#### Lab: VAE-GAN

#### Lab Objective:

In this lab, you will combine adversarial loss and VAE to build a more powerful model. Precisely speaking, you will be asked to reproduce the paper "Autoencoding beyond pixels using a learned similarity metric".

## Turn in:

Report: 12/12 Demo: 12/13

# **Requirements:**

- 1. Implement VAE-GAN
- 2. Show reconstruction images and generated samples
- 3. Compare your results of hw3 and hw2 and discuss visual differences

### **Implementation Details:**

- Loading Dataset
  - Download dataset from google drive
     (https://drive.google.com/file/d/0B512lwbc5 ZFcVFfeXBOTzZ4WkE/view?u sp=sharing)
  - 2. Using celebA\_dataset.py to load data

```
1 class CelebADataset(Dataset):
      def __init__(self, h5_path, transform=None):
           assert (os.path.isfile(h5_path))
          self.h5_path = h5_path
          self.transform = transform
 6
          # loading the dataset into memory
          f = h5py.File(self.h5_path, "r")
9
          key = list(f.keys())
          print ("key list:", key)
          self.dataset = f[key[0]]
          print ("dataset loaded and its shape:", self.dataset.shape)
14
      def __getitem__(self, index):
15
          img = self.dataset[index]
16
          img = np.transpose(img, (1, 2, 0))
          if self.transform is not None:
18
              img = self.transform(img)
19
20
           return img, 0
       def __len__(self):
           return len(self.dataset)
```

The usage of this dataset is the same as MNIST or other datasets we used before

- VAE (beta-VAE)
  - The same concepts as we introduced before
  - Loss function:

$$\mathcal{F}(\theta, \phi, \beta; \mathbf{x}, \mathbf{z}) \ge \mathcal{L}(\theta, \phi; \mathbf{x}, \mathbf{z}, \beta) = \mathbb{E}_{q_{\phi}(\mathbf{z}|\mathbf{x})}[\log p_{\theta}(\mathbf{x}|\mathbf{z})] - \beta D_{KL}(q_{\phi}(\mathbf{z}|\mathbf{x})||p(\mathbf{z}))$$

- GAN
  - The same concepts as we introduced before
  - The loss function of discriminator:

$$\mathcal{L}_{\mathrm{D}} = -E_{x \sim p_r}[\log D(x)] - E_{x \sim p_g}[\log(1 - D(x))]$$

■ There are two most often used loss function of generator. The first one is:

$$\mathcal{L}_{G} = -\mathcal{L}_{D} = E_{x \sim p_{g}} [\log(1 - D(x))]$$

The second one is:

$$\mathcal{L}_{G} = E_{x \sim p_{g}}[\log - D(x)]$$

In this lab, you can use either of them, but you should tell me which one you used in your report.

#### VAE-GAN

- 1. Better distance metrics
  - Discriminator should learn better metrics than pixel-wise I2-norm or I1-norm metrics
  - Replace pixel-wise distance metrics with feature-wise distance metrics which are learned by the discriminator
  - lacklash Extracts the representations of x and  $\tilde{x}$  from the L layer of discriminator
  - ◆ Introduce a Gaussian observation model:

$$p(\operatorname{Dis}_l(\boldsymbol{x})|\boldsymbol{z}) = \mathcal{N}(\operatorname{Dis}_l(\boldsymbol{x})|\operatorname{Dis}_l(\tilde{\boldsymbol{x}}), \mathbf{I})$$

And the original reconstruction loss becomes:

$$\mathcal{L}_{\text{llike}}^{ ext{Dis}_l} = -\mathbb{E}_{q(oldsymbol{z}|oldsymbol{x})} \left[ \log p( ext{Dis}_l(oldsymbol{x})|oldsymbol{z}) 
ight]$$

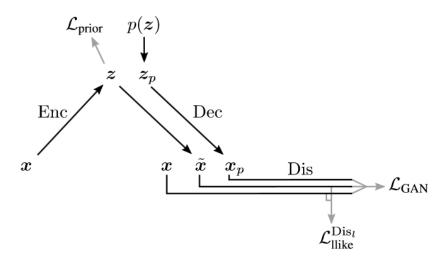
In short, you should compute the I2 norm of the feature-wise difference between x and  $\tilde{x}$ , rather than the I2 norm of the pixelwise difference

