

# Chapter 2. Sets

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# 3. Functions

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- ▶ 3.1 Definitions
- ▶ 3.2 Properties
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# 3. Functions

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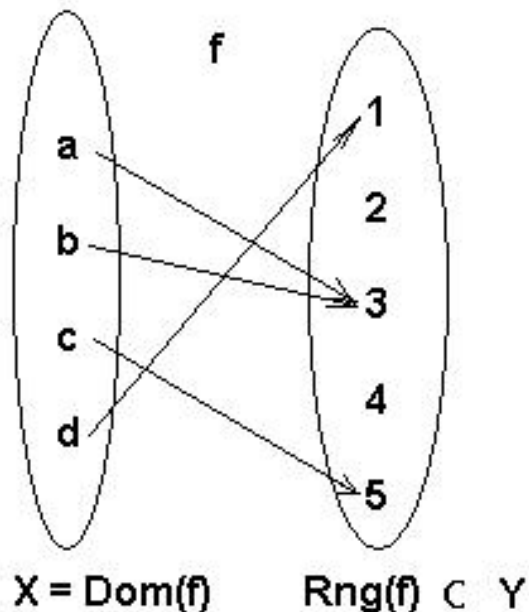
## 3.1 Definitions

- ▶ A *function*  $f$ , from  $X$  to  $Y$  is a subset of Cartesian Product  $X \times Y$ , satisfying the next two conditions ( $f: X \rightarrow Y$ )
  - 1)  $\forall x \in X, \exists y \in Y$  such that  $(x, y) \in f$
  - 2)  $\forall x \in X, \exists y, z \in Y, \quad (\text{if } (x, y), (x, z) \in f, \text{ then } y = z)$
- ▶  $X$  is Domain,  $Y$  is Co-domain
- ▶ Range: 함수값  $f(x)$ 로 이루어진  $B$ 의 부분집합  
( $\text{range} \subseteq \text{codomain}$ )



# Domain and Range

- ▶ *Domain* of  $f = X$
- ▶ *Range* of  $f = \{ y \mid y = f(x), \text{ for some } x \in X \}$
- ▶ A function  $f : X \rightarrow Y$  assigns to each  $x$  in  $\text{Dom}(f) = X$  a unique element  $y$  in  $\text{Range}(f) \subseteq Y$ .



Ex)  $\text{Dom}(f) = X = \{a, b, c, d\}$ ,  
 $\text{Range}(f) = \{1, 3, 5\}$

$$\begin{aligned} f(a) &= f(b) = 3, \\ f(c) &= 5, \quad f(d) = 1. \end{aligned}$$

## 3.2 Properties

- **Injective function (One-to-one, Injection)**

- ▶ A function  $f : X \rightarrow Y$  is *one-to-one*  $\Leftrightarrow$

$\forall y \in Y$  there exists at most one  $x \in X$  with  $f(x) = y$ .

- ▶ Alternative definition:

$f : X \rightarrow Y$  is *one-to-one*  $\Leftrightarrow$  for each pair of distinct elements  $x_1, x_2 \in X$  there exist two distinct elements  $y_1, y_2 \in Y$  such that  $f(x_1) = y_1$  and  $f(x_2) = y_2$ .

Ex) The function  $f : R \rightarrow R$  defined by  $f(x) = x^2$  is not 1-to-1, since for every real number  $x$ ,  $f(x) = f(-x)$ .

Ex)  $f = \{(1,b)(3,a)(2,c)\}$   $X = \{1, 2, 3\}$   $Y = \{a, b, c, d\}$

Ex)  $f = \{(1,a)(2,b)(3,a)\}$   $X = \{1, 2, 3\}$   $Y = \{a, b\}$



# Surjective Function (Surjection, Onto)

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A function  $f : X \rightarrow Y$  is *onto*  $\Leftrightarrow$

for each  $y \in Y$  there exists at least one  $x \in X$  with  $f(x) = y$ ,  
i.e.  $\text{Range}(f) = Y$ .

