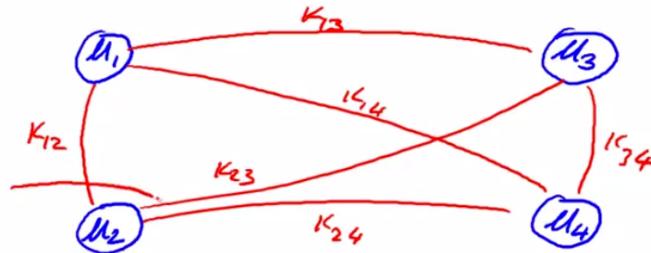


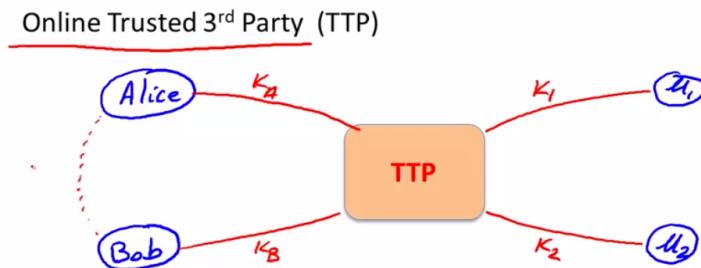
- Basic Key Exchange 1: problem statement
- Trusted 3rd parties
- Key management

Problem: n users. Storing mutual secret keys is difficult

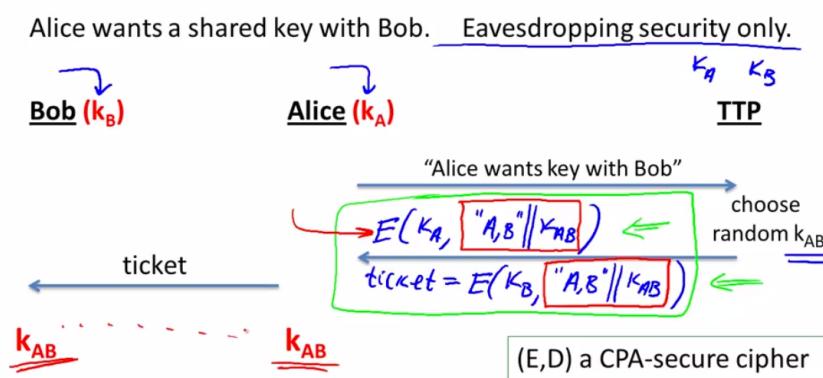


Total: $O(n)$ keys per user

- A better solution



- Generating keys: a toy protocol



- Generating keys: a toy protocol

Alice wants a shared key with Bob. Eavesdropping security only.

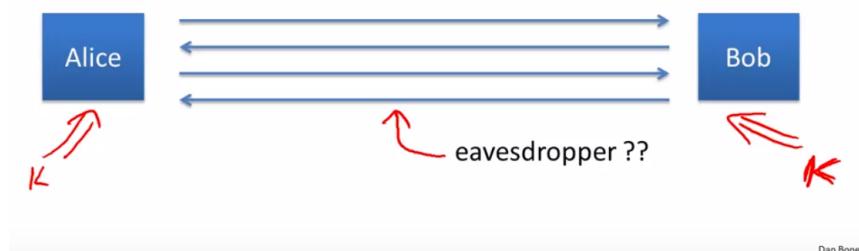
Eavesdropper sees: $E(k_A, "A, B" \parallel k_{AB})$; $E(k_B, "A, B" \parallel k_{AB})$

(E, D) is CPA-secure \Rightarrow eavesdropper learns nothing about k_{AB}

- Note: TTP needed for every key exchange, knows all session keys.
- TTP - trusted third party
- Toy protocol: insecure against active attacker
 - Example: insecure against replay attacks
 - attacker records session between alice and merchant bob
 - for example a book order
 - Attacker replays session to bob
 - bob thinks alice is ordering another copy of book
- Key question?
 - Can we generate shared keys without an online trusted 3rd party?
 - answer: yes!
 - starting point of public key cryptography
- **Merkle Puzzles**
- Key exchange without an online TTP?

