



# Binary Trees

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# Binary Tree

## 1. Maximum Height

$$H_{\max} = N$$

## 2. Minimum Height

$$H_{\min} = \lfloor \log_2 N \rfloor + 1$$

## 3. Minimum number of nodes of a specific height $H$

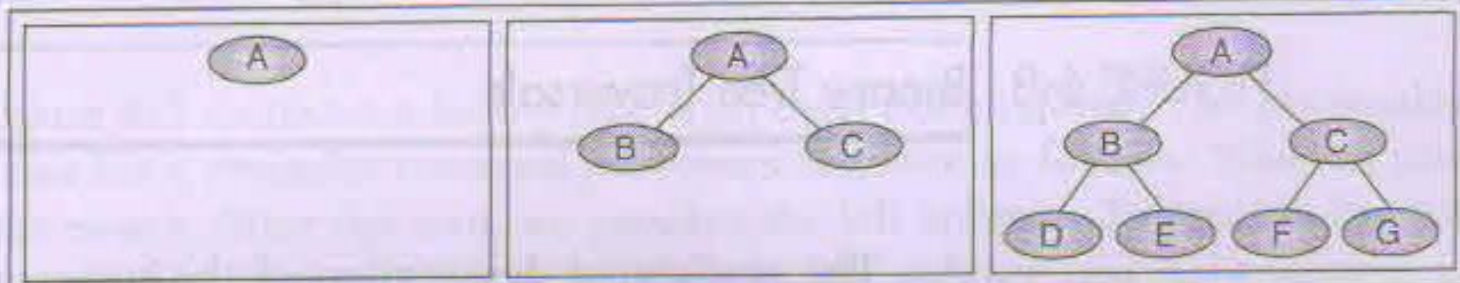
$$N_{\min} = H$$

## 4. Maximum number of nodes of a specific height $H$

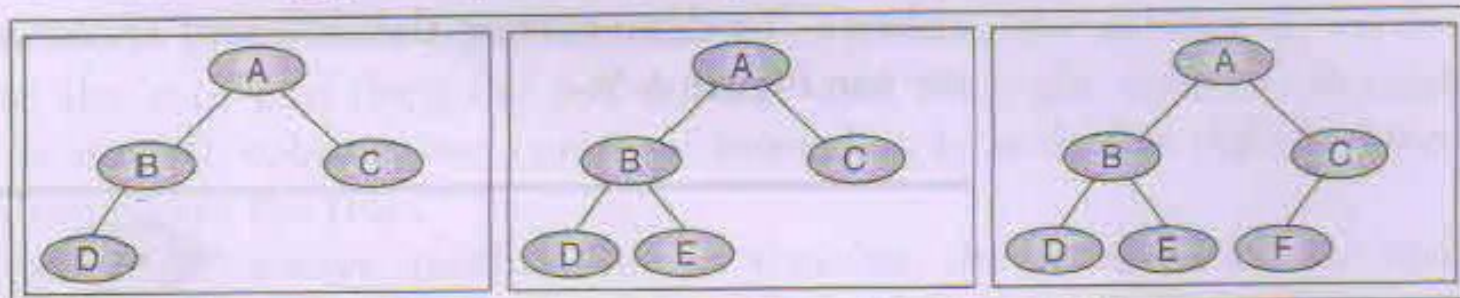
$$N_{\max} = 2^H - 1$$



# Complete and Nearly Complete Binary Tree



(a) Complete trees (at levels 0, 1, and 2)



(b) Nearly complete trees (at level 2)



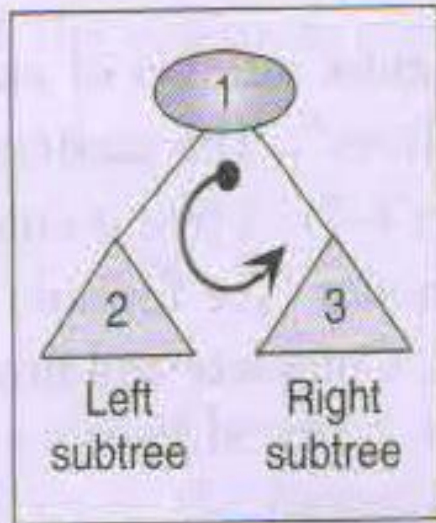
# Binary Tree Traversal

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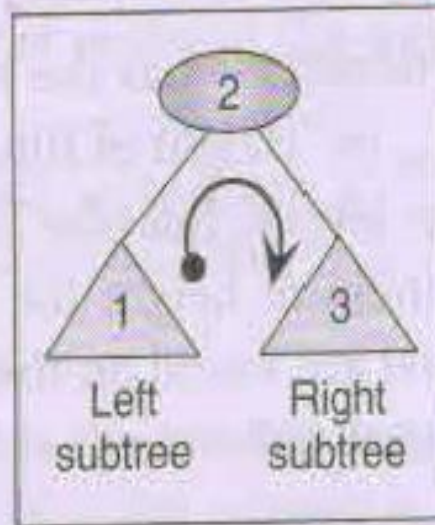
- Depth-First-Search
- Breadth



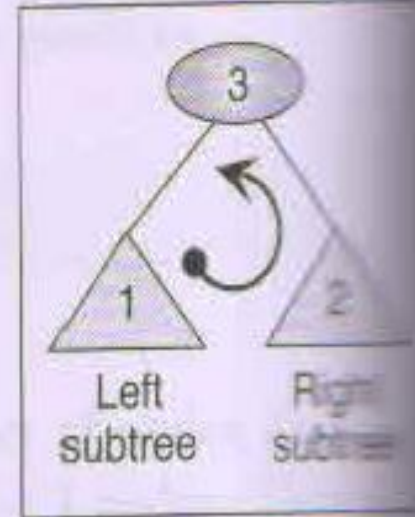
# Depth-First-Search



(a) Preorder traversal



(b) Inorder traversal



(c) Postorder traversal





# Preorder Traversal

Algorithm preOrder (root)

Traverse a binary tree in node-left-right sequence.

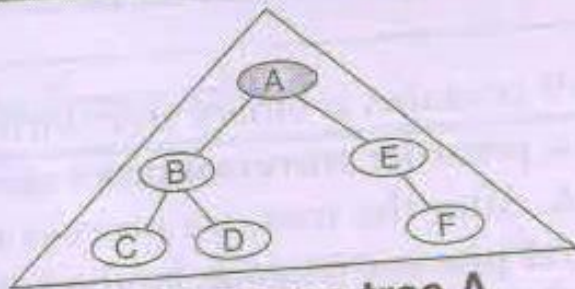
Pre root is the entry node of a tree or subtree

Post each node has been processed in order

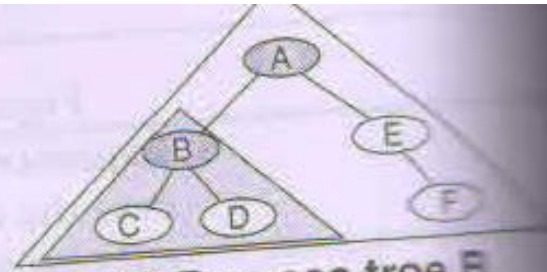
```
1 if (root is not null)
  1 process (root)
  2 preOrder (leftSubtree)
  3 preOrder (rightSubtree)
2 end if
end preOrder
```



