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necessary to establish a 4-DOF dynamic model of an unmanned sailboat. Literature [2] puts forward the 4-DOF dynamic equation of the sailboat by analyzing the forces and moments of the hull, sail, rudder, and keel of the unmanned sailboat. In terms of sail control, the common method is to use the polar coordinate curve of the unmanned sailing speed obtained by dynamic simulation to determine the best sail angle for different wind directions and headings by looking up the table to control the sailboat to obtain the maximum speed on the reference heading. Literature [3] proposed an unmanned sailing speed optimization algorithm based on ES (Extremum seeking) extreme value search algorithm. The sail angle determined by the speed polar coordinate curve was used as the feedforward input of the system, and the ES algorithm was used to find the optimal sail angle.

In the heading control of an unmanned sailboat, both the rudder and the sail have an impact on the heading control. Due to its coupling effect, most documents adopt decoupling control to control the rudder and the sail separately. The rudder control is relatively simple and common control algorithms. There are PID control, LQG control, adaptive control, etc.<sup>[1]</sup>. Because the unmanned sailboat is disturbed by ocean currents, waves and other external environments during its movement, it is difficult for conventional PID control to achieve online adjustment of parameters in complex environments, and problems such as overshoot and high-frequency adjustment are prone to occur in the control. However, fuzzy control can be based on expert real-time adjustment of PID parameters can be achieved by formulating fuzzy rules and combining PID control to achieve the desired control effect. This paper uses the fuzzy PID algorithm proposed in the literature [4] for heading control.

Unmanned sailboats rely on wind to provide navigational power, and there is a dead zone for navigation. In the dead zone, unmanned sailboats cannot obtain sufficient forward thrust, resulting in stalling<sup>[5]</sup>. In order to avoid entering the dead zone of navigation, this paper proposes a navigation strategy to avoid entering the dead zone.

The overall structure is as follows: Section I introduces the current situation of autonomous sailboat position keeping control and introduces the purpose of the study. Section II constructs the 4-DOF dynamic equation of the sailboat. Section III introduces ES algorithm for speed control. Section IV proposes a fuzzy PID algorithm to control the heading. Section V presents the methods of string changing against the wind and position keeping control algorithm. Section VI shows the simulation results, and Section VII is the conclusion.

## II. 4-DOF MODEL OF SAILBOAT

Unmanned sailboat is a water surface carrier, when building a mathematical model, the pitch and heave motions can be ignored in the 6-DOF model, which is simplified to a 4-DOF model. Define the inertial coordinate system as O-XYZ, the X axis is the true north direction, the Y axis is the true east direction, and the Z axis is the vertical downward direction. Define the body-fixed frame o-x<sub>b</sub>y<sub>b</sub>z<sub>b</sub>, the x<sub>b</sub> axis is the forward velocity direction of the hull, y<sub>b</sub> is the starboard direction, and z<sub>b</sub> is the vertical downward direction. Let  $U=[u \ v \ p \ r]^T$  represent the forward velocity, lateral velocity, heel angular velocity and yaw angular velocity in the body coordinate system, respectively;  $P=[x \ y \ \varphi \ \psi]^T$  respectively represent the X coordinate, Y coordinate, and horizontal angle

of inclination and heading. According to the literature [2], the description of coordinate system is shown in fig.1, and the wind velocity and forces on the sail is shown in fig. 2.

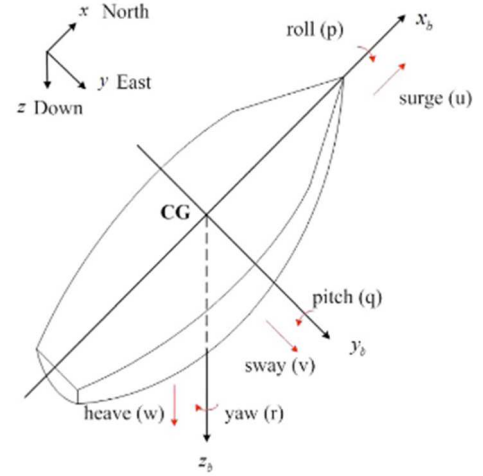


Fig.1 the description of coordinate system<sup>[2]</sup>

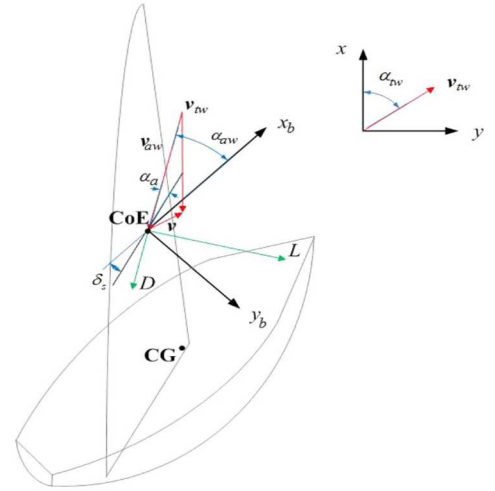


Fig.2 the wind velocity and forces on the sail<sup>[2]</sup>

The four-degree-of-freedom kinematic equation is:

