TITLE: Building a Real-Time Stock Price Alert System on AWS Chapter-1: INTRODUCTION

In an increasingly volatile financial market, timely access to stock price information is critical for investors and traders. The Stock Price Alerting System is designed to empower users by providing real-time notifications about significant stock price changes. This system enables users to monitor their investments efficiently and make informed decisions promptly.

Purpose of the System: The primary purpose of the Stock Price Alerting System is to automate the process of tracking stock prices and alerting users when specific price thresholds are reached. By leveraging cloud technologies, this system minimizes the need for constant manual monitoring, allowing users to focus on strategy and decision-making rather than real-time price tracking.

Kev Features

- Real-Time Monitoring: The system continuously fetches stock prices from reliable data sources to provide up-to-the-minute information.
- Customizable Alerts: Users can define specific price points for their chosen stocks, receiving notifications via email or SMS when those thresholds are met.
- Automated Notification Delivery: Leveraging services like Amazon SNS (Simple Notification Service), the system automates the delivery of alerts, ensuring that users are informed immediately of price changes.
- Scalable and Cost-Effective: Built on a serverless architecture using AWS services like Lambda, this system can efficiently handle varying loads and minimize operational costs.
- Development Using AWS Cloud9: The system's setup is simplified using AWS Cloud9, a cloud-based Integrated Development Environment (IDE) that enables users to create, edit, and manage their Lambda function code without the need for local installations or configurations.

System Components

- 1. **AWS Lambda:** A serverless compute service that runs the monitoring and alerting logic without requiring dedicated server infrastructure.
- 2. **Amazon SNS:** A messaging service that facilitates the delivery of alerts via email and SMS.
- 3. **Amazon CloudWatch:** A monitoring service that schedules the execution of Lambda functions and provides logging capabilities.
- 4. **AWS Cloud9:** A cloud IDE used to develop and manage the Lambda function, making it easy for users to create and test their code in a collaborative environment.
- 5. Third-Party API (e.g., Alpha Vantage): A data source for fetching real-time stock price information, essential for triggering alerts based on user-defined criteria.

Chapter-2:EXECUTIVE SUMMARY

The **Stock Price Alerting System** is a cloud-based solution designed to automate stock price monitoring and provide real-time alerts to users. This system enhances decision-making for investors and traders by ensuring timely notifications of significant price movements, eliminating the need for constant market surveillance.

System Overview

The architecture of the Stock Price Alerting System leverages multiple Amazon Web Services (AWS):

- **AWS Lambda**: Executes the core application logic without server management, retrieving stock prices at scheduled intervals and checking against user-defined alert criteria.
- Amazon SNS (Simple Notification Service): Delivers alerts via email or SMS, ensuring users are promptly informed when stock prices reach specified thresholds.
- Amazon CloudWatch: Monitors Lambda function performance and execution, allowing for the scheduling of regular price checks and ensuring reliable system operation.
- **AWS Cloud9**: A cloud-based Integrated Development Environment (IDE) that simplifies the development, testing, and management of Lambda functions, enabling users to code without local infrastructure.
- Third-Party API (e.g., Alpha Vantage): Integrates with APIs to fetch real-time stock price data, facilitating effective monitoring of market fluctuations for selected stocks.

Key Benefits

The Stock Price Alerting System offers several advantages:

- **Real-Time Updates**: Immediate alerts regarding stock price changes enable prompt responses to market movements.
- Customizable Notification Settings: Users can tailor alert preferences based on specific price thresholds, ensuring relevant notifications.
- **Automation and Efficiency**: Automating the monitoring process saves time and reduces the risk of missing critical trading opportunities.
- **Scalability**: The serverless architecture allows seamless handling of varying loads, accommodating the needs of both individual investors and larger trading teams.
- Cost-Effectiveness: Utilizing AWS services incurs costs only when in use, making it an economical choice for users seeking robust monitoring solutions.

