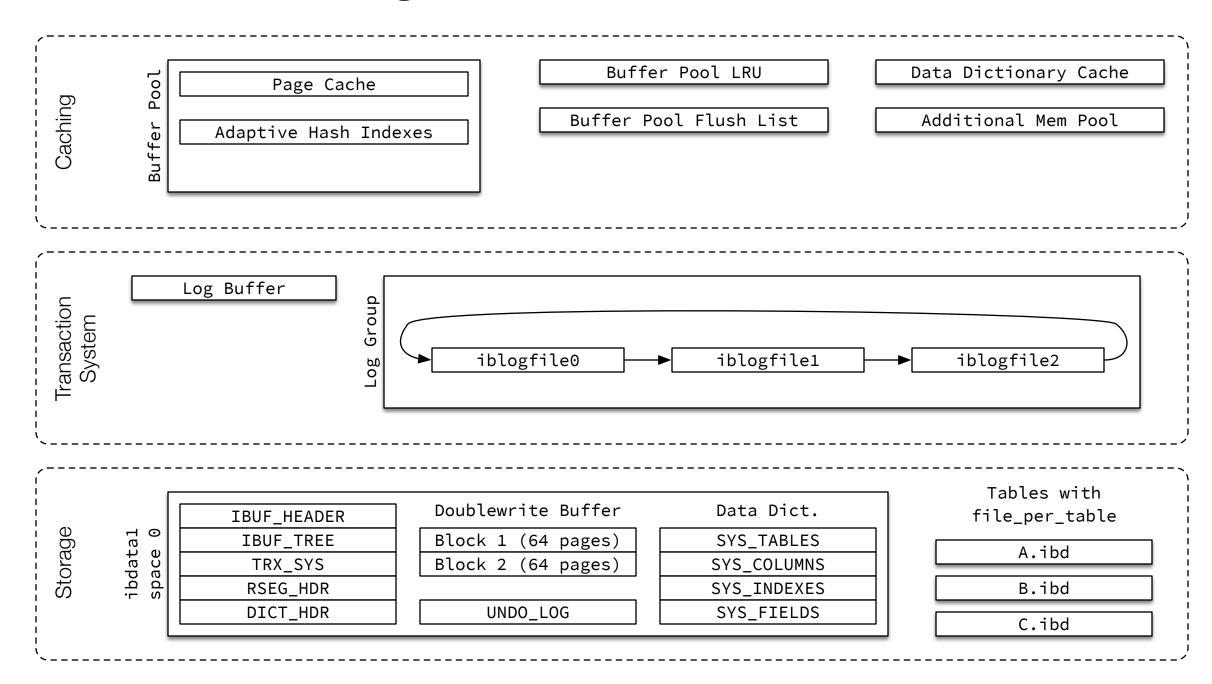
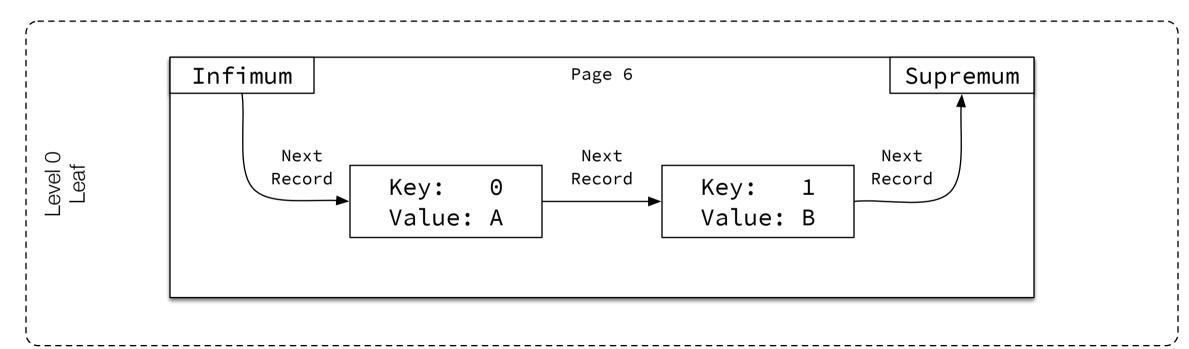
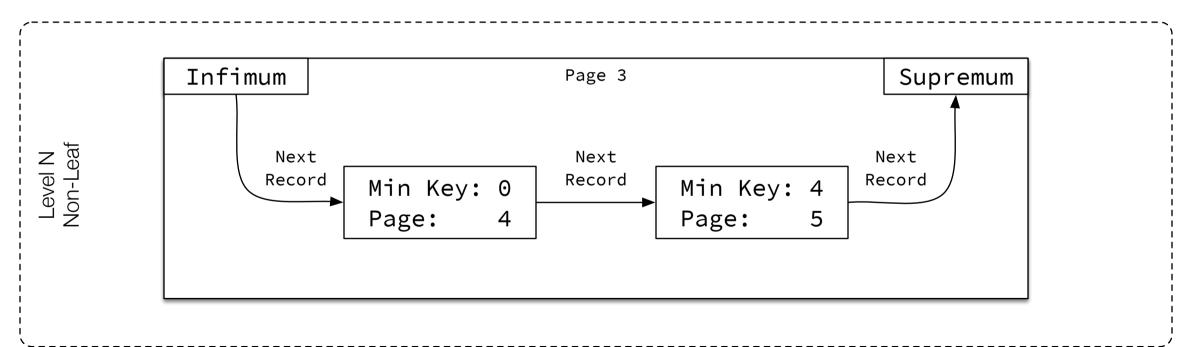
### High-level Overview



