



南京大學

NANJING UNIVERSITY

Introduction to

# *Algorithm Design and Analysis*

[12] Directed Acyclic Graph



*Yu Huang*

<http://cs.nju.edu.cn/yuhuang>  
Institute of Computer Software  
Nanjing University



# In the last class...

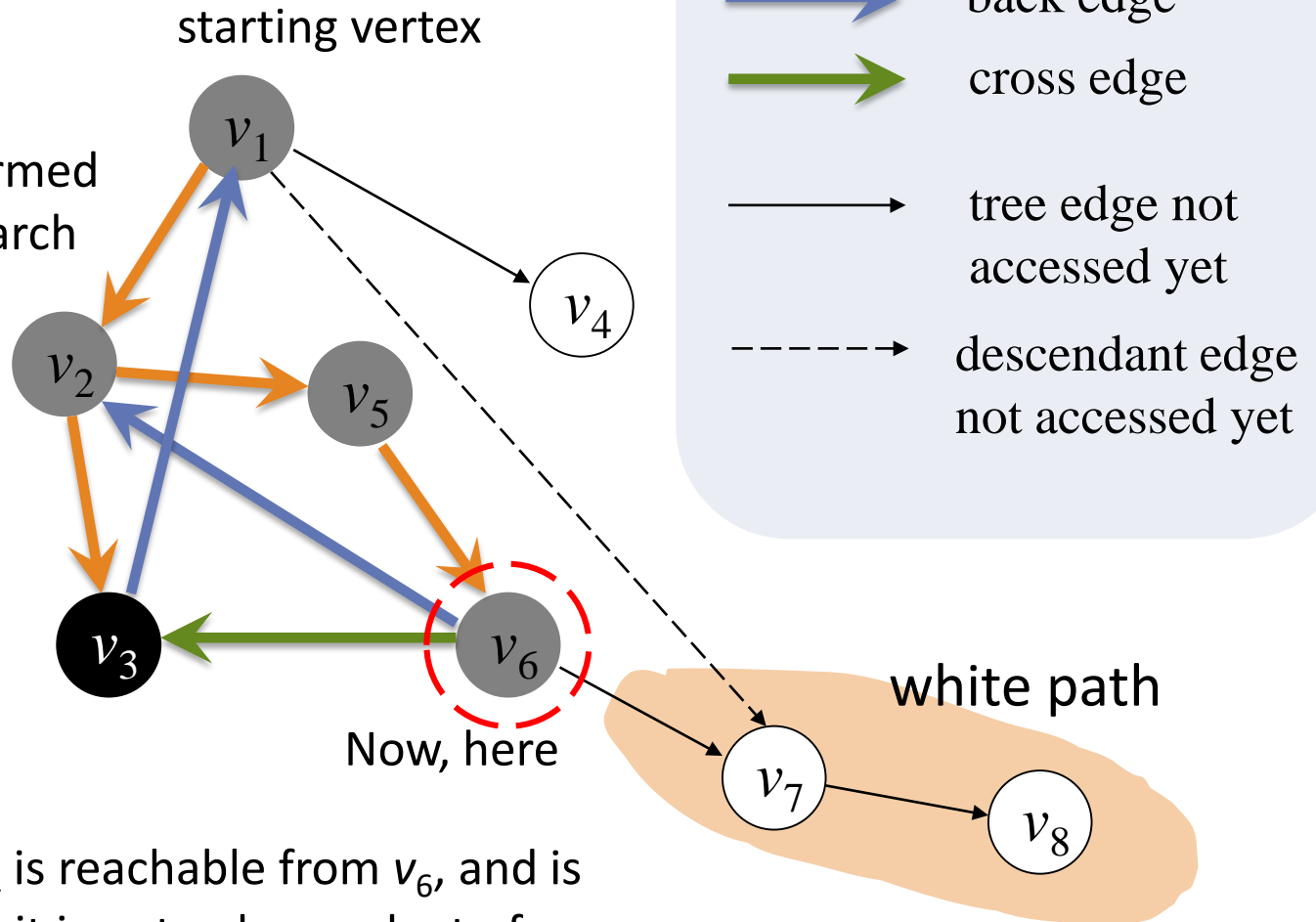
- Depth-first and breadth-first search
- Finding connected components
- General DFS/BFS **skeleton**
- Depth-first search **trace**

# Applications of Graph Decomposition

- **Directed Acyclic Graph**
  - Topological order
  - Critical path analysis
- **Strongly Connected Component (SCC)**
  - Strong connected component and condensation
  - The algorithm
  - Leader of strong connected component

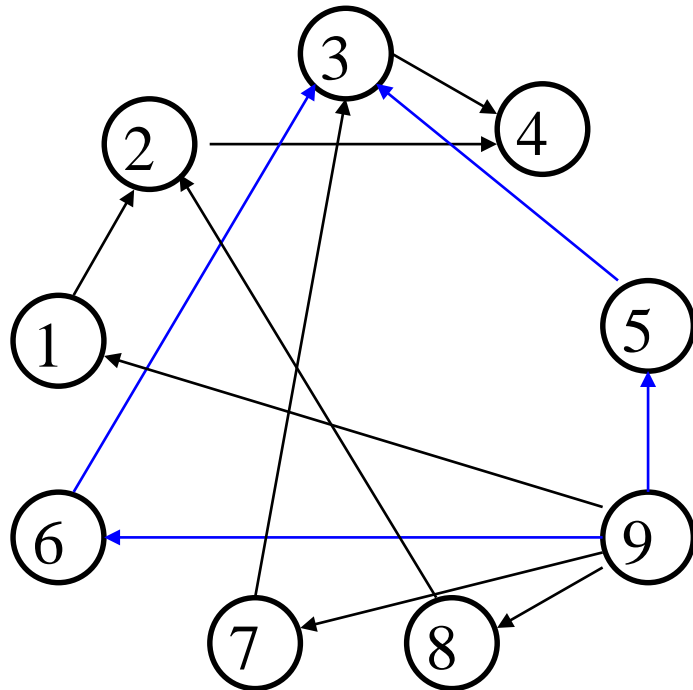
# For Your Reference

A DFS tree partially formed at the moment the search checking  $v_3$  from  $v_6$

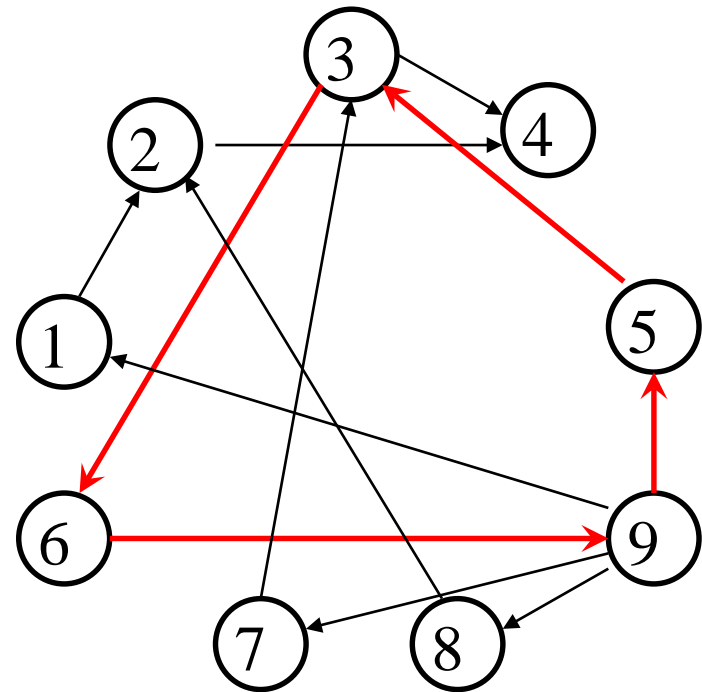


\* Note:  $v_4$  is reachable from  $v_6$ , and is white, but it is not a descendant of  $v_6$

