

FEDERAL FINANCIAL REPORT

(EACFFR)

1. Federal Agency and Org. Element to Which Report is Submitted
 U.S. Election Assistance Commission

2. Federal Grant or Other Identifying Number Assigned By Fed. Agency
(To report multiple grants, use FFR Attachment)
 EAC-ELSEC22IN

3. Recipient Organization (Name and complete address including Zip code)
Recipient Organization Name:
 Indiana Secretary of State

Street1:
 200 W WASHINGTON ST STE 201

Street2:
City:
 INDIANAPOLIS

County:
 MARION

State:
 IN

Province:
Country:
 United States

Zip 5:
 46204

Zip +4:
 2731

4a. UEI
 FFQNL5KMDGX3

4b. EIN
 356000158

5. Recipient Account Number or Identifying Number
(To report multiple grants, use FFR Attachment)
6. Report Type

- Quarterly
 Semi-Annual
 Annual
 Final

7. Basis of Accounting

- Cash
 Accrual

8. Project/Grant Period
From:
 03/23/2018

To:
 09/30/2099

9. Reporting Period End Date
(Month, Day, Year)

09/30/2022

10. TRANSACTIONS
(Use lines a-c for single or multiple grant reporting)
Cumulative
Federal Cash: (To report multiple grants, also use FFR attachment)

a. Cash Receipts	\$17,433,752.00
b. Cash Disbursements	\$16,612,619.59
c. Cash on hand (line a minus b)	\$821,132.41

Federal Expenditures and Unobligated Balance: Do not complete this section if reporting on multiple awards.

d. Total Federal funds authorized	\$17,433,752.00
e. Federal share of expenditures	\$16,612,619.59
f. Federal share of unliquidated obligations	\$0.00
g. Total Federal share (sum of line e plus line f)	\$16,612,619.59
h. Unobligated balance of Federal funds (line d minus g)	\$821,132.41

Recipient Share: Do not complete this section if reporting on multiple awards.

i. Total recipient share required	\$2,347,487.00
j. Recipient share of expenditures	\$2,347,487.00
k. Remaining recipient share to be provided (line i minus j)	\$0.00

Program Income: Do not complete this section if reporting on multiple awards.

l. Total Federal program income earned	\$0.00
m. Program income expended in accordance with the deduction alternative	\$0.00
n. Program Income expended in accordance with the addition alternative	\$0.00
o. Unexpended program income (line l minus line m and line n)	\$0.00

Federal Interest:

p. Total Federal interest earned	\$213,783.94
q. Federal interest expenditures	\$209,373.00
r. Remaining Federal interest to be expended (line p minus q)	\$4,410.94

11. Indirect Expense

a. Type	b. Rate	c. Period From	c. Period To	d. Base	e. Amount Charged	f. Federal Share
	0.00%			\$0.00	\$0.00	\$0.00
	0.00%			\$0.00	\$0.00	\$0.00
g. Total				\$0.00	\$0.00	\$0.00

12. Remarks:		
a. State Interest Earned: Enter the current year amount earned (not cumulative)	\$0.00	
b. State Interest Expended: Enter the current year amount expended (not cumulative)	\$0.00	
c. Program Income Earned: Enter the current year amount earned. (not cumulative)	\$0.00	
d. Program Income Expended: Enter the amount of Program Income expended in the current year (not cumulative)	\$0.00	
e. Program Income Earned Breakdown: List each source of program income individually next to each amount (federal interest earned is not program income).		
Source of program income		Amount
e. 1		\$0.00
		Total: \$0.00
f. Comments: <i>Attach any explanations deemed necessary or information required by Federal sponsoring agency in compliance with governing legislation:</i> Interest is explained in the Election Security Grant Interest Tracking Quarterly Reports that the Indiana Office of the Secretary of State has submitted to the EAC. The third and fourth quarter reports have been submitted to the EAC as part of the corrective actions developed to resolve Finding 1 (of 2) from the EAC 2021 audit of the the Indiana Election Security and CARES grants.		
13. Certification: <i>By signing this report, I certify to the best of my knowledge and belief that the report is true, complete, and accurate, and the expenditures, disbursements and cash receipts are for the purposes and objectives set forth in the terms and conditions of the Federal award. I am aware that any false, fictitious, or fraudulent information, or the omission of any material fact, may subject me to criminal, civil or administrative penalties for fraud, false statements, false claims or otherwise. (U.S. Code Title 18, Section 1001 and Title 31, Sections 3729-3730 and 3801-3812).</i>		
a. Typed or Printed Name and Title of Authorized Certifying Official Summer Hirschfield	c. Telephone (Area code, number and extension) (202) 568-9970	
Certification Title Grants Specialist	d. Email address shirschfield@eac.gov	
b. Signature of Authorized Certifying Official 	e. Date Report Submitted (Month, Day, Year) 05/31/2023	

Report Attachment (For reporting multiple grants)

14. List Information below for each grant covered by this report.		
Federal Grant Number	Recipient Account Number	Cumulative Federal Cash Disbursement
		\$0.00
TOTAL (Should correspond to the amount on Line 10b on Page 1)		\$0.00

Progress Report

Section I: Cover Page

Grant Information

1. State or Territory
Indiana Secretary of State2. Federal Grant or Other Identifying Number Assigned by Federal Agency
EAC-ELSEC22IN

3. Grant Type:

- 101
- 251
- Election Security
- Other [e.g., CARES]

Describe Other

Report Information

4. Report Type:

- Semi-Annual
- Annual
- Final
- Other

Describe Other

5. Report Period

Start Date (Month, Day, Year)
10/01/2021End Date (Month, Day, Year)
09/30/2022

Section II: Progress and Narrative

Instructions: Reports due for the period ending March 31 should describe the activities of the previous six-month period and reports due for the period ending September 30 should cover the previous 12- month period. Final reports should cover the entire performance period from the start of the grant. Additional guidance can be found on our website: <https://www.eac.gov/payments-and-grants/financial-progress-reporting>

EAC grants reports will be made publicly available. Therefore, your report narrative should:

- * Be written in clear, concise, and plain language
- * Not include sensitive confidential information

6. Describe in detail what happened during this reporting period and explain how you implemented the approved grant activities in accordance with your State Plan/Program Narrative. (Note: Your activities should align with your category expenditures in Section IV and you may use those categories as headings in your response as applicable.)

