# Natural Language Processing Linear Models

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# Supervised Learning

- The essence of supervised machine learning is the creation of mechanisms that can look at examples and produce generalizations. [Goldberg, 2017]
- We design an algorithm whose input is a set of labeled examples, and its output is a function (or a program) that receives an instance and produces the desired label.
- Example: if the task is to distinguish from spam and not-spam email, the labeled examples are emails labeled as spam and emails labeled as not-spam.
- It is expected that the resulting function will produce correct label predictions also for instances it has not seen during training.
- This approach differs from designing an algorithm to perform the task (e.g., manually designed rule-based systems).

#### Parameterized Functions

- Searching over the set of all possible functions is a very hard (and rather ill-defined) problem. [Goldberg, 2017]
- We often restrict ourselves to search over specific families of functions.
- Example: the space of all linear functions with  $d_{in}$  inputs and  $d_{out}$  outputs,
- Such families of functions are called hypothesis classes.
- By restricting ourselves to a specific hypothesis class, we are injecting the learner with inductive bias.
- Inductive bias: a set of assumptions about the form of the desired solution.
- Some hypothesis classes facilitate efficient procedures for searching for the solution. [Goldberg, 2017]

#### **Linear Models**

One common hypothesis class is that of high-dimensional linear function:

$$f(\vec{x}) = \vec{x} \cdot W + \vec{b}$$

$$\vec{x} \in \mathcal{R}^{d_{in}} \quad W \in \mathcal{R}^{d_{in} \times d_{out}} \quad \vec{b} \in \mathcal{R}^{d_{out}}$$
(1)

- The vector  $\vec{x}$  is the input to the function.
- The matrix W and the vector  $\vec{b}$  are the **parameters**.
- The goal of the learner is to set the values of the parameters W and  $\vec{b}$  such that the function behaves as intended on a collection of input values  $\vec{x}_{1:k} = \vec{x}_1, \ldots, \vec{x}_k$  and the corresponding desired outputs  $\vec{y}_{1:k} = \vec{y}_1, \ldots, \vec{y}_k$
- The task of searching over the space of functions is thus reduced to one of searching over the space of parameters. [Goldberg, 2017]

- Consider the task of distinguishing documents written in English from documents written in German.
- This is a binary classification problem

$$f(\vec{x}) = \vec{x} \cdot \vec{w} + b \tag{2}$$

 $d_{out} = 1$ ,  $\vec{w}$  is a vector, and b is a scalar.

- The range of the linear function in is  $[-\infty, \infty]$ .
- In order to use it for binary classification, it is common to pass the output of f(x) through the sign function, mapping negative values to -1 (the negative class) and non-negative values to +1 (the positive class).

- Letter frequencies make for quite good predictors (features) for this task.
- Even more informative are counts of letter bigrams, i.e., pairs of consecutive letters.
- One may think that words will also be good predictors i.e., using a bag of word representation of documents.
- · Letters, or letter-bigrams are far more robust.
- We are likely to encounter a new document without any of the words we observed in the training set.
- While a document without any of the distinctive letter-bigrams is significantly less likely. [Goldberg, 2017]

- We assume we have an alphabet of 28 letters (a–z, space, and a special symbol for all other characters including digits, punctuations, etc.)
- Documents are represented as 28  $\times$  28 dimensional vectors  $\vec{x} \in \mathcal{R}^{784}$ .
- Each entry \(\vec{x}\_{[i]}\) represents a count of a particular letter combination in the document, normalized by the document's length.
- For example, denoting by  $\vec{x}_{ab}$  the entry of  $\vec{x}$  corresponding to the letter bigram ab:

$$\vec{x}_{ab} = \frac{\#ab}{|D|} \tag{3}$$

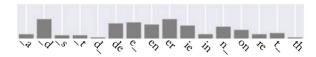
where #ab is the number of times the bigram ab appears in the document, and |D| is the total number of bigrams in the document (the document's length).



Character-bigram histograms for documents in English (left, blue) and German(right,green). Underscores denote spaces. Only the top frequent character-bigrams are showed.

