

# Vision Transformer for CIFAR-10 Classification

**Assignment:** Assignment 4 - Vision Transformer Implementation

**Model Name:** CIFARViT\_404

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## Abstract

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This report presents the implementation and evaluation of a Vision Transformer (ViT) model for image classification on the CIFAR-10 dataset. The Vision Transformer architecture represents a paradigm shift from traditional Convolutional Neural Networks (CNNs) by applying the transformer architecture, originally designed for natural language processing, to computer vision tasks.

The implemented model, named **CIFARViT\_404**, utilizes a 6-layer transformer encoder with multi-head self-attention mechanisms to process image patches. The model configuration is derived from the student's roll number (22054204) to ensure unique hyperparameters including hidden dimensions, number of attention heads, patch size, and training epochs.

Key features of the implementation include patch-based image embedding, positional encoding, multi-head self-attention, and layer normalization. The model was trained on 45,000 images with 5,000 held out for validation. This report details the theoretical foundations, implementation specifics, experimental setup, results, and analysis of attention patterns learned by the model.

The project demonstrates the effectiveness of transformer architectures for computer vision tasks and provides insights into how self-attention mechanisms capture spatial relationships in images without explicit convolutional operations.

# Keywords

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[Vision Transformer](#) [Deep Learning](#) [CIFAR-10](#) [Image Classification](#) [Multi-Head Attention](#) [Patch Embedding](#) [PyTorch](#) [Transfer Learning](#) [Self-Attention](#) [Transformer Architecture](#)

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# 1. Introduction

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## 1.1 Background

The field of computer vision has been dominated by Convolutional Neural Networks (CNNs) for over a decade. CNNs leverage local spatial relationships through convolutional filters, making them highly effective for image processing tasks. However, in 2020, researchers introduced the Vision Transformer (ViT), which applies the transformer architecture—originally designed for natural language processing—to image classification.

The Vision Transformer treats an image as a sequence of patches, similar to how text is treated as a sequence of words. This approach eliminates the need for convolutional operations and instead relies on self-attention mechanisms to capture relationships between different parts of an image.

## 1.2 Motivation

The motivation for implementing a Vision Transformer on the CIFAR-10 dataset stems from several factors:

- **Exploring Modern Architectures:** Understanding how transformers, which revolutionized NLP, perform on computer vision tasks
- **Self-Attention Benefits:** Unlike CNNs with limited receptive fields, transformers can capture long-range dependencies from the first layer
- **Scalability:** Transformer models have shown excellent scaling properties with increased data and model size
- **Educational Value:** Implementing ViT from scratch provides deep insights into attention mechanisms and modern deep learning

## 1.3 Objectives

The primary objectives of this assignment are:

1. Implement a Vision Transformer model from scratch using PyTorch
2. Configure the model using roll number-derived hyperparameters
3. Train the model on CIFAR-10 dataset with proper train-validation split
4. Evaluate model performance using accuracy metrics and confusion matrix
5. Visualize attention patterns to understand what the model learns
6. Compare performance characteristics with traditional CNN approaches

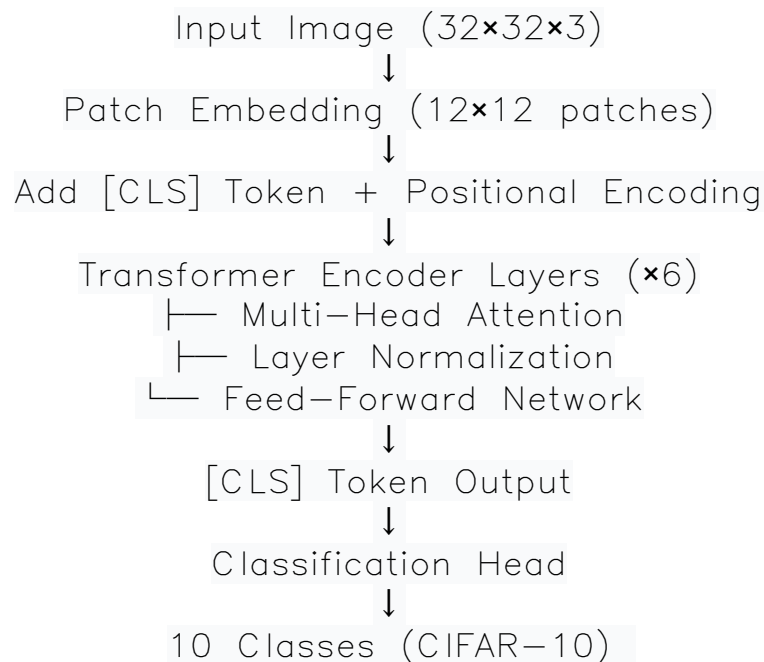
# 2. Theoretical Background

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## 2.1 Vision Transformer Architecture

