



BITS Pilani
Hyderabad Campus

Data Structures and Algorithms Design

Febin.A.Vahab
2019-20

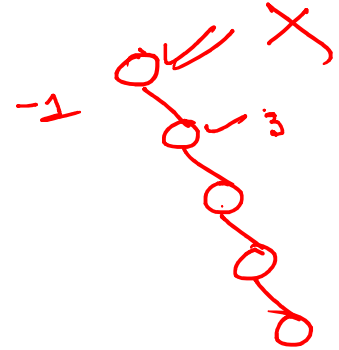
SESSION 9 -PLAN



| Online Session(#) | List of Topic Title | Text/Ref Book/external resource |
|-------------------|---|---------------------------------|
| 9 | Binary Search Tree - Motivation with the task of Searching and Binary Search Algorithm, Properties of BST, Searching an element in BST AVL Trees | T1: 3.1 T1:3.2 |

AVL trees

- From previous lectures:
 - Binary search trees store linearly ordered data
 - Best case height: $O(\log(n))$
 - Worst case height: $O(n)$
- Requirement:
 - { Define and maintain a balance to ensure $O(\log(n))$ operations



AVL trees



- The AVL tree is the first balanced binary search tree ever invented.
- It is named after its two inventors, G.M. Adelson-Velskii and E.M. Landis, who published it in their 1962 paper "An algorithm for the organization of information."

AVL trees



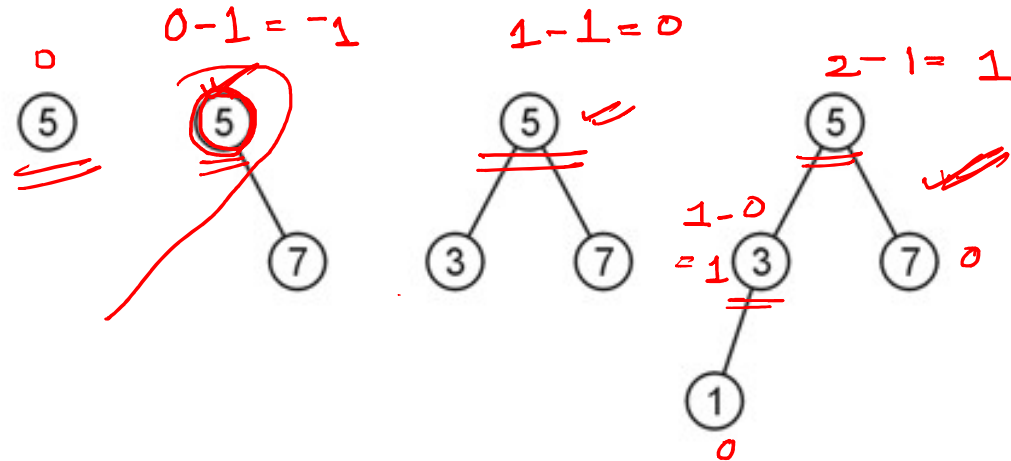
- AVL trees are balanced ✓
- An AVL Tree is a binary search tree such that for every internal node v of T , the heights of the children of v can differ by at most 1
- This difference is called the **Balance Factor**.
- For an AVL tree $|\text{balance factor}| \leq 1$ for all the nodes.

$$\text{bf} = \{-1, 0, 1\}$$

AVL trees



- **BalanceFactor = height(left-subtree) - height(right-subtree)**



AVL trees with 1 ,2,3,and 4 nodes

AVL trees



- **BalanceFactor**=height(left-subtree)– height(right-subtree)

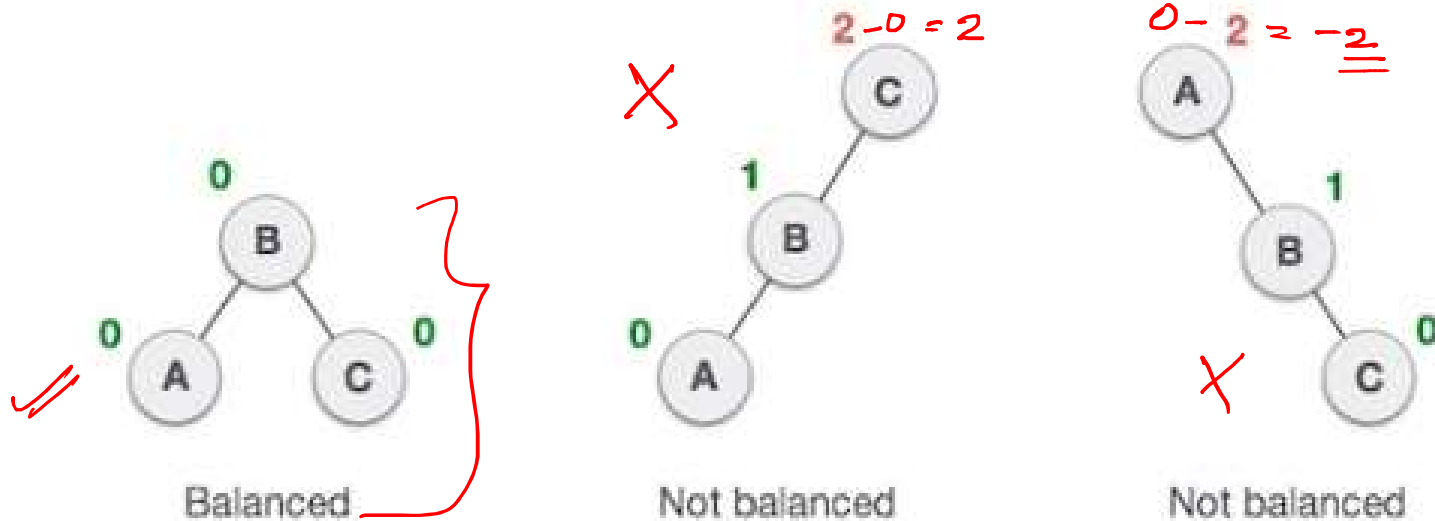
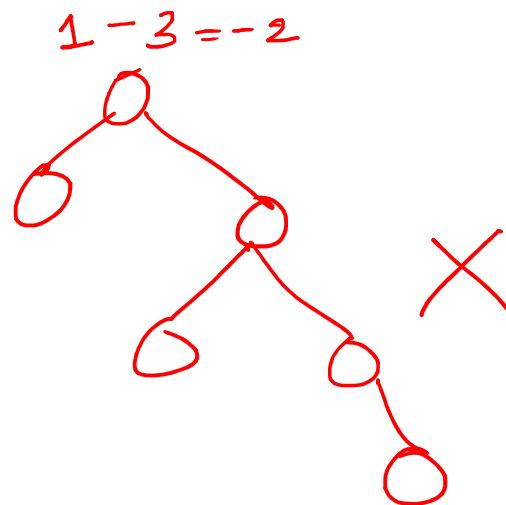
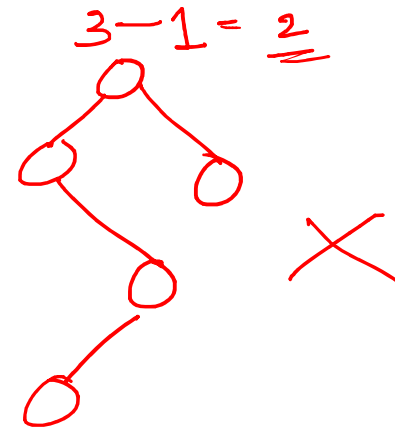
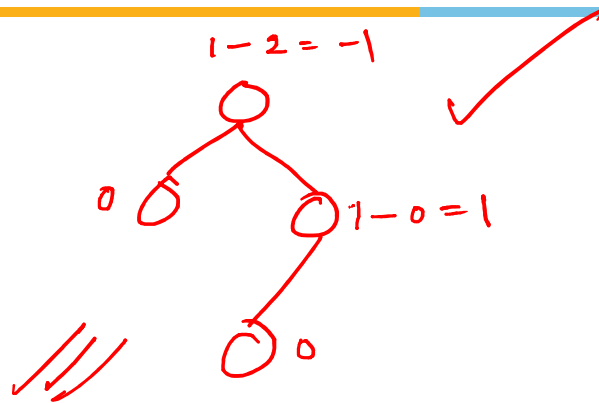


Image credit: Tutorials point

AVL Trees-Example



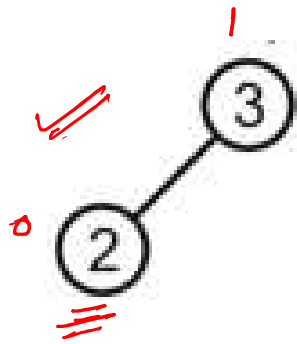
AVL trees-Rotations

- To balance itself, an AVL tree may perform the following four kinds of rotations –
 - Left rotation
 - Right rotation
 - Left-Right rotation
 - Right-Left rotation
- To have an unbalanced tree, we at least need a tree of height 2.

