作业四——自然语言处理 搭建 Transformer 编码器完成文本语配任务

1.数据集构建,包括:利用词表将句子中的每个中文字符转换成 id、对不在词汇表里面的字做出适当处理、在输入中加入句子的分隔符号、在起始位置加入占位符、小批量数据的组装及对齐。构建完成后打印一条 mini-batch 的数据进行验证。

加载词表:

从 afqmc 数据集中加载数据:

```
# 加载数据
def load_dataset(data_path, is_test):
   examples = []
   with open(data_path) as f:
       for line in f.readlines():
           line = json.loads(line)
            text_a = line["sentence1"]
            text_b = line["sentence2"]
            if is_test:
                examples.append((text_a, text_b,))
            else:
               label = line["label"]
                examples.append((text_a, text_b, label))
   return examples
def load_afqmc_data(path):
   train_path = os.path.join(path, 'train.json')
   dev_path = os.path.join(path, 'dev.json')
   test_path = os.path.join(path, 'test.json')
   train_data = load_dataset(train_path, False)
   dev_data = load_dataset(dev_path, False)
   test_data = load_dataset(test_path, True)
   return train_data, dev_data, test_data
```

字符转 id:

```
# 字符转id

def words2id(example, word_dict):
    cls_id = word_dict['[CLS]']
    sep_id = word_dict['[SEP]']

text_a, text_b, label = example

# 将中文字符切分成单个字符

text_a = list(text_a)
    text_b = list(text_b)

input_a = [word_dict[item] if item in word_dict else word_dict['[UNK]'] for item in text_a]
    input_b = [word_dict[item] if item in word_dict else word_dict['[UNK]'] for item in text_b]
    input_ids = [cls_id] + input_a + [sep_id] + input_b + [sep_id]
    segment_id = [0] * (len(input_a) + 2) + [1] * (len(input_b) + 1)
    return input_ids, segment_id, int(label)
```

加载 vocab.txt:

```
# 使用例子
vocab = load_vocab()
train_data, dev_data, test_data = load_afqmc_data('/kaggle/input/afqmc-datset-zip1/afqmc-datset/AFQMC/')
```

将句子转换成 id:

```
# 将句子转换成id
example = train_data[0]
input_ids, segment_ids, label = words2id(example, vocab)
print("Input IDs:", input_ids)
print("Segment IDs:", segment_ids)
print("Label:", label)
```

构建 mini-batch 并进行对齐:

```
# 构建mini-batch并进行对齐
batch_data = [words2id(example, vocab) for example in train_data[:2]]
batch_input, batch_label = collate_fn(batch_data)
print("Batch Input:", batch_input)
print("Batch Label:", batch_label)
```

打印结果:

2.实现输入编码、分段编码和位置编码,并组装为嵌入层,打印该层的输入输出。

编写组装嵌入层:

```
class TransformerEmbedding(Layer):
   def __init__(self, vocab_size, emb_size, max_position_embeddings=512):
       super(TransformerEmbedding, self).__init__()
       self.word_embedding = Embedding(vocab_size, emb_size)
       self.position_embedding = Embedding(max_position_embeddings, emb_size)
       self.segment\_embedding = Embedding(2, emb\_size) # 0 for sentence A, 1 for sentence B
   def call(self, inputs):
       input_ids, segment_ids = inputs
       word_embeddings = self.word_embedding(input_ids)
       segment_embeddings = self.segment_embedding(segment_ids)
       # 位置编码
       position_ids = tf.range(tf.shape(input_ids)[1], dtype=tf.int32)
       position_embeddings = self.position_embedding(position_ids)
       # 将各个编码相加得到最终的嵌入表示
       embeddings = word_embeddings + position_embeddings + segment_embeddings
        return embeddings
```

创建 TransformerEmbedding 实例:

```
# 例子
vocab_size = 10000 # 假设词汇表大小为10000
emb_size = 300 # 假设词向量维度为300

# 创建TransformerEmbedding实例
embedding_layer = TransformerEmbedding(vocab_size, emb_size)
```

