ProjectGroupBNeutrino

May 17, 2024

0.1 Introduction

0.1.1 Project Overview

This project focuses on neutrino interactions and classifications within the context of data generated by the NOvA experiment. Neutrinos, fundamental particles with near-zero mass and neutral charge, interact via weak processes, classified as either charged-current (CC) or neutral-current (NC) interactions. The NOvA experiment, provides a unique dataset for analysis, predominantly comprising muon neutrinos and offers a comprehensive view of neutrino interactions within a broad spectrum of energies.

0.1.2 Objectives

The primary objective of this mini-project is to develop and refine a machine learning classifier capable of distinguishing between ν_{μ} charged-current events and other interaction types. We aim to: - Classify neutrino interaction events based on the presence of μ charged-current interactions. - Investigate the classifier's efficiency across different interaction types and energy levels.

0.2 Environment Setup

The computational environment is prepared with necessary Python libraries such as NumPy, Pandas, Matplotlib, and Scikit-learn, essential for data manipulation, visualization, and machine learning modelling. Additionally, TensorFlow is used for Neural Network architecture.

```
# Machine learning and neural network frameworks
import tensorflow as tf
from tensorflow import keras
from keras.models import Model
from keras import layers
         # required blocks for NNs
from keras.layers import Input, Conv2D, BatchNormalization, Flatten,
 Activation, Add, GlobalAveragePooling2D, Dense, MaxPooling2D, concatenate,
→Dropout
from tensorflow.keras.models import load_model
         # Loads saved models
from sklearn.model_selection import train_test_split
from tensorflow.keras.callbacks import EarlyStopping, ModelCheckpoint,
 →ReduceLROnPlateau # Effective model fitting
# Visualization
import matplotlib.pyplot as plt
import seaborn as sns
                                                                 ш
          # data visualization based on matplotlib
# Utility and helper libraries
from numpy import loadtxt
from alive_progress import alive_bar
                                                                 ш
         # progress bar
import time
         # time-related functions
import random
from collections import Counter
         # stores elements as dict. keys, counts as values
from keras import callbacks
         # model training utillity
from sklearn.metrics import accuracy score
         # model evaluation utillity
from sklearn.metrics import precision_score
from sklearn.metrics import recall_score
from sklearn.metrics import f1_score
```

```
from sklearn.metrics import cohen_kappa_score
from sklearn.metrics import roc_auc_score
from sklearn.metrics import confusion_matrix
```

Intel MKL WARNING: Support of Intel(R) Streaming SIMD Extensions 4.2 (Intel(R) SSE4.2) enabled only processors has been deprecated. Intel oneAPI Math Kernel Library 2025.0 will require Intel(R) Advanced Vector Extensions (Intel(R) AVX) instructions.

Intel MKL WARNING: Support of Intel(R) Streaming SIMD Extensions 4.2 (Intel(R) SSE4.2) enabled only processors has been deprecated. Intel oneAPI Math Kernel Library 2025.0 will require Intel(R) Advanced Vector Extensions (Intel(R) AVX) instructions.

2024-05-17 15:13:58.727147: I tensorflow/core/platform/cpu_feature_guard.cc:193] This TensorFlow binary is optimized with oneAPI Deep Neural Network Library (oneDNN) to use the following CPU instructions in performance-critical operations: SSE4.1 SSE4.2

To enable them in other operations, rebuild TensorFlow with the appropriate compiler flags.

0.3 Classes

```
[2]: import enum
    class Interaction(enum.Enum):
        kNumuQE = 0
                           # Numu CC QE interaction
        kNumuRes =1
                            # Numu CC Resonant interaction
        kNumuDIS = 2
                            # Numu CC DIS interaction
        kNumuOther = 3
                            # Numu CC, other than above
        kNueQE = 4
                            # Nue CC QE interaction
                            # Nue CC Resonant interaction
        kNueRes = 5
        kNueDIS = 6
                            # Nue CC DIS interaction
        kNueOther = 7
                            # Nue CC, other than above
        kNutauQE = 8
                            # Nutau CC QE interaction
        kNutauRes = 9
                            # Nutau CC Resonant interaction
                            # Nutau CC DIS interaction
        kNutauDIS =10
        kNutauOther =11
                             # Nutau CC, other than above
        kNuElectronElastic = 12# NC Nu On E Scattering
        kNC = 13
                             # NC interaction
        kCosmic =14
                            # Cosmic ray background
        kOther = 15
                            # Something else. Tau? Hopefully we don't use this
                             # Number of interaction types, used like a vector size
        kNIntType=16
    class FinalState(enum.Enum):
                               # Numu CC - no track no shower
        kNumuOtrOsh=0
```

```
kNumuOtr1sh=1
                       # Numu CC - no track 1 shower
                                 # Numu CC - no track 2 shower
kNumu0tr2sh=enum.auto()
kNumuOtrMsh=enum.auto()
                                 # Numu CC - no track 3+ shower
kNumu1tr0sh=enum.auto()
                                 # Numu CC - 1 track no shower
                                 # Numu CC - 1 track 1 shower
kNumu1tr1sh=enum.auto()
kNumu1tr2sh=enum.auto()
                                 # Numu CC - 1 track 2 shower
                                 # Numu CC - 1 track 3+ shower
kNumu1trMsh=enum.auto()
kNumu2tr0sh=enum.auto()
                                 # Numu CC - 2 track no shower
                                 # Numu CC - 2 track 1 shower
kNumu2tr1sh=enum.auto()
                                 # Numu CC - 2 track 2 shower
kNumu2tr2sh=enum.auto()
                                 # Numu CC - 2 track 3+ shower
kNumu2trMsh=enum.auto()
                                 # Numu CC - 3+ track no showe
kNumuMtrOsh=enum.auto()
kNumuMtr1sh=enum.auto()
                                 # Numu CC - 3+ track 1 shower
kNumuMtr2sh=enum.auto()
                                 # Numu CC - 3+ track 2 showe
                                 # Numu CC - 3+ track 3+ shower
kNumuMtrMsh=enum.auto()
kNueOtrOsh=enum.auto()
                                 # Nue CC - no track no shower
                                 # Nue CC - no track 1 shower
kNueOtr1sh=enum.auto()
kNue0tr2sh=enum.auto()
                                 # Nue CC - no track 2 showe
                                 # Nue CC - no track 3+ shower
kNueOtrMsh=enum.auto()
kNue1tr0sh=enum.auto()
                                 # Nue CC - 1 track no shower
                                 # Nue CC - 1 track 1 shower
kNue1tr1sh=enum.auto()
                                 # Nue CC - 1 track 2 shower
kNue1tr2sh=enum.auto()
kNue1trMsh=enum.auto()
                                 # Nue CC - 1 track 3+ shower
                                 # Nue CC - 2 track no shower
kNue2tr0sh=enum.auto()
kNue2tr1sh=enum.auto()
                                 # Nue CC - 2 track 1 shower
kNue2tr2sh=enum.auto()
                                 # Nue CC - 2 track 2 shower
                                 # Nue CC - 2 track 3+ shower
kNue2trMsh=enum.auto()
kNueMtrOsh=enum.auto()
                                 # Nue CC - 3+ track no shower
                                 # Nue CC - 3+ track 1 shower
kNueMtr1sh=enum.auto()
kNueMtr2sh=enum.auto()
                                 # Nue CC - 3+ track 2 shower
                                 # Nue CC - 3+ track 3+ shower
kNueMtrMsh=enum.auto()
kNCOtrOsh=enum.auto()
                                # NC CC - no track no shower
kNCOtr1sh=enum.auto()
                                # NC CC - no track 1 shower
                                # NC CC - no track 2 shower
kNCOtr2sh=enum.auto()
kNCOtrMsh=enum.auto()
                                # NC CC - no track 3+ shower
                                # NC CC - 1 track no shower
kNC1tr0sh=enum.auto()
                                # NC CC - 1 track 1 shower
kNC1tr1sh=enum.auto()
                                # NC CC - 1 track 2 shower
kNC1tr2sh=enum.auto()
                                # NC CC - 1 track 3+ shower
kNC1trMsh=enum.auto()
                                # NC CC - 2 track no shower
kNC2trOsh=enum.auto()
kNC2tr1sh=enum.auto()
                                # NC CC - 2 track 1 shower
                                # NC CC - 2 track 2 shower
kNC2tr2sh=enum.auto()
kNC2trMsh=enum.auto()
                                # NC CC - 2 track 3+ shower
                                # NC CC - 3+ track no shower
kNCMtrOsh=enum.auto()
                                # NC CC - 3+ track 1 shower
kNCMtr1sh=enum.auto()
kNCMtr2sh=enum.auto()
                                # NC CC - 3+ track 2 shower
```

```
kNCMtrMsh=enum.auto() # NC CC - 3+ track 3+ shower
kCosmicFS=enum.auto() # Cosmic ray background
kOtherFS=enum.auto() # Something else. Tau? Hopefully we don't
use this
kNFStType=enum.auto() # Number of final state types, used like au
uvector size
```