- ▶ Ex:  $f = \{(1,b)(2,a)(3,d)\}$ ,  $X = \{1,2,3\}$   $Y = \{a,b,c,d\}$   
sol) not ONTO
- ▶ Ex)  $f = \{(1,a)(2,a)(3,b)(4,c)\}$ ,  $X = \{1,2,3,4\}$   $Y = \{a,b,c\}$   
sol) ONTO



# Bijective function (Bijection)

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**A function  $f : X \rightarrow Y$  is bijective  $\Leftrightarrow$   $f$  is one-to-one and onto**

Ex)  $f = \{(1,a)(2,c)(3,b)\}$ ,  $X = \{1,2,3\}$ ,  $Y = \{a,b,c\}$

Ex)  $f: \mathbb{Z} \rightarrow \mathbb{Z}$ ,  $f(n) = 2n+1$ ,

$g: \mathbb{Z} \rightarrow \mathbb{Z}$ ,  $g(n) = n^2 \quad \forall n \in \mathbb{Z}$  (set of all integers)

► Is  $f$  one-to-one?

(sol) Yes. Suppose  $n_1$  and  $n_2$  are integers, such that  $f(n_1) = f(n_2)$  for some integers. We need to show that  $n_1 = n_2$ . Then by def of  $f$ ,  $2n_1+1 = 2n_2+1 \Rightarrow n_1 = n_2$ . Therefore  $f$  is one-to-one

► Is  $g$  one-to-one?

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# More examples

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Ex) Define  $h: \mathbb{R} \rightarrow \mathbb{R}$ ,  $h(x) = 4x - 1 \quad \forall x \in \mathbb{R}$  (set of all real numbers)

$f: \mathbb{Z} \rightarrow \mathbb{Z}$ ,  $f(x) = 4x - 1 \quad \forall x \in \mathbb{Z}$

a) Is  $h$  onto?

b) Is  $f$  onto?

Ex) Define  $f: \mathbb{Z} \rightarrow 3\mathbb{Z}$  by  $f(n) = 3n, \forall n \in \mathbb{Z}$

Show  $f$  is bijection





# Functions and Cardinality

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► Thm:

Let  $A$  and  $B$  be finite sets

Let  $f:A \rightarrow B$  be a function

- If  $f$  is an injection, then  $|A| \leq |B|$
- If  $f$  is a surjection, then  $|A| \geq |B|$
- If  $f$  is a bijection, then  $|A| = |B|$



## 3.3 Special Functions

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### \* Inverse Function

- ▶  $f:A \rightarrow B$  is **bijection**, then there is a function  $f^{-1}: B \rightarrow A$   
 $f^{-1}$  is the set  $\{(y, x) \mid y = f(x)\}$ .  $f^{-1} \Rightarrow$  **inverse function for  $f$**

Ex) Let  $A = \{1, 2, 3, 4\}$   $B = \{a, b, c, d\}$   $f = \{(1, a)(2, a)(3, d)(4, c)\}$

Then  $f^{-1} = \{(a, 1)(a, 2)(d, 3)(c, 4)\}$

- ▶ The  $f^{-1}$  is not a function from  $B$  to  $A$ , since  $f^{-1}(a) = \{1, 2\}$
- ▶ When  $f:A \rightarrow B$  is a function, if  $f^{-1}: B \rightarrow A$  is a function then  $f$  is a bijection

ex)  $f:\mathbb{R} \rightarrow \mathbb{R}$ ,  $f(x) = x^2$ , is  $f$  inverse function?

