

## UNIVERSITEIT • STELLENBOSCH • UNIVERSITY jou kennisvennoot • your knowledge partner

## **MEng Title**

Johann Ruben van Tonder 22569596

Thesis presented in partial fulfilment of the requirements for the degree of Master of Engineering (Electronic) in the Faculty of Engineering at Stellenbosch University

Supervisor:

November 2022

## Acknowledgements

I would like to sincerely thank the following people for assisting me in the completion of my project:



#### Plagiaatverklaring / Plagiarism Declaration

- 1. Plagiaat is die oorneem en gebruik van die idees, materiaal en ander intellektuele eiendom van ander persone asof dit jou eie werk is.
  - Plagiarism is the use of ideas, material and other intellectual property of another's work and to present is as my own.
- 2. Ek erken dat die pleeg van plagiaat 'n strafbare oortreding is aangesien dit 'n vorm van diefstal is.
  - I agree that plagiarism is a punishable offence because it constitutes theft.
- 3. Ek verstaan ook dat direkte vertalings plagiaat is.

  I also understand that direct translations are plagiarism.
- 4. Dienooreenkomstig is alle aanhalings en bydraes vanuit enige bron (ingesluit die internet) volledig verwys (erken). Ek erken dat die woordelikse aanhaal van teks sonder aanhalingstekens (selfs al word die bron volledig erken) plagiaat is.

  Accordingly all quotations and contributions from any source whatsoever (including the internet) have been cited fully. I understand that the reproduction of text without quotation marks (even when the source is cited) is plagiarism
- 5. Ek verklaar dat die werk in hierdie skryfstuk vervat, behalwe waar anders aangedui, my eie oorspronklike werk is en dat ek dit nie vantevore in die geheel of gedeeltelik ingehandig het vir bepunting in hierdie module/werkstuk of 'n ander module/werkstuk nie.

I declare that the work contained in this assignment, except where otherwise stated, is my original work and that I have not previously (in its entirety or in part) submitted it for grading in this module/assignment or another module/assignment.

22569596	A Selection of the second of t
Studentenommer / Student number	Handtekening / Signature
J.R. van Tonder	March 1, 2023
Voorletters en van / Initials and surname	Datum / Date

## **Abstract**

English

**Afrikaans** 

## **Contents**

De	eclara	tion		ii
Αŀ	ostrac	:t		iii
Lis	st of	Figures	s	vi
Lis	st of	Tables		vii
No	omen	clature	<u>:</u>	viii
1.	Intro	oductio	on	1
	1.1.	Backg	round	. 1
	1.2.	Proble	em Statement	. 1
	1.3.	Summ	nary of Work	. 1
	1.4.	Scope		. 1
	1.5.	Forma	at of Report	. 1
2.	Lite	rature	Review	2
3.	Mod	leling o	of Ocean Vessels	3
	3.1.	Standa	ard Ocean Vessel Notation	. 3
	3.2.	Kinem	natics	. 4
	3.3.	Kineti	ics	. 5
	3.4.	Hydro	odynamic Forces and Moments	. 6
	3.5.	Restor	ring Forces and Moments	. 7
	3.6.	Enviro	onmental Disturbances	. 8
		3.6.1.	Current-induced Forces and Moments	. 8
		3.6.2.	Wave-induced Forces and Moments	. 9
		3.6.3.	Wind-induced Forces and Moments	. 9
	3.7.	Simpli	ifications of 6-DOF	. 10
		3.7.1.	Standard 3-DOF Horizontal Model	. 10
		3.7.2.	Simplified 3-DOF Horizontal Model	. 12
	3.8.	Summ	nary	. 13
	3 9	Simula	ation Results	13

Contents

4.	Mod	leling of a Fixed-Wing Sail, Keel and Rudder	14
	4.1.	Rudder Forces and Moments	14
	4.2.	Sail Theory	15
		4.2.1. Sail Theory and Terminology	15
		4.2.2. Aerodynamics of a Sail	16
		4.2.3. Sail Forces and Moments	18
	4.3.	Fixed-wing Sail	21
Bi	bliog	raphy	<b>2</b> 3
Α.	Add	itional Modelling Information	24
	A.1.	Notation and Vector Definitions	24
	A.2.	Modeling Equations	25

## **List of Figures**

3.1.	Motion variables for an ocean vessel	3
3.2.	Centre of Gravity and Centre of Buoyancy	8
3.3.	Stable vessel with righting moment	8
3.4.	Ocean vessel's heading angle	9
3.5.	Wind angle on vessel	10
3.6.	Standard 3-DOF Horizontal Model	11
4.1.	Definition of Rudder Angles	15
	Naming convention of a sail	
4.3.	Terminology of a sail wing and shape	16
4.4.	Windward and leeward definitions	16
4.5.	Flow field without circulation	17
4.6.	Superposition of circulation and non-circulation solution to give lift $\dots$ .	17
4.7.	Example of Sailboat	18
4.8.	Lift force component represented as a power curve	19
4.9.	Top view of sailboat	21
4.10.	Definition of true and apparent wind	21

## **List of Tables**

A.1.	SNAME Notation for ocean vessels	24
A.2.	Rigid body motion vectors	24

## **Nomenclature**

#### **Ocean Vessel Dynamics**

X, Y, Z	Coordinates of force vector decomposed in the body-fixed frame (surge, sway and heave forces)
K, M, N	Coordinates of moment vector decomposed in the body-fixed frame(roll, pitch and yaw moment)
u, v, w	Coordinates of linear velocity vector decomposed in the body-fixed frame(surge, sway and heave velocities)
p, q, r	Coordinates of angular velocity vector decomposed in the body-fixed frame(roll, pitch and yaw angular velocities)
x, y, z	Coordinates of position vector decomposed in the body-fixed frame(surge, sway and heave positions)
$\phi,~\theta,~\psi$	Coordinates of Euler angle vector decomposed in the body-fixed frame(roll, pitch and yaw Euler angles)

#### **Acronyms and abbreviations**

SNAME Society of Naval Architects and Engineers

CG Centre of gravity of a vessel

CB Centre of buoyancy of a vessel

AoA Angle of attack

Nomenclature ix

#### Sailing Terminology

Bow Front of the sailboat
Stern Rear of the sailboat

Luff Leading edge of the sailLeech Trailing edge of the sailFoot Bottom edge of the sail

Boom Attached point of the foot to the sailboat

Clew Attachment point of the leech

Tack Attachment point of the luff

Chord Straight line between leading and trailing edge

Camber Perpendicular distance from the chord line to the foil

Draft Position of the maximum camber along the chord line

Entry Angle of the leading edge to the chord line

Exit Angle of the trailing edge to the chord line

AoA Angle between oncoming flow and the chord line

Twist Angle between the chord line and the sailboat's centre line

## Chapter 1

## Introduction

- 1.1. Background
- 1.2. Problem Statement
- 1.3. Summary of Work
- 1.4. Scope
- 1.5. Format of Report

# **Chapter 2 Literature Review**

## Chapter 3

## **Modeling of Ocean Vessels**

This chapter models a standard ocean vessel in six degrees of freedom. It also introduces the definitions associated with movement in each direction of freedom. The chapter also take into account the forces and moments generated by hydrodynamics and restoration of an ocean vessel. The chapter continues to model the environmental disturbances experience by a semi-submerged ocean vessel. The environmental disturbances are wind, waves and ocean currents.

#### 3.1. Standard Ocean Vessel Notation

An ocean vessels are modelled in six degrees of freedom, requiring six independent coordinates to determine its position and orientation. The first three coordinates corresponding to position (x, y, z) and their first time derivatives, translation motion along the x-, y-, and z-axes. The last three coordinates  $(\phi, \theta, \psi)$  and their first time derivatives describing orientation and rotational motion [1]. Figure 3.1 illustrates the motion variables of an ocean vessel with the six independent coordinates.

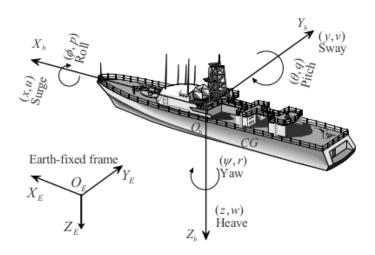


Figure 3.1: Motion variables for an ocean vessel

The SNAME(Society of Naval Architects and Marine Engineers) established the notation for the six different motion components as *surge*, *sway*, *heave*, *roll*, *pitch* and *yaw*. Table A.1 summarizes the SNAME notation for ocean vessels.

