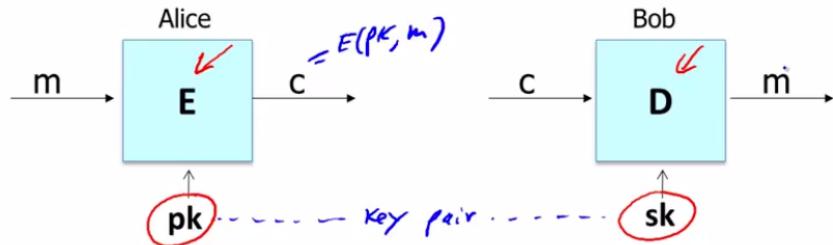


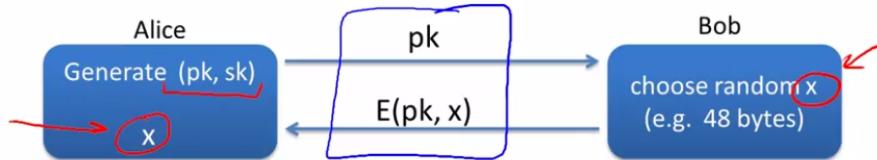
- Public key encryption from trapdoor permutations
- definitions and security
- public key encryption

Bob: generates  $(PK, SK)$  and gives  $PK$  to Alice



- Applications

#### Session setup (for now, only eavesdropping security)



#### Non-interactive applications: (e.g. Email)

- Bob sends email to Alice encrypted using  $pk_{alice}$
- Note: Bob needs  $pk_{alice}$  (public key management)

- Public key encryption

Def: a public-key encryption system is a triple of algs.  $(G, E, D)$

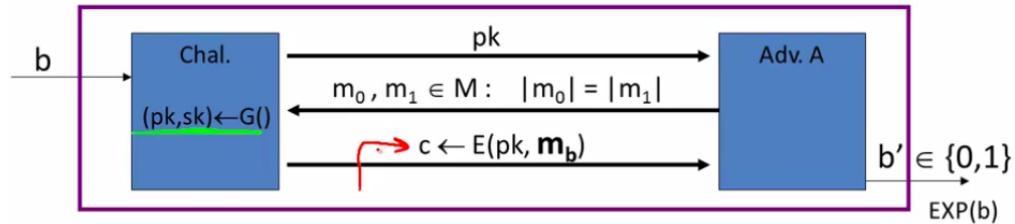
- $G()$ : randomized alg. outputs a key pair  $(pk, sk)$
- $E(pk, m)$ : randomized alg. that takes  $m \in M$  and outputs  $c \in C$
- $D(sk, c)$ : det. alg. that takes  $c \in C$  and outputs  $m \in M$  or  $\perp$

Consistency:  $\forall (pk, sk)$  output by  $G$  :

$$\forall m \in M: D(sk, E(pk, m)) = m$$

- Security: eavesdropping

For  $b=0,1$  define experiments  $\text{EXP}(0)$  and  $\text{EXP}(1)$  as:



Def:  $E = (G, E, D)$  is sem. secure (a.k.a IND-CPA) if for all efficient  $A$ :

$$\text{Adv}_{\text{SS}}[A, E] = |\Pr[\text{EXP}(0)=1] - \Pr[\text{EXP}(1)=1]| < \text{negligible}$$

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- semantically secure if the attacker cannot tell if it is the first experiment of the second experiment
- Relation to symmetric cipher security

Recall: for symmetric ciphers we had two security notions:

- One-time security and many-time security (CPA)
- We showed that one-time security  $\not\Rightarrow$  many-time security  
*OTP*

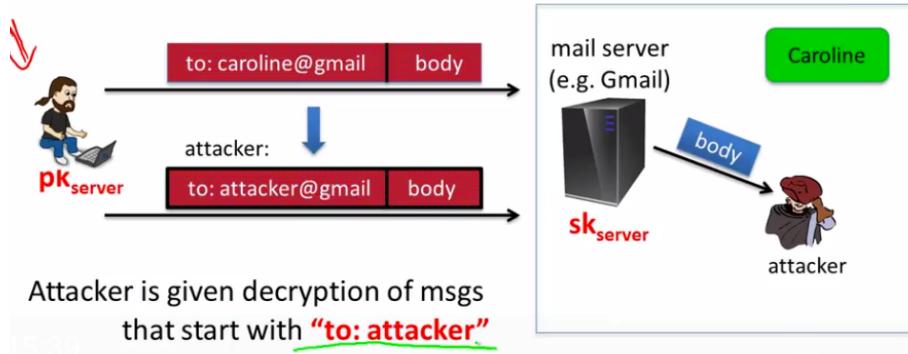
For public key encryption:

- One-time security  $\Rightarrow$  many-time security (CPA)

(follows from the fact that attacker can encrypt by himself)