# Directed Acyclic Graph (DAG)



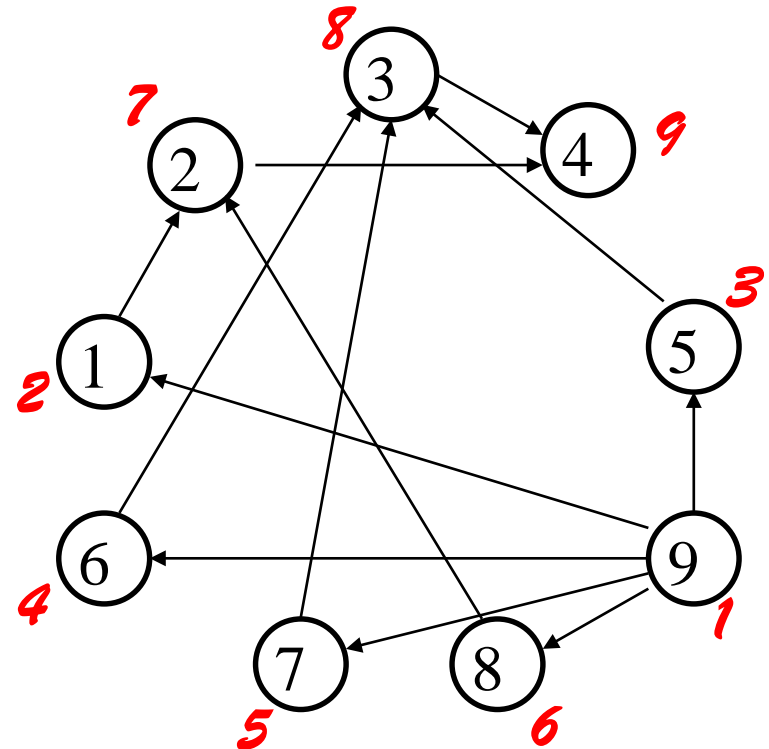
A Directed Acyclic Graph



**Not** a DAG

# Topological Order for $G=(V,E)$

- **Topological number**
  - An assignment of distinct integer  $1, 2, \dots, n$  to the vertices of  $V$
  - For every  $vw \in E$ , the topological number of  $v$  is less than that of  $w$ .
- **Reverse topological order**
  - Defined similarly (“**greater than**” )

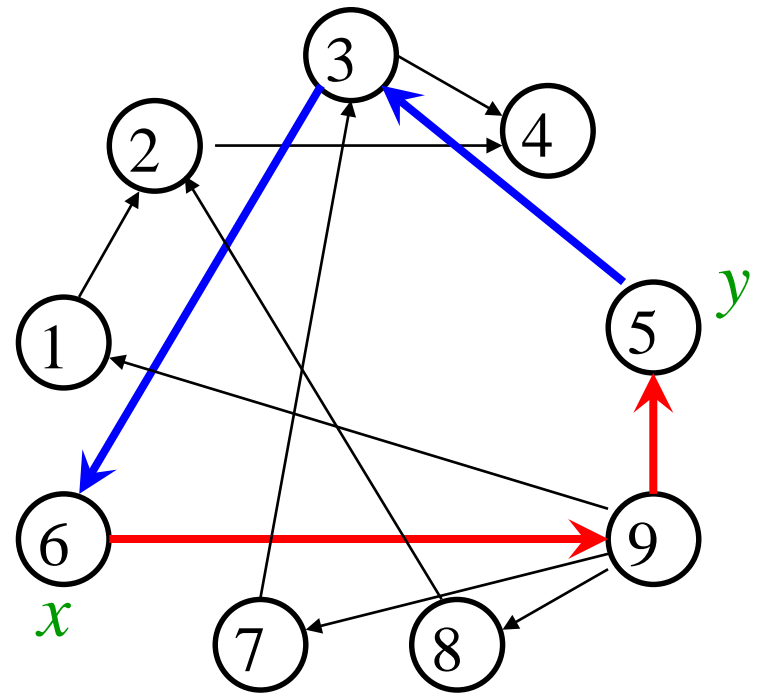


# Existence of Topological Order – a Negative Result

- If a directed graph  $G$  has a cycle, then  $G$  has **no** topological order
- **Proof**
  - [By contradiction]

----->  $yx$ -path  
----->  $xy$ -path

For any given topological order, all the vertices on both paths must be in increasing order. Contradiction results for any assignments for  $x$  and  $y$ .



# Reverse Topological Ordering

- **Specialized parameters**
  - Array *topo*, keeps the topological number assigned to each vertex.
  - Counter *topoNum* to provide the integer to be used for topological number assignments
- **Output**
  - Array *topo* as filled.



# Reverse Topological Ordering

- `void dfsTopoSweep(IntList[ ] adjVertices, int n, int[ ] topo)`
- `int topoNum=0`
- `<Allocate color array and initialize to white>`
- For each vertex  $v$  of  $G$ , in some order
- if (`color[v]==white`)
- `dfsTopo(adjVertices, color, v, topo, topoNum);`
- *// Continue loop*
- `return;`

For non-reverse topological ordering,  
initialized as  $n+1$



# Reverse Topological Ordering

```
void dfsTopo(IntList[] adjVertices, int[] color, int v, int[] topo, int topoNum)  
    topo, int topoNum)  
    int w; IntList remAdj; color[v]=gray;  
    remAdj=adjVertices[v];  
    while (remAdj≠nil)  
        w=first(remAdj);  
        if (color[w]==white)  
            dfsTopo(adjVertices, color, w, topo, topoNum);  
        remAdj=rest(remAdj);  
    topoNum++; topo[v]=topoNum  
    color[v]=black;  
    return;
```

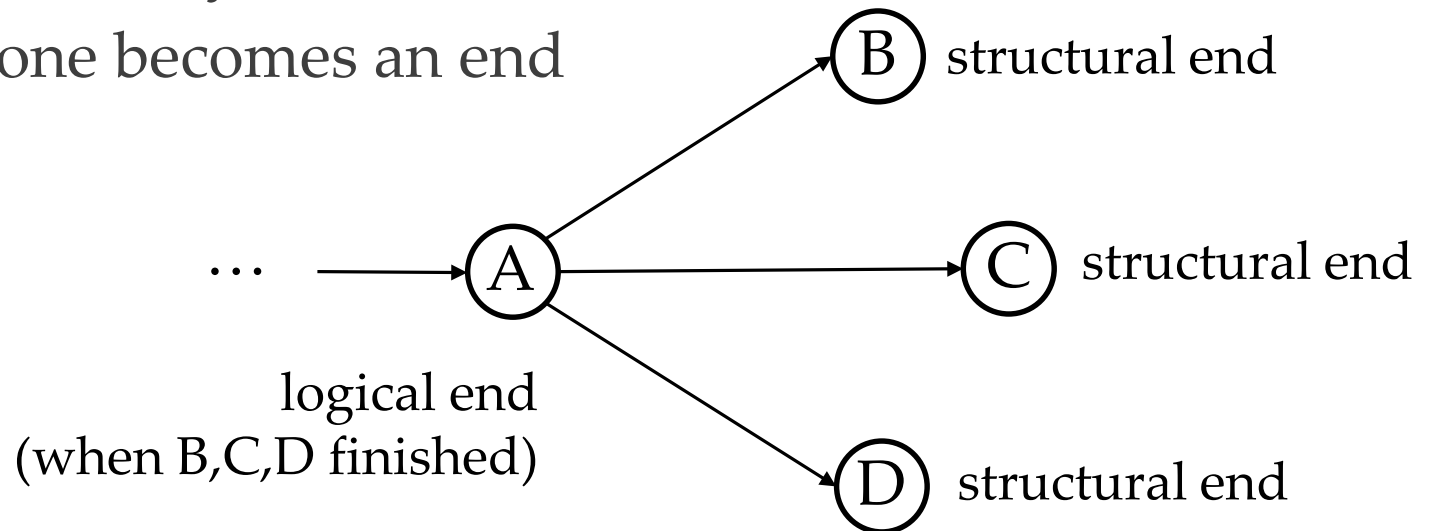
Obviously, in  $\Theta(m+n)$

Filling *topo* is a post-order processing, so, the earlier discovered vertex has relatively greater topo number



# Reverse Topological Ordering

- For an “end node”
  - Easy to decide
- Acyclic
  - There is always an end
  - Everyone becomes an end



# Correctness of the Algorithm

- If  $G$  is a DAG with  $n$  vertices, the procedure *dfsTopoSweep* computes a reverse topological order for  $G$  in the array *topo*.
- **Proof**
  - The procedure *dfsTopo* is called exactly once for a vertex, so, the numbers in *topo* must be distinct in the range  $1, 2, \dots, n$ .
  - For any edge  $vw$ ,  $vw$  can't be a back edge (otherwise, a cycle is formed). For any other edge types, we have  $finishTime(v) > finishTime(w)$ , so, *topo*( $w$ ) is assigned earlier than *topo*( $v$ ). Note that *topoNum* is incremented monotonically, so,  $topo(v) > topo(w)$ .

# Existence of Topological Order

- In fact, the proof of correctness of topological ordering has proved that: DAG always has a topological order.
- So, **G has a topological ordering, iff. G is a directed acyclic graph.**

Partial orders are irreflexive and transitive, which implies acyclic (because any cycle in a transitive relation implies a self-loop). We often visualize partial orders as directed acyclic graphs. Moreover, in such drawings, we usually omit transitively implied edges, to avoid overloading the picture.

– S. Burckhardt, Principles of Eventual Consistency, § 2.1.3

# Task Scheduling

- **Problem:**

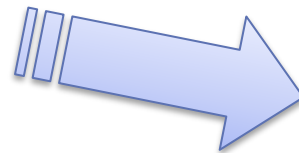
- Scheduling a project consisting of a set of **interdependent** tasks to be done by **one** person.