Goal: Alice and Bob want shared key, unknown to eavesdropper

- For now: security against eavesdropping only (no tampering)



Dan Boneh

- can this be done using generic symmetric crypto?
- Merkle Puzzles

Answer: yes, but very inefficient

Main tool: puzzles

- Problems that can be solved with some effort
- Example: $E(k, m)$ a symmetric cipher with $k \in \{0,1\}^{128}$
 - puzzle(P) = $E(P, "message")$ where $P = 0^{96} || b_1 \dots b_{32}$
 - Goal: find P by trying all 2^{32} possibilities

- Merkle puzzles

⇒ Alice: prepare 2^{32} puzzles

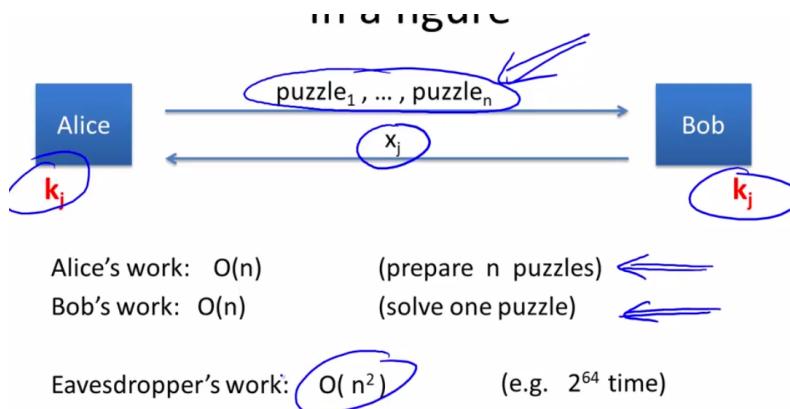
- For $i=1, \dots, 2^{32}$ choose random $P_i \in \{0,1\}^{32}$ and $x_i, k_i \in \{0,1\}^{128}$
set $\text{puzzle}_i \leftarrow E(0^{96} \parallel P_i, \text{"Puzzle # } x_i \text{"} \parallel k_i)$
- Send $\text{puzzle}_1, \dots, \text{puzzle}_{2^{32}}$ to Bob

Bob: choose a random puzzle_j and solve it. Obtain (x_j, k_j) .

- Send x_j to Alice

Alice: lookup puzzle with number x_j . Use k_j as shared secret

- In a figure



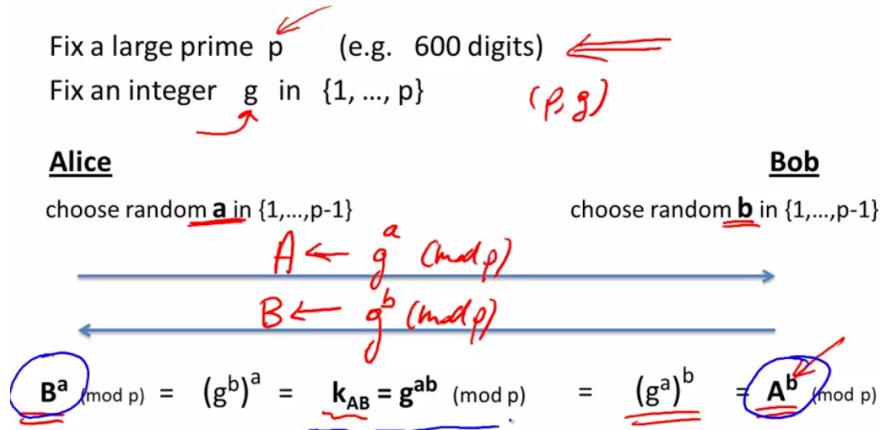
- participants have to spend linear time attacker has to spend quadratic time
- Impossibility Result
 - Can we achieve a better gap using a general symmetric cipher?
 - answer: unknown
 - But: roughly speaking
 - quadratic gap is best possible if we treat cipher as a block box oracle
- **The Diffie-Hellman Protocol**
- Key exchange without an online TTP?

Goal: Alice and Bob want shared secret, unknown to eavesdropper

- For now: security against eavesdropping only (no tampering)



- can this be done with an exponential gap?
- The Diffie-Hellman protocol (informally)



- Security (much more on this later)

Eavesdropper sees: $p, g, A=g^a \pmod{p}$, and $B=g^b \pmod{p}$

Can she compute $g^{ab} \pmod{p}$??

More generally: define $DH_g(g^a, g^b) = g^{ab} \pmod{p}$

How hard is the DH function mod p ?

- How hard is the DH function mod p ?

Suppose prime p is n bits long.

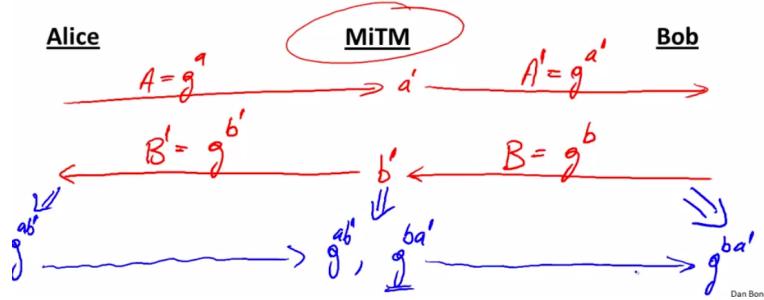
Best known algorithm (GNFS): run time $\exp(\tilde{O}(\sqrt[3]{n}))$

cipher key size	modulus size	Elliptic Curve size
80 bits	1024 bits	160 bits
128 bits	3072 bits	256 bits
256 bits (AES)	<u>15360</u> bits	512 bits

