

1st December, 2014
Categories and Audit Matrix

Tier	Ingredient Categories <i>(List is not all inclusive - refer to the Raw Material Tier Assignment list for details)</i>	Qualification Process <i>(new)</i>	Accepted Audits & Certifications <i>(ongoing)</i>	Target Freq. <i>(years)</i>
1	RTE Meats, RTE Cheese, RTE raw fruits and vegetables., RTE Seafood, (Strategic Tier 2 suppliers)	Mondelez SQE Audit, ¹ GFSI Certification and report	⁸ Mondelez SQE Audit every 2 years ¹ GFSI certifications and report	2.0
2	Coconut, Retorted & Aseptic Products including seafood (Low Acid Canned Foods), Sugar Confectionary, Treated Herbs/Spices/Seasoning, Treated Tea & Tea Products; Treated Egg & Egg Products; Dairy Products, Yeast (Non baking) Enzymes, Cultures, Treated Nuts and Seeds, Cocoa Chocolate confectionery	Mondelez SQE Audit, ¹ GFSI Certification and report	¹ GFSI Certification and report, Supplier Food Safety Assessment	2.5
2 / 4	Fruit & Fruit Products, Vegetable Products, Flavoring Ingredients <i>(material assigned to tier 2 or 4 - refer to the Raw Material Tier Assignment list for details)</i>			
4	Grain & Grain Products, Emulsifiers; Prepared Sauces/Spreads/Condiments, Coffee & Coffee Products, Bread & Bakery Products; Salt Sugars & Sweeteners (Lactose); Starter Media/Culture; Fats & Oils; Food Additives; Raw Meat & Raw Meat Products, Food Chemicals Hydrocolloids & Gums, Untreated herbs/Spices/Seasoning; ⁴ Direct Contact Packaging Material (See packaging Audit matrix, Labeled and Unlabeled, Non-Contact Packaging Material Labeled); ⁵ Chemicals-Distillation, Crystallization, Extraction, Talc, ² Cheese for processing, ² Liquid / Frozen Egg products, ² Yeast For baking/Further processing	¹ GFSI Certification and report	¹ GFSI Certification and report	3
5	Raw Milk & Cream, ³ Nationally Branded Confections; Green Coffee Beans; Raw Cocoa, Compressed Gases; Raw Grains; Raw Nuts/Seeds/Coconut; Alcoholic Substances (Spirits, Liquors); Cream, Liquid Whey and Liquid Milk (Bulk Only), Sodium Hydroxide, Raw Agricultural Vegetables, Gum Base,	Audits may be required as result of a risk assessment by BU or Plant using the material. A Risk assessment or Audit must be on file for all approvals going forward.		4

1. GFSI certifications-Refer to GFSI site for current approved Schemes (www.mygfsi.com). A BU SQE Audit will be by exception only by end of 2016.
2. Materials that will undergo a validated lethal step. E.g. Cooking and baking for Process Cheese, Biscuits-Formulation control for Mayonnaise and dressings.
3. Nationally Branded Confections: Wrapped branded materials by other CPG organizations
4. GFSI certifications-Refer to GFSI for current approved packaging schemes (www.mygfsi.com) All existing suppliers to be GFSI certified by end of 2015 (ingredients) and Packaging 2018
5. Chemical Audit - Preference that supplier achieves GFSI certification. Mondelez International Chemical audit is the least preferred option but the materials should meet the chemical questionnaire requirements, "typically materials derived from distilled and/or extraction processes.", and approved by regional Audit manager- Atypical e.g. Talc and Limestone
6. Pharma Audit - Audits performed by approved 3rd party Pharmacy auditors and companies, Certification by internationally recognized governmental agencies
7. High Risk countries: Qualified local 3rd party Audits will be accepted for high risk locations. Validation must be in place for all new Tier 1 & 2 materials before audit occurs
8. SFS team may join CQ Audit team for select Tier 1 Mondelez International SQE Audits.