0.4 Functions Used

```
# FUNCTION getFile #
    def getFile(file_id):
        11 11 11
        Retrieves a file based on its ID from a specific URL and saves it locally.
        This function constructs a file name using the provided file ID, downloads,
        file from a predefined URL, saves it locally with the same file name, and
     \hookrightarrow then
        opens the file using h5py for further operations.
        Args:
            file\_id (int or str): The ID of the file to be retrieved. This ID is_{\sqcup}
      \hookrightarrowused
                                 in constructing the file name.
        Returns:
            h5py.File: An open h5py File object of the downloaded file.
        Note:
            This function prints the URL of the file to be downloaded and requires \Box
      \hookrightarrow a.n.
            internet connection to work. The function depends on the `urllib` and __

¬ `h5py `
            modules for downloading and opening the file, respectively. Ensure these
            modules are installed and imported in your script.
        name = "neutrino"+str(file_id)+".h5"
        addy = 'http://www.hep.ucl.ac.uk/undergrad/0056/other/projects/nova/' + name
        print(addy)
```

```
# Copy a network object to a local file
   urllib.request.urlretrieve(addy, name)
   #Open the h5 file with h5py
   df=h5py.File(name)
   return df
#######################
# FUNCTION getEvent #
def getEvent(df, event_id, lepenergy_bool = False, nuenergy_bool = False, u
 →interaction_bool = False, finalstate_bool = False ):
   Retrieves event data from an h5 file based on the specified event ID and
 \hookrightarrow options.
    This function extracts a specific event's data from an h5 file, including ⊔
 \hookrightarrow the
    event's image representation and, optionally, its lepton energy, neutrino\Box
    interaction type, and final state information based on the boolean flags\sqcup
 \neg provided.
   Args:
        df (h5py.File): The h5py File object containing the dataset.
       event_id (int): The ID of the event to retrieve data for.
        lepenergy\_bool (bool): If True, retrieve lepton energy data. Default is_{\sqcup}
 \hookrightarrow False.
       nuenergy\_bool (bool): If True, retrieve neutrino energy data. Default\sqcup
 \hookrightarrow is False.
       interaction_bool (bool): If True, retrieve interaction type data. ⊔
 \hookrightarrow Default is False.
        final state\_bool (bool): If True, retrieve final state data. Default is_{\sqcup}
 \hookrightarrow False.
   Returns:
       tuple: A tuple containing the following elements based on the provided,
 \hookrightarrow flags:
               - np.array: The event's image representation as a reshaped array.
```

```
- np.array: Lepton energy data, if `lepenergy bool` is True. □
 →Empty array otherwise.
             - np.array: Neutrino energy data, if `nuenergy_bool` is True.
 ⇒Empty array otherwise.
             - np.array: Interaction type data, if `interaction_bool` is True.
 → Empty array otherwise.
             - np.array: Final state data, if `finalstate_bool` is True.
 ⇔Empty array otherwise.
   Note:
      The function depends on the `numpy` and `h5py` libraries. Ensure these⊔
 \hookrightarrow libraries are
      installed and imported in your script.
   event=np.array(df['cvnmap'][event_id]).reshape((2,100,80))
   lepenergy = np.array([])
   nuenergy = np.array([])
   interaction = np.array([])
   finalstate = np.array([])
   if lepenergy_bool:
      lepenergy = np.array(df['neutrino']['lepenergy'][event_id])
   if nuenergy_bool:
      nuenergy = np.array(df['neutrino']['nuenergy'][event_id])
   if interaction_bool:
      interaction = np.array(df['neutrino']['interaction'][event_id])
   if finalstate bool:
      finalstate = np.array(df['neutrino']['finalstate'][event_id])
   return event, lepenergy, nuenergy, interaction, finalstate
# FUNCTION getEventType #
def getEventType(df, event_id):
```

```
Retrieves the interaction type for a specified event from an h5 file.
   Given an event ID, this function looks up the interaction type of the event
   from the provided h5 dataset and returns it as an `Interaction` object.
   Args:
      df (h5py.File): The h5py File object containing the dataset.
      event\_id (int): The ID of the event whose interaction type is to be \sqcup
 \neg retrieved.
   Returns:
      Interaction: The interaction type of the specified event.
   Note:
      This function assumes the existence of an Interaction class or
 \hookrightarrow enumeration
      that can interpret interaction types from the dataset. Ensure that this ⊔
 \hookrightarrow class
      or enumeration is defined and accessible in your script.
   11 11 11
   kind = Interaction(df['neutrino']['interaction'][event id])
   return kind
#############################
#
# FUNCTION plotEventPair #
def plotEventPair(df, event):
   print(f"file id: {file_id} ; event id: {event_id} ; type:_u
→{getEventType(df,event_id)}")
   #Plot the event
   fig, ax = plt.subplots(1,2)
   ax[0].imshow(event[0][1].T)
   ax[1].imshow(event[0][0].T)
##########################
# FUNCTION fetchData #
def fetchData(from_file_N, to_file_N, balance = True):
```

11 11 11

Fetches and processes event data from specified file ranges for best_model \sqcup \neg training.

Iterates through a range of files, extracting event images and metadata, including lepton energy, neutrino energy, interaction type, and final state.

It supports

balancing the dataset to equalize the number of events of different types.

Args:

from_file_N (int): The starting file number in the range to process. to_file_N (int): The ending file number in the range to process, \Box \Rightarrow inclusive.

of Numu type with all others. Default is True.

Returns:

tuple: A tuple containing the following:

- np.array: Combined and normalized event images for best_model $_{\sqcup}$ $_{\hookrightarrow}input.$
 - np.array: Event types as numerical labels.
 - list: Flattened list of lepton energies.
 - list: Flattened list of neutrino energies.
 - list: Flattened list of interaction types.
 - list: Flattened list of final states.

