

### Problem 1

Let us introduce a new connective  $\leftrightarrow$  which should abbreviate  $\phi \rightarrow \psi \wedge \psi \rightarrow \phi$ . Design introduction and elimination rules (like the ones we had in natural deduction) for  $\leftrightarrow$  and show that they are derived rules.

*Solution:* The introduction and elimination rules for double implication can be designed as follows:

#### Introduction Rule:

$$\frac{\begin{array}{c} [\phi] \quad [\psi] \\ \psi \quad \phi \end{array}}{\phi \leftrightarrow \psi} \leftrightarrow_i$$

#### Elimination Rules

$$\frac{\phi \leftrightarrow \psi \quad \phi}{\psi} \leftrightarrow_{e1}$$

$$\frac{\phi \leftrightarrow \psi \quad \psi}{\phi} \leftrightarrow_{e2}$$

$$\frac{\phi \leftrightarrow \psi \quad \neg\phi}{\neg\psi} \leftrightarrow_{e3}$$

$$\frac{\phi \leftrightarrow \psi \quad \neg\psi}{\neg\phi} \leftrightarrow_{e4}$$

We will now prove that these rules are derived rules. First, we prove that  $\leftrightarrow_i$  is derived.

1.	$\phi$	assumption
2.	$\psi$	premise
3.	$\phi \rightarrow \psi$	$\rightarrow_i$ 1-2
4.	$\psi$	assumption
5.	$\phi$	premise
6.	$\psi \rightarrow \phi$	$\rightarrow_i$ 4-5
7.	$(\phi \rightarrow \psi) \wedge (\psi \rightarrow \phi)$	$\wedge_i$ 3, 6

Since  $\phi \leftrightarrow \psi$  abbreviates  $(\phi \rightarrow \psi) \wedge (\psi \rightarrow \phi)$ , therefore,  $\leftrightarrow_i$  is derived. Now, we will prove that  $\leftrightarrow_{e1}$  and  $\leftrightarrow_{e3}$  are also derived rules. The other two follow without loss of generality. First:  $\leftrightarrow_{e1}$ :

1.	$(\phi \rightarrow \psi) \wedge (\psi \rightarrow \phi)$	premise
2.	$\phi$	premise
3.	$\phi \rightarrow \psi$	$\wedge_{e1}$ 1
4.	$\psi$	MP(3,2)

Now,  $\leftrightarrow_{e3}$ :

1.	$(\phi \rightarrow \psi) \wedge (\psi \rightarrow \phi)$	premise
2.	$\neg\phi$	premise
3.	$\phi \rightarrow \psi$	$\wedge_{e1}$ 1
4.	$\neg\psi$	MT(3,2)

We can get the other two rules by using  $\wedge_{e2}$  instead of  $\wedge_{e1}$  in the above proofs. Hence proved that the rules for  $\leftrightarrow$  are derived.

**Problem 2**

Prove the validity of the following sequents using natural deduction proof rules:

- (a)  $(p \rightarrow r) \wedge (q \rightarrow r) \vdash p \wedge q \rightarrow r$   
 (b)  $p \rightarrow q \wedge r \vdash (p \rightarrow q) \wedge (p \rightarrow r)$

*Solution:*

(a)

1.	$(p \rightarrow r) \wedge (q \rightarrow r)$	premise
2.	$(p \rightarrow r)$	$\wedge_{e1}$ 1
3.	$p \wedge q$	assumption
4.	$p$	$\wedge_{e1}$ 3
5.	$r$	MP(2,4)
6.	$p \wedge q \rightarrow r$	$\rightarrow_i$ 3-5

(b)

1.	$p \rightarrow q \wedge r$	premise
2.	$p$	assumption
3.	$q \wedge r$	MP(1,2)
4.	$q$	$\wedge_{e1}$ 3
5.	$r$	$\wedge_{e2}$ 3
6.	$p \rightarrow q$	$\rightarrow_i$ 2-4
7.	$p \rightarrow r$	$\rightarrow_i$ 2-5
8.	$(p \rightarrow q) \wedge (p \rightarrow r)$	$\wedge_i$ 6, 7

**Problem 3**

An adequate set of connectives for propositional logic is a set such that for every formula of propositional logic there is an equivalent formula with only connectives from that set. For example,  $\{\neg, \vee\}$  is adequate. Is  $\{\neg, \leftrightarrow\}$  adequate? Justify your answer.