<sup>&</sup>lt;sup>0</sup>Source:[Goldberg, 2017]

 Previous figure showed clear patterns in the data, and, given a new item, such as:



- We could probably tell that it is more similar to the German group than to the English one (observe the frequency of "th" and "ie").
- We can't use a single definite rule such as "if it has th its English" or "if it has ie its German".
- While German texts have considerably less "th" than English, the "th" may and does occur in German texts, and similarly the "ie" combination does occur in English.

- The decision requires weighting different factors relative to each other.
- We can formalize the problem in a machine-learning setup using a linear model:

$$\hat{y} = sign(f(\vec{x})) = sign(\vec{x} \cdot \vec{w} + b)$$

$$= sign(\vec{x}_{aa} \times \vec{w}_{aa} + \vec{x}_{ab} \times \vec{w}_{ab} + \vec{x}_{ac} \times \vec{w}_{ac} \dots + b)$$
(4)

• A document will be considered English if  $f(\vec{x}) \ge 0$  and as German otherwise.

#### Intuition

- 1. Learning should assign large positive values to  $\vec{w}$  entries associated with letter pairs that are much more common in English than in German (i.e., "th").
- 2. It should also assign negative values to letter pairs that are much more common in German than in English ("ie", "en").
- Finally, it should assign values around zero to letter pairs that are either common or rare in both languages.

# Log-linear Binary classifcation

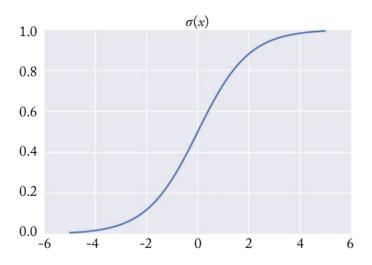
- The output  $f(\vec{x})$  is in the range  $[-\infty, \infty]$ , and we map it to one of two classes  $\{-1, +1\}$  using the sign function.
- This is a good fit if all we care about is the assigned class.
- We may be interested also in the confidence of the decision, or the probability that the classifier assigns to the class.
- An alternative that facilitates this is to map instead to the range [0, 1], by pushing the output through a squashing function such as the sigmoid σ(x):

$$\sigma(x) = \frac{1}{1 + e^{-x}} \tag{5}$$

resulting in:

$$\hat{y} = \sigma(f(\vec{x})) = \frac{1}{1 + e^{-\vec{x} \cdot \vec{w} + b}} \tag{6}$$

# The Sigmoid function



# The Sigmoid function

- The sigmoid function is is monotonically increasing, and maps values to the range [0, 1], with 0 being mapped to <sup>1</sup>/<sub>2</sub>.
- When used with a suitable loss function (discussed later) the binary predictions made through the log-linear model can be interpreted as class membership probability estimates:

$$\sigma(f(\vec{x})) = P(\hat{y} = 1|\vec{x})$$
 of  $\vec{x}$  belonging to the positive class. (7)

- We also get  $P(\hat{y} = 0 | \vec{x}) = 1 P(\hat{y} = 1 | \vec{x}) = 1 \sigma(f(\vec{x}))$
- The closer the value is to 0 or 1 the more certain the model is in its class membership prediction, with the value of 0.5 indicating model uncertainty.

#### Multi-class Classification

- Most classification problems are of a multi-class nature: examples are assigned to one of k different classes.
- Example: we are given a document and asked to classify it into one of six possible languages: English, French, German, Italian, Spanish, Other.
- Possible solution: consider six weight vectors \$\vec{w}\_{EN}\$, \$\vec{w}\_{FR}\$, ... and biases (one for each language).
- Predict the language resulting in the highest score:

$$\hat{y} = f(\vec{x}) = \operatorname{argmax}_{L \in \{EN, FR, GR, IT, SP, O\}} \quad \vec{x} \cdot \vec{w}_L + b_L$$
 (8)

#### Multi-class Classification

• The six sets of parameters  $\vec{w}_L \in \mathcal{R}^{784}$  and  $b_L$  can be arranged as a matrix  $W \in \mathcal{R}^{784 \times 6}$  and vector  $\vec{b} \in \mathcal{R}^6$ , and the equation re-written as:

$$\vec{\hat{y}} = f(\vec{x}) = \vec{x} \cdot W + \vec{b}$$

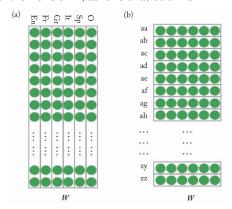
$$prediction = \hat{y} = \operatorname{argmax}_i \vec{\hat{y}}_{[i]}$$
(9)

• Here  $\vec{p} \in \mathcal{R}^6$  is a vector of the scores assigned by the model to each language, and we again determine the predicted language by taking the argmax over the entries of  $\vec{p}$ .