Note:

This function relies on several other functions (`getFile`, `getEvent`, $_{\sqcup}$ $_{\hookrightarrow}$ `getEventType`)

installed and imported. The function attempts to balance the dataset by $_{\!\!\!\perp}$ -removing excess events

of the more frequent type if `balance` is True. The process involves \neg random selection, which may

n n n

getting images from a specific file_id file

```
P0_imgs = [ ] # Plane 0
  P1_imgs = [ ] # Plane 1
  types = []
  #metadata collection
  lepenergy = [ ]
  nuenergy = [ ]
  interaction = [ ]
  finalstate = [ ]
  for file_id in np.arange(from_file_N, to_file_N + 1):
      print(f'File {file_id}:')
      df = getFile(file_id)
      L = df['neutrino']['evt'].shape[0]
      with alive_bar(L, force_tty = True) as bar:
           for evnt in range(L):
               # GetEvent returns [event ( P1, P0 cvms), lepenergy, nuenergy, ___
⇔interaction, finalstate]
               event, le, nu, inter, fin = getEvent(df, evnt,
                                                                lepenergy_bool_
⇔= True,
                                                                nuenergy_bool_
→interaction_bool = True,
⇔finalstate_bool = True )
               # Data for best_model
               P1_imgs.append( event[1].T )
               PO_imgs.append( event[0].T )
               types.append( getEventType(df, evnt) )
               # Metadata
               lepenergy.append( le )
               nuenergy.append( nu )
               interaction.append( inter )
               finalstate.append( fin )
               bar()
   # Binary Classifier
```

```
types = types.copy()
  for i in range(len(types)):
      if "Numu" in str( types[i] ):
          types[i] = 1 # Numu event is type 1
      else:
          types[i] = 0
  # Make sure the shapes are all the same
  if not len(P0_imgs) == len(P1_imgs) == len(types) == len(lepenergy) ==_u
→len(nuenergy) == len(interaction) == len(finalstate):
      raise Exception("Lengths are not equal")
  # Convert to arrays
  for el in PO_imgs, P1_imgs, types, lepenergy, nuenergy, interaction, u
el = np.array(el)
  # Check the distribution
  N_other = (types).count(0)
  N_numu = (types).count(1)
  data = [N_other, N_numu]
  keys = ['Other', 'NuMu']
  # define Seaborn color palette to use
  palette_color = sns.color_palette('dark')
  plt.figure()
  plt.pie(data, labels=keys, colors=palette_color, autopct='%.0f%%')
  plt.title('Unbalanced Distribution')
  if balance:
      # We want to select a number of random numu events that is equal to the
\rightarrownumber of other events (N_other)
      \# Generate random indeces in given range until have N_other
      numu_indices = []
      count = 0
      while count != N_numu - N_other-1:
          ind = random.randint(0, len(types)-1)
           # If not in numu_indices and if corresponds to numu event
          if ind not in numu_indices:
              if types[ind] != 0:
                   # Add to numu_indices
```

```
numu_indices.append(ind)
                   count+=1
       # Now we want to eradicate these indeces
       # Sort indices in descending order to avoid shifting index issue
      numu_indices.sort(reverse=True)
      for ind in numu_indices:
          PO_imgs.pop(ind)
          P1_imgs.pop(ind)
          types.pop(ind)
          lepenergy.pop(ind)
          nuenergy.pop(ind)
          interaction.pop(ind)
          finalstate.pop(ind)
      # Make sure the shapes are all the same
      if not len(P0_imgs) == len(P1_imgs) == len(types) == len(lepenergy) ==_
⇔len(nuenergy) == len(interaction) == len(finalstate):
          raise Exception("Lengths are not equal")
      # Check the distribution
      N_other = (types).count(0)
      N_numu = (types).count(1)
      # declare cvm_data
      data = [N_other, N_numu]
      keys = ['Other', 'NuMu']
      # plotting cvm_data on chart
      plt.figure()
      plt.pie(data, labels=keys, colors=palette_color, autopct='%.0f%%')
      plt.title('Balanced Distribution')
      # displaying chart
      plt.show()
  # Combine and normalise the cum arrays
  cvm_data = [[P0_imgs[i]/255.0, P1_imgs[i]/255.0] for i in_
→range(len(P0_imgs))]
  cvm_data = np.array(cvm_data)
  types = np.array(types)
```

```
nuenergy_flat = []
   # Correct the format of metadata variables
  for i in range(len(nuenergy)):
      for j in range(len(nuenergy[i])):
         nuen = float(nuenergy[i][j])
         nuenergy_flat.append(nuen)
  interaction_flat = []
  for i in range(len(interaction)):
      for j in range(len(interaction[i])):
         nuen = float(interaction[i][j])
         interaction_flat.append(nuen)
  finalstate_flat = []
  for i in range(len(finalstate)):
      for j in range(len(finalstate[i])):
         nuen = float(finalstate[i][j])
         finalstate_flat.append(nuen)
  lepenergy_flat = []
  for i in range(len(lepenergy)):
      for j in range(len(lepenergy[i])):
         nuen = float(lepenergy[i][j])
         lepenergy_flat.append(nuen)
  print('Successfully Obtained Data for', len(types), 'Events')
  return cvm_data, types, lepenergy_flat, nuenergy_flat, interaction_flat, u
 \hookrightarrow finalstate_flat
#
                     #
                       FUNCTIONS FOR best model TESTING & EVALUATION
#
```

```
#####################
# FUNCTION binEval #
def binEval(metavar, best_model, cvm_data, types, N):
    Evaluates a best_model's performance across different bins of a specified\Box
 \hookrightarrow metadata variable.
    Divides the data into bins based on quantiles of a given metadata variable
    (e.g., nuenergy, lepenergy) and evaluates the best_model's performance\sqcup
 ⇔(loss and accuracy) for each bin. This
    approach allows for the analysis of best_model performance across different_{\sqcup}
 ⇔ranges of the metadata
    variable.
    Args:
        metavar (np.array): The metadata variable array used for binning the \Box
 \hookrightarrow data.
        best_model (best_model): The machine learning best_model to be_\_
 \rightarrow evaluated.
        cvm_data (np.array): The input data for the best_model, corresponding_
 \hookrightarrow to event images.
        types (np.array): The true labels for the data.
        N (int): The number of bins to divide the metadata variable into.
    Returns:
        tuple: A tuple containing two np.arrays:
            - loss_data: An array with midpoints of bins and corresponding loss_{\sqcup}
 \hookrightarrow values.
            - acc data: An array with midpoints of bins and corresponding
 ⇔accuracy values.
    Note:
        The bins are created based on quantiles to ensure approximately equal_{\sqcup}
 \negnumbers of data points
        in each bin. The function calculates the midpoint of each bin for \square
 ⇔plotting purposes. If a bin
        has no data points, it assigns NaN values for both loss and accuracy to \Box
 \rightarrow indicate an empty bin.
```

```
This setup requires the 'best model' to have an 'evaluate' method that \sqcup
 ⇔accepts data and labels,
       returning loss and accuracy, which is common in frameworks like_
 \hookrightarrow TensorFlow/Keras.
   # Calculate quantile-based bins with approximately equal number of data_
 \hookrightarrowpoints
   bins = np.quantile(metavar, np.linspace(0, 1, N + 1))
   bin_ranges = [(bins[i], bins[i + 1]) for i in range(N)]
   scores = []
   midpoints = []
   for bin_range in bin_ranges:
       indices = [i for i, el in enumerate(metavar) if bin_range[0] <= el <u
 bin_range[1] or (el == bin_range[1] and bin_range[1] == max(bins))]
       # Check to ensure no bin is evaluated with an empty dataset
       if indices:
          cvm = cvm_data[indices]
          labels = types[indices]
          score = best_model.evaluate(cvm, labels, verbose=0)
       else:
          score = [np.nan, np.nan] # Placeholder for empty bins, though with
 ⇒quantiles, this should be rare
       scores.append(score)
       midpoints.append(np.mean(bin_range))
   loss, acc = zip(*scores) # Unpacking scores into loss and accuracy
   acc_data = np.array([midpoints, acc])
   loss_data = np.array([midpoints, loss])
   return loss_data, acc_data
###############################
# FUNCTION EnergyBinPlot #
def EnergyBinPlot(metavar, N_bins, loss_data, acc_data):
```

11 11 11

Plots best_model performance (loss and accuracy) across energy bins.

