

# CNN Architecture

ML Instruction Team, Fall 2022

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# Convolutional Neural Networks: History

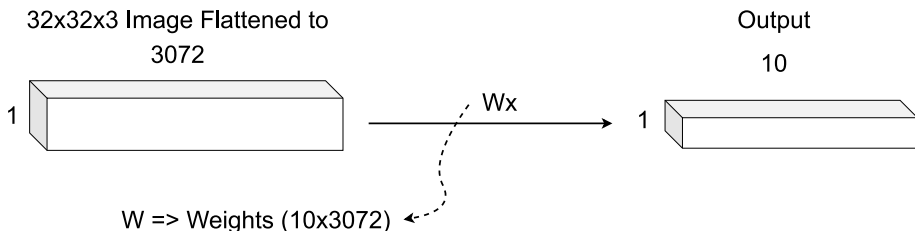
## Biological Inspiration of Convolutional Neural Networks

### Hubel and Wiesel

- 1959
- 1961
- 1968

# CNNs

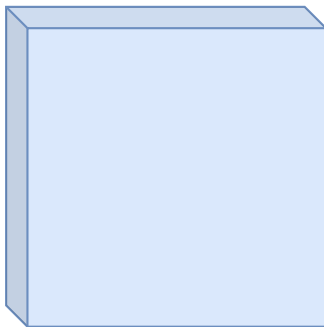
## What we've been using: Fully Connected Layers



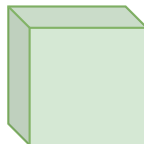
# CNNs

## What we're going to learn: Convolutional Layer

32x32x3 Image



5x5x3 Filter

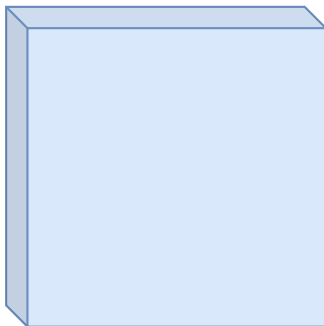


# CNNs

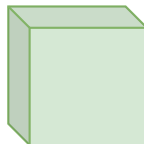
## Convolutional Layer

- Filters always extend the full depth of the input volume.  
(#Input channels == #Filter Channels)

32x32x3 Image



5x5x3 Filter

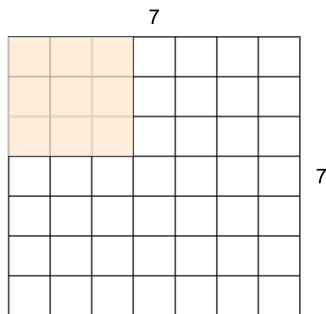


# What is a Stride

The amount of movement between applications of the filter to the input image is referred to as the stride, and it is almost always symmetrical in height and width dimensions.

Closer look

■ 7x7 input with 3x3 filter

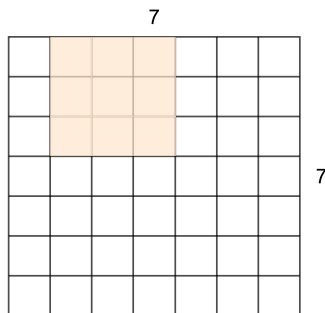


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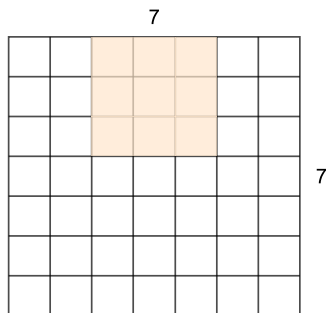


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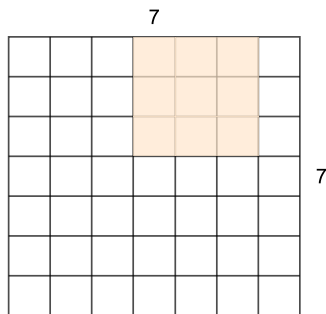


