

# Chapter 3

## Nucleophilic Addition to Carbonyl Group

羰基的亲核加成

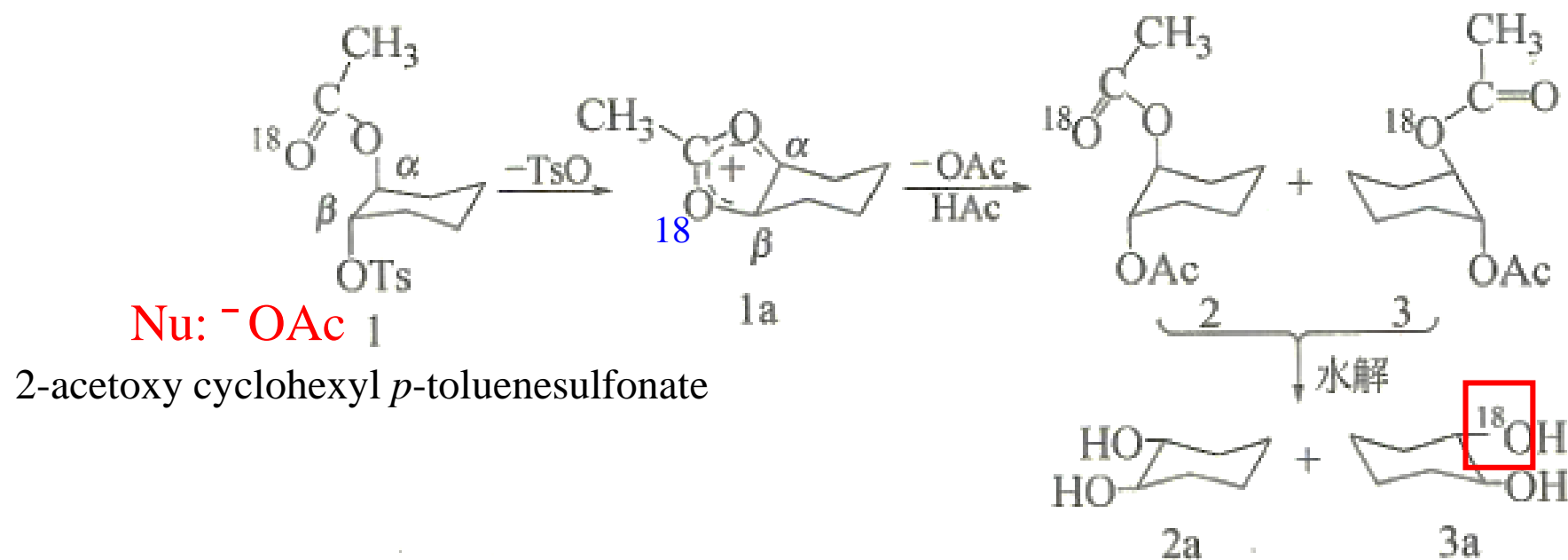
Qiong Li

April 15, 2024

# The Neighboring-Group Mechanism

例3：1-O-乙酰基-2-O-对甲苯磺酰基-反-1, 2-环己醇的乙酸解（同位素标记）（羰基作为邻近基团）

Solvolysis of 2-acetoxy cyclohexyl *p*-toluenesulfonate



The rates of solvolysis of the cis and trans isomer of 2-acetoxy cyclohexyl *p*-toluenesulfonate differ by a factor of about 670, the trans isomer being more reactive.

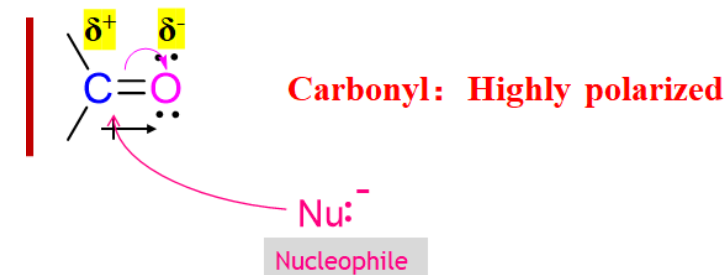


# Chapter 3: Nucleophilic Addition to Carbonyl Group



## Content

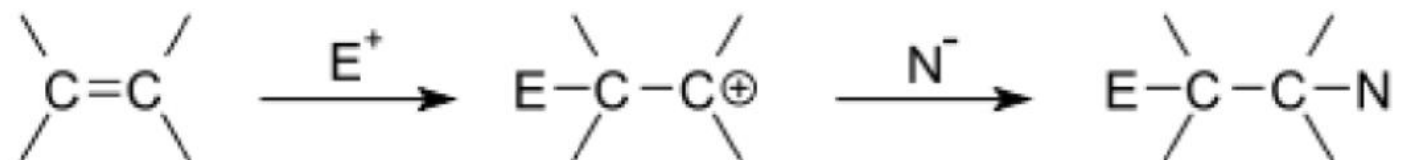
1. Mechanism and Reactivity
2. Nucleophilic addition reactions of carbonyl groups
3. Nucleophilic addition on other unsaturated bonds
4. Diastereoselectivity in nucleophilic addition reactions



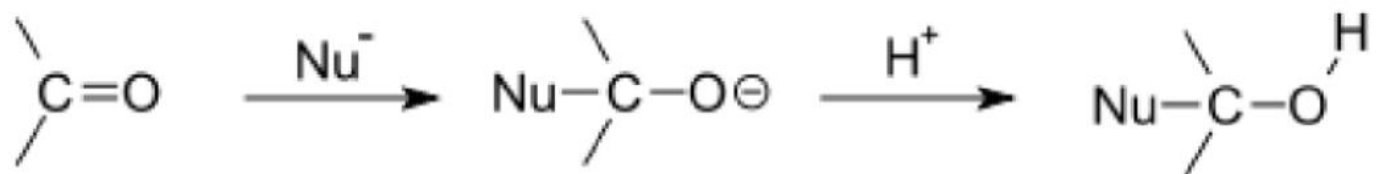
# Addition Reaction

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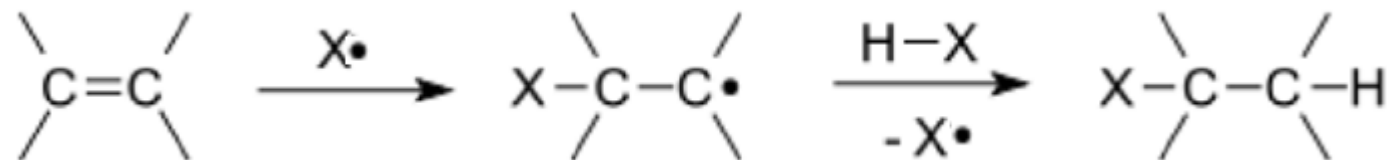
## Electrophilic Addition



## Nucleophilic Addition



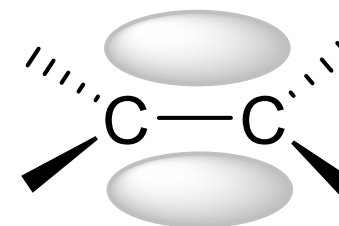
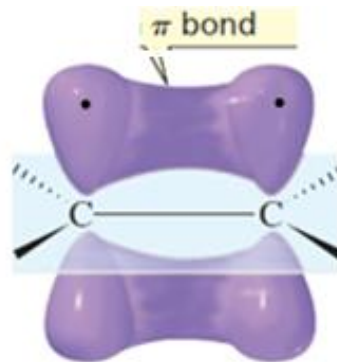
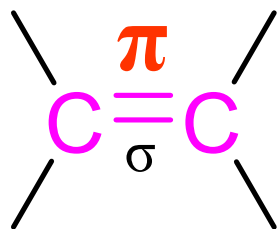
## Free Radical Addition



# Electrophilic Addition and Nucleophilic Addition

## Electrophilic Addition

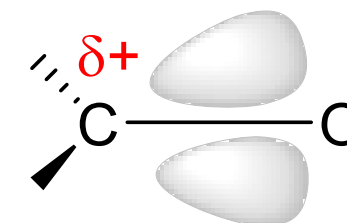
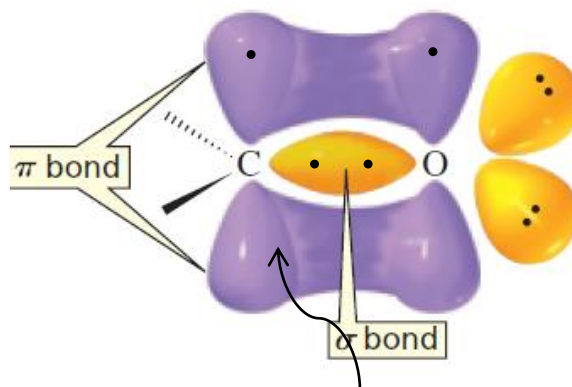
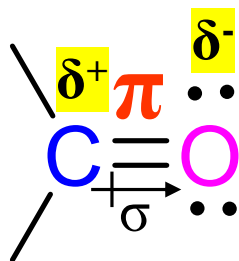
$sp^2$



$\pi$  - 电子云分布

## Nucleophilic Addition

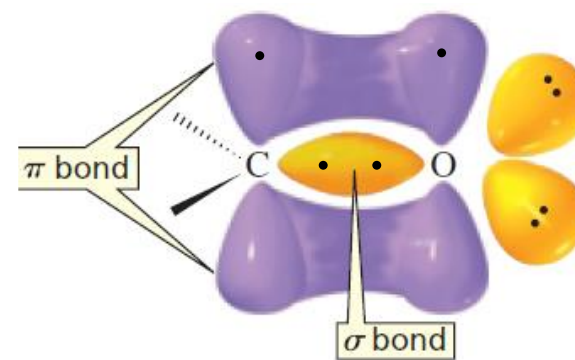
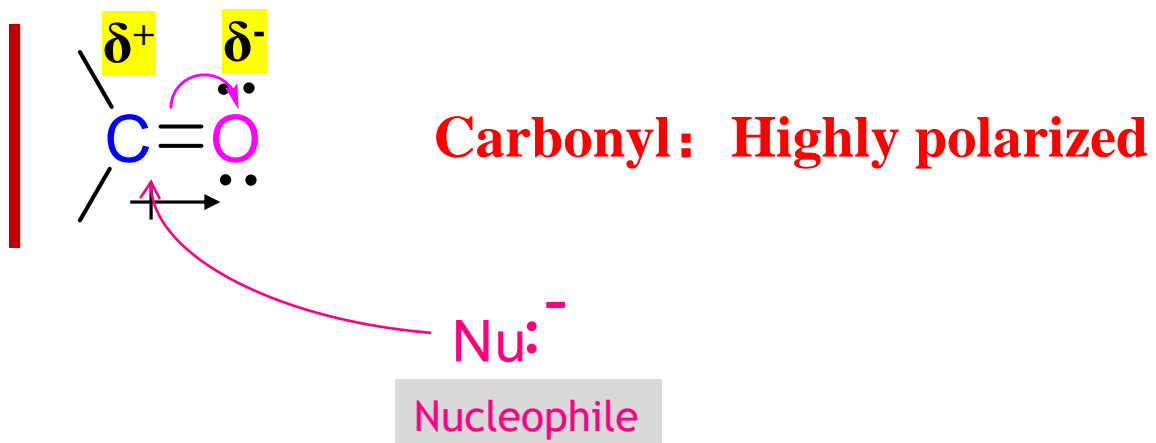
$sp^2$



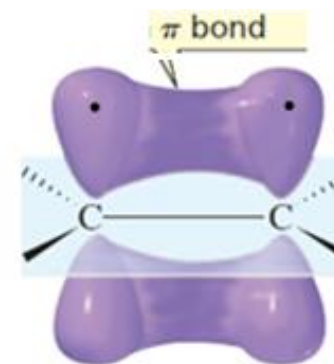
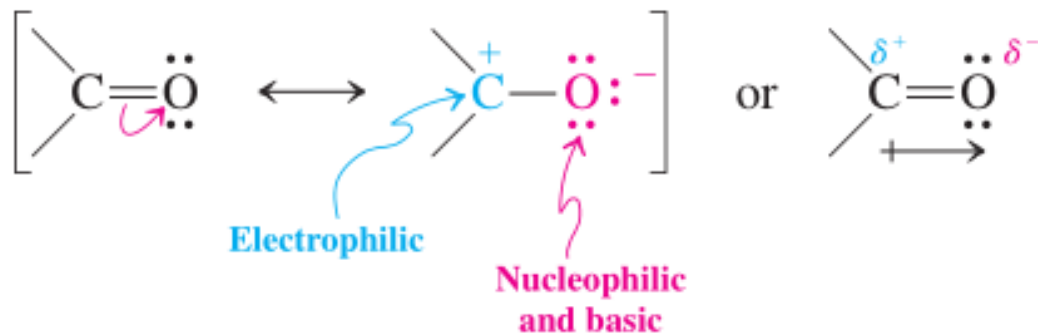
$\pi$ -电子云分布