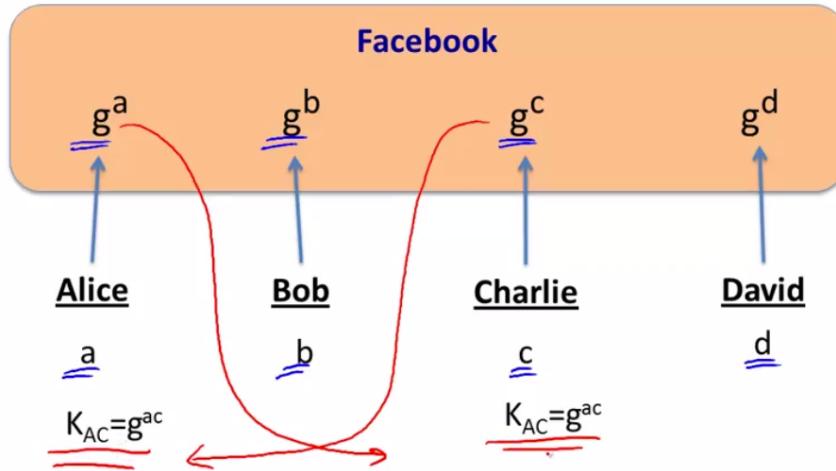
As a result: slow transition away from $(\text{mod } p)$ to elliptic curves.

- Insecure against man-in-the-middle

As described, the protocol is insecure against **active** attacks



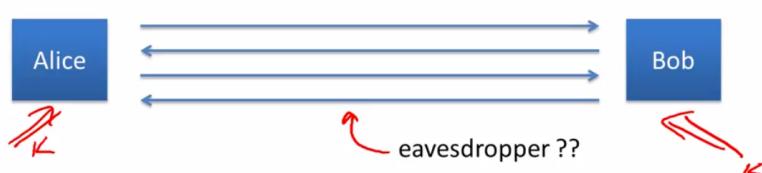
- Another look at DH



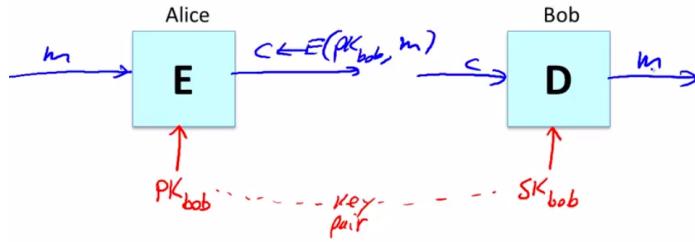
- since there key is posted to their profile they don't need to communicate at all to set up a shared key
- can communicate securely
- An open problem
 - $n = 2$ DH
 - $n = 3$ known (jouis)
 - $n = 4 \dots i$ (open)
 - open problem joint shared key
- **Public-Key Encryption**
- Establishing a shared secret

Goal: Alice and Bob want shared secret, unknown to eavesdropper

- For now: security against eavesdropping only (no tampering)



- Public key encryption



- pk = public key
- sk = secret key
- Public key encryption

Def: a public-key encryption system is a triple of algs. (G, E, D)

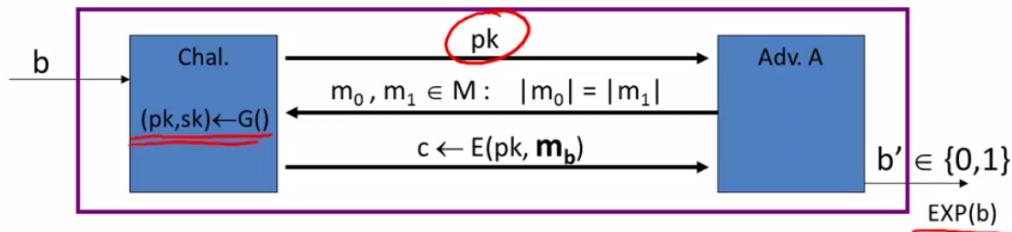
- $G()$: randomized alg. outputs a key pair (pk, sk)
- $E(pk, m)$: randomized alg. that takes $m \in M$ and outputs $c \in C$
- $D(sk, c)$: det. alg. that takes $c \in C$ and outputs $m \in M$ or \perp