- **Solution:**

- Establishing a dependency graph, the vertices are tasks, and edge  $vw$  is included iff. the execution of  $v$  depends on the completion of  $w$ ,
- Making task scheduling according to the topological order of the graph(if existing).

# Task Scheduling: an Example

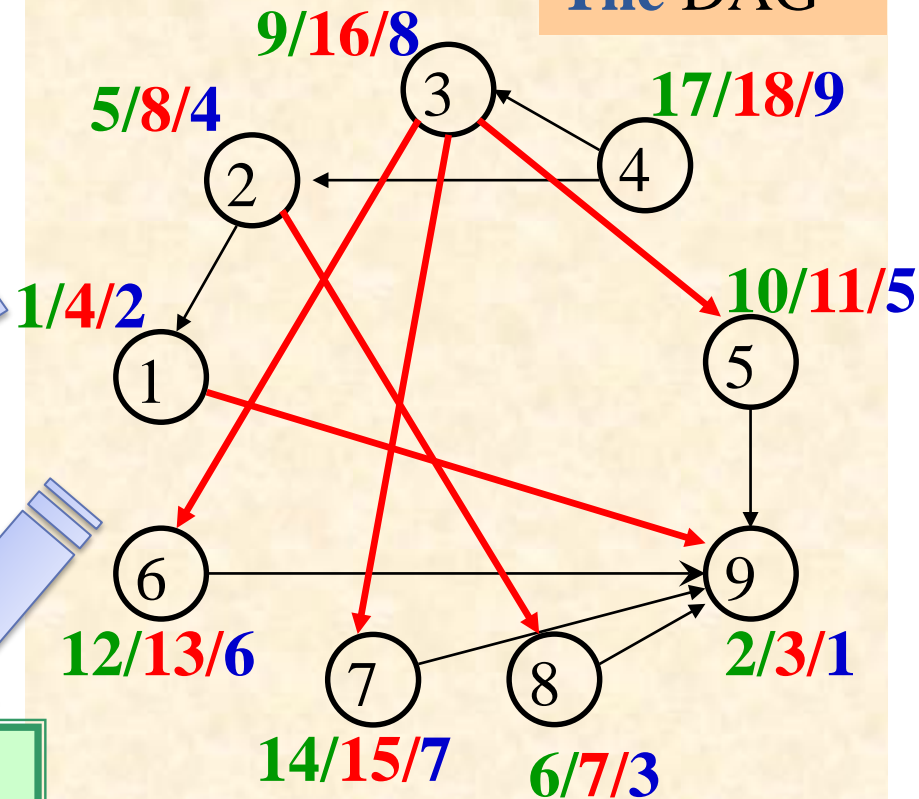
Tasks(No.)	Depends on
choose clothes(1)	9
dress(2)	1,8
eat breakfast(3)	5,6,7
leave(4)	2,3
make coffee(5)	9
make toast(6)	9
pour juice(7)	9
shower(8)	9
wake up(9)	-



A reverse topological order

**9, 1, 8, 2, 5, 6, 7, 3, 4**

The DAG



Start time/finish time/topo #



# Project Optimization Problem

Assuming that **parallel** executions of tasks ( $v_i$ ) are possible except for prohibited by interdependency.

- **Observation**

- In a **critical path**,  $v_{i-1}$ , is a critical dependency of  $v_i$ , i.e. any delay in  $v_{i-1}$  will result in delay in  $v_i$ .
- The time for entire project depends on the time for the critical path.
- Reducing the time of a off-critical-path task is of no help for reducing the total time for the project.

- **The problems**

- Find the critical path in a **DAG**
- (Try to reduce the time for the critical path)

This is a precondition.



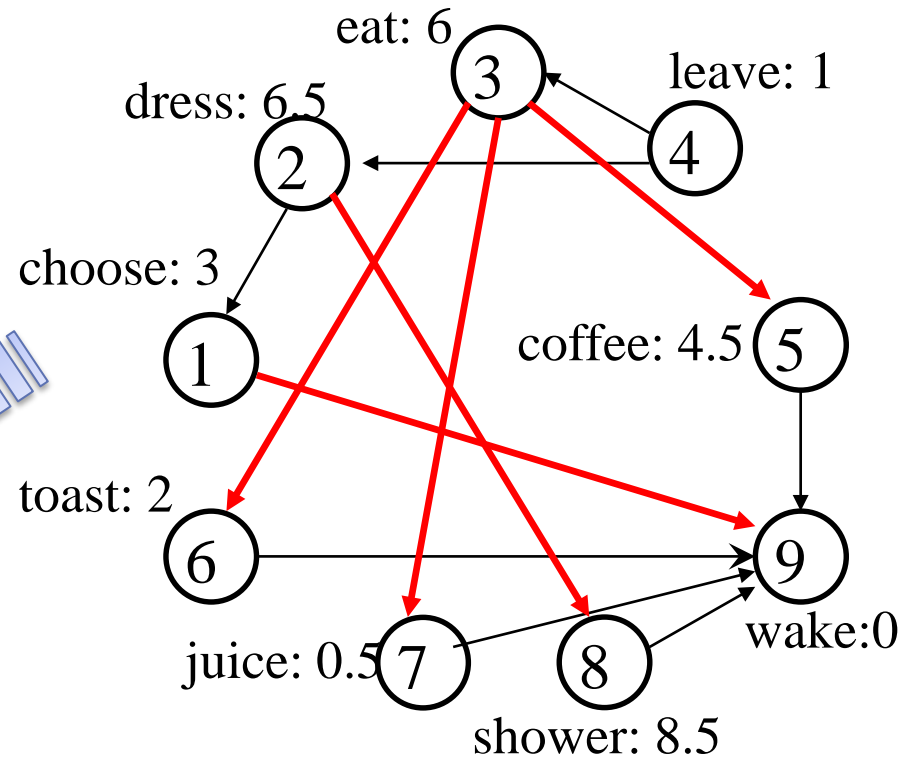
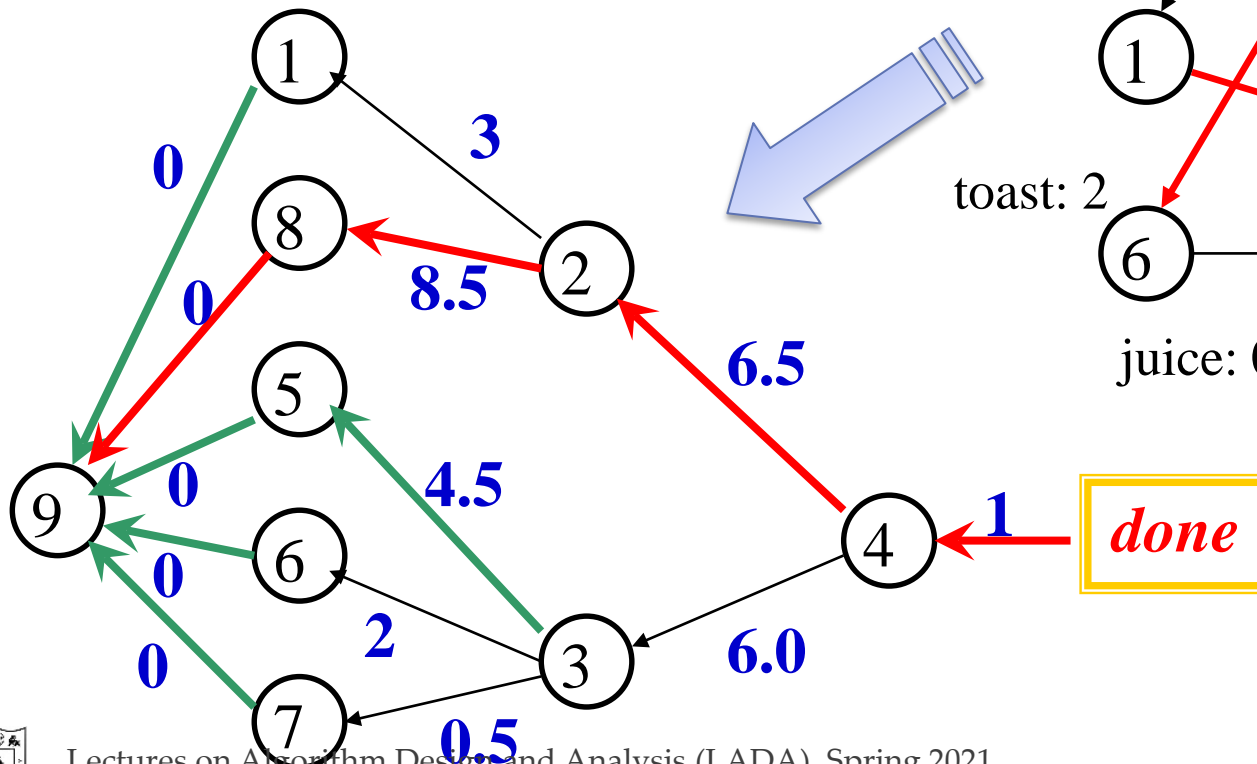


# Critical Path in a Task Graph

- **Earliest start time**(*est*) for a task  $v$ 
  - If  $v$  has no dependencies, the *est* is 0
  - If  $v$  has dependencies, the *est* is the maximum of the **earliest finish time** of its dependencies.
- **Earliest finish time**(*eft*) for a task  $v$ 
  - For any task: *eft* = *est* + *duration*
- **Critical path** in a project is a sequence of tasks:  $v_0, v_1, \dots, v_k$ , satisfying:
  - $v_0$  has no dependencies;
  - For any  $v_i (i=1, 2, \dots, k)$ ,  $v_{i-1}$  is a dependency of  $v_i$ , such that *est* of  $v_i$  equals *eft* of  $v_{i-1}$ ;
  - *eft* of  $v_k$  is maximum for all tasks in the project.