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# Composition of functions(1)

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- Def:  $f: X \rightarrow Y$ ,  $g: Y \rightarrow Z$  with property that the co-domain of  $f$  is a subset of domain of  $g$ .

$$\forall x \in X, (g \bullet f)(x) = g(f(x)) \text{ , “g of f of x”}$$

→ Function  **$g \bullet f$**  is called **composition of ‘f and g’**

Ex) Let  $A, B, C = \mathbb{Z}$ . Let  $f: A \rightarrow B$ ,  $g: B \rightarrow C$  be defined by

$$f(a) = a + 1, g(b) = 2b, \text{ Find } g \bullet f.$$

$$(\text{sol}) (g \bullet f)(a) = g(f(a)) = g(a + 1) = 2(a + 1)$$

Ex)  $f(n) = n + 1$  (successor function),  $g(n) = n^2$  (squaring function)

1) What is  $g \bullet f$ ?    2) What is  $f \bullet g$ ?    3)  $g \bullet f = f \bullet g$ ?



# Composition of functions(2)

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Ex)  $X=\{1,2,3\}$   $Y=\{p, q\}$   $Z=\{a, b\}$

$F: X \rightarrow Y$   $F=\{(1, p)(2, p)(3, q)\}$

$G: Y \rightarrow Z$   $G=\{(p, b)(q, b)\}$  what is  $g \bullet f$ ?

► (sol)

$$(g \bullet f)(1)=g(f(1))=g(p)=b$$

$$(g \bullet f)(2)=g(f(2))=g(p)=b$$

$$(g \bullet f)(3)=g(f(3))=g(q)=b \rightarrow g \bullet f = \{(1, b)(2, b)(3, b)\}$$

❑ Composition of functions is associative:  $f \circ (g \circ h) = (f \circ g) \circ h$ ,

❑ But, in general, it is not commutative:  $f \circ g \neq g \circ f$ .

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# Special functions

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## ▶ 특성 함수(characteristic function)

- ▶ 전체 집합  $U$ 에 속한 어떤 집합  $A$ 에 대해서 다음과 같은 성질을 만족할 때 이 함수를  $A$ 의 특성 함수(characteristic function)라 하고  $f_A$ 로 쓴다.

- ▶  $f_A(x) = \begin{cases} 1, x \in A \\ 0, x \notin A \end{cases}$

- ▶ 예) 집합  $U = \{a, b, c\}$ 이고  $A = \{a\}$ 라 하면  
 $f_A(a) = 1, \quad f_A(b) = 0, \quad f_A(c) = 0$  이다.

# 특수 함수

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## ▶ 바닥함수(floor function)

- ▶  $x \in \mathbb{R}$  에 대해  $x$  와 같거나  $x$  보다 작은 수 중에서  $x$  에 가장 가까운 정수를 대응시키는 함수

- ▶  $\lfloor x \rfloor$  와 같이 나타냄

최대정수함수(greatest integer function)

- ▶ Ex)  $\lfloor -3.4 \rfloor = -4$

## ▶ 천정함수(ceiling function)

- ▶  $x$  와 같거나  $x$  보다 큰 수 중에서  $x$  에 가장 가까운 정수를 대응시키는 함수

- ▶  $\lceil x \rceil$  와 같이 나타냄

- ▶ 최소정수함수(least integer function)

- ▶ ex)  $\lceil \pi \rceil = 4$
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# 4. Posets - Partial Ordered Set

- ▶ Def: A relation  $R$  on a set  $S$  is called a **partial order** on  $S$  if  $R$  is **Reflexive, Anti-symmetric and Transitive**

→ means not every pair of element of  $S$  must be related

ex) The relation of **set inclusion**, ' $\subseteq$ ' is a partial order on  $S$ .

(sol) for  $A \subseteq A$  for any sets in  $S \Rightarrow$  reflexive

if  $A \subseteq B$  and  $B \subseteq A$  then  $A=B \Rightarrow$  antisymmetric

if  $A \subseteq B$  and  $B \subseteq C$  then  $A \subseteq C \Rightarrow$  transitive

Ex) Consider the positive integers  $N$ . We say that “ $a$  divides  $b$ ”, written  $a \mid b$ , if there is an integer “ $c$ ”, such that  $ac=b$ .

(sol) For example,  $2 \mid 4$ ,  $3 \mid 12$ ,  $7 \mid 21$ ,...