Consistency: $\forall (pk, sk)$ output by G :

$$\forall m \in M: D(sk, E(pk, m)) = m$$

- Semantic security

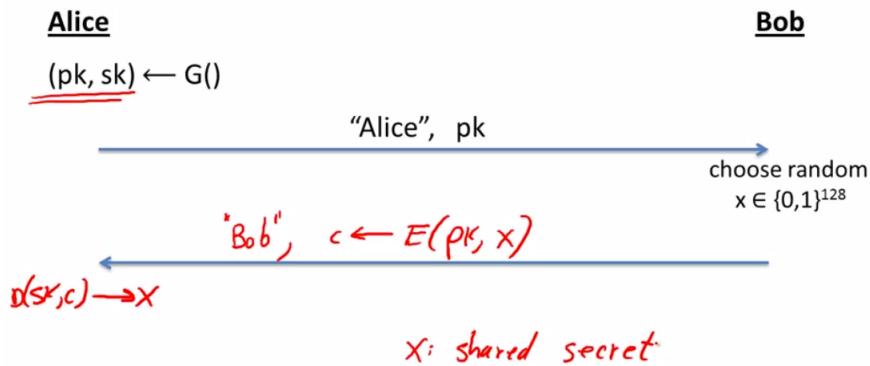
For $b=0,1$ define experiments $\underline{EXP(0)}$ and $\underline{EXP(1)}$ as:



Def: $\underline{E} = (G, E, D)$ is sem. secure (a.k.a IND-CPA) if for all efficient A :

$$\text{Adv}_{SS}[A, \underline{E}] = |\Pr[\underline{EXP(0)}=1] - \Pr[\underline{EXP(1)}=1]| < \text{negligible}$$

- Establishing a shared secret



- Security

Adversary sees pk , $E(pk, x)$ and wants $x \in M$

Semantic security \Rightarrow

adversary cannot distinguish

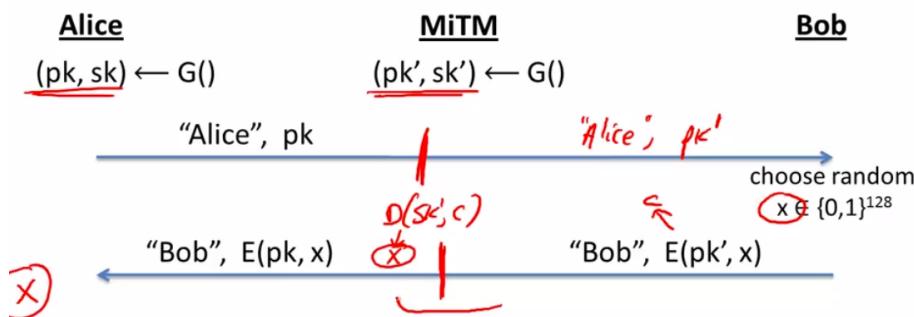
$\Rightarrow \{pk, E(pk, x), x\}$ from $\{pk, E(pk, x), \text{rand} \in M\}$

\Rightarrow can derive session key from x .

Note: protocol is vulnerable to man-in-the-middle.

- Insecure against man in the middle

As described, the protocol is insecure against **active** attacks



- Public key encryption: constructions
 - Constructions generally rely on hard problems from number theory and algebra

- Number Theory 1: modular arithmetic
- Notation
- Background
 - We will use a bit of number theory to construct
 - key exchange protocols
 - digital signatures
 - public key encryption
- Notation

From here on:

- N denotes a positive integer.
- p denote a prime.

Notation: $\mathbb{Z}_N = \{0, 1, 2, \dots, N-1\}$

Can do addition and multiplication modulo N



- Modular arithmetic

Examples: let $N = 12$

$$9 + 8 = 5 \quad \text{in } \mathbb{Z}_{12} \quad \leftarrow$$

$$5 \times 7 = \underline{\underline{11}} \quad \text{in } \mathbb{Z}_{12}$$

$$5 - 7 = \underline{\underline{10}} \quad \text{in } \mathbb{Z}_{12}$$

Arithmetic in \mathbb{Z}_N works as you expect, e.g. $x \cdot (y+z) = x \cdot y + x \cdot z$ in \mathbb{Z}_N

- Greatest common divisor

Def: For ints. x, y : $\gcd(x, y)$ is the greatest common divisor of x, y

Example: $\gcd(12, 18) = 6$

Fact: for all ints. x, y there exist ints. a, b such that

$$a \cdot x + b \cdot y = \gcd(x, y)$$

a, b can be found efficiently using the extended Euclid alg.

If $\gcd(x, y) = 1$ we say that x and y are relatively prime

- Modular inversion

Over the rationals, inverse of $\frac{1}{2}$ is $\frac{1}{2}$. What about $\underline{\underline{\mathbb{Z}_N}}$?

