/s$$

when

$$Y(s) = \frac{\omega_n^2 p}{(s+p)(s^2 + 2\zeta\omega_n s + \omega_n^2)} \frac{1}{s} = \frac{A_0}{s} + \frac{A_1}{s+p} + \frac{A_2 s + A_3}{s^2 + 2\zeta\omega_n s + \omega_n^2}$$
$$= \frac{A_0}{s} + \frac{A_1}{s+p} + \frac{A_2 (s + \zeta\omega_n) - A_2 \zeta\omega_n + A_3}{s^2 + 2\zeta\omega_n s + \omega_n^2}$$

$$A_0 = 1$$
 $A_1 = \frac{-1}{\zeta^2 \beta(\beta - 2) + 1}$ $A_2 = \frac{-\zeta^2 \beta(\beta - 2)}{\zeta^2 \beta(\beta - 2) + 1}$

$$-A_{2}\zeta\omega_{n} + A_{3} = \frac{-\beta\zeta\omega_{n}[\zeta^{2}(\beta-2)+1]}{\zeta^{2}\beta(\beta-2)+1} = \frac{-\beta\zeta[\zeta^{2}(\beta-2)+1]\omega_{n}\sqrt{1-\zeta^{2}}}{[\zeta^{2}\beta(\beta-2)+1]\sqrt{1-\zeta^{2}}}$$





$$y(t) = 1 - \frac{e^{-pt}}{\zeta^{2}\beta(\beta - 2) + 1} - \frac{e^{-\zeta\omega_{n}t}}{\zeta^{2}\beta(\beta - 2) + 1}$$

$$\times \left[\zeta^{2}\beta(\beta - 2)\cos\omega_{d}t + \frac{\beta\zeta[\zeta^{2}(\beta - 2) + 1]}{\sqrt{1 - \zeta^{2}}}\sin\omega_{d}t \right]$$

$$= 1 - \frac{e^{-\beta\zeta\omega_{n}t}}{\zeta^{2}\beta(\beta - 2) + 1} - \frac{\beta\zeta e^{-\zeta\omega_{n}t}}{\sqrt{1 - \zeta^{2}}\sqrt{\zeta^{2}\beta(\beta - 2) + 1}}\sin(\omega_{d}t + \gamma), t \ge 0$$
(3.37)

$$\gamma = tg^{-1} \frac{\zeta(\beta - 2)\sqrt{1 - \zeta^{2}}}{\zeta^{2}(\beta - 2) + 1}$$

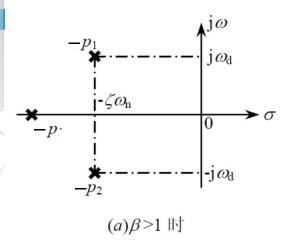
$$\beta = \frac{p}{\zeta \omega_n} \left(\text{比较: 有零点二阶系统,} \frac{1}{r} = \frac{z}{\zeta \omega_n} \right)$$

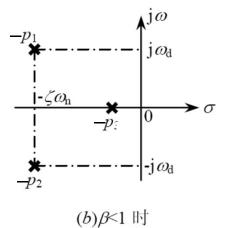
 $\Rightarrow e^{-pt}$ 项的系数总是为负数

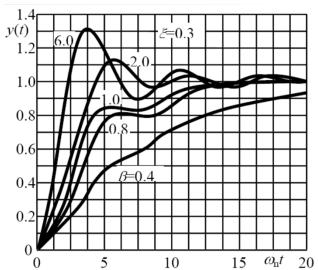












Discussion:

1) y(t)与 $\zeta, \omega_n, \beta = \frac{p}{\zeta \omega_n}$ 有关

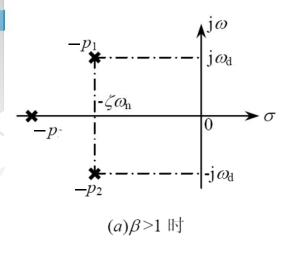
 $\beta \to \infty$, 相当于二阶系统;

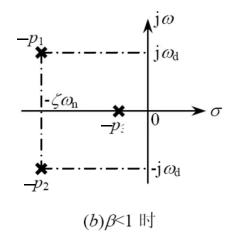
 $\beta >> 1$, 共轭复数极点为主导极点,响应主要呈现为二阶特性;