Highly Polarized

# 8.1 Structure and Reactivity

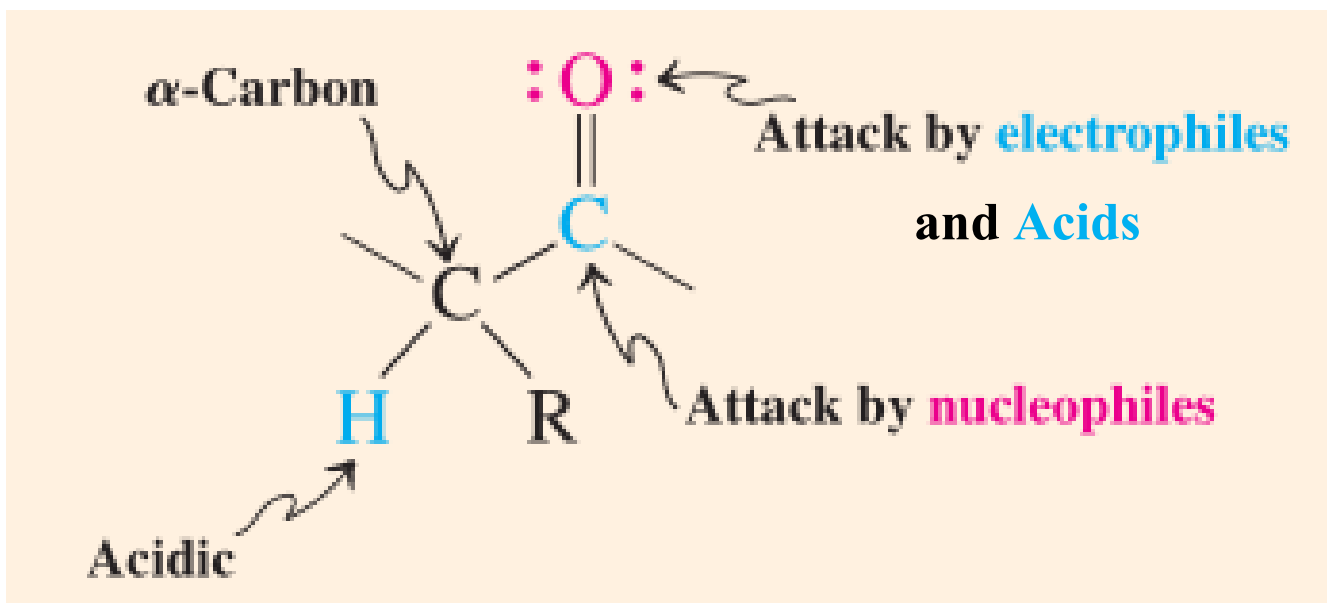


## Descriptions of a Carbonyl Group



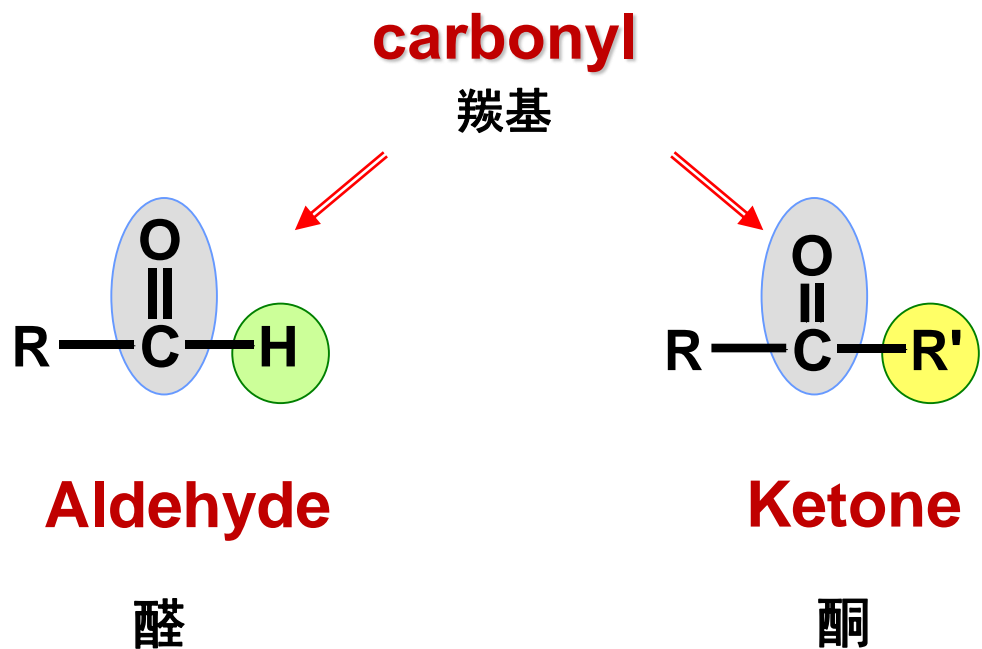
# 8.1 Structure and Reactivity

## Regions of Reactivity in Carbonyl Compounds

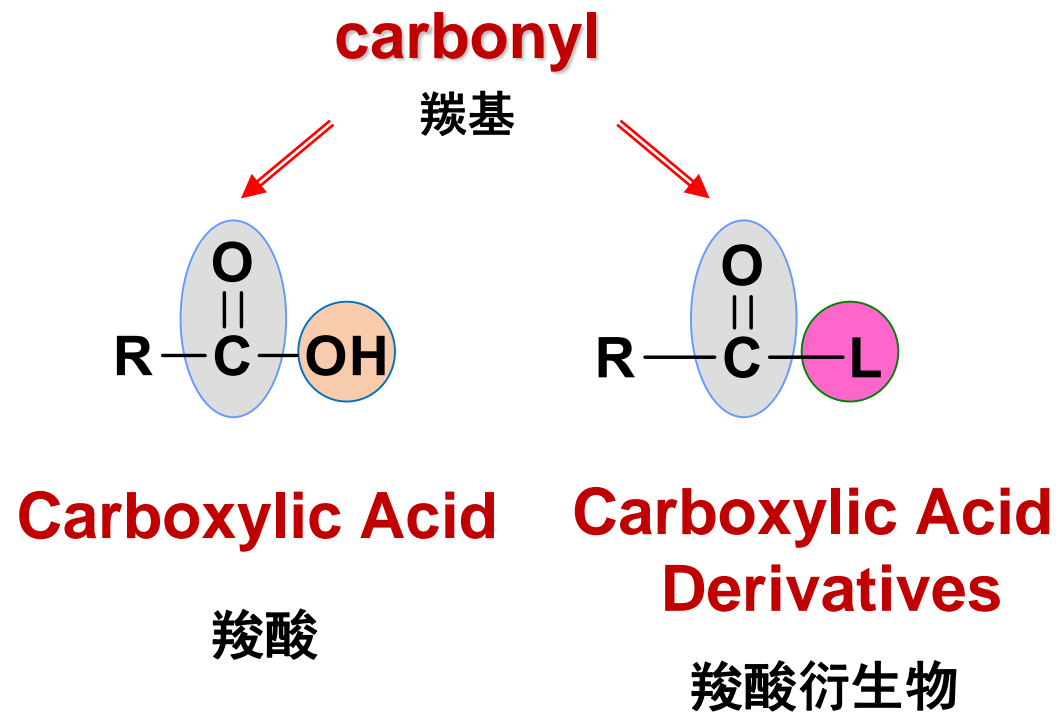


# The Carbonyl Compounds

$A_N$



“ $S_N$ ”





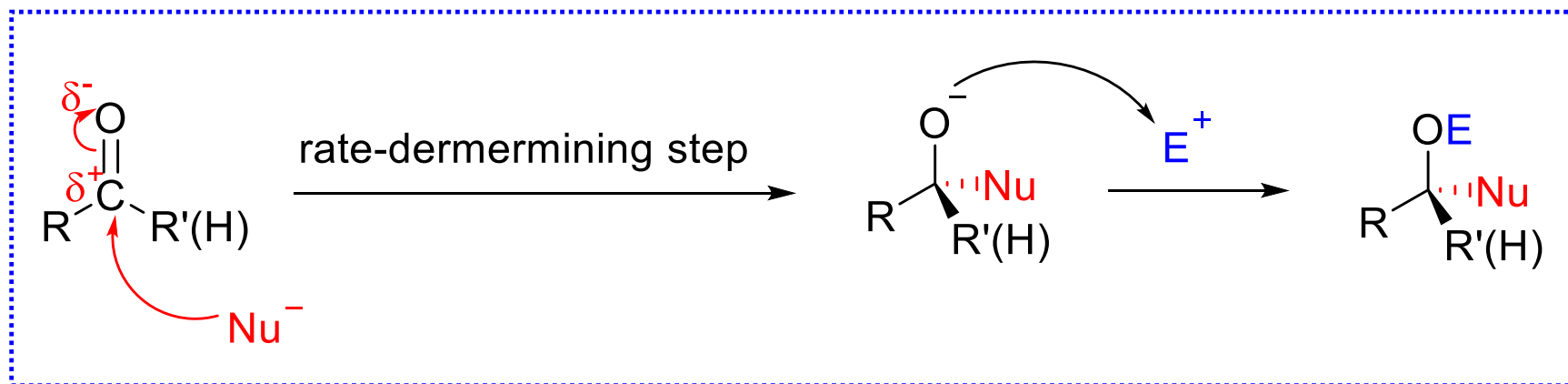
## 8.2 Nucleophilic Addition to Carbonyl Groups

### 8.2.1 General reaction, Mechanisms, and Reactivity

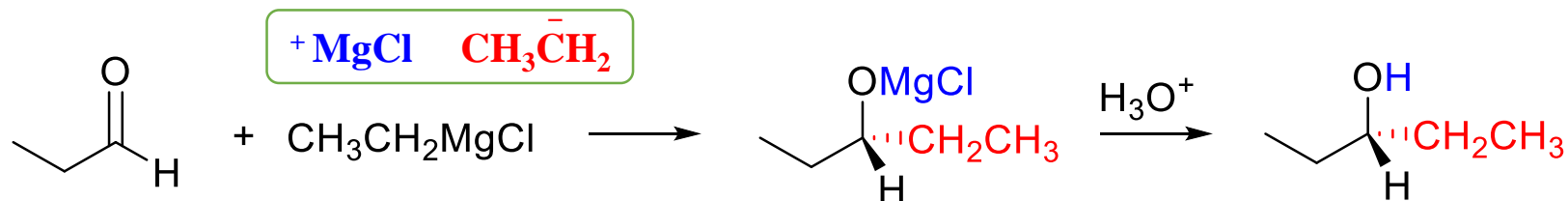
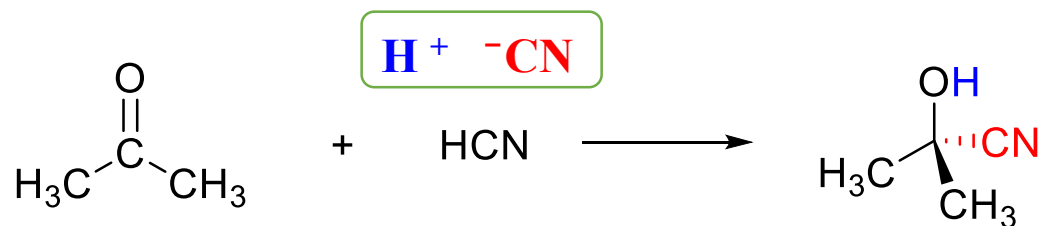
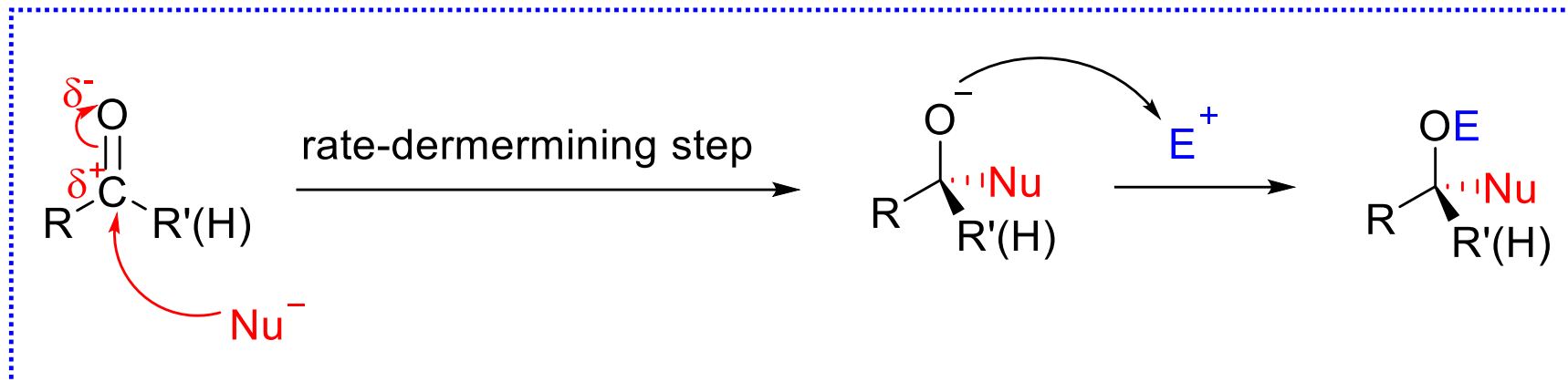
The carbonyl group is Highly polarized and the partial positive charge on the carbonyl carbon

#### a. Reaction

#### Ionic Additions to the Carbonyl Group



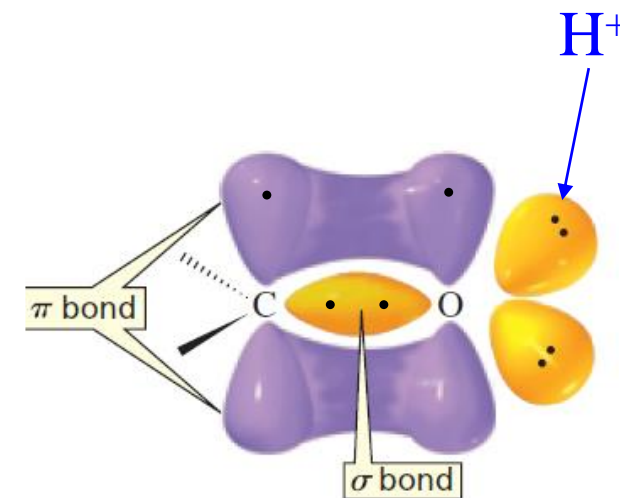
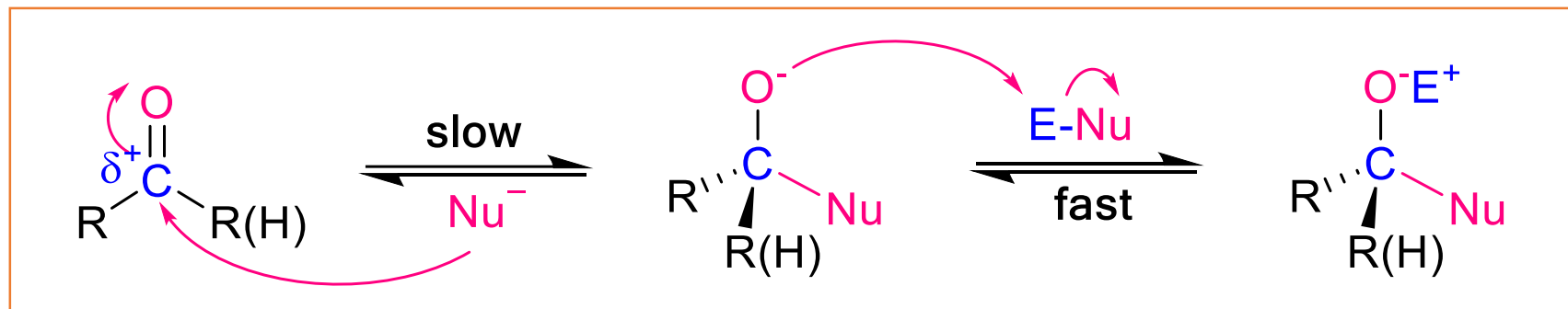
## 8.2 Nucleophilic Addition to Carbonyl Groups



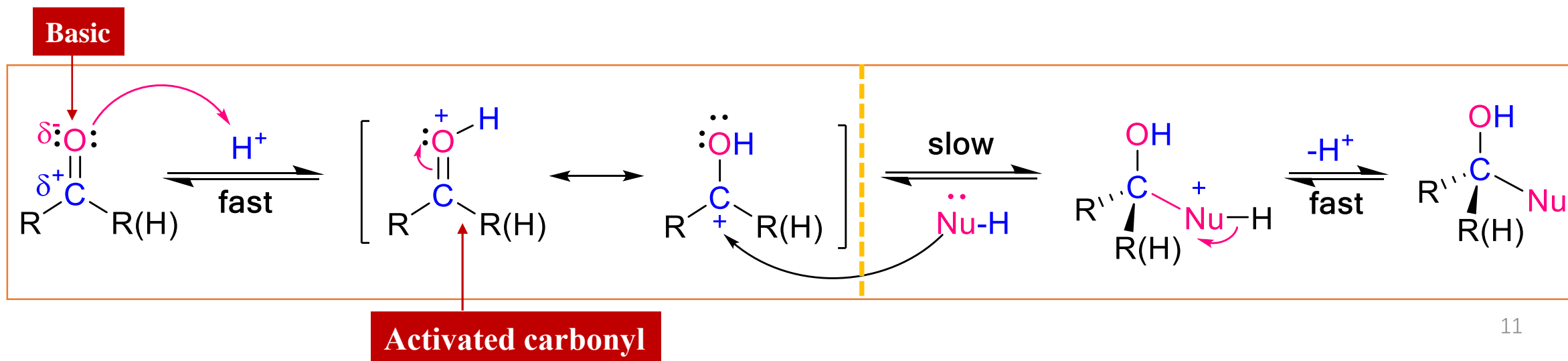
# Nucleophilic Addition to Carbonyl Group