Target Audience

The system is designed for individual investors, day traders, financial analysts, and anyone who needs timely stock price information to make informed trading decisions. It is suitable for both beginners who are new to stock trading and experienced professionals who want advanced monitoring featur

Chapter 3: IMPLEMENTATION

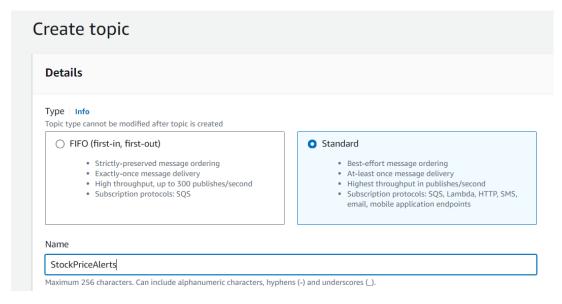
1. Create an SNS Topic for Notifications

Step 1: Navigate to Amazon SNS

• In the services menu, search for and select SNS.

Step 2: Create a New Topic

- Click on the **Topics** option in the left sidebar.
- Click on the **Create topic** button.
- Select **Standard** as the type of topic.



Step 3: Configure Topic Details

- Fill in the following details:
 - o Name: Enter a unique name for your topic (e.g., StockPriceAlerts).

Step 4: Create the Topic

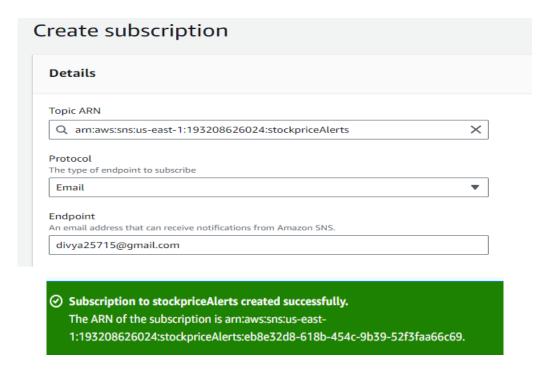
• Click on the Create topic button. You will be taken to the topic details page.

▼ Topic StockPriceAlerts created successfully.
 You can create subscriptions and send messages to them from this topic.

4.2 Subscribing to the SNS Topic

Step 5: Create a Subscription

• On the topic details page, click on the Create subscription button.

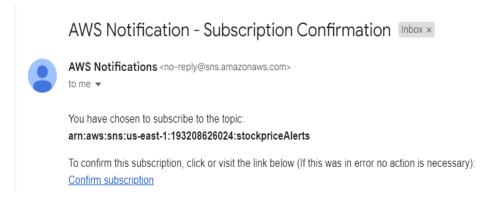


Step 6: Configure Subscription Details

- Fill in the following details:
 - o **Protocol**: Choose the protocol for notifications (e.g., **Email** or **SMS**).
 - Endpoint: Enter the email address or phone number that will receive notifications.

Step 7: Confirm Subscription

• If you selected **Email**, check your email for a subscription confirmation message and click the confirmation link.



Step 8: Verify Subscription:

• After confirming, the subscription status should change to **Confirmed** in the SNS console.



Subscription confirmed!

You have successfully subscribed.

Your subscription's id is:

arn:aws:sns:us-east-1:590184086939:stockPriceAlerts:d9532a09-6dbd-4f7c-b66e-e86a5c961577

If it was not your intention to subscribe, click here to unsubscribe.

2.Setting Up AWS Cloud9

Step 1: Access AWS Cloud9

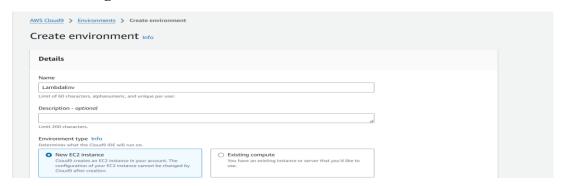
- 1. Navigate to Cloud9:
 - o In the services menu, type Cloud9 and select it.

Step 2: Create a New Cloud9 Environment

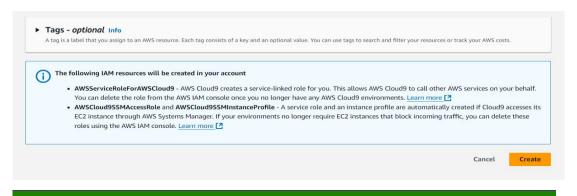
- 1. Click on "Create environment".
- 2. Enter Environment Name:
 - o Name it LambdaEnv.
- 3. Click "Next".