*Solution:* We will approach this problem as follows: We will show that any boolean formula build using  $\{\neg, \leftrightarrow\}$  will have a certain property which a general formula in propositional logic will not have. This will prove the inadequacy of this set of connectives. We make the following claim.

**Claim 1.** Any boolean formula  $\phi$  using both and only the atoms  $p, q$  and connectives from the set  $\{\neg, \leftrightarrow\}$  satisfies that the truth table for formula  $\phi$  has even number of rows with truth value  $T$ .

*Proof.* We will prove this by induction on the length of the formula  $\phi$ . Note that the minimum length of a formula involving two connectives  $p, q$  is 3, i.e. the formula  $p \leftrightarrow q$ . It is simple to observe that the truth table has two rows with the truth value  $T$ . Therefore the before case is proven.

In the inductive step, suppose all formulas with length  $\leq n$  have an even number of rows with truth value  $T$ . Consider a formula  $\phi$  of length  $n$ . Since we know that we only have the connectives  $\{\neg, \leftrightarrow\}$ , the formula  $\phi$  can either be:

1.  $\phi = \neg\psi$ : By the inductive hypothesis, we know  $\psi$  has an even number of rows with truth value  $T$ . Since the number of rows in the truth table is also even, we must know that  $\psi$  has an even number of rows with truth value  $F$ . By semantics of  $\neg$ , we know that the number of rows with truth value  $T$  for  $\neg\psi$  is the number of rows with truth value  $F$  for  $\psi$ , which is even.

2.  $\phi = \psi \leftrightarrow \xi$ : By the inductive hypothesis, we know  $\psi$  and  $\xi$  have an even number of rows with truth value  $T$ . It is useful to recall that there are *only 4 rows* in the truth table of  $\phi$  since there are only two atoms  $p, q$ . Further, by semantics of  $\leftrightarrow$ ,  $\phi$  will be  $T$  wherever  $\psi$  and  $\xi$  are *both*  $T$  or *both*  $F$ . Now there are a few cases:

- **Case I:** Both  $\psi$  and  $\xi$  have 0 or 4 rows with  $T$ . Then  $\phi$  has  $T$  in all four rows.
- **Case II:**  $\psi$  has 0 rows with  $T$  and  $\xi$  has 4 rows with  $T$ . Then  $\phi$  has  $T$  in zero rows. The symmetric case follows.
- **Case III:**  $\psi$  has 2 rows with  $T$  and  $\xi$  has 0 with  $T$ . Then  $\phi$  has  $T$  in the 2 rows in which both  $\psi$  and  $\xi$  are  $F$ . The symmetric case follows.
- **Case IV:**  $\psi$  has 2 rows with  $T$  and  $\xi$  has 4 with  $T$ . Then  $\phi$  has  $T$  in the 2 rows in which both  $\psi$  and  $\xi$  are  $T$ . The symmetric case follows.
- **Case V:** Both  $\psi$  and  $\xi$  have 2 rows each with  $T$ , and the two rows are exactly the same or totally disjoint. In this case, it is easy to see that  $\phi$  will have 2 or 0 rows with  $T$  respectively (using the semantics of  $\leftrightarrow$ ).
- **Case VI:** Both  $\psi$  and  $\xi$  have 2 rows each with  $T$ , and if there is exactly one row in common where both have  $T$ , i.e.  $\phi = T, \xi = T$ . Then, there *must* exist a row in where both have a  $F$ . This is because, two of the other rows could possibly look like  $\phi = T, \xi = F$  and  $\phi = F, \xi = T$ . However, the 4th row cannot contain any more  $T$ s (since both  $\psi$  and  $\xi$  have only two rows with  $T$ ). So, there are two rows, one in which both  $\psi$  and  $\xi$  are  $T$ , and one in which both are  $F$ . So, by semantics of  $\leftrightarrow$  there are exactly 2 rows in the truth table of  $\phi$  which are  $T$ .