## b. Mechanisms

**1. Basic Conditions:**  $\text{Nu}^-$  relatively strong nucleophile



**2. Acidic Conditions:**  $\text{H}\ddot{\text{N}}\text{u}$  relatively weak nucleophile

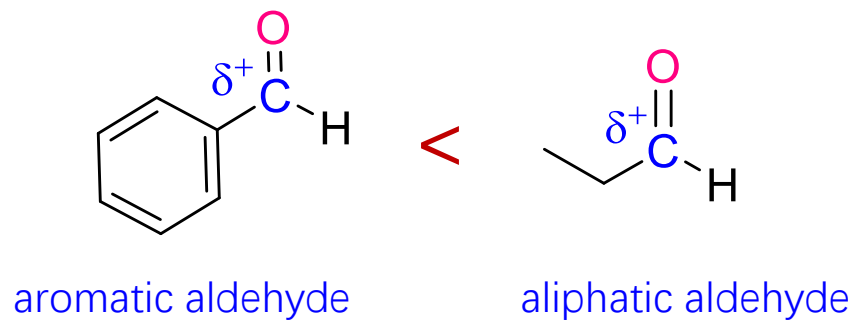


# Nucleophilic Addition to Carbonyl Group

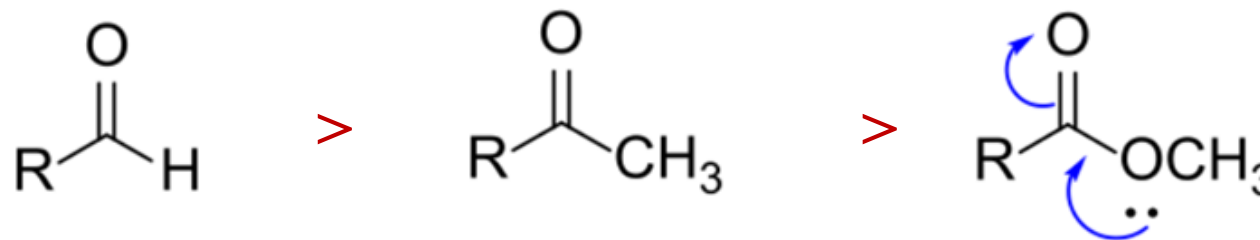
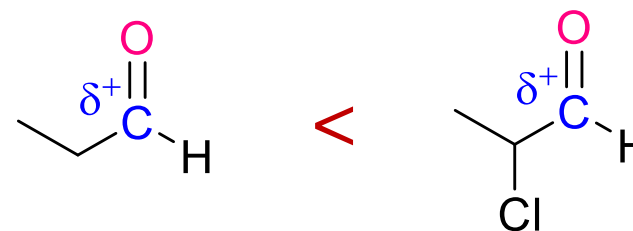
## c. Structure and Reactivity

|| **Electronic effect**  $\delta^+$   $\curvearrowright$  **reactivity**  $\curvearrowright$

**Conjugated effect**

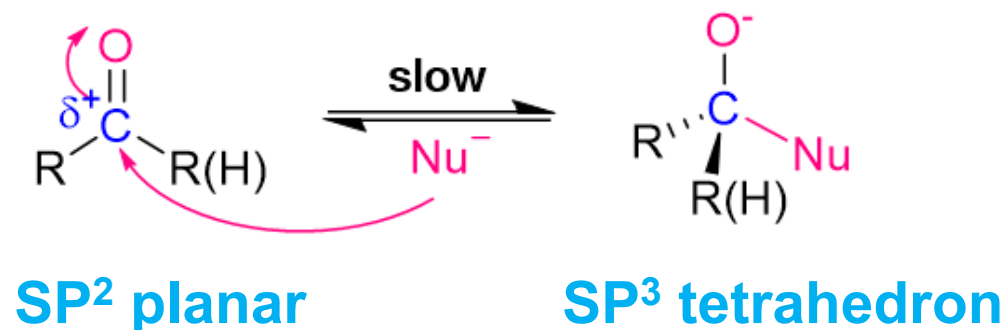


**Inductive effect**

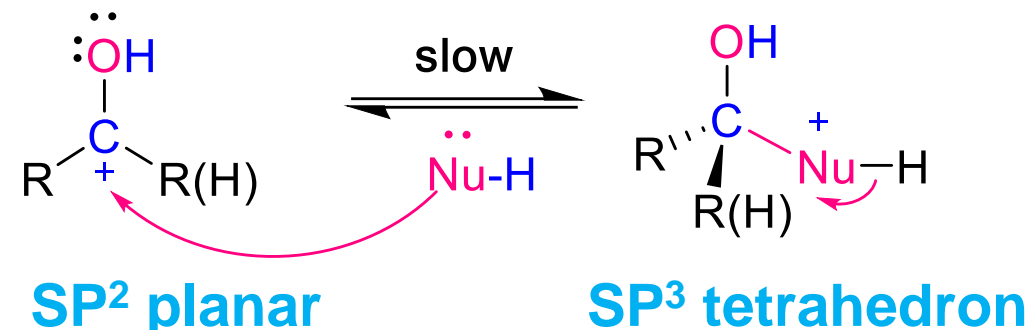


# Nucleophilic Addition to Carbonyl Group

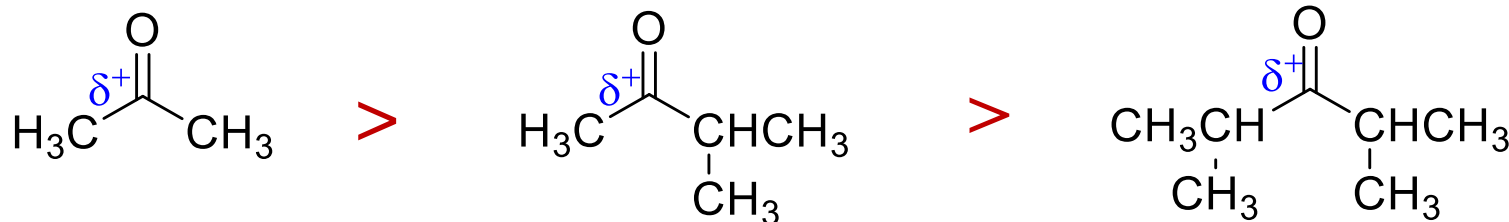
|| Steric effect **R, R' Steric hindrance** ( **reactivity** )



Steric hindrance increase



Steric hindrance increase



# Nucleophilic Addition to Carbonyl Group

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## 8.2.2 Typical nucleophilic addition reactions

**Nucleophile:**

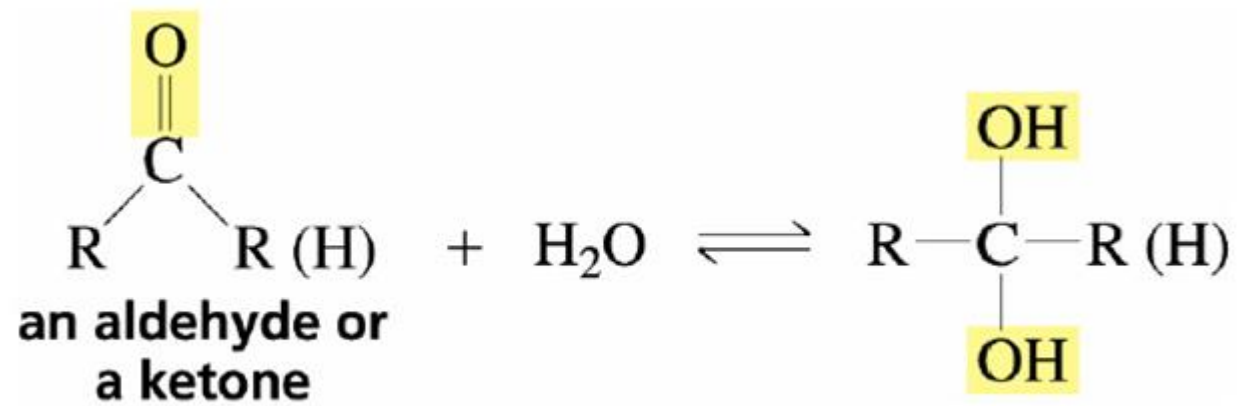
**Heteroatoms:** O, S, N. . .

**Carbon atoms:** carboanion, cyanide, enolate,  
enol, enamine. . .

# Nucleophilic Addition to Carbonyl Group

## a. with oxygen nucleophiles

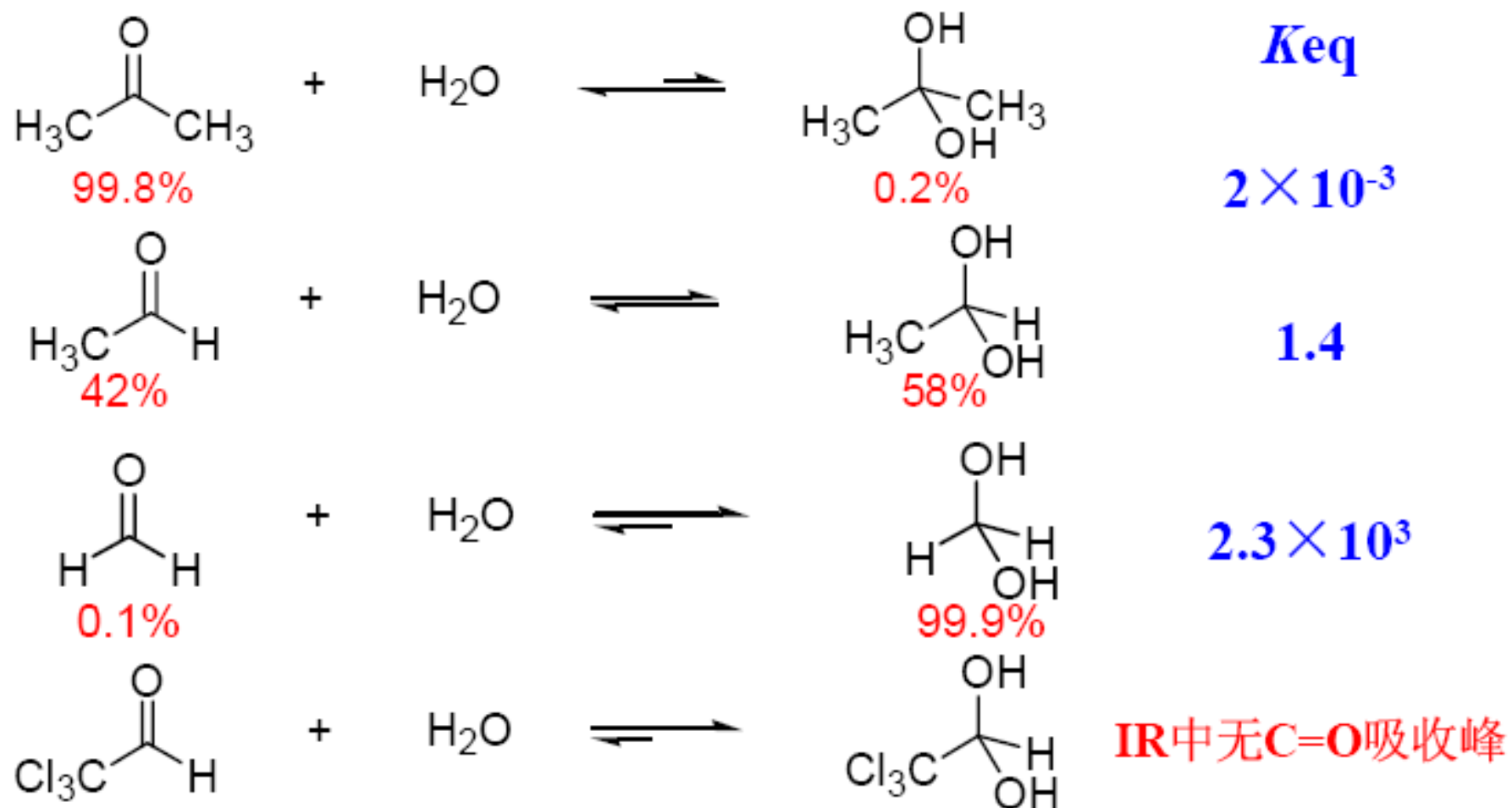
### 1. The addition of $\text{H}_2\ddot{\text{O}}$ Hydration



**geminal diol**      偕二醇  
**carbonyl hydrate**      羰基水合物

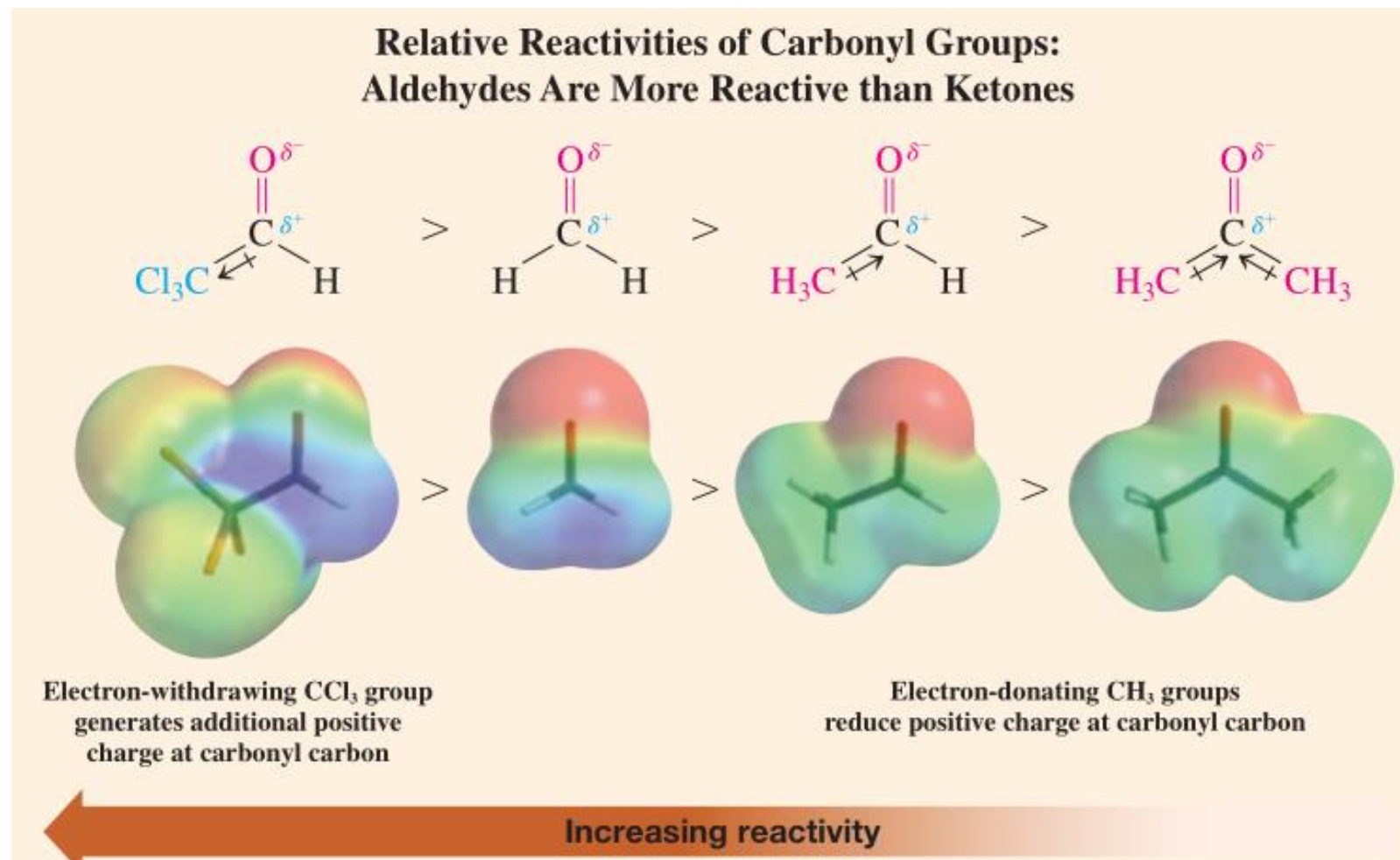
# Nucleophilic Addition to Carbonyl Group

