### Transactional Memory in Practice

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# "May You Live in Interesting Times"

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- often said to be an ancient chinese curse

# "May You Live in Interesting Times"

- actually not an ancient Chinese curse

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1936: British diplomat hears it, writes it in memoir

"Better to be a dog in a peaceful time, than to be a man in a chaotic period."

# "May You Live in Interesting Times"

- actually not an ancient Chinese curse

The multi-core era is our "interesting times"

- Multi-core era is an "interesting time",
- forcing us to figure out concurrency
- when dealing with concurrency the Best course would be to share nothing
  - hard to avoid sharing state in most instances though
  - need to synchronize memory access...

#### **Current Synchronization Constructs**

- atomics
- mutexes

### **Atomics**

- Too fiddly for programming in the large
- Too fiddly for programming in the small as well except for limited circumstances...

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easy to get wrong with disastrous effects

### **Atomics**

- Too fiddly for programming in the large
- Too fiddly for programming in the small as well except for limited circumstances...by people who know what they're doing...

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easy to get wrong with disastrous effects

#### **Atomics**

- Too fiddly for programming in the large
- Too fiddly for programming in the small as well except for limited circumstances...by people who know what they're doing...who still have a hard time getting it right

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easy to get a "really subtle disaster"

#### Mutexes

- Not too fiddly for programming in the small
- Can work for programming in the large...but don't compose

- Work OK for small systems where you can get them to play nicely with each other and avoid deadlock
- But deadlock destroys the ability to compose solutions
- you can try to come up with all sort of ways to avoid it
  - but eventually there's a decent chance that you'll run into something like...

```
void some_function ()
{
   auto lock = std::lock_guard<std::mutex>(some_mutex);
   some_other_function ();
}
```

what does some\_other\_function do? need to know to avoid deadlock

```
void some_function ()
{
   auto lock = std::lock_guard<std::mutex>(some_mutex);
   some_library_function_that_you_dont_have_src_for ();
}
```

Have to hope that the documentation tells you whether a lock is taken or not, and hope that the documentation is correct

```
template <typename F>
void some_function (F no_way_to_know_what_this_func_does)
{
    auto lock = std::lock_guard<std::mutex>(some_mutex);
    no_way_to_know_what_this_func_does ();
}
```

All bets are off have to hope that caller respects any requests you make

```
void some_function ()
{
   auto lock = std::lock_guard<std::mutex>(some_mutex);
   some_other_function ();
}

void some_function ()
{
   auto lock = std::lock_guard<std::mutex>(some_mutex);
   some_library_function_that_you_dont_have_src_for ();
}

template <typename F>
void some_function (F no_way_to_know_what_this_func_does)
{
   auto lock = std::lock_guard<std::mutex>(some_mutex);
   no_way_to_know_what_this_func_does ();
}
```

The "asking nicely" pattern doesn't scale

- All cases require non-local reasoning about lock order
- All rely on the "asking nicely" pattern, and that doesn't scale

## We could use some better synchronization options

- Actors (aka CSP)
- Transactional Memory

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Actors = share very little
actors require more code restructuring
will say what TM is in a minute
transactional memory is more flexible
actors can be implemented on top of TM, but going the other way is difficult

# What is Transactional Memory?

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- Start here since interview candidates give blank stares when asked about  $\ensuremath{\mathsf{TM}}$ 

#### Transactions

```
transaction
{
   const auto a = var_a;
   const auto b = var_b;
   const auto var_c = a + b;
}
```

- Somehow we start a transaction
  - I'll get into more detail in a bit
- transactions behave "atomically"...