Creates a visualization to display how the best_model's loss and accuracy change across different bins of a specified energy variable. It helps in_{\sqcup} \neg understanding

evaluating best_model bias towards certain energy levels.

Args:

representing some form of energy in the context of $_{\!\!\!\perp}$ +the data.

loss values for each bin.

accuracy values for each bin.

Note:

The function uses matplotlib for plotting. Ensure that matplotlib is \sqcup \neg installed and

variations that need to be addressed.

fig, ax = plt.subplots(figsize=(10, 6))

Assuming `metavar` and `best_model` are defined elsewhere in the code, $_{\sqcup}$ $_{\hookrightarrow}$ and follow the same structure

ax.hist(metavar, bins=N_bins, color='skyblue', edgecolor='black', alpha=0.7)

```
for x in acc_data[0]:
       ax.axvline(x, color='green', linestyle='dashed', linewidth=1)
   # Adding a vertical line for the mean
   mean_val = np.mean(metavar)
   ax.axvline(mean_val, color='red', linestyle='dashed', linewidth=1)
   min_ylim, max_ylim = plt.ylim()
   ax.text(mean val*1.1, max ylim*0.9, f'Mean: {mean val:.2f}', color = 'red')
   ax.set title('Distribution of Values')
   ax.set_xlabel('Energy Value')
   ax.set_ylabel('Frequency')
   ax.grid(True, which='major', linestyle='--', color='grey')
   # Additional plot adjustments not included here for brevity
   plt.tight_layout()
   plt.show()
# FUNCTION EnergyBinPerform #
def EnergyBinPerform(metavar, N_bins, loss_data, acc_data):
   Plots best_model performance (loss and accuracy) across energy bins.
   Creates a visualization to display how the best model's loss and accuracy
   change across different bins of a specified energy variable. It helps in_{\sqcup}
 \negunderstanding
   how well the best_model performs across a range of energy values, which can ⊔
 ⇔be crucial for
   evaluating best_model bias towards certain energy levels.
   Arqs:
       metavar (np.array): The metadata variable array used for binning the \Box
 ⇔data, typically
                         representing some form of energy in the context of
 \hookrightarrow the data.
       N bins (int): The number of bins to use for the histogram of the \Box
 \hookrightarrow metavar distribution.
       loss_data (np.array): An array containing the midpoints of bins and \Box
 \hookrightarrow corresponding
```

```
loss values for each bin.
       acc_data (np.array): An array containing the midpoints of bins and
\hookrightarrow corresponding
                             accuracy values for each bin.
  Note:
       The function uses matplotlib for plotting. Ensure that matplotlib is \sqcup
\hookrightarrow installed and
       imported as plt in your script. The plot demonstrates the relationship_{\sqcup}
⇔between the
       metavar bins and the best_model's loss and accuracy, allowing for a_{\sqcup}
⇔detailed analysis of
       performance across metavar ranges. This visualization aids in \Box
⇒identifying if the
       best_model's performance is consistent across the range of the metavaru
\hookrightarrow or if there are
       noticeable variations that need to be addressed.
  # Performance Plot
  plt.figure(figsize=(10, 6))
  plt.plot(loss_data[0], loss_data[1], '.--', color='blue', label='Loss',__
⇒linewidth=2, markersize=10)
  plt.plot(acc_data[0], acc_data[1], '.--', color='green', label='Accuracy', __
→linewidth=2, markersize=10)
   # Enhancing the plot
  plt.title('best_model Performance', fontsize=15) # Assuming a generalu
⇒title; adjust as needed
  plt.xlabel('Energy')
  plt.ylabel('Value') # Adjust based on what 'loss' and 'accuracy' represent
  plt.legend()
  # Adding grid for better readability
  plt.grid(True, which='major', linestyle='--', color='grey')
  plt.tight_layout() # Adjust the layout to make room for the labels
  plt.show()
  # Additional plot adjustments not included here for brevity
  plt.tight_layout()
  plt.show()
```

```
####################
# FUNCTION catPlot #
def catPlot(data, catFunc):
   Creates a categorical barplot for the distribution of categories for a meta_{\sqcup}
 \neg variable.
   Takes a dataset and a categorization function, applies the categorization
   function to each element in the dataset to determine its category, and then
 \hookrightarrow creates a
   bar chart visualizing the distribution of these categories.
   Args:
       data (iterable): The dataset to be categorized and plotted.
       catFunc (function): A function that takes a single element of `data` as\sqcup
 \hookrightarrow input and
                          returns a category as output.
   Note:
       The function uses matplotlib for plotting and the `collections.Counter`;
 \hookrightarrow class to count
       occurrences of each category. Ensure these are installed and imported \Box
 ⇒as needed in your
       script. The categorization function's return value should be a string
 ⇔or easily convertible
       to a string. The bar chart will display categories on the y-axis and \Box
 \hookrightarrow their frequencies on
       the x-axis, with categories sorted by frequency in increasing order for \Box
 \hookrightarrow clarity.
       The plot does not display x-axis tick labels directly but instead ⊔
 →annotates each bar with
       the category name for better readability, especially when the
 ⇔categories are numerous or
       the names are long.
   11 11 11
   final_type = []
```

```
for el in data:
       final_type.append(str(catFunc(el))[12:-2])
   # Count the occurrences of each unique string
   string_counts = Counter(final_type)
   # Sort the counts by frequency in increasing order
   sorted_counts = sorted(string_counts.items(), key=lambda x: x[1])
   fig, ax = plt.subplots(figsize=(12, 6)) # Set figure size for better_
 \rightarrow visibilitu
   # Data for the bar chart
   categories = [x[0] for x in sorted_counts]
   frequencies = [x[1] for x in sorted_counts]
   # Bar chart plot
   bars = ax.bar(categories, frequencies, color='skyblue', edgecolor='black', u
 \rightarrowalpha=0.7)
   # Enhancing the plot
   ax.set_title('Distribution of Categories', fontsize=15) # Title with_
 \hookrightarrow increased font size
   ax.set_ylabel('Frequency', fontsize=12) # Y-axis label with increased fontu
 \hookrightarrow size
   ax.set_xlabel('Final States') # X-axis label
   # Adding grid for better readability
   ax.grid(True, which='major', linestyle='--', linewidth=0.5, color='grey')
   ax.set_xticks([]) # This hides the x-axis tick labels
   # Annotate labels on top of each bar
   for bar, label in zip(bars, categories):
       height = bar.get_height()+3
       ax.text(bar.get x() + bar.get width() / 2., height, label,
              ha='center', va='bottom', fontsize=12, rotation=90)
   plt.tight_layout()
   plt.show()
#####################
# FUNCTION evalPlot #
```

```
def evalPlot(data, catFunc):
    Evaluates and plots the performance of a best_model for different \Box
 ⇒categories of a meta variables.
    This function segments the data into categories using a specified \Box
 ⇔categorization function,
    evaluates the best_model's performance (loss and accuracy) for each\sqcup
 ⇔category, and plots the results.
    It's useful for analyzing best_model performance across different segments_{\sqcup}
 \hookrightarrow of the dataset.
    Arqs:
         data (iterable): The dataset to be categorized and evaluated.
         catFunc (function): A categorization function that assigns a category \Box
 \hookrightarrow to each element
                               in the dataset based on its characteristics.
    Note:
         The function dynamically creates variables for each category to store \sqcup
 ⇔indices, data, and
         types, which are then used for best_model evaluation. It assumes the \sqcup
 ⇒presence of a pre-trained
         best model ('best model') and expects the categorization function to_{\sqcup}
 ⇔provide unique string labels
        for each category.
         The results are visualized using a bar plot with separate bars for loss_{\sqcup}
 \hookrightarrow and accuracy within
         each category, providing a clear overview of best\_model performance_{\sqcup}
 \hookrightarrow across different dataset
         segments. This approach helps identify categories where the best_model_{\sqcup}
 →may be underperforming,
        guiding\ further\ best\_model\ improvements\ or\ data\ collection\ efforts.
    Dic = \{\}
    for i in range(50):
        try: Dic[i] = str(catFunc(i))[12:-2]
        except: break
    categories = list(Dic.values())
```

```
for category in categories:
      globals()[f"{category}_indices"] = []
      globals()[f"{category}_cvm"] = []
      globals()[f"{category}_types"] = []
  categories.sort(key=lambda x: len(globals()[f"{x}_cvm"])) # Sort_u
→categories by length of cvm_sub
  data_mapping = {k: globals()[f"{v} indices"] for k, v in Dic.items()}
  for i, el in enumerate(data):
      if el in data_mapping:
          data_mapping[el].append(i)
  for category in categories:
      indices = globals()[f"{category}_indices"]
      for i in indices:
          globals()[f"{category}_cvm"].append(cvm_data[i])
          globals()[f"{category}_types"].append(types[i])
      globals()[f"{category}_cvm"] = np.array(globals()[f"{category}_cvm"])
      globals()[f"{category}_types"] = np.
→array(globals()[f"{category}_types"])
  evaluation_results = {}
  for category in categories:
      cvm_sub = globals()[f"{category}_cvm"]
      type_sub = globals()[f"{category}_types"]
      if len(cvm_sub) > 0:
          loss, accuracy = best_model.evaluate(cvm_sub, type_sub, verbose=0)
          evaluation_results[category] = {'loss': loss, 'accuracy': accuracy}
      else:
          print(f'Skipping Category: {category}, cvm_data is empty.')
  # Prepare data for plotting
  plot_data = []
  for category, metrics in evaluation_results.items():
      plot_data.append({'Category': category, 'Metric': 'Loss', 'Value': __
→metrics['loss']})
      plot_data.append({'Category': category, 'Metric': 'Accuracy', 'Value': __
→metrics['accuracy']}, )
  # Convert results to a DataFrame for easier plotting with seaborn
  df_plot = pd.DataFrame(plot_data)
```