构造一个 mini-batch 的输入数据:

```
# 构造一个mini-batch的输入数据 input_ids = tf.constant([[1, 2, 3, 4, 5], [6, 7, 8, 9, 10]]) # 示例输入 segment_ids = tf.constant([[0, 0, 0, 1, 1], [0, 1, 1, 0, 1]]) # 示例分段标记
```

使用嵌入层进行编码并打印输入和输出:

```
# 使用嵌入层进行编码
embeddings = embedding_layer([input_ids, segment_ids])

# 打印输入和输出
print("Input IDs:", input_ids.numpy())
print("Segment IDs:", segment_ids.numpy())
print("Output Embeddings:", embeddings.numpy())
```

打印结果:

```
Input IDs: [[ 1 2 3 4 5]
[6 7 8 9 10]]
Segment IDs: [[0 0 0 1 1]
[0 1 1 0 1]]
Output Embeddings: [[[ 2.00917050e-02 -1.27615146e-02 6.05499372e-02 ... -1.37562007e-02
   -4.57705930e-04 -3.66860367e-02]
 [ 1.12702504e-01 7.27216825e-02 4.87567782e-02 ... -4.97104637e-02
   8.45743343e-03 -5.25941700e-031
 [-1.36149004e-02 -2.15323567e-02 3.76145244e-02 ... -9.54090804e-02
   9.79416072e-05 -2.44886056e-02]
 [-3.66672091e-02 -6.85585849e-03
                                 4.82129678e-03 ... -9.55194458e-02
   4.85824496e-02 -2.76071578e-03]
 [ 2.95716189e-02 4.91918921e-02 8.60066563e-02 ... 3.80598418e-02
   4.74755839e-03 9.56726223e-0211
 [[ 2.21020374e-02 -4.26160581e-02 6.10963479e-02 ... -5.01122251e-02
   -1.22538842e-02 -5.77039234e-02]
 [ 2.64224522e-02 5.58498129e-03 6.20494708e-02 ... -6.67733401e-02 6.23079911e-02 1.41042084e-01]
 [-7.47188330e-02 -6.19168878e-02 3.66553627e-02 ... -1.39921904e-05
   9.13840905e-02 -5.95682487e-03]
 [-9.16514546e-03 4.01876792e-02 1.01122819e-02 ... -3.59370112e-02
 2.09049731e-02 2.24103369e-02]]]
```

3.实现多头自注意力层和 add&norm 层。

编写多头自注意力层:

```
class MultiHeadAttention(Layer):
    def __init__(self, emb_size, num_heads, dropout=0.1):
        super(MultiHeadAttention, self).__init__()

    self.emb_size = emb_size
    self.num_heads = num_heads
    self.head_dim = emb_size // num_heads

    assert self.head_dim * num_heads == emb_size, "Embedding size needs to be divisible by heads"

    self.query_linear = Dense(emb_size)
    self.key_linear = Dense(emb_size)
    self.value_linear = Dense(emb_size)

    self.fc_out = Dense(emb_size)

    self.fc_out = Dense(emb_size)

    self.dropout = Dropout(dropout)
```