Step 3: Configure the Environment

- 1. Environment Settings:
 - o **Instance type**: Select t2.micro or similar.
 - Platform: Ensure Amazon Linux is selected.
 - o Cost-saving setting: Configure as preferred.
- 2. Click "Next".
- 3. Review settings and click "Create environment".



4. Wait for the environment to be provisioned.



Successfully created LambdaEnv. To get the most out of your environment, see Best practices for using AWS Cloud9 <a>In

Step 4: Set Up the Development Environment

- 1. **Open Terminal**:
 - o The terminal is located at the bottom of the IDE.
- 2. Create a Directory:
 - o Run the following commands:

```
# mkdir lambda_requests_function
```

#cd lambda_requests_function

- 3. Install Required Libraries:
 - Install the requests library:

#pip install requests -t.

➤ Go to a folder lambda_requests_function inside it run the below code as save it as lambda_function:

```
import json
import requests
import boto3
import os

# Set up SNS client
sns = boto3.client('sns')

# Set up stock API details
STOCK_API_KEY = os.getenv("STOCK_API_KEY", "demo")
STOCK_SYMBOL = os.getenv("STOCK_SYMBOL", "IBM")

# SNS topic ARN (replace with your actual ARN)
```

```
SNS TOPIC ARN = os.getenv("SNS TOPIC ARN", "arn:aws:sns:us-east-
1:590184086939:stockPriceAlerts")
# Threshold values
UPPER THRESHOLD = float(os.getenv("UPPER THRESHOLD", 150.00))
LOWER THRESHOLD = float(os.getenv("LOWER THRESHOLD", 100.00))
def get stock price(symbol):
    # Alpha Vantage API URL
    url =
f"https://www.alphavantage.co/query?function=TIME SERIES INTRADAY
&symbol={STOCK SYMBOL}&interval=1min&apikey={STOCK API KEY}"
    response = requests.get(url)
    data = response.json()
    # Extract the latest stock price
    time series = data.get("Time Series (1min)", {})
    if not time series:
        return None
    latest time = sorted(time series.keys())[0]
    latest price = float(time series[latest time]["1. open"])
    return latest price
def lambda handler(event, context):
    # Get the current stock price
    stock price = get stock price(STOCK SYMBOL)
    if stock price is None:
        print("Could not fetch stock price")
        return {"statusCode": 500, "body": json.dumps("Stock
price fetch failed") }
    print(f"Current {STOCK SYMBOL} price: {stock price}")
    # Check if the stock price exceeds the upper threshold
```

```
if stock price > UPPER THRESHOLD:
        message = f"The stock price of {STOCK SYMBOL} is
${stock price}, which exceeds your upper threshold of
${UPPER THRESHOLD}!"
        # Send an SNS notification for upper threshold
        sns.publish(
            TopicArn=SNS TOPIC ARN,
            Message=message,
            Subject=f"{STOCK SYMBOL} Stock Price Alert: Above
Threshold"
        )
        print("Upper threshold alert sent!")
    # Check if the stock price falls below the lower threshold
    elif stock price < LOWER THRESHOLD:</pre>
        message = f"The stock price of {STOCK SYMBOL} is
${stock price}, which is below your lower threshold of
${LOWER THRESHOLD}!"
        # Send an SNS notification for lower threshold
        sns.publish(
            TopicArn=SNS TOPIC ARN,
            Message=message,
            Subject=f"{STOCK SYMBOL} Stock Price Alert: Below
Threshold"
        )
        print("Lower threshold alert sent!")
    else:
        print(f"The stock price of {STOCK SYMBOL} is within the
threshold range.")
    return {"statusCode": 200, "body": json.dumps(f"Checked stock
price: ${stock price}")}
```

Step 6: Zip the Project for Lambda Deployment

1. **Zip the Directory Contents**:

o Run the following command:

zip -r lambda function.zip.

