





# Self-Filtering: A Noise-Aware Sample Selection for Label Noise with Confidence Penalization



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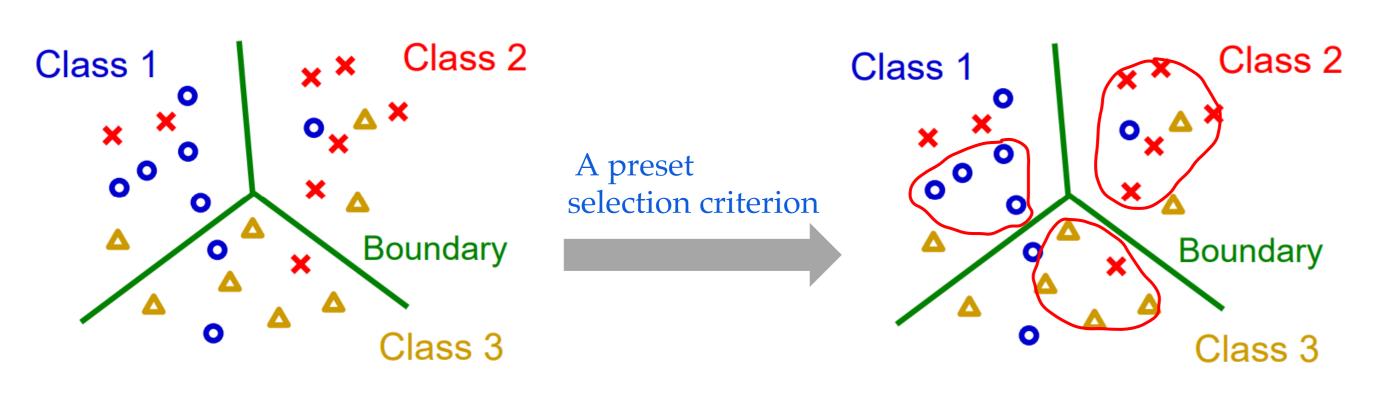
# Summary

- ➤ A novel selection criterion dubbed fluctuation criterion is proposed for retaining valuable samples lying around decision boundary.
- ➤ A confidence regularization term is designed to further mitigate the over-confidence in noisy samples.
- Any semi-supervised method can be applicable to our framework, improving the performance of SFT.
- > SFT outperforms its counterparts by sharp margins.

Code is available at https://github.com/1998v7/Self-Filtering

## Sample selection strategy

**Main idea**: Use a preset selection criterion to select a subset with smaller noise ratio from the label-corrupted training set.