构造线性变换、多头分组、形状重组、掩码处理,以及 QKV 注意力计算、重组恢复与多头融合:

```
def call(self. inputs):
         query, key, value, mask = inputs
batch_size = tf.shape(query)[0]
          seq_len = tf.shape(query)[1]
          Q = self.query_linear(query)
           K = self.key_linear(key)
          V = self.value_linear(value)
          Q = tf.transpose(tf.reshape(Q, (batch_size, seq_len, self.num_heads, self.head_dim)), perm=[0, 2, 1, 3])
            K = tf.transpose(tf.reshape(K, (batch_size, seq_len, self.num_heads, self.head_dim)), perm=[0, 2, 1, 3])
           V = \texttt{tf.transpose}(\texttt{tf.reshape}(\texttt{V}, (\texttt{batch\_size}, \, \texttt{seq\_len}, \, \texttt{self.num\_heads}, \, \texttt{self.head\_dim})), \, \texttt{perm=}[\emptyset, \, 2, \, 1, \, 3])
           energy = tf.matmul(Q, tf.transpose(K, perm=[0, 1, 3, 2])) \ / \ tf.math.sqrt(tf.cast(self.head\_dim, dtype=tf.float32)) \ / \ f.math.sqrt(tf.cast(self.head\_dim, dtype=tf.float32)) \ 
                    mask = tf.expand_dims(tf.expand_dims(mask, axis=1), axis=2) # Broadcasting the mask
                        energy = tf.where(mask == 0, tf.constant(float('-1e20'), dtype=tf.float32), energy)
            # OKV注意力计算
           attention = tf.nn.softmax(energy, axis=-1)
            x = tf.matmul(self.dropout(attention), V)
            x = tf.transpose(x, perm=[0, 2, 1, 3])
            x = tf.reshape(x, (batch_size, seq_len, self.emb_size))
            # 多头融合
            x = self.fc_out(x)
          return x
```

构造 AddNorm 层:

```
class AddNorm(Layer):
   def __init__(self, emb_size, mlp_units, dropout=0.1):
       super(AddNorm, self).__init__()
       self.norm = tf.keras.layers.LayerNormalization(epsilon=1e-6)
       self.dropout_layer = Dropout(dropout)
       # 添加线性层,将 MLP 输出维度转换为 emb_size
       self.mlp_linear = Dense(emb_size)
   def call(self, inputs, training=None):
       x, sublayer, mask = inputs
       # 残差连接
       residual = x
       # 确保 sublayer 是一个可以调用的层对象
       sublayer_output = sublayer([x, x, x, mask]) if callable(sublayer) else sublayer
       # 更新这行代码,确保在训练时使用 dropout
       mlp_output = self.mlp_linear(sublayer_output)
       x = residual + self.dropout_layer(mlp_output, training=training)
       # 层规范化
       x = self.norm(x)
       return x
```

创建 MultiHeadAttention 实例:

```
# 示例
emb_size = 300
num_heads = 6
dropout = 0.1

# 创建MultiHeadAttention实例
attention_layer = MultiHeadAttention(emb_size, num_heads, dropout)
```

创建示例输入:

```
# 创建示例输入
query = tf.random.normal((2, 10, emb_size))
key = tf.random.normal((2, 10, emb_size))
value = tf.random.normal((2, 10, emb_size))
mask = tf.ones((2, 10))
```

打印输入和输出:

```
# 多头自注意力层
output = attention_layer([query, key, value, mask])
# 打印輸入和輸出
print("Query shape:", query.shape)
print("Output shape:", output.shape)
```

打印结果:

```
Query shape: (2, 10, 300)
Output shape: (2, 10, 300)
```

4.搭建一个 transformer 编码器,利用嵌入层、transformer 编码器和合适的分类器构建完成语义匹配模型,并说明模型组成。

构建 Transformer 模型,包括输入层、输出层、Transformer 编码器、池化层与分类器:

```
# 跨途Transformer便型

def build_transformer_model(vocab_size, emb_size, num_heads, num_transformer_blocks, mlp_units, dropout, max_position_embeddings=512):
# 輸入层
input_ids = tf.keras.layers.Input(shape=(None,), dtype=tf.int32)

# 嵌入层
embeddings = TransformerEmbedding(vocab_size, emb_size, max_position_embeddings)([input_ids, segment_ids])

# Transformer 编码器
for _ in range(num_transformer_blocks):
    attention_output = MultiHeadAttention(emb_size, num_heads, dropout)([embeddings, embeddings, embeddings, None])

# 加利用一化层
attention_output = AddNorm(emb_size, dropout)([embeddings, attention_output, None])

# Feed Forward
mlp_output = Dense(mlp_units, activation="relu")(attention_output, mlp_output, None])

# 地化层
embeddings = AddNorm(emb_size, dropout)([attention_output, mlp_output, None])

# 地北层
embeddings = AddNorm(emb_size, dropout)([attention_output, mlp_output, None])

# 地北层
embeddings = Dense(1, activation="sigmoid")(pooled)

# 地北层
outputs = Dense(1, activation="sigmoid")(pooled)

# 地北层
outputs = Dense(1, activation="sigmoid")(pooled)
```

