# Attention

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# 1 Overview

Recurrent Neural Networks (RNNs) provide outstanding results on a variety of Natural Language Processing tasks; however, they continue to struggle in capturing long-term dependencies in complex sentences. Several advancements, such as LSTMs & GRUs were designed to tackle the problem of learning long-term dependencies, but perhaps one of the most influential is Attention. This lecture will begin by discussing the origin of Attention as a improvement to Seq2Seq for Neural Machine Translation (NMT), discuss and compare a variety of attention mechanisms, and conclude by exploring self-attention multi-head attention, which serve as the groundwork for recent advancements in Transformers and BERT.

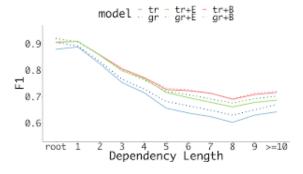


Figure 1: The Long-Term Dependency Problem (Graph of BERT variants, which are state-of-the-art)

# 2 Recap

To refresh you memory, I'll briefly review RNNs and Encoder-Decoders models. RNNs are recurrent; in each iteration they are fed the next input in a sequence and the previous hidden state, which carries accumulated information from other parts of the sentence, and produce a new hidden state.

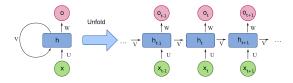


Figure 2: A Diagram of an RNN

Seq2Seq are a unique RNN architecture used for NMT. It is composed to two RNNs; an encoder, which 'encodes' the sentences into a 'context' vector (the last encoder hidden state, a latent representation of the entire sentence), and a decoder, which takes the 'context' vector and decodes it into a tranlated sentence.

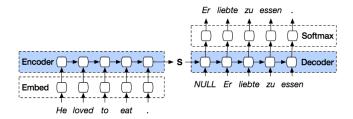


Figure 3: A Diagram of an RNN

## 3 What is Attention?

When we think about the English word "Attention", we know that it means directing your focus at something and taking greater notice. The Attention mechanism in Deep Learning is based off this concept of directing your focus, and it pays greater attention to certain factors when processing the data.

In broad terms, Attention is one component of a network's architecture, and is in charge of managing and quantifying the interdependence:

- 1. Between the input and output elements (General Attention)
- 2. Within the input elements (Self-Attention)

Say we have the sentence "How was your day", which we would like to translate to the French version - "Comment se passe ta journée". What the Attention component of the network will do for each word in the output sentence is map the important and relevant words from the input sentence and assign higher weights to these words, enhancing the accuracy of the output prediction.

Now, the question remains, how do we calculate attention weights?

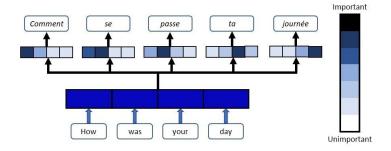


Figure 4: Example of Attention

# 4 Types of Attention Calculation

## 4.1 General Attention

General Attention is used to improve Seq2Seq models. Traditionally, the only information carried over from the encoder to the decoder is the last encoder hidden state, but with Attention, a weighted sum of the encoder hidden states of every time-step is appended to the input. Given a query q and a set of key-

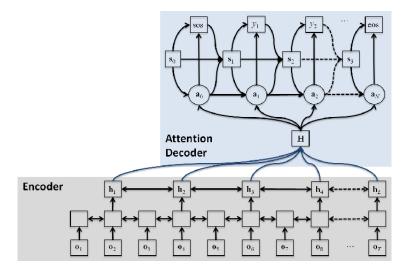


Figure 5: General Attention

value pairs (K, V), attention can be generalised to compute a weighted sum of the values dependent on the query and the corresponding keys. The query determines which values to focus on; we can say that the query 'attends' to the values. In the Seq2Seq, the query is the previous decoder hidden state  $s_i - 1$  while the set of encoder hidden states  $h_0$  to  $h_n$  represented both the keys and the

values. The alignment model, is a row of weights applied to the decoder hidden state and the encoder hidden states. To calculate an 'alignment score' for any, calculate the dot-product  $s_i^T * h_i$ . Once the 'alignment scores are calculated for  $h_0 - n$ , apply a softmax to get the weights.

#### 4.2 Self-Attention

With self-attention, each hidden state attends to the previous hidden states of the same RNN. Here  $s_t$  is the query while the decoder hidden states  $s_0$  to  $s_t - 1$  represent both the keys and the values.

