

Sparse Flux Balance Analysis

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INTRODUCTION

We consider a biochemical network of m molecular species and n biochemical reactions. The biochemical network is mathematically represented by a stoichiometric matrix $S \in \mathbb{R}^{m \times n}$. In standard notation, flux balance analysis (FBA) is the linear optimisation problem

$$\begin{aligned} \min_v \quad & p^T v \\ \text{s.t.} \quad & Sv = b, \\ & l \leq v \leq u, \end{aligned}$$

where $c \in \mathbb{R}^n$ is a parameter vector that linearly combines one or more reaction fluxes to form what is termed the objective function, and where a $b_i \leq 0$, or $b_i > 0$, represents some fixed output, or input, of the i th molecular species. A typical application of flux balance analysis is to predict an optimal non-equilibrium steady-state flux vector that optimises a linear objective function, such biomass production rate, subject to bounds on certain reaction rates. Herein we use sparse flux balance analysis to predict a minimal number of active reactions¹, consistent with an optimal objective derived from the result of a standard flux balance analysis problem. In this context sparse flux balance analysis requires a solution to the following problem

$$\begin{aligned} \min_v \quad & \|v\|_0 \\ \text{s.t.} \quad & Sv = b \\ & l \leq v \leq u \\ & c^T v = p^* \end{aligned}$$

where the last constraint represents the requirement to satisfy an optimal objective value p^* derived from any solution to a flux balance analysis (FBA) problem.

EQUIPMENT SETUP

Initialize the COBRA Toolbox.

If necessary, initialize The Cobra Toolbox using the `InitCobraToolbox` function.

```
InitCobraToolbox
```



```
> Checking if git is installed ... Done.
> Checking if the repository is tracked using git ... Done.
> Checking if curl is installed ... Done.
> Checking if remote can be reached ... Done.
> Initializing and updating submodules ... Done.
> Adding all the files of The COBRA Toolbox ... Done.
> Define C# map output... set to exp.
> Retrieving models ... Done.
> TranslateSBML is installed and working properly.
> Configuring solver environment variables ...
- [---] SOLG_PATH: C:\Program Files\IBM\ILOG\CPLEX_Studio1277\cplex\win64\win64
- [---] GURD_PATH : --> set this path manually after installing the solver ( see Installation )
- [---] TOPLAB_PATH: C:\Program Files\toplab\
- [---] RSLAB_PATH : --> set this path manually after installing the solver ( see Installation )
Done.
> Checking available solvers and solver interfaces ... Done.
> Setting default solvers ... Done.
> Saving the MATLAB path ... Done.
- The MATLAB path was saved in the default location.

> Summary of available solvers and solver interfaces
```

Support	LP	MLP	QP	MQP	NLP	
cplex_direct	full	0	0	0	0	-
gdpKnoss	full	0	-	-	-	-
glik	full	1	1	-	-	-
gurobi	full	1	1	1	1	-
lbn_cplex	full	1	1	1	-	-
matlab	full	1	-	-	-	1
moose	full	0	0	0	-	-
pkls	full	1	-	1	-	-
quadKnoss	full	0	-	-	-	0
tasklab_cplex	full	1	1	1	1	-
qpnp	experimental	-	-	1	-	-
tasklab_snopt	experimental	-	-	-	-	1
gurobi_java	legacy	0	0	0	0	-
lbnm_vld	legacy	0	-	-	-	-
lbnm_legacy	legacy	0	-	-	-	-
lp_solve	legacy	1	-	-	-	-
opti	legacy	0	0	0	0	0
Total	-	7	6	5	2	2

+ Legend: - = not applicable, 0 = solver not compatible or not installed, 1 = solver installed.

```
> You can solve LP problems using: 'glik' - 'gurobi' - 'lbn_cplex' - 'tasklab' - 'pkls' - 'tasklab_cplex' - 'lp_solve'
> You can solve MLP problems using: 'glik' - 'gurobi' - 'lbn_cplex' - 'tasklab_cplex'
> You can solve QP problems using: 'gurobi' - 'lbn_cplex' - 'pkls' - 'tasklab_cplex' - 'qpnp'
> You can solve MQP problems using: 'gurobi' - 'tasklab_cplex'
> You can solve NLP problems using: 'matlab' - 'tasklab_snopt'
```

```
> Checking for available updates ...
--> You cannot update your fork using updateCobraToolbox(). [30298 @ Tutorial-spacerRNA].
Please use the MATLAB devTool (https://github.com/COBRAtoolbox/MATLAB\_devTools).
```

COBRA model.

