

# FEDERAL FINANCIAL REPORT

## (EACFFR)

**1. Federal Agency and Org. Element to Which Report is Submitted**  
 U.S. Election Assistance Commission

**2. Federal Grant or Other Identifying Number Assigned By Fed. Agency**  
*(To report multiple grants, use FFR Attachment)*  
 EAC-ELSEC22FL

**3. Recipient Organization (Name and complete address including Zip code)**
**Recipient Organization Name:**  
 Florida Secretary of State

**Street1:**  
 500 R.A. GRAY BUILDING

**Street2:** Div of Historical Resources

**City:**  
 TALLAHASSEE

**County:**  
 LEON

**State:**  
 FL

**Province:**
**Country:**  
 United States

**Zip 5:**  
 32399

**Zip +4:**
**4a. UEI**  
 YY12WQEHUAX9

**4b. EIN**  
 593466865

**5. Recipient Account Number or Identifying Number**  
*(To report multiple grants, use FFR Attachment)*
**6. Report Type**

- Quarterly  
 Semi-Annual  
 Annual  
 Final

**7. Basis of Accounting**

- Cash  
 Accrual

**8. Project/Grant Period**
**From:**  
 03/23/2018

**To:**  
 09/30/2099

**9. Reporting Period End Date**  
*(Month, Day, Year)*

09/30/2022

**10. TRANSACTIONS**
*(Use lines a-c for single or multiple grant reporting)*
**Cumulative**
**Federal Cash: (To report multiple grants, also use FFR attachment)**

a. Cash Receipts	\$44,241,919.00
b. Cash Disbursements	\$24,100,324.00
c. Cash on hand (line a minus b)	\$20,141,595.00

**Federal Expenditures and Unobligated Balance: Do not complete this section if reporting on multiple awards.**

d. Total Federal funds authorized	\$44,241,919.00
e. Federal share of expenditures	\$24,100,324.00
f. Federal share of unliquidated obligations	\$0.00
g. Total Federal share (sum of line e plus line f)	\$24,100,324.00
h. Unobligated balance of Federal funds (line d minus g)	\$20,141,595.00

**Recipient Share: Do not complete this section if reporting on multiple awards.**

i. Total recipient share required	\$5,970,333.00
j. Recipient share of expenditures	\$5,433,607.00
k. Remaining recipient share to be provided (line i minus j)	\$536,726.00

**Program Income: Do not complete this section if reporting on multiple awards.**

l. Total Federal program income earned	\$0.00
m. Program income expended in accordance with the deduction alternative	\$0.00
n. Program Income expended in accordance with the addition alternative	\$0.00
o. Unexpended program income (line l minus line m and line n)	\$0.00

**Federal Interest:**

p. Total Federal interest earned	\$620,180.00
q. Federal interest expenditures	\$0.00
r. Remaining Federal interest to be expended (line p minus q)	\$620,180.00

**11. Indirect Expense**

a. Type	b. Rate	c. Period From	d. Period To	e. Base	f. Amount Charged	g. Total	f. Federal Share
	0.00%			\$0.00	\$0.00		\$0.00
					\$0.00	\$0.00	\$0.00

**12. Remarks:**

- a. State Interest Earned: Enter the current year amount earned (not cumulative) \$0.00

b. State Interest Expended: Enter the current year amount expended (not cumulative)	\$0.00
c. Program Income Earned: Enter the current year amount earned. (not cumulative)	\$0.00
d. Program Income Expended: Enter the amount of Program Income expended in the current year (not cumulative)	\$0.00
e. Program Income Earned Breakdown: List each source of program income individually next to each amount (federal interest earned is not program income).	
Source of program income	Amount
e. 1	\$0.00
	Total: \$0.00

f. Comments: *Attach any explanations deemed necessary or information required by Federal sponsoring agency in compliance with governing legislation:*  
Original report submitted by Maria Matthews, Division of Elections Director on 08/03/2023. Original Report with signature attached.