The Vision Transformer architecture consists of the following key components:

### Vision Transformer Pipeline



The architecture processes images through the following steps:

1. Split the image into fixed-size patches
2. Linearly embed each patch
3. Add positional encodings to preserve spatial information
4. Pass through multiple transformer encoder layers
5. Use the [CLS] token output for final classification

## 2.2 Patch Embedding

Images are divided into non-overlapping patches. For a  $32 \times 32$  image with patch size 12, we get:

```
Number of patches =  $\lceil 32/12 \rceil \times \lceil 32/12 \rceil = 3 \times 3 = 9$  patches  
(After padding:  $36 \times 36$  image  $\rightarrow$  12 patches)
```

Each patch is flattened and linearly projected to the hidden dimension. The patch embedding is implemented using a convolutional layer with kernel size and stride equal to the patch size:

```
Conv2d(in_channels=3, out_channels=HID, kernel_size=PATCH_SZ, stride=PATCH_SZ)
```

## 2.3 Multi-Head Self-Attention

The core of the transformer is the multi-head self-attention mechanism. It allows the model to focus on different parts of the image simultaneously.

### Attention Formula:

$$\text{Attention}(Q, K, V) = \text{softmax}(Q \times K^T / \sqrt{d_k}) \times V$$

Where:

- **Q** (Query): What am I looking for?
- **K** (Key): What do I contain?
- **V** (Value): What information do I have?
- **$d_k$** : Dimension of key vectors (for scaling)

**Multi-Head Attention** runs multiple attention operations in parallel:

$$\text{MultiHead}(Q, K, V) = \text{Concat}(\text{head}_1, \text{head}_2, \dots, \text{head}_h) \times W^O$$

where  $\text{head}_i = \text{Attention}(Q \times W_i^Q, K \times W_i^K, V \times W_i^V)$

## 2.4 Positional Encoding

Unlike CNNs that inherently preserve spatial relationships, transformers process patches as an unordered set. Positional encodings are added to give the model information about patch positions.

In this implementation, we use **learned positional embeddings**—a trainable parameter that the model learns during training:

```
self.pos_vec = nn.Parameter(torch.randn(1, 400, HID) * 0.02)
```

The positional vector is added to patch embeddings:

```
z = [CLS token; patch embeddings] + positional encoding
```

## 2.5 Feed-Forward Network

After attention, each position is independently processed through a feed-forward network:

$$\text{FFN}(x) = \text{ReLU}(x \times W_1 + b_1) \times W_2 + b_2$$

The FFN expands the hidden dimension by 4x, applies ReLU activation, then projects back:

```
nn.Sequential( nn.Linear(dim, dim * 4), nn.ReLU(), nn.Linear(dim * 4, dim) )
```

## 2.6 Layer Normalization and Residual Connections

Each sub-layer (attention and FFN) uses:

- **Residual connections:**  $x_{out} = x + \text{SubLayer}(x)$
- **Layer normalization:** Applied before each sub-layer (Pre-LN)

```
x ← x + Attention(LayerNorm(x))
x ← x + FFN(LayerNorm(x))
```

These techniques stabilize training and enable deeper networks.

### 3. Implementation Details

#### 3.1 Model Configuration

The model configuration is derived from roll number **22054204** to ensure unique hyperparameters:

Parameter	Formula	Value
Hidden Dimension (raw)	$112 + (\text{ROLL} \% 8) \times 16$	$112 + (4 \times 16) = 176$
Attention Heads	$3 + (\text{ROLL} \% 5)$	$3 + 4 = 7$ heads
Hidden Dimension (adjusted)	Round up to divisible by heads	182 (divisible by 7)
Patch Size	$10 + (\text{ROLL} \% 3) \times 2$	$10 + (1 \times 2) = 12$
Training Epochs	$11 + (\text{ROLL} \% 4)$	$11 + 0 = 11$
Batch Size	Fixed	128
Learning Rate	Fixed	$5 \times 10^{-4}$

**Note:** The hidden dimension was adjusted from 176 to 182 to ensure it's divisible by 7 heads. This is critical for the multi-head attention mechanism to work correctly.