This will create a file named 'lambda function.zip' that contains both the

'requests' library and your 'lambda function.py' code

```
Now download the whole project in to your local files in system
ec2-user:~/environment $ mkdir lambda_requests_function
      ec2-user:~/environment $ cd lambda requests function/
      ec2-user:~/environment/lambda_requests_function $ pip install requests -t
        pip install [options] <requirement specifier> [package-index-options] ...
        pip install [options] -r <requirements file> [package-index-options] ...
        pip install [options] [-e] <vcs project url> ...
pip install [options] [-e] <local project path> ...
        pip install [options] <archive url/path> ...
      -t option requires 1 argument
      ec2-user:~/environment/lambda_requests_function    $ zip -r lambda_function.py .
               zip warning: missing end signature--probably not a zip file (did you
               zip warning: remember to use binary mode when you transferred it?)
               zip warning: (if you are trying to read a damaged archive try -F)
      zip error: Zip file structure invalid (lambda_function.py)
      ec2-user:~/environment/lambda_requests_function $ zip -r lambda_function.zip .
adding: lambda_function.py (deflated 62%)
      ec2-user:~/environment/lambda_requests_function $
```

3. Upload the ZIP File to AWS Lambda

Creating the Lambda Function

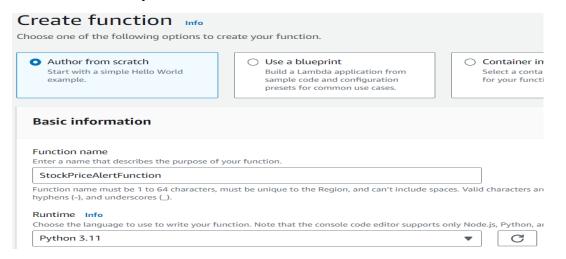
Step 1: Log in to the AWS Management Console

Step 2: Access AWS Lambda

In the services menu, search for and select Lambda.

Step 3: Create a New Function

- 1. Click on Create function.
- 2. Choose Author from scratch.
 - Function name: Enter StockPriceAlertFunction.
 - Runtime: Select Python 3.x.



Execution role: Choose Create a new role with basic Lambda permissions.

Architecture Info Choose the instruction set architecture you want for your function
○ x86_64
○ arm64
Permissions Info
By default, Lambda will create an execution role with permission default role later when adding triggers.
▼ Change default execution role
▼ Change default execution role Execution role
Execution role
Execution role Choose a role that defines the permissions of your function. To c

3. Click Create function.

Successfully created the function StockPriceAlertFunction. You can now change its code and configuration. To invoke your function with a test event, choose "Test".

Step 4: Upload the Zip File to AWS Lambda

1. Navigate to the Code Section:

o In the Lambda function page, scroll down to the Function code section.

2. Upload the Zip File:

- o Click on the **Upload** button and select .zip file from the dropdown menu.
- o Choose the lambda_function.zip file that you created earlier.



3. Save Your Changes:

o After the zip file is uploaded, click the **Save** button to apply the changes.

4. Set Lambda Permissions for SNS

Step 1: Open the IAM Console

- Log in: Go to the AWS Management Console and sign in with your AWS credentials.
- **Search for IAM**: In the search bar at the top, type **IAM** and select **IAM** from the dropdown list to open the IAM Console.

Step 2: Navigate to Roles

• In the IAM Console, look at the left sidebar and click on **Roles** to view a list of IAM roles in your account.

Step 3: Find the Lambda Execution Role

- In the list of roles, find the role that was created for your Lambda function. The role name often includes the name of the Lambda function for easier identification.
- Click on the role name to open the role's details page.

Step 4: Attach the SNS Publish Policy

- On the role details page, navigate to the **Permissions** tab.
- Click on the Add permissions button and select Attach policies from the dropdown.

Step 5: Create a Custom Policy (if necessary)

- If a suitable policy already exists, you can attach it directly. Otherwise, you will need to create a new policy:
 - o Click on Create policy.
 - o Go to the **JSON** tab.

