### **RECAP S1:**

TOWARD A VARIATIONAL ESTIMATION OF THE STEADY STATE IN OPEN QUANTUM SYSTEMS USING GENERAL-IZED GIBBS ENSEMBLE

FEBRUARY 26, 2024

# OPEN QUANTUM SYSTEMS

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Lindblad equation:

$$\dot{\rho} = \mathcal{L} \, \rho \equiv -i \left[ H, \rho \right] + \sum_{k=1}^{N^2 - 1} \gamma_k \left( L_k \rho L_k^{\dagger} - \frac{1}{2} \{ L_k^{\dagger} L_k, \rho \} \right) \tag{1}$$

■ Steady state:

$$\dot{
ho}\equiv {\sf O}$$

■ thermalisation performed by Gibbs ensemble (GE):

$$\rho_{\rm GE} = \frac{\mathrm{e}^{-\beta \hat{H}}}{\mathrm{Tr}\left(\mathrm{e}^{-\beta \hat{H}}\right)} \tag{2}$$

### GENERALISED GIBBS ENSEMBLES (GGE)

■ Von Neumann entropy

$$S(\rho) = -\text{Tr}\left(\rho \ln \rho\right) \tag{3}$$

definition GGE (Max Entropy Principle):

$$\rho_{\text{GGE}} = \operatorname{argmax}_{\rho} \left\{ S(\rho) : \forall A \in \mathcal{C} : \operatorname{Tr} \left( A \rho \right) = \operatorname{Tr} \left( A \rho (0) \right) \right\} \quad (4)$$

General form of GGE (= most general form of any steady state):

$$\rho_{\text{GGE}} = \frac{e^{-\sum_{A \in \mathcal{C}} \lambda_{A} A}}{\operatorname{Tr}\left(e^{-\sum_{A \in \mathcal{C}} \lambda_{A} A}\right)}$$
(5)

## VARIATIONNAL ESTIMATION OF THE

## STEADY STATE: PRINCIPLE

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1. Start from GGE ansatz with predefined conserved quantities:

$$\rho_{\rm GGE} = \frac{\operatorname{e}^{-\sum\limits_{A \in \mathcal{C}} \lambda_A A}}{\operatorname{Tr}\left(\operatorname{e}^{-\sum\limits_{A \in \mathcal{C}} \lambda_A A}\right)}$$

2. Vary the Lagrange multipliers  $\{\lambda_A\}_{A\in\mathcal{C}}$  to minimize  $||\dot{\rho}||$ 

## APPLICATION: THE DAMPED HAR-MONIC OSCILLATOR

### **APPLICATION: THE DAMPED HARMONIC OSCILLATOR**

■ Lindblad equation ( $\bar{n} = (\exp \beta \omega - 1)^{-1}$ ):

$$\mathcal{L}_{H} \rho = -i \left[ H, \rho \right]$$

$$+ \gamma (\bar{n} + 1) \left( a \rho a^{\dagger} - \frac{1}{2} \left\{ a^{\dagger} a, \rho \right\} \right)$$

$$+ \gamma \bar{n} \left( a^{\dagger} \rho a - \frac{1}{2} \left\{ a a^{\dagger}, \rho \right\} \right)$$

$$(6)$$

**D**ifferent Hamiltonians ( $\epsilon$  small) and ansätze:

$$\begin{aligned} & H_0 = a^\dagger a \\ & H_1 = a^\dagger a + \epsilon \left( a + a^\dagger \right) \\ & H_2 = a^\dagger a + \epsilon \, a^\dagger a^\dagger a a \end{aligned} \qquad \begin{aligned} & \rho_{\mathrm{GGE}\,1} = \frac{\mathrm{e}^{-\lambda_0\,a^\dagger a}}{\mathrm{Tr}\left(\mathrm{e}^{-\lambda_0\,a^\dagger a}\right)} \\ & \frac{\mathrm{e}^{-\lambda_0\,a^\dagger a - \lambda_1\,a^\dagger a^\dagger a a}}{\mathrm{Tr}\left(\mathrm{e}^{-\lambda_0\,a^\dagger a - \lambda_1\,a^\dagger a^\dagger a a}\right)} \end{aligned}$$