Def: The inverse of x in \mathbb{Z}_N is an element y in \mathbb{Z}_N s.t. $x \cdot y = 1 \text{ in } \mathbb{Z}_N$,
 y is denoted $\underline{x^{-1}}$.

Example: let N be an odd integer. The inverse of 2 in \mathbb{Z}_N is $\left[\frac{N+1}{2}\right]$
 $2 \cdot \left(\frac{N+1}{2}\right) = N+1 = 1 \text{ in } \mathbb{Z}$

- Modular inversion

Which elements have an inverse in $\underline{\underline{\mathbb{Z}_N}}$?

Lemma: x in \mathbb{Z}_N has an inverse if and only if $\gcd(x, N) = 1$

Proof:

$$\begin{aligned} \underline{\gcd(x, N) = 1} &\Rightarrow \exists a, b: \cancel{a \cdot x + b \cdot N = 1} \Rightarrow a \cdot x = 1 \text{ in } \mathbb{Z}_N \\ &\Rightarrow \underline{x^{-1} = a} \text{ in } \mathbb{Z}_N \end{aligned}$$

$$\begin{aligned} \gcd(x, N) > 1 &\Rightarrow \forall a: \gcd(a \cdot x, N) > 1 \Rightarrow a \cdot x \neq 1 \text{ in } \mathbb{Z}_N \\ \underline{\gcd(x, N) = 2} &\Rightarrow \forall a: a \cdot x \text{ is even} \Rightarrow \underline{\cancel{a \cdot x} \neq \cancel{b \cdot N + 1}} \end{aligned}$$

- More notation

Def: $\mathbb{Z}_N^* = (\text{set of invertible elements in } \mathbb{Z}_N) =$
 $= \{ x \in \mathbb{Z}_N : \gcd(x, N) = 1 \}$

Examples:

$$1. \text{ for prime } p, \mathbb{Z}_p^* = \mathbb{Z}_p \setminus \{0\} = \{1, 2, \dots, p-1\}$$

$$2. \mathbb{Z}_{12}^* = \{1, 5, 7, 11\}$$

For x in \mathbb{Z}_N^* , can find $\underline{x^{-1}}$ using extended Euclid algorithm.

$$|\mathbb{Z}_p^*| = p-1$$

- Solving modular linear equations

Solving modular linear equations

Solve: $a \cdot x + b = 0$ in \mathbb{Z}_N

Solution: $x = -b \cdot a^{-1}$ in \mathbb{Z}_N

Find a^{-1} in \mathbb{Z}_N using extended Euclid. Run time: $O(\log^2 N)$

- Fermat and Euler
- Review

N denotes an n-bit positive integer. p denotes a prime.

$$\bullet \underline{\mathbb{Z}_N} = \{0, 1, \dots, N-1\}$$

$$\begin{aligned} \bullet \underline{(\mathbb{Z}_N)^*} &= (\text{set of invertible elements in } \mathbb{Z}_N) = \\ &= \{x \in \mathbb{Z}_N : \underline{\gcd(x, N)} = 1\} \end{aligned}$$

Can find inverses efficiently using Euclid alg.: time = $O(n^2)$

- Fermat's theorem

Thm: Let p be a prime

$$\forall x \in (\mathbb{Z}_p)^* : \underline{x^{p-1}} = \underline{1} \text{ in } \mathbb{Z}_p \quad \leftarrow$$

Example: p=5. $3^4 = 81 = 1$ in \mathbb{Z}_5

$$\text{So: } \underline{x \in (\mathbb{Z}_p)^*} \Rightarrow \underline{x \cdot x^{p-2}} = \underline{1} \Rightarrow \underline{x^{-1} = x^{p-2}} \text{ in } \mathbb{Z}_p$$

another way to compute inverses, but less efficient than Euclid

- Application: generating random primes

Suppose we want to generate a large random prime 

say, prime p of length 1024 bits (i.e. $p \approx 2^{1024}$)

Step 1: choose a random integer $p \in [2^{1024}, 2^{1025}-1]$
 Step 2: test if $2^{p-1} = 1$ in \mathbb{Z}_p .
 If so, output p and stop. If not, goto step 1.