Hydration is reversible





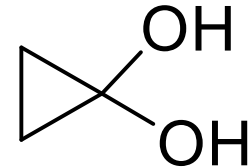
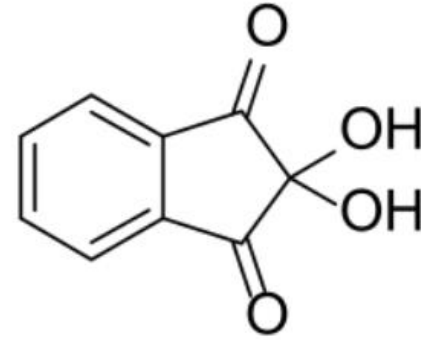
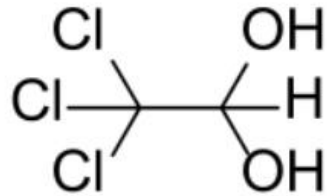
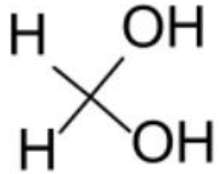
# Nucleophilic Addition to Carbonyl Group



# Nucleophilic Addition to Carbonyl Group

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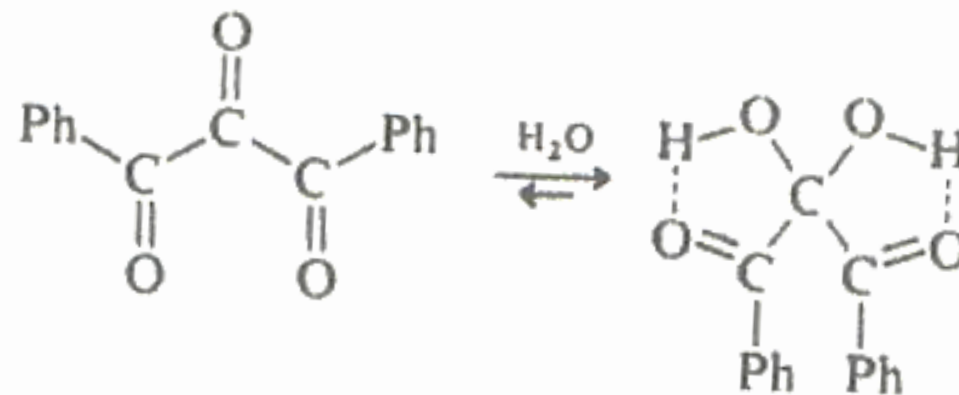
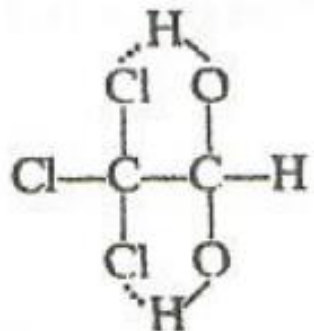
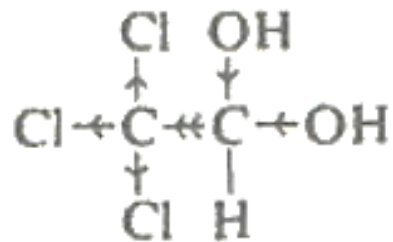
Some of the more stable gem-diols



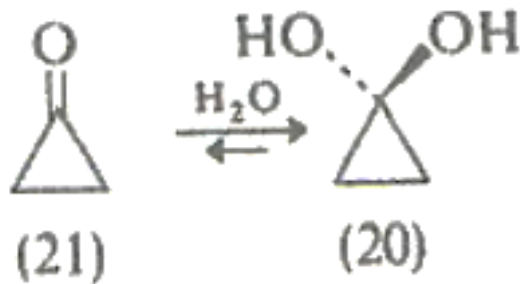
# Nucleophilic Addition to Carbonyl Group

## Some of the more stable gem-diols

### H-Bond

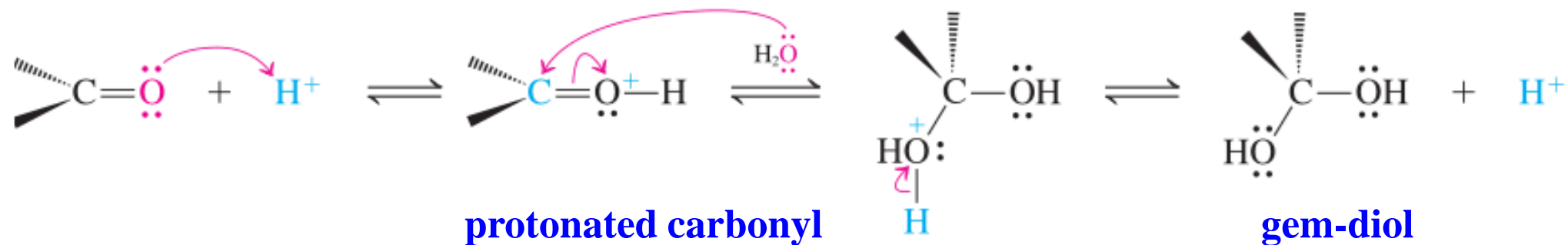


### Release of angle strain

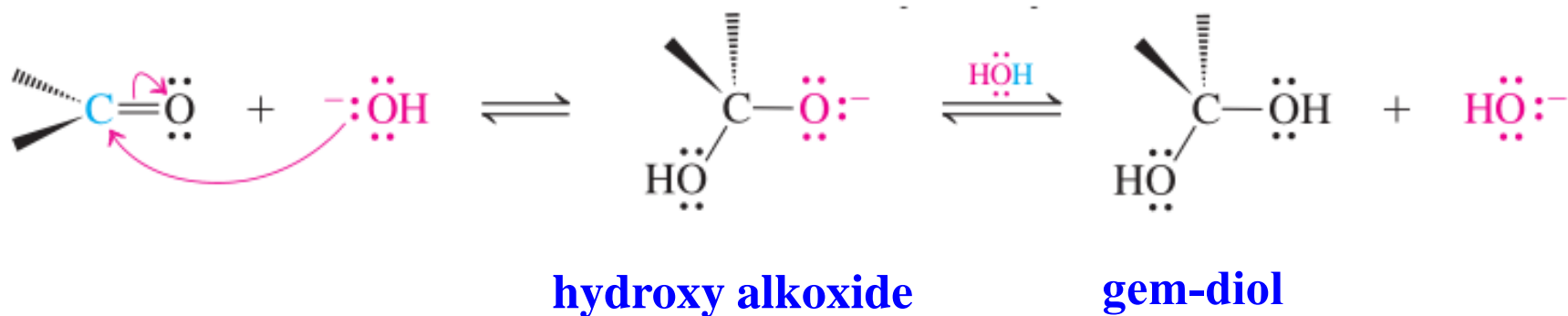


# Nucleophilic Addition to Carbonyl Group

## Mechanism of Acid-Catalyzed Hydration



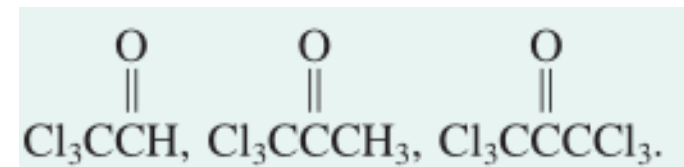
## Mechanism of Base-Catalyzed Hydration



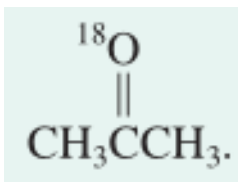
# Exercise 1

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Rank in order of increasing favorability of hydration:



Treatment of acetone with  $\text{H}_2^{18}\text{O}$  and catalytic amount of  $\text{HCl}$  results in the formation of labeled acetone,

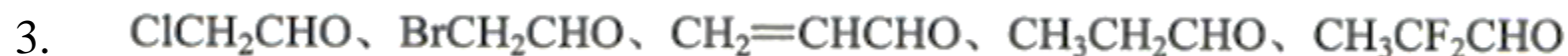


Explain. (Hint: hydration is reversible)

## Exercise 2

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Rank in order of decreasing favorability of nucleophilic addition.



# Chapter 3

## Nucleophilic Addition to Carbonyl Group

羰基的亲核加成

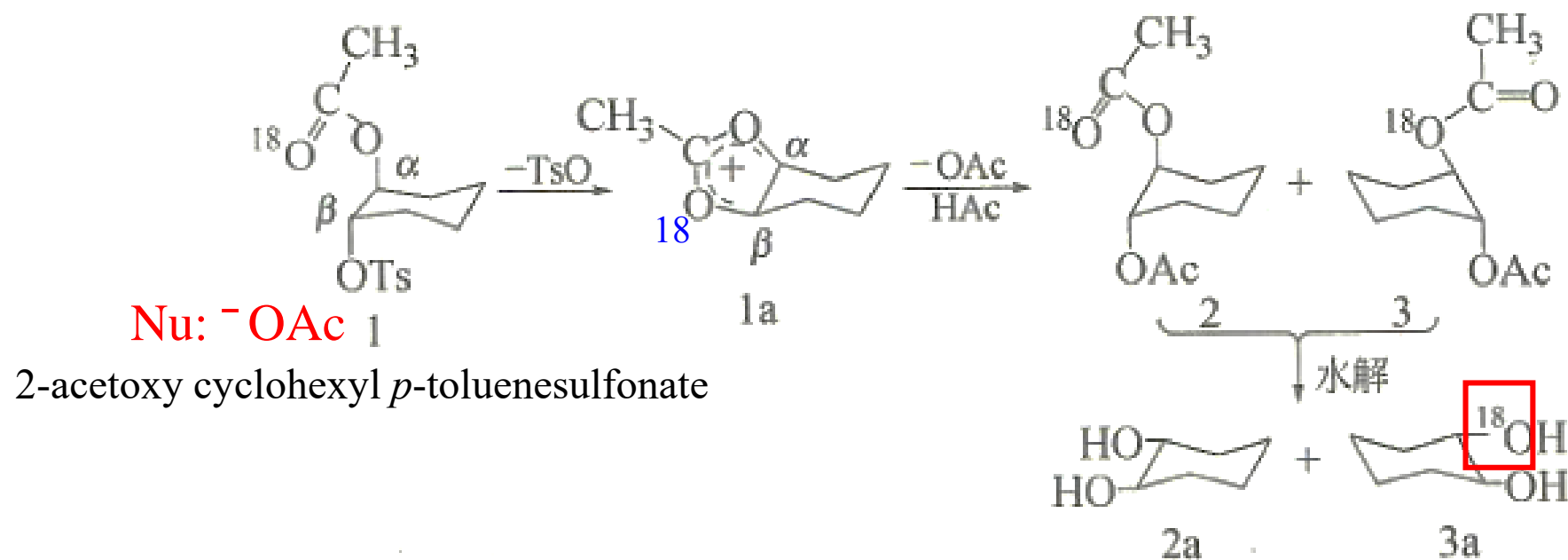
Qiong Li

April 15, 2024

# The Neighboring-Group Mechanism

例3：1-O-乙酰基-2-O-对甲苯磺酰基-反-1, 2-环己醇的乙酸解（同位素标记）（羰基作为邻近基团）

Solvolysis of 2-acetoxy cyclohexyl *p*-toluenesulfonate



The rates of solvolysis of the cis and trans isomer of 2-acetoxy cyclohexyl *p*-toluenesulfonate differ by a factor of about 670, the trans isomer being more reactive.