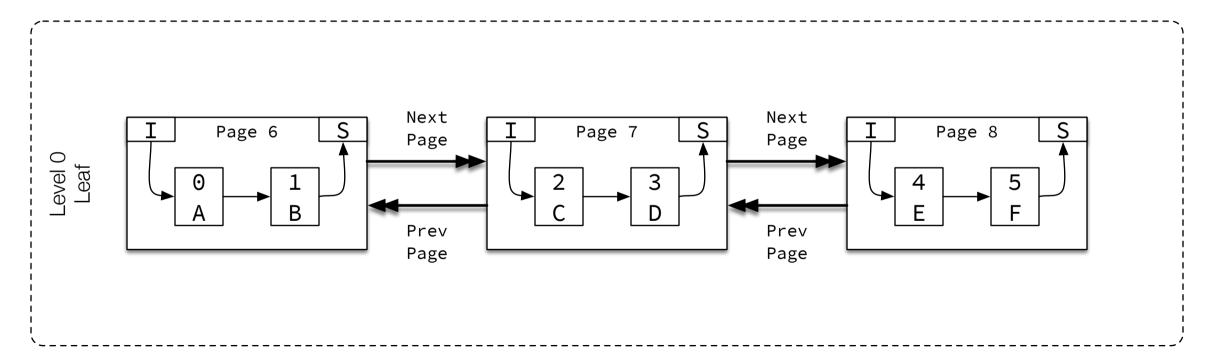
# B+Tree Simplified Leaf Page



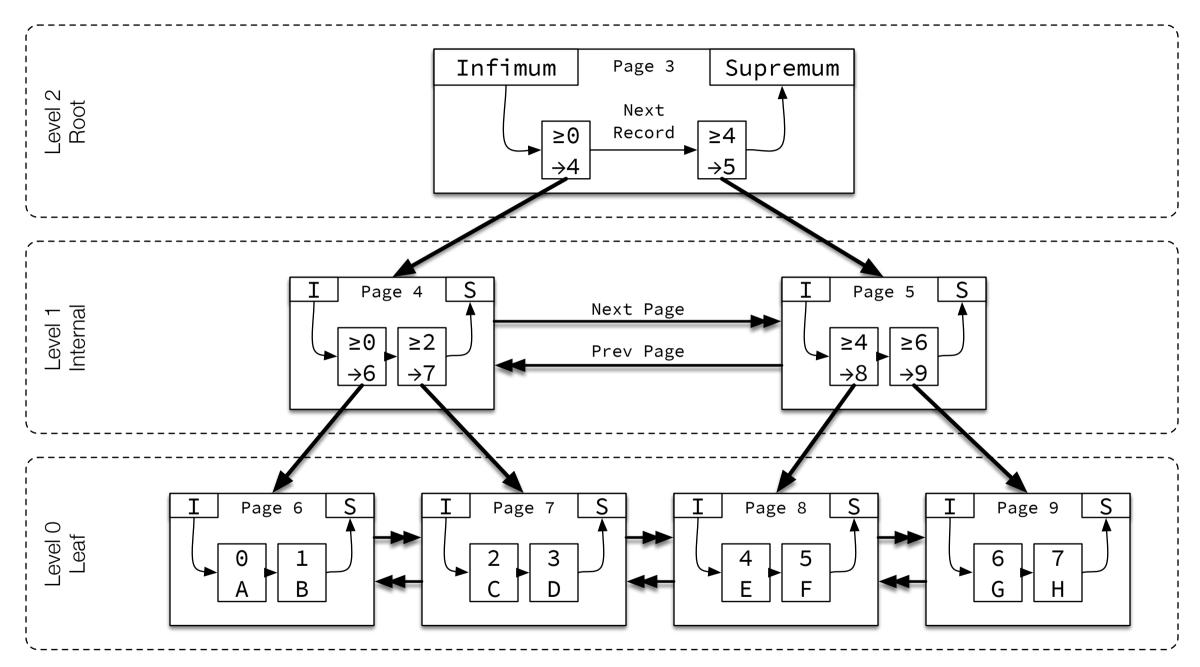
# B+Tree Simplified Non-Leaf Page



# B+Tree Simplified Level



#### B+Tree Structure



Levels are numbered starting from 0 at the leaf pages, incrementing up the tree.

Pages on each level are doubly-linked with previous and next pointers in ascending order by key.

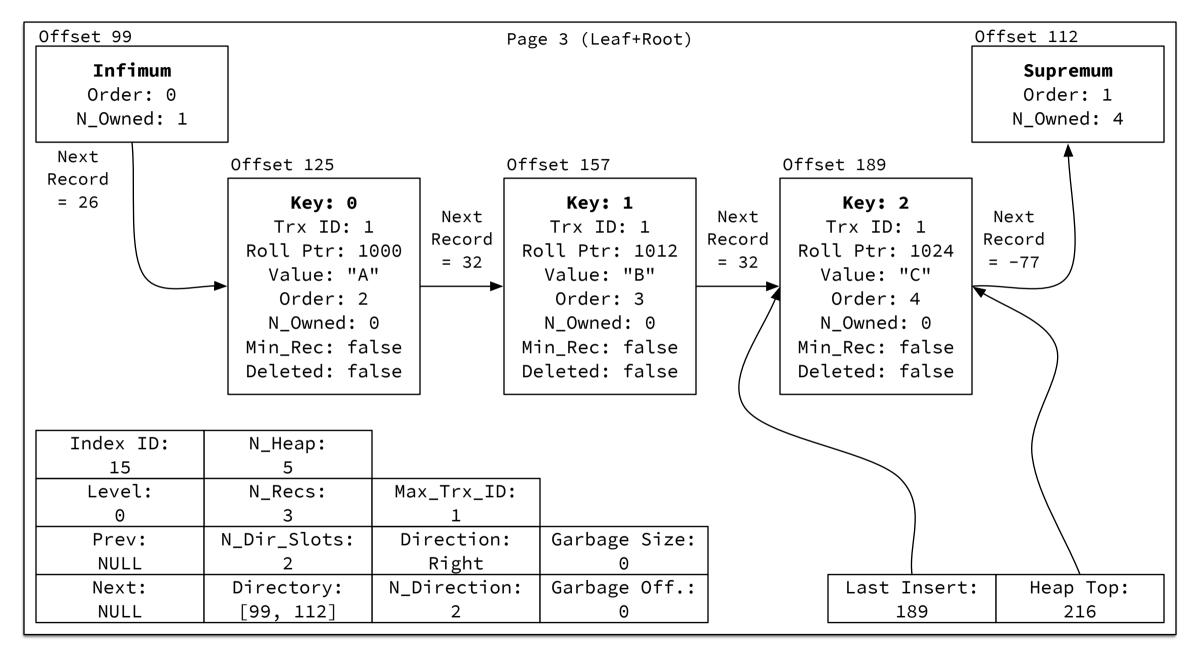
Records within a page are singly-linked with a next pointer in ascending order by key.

Infimum represents a value lower than any key on the page, and is always the first record in the singly-linked list of records.

Supremum represents a value higher than any key on the page, and is always the last record in the singly-linked list of records.

Non-leaf pages contain the minimum key of the child page and the child page number, called a "node pointer".

## B+Tree Detailed Page Structure

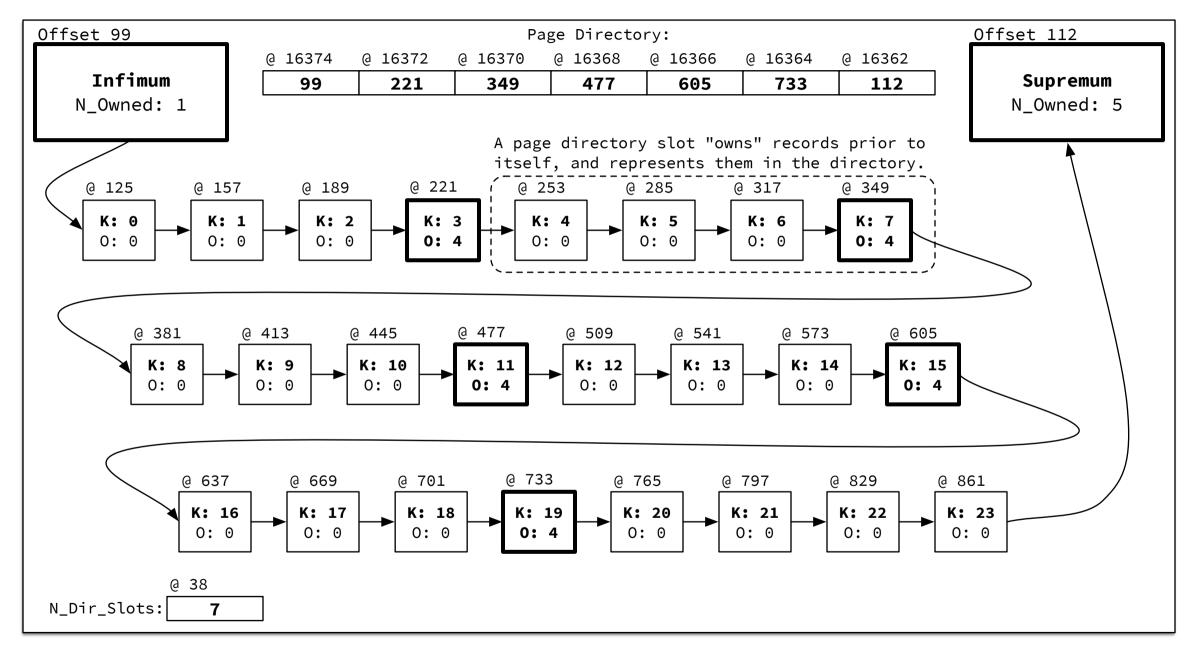


InnoDB table format is Barracuda with "compact" record structure, non-compressed.