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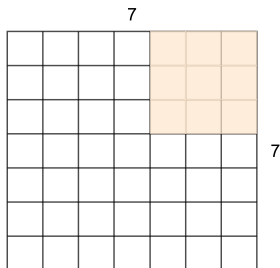


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Closer look

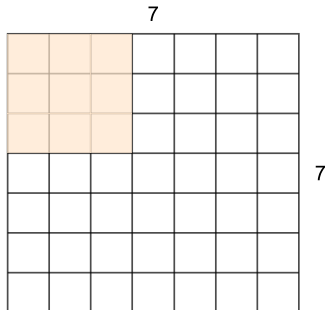
- 7x7 input with 3x3 filter
- This was a Stride 1 filter
- $\Rightarrow$  Outputs 5x5



# Stride

Now let's use Stride 2

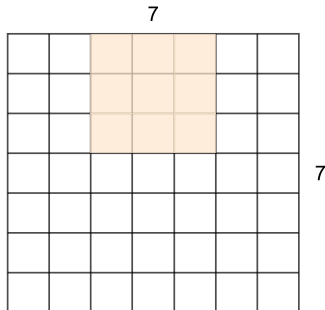
■ 7x7 input with 3x3 filter



# Stride

Now let's use Stride 2

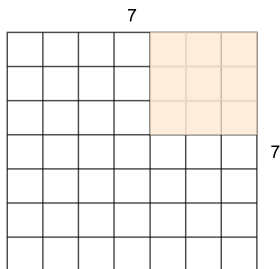
- 7x7 input with 3x3 filter



# Stride

Now let's use Stride 2

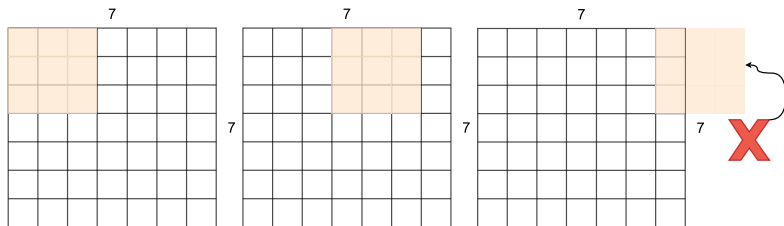
- 7x7 input with 3x3 filter
- This was a Stride 2 filter
- $\Rightarrow$  Outputs 3x3



# Stride

## Stride 3?

■ 7x7 input with 3x3 filter

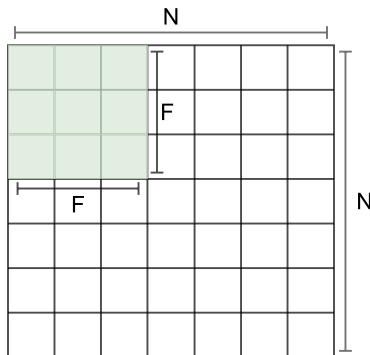


# Stride

So 7x7 input with 3x3 filter and stride 3 doesn't work!

Let's do the calculations:

$$OutputSize = (N - F) / Stride + 1$$



# Stride

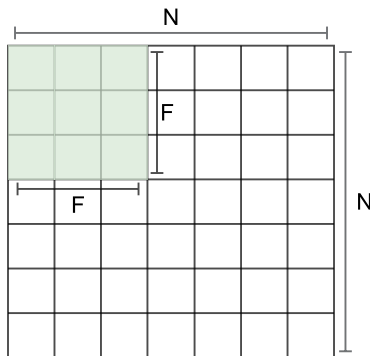
$$\text{OutputSize} = (N - F) / \text{Stride} + 1$$

$$N = 7, F = 3 \Rightarrow$$

■ Stride 1  $\Rightarrow (7 - 3) / 1 + 1 = 5$

■ Stride 2  $\Rightarrow (7 - 3) / 2 + 1 = 3$

■ Stride 3  $\Rightarrow (7 - 3) / 3 + 1 = 2.33 :))$





# Strides

Do you see any problems?