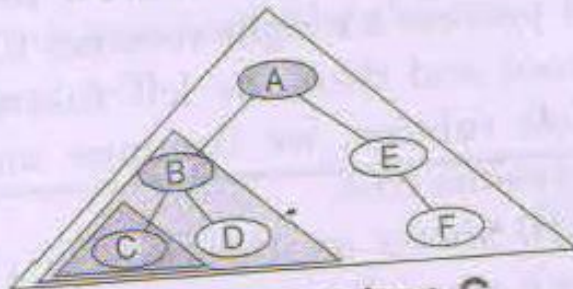
# Preorder Traversal



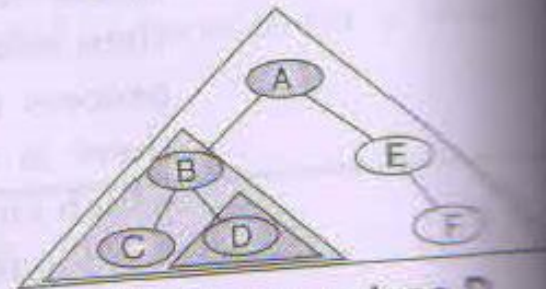
(a) Process tree A



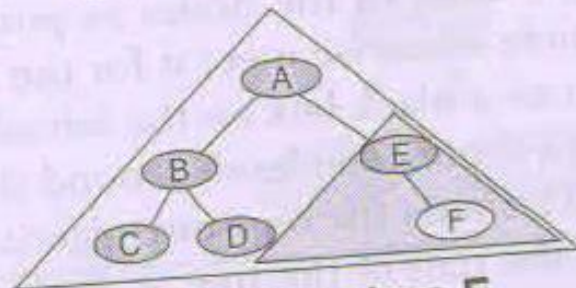
(b) Process tree B



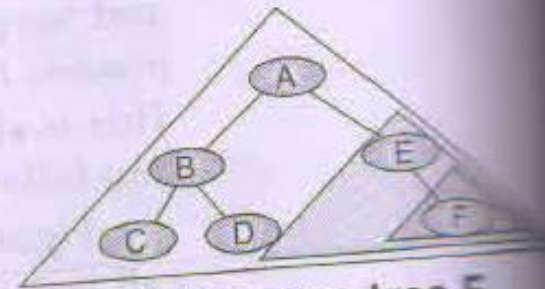
(c) Process tree C



(d) Process tree D



(e) Process tree E



(f) Process tree F



# Inorder Traversal

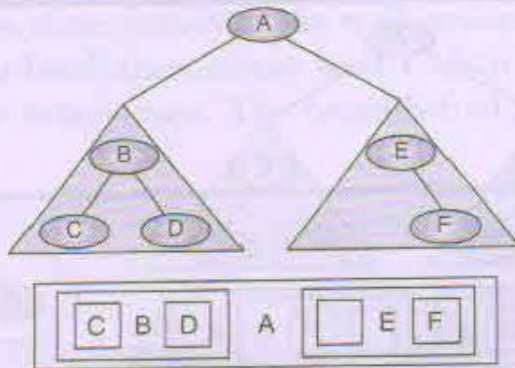
Algorithm inOrder (root)

Traverse a binary tree in left-node-right sequence.

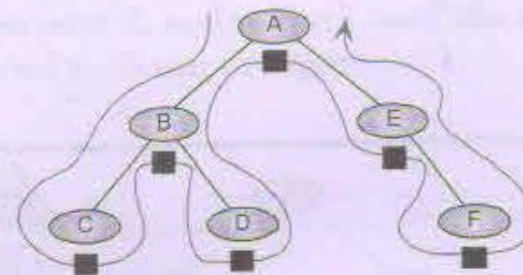
Pre root is the entry node of a tree or subtree

Post each node has been processed in order

```
1 if (root is not null)
  1 inOrder (leftSubTree)
  2 process (root)
  3 inOrder (rightSubTree)
2 end if
end inOrder
```



(a) Processing order



(b) "Walking" order

Inorder Traversal—C B D A E F



# Inorder Traversal

Algorithm postOrder (root)

Traverse a binary tree in left-right-node sequence.

Pre root is the entry node of a tree or subtree

Post each node has been processed in order

1 if (root is not null)

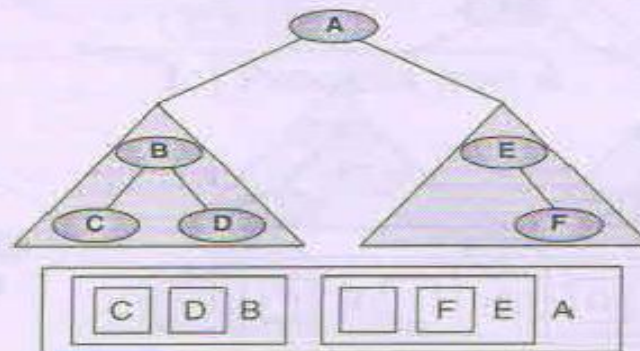
1 postOrder (left subtree)

2 postOrder (right subtree)

3 process (root)

2 end if

end postOrder



(a) Processing order

Postorder Traversal—C D B F E A

# Postorder Traversal

Algorithm postOrder (root)

Traverse a binary tree in left-right-node sequence.

Pre root is the entry node of a tree or subtree

Post each node has been processed in order

1 if (root is not null)

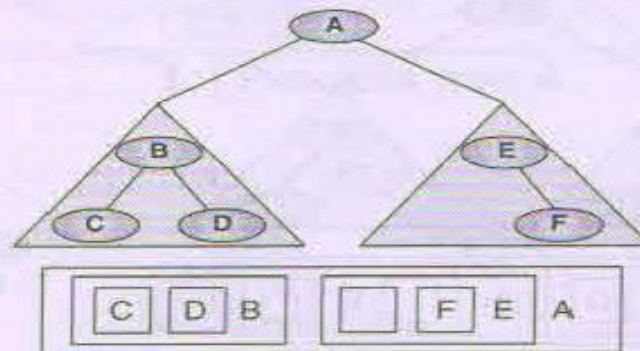
1 postOrder (left subtree)

2 postOrder (right subtree)

3 process (root)

2 end if

end postOrder



(a) Processing order

Postorder Traversal—C D B F E A





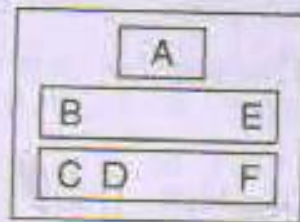
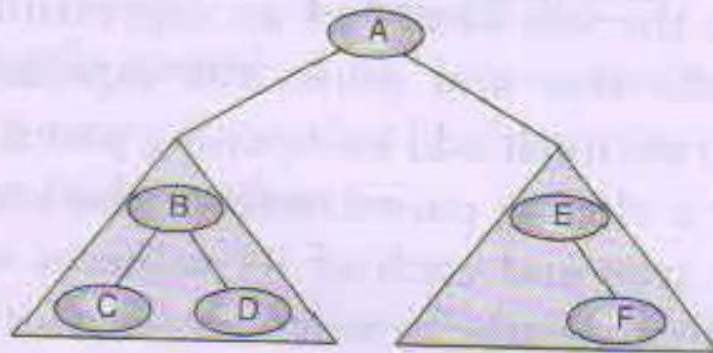
# Breadth-First-Search

```
Algorithm breadthFirst (root)
Process tree using breadth-first traversal.
  Pre    root is node to be processed
  Post   tree has been processed
1  set currentNode to root
2  createQueue (bfQueue)
3  loop (currentNode not null)
  1  process (currentNode)
  2  if (left subtree not null)
    1  enqueue (bfQueue, left subtree)
  3  end if
  4  if (right subtree not null)
    1  enqueue (bfQueue, right subtree)
  5  end if
  6  if (not emptyQueue(bfQueue))
    1  set currentNode to dequeue (bfQueue)
  7  else
    1  set currentNode to null
  8  end if
4  end loop
5  destroyQueue (bfQueue)
end breadthFirst
```