# DAG with Weights

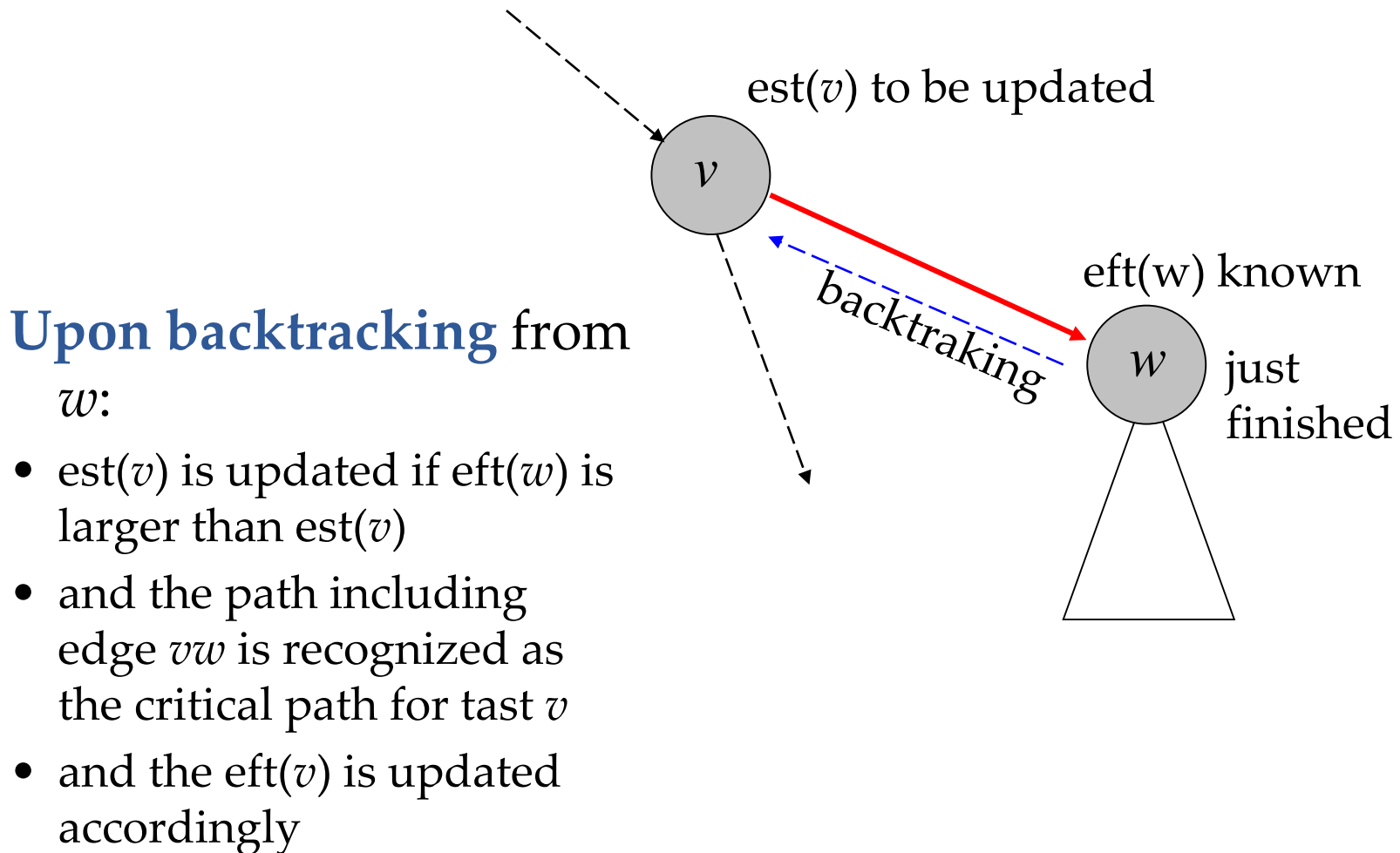
 Critical Path  
 Critical Subpath



# Critical Path Finding - DFS

- **Specialized parameters**
  - Array *duration*, keeps the execution time of each vertex.
  - Array *critDep*, keeps the critical dependency of each vertex.
  - Array *eft*, keeps the earliest finished time of each vertex.
- **Output**
  - Array *topo*, *critDep*, *eft* as filled.
- **Critical path is built by tracing the output.**

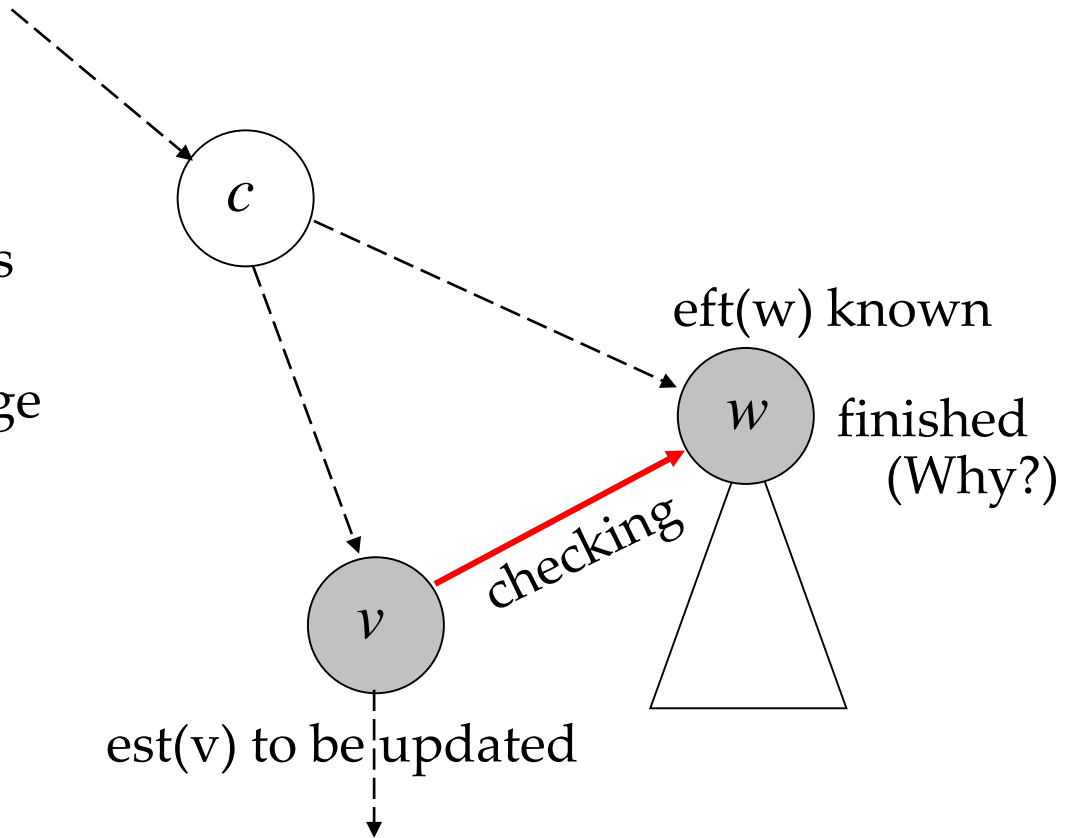
# Critical Path – Case 1



# Critical Path – Case 2

## Checking $w$ :

- $est(v)$  is updated if  $eft(w)$  is larger than  $est(v)$
- and the path including edge  $vw$  is recognized as the critical path for task  $v$
- and the  $eft(v)$  is updated accordingly



# Critical Path by DFS

- `void dfsCritSweep(IntList[ ] adjVertices, int n, int[ ] duration, int[ ] critDep, int[ ] eft)`
- **<Allocate color array and initialize to white>**
- For each vertex  $v$  of  $G$ , in some order
- if (`color[v]==white`)
- `dfsCrit(adjVertices, color, v, duration, critDep, eft);`
- // *Continue loop*
- `return;`