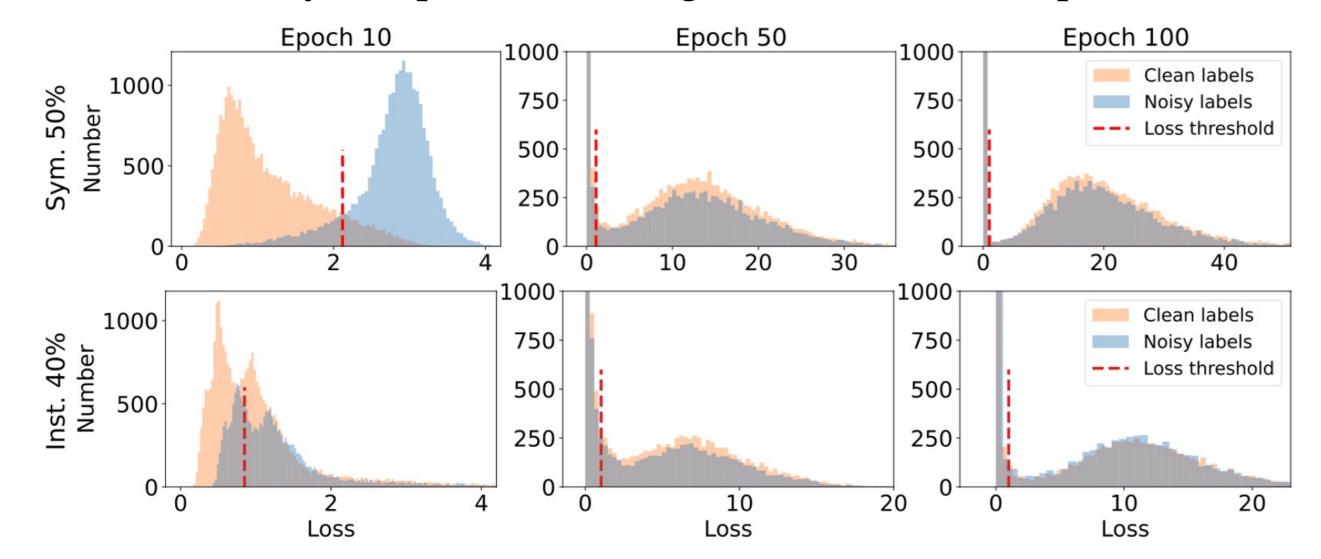
A label-corrupted training set

A subset with smaller noise ratio

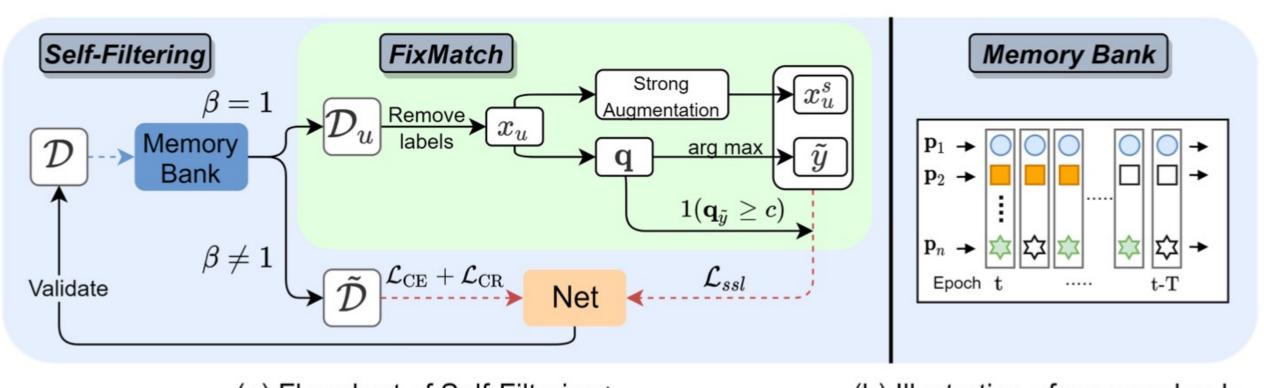
ACML 2021 Tutorial. Learning under Noisy Supervision

## Selection bias in the small-loss criterion

Essential boundary samples are entangled with noise samples and discarded.



# Our framework Self-Filtering



(a) Flowchart of Self-Filtering+

(b) Illustration of memory bank

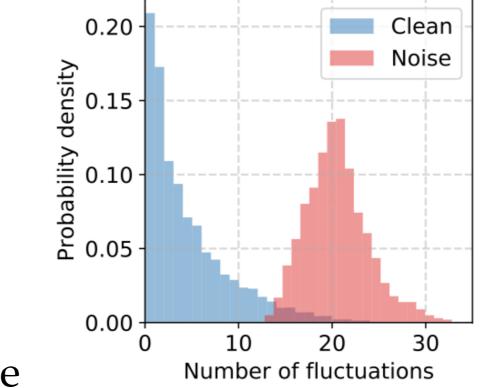
## Fluctuation selection criterion

➤ Definition of **fluctuation event**:

$$\beta = (\arg \max(p^{t_1}) = y) \land (\arg \max(p^{t_2}) \neq y)$$

> The selected set (filter the noise)

$$\widetilde{D} = \{(x^i, y^i) \in D^{train} | \beta^i \neq 1\}_{i=1}^N$$



The fluctuation criterion provides discriminative
information for filtering the noise as shown in right figure.