$$\begin{cases} \dot{x} = u \cos(\psi) - v \cos(\varphi) \sin(\psi) \\ \dot{y} = u \sin(\psi) - v \cos(\varphi) \cos(\psi) \\ \dot{\varphi} = p \\ \dot{\psi} = r \cos(\varphi) \end{cases}$$

The dynamic equation is:

$$\begin{cases} \dot{u} = \frac{1}{m-X_{\dot{u}}} (F_{xs} + F_{xr} + F_{xh} + F_{xk} + (m-Y_{\dot{v}})vr) \\ \dot{v} = \frac{1}{m-Y_{\dot{v}}} (F_{ys} + F_{yr} + F_{yh} + F_{yk} + (m-X_{\dot{u}})ur) \\ \dot{p} = \frac{1}{I_{xx}-K_{\dot{p}}} (M_{xs} + M_{xr} + M_{xh} + M_{xk} - c[p|p-a\varphi^2-b\varphi]) \\ \dot{r} = \frac{1}{I_{zz}-N_{\dot{r}}} (M_{zs} + M_{zr} + M_{zh} + M_{zk} + (X_{\dot{u}} + Y_{\dot{v}})uv - d|r|rcos(\varphi)) \end{cases}$$

where:

$$F_{xs} = L_s \sin(\alpha_{aw}) - D_s \cos(\alpha_{aw})$$

$$F_{ys} = L_s \cos(\alpha_{aw}) + D_s \sin(\alpha_{aw})$$



Table 1 the specific fuzzy rules

	$e_r$						
	NB	NM	NS	ZE	PS	PM	PB
$e$	$K_p/K_i/K_d$	$K_p/K_i/K_d$	$K_p/K_i/K_d$	$K_p/K_i/K_d$	$K_p/K_i/K_d$	$K_p/K_i/K_d$	$K_p/K_i/K_d$
NB	PB\NB\PS	PB\NM\NB	PM\NM\NB	PM\NM\NB	PS\NS\NB	PS\ZE\NM	ZE\ZE\ZE
NM	PB\NB\PS	PM\NM\NB	PM\NM\NB	PS\NS\NM	PS\NS\NM	ZE\ZE\NS	NS\ZE\ZE
NS	PM\NB\ZE	PM\NB\NM	PS\NS\NM	PS\NS\NM	ZE\ZE\NS	NS\PS\NS	NS\PS\PS
ZE	PM\NM\ZE	PS\NB\NM	PS\NS\NS	ZE\ZE\ZE	NS\PS\NS	NS\PM\NS	NM\PM\PM
PS	PS\NM\ZE	PS\NS\NS	ZE\ZE\ZE	NS\PS\ZE	NS\PS\ZE	NM\PM\ZE	NM\PB\PB
PM	PS\ZE\PB	ZE\ZE\ZE	NS\PS\PS	NS\PS\PS	NM\PM\PS	NM\PB\PS	NB\PB\PB
PB	ZE\ZE\PB	NS\ZE\ZE	NS\PS\PM	NM\PM\PM	NM\PM\PS	NB\PB\PS	NB\PB\PB

## VI. SIMULATION RESULTS

Refer to the literature [4] set ES algorithm parameters, the specific parameters are shown in figure 9, and the ES algorithm Simulink block diagram is shown in figure 9. Use the unmanned sailing dynamic model derived in the literature [2]. Take  $K_p = 2$ ,  $K_i = 0.001$ , and  $K_d = 0.001$  in fuzzy PID control. The block diagram of Fuzzy PID Simulink is shown in figure 10. Suppose the real wind speed is 10m/s and the real wind direction is  $0^\circ$ , and set the target point to  $(-500, 500)$ .

Then, using the Simulink to get the control result. The control simulation result is shown in figures 11,12,13 and 14.