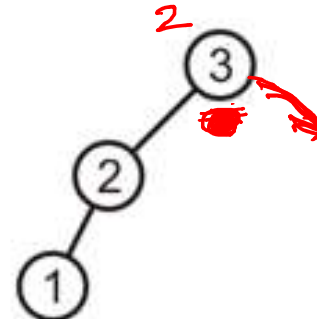
AVL trees-Rotations



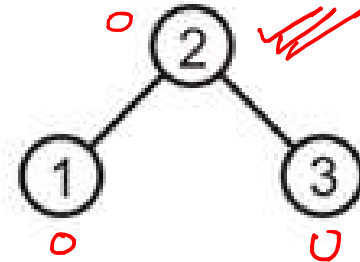
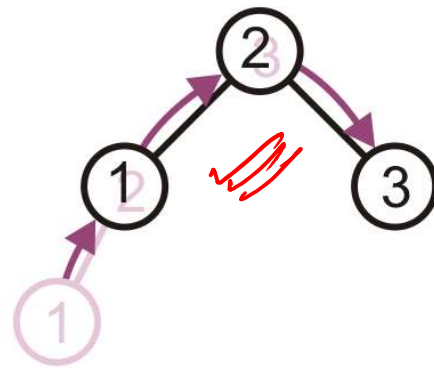
- **Right Rotation** Node is inserted in the left of left-subtree



Add 1



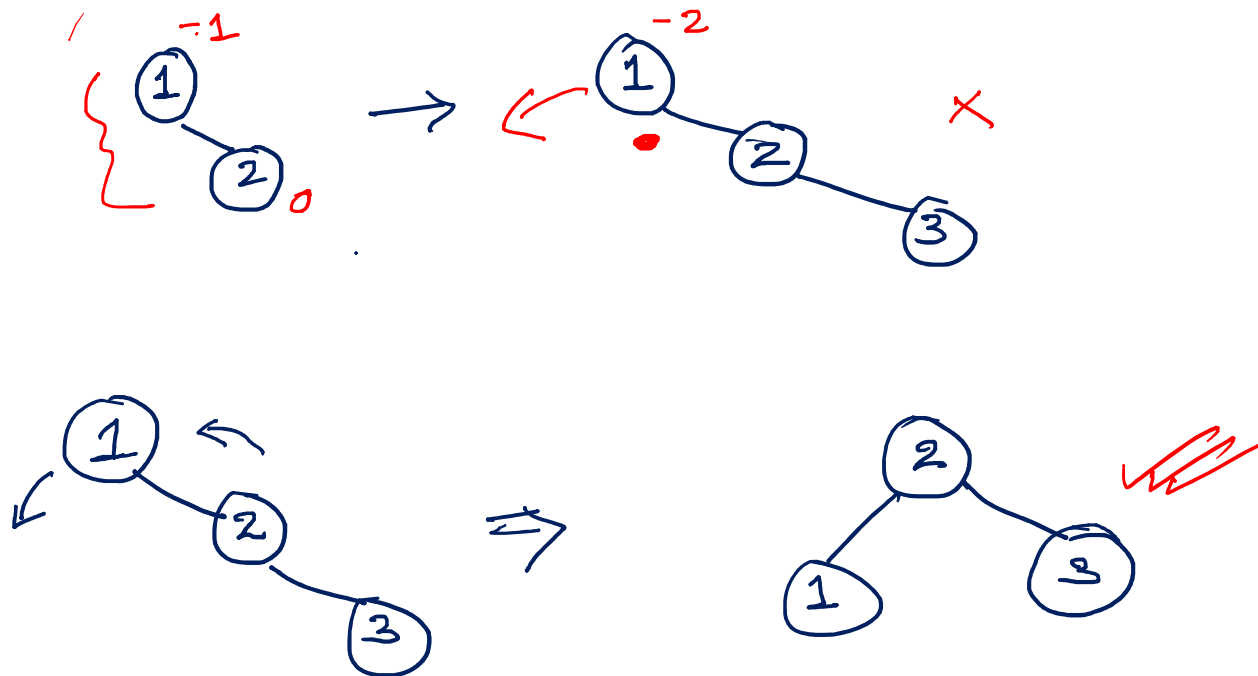
The node 3 has become unbalanced



Promote 2 to the root, demote 3 to be 2's right child, and 1 remains the left child of 2

AVL trees-Rotations

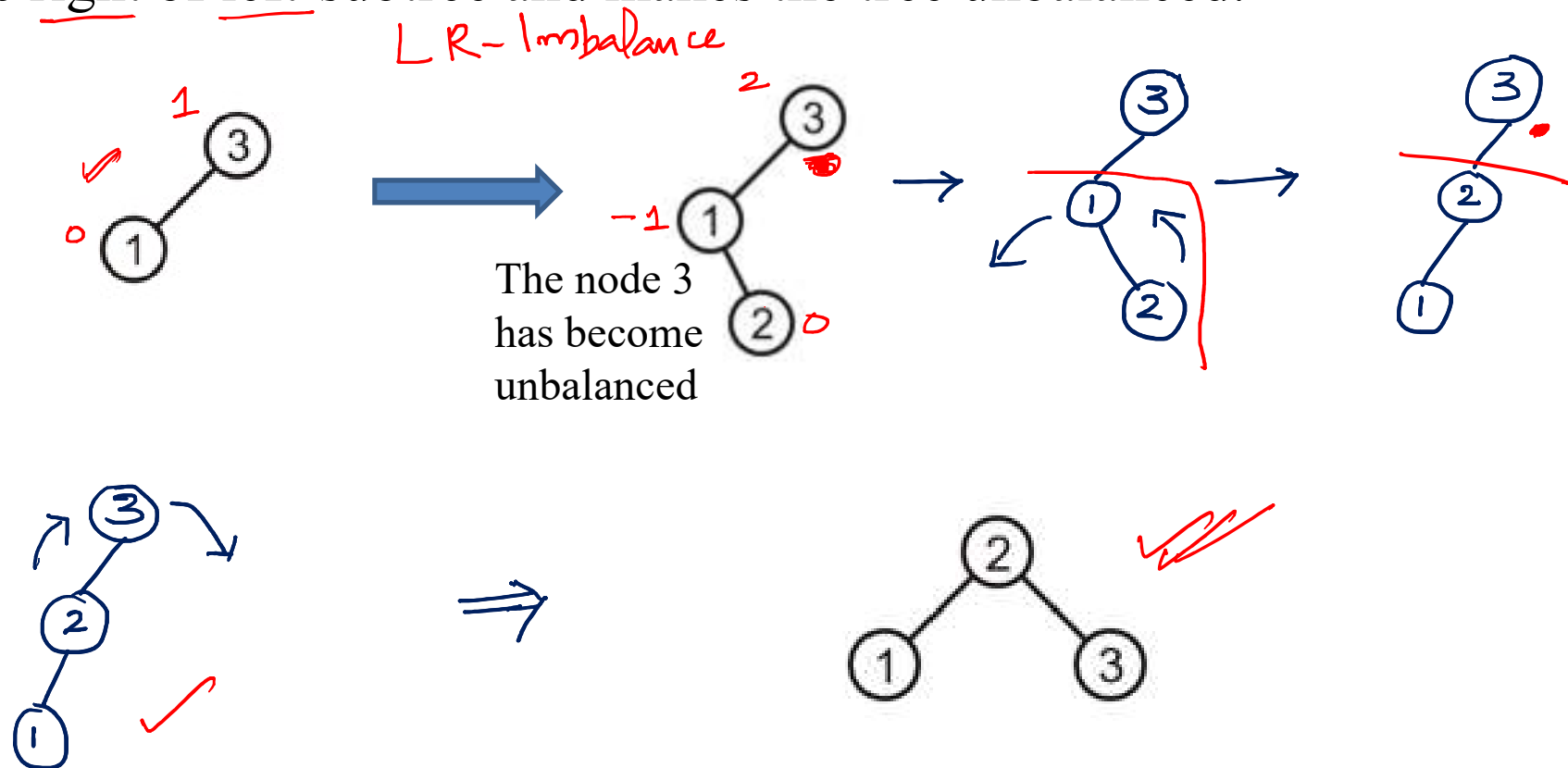
- **Left Rotation:** Node is inserted into right of right subtree. After inserting new node, tree becomes unbalanced



AVL trees-Rotations



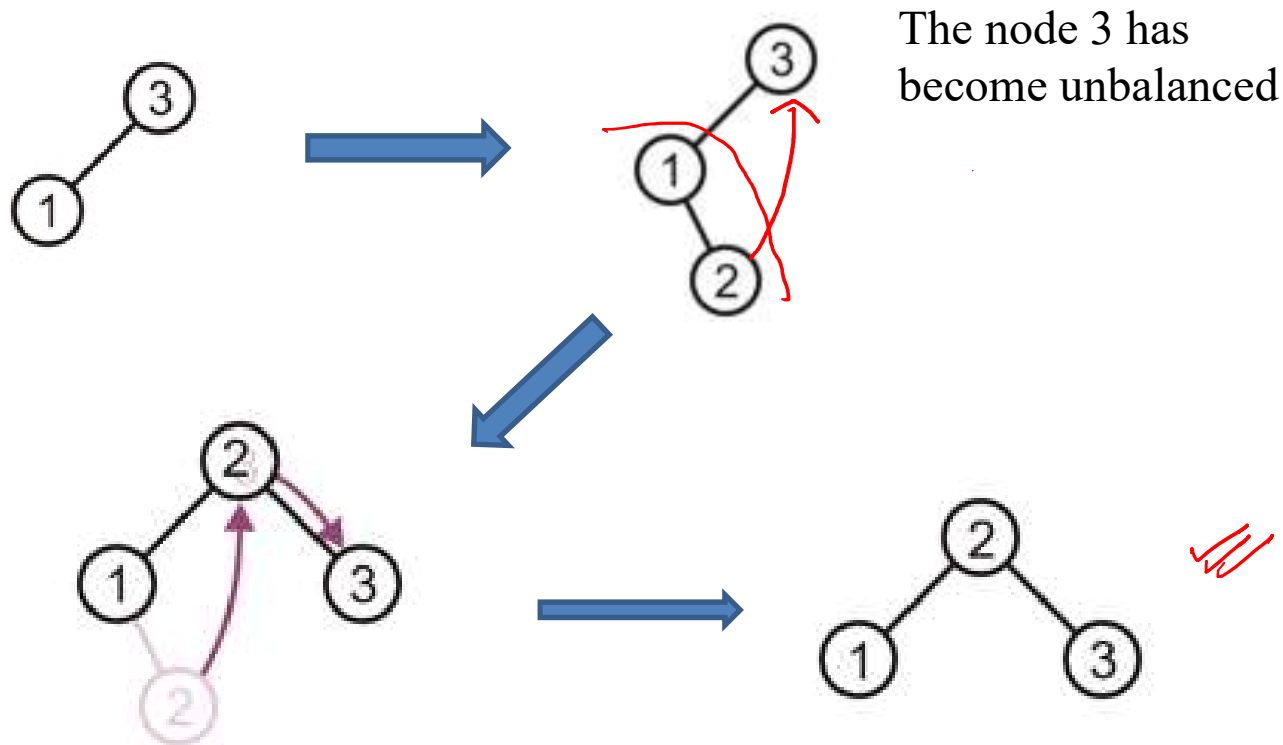
- **Left Right Rotation (Double Rotation):** Node is inserted in the right of left-subtree and makes the tree unbalanced.