- Check if no activity during this reporting period.

Expenditure Chart Comments: A total of \$1,596,394.00 was expended to purchase KNOWiNK ePolbooks for 19 Counties (sub-grantees). The breakdown is as follow: \$268,260.59 of the Election Security grant funds were used for this expenditure. \$209,373.41 (program income earned - Under "Voting Processes") was used for this expenditure. \$1,118,760 (match) used towards this expenditure - Some of this was accounted for during the December grant period. \$687,314 of this amount went towards the match for this grant period. Additionally, \$43,000 of the Election Security grant funds were expended for 18 Counties (sub grantees) to receive Hart InterCivic voting equipment. For a total of \$311,260.59 of the Election Security grant funds were expended. A total of \$263473 for fees rendered for the Cybersecurity Project Management for Threat Protection Project and Change Management Service and Voting System Vendor Implementation Coordination was expended.

7. Provide a description of any training conducted, including security training.

- Check if no training was conducted during this reporting period.

8. Report on the number and type of articles of voting equipment obtained with the funds. Include the amount expended on the expenditure chart.

- Check if no voting equipment purchased during this reporting period.

\$268,260.59 was spent on KNOWiNK electronic Pollbooks that were disbursed among 18 Indiana counties (sub grantees). *Note: the total amount for this purchase was the \$1,596,394.00. Only \$268,260.59 of that amount was paid for with Election Security Grant funds. \$43,000 was spent on Hart InterCivic voting accessories that were disbursed among 5 Indiana counties (sub grantees).

9. Subgrants (if applicable)

- Check if no subgrants were made during this reporting period.

Describe the activities carried out by your subgrantees during the reporting period.

A total of \$1,639,394.00 expended for sub grantees during this reporting period. A breakdown is provided here: Of the \$1,639,394.00 expended for sub grantees during this reporting period, \$1,596,394.00 went towards KNOWiNK electronic Pollbooks that were disbursed among 18 Indiana counties (sub grantees). Of the \$1,639,394.00 expended for sub grantees during this reporting period, \$43,000 was spent on Hart InterCivic voting accessories that were disbursed among 5 Indiana counties (sub grantees).

10. Provide a breakdown of aggregate sub-award expenditures across major categories. If you do not have expenses for a particular category please populate the field with \$0.00 Total expenses will automatically calculate. Please verify totals prior to submission.

Category	Subaward Federal Expenditures
Voting Equipment	\$1,639,394.00
Voting Processes	\$0.00
Voter Registration Systems	\$0.00
Election Auditing	\$0.00
Cyber and Physical Security	\$0.00

Voter Education	\$0.00
Accessibility	\$0.00
Other:	\$0.00
Total	\$1,639,394.00

11. Match (if applicable)



Check if match not required.

Describe how you are meeting or have met the matching requirement.

Match has been met.

Section III: Challenges and Changes

12. Issues Encountered



Check if no major issues encountered during this reporting period.

Describe how and whether the issues were resolved. Also, briefly discuss the implications of any unresolved issues or concerns.

13. Describe any significant changes to your program during the reporting period, including changes to your original State Plan/Program Narrative or favorable developments that improved program efficiency and/or service delivery.



Check if no significant changes were made during this reporting period.

Section IV: Expenditures

14. Fill out the table below with both the Federal and State Match expenditures for the current reporting period. Include federal and state interest expenditures and write-in any cost areas that do not fit into the predefined program categories. Subaward expense totals identified in section #10 should also be populated and rolled into the appropriate expense categories for #14. If you do not have expenses for a particular category please populate the field with \$0.00 Total expenses will automatically calculate. Please verify totals prior to submission.

Expenditures should be consistent with the activities described in your narrative and with the amounts in your financial reports. (EAC uses the difference between your current and previous period FFR to calculate current period expenditures).

Categories	Federal	State Match
Voting Equipment	\$311,261.00	\$0.00
Voting Processes	\$209,373.00	\$687,314.00
Voter Registration Systems	\$0.00	\$0.00
Election Auditing	\$0.00	\$0.00
Cyber and Physical Security	\$263,473.00	\$258,643.00
Voter Education	\$0.00	\$0.00
Accessibility	\$0.00	\$0.00
Other	\$0.00	\$0.00
TOTAL	\$784,107.00	\$945,957.00

Section V: Final Assessment

The final progress report is your opportunity to share the significant successes of your project and present information about the results your project achieved. The report should cover the entire period of performance.

15. Self-Assessment - Assess whether the goals set out in your State plan/Narrative were met as intended during the grant program. Highlight any needs that were not met or ongoing/under resourced areas for future consideration.

16. Impact and Achievements - Describe how this grant program impacted elections in your state/territory. Highlight your accomplishments and successes.

17. Lessons Learned - Describe any lessons learned during the grant that may be replicated, expanded and/or help others.

Section VI: Certification

18. Name and Contact of the authorized certifying official.

First and Last Name Summer Hirschfield	Title Grants Specialist
Phone Number (202) 568-9970	Email Address shirschfield@eac.gov

19. Signature of Certifying Official