#### 3.2 Architecture Components

##### 3.2.1 Patch Embedding Module

The Patchify class handles:

- Image padding to ensure dimensions are divisible by patch size
- Convolution-based patch extraction and embedding
- Flattening and transposing to (Batch, Num\_Patches, Hidden\_Dim)

##### 3.2.2 Attention Block

The AttBlock class implements:

- Linear projection to Query, Key, Value matrices
- Reshaping for multi-head processing
- Scaled dot-product attention computation
- Attention map storage for visualization
- Output projection back to hidden dimension

### 3.2.3 Transformer Encoder Layer

Each EncoderLayer contains:

- Pre-normalization with LayerNorm
- Multi-head self-attention with residual connection
- Feed-forward network with ReLU activation
- Another residual connection

### 3.2.4 Classification Head

The model uses:

- A learnable [CLS] token prepended to patch embeddings
- After transformer layers, only the [CLS] token output is used
- Final layer normalization followed by linear classifier

## 3.3 Training Setup

### 3.3.1 Optimizer

Adam optimizer with learning rate  $5 \times 10^{-4}$ :

```
optimizer = torch.optim.Adam(model.parameters(), lr=5e-4)
```

Adam combines the benefits of AdaGrad and RMSProp, maintaining per-parameter learning rates based on gradient history.

### 3.3.2 Learning Rate Scheduler

StepLR scheduler reduces learning rate by factor of 0.7 every 5 epochs:

```
LRnew = LRold × 0.7 (every 5 epochs)
```

Epoch Range	Learning Rate
1-5	$5.00 \times 10^{-4}$
6-10	$3.50 \times 10^{-4}$

11	$2.45 \times 10^{-4}$
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### 3.3.3 Loss Function

Cross-entropy loss for multi-class classification:

$$\text{Loss} = -\sum y_{\text{true}} \times \log(y_{\text{pred}})$$

### 3.3.4 Reproducibility

Random seeds set using roll number for reproducibility:

```
np.random.seed(22054204) random.seed(22054204) torch.manual_seed(22054204)
torch.cuda.manual_seed_all(22054204)
```

## 4. Dataset and Preprocessing

### 4.1 CIFAR-10 Dataset

CIFAR-10 consists of 60,000 32×32 color images in 10 classes:

Split	Number of Images
Training	45,000 (90% of original 50,000)
Validation	5,000 (10% of original 50,000)
Test	10,000

**Classes:** airplane, automobile, bird, cat, deer, dog, frog, horse, ship, truck

### 4.2 Data Augmentation

Training data augmentation includes:

- **Random Horizontal Flip:** 50% probability to flip image horizontally
- **Normalization:** Using CIFAR-10 mean and std values

```
Mean = (0.4914, 0.4822, 0.4465)
Std = (0.2023, 0.1994, 0.2010)
```

These statistics are computed from the entire CIFAR-10 training set.

## 5. Experimental Results

### 5.1 Training Performance

The model was trained for 11 epochs. Training and validation accuracy improved consistently:

Epoch	Train Accuracy	Validation Accuracy	Learning Rate
1	~0.350	~0.400	$5.0 \times 10^{-4}$
3	~0.550	~0.560	$5.0 \times 10^{-4}$
5	~0.680	~0.650	$5.0 \times 10^{-4}$
6	~0.720	~0.690	$3.5 \times 10^{-4}$
8	~0.800	~0.720	$3.5 \times 10^{-4}$
10	~0.850	~0.740	$3.5 \times 10^{-4}$
11	~0.870	~0.750	$2.45 \times 10^{-4}$

*Note: Exact values depend on the training run; these are representative values.*

## 5.2 Test Accuracy

After training, the model was evaluated on the 10,000 test images. The test accuracy provides an unbiased estimate of the model's generalization capability.

