

## Lab: VAE-GAN

### Lab Objective:

In this lab, you will combine adversarial loss and VAE to build a more powerful model. Precisely speaking, you will be asked to reproduce the paper “Autoencoding beyond pixels using a learned similarity metric”.

### Turn in:

Report:

Demo:

### Requirements:

1. Implement VAE-GAN
2. Show reconstruction images and generated samples
3. Compare your results of hw3 and hw2 and discuss visual differences

### Implementation Details:

#### ● Loading Dataset

1. CelebA\_aligned\_reduced.h5
2. Using celebA\_dataset.py to load data

```
1 class CelebADataset(Dataset):
2     def __init__(self, h5_path, transform=None):
3         assert (os.path.isfile(h5_path))
4         self.h5_path = h5_path
5         self.transform = transform
6
7         # loading the dataset into memory
8         f = h5py.File(self.h5_path, "r")
9         key = list(f.keys())
10        print ("key list:", key)
11        self.dataset = f[key[0]]
12        print ("dataset loaded and its shape:", self.dataset.shape)
13
14    def __getitem__(self, index):
15        img = self.dataset[index]
16        img = np.transpose(img, (1, 2, 0))
17        if self.transform is not None:
18            img = self.transform(img)
19
20        return img, 0
21
22    def __len__(self):
23        return len(self.dataset)
```

3. The usage of this dataset is the same as MNIST or other datasets we used before

```
1 batch_size = 64
2 T = transforms.Compose([transforms.ToTensor(),
3                          transforms.Normalize((0.5, 0.5, 0.5), (0.5, 0.5, 0.5)),])
4 train_loader = torch.utils.data.DataLoader(
5     CelebADataset('../dataset/CelebA_aligned.h5', transform=T),
6     batch_size=batch_size, shuffle=True, num_workers=1)
```

- VAE (beta-VAE)
  - The same concepts as we introduced before
  - Loss function:

$$\mathcal{F}(\theta, \phi, \beta; \mathbf{x}, \mathbf{z}) \geq \mathcal{L}(\theta, \phi; \mathbf{x}, \mathbf{z}, \beta) = \mathbb{E}_{q_{\phi}(\mathbf{z}|\mathbf{x})}[\log p_{\theta}(\mathbf{x}|\mathbf{z})] - \beta D_{KL}(q_{\phi}(\mathbf{z}|\mathbf{x})||p(\mathbf{z}))$$

- GAN
  - The same concepts as we introduced before
  - The loss function of discriminator:

$$\mathcal{L}_D = -E_{x \sim p_r}[\log D(x)] - E_{x \sim p_g}[\log(1 - D(x))]$$

- There are two most often used loss function of generator. The first one is:

$$\mathcal{L}_G = -\mathcal{L}_D = E_{x \sim p_g}[\log(1 - D(x))]$$

The second one is:

$$\mathcal{L}_G = E_{x \sim p_g}[-\log D(x)]$$

In this lab, you can use either of them, but **you should tell me which one you used in your report.**

- VAE-GAN

1. Better distance metrics

- ◆ Discriminator should learn better metrics than pixel-wise l2-norm or l1-norm metrics
- ◆ Replace pixel-wise distance metrics with feature-wise distance metrics which are learned by the discriminator
- ◆ Extracts the representations of  $x$  and  $\tilde{x}$  from the  $L$  layer of discriminator
- ◆ Introduce a Gaussian observation model:

$$p(\text{Dis}_l(x)|z) = \mathcal{N}(\text{Dis}_l(x) | \text{Dis}_l(\tilde{x}), \mathbf{I})$$

And the original reconstruction loss becomes:

$$\mathcal{L}_{\text{llike}}^{\text{Dis}_l} = -\mathbb{E}_{q(z|x)} [\log p(\text{Dis}_l(x)|z)]$$