#### 2. Adversarial loss

- The whole autoencoder can be seen as a large generator, and we can introduce an additional neural network as discriminator. Thus, our entire system becomes a GAN
- ◆ To improve the quality of both reconstruction images and sampling images, we take both reconstruction images and sampling images as fake inputs of the discriminator

$$\mathcal{L}_{GAN} = \log(\operatorname{Dis}(\boldsymbol{x})) + \log(1 - \operatorname{Dis}(\operatorname{Dec}(\boldsymbol{z}))) + \log(1 - \operatorname{Dis}(\operatorname{Dec}(\operatorname{Enc}(\boldsymbol{x}))))$$

#### 3. VAE-GAN and its algorithm



#### Algorithm 1 Training the VAE/GAN model

```
\begin{array}{l} \boldsymbol{\theta}_{\mathrm{Enc}}, \boldsymbol{\theta}_{\mathrm{Dec}}, \boldsymbol{\theta}_{\mathrm{Dis}} \leftarrow \text{initialize network parameters} \\ \textbf{repeat} \\ \boldsymbol{X} \leftarrow \text{random mini-batch from dataset} \\ \boldsymbol{Z} \leftarrow \mathrm{Enc}(\boldsymbol{X}) \\ \boldsymbol{\mathcal{L}_{\mathrm{prior}}} \leftarrow D_{\mathrm{KL}}(q(\boldsymbol{Z}|\boldsymbol{X}) \| p(\boldsymbol{Z})) \\ \tilde{\boldsymbol{X}} \leftarrow \mathrm{Dec}(\boldsymbol{Z}) \\ \boldsymbol{\mathcal{L}_{\mathrm{llike}}^{\mathrm{Dis}_l}} \leftarrow -\mathbb{E}_{q(\boldsymbol{Z}|\boldsymbol{X})} \left[ p(\mathrm{Dis}_l(\boldsymbol{X}) | \boldsymbol{Z}) \right] \\ \boldsymbol{Z}_p \leftarrow \text{samples from prior } \mathcal{N}(\boldsymbol{0}, \boldsymbol{I}) \\ \boldsymbol{X}_p \leftarrow \mathrm{Dec}(\boldsymbol{Z}_p) \\ \boldsymbol{\mathcal{L}_{\mathrm{GAN}}} \leftarrow \log(\mathrm{Dis}(\boldsymbol{X})) + \log(1 - \mathrm{Dis}(\tilde{\boldsymbol{X}})) \\ + \log(1 - \mathrm{Dis}(\boldsymbol{X}_p)) \\ \text{// Update parameters according to gradients} \\ \boldsymbol{\theta}_{\mathrm{Enc}} \leftarrow -\nabla_{\boldsymbol{\theta}_{\mathrm{Enc}}}(\boldsymbol{\mathcal{L}_{\mathrm{prior}}} + \mathcal{L}_{\mathrm{llike}}^{\mathrm{Dis}_l}) \\ \boldsymbol{\theta}_{\mathrm{Dec}} \leftarrow -\nabla_{\boldsymbol{\theta}_{\mathrm{Dec}}}(\boldsymbol{\mathcal{L}_{\mathrm{prior}}} + \mathcal{L}_{\mathrm{GAN}}) \\ \boldsymbol{\theta}_{\mathrm{Dis}} \leftarrow -\nabla_{\boldsymbol{\theta}_{\mathrm{Dis}}} \mathcal{L}_{\mathrm{GAN}} \\ \textbf{until deadline} \\ \end{array}
```

### Model Architecture

■ Size of input images: (batch size, 3, 64, 64)

Size of Latent codes (Gaussian noise): (batch size, 2048)