Step 6: Add the Policy JSON

• In the JSON editor, paste the following policy, modifying the placeholders accordingly: Json

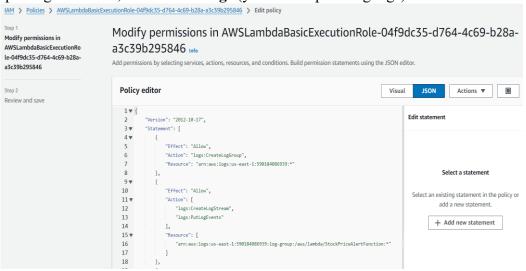
```
{
   "Version": "2012-10-17",
   "Statement": [
        {
           "Effect": "Allow",
           "Action": "logs:CreateLogGroup",
            "Resource": "arn:aws:logs:us-east-1:590184086939: *"
        },
        {
           "Effect": "Allow",
           "Action": [
                    "logs:CreateLogStream",
                    "logs:PutLogEvents" ],
            "Resource": [
                    "arn:aws:logs:us-east-1:590184086939:log-
group:/aws/lambda/StockPriceAlertFunction:*"
             1
        },
        {
           "Effect": "Allow",
           "Action": "sns:Publish",
```

• Replace the placeholders:

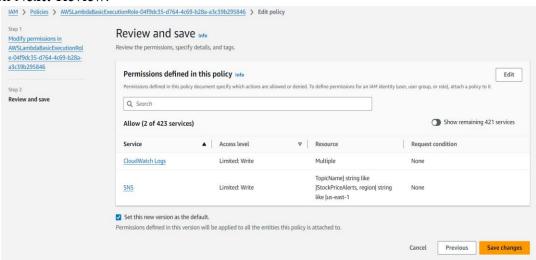
- o your-region: The AWS region where your SNS topic is located (e.g., us-east-1).
- o your-account-id: Your 12-digit AWS account ID.
- o StockPriceAlerts: The name of your SNS topic.

Step 7: Review and Create the Policy

• After pasting the JSON, click **Next: Tags** (you can skip adding tags).



• Click Next: Review.



- Give the policy a name, such as LambdaSNSPublishPolicy.
- Click Create policy.

❷ Policy AWSLambdaBasicExecutionRole-04f9dc35-d764-4c69-b28a-a3c39b295846 updated.

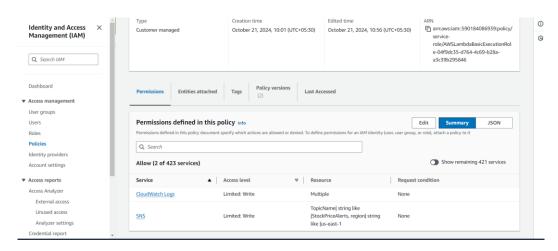
Step 8: Attach the Policy to the Lambda Role

- After creating the policy, return to the **Roles** section in IAM.
- Select the Lambda execution role you identified earlier.
- Click on Attach policies.

• Search for the newly created policy (LambdaSNSPublishPolicy), select it, and click **Attach** policy.

Step 9: Confirm Permissions

• Ensure the new policy appears in the list of permissions for the Lambda execution role.



5. Schedule the Lambda Function with CloudWatch

Step 1: Open the CloudWatch Console

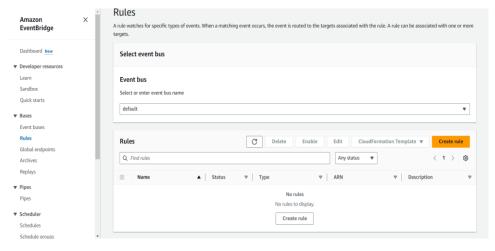
- Log in to the AWS Management Console using your AWS account credentials.
- In the **search bar** at the top, type **CloudWatch** and select **CloudWatch** from the dropdown list.

Step 2: Navigate to Rules

• In the CloudWatch Console, look at the left sidebar and click on **Rules** under the **Events** section.