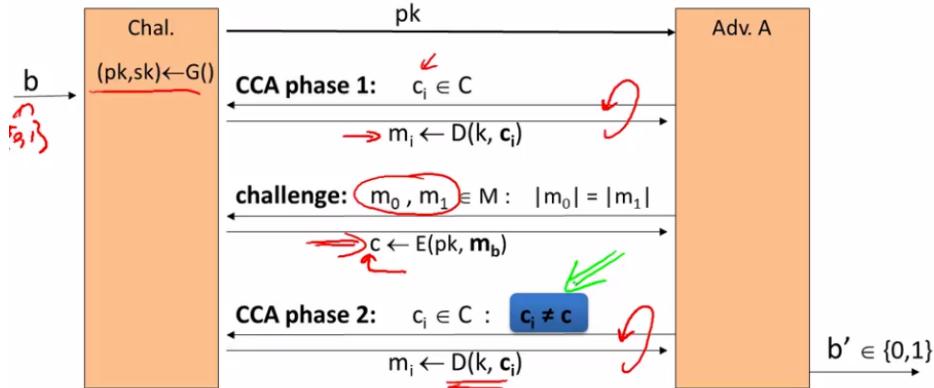
- Security against active attacks

What if attacker can tamper with ciphertext?



- (pub-key) chosen ciphertext security: definition

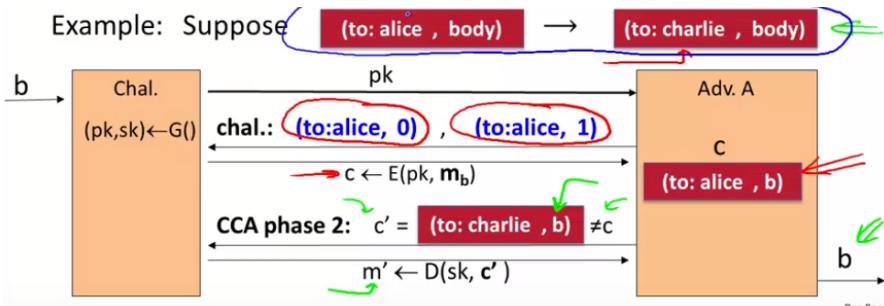
$E = (G, E, D)$  public-key enc. over  $(M, C)$ . For  $b=0,1$  define  $\text{EXP}(b)$ :



- Chosen ciphertext security: definition

**Def:**  $E$  is CCA secure (a.k.a. IND-CCA) if for all efficient  $A$ :

$$\text{Adv}_{\text{CCA}}[A, E] = |\Pr[\text{EXP}(0)=1] - \Pr[\text{EXP}(1)=1]| \text{ is negligible.}$$



- constructing CCA secure pub-key systems

## - Constructions

- Trapdoor functions (TDF)

**Def:** a trapdoor func.  $X \rightarrow Y$  is a triple of efficient algs.  $(G, F, F^{-1})$

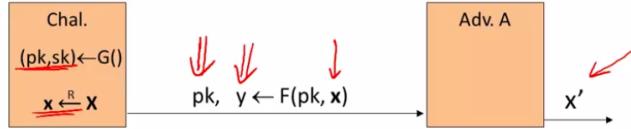
- $G()$ : randomized alg. outputs a key pair  $(pk, sk)$
- $F(pk, \cdot)$ : det. alg. that defines a function  $X \rightarrow Y$
- $F^{-1}(sk, \cdot)$ : defines a function  $Y \rightarrow X$  that inverts  $F(pk, \cdot)$

More precisely:  $\forall (pk, sk) \text{ output by } G$

$$\forall x \in X: F^{-1}(sk, F(pk, x)) = x$$

- Secure Trapdoor functions (TDFs)

$(G, F, F^{-1})$  is secure if  $F(pk, \cdot)$  is a “one-way” function:  
 can be evaluated, but cannot be inverted without sk



Def:  $(G, F, F^{-1})$  is a secure TDF if for all efficient A:

$$\text{Adv}_{\text{OW}}[A, F] = \Pr[\underline{x} = \underline{x'}] < \text{negligible}$$

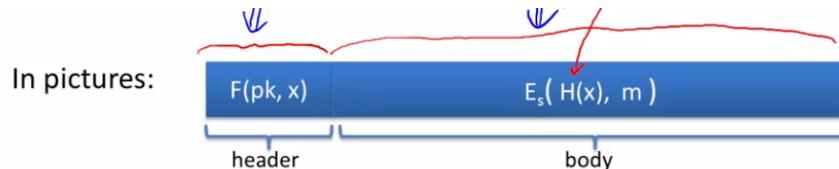
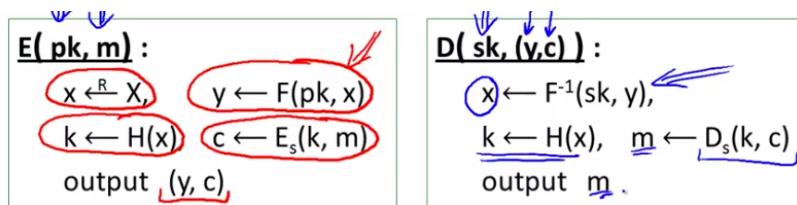
- Public-key encryption from TDFs

- $(G, F, F^{-1})$ : secure TDF  $X \rightarrow Y$
- $(E_s, D_s)$ : symmetric auth. encryption defined over  $(K, M, C)$
- $H: X \rightarrow K$  a hash function

We construct a pub-key enc. system  $(G, E, D)$ :

Key generation G: same as G for TDF

- Encryption and decryption



### Security Theorem:

If  $(G, F, F^{-1})$  is a secure TDF,  $(E_s, D_s)$  provides auth. enc.  
 and  $H: X \rightarrow K$  is a “random oracle”  
 then  $(G, E, D)$  is CCA<sup>ro</sup> secure.