#### "Atomically", part one

```
//Thread 1
transaction
{
  const auto a = var_a;
  const auto b = var_b;
  const auto var_c = a + b;
}
//Thread 2
transaction
{
  var_a = 5;
}
```

We see a consistent view of memory in our transaction

- First part of "atomically"
- We need to see a consistent view of memory in our transaction
- transaction behaves as though there's no other threads running around messing with stuff  $\,$ 
  - could implement with one lock, but that would be a fancy way of writing single threaded code

#### "Atomically", part two

```
//Thread 1
transaction
{
    var_a = 10;
    //Do some other stuff
    //...
    //...
    //...
    var_b = 5;
}

transaction
{
    assert (var_a != 10);
    assert (var_b != 5);
}

transaction
{
    assert (var_a = 10);
    assert (var_b = 5);
}
```

Our writes become visible to other threads all at once

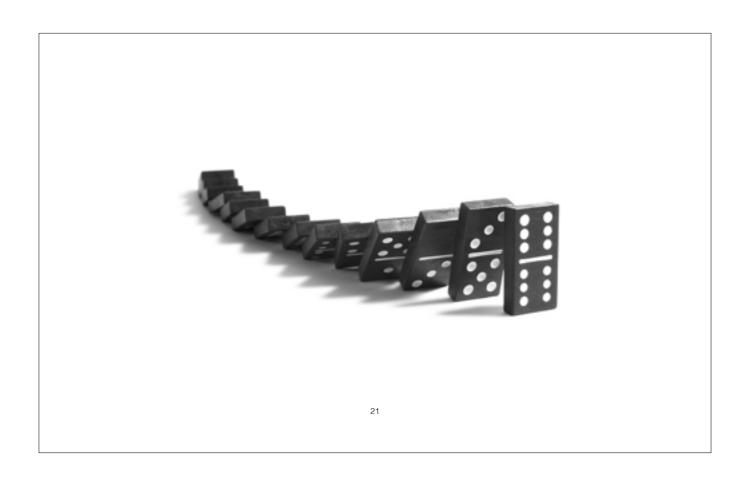
- writes aren't visible until thread 1 commits
- they all become visible at once
  - if you see one change you can see them all
  - assuming no other thread makes another change, then you might miss a change
- If this is implemented right there's no way to get deadlock

Why did we use transactional memory?

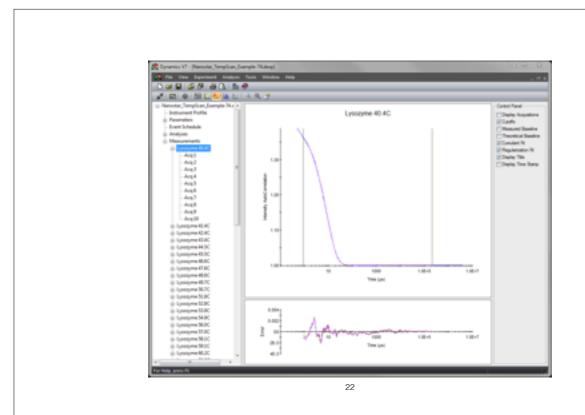


- Go back 9 years to when I started at Wyatt
- when I inherited Dynamics it was in this state (took a year or so to realize this)
  - the architecture was never meant the amount of data it was now being tasked with

  - thread safety was through the "do it in the GUI thread" pattern
     program would stutter and lock up for minutes at a time as calculations were done
  - impossible to use multiple cores for calculations
    attempting to fix this lead to ...



- fixing the threading issues led to a cascading series of changes that was going to require large parts of the program to be rewritten
   competitive pressures for features caused us to shelve fixing this for a few years
- In the interim was playing with Haskell STM
- wrote my own STM system in C++ for kicks
   it worked and TM seemed like a good fit for parts of Dynamics
  - originally only going to use it in a limited scope so that we could easily replace it if there were problems
  - ended up all over the place, more on that later
- The higher ups were more comfortable with using my TM system than with Haskell



- Before we get too much farther, should tell you a bit abut Dynamics
- 800K ~ 900K lines of code
  - not huge, but not trivial either
- files are broken down into measurements that are further broken down into acquisitions
  - calculations on acquisitions are independent
  - measurements depend on their acquisitions, but not on other measurements
  - lots of easy parallelism to exploit



#### Starting a transaction

```
template <typename Func>
auto atomically (Func f, const atomically_args& args = atomically_args ())
    -> decltype (f (std::declval<transaction>()));

void run_transaction ()
{
    const auto result = atomically ([](transaction& t) {return 0;});
}
```

- atomically creates the transaction object and passes it to the function that the caller passes in - transaction is not copyable and only atomically can create one
- atomically handles validating and committing or rolling back
- more on the "args" in a bit
- transaction has some methods, but we don't need to worry about that for now
- If the transaction function throws an exception then the transaction is rolled back
- kind of a boring transaction...

