

FEDERAL FINANCIAL REPORT

(Follow form instructions)

1. Federal Agency and Organizational Element to Which Report is Submitted ELECTION ASSISTANCE COMMISSION					2. Federal Grant or Other Identifying Number Assigned by Federal Agency (To report multiple grants, use FFR Attachment) TN20101001				
3. Recipient Organization (Name and complete address including Zip code) STATE LIBRARY AND ARCHIVES, TENNESSEE 403 7th Ave N, Nashville, TN 372431409									
4a. DUNS Number		4b. EIN		5. Recipient Account Number or Identifying Number (To report multiple grants, use FFR Attachment)		6. Report Type <input type="checkbox"/> Quarterly <input type="checkbox"/> Semi-Annual <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final		7. Basis of Accounting <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual	
8. Project/Grant Period (Month, Day, Year) From: March 28, 2018 To: September 30, 2019						9. Reporting Period End Date (Month, Day, Year) September 30, 2020			
10. Transactions								Cumulative	
(Use lines a-c for single or combined multiple grant reporting)									
Federal Cash (To report multiple grants separately, also use FFR Attachment):									
a. Cash Receipts								\$16,077,418.00	
b. Cash Disbursements								\$3,934,282.85	
c. Cash on Hand (line a minus b)								\$12,143,135.15	
(Use lines d-o for single grant reporting)									
Federal Expenditures and Unobligated Balance:									
d. Total Federal funds authorized								\$16,077,418.00	
e. Federal share of expenditures								\$3,934,282.85	
f. Federal share of unliquidated obligations								\$0.00	
g. Total Federal share (sum of lines e and f)								\$3,934,282.85	
h. Unobligated balance of Federal funds (line d minus g)								\$12,143,135.15	
Recipient Share:									
i. Total recipient share required								\$2,099,146.15	
j. Recipient share of expenditures								\$211,131.45	
k. Remaining recipient share to be provided (line i minus j)								\$1,888,014.70	
Program Income:									
l. Total Federal share of program income earned								\$287,343.93	
m. Program income expended in accordance with the deduction alternative								\$0.00	
n. Program income expended in accordance with the addition alternative								\$287,343.93	
o. Unexpended program income (line l minus line m and line n)								\$0.00	
11. Indirect Expense		a. Type	b. Rate	c. Period From	Period To	d. Base	e. Amount Charged	f. Federal Share	
g. Totals:						\$0.00	\$0.00	\$0.00	
12. Remarks: Attach any explanations deemed necessary or information required by Federal sponsoring agency in compliance with governing legislation: State Interest Earned (current year through 06/30/20): \$8,805.73;									
13. Certification: By signing this report, I certify to the best of my knowledge and belief that the report is true, complete, and accurate, and the expenditures, disbursements and cash receipts are for the purposes and intent set forth in the award documents. I am aware that any false, fictitious, or fraudulent information may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 18, Section 1001)									
a. Typed or Printed Name and Title of Authorized Certifying Official Dodd, Andrew HAVA Attorney						c. Telephone (Area code, number, and extension) d. Email Address			
b. Signature of Authorized Certifying Official Dodd, Andrew						e. Date Report Submitted (Month, Day, Year) December 29, 2020			

Standard Form 425
OMB Approval Number: 4040-0014
Expiration Date: 02/28/2022

Paperwork Burden Statement

According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid OMB Control Number. The valid OMB control number for this information collection is 4040-0014. Public reporting burden for this collection of information is estimated to average 1 hour per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. If you have comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, please write to: US Department of Health & Human Services, OS/OCIO/PRA, 200 Independence Ave, SW, Suite 336-E, Washington DC 20201. Attention: PRA Reports Clearance Officer

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(Additional Page)

Federal Agency & Organization : ELECTION ASSISTANCE COMMISSION

Federal Grant ID : TN20101001

Recipient Organization : STATE LIBRARY AND ARCHIVES, TENNESSEE
403 7th Ave N, Nashville, TN 372431409

DUNS Number :

DUNS Status when Certified :

EIN :

Reporting Period End Date : September 30, 2020

Status :

Remarks :

Federal Agency Review

Reviewer Name :

Phone # :

Email :

Review Date :

Review Comments :

EAC Progress Report

Response ID:108 Data

1. Login

Please enter your userword and password to begin the Progress Narrative. If you require assistance or have any questions, please contact grants@eac.gov

2. Verification

3. EAC Progress Report

1. State or Territory:

Tennessee

2. Grant Number:

TN20101001

3. Report:

Annual (Oct 1 - Sept 30)

4. Grant:

Please select only one.

Election Security

5. Reporting Period Start Date

10/01/2019

6. Reporting Period End Date

09/30/2020

7. DUNS/UEI:

8. EIN:

9. Recipient Organization:

Organization Name

State Library And Archives, Tennessee

Street Address

403 7th Ave N

City

Nashville

State

TN

Zip

37243-1409

4. Progress and Narrative

10. Describe in detail what happened during this reporting period and explain how you implemented the approved grant activities.

Election security funds were used to assist counties in upgrading the desktop computer system used to connect and transfer data to the statewide voter registration database. Upgrades were completed for 89 of 95 counties in this fiscal year.

