

# Algebra 2 Homework 5

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*Solution of problem 1:* • BIT  $\implies$  OMT: We first need to show that  $\pi : X \rightarrow X/M$  is an open map, where  $M$  is a closed subspace. Let  $U$  be open in  $X$ . Then we want to understand  $q(U)$ . Let  $x \in U$ . Then there must be  $r > 0$  such that  $B(x, r) \subseteq U$ . Let  $x' + M$  be such that  $\|(x + M) - (x' + M)\| < r$ , that is,  $\inf_{m \in M} \|(x - x') + m\| < r$ . We must obtain some  $m \in M$ , where  $\|(x - x') + m\| < r$ , since the inequality is strict. But now  $x' - m \in B(x, r) \subset U$ , and so  $q(x' - m) \in q(U)$ , and note that  $q(x' - m) = x' + M$ , which lies in  $B(x' + M, r)$ , a ball in  $q(U)$ . Thus we have an open map.

Now we assume that bounded inverse theorem. Let  $T : X \rightarrow Y$  be a bounded surjective map. Then let  $M = \ker T$ . Then we have the map  $\bar{T} : X/M \rightarrow Y$  where  $x + M \mapsto T(x)$ . This map is clearly well-defined since if  $x + M = x' + M$  then  $x - x' \in M$ . Then  $T(x) = T(x')$ . This is clearly a bijection by the first isomorphism theorem. Now by the Bounded Inverse Theorem, we have that  $S = \bar{T}^{-1} : Y \rightarrow X/M$  exists and is a bounded and linear map. Hence it is also continuous.

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*Solution of problem 2:* Let  $T : C^1[-1, 1] \rightarrow \mathbb{R}$ , where  $T(f) = f'$ . This is clearly linear. Note that  $\|f\| := \sup_{x \in [-1, 1]} |f(x)|$ . Then see that  $\|T\| = \sup_{\|f\|=1} |Tf|$ , which is unbounded. Then note for  $(u_n)$ , a sequence of differentiable functions that converge uniformly to  $u$ , and  $Tu_n = u'_n$  converges to  $f$ , then we have  $Tu = u' = f$ . Thus this a discontinuous linear operator than has closed graph.

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*Solution of problem 3:*

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*Solution of problem 4:* 1. Let  $X$  be a Banach space, and  $p : X \rightarrow [0, \infty)$  is a semi-norm (a norm, but without the rule that  $p(x) = 0 \implies x = 0$ ). If we take any absolutely convergent series  $\sum_{n=1}^{\infty} x_n \in X$ , we have

$$p\left(\sum_{n=1}^{\infty} x_n\right) \leq \sum_{n=1}^{\infty} p(x_n) \in [0, \infty],$$

then  $p$  is continuous. To prove this, we let  $A_n = p^{-1}([0, n])$  and  $F_n = \overline{A_n}$ . See that  $A_n$  and  $F_n$  are symmetric convex sets since  $p$  is a seminorm. We have  $X = \cup_{n=1}^{\infty} F_n$ , and by Baire's theorem there must be some  $N$  such that  $F_N$  has non-empty interior. Therefore, there exist  $x_0 \in X$ , and  $R > 0$  such that  $B_R(x_0) \subset F_N$ . By symmetry of

$F_N, B_R(-x_0) = -B_R(x_0) \subset F_N$ . If  $\|x\| < R$ , then  $x + x_0 \in B_R(x_0), x - x_0 \in B_R(-x_0)$ , so we have  $x \pm x_0 \in F_N$ . Since  $F_N$  is convex, we have  $\frac{1}{2}(x_0 + (-x_0)) = 0 \in F_N$ . Then we have  $B_R(0) \subset F_N$ . We want to show that  $B_R(0) \subset A_N$ . Suppose  $\|x\| < r < R$ . Fix  $0 < q < 1 - \frac{r}{R}$ , so that  $\frac{1}{1-q} \cdot \frac{r}{R} < 1$ . Then  $y = \frac{R}{r}x \in B_R(0) \subset F_N = \overline{A_N}$ . Thus there is  $y_0 \in A_N$  such that  $\|y - y_0\| < qR$ , so  $q^{-1}(y - y_0) \in B_R$ . Choose a  $y_1 \in A_N$  such that  $\|q^{-1}(y - y_0) - y_1\| < qR$ , so  $\|y - y_0 - qy_1\| < q^2R$ . By induction we have  $(y_n)$  such that