AVL trees-Rotations



- **Left Right Rotation**

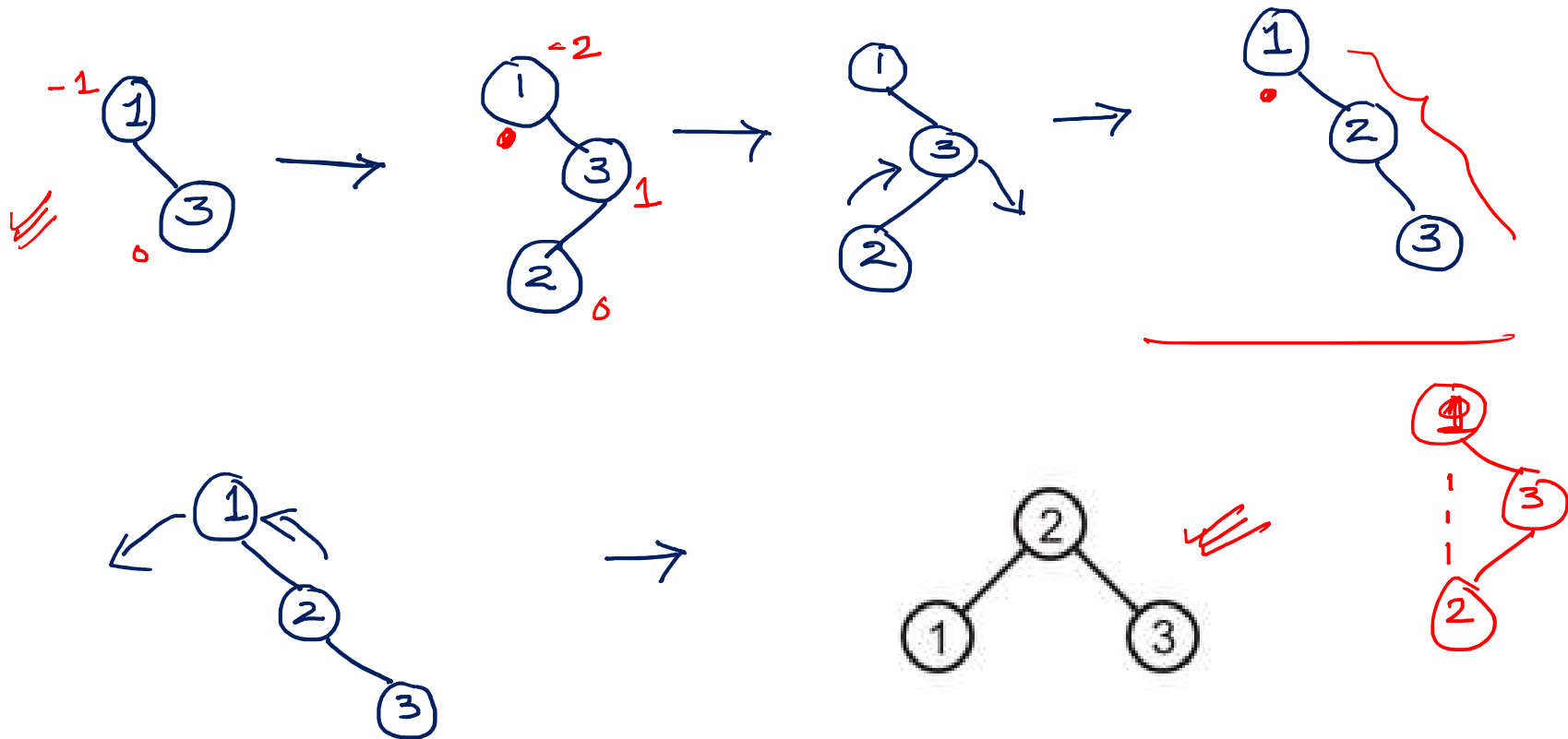


Promote 2 to the root, and assign
1 and 3 to be its children

AVL trees-Rotations



- **Right Left Rotation:** Node is inserted in the left of right subtree and make the tree unbalanced (*Double Rotation*)

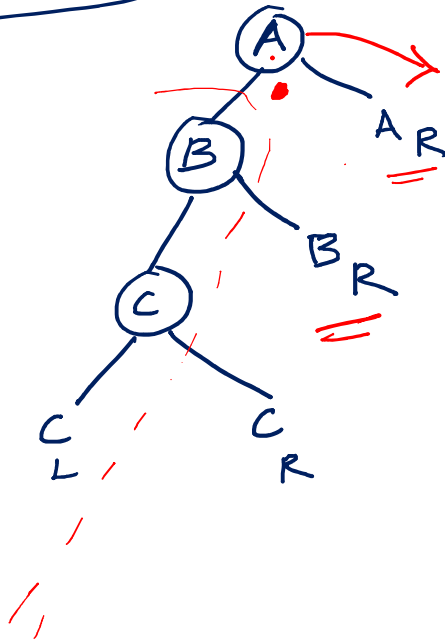


AVL Trees-General Case

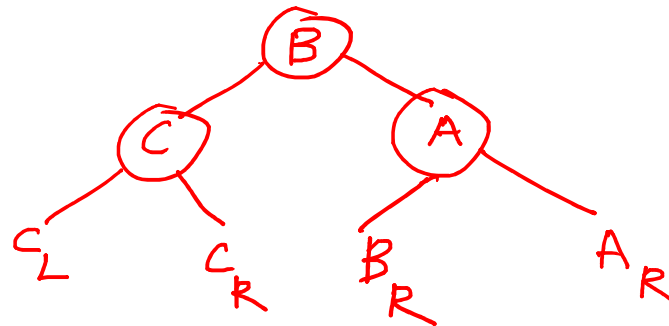
LL Imbalance



LL-Imbalance



→ Insertion done on left of left subtree of A and A became unbalanced.



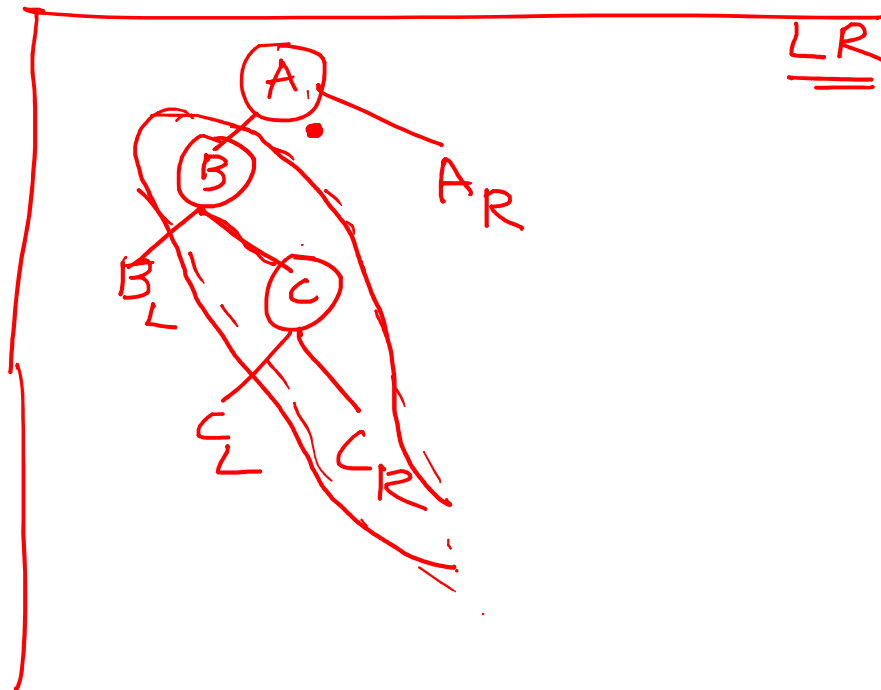
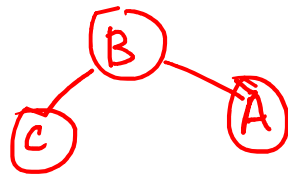
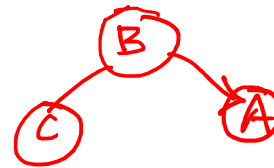
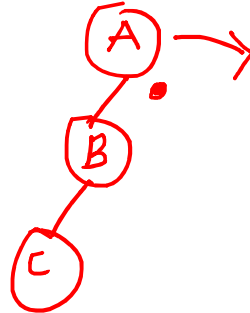
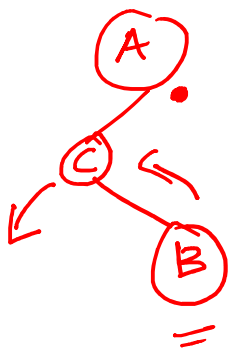
AVL Trees-General Case

LL Imbalance

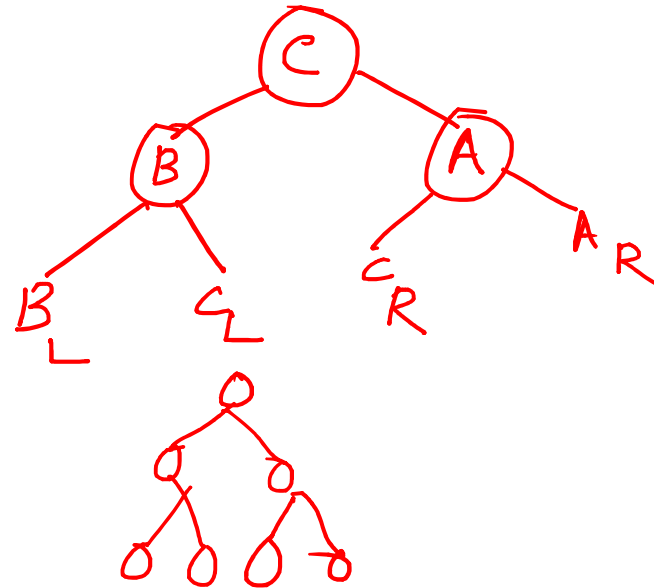
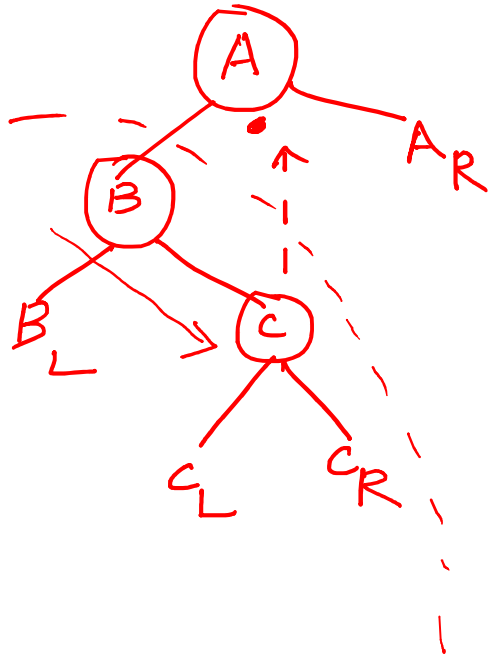