- Incorrect use of a Trapdoor Function (TDF)

**Never** encrypt by applying  $F$  directly to plaintext:

$E(pk, m) :$ ↓ output $c \leftarrow F(pk, m)$	$D(sk, c) :$ ↓ output $F^{-1}(sk, c)$
---	---

Problems:

- Deterministic: cannot be semantically secure !!
- Many attacks exist (next segment)

- never apply the trapdoor function to the message  $m$
- **Public Key Encryption from Trapdoor Permutations: RSA**
- **The RSA Trapdoor Permutation**
- Review: trapdoor permutations

Three algorithms:  $(G, F, F^{-1})$

- $G$ : outputs  $pk, sk$ .  $pk$  defines a function  $F(pk, \cdot) : \underline{X} \rightarrow \underline{X}$
- $F(pk, x)$ : evaluates the function at  $x$
- $\underline{F^{-1}(sk, y)}$ : inverts the function at  $y$  using  $sk$

Secure trapdoor permutation:

The function  $F(pk, \cdot)$  is one-way without the trapdoor  $sk$

- Review: arithmetic mod composites

Let  $N = p \cdot q$  where  $p, q$  are prime  $p, q \approx \sqrt{N}$

$Z_N = \{0, 1, 2, \dots, N-1\}$  ;  $(Z_N)^*$  = {invertible elements in  $Z_N$ }

Facts:  $x \in Z_N$  is invertible  $\Leftrightarrow$   $\gcd(x, N) = 1$   $p, q \approx \sqrt{N}$

– Number of elements in  $(Z_N)^*$  is  $\phi(N) = (p-1)(q-1) = N - p - q + 1$

$$\begin{aligned}\phi(n) &\approx n - 2\sqrt{n} \\ &\approx n\end{aligned}$$

Euler's thm:  $\forall x \in (Z_N)^* : x^{\phi(N)} = 1$

- 1 in  $Z_n$  or  $1 \bmod N$

- The RSA trapdoor permutation

First published: Scientific American, Aug. 1977.

Very widely used:

- SSL/TLS: certificates and key-exchange
- Secure e-mail and file systems
- ... many others

- The RSA Trapdoor permutation
  - encryption exponent e
  - decryption exponent d

G(): choose random primes  $p, q \approx 1024$  bits. Set  $N = pq$ .  
 choose integers  $e, d$  s.t.  $e \cdot d = 1 \pmod{\phi(N)}$   
 output  $pk = (N, e)$ ,  $sk = (N, d)$

$$F(pk, x) : \underline{\mathbb{Z}_N^*} \rightarrow \underline{\mathbb{Z}_N^*} ; \quad RSA(x) = x^e \quad (\text{in } \mathbb{Z}_N)$$

$$F^{-1}(sk, y) = y^d ; \quad y^d = RSA(x)^d = x^{ed} = x^{k\phi(N)+1} = (x^{\phi(N)})^k \cdot x = x^{ed - k\phi(N)-1} = x$$

- The RSA assumption

RSA assumption: RSA is one-way permutation

For all efficient algs. A:

$$\Pr[A(N, e, y) = y^{1/e}] < \text{negligible}$$

where  $p, q \xleftarrow{R}$  n-bit primes,  $N \leftarrow pq$ ,  $y \xleftarrow{R} \mathbb{Z}_N^*$

- Review: RSA pub-key encryption (ISO std)
  - symmetric encryption system

$(E_s, D_s)$ : symmetric enc. scheme providing auth. encryption.  
 $H: Z_N \rightarrow K$  where  $K$  is key space of  $(E_s, D_s)$

- $G()$ : generate RSA params:  $pk = (N, e)$ ,  $sk = (N, d)$
- $E(pk, m)$ :
  - (1) choose random  $x$  in  $Z_N$
  - (2)  $y \leftarrow RSA(x) = x^e$ ,  $k \leftarrow H(x)$
  - (3) output  $(y, E_s(k, m))$
- $D(sk, (y, c))$ : output  $D_s(H(RSA^{-1}(y)), c) \rightarrow m$

