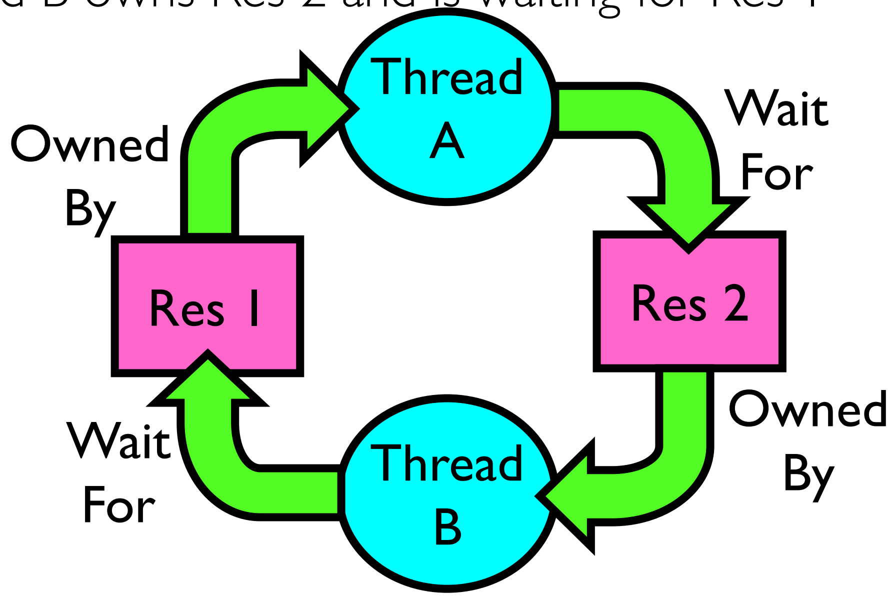
Deadlock

Edited slides from http://cs162.eecs.Berkeley.edu

Starvation vs Deadlock

- Starvation vs. Deadlock
  - Starvation: thread waits indefinitely
    - » Example, low-priority thread waiting for resources constantly in use by high-priority threads
  - Deadlock: circular waiting for resources
    - » Thread A owns Res 1 and is waiting for Res 2
      Thread B owns Res 2 and is waiting for Res 1



  - Deadlock $\Rightarrow$ Starvation but not vice versa
    - » Starvation can end (but doesn't have to)
    - » Deadlock can't end without external intervention

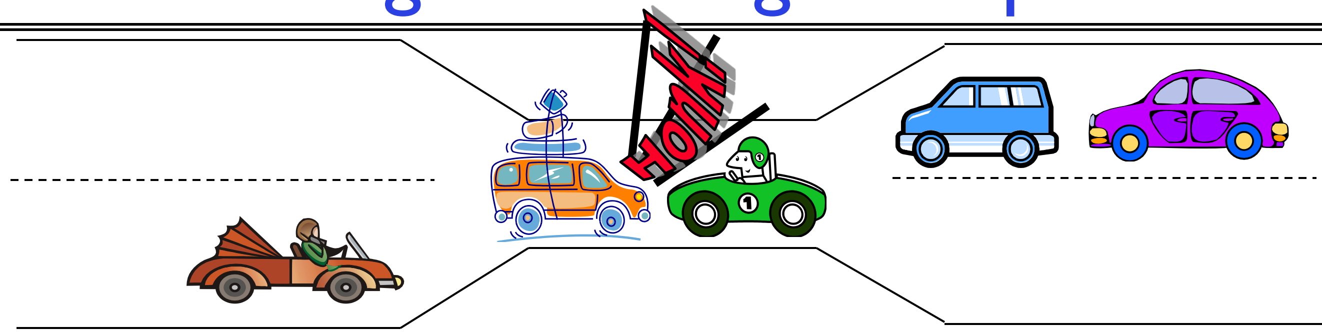
Conditions for Deadlock

- Deadlock not always deterministic – Example 2 mutexes:

Thread A	Thread B
`x.P();`	`y.P();`
`y.P();`	`x.P();`
`y.V();`	`x.V();`
`x.V();`	`y.V();`

