

# Technical Manual

Marc G. Bellemare, Matthew Hausknecht, Joel Veness

May 22, 2013

## Contents

<b>1</b>	<b>Overview</b>	<b>2</b>
<b>2</b>	<b>Installing</b>	<b>2</b>
2.1	Requirements . . . . .	2
2.2	Installation/Compilation . . . . .	2
2.3	Sample Agents . . . . .	3
<b>3</b>	<b>Command-line Arguments</b>	<b>3</b>
3.1	Main Arguments . . . . .	3
3.2	Environment Arguments . . . . .	4
3.3	FIFO Interface Arguments . . . . .	4
3.4	Internal Interface Arguments . . . . .	5
<b>4</b>	<b>Internal Interface</b>	<b>5</b>
<b>5</b>	<b>Shared Library Interface</b>	<b>5</b>
5.1	Sample Agent . . . . .	5
<b>6</b>	<b>FIFO Interface</b>	<b>6</b>
6.1	Handshaking . . . . .	6
6.2	Main Loop – ALE . . . . .	7
6.2.1	RAM_string . . . . .	7
6.2.2	screen_string . . . . .	7
6.2.3	episode_string . . . . .	7
6.2.4	Example . . . . .	7
6.3	Main Loop – Agent . . . . .	8
6.4	Termination . . . . .	8
<b>7</b>	<b>RL-Glue Interface</b>	<b>8</b>
7.1	Sample Agent and Experiment . . . . .	8
7.2	Actions and Observations . . . . .	9

<b>8</b>	<b>Environment Specifications</b>	<b>9</b>
8.1	Available Actions . . . . .	9
8.2	Terminal States . . . . .	10
8.3	Saving and Loading States . . . . .	10
8.4	Colour Averaging . . . . .	10
8.5	Randomness . . . . .	10
8.6	Minimal Action Set . . . . .	10
<b>9</b>	<b>Miscellaneous</b>	<b>10</b>
9.1	Displaying the Screen . . . . .	11

# 1 Overview

This document describes how to install the Arcade Learning Environment as well as its technical details, including command-line flags, how to use the shared library interface, and the FIFO protocol for agents communicating with ALE via stdin/stdout.

The following ways of interfacing with ALE are detailed in this document:

- **Internal interface.** Internal agents are directly compiled inside ALE (Section 4).
- **Shared library interface.** Loads ALE as a shared library (Section 5).
- **FIFO interface.** Communicates with ALE through a text interface (Section 6).
- **RL-Glue interface.** Communicates with ALE via RL-Glue (Section 7).

# 2 Installing

## 2.1 Requirements

To build and run ALE, you will need:

- g++ / make

## 2.2 Installation/Compilation

This tutorial assumes that you have extracted ALE to `ale_0_4`. Compiling ALE on a UNIX machine is as simple as:

```
> cd ale_0_4
ale_0_4> cp makefile.unix makefile
ale_0_4> make
```

Alternate makefiles are provided for

- Mac OS X: `makefile.mac`

## 2.3 Sample Agents

The following sample agents are available:

- **Java agents / FIFO interface.** Refer to the accompanying ALE Java Agent tutorial.
- **C++ agent / internal interface.** See Section 4.
- **C++ agent / shared library interface.** See Section 5 and `ale_0_4/doc/examples/sharedLibraryInterfaceExample.cpp`.
- **C++ agent / RL-Glue interface.** See Section 7 and `ale_0_4/doc/examples/RLGlueAgent.c`.

## 3 Command-line Arguments

Command-line arguments are passed to ALE before the ROM filename. For example, assuming you have the appropriate ROM file saved in the directory `ale_0_4/roms`, the following runs the internal random agent on Space Invaders:

```
ale_0_4> ./ale -game_controller internal -player_agent random_agent
roms/space_invaders.bin
```

The configuration file `ale_0_4/stellararc` can also be used to set frequently used command-line arguments.