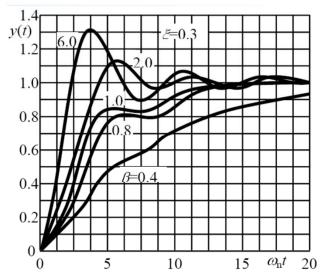
 β << 1, 实极点为主导极点,响应主要呈现为一阶特性;



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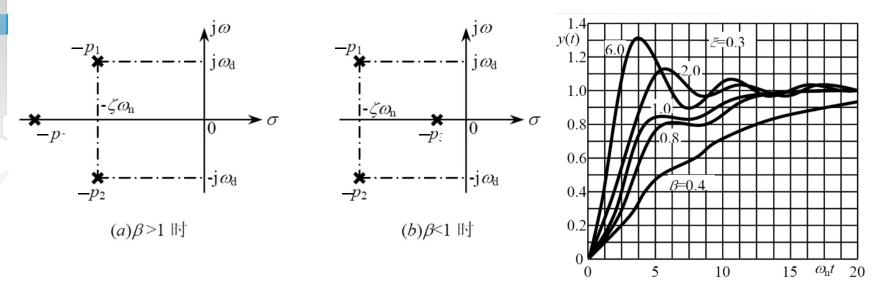




Discussion:

2) 当 $\beta \geq 5$ 左右(或者 $\beta \leq 1/5$ 左右),可按照主导极点共轭复数极点(或按照主导极点实极点)估算暂态响应特性;

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Discussion:

3) 实极点的影响:振荡性减弱,超调量减小,响应速度变慢,相当于增加了系统的惯性;





Final Value Theory (终值定理)

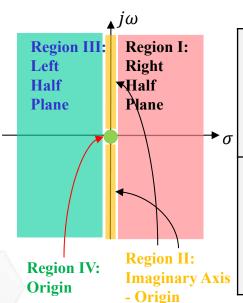
Time domain

S domain

$$f(t \to \infty) = \lim_{t \to \infty} f(t)$$

$$\lim_{t \to \infty} f(t) = \lim_{s \to 0} sF(s) \qquad F(s) = \mathcal{Q}(f(t))$$

$$F(s) = \mathcal{Q}(f(t))$$



Region I:

System response is unstable

Not fit for this situation.

$$\lim_{s \to 0} sF(s) = \lim_{s \to 0} s \frac{1}{s-5} = 0$$



Region II:

If the system has Poles in the following Region:

System response if oscillatory

Not fit for this situation.

$$\lim_{s \to 0} sF(s) = \lim_{s \to 0} s \frac{1}{s^2 + 5} = 0$$



Region III:

System response is stable Final value is always 0

$$\lim_{s \to 0} sF(s) = \lim_{s \to 0} s \frac{1}{s+5} = 0$$



Region IV:

Output is the integral of the input signal (impulse r(t)=1).

$$\lim_{s \to 0} sF(s) = \lim_{s \to 0} s \frac{1}{s} = 1$$



System Type: Number of poles at the origin.

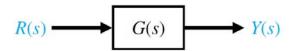
Type I System; Type II System; Type III System and ...





3.3.1 Steady State Error

Open loop system



$$e_o(t) = r(t) - y(t)$$

$$E_o(s) = R(s) - Y(s)$$

$$=(1-G(s))R(s)$$

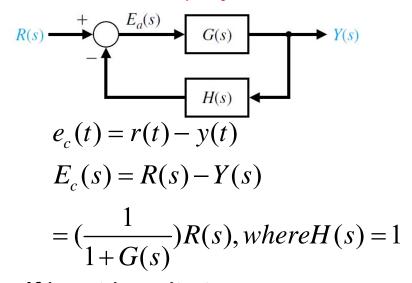
If input is unit step

$$e_o(\infty) = \lim_{s \to 0} sE_o(s)$$

$$= \lim_{s \to 0} s(1 - G(s)) \frac{1}{s}$$

$$=1-G(0)$$

Closed loop system



If input is unit step

$$e_c(\infty) = \lim_{s \to 0} sE_c(s)$$

$$= \lim_{s \to 0} s(\frac{1}{1 + G(s)}) \frac{1}{s}$$

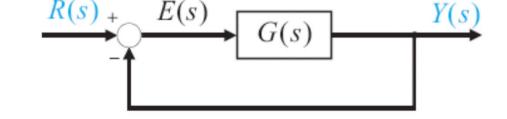
$$= \frac{1}{1 + G(0)}$$





3.3.2 Steady state error of a unit feedback system

Error



$$E(s) = R(s) - Y(s)$$

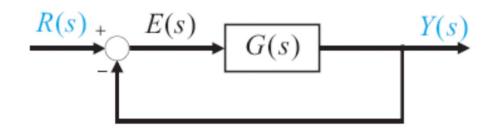
e(t) = r(t) - y(t)

