

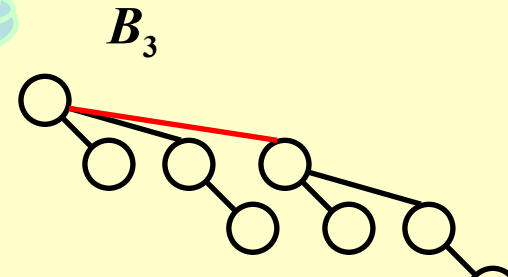
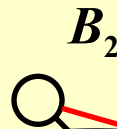
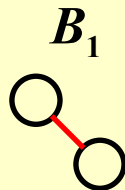
Binomial Queue

Structure:

A binomial queue is not a heap-ordered tree, but rather a **collection** of heap-ordered trees, known as a **forest**. Each heap-ordered tree is a **binomial tree**.

What is the time complexity of an insertion? So $O(\log N)$ for an insertion is **NOT** good enough!

A binomial tree of height 0 is a one-node tree. A binomial tree of height k is formed by attaching a binomial tree, B_{k-1} , to the root of another binomial tree, B_{k-1} .



*Binomial
coefficient*

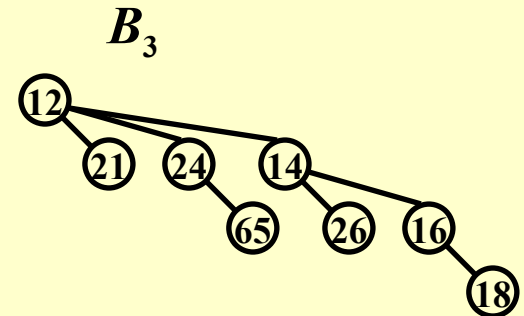
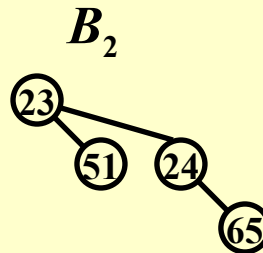
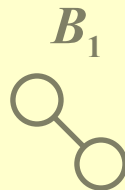
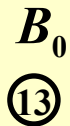
Observation: B_k consists of k children, which are B_0, B_1, \dots, B_{k-1} . B_k has exactly 2^k nodes. The number of nodes at depth d is $\binom{k}{d}$.

B_k structure + heap order + one binomial tree for each height

➔ A priority queue of **any size** can be **uniquely** represented by a collection of binomial trees.

【 Example 】 Represent a priority queue of size **13** by a collection of binomial trees.