- Textbook RSA is insecure

#### Textbook RSA encryption:

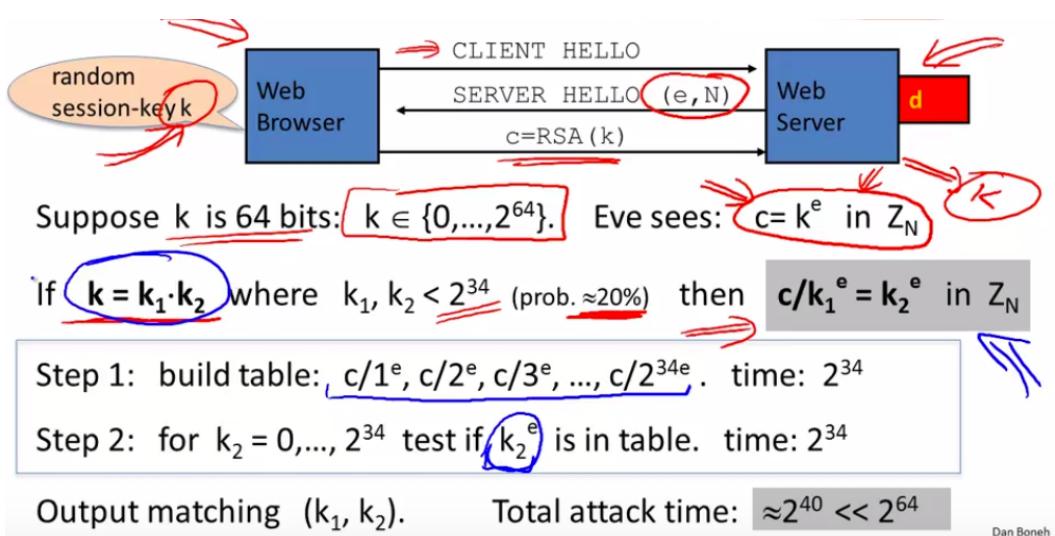
– public key: $(N, e)$	Encrypt: $c \leftarrow m^e$ (in $Z_N$ )
– secret key: $(N, d)$	Decrypt: $c^d \rightarrow m$

Insecure cryptosystem !!

– Is not semantically secure and many attacks exist

⇒ The RSA trapdoor permutation is not an encryption scheme !

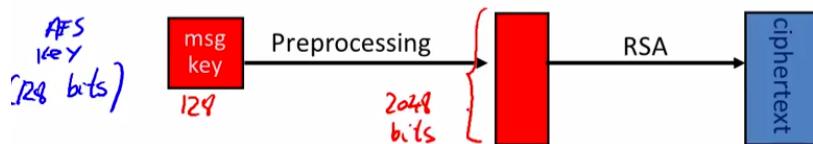
- A simple attack on textbook RSA



- PKCS 1
- RSA encryption in practice

Never use textbook RSA.

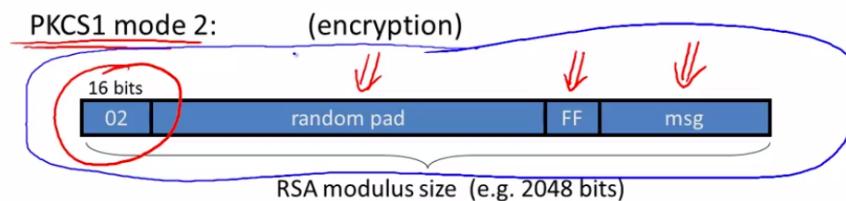
RSA in practice (since ISO standard is not often used) :



Main questions:

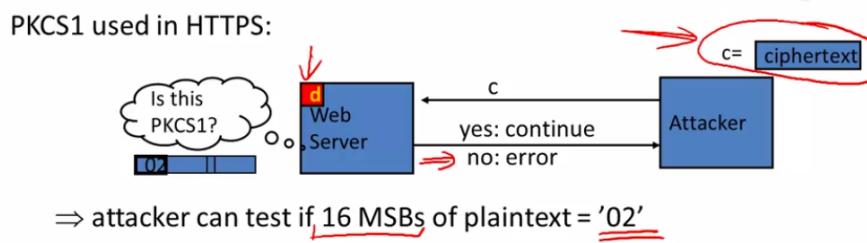
- How should the preprocessing be done?
- Can we argue about security of resulting system?

- PKCS1 v1.5



- Resulting value is RSA encrypted
- Widely deployed, e.g. in HTTPS

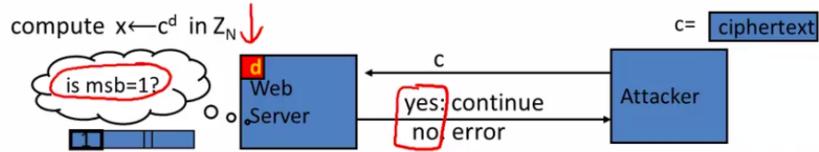
- Attack on PKCS v1.5



Chosen-ciphertext attack: to decrypt a given ciphertext  $c$  do:

- Choose  $r \in \mathbb{Z}_N$ . Compute  $c' \leftarrow r^e \cdot c = (r \cdot \text{PKCS1}(m))^e$
- Send  $c'$  to web server and use response

- Baby Bleichenbacher



Suppose  $N$  is  $\underline{N = 2^n}$  (an invalid RSA modulus). Then:

- Sending  $\underline{c}$  reveals  $\underline{\text{msb}(x)}$
- Sending  $\underline{2^e \cdot c = (2x)^e}$  in  $Z_N$  reveals  $\underline{\text{msb}(2x \bmod N)} = \underline{\text{msb}_2(x)}$
- Sending  $\underline{4^e \cdot c = (4x)^e}$  in  $Z_N$  reveals  $\underline{\text{msb}(4x \bmod N)} = \underline{\text{msb}_3(x)}$
- ... and so on to reveal all of  $x$