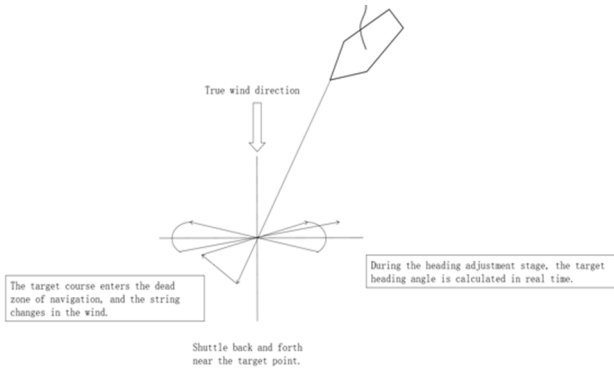


Fig. 8 the process of the position keeping

This paper proposes a string changing strategy, when the desired heading angle and wind direction between the current position of the unmanned sailboat and the target position enters the dead zone, in order to prevent the unmanned sailboat from entering the dead zone, set the heading angle to  $10^\circ$  outside the dead zone. After the relative angle between the current position and the target position and the angle between the wind direction leave the navigation dead zone, the unmanned sailboat continues to sail towards the target position.

Suppose the position of the target point is  $(x_d, y_d)$ , the current position of the unmanned sailboat is  $(x_b, y_b)$ , the target heading angle is  $\psi_d$ , the wind direction angle is  $\psi_{tw}$  and the dead zone angle is  $d_z$ . Target heading angle  $\psi_d = \text{atan2}(y_d - y_b, x_d - x_b)$ . If the angle between the target heading of the unmanned sailboat and the wind direction is within the dead zone, that is, if  $\psi_{tw} - \psi_d \geq \pi$  and  $|\psi_{tw} - \psi_d - \pi| \leq d_z/2$ , then the target heading angle is set to  $10^\circ$  outside the dead zone. When the angle between the target heading and the wind direction is on the left half of the dead zone, that is, if  $\psi_{tw} - \psi_d \geq \pi$  and  $-d_z/2 \leq \psi_{tw} - \psi_d - \pi < 0$ , set the target heading to  $10^\circ$  to the left of the dead zone, that is, set  $\psi_d = \psi_{tw} - \pi - d_z/2 - (10/180) * \pi$ ; when the angle between the target heading and the wind direction is in the right half of the dead zone, that is,  $0 \leq \psi_{tw} - \psi_d - \pi \leq d_z/2$ , set the target heading as  $10^\circ$  to the right of the dead zone, that is, set  $\psi_d = \psi_{tw} - \pi + d_z/2 + (10/180) * \pi$ .