Two reference models are used to determine the equations of motion, namely the inertial to earth frame  $O_eX_eY_eZ_e$  that may be displaced to overlap with the vessel's fixed coordinates in some initial condition and the body-fixed frame  $O_bX_bY_bZ_b$ , illustrated in Figure 3.1. The most common used position for the body-fixed frame results in symmetry about the  $O_bX_bZ_b$ -plane and approximate symmetry about the  $O_bY_bZ_b$ . The body axes coincides with the axes of inertia and are usually defines as follows:  $O_bX_b$  is the longitudinal axis,  $O_bY_b$  is the transverse axis and  $O_bZ_b$  is the normal axis. Below are the vectors used to describe the general motion of an ocean vessel:

$$\mathbf{n} = [\mathbf{n_1} \mathbf{n_2}]^T \tag{3.1}$$

$$\mathbf{v} = [\mathbf{v_1} \mathbf{v_2}]^T \tag{3.2}$$

$$\tau = [\tau_1 \tau_2]^T \tag{3.3}$$

$$\mathbf{n_1} = \begin{bmatrix} x & y & z \end{bmatrix}^T \qquad (3.4) \qquad \mathbf{n_2} = \begin{bmatrix} \phi & \theta & \psi \end{bmatrix}^T \qquad (3.5)$$

$$\mathbf{v_1} = \begin{bmatrix} u & v & w \end{bmatrix}^T \qquad (3.6) \qquad \mathbf{v_2} = \begin{bmatrix} p & q & r \end{bmatrix}^T \qquad (3.7)$$

$$\tau_1 = [X \ Y \ Z]^T$$
(3.8) 
 $\tau_2 = [K \ M \ N]^T$ 

where  $\mathbf{n}$  denotes the position and orientation vector with coordinates in the earth fixed frame,  $\mathbf{v}$  denotes the linear and angular velocity vector with coordinates in the body-fixed frame and  $\tau$  denotes the forces and moments acting on the vessel in the body-fixed frame. The vessel dynamics are divided into two parts known as *kinematics* and *kinetics*.

#### 3.2. Kinematics

Kinematics looks at the motion of the vessel without directly considering the forces affecting the motion. The first time derivative of the position vectors  $\mathbf{n_1}$  and  $\mathbf{n_2}$  is related to the linear velocity vector  $\mathbf{v_1}$  and  $\mathbf{v_2}$  via the following transformations,

$$\dot{\mathbf{n}}_1 = \mathbf{J}_1(\mathbf{n}_2)\mathbf{v}_1 \tag{3.10}$$

$$\dot{\mathbf{n}}_2 = \mathbf{J}_2(\mathbf{n}_2)\mathbf{v}_2 \tag{3.11}$$

where  $\mathbf{J_1(n_2)}$  and  $\mathbf{J_2(n_2)}$  are transformation matrices, which is related through the functions of the Euler angles:  $\mathrm{roll}(\phi)$ ,  $\mathrm{pitch}(\theta)$  and  $\mathrm{yaw}(\psi)$ . The  $\mathbf{J_1}$  transformation matrix is given by

3.3. Kinetics

5

$$\mathbf{J_1(n_2)} = \begin{bmatrix} \cos(\psi)\cos(\theta) & -\sin(\psi)\cos(\theta) + \sin(\phi)\sin(\theta)\cos(\psi) & \sin(\psi)\sin(\phi) + \sin(\theta)\cos(\psi)\cos(\phi) \\ \sin(\psi)\cos(\theta) & \cos(\psi)\cos(\phi) + \sin(\phi)\sin(\theta)\sin(\psi) & -\cos(\psi)\sin(\phi) + \sin(\theta)\sin(\psi)\cos(\phi) \\ -\sin(\theta) & \sin(\phi)\cos(\theta) & \cos(\phi)\cos(\theta) \end{bmatrix}$$

$$(3.12)$$

and the transformation matrix  $J_2$  is given by,

$$\mathbf{J_2(n_2)} = \begin{bmatrix} 1 & -\sin(\phi)\tan(\theta) & \cos(\phi)\tan(\theta) \\ 0 & \cos(\phi) & -\sin(\phi) \\ 0 & \sin(\phi)/\cos(\theta) & \cos(\phi)/\cos(\theta) \end{bmatrix}$$
(3.13)

When  $\theta = \pi/2$ , the transformation matrix  $\mathbf{J_2}(\mathbf{n_2})$  becomes singular, however this is unlikely to happen when practically testing an ocean vessel, because of the metacentric restoring forces. Combining Equation 3.12 and Equation 3.13 results in the kinematics of an ocean vessel.

$$\begin{bmatrix} \dot{\mathbf{n}}_1 \\ \dot{\mathbf{n}}_2 \end{bmatrix} = \begin{bmatrix} \mathbf{J}_1(\mathbf{n}_2) & 0_{3\times3} \\ 0_{3\times3} & \mathbf{J}_2(\mathbf{n}_2) \end{bmatrix} \begin{bmatrix} \mathbf{v}_1 \\ \mathbf{v}_2 \end{bmatrix} = \dot{\mathbf{n}} = \mathbf{J}(\mathbf{n})\mathbf{v}$$
(3.14)

#### 3.3. Kinetics

The Newton-Euler formulation [2] defines the balancing forces and moments for a rigid body with a mass of m as follows,

$$\mathbf{f}_{Ob} = \mathbf{m} [\dot{\mathbf{v}}_{Ob}^E + \dot{\mathbf{w}}_{Ob}^E \times \mathbf{r}_{Ob} + \mathbf{w}_{Ob}^E \times \mathbf{v}_{Ob} + \mathbf{w}_{Ob}^E \times (\mathbf{w}_{Ob}^E \times \mathbf{r}_{Ob})]$$
(3.15)

$$\mathbf{m}_{Ob} = \mathbf{I}_o \mathbf{w}_{Ob}^E + \dot{\mathbf{w}}_{Ob}^E \times \mathbf{I}_o \mathbf{w}_{Ob}^E + m \mathbf{r}_{Ob} \times (\dot{\mathbf{v}}_O b + \mathbf{w}_{Ob}^E \times \mathbf{v}_{Ob})$$
(3.16)

where  $\mathbf{f}_{Ob}$  is the balancing forces, $\mathbf{m}_{Ob}$  the balancing moments and  $\mathbf{I}_o$  is the inertia matrix about  $O_b$ defined as,

$$\mathbf{I_o} = \begin{bmatrix} I_x & -I_{xy} & -Ixz \\ -I_{yx} & I_y & -I_{yz} \\ -I_{zx} & -I_{zy} & I_Z \end{bmatrix}$$
(3.17)

 $I_x, I_y$  and  $I_z$  are the moments of inertia about the  $O_bX_b$ ,  $O_bY_b$  and  $O_bZ_b$  axes.  $I_{xy} = I_{yx}$ ,  $I_{xz} = I_{zx}$  and  $I_{yz}=I_{zy}$  are the products of inertia. These quantities are defined as

$$I_{x} = \int_{V} (y^{2} + z^{2}) \rho_{m} dV \qquad (3.18) \qquad I_{x}y = \int_{V} xy \rho_{m} dV \qquad (3.19)$$

$$I_{y} = \int_{V} (x^{2} + z^{2}) \rho_{m} dV \qquad (3.20) \qquad I_{x}z = \int_{V} xz \rho_{m} dV \qquad (3.21)$$

$$I_{z} = \int_{V} (z^{2} + y^{2}) \rho_{m} dV \qquad (3.22) \qquad I_{z}y = \int_{V} zy \rho_{m} dV \qquad (3.23)$$

$$I_y = \int_V (x^2 + z^2) \rho_m dV$$
 (3.20)  $I_x z = \int_V x z \rho_m dV$  (3.21)

$$I_z = \int_V (z^2 + y^2) \rho_m dV$$
 (3.22)  $I_z y = \int_V z y \rho_m dV$  (3.23)

where  $\rho_m$  are the mass density and V the volume of the rigid body. By substituting the definitions defined in Table A.2 into Equations 3.15 and 3.16, results in the equation below,

$$\mathbf{M}_{\mathbf{R}\mathbf{B}}\dot{\mathbf{v}} + \mathbf{C}_{\mathbf{R}\mathbf{B}}(\mathbf{v})\mathbf{v} = \tau_{\mathbf{R}\mathbf{B}} \tag{3.24}$$

where  $\mathbf{v} = [u\ v\ w\ p\ q\ r]^T$  is the generalized velocity vector decomposed in the body-fixed frame and  $\tau_{\mathbf{RB}} = [X\ Y\ Z\ K\ M\ N]^T$  is the generalized vector of external forces and moments. The rigid body system inertia matrix  $\mathbf{M_{RB}}$  and the rigid body Coriolis and centripetal matrix  $\mathbf{C_{RB}}$  is defined in Equation A.1 and A.2 The generalized external force and moment vector,  $\tau_{\mathbf{RB}}$ , is a sum of the hydrodynamic force and moment vector  $\tau_{\mathbf{H}}$ , external disturbance force and moment vector  $\tau_{\mathbf{E}}$  and propulsion force and moment vector  $\tau$ .

