**ITP20002** Discrete Mathematics

#### A Brief Introduction to Halting Problem

Shin Hong

### Halting Problem: Overview

- Halting problem is a famous problem which is proven to have no algorithm as its solution (i.e., a undecidable problem)
- Halting problem: for a given arbitrary program and an arbitrary input, determine whether or not the program terminates within finite steps (i.e., halts) when it runs with the input.
- **Theorem**. There is no algorithm that solves the Halting problem.
  - There is no program that always returns the correct determination of whether
    a given arbitrary program terminates with a given arbitrary input, or not within
    a finite time.
- **Proof**. takes the proof-by-contradiction strategy.
  - 1. assume that there is an algorithm for the Halting problem.
  - 2. shows that the assumption follows algorithms are uncountable.
  - 3. conclude that the assumption is wrong by the contradiction, thus there is no algorithm that solves the Halting problem.

#### **Historical Aspects**



A Turning Machine In The Classic Style <a href="http://www.aturingmachine.com/">http://www.aturingmachine.com/</a>

- In 1936, Alan Turing presented the proof that there is no program that solves the halting problem.
- A mathematical model of a general program called *Turing machine*was first presented in the proof, and thereafter Tuning machine
  has been widely used as a foundational model to analyze the
  complexity of computer algorithm in the complexity theories.

## Setting: Model Algorithms as C programs

- Suppose that every algorithm can be represented as a C program which receives a finite sequence of characters from STDIN as input, and generates a finite sequence of characters to STDOUT as output
  - In the original proof, an algorithm is modeled as a Tuning machine.
- A C program can be represented as a finite sequence of characters (i.e., a text file).
- It is possible to list valid C programs in a lexicographical order
  - A valid C program is a text file from which a C compiler successfully generates an executable file (i.e., satisfies all C syntaxes; executable).
  - The set of all text files is countable, thus, the set of valid C programs (i.e., a subset) is also countable.
- Let  $P_i$  denote the *i*-th valid C program in the lexicographical order.

### Setting: Input

- The set of all inputs of algorithm is countable.
  - An algorithm may have multiple inputs.
  - An input to an algorithm can be represented as a finite sequence of characters.
  - Thus, multiple inputs of an algorithm can be represented as a finite sequence.
  - Thus, the set of all inputs is countable because all finite sequences of a countable set is also countable.
- An input of a C program can be represented as a text file given to STDIN.
- It is possible to list all inputs in a lexicographical order.
- Let  $I_j$  denotes the j-th input in the lexicographical order.

### Setting: Program Execution

- The execution of a program with an input may or may not terminate in a finite number of steps
  - A program never terminates when it runs with an input if the program is stuck in an infinite loop/recursion.
- Let there be a predicate T for a pair of program  $P_i$  and input  $I_j$  that returns true for iff  $P_i$  terminates within a finite number of steps when it runs with  $I_j$
- We can think of a function m which merges a given program and an input as an input (i.e., a sequence of characters)
  - $m^{-1}$  is the inverse function of m, which receives a sequence of characters and returns the corresponding pair of a program and an input

## Proof (1/3)

- Theorem. No algorithm solves the Halting problem.
- Lemma. No algorithm solves the Halting problem if there is no program  $P_H$  that determines the termination of an arbitrary program with an arbitrary input in a finite number of steps
  - The behavior of  $P_H$  for an arbitrary input  $I_k$ :
    - 1.  $(P_i, I_j) := m^{-1}(I_k)$
    - 2. if  $P_i$  is determined to be terminated in finite steps for  $I_i$ , return "1"
    - 3. Otherwise, return "0"
- Proof: Prove the lemma by proof-by-contradiction.

Assume that there exists  $P_H$  that always returns the equivalent result with T in a finite time.

# **Proof (2/3)**

- Define another program  $P_{H'}$  using  $P_H$ 
  - $P_{H'}$  extends  $P_H$  (which is a C program) as follows:
    - the behavior of  $P_{H'}$  for a given input  $I_k$ 
      - 1.  $tmp := P_H(m(P_k, I_k))$
      - 2. if *tmp* is "0", return "1" // terminate.
      - 3. if *tmp* is "1", go into an infinite loop
  - Note that it is trivial to construct  $P_{H'}$  by adding the three symmetries to  $P_H$
- The assumption and the definition imply that  $P_{H'}$  is different from all  $P_i$  for  $i \in \mathbb{N}$ , since  $P_i(I_i)$  terminates in a finite time iff  $P_H(I_i)$  never terminates in a finite time.
  - As long as  $P_H$  behaves as assumed.

Never terminates

T	$I_1$	$I_2$		$I_i$	
$P_1$	T	F	•••	Т	
$P_2$	<b>↑</b> E	F	•••	F	
		1		•••	
$P_i$	Т	F	1	F	
				1	
					*
$P_{H'}$	F	Т	•••	Т	•••

# Proof (3/3)

- The conclusion that there is no  $i \in \mathbb{N}$  such that  $P_{H'} \equiv P_i$  implies that the set of programs is not countable (i.e., no one-to-one correspondence with Natural number).
- The contradiction between the definition of programs (i.e., the set of all programs is countable) and the existence of  $P_{H^\prime}$  (i.e., the set of all programs is uncountable) implies that the assumption is not true.
- Thus, there is no program that solves the Halting problem.
- Since there is no program for the Halting problem, we can conclude that there is no algorithm that answers to the Halting problem in all cases.

### **Implication**

- A problem can be proven to be undecidable if there is a finite sequence of steps to transform the problem into the Halting problem.
- We know that it is impossible to write a program that reads the source code of a program and then perfectly determines whether or not the program satisfies a certain property (e.g., free from nullpointer deferecence).
  - If there is a bug finder that aims to say a program has a bug or no bug, we can always come up with a counter-example program for which the bug finder returns a wrong answer
  - Proving the correctness of a given program (verification) may require a unique and create strategy specialized for the given program