# Critical Path by DFS

- void **dfsCrit**(.. *adjVertices*, .. *color*, .. *v*, *int*[ ] *duration*, *int*[ ] *critDep*, *int*[ ] *eft*)
  - int *w*; *IntList* *remAdj*; **int est=0;**
  - **color[v]=gray; critDep[v]=-1;** *remAdj=adjVertices[v];*
  - while (*remAdj*≠nil) *w=first(remAdj);*
  - if (*color[w]==white*)
  - **dfsCrit(*adjVertices*, *color*, *w*, *duration*, *critDep*, *efs*);**
  - **if (*eft[w]≥est*) est=*eft[w]*; critDep[v]=*w***
  - **else//checking for nontree edge**
  - **if (*eft[w]≥est*) est=*eft[w]*; critDep[v]=*w***
  - *remAdj=rest(remAdj);*
  - **eft[v]=est+duration[v]; color[v]=black;**
  - **return;**
- When is the eft[w] initialized?*
- Only black vertex



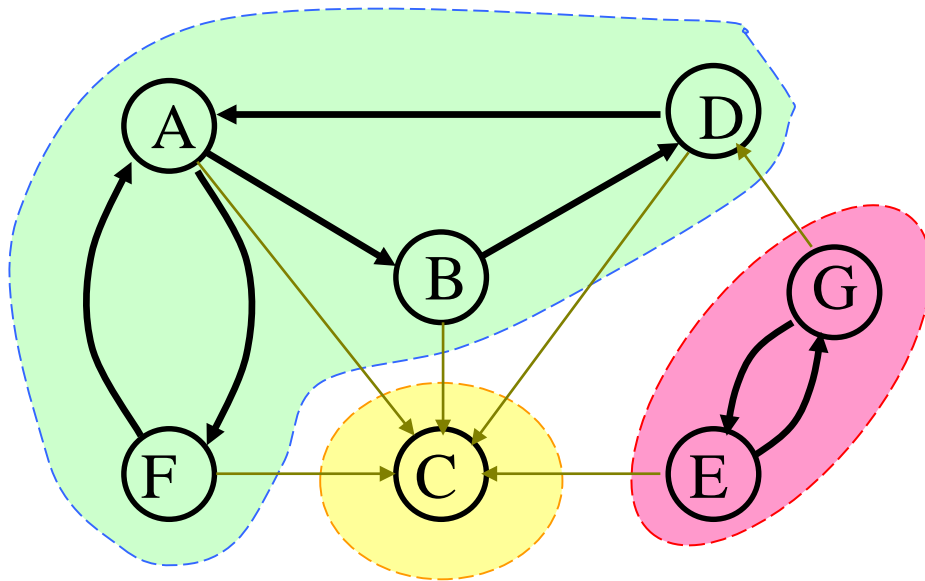
# Analysis of Critical Path Algorithm

- **Correctness:**
  - When  $eft[w]$  is accessed in the while-loop, the  $w$  must not be gray (otherwise, there is a cycle), so, it must be black, with  $eft$  initialized.
  - According to DFS, each entry in the  $eft$  array is assigned a value **exactly once**. The value satisfies the definition of  $eft$ .
- **Complexity**
  - Simply same as DFS, that is  **$\Theta(n+m)$** .