  - Deadlock won't always happen with this code
    - » Have to have exactly the right timing ("wrong" timing?)
    - » So you release a piece of software, and you tested it, and there it is, controlling a nuclear power plant…
- Deadlocks occur with multiple resources
  - Means you can't decompose the problem
  - Can't solve deadlock for each resource independently
- Example: System with 2 disk drives and two threads
  - Each thread needs 2 disk drives to function
  - Each thread gets one disk and waits for another one

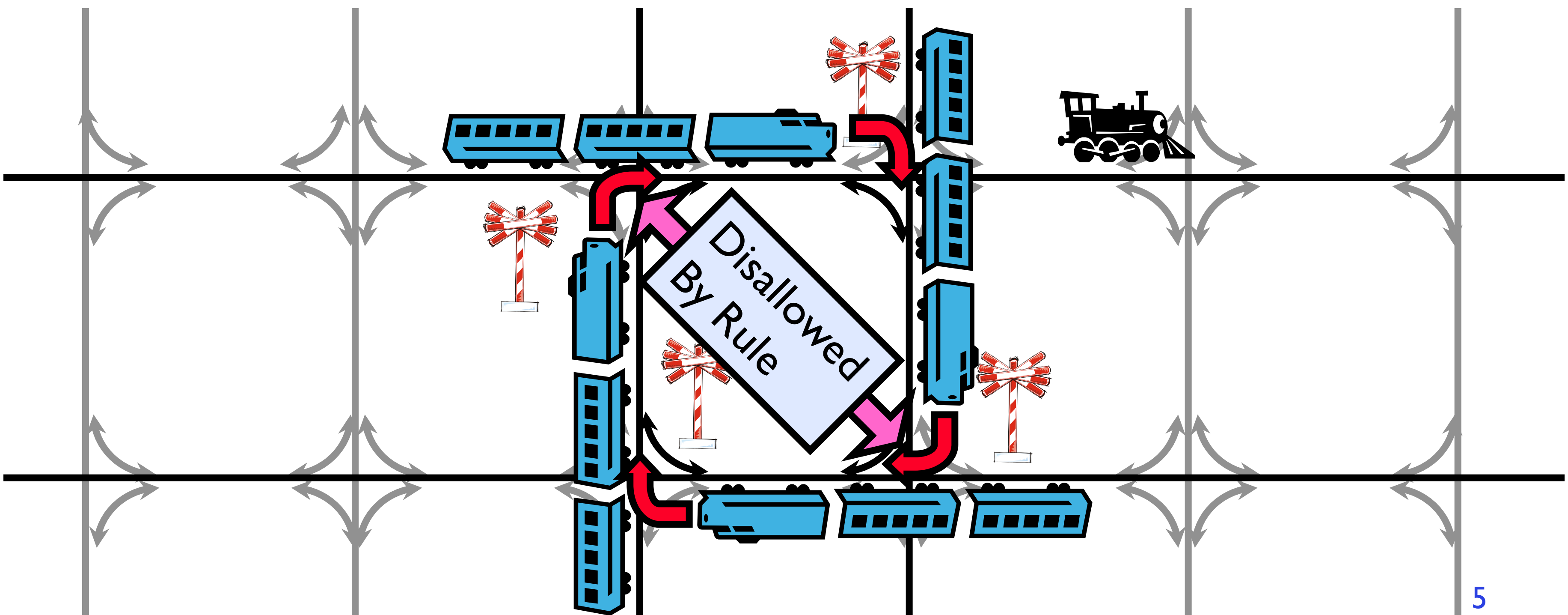
Bridge Crossing Example



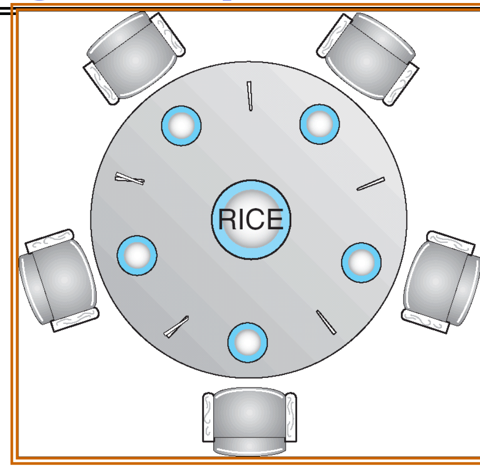
- Each segment of road can be viewed as a resource
  - Car must own the segment under them
  - Must acquire segment that they are moving into
- For bridge: must acquire both halves
  - Traffic only in one direction at a time
  - Problem occurs when two cars in opposite directions on bridge: each acquires one segment and needs next
- If a deadlock occurs, it can be resolved if one car backs up (preempt resources and rollback)
  - Several cars may have to be backed up
- Starvation is possible
  - East-going traffic really fast $\Rightarrow$ no one goes west

