

# FEDERAL FINANCIAL REPORT

## (EACFFR)

**1. Federal Agency and Org. Element to Which Report is Submitted**  
U.S. Election Assistance Commission

**2. Federal Grant or Other Identifying Number Assigned By Fed. Agency**  
*(To report multiple grants, use FFR Attachment)*  
EAC-ELSEC22NC

**3. Recipient Organization (Name and complete address including Zip code)**

**Recipient Organization Name:**  
North Carolina State Board of Elections

**Street1:**  
430 N Salisbury St

**Street2:**

<b>City:</b> Raleigh	<b>County:</b> WAKE
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<b>State:</b> NC	<b>Province:</b>
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<b>Country:</b> United States	<b>Zip 5:</b> 27603	<b>Zip +4:</b> 1362
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<b>4a. UEI</b> K7LTCE5NV539	<b>4b. EIN</b> 561935214	<b>5. Recipient Account Number or Identifying Number</b> <i>(To report multiple grants, use FFR Attachment)</i>
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<b>7. Basis of Accounting</b>	<b>8. Project/Grant Period</b>	
<input type="radio"/> Cash <input checked="" type="radio"/> Accrual	<b>From:</b> 03/23/2018	<b>To:</b> 09/30/2099

**6. Report Type**

- Quarterly
- Semi-Annual
- Annual
- Final

**9. Reporting Period End Date**  
*(Month, Day, Year)*  
09/30/2022

**10. TRANSACTIONS**  
*(Use lines a-c for single or multiple grant reporting)*

**Cumulative**

**Federal Cash: (To report multiple grants, also use FFR attachment)**

a. Cash Receipts	\$22,050,678.00
b. Cash Disbursements	\$21,998,047.00
c. Cash on hand (line a minus b)	\$52,631.00

**Federal Expenditures and Unobligated Balance: Do not complete this section if reporting on multiple awards.**

d. Total Federal funds authorized	\$22,050,678.00
e. Federal share of expenditures	\$21,998,047.00
f. Federal share of unliquidated obligations	\$0.00
g. Total Federal share (sum of line e plus line f)	\$21,998,047.00
h. Unobligated balance of Federal funds (line d minus g)	\$52,631.00

**Recipient Share: Do not complete this section if reporting on multiple awards.**

i. Total recipient share required	\$2,854,150.00
j. Recipient share of expenditures	\$2,621,661.35
k. Remaining recipient share to be provided (line i minus j)	\$232,488.65

**Program Income: Do not complete this section if reporting on multiple awards.**

l. Total Federal program income earned	\$0.00
m. Program income expended in accordance with the deduction alternative	\$0.00
n. Program Income expended in accordance with the addition alternative	\$0.00
o. Unexpended program income (line l minus line m and line n)	\$0.00

**Federal Interest:**

p. Total Federal interest earned	\$406,767.86
q. Federal interest expenditures	\$406,767.86
r. Remaining Federal interest to be expended (line p minus q)	\$0.00

**11. Indirect Expense**

a. Type	b. Rate	c. Period From	d. Period To	d. Base	e. Amount Charged	f. Federal Share
	0.00%			\$0.00	\$0.00	\$0.00
			<b>g. Total</b>	\$0.00	\$0.00	\$0.00

**12. Remarks:**

a. State Interest Earned: Enter the current year amount earned (not cumulative)	\$3,426.58
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b. State Interest Expended: Enter the current year amount expended (not cumulative)	\$0.00
c. Program Income Earned: Enter the current year amount earned. (not cumulative)	\$0.00
d. Program Income Expended: Enter the amount of Program Income expended in the current year (not cumulative)	\$0.00
e. Program Income Earned Breakdown: List each source of program income individually next to each amount (federal interest earned is not program income).	
Source of program income	Amount
e. 1	\$0.00
e. 2	\$0.00
	Total: \$0.00

f. Comments: *Attach any explanations deemed necessary or information required by Federal sponsoring agency in compliance with governing legislation:*  
 Reported interest is based on allocations calculated by NC DOA Fiscal Management Office to correct for interest originally allocated to HAVA Sec. 251 grant funds in commingled fund. See attached spreadsheets from Fiscal supporting re-allocation. 2018 Election Security Grant corresponds to Fund 2401 4011 on spreadsheets. 2020 Election Security Grant corresponds to Fund 2420 on spreadsheets.

13. Certification: **By signing this report, I certify to the best of my knowledge and belief that the report is true, complete, and accurate, and the expenditures, disbursements and cash receipts are for the purposes and objectives set forth in the terms and conditions of the Federal award. I am aware that any false, fictitious, or fraudulent information, or the omission of any material fact, may subject me to criminal, civil or administrative penalties for fraud, false statements, false claims or otherwise. (U.S. Code Title 18, Section 1001 and Title 31, Sections 3729-3730 and 3801-3812).**

a. Typed or Printed Name and Title of Authorized Certifying Official Amy Strange	c. Telephone (Area code, number and extension) (919) 801-5034
Certification Title	d. Email address amy.strange@ncsbe.gov
b. Signature of Authorized Certifying Official 	e. Date Report Submitted (Month, Day, Year) 05/08/2023

### Report Attachment (For reporting multiple grants)

14. List Information below for each grant covered by this report.		
Federal Grant Number	Recipient Account Number	Cumulative Federal Cash Disbursement
		\$0.00
<b>TOTAL (Should correspond to the amount on Line 10b on Page 1)</b>		\$0.00

## Progress Report

### Section I: Cover Page

## Grant Information

<b>1. State or Territory</b> North Carolina State Board of Elections	<b>2. Federal Grant or Other Identifying Number Assigned by Federal Agency</b> EAC-ELSEC22NC
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**3. Grant Type:**