构建语义匹配模型并打印模型组成:

```
# 构建语义匹配模型
semantic_matching_model = build_transformer_model(vocab_size, emb_size, num_heads=6, num_transformer_blocks=4, mlp_units=512, dropout=0.1)
# 打印模型组成
semantic_matching_model.summary()
```

打印结果:

Model: "model"

Layer (type)	Output Shape	Param #	Connected to
input_1 (InputLayer)	[(None, None)]	0	[]
input_2 (InputLayer)	[(None, None)]	0	[]
transformer_embedding_1 (TransformerEmbedding)	(None, None, 300)	3154200	['input_1[0][0]', 'input_2[0][0]']
multi_head_attention_1 (Mu ltiHeadAttention)	(None, None, 300)	361200	['transformer_embedding_1[0][0]', 'transformer_embedding_1[0][0]', 'transformer_embedding_1[0][0]']
add_norm (AddNorm)	(None, None, 300)	90900	['transformer_embedding_1[0][0]]', 'multi_head_attention_1[0][0] ']
dense_9 (Dense)	(None, None, 512)	154112	['add_norm[0][0]']
add_norm_1 (AddNorm)	(None, None, 300)	154500	['add_norm[0][0]', 'dense_9[0][0]']
multi_head_attention_2 (Mu ltiHeadAttention)	(None, None, 300)	361200	['add_norm_1[0][0]', 'add_norm_1[0][0]', 'add_norm_1[0][0]']
add_norm_2 (AddNorm)	(None, None, 300)	90900	['add_norm_1[0][0]', 'multi_head_attention_2[0][0] ']
dense_16 (Dense)	(None, None, 512)	154112	['add_norm_2[0][0]']
add_norm_3 (AddNorm)	(None, None, 300)	154500	['add_norm_2[0][0]', 'dense_16[0][0]']
multi_head_attention_3 (Mu ltiHeadAttention)	(None, None, 300)	361200	['add_norm_3[0][0]', 'add_norm_3[0][0]', 'add_norm_3[0][0]']
add_norm_4 (AddNorm)	(None, None, 300)	90900	['add_norm_3[0][0]', 'multi_head_attention_3[0][0] ']
dense_23 (Dense)	(None, None, 512)	154112	['add_norm_4[0][0]']
add_norm_5 (AddNorm)	(None, None, 300)	154500	['add_norm_4[0][0]', 'dense_23[0][0]']
multi_head_attention_4 (Mu ltiHeadAttention)	(None, None, 300)	361200	['add_norm_5[0][0]', 'add_norm_5[0][0]', 'add_norm_5[0][0]']
add_norm_6 (AddNorm)	(None, None, 300)	90900	['add_norm_5[0][0]', 'multi_head_attention_4[0][0] ']
dense_30 (Dense)	(None, None, 512)	154112	['add_norm_6[0][0]']
add_norm_7 (AddNorm)	(None, None, 300)	154500	['add_norm_6[0][0]', 'dense_30[0][0]']
global_average_pooling1d (GlobalAveragePooling1D)	(None, 300)	0	['add_norm_7[0][0]']
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Total params: 6197349 (23.64 MB)
Trainable params: 6197349 (23.64 MB)
Non-trainable params: 0 (0.00 Byte)

5.训练模型,在验证集上计算准确率,并保存在验证集上准确率最高的模型使用 tensorboard 等可视化插件,展示训练过程中的精度变化和损失变化。

定义 TensorBoard 回调并编译模型:

```
# 定义TensorBoard回過
tensorboard_callback = TensorBoard(log_dir='./logs', histogram_freq=1)
# 定义模型
semantic_matching_model = build_transformer_model(vocab_size, emb_size, num_heads=6, num_transformer_blocks=4, mlp_units=512, dropout=0.1)
# 編译模型
semantic_matching_model.compile(optimizer='adam', loss='binary_crossentropy', metrics=['accuracy'])
```