Table created with: CREATE TABLE t (i INT NOT NULL, s CHAR(10) NOT NULL, PRIMARY KEY(i)) ENGINE=InnoDB; Table populated with: INSERT INTO t (i, s) VALUES (0, "A"), (1, "B"), (2, "C");

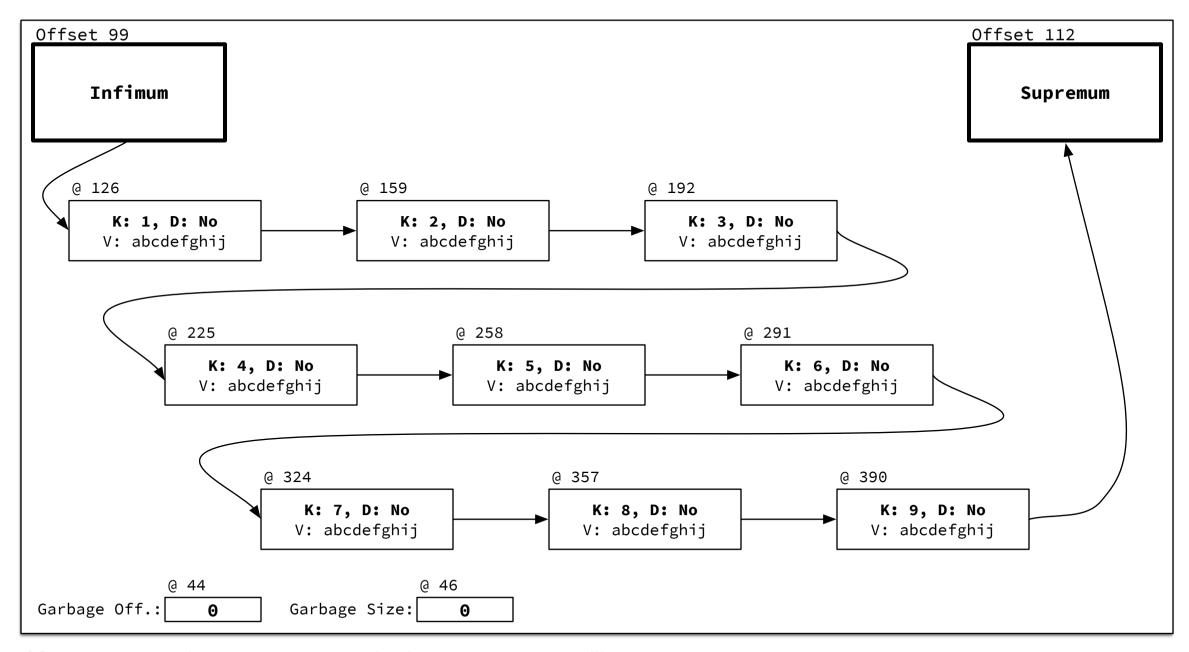
Record size: 5 (header) + 4 (PK) + 6 (TRX\_ID) + 7 (ROLL\_PTR) + 10 (non-key fields) = 32 bytes

# B+Tree Page Directory Structure



Infimum always owns only itself, so will always have a slot in the page directory with N\_Owned = 1. Supremum always owns the last few records in the page, and is allowed to own less than 4 records (if the page has fewer). All directory slots will own a minimum of 4 and maximum of 8 records, except supremum, which may own fewer. The page directory grows "downwards" from offset 16376, the beginning of the FIL trailer; the first directory entry starts at 16374.

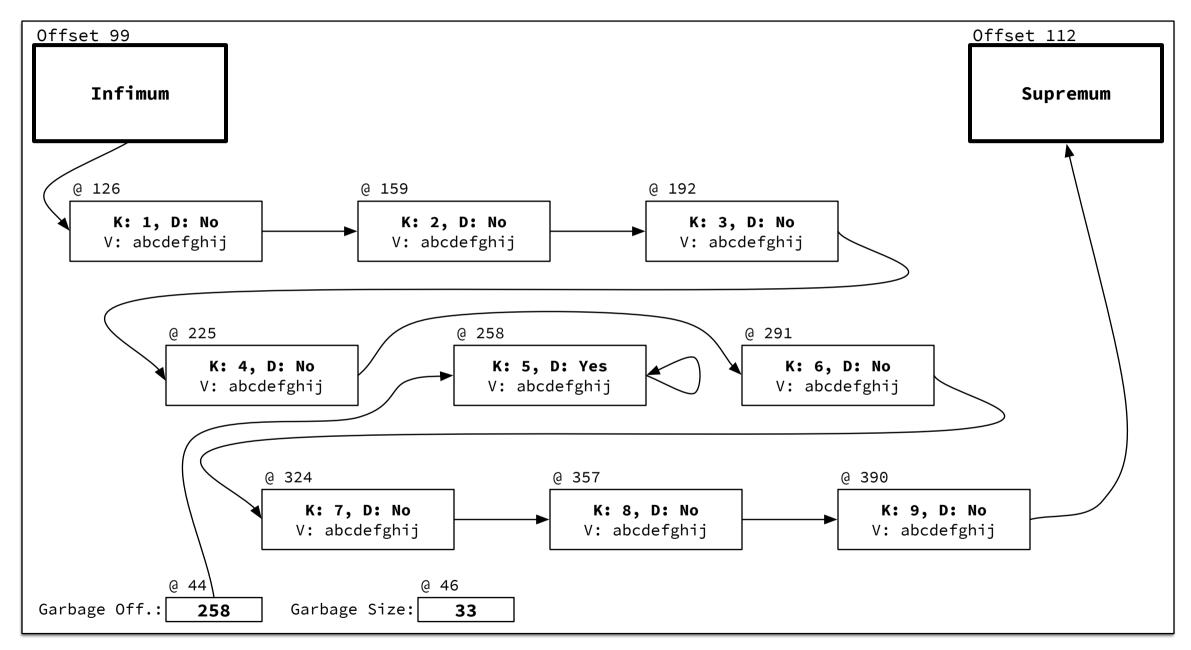
### B+Tree Record Initial State



SQL: create table t (i int not null, s varchar(100) not null, primary key(i)) engine=innodb;

SQL: insert into t (i, s) values (1, "abcdefghij"); for i in 1..9

#### B+Tree Record Delete 1



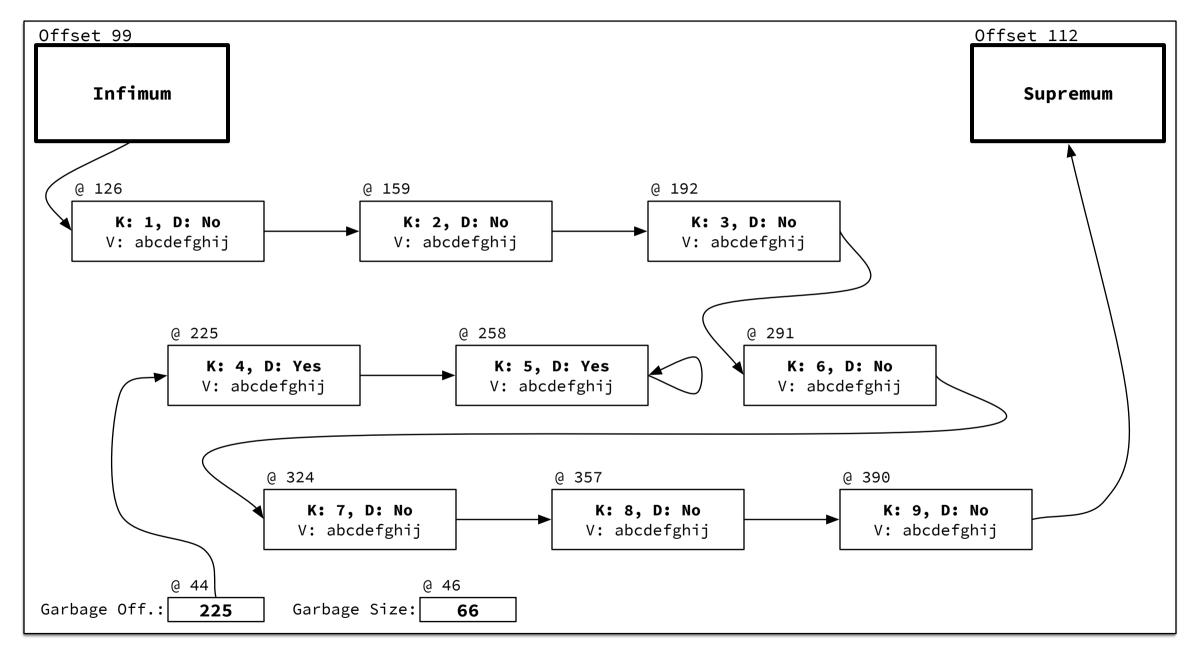
SQL: delete from t where i = 5;

Row is marked as deleted.