In this tutorial, the model used is the generic reconstruction of human metabolism, the Recon 2.04¹, which is provided in the COBRA Toolbox. The Recon 2.04 model can also be downloaded from the [github HumanMetabolicModels](https://github.com/HumanMetabolicModels) webpage. You can also select your own model to work with. Before proceeding with the simulations, the path for the model needs to be set up:

```
global CSTRDIR
modelFileName = 'Recon2_v04.mat';
modelDirectory = getDistributedModelFolderPath(modelFileName); %Look up the folder for the distributed Models.
modelPathName = [modelDirectory filesep modelFileName]; % Get the full path. Necessary to be sure, that the right model is loaded
model = readCModel(modelPathName);
```

NOTE: The following test, code, and results are shown for the Recon 2.04 model

PROCEDURE

Set the tolerance to distinguish between zero and non-zero flux, based on the numerical tolerance of the currently installed optimisation solver.

```
feasTol = getCobraSolverParams('LP', 'feasTol');
```

Display the constraints

```
minDef = -1000;
maxDef = 1000;
```


[illegible]

[illegible]

[illegible]

[illegible]

First choose whether to maximize ('max') or minimize ('min') the FBA objective. Here we choose maximize

```
sensestr="max";
```

Choose to minimize the zero-norm of the optimal flux vector

```
minNorm="zero";
```

Run sparse flux balance analysis

```
sparseFBAolution = optminizeCModel(model, sensestr, minNorm);
```

Obtain the vector of reaction rates from the solution structure

```
v = sparseFBAolution.v;
```

Display the sparse flux solution, but only the non-zero fluxes

```
nonZeroFlag = 1;  
printFluxVector(model, v, nonZeroFlag);
```

```
3PGH121a 0.127057  
3PGH121a_01 0.0471  
AMUT5a 0.0429253  
AMT5a 0.0429253  
AMUT12r 0.0429253  
ADH1 -0.17862  
ADK1 -0.03312  
ADK3a -0.0037315  
ADK3 -0.27854  
ADH5a -0.0037315  
ALAC12r 0  
ALAT1_5 -0.137837  
APPO1 0.167139  
APG11DP 0  
R_group_glyoxalase_1 0.000012  
ARN10 0.093657  
ASP10a 0.127057  
ASP1aa -0.127057  
ETN5a 0.093653  
CATa 0.036882  
COOPIr -0.0073829  
CHL1d 0.000001  
CLT_ha 0.0372629  
CYT5a_10a 0.05238  
CYT5a 0.104849  
CYT6a 0.105805  
DAG_ha 0.0000212  
DATP1a 0.04258  
DOWP01 -0.104803  
DCTP1a 0.038096  
DEMAT3a_4 0.11773  
DEMAT3a_7 0.11773  
DCTP1a 0.0316044  
DEMP1a 0.0428657  
DOPACHPP10 2.29826  
DIAT 0.003023  
DTTP1a 0.0418637  
DUPD1 0.0902265  
DND 0.12261  
EX_dedu(e) -0.0429253  
EX_ade(e) 0.17862  
EX_ala_1(e) -1  
EX_ape(e) 0  
EX_arg_1(e) -1  
EX_asa_1(e) -0.000017  
EX_asp_1(e) -1  
EX_atp(e) -1  
EX_bac(e) -0.003613  
EX_bla(e) 0.003613  
EX_cha(e) -0.000001  
EX_chol(e) -0.0052435  
EX_duo(e) -0.0022365  
EX_gla_1(e) -1  
EX_gly(e) -0.076883  
EX_h2a2(e) -5  
EX_had(e) -0.0037315  
EX_his_1(e) -0.00233  
EX_ile_1(e) -0.010893  
EX_ine(e) -0.070637  
EX_lys_1(e) -1  
EX_lys(e) -1  
EX_ser(e) 0.11773  
EX_gcha_ha(e) -0.000012  
EX_lys_1(e) -1  
EX_mecp(e) 0.108649  
EX_mec(e) -0.0000  
EX_mis(e) -0.148903  
EX_mis(e) -0.000012  
EX_mis(e) -0.11773  
EX_ser(e) -0.0062
```

```

EX_kmu_3a0a0c00=-0.1770898
EX_kmu_1a0a0c00=-0.8088842
EX_kmu_1a0c00=-0.829787
EX_g01c00=0.789832
EX_kmu_1a0c00=-1
EX_kmu_3a0a0c00=-0.626188
EX_kmu_1a0c00=4.208887
EX_kmu_1a0c00=-1
EX_kmu_1a0c00=-0.889212
EX_kmu_1a0c00=-1
EX_kmu_1a0c00=-0.842887
EX_kmu_1a0c00=-0.842703
EX_kmu_1a0c00=-0.918837
EX_kmu_1a0c00=0.787288
EX_kmu_1a0c00=-1
EX_kmu_1a0c00=-1
PAXIAL1X12=-0.11773
PATP01=-0.11773
P88=0.439143
P89a=0.818293
P89b=0.858932
P90=0.22241
P91=0.1477138
P92=0.818293
P93=0.818293
P94=0
P95a=0.818293
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P96a1=0.818293
P96b1=-0.818293
P97=1
P98=1.38716
P99a=-0.118838
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PP253=0.818293
PP254=0.818293
PP255=0.81
```

```

T0X0K 0.338810
T0P1 0.847303
T0Y0 0.528837
UPP0E -0.812233
UPP0H -0.151803
UP001 -0.767268
U0010 1
U0010M -0.127057
U0015M -0.127057
U0016M 1
U0016M1 1
U0016M2 1
U0016M3 1
U0016M4 1
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```

Display the number of active reactions