Subgrants were provided for seven (7) counties (Greene, Houston, Loudon, Knox, McMinn, White, and Williamson) to assist with the purchase of voting systems with a voter verified paper record.

Subgrants have been authorized for each of Tennessee's 95 counties to receive \$25,000 to make security enhancements. Most of these expenditures will be reimbursed to counties in the current fiscal year.

Additionally, the office employed a business intelligence specialist who assisted with cyber hygiene and cybersecurity activities. This employee was paid in part with 101 funds and in part with election security funds during this fiscal year.

11. Provide a timeline and description of project activities funded to meet HAVA requirements. Provide an analysis of how such activities conform to the submitted State Plan or Program Narrative as applicable.

The additional employee to assist with cybersecurity joined the office in August 2019. Subgrants for computer upgrades for the statewide voter registration system and subgrants to county election commissions to assist in the purchase of voting systems were completed in the spring and summer of 2020. These activities were anticipated in our program narrative for the security funds.

12. Describe any significant changes to your program during the course of the project, or if the project was implemented differently than described in your original State Plan or Program Narrative.

Otherwise enter - no significant changes during this period.

No significant changes during this period.

13. Describe any favorable developments which enabled meeting time schedules and objectives sooner or at less cost than anticipated or producing more or different beneficial results than originally planned.

Otherwise enter N/A.

N/A

14. Report on the number and type of articles of voting equipment obtained with the funds. Include the amount expended on the expenditure table.

Otherwise enter - No articles of voting equipment purchased during this period.

Greene – \$417,028.00 – 95 ExpressVote BMDs and 28 DS200 scanners
Houston – \$80,000.00 – 17 ExpressVote BMDs and 10 DS200 scanners
Knox - \$950,000.00 – 110 Verity Touch Writer BMDs and 122 Verity Scan scanners
Loudon – \$180,000.00 – 64 ExpressVote BMDs and 20 DS200 scanners
McMinn – \$160,000 – 52 Verity Touch Writer Duo BMDs, 18 Verity Controllers, and 20 Verity Scan scanners
White – \$120,000 – 14 Verity Touch Writer BMDs, 14 Verity Scan scanners, and 2 Verity Print bundles
Williamson – \$470,000.00 – 60 ICP Tabulators, 300 ICX BMDs, 1 ImageCast Central Kit with adjudication module for absentees, and 2 Mobile Ballot Printing Kits

15. Provide a description of any security training conducted and the number of participants.

Otherwise enter - no security training conducted during this period.

We continued our contract to offer online security training to provide monthly lessons for 387 administrators of elections, staff members, and county election commissioners. The contract was paid in the fiscal year ending September 30, 2019, and no additional expenses were incurred in this fiscal year. We have renewed the contract in October 2020.

16. Subgrants (if applicable):

Describe how you made funds available to local jurisdictions.

Provide a description of the major categories of subgrant activities local voting districts will accomplish with the funds.

Otherwise enter N/A.

Subgrants were made to 89 counties to upgrade the desktop computer system used to interface with the statewide voter registration system.

Subgrants to assist in the purchase of voting systems using election security funds are made available to counties based on need. All new voting systems purchased by counties comply with the 2005 Voluntary Voting System Guidelines (VVSG) and have a voter verified paper record.

17. Match (if applicable):

Describe how you are meeting the matching requirement.

Otherwise enter - match not required.

The state match was met with existing departmental funds at the time the grants were authorized.

18. Issues Encountered:

Describe all major issues that arose during the implementation of the project and the reasons why established goals were not met, if appropriate. Address each issue separately in its own section, and describe whether and how the issues were resolved. Also, briefly discuss the implications of any unresolved issues or concerns.

Otherwise enter - no issues encountered.

No issues encountered.

19. Upcoming Activities:

Provide a timeline and description of upcoming activities.

In the immediate future, we will continue to work with counties on completing the paperwork and reimbursements for the \$25,000 subgrants and complete computer upgrades to interface with the statewide voter registration system.

Five (5) counties (Dickson, Henderson, Lewis, Monroe, and Roane) have purchased or are in the process of purchasing systems with a voter verified paper record that are eligible for subgrants using the election security funds.

5. Expenditures

20. Current Period Amount Expended and Unliquidated Obligations

GRANT COST CATEGORIES

	Federal	Match
Voting Equipment:	\$2,263,881.47	\$113,146.53
Post-Election Auditing:		
Voter Registration Systems:	\$148,742.03	\$7,434.08
Cyber Security:	\$44,469.94	\$2,222.57
Communications:		
Total	\$2,479,037.29	\$123,899.91
Administrative	\$21,943.85	\$1,096.73

OMB CONTROL NUMBER: 3265-0020

6. Certification

Name and Contact of the authorized certifying official of the recipient.

First Name

Andrew

Last Name

Dodd

Title

HAVA Attorney

Phone Number

Email Address

Signature of Certifying Official:



Signature of: Andrew Dodd

7. Report Submitted to EAC



Thank you, your progress report has been submitted to EAC. Please keep the PDF download of your submission as grant record.