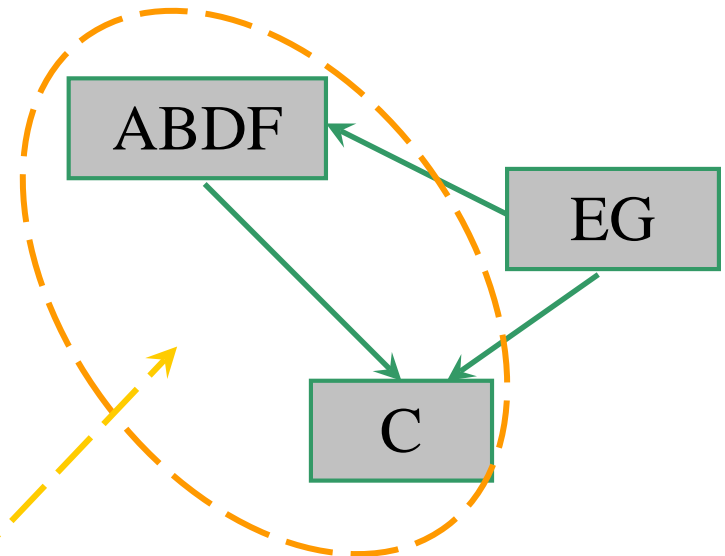
# SCC: Strongly Connected Component



Graph G

3 Strongly Connected Components

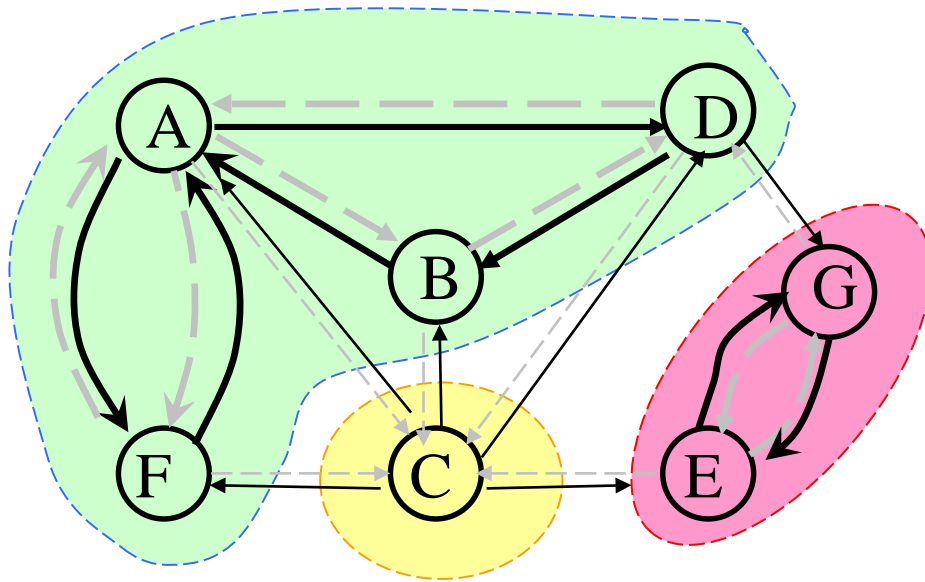
Condensation Graph  $G \downarrow$



It's acyclic, *Why?*

Note: two SCC in one DFS tree

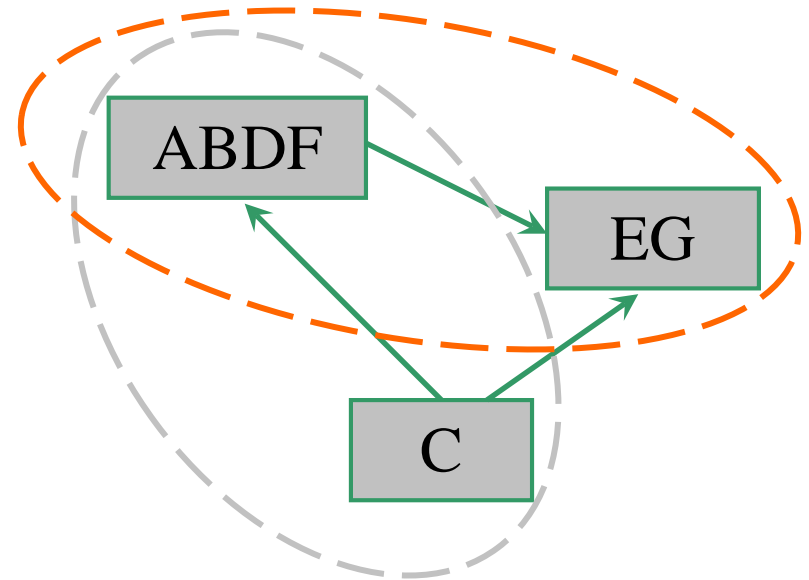
# Transpose Graph



**Tranpose Graph  $G^T$**

**Connected Components **unchanged****  
according to vertices

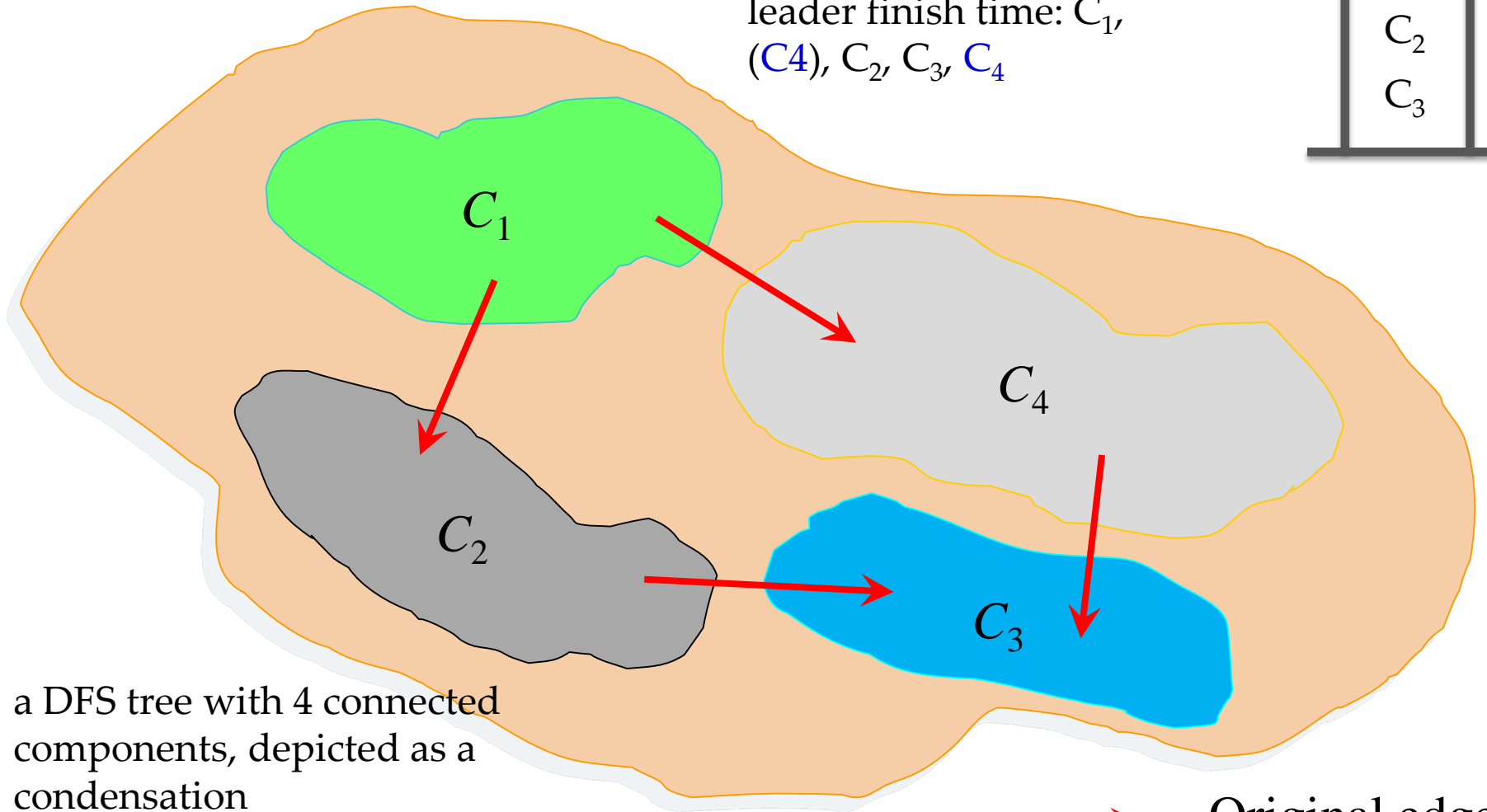
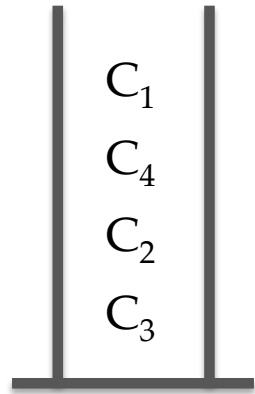
**Condensation Graph  $G\downarrow$**



**But, DFS tree **changed****

# Basic Idea - G

Reverse topo order for  
leader finish time:  $C_1$ ,  
( $C_4$ ),  $C_2$ ,  $C_3$ ,  $C_4$