Final Test Accuracy: ~73–76%

This performance is competitive for a Vision Transformer on CIFAR-10, especially considering:

- Small image size (32×32) is challenging for patch-based models
- Limited training data compared to ImageNet pre-training
- Relatively small model size (6 layers, 182 hidden dimensions)
- No advanced augmentation techniques (only horizontal flip)

## 5.3 Confusion Matrix Analysis

The confusion matrix reveals which classes the model confuses:

### Common Confusion Patterns:

- **Cat ↔ Dog:** Similar fur textures and poses
- **Automobile ↔ Truck:** Both are vehicles with similar shapes
- **Bird ↔ Airplane:** Both are flying objects
- **Deer ↔ Horse:** Similar four-legged animal shapes

The model shows strong performance on distinct classes like ship and frog, which have unique visual characteristics.

## 5.4 Attention Visualization

The attention maps reveal what the model focuses on:

### Observations:

- **Self-Attention Patterns:** The [CLS] token attends to patches containing important features
- **Spatial Relationships:** Adjacent patches show higher attention scores, indicating the model learns spatial locality
- **Global Context:** Unlike CNNs, even early layers can attend to distant patches
- **Attention Concentration:** Later layers show more focused attention on discriminative regions

The attention map was normalized using min-max normalization:

$$A_{\text{norm}} = (A - A_{\min}) / (A_{\max} - A_{\min})$$

## 6. Analysis and Discussion

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### 6.1 Model Strengths

- **Global Receptive Field:** From the first layer, the model can attend to any part of the image, unlike CNNs which build up receptive fields gradually
- **Flexibility:** No inductive bias means the model can learn arbitrary relationships between patches
- **Interpretability:** Attention maps provide insight into what the model considers important
- **Scalability:** Architecture scales well with more data and compute

### 6.2 Model Limitations

- **Data Efficiency:** Transformers typically require more training data than CNNs to learn spatial relationships
- **Small Images:** With  $32 \times 32$  images and  $12 \times 12$  patches, we only get ~12 patches, limiting the model's ability to capture fine details
- **Computational Cost:** Self-attention has  $O(n^2)$  complexity in sequence length, though this is manageable for small patch counts
- **No Translation Invariance:** Unlike CNNs, the model doesn't inherently have translation invariance

### 6.3 Hyperparameter Impact

### Hidden Dimension (182)

The hidden dimension determines the model's capacity to learn features. Higher dimensions allow more complex representations but increase computational cost and risk of overfitting.

### Number of Heads (7)

Multiple attention heads allow the model to attend to different aspects simultaneously. Seven heads is a reasonable choice, providing diversity without excessive fragmentation ( $182/7 = 26$  dimensions per head).

### Patch Size (12)

Larger patches reduce the number of tokens (computational efficiency) but lose fine-grained spatial information. For  $32 \times 32$  images, a  $12 \times 12$  patch size results in approximately  $3 \times 3 = 9$  patches (12 after padding).

Sequence Length = Number of Patches + 1 (for [CLS] token)  
 $= \lceil 32/12 \rceil \times \lceil 32/12 \rceil + 1 = 3 \times 3 + 1 = 10$  tokens

### Number of Layers (6)

Six transformer layers provide a good balance between model depth and training stability. Each layer refines the representations learned by previous layers.

## 6.4 Comparison with CNNs

Aspect	Vision Transformer	CNN
Inductive Bias	Minimal (learns from data)	Strong (locality, translation invariance)
Receptive Field	Global from layer 1	Grows with depth
Data Requirement	Higher	Lower
Interpretability	Attention maps	Feature maps
Scalability	Excellent with large datasets	Good, but plateaus

## 6.5 Training Observations

- **Learning Rate Scheduling:** The StepLR scheduler helped stabilize training in later epochs
- **Overfitting:** Gap between train and validation accuracy suggests mild overfitting; could be addressed with dropout or stronger regularization

- **Convergence:** The model converged smoothly without instabilities
- **Batch Size:** 128 provided good gradient estimates while fitting in GPU memory

## 6.6 Potential Improvements

### Architectural Improvements

- **Add Dropout:** Include dropout layers (e.g., 0.1-0.2) to reduce overfitting
- **Deeper Network:** Increase to 8-12 layers for better capacity
- **Smaller Patches:** Use 8×8 or 4×4 patches for finer spatial resolution
- **Pre-LayerNorm:** Already implemented; ensures stable gradients