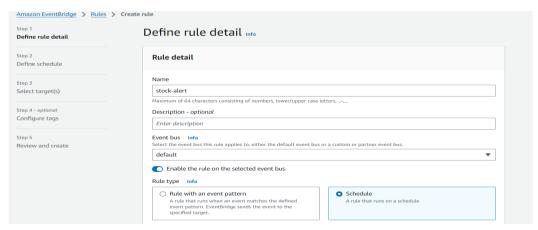
Step 3: Create a New Rule

• Click the Create rule button at the top right of the Rules page

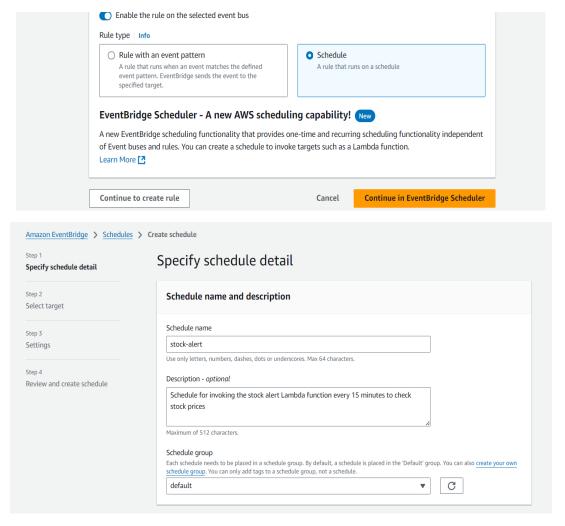


Step 4: Configure the Event Source

- Event Source: Under the Event Source section, select EventBridge (CloudWatch Events).
- Rule Type: Choose Schedule.



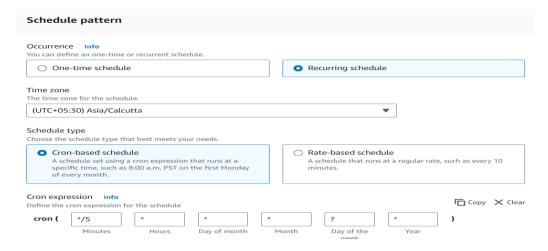
• Schedule Expression: In the Schedule expression field, enter rate(15 minutes) to specify that the Lambda function should run every 15 minutes.



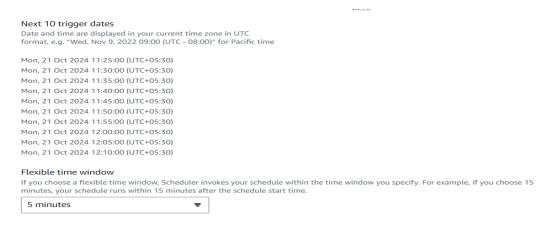
To clarify:

- ***/5**: Every 5 minutes

- *** (for hours, day of month, month, etc.): Every hour, day, month, etc.
- **?**: No specific day of the week (because you are using * for day of the month)

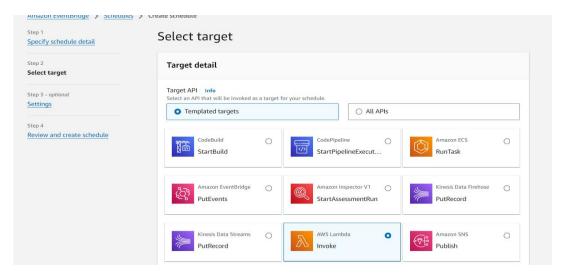


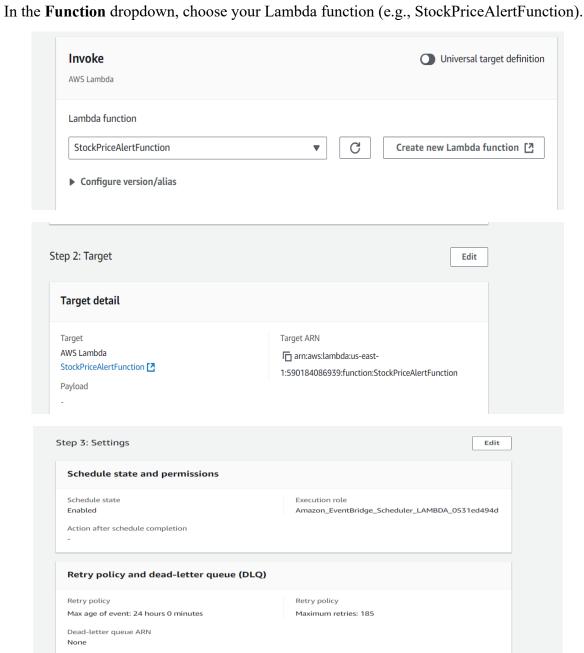
This will run the Lambda function every 5 minutes as scheduled. If you're fine with this, you can stick with the 5-minute schedule!