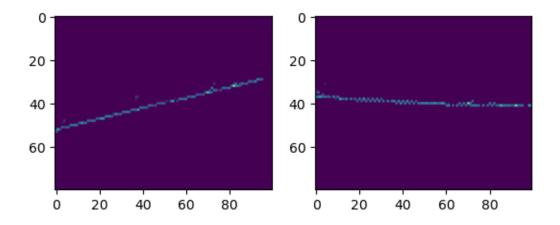
```
# Create the plot
  plt.figure(figsize=(12, 6)) # Adjust figure size for better visibility
  ax = sns.barplot(data=df_plot, x='Category', y='Value', hue='Metric', u
→palette='Blues_d', edgecolor='black', alpha=0.7)
  # Join the tops of the bars with lines
  sns.pointplot(data=df_plot, x='Category', y='Value', hue='Metric', __
# Enhancing the plot
  ax.set_title('best_model Performance', fontsize=15) # Title with increased_
⇔font size
  ax.set_xlabel('Category', fontsize=12) # X-axis label with increased fontu
⇔size
  ax.set_ylabel('Value', fontsize=12) # Y-axis label with increased font size
  ax.tick_params(axis='x', rotation=45) # Rotate x-axis labels for better_
⇔visibility
  ax.legend()
  # Adding grid for better readability
  ax.grid(True, which='major', linestyle='--', linewidth=0.5, color='grey')
  plt.tight_layout() # Adjust the layout to make room for the labels
  plt.show()
```

0.5 Plotting a Single Event

```
[4]: file_id = 1
  event_id = 0

df = getFile(file_id)
  plotEventPair( df, getEvent(df, event_id) )
```

http://www.hep.ucl.ac.uk/undergrad/0056/other/projects/nova/neutrino1.h5 file id: 1 ; event id: 0 ; type: Interaction.kNumuQE



1 Model Setup

1.1 Getting the Data & Train/Test Split for Training

1.2 Data Loading and Preprocessing

NOvA experiment data - a mix of ν_{μ} , ν_{e} neutrinos, and their antiparticles - loaded for analysis using the pre-defined fetchData function.

1.3 Motivation for the fetchData Function

The fetchData function streamlines loading, preprocessing, and structuring data for the classification of neutrino interactions. This function:

- Iterates over a specified range of data files, extracting relevant features such as event images and metadata (e.g., lepton energy, neutrino energy, interaction type, and final state), necessary for nuanced analysis and classification tasks.
- Implements an option to balance the dataset, crucial for mitigating biases in machine learning models by ensuring equal representation of different event types, specifically by adjusting the prevalence of Numu type events relative to others.
- Prepares the dataset for model training by organizing data into structured arrays for model input and converting interaction types into numerical labels for classification purposes.

For training data, balanced data is chosen: A model trained on unbalanced data might develop a bias towards the majority class, overlooking the minority.

```
[5]: # Do you want to reload the data for training?
# Set to False for efficiency purposes

reload = False

if reload == True:

# Number of files we want to read
```

```
from_file_N = 20
to_file_N = 30

cvm_data, types, lepenergy, nuenergy, interaction, finalstate = u
fetchData(from_file_N, to_file_N)

# Splitting the cvm_data for train / test
train_data, test_data, train_labels, test_labels = u
train_test_split(cvm_data, types, test_size=0.3, random_state=42)

data_loaded = True

else: data_loaded = False
```

1.4 Model Architecture & Training

The ready model is available via UCL's OneDrive: open access link. Please save in the same file as this notebook, if would prefer to save time. Otherwise, set retrain = True and retrain the model.

The model architecture is tailored to ν_{μ} binary classification based on two 2D images.

- 1. The convolutional layers, consisting of 32 and 64 filters respectively, with 3x3 kernel sizes and 'relu' activation functions, capture low-level features such as edges and basic shapes crucial for discriminating between different events.
- 2. Max-pooling layers, with pool sizes of 2x2, effectively reduce dimensions, enhancing computational efficiency while extracting more robust features.
- 3. Dense layer with 128 neurons and 'relu' activation facilitates the learning of high-level features essential for nuanced classification tasks.
- 4. Dropout regularization with a rate of 0.5 mitigates overfitting, thereby improving generalization.
- 5. Binary output layer with a sigmoid activation function ensures the model's suitability for binary classification tasks. The model is compiled using binary cross-entropy loss and the Adam optimizer with a learning rate of 1×10^{-3} as per best practices for binary classification.

```
[6]: shape = (2, 80, 100) # Channels, Height, Width for 2 different plane images

model = keras.Sequential([

    # Input
    layers.Reshape((80, 100, 2), input_shape=shape),

# A convolutional layer with 32 filters, each with a kernel size of 3x3, using 'relu'

# Learn to capture low-level features eg edges and basic shapes
    layers.Conv2D(32, kernel_size=(3, 3), activation='relu', padding='same'),

# MaxPooling reduces dimensions reducing parameters and computation, usextracting more robust features
```

```
layers.MaxPooling2D(pool_size=(2, 2)),
    # convolutional layer with 64 filters to capture more complex details
    layers.Conv2D(64, (3, 3), activation='relu', padding='same'),
    # MaxPooling reduces dimensions reducing parameters and computation, __
 ⇔extracting more robust features
    layers.MaxPooling2D(pool_size=(2, 2)),
    # Flatten the output for Dense
    layers.Flatten(),
    # Dense with 128 neurons and relu for high-level features
    layers.Dense(128, activation='relu'),
    # Dropout to prevent overfitting
    layers.Dropout(0.5),
    # Binary output with sigmoid
    layers.Dense(1, activation='sigmoid')
])
# Compile with binary crossentropy & adam (lr of 1e-3) for binary classification
model.compile(loss='binary_crossentropy',
              optimizer=keras.optimizers.Adam(learning_rate=1e-3),
              metrics=['accuracy'])
```

If retrain is set to True and the data has been successfully loaded, the model will undergo training. If retrain is set to True but the data has not been loaded in the code above, a respective exception will be raised.