Solution: $13 = 2^0 + 0 \times 2^1 + 2^2 + 2^3 = 1101_2$

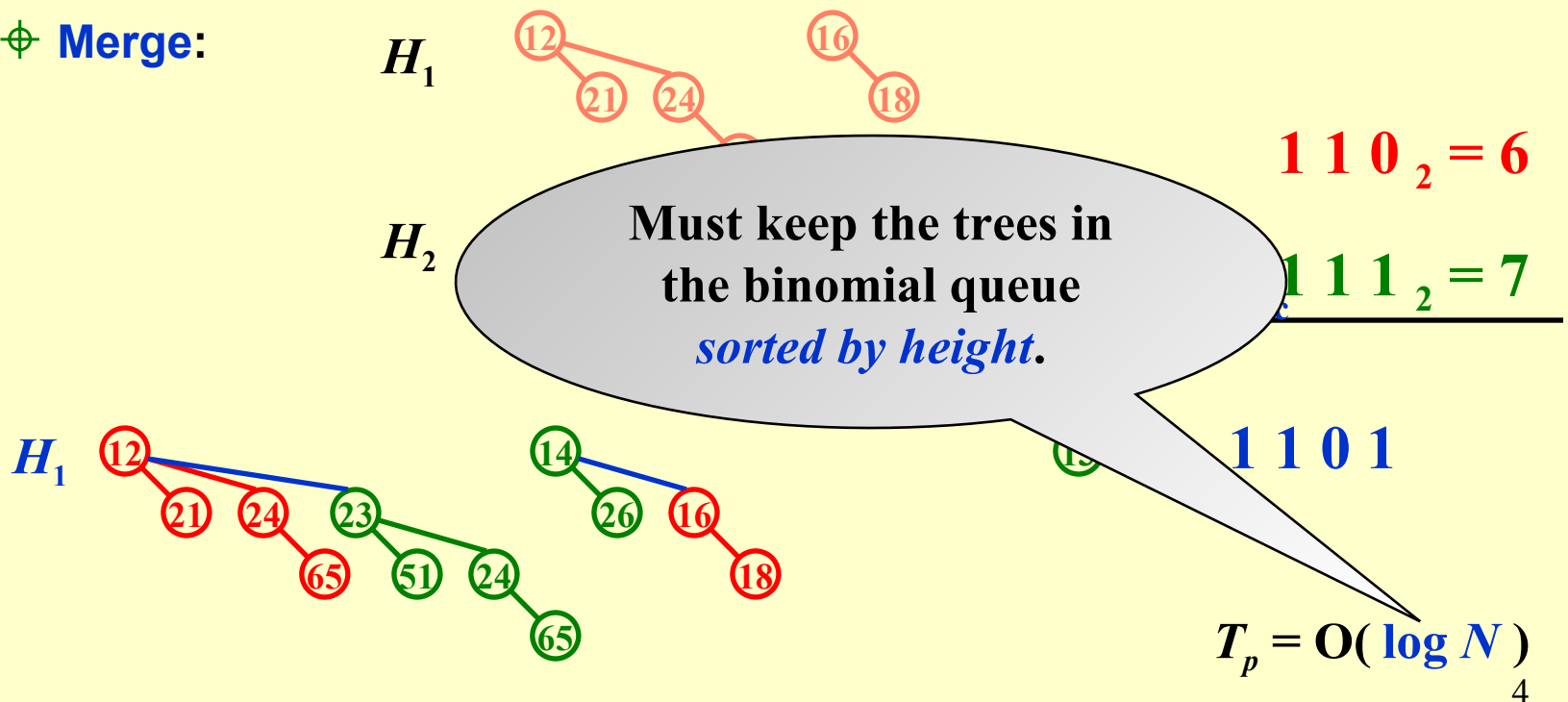


Operations:

- ⊕ **FindMin:** The minimum key is in one of the **roots**.
There are at most $\lceil \log N \rceil$ roots, hence $T_p = O(\log N)$.

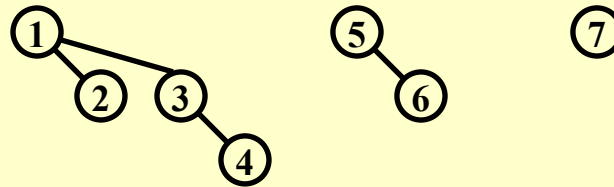
Note: We can remember the minimum and update whenever it is changed. Then this operation will take $O(1)$.

⊕ Merge:



⊕ **Insert**: a special case for merging.

【 **Example** 】 Insert 1, 2, 3, 4, 5, 6, 7 into an initially empty queue.



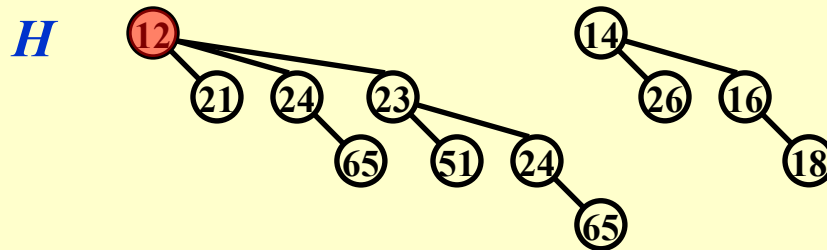
Note:

If the smallest nonexistent binomial tree is B_i , then

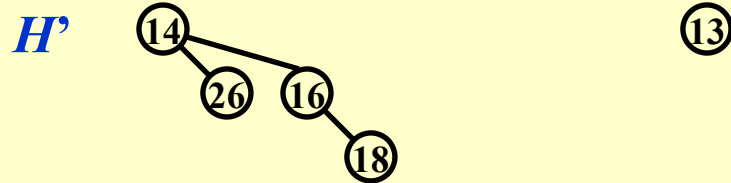
$$T_p = \text{Const} \cdot (i + 1).$$

Performing N **Inserts** on an initially empty binomial queue will take $O(N)$ worst-case time. Hence the **average** time is **constant**.