Garbage size is incremented by total row size.

Garbage offset is pointed to row, and row next pointer is pointed back to self.

### B+Tree Record Delete 2



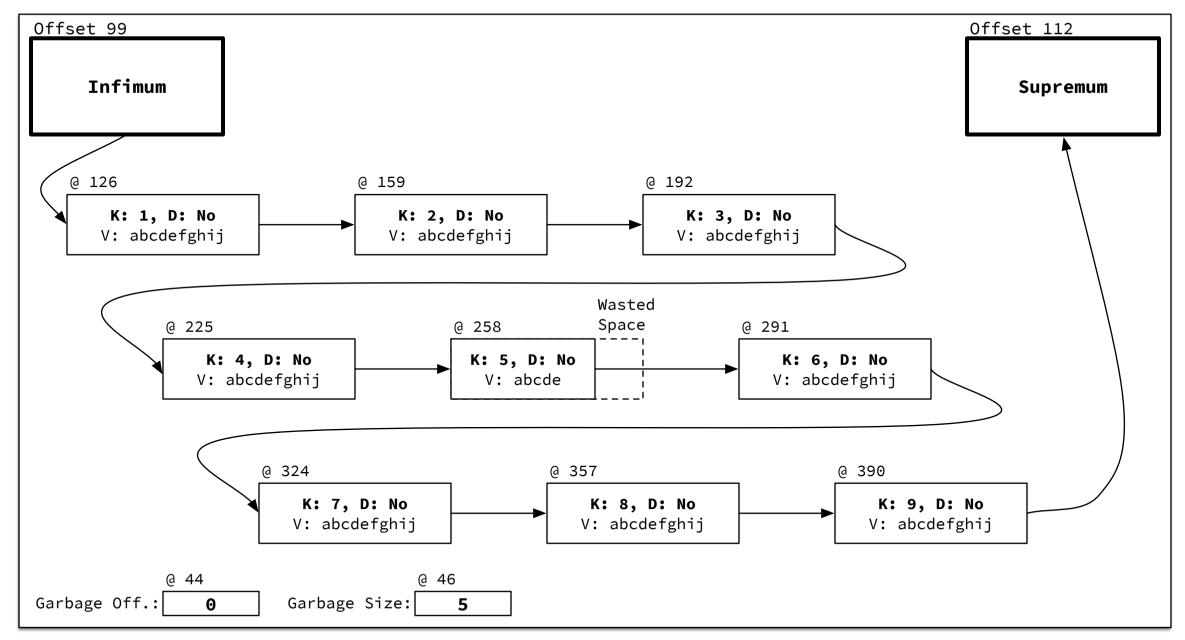
SQL: delete from t where i = 5; delete from t where i = 4;

Garbage size is incremented by total row size for each delete.

Garbage offset is pointed to row @ 258 initially, and row next pointer is pointed back to self.

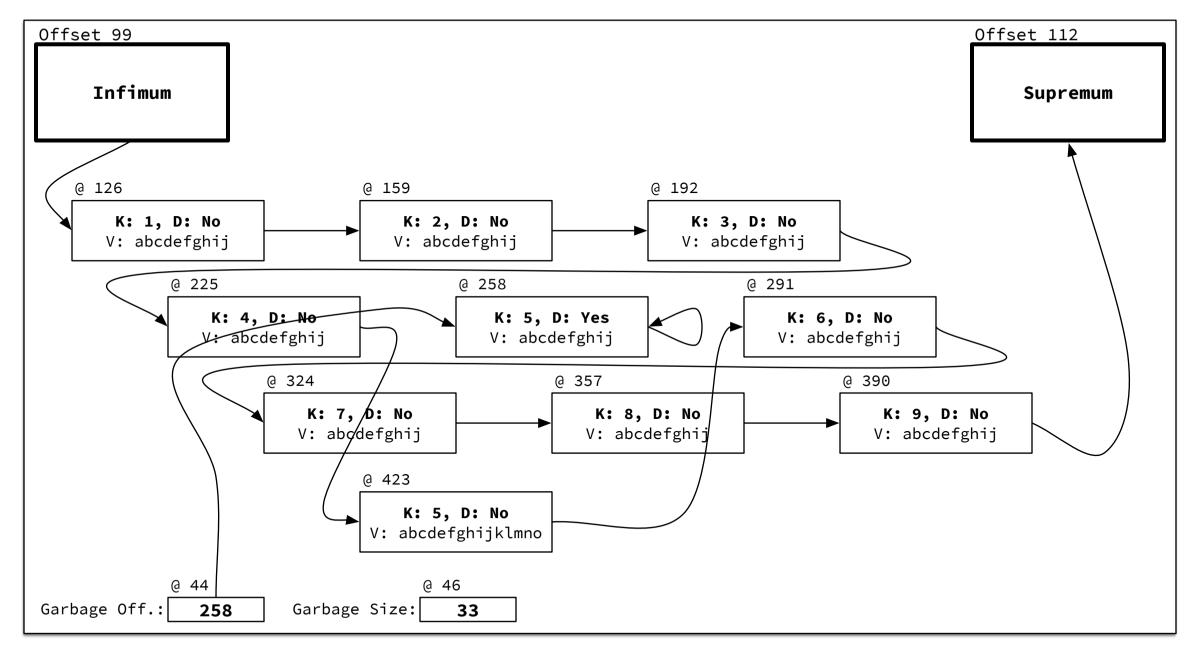
Garbage offset is updated to row @ 225, and row next pointer is pointed to previous garbage offset (garbage is added to head of list).

