

# **UE23CS352A: Machine Learning Hackathon Hackman**

## **Analysis Report**

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## Key observations:

## Challenges Faced:

- **Sparse and Delayed Rewards:**

In Hangman, meaningful rewards (like completing a word) occur rarely. This made it difficult for the agent to correlate actions (letter guesses) with eventual success.

- **Large and Complex State Space:**

Each word length introduces unique letter positions and dependencies. Encoding the pattern, guessed letters, and remaining lives in a compact way that preserves semantic meaning was challenging.

- **Language Dependency:**

Hangman inherently depends on linguistic probability (e.g., “q” usually followed by “u”). The DQN alone could not infer such rules efficiently without help from a language model.

- **Fusion Tuning (DQN + HMM):**

Finding the right fusion factor  $\alpha$  between the DQN’s Q-values (strategic reasoning) and the HMM’s probabilities (language structure) required multiple experiments.

We observed that  $\alpha = 0.7$  gave the most stable results — allowing the agent to follow linguistic logic while still prioritizing its learned policy.

## Insights Gained

- The combination of reinforcement learning and probabilistic modeling works far better than either approach alone — DQN handles decision patterns while HMM captures language flow.
- Even with modest success (~14% win rate), the letter accuracy (~50%) showed that the agent had learned structured guessing instead of random selection.
- The reward curve revealed high variability depending on word difficulty — shorter or common words yielded high rewards, while rare or long words caused performance dips.
- Designing the right reward function and state encoding had a bigger impact on stability than network size or training duration.

## Strategies:

### HMM Design Choices:

- We trained five separate HMMs, each specializing in a word-length range:
  - HMM\_1 (3–5 letters)
  - HMM\_2 (6–7 letters)
  - HMM\_3 (8–9 letters)
  - HMM\_4 (10–12 letters)
  - HMM\_5 (13+ letters)
- Each HMM captures the statistical transitions between letters (e.g.,  $t \rightarrow h \rightarrow e$ ) in words of that range.
- The best number of hidden states for each model was found using the Bayesian Information Criterion (BIC) to prevent overfitting.
- During evaluation, the HMM corresponding to the word's length predicts letter probability distributions, providing language-based priors to the DQN.

### Reinforcement Learning (DQN) Design

- **State Representation (41 features):**
  1. 26-length binary pattern vector (revealed letters).
  2. 26-length guessed-letter vector (history of guesses).
- **Network Architecture:**

The DQN had **41**  $\rightarrow$  **256**  $\rightarrow$  **128**  $\rightarrow$  **26** neurons, using ReLU activations. Output dimension (26) corresponds to all possible letter actions.

- **Reward Function Design:**

Action	Reward
Correct letter	+1
Complete word	+10
Wrong letter	-1
Repeated guess	-0.5

- These values balance short-term accuracy and long-term success, preventing random exploration while encouraging efficient word completion.

## Exploration vs. Exploitation

### Training Phase

- Used an  $\epsilon$ -greedy policy to manage the exploration–exploitation trade-off:
  - Initially,  $\epsilon = 1.0$  i.e pure exploration (random guesses).
  - Gradually decayed to  $\epsilon = 0.1$  , so more exploitation as the model learned.
- This approach ensured:
  - The agent explored enough letter combinations early on.
  - Later, it exploited high-value Q-actions to stabilize performance.

### Evaluation Phase

- Set  $\epsilon = 0$  (pure exploitation).  
The agent only used the trained Q-values and fused HMM probabilities for

deterministic, consistent gameplay.

## Outcome

- The  $\epsilon$ -decay strategy reduced random noise and improved convergence.
- Combined with  $\alpha$ -fusion, it maintained a balance between learning from environment (RL) and leveraging linguistic priors (HMM).

## Future Improvements:

### (a) Improved State Encoding

Replace one-hot letter encoding with **learned embeddings** or **positional encodings** (similar to Transformers) for more expressive state representations.

### (b) Curriculum-Based Training

Start with short, simple words and gradually progress to longer, complex words to improve training stability and prevent early overfitting.

### (c) Smarter Exploration

Use softmax exploration or entropy regularization instead of  $\epsilon$ -greedy to ensure more consistent exploration of near-optimal actions.

### (d) Transformer-Based Language Priors

Replace HMMs with a character-level Transformer model to better capture long-term letter dependencies and context.

### (e) Reward Shaping

Introduce intermediate rewards for uncovering new letters or partial segments to provide denser learning signals and faster convergence.