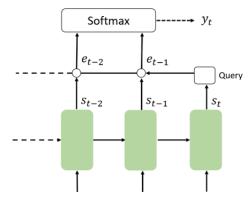


Figure 6: Self-Attention

#### 4.3 Multi-Head Attention

When we have multiple queries q, we can stack them in a matrix Q. Each query can be thought of as a different 'head.' In the figure below, two attention heads are displayed (biege and green). The query word is "it". The first attention head is focusing more on the "animal" while the second in green is focusing on "tired". These multi-head mechanisms apparently help in translation tasks.

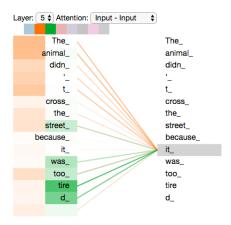


Figure 7: Multi-Head Attention

## 5 Code

I coded a standard Seq2Seq model with attention in PyTorch and put the code below. I highly recommend implementing the techniques I discussed in this lecture on your own and using this as a reference. Let me know if you have any questions!

```
1 #data is from European Parliament proceedings, download here: http
      ://www.statmt.org/europarl/
2 PATH = 'YOUR_PATH' #set to the path to your data
  en_data = open(PATH + 'europarl-v7.fr-en.en', encoding='utf-8').
      read().split('\n')
4 fr_data = open(PATH + 'europarl-v7.fr-en.fr', encoding='utf-8').
      read().split('\n')
6 import spacy
7 import torch
8 import torchtext
9 from torch import nn
10 import torch.nn.functional as F
  device = torch.device("cuda" if torch.cuda.is_available() else "cpu
13
en_field = torchtext.data.Field(sequential=True, use_vocab=True,
      init_token='<sos>', eos_token='<eos>', tokenize='spacy',
      tokenizer_language='en')
15 fr_field = torchtext.data.Field(sequential=True, use_vocab=True,
      init_token = '<sos>', eos_token = '<eos>', tokenize='spacy',
      tokenizer_language='fr')
16
17 #Now, covert the data to a csv file to take advantage of torchtext'
      s versatile TabularDataset class
18 import pandas as pd
19 from sklearn.model_selection import train_test_split
```

```
21 raw_data = {'English' : [line for line in en_data], 'French': [line
       for line in fr_data]}
df = pd.DataFrame(raw_data, columns=["English", "French"])
^{23} # create train and validation set
train, val = train_test_split(df, test_size=0.1)
train.to_csv(PATH + "train.csv", index=False)
val.to_csv(PATH + "val.csv", index=False)
28 train_set,val_set = torchtext.data.TabularDataset.splits(path=PATH,
       train='train.csv', validation='val.csv', format='csv', fields
      =[('English', en_field), ('French', fr_field)])
30 #loads 100-dimensional pre-trained glove word embeddings
31 en_field.build_vocab(train_set, val_set, vectors='glove.6B.100d')
32 fr_field.build_vocab(train_set, val_set, vectors='glove.6B.100d')
34 train_iter, val_iter = torchtext.data.BucketIterator.splits((
      train_set, val_set), batch_size=32, sort_key=lambda x: len(x.
      French), shuffle=True)
35
  class Embedder(nn.Module):
      def __init__(self, embedding):
37
          super().__init__()
38
          self.embed = nn.Embedding(embedding.shape[0], embedding.
39
      shape[1])
          self.embed.weight.data.copy_(embedding)
40
          self.embed.weight.requires_grad = False
41
42
      def forward(self, input_sequence):
          return self.embed(input_sequence)
43
44
  class Embedder(nn.Module):
45
46
      def __init__(self, embedding):
          super().__init__()
47
          self.embed = nn.Embedding(embedding.shape[0], embedding.
48
      shape[1])
          self.embed.weight.data.copy_(embedding)
49
          self.embed.weight.requires_grad = False
50
51
      def forward(self, input_sequence):
          return self.embed(input_sequence)
52
53
  class Encoder(nn.Module):
54
      def __init__(self, hidden_size, embedding, num_layers=1,
55
      dropout = 0.0):
          super(Encoder, self).__init__()
56
           self.hidden_size = hidden_size
          self.embedding = Embedder(embedding)
58
          self.gru = nn.GRU(embedding.shape[1], hidden_size,
59
      num_layers=num_layers, dropout=dropout, bidirectional=True,
      batch_first=True)
      def forward(self, input_sequence):
          embedded = self.embedding(input_sequence)
61
        # x = nn.utils.rnn.pack_padded_sequence(x, lens) # unpad
62
63
          outputs, hidden_state = self.gru(embedded) # gru returns
      hidden state of all timesteps as well as hidden state at last
      timestep
          # pad the sequence to the max length in the batch
64