### B+Tree Record Update - Smaller



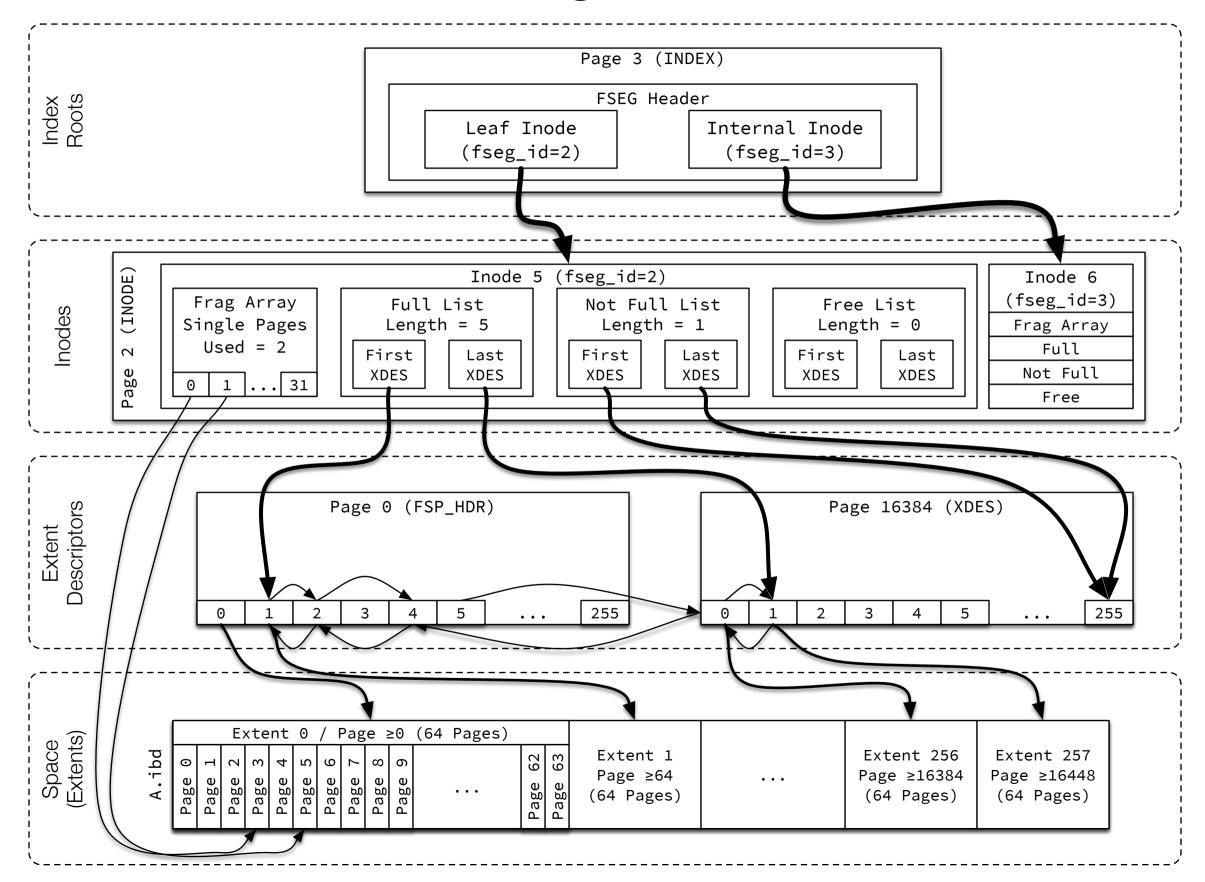
SQL: update t set s="abcde" where i = 5; Garbage size is incremented by size of row shrinkage, but wasted space is not tracked in garbage list.

## B+Tree Record Update - Larger

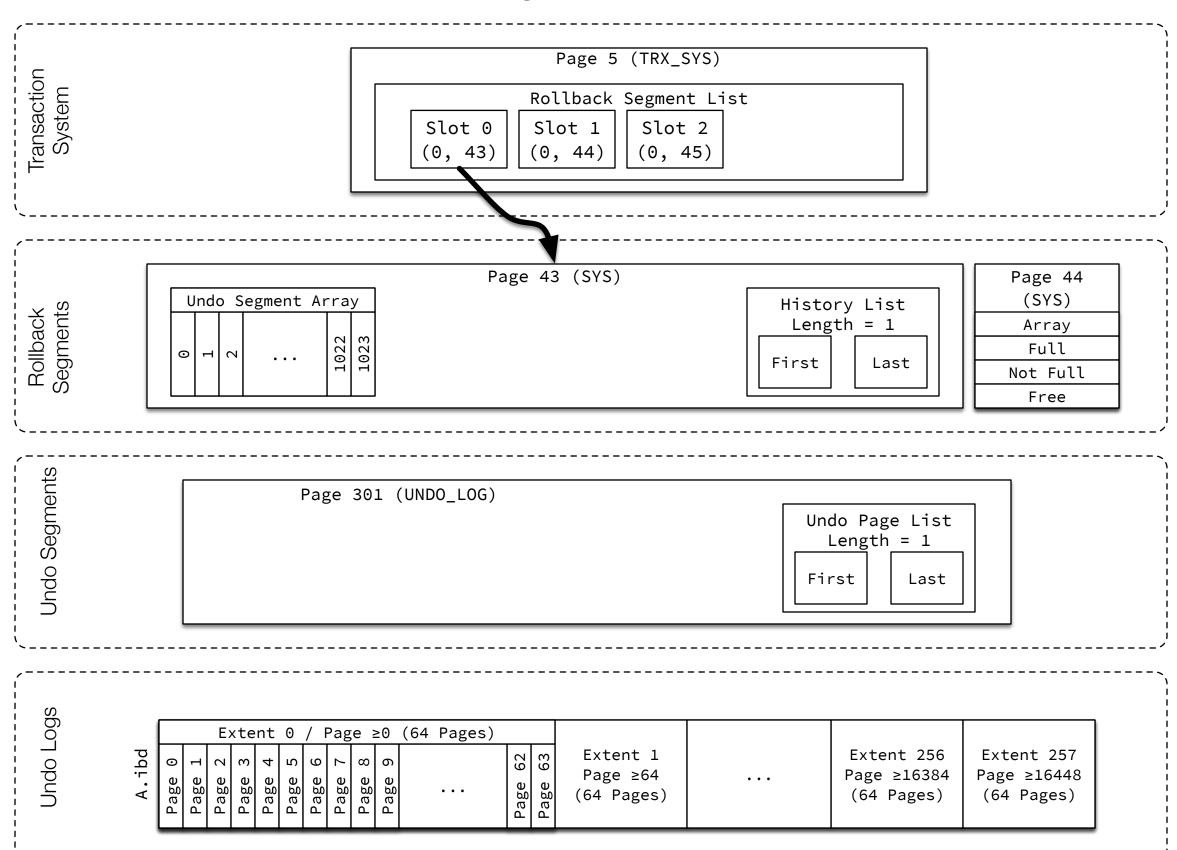


SQL: update t set s="abcdefghijklmno" where i = 5; Row is deleted, and a new row is inserted into the heap.