- Consider the vector  $\vec{\hat{y}}$  resulting from applying a trained model to a document.
- The vector can be considered as a representation of the document.
- It captures the properties of the document that are important to us: the scores of the different languages.
- The representation  $\vec{\hat{y}}$  contains strictly more information than the prediction  $\arg\max_{\hat{i}} \vec{\hat{y}}_{\hat{i}\hat{l}}$ .
- Example: \$\vec{y}\$ can be used to distinguish documents in which the main language is German, but which also contain a sizeable amount of French words.
- Clustering documents based on  $\vec{\hat{y}}$  could help to discover documents written in regional dialects, or by multilingual authors.

- The vectors  $\vec{x}$  containing the normalized letter-bigram counts for the documents are also representations of the documents.
- Arguably containing a similar kind of information to the vectors  $\hat{\hat{y}}$ .
- However, the representations in  $\vec{p}$  is more compact (6 entries instead of 784) and more specialized for the language prediction objective.
- Clustering by the vectors \( \vec{x} \) would likely reveal document similarities that are not due to a particular mix of languages, but perhaps due to the document's topic or writing styles.

- The trained matrix  $W \in \mathcal{R}^{784 \times 6}$  can also be considered as containing learned representations.
- We can consider two views of W, as rows or as columns.



Two views of the W matrix. (a) Each column corresponds to a language. (b) Each row corresponds to a letter bigram. Source: [Goldberg, 2017].

- A column of W can be taken to be a 784-dimensional vector representation of a language in terms of its characteristic letter-bigram patterns.
- We can then cluster the 6 language vectors according to their similarity.
- Each of the 784 rows of W provide a 6-dimensional vector representation of that bigram in terms of the languages it prompts.

- Representations are central to deep learning.
- One could argue that the main power of deep-learning is the ability to learn good representations.
- In the linear case, the representations are interpretable.
- We can assign a meaningful interpretation to each dimension in the representation vector.
- For example: each dimension corresponds to a particular language or letter-bigram.

- Deep learning models, on the other hand, often learn a cascade of representations of the input that build on top of each other.
- These representations are often not interpretable.
- We do not know which properties of the input they capture.
- However, they are still very useful for making predictions.

# One-Hot Vector Representation

- The input vector \$\vec{x}\$ in our language classification example contains the normalized bigram counts in the document \$D\$.
- This vector can be decomposed into an average of |D| vectors, each corresponding to a particular document position i:

$$\vec{x} = \frac{1}{|D|} \sum_{i=1}^{|D|} \vec{x}^{D_{[i]}}$$
 (10)

- Here, D<sub>[i]</sub> is the bigram at document position i.
- Each vector  $\vec{x}^{D_{[i]}} \in \mathcal{R}^{784}$  is a one-hot vector.

# One-Hot Vector Representation

- A one-hot vector: all entries are zero except the single entry corresponding to the letter bigram D<sub>[i]</sub>, which is 1.
- The resulting vector  $\vec{x}$  is commonly referred to as an averaged bag of bigrams (more generally averaged bag of words , or just bag of words).
- Bag-of-words (BOW) representations contain information about the identities of all the "words" (here, bigrams) of the document, without considering their order.
- A one-hot representation can be considered as a bag-of-a-single-word.

## One-Hot Vector Representation

One-hot vectors of words. Source: https://medium.com/@athif.shaffy/one-hot-encoding-of-text-b69124bef0a7.

