## COL751 - Lecture 15

Recall the definition of Gomory Hu trees introduced in the previous lecture.

**Definition 1** (Lec 14) A tree  $T = (V, E^*, c^*)$  is said to be a Gomory-Hu tree for a graph G = (V, E, c) if it satisfies that for any distinct  $x, y \in V$ :

- 1.  $\lambda_{x,y,G} = \lambda_{x,y,T} = \min_{e \in P_{x,y}} c^*(e)$ .
- 2. If  $e = \arg\min_{e \in P_{x,y}} c^*(e)$ , then T e corresponds to an (x,y)-min-cut in G.

## 1 Some Fundamental Properties of Cuts

**Property 1** (Lec 14) For any sequence of  $k \ge 2$  distinct vertices  $(x = x_1, x_2, \dots, x_k = y)$ , we have

$$\lambda_{x,y,G} \geqslant \min_{i < k} \lambda_{x_i,x_{i+1},G}.$$

As an implication of Lemma 2, we prove the following alternate characterization of Gomory-Hu Trees.

**Proposition 1** (Alternate Characterization) A tree  $T = (V, E^*, c^*)$  is a Gomory-Hu tree for G = (V, E, c) iff the conditions 1 and 2 stated in Definition 1 hold for (n - 1) vertex pairs that corresponds to endpoints of edges in  $E^*$ .

**Proof:** Let us suppose that conditions 1 and 2 stated in Definition 1 holds for pairs that correspond to endpoints of edges in  $E^*$ . Consider any distinct  $x, y \in V$  that are not adjacent in T. Let  $P_{x,y} = (x = x_1, x_2, ..., x_k = y)$  be unique path from x to y in tree T, and let  $e = (x_i, x_{i+1})$  be edge of least weight on  $P_{x,y}$ .

By Lemma 2,  $\lambda_{x,y,G} \ge \lambda_{x_i,x_{i+1},G} = c^*(e)$ . Now, observe that T - e is an (x,y)-cut and  $(x_i, x_{i+1})$ -min-cut, due to which it follows that  $\lambda_{x,y,G} \le \lambda_{x_i,x_{i+1},G}$ .

This proves that  $\lambda_{x,y,G} = c^*(e)$ , and thus T - e corresponds to an (x,y)-min-cut.

**Property 2** (Lec 14) Let  $s, t \in V$  be distinct vertices and  $(A, A^c)$  be an (s, t)-min-cut in G. Then, for any two distinct vertices  $x, y \in A$  there is a (x, y)-min-cut  $(B, B^c)$  in G such that either  $B \subseteq A$  or  $B^c \subseteq A$ .

(In other words, the (x,y)-min-cut is unaffected on considering  $A^c$  as a supernode.)

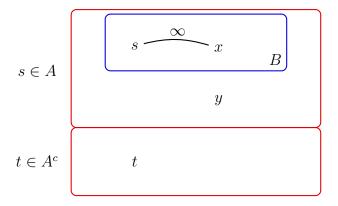


Figure 1: Illustration of cuts involved in Property 3.

**Property 3** Let  $s, t \in V$  be distinct vertices and  $(A, A^c)$  be an (s, t)-min-cut in G. Let x, y be two distinct vertices in A and  $(B, B^c)$  be an (x, y)-min-cut in G such that  $B \subseteq A$ . If  $s \in B$  then  $(A, A^c)$  is also an (y, t)-min-cut in G.

**Proof:** Let us suppose the conditions stated in claim including the condition  $s \in B$  holds true. Observe that we can add an edge of infinite capacity connecting s and x as they are not separated by any min-cut.

So, we have

$$\lambda_{y,t} \geqslant \min(\lambda_{y,x}, \lambda_{x,s}, \lambda_{s,t})$$
 (by chain rule)  

$$= \min(\lambda_{x,y}, \lambda_{s,t})$$
 (due to edge of infinite weight)  

$$= \lambda_{s,t}$$
 (as s and t are separated by  $(x, y) - \min - \cot$ )

This along with the fact that y and t are separated by an (s,t)-min-cut implies that  $\lambda_{y,t} = \lambda_{s,t}$ .

## 2 Algorithm

Before understanding algorithm we explain notion of partial Gomory Hu Tree.

**Definition 2** A tree  $T = (V^*, E^*, c^*)$  is said to be a **Partial Gomory-Hu tree** for a graph G = (V, E, c) if  $V^*$  forms a partition of V and for any adjacent nodes X, Y in  $T^*$  there exists  $(x, y) \in X \times Y$  satisfying:

1. 
$$\lambda_{x,y,G} = \lambda_{X,Y,T} = c^*(X,Y)$$
.