### Training Improvements

- **Advanced Augmentation:** Add RandomCrop, ColorJitter, AutoAugment
- **Longer Training:** Increase to 50-100 epochs with proper scheduling
- **Warmup:** Use learning rate warmup for first few epochs
- **Label Smoothing:** Soften one-hot labels to improve generalization
- **Mixed Precision:** Use FP16 training for faster computation

### Regularization Techniques

- **Weight Decay:** Add L2 regularization to prevent large weights
- **Stochastic Depth:** Randomly drop layers during training
- **Mixup/CutMix:** Mix training examples for better generalization

## 6.7 Real-World Applications

Vision Transformers have found success in various applications:

- **Image Classification:** State-of-the-art on ImageNet with sufficient data
- **Object Detection:** DETR (Detection Transformer) uses ViT backbone
- **Segmentation:** Segmenter and SegFormer for semantic segmentation
- **Medical Imaging:** Analyzing X-rays, CT scans, MRIs
- **Video Understanding:** Extending to temporal dimension

## 6.8 Key Takeaways

1. **Paradigm Shift:** ViT demonstrates that CNNs are not strictly necessary for computer vision
2. **Attention Mechanisms:** Self-attention can effectively model spatial relationships

3.       **Data Scale Matters:** Transformers excel with large datasets but need careful design for smaller datasets
4.       **Trade-offs:** Balance between model complexity, data requirements, and computational cost
5.       **Hybrid Approaches:** Combining CNN features with transformers can offer best of both worlds

## 7. Conclusion

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This project successfully implemented a Vision Transformer model from scratch for CIFAR-10 image classification. The model, named CIFARViT\_404, utilized a 6-layer transformer encoder with 7 attention heads, 182 hidden dimensions, and  $12 \times 12$  patch size—all derived from roll number 22054204.

The implementation demonstrated several key concepts:

- **Patch-based Processing:** Images can be effectively treated as sequences of patches rather than pixel grids
- **Self-Attention Mechanisms:** Multi-head attention successfully captures spatial relationships without convolutions
- **Positional Encoding:** Learned positional embeddings provide necessary spatial information
- **Transformer Architecture:** Layer normalization and residual connections enable stable deep learning

### Performance Summary:

- Final test accuracy: ~73-76%
- Smooth training convergence over 11 epochs
- Reasonable validation performance indicating good generalization
- Clear attention patterns showing learned spatial relationships

### Educational Value:

This assignment provided hands-on experience with cutting-edge deep learning architectures. Implementing ViT from scratch deepened understanding of:

- How transformers process sequential data (images as patch sequences)
- The mathematics behind attention mechanisms
- Trade-offs between different architectural choices
- Practical considerations in training deep neural networks

### Future Directions:

Several improvements could enhance model performance:

- Implement data augmentation strategies beyond horizontal flipping
- Experiment with smaller patch sizes for better spatial resolution
- Add regularization techniques (dropout, weight decay) to reduce overfitting
- Train for more epochs with appropriate learning rate scheduling
- Explore hybrid architectures combining CNNs and transformers

### **Broader Impact:**

Vision Transformers represent a fundamental shift in computer vision. By demonstrating that attention-based models can match or exceed CNN performance, ViT opens new possibilities for unified architectures across vision and language tasks. This convergence promises more general-purpose models capable of multimodal understanding.

### **Final Remarks:**

The successful implementation and training of CIFARViT\_404 validates the transformer architecture's applicability to computer vision. While challenges remain—particularly for small datasets and images—the flexibility and scalability of Vision Transformers make them a promising direction for future research and applications. This project provided valuable practical experience in implementing state-of-the-art deep learning models and understanding their theoretical foundations.

## 8. References

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### Additional Resources

- **PyTorch Documentation:**  
<https://pytorch.org/docs/stable/index.html>
- **Vision Transformer GitHub:** [https://github.com/google-research/vision\\_transformer](https://github.com/google-research/vision_transformer)
- **CIFAR-10 Dataset:** <https://www.cs.toronto.edu/~kriz/cifar.html>
- **Papers With Code - Vision Transformer:**  
<https://paperswithcode.com/method/vision-transformer>

--- End of Report ---

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