

Introduction to Approximation Algorithms, part II

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APPROX-SUBSET-SUM(S, t, ε)

$L'_0 = [0]$

for $k = 1, \dots, n$

$L'_k = \text{MERGE-LISTS}(L'_{k-1}, L'_{k-1} + x_k)$

$L'_k = \text{TRIM}(L'_k, \varepsilon/2n)$

remove duplicates and elm.s $> t$

return last(L'_n)



Definition

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$C := \mathbf{E} [\text{cost}(\text{produced sol.})]$

3-SAT

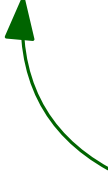
$$\begin{aligned} & (x_1 \vee x_7 \vee \neg x_9) \\ & \wedge (\neg x_7 \vee x_8 \vee x_9) \\ & \wedge (\neg x_2 \vee x_3 \vee \neg x_4) \\ & \vdots \end{aligned}$$

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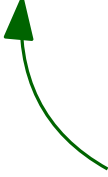
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Decision version:
NP-complete!


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MAX-3-SAT: Find assignment that maximizes the number of true clauses.

Randomly assigning values

 Φ is a MAX-3-SAT instance

RANDOM-ASSIGNMENT(Φ)
 for each variable x_i of Φ
 choose $x_i \in \{0, 1\}$ by flipping fair coin
 return assignment

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Linearity of
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Clause C with 0 literals: $\mathbf{Pr}[C] = 1 - (\frac{1}{2})^0 = 0$.

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for $i = 1, \dots, \boxed{m}$  $m = \# \text{variables in } \Phi$

$x_i := 0$

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 if $D < 7n/8$

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Method of conditional
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$$\Phi = (\neg x_1 \vee \neg x_2 \vee x_4) \wedge (x_1 \vee \neg x_4 \vee x_5) \wedge (x_1 \vee \neg x_5 \vee x_6) \wedge \dots$$

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$$x_2 := 0 \quad \Phi = \overbrace{(0 \vee 1 \vee x_4)}^1 \wedge \overbrace{(1 \vee \neg x_4 \vee x_5)}^1 \wedge \overbrace{(1 \vee \neg x_5 \vee x_6)}^1 \wedge \dots$$

Bonus info

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By work of Håstad, it is NP-hard to approximate within $8/7 - \varepsilon$ for all $\varepsilon > 0$, so this very simple algorithm is essentially optimal, unless $P=NP$.

Vertex Cover

Def.: Let $G = (V, E)$ be a graph. A set $V' \subseteq V$ of vertices is a *vertex cover* if for all $uv \in E$, we have $u \in V'$ or $v \in V'$.

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APPROX-VERTEX-COVER(G)

$C := \emptyset$

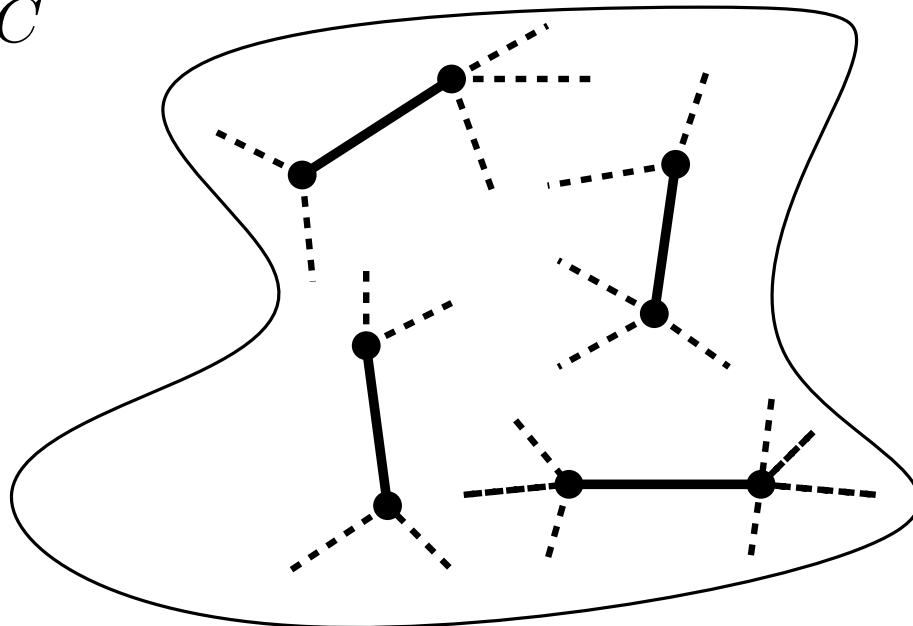
while $E(G) \neq \emptyset$

 choose $uv \in E(G)$

$C := C \cup \{u, v\}$

 remove all edges incident on u or v from $E(G)$

return C



$$\frac{C}{C^*} \leq 2$$

Weighted Vertex Cover

Def.: Let $G = (V, E)$ be a graph. A set $V' \subseteq V$ of vertices is a *vertex cover* if for all $uv \in E$, we have $u \in V'$ or $v \in V'$.

Weighted Vertex Cover

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Now: We are given weight $w(v) > 0$ for each $v \in V$.