### 3.1 Main Arguments

```
-help -- prints out help information

-game_controller <internal|fifo|fifo_named|rlglue> -- selects an ALE interface
default: internal

-random_seed <###|time> -- picks the ALE random seed, or sets it to current time
default: time

-display_screen <true|false> -- if true and SDL is enabled, displays ALE screen
default: false

-output_file <filename> -- redirects standard output (shared library interface only)
default: unset
```

## 3.2 Environment Arguments

`-max_num_episodes ###` -- max. number of episodes, or 0 for no maximum  
(internal interface only)  
default: 10

`-max_num_frames ###` -- max. total number of frames, or 0 for no maximum  
(not in shared library interface)  
default: 0

`-max_num_frames_per_episode ###` -- max. number of frames per episode  
default: 0

`-frame_skip ###` -- frame skipping rate; 1 indicates no frame skip  
default: 1

`-system_reset_steps ###` -- how many frames to hold reset button for  
Should be  $\geq 2$ .  
default: 4

`-use_environment_distribution <true|false>` -- if true, the environment start  
state is drawn from a distribution of states  
default: false

`-use_starting_actions <true|false>` -- if true, a game-specific sequence  
of actions is applied after each reset  
default: false

`-restricted_action_set <true|false>` -- if true, agents use a smaller set of  
actions (internal interface only)  
default: false

`-backward_compatible_save <true|false>` -- if true, uses ALE 0.2's  
save/load state mechanism  
default: false

`-disable_color_averaging <true|false>` -- if true, disables colour averaging  
default: false

## 3.3 FIFO Interface Arguments

`-run_length_encoding <true|false>` -- if true, encodes data using run-length  
encoding  
default: true

### 3.4 Internal Interface Arguments

```
-record_trajectory <true|false> -- if true, records the agent's first trajectory
    (the trajectory is output after all episodes have been played)
    default: false

-player_agent <random_agent|single_action_agent|keyboard_agent>
    random_agent -- an agent that selects actions uniformly at random
    single_action_agent -- an agent that takes the same action most of the time
    keyboard_agent -- an "agent" controlled via keyboard (requires SDL)
    default: random_agent

-agent_epsilon ### -- probability of a random action in single_action_agent
    default: unset
```

## 4 Internal Interface

The internal interface is used by agents compiled together with ALE. Three such agents can be found under

`ale_0_4/src/agents`

These agents are the random agent, the single-action agent and the keyboard agent<sup>1</sup>.

For example, assuming that your ROM files are under the directory `ale_0_4/roms`, the random agent can be run on Space Invaders with the following command:

```
ale_0_4> ./ale -game_controller internal -player_agent random_agent
    roms/space_invaders.bin
```

## 5 Shared Library Interface

The shared library interface allows agents to directly access ALE via a class called `ALEInterface`, defined in `ale_interface.hpp`.

### 5.1 Sample Agent

Example source code can be found under

`ale_0_4/doc/examples/sharedLibraryInterfaceExample.cpp`

Assuming you installed ALE under `/home/marc/ale_0_4`, the shared library agent can be compiled with the following command:

---

<sup>1</sup>The keyboard agent requires SDL support.

```
g++ -I/home/marc/ale_0_4/src -L/home/marc/ale_0_4
-lale -lz sharedLibraryInterfaceExample.cpp
```

or alternatively by appropriately setting paths in `ale_0_4/doc/examples/Makefile.sharedlibrary` before running

```
ale_0_4/doc/examples> make sharedLibraryAgent
```

To run the agent, you may need to add ALE to your library path:

```
export LD_LIBRARY_PATH=$LD_LIBRARY_PATH:/home/marc/ale_0_4
```

or under Mac OS X,

```
export DYLD_LIBRARY_PATH=$DYLD_LIBRARY_PATH:/home/marc/ale_0_4
```

## 6 FIFO Interface

The FIFO interface is text-based and allows the possibility of run-length encoding the screen. This section documents the actual protocol used; sample code implementing this protocol in Java is also included in this release.

After preliminary handshaking, the FIFO interface enters a loop in which ALE sends information about the current time step and the agent responds with both players' actions (in general agents will only control the first player). The loop is exited when one of a number of termination conditions occurs.