#### Transactional Variables

- ... variables make transactions more interesting
- this is the skeletal version, also have some convenience functions for reading, setting outside a transaction
  - the convenience functions run a transaction that just does the get/set
- system is explicit since only variable's are transacted
  - anything else accessed in a transaction is not transacted
  - This makes our system explicit

#### Optimistic Concurrency

- we journal the reads and writes
- at end of transaction we validate the reads
  - if a variable was changed by a transaction on another thread we roll back and start over
  - if no changes then we publish our writes to the rest of the system
- we don't validate writes
  - if there was no read of a variable then its old value was of no concern and if it changed it doesn't matter

#### **Nested Transactions**

- have to allow nested transactions else we lose composability
  - library only system in C++ has no choice, Haskell doesn't allow nesting, a compiler based system could disallow it
- have to call atomically when in a transaction to get a child transaction
  - most of the time you want to call a function and pass it the transaction object instead (less overhead)
- when child commits its read and writes are merged into the parent
- more details to come when we get to some examples

## SG5 Technical Specification

See N3919 and N4514

- ISO C++ committee study group for transactional memory
- N4514 voted out of committee as a TS at last meeting
- N3919 is more readable and gives motivation for what their doing

#### Starting a transaction

```
atomic_noexcept
{
    //throwing from here result in undefined behavior
}
atomic_cancel
{
    //throwing from here causes the transaction to rollback
}
atomic_commit
{
    //throwing from here causes the transaction to commit
}
```

- cancel requires the exception to be "transaction safe"
  - limited list of exceptions fits this description
  - supposed to prevent the observation of rolled back actions
- No requirements on implementations except that atomic blocks behave "atomically"
  - don't have to use optimistic concurrency

#### Transactional Variables

```
atomic_cancel
{
          ++i;
          x = y + 5;
}
```

everything done in a transaction is transacted"implicit system" (the Wyatt system is "explicit")

<sup>-</sup> might add "escape actions" later that allow one to marks part of the transaction as not being needed to be transacted

<sup>-</sup> have to be careful about not accessing the same data in and out of a transaction at the same time

<sup>-</sup> leads to data races

#### **Transaction Safety**

```
void implicitly_safe ()
{
    //does only "safe" stuff
}

void explicitly_safe () transaction_safe
{
    //does only "safe" stuff, otherwise won't compile
}

void unsafe ()
{
    //does stuff that isn't safe
}

atomic_cancel
{
    implicitly_safe (); // this is OK
    explicitly_safe (); // this is OK
    unsafe (); //won't compile
}
```

- only functions "safe" can be called within a transaction
- "safe" functions only do "safe" stuff (see N4515)
  - generally I/O and volatile access is not allowed
  - things get complicated for virtual functions (transaction\_safe\_dynamic)
- can be explicitly marked safe, safety of implementation enforced by compiler
- calling other functions in a transaction that aren't "transaction\_safe" won't compile
- only "safe" stuff can be done in a transaction
- wyatt system has a run-time check for functions that are explicitly marked "unsafe"
  - exception is thrown if unsafe function is called within a transaction
  - best we can do in a library

#### **Nested Transactions**

```
void child ()
{
   atomic_cancel
   {
      //...
   }
}
atomic_cancel
{
   child ();
}
```

- nesting transactions is allowed
- works similarly to the Wyatt system

The Canonical Synchronization Example

# The Canonical Synchronization Example

Bank Accounts

## Simple Transfer

```
//Myatt
variable<int> account1 (10);
variable<int> account2 (0);

void transfer (int x)
{
    atomically ([](transaction6 t)
    {
        account1.set (acount1.get (t) - x, t);
        account2.set (acount2.get (t) + x, t);
    });
}
//S65 TS
int account1 = 10;
int account2 = 0;

void transfer (int x)
{
    account2 - x;
    account2 += x;
}
}
```