# Strides

## ■ 1. Borders don't get enough attention.

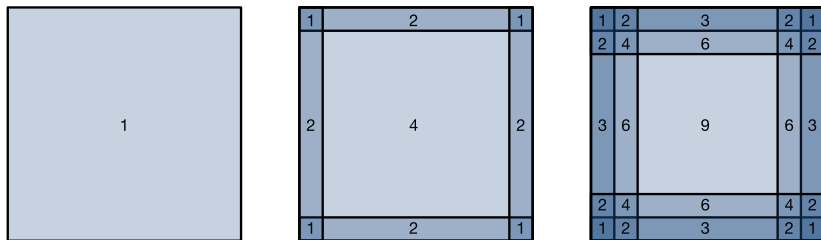


Figure: Dive into Deep Learning, Fig. 7.3.1: Pixel utilization for convolutions of size  $1 \times 1$ ,  $2 \times 2$ , and  $3 \times 3$  respectively.

# Strides

- 1. Borders don't get enough attention.
- 2. Outputs shrink!

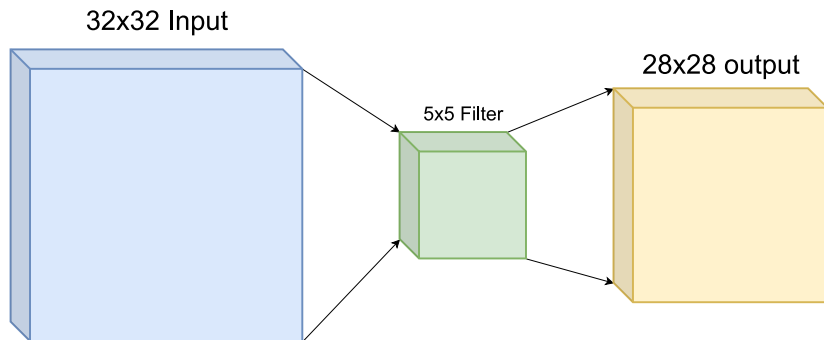


Figure: 32x32 input shrinks to 28x28 output. (information loss)

# Strides

What is the solution?

# Padding

## Enters Padding

# Padding

- We can use Padding to preserve the dimensionality
- It ensures that all pixels are used equally frequently

0	0	0	0	0	0	0	0
0	3	3	4	4	7	0	0
0	9	7	6	5	8	2	0
0	6	5	5	6	9	2	0
0	7	1	3	2	7	8	0
0	0	3	7	1	8	3	0
0	4	0	4	3	2	2	0
0	0	0	0	0	0	0	0

$6 \times 6 \rightarrow 8 \times 8$

\*

1	0	-1
1	0	-1
1	0	-1

$3 \times 3$

=

-10	-13	1			
-9	3	0			

$6 \times 6$

Figure: DataHacker.rs: CNN Padding - Applying padding of 1 before convolving with  $3 \times 3$  filter

# Padding

- It is common to use  $P = (F - 1)/2$  with stride 1 to preserve the input size.

0	0	0	0	0	0	0	0	0
0								0
0								0
0								0
0								0
0								0
0								0
0								0
0	0	0	0	0	0	0	0	0

Figure: Applying zero-padding to a 7x7 input with padding 1

# Padding

- It is common to use  $P = (F - 1)/2$  with stride 1 to preserve the input size.
- $OutputSize = (N + 2P - F)/Stride + 1$

0	0	0	0	0	0	0	0	0
0								0
0								0
0								0
0								0
0								0
0								0
0								0
0	0	0	0	0	0	0	0	0

Figure: Applying zero-padding to a 7x7 input with padding 1



# Padding

- It is common to use  $P = (F - 1)/2$  with stride 1 to preserve the input size.
- $OutputSize = (N + 2P - F)/Stride + 1$

0	0	0	0	0	0	0	0	0
0								0
0								0
0								0
0								0
0								0
0								0
0								0
0	0	0	0	0	0	0	0	0

7x7 input, stride 1, P to preserve the dimensions?