Train Example (Wormhole-Routed Network)

- Circular dependency (Deadlock!)
  - Each train wants to turn right
  - Blocked by other trains
  - Similar problem to multiprocessor networks
- Fix? Imagine grid extends in all four directions
  - Force ordering of channels (tracks)
    - Protocol: Always go east-west first, then north-south
  - Called "dimension ordering" (X then Y)



Dining Lawyers Problem

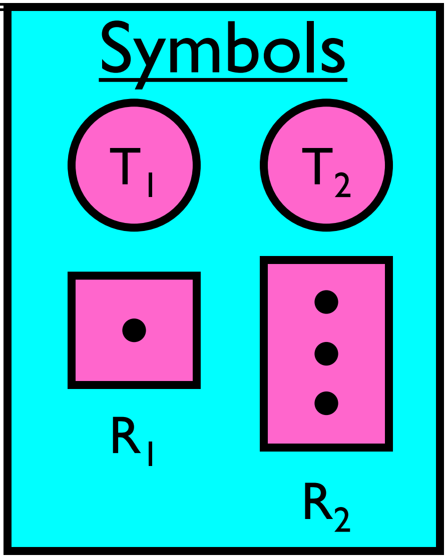


- Five chopsticks/Five lawyers (really cheap restaurant)
  - Free-for all: Lawyer will grab any one they can
  - Need two chopsticks to eat
- What if all grab at same time?
  - Deadlock!
- How to fix deadlock?
  - Make one of them give up a chopstick (Hah!)
  - Eventually everyone will get chance to eat
- How to prevent deadlock?
  - Never let lawyer take last chopstick if no hungry lawyer has two chopsticks afterwards

Four requirements for Deadlock

- Mutual exclusion
  - Only one thread at a time can use a resource.
- Hold and wait
  - Thread holding at least one resource is waiting to acquire additional resources held by other threads
- No preemption
  - Resources are released only voluntarily by the thread holding the resource, after thread is finished with it
- Circular wait
  - There exists a set $\{T_1, \ldots, T_n\}$ of waiting threads
    - » T_1 is waiting for a resource that is held by T_2
    - » T_2 is waiting for a resource that is held by T_3
    - » …
    - » T_n is waiting for a resource that is held by T_1

Resource-Allocation Graph

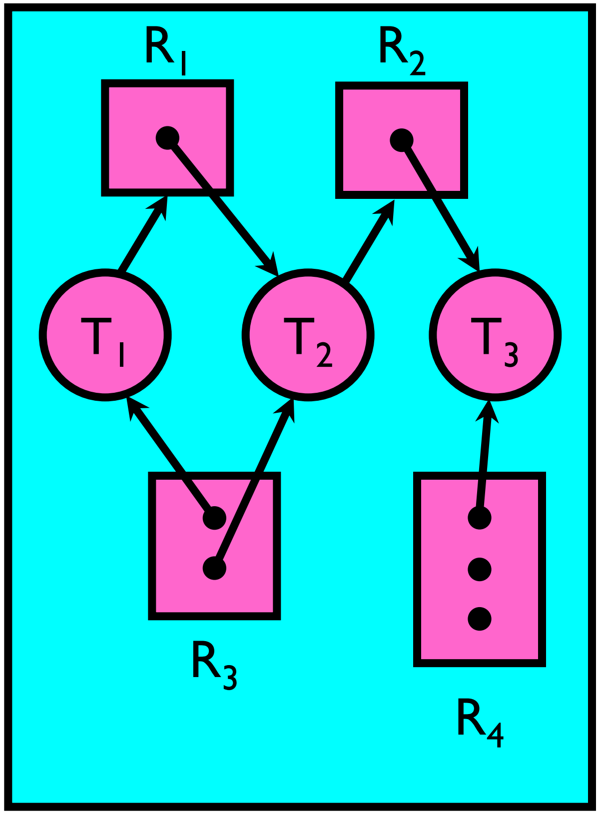


- System Model
  - A set of Threads $T_1, T_2, \ldots, T_n$
  - Resource types $R_1, R_2, \ldots, R_m$