- 101  
 251  
 Election Security  
 Other [e.g., CARES]

Describe Other

## Report Information

**4. Report Type:**

- Semi-Annual  
 Annual  
 Final  
 Other

Describe Other

**5. Report Period**Start Date (Month, Day, Year)  
10/01/2021End Date (Month, Day, Year)  
09/30/2022

## Section II: Progress and Narrative

**Instructions:** Reports due for the period ending March 31 should describe the activities of the previous six-month period and reports due for the period ending September 30 should cover the previous 12- month period. Final reports should cover the entire performance period from the start of the grant. Additional guidance can be found on our website: <https://www.eac.gov/payments-and-grants/financial-progress-reporting>

EAC grants reports will be made publicly available. Therefore, your report narrative should:

- \* Be written in clear, concise, and plain language
- \* Not include sensitive confidential information

**6. Describe in detail what happened during this reporting period and explain how you implemented the approved grant activities in accordance with your State Plan/Program Narrative. (Note: Your activities should align with your category expenditures in Section IV and you may use those categories as headings in your response as applicable.)**

- Check if no activity during this reporting period.

During this reporting period the State continued to implement cybersecurity improvements based on the agency's Security Roadmap, the agency continued to update and improve overall security of its election management system software, and the agency provided the last of its subgrants to county boards of elections for equipment purchases, cybersecurity improvements and improvements to the administration of federal elections. Please note that the corresponding FFR has been amended to reflect interest earned and expended. Reported interest is based on allocations calculated by NC DOA Fiscal Management Office to correct for interest originally allocated to HAVA Sec. 251 grant funds in commingled fund. The agency has also adjusted the allocation of expenditures against all periods of the Election Security Grants. Expenditures are now spent against the federal funds first, then against the federal interest, then against the state funds, then against the state interest.

**7. Provide a description of any training conducted, including security training.**

- Check if no training was conducted during this reporting period.

**8. Report on the number and type of articles of voting equipment obtained with the funds. Include the amount expended on the expenditure chart.**

- Check if no voting equipment purchased during this reporting period.

Two counties received subgrants reimbursing them for voting equipment during this reporting period.

**9. Subgrants (if applicable)**

- Check if no subgrants were made during this reporting period.

**Describe the activities carried out by your subgrantees during the reporting period.**

County boards of elections received subgrants that were reimbursements for voting equipment, for security equipment and supplies and for items to improve the administration of federal elections.

**10. Provide a breakdown of aggregate sub-award expenditures across major categories. If you do not have expenses for a particular category please populate the field with \$0.00 Total expenses will automatically calculate. Please verify totals prior to submission.**

Category	Subaward Federal Expenditures
Voting Equipment	\$154,504.50
Voting Processes	\$222,096.97
Voter Registration Systems	\$0.00
Election Auditing	\$0.00
Cyber and Physical Security	\$9,753.98
Voter Education	\$0.00
Accessibility	\$0.00

Other:		\$0.00
Total		\$386,355.45

**11. Match (if applicable)**

Check if match not required.

**Describe how you are meeting or have met the matching requirement.**

The NC General Assembly appropriated the state matching funds for the 2018 and the 2020 Election Security Grants. The State will meet the 2022 match requirements with in-kind expenditures. The in-kind expenditures have not been made as of this reporting period.

### **Section III: Challenges and Changes**

**12. Issues Encountered**

Check if no major issues encountered during this reporting period.

Describe how and whether the issues were resolved. Also, briefly discuss the implications of any unresolved issues or concerns.

**13. Describe any significant changes to your program during the reporting period, including changes to your original State Plan/Program Narrative or favorable developments that improved program efficiency and/or service delivery.**

Check if no significant changes were made during this reporting period.

### **Section IV: Expenditures**

14. Fill out the table below with both the Federal and State Match expenditures for the current reporting period. Include federal and state interest expenditures and write-in any cost areas that do not fit into the predefined program categories. Subaward expense totals identified in section #10 should also be populated and rolled into the appropriate expense categories for #14. If you do not have expenses for a particular category please populate the field with \$0.00 Total expenses will automatically calculate. Please verify totals prior to submission.

***Expenditures should be consistent with the activities described in your narrative and with the amounts in your financial reports. (EAC uses the difference between your current and previous period FFR to calculate current period expenditures).***

Categories	Federal	State Match
Voting Equipment	\$154,504.50	\$0.00
Voting Processes	\$854,166.91	\$2,499,023.99
Voter Registration Systems	\$0.00	\$0.00
Election Auditing	\$0.00	\$0.00
Cyber and Physical Security	\$1,789,698.86	\$0.00
Voter Education	\$0.00	\$0.00
Accessibility	\$0.00	\$0.00
Other	\$0.00	\$0.00
<b>TOTAL</b>	<b>\$2,798,370.27</b>	<b>\$2,499,023.99</b>

### **Section V: Final Assessment**

The final progress report is your opportunity to share the significant successes of your project and present information about the results your project achieved. The report should cover the entire period of performance.

**15. Self-Assessment - Assess whether the goals set out in your State plan/Narrative were met as intended during the grant program. Highlight any needs that were not met or ongoing/under resourced areas for future consideration.**

**16. Impact and Achievements - Describe how this grant program impacted elections in your state/territory. Highlight your accomplishments and successes.**

**17. Lessons Learned - Describe any lessons learned during the grant that may be replicated, expanded and/or help others.**

### **Section VI: Certification**

**18. Name and Contact of the authorized certifying official.**

First and Last Name Amy Strange	Title
Phone Number (919) 801-5034	Email Address amy.strange@ncsbe.gov

**19. Signature of Certifying Official**