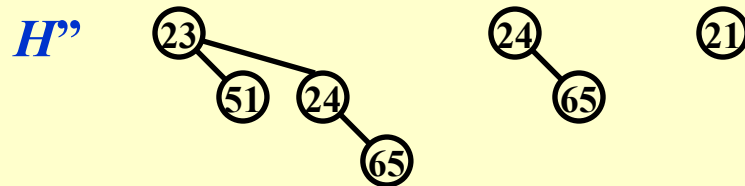
⊕ **DeleteMin** (H):



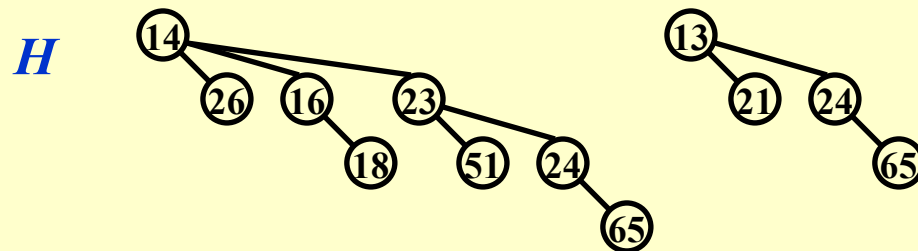
Step 1: FindMin in B_k
/* $O(\log N)$ */



Step 2: Remove B_k from H
/* $O(1)$ */



Step 3: Remove root from B_k
/* $O(\log N)$ */

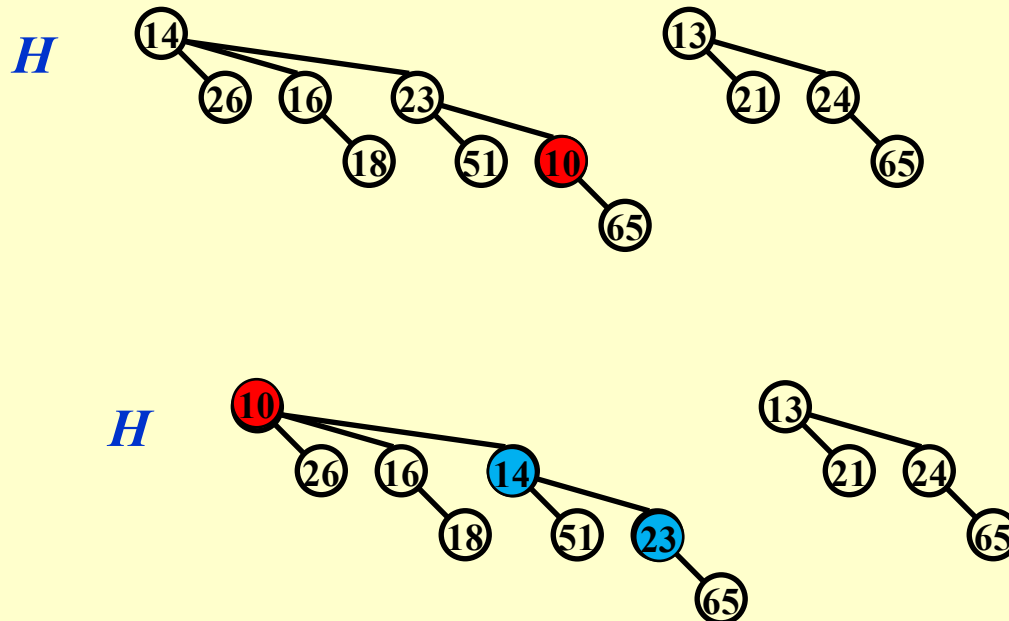


Step 4: Merge (H' , H'')
/* $O(\log N)$ */

⊕ Decrease key (H):

Given a handle to an element x in H , decrease its key to k .