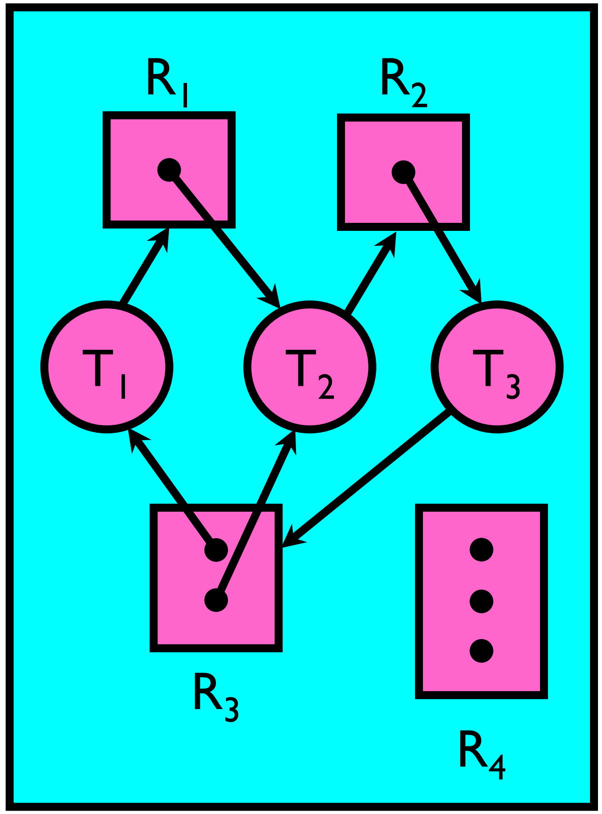
    CPU cycles, memory space, I/O devices
  - Each resource type R_i has W_i instances
  - Each thread utilizes a resource as follows:
    - » `Request() / Use() / Release()`
- Resource-Allocation Graph:
  - V is partitioned into two types:
    - » $T = \{T_1, T_2, \ldots, T_n\}$, the set threads in the system.
    - » $R = \{R_1, R_2, \ldots, R_m\}$, the set of resource types in system
  - request edge – directed edge $T_1 \rightarrow R_j$
  - assignment edge – directed edge $R_j \rightarrow T_i$

Resource Allocation Graph Examples

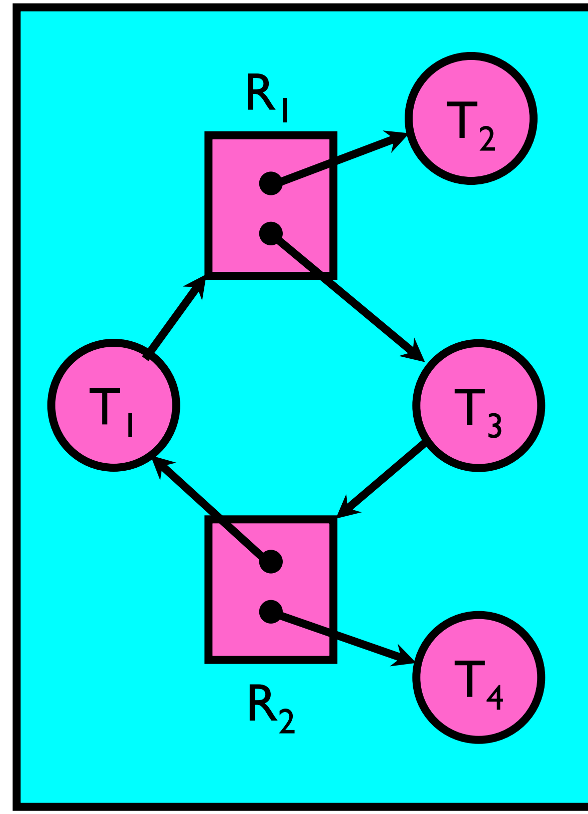
- Recall:
  - request edge – directed edge $T_1 \rightarrow R_j$
  - assignment edge – directed edge $R_j \rightarrow T_i$