▶ This relation of divisibility is a partial order on  $N$

# Poset notation

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- 1)  $a < b$  is read “a strictly precedes b” (a comes before b)
- 2)  $a \preceq b$  is read “a precedes b”
- 3)  $b > a$  is read “b strictly succeeds a,”
- 4)  $b \succeq a$  is read “b succeeds a”

- Comparable: Two elements  $a, b$  in Poset are **Comparable** if either  $a \preceq b$  or  $b \succeq a$ ,

If  $x \not\preceq y$  and  $y \not\succeq x$ , INCOMPARABLE / NONCOMPARABLE  
(ex. 3 divides 5 is incomparable since neither divides the other)

- If every pair of elements in Poset  $A$  are called **Comparable** then  $A$  is said to be **TOTALLY ORDERED** or **LINEARLY ORDERED**
- 





# exercise

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ex) Positive integers  $\mathbb{N}$  with “ $\leq$ ” is linearly ordered set.

예: 집합  $A=\{1,2,3,4,5,6\}$  에서의 수의 대소관계는 comparable 하기 때문에

예) 양의 정수  $\mathbb{N}$  상에서의 나눗셈의 관계는 comparable한 관계도 존재하지만 (예: 3과 6), incomparable (예: 2와 3)한 관계도 존재하기 때문에 Total Order는 아니다.



# Diagram of POSET (Hasse Diagram)

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- ▶ Diagram of Poset S
  - directed graph (arrows always UPWARD)
  - vertices are the elements of S
  - there is an arc from a to b if  $a \preceq b$  in S.
  
- ▶ Procedure:
  - 1) Eliminate Loops
  - 2) Eliminate transitive edges
  - 3) Initial vertex below of terminal vertex
  - 4) Remove all arrows



# (Hasse Diagram)

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ex)  $A = \{1, 2, 3, 4, 12\}$  be ordered by the relation “x divides y”.

Draw Diagram for  $(A, \preceq)$ .

ex) A partition of positive integer ‘m’ is a set of positive integers whose sum is ‘m’. For example, there are 7 partitions of  $m=5$ .

(Partition: 5, 3-2, 2-2-1, 1-1-1-1-1, 4-1, 3-1-1, 2-1-1-1)



# Maximal and Minimal Element

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- ▶ Element is MAXIMAL (극대원소) - if no element succeeds in a POSET (if no  $a \in A$  above in HASSE diagram)
- ▶ Element is MINIMAL (극소원소) - if no element precedes  $b$  in a POSET.
- ▶ There can be more than one maximal and minimal

예) 집합  $A$ 에서 나눗셈(Divisibility)의 관계를 구하고, 극대원소와 극소원소를 찾으시오. 집합  $A = \{1, 2, 3, 4, 6, 8, 9, 12, 18, 24\}$

예) 정수의 집합에서 극대원소와 극소원소를 찾으시오.

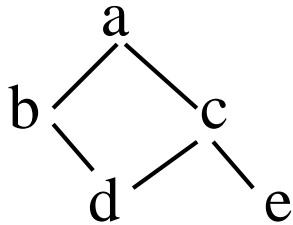
$$\mathbb{Z} = \{\dots -2, -1, 0, 1, 2, \dots\}$$

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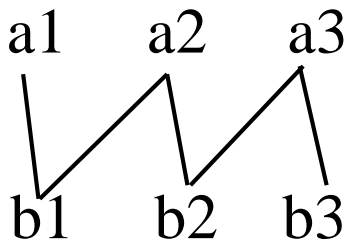
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Ex)



Minimal = ?    Maximal = ?

Ex)



Minimal = ?    Maximal = ?