- $F = 3 \rightarrow P = 1$
- $F = 5 \rightarrow P = 2$
- $F = 7 \rightarrow P = 3$

**Figure:** Applying zero-padding to a 7x7 input with padding 1

# Pooling

- Pooling is performed in neural networks to reduce variance and computation complexity

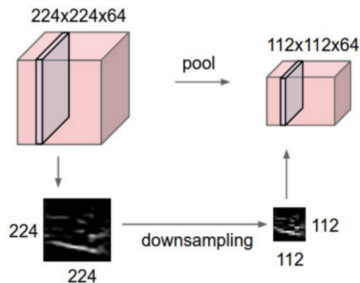


Figure: Pooling Layer

# Pooling: How It Works

- Pooling layers aggregate the inputs using an aggregation function such as the max or mean.

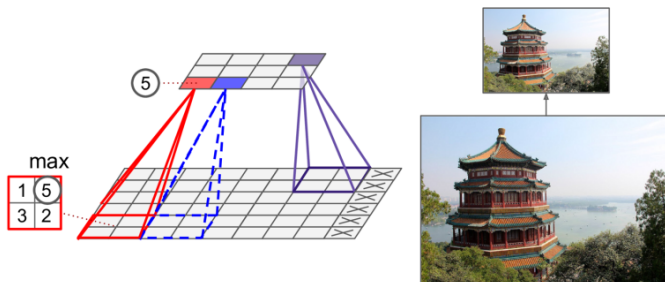


Figure: Max pooling layer ( $2 \times 2$  pooling kernel, stride 2, no padding)

# Pooling: Benefits

- Pooling layers goal is to subsample the input on order to Reduce:
  - ▶ The computational load
  - ▶ The memory usage
  - ▶ The number of parameters
  - ▶ Limiting the risk of overfitting

# Pooling: Types

- Max Pooling: give a better result when the background is white and the object is dark
- Min Pooling: give a better result when the background is dark and the object is white
- Average Pooling: smooths the picture

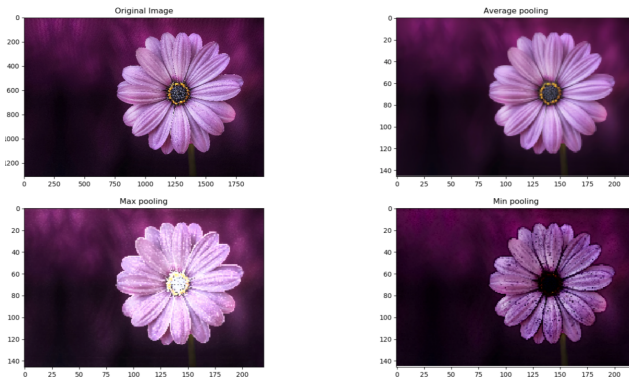


Figure: Different effects of different pooling layers

# Pooling: Max Pooling

- Most common type of pooling layer
- Invariance to small translations

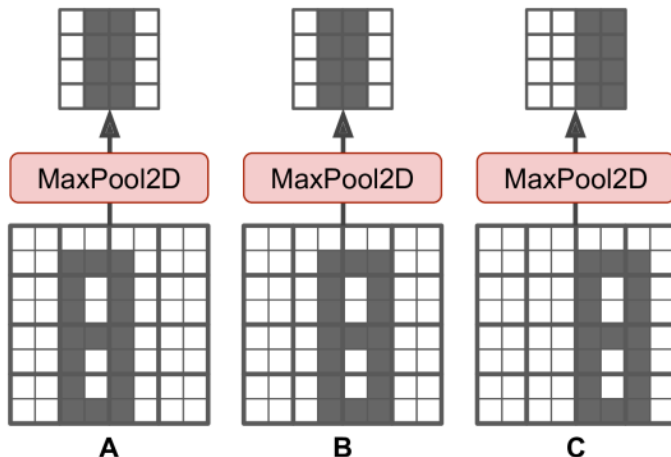


Figure: Invariance to small translations

# Dilation

- It is a technique that expands the kernel (input) by inserting holes between its consecutive elements