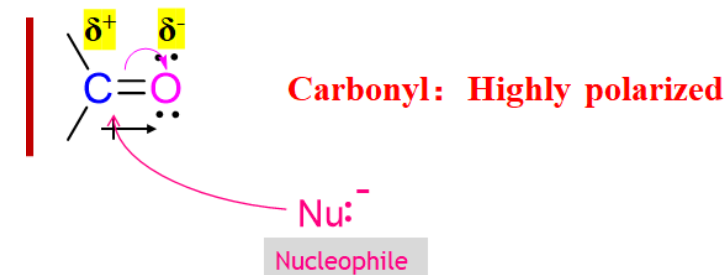


# Chapter 3: Nucleophilic Addition to Carbonyl Group



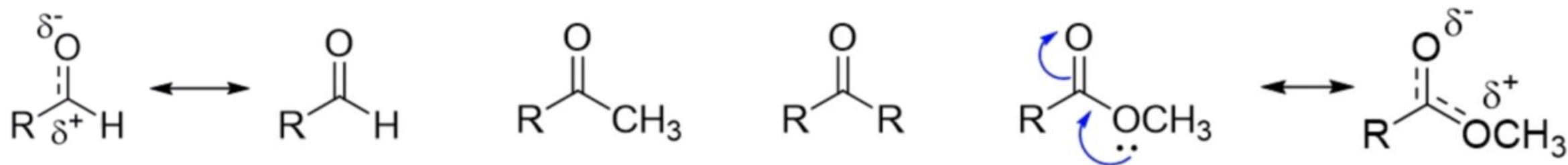
## Content

1. Mechanism and Reactivity
2. Nucleophilic addition reactions of carbonyl groups
3. Nucleophilic addition on other unsaturated bonds
4. Diastereoselectivity in nucleophilic addition reactions



# Nucleophilic Addition to Carbonyl Group

## Structure and Reactivity



Electronic effect

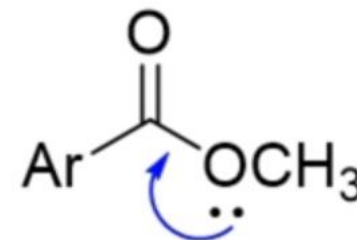
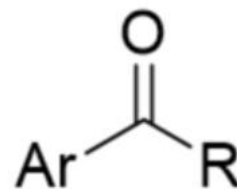
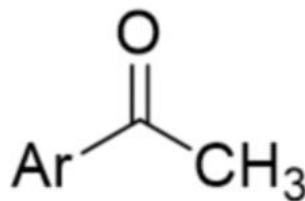
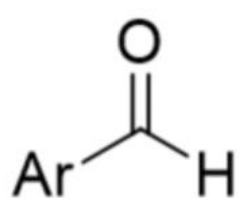


↑ reactivity

Steric effect

R, R' Steric hindrance ↗

↑ reactivity ↘



# Nucleophilic Addition to Carbonyl Group

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## 3.2.2 Typical nucleophilic addition reactions

**Nucleophile:**

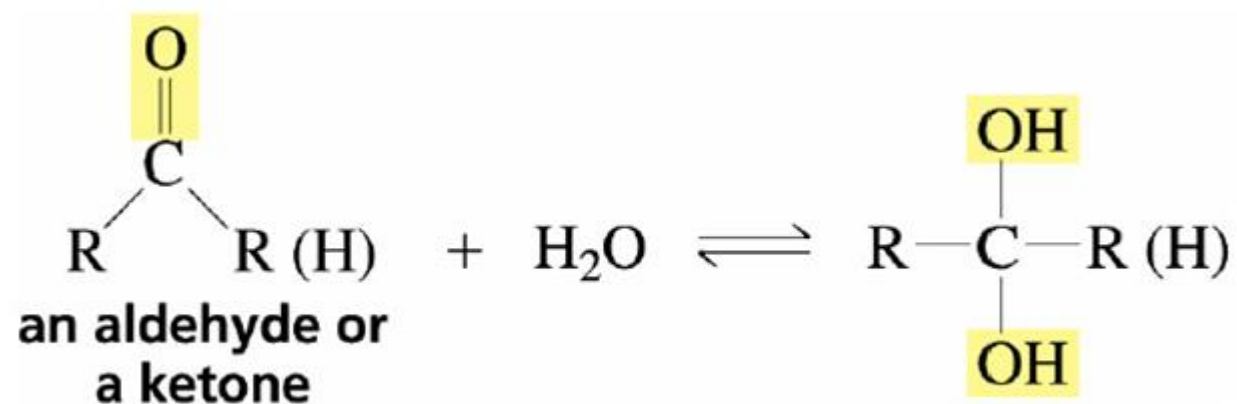
**Heteroatoms:** O, S, N. . .

**Carbon atoms:** carboanion, cyanide, enolate,  
enol, enamine. . .

# Nucleophilic Addition to Carbonyl Group

## a. with oxygen nucleophiles

### 1. The addition of $\text{H}_2\ddot{\text{O}}$ Hydration

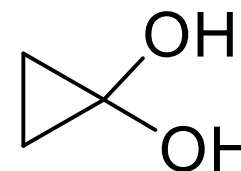
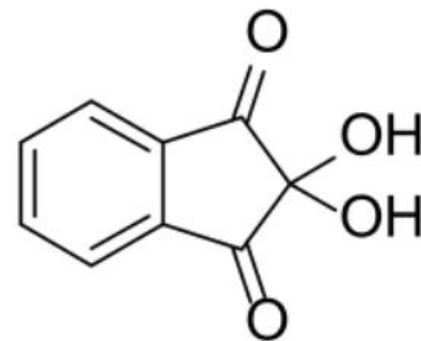
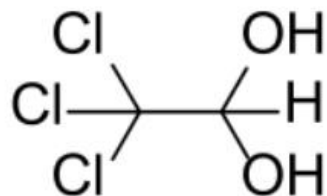
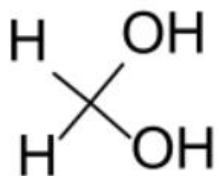


**geminal diol**      偕二醇  
**carbonyl hydrate**      羰基水合物

# Nucleophilic Addition to Carbonyl Group

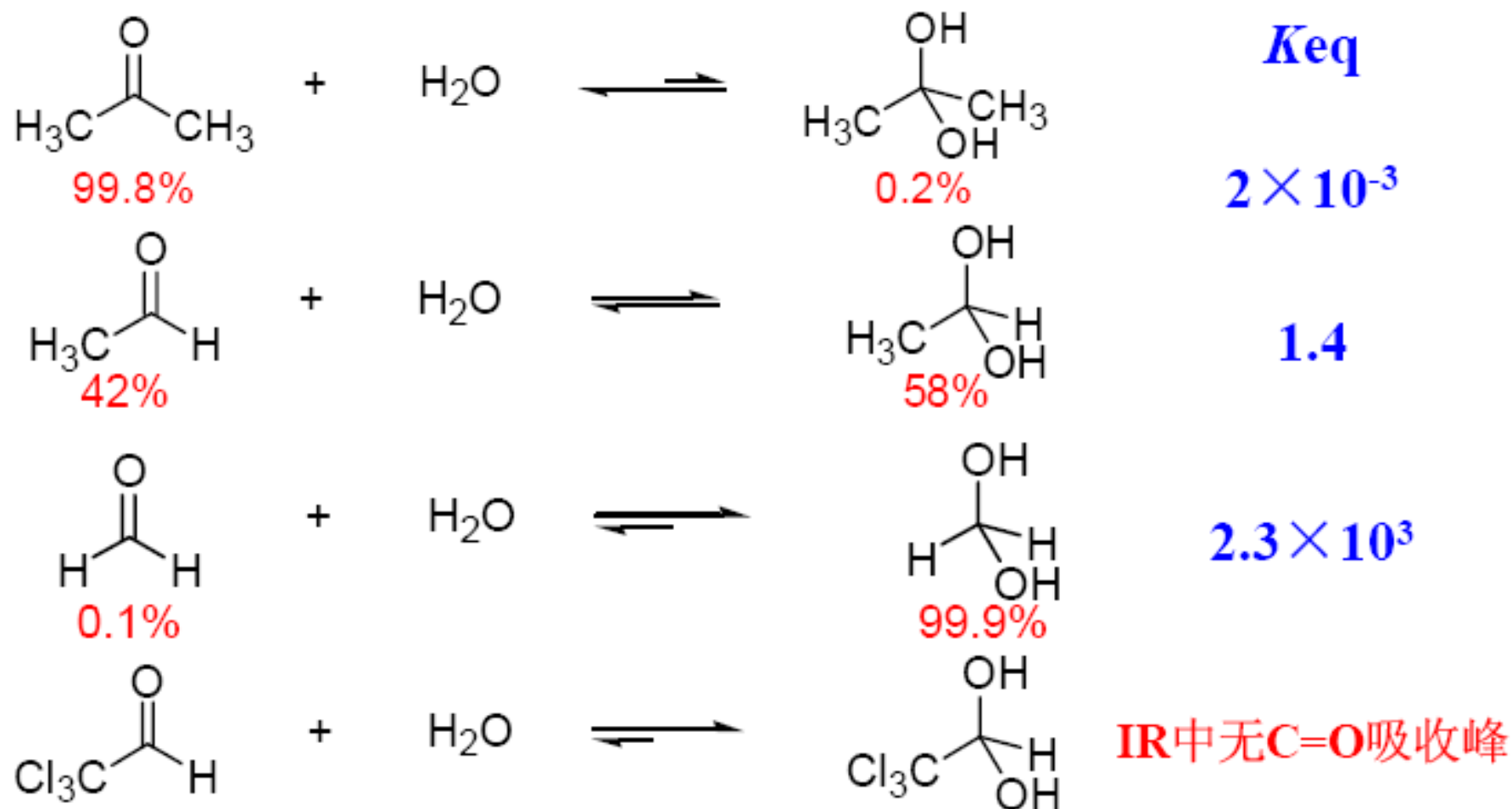
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Some of the more stable gem-diols



# Nucleophilic Addition to Carbonyl Group

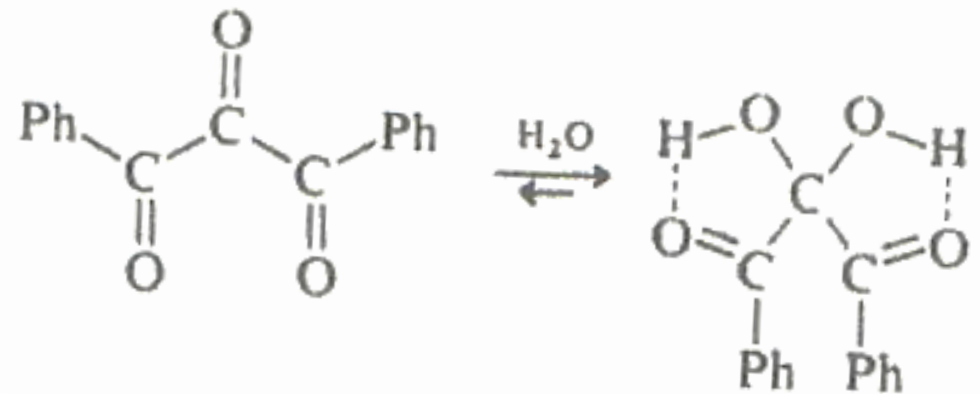
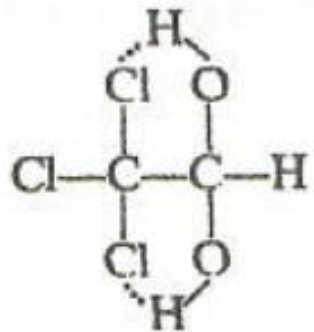
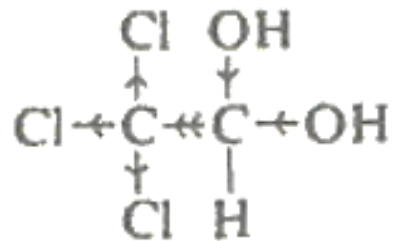
Hydration is reversible



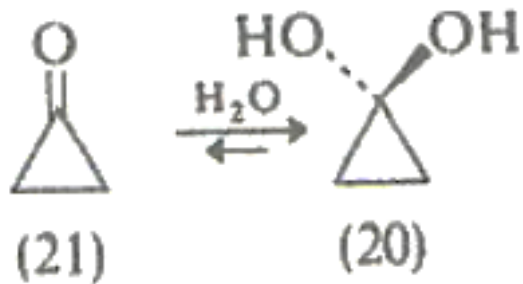
# Nucleophilic Addition to Carbonyl Group

