

“Sparknotes” for *Principles of Mathematical  
Analysis* by Walter Rudin

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Last Updated: May 28, 2019

## Contents

<b>1</b>	<b>The Real and Complex Number Systems</b>	<b>3</b>
1.1	Exercise 1 . . . . .	3
1.2	Exercise 2 . . . . .	3
1.3	Exercise 4 . . . . .	3
1.4	Exercise 5 . . . . .	3
1.5	Exercise 6 . . . . .	3
1.6	Exercise 7 . . . . .	3
1.7	Exercise 8 . . . . .	4
1.8	Exercise 9 . . . . .	4
1.9	Exercise 10 . . . . .	4
<b>2</b>	<b>Numerical Sequences and Series</b>	<b>5</b>

## About

*“A modern mathematical proof is not very different from a modern machine, or a modern test setup: the simple fundamental principles are hidden and almost invisible under a mass of technical details.”*

- Hermann Weyl

These notes contain short summaries of (my) proof ideas for exercises and some theorems from the book *Principles of Mathematical Analysis* by Walter Rudin. I have tried to make the summaries as brief as possible, sometimes only one line or one equation. My hope is that the summaries will give enough information to reconstruct a full proof without bogging the reader down with details. In many cases, I am sure that I inadvertently sacrificed clarity in an attempt to obtain brevity, and would greatly appreciate any feedback.

Also, I like when people include (what they presume to be) relevant quotes in their notes, so I have to ask you to forgive my haughtiness in starting these notes with a quote from Hermann Weyl.

# 1 The Real and Complex Number Systems

## 1.1 Exercise 1

If  $rx = q$  or  $r + x = q$  for some rational  $q$ , then subtracting  $r$  from  $q$  or dividing  $q$  by  $r$  yields  $x$  rational, which is a contradiction.

## 1.2 Exercise 2

We can first show that  $\sqrt{3}$  is irrational by seeing that  $\frac{a^2}{b^2} = 3 \implies 3|a, 3|b$ . Then, since  $12 = 3 * 2^2$ , we have that  $\sqrt{12}$  is irrational as well.

## 1.3 Exercise 4

If  $\alpha > \beta$  then  $\alpha$  would be an upper bound as well.

## 1.4 Exercise 5

$\forall x \in A, -x \leq \sup -A$  and  $\forall \epsilon \in \mathbb{R}, \exists x \in A | \sup -A + \epsilon < -x \leq \sup -A$ . Negating the last inequality gives  $\inf A = -\sup -A$ .

## 1.5 Exercise 6

(a) Follows from  $m = \frac{np}{q}$ .

(b) Put  $r = \frac{m}{n}, s = \frac{p}{q}$ . Then  $b^r b^s = b^{\frac{mq}{nq}} b^{\frac{np}{nq}}$ . Pulling out  $\frac{1}{nq}$  gives the desired result.

(c)  $b^r$  is an upper bound since  $b > 1$ , and if it were not the supremum we could choose  $t < r$  such that  $b^t > b^r$ . This is not possible since again,  $b > 1$ .

(d) Every element in  $B(x + y)$  can be expressed as  $b^{s+t} = b^s b^t$   $s \leq x, t \leq y$ . If  $\sup B(x + y) = \alpha < \sup B(x) \sup B(y)$ , then  $b^s b^t \leq \alpha \implies B(x) \leq \alpha b^{-t} \implies B(y) \leq \frac{\alpha}{B(x)} \implies B(x)B(y) \leq \alpha$ .

## 1.6 Exercise 7

(a)  $b^n - 1 = (b - 1)(b^{n-1} + b^{n-2} + \dots + 1) \geq n(b - 1)$  since  $b > 1$ .

(b) Plug  $b^{\frac{1}{n}}$  into (a).

(c) Plug  $n > \frac{b-1}{t-1}$  into (b).

(d) Using (c) gives that we can choose  $n$  such that  $b^{\frac{1}{n}} < y b^{-w} \implies b^{w+\frac{1}{n}} < y$ .

(e) We can take the reciprocal of (c) and do the same as in (d).

(f) If  $b^x > y$  we can apply (e) for a contradiction, if  $b^x < y$  we can apply (d) for a contradiction.

(g) Supremum is unique.

### **1.7 Exercise 8**

Suppose  $(0, 1) < (0, 0)$ . Then  $(0, -1) < (0, 0)$  after multiplying by  $(0, 1)$  twice yields a contradiction. Similarly, assuming the opposite yields  $(-1, 0) > (0, 0)$ .

### **1.8 Exercise 9**

### **1.9 Exercise 10**

Exception is 0.

## 2 Numerical Sequences and Series

### Definition 3.5

Since  $\{p_n\} \rightarrow p \implies \forall \epsilon, \exists N | n \geq N \implies |p_n - p| < \epsilon$ , we can choose  $k | n_k \geq N \implies \{p_{n_k}\} \rightarrow p$ . The reverse direction can be shown via contradiction of  $\{p_n\} \rightarrow p$ .

### Examples 3.18

- (a) Density of rationals in reals.
- (b)  $|s_n| < 1$ , take  $n$  odd to get -1 and even to get 1.
- (c) Every subsequential limit has to converge to  $s$ .

### Theorem 3.19

For all  $\{n_k\}$ , we have  $\exists K | k \geq K \implies n_k \geq N \implies \lim_{k \rightarrow \infty} t_{n_k} - s_{n_k} \geq 0$ .

### Theorem 3.26

$$s_n = 1 + x + \dots + x^n \implies xs_n = x + x^2 + \dots + x^{n+1} \implies (1 - x)s_n = 1 - x^{n+1}.$$

### Examples 3.40

- (a) Root test:  $n \rightarrow \infty$ .
- (b) Ratio test:  $\frac{1}{n+1} \rightarrow 0$ .
- (c)  $1 \rightarrow 1$ .
- (d) Ratio test:  $\frac{n}{n+1} \rightarrow 1$ .  $z = 1$  leads to harmonic series.
- (e) Ratio test:  $\frac{n^2}{(n+1)^2} \rightarrow 1$ .

### Example 3.53

$\sum_{k=1}^{\infty} \frac{1}{4k-3} + \frac{1}{4k-1} - \frac{1}{2k} < \frac{5}{6} + \sum_{k=2}^{\infty} \frac{1}{4k-4} + \frac{1}{4k-4} - \frac{1}{2k}$ . The RHS converges since  $\frac{1}{4k-4} + \frac{1}{4k-4} - \frac{1}{2k} = \frac{1}{2k^2-2k}$ .