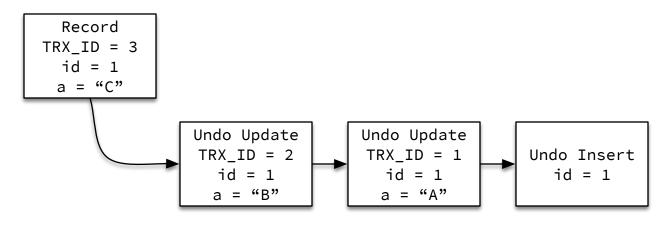
# Index File Segment Structure



### History Structure



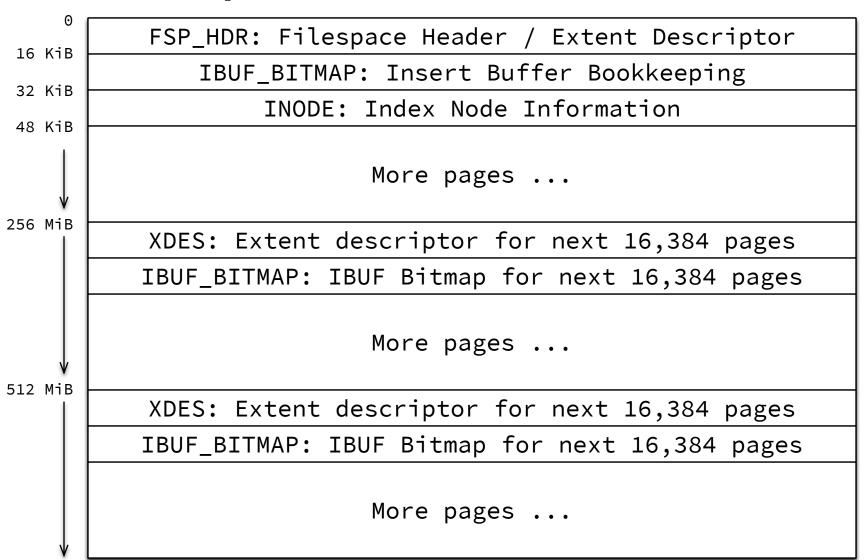
#### **Record History**



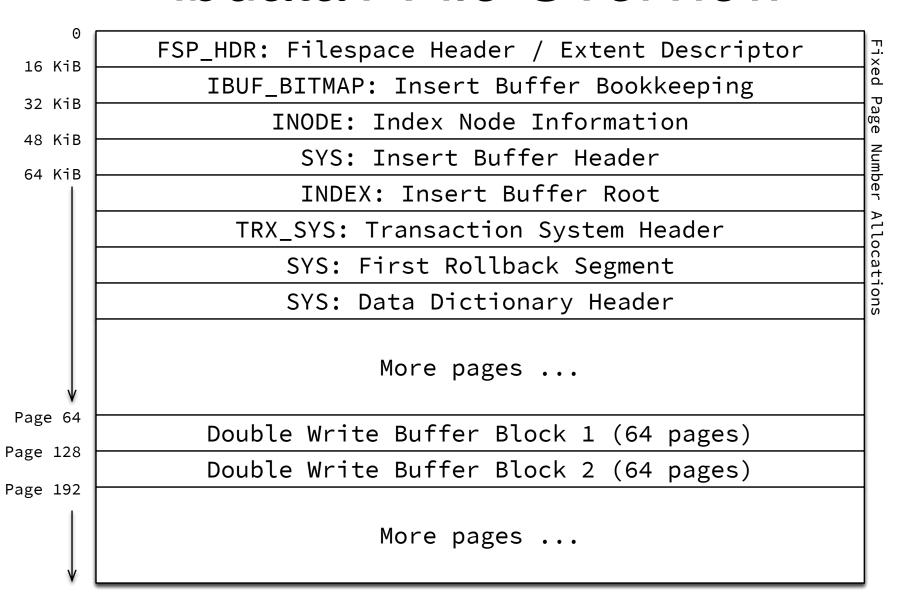
History above represents the following SQL statements:

```
INSERT INTO t (id, a) VALUES (1, "A");
UPDATE t SET a="B" WHERE id = 1;
UPDATE t SET a="C" WHERE id = 1;
```

## Space File Overview



#### ibdata1 File Overview



### **IBD File Overview**

FSP\_HDR: Filespace Header / Extent Descriptor 16 KiB IBUF BITMAP: Insert Buffer Bookkeeping 32 KiB INODE: Index Node Information 48 KiB INDEX: Root page of first index 64 KiB INDEX: Root page of second index INDEX: Node pages ... INDEX: Leaf pages ... ALLOCATED: Reserved but unused pages ... More pages ...

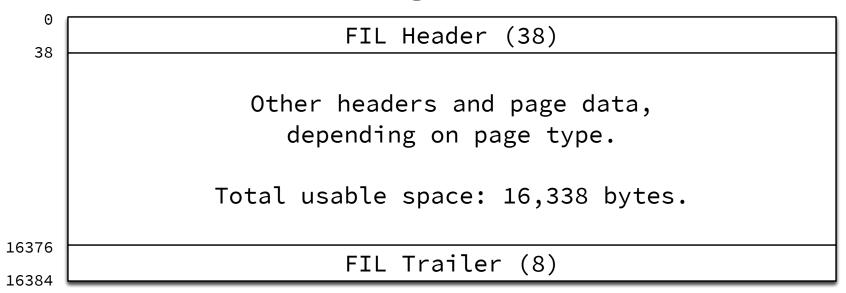
### List Base Node

N		
		List Length (4)
N+4	rst	Page Number (4)
N+8	Fir	Offset (2)
N+10 N+14	st	Page Number (4)
N+14 N+16	La	Offset (2)
N+TO	_	

### List Node

)   	Page Number (4)
Pr	Offset (2)
×t	Page Number (4)
Se [	Offset (2)
-	ext Pro

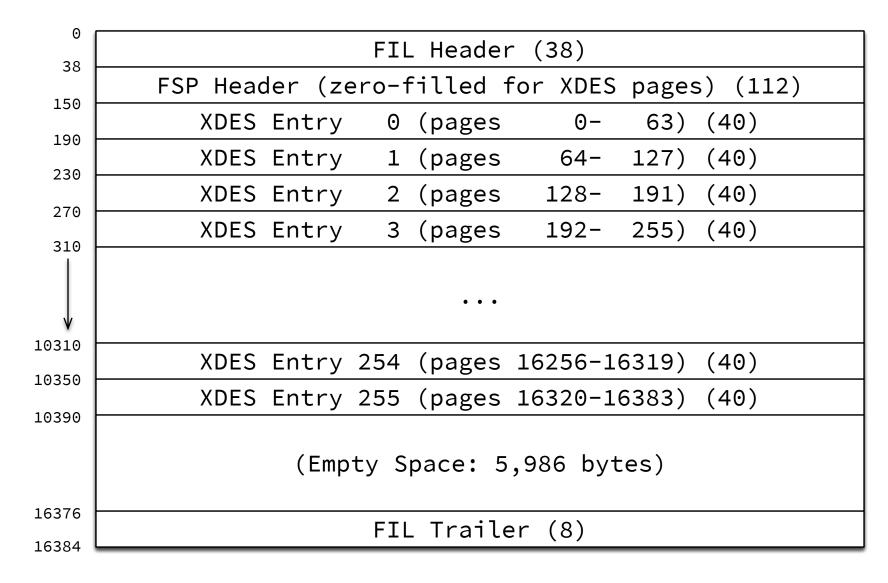
### Basic Page Overview



### FIL Header/Trailer

•	
0	Checksum (4)
4	Offset (Page Number) (4)
8	Previous Page (4)
12	Next Page (4)
16	LSN for last page modification (8)
24	Page Type (2)
26	Flush LSN (0 except space 0 page 0) (8)
34	Space ID (4)
38	
16376	Old-style Checksum (4)
16380	Low 32 bits of LSN (4)
16384	` '

#### FSP\_HDR/XDES Overview



### **FSP Header**

38	Space ID (4)
42	(Unused) (4)
46	Highest page number in file (size) (4)
50	Highest page number initialized (free limit) (4)
54	Flags (4)
58	Number of pages used in "FREE_FRAG" list (4)
62	List base node for "FREE" list (16)
78	List base node for "FREE_FRAG" list (16)
94	List base node for "FULL_FRAG" list (16)
110	Next Unused Segment ID (8)
118 134	List base node for "FULL_INODES" list (16)
150	List base node for "FREE_INODES" list (16)

## XDES Entry

N	File Segment ID (8)
N+8	List node for XDES list (12)
N+20	State (4)
N+24	Page State Bitmap (16)
N+40	2 bits per page, 1=free, 2=clean

#### IBUF\_BITMAP Overview

0	, , , , , , , ,
30	FIL Header (38)
38	Change Buffer Bitmap (pages 0-16384) (8192) (4 bits per page)
8230	(Empty Space: 8,146 bytes)
16376	ETL Trailor (0)
16384	FIL Trailer (8)