## Some of the more stable gem-diols

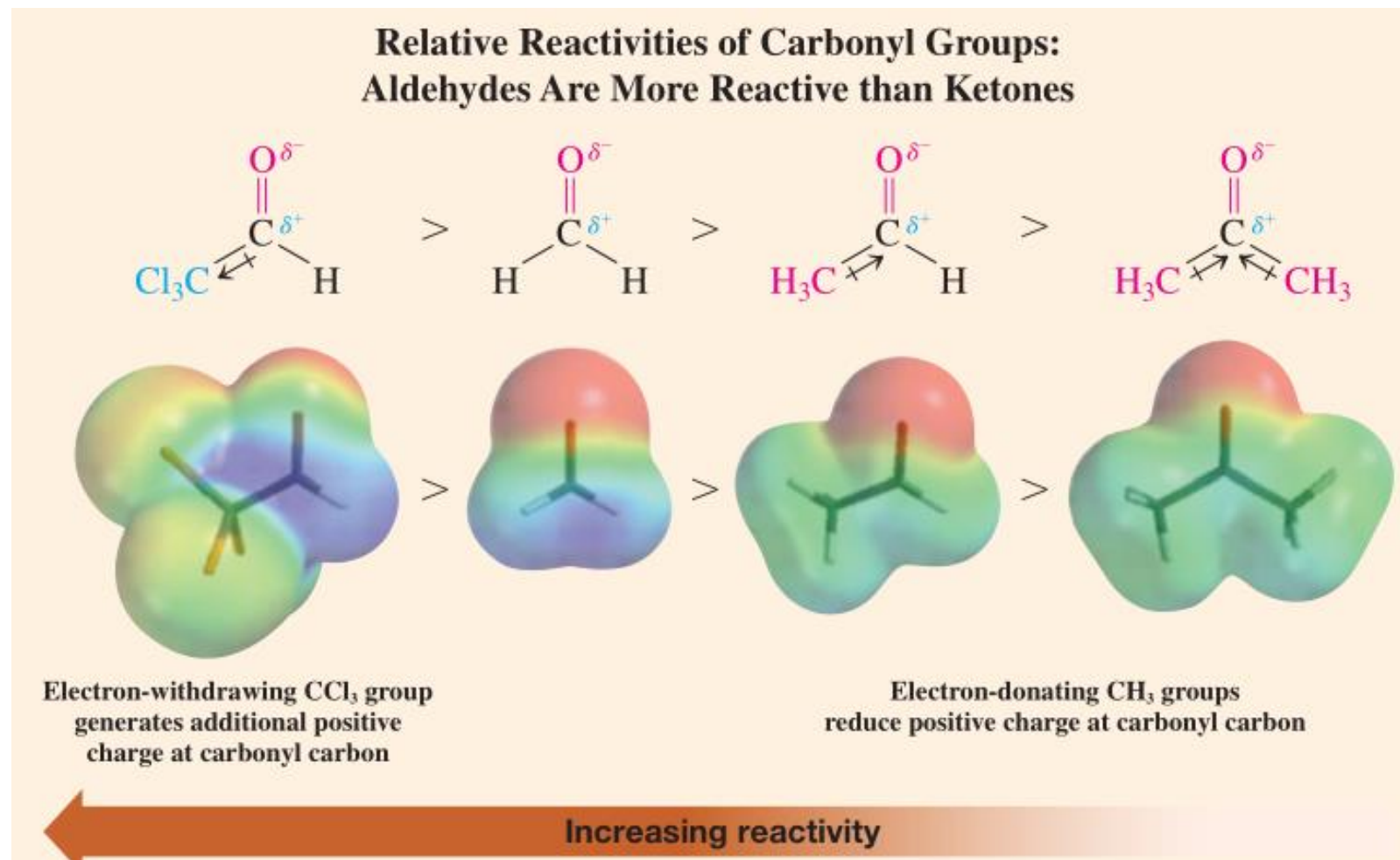
### H-Bond



### Release of angle strain



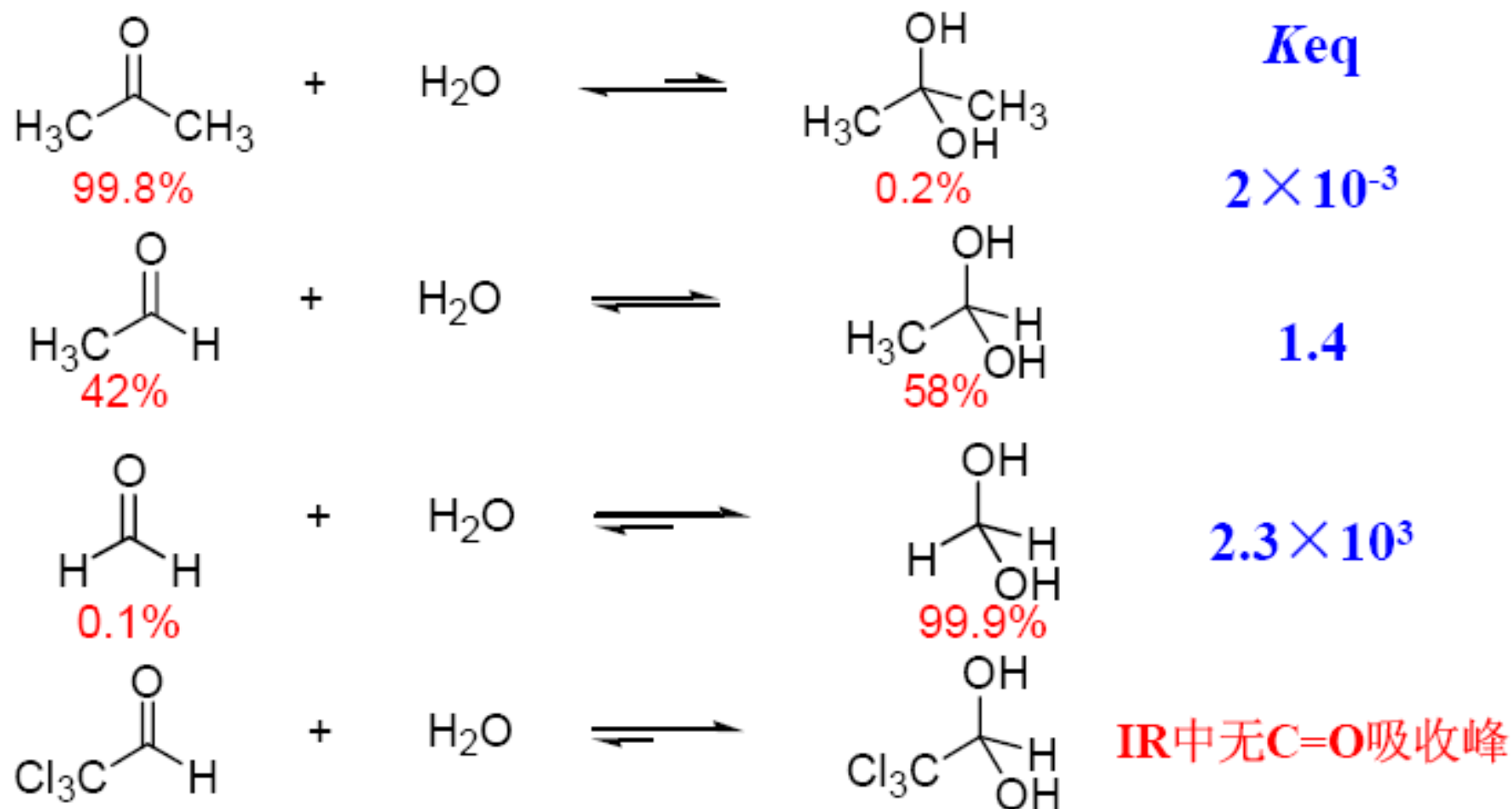
# Nucleophilic Addition to Carbonyl Group





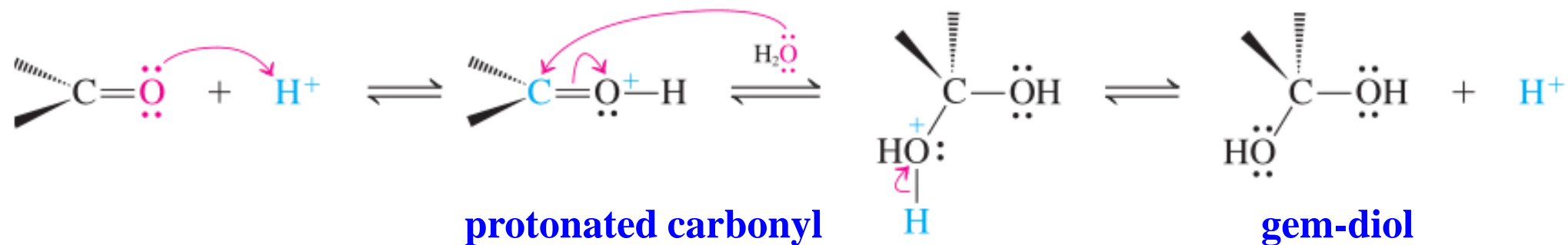
# Nucleophilic Addition to Carbonyl Group

## Hydration is reversible

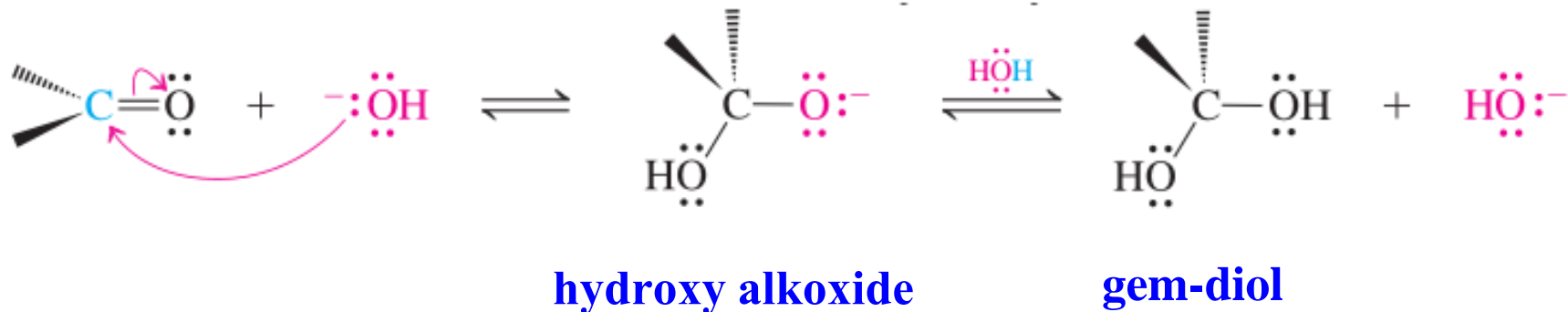


# Nucleophilic Addition to Carbonyl Group

## Mechanism of Acid-Catalyzed Hydration

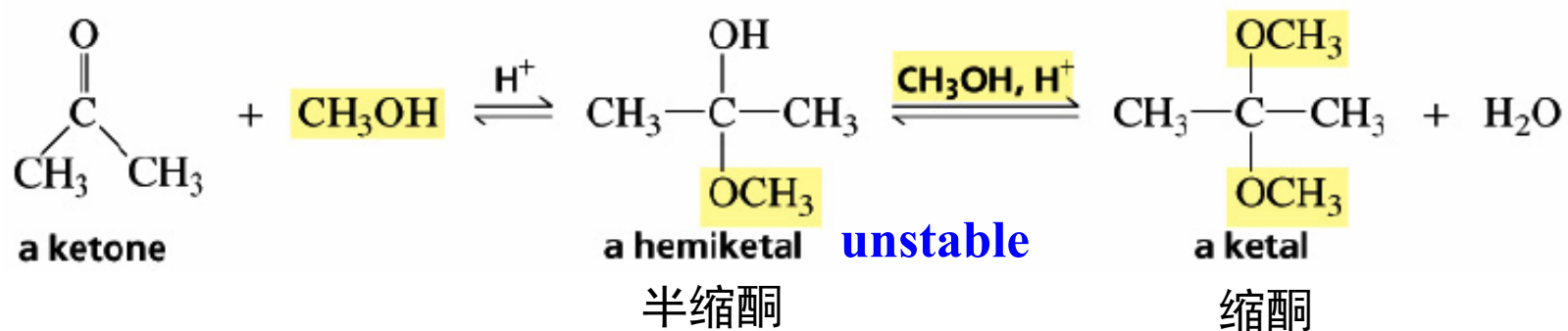
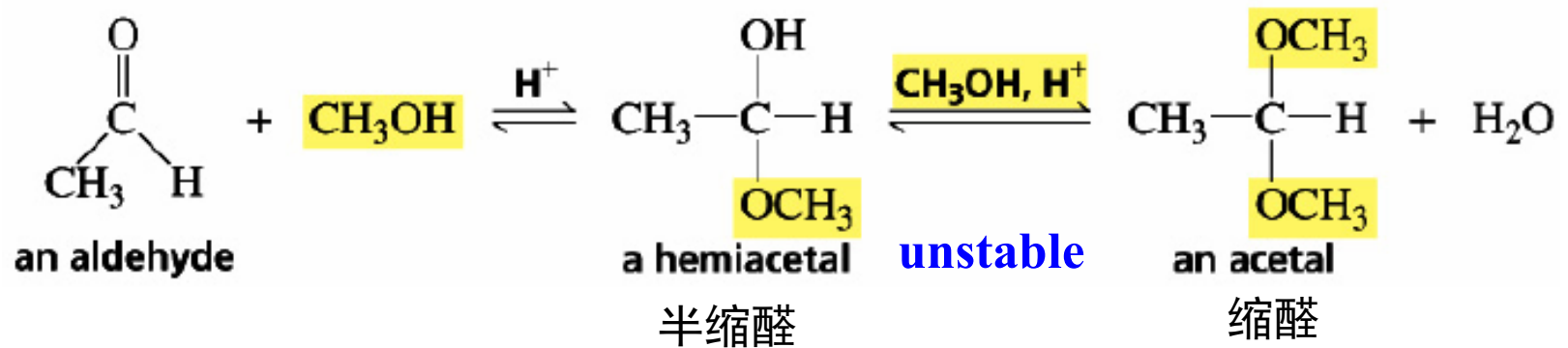


## Mechanism of Base-Catalyzed Hydration



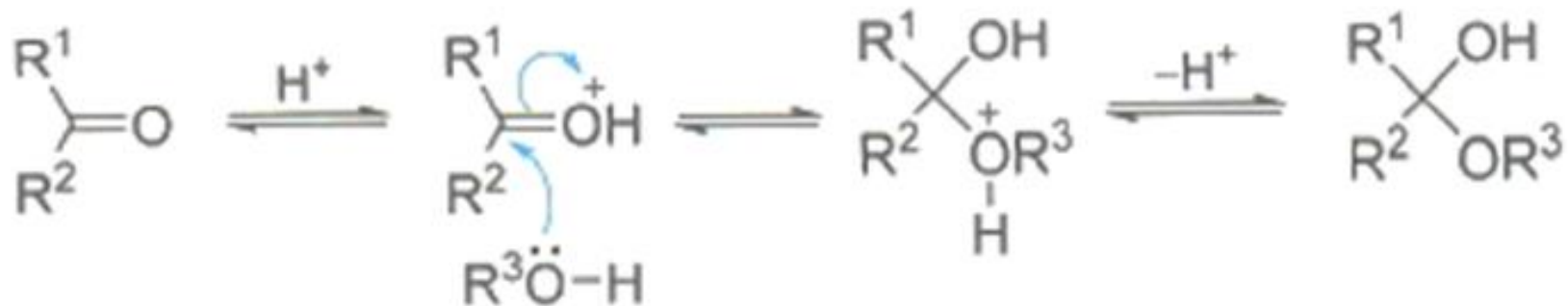
# Nucleophilic Addition to Carbonyl Group

## 2. The addition of $\text{R}\ddot{\text{O}}\text{H}$



# Nucleophilic Addition to Carbonyl Group

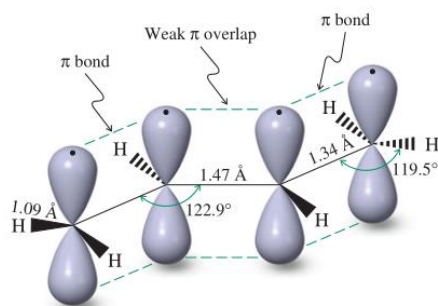
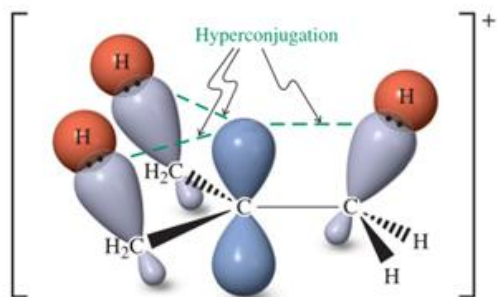
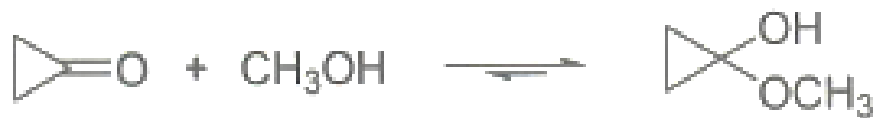
## Mechanism of forming hemiacetal



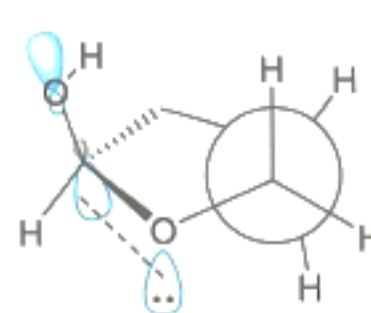
hemiacetal (hemiketal)