Simple algorithm (not the best). $\Pr[p \text{ not prime}] < 2^{-60}$ 

- Structure

Thm (Euler): $(\mathbb{Z}_p)^*$ is a cyclic group, that is

$\exists g \in (\mathbb{Z}_p)^*$ such that $\{1, g, g^2, g^3, \dots, g^{p-2}\} = (\mathbb{Z}_p)^*$
 g is called a generator of $(\mathbb{Z}_p)^*$

Example: $p=7$. $\{1, 3, 3^2, 3^3, 3^4, 3^5\} = \{1, 3, 2, 6, 4, 5\} = (\mathbb{Z}_7)^*$

Not every elem. is a generator: $\{1, 2, 2^2, 2^3, 2^4, 2^5\} = \{1, 2, 4\}$

- Order

For $g \in (\mathbb{Z}_p)^*$ the set $\{1, g, g^2, g^3, \dots\}$ is called
 the group generated by g, denoted $\langle g \rangle$

Def: the order of $g \in (\mathbb{Z}_p)^*$ is the size of $\langle g \rangle$

$$\underline{\underline{\text{ord}_p(g)}} = \underline{\underline{|\langle g \rangle|}} = \underline{\underline{(\text{smallest } a > 0 \text{ s.t. } g^a = 1 \text{ in } \mathbb{Z}_p)}}$$

$\{1, g, g^2, g^3, \dots, g^{\text{ord}_p(g)-1}\}$ $\overset{\text{ord}(g)}{g}$
 $\overset{\text{ord}(g)}{1}$...

- Examples

Examples: $\text{ord}_7(3) = 6$; $\text{ord}_7(2) = 3$; $\text{ord}_7(1) = 1$

Thm (Lagrange): $\forall g \in (\mathbb{Z}_p)^* : \text{ord}_p(g) \text{ divides } p-1$

- Euler's generalization of Fermat

Def: For an integer N define $\varphi(N) = |(\mathbb{Z}_N)^*|$ (Euler's φ func.)

Examples: $\varphi(12) = |\{1, 5, 7, 11\}| = 4 \quad \varphi(p) = p-1$

For $N=p \cdot q$: $\varphi(N) = N-p-q+1 = (p-1)(q-1)$

Thm (Euler): $\forall x \in (\mathbb{Z}_N)^* : x^{\varphi(N)} = 1 \text{ in } \mathbb{Z}_N$

Example: $5^{\varphi(12)} = 5^4 = 625 = 1 \text{ in } \mathbb{Z}_{12}$

Generalization of Fermat. Basis of the RSA cryptosystem

Durch Raus

- Modular e'th Roots

- Modular e'th roots

We know how to solve modular linear equations:

$$\underline{a \cdot x + b = 0} \text{ in } \mathbb{Z}_N \quad \text{Solution: } x = \underline{-b \cdot a^{-1}} \text{ in } \mathbb{Z}_N$$

What about higher degree polynomials?

Example: let p be a prime and $c \in \mathbb{Z}_p$. Can we solve:

$$\underline{x^2 - c = 0}, \quad y^3 - c = 0, \quad z^{37} - c = 0 \quad \text{in } \mathbb{Z}_p$$

- Modular e'th roots

Let p be a prime and $c \in \mathbb{Z}_p$.

Def: $x \in \mathbb{Z}_p$ s.t. $x^e = c$ in \mathbb{Z}_p is called an e'th root of c .

Examples: $7^{1/3} = 6 \text{ in } \mathbb{Z}_{11} \quad 6^3 = 216 = \underline{7} \text{ in } \mathbb{Z}_{11}$
 $3^{1/2} = 5 \text{ in } \mathbb{Z}_{11} \quad 5^2 = 25 = \underline{3} \text{ in } \mathbb{Z}_{11}$
 $1^{1/3} = 1 \text{ in } \mathbb{Z}_{11} \quad 1^3 = 1 \text{ in } \mathbb{Z}_{11}$

$2^{1/2}$ does not exist in \mathbb{Z}_{11}

- The easy case

When does $c^{1/e}$ in \mathbb{Z}_p exist? Can we compute it efficiently?

The easy case: suppose $\gcd(e, p-1) = 1$

Then for all c in $(\mathbb{Z}_p)^*$: $c^{1/e}$ exists in \mathbb{Z}_p and is easy to find.

Proof: let $d = e^{-1}$ in \mathbb{Z}_{p-1} . Then $c^{1/e} = c^d$ in \mathbb{Z}_p

$$d \cdot e = 1 \text{ in } \mathbb{Z}_{p-1} \Rightarrow \exists k \in \mathbb{Z}: d \cdot e = k(p-1) + 1 \Rightarrow (c^d)^e = c^{de} = c^{k(p-1)+1} = [c^{p-1}]^k \cdot c = c \text{ in } \mathbb{Z}_p$$

- The case $e=2$: square roots

If p is an odd prime then $\gcd(2, p-1) \neq 1$

Fact: in \mathbb{Z}_p^* , $x \rightarrow x^2$ is a 2-to-1 function

Example: in \mathbb{Z}_{11}^* : 1 10 2 9 3 8 4 7 5 6

Def: x in \mathbb{Z}_p is a quadratic residue (Q.R.) if it has a square root in \mathbb{Z}_p

p odd prime \Rightarrow the # of Q.R. in \mathbb{Z}_p is $(p-1)/2 + 1$

Dan Romik

- Euler's theorem

Thm: x in $(\mathbb{Z}_p)^*$ is a Q.R. $\Leftrightarrow x^{(p-1)/2} = 1$ in \mathbb{Z}_p (p odd prime)

