

COL202 Major

Aaveg Jain

TOTAL POINTS

31 / 40

QUESTION 1

1 Problem 1 5 / 5

✓ + 1 pts Calculate the number of ways in which k fixed points can be chosen out of n points.

✓ + 1 pts State that the left out $(n-k)$ elements must not be fixed.

✓ + 2 pts Calculate the number of derangements of those $(n-k)$ elements.

✓ + 1 pts Combine the two findings to reach the conclusion

- 1 pts Proof writing guidelines not followed.

+ 0 pts Incorrect / Not attempted

QUESTION 2

Problem 2 5 pts

2.1 Problem 2.1 2 / 2

✓ + 2 pts All Correct

+ 0 pts Incorrect/Unattempted

+ 1 pts Series form of Exponential generating function

+ 1 pts Closed form of Exponential generating function

2.2 Problem 2.2 3 / 3

✓ + 0.25 pts EGF for oddness

✓ + 0.75 pts EGF for one partition even + one

partition odd

✓ + 0.25 pts Short explanation for the above EGF

✓ + 0.75 pts EGF for both partitions even

✓ + 0.25 pts Short explanation for the above EGF

✓ + 0.25 pts Final EGF

✓ + 0.5 pts Explicit formula for p_n

+ 1 pts Overcounting/undercounting the partitions

+ 0 pts Incorrect/Unattempted

QUESTION 3

Problem 3 8 pts

3.1 Problem 3.1 3 / 3

Prove that every pair of this poset has a meet and a join, thereby concluding that it is a lattice.

✓ + 0.75 pts Give expressions for the meet and join of any two arbitrary elements of the poset.

✓ + 0.75 pts Prove that the stated meet and join are actually the meet and join of the two elements.

Prove that every subset of this lattice has a meet and a join, thereby concluding that the lattice is a complete lattice.

✓ + 0.75 pts Give expressions for the meet and join of any arbitrary subset of the lattice.

✓ + 0.75 pts Prove that the stated meet and join are actually the meet and join of the subset.

- **1 pts** Proof writing guidelines not followed.

+ **0 pts** Not attempted / Incorrect.

3.2 Problem 3.2 5 / 5

✓ + **0.5 pts** Mention the method of proof

✓ + **1 pts** The minimum value that x can take is $(1, 1, \dots, 1)$ and the maximum value that x can take is (n, n, \dots, n)

✓ + **1 pts** The least change in the value of x can be in one coordinate value

✓ + **1.5 pts** As $f(x)$ is monotonic, the value of $f(x)$ differs from the previous $f(x)$ at one position and is 1 more than the value at that position in x

✓ + **1 pts** Conclusion that the loop can run for a maximum of $n \cdot k$ times

+ **0 pts** Incorrect

QUESTION 4

Problem 4 11 pts

4.1 Problem 4.1 0 / 3

- **0 pts** Correct

✓ - **1 pts** Did not argue when 3SAT is unsatisfiable.

- **2 pts** Showed an un-satisfiable 3SAT but did not argue about its construction.

- **1 pts** Did not show an example for un-satisfiable 3SAT

✓ - **2 pts** Did not argue about the construction of the equation and did not show an example for an un-satisfiable 3SAT..

- **3 pts** Incorrectly argued about construction of 3SAT

💬 There will not be $6C3 \times 2^3 = 160$ total clauses

4.2 Problem 4.2 0 / 6

+ **1.5 pts** Observing that probability of a clause to be true is $7/8$

+ **2 pts** Showing expectation of these will be $7m/8$

+ **1.5 pts** Arguing > 0 probability for R.V. to be greater than it's expectation

+ **1 pts** Argument about existence of Ceiling

- **1 pts** Not following proof guidelines

✓ + **0 pts** Incorrect/ Not attempted

4.3 Problem 4.3 2 / 2

✓ + **1 pts** If less than 8 clauses, then all the clauses will be true (using Problem 4.2)