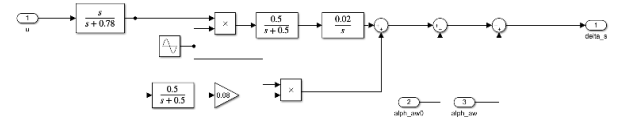


Fig.9 the block diagram of ES Simulink

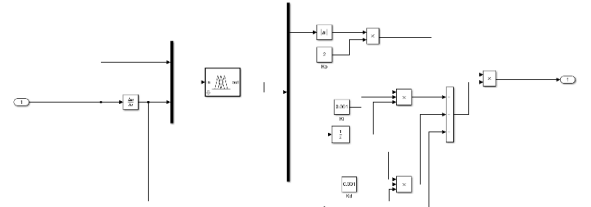


Fig. 10 the block diagram of Fuzzy PID Simulink

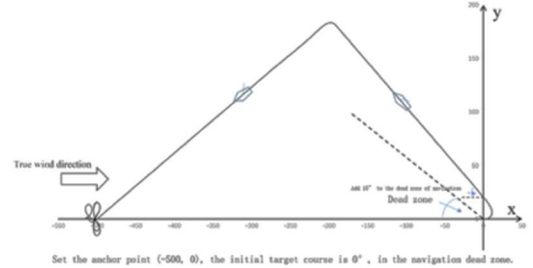


Fig.11 the trajectory of the unmanned sailboat for the first simulation

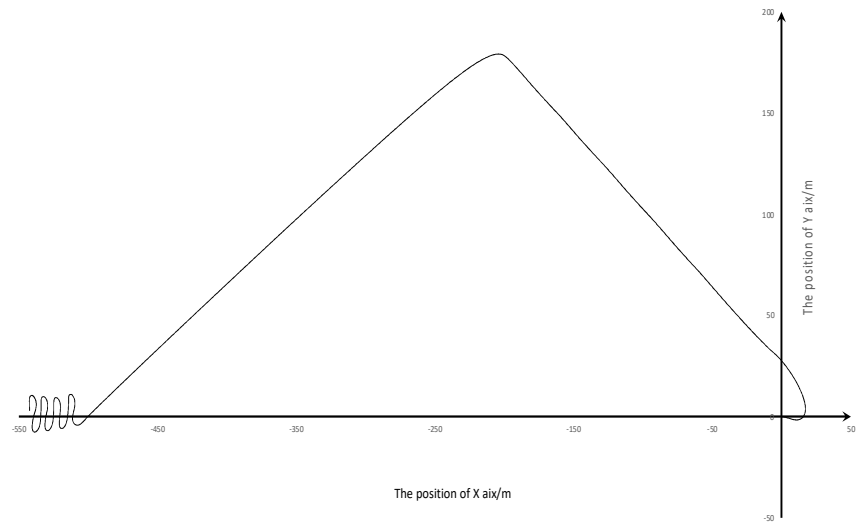


Fig.12 the trajectory of the unmanned sailboat for the second simulation

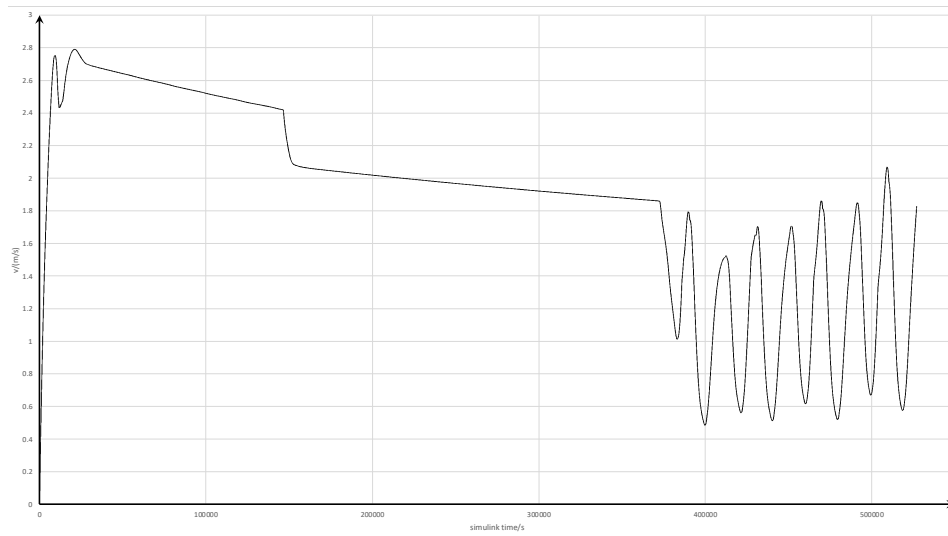


Fig.13 the forward speed of the unmanned sailboat for the second simulation

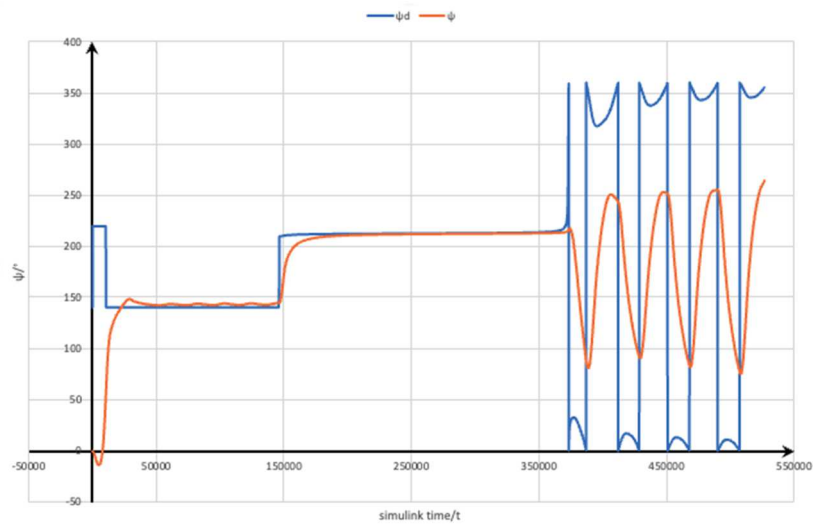


Fig. 14 the desired heading  $\psi_d$  and the heading  $\psi$  for the second simulation

## VII. CONCLUSION

We propose an unmanned sailboat's position keeping control strategy, which is based on the ES optimization algorithm to control the speed of the unmanned sailboat, and

uses the fuzzy PID to control the heading. When the unmanned sailboat is controlled at a fixed point, the heading required for the unmanned sailboat to reach the target point is calculated in real time. If the angle between the target heading and the wind direction enters the dead zone, it will move 10

degrees outside the dead zone boundary. When the sailboat moves out of the dead zone, the sailboat moves along the heading required for the unmanned sailboat to reach the target point. Simulation experiments show that this method can reach the target point.

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