Example: in \mathbb{Z}_{11}^* : $1^5, 2^5, 3^5, 4^5, 5^5, 6^5, 7^5, 8^5, 9^5, 10^5$

$$= 1 \quad -1 \quad 1 \quad 1 \quad 1 \quad -1 \quad -1 \quad 1 \quad -1$$

Note: $x \neq 0 \Rightarrow x^{(p-1)/2} = (x^{p-1})^{1/2} = 1^{1/2} \in \{1, -1\}$ in \mathbb{Z}_p

Def: $x^{(p-1)/2}$ is called the Legendre Symbol of x over p (1798)

Dan Romik

- Computing square roots mod p

Suppose $p \equiv 3 \pmod{4}$

$p+1 \equiv 0 \pmod{4}$
 $\frac{p+1}{4}$ is int.

Lemma: if $c \in \mathbb{Z}_p^*$ is Q.R. then $\sqrt{c} = c^{(p+1)/4}$ in \mathbb{Z}_p

Proof: $\left[c^{(p+1)/4}\right]^2 = c^{\frac{(p+1)k}{2}} = \underbrace{c^{\frac{p+1}{2}}}_{\text{int.}} \cdot c = 1 \cdot c = c \text{ in } \mathbb{Z}_p$

When $p \equiv 1 \pmod{4}$, can also be done efficiently, but a bit harder

run time $\approx O(\log^3 p)$

- Solving quadratic equations mod p

Solve: $a \cdot x^2 + b \cdot x + c = 0 \text{ in } \mathbb{Z}_p$

Solution: $x = \frac{(-b \pm \sqrt{b^2 - 4 \cdot a \cdot c})}{2a} \text{ in } \mathbb{Z}_p$

- Find $(2a)^{-1}$ in \mathbb{Z}_p using extended Euclid.
- Find square root of $b^2 - 4 \cdot a \cdot c$ in \mathbb{Z}_p (if one exists)
using a square root algorithm

- Computing e'th roots mod N??

Let N be a composite number and $e > 1$

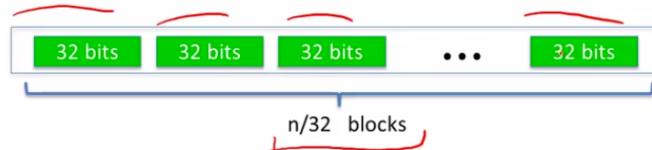
When does $c^{1/e}$ in \mathbb{Z}_N exist? Can we compute it efficiently?

Answering these questions requires the factorization of N
(as far as we know)

- More background on number theory
- Number Theory 2: easy and hard problems

- Arithmetic algorithms
- Representing bignums

Representing an n-bit integer (e.g. n=2048) on a 64-bit machine



Note: some processors have 128-bit registers (or more) and support multiplication on them

- Arithmetic

Given: two n-bit integers

- **Addition and subtraction:** linear time $O(n)$
- **Multiplication:** naively $O(n^2)$. Karatsuba (1960): $O(n^{1.585})$
 - Basic idea: $(2^b x_2 + x_1) \times (2^b y_2 + y_1)$ with 3 mults.
 - Best (asymptotic) algorithm: about $\tilde{O}(n \cdot \log n)$.
- **Division with remainder:** $O(n^2)$.

- Exponentiation
 - repeating square algorithm
 - multiple the appropriate powers to get the answer

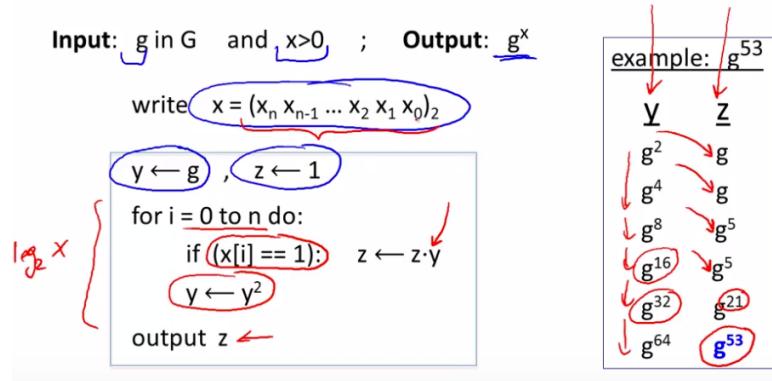
Finite cyclic group G (for example $G = \mathbb{Z}_p^*$) $G = \{1, g, g^2, \dots, g^{p-1}\}$