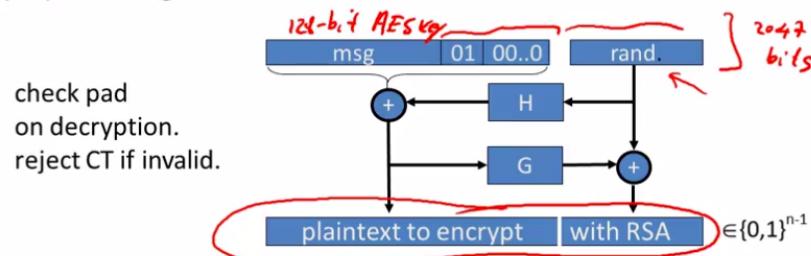
- HTTPS Defense

*Attacks discovered by Bleichenbacher and Klima et al. ... can be avoided by treating incorrectly formatted message blocks ... in a manner indistinguishable from correctly formatted RSA blocks.*  
In other words:

1. Generate a string  $\underline{R}$  of 46 random bytes
2. Decrypt the message to recover the plaintext  $M$
3. If the PKCS#1 padding is not correct  $\leftarrow$   
 $\text{pre\_master\_secret} \neq \underline{R}$

- PKCS1 v2.0 OAEP (Optimal asymmetric encryption padding)

New preprocessing function: OAEP [BR94]



**Thm** [FOPS'01]: RSA is a trap-door permutation  $\Rightarrow$   
RSA-OAEP is CCA secure when H, G are random oracles

in practice: use SHA-256 for H and G

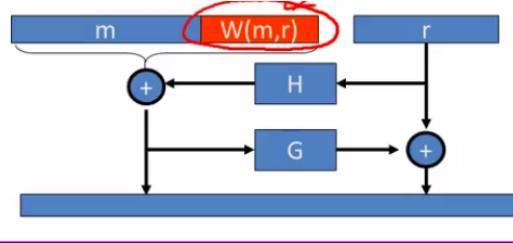
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- optimal because the ciphertext is short as possible
- Thm is false if use general trap door permutation
- OAEP Improvements

OAEP+: [Shoup'01]

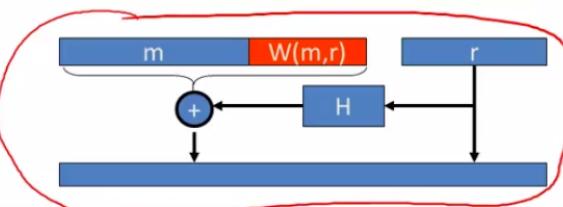
$\forall$  trap-door permutation F  
F-OAEP+ is CCA secure when  
H,G,W are random oracles.

During decryption validate W(m,r) field.



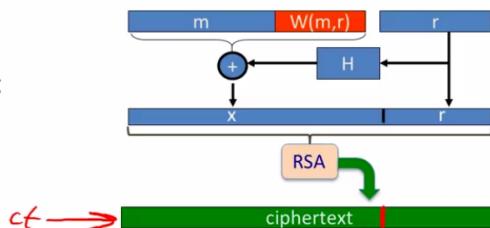
SAEP+: [B'01]

RSA ( $e=3$ ) is a trap-door perm  $\Rightarrow$   
RSA-SAEP+ is CCA secure when  
H,W are random oracle.



- Example

How would you decrypt  
an SAEP ciphertext ct?



- $\Rightarrow$
- $(x, r) \leftarrow \text{RSA}^{-1}(\text{sk}, ct)$ ,  $(m, w) \leftarrow x \oplus H(r)$ , output m if  $w = W(m, r)$
  - $(x, r) \leftarrow \text{RSA}^{-1}(\text{sk}, ct)$ ,  $(m, w) \leftarrow r \oplus H(x)$ , output m if  $w = W(m, r)$
  - $(x, r) \leftarrow \text{RSA}^{-1}(\text{sk}, ct)$ ,  $(m, w) \leftarrow x \oplus H(r)$ , output m if  $r = W(m, x)$

- Subtleties in implementing OAEP

```

OAEP-decrypt(ct):
error = 0;
.....
if (RSA-1(ct) > 2n-1)
{ error = 1; goto exit; }
.....
if ( pad(OAEP-1(RSA-1(ct))) != "01000" )
{ error = 1; goto exit; }

```

Problem: timing information leaks type of error

$\Rightarrow$  Attacker can decrypt any ciphertext

- Public Key Encryption from Trapdoor Permutations: attacks
- Is RSA a One-Way Function?
- Is RSA a one-way permutation?

To invert the RSA one-way func. (without d) attacker must compute:

$$\underline{\underline{x}} \text{ from } \underline{\underline{c}} = \underline{\underline{x}}^e \pmod{N}.$$

How hard is computing  $e$ 'th roots modulo N ?? 

Best known algorithm:

- Step 1: factor N (hard)
- Step 2: compute  $e$ 'th roots modulo p and q (easy)

- Shortcuts?

Must one factor N in order to compute  $e$ 'th roots?

To prove no shortcut exists show a reduction: 

- Efficient algorithm for  $e$ 'th roots mod N  
 $\Rightarrow$  efficient algorithm for factoring N.
- Oldest problem in public key cryptography.

Some evidence no reduction exists: (BV'98)

- “Algebraic” reduction  $\Rightarrow$  factoring is easy.