- Note: no chance of deadlock if another thread does the same transfer in the opposite order
- No other thread can observe either operation without observing the other
  - can't see debit of account1 without seeing credit of account 2
- No race: If either account balance changes while we're in our transaction then we start over and try again until we can do it without any conflicts

#### Transfer with notification

```
void send_email ()
{
    //send and email about a transfer
}

void transfer_with_notification (int x)
{
    atomically ([](transaction& t)
    {
        account1.set (acount1.get (t) - x, t);
        account2.set (acount2.get (t) + x, t);
        t.after (send_email); //Sends after commit
    });
}
```

- Going to be sticking with the Wyatt system from now on, SG5 doesn't have some of these features yet "After" queues an action to take when the TOP-LEVEL commit finishes
- multiple actions can be queued
- needed to interface with non-transactional code
  - can't just wait for the transaction commit because we might be in a nested transaction
- SG5 is considering something similar

### Waiting for a balance

```
void transfer_when_greater (int limit, int x)
{
    atomically ([](transaction& t)
    {
        if (account1.get (t) <= limit)
        {
            retry (t);
        }
        account1.set (acount1.get (t) - x, t);
        account2.set (acount2.get (t) + x, t);
    });
}</pre>
```

- can't use condition variables since we don't have mutexes
- retry sleeps until a variable that's been read changes
- SG5 also considering something along these lines
- the are some issue to look out for, more in a bit





Unfortunately it's not all unicorns and rainbows

never reached "Rainbows and Unicorns" status though
 see urban dictionary (this one isn't good)

### Side-effects

```
void transfer_with_notification_broken (int x)
{
    atomically ([](transaction& t)
    {
        account1.set (acount1.get (t) - x, t);
        account2.set (acount2.get (t) + x, t);
        send_email (); //Sends before commit, maybe more than once
    });
}
```

- Need to avoid side-effects in transactions
  - SendEmail will be called more that once for the same transfer is we have a conflict
  - could be called ANY number of times
- Most of the time its this obvious
  - every once in a while its harder to track down, but not any worse than a difficult to find deadlock
- in SG5 system this couldn't happen since SendEmail wouldn't be transaction safe and the compiler would catch it
- in our case we have to use something else...

### NO\_ATOMIC

```
void send_email (NO_ATOMIC)
{
    //send and email about a transfer
}

void transfer_with_notification_broken_but_not_as_bad (int x)
{
    atomically ([](transaction& t)
    {
        account1.set (acount1.get (t) - x, t);
        account2.set (acount2.get (t) + x, t);
        send_email (); //crashes here, normally caught in testing
    });
}
```

- declares an arg of type "no\_atomic"
  - "no\_atomic" constructor throws if it is called within a transaction
- Get a run-time error when SendEmail is called in transaction
- caught be testers most of the time

### Starvation

```
variable-double= value;

//thread 1
void long_transaction ()
{
    auto val = value.get (t);

    //ob a bunch of stuff
    //...
    //...
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```

- short transaction continually causes conflicts in a longer transaction
- until a couple of months ago we had never seen this happen
- One of the optional arguments to atoimically allows you to specify how may conflicts are acceptable
  - either throws an exception when the limit is hit or "runs locked"
    - "run locked" = no other thread can commit until our transaction does
    - controlled by another argument
  - had put this in preemptively in some spots, but had never seen the code fire
  - of course has a bug when we finally needed it (weird edge case we didn't have a unit test for)
  - heavy-handed response, but works for us given how rare this is
    - other ways to handle, but much more complicated to implement

## Retry Deadlock

```
variable<bool> value1 (false);
variable<bool> value2 (false);

//thread 1
void set_1_and_wait_for_2 () {
    atomically ([](transaction& t) {
        value1.set (true, t);
        if (!value2.get (t)) {
            retry (t);
        }
    });
}
//thread2
void set_2_and_wait_for_1 ()
{
    atomically ([](transaction& t) {
        value2.set (true, t);
        if (!value1.get (t)) {
            retry (t);
        }
    });
}
```

- retry is extremely useful, but has some issues
- two threads, each is waiting on the other to do something that will be done once the other thread commits, but neither can commit because they're waiting on the other
- never saw this in really code, but is theoretically possible
- really we need to split up the transaction...

### Split the transactions

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Fixes things, but what if some bozo comes along and does this...

#### Nested, split transactions