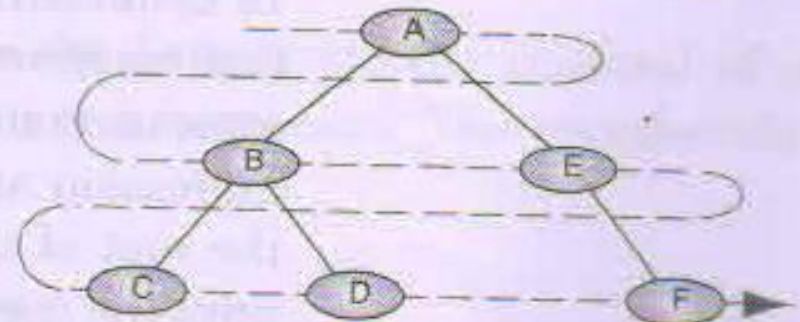




# Breadth-First-Search



(a) Processing order

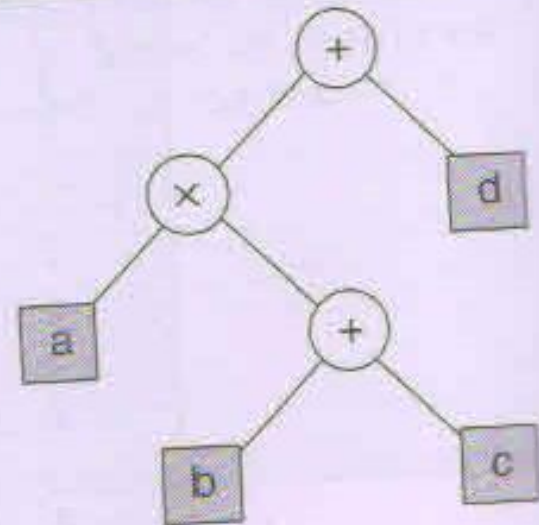


(b) "Walking" order



# Expression Tree

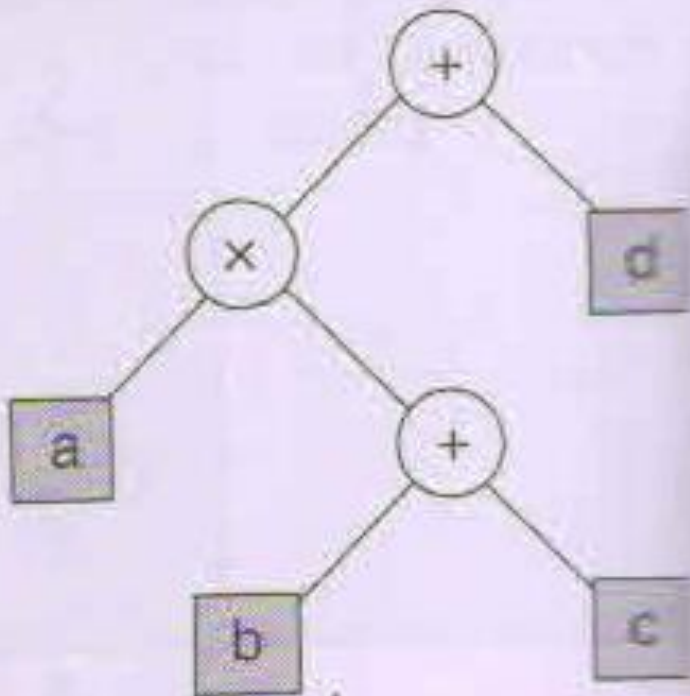
$$a \times (b + c) + d$$





# Expression Tree

$a \times (b + c) + d$



An Expression Tree is a binary tree with following properties

- Each leaf is an operand
- The root and internal nodes are operators
- Subtrees are expressions, with the root being an operator.





# Infix Traversal of an Expression Tree

Algorithm infix (tree)

Print the infix expression for an expression tree.

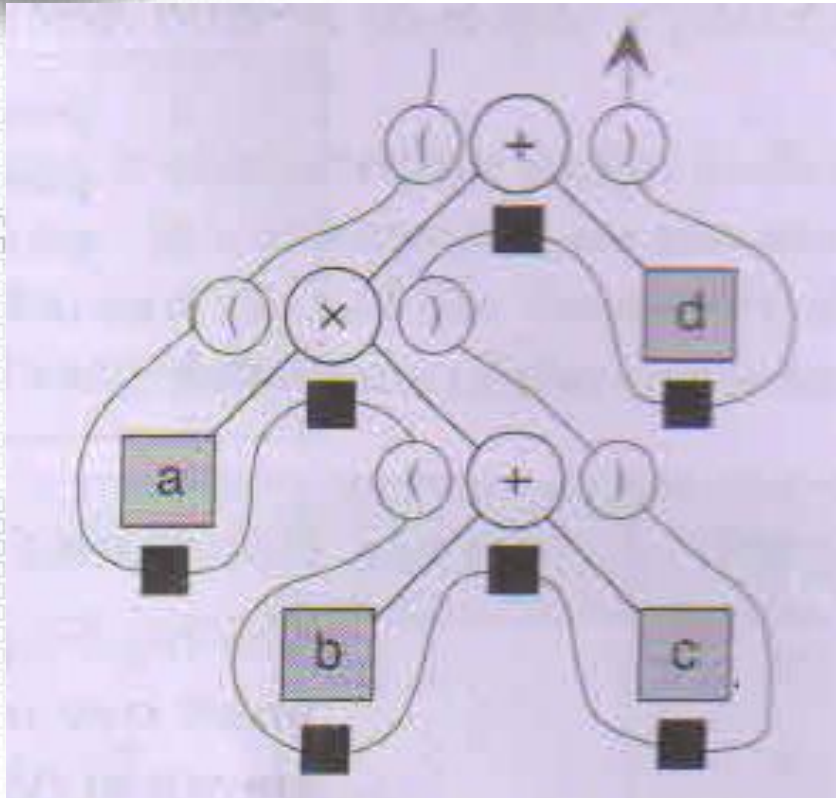
Pre tree is a pointer to an expression tree

Post the infix expression has been printed

```
1 if (tree not empty)
  1 if (tree token is an operand)
    1 print (tree-token)
  2 else
    1 print (open parenthesis)
    2 infix (tree left subtree)
    3 print (tree token)
    4 infix (tree right subtree)
    5 print (close parenthesis)
  3 end if
2 end if
end infix
```



# Infix Traversal of an Expression Tree



$((a \times (b + c)) + d)$





# Postfix Traversal of an Expression Tree

Algorithm postfix (tree)

Print the postfix expression for an expression tree.