#### 3.4. Hydrodynamic Forces and Moments

Hydrodynamic forces and moments can be defined as the forces and moments on a ocean body when the body is forced to oscillate with the wave excitation and no wave are incident on the body. As shown in [3], the hydrodynamic forces and moments acting on a rigid body can be assumed to be linearly superimposed. The forces and moments can be subdivided into three components,

- 1. Added mass due to the inertia of the surrounding fluid
- 2. Radiation-induced potential damping due to the energy carried away by the generated surface waves
- 3. Restoring forces due to Archimedian forces

The hydrodynamic forces and moments vector  $\tau_{\mathbf{H}}$  is expressed in the equation below,

$$\tau_{\mathbf{H}} = -\mathbf{M}_{\mathbf{A}}\dot{\mathbf{v}} - \mathbf{C}_{\mathbf{A}}(\mathbf{v})\mathbf{v} - \mathbf{D}(\mathbf{v})\mathbf{v} - \mathbf{g}(\mathbf{n})$$
(3.25)

where  $\mathbf{M_A}$  is the added mass matrix,  $\mathbf{C_A(v)}$  is the hydrodynamic Coriolis and centripetal matrix,  $\mathbf{D(v)}$  is the damping matrix and  $\mathbf{g(n)}$  is the position and orientation depending vector of restoring forces and moments. The added mass  $\mathbf{M_A}$  is given below,

$$\mathbf{M_{A}} = \begin{bmatrix} X_{\dot{u}} & X_{\dot{v}} & X_{\dot{w}} & X_{\dot{p}} & X_{\dot{q}} & X_{\dot{r}} \\ Y_{\dot{u}} & Y_{\dot{v}} & Y_{\dot{w}} & Y_{\dot{p}} & Y_{\dot{q}} & Y_{\dot{r}} \\ Z_{\dot{u}} & Z_{\dot{v}} & Z_{\dot{w}} & Z_{\dot{p}} & Z_{\dot{q}} & Z_{\dot{r}} \\ K_{\dot{u}} & K_{\dot{v}} & K_{\dot{w}} & K_{\dot{p}} & K_{\dot{q}} & K_{\dot{r}} \\ M_{\dot{u}} & M_{\dot{v}} & M_{\dot{w}} & M_{\dot{p}} & M_{\dot{q}} & M_{\dot{r}} \\ N_{\dot{u}} & N_{\dot{v}} & N_{\dot{w}} & N_{\dot{p}} & N_{\dot{q}} & N_{\dot{r}} \end{bmatrix}$$

$$(3.26)$$

The hydrodynamic Coriolis and centripetal matrix is given below,

$$\mathbf{C_A(v)} = \begin{bmatrix} 0 & 0 & 0 & 0 & -a_3 & a_2 \\ 0 & 0 & 0 & a_3 & 0 & -a_1 \\ 0 & 0 & 0 & -a_2 & a_1 & 0 \\ 0 & -a_3 & a_2 & 0 & -b_3 & b_2 \\ a_3 & 0 & -a_1 & b_3 & 0 & -b_1 \\ -a_2 & a_1 & 0 & -b_2 & b_1 & 0 \end{bmatrix}$$
(3.27)

where  $a_1$ ,  $a_2$ ,  $a_3$ ,  $b_1$ ,  $b_2$  and  $b_3$  are defined in Equations A.3, A.4, A.5, A.6, A.7 and A.8.

The general hydrodynamic damping experienced by ocean vessels is the potential damping, skin friction, wave drift damping and damping due to vortex shedding. The hydrodynamic damping can be expressed in a general form as below,

$$\mathbf{D}(\mathbf{v}) = \mathbf{D} + \mathbf{D_n}(\mathbf{v}) \tag{3.28}$$

where the linear damping matrix  $\mathbf{D}$  is given below,

$$\mathbf{D} = -\begin{bmatrix} X_{u} & X_{v} & X_{w} & X_{p} & X_{q} & X_{r} \\ Y_{u} & Y_{v} & Y_{w} & Y_{p} & Y_{q} & Y_{r} \\ Z_{u} & Z_{v} & Z_{w} & Z_{p} & Z_{q} & Z_{r} \\ K_{u} & K_{v} & K_{w} & K_{p} & K_{q} & K_{r} \\ M_{u} & M_{v} & M_{w} & M_{p} & M_{q} & M_{r} \\ N_{u} & N_{v} & N_{w} & N_{p} & N_{q} & N_{r} \end{bmatrix}$$

$$(3.29)$$

#### 3.5. Restoring Forces and Moments

The restoring forces and moments are described by the symbol  $\mathbf{g}(\mathbf{n})$ . If  $\nabla$  is the volume of fluid displaced by the ocean vessel. The acceleration of gravity, g and the water density  $\rho$ . The submerged weight of the body and buoyancy forces are defined by

$$W = mg (3.30)$$

$$B = \rho g \nabla \tag{3.31}$$

With the above definition for body and buoyancy forces, the restoring force and moment vector  $\mathbf{g}(\mathbf{n})$  is due to gravity and buoyancy forces and is given by

$$\mathbf{g}(\mathbf{n}) = \begin{bmatrix} (W - B)sin(\theta) \\ -(W - B)cos(\theta)sin(\phi) \\ -(W - B)cos(\theta)cos(\phi) \\ -(y_gW - y_bB)cos(\theta)cos(\phi) + (z_gW - z_bB)cos(\theta)sin(\phi) \\ (z_gW - z_bB)sin(\theta) + (x_gW - x_bB)cos(\theta)cos(\phi) \\ -(x_gW - x_bB)cos(\theta)sin(\phi) - (y_gW - y_bB)sin(\theta) \end{bmatrix}$$
(3.32)

where  $(x_b, y_b, z_b)$  denote coordinates of the center of buoyancy (CB). The centre of buoyancy is the point at which the buoyancy forces acts on the body and is equivalent to the geometric center of the submerged portion of the hull [4]. This volume is often assumed since it is hard to define a mathematical formula for the ships geometry. Figure 3.2 shows the positions of the CG and CB. The CG and CB are approximated to be at a distance r form each other when all angles  $\phi$ ,  $\theta$ ,  $\psi$  are zero.

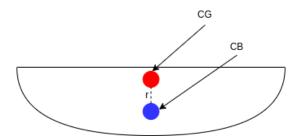


Figure 3.2: Centre of Gravity and Centre of Buoyancy

When an object is disturbed from a static position and returns to its original position is considered statically stable or in static equilibrium. Such ocean vessels are "self righting". If the vessel is unable to return to its equilibrium position and continues to turn over(capsize) it is considered unstable. Figure 3.3 illustrates the righting moment that will act on a stable vessel.

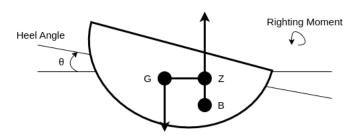


Figure 3.3: Stable vessel with righting moment

#### 3.6. Environmental Disturbances

The forces and moments induced by the environmental disturbances is defined by the vector  $\tau_{\mathbf{E}}$  and includes ocean currents, waves(wind generated) and wind.

$$\tau_{\mathbf{E}} = \tau_{\mathbf{E}}^{\mathbf{c}\mathbf{u}} + \tau_{\mathbf{E}}^{\mathbf{w}\mathbf{a}} + \tau_{\mathbf{E}}^{\mathbf{w}\mathbf{i}} \tag{3.33}$$

where  $\tau_{\mathbf{E}}^{\mathbf{cu}}$ ,  $\tau_{\mathbf{E}}^{\mathbf{wa}}$  and  $\tau_{\mathbf{E}}^{\mathbf{wi}}$  are vectors of forces and moments induced by ocean currents, waves and wind.