```
variable<bool> value1 (false); variable<bool> value2 (false);
                                               //thread2
void set_2_and_wait_for_1 ()
 void set_1_and_wait_for_2 ()
   atomically ([](transaction& t)
                                                  atomically ([](transaction& t)
                   value1.set (true, t);
                                                                   value2.set (true, t);
                                                  });
atomically ([](transaction& t)
   ));
atomically ([](transaction& t)
                    if (!value2.get (t))
                                                                   if (!value1.get (t))
                       retry (t);
                                                                      retry (t);
                });
                                                               });
atomically ([](transaction& t)
                                               atomically ([](transaction& t)
                set_1_and_wait_for_2 ();
                                                                set_2_and_wait_for_1 ();
            1);
```

- broken again
- modified from example given by Hans Boehm to the SG5 mailing list
  - SG5 is wrestling with this now in discussions of retry in TS
- Fixed by applying NO\_ATOMIC to the set\_.... functions
  - relying on commit happening can be considered a side-effect
- Can be more subtle, the second transaction could be buried in library code

#### Inconsistent Values

- quirk of our system
- value1 > value2 is a class invariant that ensures we only allocate a sane about of memory
  - in this case we will probably try to allocate a 4gb vector
- normally our transaction would have a conflict and we'd restart and see the consistent values
  - memory allocation is a side-effect, but most of the time it's idempotent (especially if RAII is used) so it doesn't matter
- to solve this case use...

### Validate

- validate() runs transaction validation and restarts transaction if there's a conflict can't get to the memory allocation if things are inconsistent
- has only happened a few times
- Looking into ways to avoid this problem without killing performance

#### Fine vs. Coarse Grained

```
struct fine_grained
{
    variable<int> m_member1;
    variable<int> m_member2;
    variable<int> m_member3;
    variable<int> m_member4;
};

struct coarse_grained
{
    int m_member1;
    int m_member2;
    int m_member3;
    int m_member4;
};
variable<std::shared_ptr<const coarse_grained>> m_coarse;
```

- anything shared between threads needs to be transacted
- decision is what level to transact at
  - fine grained: individual members are transactional variables
    - good for often updated structure
  - coarse grained: individual members not transactional, but whole structure is always stored immutably in a transactional variable
    - good for read lots, write rarely
    - can become point of contention if too much writing going on

# Weak Atomicity

- our system is weakly atomic
- the exhaust port is stored in a transactional variable
  - our system can't stop you from mutating the object outside of a transaction
    - defeats the whole point of having the system
- to guard against this...

#### Require Immutable or "Internally Transacted"

```
//Immutable
variable<std::shared_ptr<const exhaust_port>> port;

//Internally Transacted
struct exhaust_port
{
    //all mutable state is stored in transactional variables...
    variable<ray_shielding> m_shielding;

    //...or acessed through transactional methods
    void shoot_with_proton_torpedo (transaction& t);
};
```

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-... as a policy we required stuff stored in transactional variables to be either immutable or "internally transacted" - "internally transacted" = all mutable state is in transactional variables

- enforced through code review



- original plan was to only use TM in data store and maybe experiment parameters
  - it sort of crept like the kudzu above to take over a lot more
  - this was due to TM usefulness and complication of interfacing transactional and non-transactional code
- Used TM for everything except low-level instrument communication (data reception)
   GUI isn't transactional (MFC), but calls transactional code

### No one's heard of it



- When I ask about TM in job interviews usually just get blank stares in response
  - Hasn't really mattered, people come up to speed quick
- But there's also not a lot of places to turn for "best practices"
  - lots of academic papers on implementing TM systems
     but you're mostly on your own when it comes to figuring out how to apply the system
- that's it for pitfalls...

# Performance?



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- Much like this car: not the fastest, but fits our purposes well

### Performance

- Dynamics is not a "low latency" application (for the most part)
  - Not a game or trading bot
  - We're good at "hiding" our latencies
  - Calculations are almost ideally set-up for transactional memory
  - Some instrument communication is low latency, but doesn't use TM
- With these caveats we get plenty of performance

- Dynamics isn't a low latency app
- Calcs taking even 10 seconds extra (out of minutes) is acceptable
- push as much as possible onto background threads (TM facilitates this)
- prioritize calcs based on what the user is looking at
  - sometimes the user is looking at something that requires everything to be calculated, they just have to wait but the GUI stays responsive
- Data reception has latency requirements
  - have to keep up with data stream or get disconnected
  - don't use TM here (lock free gueues instead)
- Performance is good, even with our bone-headed implementation
  - as more cores become common place we will need to upgrade out implementation so it scales better

### Calculation Structure