a DFS tree with 4 connected  
components, depicted as a  
condensation

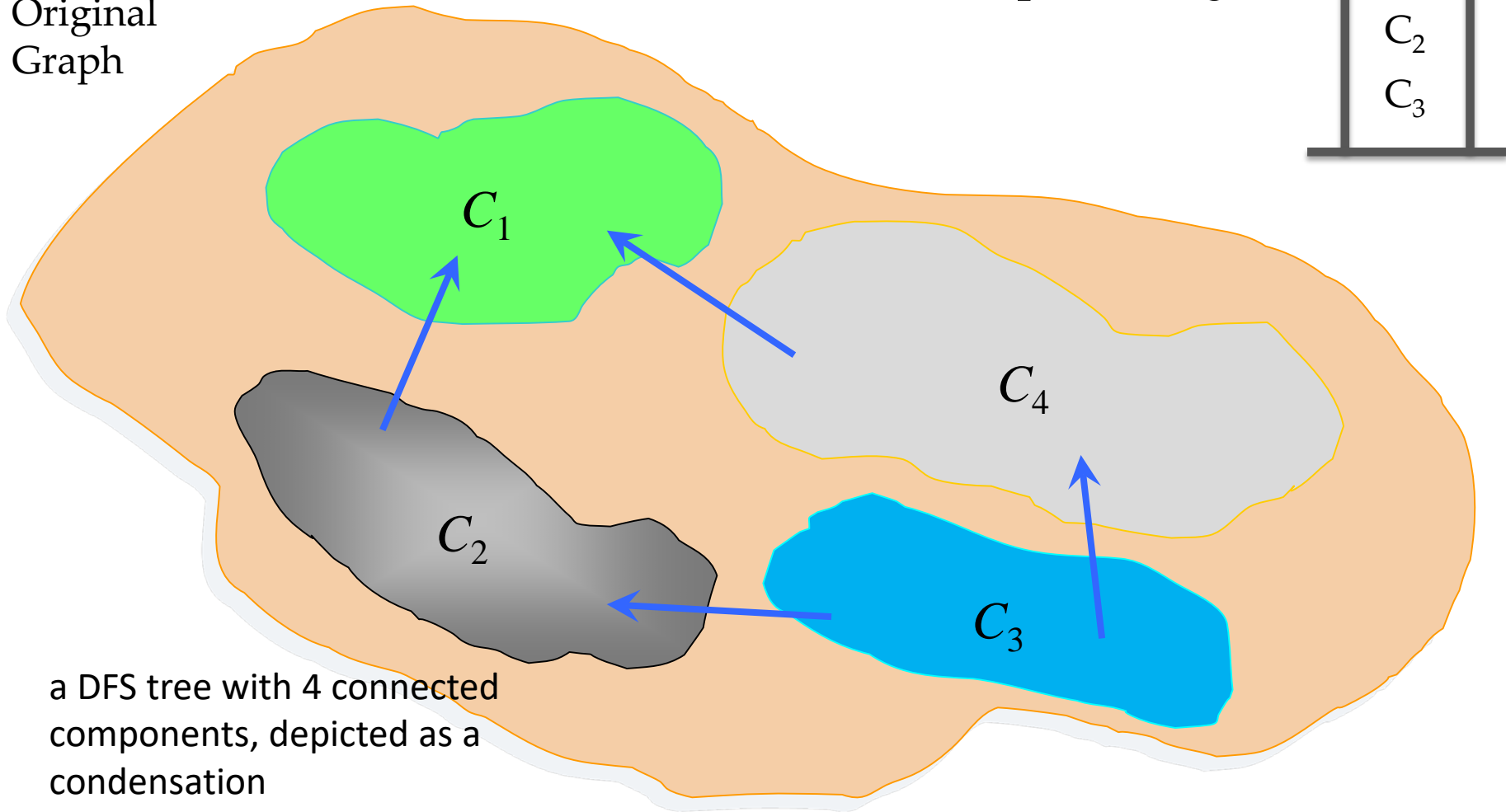


Original edge  
4/21/2021 27

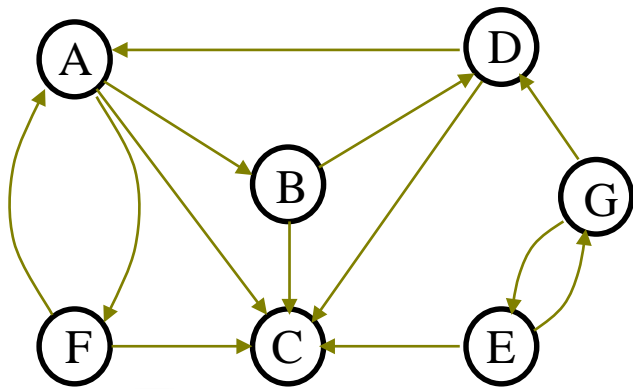
# Basic Idea - $G^T$

Original  
Graph

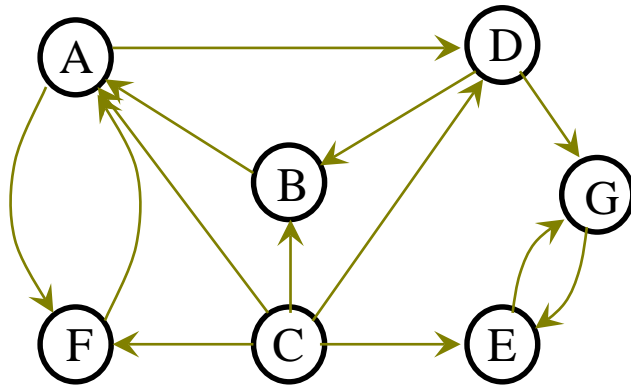
Transposed edge



# SCC - An Example

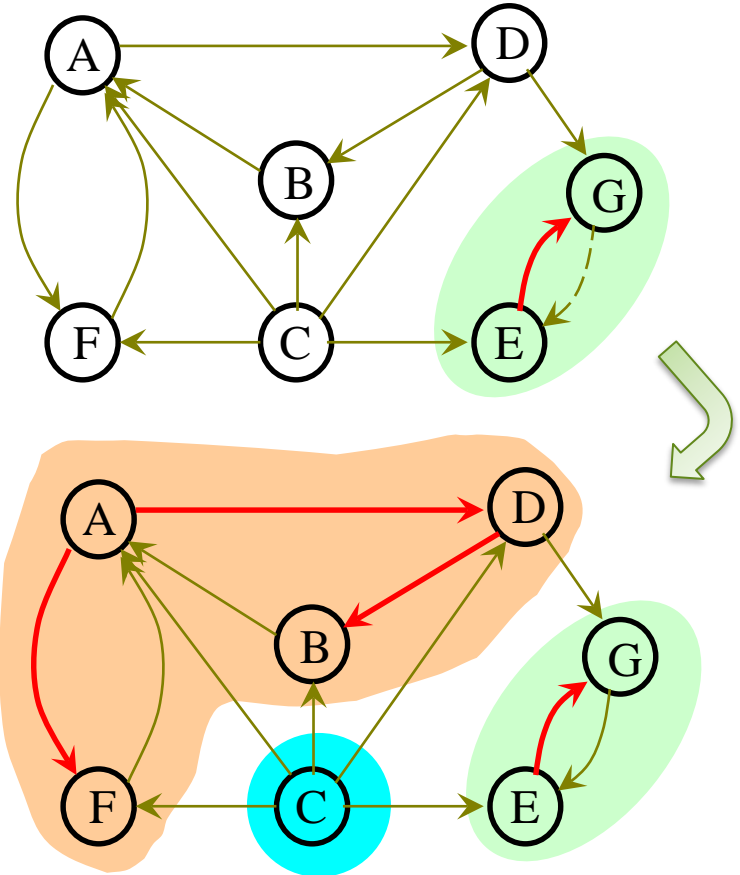


transposed



*C D B F A G E*

push/pop



# Strong Component Algorithm: Outline

- `void strongComponents(IntList[] adjVertices, int n, int[] scc)`
- *//Phase 1*
- 1. `IntStack finishStack=create(n);`
- 2. Perform a depth-first search on  $G$ , using the DFS skeleton. At postorder processing for vertex  $v$ , insert the statement: **`push(finishStack,  $v$ )`**
- *//Phase 2*
- 3. Compute  $G^T$ , the transpose graph, represented as array *adjTrans* of adjacency list.
- 4. **`dfsTsweep(adjTrans, n, finishStack, scc);`**
- `return`

Note:  $G$  and  $G^T$  have the same SCC sets



# Strong Component Algorithm: Core

- `void dfsTsweep(IntList[] adjTrans, int n, IntStack finishStack, int[] scc)`
- **<Allocate *color* array and initialize to white>**
- `while (finishStack is not empty)`
- `int v=top(finishStack);`
- `pop(finishStack);`
- `if (color[v]==white)`
- `dfsT(adjTrans, color, v, v, scc);`
- `return;`
- `void dfsT(IntList[] adjTrans, int[] color, int v, int leader, int[] scc)`
- Use the standard depth-first search skeleton. At postorder processing for vertex *v* insert the statement:
- `scc[v]=leader;`
- Pass *leader* and *scc* into recursive calls.



# Leader of a Strong Component

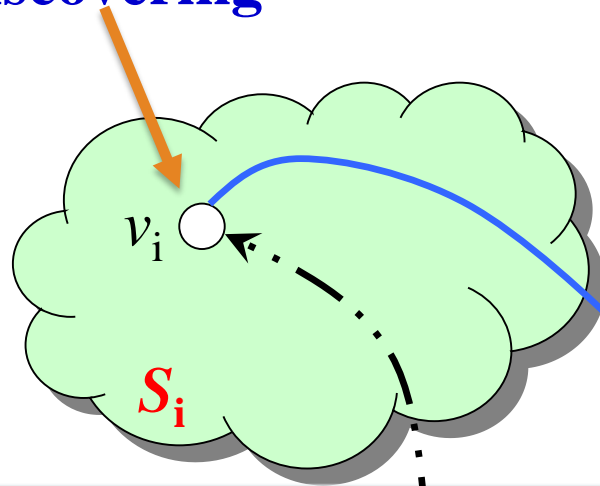
- For a DFS, the first vertex discovered in a strong component  $S_i$  is called the **leader** of  $S_i$ .
- Each DFS tree of a digraph  $G$  contains **only complete** strong components of  $G$ , one or more.
  - Proof: Applying White Path Theorem whenever the leader of  $S_i$  ( $i=1,2,\dots,p$ ) is discovered, starting with all vertices being white.
- The leader of  $S_i$  is the last vertex to finish among all vertices of  $S_i$ . **(since all of them in the same DFS tree)**





# Path between SCCs

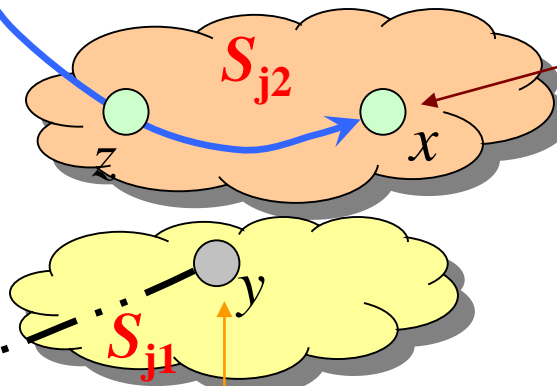
The leader of  $S_i$   
**At discovering**



$x$  can't be gray.

- White case:  $v_i x$ -path is a White Path, or
- Black case:  $x$  is black (consider the [possible] last non-white vertex  $z$  on the  $v_i x$ -path)

Existing a  $yv_i$ -path, so  $x$  must be in  
a different strong component.  
No  $v_i y$ -path can exist.



*What's the  
color?*

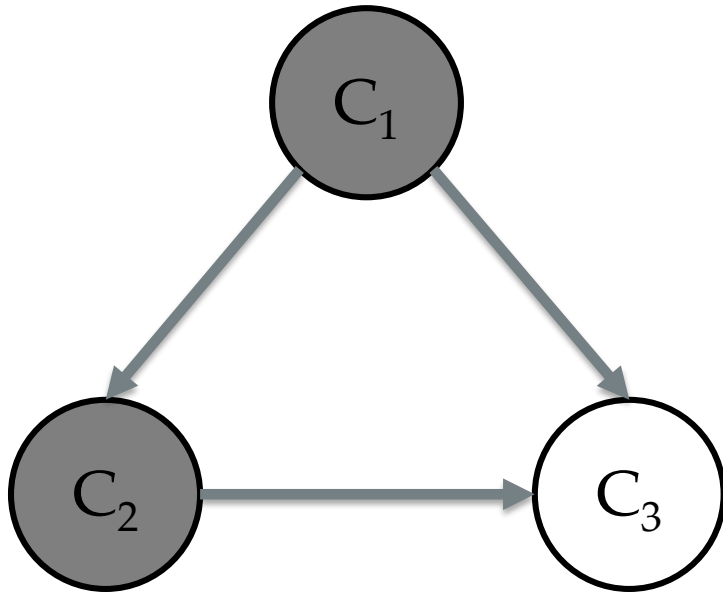
Gray

See Lemma 7.8 & 7.9  
p. 360 of [Baase01]

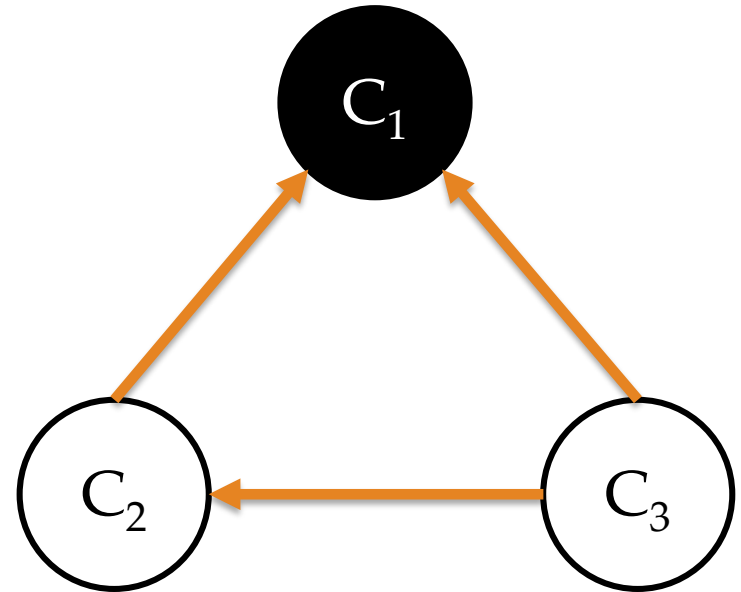
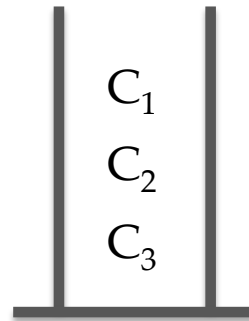
# $C_1$ : The End Case