AVL Tree-General Case

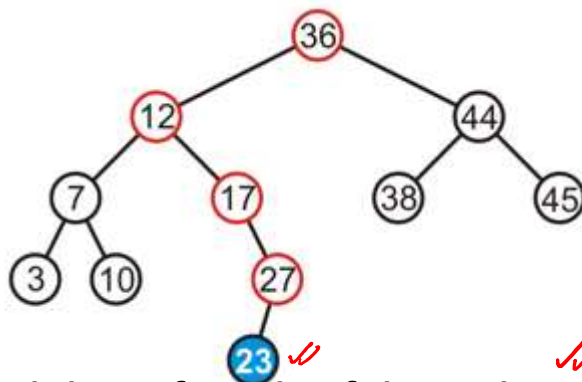
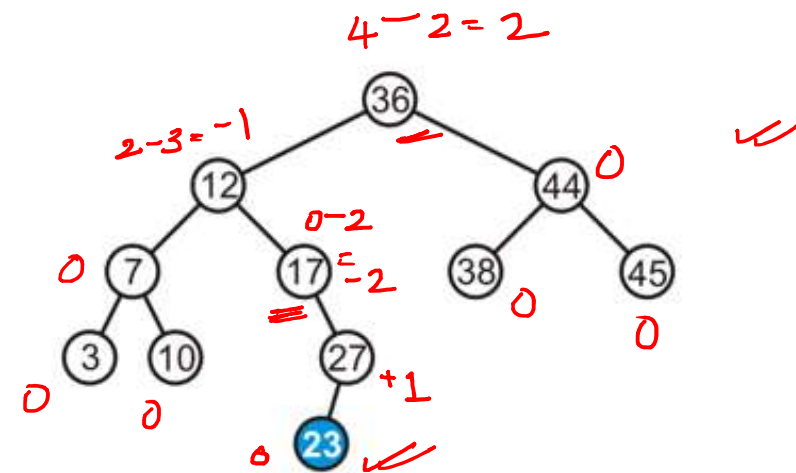
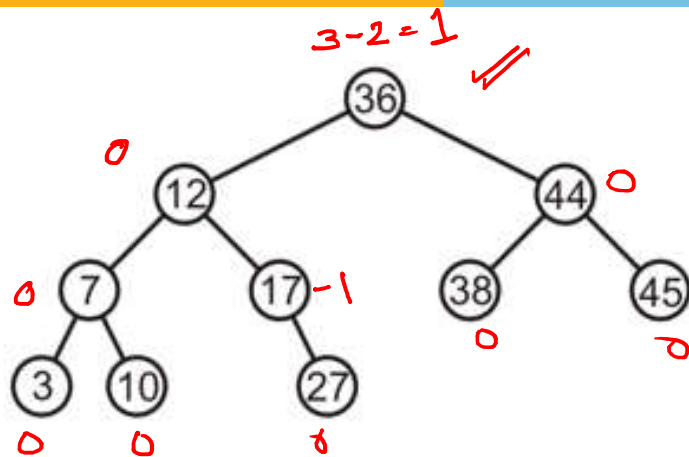
LR imbalance



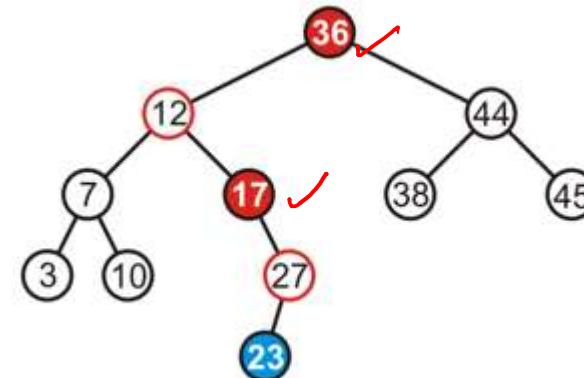
AVL Tree-General Case LR imbalance



AVL Insertion-Case 1

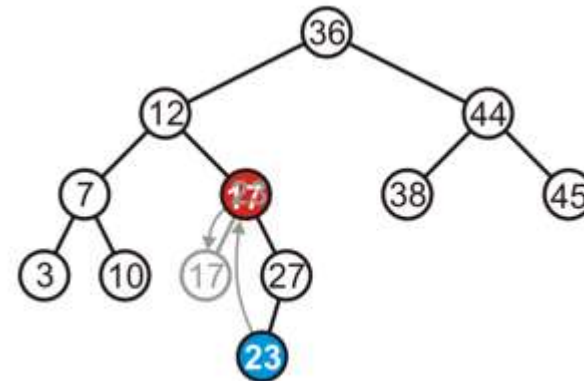
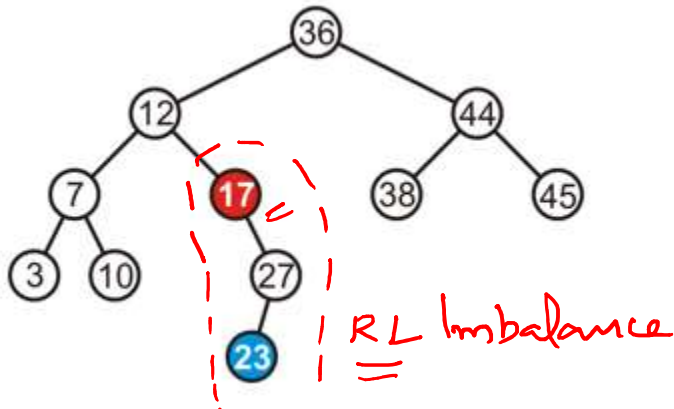


The heights of each of the sub-trees from here to the root are increased by one

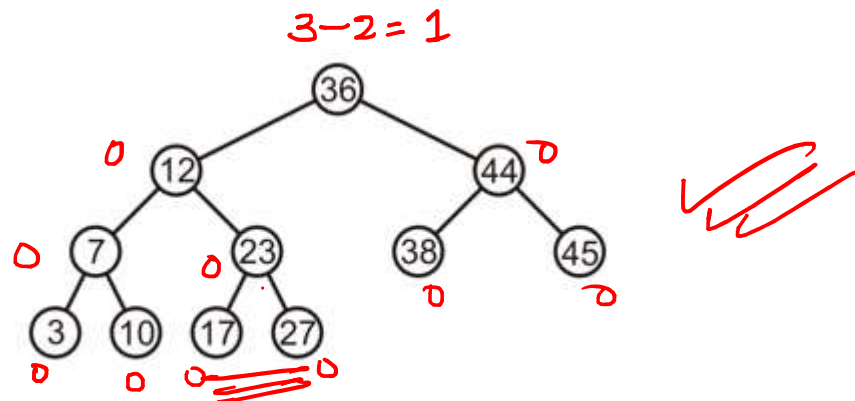


only two of the nodes are unbalanced: 17 and 36

AVL Insertion



We only have to fix the imbalance at
the lowest node

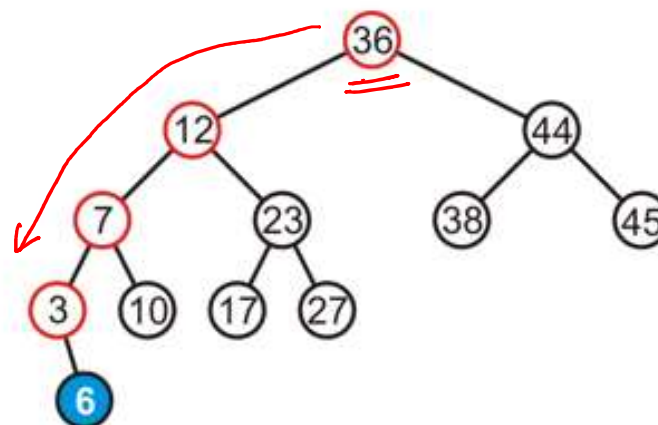
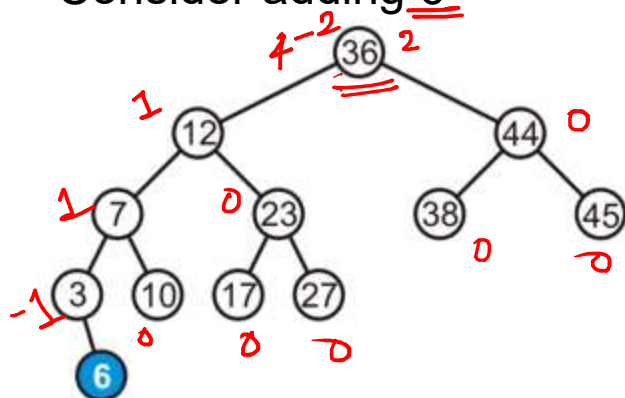


That node is no longer unbalanced. Incidentally, neither is the root. Now balanced again.