# Confidence regularization

An adaptive weight function

Confidence regularization term

$$\alpha(p_j) = \max(0, T - \frac{p_j}{p_y})$$

$$L_{CR} = -\frac{1}{K} \sum_{k \in [K]} \alpha(p_j) \cdot log p_k$$

## Merits:

- > muting at the beginning and casting the objective to cross entropy for **fast convergence**.
- ➤ **Adaptive** strength for confidence penalty

# Improved by semi-supervised technique

Self-Filtering can be improved by current semi-supervised learning strategy.

The selected (clean) set:

 $\widetilde{D} = \{(x^i, y^i) \in D^{train} | \beta^i \neq 1\}_{i=1}^N$ 

The filtered (noisy) set:

 $\widehat{D} = \{(x^i, y^i) \in D^{train} | \beta^i = 1\}_{i=1}^N$ 

For  $(x, y) \in \widetilde{D}$  and  $(x', y') \in \widehat{D}$ , the total training objective:

$$L_{CR}(x,y) + \alpha \cdot L_{SSL}(x',y')$$

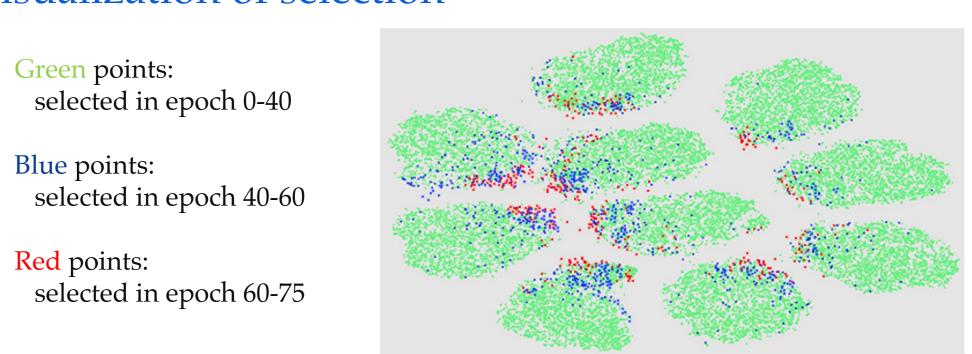
# Experimental results

SFT achieves the SOTA performance on CIFAR-10 and CIFAR-100.