✓ + **0.5 pts** Give examples for $m = 7, 6$ etc

✓ + **0.5 pts** Conclusion

+ **0 pts** incorrect

QUESTION 5

5 Problem 5 5 / 5

+ **5 pts** Correct

+ **2 pts** p1: Formally write $f: \Sigma^* \rightarrow \mathcal{N}^*$ and prove surjection or injection from Σ^* to \mathcal{N}^*

+ **2 pts** p2: Formally write $g: \mathcal{N}^* \rightarrow \Sigma^*$ and prove surjection or injection from \mathcal{N}^* to Σ^*

+ **1 pts** p3: Use Schroder Bernstein theorem to prove the cardinality of both sets

✓ + **2 pts** P1: Formally write the bijection $f: \Sigma^* \rightarrow \mathcal{N}^*$

✓ + **1.5 pts** P2: Argue that the above function is one-one

✓ + **1.5 pts** P3: Argue that the above function is onto

+ **1.5 pts** P2: Write the inverse g of f

+ **1.5 pts** P3: Argue that the above function

g is indeed inverse of f

+ **0 pts** No solution / incomplete solution

- **0.5 pts** P4: For not following the guidelines of proof

QUESTION 6

6 Problem 6 6 / 6

✓ - **0 pts** Correct

- **6 pts** Not attempted or nothing substantial written.

- **5 pts** Wrong or missing idea or proof details

- **5 pts** Induction on trees cannot be done by adding a node/edge to create a larger tree. This requires a proof that all possible trees of this size can be generated this way. That proof will further bring you back to working with the tree that results from removal, so the argument is circular. This point has been made in class multiple times.

- **1 pts** Induction variable not clearly and/or separately specified

- **1 pts** Missed discussing the case where the walk begins at the removed vertex.

- **1 pts** Missed discussing the case where the walk doesn't begin at the removed vertex.

- **4 pts** Right direction but incorrect/incomplete arguments.

- **1 pts** Wrong way of writing the induction hypothesis or missing induction hypothesis

- **0.5 pts** Missed $\forall v \in V$ in the statement.

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Important: If you write outside the box we may not grade it.

Problem 1 (5 marks) Given a permutation π of the set $[n]$, we say that i is a fixed point of π if $\pi(i) = i$. Count the number of permutations of $[n]$ with exactly k fixed points where $0 \leq k \leq n$. Please argue your answer. An answer without an argument will get 0.

Claim - no. of such perm. = $\binom{n}{k} D_{n-k}$; D_n denotes the no. of derangements of a set of n elements
 no. of ways of choosing the k fixed points =
 no. of k -sized subsets of $[n] = \binom{n}{k}$
 no. of ways of ~~assigning~~ $\pi(i)$ to their values = 1 (all of them are fixed pt.)
 no. of ways of assigning $\pi(i)$ to remaining $n-k$ el. s.t. $\pi(i) \neq i \forall$ such i , = D_{n-k} (from defn of D_n as stated above; no. of el. to be deranged = $n-k$)
 thus by generalized prod. rule no. of permutations
 = $\binom{n}{k} \times 1 \times D_{n-k} = \binom{n}{k} D_{n-k}$
 Also, $D_n = \sum_{k=0}^n \frac{n! (-1)^k}{k!}$ for $\forall n \geq 1$ (using principle of inclusion-exclusion as stated in textbook)

Problem 2.1 (2 marks) We say a sequence $\{a_n\}_{n \geq 0}$ captures a property if $a_i = 1$ iff i has that property, e.g., if the property is evenness then the sequence will be $1, 0, 1, \dots$. Write the exponential generating function the property "evenness."