# Nucleophilic Addition to Carbonyl Group

## Some stable hemiacetal

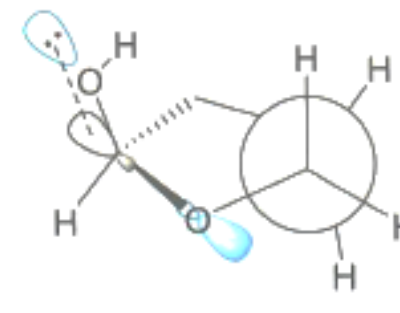


## Release of angle strain



$n \rightarrow \sigma^*(\text{C-O})$

内型端基效应

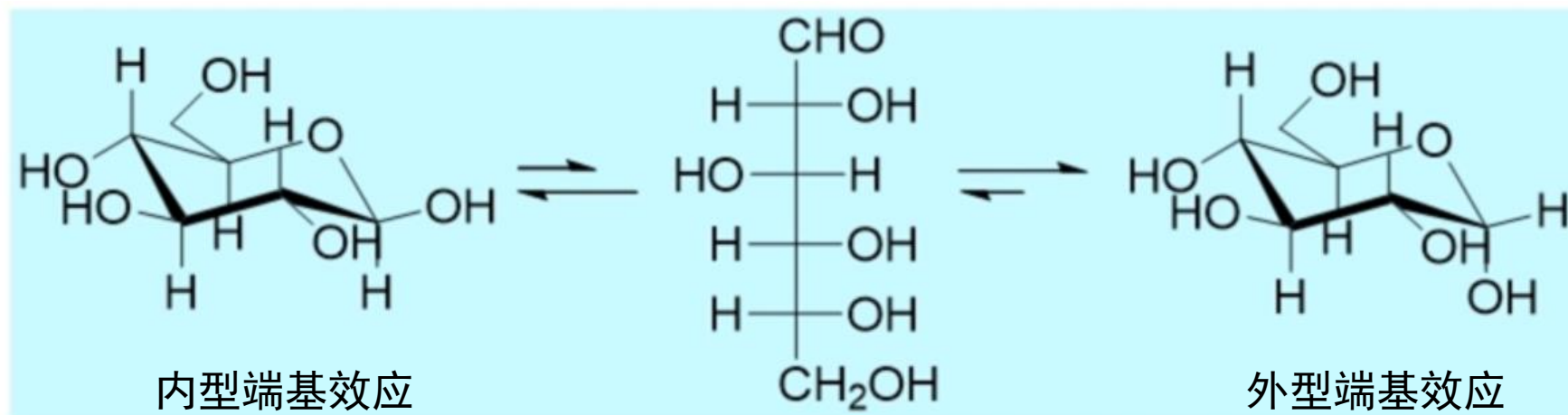


$n \rightarrow \sigma^*(\text{C-O})$

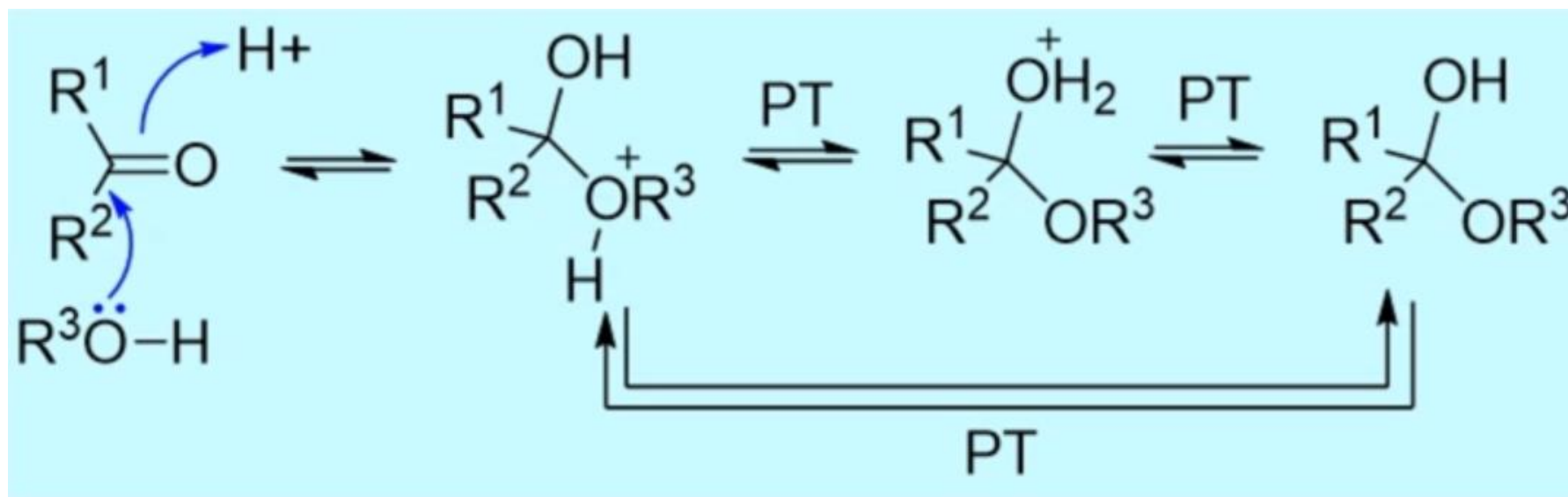
外型端基效应

**$n - \sigma^*$  hyperconjugation**

# Nucleophilic Addition to Carbonyl Group

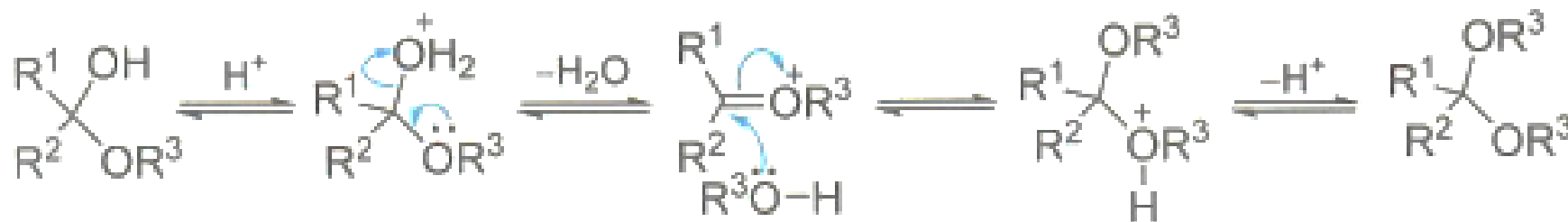


**$n-\sigma^*$  hyperconjugation**



# Nucleophilic Addition to Carbonyl Group

## Mechanism of forming acetal

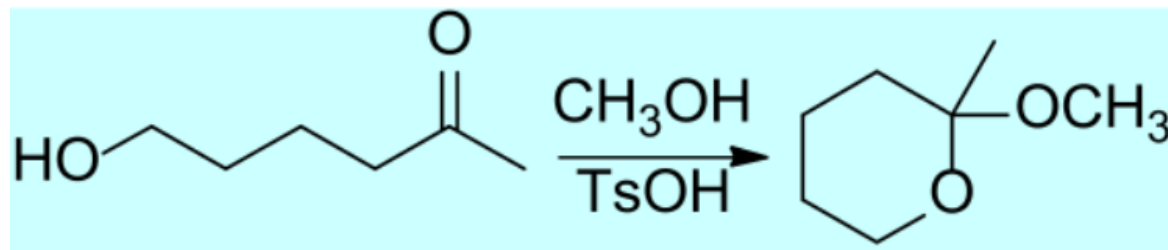


acetal (ketal)

## Exercise 3

---

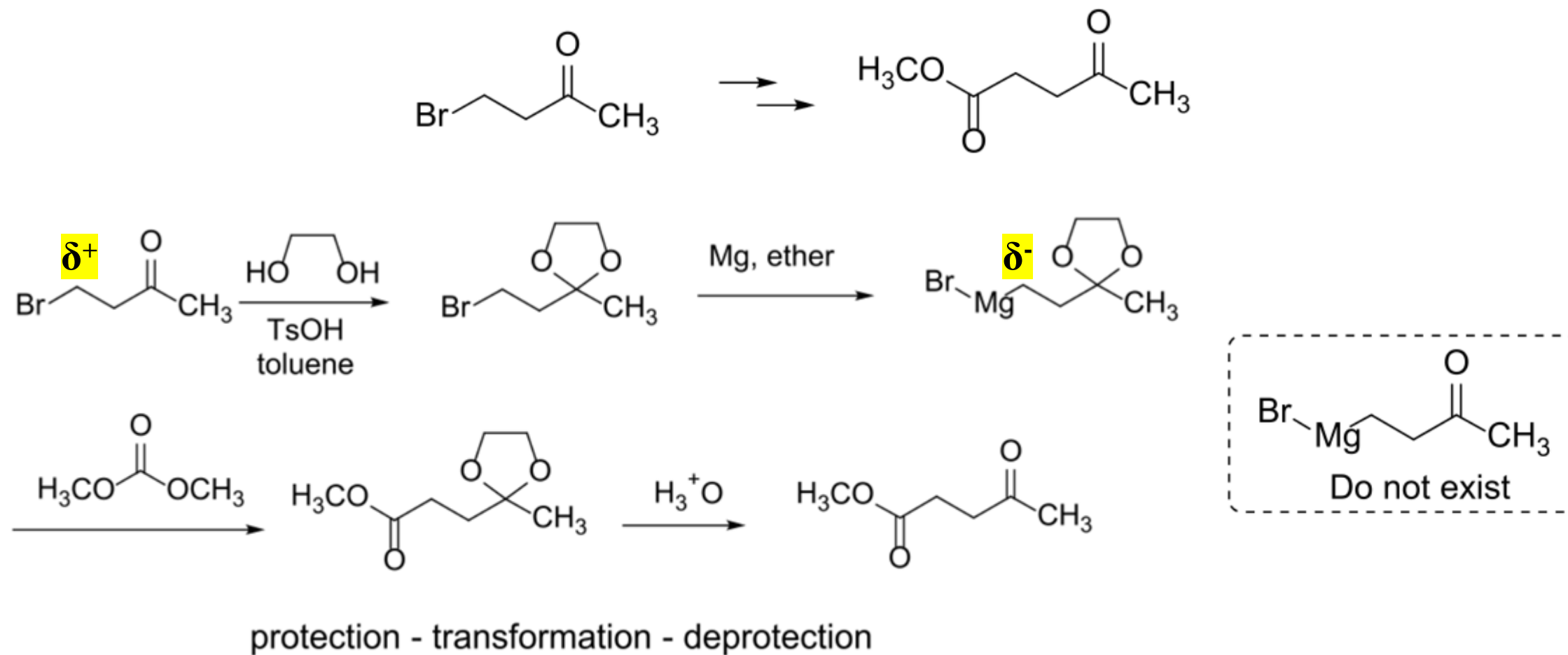
Provide the mechanism for the reaction below





# Nucleophilic Addition to Carbonyl Group

## Application: Protection of Carbonyl (Acetals as Protecting Groups)

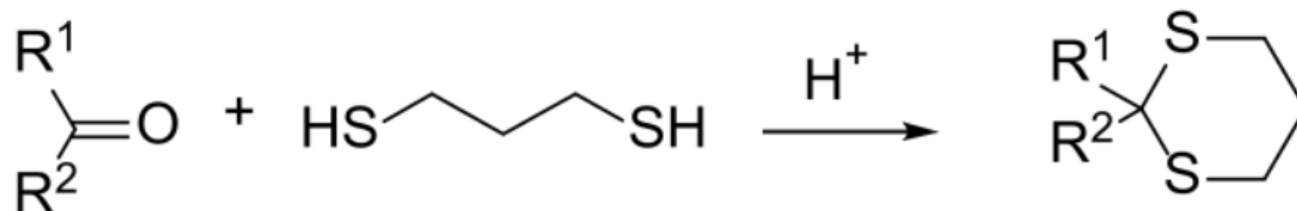


# Nucleophilic Addition to Carbonyl Group

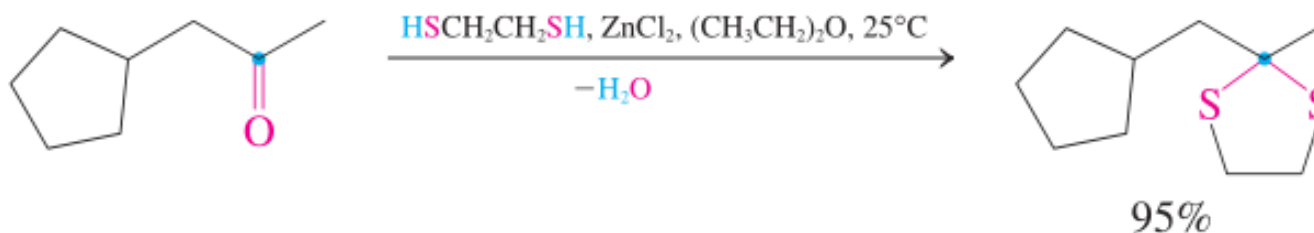
## b. with sulfur nucleophiles

### 1. The addition of $\text{R}\ddot{\text{S}}\text{H}$ $\text{R}\ddot{\text{O}}\text{H}$

Thiols react with the carbonyl group to form thioacetals

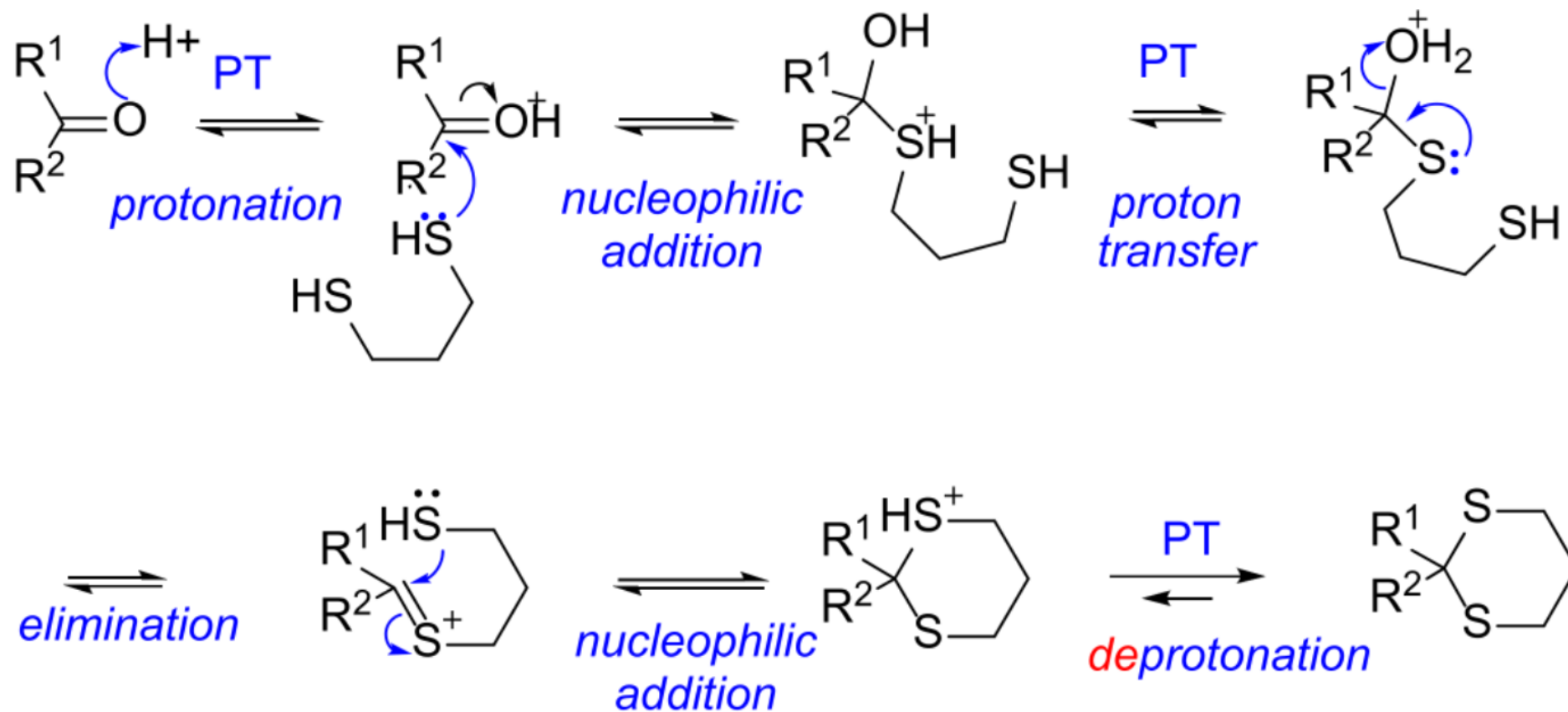


thioacetal (thioacetal)