# Log-linear Multi-class Classification

- In the binary case, we transformed the linear prediction into a probability estimate by passing it through the sigmoid function, resulting in a log-linear model.
- The analog for the multi-class case is passing the score vector through the softmax function:

$$\operatorname{softmax}(\vec{x})_{[i]} = \frac{e^{\vec{x}_{[i]}}}{\sum_{j} e^{\vec{x}_{[j]}}}$$
 (11)

Resulting in:

$$\hat{\vec{y}} = \operatorname{softmax}(\vec{x} \cdot W + \vec{b})$$

$$\hat{\vec{y}}_{[\vec{l}]} = \frac{e^{(\vec{x} \cdot W + \vec{b})_{[\vec{l}]}}}{\sum_{j} e^{(\vec{x} \cdot W + \vec{b})_{[j]}}}$$
(12)

• The softmax transformation forces the values in  $\hat{\vec{y}}$  to be positive and sum to 1, making them interpretable as a probability distribution.

# **Training**

• When training a parameterized function (e.g., a linear model, a neural network) one defines a loss function  $L(\hat{y}, y)$ , stating the loss of predicting  $\hat{y}$  when the true output is y.

$$L(f(\vec{x};\Theta),y)$$

- We use the symbol  $\Theta$  to denote all the parameters of the model (e.g.,  $W, \vec{b}$ )
- The training objective is then to minimize the loss across the different training examples.
- Formally, a loss function L(ŷ, y) assigns a numerical score (a scalar) to a
  predicted output ŷ given the true expected output y.

# **Training**

- The loss function should attain its minimum value for cases where the prediction is correct.
- We can also define a corpus-wide loss with respect to the parameters Θ as the average loss over all training examples:

$$\mathcal{L}(\Theta) = \frac{1}{n} \sum_{i=1}^{n} L(f(\vec{x}_i; \Theta), y_i)$$

 The goal of the training algorithm is then to set the values of the parameters Θ such that the value of £ is minimized.

$$\hat{\Theta} = \operatorname{argmin}_{\Theta} \mathcal{L}(\Theta) = \operatorname{argmin}_{\Theta} \frac{1}{n} \sum_{i=1}^{n} L(f(\vec{x}_i; \Theta), y_i)$$

## **Gradient-based Optimization**

- Functions are trained using gradient-based methods.
- They work by repeatedly computing an estimate of the loss L over the training set.
- The training method computes gradients of the parameters (Θ) with respect to the loss estimate, and moving the parameters in the opposite directions of the gradient.
- Different optimization methods differ in how the error estimate is computed, and how moving in the opposite direction of the gradient is defined.

#### **Gradient Descent**



<sup>&</sup>lt;sup>0</sup>Source: https://sebastianraschka.com/images/faq/closed-form-vs-gd/ball.png

#### **Gradient Descent**

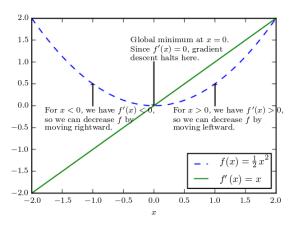


Figure 4.1: Gradient descent. An illustration of how the gradient descent algorithm uses the derivatives of a function to follow the function downhill to a minimum.

<sup>&</sup>lt;sup>0</sup>[Goodfellow et al., 2016]

#### Online Stochastic Gradient Descent

- All the parameters are initialized with random values (Θ).
- For each training example (x, y) we calculate the loss L with current values of  $\Theta$ .
- Then we update the parameters with the following rule until convergence:
- $\Theta_i \leftarrow \Theta_i \eta \frac{\partial L}{\Theta_i}(\hat{y}, y)$  (for all parameters  $\Theta_i$ )

#### Algorithm 2.1 Online stochastic gradient descent training.

#### Input:

- Function  $f(x; \Theta)$  parameterized with parameters  $\Theta$ .
- Training set of inputs  $x_1, \ldots, x_n$  and desired outputs  $y_1, \ldots, y_n$ .
- Loss function L.
  - 1: while stopping criteria not met do
  - 2: Sample a training example  $x_i$ ,  $y_i$
  - 3: Compute the loss  $L(f(x_i; \Theta), y_i)$
  - $\hat{g} \leftarrow \text{gradients of } L(f(x_i; \Theta), y_i) \text{ w.r.t } \Theta$
  - 5:  $\Theta \leftarrow \Theta \eta_t \hat{g}$
  - 6: return Θ

#### Online Stochastic Gradient Descent

- The learning rate can either be fixed throughout the training process, or decay as a function of the time step t.
- The error calculated in line 3 is based on a single training example, and is thus
  just a rough estimate of the corpus-wide loss L that we are aiming to minimize.
- The noise in the loss computation may result in inaccurate gradients (single examples may provide noisy information).