```
fprintf('Number of active reactions in the sparse flux balance analysis solution.');
```

885 active reactions in the sparse flux balance analysis solution.

ANTICIPATED RESULTS

Typically, a sparse flux balance analysis solution will have a small fraction of the number of reactions active than in a flux balance analysis solution, e.g. Recon 204 model has 7,440 reactions. When maximising biomass production, a typical flux balance analysis solution might have approximately 2,000 active reactions (this is LP solver dependent) whereas for the same problem there are 247 active reactions in the sparse flux balance analysis solution from `optimizeCbModel` (using the default capped L1 norm approximate step function, see below).

B. Sparse flux balance analysis (two steps, all approximations, with a sparsity test)

This approach computes a sparse flux balance analysis solution, satisfying the FBA objective, with the default approach to approximate the solution to the cardinality minimisation problem³ underlying sparse FBA. This approach does not check the quality of the solution, i.e., whether indeed it is the sparsest flux vector satisfying the optimality criterion $c^T v = p^*$.

Solve a flux balance analysis problem

Build a linear programming problem structure (LPproblem) that is compatible with the interface function `(solveCobraLP)` to any installed linear optimisation solver.

```
[c,s,b,lb,ub,cense] = deal(model.c,model.s,model.b,model.lb,model.ub,model.cense);
[b,s] = size(b);
LPproblem = struct('c',c,'cense',-1,'A',s,'cense',cense,'b',b,'lb',lb,'ub',ub);
```

Now solve the flux balance analysis problem

```
LPsolution = solveCobraLP(LPproblem);
if LPsolution.stat == 1
    vFBA = LPsolution.fval(1:n);
else
    vFBA = [];
    error('FBA problem error!')
end
```

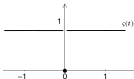
Display the number of active reactions

```
fprintf('Number of active reactions in the flux balance analysis solution.');
```

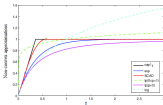
2876 active reactions in the flux balance analysis solution.

Approximations underlying sparse flux balance analysis

Due to its combinatorial nature, minimising the zero norm explicitly is an NP-hard problem. Therefore we approximately solve the problem. The approach is to replace the zero norm with a separable sum of step functions, which are each approximated by another function. Consider the step function $\zeta(t): \mathbb{R} \rightarrow \mathbb{R}$ where $\zeta(t)=1$ if $t \neq 0$ and $\zeta(t)=0$ otherwise, illustrated in the Figure below:



There are then many different approximate step functions that can be minimised. The figure below illustrates the many different approximate step functions that can be chosen to be minimised instead of an explicit step function.



Depending on the application, and the biochemical network, one or other approximation may outperform the rest, therefore a pragmatic strategy is to try each and

select the most sparse flux vector. The step set of function approximations⁴ available are

```
* 'cappedL1': Capped-L1 norm
* 'exp' : Exponential function
* 'log' : Logarithmic function
* 'SCAD' : SCAD function
* 'lp-' : Lp norm with p=0
* 'lp+' : Lp norm with 0<p<1
```

Here we prepare a cell array of strings which indicate the set of step-function approximations we wish to compare.

```
approximations = {'cappedL1','exp','log','SCAD','lp-','lp+'};
```

Run the sparse linear optimisation solver

First we must build a problem structure to pass to the sparse solver, by adding an additional constraint requiring that the sparse flux solution also satisfies the optimal objective value from flux balance analysis

```
constraint.A = [0; c'];
constraint.b = [0; c'*vFBA];
constraint.csense = [csense; 0'];
constraint.lb = lb;
constraint.ub = ub;
```

Now we call the sparse linear step function approximations

```
bestResult = a;
bestApprox = '';
for i=1:length(approximations)
    solution = sparseLP(char(approximations(i)),constraint);
    if solution_start == 1
        nrx=nnz(abs(solution.x)-feasTot);
        fprintf(' %s\n',nrx,' active reactions in the sparseFBA solution with ', char(approximations(i)));
        if bestResult > nrx
            bestResult=nrx;
            bestApprox = char(approximations(i));
            solutionB = solution;
        end
    end
end
```

```
207 active reactions in the sparseFBA solution with cappedL1
207 active reactions in the sparseFBA solution with exp
207 active reactions in the sparseFBA solution with log
207 active reactions in the sparseFBA solution with SCAD
207 active reactions in the sparseFBA solution with lp-
207 active reactions in the sparseFBA solution with lp+
```

Select the most sparse flux vector, unless there is a numerical problem.