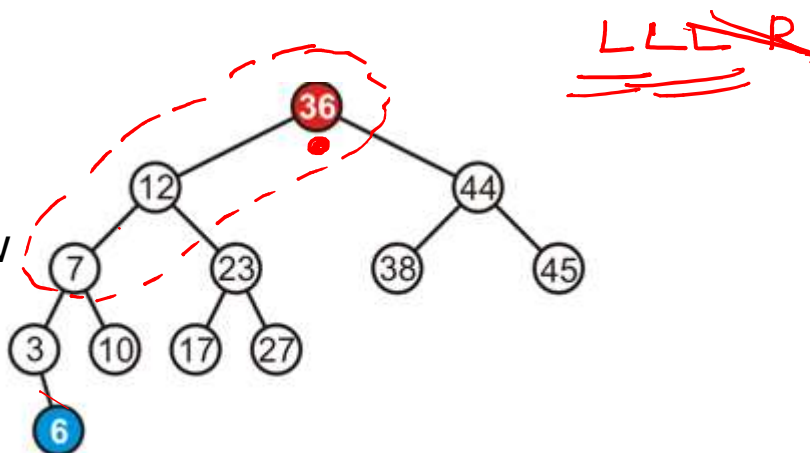
AVL Insertion-Case 2

The height of each of the trees in the path back to the root are increased by one

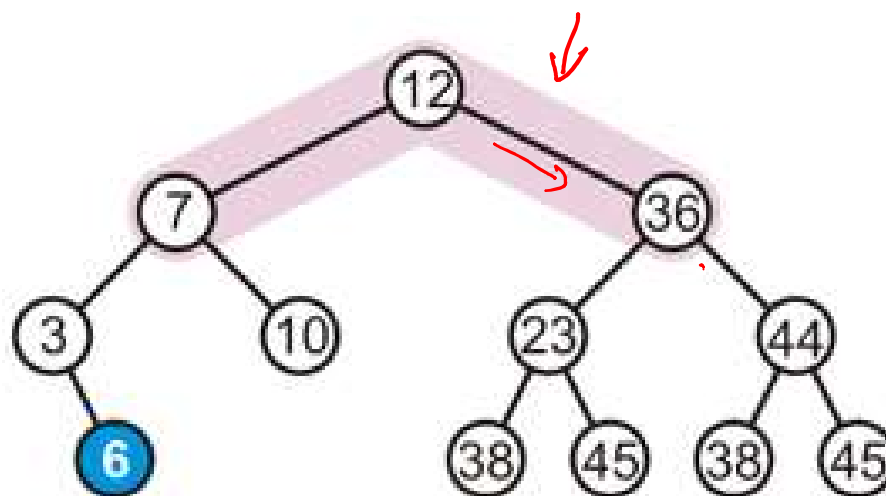
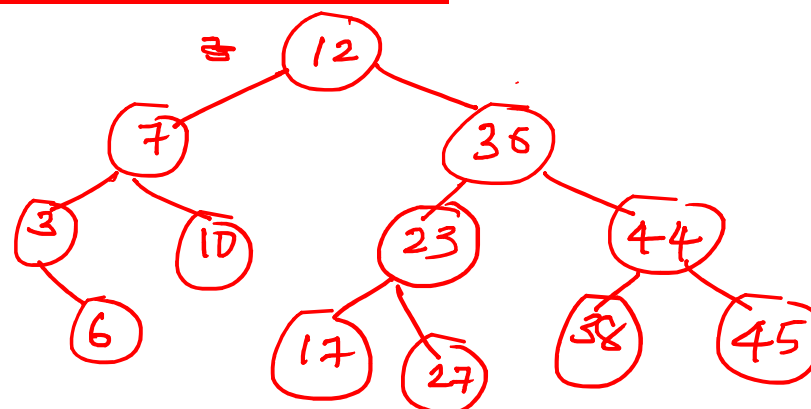
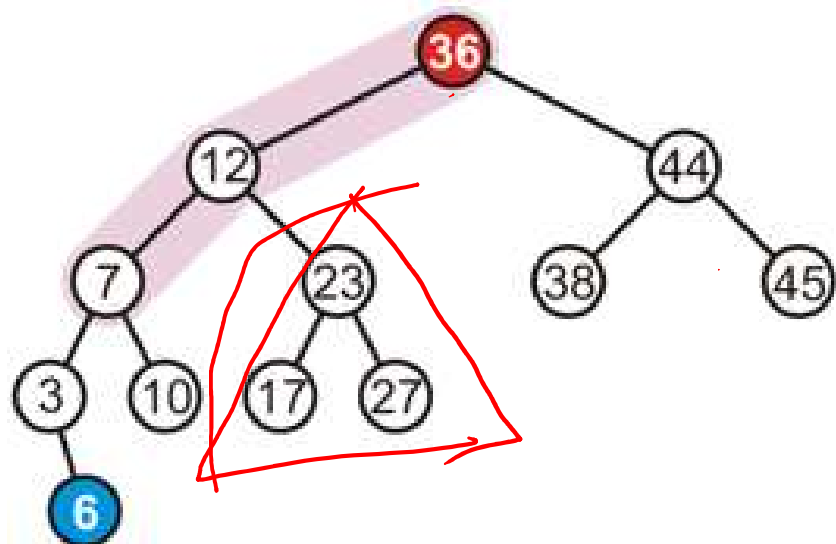
Consider adding 6



However, only the root node is now unbalanced

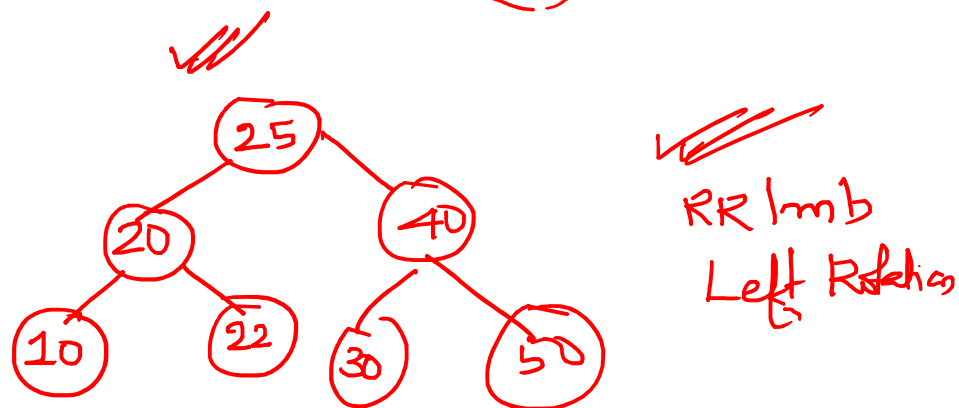
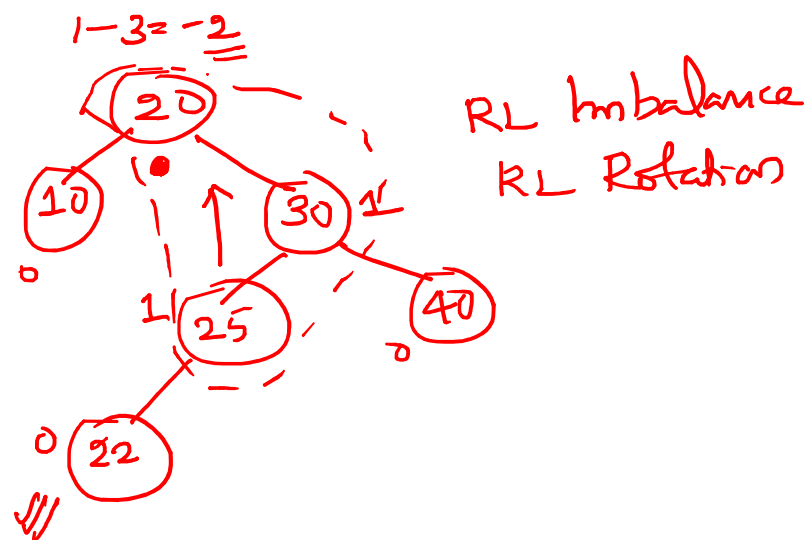
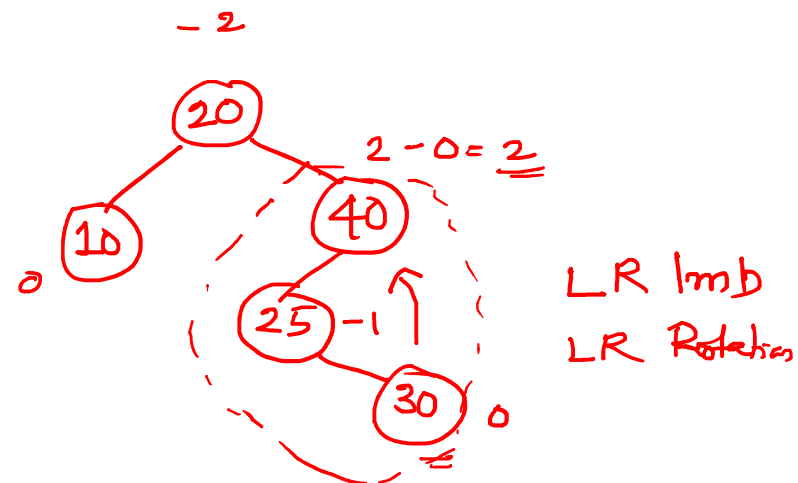
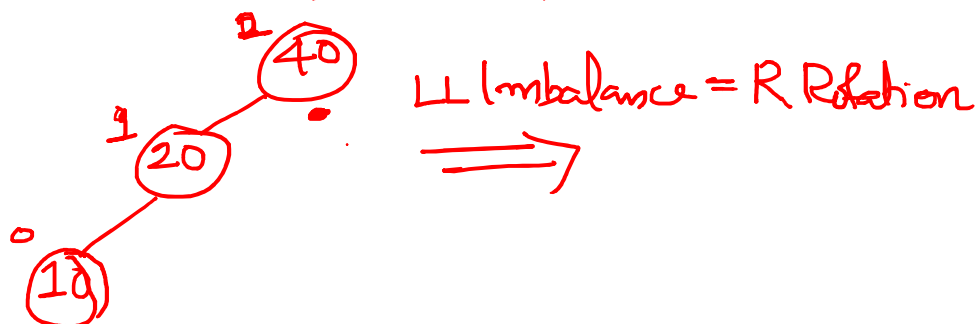


AVL Insertion-Case 2



AVL Tree-Creation

40, 20, 10, 25, 30, 22, 50



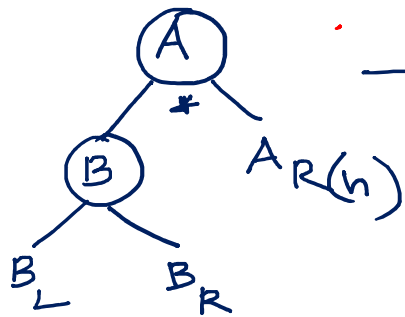
Delete an element from AVL Trees



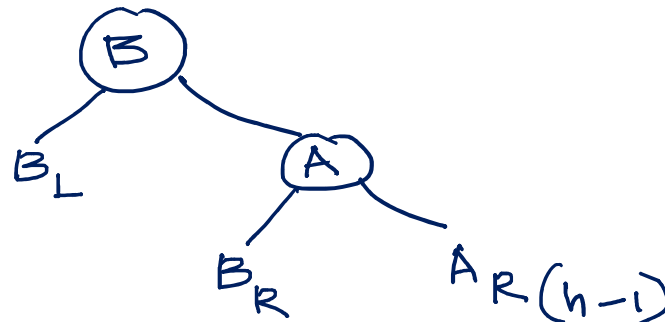
- We first do the normal BST deletion:
 - 0 children: just delete it
 - 1 child: delete it, connect child to parent
 - 2 children: put successor in your place
- Calculate Balance Factor again
- A is the critical node whose balance factor is disturbed upon deleting node x.
- If deleted node are from left subtree of A then It is called **Type L** delete otherwise it is called **Type R** delete