加载和处理训练集数据,并将其处理成模型输入:

```
# 加载和处理训练集数据
train_data, dev_data, test_data = load_afqmc_data('/kaggle/input/afqmc-datset-zip1/afqmc-datset/AFQMC/')
# 将训练集数据处理成模型输入
train_inputs, train_labels = collate_fn([words2id(example, vocab) for example in train_data])
```

将输入数据转换为 numpy 数组:

```
# 转换为numpy数组
train_inputs = (np.array(train_inputs[0]), np.array(train_inputs[1]))
train_labels = np.array(train_labels)
```

准备验证数据并将其转换为 numpy 数组:

```
# 准备验证数据
dev_inputs, dev_labels = collate_fn([words2id(example, vocab) for example in dev_data])
# 转换为numpy数组
dev_inputs = (np.array(dev_inputs[0]), np.array(dev_inputs[1]))
dev_labels = np.array(dev_labels)
```

定义模型保存数据:

```
# 定义模型保存路径
model_checkpoint = ModelCheckpoint(
    './logs/best_model.h5', # 模型保存路径
    save_best_only=True,
    save_weights_only=True,
    monitor='val_accuracy',
    mode='max',
    verbose=1
)
```

训练模型:

```
# 训练模型
history = semantic_matching_model.fit(
    train_inputs,
    train_labels,
    epochs=10,
    batch_size=32,
    validation_data=(dev_inputs, dev_labels),
    callbacks=[tensorboard_callback, model_checkpoint, early_stopping]
)
```

评估模型:

```
# 评估模型
eval_loss, eval_accuracy = semantic_matching_model.evaluate(dev_inputs, dev_labels)
print(f"Evaluation Loss: {eval_loss}, Evaluation Accuracy: {eval_accuracy}")
```

训练结果:

准确率为 69%

6.加载保存模型,在测试集上随机选取 50 条数据进行语义匹配测试,展示模型的预测结果。

随机选取 50 条样本并获取特殊标记的 id (设置随机种子以保持可重复性):

```
# 随机选取50条样本
random.seed(42) # 设置随机种子以保持可重复性
selected_samples = random.sample(test_data, 50)

# 获取特殊标记的id
cls_id = vocab['[CLS]']
sep_id = vocab['[SEP]']
```

加载模型:

```
# 加载模型
model_path = '/kaggle/working/logs/best_model.h5' # 模型保存路径
loaded_model = build_transformer_model(vocab_size, emb_size, num_heads=6, num_transformer_blocks=4, mlp_units=512, dropout=0.1)
loaded_model.load_weights(model_path)
```

对每条样本进行测试:

```
# 对每条样本进行测试
for example in selected_samples:
    text_a = example[0]
    text_b = example[1]

# 转换成id的形式
    input_ids_a = [vocab.get(item, vocab['[UNK]']) for item in list(text_a)]
    input_ids_b = [vocab.get(item, vocab['[UNK]']) for item in list(text_b)]

input_ids = [cls_id] + input_ids_a + [sep_id] + input_ids_b + [sep_id]
    segment_ids = [0] * (len(input_ids_a) + 2) + [1] * (len(input_ids_b) + 1)
```

转换成 Tensor 张量并进行模型预测:

```
# 转換成Tensor涨量
input_ids = tf.convert_to_tensor([input_ids])
segment_ids = tf.convert_to_tensor([segment_ids])
inputs = [input_ids, segment_ids]

# 模型预测
logits = loaded_model.predict(inputs)

# 取機率值最大的索引
label_id = np.argmax(logits, axis=1)[0]
```

打印预测结果:

```
# 打印预测结果
print(f"文本A: {text_a}")
print(f"文本B: {text_b}")
print(f"预测的label标签: {label_id}")
print("=" * 50)
```