- Suppose x is in binomial tree B_k .
- Repeatedly exchange x with its parent until heap order is restored.



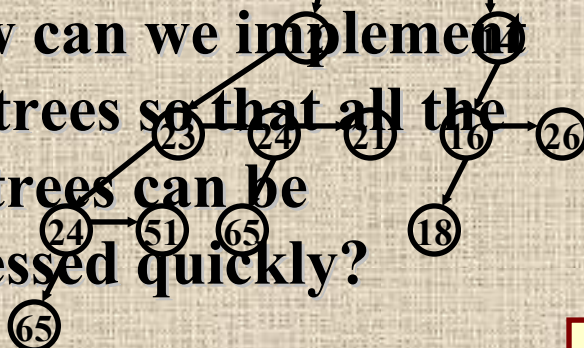
👉 Implementation:

Binomial queue = **array** of binomial trees

Operation	Property	Solution
DeleteMin	Find all the subtrees quickly	Left-child-next-sibling with linked lists
Merge	The children are ordered by their sizes	The new tree will be the largest. Hence maintain the subtrees in decreasing sizes

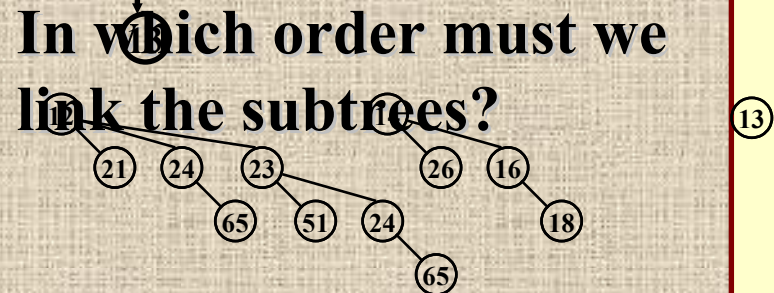
Discussion 7:

How can we implement the trees so that all the subtrees can be accessed quickly?



Discussion 8:

In which order must we link the subtrees?




```
typedef struct BinNode *Position;  
typedef struct Collection *BinQueue;  
typedef struct BinNode *BinTree; /* missing from p.176 */
```

```
struct BinNode  
{  
    ElementType    Element;  
    Position    LeftChild;  
    Position    NextSibling;  
};
```

```
struct Collection  
{  
    int    CurrentSize; /* total number of nodes */  
    BinTree TheTrees[ MaxTrees ];  
};
```

BinTree

CombineTrees(BinTree T1, BinTree T2)

{ /* merge equal-sized T1 and T2 */

 if (T1->Element > T2->Element)

 /* attach the larger one to the smaller one */

 return CombineTrees(T2, T1);

 /* insert T2 to the front of the children list of T1 */

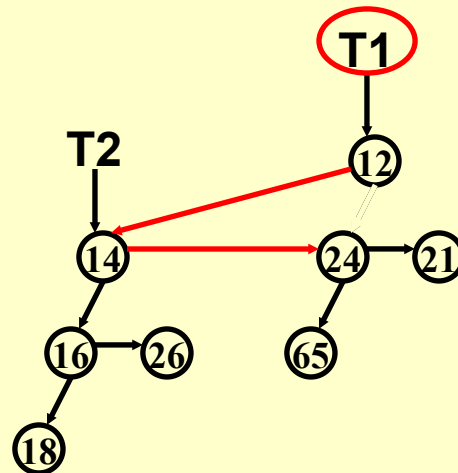
 T2->NextSibling = T1->LeftChild;

 T1->LeftChild = T2;

 return T1;

}

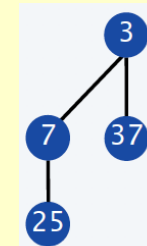
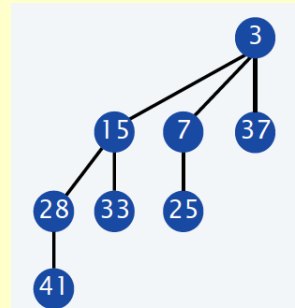
$$T_p = O(1)$$