Let $\{a_n\}$ form sequence capturing prop., $a_{2n} = 1, \forall n \geq 0$
 and $a_{2n+1} = 0 \forall n \geq 0 \Rightarrow E(x) = \text{egf of evenness}$

$$= \sum_{n=0}^{\infty} \frac{a_n x^n}{n!} = \sum_{n \geq 0} \frac{a_{2n} x^{2n}}{(2n)!} + \sum_{n \geq 0} \frac{a_{2n+1} x^{2n+1}}{(2n+1)!} = \sum_{n \geq 0} \frac{x^{2n}}{(2n)!}$$

$$= \frac{e^x + e^{-x}}{2}; \text{ using the formal series } e^x = \sum_{n \geq 0} \frac{x^n}{n!}$$

Problem 2.2 (5 marks) Using the answer of Problem 2.1 write the egf for p_n = the number of ways of partitioning a set into two parts such that one of the parts is even in size. Find an explicit formula for p_n . No egf or no use of Problem 2.1 \Rightarrow 0 marks.

observe - $p_n = \sum_{k=0}^n \binom{n}{k} e_k e_{n-k} + \sum_{k=0}^n \binom{n}{k} e_k \bar{e}_{n-k}$, where $e_k = 1$ if k even, $\bar{e}_k = 1$ if k odd. Since a partition of two even sets will repeat twice, we divide by 2! $\Rightarrow \frac{p_n}{n!} = \frac{1}{2!} \sum_{k=0}^n \frac{e_k}{k!} \frac{\bar{e}_{n-k}}{(n-k)!} + \sum_{k=0}^n \frac{e_k}{k!} \frac{\bar{e}_{n-k}}{(n-k)!}$

$\Rightarrow \hat{p}(x) = \frac{1}{2} \hat{e}(x) \bar{e}(x) + \hat{e}(x) \bar{e}(x)$; $\hat{e}(x) \rightarrow \text{egf of } e_k$, $\bar{e}(x) \rightarrow \text{egf of } \bar{e}_k = e^{\frac{x}{2}} - e^{-\frac{x}{2}}$

$= \frac{1}{2} \frac{e^{\frac{x}{2}} + e^{-\frac{x}{2}}}{2} \left(\frac{1}{2} \frac{e^{\frac{x}{2}} + e^{-\frac{x}{2}}}{2} + \frac{e^{\frac{x}{2}} - e^{-\frac{x}{2}}}{2} \right)$ $\hat{p}(x) \rightarrow \text{egf of } p_n$

$= \frac{e^{\frac{x}{2}} + e^{-\frac{x}{2}}}{2} \left(\frac{e^{\frac{x}{2}} + e^{-\frac{x}{2}} + 2e^{\frac{x}{2}} - 2e^{-\frac{x}{2}}}{4} \right) = \frac{3e^{\frac{x}{2}} - e^{-\frac{x}{2}}}{4} \times \frac{e^{\frac{x}{2}} + e^{-\frac{x}{2}}}{2}$

$= \frac{3e^{2\frac{x}{2}} - 1 + 3 - e^{-2\frac{x}{2}}}{8} = \frac{3e^{2\frac{x}{2}} - e^{-2\frac{x}{2}} + 2}{8}$; thus $p_n = \frac{3 \cdot 2^n - (-2)^n}{8}$

$\frac{p_n}{n!} = [x^n] \hat{p}(x) = \frac{1}{8} \left[3 \frac{2^n}{n!} - \frac{(-2)^n}{n!} \right] \Rightarrow p_n = \frac{3 \cdot 2^n - (-2)^n}{8}$

Problem 3.1 (3 marks) Given the set $[n]^k$, partial order \leq is defined as follows: $(x_1, \dots, x_k) \leq (y_1, \dots, y_k)$ if $x_i \leq y_i$ for all $i \in [k]$. Argue that $([n]^k, \leq)$ is a complete lattice. Recall $[n] = \{1, \dots, n\}$.