Simple Resource Allocation Graph



Allocation Graph With Deadlock



Allocation Graph With Cycle, but No Deadlock

Methods for Handling Deadlocks

- Allow system to enter deadlock and then recover
  - Requires deadlock detection algorithm
  - Some technique for forcibly preempting resources and/or terminating tasks
- Ensure that system will *never* enter a deadlock
  - Need to monitor all lock acquisitions
  - Selectively deny those that *might* lead to deadlock
- Ignore the problem and pretend that deadlocks never occur in the system
  - Used by most operating systems, including UNIX

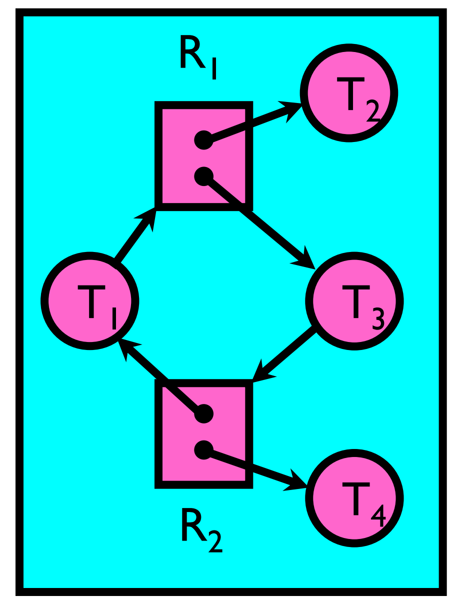
Deadlock Detection Algorithm

- Only one of each type of resource ⇒ look for loops
- More General Deadlock Detection Algorithm
  - Let [X] represent an m-ary vector of non-negative integers (quantities of resources of each type):

    `[FreeResources]:` Current free resources each type
    `[Request_X]:` Current requests from thread X
    `[Alloc_X]:` Current resources held by thread X

  - See if tasks can eventually terminate on their own

```
[Avail] = [FreeResources]
Add all nodes to UNFINISHED
do {
   done = true
   Foreach node in UNFINISHED {
      if ([Request_node] <= [Avail]) {
         remove node from UNFINISHED
         [Avail] = [Avail] + [Alloc_node]
         done = false
      }
   }
} until(done)
```



  - Nodes left in `UNFINISHED` ⇒ deadlocked

What to do when detect deadlock?

- Terminate thread, force it to give up resources
  - In Bridge example, Godzilla picks up a car, hurls it into the river. Deadlock solved!
  - Shoot a dining lawyer
  - But, not always possible – killing a thread holding a mutex leaves world inconsistent
- Preempt resources without killing off thread
  - Take away resources from thread temporarily
  - Doesn't always fit with semantics of computation
- Roll back actions of deadlocked threads
  - Hit the rewind button on TiVo, pretend last few minutes never happened
  - For bridge example, make one car roll backwards (may require others behind him)
  - Common technique in databases (transactions)
  - Of course, if you restart in exactly the same way, may reenter deadlock once again
- Many operating systems use other options

Techniques for Preventing Deadlock

- Infinite resources [break Mutual exclusion, Hold and wait]
  - Include enough resources so that no one ever runs out of resources. Doesn't have to be infinite, just large
    (e.g. Printer SPOOL [break Mutual exculsion)
  - Give illusion of infinite resources
    (e.g. virtual memory [break No preemption])
  - Examples:
    - » Bay bridge with 12,000 lanes. Never wait!
    - » Infinite disk space (not realistic yet?)
- No Sharing of resources (totally independent threads) [break Mutual exclusion]
  - Not very realistic
- Don't allow waiting [break Hold and wait]
  - How the phone company avoids deadlock
    - » Call to your Mom in Toledo, works its way through the phone lines, but if blocked get busy signal.
  - Technique used in Ethernet/some multiprocessor nets
    - » Everyone speaks at once. On collision, back off and retry
  - Inefficient, since have to keep retrying
    - » Consider: driving to San Francisco; when hit traffic jam, suddenly you're transported back home and told to retry!