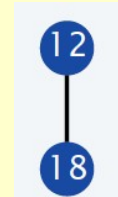
Merge(BinQueue H1, BinQueue H2)

$$19 + 7 = 26$$

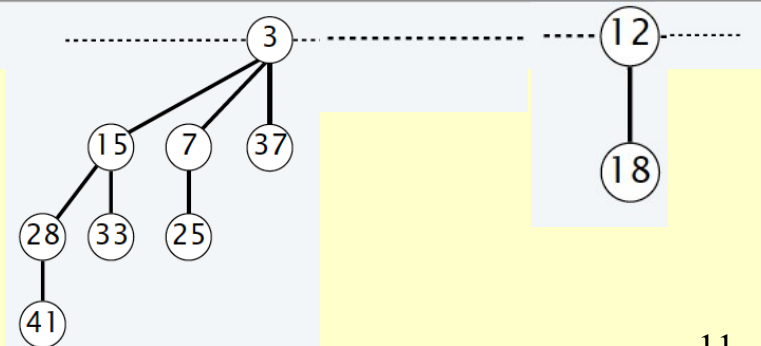
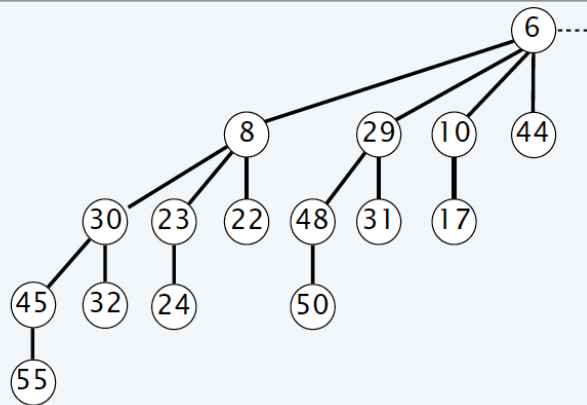
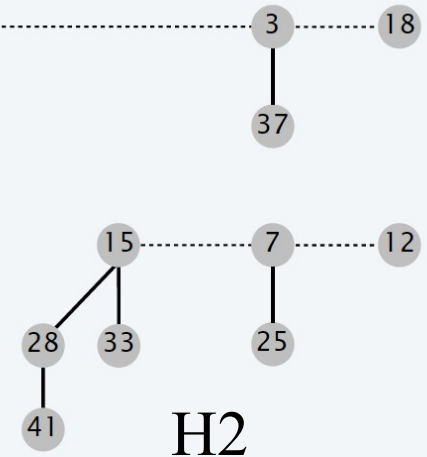
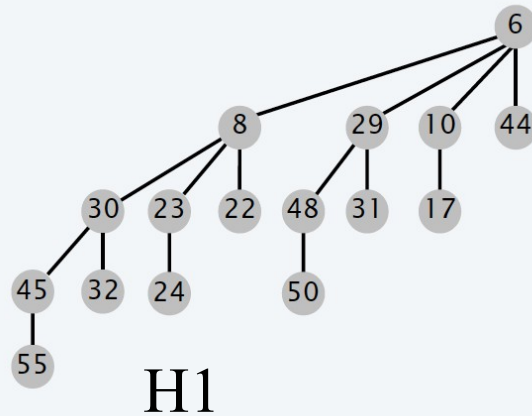
		1	1	1	
	1	0	0	1	1
+	0	0	1	1	1
	1	1	0	1	0