Delete an element from AVL Trees

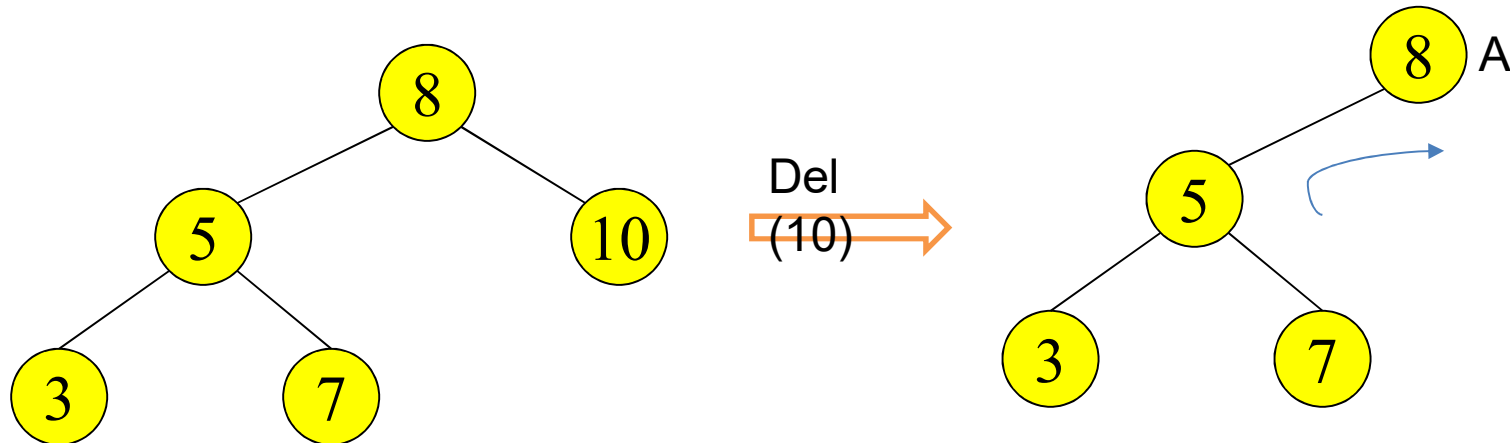
R0 Rotation



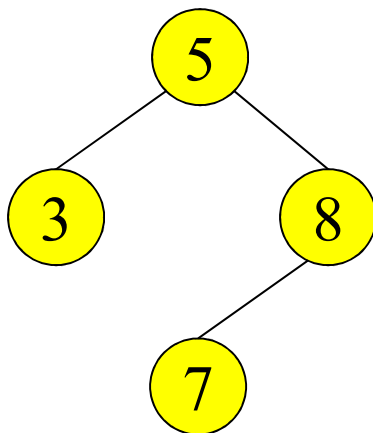
bf of B = 0
Delete a node from Right subtree of A
Perform a Right Rotation (R0 Rotation)



R0 Rotation

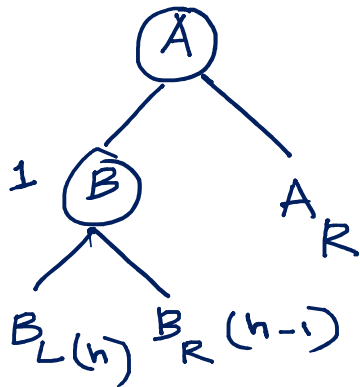


Apply right rotation on A

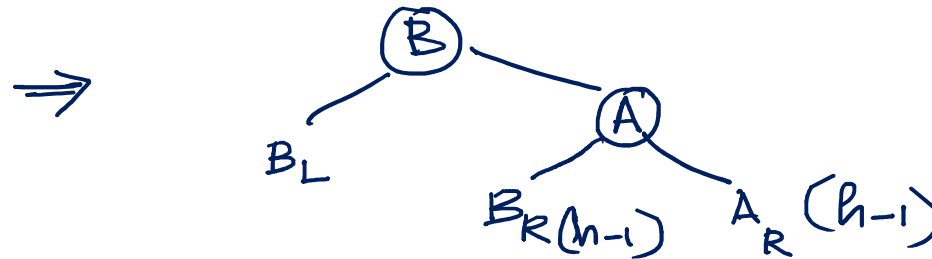


Delete an element from AVL Trees

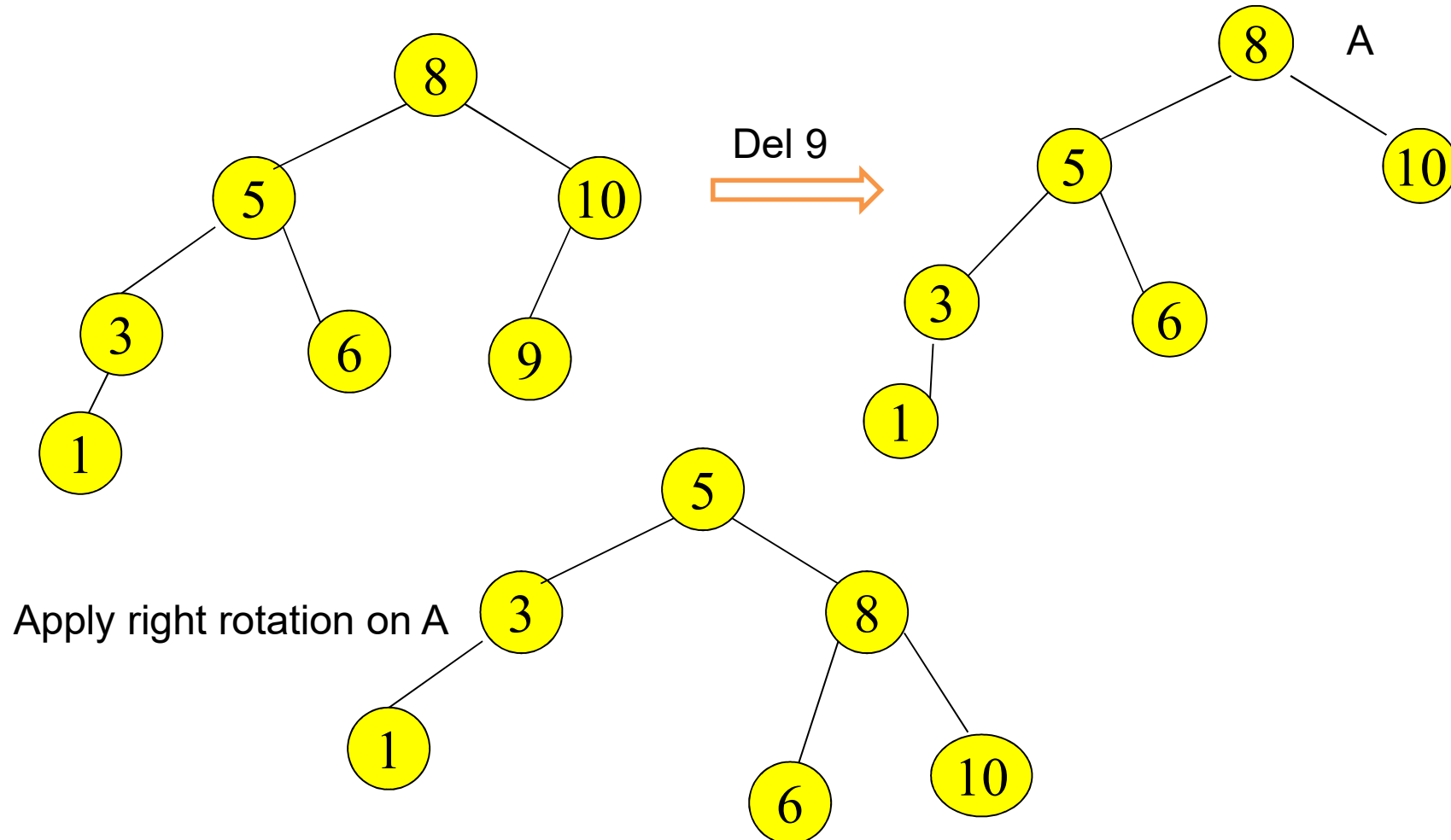
R1 Rotation



\Rightarrow bf of B = 1
Delete a node from right subtree of A
Perform Right Rotation (R1 Rotation)