Thus, the claim is proven. Further, note that formulas of propositional logic such as  $p \vee q$  and  $p \wedge q$  have  $T$  in an odd number of rows. Thus, these cannot be represented using the connectives  $\{\neg, \leftrightarrow\}$  and thus they are inadequate.

#### Problem 4

Show that the following sequents are not valid by finding a valuation in which the truth values of the formulas to the left of  $\vdash$  are  $T$  and the truth value of the formula to the right of  $\vdash$  is  $F$ .

- (a)  $\neg r \rightarrow (p \vee q), r \wedge \neg q \vdash r \rightarrow q$
- (b)  $p \rightarrow (q \rightarrow r) \vdash p \rightarrow (r \rightarrow q)$

*Solution:*

(a) The valuation is:  $p = F, q = F, r = T$ . Then,  $\neg r \rightarrow (p \vee q) = F \rightarrow F \vee F = T$ , and  $r \wedge \neg q = T \wedge T = T$ . For the RHS,  $r \rightarrow q = T \rightarrow F = F$ .

(b) The valuation is:  $p = T, q = F, r = T$ . Then, the LHS is  $p \rightarrow (q \rightarrow r) = T \rightarrow (F \rightarrow T) = T \rightarrow T = T$ , and the RHS is  $p \rightarrow (r \rightarrow q) = T \rightarrow (T \rightarrow F) = T \rightarrow F = F$ .

#### Problem 5

Let  $X$  be a set of propositional logic formulas.  $X$  is said to be a finitely satisfiable set (FSS) if every  $Y \subseteq_{fin} X$  is satisfiable. Equivalently,  $X$  is an FSS if there is no finite subset  $\{\alpha_1, \alpha_2, \dots, \alpha_n\}$  of  $X$  such that  $\neg(\alpha_1 \wedge \alpha_2 \wedge \dots \wedge \alpha_n)$  is valid. (Note that if  $X$  is an FSS we are not promised a single valuation  $v$  which satisfies every finite subset of  $X$ . Each finite subset could be satisfied by a different valuation.)

Show that:

- (a) Every FSS can be extended to a maximal FSS.
- (b) If  $X$  is a maximal FSS then for every formula  $\alpha$ ,  $\alpha \in X$  iff  $\neg\alpha \notin X$ .
- (c) If  $X$  is a maximal FSS then for all formulas  $\alpha, \beta$ ,  $\alpha \vee \beta \in X$  iff  $\alpha \in X \vee \beta \in X$ .
- (d) Every maximal FSS  $X$  generates a valuation  $v_X$  such that for every formula  $\alpha$ ,  $v_X \models \alpha$  iff  $\alpha \in X$ .

From these facts, conclude that:

- (e) Any FSS  $X$  is simultaneously satisfiable (that is, for any FSS  $X$ , there exists  $v_X$  such that  $v_X \models X$ )  
(f) For all  $X$  and all  $\alpha$ ,  $X \models \alpha$  iff there exists  $Y \subseteq_{fin} X$  such that  $Y \models \alpha$ .

*Solution:*

(a) Let  $X$  be an arbitrary FSS. Let  $q_0, q_1, q_2, \dots$  be an enumeration of  $\Phi$ , as defined in class. We define an infinite sequence of sets  $X_0, X_1, X_2, \dots$  as follows:  $X_0 = X$ , and for  $i \geq 0$ ,

$$X_{i+1} = \begin{cases} X_i \cup \{q_i\} & \text{if } X_i \cup \{q_i\} \text{ is an FSS} \\ X_i & \text{otherwise} \end{cases}$$

We observe that each set in this sequence of sets is an FSS, by construction. Now let us define  $W = \bigcup_{i \geq 0} X_i$ . We claim that  $W$  is a maximal FSS extending  $X$ . We prove this as follows:

- If  $W$  is not an FSS, then there is a subset  $Z \subseteq_{fin} W$  which is not satisfiable. Let  $|Z| = n$  and let  $Z = \{p_1, p_2, \dots, p_n\}$ . We can write  $Z$  as  $\{q_{i_1}, q_{i_2}, \dots, q_{i_n}\}$  where the indices correspond to our enumeration of  $\Phi$ . Then we see that  $Z \subseteq_{fin} X_{j+1}$  in the sequence  $X_0 \subseteq X_1 \subseteq X_2 \subseteq \dots \subseteq W$ , where  $j = \max(i_1, i_2, \dots, i_n)$ . This implies that  $X_{j+1}$  is not an FSS, which violates our construction. Therefore  $W$  is an FSS.
- Now we show that  $W$  is maximal. Suppose it is not, i.e.  $W \cup \{p\}$  is an FSS for some formula  $p \notin W$ . Let  $p = q_j$  in our enumeration of  $\Phi$ . Since  $q_j \notin W$ ,  $q_j$  was not added at step  $j+1$  in our construction. This means that  $X_j \cup \{q_j\}$  is not an FSS. In other words, there exists  $Z \subseteq_{fin} X_j$  such that  $Z \cup \{q_j\}$  is not an FSS, or exists a finite subset which is unsatisfiable. Since  $X_j \subseteq W$ , we must have  $Z \subseteq_{fin} W$  as well, which contradicts the assumption that  $W \cup \{q_j\}$  is an FSS, since there exists a finite unsatisfiable subset. So,  $W$  must be maximal. ■

(b)

[ $\Leftarrow$ ] Note that  $\{\alpha, \neg\alpha\}$  is not satisfiable. Therefore,  $\{\alpha, \neg\alpha\} \not\subseteq X$ . Therefore,  $\alpha \in X$  only if  $\neg\alpha \notin X$ .

[ $\Rightarrow$ ] Suppose that a maximal FSS  $X$  does not contain either of  $\alpha$  and  $\neg\alpha$ . Therefore,  $X \cup \{\alpha\}$  and  $X \cup \{\neg\alpha\}$  both have finite subsets which are unsatisfiable, and therefore inconsistent. Let these finite subsets be named  $P$  and  $Q$  respectively. Note that  $P$  must contain  $\alpha$ , since if not, then  $P \setminus \{\alpha\} \subseteq_{fin} X$  itself is unsatisfiable, which contradicts the fact that  $X$  is an FSS. Symmetrically,  $Q$  must contain  $\neg\alpha$ .

Let  $P \setminus \{\alpha\} = \{\beta_1, \beta_2, \dots, \beta_n\}$  and  $Q \setminus \{\neg\alpha\} = \{\gamma_1, \gamma_2, \dots, \gamma_m\}$ . Let  $p = \beta_1 \wedge \beta_2 \wedge \dots \wedge \beta_n$  and  $q = \gamma_1 \wedge \gamma_2 \wedge \dots \wedge \gamma_m$ . Then, we have  $\vdash \neg(\alpha \wedge p)$  and  $\vdash \neg(\neg\alpha \wedge q)$ . This is equivalent to  $\vdash \neg\alpha \vee \neg p$  and  $\vdash \neg\neg\alpha \vee \neg q$ . Using the fact that  $\neg a \vee b \equiv a \rightarrow b$  and  $\neg\neg a \equiv a$ , we get  $\vdash \alpha \rightarrow \neg p$  and  $\vdash \neg\alpha \rightarrow \neg q$ .

Further, we have that  $\vdash (\alpha \rightarrow \beta) \rightarrow ((\delta \rightarrow \gamma) \rightarrow ((\alpha \vee \delta) \rightarrow (\beta \vee \gamma)))$  (proved in class). Substituting  $\alpha = \alpha, \delta = \neg\alpha, \beta = \neg p$  and  $\gamma = \neg q$  we get  $\vdash (\alpha \vee \neg\alpha) \rightarrow (\neg p \vee \neg q)$ . Since  $\vdash \alpha \vee \neg\alpha$ , we get  $\vdash \neg p \vee \neg q$ . By rewriting  $\vee$  in terms of  $\wedge$ , we can get  $\vdash \neg(p \wedge q)$ .