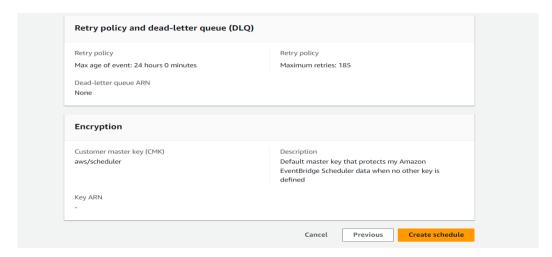


Step 5: Add the Lambda Function as the Target

- Scroll down to the **Targets** section.
- Click on Add target.
- In the Target type dropdown, select Lambda function.





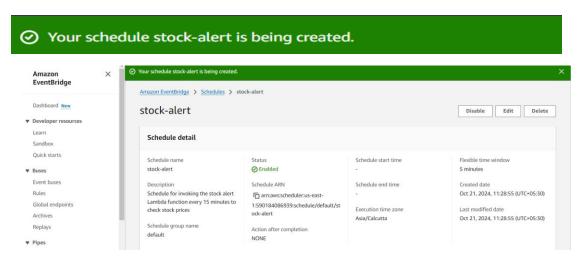


Step 6: Name and Create the Rule

- Scroll to the **Configure details** section.
- Enter a Name for your rule (e.g., StockPriceAlertSchedule).
- Ensure that the **State** is set to **Enabled**.
- Click the **Create rule** button at the bottom.

Step 7: Confirm the Rule

 Once created, your new rule should appear in the list of rules. Confirm that it's listed and enabled.



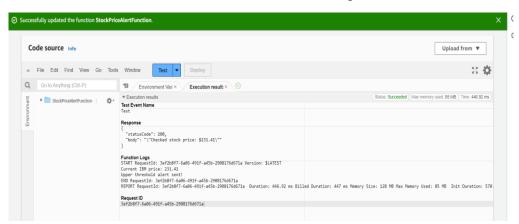
6.Test Your Lambda Function

Once the function is updated, you can test it by clicking the Test button in the Lambda console.

Manual Test

- You can manually test your Lambda function by clicking the Test button in the Lambda console.
 - o Navigate to your Lambda function in the AWS Management Console.

- o Click on the **Test** button.
- o Create a test event if you haven't already, or select an existing test event.
- o Click **Test** to execute the function and observe the output.



Wait for Trigger

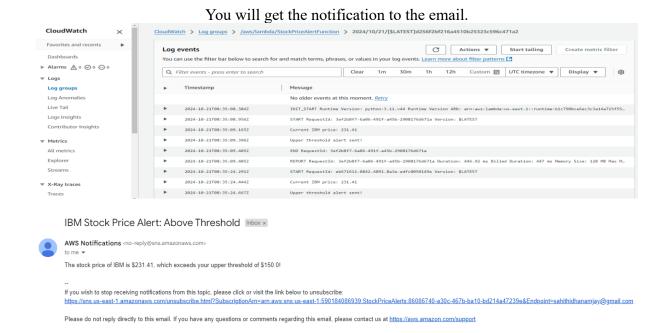
- If your Lambda function is set up with a scheduled trigger (e.g., using Amazon CloudWatch Events or EventBridge), wait for the scheduled time to see it execute automatically.
 - o Ensure that your rule is enabled to trigger the Lambda function at the specified interval (e.g., every 15 minutes).
 - o Check the time and ensure it aligns with the scheduled interval.

Monitor Logs

- You can view detailed logs of each Lambda execution in CloudWatch Logs.
 - Go to the CloudWatch Console.
 - o In the left sidebar, click on **Logs** and then **Log Groups**.
 - o Look for a log group named /aws/lambda/<YourFunctionName>, where <YourFunctionName> is the name of your Lambda function.
 - Click on the log group to view the log streams for each invocation of your Lambda function.
 - Select a log stream to see detailed information about the execution, including any errors or output generated by the function.

In CloudWatch you will get the monitoring details as

After 5 minutes:



Conclusion:

The stock price alert system built on AWS successfully addresses the need for real-time notifications, allowing users to stay informed about market movements. By leveraging various AWS services, the system is designed for scalability, reliability, and efficient user management. Users benefit from customizable alerts, enabling them to make informed trading decisions quickly.