Techniques for Preventing Deadlock (cont'd)

- Make all threads request everything they'll need at the beginning. [break Hold and wait]
  - Problem: Predicting future is hard, tend to over-estimate resources
  - Example:
    - » If need 2 chopsticks, request both at same time
    - » Don't leave home until we know no one is using any intersection between here and where you want to go; only one car on the Bay Bridge at a time
- Force all threads to request resources in a particular order preventing any cyclic use of resources [break Circular wait]
  - Thus, preventing deadlock
  - Example (x.P, y.P, z.P,…)
    - » Make tasks request disk, then memory, then…
    - » Keep from deadlock on freeways around SF by requiring everyone to go clockwise

Review: Train Example (Wormhole-Routed Network)

- Circular dependency (Deadlock!)
  - Each train wants to turn right
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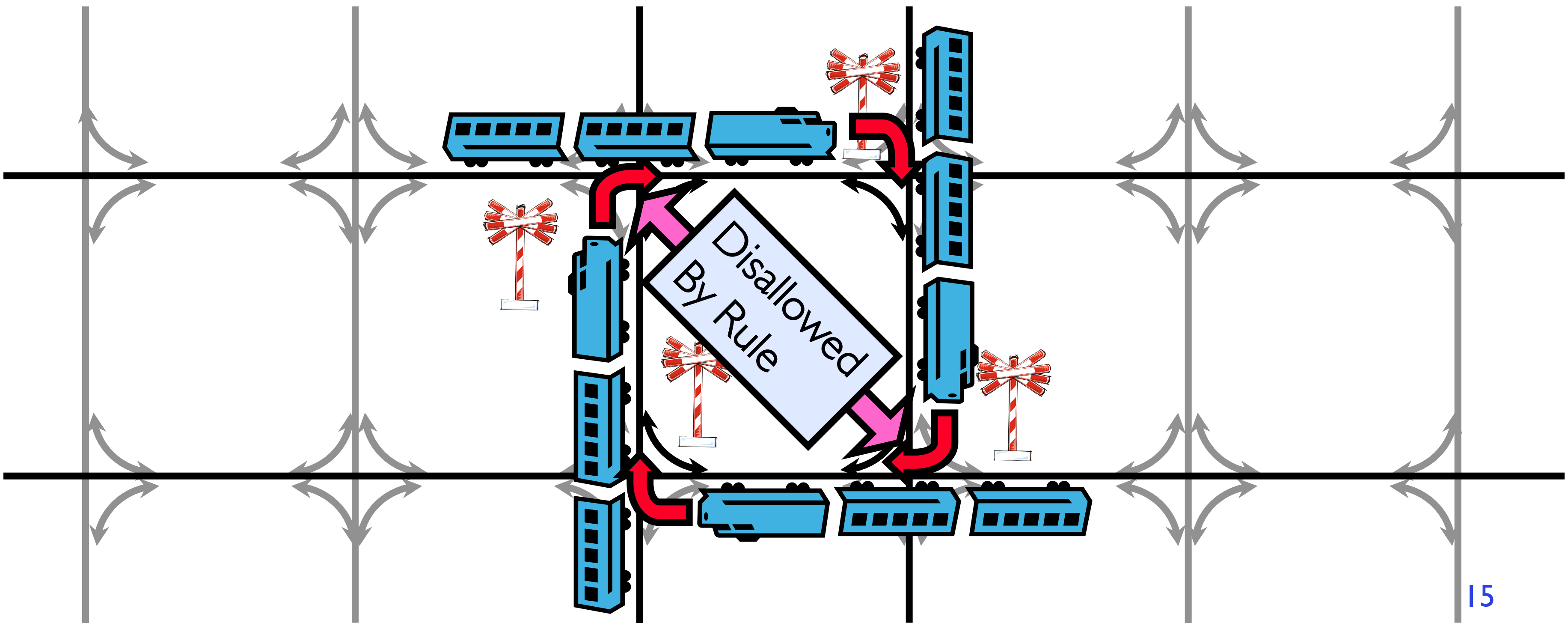

Avoiding Deadlock

- Not by imposing arbitrary rules on processes
- But by carefully analyzing each resource request to see it could be safely granted

Banker's Algorithm for Avoiding Deadlock

- Toward right idea:
  - State maximum (max) resource needs in advance
  - Allow particular thread to proceed if:

    (available resources - #requested) ≥ max
    remaining that might be needed by any thread
- Banker's algorithm (less conservative):
  - Allocate resources dynamically
    » Evaluate each request and grant if some ordering of threads is still deadlock free afterward

    » Technique: pretend each request is granted, then run deadlock detection algorithm, substituting
    ($[Max_{node}]$-$[Alloc_{node}]$ **≤ [Avail]) for ([**$Request_{node}$**] ≤ [Avail])**
    Grant request if result is deadlock free (conservative!)