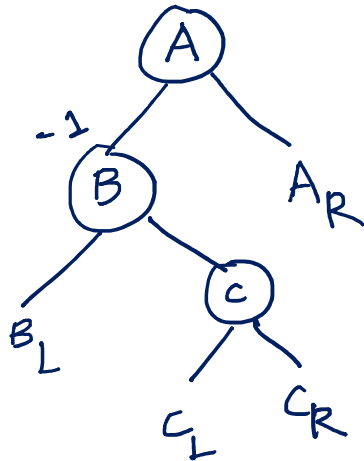


R1 Rotation



Delete an element from AVL Trees

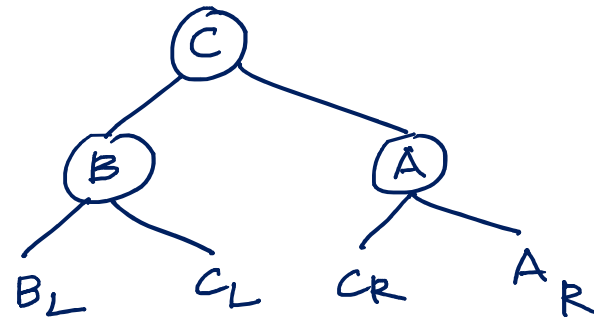
R -1 Rotation



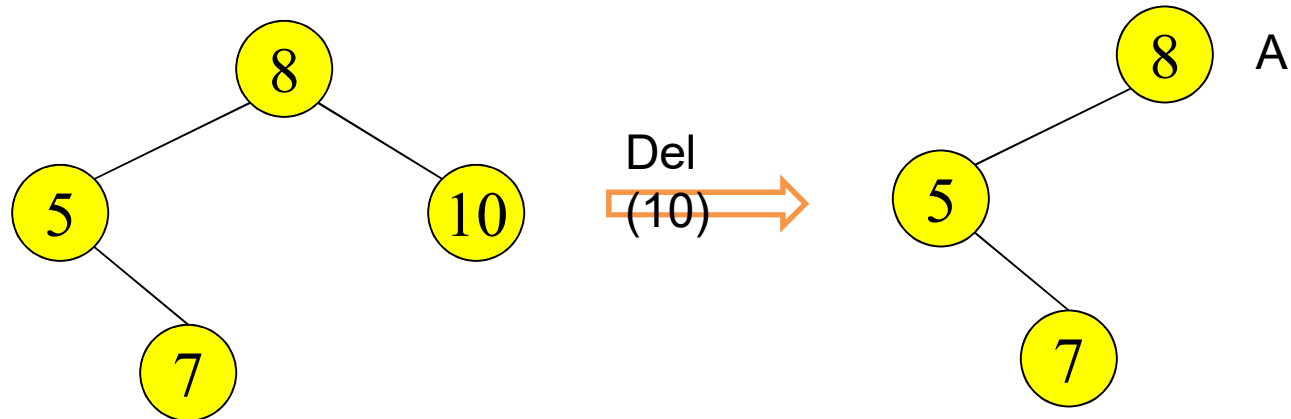
bf of B = 1

Delete a node from right subtree of A

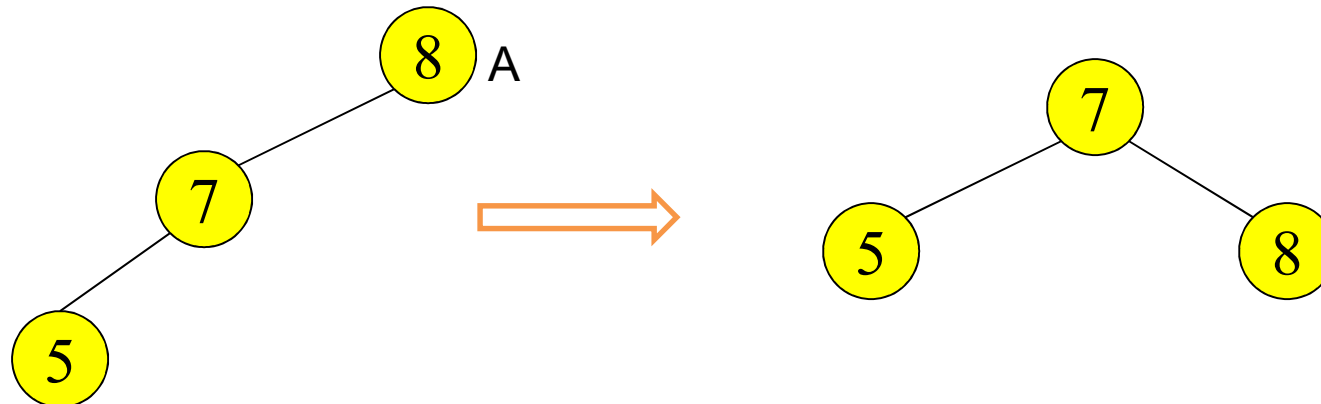
Perform Right Rotation (R-1 Rotation)



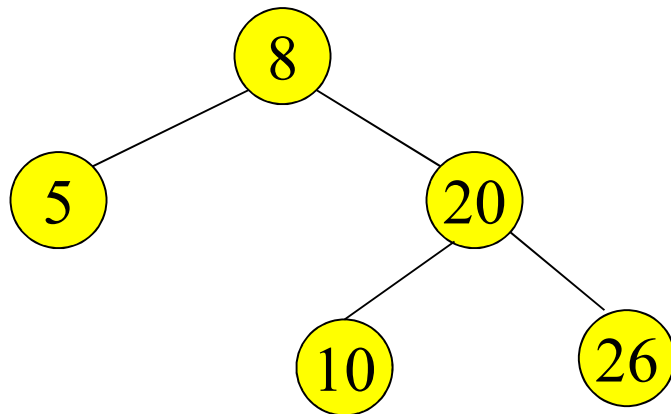
Type R-1 Rotation



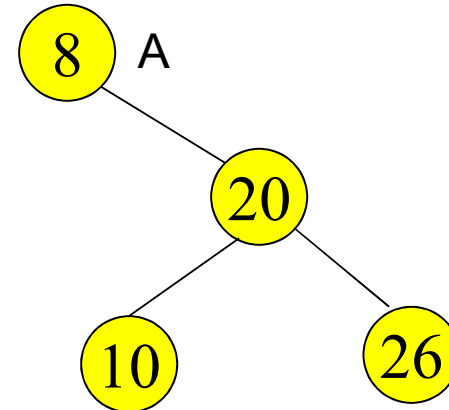
Apply left Rotation on left child of node A and Then right rotation on node A



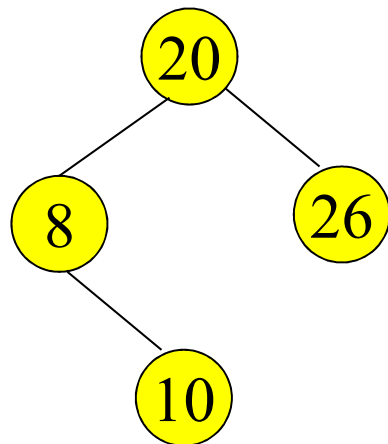
Type L



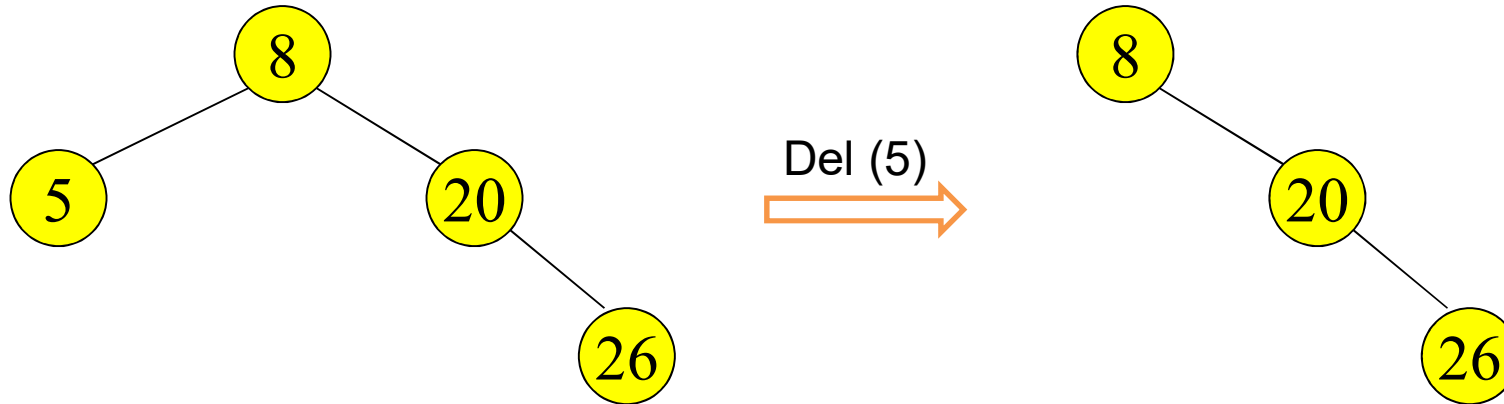
Del (5)
→



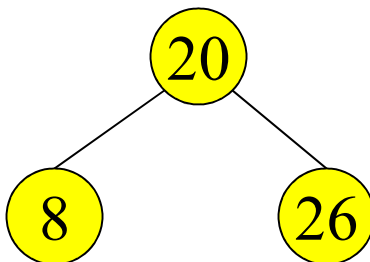
Since it L(0) type apply Left rotation on A



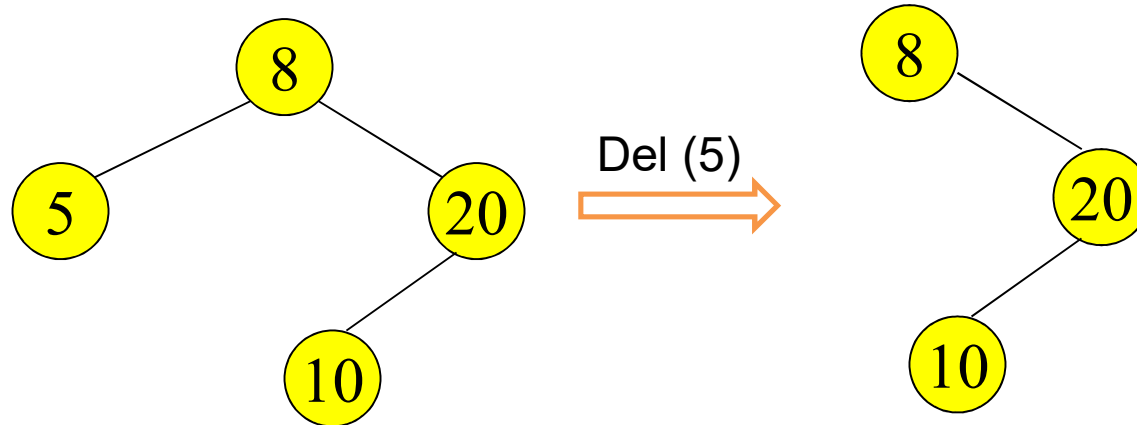
Type L



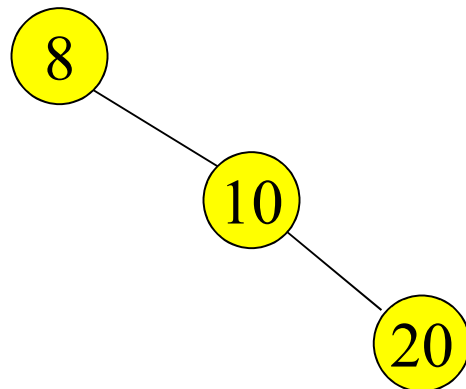
Since it L(-1) = case apply Left rotation on A



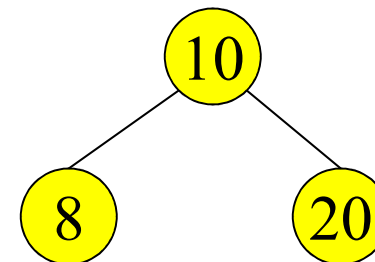
Type L



Since it L(1), In L_1 case we have to solve in two steps,
Step1: Right Rotation at right child of 'A'