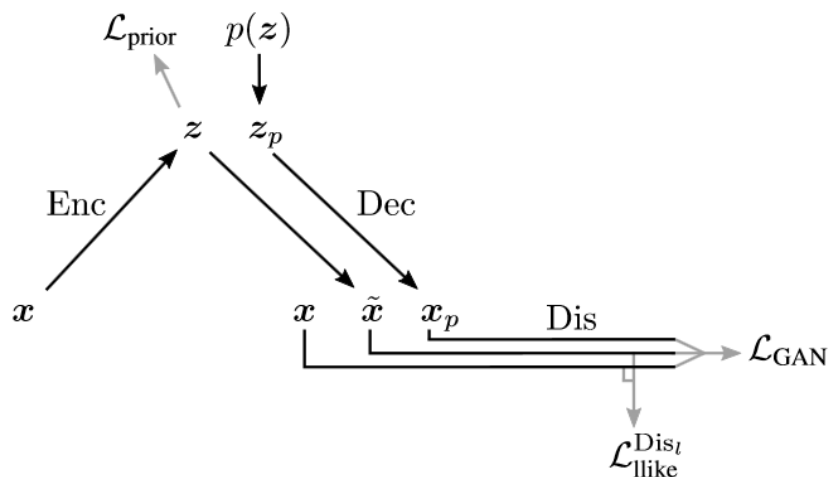
In short, you should compute the l2 norm of the feature-wise difference between  $x$  and  $\tilde{x}$ , rather than the l2 norm of the pixel-wise difference

2. Adversarial loss

- ◆ The whole autoencoder can be seen as a large generator, and we can introduce an additional neural network as discriminator. Thus, our entire system becomes a GAN
- ◆ To improve the quality of both reconstruction images and sampling images, we take both reconstruction images and sampling images as fake inputs of the discriminator

$$\begin{aligned} \mathcal{L}_{\text{GAN}} = & \log(\text{Dis}(x)) + \log(1 - \text{Dis}(\text{Dec}(z))) \\ & + \log(1 - \text{Dis}(\text{Dec}(\text{Enc}(x)))) \end{aligned}$$

3. VAE-GAN and its algorithm



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**Algorithm 1** Training the VAE/GAN model

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 $\theta_{\text{Enc}}, \theta_{\text{Dec}}, \theta_{\text{Dis}} \leftarrow$  initialize network parameters**repeat** $\mathbf{X} \leftarrow$  random mini-batch from dataset $\mathbf{Z} \leftarrow \text{Enc}(\mathbf{X})$  $\mathcal{L}_{\text{prior}} \leftarrow D_{\text{KL}}(q(\mathbf{Z}|\mathbf{X})||p(\mathbf{Z}))$  $\tilde{\mathbf{X}} \leftarrow \text{Dec}(\mathbf{Z})$  $\mathcal{L}_{\text{llike}}^{\text{Dis}_l} \leftarrow -\mathbb{E}_{q(\mathbf{Z}|\mathbf{X})} [p(\text{Dis}_l(\mathbf{X})|\mathbf{Z})]$  $\mathbf{Z}_p \leftarrow$  samples from prior  $\mathcal{N}(\mathbf{0}, \mathbf{I})$  $\mathbf{X}_p \leftarrow \text{Dec}(\mathbf{Z}_p)$  $\mathcal{L}_{\text{GAN}} \leftarrow \log(\text{Dis}(\mathbf{X})) + \log(1 - \text{Dis}(\tilde{\mathbf{X}}))$   
 $\quad + \log(1 - \text{Dis}(\mathbf{X}_p))$ 

// Update parameters according to gradients

 $\theta_{\text{Enc}} \stackrel{+}{\leftarrow} -\nabla_{\theta_{\text{Enc}}} (\mathcal{L}_{\text{prior}} + \mathcal{L}_{\text{llike}}^{\text{Dis}_l})$  $\theta_{\text{Dec}} \stackrel{+}{\leftarrow} -\nabla_{\theta_{\text{Dec}}} (\mathcal{L}_{\text{llike}}^{\text{Dis}_l} - \mathcal{L}_{\text{GAN}})$  $\theta_{\text{Dis}} \stackrel{+}{\leftarrow} -\nabla_{\theta_{\text{Dis}}} \mathcal{L}_{\text{GAN}}$ **until** deadline

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4. We modify the loss function of encoder a little bit:

**Loss function for the encoder:**  $\beta * \mathcal{L}_{\text{prior}} + \mathcal{L}_{\text{llike}}^{\text{Dis}_l}$

● Model Architecture

■ Size of input images: (batch size, 3, 64, 64)

■ Size of Latent codes (**Gaussian noise**): (batch size, 2048)