#### Mini-batch Stochastic Gradient Descent

- A common way of reducing this noise is to estimate the error and the gradients based on a sample of m examples.
- This gives rise to the minibatch SGD algorithm

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Algorithm 2.2 Minibatch stochastic gradient descent training. 

Input:

- Function f(x; \Theta) parameterized with parameters \Theta.

- Training set of inputs x_1, \ldots, x_n and desired outputs y_1, \ldots, y_n.

- Loss function L.

1: while stopping criteria not met do
2: Sample a minibatch of m examples \{(x_1, y_1), \ldots, (x_m, y_m)\}
3: \hat{g} \leftarrow 0
4: for i = 1 to m do
5: Compute the loss L(f(x_i; \Theta), y_i)
6: \hat{g} \leftarrow \hat{g} + g radients of \frac{1}{m}L(f(x_i; \Theta), y_i) w.r.t \Theta
7: \Theta \leftarrow \Theta - \eta_t \hat{g}
8: return \Theta
```

- Higher values of m provide better estimates of the corpus-wide gradients, while smaller values allow more updates and in turn faster convergence.
- For modest sizes of m, some computing architectures (i.e., GPUs) allow an
  efficient parallel implementation of the computation in lines 3-6.

<sup>&</sup>lt;sup>0</sup>Source:[Goldberg, 2017]

#### **Loss Functions**

Hinge (or SVM loss): for binary classification problems, the classifier's output is a single scalar \$\tilde{y}\$ and the intended output y is in {+1, -1}. The classification rule is \$\tilde{y} = sign(\tilde{y})\$, and a classification is considered correct if \$y \cdot \tilde{y} > 0\$.

$$L_{\text{hinge(binary)}}(\tilde{y}, y) = \max(0, 1 - y \cdot \tilde{y})$$

• Binary cross entropy (or logistic loss): is used in binary classification with conditional probability outputs. The classifier's output  $\tilde{y}$  is transformed using the sigmoid function to the range [0,1], and is interpreted as the conditional probability P(y=1|x).

$$L_{\text{logistic}}(\hat{y}, y) = -y \log \hat{y} - (1 - y) \log(1 - \hat{y})$$

#### **Loss Functions**

 Categorical cross-entropy loss: is used when a probabilistic interpretation of multi-class scores is desired. It measures the dissimilarity between the true label distribution y and the predicted label distribution ỹ.

$$L_{ ext{cross-entropy}}(\hat{y}, y) = -\sum_{i} y_{[i]} \log(\hat{y}_{[i]})$$

 The predicted label distribution of the categorical cross-entropy loss (ŷ) is obtained by applying the softmax function the last layer of the network ỹ:

$$\hat{y}_{[i]} = \mathsf{softmax}(\tilde{y})_{[i]} = \frac{e^{\tilde{y}_{[i]}}}{\sum_{j} e^{\tilde{y}_{[j]}}}$$

• The softmax function squashes the k-dimensional output to values in the range (0,1) with all entries adding up to 1. Hence,  $\hat{y}_{[i]} = P(y = i|x)$  represent the class membership conditional distribution.

# Regularization

- Our optimization problem may admit multiple solutions, and, especially in higher dimensions, it can also over-fit.
- Scenario: In our language identification problem one of the documents in the training set  $(\vec{x}_O)$  is an outlier.
- The document is actually in German, but is labeled as French.
- In order to drive the loss down, the learner can identify features (letter bigrams) in \$\vec{x}\_O\$ that occur in only few other documents.
- The learner will give these features very strong weights toward the (incorrect) French class.

# Regularization

- This is a bad solution to the learning problem.
- The model is learning something incorrect.
- Test German documents which share many words with  $\vec{x}_O$  could be mistakenly classified as French.
- We would like to control for such cases by driving the learner away from such misguided solutions.
- Idea: it is OK to mis-classify a few examples if they don't fit well with the rest.

# Regularization

- Regularization: we add a regularization term R to the optimization objective.