Step2: Left rotation at node A

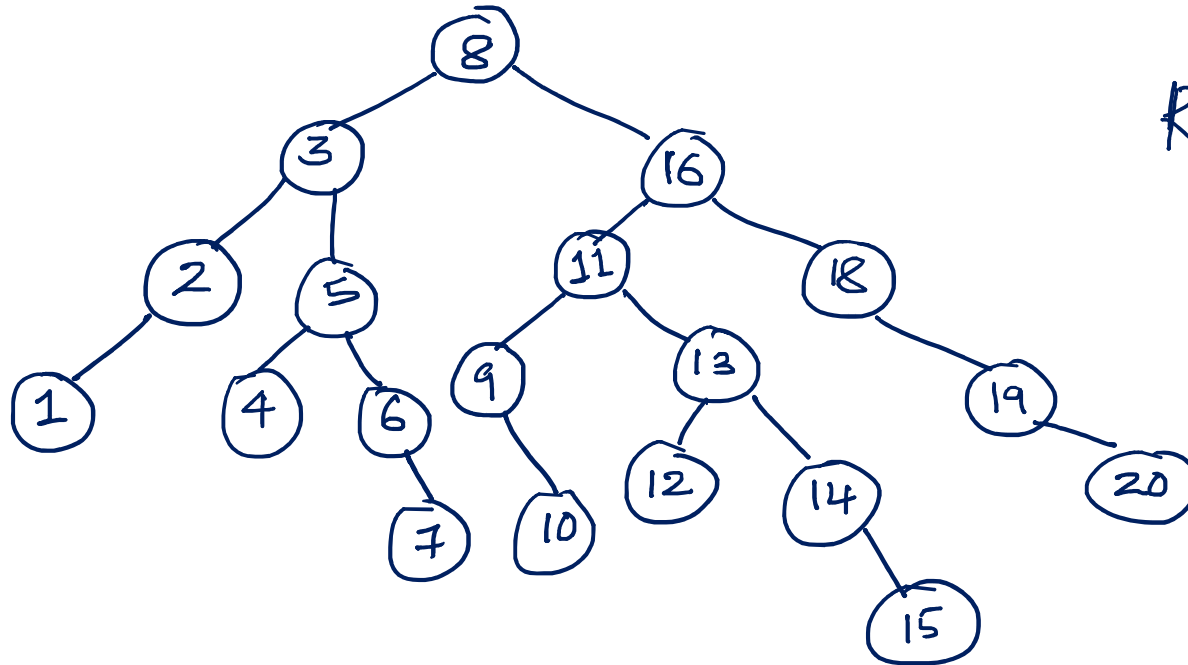


AVL trees-Deletions



- Removing a node from an AVL tree may cause more than one AVL imbalance
- Like insert, remove must check after it has been successfully called on a child to see if it caused an imbalance
- Unfortunately, it may cause $O(h)$ imbalances that must be corrected
- Insertions will only cause one imbalance that must be fixed
- But in removal, a single trinode restructuring may not restore the height-balance property globally
- So, after rebalancing, we continue walking up T looking for unbalanced nodes.
- If we find another, we perform a restructure operation to restore its balance, and continue marching up T looking for more, all the way to the root

AVL trees-Deletions

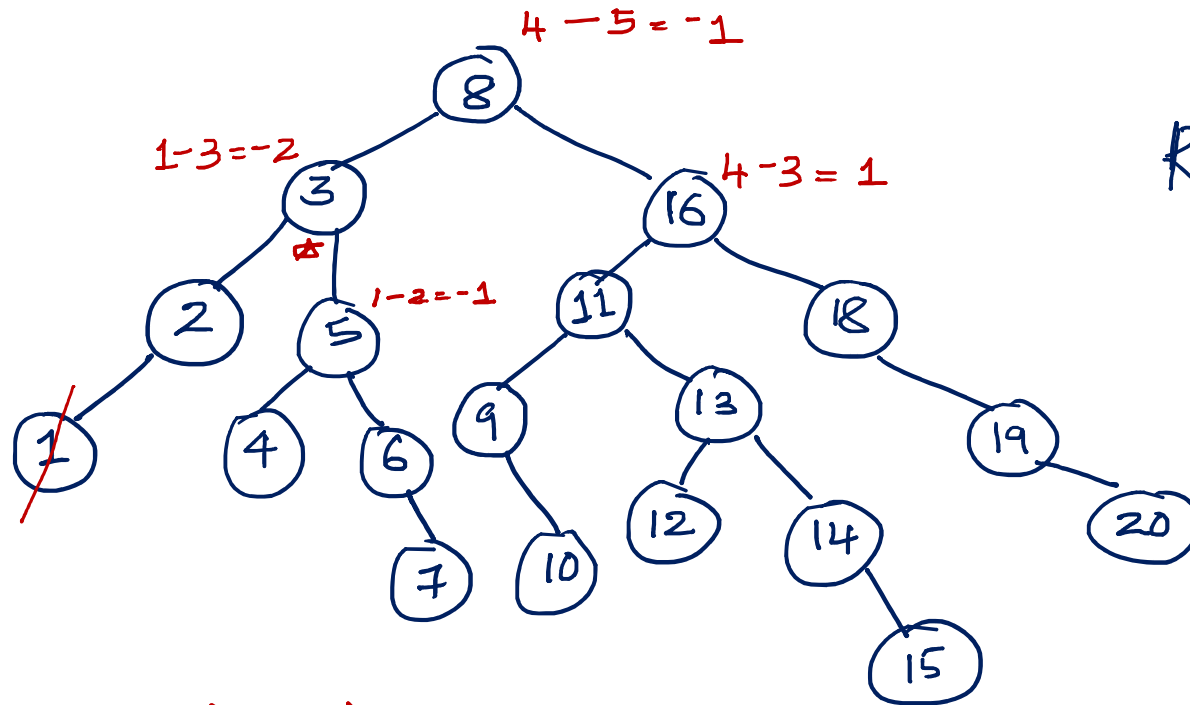


Remove the
node with
value 1

AVL trees-Deletions



bf

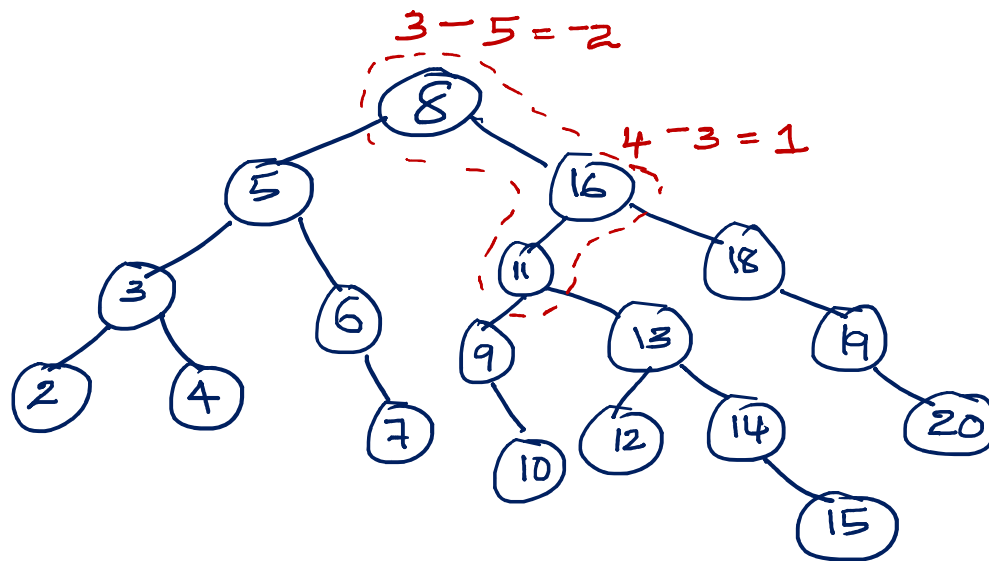


Remove the
node with
value 1

Node 3 unbalanced!!!

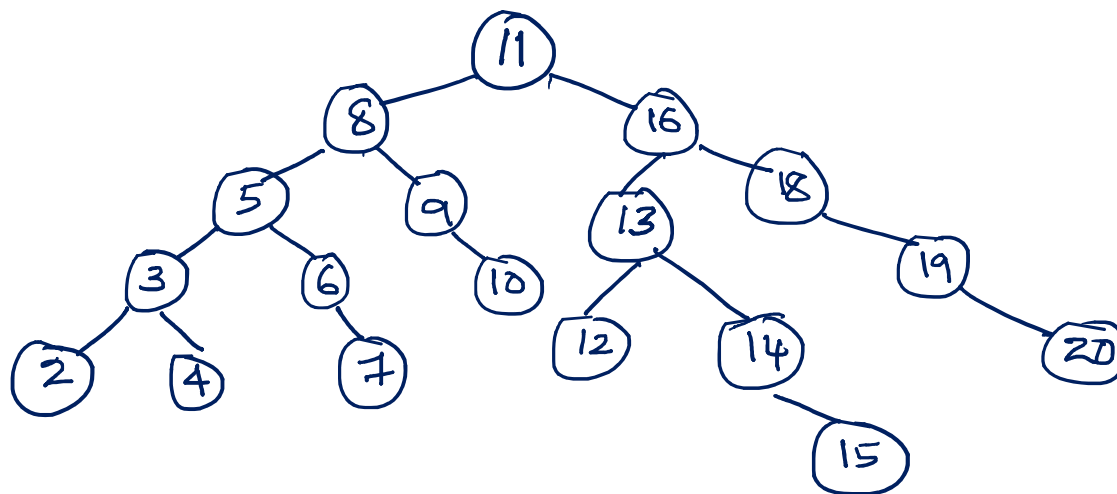
Perform a left rotation as the deleted node is on the left subtree of the critical node. [L-1 Rotation]

AVL trees-Deletions



Now Node 5 is balanced. But node 8 is now unbalanced.
So performs a left rotation. (L1 Rotation) [LR Rotation]

AVL trees-Deletions



AVL trees-Applications



- AVL trees are applied in the following situations:
 - There are few insertion and deletion operations
 - Short search time is needed

AVL Trees-Summary



- AVL balance is defined by ensuring the difference in heights is 0 or 1
- Insertions and Removals are like binary search trees
- Each insertion requires at least one correction to maintain AVL balance
- Removals may require $O(h)$ corrections
- These corrections require $Q(1)$ time
- Height of the AVL tree is $O(\log(n))$
- \therefore all $O(h)$ operations are $O(\log(n))$



THANK YOU!!!

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