■ Encoder

Name	Type	Input	Kernel size	Stride	Padding	Output shape
Conv1	Conv	Input images	5	2	2	(batch size, <b>64</b> , 32, 32)
Conv2	Conv	Conv1	5	2	2	(batch size, <b>128</b> , 16, 16)
Conv3	Conv	Conv2	5	2	2	(batch size, <b>256</b> , 8, 8)
Flatten	Flatten	Conv3				(batch size, <b>256*8*8</b> )
Fc1	FC	Flatten				(batch size, <b>2048</b> )
BN1	BN	Fc1				(batch, <b>2048</b> )
ReLU1	ReLU	BN1				(batch, 2048)
Mean	FC	ReLU1				(batch, <b>2048</b> )
Fc2	FC	Conv3	0			(batch size, <b>2048</b> )
BN2	BN	Fc2				(batch, <b>2048</b> )

ReLU2	ReLU	BN2				(batch, 2048)
Log Var	FC	ReLU2				(batch, 2048)

■ Decoder

Name	Type	Input	Kernel size	Stride	Padding	Output shape
Fc1	FC	Latent codes				(batch size, 8*8*256)
BN1	BN	Fc1				(batch size, 8*8*256)
ReLU1	ReLU	BN1				(batch size, 8*8*256)
Reshape	Reshape	ReLU1				(batch size, 256, 8, 8)
Deconv1	Deconv	Reshape	5	2	2	(batch size, 256, 16, 16)
Deconv2	Deconv	Deconv1	5	2	2	(batch size, 128, 32, 32)
Deconv3	Deconv	Deconv2	5	2	2	(batch size, 32, 64, 64)
Deconv4	Deconv	Deconv3	5	1	2	(batch size, 3, 64, 64)
Tanh()	Tanh	Deconv4				(batch size, 3, 64, 64)

```

output = self.deconv1(preprocessed_codes, output_size=(bs, 256, 16, 16))
output = self.deconv2(output, output_size=(bs, 128, 32, 32))
output = self.deconv3(output, output_size=(bs, 32, 64, 64))
output = self.deconv4(output, output_size=(bs, 3, 64, 64))

```

#### ■ Discriminator

Name	Type	Input	Kernel size	Stride	Padding	Output shape
Conv1	Conv	Real/fake images	5	1	2	(batch size, <b>32</b> , 64, 64)
Conv2	Conv	Conv1	5	2	2	(batch size, <b>128</b> , 32, 32)
Conv3	Conv	Conv2	5	2	2	(batch size, <b>256</b> , 16, 16)
Conv4	Conv	Conv3	5	2	2	(batch size, <b>256</b> , 8, 8)
Reshape	Reshape	Conv4				(batch size, <b>256*8*8</b> )
Fc1	FC	Reshape				(batch size, <b>1024</b> )
ELU1	ELU	Fc1				(batch size, 1024)
Fc2	FC	ELU1				(batch size, <b>1</b> )
Sigmoid	Sigmoid	Fc2				(batch size, 1)

#### ● Hyper-parameters

1. Max epochs : 50
2. Learning rate for the encoder and the decoder: 3e-4
3. Learning rate for the discriminator: 3e-5
4. Batch size: 64

5. Hidden code size: 2048
  6. Gamma : 5 (enhance the reconstruction loss for the decoder)
  7. Beta: 15 (enhance the reconstruction loss for the encoder)
- Rule of Thumb
    - You may need 3~5 hours to implement this homework
    - Total training time will cost you around 10 hours

Reference:

1. Larsen, Anders Boesen Lindbo, et al. "Autoencoding beyond pixels using a learned similarity metric." *arXiv preprint arXiv:1512.09300* (2015).  
<https://arxiv.org/pdf/1512.09300.pdf>

Report Spec [black: Demo, Gray: No Demo]:

1. Introduction (15%, 15%)
2. Experiment setups:
  - A. How you implement VAE-GAN (10%, 10%)
  - B. Which loss function of generator you used (10%, 10%)
3. Results:
  - A. Show reconstruction images and generated samples (20%, 30%)
4. Discussion
  - A. Compare your results of hw3 and hw2 and discuss visual differences (25%, 35%)
5. Demo (20%)