$$\left\| y - \sum_{k=0}^n q^k y_k \right\| < q^n R,$$

for all  $n \geq 0$ , thus we have  $y = \sum_{k=0}^{\infty} q^k y_k$ . We see that  $\|y_k\| \leq R + qR$  for all  $k$ , so  $y$  as a series exists since the constructed series is absolutely convergent. Now, using the subadditivity that was given in the hypothesis, we have

$$p(y) = p\left(\sum_{k=0}^{\infty} q^k y_k\right) \leq \sum_{k=0}^{\infty} q^k p(y_k) \leq \frac{1}{1-q} N,$$

and hence  $p(x) \leq \frac{N(1+\varepsilon)}{R} \|x\|$ , which proves the continuity.

2. (a)  $T : X \rightarrow Y$  is a bounded linear operator. Then suppose the  $T(U)$ , where  $U$  is the open unit ball, is open. In that case, let  $V$  be some open neighbourhood of  $X$ . Then for  $x \in V$ , we have that some ball of radius  $r$  centered at  $x$  is in  $V$ . We can then see that  $T(rU + x) \subset V$ , which means that we only need to see that  $T(U)$  is open.

Define  $p(y) := \inf\{\|x\| \mid Tx = y\}$ . We need to show that this is a seminorm with countable subadditivity. Let  $\alpha \neq 0$  be a scalar. Then we have  $\{x \mid x \in X, Tx = \alpha y\} = \{\alpha x \mid x \in X, Tx = y\}$ , and taking infimums, we have  $p(\alpha y) = |\alpha| p(y)$ . For  $\alpha = 0$ , this can be easily checked. Let  $\sum_n y_n$  be a convergent series. We need to show that  $p(\sum_n y_n) \leq \sum_n p(y_n)$ , so we assume  $\sum_n p(y_n)$  is finite, since if it was infinite there would be nothing to prove. Fixing some  $\varepsilon > 0$ , we take a sequence  $(x_n)$  in  $X$  such that  $Tx_n = y_n$ , and  $\|x_n\| < p(y_n) + 2^{-n}\varepsilon$ . Then we have  $\sum_n \|x_n\| < \sum_n p(y_n) + \varepsilon$ , which is finite. Since in Banach spaces absolutely convergent series are also convergent, we have  $\sum_n x_n$  converges. Then  $T(\sum_n x_n) = \sum_n Tx_n = \sum_n y_n$ , so

$$p\left(\sum_n y_n\right) \leq \left\| \sum_n x_n \right\| \leq \sum_n \|x_n\| < \sum_n p(y_n) + \varepsilon.$$

Therefore subadditivity is confirmed, so by Zabreiko's lemma, we have

$$T(U) = \{y : y \in Y, Tx = y \text{ for some } x \in U\} = \{y : y \in Y, p(y) = 1\},$$

which is open. This proves the open mapping theorem.

- (b) If we have a one-one onto linear mapping from a topological space to another is a homeomorphism if and only if it is continuous and open. Using the open mapping theorem, we have that this map is open, and continuous. Thus  $T^{-1}$  exists and must be bounded, as it is a homeomorphism too. This proves the bounded inverse theorem.

- (c) Let  $\mathcal{F}$  be a non-empty family of bounded linear operators from a Banach space  $X$  to a normed space  $Y$ , where  $\sup\{\|Tx\| \mid T \in \mathcal{F}\}$ . Now, let  $p(x) := \sup\{\|Tx\| \mid T \in \mathcal{F}\}$ . See that  $p(\alpha x) = |\alpha|p(x)$  from definition. For  $\sum_n x_n$  a convergent series, we have

$$\left\| T \left( \sum_n x_n \right) \right\| = \left\| \sum_n Tx_n \right\| \leq \sum_n \|Tx_n\| \leq \sum_n p(x_n),$$

which implies that  $p(\sum_n x_n) \leq \sum_n p(x_n)$ . In particular, we have  $p(x_1 + x_2) \leq p(x_1) + p(x_2)$ . Now, since  $p$  is continuous, we have  $\delta > 0$  such that  $p(x) \leq 1$  for  $\|x\| \leq \delta$ . Whenever  $x \in X, \|x\| = 1$  we have  $p(x) \leq \delta^{-1}$ , which means that  $\|T\| \leq \delta^{-1}$  for each  $T \in \mathcal{F}$ .