#### 3.6.1. Current-induced Forces and Moments

The current induced forces and moments vector  $\tau_{\mathbf{E}}^{\mathbf{cu}}$  is given by

$$\tau_{\mathbf{E}}^{\mathbf{c}\mathbf{u}} = (\mathbf{M}_{\mathbf{R}\mathbf{B}} + \mathbf{M}_{\mathbf{A}})\dot{\mathbf{v}}_{\mathbf{c}} + \mathbf{C}(\mathbf{v}_{\mathbf{r}})\mathbf{v}_{\mathbf{r}} - \mathbf{C}(\mathbf{v})\mathbf{v} + \mathbf{D}(\mathbf{v}_{\mathbf{r}})\mathbf{v}_{\mathbf{r}} - \mathbf{D}(\mathbf{v})\mathbf{v}$$
(3.34)

where  $\mathbf{v_r} = \mathbf{v} - \mathbf{v_c}$  and  $\mathbf{v_c} = [u_c, v_c, w_c, 0, 0, 0]^T$  is a vector irrotational body-fixed current velocities. Take the earth-fixed velocity vector denoted by  $[u_c^E, v_c^E, w_c^E]^T$ , then the body0fixed components  $[u_c, v_c, w_c]^T$  can be calculated by

$$\begin{bmatrix} u_c \\ v_c \\ w_c \end{bmatrix} = \mathbf{J_1^T(n_2)} \begin{bmatrix} u_C^E \\ v_c^E \\ w_C^E \end{bmatrix}$$
(3.35)

#### 3.6.2. Wave-induced Forces and Moments

The vector  $\tau_{\mathbf{E}}^{\mathbf{wa}}$  of the wave-induced forces and moments is given by

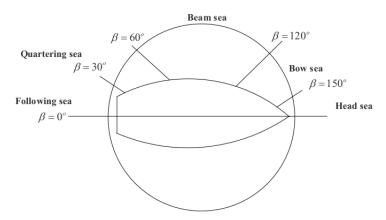
$$\tau_{\mathbf{E}}^{\mathbf{wa}} = \begin{bmatrix} \sum_{i=1}^{N} \rho g B L T cos(\beta) s_i(t) \\ \sum_{i=1}^{N} \rho g B L T sin(\beta) s_i(t) \\ 0 \\ 0 \\ \sum_{i=1}^{N} \frac{1}{24} \rho g B L (L^2 - B^2) sin(2\beta) s_i^2(t) \end{bmatrix}$$

$$(3.36)$$

where  $\beta$  is the vessel's heading (encounter) angle, illustrated in Figure ,  $\rho$  is the water density, L is the length of the vessel, B is the breadth of the vessel and T is the draft of the vessel. Ignoring the higher-order terms of the wave amplitude, the wave slope  $s_i(t)$  for the wave component i is defined by

$$s_i(t) = A_i \frac{2\pi}{\lambda_i} sin(\omega_{ei}t + \phi_i)$$
(3.37)

where  $A_i$  is the wave amplitude,  $\lambda_i$  is the wave length,  $\omega_{ei}$  is the encounter frequency and  $\phi_i$  is a random phase uniformly distributed and constant with time  $[0 \ 2\pi)$  corresponding to the wave component i.



**Figure 3.4:** Ocean vessel's heading angle

#### 3.6.3. Wind-induced Forces and Moments

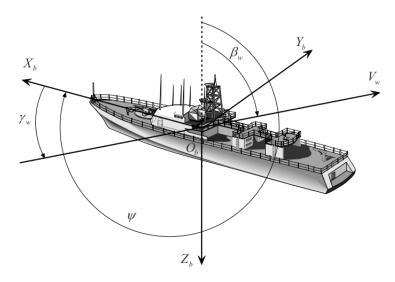
When the ocean vessel is at rest the vector  $\tau_E^{wi}$  of the wind induced forces and moments is given by

$$\tau_{E}^{\omega i} = \begin{bmatrix} C_{X}(\gamma_{\omega})A_{F\omega} \\ C_{Y}(\gamma_{\omega})A_{L\omega} \\ C_{Z}(\gamma_{\omega})A_{F\omega} \\ C_{K}(\gamma_{\omega})A_{L\omega}H_{L\omega} \\ C_{M}(\gamma_{\omega})A_{F\omega}H_{F\omega} \\ C_{N}(\gamma_{\omega})A_{L\omega}L_{oa} \end{bmatrix}$$
(3.38)

where  $V_{\omega}$  is the wind speed,  $\rho_a$  is the air density,  $A_{F\omega}$  is the frontal projected area,  $A_{L\omega}$  is the lateral projected area,  $H_{F=\omega}$  is the centroid of  $A_{F\omega}$  above the water line,  $H_{L\omega}$  is the centroid of  $A_{l\omega}$  above the water line,  $L_{oa}$  is the over all length of the vessel,  $\gamma_{\omega}$  is the angle of relative wind of the vessel bow, illustrated in Figure 3.5 and is given by

$$\gamma_{\omega} = \psi - \beta_{\omega} - \pi \tag{3.39}$$

where  $\beta_{\omega}$  being the wind direction. All the wind coefficients(look-up tables)  $C_X(\gamma_{\omega})A_{F\omega}$ ,  $C_Y(\gamma_{\omega})A_{L\omega}$ ,  $C_Z(\gamma_{\omega})A_{F\omega}$ ,  $C_K(\gamma_{\omega})A_{L\omega}H_{L\omega}$ ,  $C_M(\gamma_{\omega})A_{F\omega}H_{F\omega}$  and  $C_N(\gamma_{\omega})A_{L\omega}L_{oa}$  are computed numerically or by experiments in a wind tunnel as shown in [5].



**Figure 3.5:** Wind angle on vessel

When the vessel is moving the vector  $\tau_E^{\omega i}$  is given by

$$\tau_E^{\omega i} = \begin{bmatrix} C_X(\gamma_{r\omega}) A_{F\omega} \\ C_Y(\gamma_{r\omega}) A_{L\omega} \\ C_Z(\gamma_{r\omega}) A_{F\omega} \\ C_K(\gamma_{r\omega}) A_{L\omega} H_{L\omega} \\ C_M(\gamma_{r\omega}) A_{F\omega} H_{F\omega} \\ C_N(\gamma_{r\omega}) A_{L\omega} L_{oa} \end{bmatrix}$$
(3.40)

where

$$V_{r\omega} = \sqrt{u_{r\omega}^2 + v_{r\omega}^2} \tag{3.41}$$

$$\gamma_{r\omega} = -\arctan2(v_{r\omega}, u_{r\omega}) \tag{3.42}$$

with

$$u_{r\omega} = u - V_{\omega} \cos(\beta_{\omega} - \psi) \tag{3.43}$$

$$v_{r\omega} = v - V_{\omega} \cos(\beta_{\omega} - \psi) \tag{3.44}$$

#### 3.7. Simplifications of 6-DOF

#### 3.7.1. Standard 3-DOF Horizontal Model

The horizontal motion of a surface ship in a horizontal plane is often described by the motion component in surge, sway and yaw. You choose  $\mathbf{n} = [x, y, \psi]^T$  and  $\mathbf{v} = [u, v, r]^T$ . Figure 3.6 illustrates the motion

variables in this case.