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- •
- •
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  Grant request if result is deadlock free (conservative!)

Banker's Algorithm for Avoiding Deadlock

- Toward right idea:
  - State maximum resource needs in advance
  - Allow particular thread to proceed if:

    (available resources - #requested) ≥ max remaining that might be needed by any thread

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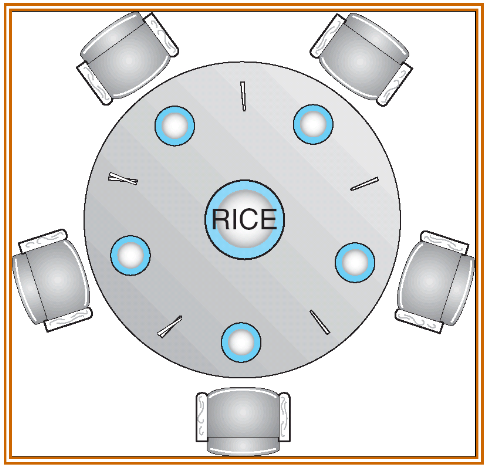
    - » Technique: pretend each request is granted, then run deadlock detection algorithm, substituting ($[\text{Max}_{\text{node}}]$-$[\text{Alloc}_{\text{node}}]$ ≤ **[Avail]**) **for** ($[\text{Request}_{\text{node}}]$ ≤ **[Avail]**) Grant request if result is deadlock free (conservative!)
    - » Keeps system in a "SAFE" state, i.e. there exists a sequence $\{T_1, T_2, \ldots T_n\}$ with T_1 requesting all remaining resources, finishing, then T_2 requesting all remaining resources, etc..
  - Algorithm allows the sum of maximum resource needs of all current threads to be greater than total resources

Banker's Algorithm Example



- Banker's algorithm with dining lawyers
  - "Safe" (won't cause deadlock) if when try to grab chopstick either:
    - » Not last chopstick
    - » Is last chopstick but someone will have two afterwards
  - What if k-handed lawyers? Don't allow if:
    - » It's the last one, no one would have k
    - » It's 2nd to last, and no one would have k-1
    - » It's 3rd to last, and no one would have k-2
    - » …