- (d) Let,  $T : X \rightarrow Y$ . Now pick  $p(x) = \|Tx\|$ . If  $p$  was continuous, then there would be a neighbourhood  $U$  of 0 such that the set  $p(U)$  is bounded, which implies that  $T(U)$  is bounded, and this implies continuity of  $T$ .  $p$  is a semi-norm, so we need to check its continuity. We only need to check that this has countable subadditivity. Take  $\sum_n x_n$ , a convergent series, then we can assume that  $\sum_n \|Tx_n\|$  is finite without loss of generality. Now see that if  $\sum_n \|Tx_n\|$  is convergent, then so is  $\sum_n Tx_n$  is convergent in  $Y$ , as it is complete. Since  $\sum_{k=1}^n x_k \rightarrow \sum_n x_n$ , then we have  $T(\sum_{k=1}^n x_k) \rightarrow T(\sum_n x_n)$ . Then, from hypothesis we have  $T(\sum_n x_n) = \sum_n Tx_n$ . Taking norm, we have the norm subadditivity. Since  $p$  is continuous by Zabreiko's lemma, we have proven the closed graph theorem.  $\square$

*Solution of problem 5:* We know that  $x_n \xrightarrow{w} x$ , so for any  $f \in X^*$ , we have  $f(x_n) \rightarrow f(x)$ . Using the Hahn-Banach theorem, we can find a linear functional  $f$  where  $\|f\| = 1$ , and  $f(x) = \|x\|$ . Then we have

$$\|x\| = \lim_{n \rightarrow \infty} |f(x_n)| \leq \liminf_{n \rightarrow \infty} \|f\| \|x_n\| = \liminf_{n \rightarrow \infty} \|x_n\|,$$

as desired.  $\square$

*Solution of problem 6:*  $X$  is a normed linear space. We say that  $(x_n)$ , a sequence in  $X$  is *weakly Cauchy* if the sequence  $(fx_n)$  converges for all  $f \in X^*$ .  $X$  is *weakly complete* if all weakly Cauchy sequences converge weakly.

Let  $X$  be reflexive. Let  $(x_n)$  be a weakly Cauchy sequence in  $X$ . Pick  $f \in X^*$ . Then since  $(f(x_n))$  is a Cauchy sequence in  $\mathbb{C}$ , we have that  $f(x_n) \rightarrow \alpha(f)$ , where  $\alpha \in X^{**}$ . We do not know what element in  $X$  this element corresponds to, but we know that since  $X$  is reflexive we can think of it as an element of the bidual acting on  $f$ . For any  $f \in X^*$  we have  $E_{x_n}(f) = f(x_n) \rightarrow \alpha(f)$ . We define  $\alpha$  as the element of  $X^{**}$ , as the limit of  $(f(x_n))$  as  $n \rightarrow \infty$ . Now see that  $|\alpha(f)|$  is bounded as for each  $f \in X^*$ , we have that pointwise the set  $(f(x_n))$  is bounded for each  $f \in X^*$ . Then by the Uniform Bounded Principle, we have  $(x_n)$  must be bounded in  $X^{**}$ . Since  $\|x_n\|_{X^{**}} = \|x_n\|$ , we know that  $(x_n)$  is bounded in  $X$  by  $M > 0$ . Then,

$$|f(x_n)| \leq M \|f\| \implies \alpha(f) \leq M \|f\|.$$

Since  $X$  is reflexive,  $\alpha \in X$ . Then by definition for each  $f \in X^*$  we have  $f(x_n) \rightarrow \alpha(f) = f(\alpha)$ , which confirms weak converges.  $\square$