Pre tree is a pointer to an expression tree

Post the postfix expression has been printed

1 if (tree not empty)

1 postfix (tree left subtree)

2 postfix (tree right subtree)

3 print (tree token)

2 end if

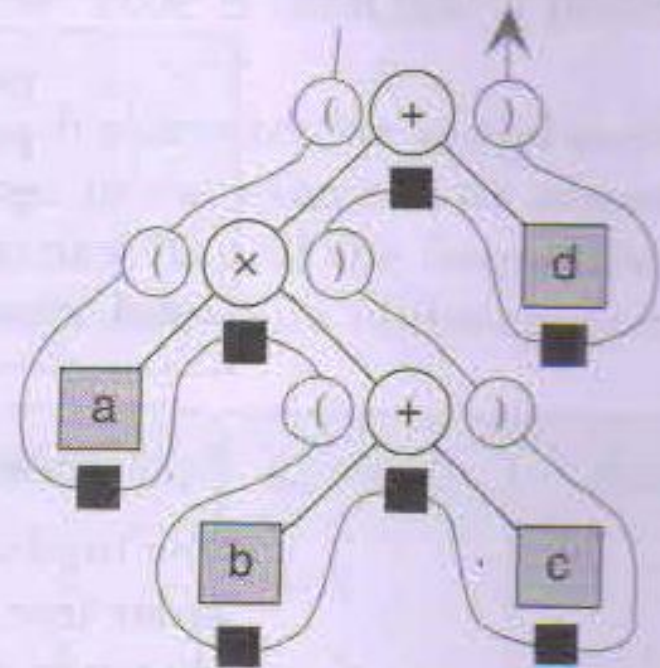
end postfix





# Prefix Traversal of an Expression Tree

```
Algorithm prefix (tree)
Print the prefix expression for an expression tree
Pre tree is a pointer to an expression tree
Post the prefix expression has been printed
1 if (tree not empty)
1   print (tree token)
2   prefix (tree left subtree)
3   prefix (tree right subtree)
2 end if
end prefix
```



### Infix Traversal of an Expression Tree





# Infix Expression Tree Traversal

Algorithm infix (tree)

Print the infix expression for an expression tree.

Pre tree is a pointer to an expression tree

Post the infix expression has been printed

```
1 if (tree not empty)
  1 if (tree token is an operand)
    1 print (tree-token)
  2 else
    1 print (open parenthesis)
    2 infix (tree left subtree)
    3 print (tree token)
    4 infix (tree right subtree)
    5 print (close parenthesis)
  3 end if
2 end if
end infix
```

Assignment: Infix & Postfix





# Binary Search Tree

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- All items in the left subtree are less than the root
- All items in the right subtree are greater than or equal to the root
- Each subtree is itself a BST



# BST operations

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1. Traversal
2. Find Largest Node
3. Find Smallest Node
4. Searching
5. Insertion
6. Deletion



# BST- Searching

**Algorithm searchBST (root, targetKey)**

Search a binary search tree for a given value.

Pre      root is the root to a binary tree or subtree

         targetKey is the key value requested

Return the node address if the value is found

         null if the node is not in the tree

1 if (empty tree)

    Not found

    1 return null

2 end if

3 if (targetKey < root)

    1 return searchBST (left subtree, targetKey)

4 else if (targetKey > root)

    1 return searchBST (right subtree, targetKey)

5 else

    Found target key

    1 return root

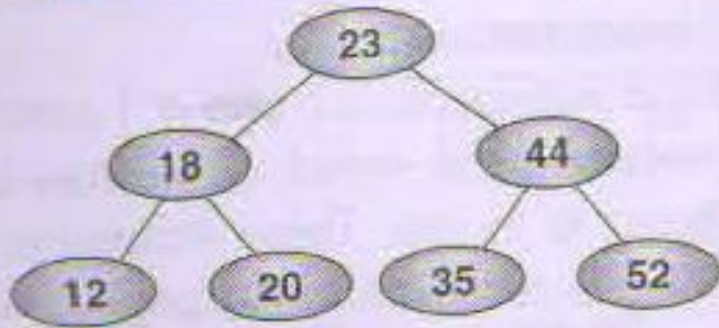
6 end if

end searchBST

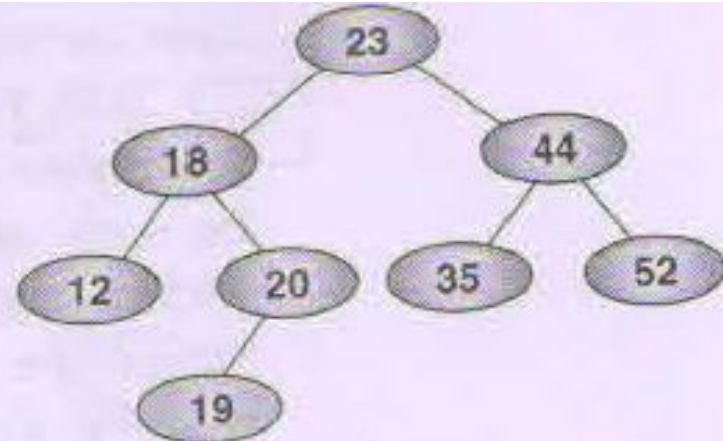




# BST- Insertion

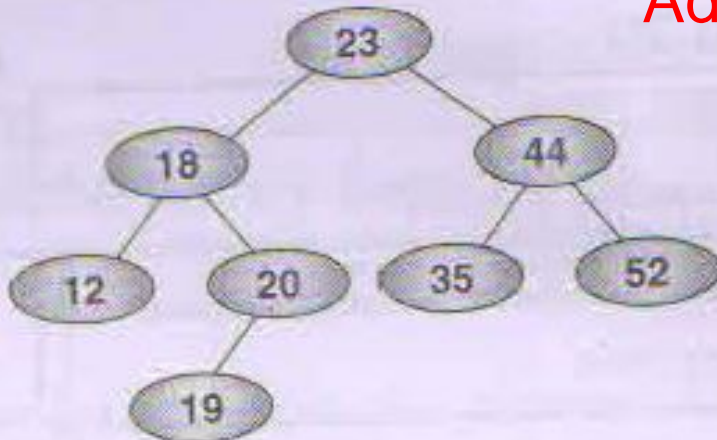


(a) Before inserting 19

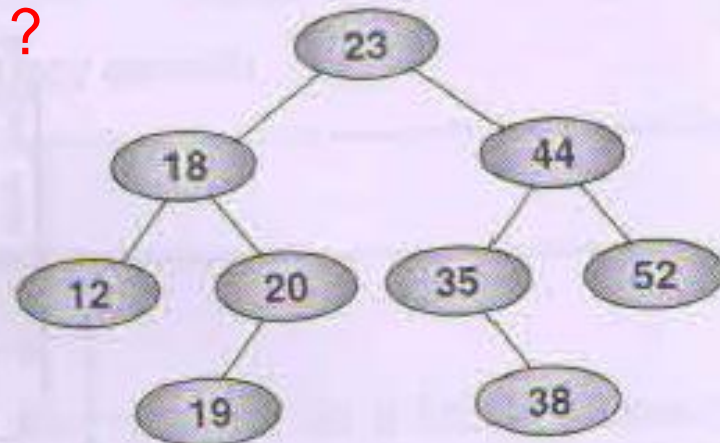


(b) After inserting 19

Add 23 ?



(c) Before inserting 38



(d) After inserting 38





# BST- Insertion

Algorithm addBST (root, newNode)

Insert node containing new data into BST using recursion.

Pre      root is address of current node in a BST  
          newNode is address of node containing data

Post     newNode inserted into the tree

Return address of potential new tree root

1 if (empty tree)

1    set root to newNode

2    return newNode

2 end if

Locate null subtree for insertion

3 if (newNode < root)

1    return addBST (left subtree, newNode)

4 else

1    return addBST (right subtree, newNode)

5 end if

end addBST



# Deletion : BST

## Deletion

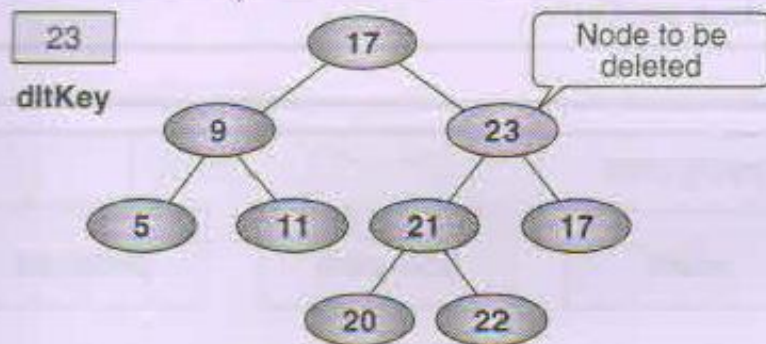
To delete a node from a binary search tree, we must first locate it. There are four possible cases when we delete a node:

1. The node to be deleted has no children. In this case, all we need to do is delete the node.
2. The node to be deleted has only a right subtree. We delete the node and attach the right subtree to the deleted node's parent.
3. The node to be deleted has only a left subtree. We delete the node and attach the left subtree to the deleted node's parent.
4. The node to be deleted has two subtrees. It is possible to delete a node from the middle of a tree, but the result tends to create very unbalanced

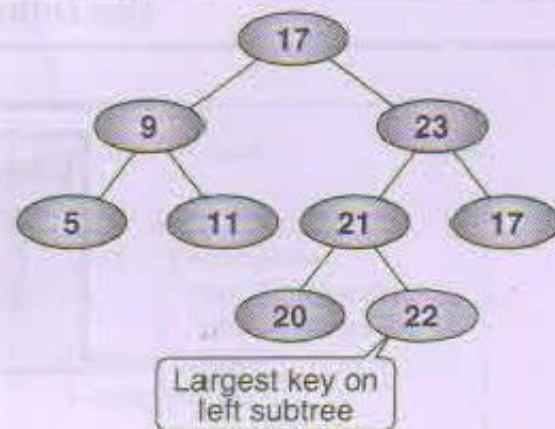




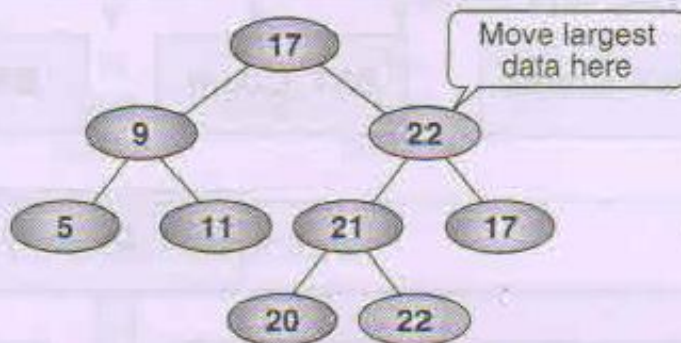
# Deletion



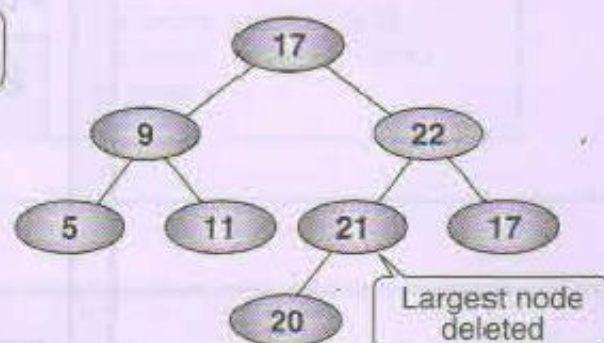
(a) Find dltKey



(b) Find largest



(c) Move largest data



(d) Delete largest node





# Deletion

Delete Node from BST

Algorithm deleteBST (root, dltKey)

This algorithm deletes a node from a BST.

Pre      root is reference to node to be deleted

         dltKey is key of node to be deleted

Post     node deleted

         if dltKey not found, root unchanged

Return true if node deleted, false if not found

1 if (empty tree)

1    return false

2 end if

3 if (dltKey < root)

1    return deleteBST (left subtree, dltKey)

4 else if (dltKey > root)

1    return deleteBST (right subtree, dltKey)

5 else





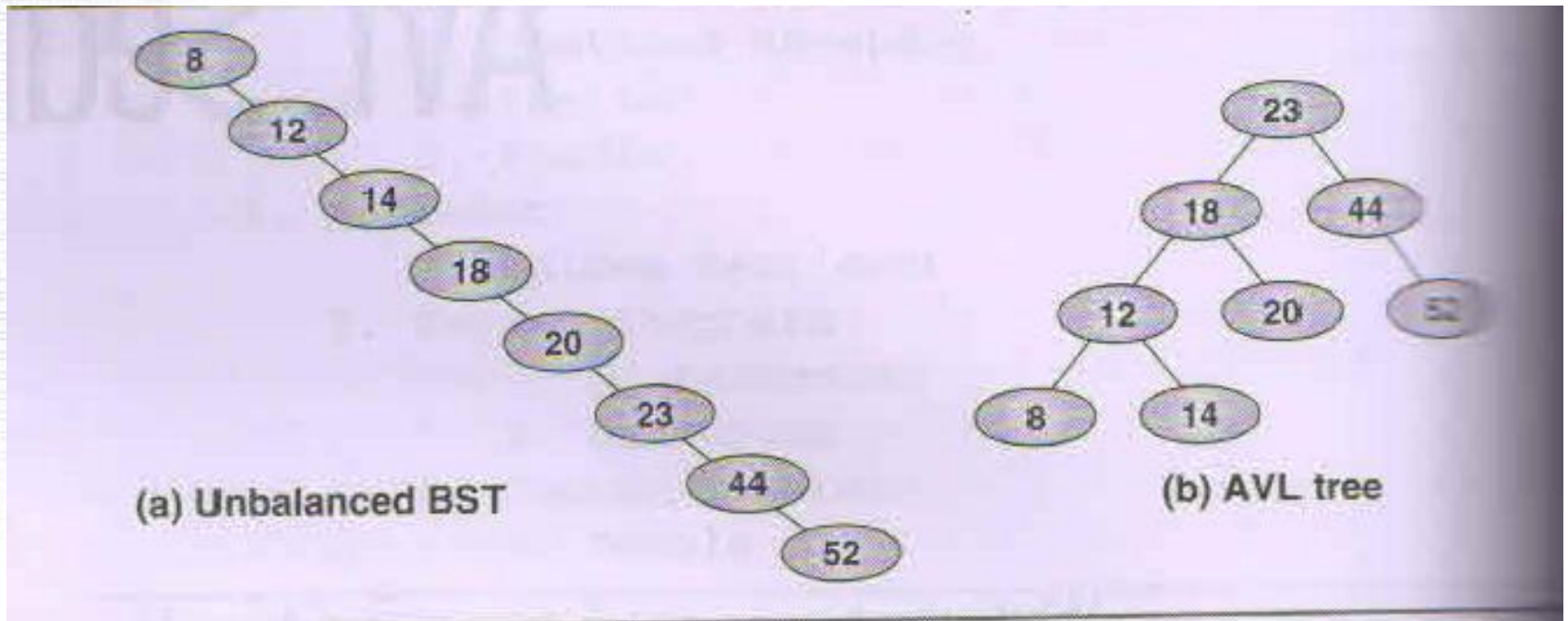
# Deletion

```
5 else
    Delete node found--test for leaf node
1  If (no left subtree)
    1 make right subtree the root
    2 return true
2  else if (no right subtree)
    1 make left subtree the root
    2 return true
3  else
    Node to be deleted not a leaf. Find largest
    left subtree.
    1 save root in deleteNode
    2 set largest to largestBST (left subtree)
    3 move data in largest to deleteNode
    4 return deleteBST (left subtree of deleteNode,
                        key of largest)
4  end if
6 end if
end deleteBST
```