# IBUF\_BITMAP Page Entry

```
Free Space (2 bits)
  Buffered Flag (1 bit)
Change Buffer Flag (1 bit)
```

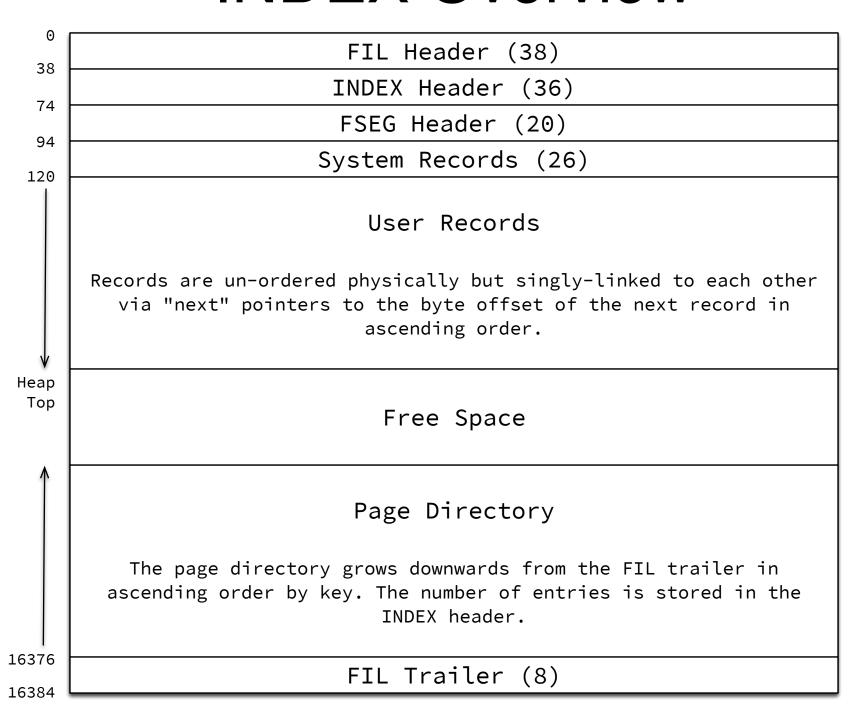
### **INODE Overview**

0	
0	FIL Header (38)
38	List node for INODE Page list (12)
50	E 13 c 110 de 101 ENODE 1 de c13 c (12)
	INODE 0 (192)
242	INODE 1 (192)
434	
606	INODE 2 (192)
626	
J,	
<b>V</b>	
15986	TNODE 92 (102)
16170	INODE 83 (192)
16178	INODE 84 (192)
16370	1NODE 04 (132)
	(Empty Space, 6 bytes)
16376	FT! T '7 (0)
16204	FIL Trailer (8)
16384	

# **INODE** Entry

N	FSEG ID (8)
N+8	Number of used pages in "NOT_FULL" list (4)
N+12	List base node for "FREE" list (16)
N+28	List base node for "NOT_FULL" list (16)
N+44	List base node for "FULL" list (16)
N+60	Magic Number = 97937874 (4)
N+64	Fragment Array Entry 0 (4)
N+68	
	• • •
N+188	Fragment Array Entry 31 (4)
N+192	

#### **INDEX Overview**



### **INDEX** Header

20 1	
38	Number of Directory Slots (2)
40	Heap Top Position (2)
42	Number of Heap Records / Format Flag (2)
44	First Garbage Record Offset (2)
46	Garbage Space (2)
48	Last Insert Position (2)
50	Page Direction (2)
52	Number of Inserts in Page Direction (2)
54	Number of Records (2)
56	Maximum Transaction ID (8)
64	Page Level (2)
66	Index ID (8)
74 l	· ·

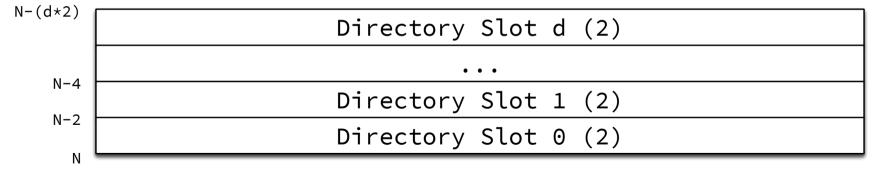
### **FSEG Header**

74 r	
78	Leaf Pages Inode Space ID (4)
82	Leaf Pages Inode Page Number (4)
84	Leaf Pages Inode Offset (2)
	Internal (non-leaf) Inode Space ID (4)
88	Internal (non-leaf) Inode Page Number (4)
92	Internal (non-leaf) Inode Offset (2)
94 l	

### **INDEX System Records**

94	Info Flags (4 bits)
95	Number of Records Owned (4 bits)
95	Order (13 bits)
	Record Type (3 bits)
97	Next Record Offset (2)
99	"infimum\0" (8)
107	Info Flags (4 bits)
108	Number of Records Owned (4 bits)
108	Order (13 bits)
110	Record Type (3 bits)
112	Next Record Offset (2)
120	"supremum" (8)

## INDEX Page Directory



### TRX\_SYS Overview

•	
0	FIL Header (38)
38	Transaction ID (8)
46	11 411346 61011 12 (0)
F.C.	TRX_SYS FSEG Entry (10)
56	Rollback Segment 0: Space (4)
60	Rollback Segment 0: Page (4)
64	ite coest cogmente of rage (1)
	• • •
	Rollback Segment 127: Space (4)
	Rollback Segment 127: Page (4)
1080	
	(Fmm+, Cmaaa, 12204 b, +aa)
	(Empty Space: 13304 bytes)
14204	
14384	Master Log Info (112)
14496	
15384	(Empty Space: 888 bytes)
15000	Binary Log Info (112)
15396	(Empty Space: 980 bytes)
16184	Doublewrite Buffer Info (38)
16222	
16276	(Empty Space: 154 bytes)
16376	FIL Trailer (8)
16384	

#### TRX\_SYS MySQL Log Info

N	Magic Number (4)
N+4 N+12	Log Offset (8)
N+112	Log Name (100)

### TRX\_SYS Doublewrite Buffer Info

16184	
16194	Doublewrite Buffer FSEG Entry (10)
	Doublewrite Magic Number (4)
16198	Block 1 Start Page (4)
16202	Block 2 Start Page (4)
16206	Doublewrite Magic Number (4)
16210	Block 1 Start Page (4)
16214	
16218	Block 2 Start Page (4)
16222	Space ID Stored Magic Number (4)

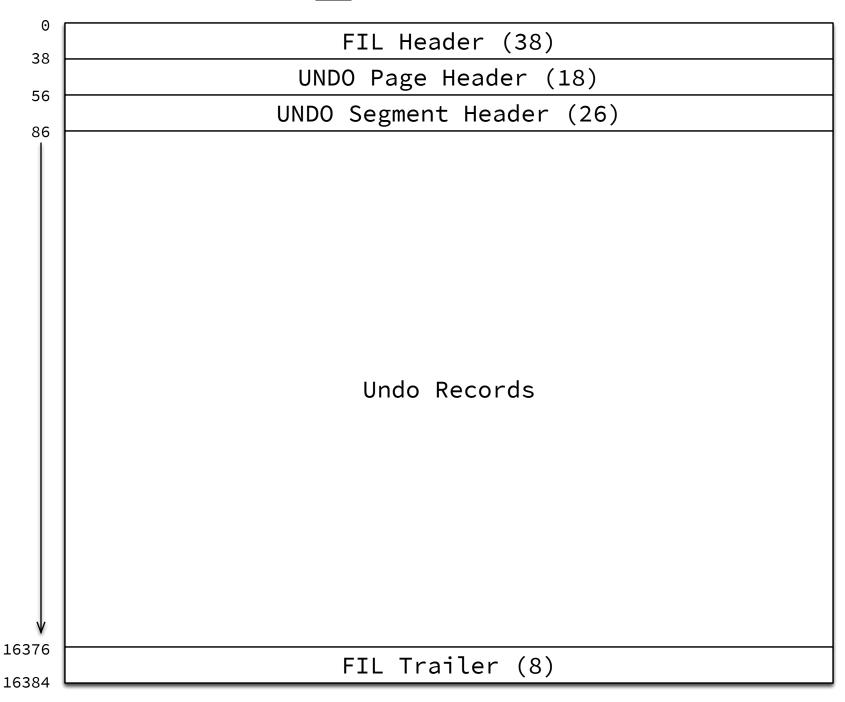
### SYS\_RSEG\_HEADER Overview

0	FIL Header (38)
38	Rollback Segment Header (34)
72	Undo Segment Slot 0 (4)
	• • •
4164	Undo Segment Slot 1023 (4)
	(Empty Space: 12212 bytes)
16376	FIL Trailer (8)
16384	

# Rollback Segment Header

38 42	Max Size (4)
46	History Size (4)
	History List Base Node (16)
62 72	Rollback Segment FSEG Entry (10)