Goal: given g in G and x compute g^x $g \cdot g \cdot g = g^3$

Example: suppose $x = 53 = (110101)_2 = 32+16+4+1$

$$\text{Then: } g^{53} = g^{32+16+4+1} = g^{32} \cdot g^{16} \cdot g^4 \cdot g^1$$

- The repeated squaring algorithm



- Running times

Given n -bit int. N :

- **Addition and subtraction in Z_N :** linear time $T_+ = O(n)$
- **Modular multiplication in Z_N :** naively $T_x = O(n^2)$
- **Modular exponentiation in Z_N (g^x):**

$$O((\log x) \cdot T_x) \leq O((\log x) \cdot n^2) \leq O(n^3)$$

$x \leq N$

Dan Boneh

- **Intractable Problems**
- Easy problems

- Given composite N and $x \in Z_N$ find $x^{-1} \in Z_N$
- Given prime p and polynomial $f(x) \in Z_p[x]$
find $x \in Z_p$ s.t. $f(x) = 0$ in Z_p (if one exists)
Running time is linear in $\deg(f)$.

... but many problems are difficult

- Intractable problems with primes

Fix a prime $p > 2$ and $g \in (Z_p)^*$ of order q .
Consider the function: $x \mapsto g^x$ in Z_p

Now, consider the inverse function:

$$\text{Dlog}_g(g^x) = x \quad \text{where } x \in \{0, \dots, q-1\}$$

Example: in Z_{11} : 1, 2, 3, 4, 5, 6, 7, 8, 9, 10

$$\text{Dlog}_2(\cdot) : 0, 1, 8, 2, 4, 9, 7, 3, 6, 5$$

$$2^{\frac{1}{2}} = 16 = 5 \text{ in } Z_{11}$$

Dan Boneh

- DLOG: more generally

Let \underline{G} be a finite cyclic group and \underline{g} a generator of G

$$G = \{ 1, g, g^2, g^3, \dots, g^{q-1} \} \quad (q \text{ is called the order of } G)$$

Def: We say that DLOG is hard in G if for all efficient alg. A:

$$\Pr_{\substack{g \leftarrow G, x \leftarrow \mathbb{Z}_q}} [A(G, q, g, g^x) = x] < \underline{\text{negligible}}$$

Example candidates:

- (1) $(\mathbb{Z}_p)^*$ for large p , (2) Elliptic curve groups mod p

- Computing DLOG in $(\mathbb{Z}_p)^*$ (n-bit prime p)

Best known algorithm (GNFS): run time $\exp(\tilde{O}(\sqrt[3]{n}))$

cipher key size	modulus size	Elliptic Curve group size
80 bits	1024 bits	160 bits
128 bits	3072 bits	256 bits
256 bits (AES)	<u>15360</u> bits	512 bits

e^{wz}

- as a result: slow transition away from $(\text{mod } p)$ to elliptic curves
- An application: collision resistance

Choose a group \underline{G} where Dlog is hard (e.g. $(\mathbb{Z}_p)^*$ for large p)

Let $q = |G|$ be a prime. Choose generators $\underline{g}, \underline{h}$ of G

$$\text{For } x, y \in \{1, \dots, q\} \text{ define } H(x, y) = g^x \cdot h^y \text{ in } G$$

Lemma: finding collision for $H(\dots)$ is as hard as computing $\text{Dlog}_g(h)$

Proof: Suppose we are given a collision $H(x_0, y_0) = H(x_1, y_1)$

$$\text{then } g^{x_0} \cdot h^{y_0} = g^{x_1} \cdot h^{y_1} \Rightarrow g^{x_0 - x_1} = h^{y_1 - y_0} \Rightarrow h = g^{x_0 - x_1 / y_1 - y_0}$$

- Intractable problems with composites
 - Z notation 2 means that the numbers of two prime factors n means that they are n bit numbers

Consider the set of integers: (e.g. for $n=1024$)

$$\mathbb{Z}_{(2)}(n) := \{ N = p \cdot q \text{ where } p, q \text{ are } n\text{-bit primes} \}$$

Problem 1: Factor a random N in $\mathbb{Z}_{(2)}(n)$ (e.g. for $n=1024$)
 $\underline{h=2048}$

Problem 2: Given a polynomial $f(x)$ where $\text{degree}(f) > 1$
and a random N in $\mathbb{Z}_{(2)}(n)$

find x in \mathbb{Z}_N s.t. $f(x) = 0$ in \mathbb{Z}_N

- The factoring problem

Best known alg. (NFS): run time $\exp(\tilde{O}(\sqrt[3]{n}))$ for n-bit integer

Current world record: **RSA-768** (232 digits)

- Work: two years on hundreds of machines
- Factoring a 1024-bit integer: about 1000 times harder
⇒ likely possible this decade

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