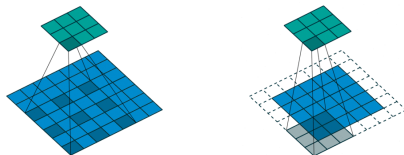


Figure: Dilated Convolution ( $l = 2$ ) vs. Standard Convolution ( $l = 1$ )

# Dilation: Benefits

- Since dilated convolutions support exponential expansion in the context of the receptive field, there is no loss of resolution.
- Dilated convolutions use 'l' as the parameter for the dilation rate. As we increase the value of 'l' it allows one to have a larger receptive field which is really helpful as we are able to view more data points thus saving computation and memory costs



Figure: Image - FCN-8s - DeepLab - DilatedNet - Ground Truth



# Channels, etc.

HI :))

# Arithmetic of CNNs

We will focus on the following simplified setting:

- 2-D discrete convolutions ( $N = 2$ )
- Square inputs ( $i_1 = i_2 = i$ )
- Square kernel size ( $k_1 = k_2 = k$ )
- Same strides along both axes ( $s_1 = s_2 = s$ )
- Same zero padding along both axes ( $p_1 = p_2 = p$ )

# Arithmetic of CNNs: No zero padding, unit strides

- For any  $i$  and  $k$ , and for  $s = 1$  and  $p = 0$ :

$$o = (i - k) + 1 \quad (1)$$

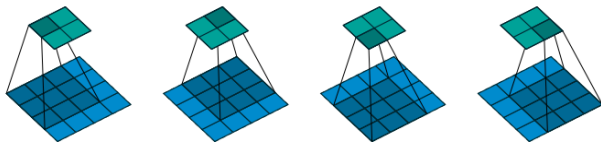
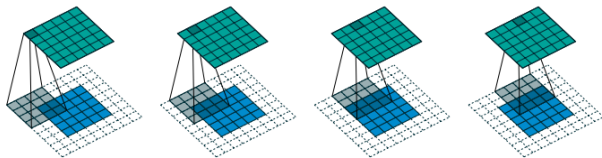


Figure: Convolving a  $3 \times 3$  kernel over a  $4 \times 4$  input using unit strides (i.e.,  $i = 4$ ,  $k = 3$ ,  $s = 1$  and  $p = 0$ )

# Arithmetic of CNNs: Zero padding, unit strides

- For any  $i$ ,  $k$  and  $p$ , and for  $s = 1$ :

$$o = (i - k) + 2p + 1 \quad (2)$$

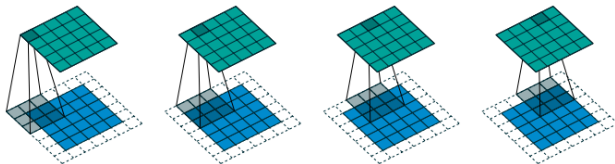


**Figure:** Convolving a  $4 \times 4$  kernel over a  $5 \times 5$  input padded with a  $2 \times 2$  border of zeros using unit strides (i.e.,  $i = 5$ ,  $k = 4$ ,  $s = 1$  and  $p = 2$ )

# Arithmetic of CNNs: Half (same) padding

- For any  $i$  and for  $k$  odd ( $k = 2n + 1, n \in \mathbb{N}$ ),  $s = 1$  and  $p = \lfloor k/2 \rfloor = n$ :

$$\begin{aligned}
 o &= i + 2\lfloor k/2 \rfloor - (k - 1) \\
 &= i + 2n - 2n \\
 &= i
 \end{aligned}
 \tag{3}$$

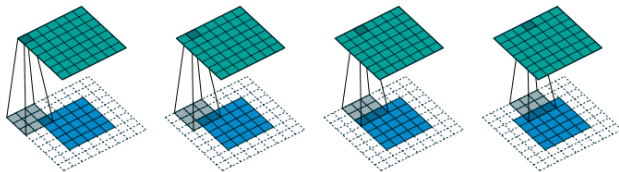


**Figure:** Convolving a  $3 \times 3$  kernel over a  $5 \times 5$  input using half padding and unit strides (i.e.,  $i = 5$ ,  $k = 3$ ,  $s = 1$  and  $p = 1$ )