2. T - (X,Y) corresponds to an (x,y)-min-cut in G.

**Description of the algorithm:** In our algorithm, we start with trivial solution for a partial Gomory-Hu tree  $\mathcal{T} = (\{V\}, \emptyset)$  and recursively split non-singleton nodes until we get a tree with n nodes.

- 1 Take any two vertices s, t in X.
- **2** Let  $C_1, \ldots, C_k$  be connected-components in  $\mathcal{T} X$ .
- **3** Let H be new graph obtained from G by contracting  $C_1, \ldots, C_k$  into super-nodes.
- 4 Compute an (s,t)-min-cut, say  $(S_H,T_H)$ , in H and let (S,T) be an (s,t)-cut in G obtained from  $(S_H,T_H)$  on uncontracting  $C_1,\ldots,C_k$ .
- 5 Split node X into two nodes  $X_S = S \cap X$  and  $X_T = T \cap X$ , and for  $j \in [1, k]$ , connect  $C_j$  to  $X_S$  if  $V(C_j) \subseteq S$  and  $X_T$  otherwise.
- 6 Set  $c^*(X_S, X_T) = \lambda_{s,t,G}$ .
- 7 Return  $\mathcal{T}$ .

**Algorithm 1:** Extend-Partial-Gomory-Hu-tree $(G, \mathcal{T}, X)$ 

The splitting process need computation of just one min-cut between an pair of nodes in a non-singleton set  $X \in \mathcal{T}$ . The pseudocode for the same is presented in Algorithm 1. Also see Figure 2.

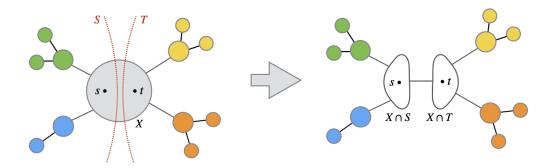


Figure 2: Splitting of a node X.

**Lemma 1** If  $\mathcal{T}$  is a partial-Gomory-Hu-tree, then the cut (S,T) obtained in Step 3 of Algorithm 1 is an (s,t)-min-cut in G.

**Proof:** Homework. Argue using Property 2 or Submodularity property from Lec 14.

Let  $\mathcal{T}_1 = (\{V\}, \emptyset), \mathcal{T}_2, \dots, \mathcal{T}_n$  be a sequence of trees obtained for G by recursively applying Algorithm 1. In order to prove correctness, we present the following lemma.

**Lemma 2** For  $i \geq 3$ , if tree  $\mathcal{T}_{i-1}$  is a partial Gomory Hu tree, then so is tree  $\mathcal{T}_i$ .

**Proof:** Let us suppose  $\mathcal{T}_{i-1}$  is a partial Gomory Hu tree. Consider any two nodes X, Y that are adjacent in  $\mathcal{T}_i$ . We need to prove that there exists  $x \in X$  and  $y \in Y$  that satisfy

- $c^*(X,Y) = \lambda_{x,y,G}$ .
- $\mathcal{T}_i (X, Y)$  corresponds to an (x, y)-min-cut in G.

We have following three cases:

Case 1 X, Y were present in  $\mathcal{T}_{i-1}$ . In this case the claim is obviously true.

Case 2 X, Y both appeared for first time in tree  $\mathcal{T}_i$  due to splitting of a node in  $\mathcal{T}_{i-1}$ . In this case by Algorithm 1, the claim holds true.

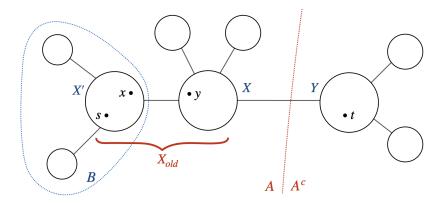


Figure 3: Illustration of Case 3(b).

Case 3 One of the nodes, say X, was created in round i by slitting an older node, say  $X_{old} \in \mathcal{T}_{i-1}$ , into X', X.

Let us suppose split occurred due to a vertex pair  $(x, y) \in X' \times X$ . At end of round i-1, by induction hypothesis we can assume there were nodes  $(s, t) \in X_{old} \times Y$  satisfying the required claim. After splitting of  $X_{old}$ , either  $s \in X'$  or  $s \in X$ .

- If  $s \in X$ , claim holds true.
- If  $s \in X'$ , then by Property 3, required vertex pair is  $(y, t) \in X \times Y$ .

This proves our claim.

**Theorem 3** For any n vertex undirected weighted graph G = (V, E, c) one can construct a Gomory-Hu Tree in time  $O(n \times \text{TIME}_{flow}(n))$ , where  $\text{TIME}_{flow}(n)$  denotes the time to compute max-flow in an undirected graph on n vertices.