- 1. Early stopping is implemented to prevent overfitting by monitoring the validation loss. Training halts if the validation loss fails to decrease for a specified number of epochs (patience) to restore the model to its best state.
- 2. Model checkpointing is employed to save the best-performing model based on validation accuracy during training. This ensures that the model with the highest validation accuracy is retained for further evaluation and potential deployment.
- 3. Learning rate reduction strategy is adopted using the ReduceLROnPlateau callback. This dynamically adjusts the learning rate when the validation loss reaches a plateau, thereby facilitating convergence towards an optimal solution.

The training process itself involves fitting the model to the training data for 100 epochs. The callbacks parameter integrates the aforementioned early stopping, model checkpointing, and learning rate reduction mechanisms into the training pipeline.

Upon completion of training, the trained model is saved to disk, and its performance is evaluated using the test data.

```
[7]: # Do you want to retrain the model?
     # Set to False for efficiency purposes
     retrain = False
     if retrain == True and data_loaded:
         # Train the model
         early_stopping = EarlyStopping(monitor='val_loss', patience=10, verbose=1,_u
      →mode='min', restore_best_weights=True)
         model_checkpoint = ModelCheckpoint('best_model.h5', monitor='val_accuracy', u
      ⇔save_best_only=True, mode='max', verbose=1)
         reduce lr = ReduceLROnPlateau(monitor='val loss', factor=0.2, patience=5,,,
      →min_lr=1e-5, mode='min', verbose=1)
         model.fit(train_data, train_labels,
                   epochs=100,
                   batch_size=64,
                   validation_split=0.2,
                   callbacks=[early_stopping, model_checkpoint, reduce_lr],
                   shuffle=True)
         if retrain == True and data_loaded:
             # Setup for early stopping to prevent overfitting. Stops training when_{f \sqcup}
      →accuracy stagnates
             early_stopping = EarlyStopping(monitor='val_loss', patience=10,__
      ⇔verbose=1, mode='min', restore_best_weights=True)
             # saves model after every epoch where accuracy has improves
             model_checkpoint = ModelCheckpoint('best_model.h5',_
      monitor='val_accuracy', save_best_only=True, mode='max', verbose=1)
             # ReduceLROnPlateau reduces learning rate (le) when a accuracy stagnates
             reduce_lr = ReduceLROnPlateau(monitor='val_loss', factor=0.2,__
      →patience=5, min_lr=1e-5, mode='min', verbose=1)
             model.fit(train_data, train_labels,
                       epochs=100,
                       batch_size=64,
                       validation_split=0.2,
                       callbacks=[early_stopping, model_checkpoint, reduce_lr],
                       shuffle=True)
         print("Saved model to disk")
```

```
scores = model.evaluate(test_data, test_labels, verbose=2)

elif retrain == True and not data_loaded:

raise Exception('Please return to "Getting the Data & Train/Test Split for⊔

→Training" and load the data')
```

1.5 Best Model Retrieval

```
[8]: # load model
best_model = load_model('best_model.h5')

# summarize model
best_model.summary()
```

Model: "sequential_6"

Layer (type)	Output Shape	Param #
reshape (Reshape)	(None, 80, 100, 2)	0
conv2d_8 (Conv2D)	(None, 80, 100, 32)	608
<pre>max_pooling2d_8 (MaxPooling 2D)</pre>	(None, 40, 50, 32)	0
conv2d_9 (Conv2D)	(None, 40, 50, 64)	18496
<pre>max_pooling2d_9 (MaxPooling 2D)</pre>	(None, 20, 25, 64)	0
flatten_6 (Flatten)	(None, 32000)	0
dense_12 (Dense)	(None, 128)	4096128
dropout_4 (Dropout)	(None, 128)	0
dense_13 (Dense)	(None, 1)	129

Total params: 4,115,361 Trainable params: 4,115,361 Non-trainable params: 0

2 Model Testing: investigating how efficiency depends on the meta cvm data variables below

Label	Description
neutrino/nuenergy	Neutrino Energy (GeV)
neutrino/lepenergy	Lepton Energy (GeV)
neutrino/interaction	Interaction
neutrino/finalstate	Final State

2.0.1 Below, we will focus on both the balanced and unbalanced data

Reflection of Real-world Conditions

- Unbalanced Data: Evaluating a model on unbalanced data reflects its expected performance in practical applications, providing insights into how it might perform when deployed. Many real-world datasets inherently exhibit imbalance in their class distributions.
- Balanced Data: Evaluating on balanced data allows the assessment of model's ability to learn from each class equally, without bias towards the majority class. This is particularly useful for understanding the model's capabilities in an ideal scenario where each outcome has equal representation.

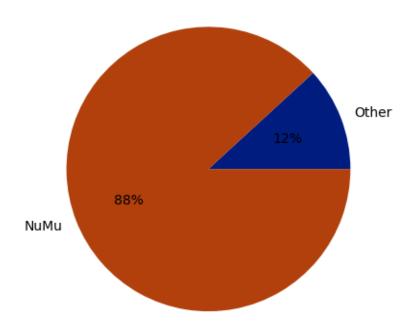
2.1 Using Balanced Data

(50% Numu, 50% Other)

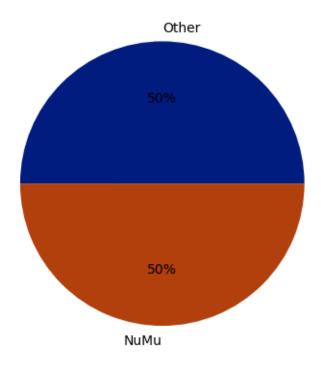
```
from_file_N, to_file_N = 31,36
cvm_data, types, lepenergy, nuenergy, interaction, finalstate =
  →fetchData(from_file_N, to_file_N)
File 31:
http://www.hep.ucl.ac.uk/undergrad/0056/other/projects/nova/neutrino31.h5
                      | 6980/6980 [100%] in 46.1s (151.67/s)
File 32:
http://www.hep.ucl.ac.uk/undergrad/0056/other/projects/nova/neutrino32.h5
                      | 6978/6978 [100%] in 46.3s (150.80/s)
File 33:
http://www.hep.ucl.ac.uk/undergrad/0056/other/projects/nova/neutrino33.h5
                      | 6928/6928 [100%] in 45.8s (151.44/s)
File 34:
http://www.hep.ucl.ac.uk/undergrad/0056/other/projects/nova/neutrino34.h5
                      | 6819/6819 [100%] in 44.9s (151.92/s)
File 35:
http://www.hep.ucl.ac.uk/undergrad/0056/other/projects/nova/neutrino35.h5
                      | 6845/6845 [100%] in 45.2s (151.61/s)
File 36:
```