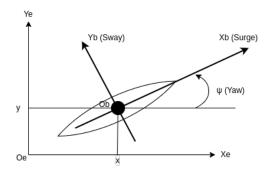


Figure 3.6: Standard 3-DOF Horizontal Model

#### Assumptions

- 1. The motion in roll, pitch and heave is ignored. This means that we ignore the dynamics associated with the motion in heave, roll and pitch, i.e., z = 0, w = 0,  $\phi = 0$ ,  $\theta = 0$  and q = 0.
- 2. The vessel has homogeneous mass distribution and xz-plane of symmetry so that

$$I_{xy} = I_{yz} = 0 (3.45)$$

3. The center of gravity and center of buoyancy are located vertically on the z-axis

The vessel dynamics in a horizontal plane is simplified as follows:

$$\dot{\mathbf{n}} = \mathbf{J}(\mathbf{n})\mathbf{v} \tag{3.46}$$

$$\mathbf{M}\dot{\mathbf{v}} = -\mathbf{C}(\mathbf{v})\mathbf{v} - (\mathbf{D} + \mathbf{D_n}(\mathbf{v}))\mathbf{v} + +_E \tag{3.47}$$

where the matrices J(n), M, D and  $D_n(v)$  are given by

$$J(n) = \begin{bmatrix} \cos(\psi) & -\sin(\psi) & 0\\ \sin(\psi) & \cos(\psi) & 0\\ 0 & 0 & 1 \end{bmatrix}$$

$$(3.48)$$

$$M = \begin{bmatrix} m - X_{\dot{u}} & 0 & 0\\ 0 & m - Y_{\dot{v}} & mx_g - Y_{\dot{r}}\\ 0 & mx_g - Y_{\dot{r}} & I_z - N_{\dot{r}} \end{bmatrix}$$
(3.49)

$$C(v) = \begin{bmatrix} 0 & 0 & -m(x_g r + v) + Y_{\dot{v}v - Y_{\dot{r}r}} \\ 0 & 0 & mu - X_{\dot{u}u} \\ m(x_g r + v) - Y_{\dot{v}v - Y_{\dot{r}r}} & -mu + X_{\dot{u}u} & 0 \end{bmatrix}$$
(3.50)

$$D = \begin{bmatrix} X_u & 0 & 0 \\ 0 & Y_v & Y_r \\ 0 & N_v & N_r \end{bmatrix}$$
 (3.51)

$$D_n(v) = \begin{bmatrix} X_{|u|u}|u| & 0 & 0\\ 0 & Y_{|v|v}|v| + Y_{|r|v}|r| & Y_{|v|r}|v|\\ 0 & N_{|v|v}|v| + N_{|r|v}|r| & N_{|v|r}|v| + X_{|r|r}|r| \end{bmatrix}$$
(3.52)

The propulsion force and moment vector  $\tau$  is given by

$$\tau = \begin{bmatrix} \tau_u \\ 0 \\ \tau_r \end{bmatrix} \tag{3.53}$$

The above propulsion force and moment vector  $\tau$  implies that we are considering a surface vessel, which does not have an independent actuator in the sway. The environmental disturbance vector  $\tau_E$  is given by

$$\tau = \begin{bmatrix} \tau_{uE} \\ \tau_{vE} \\ \tau_{TE} \end{bmatrix} \tag{3.54}$$

#### 3.7.2. Simplified 3-DOF Horizontal Model

In some cases we can ignore the off-diagonal terms of the matrices M and D, all elements of the nonlinear damping matrix  $D_n(v)$ . These assumptions hold when the vessel has three planes of symmetry, for which the axes of the body-fixed reference frame are chosen to be parallel to the principle axis of the displaced fluid, which are equal to the principle axis of the vessel. Most ships have port/starboard symmetry and moreover, bottom/top symmetry is not required fore horizontal motion. Ship fore/aft nonsymmetry implies that the off-diagonal terms of the inertia and damping matrices are nonzero. However, these terms are small compared to the main diagonal terms. Furthermore, disturbances induced by the waves, wind and ocean currents are ignored. The dynamics of the vessel in the horizontal plane is simplified as follows:

$$\dot{\mathbf{n}} = \mathbf{J}(\mathbf{n})\mathbf{v} \tag{3.55}$$

$$\mathbf{M}\dot{\mathbf{v}} = -\mathbf{C}(\mathbf{v})\mathbf{v} - \mathbf{D}\mathbf{v} + \tau \tag{3.56}$$

where the matrices J(n), M, C(v) and D are given by

$$J(n) = \begin{bmatrix} \cos(\psi) & -\sin(\psi) & 0\\ \sin(\psi) & \cos(\psi) & 0\\ 0 & 0 & 1 \end{bmatrix}$$

$$(3.57)$$

$$M = \begin{bmatrix} m_{xy} & 0 & 0 \\ 0 & m_{xy} & 0 \\ 0 & 0 & m_{33} \end{bmatrix}$$
 (3.58)

$$C(v) = \begin{bmatrix} 0 & 0 & -m_{xy}v\\ 0 & 0 & m_{xy}u\\ m_{xy}v & -m_{xy}u & 0 \end{bmatrix}$$
(3.59)

$$D = \begin{bmatrix} d_{xy} & 0 & 0 \\ 0 & d_{xy} & 0 \\ 0 & 0 & d_{33} \end{bmatrix}$$
 (3.60)

with

$$m_{xy} = m - X_{\dot{u}} = m - Y_{\dot{v}} \tag{3.61}$$

13

$$m_{33} = I_z - N_{\dot{r}} \tag{3.62}$$

$$d_{xy} = -X_u = -Y_v \tag{3.63}$$

$$d_{33} = -N_r (3.64)$$

#### 3.8. Summary

The combined six degrees of freedom equations of motion is shown below:

$$\dot{n} = J(n)v \tag{3.65}$$

$$M\dot{v} = -C(v)v - D(v)v - g(n) + \tau + \tau_E$$
(3.66)

where

$$M = M_{RB} + M_A \tag{3.67}$$

$$C(v) = C_{RB}(v) + C_A(v)$$
 (3.68)

 $\mathbf{J}(\mathbf{n})$ , equation 3.12 and 3.13, is the transformation matrix which translate  $\mathbf{v_1}$  and  $\mathbf{v_2}$  through the functions of the Euler angles to  $\dot{\mathbf{n_1}}$  and  $\dot{\mathbf{n_2}}$ .  $\mathbf{C}(\mathbf{v})$  is the linear combination of the rigid body Coriolis and centripetal matrix  $\mathbf{C_{RB}}(\mathbf{v})$ , equation A.2 and the hydrodynamic Coriolis and centripetal matrix  $\mathbf{C_A}(\mathbf{v})$ , equation 3.27.  $\mathbf{D}(\mathbf{v})$ , equation 3.29, is the hydrodynamic damping and  $\mathbf{g}(\mathbf{n})$ , equation 3.28, is the restoring forces and moments, equation 3.32. The propulsion forces and moments is modelled by  $\tau$  and the environmental disturbances by  $\tau_E$ .

#### 3.9. Simulation Results

### Chapter 4

## Modeling of a Fixed-Wing Sail, Keel and Rudder

This chapter the modeling of a fixed-wing sail is considered. Traditional sails consist of a mainsail and a jib [6]. The sail considered in the chapter is a fixed-wing sail that is fully autonomous. The sail takes inspiration from a free rotating fixed wing sail [7] and fixed-wing sail [8]. The chapter models the forces experienced by adding a fixed-wing sail to the model described in Chapter 3. Also discussed in this chapter is the modelling of forces, moments and constraints caused by a rudder and keel.

#### 4.1. Rudder Forces and Moments

The forces and moments experienced by a sailboat due to the rudder are defined in [9]. The equations formulated for the forces and moments are illustrated below

$$X_{rud} = C_{X\delta_R} sin(\alpha_R) sin(\delta_R) \times \frac{1}{2} \rho_w v_B^2 L_{WL} D_K$$
(4.1)

$$Y_{rud} = C_{Y\delta_R} sin(\alpha_R) cos(\delta_R) cos(\phi) \times \frac{1}{2} \rho_w v_B^2 L_{WL} D_K$$
(4.2)

$$K_{rud} = C_{K\delta_R} sin(\alpha_R) sin(\delta_R) \times \frac{1}{2} \rho_w v_B^2 L_{WL} D_K$$
(4.3)

$$N_{rud} = C_{N\delta_R} sin(\alpha_R) cos(\delta_R) cos(\phi) \times \frac{1}{2} \rho_w v_B^2 L_{WL} D_K$$
(4.4)

where  $C_{X\delta_R}$ ,  $C_{Y\delta_R}$ ,  $C_{K\delta_R}$ ,  $C_{N\delta_R}$  are non-dimensional coefficients,  $V_B$  is the boat velocity,  $\rho_{\omega}$  is the water density,  $L_{WL}$  is the length on design waterline,  $D_K$  is the design draft length,  $\delta_R$  is the physical rudder angle and  $\alpha_R$  is the effective angle of attack on the rudder as defined below

$$\alpha_R = \delta_R - \epsilon_y \gamma - tan^{-1} \left( \frac{x_R R}{U} \right) \tag{4.5}$$

$$\epsilon = \frac{d\epsilon}{d\gamma} \times \gamma = \epsilon_{\gamma} \gamma \tag{4.6}$$

where  $\gamma$  is the leeway angle the sailboat is sailing and  $\epsilon$  is the angle of inflow from the downwash generated by the keel and  $x_R$  is the longitudinal distance of the quarter-chord point of the rudder to the CG of the boat.