Looking at  $C_2, C_3$  from  $C_1$

$G$



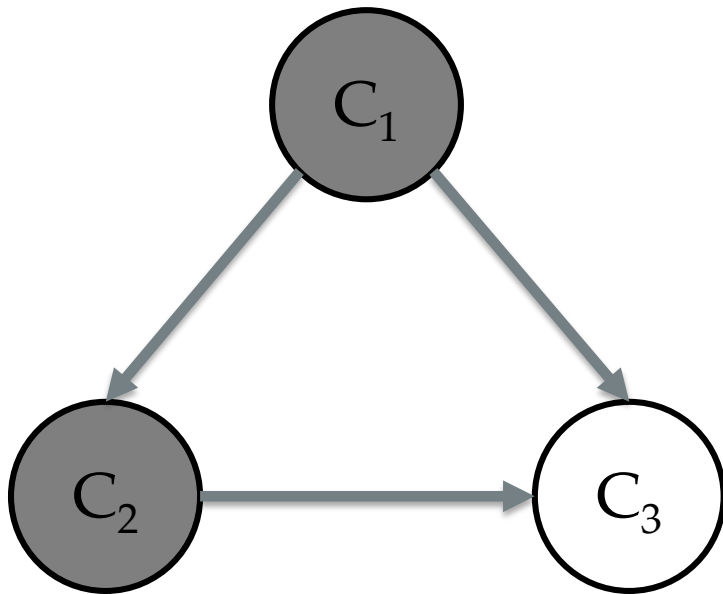
$G^T$



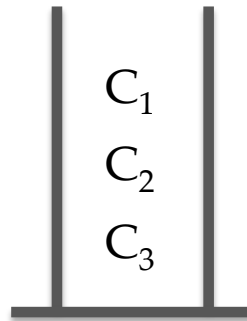
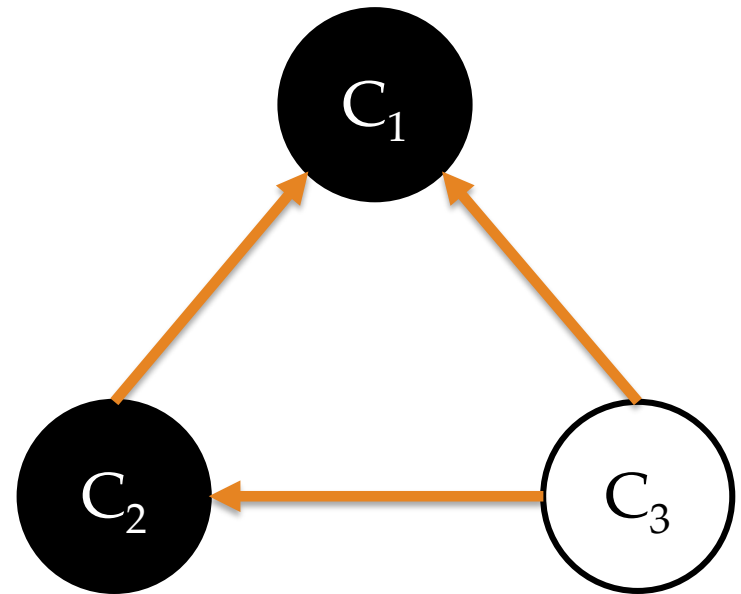
# $C_2$ : The White Case

Looking at  $C_3$  from  $C_2$

$G$



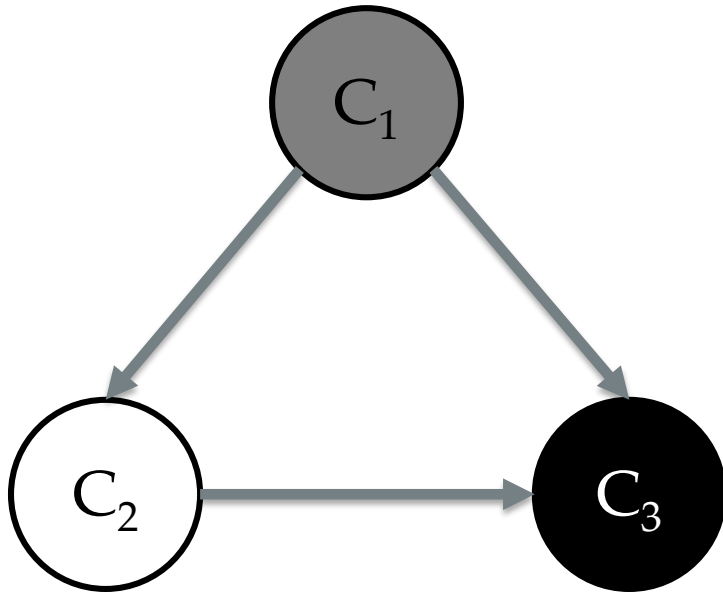
$G^T$



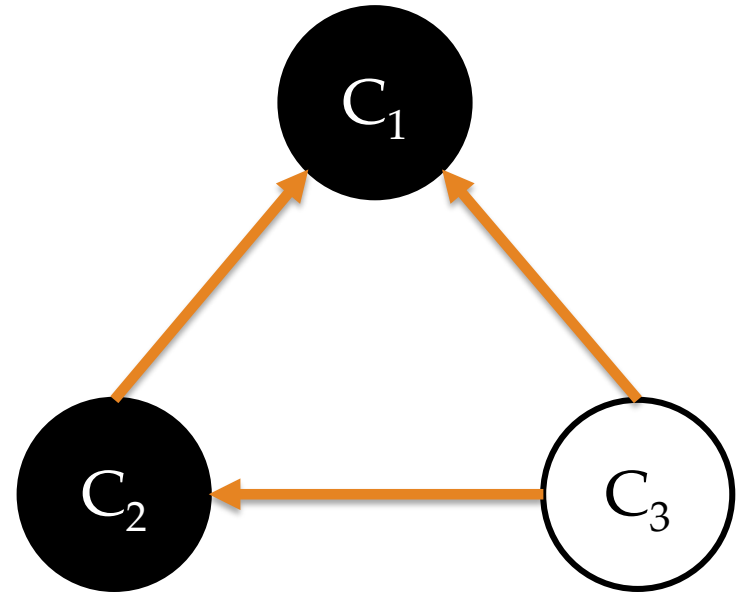
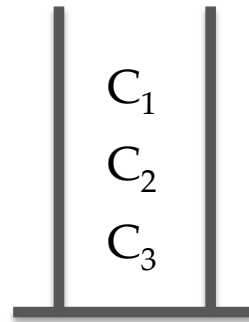
# $C_2$ : The Black Case

Looking at  $C_3$  from  $C_2$

$G$



$G^T$



# Active Intervals

- If there is an edge from  $S_i$  to  $S_j$ , then it is **impossible** that the active interval of  $v_j$  is **entirely after** that of  $v_i$ . (Note: for leader  $v_i$  only)
  - There is no path from a leader of a strong component to any gray vertex.
  - If there is a path from the leader  $v$  of a strong component to any  $x$  in a different strong component,  $v$  finishes later than  $x$ .

# Correctness of Strong Component Algorithm (1)

- In phase 2, each time a white vertex is popped from *finishStack*, that vertex is the Phase 1 leader of a strong component.
  - The later finished, the earlier popped
  - The leader is the first to get popped in the strong component it belongs to
  - If  $x$  popped is not a leader, then some other vertex in **the** strong component has been visited previously. But not a partial strong component can be in a DFS tree, so,  $x$  must be in a completed DFS tree, and is not white.

# Correctness of Strong Component Algorithm (2)

- In phase 2, each depth-first search tree contains exactly one strong component of vertices
  - Only “exactly one” need to be proved
  - Assume that  $v_i$ , a phase 1 leader is popped. If another component  $S_j$  is reachable from  $v_i$  in  $G^T$ , there is a path in  $G$  from  $v_j$  to  $v_i$ . So, in phase 1,  $v_j$  finished later than  $v_i$ , and popped earlier than  $v_i$  in phase 2. So, when  $v_i$  popped, all vertices in  $S_j$  are black. So,  $S_j$  are not contained in DFS tree containing  $v_i(S_i)$ .

*Thank you!*

*Q & A*

*Yu Huang*

<http://cs.nju.edu.cn/yuhuang>