Claim - consider any set $S \neq \emptyset$, $S = \{v_1, v_2, \dots, v_k\}$ $n \geq 1, v_i \in [n]^k$. we claim that α_S and β_S exist in $[n]^k$ and are $\alpha_S = \min(v_1, v_2, \dots, v_k)$; $\beta_S = \max(v_1, v_2, \dots, v_k)$. α_S denotes min. of v_i ; β_S denotes max. of v_i .

observe that since \leq is a total order on $[n]$, thus min. and max. of any set of el. of $[n]$ exist.

$\forall i \in [k], \forall j \in [n], 1 \leq j \leq n$ thus $v_{1j} \leq n$; $\alpha_{1j} \leq v_{1j}$ $\Rightarrow \alpha_{1j} \leq v_{1j}$ $\Rightarrow \alpha_S \leq v_1$ $\Rightarrow \alpha_S \leq v_j$ $\forall j \in [k]$ $\Rightarrow \alpha_S \leq \min(v_1, v_2, \dots, v_k)$ $\Rightarrow \alpha_S = \min(v_1, v_2, \dots, v_k)$ $\Rightarrow \alpha_S$ is glb of S and exists in $[n]^k$.

also, consider any $n \in [n]^k$ s.t. $v_{1j} \leq n$; $n \leq v_{1j}$ $\Rightarrow n \leq v_{1j}$ $\Rightarrow n \leq v_j$ $\forall j \in [k]$ $\Rightarrow n \leq \max(v_1, v_2, \dots, v_k)$ $\Rightarrow n \leq \beta_S$ $\Rightarrow \beta_S$ is lub of S and exists in $[n]^k$.

hence from (1), (2) we conclude α_S and β_S exist in $[n]^k$. Same procedure can be followed for β_S i.e. $v_{1j} \leq n$; $n \leq v_{1j}$ and β_S exists in $[n]^k$ for all non-empty sets $S \subseteq [n]^k$. thus from defn of complete lattice $[n]^k$ is a complete lattice.

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Problem 3.2 (5 marks)

Let us suppose we are given a monotonic function $f: [n]^k \rightarrow [n]^k$, i.e., $x \preceq y \Rightarrow f(x) \preceq f(y)$. We run the program given on the right. ($a \leftarrow b$ means "set the value of variable a to b ".)

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1:  $x \leftarrow (1, \dots, 1)$ 
2: repeat
3:    $t \leftarrow x$ 
4:    $x \leftarrow f(x)$ 
5: until  $x = t$ 
6: Return  $x$ 

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Prove that the loop of lines (2)-(5) will execute at most kn times.

Pf. by contradiction. Assume the converse i.e. the program runs atleast $kn+1$ times. We now show a contradiction - in each iteratⁿ, we check if $x = f(x)$. If it is, then loop breaks, otherwise the same loop is run on $f(x)$ and so on. Also, $(1, 1, \dots, 1) = x_0 \preceq x \preceq f(x) \preceq \dots \preceq f^{kn}(x_0)$ (\preceq is total order corr. to given partial order). Also, since program runs $kn+1$ times, in each iteratⁿ ~~some value of x must have been~~ we must have $f^i(x) \neq x$ for i through kn iteratⁿs. Also, since x_0 is least el. we have $x_0 < f(x_0)$ (as, if $f(x_0) = x_0$, loop breaks). Similarly by inⁿ we show $f^i(x_0) < f^{i+1}(x_0)$ (as f is $kn-1$ Define $f^0(x_0) = x_0$) for $i=0$ to kn (Base case) Assume $P(i): f^i(x_0) < f^{i+1}(x_0)$ then from monotonicity of f and the fact that $f^i(x) \neq x$ for i through kn iteratⁿs, we conclude $f^{i+1}(x_0) < f^{i+2}(x_0)$ i.e. $P(i+1)$. Thus $f^i(x_0) < f^{i+1}(x_0) \forall 0 \leq i \leq kn-1$ thus we have $x_0 < f(x_0) < f^2(x_0) < \dots < f^{kn+1}(x_0)$. Thus we have $(kn+1)$ distinct values; but $kn+1 > |[n]^k| = nk$ thus we arrive at a contradiction. Hence by showing a contradiction we show that loop cannot run atleast $kn+1$ times i.e. it runs $\leq kn$ times.