The angles  $\alpha_R$ ,  $\delta_R$ ,  $\gamma$  and  $\epsilon$  are illustrated in Figure 4.1

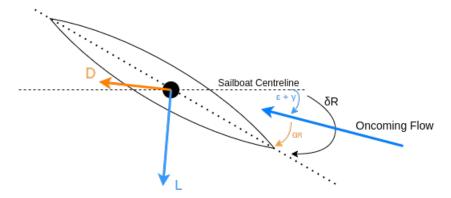


Figure 4.1: Definition of Rudder Angles

#### 4.2. Sail Theory

#### 4.2.1. Sail Theory and Terminology

Sail makers make use of their own language in naming for sails and sailboats [10]. It is important to know this language in order to have a conversation about sails. For instance the front of a sail is called the bow while the rear is called the stern. As shown in Figure 4.2 the luff is the leading edge of the sail and the leech is the trailing. The foot is the bottom edge which can be attached to the boom or left loose. Sails generally come to a point at the head, the attachment point to the mast at the top of the sail, but it is also common to see sails with a square top. The tack and the clew are the attachments points of the foot at the luff and leech respectively.

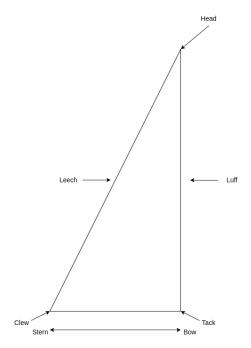
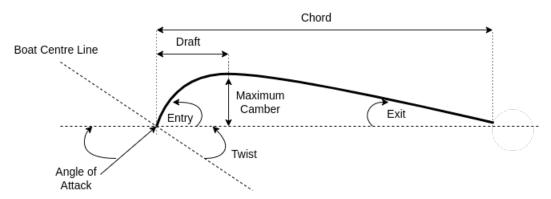


Figure 4.2: Naming convention of a sail



**Figure 4.3:** Terminology of a sail wing and shape

The shape of a sail can vary in its height and span and has almost no thickness, a horizontal section can be classified using the terminology of a wing. The terms are illustrated in Figure 4.3. The *chord* is the straight line between the leading and trailing edge. Camber is then the perpendicular distance from the chord line to the foil. Draft is the position of the maximum camber along the chord line. Entry and exit angles of the foil are, respectively, the angles of the leading and trailing edge to the chord line. Angle of attack(AoA) is the angle between the oncoming flow and the chord line and the attack(AoA) is the angle between the sailboat's centre line.

Depending on the tack of the boat, the side from which the wind is blowing from, illustrated in Figure 4.4, is the *windward* side and the other side will be *leeward* side.

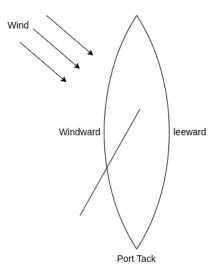


Figure 4.4: Windward and leeward definitions

#### 4.2.2. Aerodynamics of a Sail

The way sail function, is much alike to that of a wing with some differences. Both a sail and a wing generate a force due to a pressure difference acting over their area. The three major differences between a sail and a wing are that a sail has almost no thickness, often has a large camber and generally operate at higher angles of attack. The flow around the sail is also usually disturbed by the mast or stays leading to large areas of separation as shown in [11].

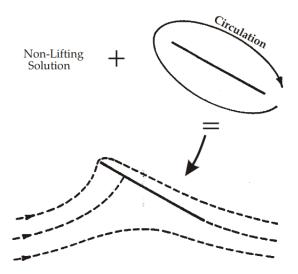
Bernoulli's equation state that the pressure is inversely related to velocity and thus it can be seen through the contraction of the streamlines over the leeward side that the flow will accelerate creating a drop in pressure [11].

The simplified explanation of how lift is created due to the particles travelling further over the leeward side does not hold much substances for thin aerofoils such as sails. The way a airplane wing generates lift is due to the shape of the airfoil, the air flows faster over the top than it does over the bottom, because it has a further travel distance. With thin-airfoil sails the distance over the top and bottom is the same, so this the same reasoning for lift does not hold. By looking at the numerical solution of a flat plate, found in [12], gives insight to how a sail is affected by pressure. The first results, illustrated in Figure 4.5, showed that the thin-airfoil has no lift, which is incorrect.



Figure 4.5: Flow field without circulation

Noting that these mathematical models determined streamlines that make very sharp turns in getting around the leading edge and trailing edge of the airfoil. For a thin airfoil this would mean infinite velocities, and to reduced these velocities the airfoil can be bent around the leading edge. For the flow at the trailing edge the assumption is made that the airflow will leave the airfoil smoothly in a direction determined by an imaginary slight extension of the airfoil, which is known as the Kutta condition. It has been found that the Kutta condition can be satisfied mathematically by superimposing another type of flow solution, called circulation, onto the flat plate airfoil model. The superposition is shown in Figure 4.6.



**Figure 4.6:** Superposition of circulation and non-circulation solution to give lift

#### 4.2.3. Sail Forces and Moments

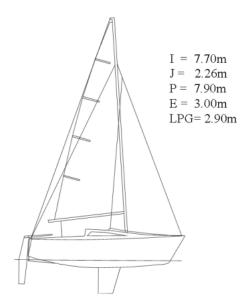


Figure 4.7: Example of Sailboat

The sail forces and moments are expressed in their horizontal components. When the sail is in the upright position the aerodynamic coefficients  $X_{s0}'$  and  $Y_{s0}'$  are expressed using lift coefficient  $L_{s0}'$  and drag coefficient  $D_{s0}'$  as shown in [13].

$$X_{s0}^{'} = L_{s0}^{'} sin(\gamma_A) - D_{s0}^{'} cos(\gamma_A)$$
(4.7)

$$Y'_{s0} = -L'_{s0}\cos(\gamma_A) - D'_{s0}\sin(\gamma_A)$$
(4.8)

where the subscript of 0 means value at the upright condition.

In the heeled condition, when the vessel is rotated due to the sail lift and drag force, the effect of the heel on the aerodynamic forces is produced by the reduction of both the apparent wind angle and apparent wind speed. The apparent wind angle in the heeled condition  $\gamma_{A\phi}$  is expressed as follows using the apparent wind angle  $\gamma_A$  and apparent wind speed  $U_A$ :

$$\gamma_{A\phi} = tan^{-1} \left( \frac{U_A sin(\gamma_A) cos(\phi)}{U_A cos(\gamma_A)} \right) = tan^{-1} (tan(\gamma_A) cos(\phi))$$
(4.9)

The apparent wind speed in the heeled condition  $U_{A\phi}$  is also expressed as:

$$U_{A\phi} = \sqrt{((U_A cos(\gamma_A))^2 + (U_A sin(\gamma_A) cos(\phi))^2} = U_A \sqrt{1 - (sin(\gamma_A) sin(\phi))^2}$$

$$(4.10)$$

For the close-hauled condition, tacking behaviour of sailboat due to not being able to sail directly into the wind, the sail may not stall due to the small attack angle. Therefore, the lift force will decrease proportionally to the reduction of both the apparent wind angle and the dynamic pressure of flow(square of the apparent wind speed). Hence the decreasing ratio of lift force by the heel angle  $\phi$  can be described as:

$$\left(\frac{\gamma_{A\phi}}{\gamma_A}\right)\left(\frac{U_{A\phi}}{U_A}\right) = \left(\frac{tan^{-1}(tan(\gamma_A)cos(\phi))}{\gamma_A}\right)\left(1 - (sin(\gamma_A)sin(\phi))^2\right)$$
(4.11)