Goal: Find vertex cover C with minimum

$$w(C) = \sum_{v \in C} w(v).$$

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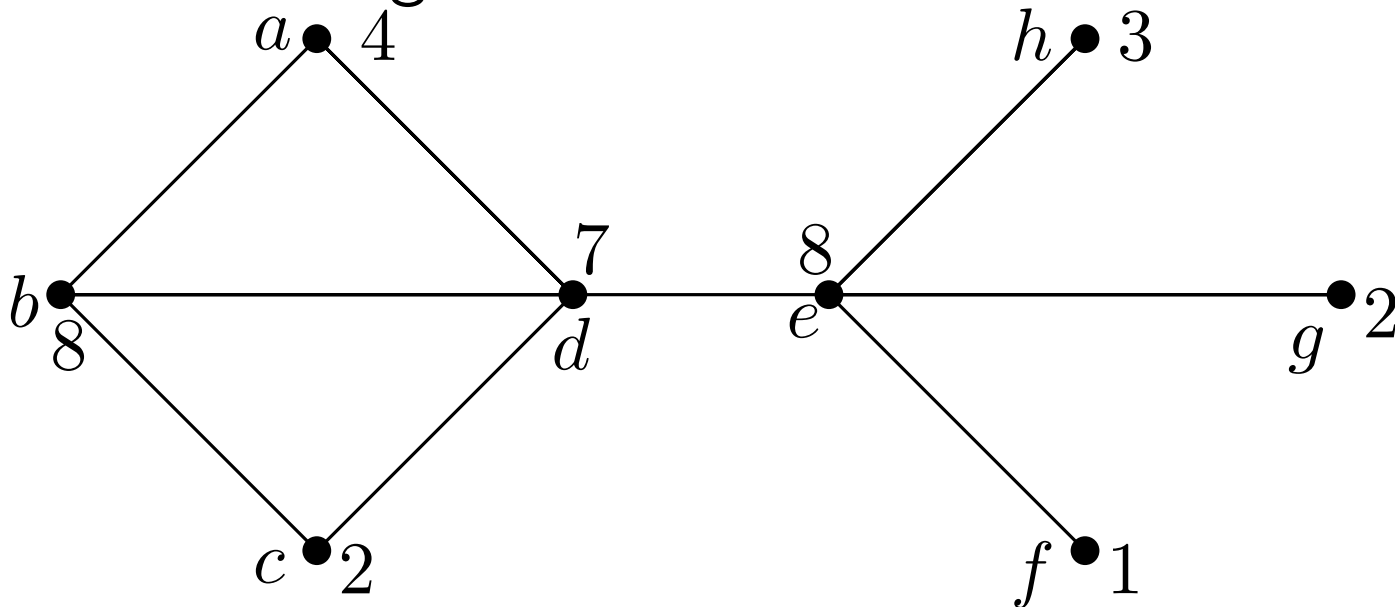
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Exercise: Find minimum (unweighted) vertex cover and then minimum weighted vertex cover.



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0-1-integer program (IP):

$$\begin{array}{ll} x_v \in \{0, 1\}, \forall v \in V & (x_v = 1 \Leftrightarrow v \in C) \\ x_u + x_v \geq 1, \forall uv \in E & (\text{edge } uv \text{ covered}) \end{array}$$

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Relaxed solution can be smaller, not larger

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APPROX-MIN-WEIGHT-VC(G, W):

Compute opt. sol. \bar{x} to LP

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2) $uv \in E \Rightarrow \bar{x}_u + \bar{x}_v \geq 1 \Rightarrow \bar{x}_u \geq \frac{1}{2} \vee \bar{x}_v \geq \frac{1}{2} \Rightarrow u \in C \vee v \in C$. ✓

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$$\leq 2 \sum_{v \in V} w(v)\bar{x}_v = 2z^* \leq 2w(C^*) \Rightarrow \frac{w(C)}{w(C^*)} \leq 2. \quad \checkmark$$

Reflection and methodology

How can we prove $w(C)/w(C^*) \leq 2$ when we don't know $w(C^*)$?

Answer: By proving $w(C) \leq 2z^*$ and $|z^*| \leq w(C^*)$.

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General technique: Find a parameter \square such that $C \leq \rho \cdot \square$ and $\square \leq C^*$.

For weighted vertex cover: $\square = z^*$ and $\rho = 2$.

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Polynomial-time approximation scheme (PTAS):

Approximation algorithm that takes instance I of an optimization problem P and $\varepsilon > 0$ as input. For any fixed ε works as $(1 + \varepsilon)$ -approximation algorithm for P .

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```
 $L_0 = [0]$ 
for  $k = 1, \dots, n$ 
   $L_k = \text{MERGE-LISTS}(L_{k-1}, L_{k-1} + x_k)$ 
  remove from  $L_k$  duplicates and elements  $> t$ 
return last( $L_n$ )
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Trimming

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Idea: Trim list

$L \subset \{0, 1, \dots, t\}$ with
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$L_0 = [0]$

for $k = 1, \dots, n$

$L_k = \text{MERGE-LISTS}(L_{k-1}, L_{k-1} + x_k)$

remove duplicates and elm.s $> t$

return last(L_n)

Idea: Trim list

$L \subset \{0, 1, \dots, t\}$ with
parameter $\delta > 0$: if we keep
 $s \in L$, then remove
 $(s, (1 + \delta)s]$.

Example: $L = [0, 9, 10, \text{~~11~~, 12, \text{~~13~~, 16}]$, $\delta = 0.1$.

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TRIM($L = [s_1, \dots, s_m], \delta$)

$L' = [s_1]$

for $i = 2, \dots, m$

if $s_i > \text{last}(L') \cdot (1 + \delta)$

$L' = L' \cup [s_i]$

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$\delta := \varepsilon/2n \Rightarrow 1 + 2n\delta \leq 1 + \varepsilon \checkmark$

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Total running time: $O\left(\sum_{k=1}^n |L'_k|\right) = O\left(\frac{n^2 \ln t}{\varepsilon}\right)$.

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