Carry



+



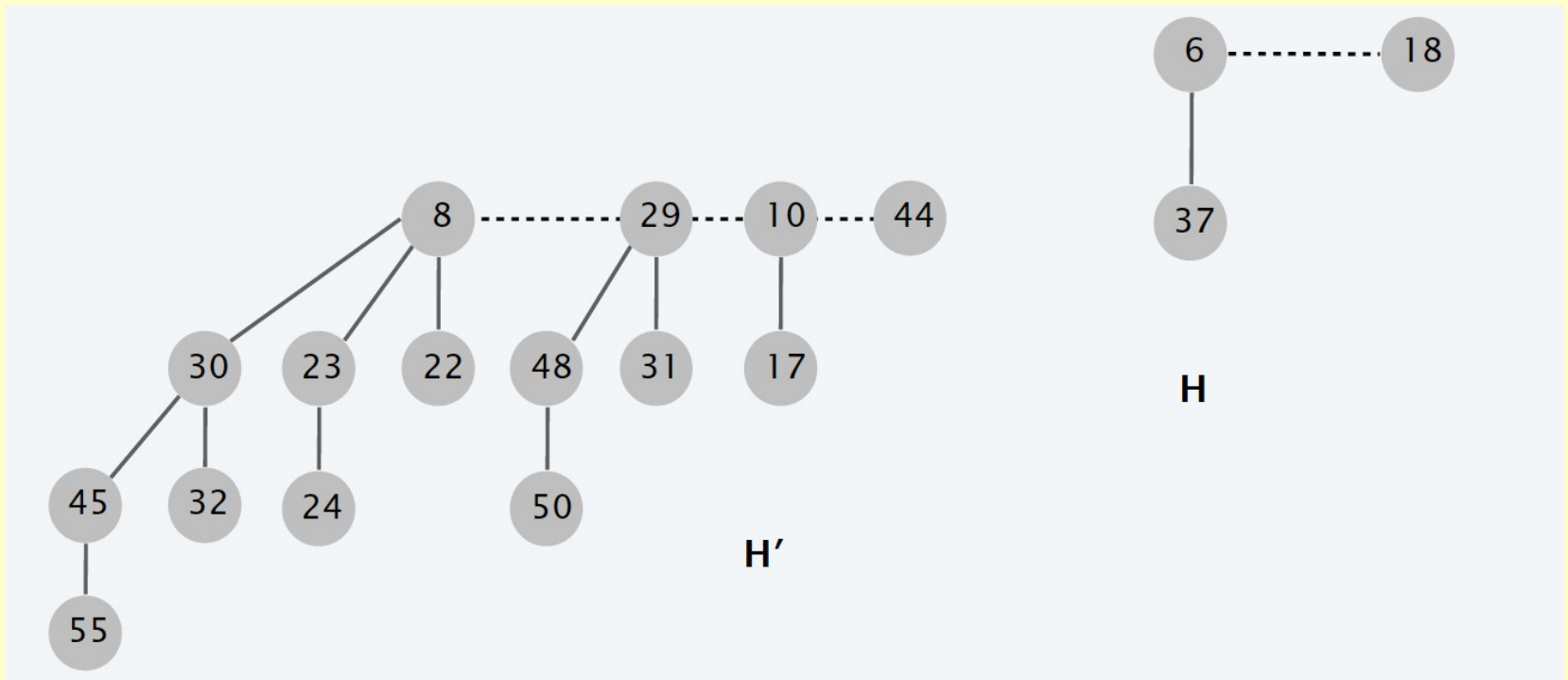
```

BinQueue Merge( BinQueue H1, BinQueue H2 )
{
    BinTree T1, T2, Carry = NULL;
    int i, j;
    if ( H1->CurrentSize + H2->CurrentSize > Capacity ) ErrorMessage();
    H1->CurrentSize += H2->CurrentSize;
    for ( i=0, j=1; j<= H1->CurrentSize; i++, j*=2 ) {
        T1 = H1->TheTrees[i]; T2 = H2->TheTrees[i]; /*current trees */
        switch( 4*!!Carry + 2*!!T2 + !!T1 ) {
            case 0: /* 000 */
                case 1: /* 001 */ break;
            case 2: /* 010 */ H1->TheTrees[i] = T2; H2->TheTrees[i] = NULL; break;
            case 4: /* 100 */ H1->TheTrees[i] = Carry; Carry = NULL; break;
            case 3: /* 011 */ Carry = CombineTrees( T1, T2 );
                H1->TheTrees[i] = H2->TheTrees[i] = NULL; break;
            case 5: /* 101 */ Carry = CombineTrees( T1, Carry );
                H1->TheTrees[i] = NULL; break;
            case 6: /* 110 */ Carry = CombineTrees( T2, Carry );
                H2->TheTrees[i] = NULL; break;
            case 7: /* 111 */ H1->TheTrees[i] = Carry;
                Carry = CombineTrees( T1, T2 );
                H2->TheTrees[i] = NULL; break;
        } /* end switch */
    } /* end for-loop */
    return H1;
}