# AVL Tree

- An AVL tree is a height-balanced BST



$$| H_L - H_R | \leq 1$$

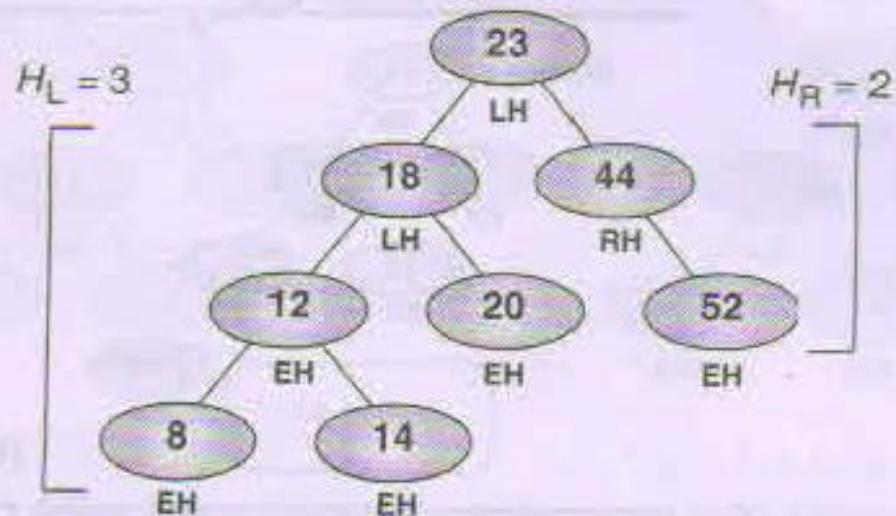
Balance Factor +1, 0, -1

Time Complexity :  $O(\log n)$

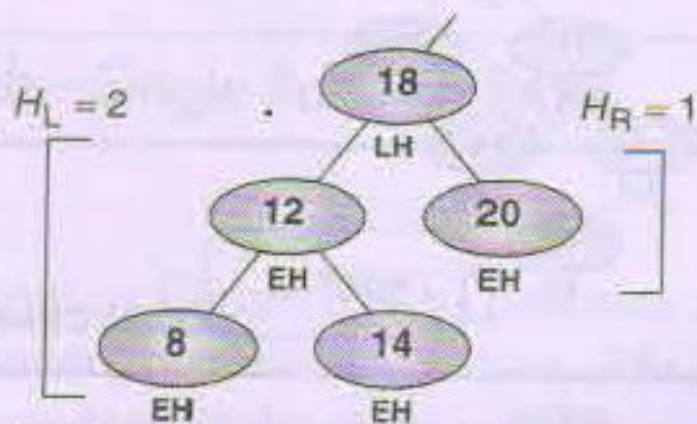




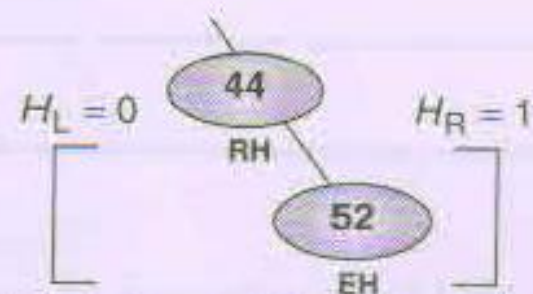
# AVL Tree



(a) Tree 23 appears balanced:  $H_L - H_R = 1$



(b) Subtree 18 appears balanced:  
 $H_L - H_R = 1$



(c) Subtree 44 is balanced:  
 $|H_L - H_R| = 1$



# AVL Tree – Balancing Trees

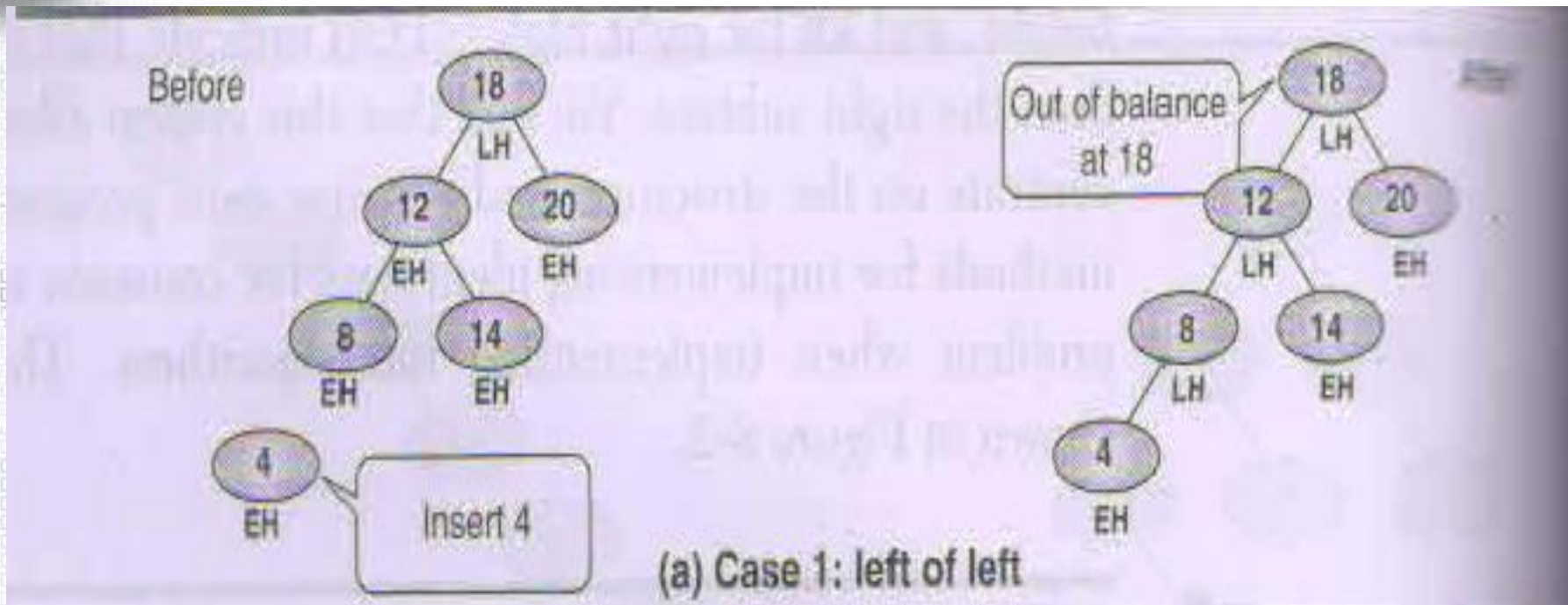
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**We consider Four cases that require balancing**

1. Left of Left : A subtree of a tree that is left high has also become left high
2. Right of Right : A subtree of a tree that is right high has also become right high
3. Right of Left : A subtree of a tree that is left high has become right high
4. Left of Right : A subtree of a tree that is right high has become left high