	Symm.		Pair.		Inst.	
Method	20%	40%	20%	40%	20%	40%
DMI [39]	$88.18 \pm 0.36$	$83.98 \pm 0.48$	$89.44 \pm 0.41$	$84.37 \pm 0.78$	$89.14 \pm 0.36$	$84.78 \pm 1.97$
Peer Loss [17]	$88.97 \pm 0.47$	$84.29 \pm 0.52$	$89.61 \pm 0.66$	$85.18 \pm 0.87$	$89.94 \pm 0.51$	$85.77 \pm 1.19$
Co-teaching [9]	$87.16 \pm 0.11$	$83.59 \pm 0.28$	$86.91 \pm 0.37$	$82.77 \pm 0.57$	$86.54 \pm 0.11$	$80.98 \pm 0.39$
JoCoR [32]	$88.69 \pm 0.19$	$85.44 \pm 0.29$	$87.75 \pm 0.46$	$83.91 \pm 0.49$	$87.31 \pm 0.27$	$82.49 {\pm} 0.57$
SELFIE [27]	$90.18 \pm 0.25$	$86.27 \pm 0.31$	$89.29 \pm 0.19$	$85.71 \pm 0.30$	$89.24 \pm 0.27$	$84.16 \pm 0.44$
CDR [35]	$89.68 \pm 0.38$	$86.13 \pm 0.44$	$89.19 \pm 0.29$	$85.79 \pm 0.41$	$90.24 \pm 0.39$	$83.07 \pm 1.33$
Me-Momentum [3]	$91.44 \pm 0.33$	$88.39 \pm 0.34$	$90.91 \pm 0.45$	$87.49 \pm 0.56$	$90.86 {\pm} 0.21$	$86.66 \pm 0.91$
PES[4]	$92.38 \pm 0.41$	$87.45 \pm 0.34$	$91.22 \pm 0.42$	$89.52 \pm 0.91$	$92.69 {\pm} 0.42$	$89.73 \pm 0.51$
SFT (ours)	$\bf 92.57 {\pm} 0.32$	$89.54{\pm}0.27$	$91.53 {\pm} 0.26$	$89.93{\pm}0.47$	$91.41 {\pm} 0.32$	$89.97{\pm}0.49$
DMI [39]	$58.73 \pm 0.70$	$49.81{\pm}1.22$	$59.41 \pm 0.69$	$48.13 \pm 0.52$	$58.05 \pm 0.20$	$47.36 \pm 0.68$
Peer Loss [17]	$58.41 \pm 0.55$	$50.53 \pm 1.31$	$58.73 \pm 0.51$	$50.17 \pm 0.42$	$58.91 \pm 0.41$	$48.61 \pm 0.78$
Co-teaching [9]	$59.28 \pm 0.47$	$51.60 \pm 0.49$	$58.07 \pm 0.61$	$49.79 \pm 0.69$	$57.24 \pm 0.69$	$49.39 \pm 0.99$
JoCoR [32]	$64.17 \pm 0.19$	$55.97 \pm 0.46$	$60.42 {\pm} 0.35$	$50.97 \pm 0.58$	$61.98 \pm 0.39$	$50.59 \pm 0.71$
SELFIE [27]	$67.19 \pm 0.30$	$61.29 \pm 0.39$	$65.18 \pm 0.23$	$58.67 \pm 0.51$	$65.44{\pm}0.43$	$53.91 \pm 0.66$
CDR [35]	$66.52 {\pm} 0.24$	$60.18 \pm 0.22$	$66.12 \pm 0.31$	$59.49 \pm 0.47$	$67.06 \pm 0.50$	$56.86 \pm 0.62$
Me-Momentum [3]	$68.03 \pm 0.53$	$63.48 {\pm} 0.72$	$68.42 \pm 0.19$	$59.73 \pm 0.47$	$68.11 \pm 0.57$	$58.38{\pm}1.28$
PES [4]	$68.89 {\pm} 0.41$	$64.90 {\pm} 0.57$	$69.31 \pm 0.25$	$59.08 \pm 0.81$	$70.49 \pm 0.72$	$65.68 {\pm} 0.44$
SFT (ours)	$71.98 \pm 0.26$	$69.72 \pm 0.31$	$71.23 \pm 0.29$	$69.29 \pm 0.42$	$71.83 {\pm} 0.42$	$69.91 {\pm} 0.54$