- How not to improve RSA's performance

To speed up RSA decryption use small private key  $d$  ( $d \approx 2^{128}$ )

$$\rightarrow \boxed{c^d \equiv m \pmod{N}}$$

$$n \approx 2^{2048}$$

Wiener'87: if  $d < N^{0.25}$  then RSA is insecure.  $\leftarrow d < 2^{512}$

BD'98: if  $d < N^{0.292}$  then RSA is insecure (open:  $d < N^{0.5}$ )

$$1 - \frac{1}{\sqrt{2}}$$

Insecure: priv. key  $d$  can be found from (N,e)

- Wiener's attack
  - given  $(N, e)$  recover  $d$
  - $d$  less than the 4th root  $n$  divided by 3

**Wiener's attack**

$$\frac{(N, e) \Rightarrow d}{d < \sqrt[4]{N}/3}$$

Recall:  $e \cdot d = 1 \pmod{\phi(N)} \Rightarrow \exists k \in \mathbb{Z} : e \cdot d = k \cdot \phi(N) + 1$

$$\left| \frac{e}{\phi(N)} - \frac{k}{d} \right| = \frac{1}{d \cdot \phi(N)} < \frac{1}{n}$$

$$\phi(N) = N - p - q + 1 \Rightarrow |N - \phi(N)| \leq p + q \leq 3\sqrt{N}$$

$$d \leq N^{0.25}/3 \Rightarrow \left| \frac{e}{N} - \frac{k}{d} \right| \leq \left| \frac{e}{N} - \frac{e}{\phi(N)} \right| + \left| \frac{e}{\phi(N)} - \frac{k}{d} \right| \leq \frac{1}{2d^2}$$

$$\frac{1}{2d^2} - \frac{1}{n} \geq \frac{3}{n}$$

Continued fraction expansion of  $e/N$  gives  $k/d$ .

$e \cdot d = 1 \pmod{k} \Rightarrow \gcd(d, k) = 1 \Rightarrow$  can find  $d$  from  $k/d$

- **RSA in Practice**
- RSA With Low public exponent

To speed up RSA encryption use a small  $e$ :  $c = m^e \pmod{N}$

$\xrightarrow{x^3 \text{ mod } N}$

⇒ • Minimum value:  $e=3$  ( $\gcd(e, \phi(N)) = 1$ )  $\begin{matrix} (p-1)(q-1) \\ p=2(3) \\ q=2(3) \end{matrix}$

• Recommended value:  $e=65537=2^{16}+1$

Encryption: 17 multiplications  $\times \overset{65537}{\text{mod } N}$

(RSA-CRT)

Asymmetry of RSA: fast enc. / slow dec.

– ElGamal (next module): approx. same time for both.

- Key lengths

Security of public key system should be comparable to security of symmetric cipher:

<u>Cipher key-size</u>	<u>RSA Modulus size</u>
80 bits	1024 bits
128 bits	→ 3072 bits <i>(2048)</i>
256 bits (AES)	15360 bits

- Implementation attacks
  - Timing attack - decryption time should be independent of the arguments
  - Power attack - defend against power analysis attacks
  - Faults attack - one error reveals secret key

### Timing attack: (Kocher 97)

The time it takes to compute  $c^d \pmod{N}$  can expose  $d$

### Power attack: (Kocher 99)

The power consumption of a smartcard while it is computing  $c^d \pmod{N}$  can expose  $d$ .

### Faults attack: (BDL 97)

A computer error during  $c^d \pmod{N}$  can expose  $d$ .

A common defense: check output. 10% slowdown.

- An Example Fault Attack on RSA (CRT)

A common implementation of RSA decryption:  $x = c^d \pmod{N}$

decrypt mod p:  $x_p = c^d \pmod{Z_p}$

decrypt mod q:  $x_q = c^d \pmod{Z_q}$



combine to get  $x = c^d \pmod{N}$

x4 speedup

Suppose error occurs when computing  $x_q$ , but no error in  $x_p$

Then: output is  $x'$  where  $x' = c^d \pmod{Z_p}$  but  $x' \neq c^d \pmod{Z_q}$

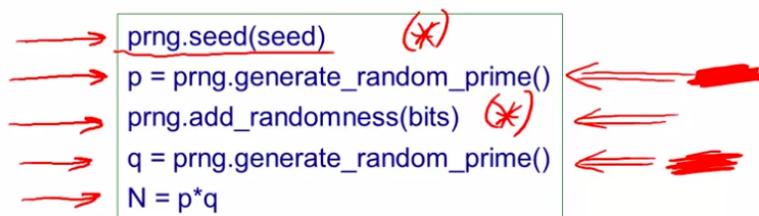
$$\Rightarrow (x')^e = c \pmod{Z_p} \text{ but } (x')^e \neq c \pmod{Z_q} \Rightarrow \gcd((x')^e - c, N) = p$$

= o(p), \neq o(q)

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- RSA Key Generation Trouble

### OpenSSL RSA key generation (abstract):



Suppose poor entropy at startup:

- Same p will be generated by multiple devices, but different q
- $N_1, N_2$ : RSA keys from different devices  $\Rightarrow \gcd(N_1, N_2) = p$

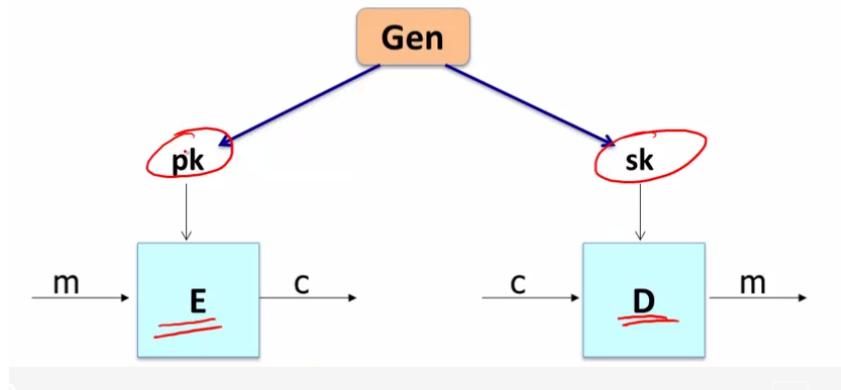
- RSA Key Generation Trouble

Experiment: factors 0.4% of public HTTPS keys !!