Problem 4 (3 + 6 + 2 = 11 marks) Variables P_1, P_2, \dots can take values T or F. We use the term *literal* to denote P_i or $\neg P_i$. A *disjunctive clause* of size 3 is a term of the form $L_1 \vee L_2 \vee L_3$ where L_1, L_2, L_3 are literals involving 3 distinct variables. An expression of the form $\bigwedge_{i=1}^m (L_{i,1} \vee L_{i,2} \vee L_{i,3})$ is a 3-SAT expression, where $L_{i,j}$ s are all literals. A 3-SAT expression is *satisfiable* if there exists a truth value setting of P_1, P_2, \dots such that the expression evaluates to T.

Problem 4.1 (3 marks) Assume for now that no disjunctive clause of size 3 is repeated in any 3-SAT expression. Show by construction that there exists a 3-SAT expression that is *not* satisfiable.

consider six variables $P_1, P_2, P_3, \dots, P_5, P_6$. there are $\binom{6}{3} = 20$ 3-tuples of these variables. consider a 3-SAT expr.
 $= \bigwedge_{i \neq j \neq k} (P_i \vee P_j \vee P_k) \wedge \bigwedge_{i \neq j \neq k} (\neg P_i \vee \neg P_j \vee \neg P_k)$ that
 it has overall $20 + 20 = 40$ such terms. We show that in any setting of P_1, \dots, P_6 , there exists 3 which are all T or all F. P1-
 process. $|T| + |F| = 6$ $|T| \rightarrow$ no. of variables which are T, sum, $|F|$
 If $|T| = |F|$, we are done. If not, then either $|T| > |F|$
 or $|F| > |T|$; in either case we have at least 3 var. with same truth value since $|T| > |F| \Rightarrow 2|T| > 6 \Rightarrow |T| > 3$ and vice versa.
 thus in the given 3-SAT expr, atleast one clause is false F and thus the expr. is not satisfiable.

Problem 4.2 (6 marks) Show that there exists a setting of the truth values of P_1, P_2, \dots such that at least $\lceil 7m/8 \rceil$ of the disjunctive clauses are T for any 3-SAT expression with m disjunctive clauses of size 3. Here too assume that all the clauses are distinct. (Hint: Use the Probabilistic Method).

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Problem 4.3 (2 marks) Using the result of Problem 4.2 (even if you didn't solve it) argue that any 3-SAT expression that is not satisfiable must have at least 8 clauses.

from result ^{there exists an unsatisfiable} \neg at most $\lceil \frac{m}{8} \rceil$ are F,
 now, $m < 8, \lceil \frac{m}{8} \rceil = m \Rightarrow$ at most 0 i.e none are F
 hence ~~small~~ settings ~~are~~ all are T i.e it is satisfiable.
 for $m > 8, m - \lceil \frac{m}{8} \rceil$ is atleast 1, thus there exists a setting where atleast 1 clause is F, thus
 * ~~it~~ a setting which is not satisfiable must have atleast 8 clauses. ~~(for non satisfiable, all such setting should have atleast 1 F)~~

Problem 5 (5 marks) Given a finite set of alphabets Σ prove that the set Σ^* of all finite strings with alphabets from Σ is countably infinite.