Encoder

Name	Туре	Input	Kernel	Stride	Padding	Output shape
			size			
Conv1	Conv	Input	5	2	2	(batch size,
		images				64, 32, 32)
Conv2	Conv	Conv1	5	2	2	(batch size,
						128, 16, 16)
Conv3	Conv	Conv2	5	2	2	(batch size,
						<b>256</b> , 8, 8)
Reshape	Reshape	Conv3				(batch size,
						256*8*8)
Fc1	FC	Reshape				(batch size,
						2048)
BN1	BN	Fc1				(batch, 2048)
ReLU1	ReLU	BN1				(batch, 2048)
Mean	FC	ReLU1				(batch, 2048)
Fc2	FC	Conv3	0			(batch size,
						2048)
BN2	BN	Fc2				(batch, 2048)
ReLU2	ReLU	BN2				(batch, 2048)
Log Var	FC	ReLU2				(batch, 2048)

#### Decoder

Name	Туре	Input	Kernel	Stride	Padding	Output
			size			shape
Fc1	FC	Latent				(batch
		codes				size,
						8*8*256)
BN1	BN	Fc1				(batch
						size,
						8*8*256)
ReLU1	ReLU	BN1				(batch
						size,
						8*8*256)
Reshape	Reshape	ReLU1				(batch
						size, 256,
						8, 8)
Deconv1	Deconv	Reshape	5	2	2	(batch
						size, 256,
						16, 16)
Deconv2	Deconv	Deconv1	5	2	2	(batch
						size, 128,
						32, 32)
Deconv3	Deconv	Deconv2	5	2	2	(batch
						size, <mark>32</mark> ,
						64, 64)
Deconv4	Deconv	Deconv3	5	1	2	(batch
						size, 3,
						64, 64)
Tanh()	Tanh	Deconv4				(batch
						size, 3,
						64, 64)

```
output = self.deconv1(preprocessed_codes, output_size=(bs, 256, 16, 16))
output = self.deconv2(output, output_size=(bs, 128, 32, 32))
output = self.deconv3(output, output_size=(bs, 32, 64, 64))
output = self.deconv4(output, output_size=(bs, 3, 64, 64))
```

#### Discriminator

Name	Туре	Input	Kernel	Stride	Padding	Output
			size			shape
Conv1	Conv	Real/fake	5	1	2	(batch
		images				size, 32,
						64, 64)
Conv2	Conv	Conv1	5	2	2	(batch
						size, 128,
						32, 32)
Conv3	Conv	Conv2	5	2	2	(batch
						size, 256,
						16, 16)
Conv4	Conv	Conv3	5	2	2	(batch
						size, 256,
						8, 8)
Reshape	Reshape	Conv4				(batch
						size,
						256*8*8)
Fc1	FC	Reshape				(batch
						size,
						1024)
ELU1	ELU	Fc1				(batch
						size,
						1024)
Fc2	FC	ELU1				(batch
						size, 1)
Sigmoid	Sigmoid	Fc2				(batch
						size, 1)

# Hyper-parameters

Max epochs: 50
 Learning rate: 3e-4

3. Batch size: 64

4. Hidden code size: 2048

5. Gamma: 5 (enhance the reconstruction loss for the decoder)

6. Beta: 15 (enhance the reconstruction loss for the encoder)

7. Alpha: 0.1 (enhance the reconstruction loss for the discriminator)

## Rule of Thumb

- You may need 3~5 hours to implement this homework
- Total training time will cost you around 10 hours
- Example results



## Reference:

 Larsen, Anders Boesen Lindbo, et al. "Autoencoding beyond pixels using a learned similarity metric." arXiv preprint arXiv:1512.09300 (2015). <a href="https://arxiv.org/pdf/1512.09300.pdf">https://arxiv.org/pdf/1512.09300.pdf</a>

# Report Spec [black: Demo, Gray: No Demo]:

(Please write all your report in the same file)

- 1. Introduction (15%, 15%)
- 2. Experiment setups:
  - A. How you implement VAE-GAN (10%, 10%)
  - B. Which loss function of generator you used (10%, 10%)

- 3. Results:
  - A. Show reconstruction images and generated samples (20%, 30%)
- 4. Discussion
  - A. Compare your results of hw3 (reconstruction and generated samples) and hw2 and discuss visual differences (25%, 35%)
- 5. Demo (20%)