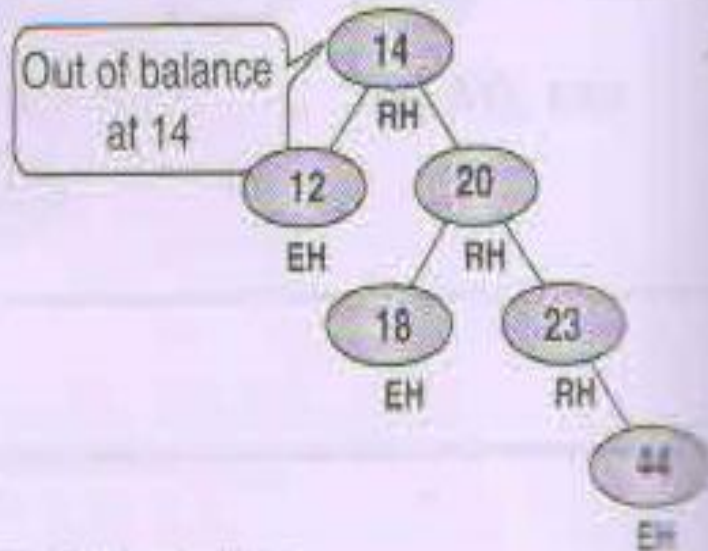
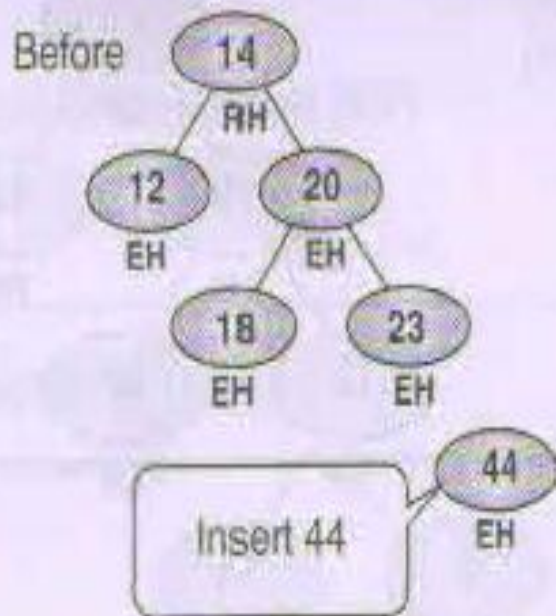
# AVL Tree – Left of Left







# AVL Tree – Right of Right

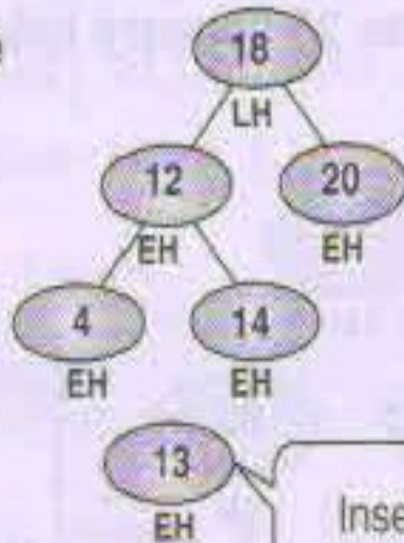


(b) Case 2: right of right



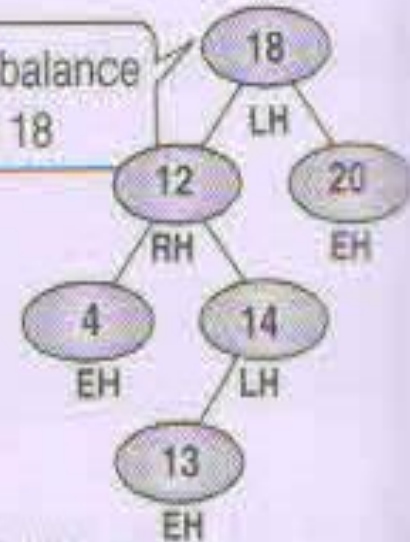
# AVL Tree – Right of Left

Before



Insert 13

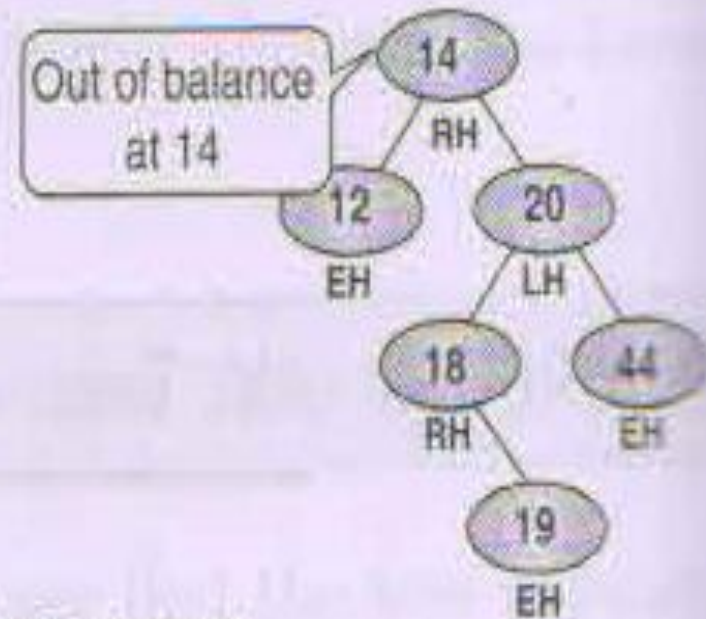
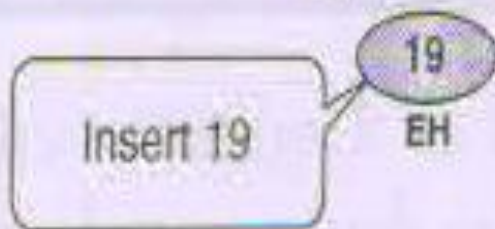
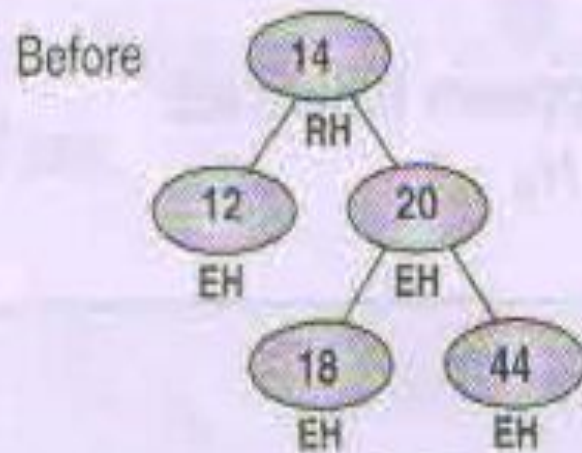
Out of balance  
at 18



(c) Case 3: right of left



# AVL Tree – Left of Right



(d) Case 4: left of right

Assignment : Balancing by Rotation





# General Trees

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- Unlimited out degree for each node

Insertion into General tree

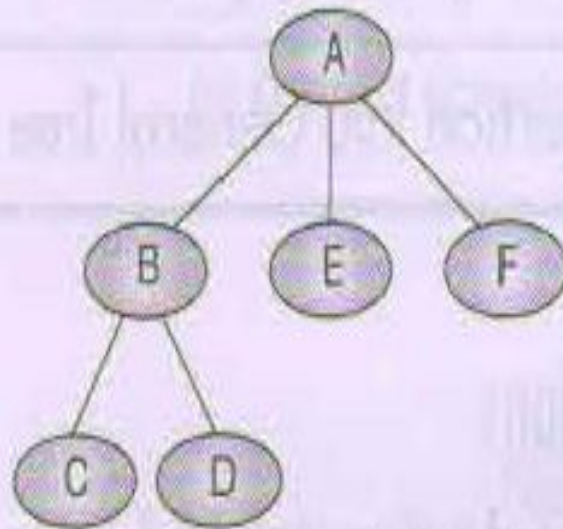
- User must supply Parent of the node.