```

DeleteMin(BinQueue H)

- Find root x with min key in root list of H , and delete.
- $H' \leftarrow$ broken binomial trees.
- $H \leftarrow \text{Merge}(H', H)$.



```

ElementType DeleteMin( BinQueue H )
{
    BinQueue DeletedQueue;
    Position DeletedTree, OldRoot;
    ElementType MinItem = Infinity; /* the minimum item to be returned */
    int i, j, MinTree; /* MinTree is the index of the tree with the minimum item */

    if ( IsEmpty( H ) ) { PrintErrorMessage(); return -Infinity; }

    for ( i = 0; i < MaxTrees; i++ ) { /* Step 1: find the minimum item */
        if( H->TheTrees[i] && H->TheTrees[i]->Element < MinItem ) {
            MinItem = H->TheTrees[i]->Element; MinTree = i; } /* end if */
    } /* end for-i-loop */
    DeletedTree = H->TheTrees[ MinTree ];
    H->TheTrees[ MinTree ] = NULL;
    OldRoot = DeletedTree; /* Step 2: delete the tree */
    DeletedTree = DeletedTree->LeftChild;
    DeletedQueue = Initialize(); /* Step 3.2: create H' */
    DeletedQueue->CurrentSize = ( 1 << MinTree ) - 1; /* 2MinTree - 1 */
    for ( j = MinTree - 1; j >= 0; j -- ) {
        DeletedQueue->TheTrees[j] = DeletedTree;
        DeletedTree = DeletedTree->NextSibling;
        DeletedQueue->TheTrees[j]->NextSibling = NULL;
    } /* end for-j-loop */
    H->CurrentSize -= DeletedQueue->CurrentSize + 1;
    H = Merge( H, DeletedQueue ); /* Step 4: merge H' and H'' */
    return MinItem;
}

```

This can be replaced by
the actual number of roots

【 Claim 】 A binomial queue of N elements can be built by N successive insertions in $O(N)$ time.

Proof 1 (Aggregate):

Insert. How much work to insert a new node x ?

- If $n = \dots\dots 0$, then only 1 credit.
- If $n = \dots\dots 01$, then only 2 credits.
- If $n = \dots\dots 011$, then only 3 credits.
- If $n = \dots\dots 0111$, then only 4 credits.

$$\dots \times \frac{1}{16} + \dots)$$

Theorem. Starting from an empty binomial heap, a sequence of n consecutive INSERT operations takes $O(n)$ time.

Pf. $(n/2)(1) + (n/4)(2) + (n/8)(3) + \dots \leq 2n.$ ■

$$\sum_{i=1}^k \frac{i}{2^i} = 2 - \frac{k}{2^k} - \frac{1}{2^{k-1}} \leq 2$$

Proof 2: An insertion that costs c units results in a net increase of $2 - c$ trees in the forest.

$C_i ::=$ cost of the i th insertion (number of trees merged + 1)