- The goal of this term: to control the complexity (large weights) of the parameter value (Θ), and avoid cases of overfitting:

$$\hat{\Theta} = \operatorname{argmin}_{\Theta} \mathcal{L}(\Theta) + \lambda R(\Theta)$$

$$= \operatorname{argmin}_{\Theta} \frac{1}{n} \sum_{i=1}^{n} L(f(\vec{x}_i; \Theta), y_i) + \lambda R(\Theta)$$
(13)

- The regularization term considers the parameter values, and scores their complexity.
- The value of hyperparameter  $\lambda$  has to be set manually, based on the classification performance on a development set.

# Regularization

- In practice, the regularizers *R* equate complexity with large weights.
- They work to keep the parameter values  $(\Theta)$  low.
- They drive the learner toward solutions with low norms of the parameter matrices (W).
- Common choices for R are the  $L_2$  norm, the  $L_1$  norm, and the elastic-net.

# L<sub>2</sub> Regularization

- In  $L_2$  regularization, R takes the form of the squared  $L_2$  norm of the parameters.
- Goal: try to keep the sum of the squares of the parameter values low.

$$R_{L_2}(W) = ||W||_2^2 = \sum_{i,j} (W_{[i,l]})^2$$

- The L<sub>2</sub> regularizer is also called a gaussian prior or weight decay.
- *L*<sub>2</sub> regularized models are severely punished for high parameter weights.
- Once the value is close enough to zero, their effect becomes negligible.
- The model will prefer to decrease the value of one parameter with high weight by 1 than to decrease the value of ten parameters that already have relatively low weights by 0.1 each.

# L<sub>1</sub> Regularization

- In  $L_1$  regularization, R takes the form of the  $L_1$  norm of the parameters.
- Goal: try to keep the sum of the absolute values of the parameters low.

$$R_{L_1}(W) = ||W||_1 = \sum_{i,j} |W_{[i,j]}|$$

- In contrast to  $L_2$ , the  $L_1$  regularizer is punished uniformly for low and high values.
- It has an incentive to decrease all the non-zero parameter values toward zero.
- It thus encourages a sparse solutions—models with many parameters with a zero value.
- The *L*<sub>1</sub> regularizer is also called a sparse prior or lasso [Tibshirani, 1996].

### **Elastic-Net**

• The elastic-net regularization [Zou and Hastie, 2005] combines both  $L_1$  and  $L_2$  regularization:

$$R_{ ext{elactic-net}}(W) = \lambda_1 R_{L_1}(W) + \lambda_2 R_{L_2}(W)$$

## **Beyond SGD**

- While the SGD algorithm can and often does produce good results, more advanced algorithms are also available.
- The SGD+Momentum [Polyak, 1964] and Nesterov Momentum [Nesterov, 2018, Sutskever et al., 2013] algorithms are variants of SGD in which previous gradients are accumulated and affect the current update.
- Adaptive learning rate algorithms including AdaGrad [Duchi et al., 2011], AdaDelta [Zeiler, 2012], RMSProp [Tieleman and Hinton, 2012], and Adam [Kingma and Ba, 2014] are designed to select the learning rate for each minibatch.
- This sometimes done on a per-coordinate basis, potentially alleviating the need
  of fiddling with learning rate scheduling.
- For details of these algorithms, see the original papers or [Goodfellow et al., 2016](Sections 8.3, 8.4).

### Train, Test, and Validation Sets

- When training a model our goal is to produce a function f(x

  ) that correctly maps inputs x

  to outputs p

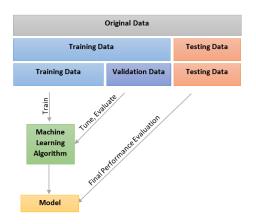
  as evidenced by the training set.
- Performance on training data can be misleading: our goal is to train a function capable of generalizing to unseen examples.
- Held-out set: split training set into training and testing subsets (80% and 20% splits). Train on training and compute accuracy on testing.
- Problem: in practice you often train several models, compare their quality, and select the best one.
- Selecting the best model according to the held-out set's accuracy will result in an overly optimistic estimate of the model's quality.