```
calculate ()
{
   params = getParams ();
   data = getData ();
   auto res = CalculateResult (params, data);
   StoreResult ();
}
```

- Calculations were the main thing we wanted to push into background threads
- Calculations are structured such that only a few transactional reads need to be done at the start and then some transactional writes at the end
- the transactional overhead negligible compared to what Calculate result is doing

### Performance

- More overhead
- Bigger non-determinism price to pay than with mutexes
- Can have less contention

- TM May Still be using mutexes under the covers
  - otherwise using lock-free stuff
    - don't know how to do retry without a mutex and condition variables
  - either way still have to pay sync price
  - Also have to pay for journaling and validation (and maybe non-locality if system is indirect)
- Don't hold locks for long, so less contention possibility (especially for read only stuff), but...
- When there is contention you might have to pay higher price
  - repeated work when there's conflicts
    - an example...

### Performance

- Only one thread can call use\_a\_and\_b at a time when using mutexes
- Any number of threads can use the transactional version
  - transactional version might have more overhead, but the contention win might weigh in its favor as long as writes are RARE
- the mutex version can probably be restructured to have less contention (or use a shared\_mutex)
  - that has to be done by hand
  - in transactional case its automatic

#### Hardware Transactional Memory

- Exists today: Intel TSX
  - Transacts cache-lines
  - Implicit
  - Bounded

- haven't actaully used it, just read about it
- was in high-end Xeon Haswell chips
  - silicon had bug
  - disabled until later broad well chips, still high end only
  - in skylake, might be more evenly distributed
- cache-lines transacted => have to watch out for cache ping-ponging
- cache-lines transac
   implicit like TS
- all memory access is transacted while in a transaction
- Bounded
  - limited buffers for reads and write
  - have to fall back on software TM if cache spills
  - probably an expensive context switch

### How'd it work out?

- TL;DR: Great!
  - Just keep in mind what our app is like
- New people get up to speed quickly
- More fun than mutexes
  - Optimizes for programmer time

- Had two new people join the team since we started using it
  - one junior, one senior
  - Neither had TM experience
- learning curve is short
  - Usually stop making obvious mistakes in less than a month
- mutexes set the bar pretty low
  - TM has lower cognitive load for the most part

# Open Source?

- Always get asked about this
- last year the answer was "no" when I gave a lightning talk,
- this year the answer is...

# Open Source?

# Soon!

- Got the go ahead from the higher ups at Wyatt to do it a few weeks ago
- Haven't had time to clean it up
  - some embarrassing code that we've been living with that needs to be fixed
    - open sourcing it is already improving our code quality
- Other goodies along with TM system
  - DeferredResult, Channel, etc.
- watch my blog for details...

### Resources

- My blog: https://backwardsincompatibilities.wordpress.com/
- Wyatt: http://www.wyatt.com
- SG5 papers:
  - N3919: motivation and details
  - N4514: standard-ese
  - N4438: my paper about our experience at Wyatt
- GCC experimental TM system

- blog url is in my conference profile
- No reference implementation for SG5 stuff yet, but plans for GCC and Clang implementations
- for gcc just google "gcc transactional memory"
  - has been in there since 4.7
- Intel had an experimental compiler
   it's listed as "retired" on their website