       # output, _ = nn.utils.rnn.pad_packed_sequence(output)
```

```
# The ouput of a GRU has shape (seq_len, batch, hidden_size
        * num_directions)
           # Because the Encoder is bidirectional. combine the results
67
        from the
           # forward and reversed sequence by simply adding them
68
       together.
           outputs = outputs[:, :, :self.hidden_size] + outputs[:, :,
       self.hidden_size:]
           return outputs, hidden_state
70
71
72
   class Decoder(nn.Module):
       def __init__(self, batch_size, hidden_size, embedding,
73
       num_layers=1, drop_prob=0.1):
           super(Decoder, self).__init__()
74
           self.batch_size = batch_size
75
76
           self.embedding_size = embedding.shape[1]
77
           self.embedding = Embedder(embedding)
           self.attn = nn.Linear(hidden_size * 2, 1)
78
           self.gru = nn.GRU(embedding.shape[1]+hidden_size,
79
       hidden_size, num_layers=num_layers, dropout=drop_prob,
       batch_first=True)
           self.classifier = nn.Linear(hidden_size, embedding.shape
80
81
       def forward(self, decoder_hidden, encoder_outputs, inputs):
82
           # Embed input words
83
           embedded = self.embedding(inputs)
84
           #Assumed size of decoder_hidden -> (num_layers, batch_size,
85
        embedding_size) size of encoder_outputs -> (batch_size,
       sentence_len, embedding_size)
           #need to convert length of decoder_hidden to (batch_size,
       sentence_len, embedding_size)
           self.sequence_len = encoder_outputs.shape[1]
87
88
           decoder_hidden = torch.sum(decoder_hidden, axis=0)
89
           attn_inp = decoder_hidden.unsqueeze(1).repeat(1, self.
       sequence_len,1)
           weights = self.attn(torch.cat((attn_inp, encoder_outputs),
90
       dim = 2)).squeeze()
           normalized_weights = F.softmax(weights)
91
           attn_applied = torch.bmm(normalized_weights.unsqueeze(1),
       encoder_outputs)
           cat_input = torch.cat((embedded, attn_applied), axis=2)
93
           output, hidden_state = self.gru(cat_input, decoder_hidden.
94
       unsqueeze(0))
           output = self.classifier(output).squeeze()
95
96
           return output, hidden_state
97
   def train(encoder, decoder, encoder_opt, decoder_opt, criterion,
       input, target):
       #set both to train moode
99
100
       encoder.train()
       decoder.train()
       #pass through encoder
       enc_output, enc_hidden = encoder(target)
104
       #initialize input to '<sos>' tokends anddecoder hidden state to
       final encoder hidden state
       dec_input, dec_hidden = target[:, 0].unsqueeze(1), enc_hidden
```

```
loss = 0
106
107
        for i in range(1, target.shape[1]):
            dec_input, dec_hidden = decoder(dec_hidden, enc_output,
108
       dec_input)
            loss += criterion(dec_input, target[:, i])
109
            topv, topi = dec_input.topk(1)
            dec_input = topi.detach() # detach from history as input
       loss.backward()
        encoder_opt.step()
113
114
       decoder_opt.step()
        return loss
115
116
117 hidden_size = 200
118 batch_size = 2
119 epochs = 1
   encoder = Encoder(hidden_size, en_field.vocab.vectors).to(device)
   decoder = Decoder(batch_size, hidden_size, fr_field.vocab.vectors).
       to(device)
encoder_opt = torch.optim.Adam([param for param in encoder.
       parameters() if param.requires_grad == True], lr=1.0e-4)
   decoder_opt = torch.optim.Adam([param for param in decoder.
    parameters() if param.requires_grad == True], lr=1.0e-4)
   criterion = nn.CrossEntropyLoss(ignore_index=1)
124
125
   for i in range(epochs):
126
127
       losses = []
       print('Epoch %x:' % i, end='')
128
       c = 0
129
       for batch in train_iter:
130
            input = batch.English.t().to(device)
            target = batch.French.t().to(device)
132
            if (torch.max(input) > 116730):
133
                continue
134
           losses.append(train(encoder, decoder, encoder_opt,
       decoder_opt, criterion, input, target).item())
            if (c % 10 == 0):
                print('.', end='')
137
138
       print(' loss: ', sum(losses)/len(losses))
139
```

## 6 References

I got a lot of the explanation and graphics for Attention from this blog post: https://blog.floydhub.com/attention-mechanism/.