Three different rules may be used

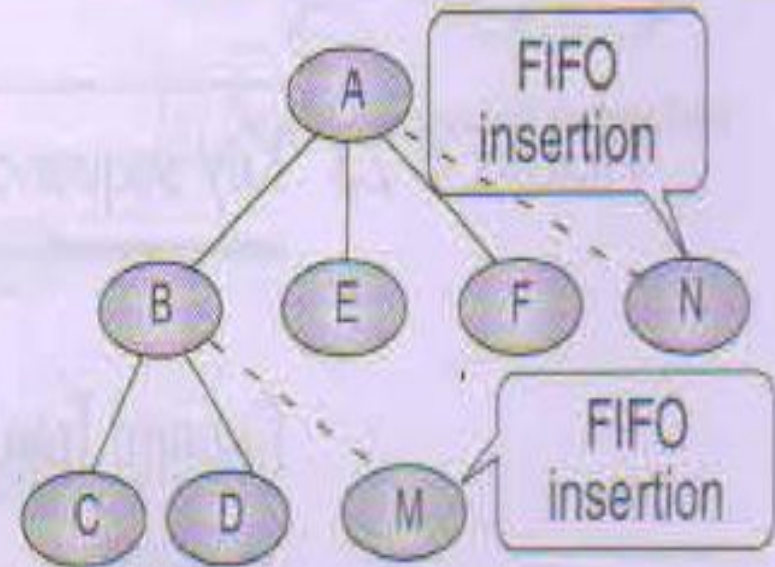
1. FIFO
2. LIFO
3. Key-sequence insertion



# General Trees – FIFO Insertion



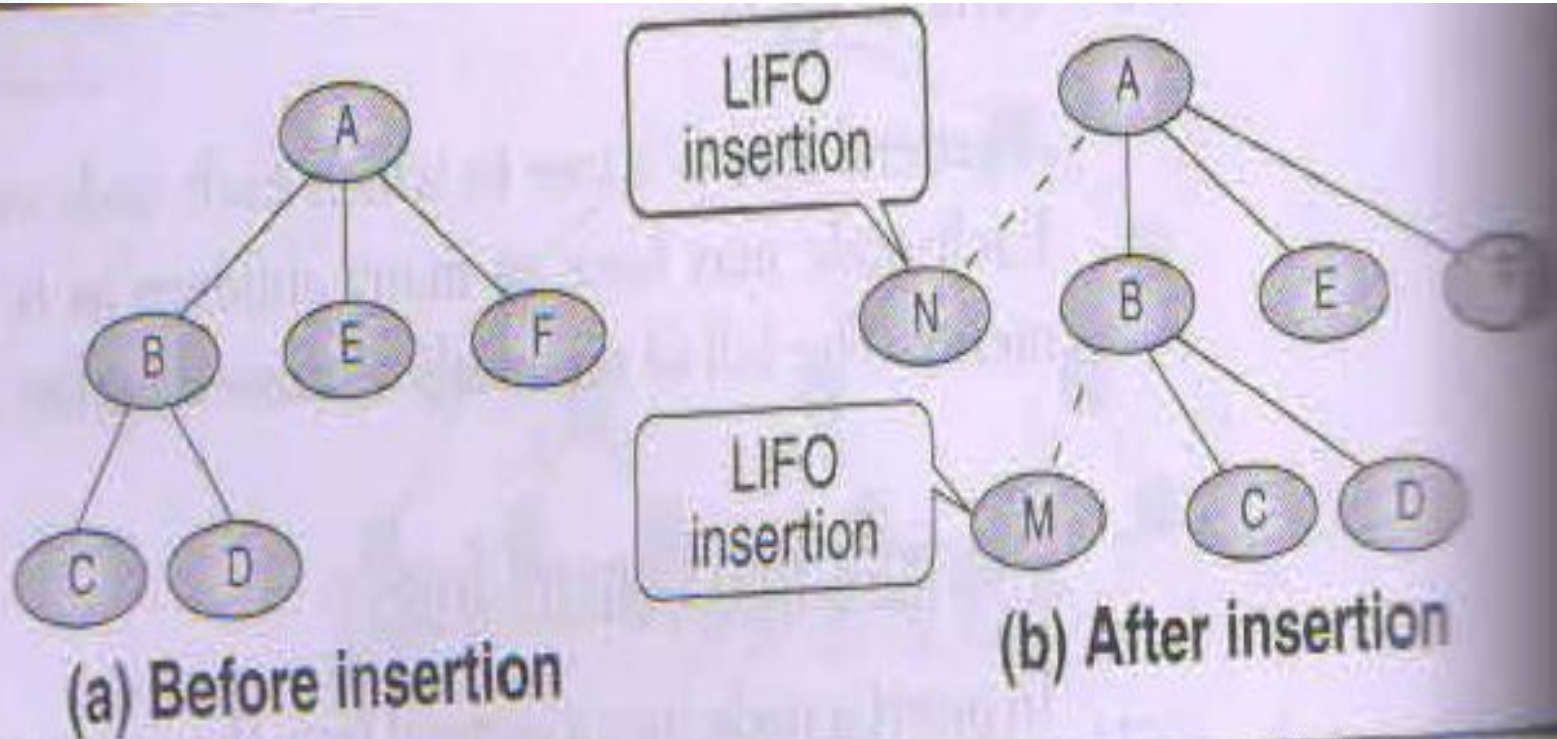
(a) Before insertion



(b) After insertion



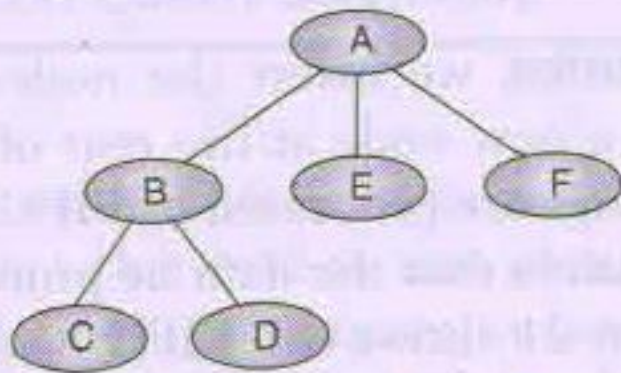
# General Trees – LIFO Insertion



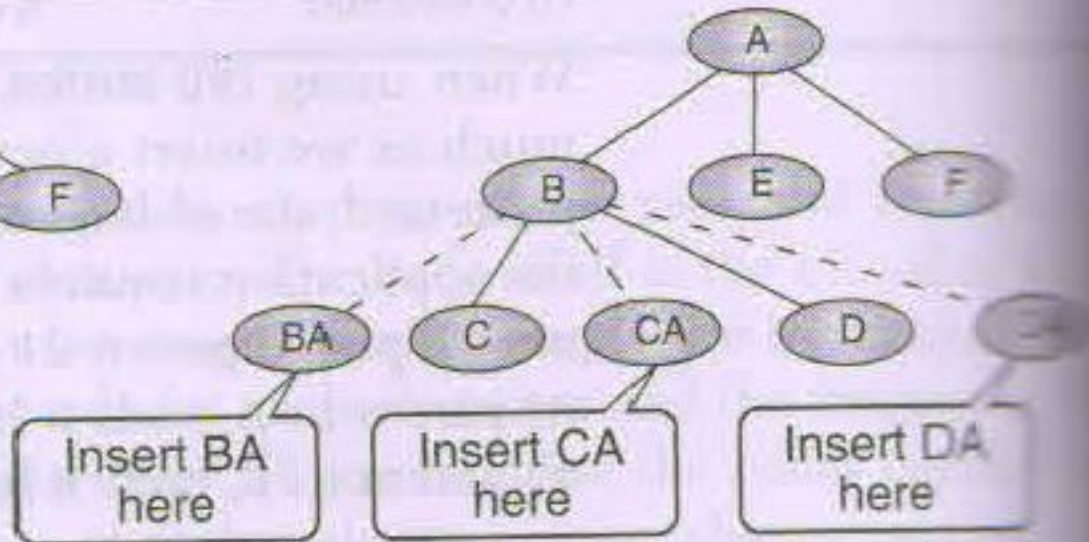




# General Trees – Key-sequence Insertion



(a) Before



(b) After