[9]: # Get new data for evaluation, from a different set of files

Unbalanced Distribution



Balanced Distribution



Successfully Obtained Data for 9827 Events

2.1.1 Overal l Performace

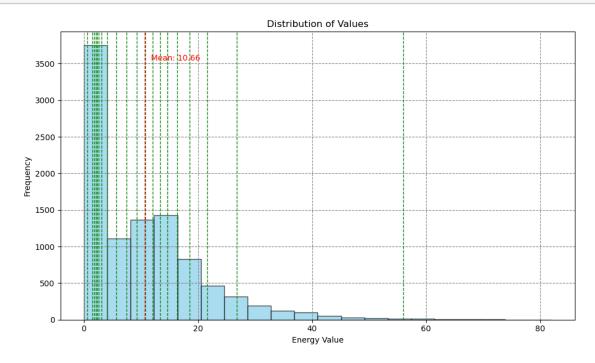
[11]: 0.7923700942775707

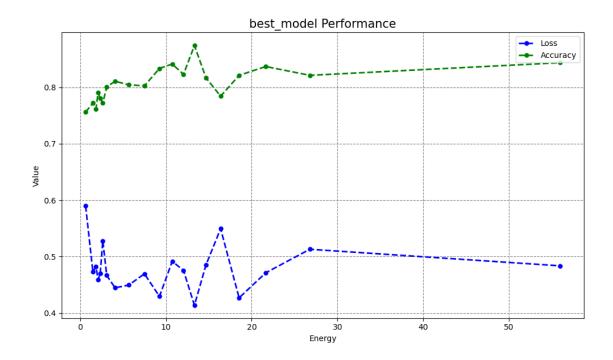
2.1.2 Neutrino Energy

```
[12]: N_bins = 20
metavar = nuenergy

# Evaluating bins now to plot energy value bin boundaries
loss_data, acc_data = binEval(metavar, best_model, cvm_data, types, N_bins)

EnergyBinPlot(metavar, N_bins, loss_data, acc_data)
EnergyBinPerform(metavar, N_bins, loss_data, acc_data)
```





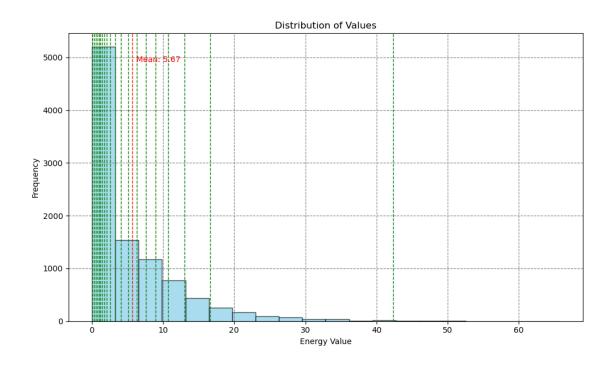
<Figure size 640x480 with 0 Axes>

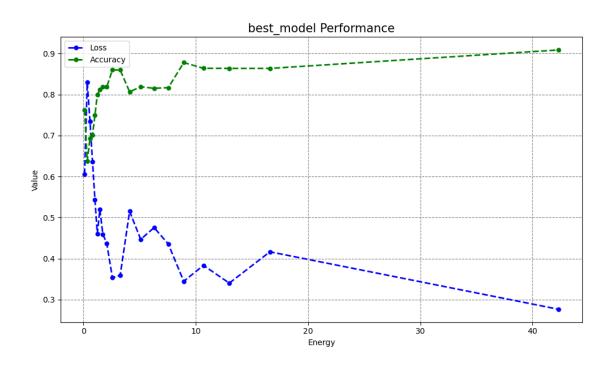
2.1.3 Lepton Energy

```
[13]: N_bins = 20
metavar = lepenergy

# Evaluating bins now to plot energy value bin boundaries
loss_data, acc_data = binEval(metavar, best_model, cvm_data, types, N_bins)

EnergyBinPlot(metavar, N_bins, loss_data, acc_data)
EnergyBinPerform(metavar, N_bins, loss_data, acc_data)
```

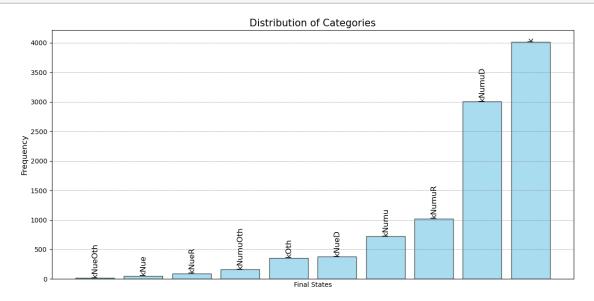




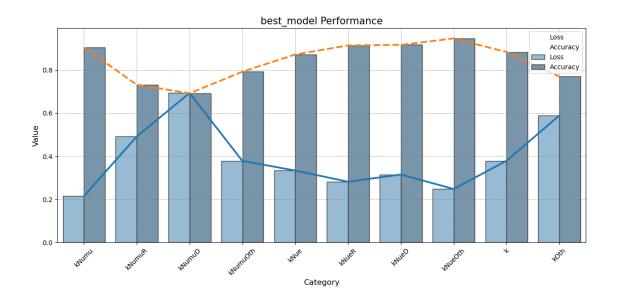
<Figure size 640x480 with 0 Axes>

2.1.4 Interaction

[14]: catPlot(interaction, Interaction) evalPlot(interaction, Interaction)

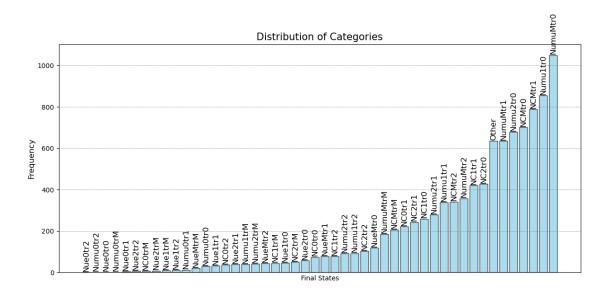


```
Skipping Category: kNutau, cvm_data is empty.
Skipping Category: kNutauR, cvm_data is empty.
Skipping Category: kNutauD, cvm_data is empty.
Skipping Category: kNutauOth, cvm_data is empty.
Skipping Category: kNuElectronElast, cvm_data is empty.
Skipping Category: kCosm, cvm_data is empty.
Skipping Category: kNIntTy, cvm_data is empty.
/Users/igorbykov/opt/anaconda3/envs/ML/lib/python3.9/site-
packages/seaborn/categorical.py:1728: UserWarning: You passed a
0.7058823529411765)) for an unfilled marker (''). Matplotlib is ignoring the
edgecolor in favor of the facecolor. This behavior may change in the future.
 ax.scatter(x, y, label=hue level,
/Users/igorbykov/opt/anaconda3/envs/ML/lib/python3.9/site-
packages/seaborn/categorical.py:1728: UserWarning: You passed a
edgecolor/edgecolors ((1.0, 0.4980392156862745, 0.054901960784313725)) for an
unfilled marker (''). Matplotlib is ignoring the edgecolor in favor of the
facecolor. This behavior may change in the future.
 ax.scatter(x, y, label=hue_level,
```



2.1.5 Final State

[15]: catPlot(finalstate, FinalState)
 evalPlot(finalstate, FinalState)



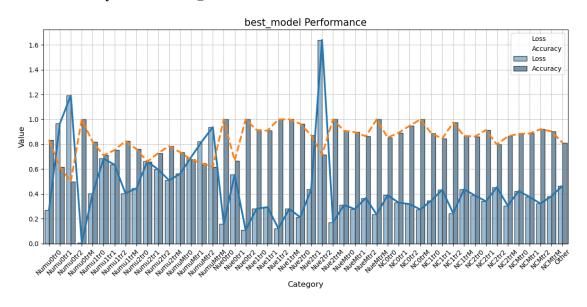
Skipping Category: NueOtrM, cvm_data is empty. Skipping Category: Cosmic, cvm_data is empty.