$$E(s) = R(s) - \frac{G(s)}{1 + G(s)}R(s)$$

$$= \frac{1}{1 + G(s)} R(s) = \Phi_e(s) R(s)$$
 (3.39)

$$\Phi_e(s) = \frac{1}{1 + G(s)} = \frac{1}{1 + G_k(s)}$$
 称为误差传递函数





Steady state error

$$e_{ss} = \lim_{s \to 0} sE(s) = \lim_{s \to 0} \frac{sR(s)}{1 + G(s)}$$
 (3.40)

Determined by loop transfer function and input.

稳态误差由开环传递函数和输入决定



[E3.3] Try to get the Steady State Error for the unit feedback control system, where the transfer function is

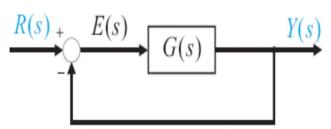
$$G(s)=K(0.5s+1)/[s(s+1)(2s+1)]$$

and input is unit ramp signal.

Step1.Determin the stability of the system. The characteristic equation of the closed-loop system is

$$\Delta(s) = 2s^3 + 3s^2 + (1+0.5K)s + K$$

Thus for a stable system, we require that:



The Routh array is:

$$s^3$$
 2 $(1+0.5K)$
 s^2 3 K

$$s^{1} \quad \frac{3-0.5K}{3} \qquad 0$$

$$s^{0} \quad K$$

0<K<6



Step2. Determin E(s):

$$E(s) = \Phi_e(s)R(s) = \frac{1}{1 + G(s)}R(s) = \frac{1}{1 + \frac{K(0.5s + 1)}{s(s + 1)(2s + 1)}} \frac{1}{s^2}$$

$$=\frac{s(s+1)(2s+1)}{s(s+1)(2s+1)+K(0.5s+1)}\frac{1}{s^2}$$

Step3. To calculate the steady state error, we use final value theorem

$$e_{ss} = \lim_{s \to 0} sE(s) = \lim_{s \to 0} s \frac{s(s+1)(2s+1)}{s(s+1)(2s+1) + K(0.5s+1)} \frac{1}{s^2} = \frac{1}{K}$$

计算结果表明,稳态误差的大小,与系统的开环增益K有关。系统的开环增益越大,稳态误差越小。由此看出,**稳态精度与稳定性**对K的要求是矛盾的。

只有稳定的系统,才可以计算稳态误差



Loop transfer function (*n*th **order system)**

$$G(s) = \frac{K \prod_{i=1}^{m} (T_i s + 1)}{s^N \prod_{j=1}^{n-N} (\tau_j s + 1)}$$
(3.41)

N: The number of integration N reflect the tracking ability of the system.

N = 0,1,... Type zero system, Type one system respectively

N: 开环传递函数G(s)中零极点的重数,即串联的积分环节的个数,

称为系统的类型(或无差阶数)

N = 0,1,2,... 分别称为0型,1型,2型,...系统





以静态误差系数给出典型输入下的系统的稳态误差:

1) Step Input: $R(s) = \frac{A}{s}$

$$e_{ss} = \lim_{s \to 0} sE(s) = \lim_{s \to 0} \frac{s}{1 + G(s)} \frac{A}{s} = \lim_{s \to 0} \frac{A}{1 + G(s)}$$
(3.42)

Position error constant

$$K_p = \lim_{s \to 0} G(s) = \lim_{s \to 0} \frac{K}{s^N}$$
 (3.43)

$$e_{ss} = \frac{A}{1 + K_p} \tag{3.44}$$

Type zero system:

$$K_p = K$$
 $e_{ss} = \frac{A}{1+K}$

Type one type two system:

$$K_p = \infty$$
 $e_{ss} = 0$



2) Ramp input: $R(s) = \frac{A}{s^2}$

$$e_{ss} = \lim_{s \to 0} sE(s) = \lim_{s \to 0} \frac{A}{s[1 + G(s)]} = \lim_{s \to 0} \frac{A}{sG(s)}$$
(3.45)