The vector of lift force inclines with heel angle and rotates in the normal plane to the apparent wind axis. Since the angle between the apparent wind axis and the boat center line(heeling axis) is  $\gamma_A$ , the rotating angle of the lift force vector  $\phi'$  in the normal plane to the apparent wind axis is given by:

$$\phi' = \sin^{-1}(\cos(\gamma_A)\sin(\phi)) \tag{4.12}$$

Therefore, the decreasing ratio of horizontal component of the lift force is expressed as:

$$\left(\frac{\gamma_{A\phi}}{\gamma_A}\right) \left(\frac{U_{A\phi}}{U_A}\right) \cos(\phi') = \left(\frac{\tan^{-1}(\tan(\gamma_A)\cos(\phi))}{\gamma_A}\right) \left(1 - (\sin(\gamma_A)\sin(\phi))^2\right) \cos(\sin^{-1}(\cos(\gamma_A)\sin(\phi))) \tag{4.13}$$

Expanding the above mention equation in a power series and assuming that  $\gamma_A$  is small, results in

$$\left(\frac{\gamma_{A\phi}}{\gamma_{A}}\right)\left(\frac{U_{A\phi}}{U_{A}}\right)\cos(\phi') \approx \left(\cos^{2}(\phi) + \frac{1}{2}\sin^{2}(\phi)\right)\cos(\phi) = \frac{1}{2}\left(\cos(\phi) + \cos^{3}(\phi)\right) \tag{4.14}$$

Equation 4.14 can be further expanded in terms of  $\phi$  and results in

$$\left(\frac{\gamma_{A\phi}}{\gamma_A}\right)\left(\frac{U_{A\phi}}{U_A}\right)\cos(\phi') \approx 1 - \phi^2$$
 (4.15)

Equation 4.15 is incidentally equal to the first two terms of the power series for the  $\cos^2(\phi)$  function. Hence the curve of the  $\cos^2(\phi)$  was compared with the calculated results in Equation 4.13 for three  $\gamma_A$  cases. The calculated results show agreement with the curve of  $\cos^2(\phi)$  in spite of the large  $\gamma_A$ . Therefore, we adopted the formula of  $\cos^2(\phi)$  to express the decreasing ratio of the horizontal component of the lift force in place of Equation 4.13.

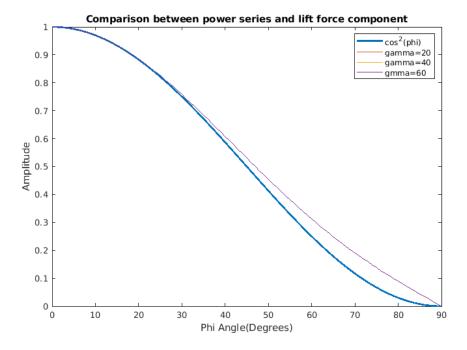


Figure 4.8: Lift force component represented as a power curve

Finally, when the lift coefficient represents the variation of the lift force including the contribution

of dynamic pressure of apparent wind speed, the horizontal component of lift coefficient in the heeled condition  $L_s'$  is described as:

$$L_{S}^{'} = L_{s0}^{'} cos^{2}(\phi) \tag{4.16}$$

The main part of the drag is caused by the induced drag, which is in proportion to the square of the lift force. The reduction of lift force expressed by Equation 4.11 is also approximated by  $cos(\phi)$ . The vector of the drag force is in line with the apparent wind axis and does not incline by the heel angle. Therefore the horizontal component of the drag coefficient  $D_s'$  is described as:

$$D_{s}^{'} = D_{s0}^{'} cos^{2}(\phi) \tag{4.17}$$

From these results, the aerodynamic coefficients in the horizontal components  $X'_s$  and  $Y'_s$  are then expressed as follows using the coefficients at the upright condition  $L'_{s0}$  and  $D'_{s0}$ :

$$X_{s}^{'} = L_{S}^{'} sin(\gamma_{A}) - D_{S}^{'} cos(\gamma_{A}) = L_{s0}^{'} cos^{2}(\phi) sin(\gamma_{A}) - D_{s0}^{'} cos^{2}(\phi) cos(\gamma_{A}) = X_{s0}^{'} cos^{2}(\phi)$$
(4.18)

$$Y_{s}^{'} = L_{S}^{'} cos(\gamma_{A}) - D_{S}^{'} sin(\gamma_{A}) = L_{s0}^{'} cos^{2}(\phi) cos(\gamma_{A}) - D_{s0}^{'} cos^{s} in(\gamma_{A}) = Y_{s0}^{'} cos^{2}(\phi)$$
(4.19)

The moment  $K_s$  is generated mainly by the  $Y_s$  force, however it is also affected by the component normal to the mast, hence

$$K_{s}^{'} = -Y_{s}^{'} \left(\frac{z_{GCE}^{G}}{\sqrt{S_{A}}}\right) / cos(\phi)$$

$$(4.20)$$

where  $z_{GCE}^G$  is the z-coordinate of the geometric center of effort of the sail from the CG of the boat and negative upwards.

The moment  $N_s$  is also generated mainly by the  $Y_s$  force, however, it is well known that the  $N_s$  is also affected by the heel angle  $\phi$  due to the application point of the thrust force  $X_s$  moving outboard to lee side. Therefore  $N_s'$  can be written, including the effect of  $X_{s0}'$  as

$$N_{s}^{'} = \left(Y_{s0}^{'} \frac{x_{GCE}^{G}}{\sqrt{S_{A}}} + X_{s0}^{'} \frac{z_{GCE}^{G}}{\sqrt{S_{A}}} sin(\phi)\right) cos^{2}(\phi) \tag{4.21}$$

where  $x_{GCE}^G$  is x-coordinate of the geometric center of effort of the sail from CG of the boat.

The sail force and moment components defined above are shown below:

$$X_{s} = X_{s0}^{'} cos^{2}(\phi) \times \frac{1}{2} \rho_{a} U_{A}^{2} S_{A}$$
(4.22)

$$Y_{s} = Y_{s0}^{'} cos^{2}(\phi) \times \frac{1}{2} \rho_{a} U_{A}^{2} S_{A}$$
(4.23)

$$K_{s}^{'} = -Y_{s}^{'} \left(\frac{z_{GCE}^{G}}{\sqrt{S_{A}}}\right) / cos(\phi) \times \frac{1}{2} \rho_{a} U_{A}^{2} S_{A}$$

$$\tag{4.24}$$

$$N_{s}^{'} = \left(Y_{s0}^{'} \frac{x_{GCE}^{G}}{\sqrt{S_{A}}} + X_{s0}^{'} \frac{z_{GCE}^{G}}{\sqrt{S_{A}}} sin(\phi)\right) cos^{2}(\phi) \times \frac{1}{2} \rho_{a} U_{A}^{2} S_{A}$$
(4.25)

#### 4.3. Fixed-wing Sail

A simplified model, all the acting forces are assumed to be acting in the horizontal plane, are shown in Figure 4.9. The aerodynamic force has both a side force component  $F_{ax}$  and a forward force component  $F_{ay}$ . These forces need to be balanced by the corresponding hydrodynamic side force  $F_{Hx}$  and the resistance  $F_{Hy}$ . In order to generate the hydrodynamic side force, the hull needs to have a velocity relative to the water and an angle of attack, the leeway angle  $\lambda$  relative to the water flow field. The rudder of the boat is used to generate side force with the aim of controlling the longitudinal position of the sailboat.

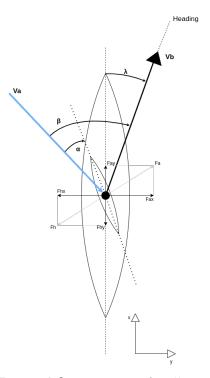


Figure 4.9: Top view of sailboat

Wind is both described in the n-frame and in the b-frame corresponding to true wind(tw) and apparent wind(aw) respectively. The definition of true wind and apparent wind is illustrated in Figure 4.10.