# Supremum and Infimum

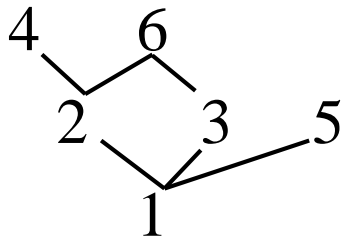
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- \* A is POSET and B is subset of A,
    - ▶  $a \in A$ 가,  $\forall b \in B$ 에 대하여,  $b \leq a$  이면,  $a$ 는 B 의 UPPER BOUND (상계)
    - ▶  $a \in A$ 가,  $\forall b \in B$ 에 대하여,  $a \leq b$  이면,  $a$ 는 B 의 LOWER BOUND (하계)
    - ▶  $a \in A$  가 B 의 upper bound 이고,  $a \leq a'$  ( $a'$  은 B 의 upper bound) 이면,  $a$ 를 B 의 상한 (Least Upper Bound: SUPREMUM) 이라 한다. ( LUB(B) i.e. 상한은 상계중 가장 작은것)
    - ▶ -  $a \in A$  가 B 의 lower bound 이고,  $a \leq a'$  ( $a'$  은 B 의 lower bound) 이면,  $a$  를 B 의 하한 (Greatest Lower Bound: INFIMUM)이라 한다. ( GLB(B) i.e. 하한은 하계중 가장 큰것)
- 



# examples

Ex)  $A = \{1,2,3,4,5,6\}$       Relation  $R = “|”$



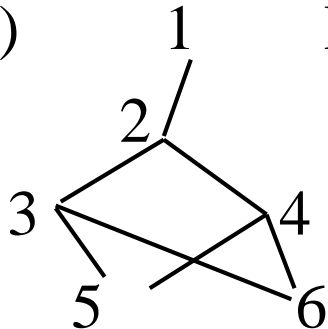
1)  $B = \{2,3\}$      $UB = 6$ ,     $LUB(B) = 6$

$LB = 1$ ,     $GLB(B) = 1$

2)  $B = \{4,6\}$      $UB = X$      $LB(B) = \{1,2\}$      $GLB(B) = 2$

3)  $B = \{3,6\}$      $UB = 6$ ,     $LUB = 6$ ,     $LB(B) = 1,3$ ,     $GLB(B) = 3$

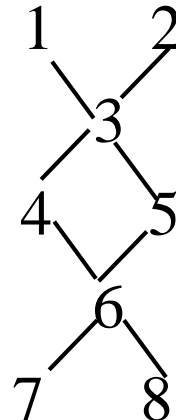
Ex)       $B = \{2,3,4\}$



$UB = 1,2$      $LUB = 2$

$LB = 5,6$      $GLB = X$

ex)       $B = \{4,5\}$



$UB = 1,2,3$      $LUB = 3$

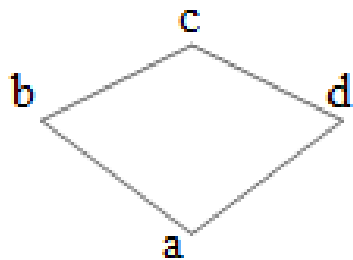
$LB = 6,7,8$      $GLB = 6$

# Lattice (격자)

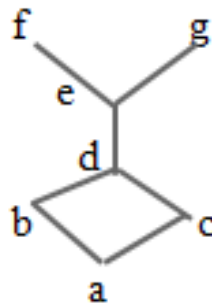
- 정의: Poset  $(L, \leq)$  에서, 임의의  $a, b \in L$  에 대하여, 두개로 이루어진 모든 집합  $\{a, b\}$ 의 상한(LUB), 하한(GLB)이 하나씩 존재하면, 이를 LATTICE 라 한다
- LUB( $a, b$ ) 를  $a \vee b$  로 표기  $\Rightarrow$   $a$  와  $b$ 의 JOIN 이라 한다
- GLB( $a, b$ ) 를  $a \wedge b$  로 표기  $\Rightarrow$   $a$  와  $b$ 의 MEET 이라 한다



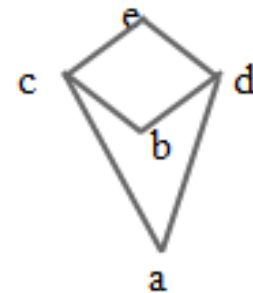
(LATTICE)



(LATTICE)



$(f \vee g)$  존재 없음



$(c \wedge d)$  존재 없음