Velocity error constant

$$K_{v}^{def} = \lim_{s \to 0} sG(s) = \lim_{s \to 0} \frac{K}{s^{N-1}}$$
 (3.46)

$$e_{ss} = \frac{A}{K_{v}} \tag{3.47}$$

Type zero system: $K_v = 0$ $e_{ss} = \infty$

Type one system:
$$K_v = K$$
 $e_{ss} = \frac{A}{K}$

Type two system:
$$K_v = \infty$$
 $e_{ss} = 0$





3) Acceleration input: $R(s) = \frac{A}{s^3}$

$$e_{ss} = \lim_{s \to 0} sE(s) = \lim_{s \to 0} \frac{A}{s^2 [1 + G(s)]} = \lim_{s \to 0} \frac{A}{s^2 G(s)}$$
 (3.48)

Acceleration error constant

静态加速度误差系数

$$K_a = \lim_{s \to 0} s^2 G(s) = \lim_{s \to 0} \frac{K}{s^{N-2}}$$
 (3.49)

Steady state error

$$e_{ss} = \frac{A}{K_a} \tag{3.50}$$

Type zero, type one system: $K_a = 0$ $e_{ss} = \infty$

$$K_a = 0$$

$$e_{ss} = \infty$$

Type two system:

$$K_a = K$$

$$K_a = K$$
 $e_{ss} = \frac{A}{K}$





Summary of steady state error

系统	误差系数			稳态误差		
的型				阶跃输入	斜坡输入	抛物线输入
(无差	K_p	K_v	K_a	e =A	$\rho = \frac{A}{}$	$e = \frac{A}{}$
阶数)				$e_{ss} = \frac{1}{1 + K_p}$	$e_{ss} = \frac{1}{K_v}$	$e_{ss} = \frac{1}{K_a}$
0型	K	0	0	A/(1+K)	∞	∞
1型	8	K	0	0	A/K	∞
2型	8	8	K	0	0	A/K



- **[E3.3]**Try to get the **Steady State Error** for the unit feedback control system, where the transfer function of $G_1(s)=K_1+K_2/s$ and $G_2(s)=K/(\tau s+1)$.
- (1) Consider the first situation when input is a step signal and the gain K_2 =0.

As
$$K_2 = 0$$
 and $r(t) = u(t)$ $\Rightarrow G_1(s) = K_1$ and $R(s) = \frac{A}{s}$

$$G_o(s) = \frac{K_1 K}{\tau s + 1}$$
 The system is stable. For \triangle (s)= τ s+1+ $K_1 K$

$$e_{ss} = \frac{A}{1 + K_p} where K_p = K_1 K$$





- **[E3.3]**Try to get the **Steady State Error** for the unit feedback control system, where the transfer function of $G_1(s)=K_1+K_2/s$ and $G_2(s)=K/(\tau s+1)$.
- (2) Consider the second situation when input is a step signal and the gain $K_2>0$.

As
$$K_2 > 0$$
 and $r(t) = u(t)$ $\Rightarrow G_1(s) = K_1 + K_2 / s$ and $R(s) = \frac{A}{s}$

$$G_o(s) = \frac{(K_1 s + K_2)K}{s(\tau s + 1)}$$
 The system is stable. For $\triangle(s) = \tau s^2 + (1 + K_1 K)s + K_2 K$

$$e_{ss} = \frac{A}{1 + K_p} = 0$$
, where $K_p = \infty$





- **[E3.3]**Try to get the **Steady State Error** for the unit feedback control system, where the transfer function of $G_1(s)=K_1+K_2/s$ and $G_2(s)=K/(\tau s+1)$.
- (3) Consider the third situation when input is a ramp signal and the gain $K_2>0$.