Let $\Sigma = \{a_1, a_2, \dots, a_n\}; n < \infty$ since Σ is finite.
 consider a map $f: \mathbb{N} \rightarrow \Sigma^*$ $f(0) = f(1) = \lambda$ (empty str.)
 and for $n > 1$; consider $n = p_1^{i_1} p_2^{i_2} \dots p_n^{i_n}$ (Fund. thm. of Arithmetic)
 map where i_1, i_2, \dots, i_n are all non-zero (p_n denotes non zero)
 then $f(n) = a_{i_1} a_{i_2} \dots a_{i_n}$. Claim - f is a surjⁿ from \mathbb{N} to Σ^*
~~consider~~ f is total \wedge trivially. Consider any str $s \in \Sigma^*$
 \Rightarrow if s is empty, then $f(0) = s$ and hence $\exists n: f(n) = s$.
 if s is not empty, then $s = a_{i_1} a_{i_2} \dots a_{i_n}$ (s is finite). consider $n = p_1^{i_1} p_2^{i_2} \dots p_n^{i_n}$
 then acc. to above rule, we have $n_1, n_2, \dots, n_n \neq 0$
 and hence $f(n) = s$. thus $\forall s \in \Sigma^*: \exists n \in \mathbb{N}: f(n) = s$
 and hence f is a surjⁿ. $\Rightarrow \mathbb{N}$ surj Σ^* and
 hence Σ^* is countable. Also, observe that Σ^* is
 non finite, since $n_1 = a_1, n_2 = a_1 a_1, n_3 = a_1 a_1 a_1, \dots$
 is a non finite sequence of el. ($\{n_i\}$ is \mathbb{N})
 thus Σ^* is countably infinite (countable \rightarrow count. ∞ or finite).

Problem 6 (6 marks) Given a tree $T = (V, E)$ prove by induction that for every $v \in V$ there is a walk that begins and ends at v and uses every edge *exactly* twice.

We prove by ~~strong~~ induction on the order of the tree.
 Base case ($n=2$): for T^2 , let T^2 be $\{v_1, v_2\}, \{e_1\}$
 then the walk v_1, e_1, v_1 and v_2, e_1, v_2 satisfies our condⁿ and hence $P(2)$ is T. ($P(n)$ defined below)
 2nd predicate -
 $P(i)$: for every vertex V of a tree with $|T|=i$, a walk exists that begins and ends at V and uses each edge *exactly* twice.
 2nd hypothesis - ~~Assume $P(i)$ $\forall i < i+1$~~ We now show $P(i+1)$
 consider any vertex $v \in V(T)$. From connectivity of tree, $N(v) \neq \emptyset$; let $N(v) = \{v_1, v_2, \dots, v_k\}$ and consider the graph $G = T - v$. Claim - $G|V$ has exactly k components. If ~~hypothetical~~ two neighbours v_i, v_j of v cannot be in same comp. of $G-v$, then as if they are, then consider the paths P_i in $G-v$ b/w them. Thus, in T , there exist two ^{disjoint} paths (at least) b/w these neighbours $v_i, v_j \rightarrow P_i$ and $v_j \rightarrow P_j$. Thus T has a cycle which is a contradiction. Now consider the k comp. of $G-v \rightarrow C_1, C_2, \dots, C_k$ with C_i cont. v_i (C_i can contain only one neighbour as shown)
 then $\forall i: C_i$ is a tree (conn. and acyclic) and thus there is a walk W_i which starts at v_i and ends at v_i . consider the walk $W = v, e_1, W_1, e_1, v, e_2, W_2, e_2, v, \dots, e_k, W_k, e_k, v$ (e_i is edge b/w v and v_i)
 Claim - W satisfies $P(i+1)$. consider any edge $E \in E(T)$. ~~edge~~ either E belongs to a comp. ^{of $G-v$} which can be covered exactly twice from ~~hypothetical~~ 2nd hypothesis, or it is an edge b/w v and v_j for some j . but for all e_j, e_j occurs exactly twice in W . (e_j can't be in any comp. of $G-v$). thus all edges occur exactly twice in W . also W begins and ends at v . Thus W satisfies $P(i+1)$ and hence $P(i)$ is T $\forall i \leq i+1$
 Note - we haven't showed $P(1)$ since for this graph, ~~only 1 walk exists~~ no edges are present and hence $W = v$