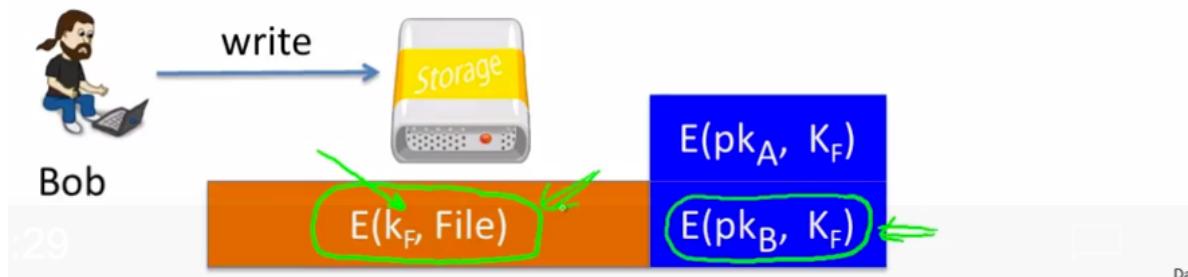
Lesson:

- Make sure random number generator is properly seeded when generating keys

- **Public Key Encryption From Diffie-Hellman: ElGamal**
- **The ElGamal Public-key System**
- Recap: public key encryption: (Gen, E, D)



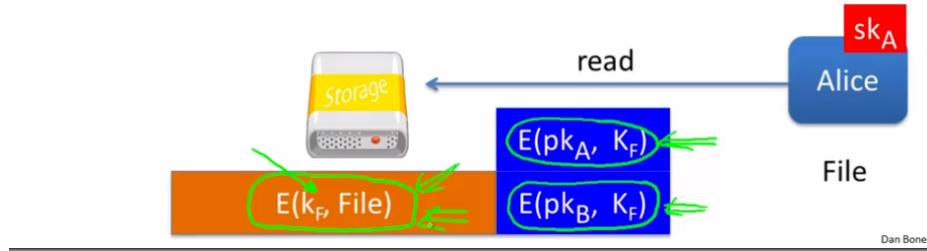
- Recap: public-key encryption applications
  - Key exchange (e.g. in HTTPS)
  - Encryption in non-interactive settings:
    - Secure Email: Bob has Alice's pub-key and sends her an email
    - Encrypted file systems
    - Bob encrypts the key Kf for alice using the public key set for alice the pk\_a
    - When alice wants to decrypt the file alice uses the sk\_a file that she has and decrypts the key
    - no interaction with bob but alice can read the file for her self



Key exchange (e.g. in HTTPS) ←

Encryption in non-interactive settings:

- Secure Email: Bob has Alice's pub-key and sends her an email
- Encrypted File Systems

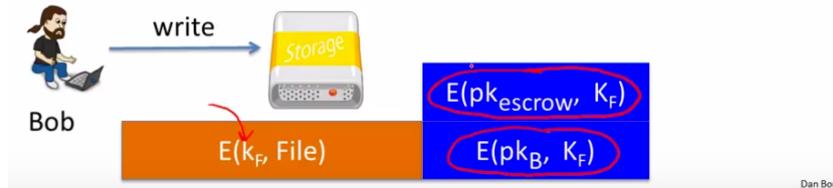


- Recap: public key encryption applications

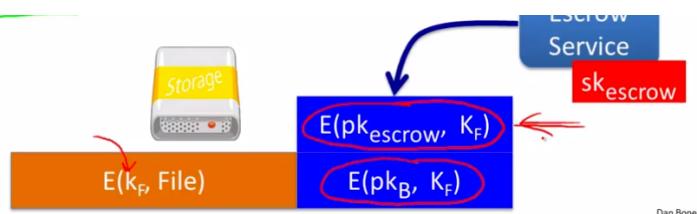
Key exchange (e.g. in HTTPS)

Encryption in non-interactive settings:

- Secure Email: Bob has Alice's pub-key and sends her an email
- Encrypted File Systems
- Key escrow: data recovery without Bob's key



- escrow service uses secret key to decrypt the header and obtain the key
- then using the key obtained through the use of the escrow service obtain the file through decryption