- You don't know if the chosen settings of the final classifier are good in general, or are just good for the particular examples in the held-out sets.

### Train, Test, and Validation Sets

- The accepted methodology is to use a three-way split of the data into train, validation (also called development), and test sets 1.
- This gives you two held-out sets: a validation set (also called development set ), and a test set.
- All the experiments, tweaks, error analysis, and model selection should be performed based on the validation set.
- Then, a single run of the final model over the test set will give a good estimate of its expected quality on unseen examples.
- It is important to keep the test set as pristine as possible, running as few experiments as possible on it.
- Some even advocate that you should not even look at the examples in the test set, so as to not bias the way you design your model.

<sup>&</sup>lt;sup>1</sup>An alternative approach is cross-validation, but it doesn't scale well for training deep neural networks.

## Train, Test, and Validation Sets



<sup>&</sup>lt;sup>1</sup>source:

# Limitations of linear models: the XOR problem

- The hypothesis class of linear (and log-linear) models is severely restricted.
- For example, it cannot represent the XOR function, defined as:

$$xor(0,0) = 0$$
  
 $xor(1,0) = 1$   
 $xor(0,1) = 1$   
 $xor(1,1) = 0$  (14)

# Limitations of linear models: the XOR problem

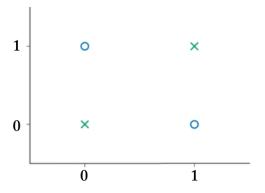
• There is no parameterization  $\vec{w} \in \mathbb{R}^2$ ,  $b \in \mathbb{R}$  such that:

$$(0,0) \cdot \vec{w} + b < 0$$

$$(15)$$

# Limitations of linear models: the XOR problem

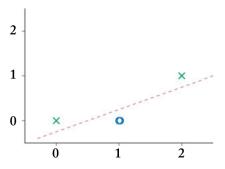
 To see why, consider the following plot of the XOR function, where blue Os denote the positive class and green Xs the negative class.



• It is clear that no straight line can separate the two classes.

# Nonlinear input transformations

• If we transform the points by feeding each of them through the nonlinear function  $\phi(x_1,x_2)=[x_1\times x_2,x_1+x_2]$ , the XOR problem becomes linearly separable.



• The function  $\phi$  mapped the data into a representation that is suitable for linear classification.

# Nonlinear input transformations

We can now easily train a linear classifier to solve the XOR problem.

$$\hat{\mathbf{y}} = f(\vec{\mathbf{x}}) = \phi(\vec{\mathbf{x}}) \cdot \vec{\mathbf{w}} + \mathbf{b} \tag{16}$$

- Problem: we need to manually define the function φ.
- This process is dependent on the particular dataset, and requires a lot of human intuition.
- Solution: define a trainable nonlinear mapping function, and train it in conjunction with the linear classifier.
- Finding the suitable representation becomes the responsibility of the training algorithm.

# Trainable mapping functions

- The mapping function can take the form of a parameterized linear model.
- Followed by a nonlinear activation function g that is applied to each of the output dimensions:

$$\hat{y} = f(\vec{x}) = \phi(\vec{x}) \cdot \vec{w} + b$$

$$\phi(\vec{x}) = g(\vec{x}W' + \vec{b}')$$
(17)

# Trainable mapping functions

- By taking  $g(x) = \max(0, x)$  and  $W' = \begin{pmatrix} 1 & 1 \\ 1 & 1 \end{pmatrix}, \vec{b}' = \begin{pmatrix} -1 & 0 \end{pmatrix}$ .
- We get an equivalent mapping to  $[x_1 \times x_2, x_1 + x_2]$  for the our points of interest (0,0), (0,1), (1,0), and (1,1).
- This successfuly solves the XOR problem!
- Learning both the representation function and the linear classifier on top of it at the same time is the main idea behind deep learning and neural networks.
- In fact, previous equation describes a very common neural network architecture called a multi-layer perceptron (MLP).

Questions?

Thanks for your Attention!

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