As
$$K_2 > 0$$
 and $r(t) = u(t)$ $\Rightarrow G_1(s) = K_1 + K_2 / s$ and $R(s) = \frac{A}{s^2}$

$$G_o(s) = \frac{(K_1 s + K_2)K}{s(\tau s + 1)} \qquad K_v = \lim_{s \to 0} sG_o(s) = K_2 K$$

$$e_{ss} = \frac{A}{K_V}$$
, where $K_v = K_2 K$

(3.51)

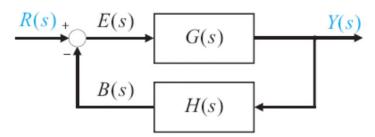


3.3 Steady state error

3.3.3 Steady state error of nonunity feedback system

折算到输入端(偏差) 1)

$$e(t) = r(t) - b(t)$$



$$E(s) = R(s) - B(s) = R(s) - H(s) \frac{G(s)R(s)}{1 + G(s)H(s)}$$

 $= \frac{1}{1 + G(s)H(s)}R(s) = \Phi_e(s)R(s)$

$$\Phi_e(s) = \frac{1}{1 + G(s)H(s)} = \frac{1}{1 + G_k(s)}$$
 误差传递函数

$$G_k(s) = G(s)H(s)$$

开环传递函数

G(s)H(s)



3.3 Steady state error

2) 折算到输出端

(误差)
$$R'(s) = \frac{1}{H(s)}R(s)$$

$$e'(t) = r'(t) - y(t)$$

$$E'(s) = R'(s) - Y(s) = R'(s) - \frac{G(s)H(s)}{1 + G(s)H(s)}R'(s)$$

$$= \frac{1}{1 + G(s)H(s)}R'(s) = \Phi_e(s)R'(s) = \frac{\Phi_e(s)R(s)}{H(s)} = \frac{E(s)}{H(s)}$$
(3.52)

 $\overline{H(s)}$

误差e'(t)的定义,物理意义明确;

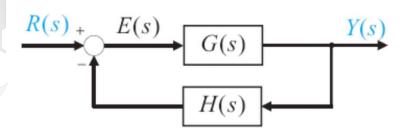
偏差e(t)的定义,结构图中有对应的量,便于理论分析;

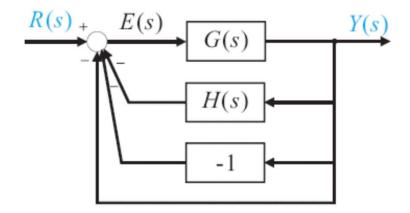
一般用e(t)进行误差分析;

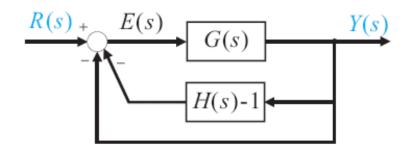
历由交通大學

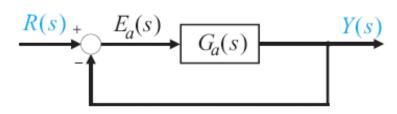


非单位反馈系统可化为等效单位反馈系统讨论









$$G_a(s) = \frac{G(s)}{1 + G(s)H(s) - G(s)}$$

转换为讨论
$$e_a(t) = r(t) - y(t)$$





Summary

In this chapter, we mainly focus on:

- Dynamic analysis of control system in time domain. 控制系统时间域的运动分析;
- Consider the definition and measurement of the performance of a control system with step input.

通过单位阶跃响应讨论控制系统暂态响应的性能指标;

Performance specifications of second order system.

二阶系统的暂态响应性能指标:

$$T_p = \frac{\pi}{\omega_d} = \frac{\pi}{\omega_n \sqrt{1 - \zeta^2}} \qquad \sigma\% = \exp(-\frac{\zeta\pi}{\sqrt{1 - \zeta^2}}) \times 100\%$$

$$T_s(2\%) = \frac{4}{\zeta\omega_n} \qquad T_s(5\%) = \frac{3}{\zeta\omega_n}$$

$$T_r = \frac{\pi - \varphi}{\omega_d} = \frac{\pi - \varphi}{\omega_n \sqrt{1 - \zeta^2}} \qquad \varphi = tg^{-1} \frac{\sqrt{1 - \zeta^2}}{\zeta}$$



小结

Note: Percent overshoot is only dependent of ζ

$$\begin{cases}
\zeta \to \sigma\% \\
\zeta, \omega_n \to T_s, T_r
\end{cases}
\begin{cases}
\sigma\% \to \zeta \\
T_s, T_r
\end{cases}
\to \omega_n$$

• Effects of a third pole and zero on the second-order system response.

在二阶系统暂态响应分析的基础上增加零点和极点的影响

Steady-state error analysis, steady-state error constant and steady-state error calculation.

稳态误差分析,静态误差系数及稳态误差的计算;





- 课后阅读第六章
 - "线性反馈控制系统的稳定性"