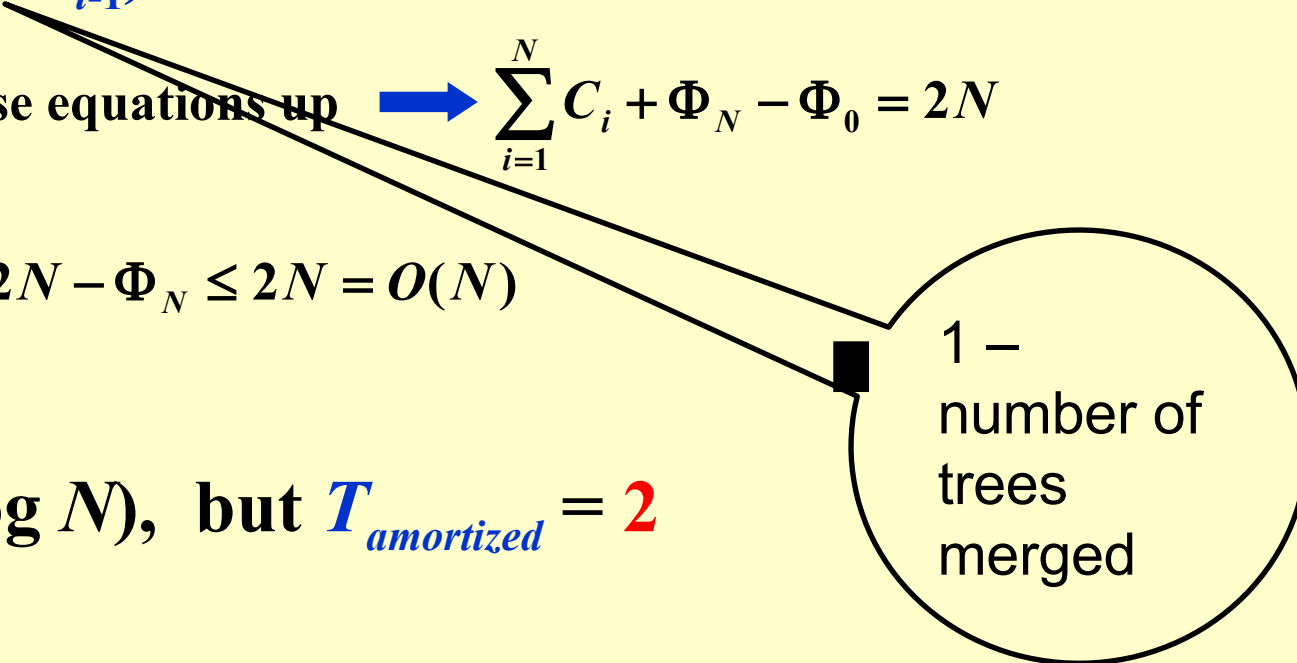
$\Phi_i ::=$ number of trees *after* the i th insertion ($\Phi_0 = 0$)

$$C_i + (\Phi_i - \Phi_{i-1}) = 2 \quad \text{for all } i = 1, 2, \dots, N$$

Add all these equations up $\rightarrow \sum_{i=1}^N C_i + \Phi_N - \Phi_0 = 2N$

$$\sum_{i=1}^N C_i = 2N - \Phi_N \leq 2N = O(N)$$

$T_{\text{worst}} = O(\log N)$, but $T_{\text{amortized}} = 2$

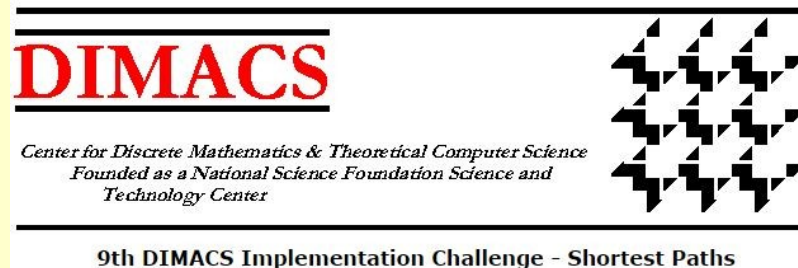


1 –
number of
trees
merged



Research Project 2

Shortest Path Algorithm with Heaps (26)



This project requires you to implement Dijkstra's algorithm based on a min-priority queue, such as a *Fibonacci heap*. The goal of the project is to find the best data structure for Dijkstra's algorithm.

Detailed requirements can be downloaded from

<https://pintia.cn/>

Reference:

Data Structure and Algorithm Analysis in C (2nd Edition) :
Ch.5 , p.170-180 ; Ch.11 , p.430-435 ; *M.A.Weiss*
著、陈越改编，人民邮电出版社， 2005

Introduction to Algorithms, 3rd Edition: Ch.19, p. 505-530 ;
Thomas H. Cormen, Charles E. Leiserson, Ronald L. Rivest and Clifford Stein. The MIT Press. 2009