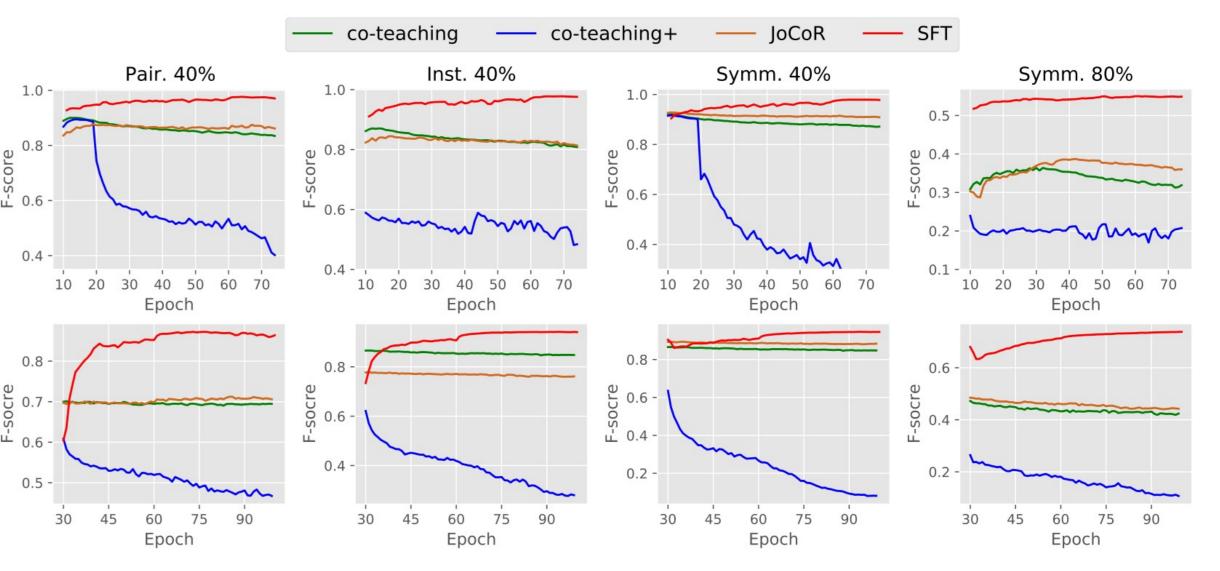
## More analyses

## ➤ Visualization of selection



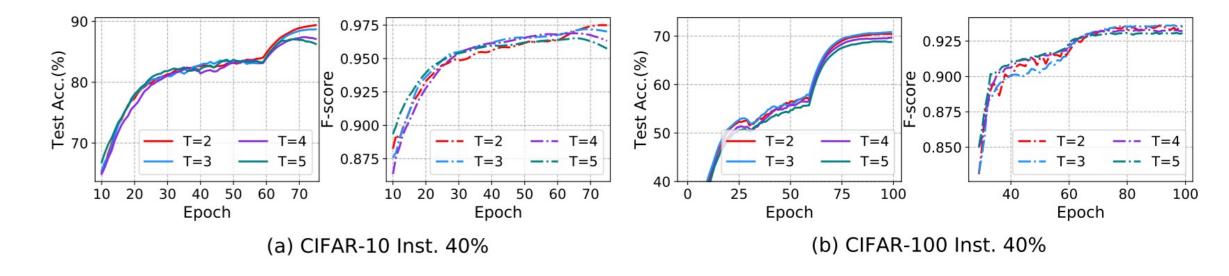
SFT selects more boundary examples as training proceeds.

#### > Stable selection curves



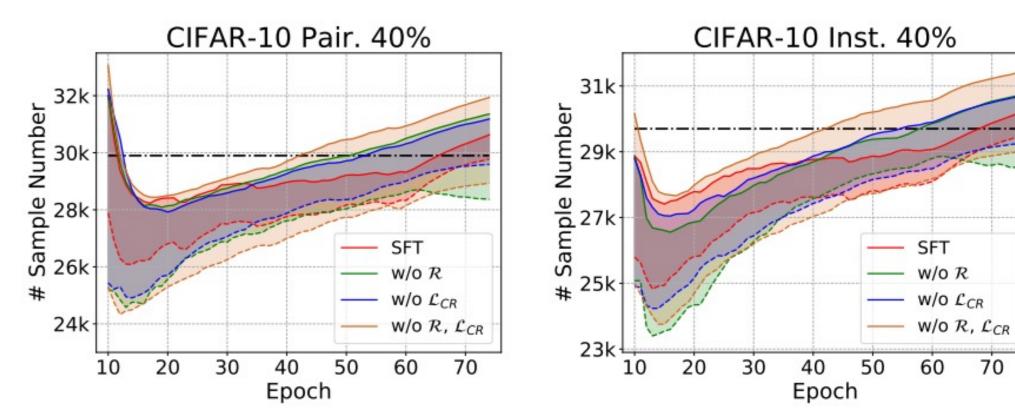
Higher F1-score of selection results is achieved by SFT.

#### ➤ Hyper-parameter selection



*SFT* is not sensitive to hyper-parameters.

#### ➤ Ablation study



With the support of the two terms, the selected subset contains less noisy labels

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