### 6.1 Handshaking

ALE first sends the width and height of its screen matrix as a hyphen-separated string:

```
www-hhh\n
```

where `www` and `hhh` are both integers.

The agent then responds with a comma-separated string:

```
s,r,k,R\n
```

where `s`, `r`, `R` are 1 or 0 to indicate that ALE should or should not send, at every time step, screen, RAM and episode-related information (see below for details). The third argument, `k`, is deprecated and currently ignored.

## 6.2 Main Loop – ALE

After handshaking, ALE will then loop until one of the termination conditions occurs; these conditions are described below in Section 6.4. If terminating, ALE sends

DIE\n

Otherwise, ALE sends

<RAM\_string><screen\_string><episode\_string>\n

Where each of the three strings is either the empty string (if the agent did not request this particular piece of information), or the relevant data terminated by a colon.

### 6.2.1 RAM\_string

The RAM string is 128 2-digit hexadecimal numbers, with the  $i^{th}$  pair denoting the  $i^{th}$  byte of RAM; in total this string is 256 characters long, not including the terminating ‘.’.

### 6.2.2 screen\_string

In “full” mode, the screen string is  $www \times hhh$  2-digit hexadecimal numbers, each representing a pixel. Pixels are sent row by row, with  $www$  characters for each row. In total this string is  $2 \times www \times hhh$  characters long.

In run-length encoding mode, the screen string consists of a variable number of (colour,length) pairs denoting a run-length encoding of the screen, also row by row. Both colour and length are described using 2-digit hexadecimal numbers. Each pair indicates that the next length + 1 pixels take on the given colour; run length is thus limited to 256. Runs may wrap around onto the next row. The encoding terminates when the last pixel (i.e. the bottom-right pixel) is encoded. The length of this string is 4 characters per (colour,length) pair, and varies depending on the screen.

In either case, the screen string is terminated by a colon.

### 6.2.3 episode\_string

The episode string contains two comma-separated integers indicating episode termination (1 for termination, 0 otherwise) and the most recent reward. It is also colon-terminated.

### 6.2.4 Example

Assuming that the agent requested screen, RAM and episode-related information, a string sent by ALE might look like:

000100...A401B2:3C3C3C3C00003C3C3C...4F4F0000:0,1:\n  
^ 2x128 characters    ^ 2x160x210 characters    ^ongoing episode, reward of 1

## 6.3 Main Loop – Agent

After receiving a string from ALE, the agent should now send the actions of player A and player B. These are sent as a pair of comma-separated integers on a single line, e.g.:

```
2,18\n
```

where the first integer is player A’s action (here, FIRE) and the second integer, player B’s action (here, NOOP). Emulator control (reset, save/load state) is also handled by sending a special action value as player A’s action. See Section 8.1 for the list of available actions.

## 6.4 Termination

ALE will terminate (and potentially send a DIE message to the agent) whe one of the following conditions occur:

- `stdin` is closed, indicating that the agent is no longer sending data, or
- The maximum number of frames (user-specified, with no maximum by default) has been reached.

ALE will send an end-of-episode signal when one the following is true:

- The maximum number of frames for this episode (user-specified, with no maximum by default) has been reached, or
- The game has ended, usually when player A loses their last life.

# 7 RL-Glue Interface

The RL-Glue interface implements the RL-Glue 3.0 protocol. It requires the user to first install the RL-Glue core. Additionally, the example agent and environment require the RL-Glue C/C++ codec. Both of these can be found on the RL-Glue web site<sup>2</sup>.

In order to use the RL-Glue interface, ALE must be compiled with RL-Glue support. This is achieved by setting `USE_RL=1` in the makefile.

Specifying the command-line argument `-game_controller rlg glue` is sufficient to put ALE in RL-Glue mode. It will then communicate with the RL-Glue core like a regular RL-Glue environment.

## 7.1 Sample Agent and Experiment

Example source code can be found under

```
ale_0_4/doc/examples
```

---

<sup>2</sup><http://glue.rl-community.org>



Assuming you installed ALE under `/home/marc/ale_0_4`, the RL-Glue agent and experiment can be compiled with the following command:

```
make rlglueAgent
```

As with any RL-Glue application, you will need to start the following processes to run the sample RL-Glue agent in ALE:

- `rl_glue`
- `RLGlueAgent`
- `RLGlueExperiment`
- `ale` (with command-line argument `-game_controller rlglue`)

Please refer to the RL-Glue documentation for more details.