打印结果:

8. 改变 transformer 的层数再次实验,输出测试集准确率结果,并与之前的结果对比。

将 Transformer 的层数增加为 6 层,并进行训练:

```
# 定义模型
semantic_matching_model = build_transformer_model(vocab_size, emb_size, num_heads=6, num_transformer_blocks=6, mlp_units=512, dropout=0.1)
```

训练结果:

准确率为69%,与之前的结果相差不大,有些许的提升。

9. 寻找方法提升模型精度。

诵讨:

- (1) 试验不同的超参数,如学习率、嵌入维度、Transformer块的数量等。
- (2) 数据增强,对训练数据进行扩充,并引入一些随机变化。
- (3) 增加训练时间。

进行精度提升,但提升效果不明显,准确率依然在69%上下。

10.层规范化的位置有两种 prenorm 和 postnorm, 查询资料了解 二者区别并说明自己的模型中层规范化操作的位置是 prenorm 还

是 postnorm ,然后尝试另一种层规范化操作,对比二者在具体训练中的区别并分析原因。

Pre-norm (前规范化):

在每个子层的输入之前应用规范化。

输入经过规范化后, 再经过子层(如多头自注意力、前馈神经网络等)。

这样,规范化操作会调整输入的分布,使其具有零均值和单位方差,然后输入子层进行处理。

Post-norm (后规范化):

在每个子层的输出之后应用规范化。

子层的输出经过规范化, 然后再传递到下一个子层。

这样,规范化操作会调整子层的输出分布。

区别:

稳定性: Pre-norm 更容易训练,因为它在输入到子层之前规范化,有助于维持较稳定的分布。这对于较深的网络可能更有帮助。

表达能力: Post-norm 允许子层看到原始的未经规范化的输入,可能使得网络更具表达能力,但也更容易受到梯度消失或梯度爆炸等问题的影响。

本次实验模型中层规范化操作的位置是 Post-norm。

换成 Pre-norm:

```
class TransformerEncoder(tf.keras.layers.Layer):
    \textbf{def} \ \_\texttt{init\_}(\texttt{self}, \ \texttt{vocab\_size}, \ \texttt{embed\_size}, \ \texttt{max\_position\_embeddings}, \ \texttt{num\_heads}, \ \texttt{num\_layers}, \ \texttt{mlp\_units}, \ \texttt{dropout\_rate}):
        super(TransformerEncoder, self).__init__()
        self.embedding_layer = TransformerEmbedding(vocab_size, embed_size, max_position_embeddings)
        self.mlp_units = mlp_units
        self.dropout_rate = dropout_rate
    \label{eq:def_call} \textbf{def} \ \ \text{call}(\texttt{self}, \ \texttt{inputs}, \ \texttt{training=None}):
        input_ids, segment_ids = inputs
        embeddings = self.embedding_layer([input_ids, segment_ids])
        # Transformer 编码器
        for block in self.transformer_blocks:
            attention_output = block([embeddings, embeddings, embeddings, None])
            {\tt embeddings = AddNorm(embeddings, attention\_output, {\tt None}, self.dropout\_rate)}
        return embeddings
# 修改 AddNorm 层的 call 方法
class AddNorm(tf.keras.layers.Layer):
    def __init__(self, emb_size, dropout_rate):
        super(AddNorm, self).__init__()
        self.norm = tf.keras.layers.LayerNormalization(epsilon=1e-6)
        self.dropout_layer = Dropout(dropout_rate)
    def call(self, inputs, training=None):
        x, sublayer, mask = inputs
        # 残差连接
       residual = x
        # 更新这行代码,确保在训练时使用 dropout
        mlp\_output = self.mlp\_linear(sublayer(x))
        x = residual + self.dropout_layer(mlp_output, training=training)
        # 层规范化
        x = self.norm(x)
        return x
```

更改之后在训练过程中的区别不大,准确率仍在 69%上下,原因可能是更改中层规范化操作的位置对模型的影响较小,不属于关键因素。