# Arithmetic of CNNs: Full padding

- For any  $i$  and  $k$ , and for  $p = k - 1$  and  $s = 1$ :

$$\begin{aligned} o &= i + 2(k - 1) - (k - 1) \\ &= i + 2(k - 1) \end{aligned} \tag{4}$$



**Figure:** Convolving a  $3 \times 3$  kernel over a  $5 \times 5$  input using full padding and unit strides (i.e.,  $i = 5$ ,  $k = 3$ ,  $s = 1$  and  $p = 2$ )

# Arithmetic of CNNs: No zero padding, non-unit strides

- For any  $i$ ,  $k$  and  $s$ , and for  $p = 0$ :

$$o = \left\lfloor \frac{i - k}{s} \right\rfloor + 1 \quad (5)$$

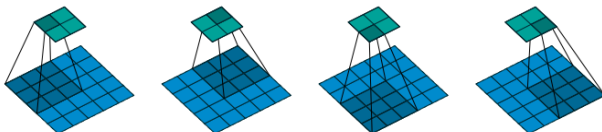
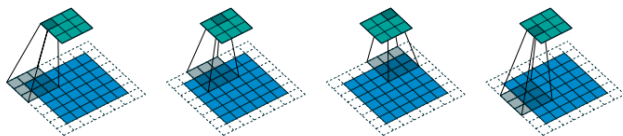


Figure: Convolving a  $3 \times 3$  kernel over a  $5 \times 5$  input using  $2 \times 2$  strides (i.e.,  $i = 5$ ,  $k = 3$ ,  $s = 2$  and  $p = 0$ )

# Arithmetic of CNNs: Zero padding, non-unit strides

- For any  $i$ ,  $k$  and  $s$ , and for  $p = 0$ :

$$o = \lfloor \frac{i + 2p - k}{s} \rfloor + 1 \quad (6)$$



**Figure:** Convoluting a  $3 \times 3$  kernel over a  $6 \times 6$  input padded with a  $1 \times 1$  border of zeros using  $2 \times 2$  strides (i.e.,  $i = 6$ ,  $k = 3$ ,  $s = 2$  and  $p = 1$ ). In this case, the bottom row and right column of the zero padded input are not covered by the kernel



# Upsample CNN

- Resizing feature maps is a common operation in many neural networks, especially those that perform some kind of image segmentation task
- This kind of architecture is famously known as the Encoder-Decoder network

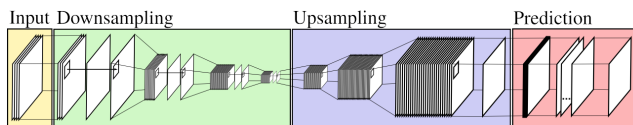


Figure: Schematic of the Downsampling and Upsampling

# Upsample CNN: Techniques

- 1- Nearest Neighbors: In Nearest Neighbors, as the name suggests we take an input pixel value and copy it to the K-Nearest Neighbors where K depends on the expected output

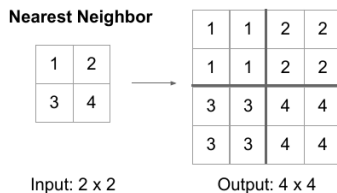


Figure: Nearest Neighbors Upsampling

# Upsample CNN: Techniques

- 2- Bi-Linear Interpolation: In Bi-Linear Interpolation, we take the 4 nearest pixel value of the input pixel and perform a weighted average based on the distance of the four nearest cells smoothing the output

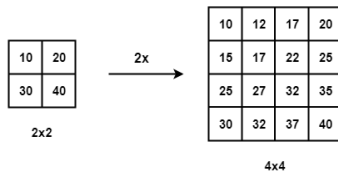


Figure: Bi-Linear Interpolation

# Upsample CNN: Techniques

- 3- Bed Of Nails: In Bed of Nails, we copy the value of the input pixel at the corresponding position in the output image and filling zeros in the remaining positions.