However, this implies that  $(P \setminus \{\alpha\} \cup Q \setminus \{\neg\alpha\}) \subseteq_{fin} X$  is inconsistent, and therefore unsatisfiable (since  $p \wedge q$  represents the formula for  $P \setminus \{\alpha\} \cup Q \setminus \{\neg\alpha\}$ ). And since both  $P \setminus \{\alpha\} \subseteq X$  and  $Q \setminus \{\neg\alpha\} \subseteq X$  and so  $P \setminus \{\alpha\} \cup Q \setminus \{\neg\alpha\} \subseteq X$ . But this is a contradiction to the fact that  $X$  is an FSS. Therefore,  $X$  must contain at least one of  $\{\alpha, \neg\alpha\}$ . ■

(c)

[ $\Rightarrow$ ] Suppose that  $\alpha \in X \vee \beta \in X$  but  $\alpha \vee \beta \notin X$ . Since  $X$  is maximal, there exists a finite subset of  $X \cup \{(\alpha \vee \beta)\}$  that is not satisfiable. However, note that if  $\alpha \in X$ . This means  $X \cup \{\alpha\}$  is satisfiable, and so  $X \cup \{(\alpha \vee \beta)\}$  should also be satisfiable. A similar argument for  $\beta$ . Therefore, if  $\alpha \in X \vee \beta \in X$  then  $\alpha \vee \beta \in X$ .

[ $\Leftarrow$ ] Suppose a maximal FSS  $X$  contains  $\alpha \vee \beta$  but does not contain either of  $\alpha$  and  $\beta$ . Therefore,  $X \cup \{\alpha\}$  and  $X \cup \{\beta\}$  both have finite subsets which are unsatisfiable, and therefore inconsistent. Let these finite subsets be named  $P$  and  $Q$  respectively. Note that  $P$  must contain  $\alpha$ , since if not, then  $P \setminus \{\alpha\} \subseteq_{fin} X$

itself is unsatisfiable, which contradicts the fact that  $X$  is an FSS. Symmetrically,  $Q$  must contain  $\beta$ .

Let  $P \setminus \{\alpha\} = \{\xi_1, \xi_2, \dots, \xi_n\}$  and  $Q \setminus \{\beta\} = \{\gamma_1, \gamma_2, \dots, \gamma_m\}$ . Let  $p = \xi_1 \wedge \xi_2 \wedge \dots \wedge \xi_n$  and  $q = \gamma_1 \wedge \gamma_2 \wedge \dots \wedge \gamma_m$ . Then, we have  $\vdash \neg(\alpha \wedge p)$  and  $\vdash \neg(\beta \wedge q)$ . This is equivalent to  $\vdash \neg\alpha \vee \neg p$  and  $\vdash \neg\beta \vee \neg q$ . Using the fact that  $\neg a \vee b \equiv a \rightarrow b$ , we get  $\vdash \alpha \rightarrow \neg p$  and  $\vdash \beta \rightarrow \neg q$ .

Further, we have that  $\vdash (\alpha \rightarrow \xi) \rightarrow ((\delta \rightarrow \gamma) \rightarrow ((\alpha \vee \delta) \rightarrow (\xi \vee \gamma)))$  (proved in class). Substituting  $\alpha = \alpha, \delta = \beta, \xi = \neg p$  and  $\gamma = \neg q$  we get  $\vdash (\alpha \vee \beta) \rightarrow (\neg p \vee \neg q)$ . By deduction theorem, we may also write  $(\alpha \vee \beta) \vdash (\neg p \vee \neg q)$ , or  $(\alpha \vee \beta) \wedge (p \wedge q)$  is inconsistent, and therefore unsatisfiable.

However, this is exactly the formula for the set  $(P \setminus \{\alpha\} \cup Q \setminus \{\neg\alpha\} \cup \{\alpha \vee \beta\})$ , and since both  $P \setminus \{\alpha\} \subseteq_{fin} X$  and  $Q \setminus \{\neg\alpha\} \subseteq_{fin} X$ , and  $\{\alpha \vee \beta\} \subseteq_{fin} X$ , we have that  $(P \setminus \{\alpha\} \cup Q \setminus \{\neg\alpha\} \cup \{\alpha \vee \beta\}) \subseteq_{fin} X$ , and it is unsatisfiable, which is a contradiction. Therefore,  $X$  must contain at least one of  $\{\alpha, \beta\}$ . ■

(d) Let us define the valuation  $v_X$  as the following. Suppose  $\mathcal{P} = \{p_1, p_2, \dots\}$  is the set of all atomic propositions. The valuation  $v_X$  has the following form:

$$p_i = \begin{cases} T & \text{if } p_i \in X \\ F & \text{otherwise} \end{cases}$$

We claim that  $v_X$  satisfies the property that  $v_X \models \alpha$  iff  $\alpha \in X$ . We will prove this by induction on the length of the formula  $\alpha$ .