## UNDO\_LOG Overview



# Undo Page Header

30	Undo Page Type (2)
40	Latest Log Record Offset (2)
42	Free Space Offset (2)
44 56	Undo Page List Node (12)
50	

# Undo Segment Header

56 58	State (2)
60	Last Log Offset (2)
70	Undo Segment FSEG Entry (10)
86	Undo Segment Page List Base Node (16)

# Undo Log Header

^		
0		Transaction ID (8)
8		Transaction Number (8)
16		Delete Marks Flag (2)
18		Log Start Offset (2)
20	$\vdash$	XID Flag (1)
21	$\vdash$	DDL Transaction Flag (1)
22	$\vdash$	Table ID if DDL Transaction (8)
30	├	
32		Next Undo Log Offset (2)
34		Prev Undo Log Offset (2)
		History List Node (12)
46	gt	XID Format (4)
50	Fla	TRID Length (4)
54	XID	BQUAL Length (4)
58	ΙŁ	XID Data (128)
186	$\overline{}$	

### **Undo Record**

N-2	
	Previous Record Offset (2)
N N+2	Next Record Offset (2)
N+2 N+3	Type + Extern Flag + Compilation Info (1)
IN+3	Undo Number (1-11)
	Table ID (1-11)
	(Variable length undo record data.)

## Undo Record for Update

	Previous Record Offset (2)
	Next Record Offset (2)
	Type + Extern Flag + Compilation Info (1)
	Undo Number (1-11)
	Table ID (1-11)
	Key Field 1 Length (1-5)
Fields	Key Field 1 Content (n)
Fie	• • •
Key	Key Field N Length (1-5)
	Key Field N Content (n)
	Updated Field Count
	Updated Field 1 Field Number (1-5)
<u>s</u>	Updated Field 1 Length (1-5)
Fields	Updated Field 1 Content (n)
	• • •
Updated	Updated Field N Field Number (1-5)
j L	Updated Field N Length (1-5)
	Updated Field N Content (n)

#### Record Format - Overview

	Variable field lengths (1-2 bytes per var. field)	
N 5	Nullable field bitmap (1 bit per nullable field)	
N-5	Info Flags (4 bits)	er,
N-4	Number of Records Owned (4 bits)	"Header"
	Order (13 bits)	] 🖫
N-2	Record Type (3 bits)	
N	Next Record Offset (2)	
IN	(Record Data)	

#### Record Format - Header

Variable field lengths (1-2 bytes per var. field)
Nullable field bitmap (1 bit per nullable field)
Info Flags (4 bits)
Number of Records Owned (4 bits)
Order (13 bits)
Record Type (3 bits)

Next Record Offset (2)

# Rollback Pointer (ROLL\_PTR)

Insert Flag (1 bit) Rollback Segment ID (7 bits)

Page Number (4)

Page Offset (2)

#### Record Format - Clustered Key - Leaf Pages

N	(Variable Length Record Header)
N+k	Cluster Key Fields (k)
N+k+6	Transaction ID (6)
N+k+13	Roll Pointer (7)
N+k+13+j	Non-Key Fields (j)

### Record Format - Clustered Key - Non-Leaf Pages

Child Page Number (4)

_	
<sub>N</sub> [	(Variable Length Record Header)
N. 1	Cluster Key Min. Key on Child Page (k)

N+k

N+k+4

### Record Format - Secondary Key - Leaf Pages

Cluster Key Fields (j)

```
(Variable Length Record Header)
                  Secondary Key Fields (k)
N+k
```

N+k+i

### Record Format - Secondary Key - Non-Leaf Pages

N+k

N+k+j

N+k+j+4

(Variable Length Record Header)	
Secondary Key Min. Key on Child Page	(k)
Cluster Key Min. Key on Child Page	(j)
Child Page Number (4)	

### **INDEX Overview**

0 38	FIL Header (38)		
	INDEX Header (36)		
74	FSEG Header (20)		
94	Compressed Record Data		
	Modification Log		
	(Free Space)		
$\uparrow$	Trailer Data		
	Dense Page Directory		

## Compressed Index Data

### Uncompressed Index Records

Record 1: "A"

Key fields

System Fields

Non-key fields

Record 2: "C"

Key fields

System Fields

Non-key fields

Record 3: "B"

Key fields

System Fields

Non-key fields

Record 4: "D"

Key fields

System Fields

Non-key fields

#### Compressed Data

	ZLIB Header
	Description 1
	Description 2
	Description 3
	Description 4
	Record Data 1
	Record Data 2
·	Record Data 3
	Record Data 4

#### **Uncompressed Data**

TRX_ID/ROLL_PTR 4	
TRX_ID/ROLL_PTR 3	
TRX_ID/ROLL_PTR 2	
TRX_ID/ROLL_PTR 1	

Slot	3
Slot	2
Slot	1
Slot	0

## Compressed Index Data

### Uncompressed Index Records

Record 1: "A"

Key fields

System Fields

Non-key fields

Record 2: "C"

Key fields

System Fields

Non-key fields

Record 3: "B"

Key fields

System Fields

Non-key fields

Record 4: "D"

Key fields

System Fields

Non-key fields

#### Compressed Data

ZLIB Header		
Description	1	
Description	2	
Description	3	
Description	4	
Record Data	1	
Record Data	2	
Record Data	3	
Record Data	4	

#### **Uncompressed Data**

TRX_ID/ROLL_PTR	4
TRX_ID/ROLL_PTR	3
TRX_ID/ROLL_PTR	2
TRX_ID/ROLL_PTR	1

Slot	3
Slot	2
Slot	1
Slot	0

## Compressed Index Data

### Uncompressed Index Records

Record 1: "A"

Key fields

System Fields

Non-key fields

Record 2: "C"

Key fields

System Fields

Non-key fields

Record 3: "B"

Key fields

System Fields

Non-key fields

Record 4: "D"

Key fields

System Fields

Non-key fields

#### Compressed Data

ZLIB Header		
Description 1		
Description 2		
Description 3		
Description 4		
Record Data 1		
Record Data 2		
Record Data 3		
Record Data 4		

#### **Uncompressed Data**

TRX_ID/ROLL_PTR 4
TRX_ID/ROLL_PTR 3
TRX_ID/ROLL_PTR 2
TRX_ID/ROLL_PTR 1

Slot	3
Slot	2
Slot	1
Slot	0

# Modification Log

-y 1	Heap Number (1-2)		
Record Data			
-y 2	Heap Number (1-2)		
Entr	Record Data		
•••			
-y N	I I Hean Number (I-Z)		
Entr	Record Data		
	End Marker (1) = 0		

### **Trailer Data**

Z >	TRX_ID (6)
Ent	Roll Pointer (5)
	• • •
2 2	TRX_ID (6)
Entr	Roll Pointer (5)
\\ \frac{\( \)}{1}	TRX_ID (6)
Entry	Roll Pointer (5)

## Dense Page Directory

z	Deleted Flag (1 bit)	
Slot	Owned Flag (1 bit)	
	Record Offset (14 bits)	
•••		
	Deleted Flag (1 bit)	
Slot	Owned Flag (1 bit)	
	Record Offset (14 bits)	
0	Deleted Flag (1 bit)	
Slot (	Owned Flag (1 bit)	
	Record Offset (14 bits)	

The dense page directory contains one entry per records, in the key's collation order. All directory slots will own a minimum of 4 and maximum of 8 records. The page directory grows "downwards" from the end of the page.

#### Record Format - Change Buffer - Leaf Pages

Metadata

Info.

Туре

Space ID (4)
Field Marker (1)
Page Number (4)
Operation Counter (2)
Operation Type (2)
Flags (1)
Data Type (1)
"Precise" Data Type (1)
Length (2)
Collation Code (2)
•••
Type Information N
Secondary Index Fields (j)