## 7.2 Actions and Observations

The action space consists of both Player A and Player B’s actions (see Section 8.1 for details). In general, Player B’s action may safely be set to `noop` (18). The observation space consists in 33728 integers: first the 128 bytes of RAM (taking values in 0–255), then the 33,600 screen pixels (taking value in 0–127). The screen is provided in row-order, i.e. beginning with the 160 pixels that compose the first row.

# 8 Environment Specifications

This section provides additional information regarding the environment implemented in ALE.

## 8.1 Available Actions

The following regular actions are defined in `common/Constants.h` and interpreted by ALE:

noop (0)	fire (1)	up (2)	right (3)	left (4)
down (5)	up-right (6)	up-left (7)	down-right (8)	down-left (9)
up-fire (10)	right-fire (11)	left-fire (12)	down-fire (13)	up-right-fire (14)
up-left-fire (15)	down-right-fire (16)	down-left-fire (17)	reset* (40)	

Note that the `reset` (40) action toggles the Atari 2600 switch, rather than reset the environment, and as such is ignored by most interfaces. In general it should be replaced by either a call to `StellaEnvironment::reset` or by sending the `system_reset` command, depending on the interface.

Player B’s actions are the same as those of Player A, but with 18 added. For example, Player B’s up action corresponds to the integer 20.

In addition to the regular ALE actions, the following actions are also processed by the internal and FIFO interfaces:

save-state (43)	load-state (44)	system-reset (45)
-----------------	-----------------	-------------------

## 8.2 Terminal States

Once the end of episode is reached (a terminal state in RL terminology), no further emulation takes place until the appropriate reset command is sent. This command is distinct from the Atari 2600 reset. This “system reset” avoids odd situations where the player can reset the game through button presses, or where the game normally resets itself after a number of frames. This makes for a cleaner environment interface. With the exception of the RL-Glue interface, which automatically resets the environment, the interfaces described here all provide a system reset command or method.

## 8.3 Saving and Loading States

State saving and loading operates in a stack-based manner: each call to save stores the current environment state onto a stack, and each call to load restores the last saved copy and removes it from the stack. The ALE 0.2 save/load mechanism, provided for backward compatibility, instead overwrites its saved copy when a save is requested. When loading a state, the currently saved copy is preserved.

## 8.4 Colour Averaging

Many Atari 2600 games display objects on alternating frames (sometimes even less frequently). This can be an issue for agents that do not consider the whole screen history. By default, *colour averaging* is enabled: the environment output (as observed by agents) is a weighted blend of the last two frames. This behaviour can be turned off using the command-line argument `-disable_colour_averaging`.

## 8.5 Randomness

The Atari 2600 games, as emulated by Stella, are deterministic. Sometimes it may be of interest to add a tiny amount of stochasticity to prevent agents from simply learning a trajectory by rote. This is done via the command-line argument `-use_environment_distribution`. Effectively, the start state is slightly randomized by applying a random number of noop actions before resetting the game; this influences the game timing sufficiently for our purposes.

## 8.6 Minimal Action Set

It may sometimes be convenient to restrict the agent to a smaller action set. This can be accomplished by querying the `RomSettings` class using the method `getMinimalActionSet`. This then returns a set of actions judged “minimal” to play a given game. Of course, algorithm designers are encouraged to devise algorithms that don’t depend on this minimal action set for success.

# 9 Miscellaneous

This section provides additional relevant ALE information.

## 9.1 Displaying the Screen

ALE offers screen display capabilities via the Simple DirectMedia Layer (SDL). This requires the following libraries:

- **libsdl**
- **libsdl-gfx**
- **libsdl-image**

To compile with SDL support, you should set `USE_SDL=1` in the ALE makefile. Then, screen display can be enabled with the `-display_screen` command-line argument.

SDL support has been tested under Linux and Mac OS X.