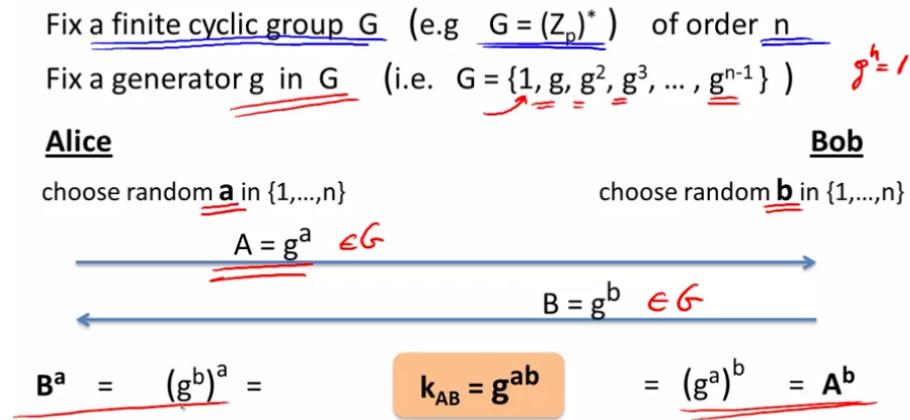
- Constructions

This week: two families of public-key encryption schemes

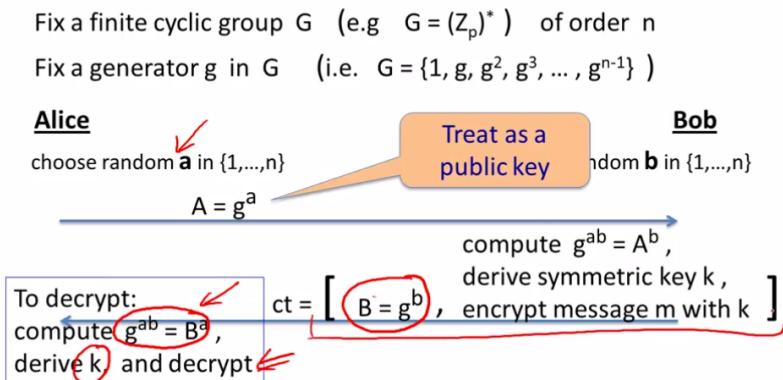
- Previous lecture: based on trapdoor functions (such as RSA)
  - Schemes: ISO standard, OAEP+, ...
- This lecture: based on the Diffie-Hellman protocol.
  - Schemes: ElGamal encryption and variants (e.g. used in GPG)

Security goals: chosen ciphertext security

- Review: the Diffie-Hellman protocol



- the attacker is allowed to see both  $g$  to the  $a$  and  $g$  to the  $b$ . The shared secret is  $g$  to at the  $a$   $b$ . The attacker is also allowed to see the  $g$  in the generator. However this is believed to be a hard or difficult problem
- ElGamal: converting to pub-key encryption



- The ElGamal System (a modern view)
  - symmetric system encryption decryption
  - better to choose a random generator every time

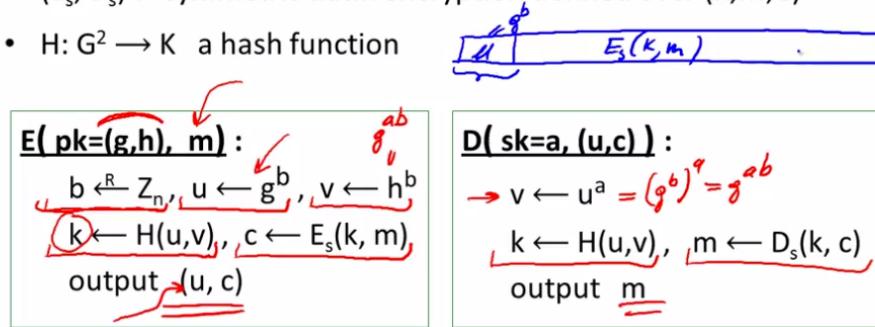
- $G$ : finite cyclic group of order  $n$
- $(E_s, D_s)$ : symmetric auth. encryption defined over  $(K, M, C)$
- $H: G^2 \rightarrow K$  a hash function

We construct a pub-key enc. system (Gen, E, D):

- Key generation Gen:
  - choose random generator  $\underline{g}$  in  $G$  and random  $\underline{a}$  in  $\mathbb{Z}_n$
  - output  $\underline{sk} = a$ ,  $\underline{pk} = (g, h=g^a)$

- The ElGamal System (a modern view)

- $G$ : finite cyclic group of order  $n$
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- ElGamal Performance

- windowed exponentiation - is when you precompute the tables

$E(pk=(g,h), m) :$	$D(sk=a, (u,c)) :$
$b \leftarrow Z_n$	$v \leftarrow u^a$
$u \leftarrow g^b$	
$v \leftarrow h^b$	

Encryption: 2 exp. (fixed basis)

- Can pre-compute  $[g^{(2^i)}, h^{(2^i)}]$  for  $i=1, \dots, \log_2 n$
- 3x speed-up (or more)

Decryption: 1 exp. (variable basis)

- ElGamal Security

- Computational Diffie-Hellman Assumption

G: finite cyclic group of order n

Comp. DH (CDH) assumption holds in G if:  $g, g^a, g^b \not\Rightarrow g^{ab}$

for all efficient algs. A:

$$\Pr[A(g, g^a, g^b) = g^{ab}] < \text{negligible}$$

where  $\circlearrowleft g \circlearrowleft \{\text{generators of } G\}, \circlearrowleft a, b \circlearrowleft Z_n$

- Hash Diffie-Hellman Assumption