/Users/igorbykov/opt/anaconda3/envs/ML/lib/python3.9/site-packages/seaborn/categorical.py:1728: UserWarning: You passed a edgecolor/edgecolors ((0.12156862745098039, 0.4666666666666667,

0.7058823529411765)) for an unfilled marker (''). Matplotlib is ignoring the edgecolor in favor of the facecolor. This behavior may change in the future. ax.scatter(x, y, label=hue_level, /Users/igorbykov/opt/anaconda3/envs/ML/lib/python3.9/site-packages/seaborn/categorical.py:1728: UserWarning: You passed a edgecolor/edgecolors ((1.0, 0.4980392156862745, 0.054901960784313725)) for an unfilled marker (''). Matplotlib is ignoring the edgecolor in favor of the

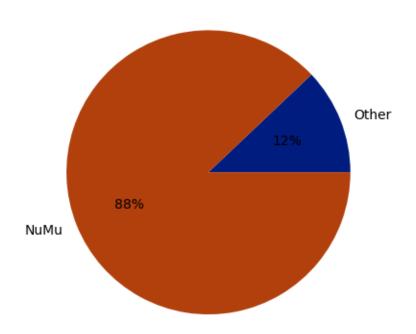
ax.scatter(x, y, label=hue_level,

facecolor. This behavior may change in the future.



2.2 Using Unbalanced Data

Unbalanced Distribution



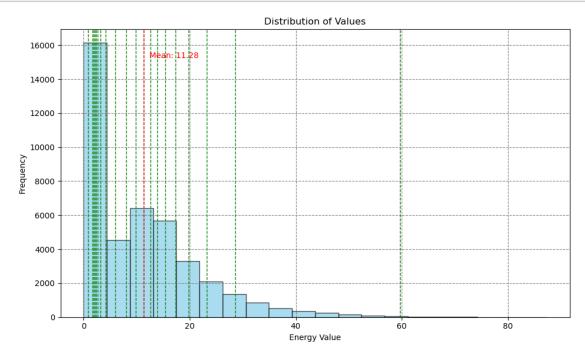
2.2.1 Overall Performance

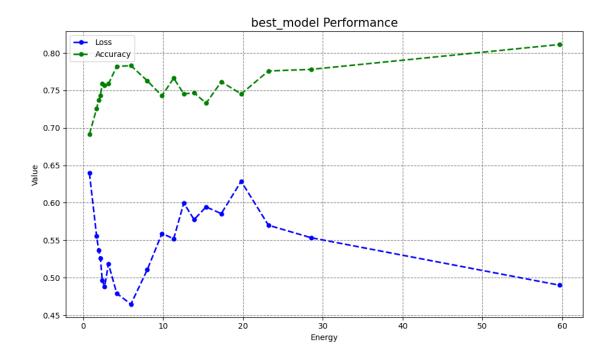
[18]: 0.8414253484562846

[]:

2.2.2 NuEnergy

[19]: N_bins = 20 metavar = nuenergy # Evaluating bins now to plot energy value bin boundaries loss_data, acc_data = binEval(metavar, best_model, cvm_data, types, N_bins) EnergyBinPlot(metavar, N_bins, loss_data, acc_data) EnergyBinPerform(metavar, N_bins, loss_data, acc_data)





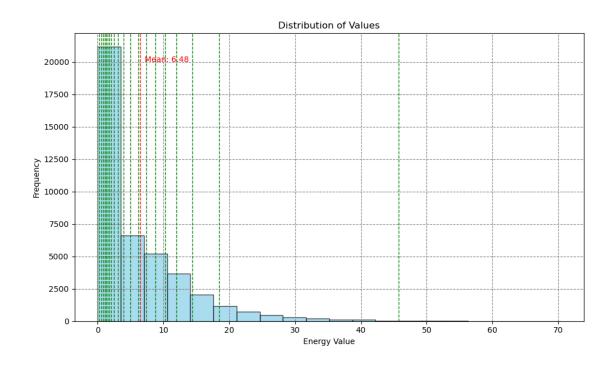
<Figure size 640x480 with 0 Axes>

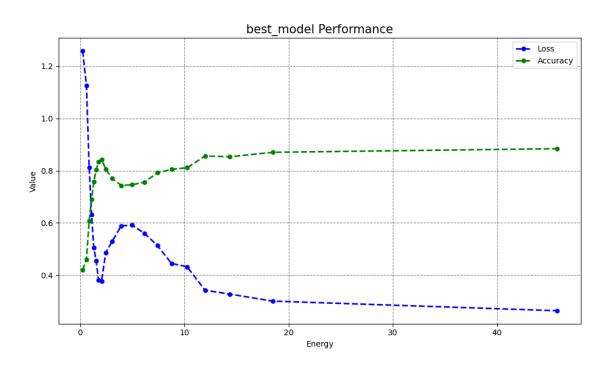
2.2.3 LepEnergy

```
[20]: N_bins = 20
metavar = lepenergy

# Evaluating bins now to plot energy value bin boundaries
loss_data, acc_data = binEval(metavar, best_model, cvm_data, types, N_bins)

EnergyBinPlot(metavar, N_bins, loss_data, acc_data)
EnergyBinPerform(metavar, N_bins, loss_data, acc_data)
```

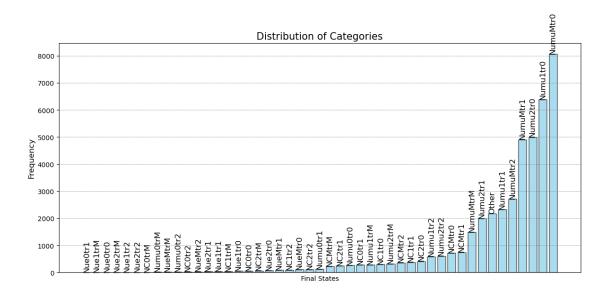




<Figure size 640x480 with 0 Axes>

2.2.4 FinalState

[21]: catPlot(finalstate, FinalState) evalPlot(finalstate, FinalState)

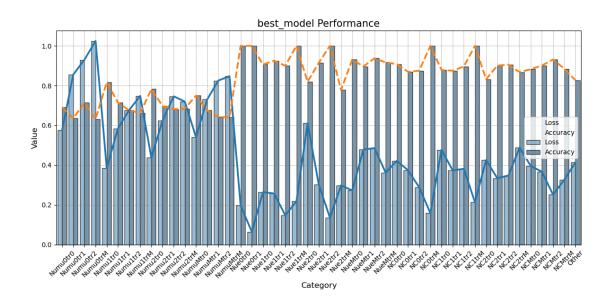


Skipping Category: NueOtr2, cvm_data is empty. Skipping Category: NueOtrM, cvm_data is empty. Skipping Category: Cosmic, cvm_data is empty.

/Users/igorbykov/opt/anaconda3/envs/ML/lib/python3.9/site-packages/seaborn/categorical.py:1728: UserWarning: You passed a edgecolor/edgecolors ((0.12156862745098039, 0.4666666666666666666666666666666666600, 0.7058823529411765)) for an unfilled marker (''). Matplotlib is ignoring the edgecolor in favor of the facecolor. This behavior may change in the future. ax.scatter(x, y, label=hue_level,

/Users/igorbykov/opt/anaconda3/envs/ML/lib/python3.9/site-packages/seaborn/categorical.py:1728: UserWarning: You passed a edgecolor/edgecolors ((1.0, 0.4980392156862745, 0.054901960784313725)) for an unfilled marker (''). Matplotlib is ignoring the edgecolor in favor of the facecolor. This behavior may change in the future.

ax.scatter(x, y, label=hue_level,



2.2.5 Interaction

[]: catPlot(interaction, Interaction) evalPlot(interaction, Interaction)