```
if ~isequal(bestApprox,'')
    vBest = solutionB.x;
else
    vBest = [];
    error('This is problem error !!!')
end
```

Report the best approximation

```
display(sprintf('Best step function approximations: ',bestApprox));
```

```
Best step function approximations:cappedL1
```

Report the number of active reactions in the most sparse flux vector

```
fprintf(' %s\n',nrx,' active reactions in the best sparse flux balance analytic solution.');
```

```
207 active reactions in the best sparse flux balance analytic solution.
```

Warn if there might be a numerical issue with the solution

```
feasError=norm(constraint.A * solutionB.x - constraint.b,2);
if feasError>feasTot
    fprintf(' %g\n',feasError, ' feasibility error. ');
    warning('Numerical issue with the sparseLP solution')
end
```

Heuristically check if the selected set of reactions is minimal

Each step function approximation minimises a different problem than minimising the zero norm explicitly. Therefore it is wise to test, at least heuristically, if the most sparse approximate solution to minimising the zero norm is at least locally optimal, in the sense that the set of predicted reactions cannot be reduced by omitting, one by one, an active reaction. If it is locally optimal in this sense, one can be more confident that the most sparse approximate solution is the most sparse

solution, but still there is no global guarantee, as it is a combinatorial issue.

Identify the set of predicted active reactions

```
activeReactions = abs(vBest)>feasTol;  
nActiveReac = noz(activeReactions);  
activeReac = false(n,1);  
activeReac(activeReactions) = true;  
minimalActiveReac=activeReac;
```

Close all predicted non-active reactions by setting their lb = ub = 0

```
lbsub = model.lb;  
ubsub = model.ub;  
lbsub(~activeReac) = 0;  
ubsub(~activeReac) = 0;
```

Generate an LP problem to be reduced

```
% Check if one still can achieve the same objective  
LPproblem = struct('c',-c,'sense','-L','A',A,'csense','c','b',b,'lb',lbsub,'ub',ubsub);
```

For each active reaction in the most sparse approximate flux vector, one by one, set the reaction bounds to zero, then test if the optimal flux balance analysis objective value is still attained. If it is, then that reaction is not part of the minimal set. If it is not, then it is probably part of the minimal set.

```
for i=1:nActiveReac  
    if activeReactions(i)  
        LPproblem.lb = model.lb;  
        LPproblem.ub = model.ub;  
        %close bounds on this reaction  
        LPproblem.lb(i) = 0;% Close the reaction  
        LPproblem.ub(i) = 0;% Close the reaction  
        %solve the LP problem  
        LPsolution = solvecbrnLP(LPproblem);  
        %check if the optimal FBA objective is attained  
        if LPsolution.stat == 1 && abs(LPsolution.obj) + c'*v#FA)<1e-8  
            minimalActiveReac(i) = 0;  
            vBestTolFeas = LPsolution.full(i:n);  
        else  
            %relax those bounds if reaction appears to be part of the minimal set  
            LPproblem.lb(i) = model.lb(i);  
            LPproblem.ub(i) = model.ub(i);  
        end  
    end  
end
```

Report the number of active reactions in the approximately most sparse flux vector, or the reduced approximately most sparse flux vector, if it is more sparse.

```
if noz(minimalActiveReac)<noz(activeReac)  
    fprintf('Num',noz(abs(vBestTolFeas)>feasTol),' active reactions in the best sparseFBA solution (tested).');  
    nonZeroFlag = 1;  
    printFluxVector(model, vBestTolFeas, nonZeroFlag);  
else  
    fprintf('Num',noz(abs(vBest)>feasTol),' active reactions in the best sparseFBA solution (tested).');  
end
```

237 active reactions in the best sparseFBA solution (tested).

REFERENCES

- [1] Meléndez-Hevia, E., Iidors, A. (1986). The game of the pentose phosphate cycle. *Journal of Theoretical Biology* 117, 251-263.
- [2] Thiele, I., Swainston, N., Fleming, R.M., Hoppe, A., Sahoo, S., Aurich, M.K., Haraldisdotir, H., Mo, M.L., Ruffsson, O., Stobbe, M.D., et al. (2013). A community-driven global reconstruction of human metabolism. *Nat Biotechnol* 31, 419-425.
- [3] Fleming, R.M.T., et al. (submitted, 2017). Cardinality optimisation in constraint-based modelling: illustration with Recon 3D.
- [4] Le Thi, H.A., Pham Dinh, T., Le, H.M., and Vu, X.T. (2015). DC approximation approaches for sparse optimization. *European Journal of Operational Research* 244, 36-46.