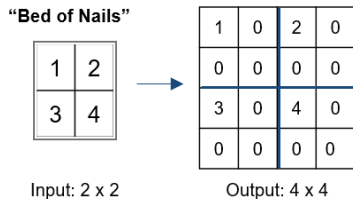


Figure: Bed of Nails Upsampling

# Upsample CNN: Techniques

- 4- Max-Unpooling: To perform max-unpooling, first, the index of the maximum value is saved for every max-pooling layer during the encoding step. The saved index is then used during the Decoding step where the input pixel is mapped to the saved index, filling zeros everywhere else

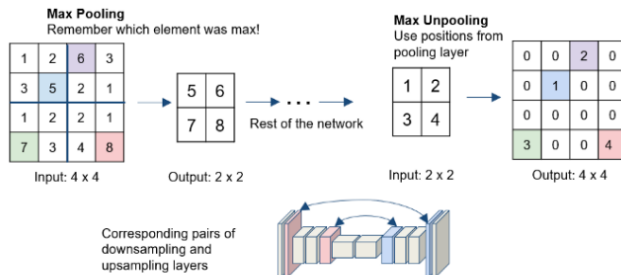
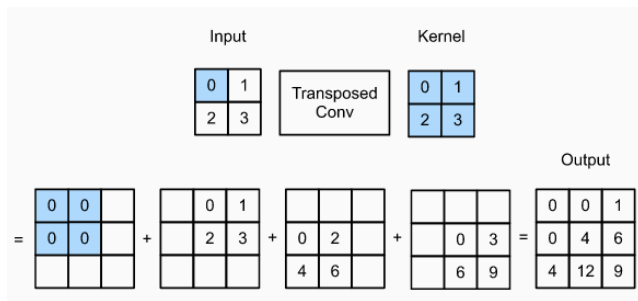


Figure: Max-Unpooling Upsampling

# Transposed CNN

- Transposed Convolutions are used to upsample the input feature map to a desired output feature map using some learnable parameters
- They are the backbone of the modern segmentation and super-resolution algorithms
- They provide the best and most generalized upsampling of abstract representations



**Figure:** Transposed convolution with a  $2 \times 2$  kernel. The shaded portions are a portion of an intermediate tensor as well as the input and kernel tensor elements used for the computation.

# Transposed CNN: Problem

- Transposed convolutions suffer from chequered board effects as shown below. The main cause of this is uneven overlap at some parts of the image causing artifacts. This can be fixed or reduced by using kernel-size divisible by the stride, for e.g taking a kernel size of  $2 \times 2$  or  $4 \times 4$  when having a stride of 2.

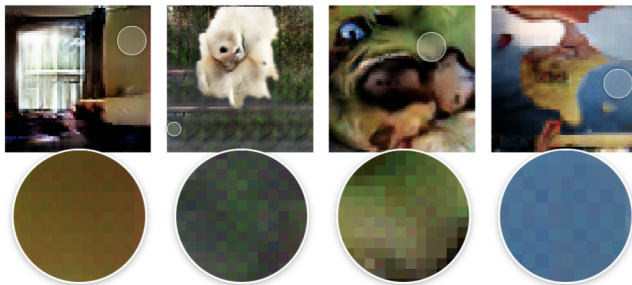


Figure: Checkerboard artifacts effect

# Final Notes

**Thank You!**

**Any Question?**



# References

- Hands-On Machine Learning with Scikit-Learn, Keras, and TensorFlow - Aurélien Géron - 2019 - [Link](#)
- Deep Learning for Vision Systems - Mohamed Elgendy - 2020 - [Link](#)
- Dense semantic labeling of sub-decimeter resolution images with convolutional neural networks - Michele Volpi, Devis Tuia - 2016 - [Link](#)
- Fully Convolutional Networks for Semantic Segmentation - Jonathan Longm, Evan Shelhamer, Trevor Darrell - 2015 - [Link](#)
- Deconvolution and Checkerboard Artifacts - Augustus Odena, Vincent Dumoulin, Chris Olah - 2016 - [Link](#)