# RESULTS

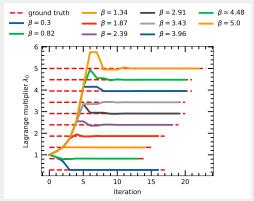
### RESULTS - HO 1

■ Hamiltonian:

$$H_0 = a^{\dagger}a$$

Ansatz:

$$\rho_{\mathrm{GGE}\,1} = \frac{\mathrm{e}^{-\lambda_0\,a^\dagger a}}{\mathrm{Tr}\left(\mathrm{e}^{-\lambda_0\,a^\dagger a}\right)}$$

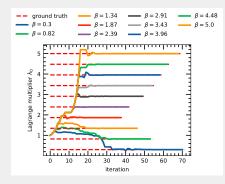


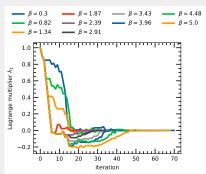
**Figure:** Optimization of the GGE: Lagrange multiplier as a function of the number of iterations.

### RESULTS - HO 2

$$H_0 = a^{\dagger}a$$

$$\rho_{\mathrm{GGE}\,2} = \frac{\mathrm{e}^{-\lambda_0\,a^\dagger a - \lambda_1\,a^\dagger a^\dagger a a}}{\mathrm{Tr}\left(\mathrm{e}^{-\lambda_0\,a^\dagger a - \lambda_1\,a^\dagger a^\dagger a a}\right)}$$



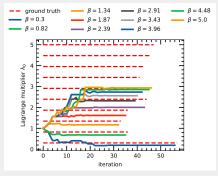


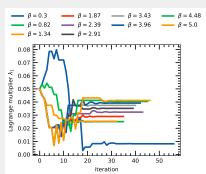
**Figure:**  $\lambda_0$  as a function of the number **Figure:**  $\lambda_1$  as a function of the number of iterations.

### **RESULTS - DRIVE**

$$H_1 = a^{\dagger}a + \epsilon \left(a + a^{\dagger}\right)$$

$$\rho_{\mathrm{GGE}\,2} = \frac{\mathrm{e}^{-\lambda_0\,a^\dagger a - \lambda_1\,a^\dagger a^\dagger a a}}{\mathrm{Tr}\left(\mathrm{e}^{-\lambda_0\,a^\dagger a - \lambda_1\,a^\dagger a^\dagger a a}\right)}$$



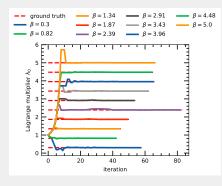


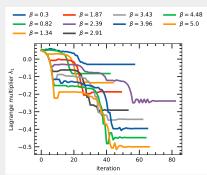
**Figure:**  $\lambda_0$  as a function of the number **Figure:**  $\lambda_1$  as a function of the number of iterations.

### **RESULTS - KERR**

$$H_2 = a^\dagger a + \epsilon \, a^\dagger a^\dagger a a$$

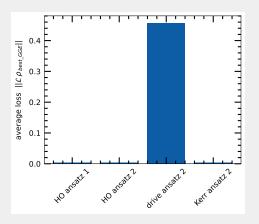
$$\rho_{\mathrm{GGE}\,2} = \frac{\mathrm{e}^{-\lambda_0\,a^\dagger a - \lambda_1\,a^\dagger a^\dagger a a}}{\mathrm{Tr}\left(\mathrm{e}^{-\lambda_0\,a^\dagger a - \lambda_1\,a^\dagger a^\dagger a a}\right)}$$





**Figure:**  $\lambda_0$  as a function of the number **Figure:**  $\lambda_1$  as a function of the number of iterations.

### **RESULTS**



**Figure: Comparison of the losses of the optimized GGEs** in the various scenarios. The loss is the average value of  $||\mathcal{L}\,\rho_{\rm GGE}\,||$  calculated across all true temperatures.

## **OUTLOOK**

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- Use a variational state less informed by the analytical solution (= more general way to express conserved quantities?)
- Generalize the method in the multi-body case

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