**13. Certification: By signing this report, I certify to the best of my knowledge and belief that the report is true, complete, and accurate, and the expenditures, disbursements and cash receipts are for the purposes and objectives set forth in the terms and conditions of the Federal award. I am aware that any false, fictitious, or fraudulent information, or the omission of any material fact, may subject me to criminal, civil or administrative penalties for fraud, false statements, false claims or otherwise. (U.S. Code Title 18, Section 1001 and Title 31, Sections 3729-3730 and 3801-3812).**

a. Typed or Printed Name and Title of Authorized Certifying Official Summer Hirschfield	c. Telephone (Area code, number and extension) (202) 568-9970
Certification Title Grants Specialist	d. Email address shirschfield@eac.gov
b. Signature of Authorized Certifying Official 	e. Date Report Submitted (Month, Day, Year) 08/14/2023

### Report Attachment (For reporting multiple grants)

14. List Information below for each grant covered by this report.		
Federal Grant Number	Recipient Account Number	Cumulative Federal Cash Disbursement
		\$0.00
TOTAL (Should correspond to the amount on Line 10b on Page 1)		\$0.00

## Progress Report

### Section I: Cover Page

## Grant Information

<b>1. State or Territory</b> Florida Secretary of State	<b>2. Federal Grant or Other Identifying Number Assigned by Federal Agency</b> EAC-ELSEC22FL
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**3. Grant Type:**

- 101
- 251
- Election Security
- Other [e.g., CARES]

Describe Other

## Report Information

**4. Report Type:**

- Semi-Annual
- Annual
- Final
- Other

Describe Other

**5. Report Period**Start Date (Month, Day, Year)  
10/01/2021End Date (Month, Day, Year)  
09/30/2022**Section II: Progress and Narrative**

**Instructions:** Reports due for the period ending March 31 should describe the activities of the previous six-month period and reports due for the period ending September 30 should cover the previous 12- month period. Final reports should cover the entire performance period from the start of the grant. Additional guidance can be found on our website: <https://www.eac.gov/payments-and-grants/financial-progress-reporting>

EAC grants reports will be made publicly available. Therefore, your report narrative should:

- \* Be written in clear, concise, and plain language
- \* Not include sensitive confidential information

**6. Describe in detail what happened during this reporting period and explain how you implemented the approved grant activities in accordance with your State Plan/Program Narrative. (Note: Your activities should align with your category expenditures in Section IV and you may use those categories as headings in your response as applicable.)**

- Check if no activity during this reporting period.

Funds were used to provide subgrants to the Supervisor of Elections for election security needs such as multi-factor authentication and access control, vulnerability management, email security, and election security equipment to continue to enhance election security networks and election administration for the 2022 election cycle.

**7. Provide a description of any training conducted, including security training.**

- Check if no training was conducted during this reporting period.

The State provided security training through its in-house cyber navigator team. The team also provided training at scheduled Supervisor of Elections' conferences and promoted tabletop exercises with federal and state law enforcement and security partners. These efforts furthered the initiatives to provide counties with individualized assistance and guidance regarding security topics and issues leading up to and through the 2022 Election Cycle.

**8. Report on the number and type of articles of voting equipment obtained with the funds. Include the amount expended on the expenditure chart.**

- Check if no voting equipment purchased during this reporting period.

**9. Subgrants (if applicable)**

- Check if no subgrants were made during this reporting period.

**Describe the activities carried out by your subgrantees during the reporting period.**

The funds were made available to our grantees in the form of multiple subgrants from our Election Security grant 20-21. Award amounts were based on identified security enhancements recommended by our cyber navigators to help counties enhance cyber security. We also have our Network Failover grants which was instituted to assist counties with Florida Voter Registration System security and purchase and maintenance of failover devices monitoring hardware and software, including installation and maintenance and monitoring services.

**10. Provide a breakdown of aggregate sub-award expenditures across major categories. If you do not have expenses for a particular category please populate the field with \$0.00 Total expenses will automatically calculate. Please verify totals prior to submission.**

Category	Subaward Federal Expenditures
Voting Equipment	\$0.00
Voting Processes	\$0.00
Voter Registration Systems	\$0.00
Election Auditing	\$0.00
Cyber and Physical Security	\$1,339,845.00
Voter Education	\$0.00
Accessibility	\$0.00

Other:	\$0.00
Total	\$1,339,845.00

**11. Match (if applicable)**

Check if match not required.

Describe how you are meeting or have met the matching requirement.  
Match has already been met.

### **Section III: Challenges and Changes**

**12. Issues Encountered**

Check if no major issues encountered during this reporting period.

In Florida, state agencies must first receive state authorization (legislative appropriation and executive budgetary approval) for use of federal and state funds. The delay in release of these funds compounded with the continuing supply chain disruptions, shortages, and increasing costs mean that grantees must be ever resourceful and ready to act once funds are available or be judicious in deciding whether to apply for reimbursement or advance or not applying at all.