Banker's algorithm for a single resource

- Three resource allocation states

Name	Used	Maximum
Andy	0	6
Barbara	0	5
Marvin	0	4
Suzanne	0	7

Available: 10

Safe

Name	Used	Maximum
Andy	1	6
Barbara	1	5
Marvin	2	4
Suzanne	4	7

Available: 2

Safe

Name	Used	Maximum
Andy	1	6
Barbara	2	5
Marvin	2	4
Suzanne	4	7

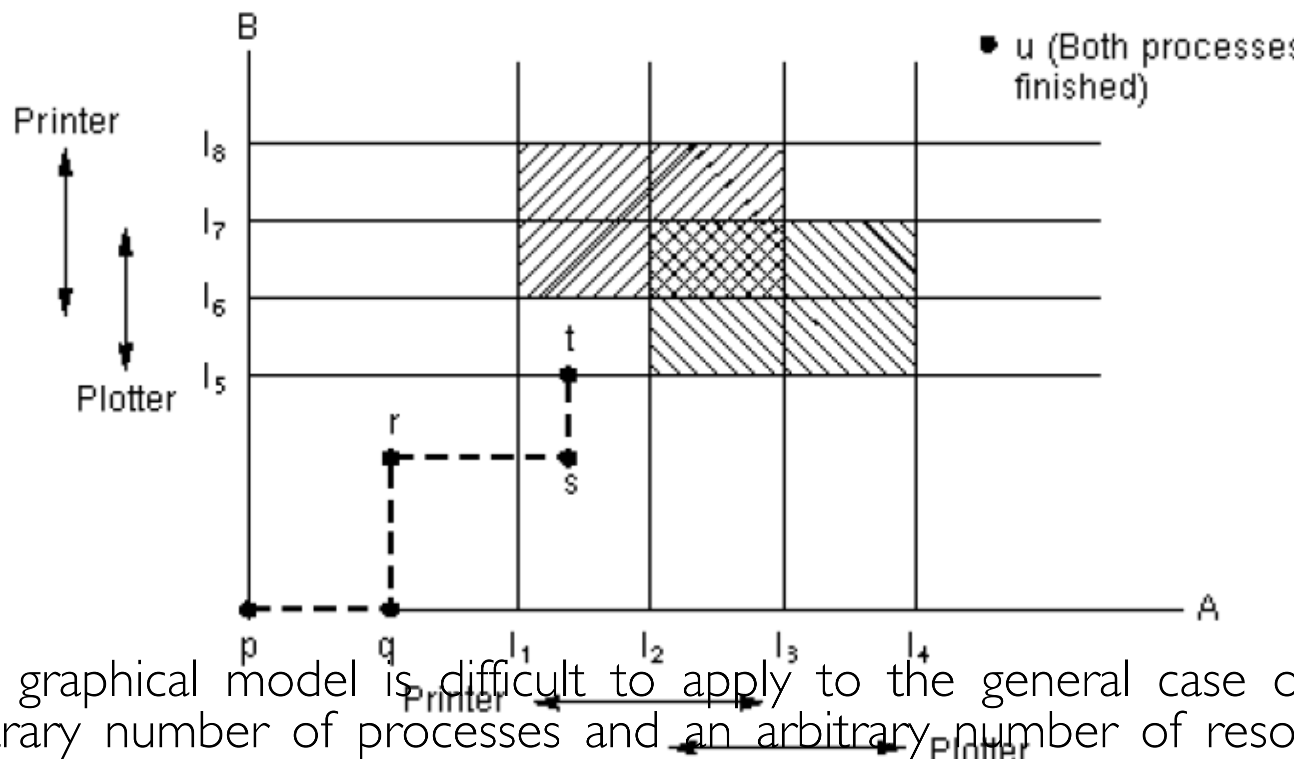
Available: 1

Unsafe

  – State: a list of customers showing the money already loaned and the maximum credit available
  – Safe state: if there exists a sequence of other states that leads to all the customers getting loans up to their credit limits

Resource Trajectories

- Two process resource trajectories
  - At point *t* the only safe thing to do is run process A until it gets to I4



- But...
  - This graphical model is difficult to apply to the general case of an arbitrary number of processes and an arbitrary number of resource classes, each with multiple instances.

Banker's algorithm for multiple resources

Process	Tape drives	Plotters	Printers	CD-ROMS
A	3	0	1	1
B	0	1	0	0
C	1	1	1	0
D	1	1	0	1
E	0	0	0	0

Resources assigned

Process	Tape drives	Plotters	Printers	CD-ROMS
A	1	1	0	0
B	0	1	1	2
C	3	1	0	0
D	0	0	1	0
E	2	1	1	0

Resources still needed

E = (6342)
P = (5322)
A = (1020)

- E: existing resources
- P: the possessed resources
- A:the available resources

- But …
  - It is essentially useless because processes rarely know what their maximum resource needs will be in advance.

Summary

- Starvation vs. Deadlock
  - Starvation: thread waits indefinitely
  - Deadlock: circular waiting for resources
- Four conditions for deadlocks
  - Mutual exclusion
    - » Only one thread at a time can use a resource
  - Hold and wait
    - » Thread holding at least one resource is waiting to acquire additional resources held by other threads
  - No preemption
    - » Resources are released only voluntarily by the threads
  - Circular wait
    - » ∃ set {T1, …, Tn} of threads with a cyclic waiting pattern

Summary (2)

- Techniques for addressing Deadlock
  - Allow system to enter deadlock and then recover
  - Ensure that system will never enter a deadlock
  - Ignore the problem and pretend that deadlocks never occur in the system
- Deadlock detection
  - Attempts to assess whether waiting graph can ever make progress
- Deadlock prevention
  - Break one of the four conditions
  - Overly restrictive
- Deadlock avoidance
  - Assess, for each allocation, whether it has the potential to lead to deadlock
  - Banker's algorithm gives one way to assess this
  - Requires information that is usually not available