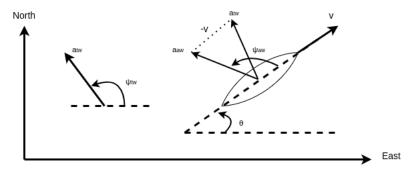


Figure 4.10: Definition of true and apparent wind

The apparent wind in Cartesian relative to the direction of the boat, i.e., the first coordinate corresponding to the heading of the boat can be calculated from true wind by

$$\mathbf{W}_{c,aw} = \begin{bmatrix} a_{tw}cos(\psi_{tw} - \theta) - v \\ a_{tw}sin(psi_{tw} - \theta) \end{bmatrix}$$
(4.26)

The corresponding polar coordinates are thus

$$\mathbf{W}_{p,aw} = \begin{bmatrix} a_{aw} \\ \psi_{aw} \end{bmatrix} = \begin{bmatrix} |\mathbf{W}_{\mathbf{c},\mathbf{aw}}| \\ atan2(\mathbf{W}_{c,aw}) \end{bmatrix}$$
(4.27)

The angle of attack,  $\alpha_s$ , on the sail is determined by the direction of the apparent wind and the angle of the sail,  $\alpha_s = \psi_{aw} - \lambda$ . The force on the sail is this given by,

$$F_s = -La_{aw}sin(\lambda - \psi_{aw}) \tag{4.28}$$

where L is the lift force and D is the drag force and are defined by:

$$L = \frac{1}{2}\rho A v_a^2 C_L \tag{4.29}$$

$$D = \frac{1}{2}\rho A v_a^2 C_D \tag{4.30}$$

where  $\rho$  is the air density, A is the plane area of the foil,  $v_a$  is the apparent wind velocity defined in equation,  $C_L$  and  $C_D$  are the lift and drag coefficients respectively. These coefficients depends on the winds angle of attack,  $\alpha$  and the foils shape. In [14] it is shown that the coefficients can be approximated as,

$$C_L = k_1 \sin(2\alpha) \tag{4.31}$$

$$C_L = k_1(1 - \cos(2\alpha)) \tag{4.32}$$

## **Bibliography**

- [1] K. D. Do and J. Pan, Control of Ships and Underwater Vehicles. Springer Science+Business Media, LLC, 2009.
- [2] R. Featherstone, Rigid Body Dynamics Algorithms. Springer Science+Business Media, LLC, 2008.
- [3] O. M. Faltinsen, Sea Loads on Ships and Offshore Structures. Cambridge University Press, 1990.
- [4] A. H. Techet, "Hydrodynamics for ocean engineers," http://web.mit.edu/13.012/www/handouts/Reading3.pdf, 2004.
- [5] W. Blendermann, "Parameter identification of wind loads on ships," Journal of Wind Engineering and Industrial Aerodynamics, vol. 51, no. 3, pp. 339–351, 1994.
- [6] S. Buckles, "The ultimate guide to sail types and rigs," Sailing Guides, 2023.
- [7] C. Tretow, "Design of a free-rotating wing sail for an autonomous sailboat," Master's thesis, KTH ROYAL INSTITUTE OF TECHNOLOGY SCHOOL OF ENGINEERING SCIENCES, Teknikringen 8D, 114 28 Stockholm, Sweden, Sep. 2017.
- [8] G. Kilpin, "Modelling and design of an autonomous sailboat for ocean observation," Master's thesis, University of Cape Town, Rondebosch, Cape Town, 7700, Sep. 2014.
- [9] K. Legursky, "System identification and the modeling of sailing yachts," Ph.D. dissertation, University of Kansas, 2013.
- [10] D. Morris, "Derivation of forces on a sail using pressure and shape measurements at full-scale," Master's thesis, CHALMERS UNIVERSITY OF TECHNOLOGY, Chalmersplatsen 4, 412 96 Göteborg, Sweden, Sep. 2011.
- [11] I. M. Viol and R. Flay, "Full-scale pressure measurements on a sparkman and stephens 24-foot," Journal of Wind Engineering and Industrial Aerodynamics, vol. 1, no. 1, pp. 800–807, 2010.
- [12] A. Gentry, "The aerodynamics of sail interaction," AIAA Symposium on the Aero/Hydronautics of Sailing, vol. 1, no. 1, p. 8, 1971.
- [13] Y. Masuyama and T. Fukasawa, "Tacking simulation of sailing yachts with new model of aerodynamic force variation during tacking maneuver," *Journal of Sailboat Technology*, vol. 1, no. 1, pp. 1–34, 2011.
- [14] L. X. J. Jouffroy, "Modeling and nonlinear heading control of sailing yachts," IEEE Journal of Oceanic Engineering, vol. 2, no. 39, pp. 256–268, 2014.

## Appendix A

## **Additional Modelling Information**

#### A.1. Notation and Vector Definitions

**Table A.1:** SNAME Notation for ocean vessels

Degree of freedom		Force and moment	Linear and	Position and
			angular velocity	Euler angles
1	Surge	X	u	x
2	Sway	Y	v	y
3	Heave	Z	w	z
4	Roll	K	p	$\phi$
5	Pitch	M	q	$\theta$
6	Yaw	N	r	$\psi$

**Table A.2:** Rigid body motion vectors

Vector	Components	Definition	
$\mathbf{f}_{Ob}$	$[X \ Y \ Z]^T$	force decomposed in the body-fixed frame	
$\mathbf{m}_{Ob}$	$[K\ M\ N]^T$	moment decomposed in the body-fixed frame	
$\  \mathbf{v}_{Ob} \ $	$[u\ v\ w]^T$	linear velocity decomposed in the body-fixed frame	
$\mathbf{w}_{Ob}^{E}$	$[p \ q \ r]^T$	angular velocity of the body-fixed relative to the	
		earth-fixed frame	
$\mathbf{r}_{Ob}$	$[x_g \ y_g \ z_g]^T$	vector from $O_b$ to CG decomposed in the body-fixed frame	

#### A.2. Modeling Equations

$$\mathbf{M_{RB}} = \begin{bmatrix} m & 0 & 0 & mz_g & mz_g & -my_g \\ 0 & m & 0 & 0 & 0 & mx_g \\ 0 & 0 & m & -mx_g & -mx_g & 0 \\ 0 & -mz_g & -my_g & I_x & -Ixy & -I_xz \\ mz_g & 0 & -mx_g & -I_{xy} & I_y & -I_yz \\ -my_g & mx_g & 0 & -I_{zx} & -I_{zy} & I_z \end{bmatrix}$$
(A.1)

$$\mathbf{C_{RB}(v)} = \begin{bmatrix} 0 & 0 & 0 & m(y_gq+z_gr) & -m(x_gq-w) & -m(x_gr+v) \\ 0 & 0 & 0 & 0 & -m(y_gp+w) & m(z_gr+x_gp) & -m(y_gr-u) \\ 0 & 0 & 0 & 0 & -m(z_gp-v) & -m(z_gq+u) & m(x_gp+y_gq) \\ -m(y_gq+z_gr) & m(y_gp+w) & m(y_gp-v) & 0 & -I_{yz}q-I_{xz}q+I_zr & I_{yz}r+I_{xy}p-I_yq \\ m(x_gp-w) & -m(z_gr-x_gp) & m(z_gq+u) & I_{yz}q+I_{xz}p-I_zr & 0 & -I_{xz}r-I_{xy}q+I_Xp \\ m(x_gr+v) & m(y_gr-u) & -m(x_gp+y_gq) & -I_{yz}r-I_{xy}p+I_yq & I_{xz}r+I_{xy}q-I_xp & 0 \end{bmatrix}$$

$$a_1 = X_{\dot{u}}u + X_{\dot{v}}v + X_{\dot{w}}w + X_{\dot{p}}p + X_{\dot{q}}q + X_{\dot{r}}r \tag{A.3}$$

$$a_2 = Y_{\dot{u}}u + Y_{\dot{v}}v + Y_{\dot{w}}w + Y_{\dot{p}}p + Y_{\dot{q}}q + Y_{\dot{r}}r \tag{A.4}$$

$$a_3 = Z_{ii}u + Z_{iv}v + Z_{iv}w + Z_{ip}p + Z_{iq}q + Z_{ir}r \tag{A.5}$$

$$b_1 = K_{\dot{u}}u + K_{\dot{v}}v + K_{\dot{v}}w + K_{\dot{p}}p + K_{\dot{q}}q + K_{\dot{r}}r \tag{A.6}$$

$$b_2 = M_{\dot{u}}u + M_{\dot{v}}v + M_{\dot{w}}w + M_{\dot{p}}p + M_{\dot{q}}q + M_{\dot{r}}r \tag{A.7}$$

$$b_3 = N_{\dot{u}}u + N_{\dot{v}}v + N_{\dot{v}}w + N_{\dot{v}}p + N_{\dot{q}}q + N_{\dot{r}}r \tag{A.8}$$