**Describe how and whether the issues were resolved. Also, briefly discuss the implications of any unresolved issues or concerns.**

We are working to consolidate future sub-grants so that will streamline the process. The federal Election Security Grants are critical infusion to funding Florida's strategy to support and secure elections, provide transparency, and build public trust and confidence in elections at the state and local level. The State's plan since receipt of these funds is to use the federal election security funds until exhausted to bolster the Department of State's infrastructure as needed to implement security enhancements to the Florida Voter Registration System and other election applications, to establish periodic online grant programs for 67 county supervisors of elections to apply for funding to improve their voting systems, to improve on voting accessibility which may include improving voting place accessibility, enhancing poll book accessibility, ballot delivery, and voting systems accessibility, and to identify and mitigate cyber vulnerabilities at the State and local election offices, and voting equipment and upgrades. The subgrants during this period covered by the report successfully furthered the State's ongoing plan.

**13. Describe any significant changes to your program during the reporting period, including changes to your original State Plan/Program Narrative or favorable developments that improved program efficiency and/or service delivery.**

Check if no significant changes were made during this reporting period.

The State pursuant to legislative appropriation made available for counties up to the following amounts under each of the following four grants which enhanced the election security posture at the state and local level for the 2022 election. • G1Network Failover/\$200,000: To assist Counties with reimbursement or advance to purchase a failover device and/or service as well as explore adoption of FirstNet services for that device(s). • G2 Tabulation System/\$750,000: To assist Counties by reimbursement or advance to purchase appropriate hardware to deploy some form of security monitoring device ahead of their certified systems but behind the secure, encrypted cellular connection for unofficial results. This may include network taps to feed ALBERT sensors additional data, small firewalls with monitoring capabilities, or related hardware solutions. • G3 Private Network/\$750,000: To assist Counties to reimburse for or purchase appropriate hardware to deploy some form of next-generation firewall, intrusion prevention system, and/or zero-trust style technology. This may include network taps to feed ALBERT sensors additional data, small next-generation firewalls with monitoring capabilities, or related hardware solutions. • G4 New Election Security/\$1,500,000: To assist Counties by reimbursement or advance for purchase of goods and/or services in the following categories: o Category 1: Multi-factor Authentication (MFA) and Access Controls o Category 2: Vulnerability Management o Category 3: E-Mail Security (Including DMARC) o Category 4: Network Hygiene, Segmentation, and Security o Category 5: Endpoint Hygiene and Security o Category 6: Physical Security and Other Needs o Category 7: Elections Security Equipment

### **Section IV: Expenditures**

**14. Fill out the table below with both the Federal and State Match expenditures for the current reporting period. Include federal and state interest expenditures and write-in any cost areas that do not fit into the predefined program categories. Subaward expense totals identified in section #10 should also be populated and rolled into the appropriate expense categories for #14. If you do not have expenses for a particular category please populate the field with \$0.00 Total expenses will automatically calculate. Please verify totals prior to submission.**

***Expenditures should be consistent with the activities described in your narrative and with the amounts in your financial reports. (EAC uses the difference between your current and previous period FFR to calculate current period expenditures).***

Categories	Federal	State Match
Voting Equipment	\$0.00	\$0.00
Voting Processes	\$0.00	\$0.00
Voter Registration Systems	\$0.00	\$0.00
Election Auditing	\$0.00	\$0.00
Cyber and Physical Security	\$1,339,845.00	\$0.00
Voter Education	\$0.00	\$0.00
Accessibility	\$0.00	\$0.00
Other	\$0.00	\$0.00
<b>TOTAL</b>	<b>\$1,339,845.00</b>	<b>\$0.00</b>

### **Section V: Final Assessment**

The final progress report is your opportunity to share the significant successes of your project and present information about the results your project achieved. The report should cover the entire period of performance.

**15. Self-Assessment - Assess whether the goals set out in your State plan/Narrative were met as intended during the grant program. Highlight any needs that were not met or ongoing/under resourced areas for future consideration.**

**16. Impact and Achievements - Describe how this grant program impacted elections in your state/territory. Highlight your accomplishments and successes.**

**17. Lessons Learned - Describe any lessons learned during the grant that may be replicated, expanded and/or help others.**

### **Section VI: Certification**

**18. Name and Contact of the authorized certifying official.**

<b>First and Last Name</b> Summer Hirschfield	<b>Title</b> Grants Specialist
<b>Phone Number</b> (202) 568-9970	<b>Email Address</b> shirschfield@eac.gov
<b>19. Signature of Certifying Official</b> 	