**Base case:**  $\alpha$  has a length of 1, so it must be an atom, and the property follows by definition of  $v_X$ .

**Inductive Step:** Suppose the hypothesis is true for all formulas of length  $< n$ . Consider a formula  $\alpha$  with  $|\alpha| = n$ . Then, there are only two structural possibilities for  $\alpha$ :

- $\alpha = \neg\beta$ : Note that  $v_X \models \neg\beta$  iff  $v_X \not\models \beta$ . Further, by inductive hypothesis, this can occur iff  $\beta \notin X$ . Finally,  $\beta \notin X$  iff  $\beta \in X$  (by Part (b) above) and so,  $v_X \models \neg\beta$  iff  $\neg\beta \in X$ .
- $\alpha = \beta \vee \gamma$ : Note that  $v_X \models \beta \vee \gamma$  iff  $v_X \models \beta \vee v_X \models \gamma$ . Further, by inductive hypothesis, this can occur iff  $\beta \in X \vee \gamma \in X$ . Finally,  $\beta \in X \vee \gamma \in X$  iff  $\beta \vee \gamma \in X$  (by Part (c) above) and so,  $v_X \models \beta \vee \gamma$  iff  $\beta \vee \gamma \in X$ .

(e) First, let us consider a maximal FSS  $X$ . Then, we claim that  $v_X$  as defined in Part (d) above simultaneously satisfies  $X$ . If this is not true, then there exists some  $\beta \in X$  such that  $v_X \not\models \beta$ , however, by definition, this can occur iff  $\beta \notin X$ . Therefore,  $v_X$  simultaneously satisfies  $X$ .

So, for a general FSS  $Y$ , we can define the valuation  $v_Y = v_{Y_{max}}$ , where  $Y_{max}$  is the maximal FSS obtained by extending  $Y$ . Then  $v_Y$  simultaneously satisfies  $Y$ , because it also simultaneously satisfies  $Y_{max}$ , and  $Y \subseteq Y_{max}$ .

(f)

[ $\Rightarrow$ ] If  $Y \subseteq_{fin} X$  and  $Y \models \alpha$  then  $X \models \alpha$ , since if a valuation  $v$  satisfies  $X$  then it also satisfies  $Y$ . Therefore,  $Y \models \alpha \Rightarrow v \models \alpha$  for every valuation satisfying  $X$ . Therefore,  $X \models \alpha$ .

[ $\Leftarrow$ ] There are two cases we need to consider:

- $X$  is an FSS: Let us consider the set  $Z = X \cup \{\neg\alpha\}$ . Suppose that  $Z$  is an FSS: Then, there must exist a valuation  $v$  which simultaneously satisfies  $Z$ , i.e.  $v \models Z$ . In other words,  $v \models X$  and  $v \models \neg\alpha$ . However, since  $X \models \alpha$ , any valuation satisfying  $X$  must satisfy  $\alpha$ , i.e.  $v \models \alpha$ . This is a contradiction, so  $Z$  must not be an FSS. Therefore, there must exist  $W \subseteq_{fin} X \cup \{\neg\alpha\}$  such that  $W$  is not satisfiable, by definition of Finitely Satisfiable Sets. Further,  $W$  must contain  $\neg\alpha$ , else  $Y \subseteq X$ , and therefore  $X$  could not have been an FSS. So, we have that  $W = Y \cup \{\neg\alpha\}$  is unsatisfiable, and therefore we get  $Y \models \alpha$ , as required.

- If  $X$  is not an FSS: By definition,  $\exists Y \subseteq_{fin} X$  such that  $Y$  is not satisfiable, and therefore  $X$  is not satisfiable. Since no valuation satisfies  $Y$ , we can trivially say that  $Y \models \alpha$ .

Hence, we conclude that  $X \models \alpha$  iff  $\exists Y \subseteq_{fin} X$  such that  $Y \models \alpha$ . ■

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