# Nucleophilic Addition to Carbonyl Group

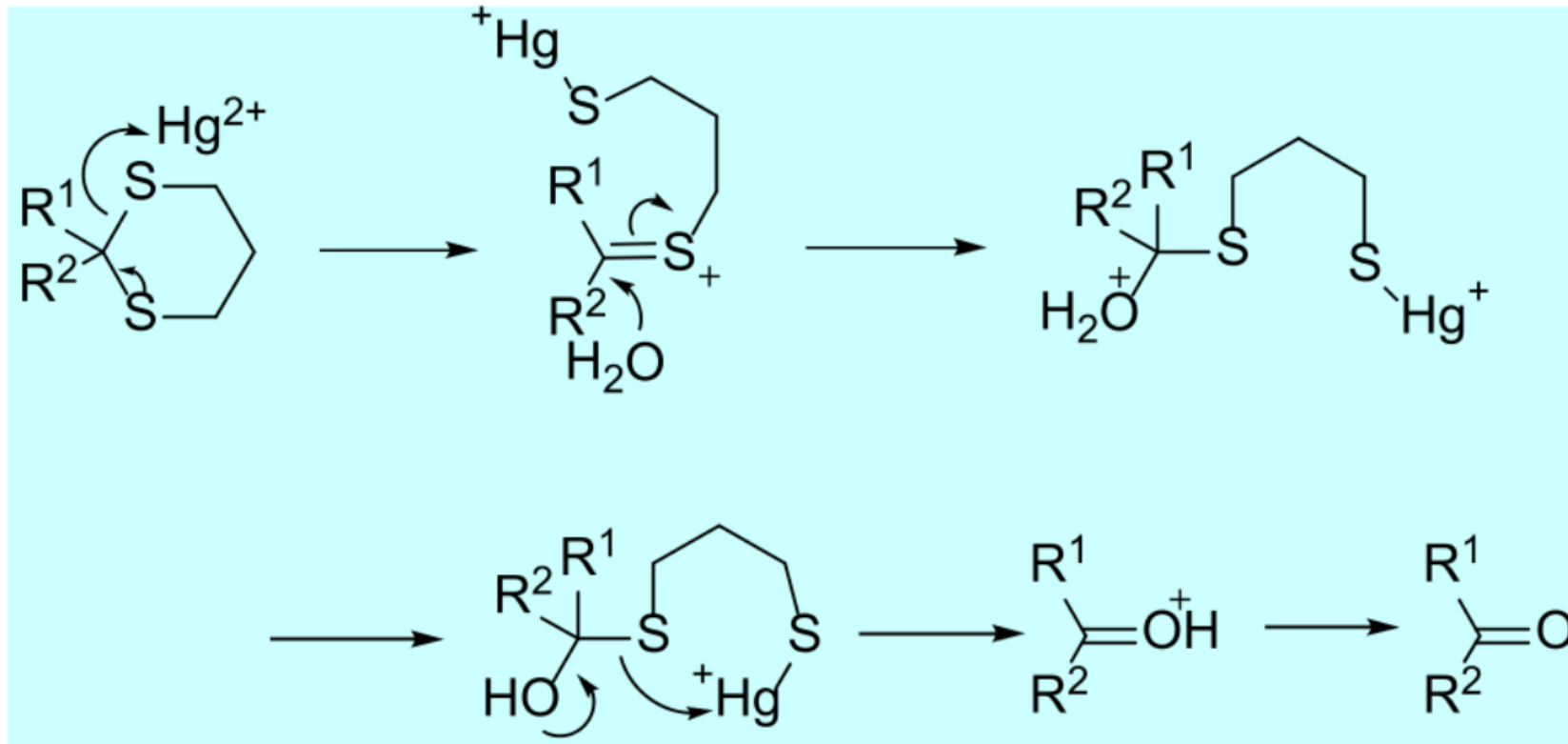
## Mechanism of forming thioacetal



# Nucleophilic Addition to Carbonyl Group

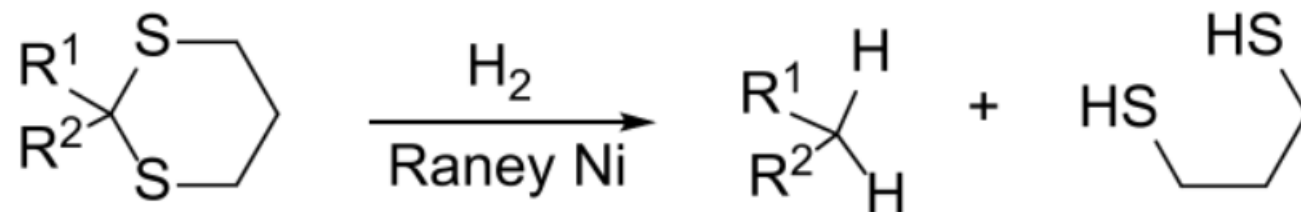
## Application 1: Protection of Carbonyl (thioacetals as Protecting Groups)

# Deprotection

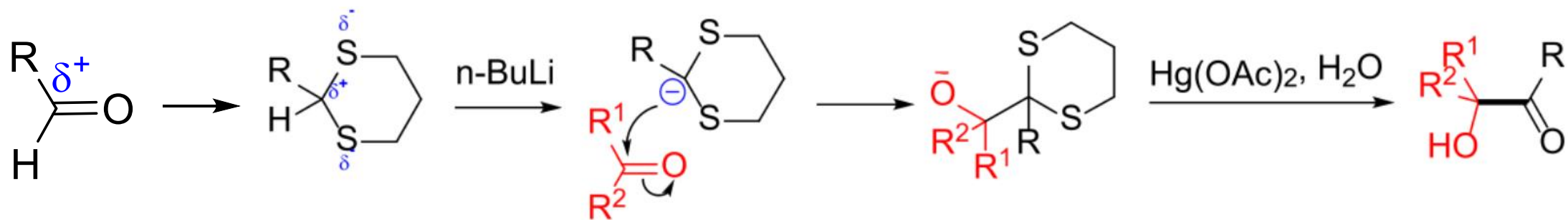


# Nucleophilic Addition to Carbonyl Group

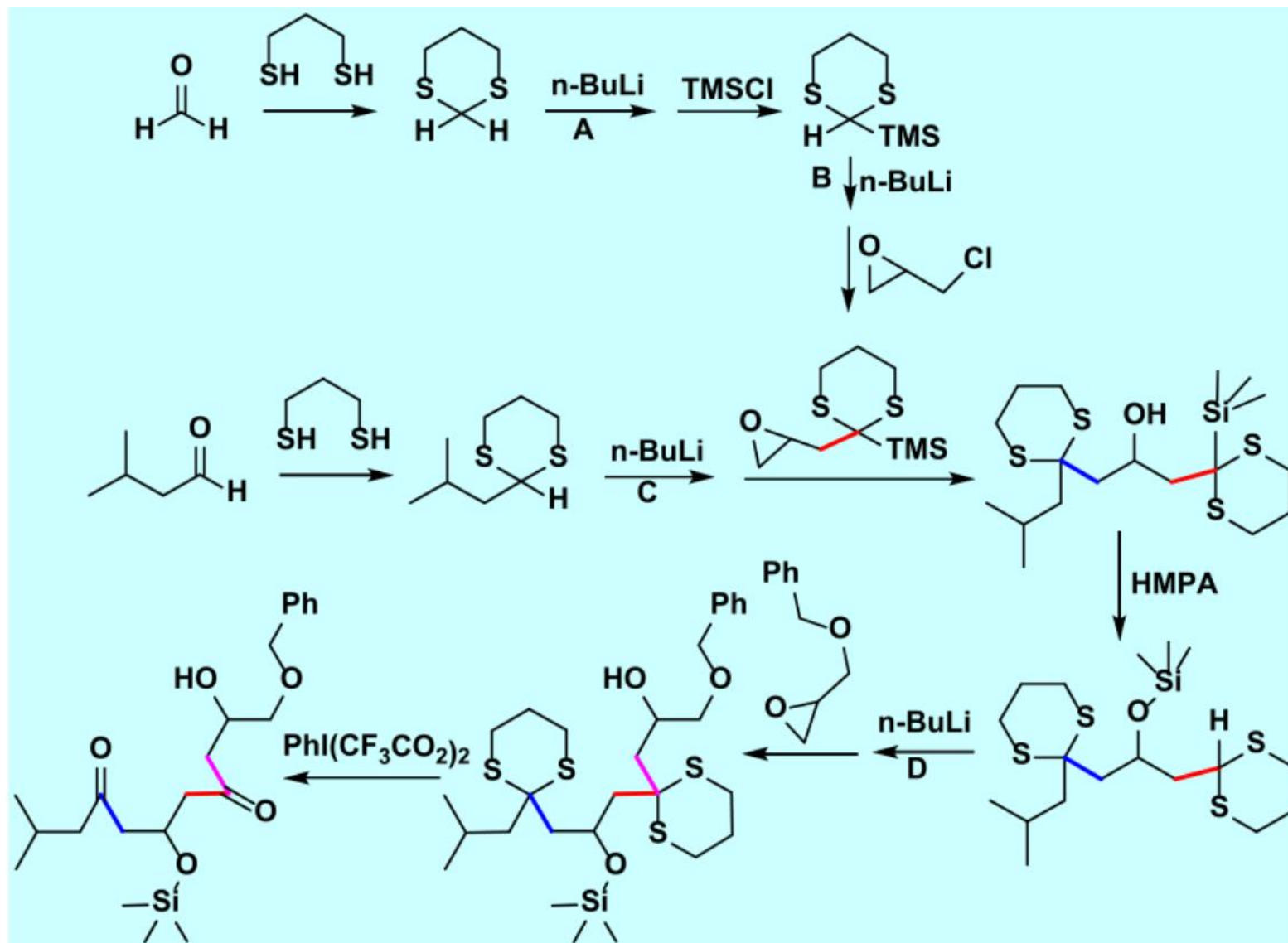
## Application 2: Reduction of the carbonyl group to methylene



## Application 3: Umpolung



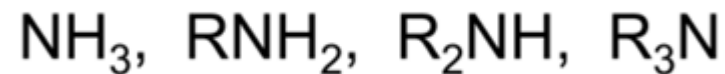
# Nucleophilic Addition to Carbonyl Group



Smith A. B., [\*Journal of the American Chemical Society\*](#), 2006, **128** (1): 66–67

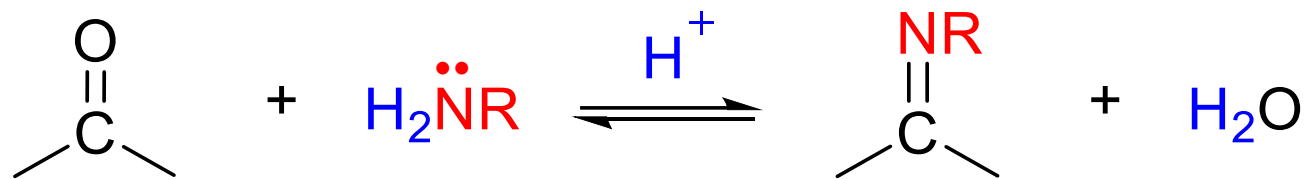
# Nucleophilic Addition to Carbonyl Group

## c. with nitrogen nucleophiles



### 1. Nucleophilic addition of Ammonia and Its Derivatives

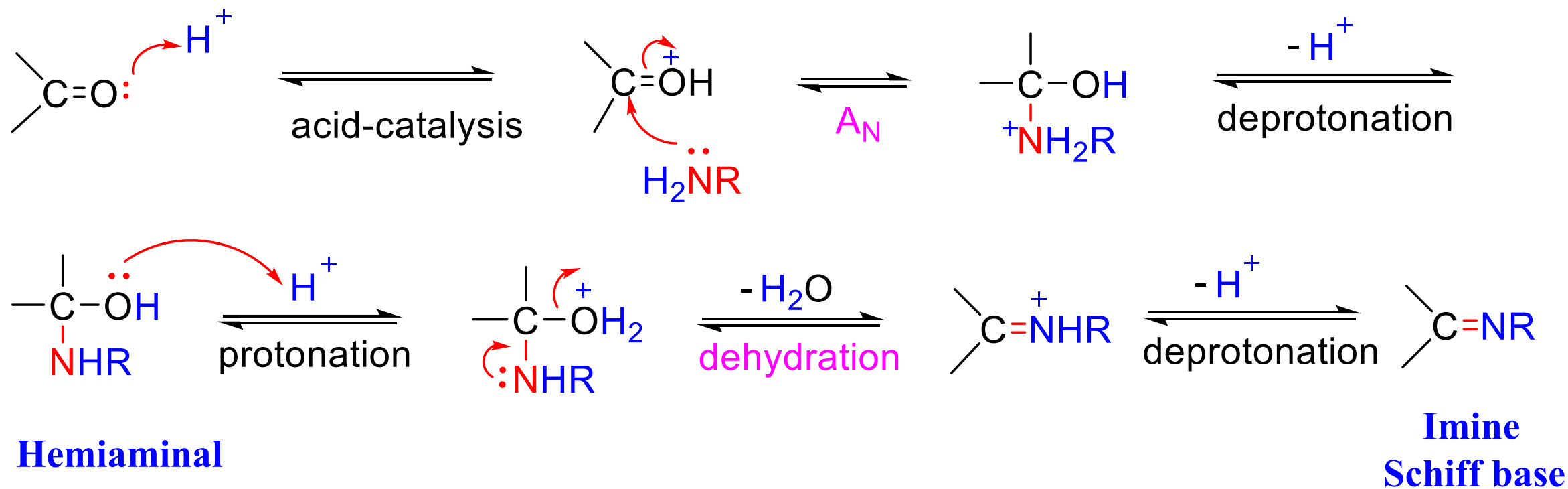
a. ammonia and primary amines form imines  $\ddot{\text{N}}\text{H}_3$  and  $\ddot{\text{R}}\text{NH}_2$



Imine (Schiff base)

# Nucleophilic Addition to Carbonyl Group

## Mechanism of Imine Formation from primary amines and aldehydes or ketones

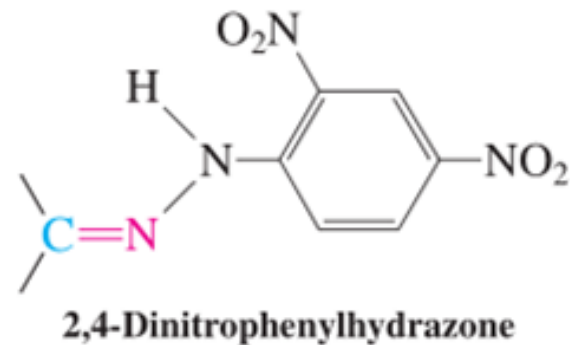
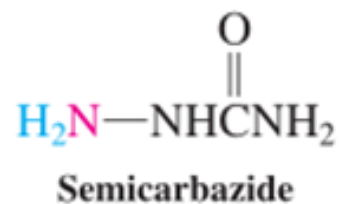
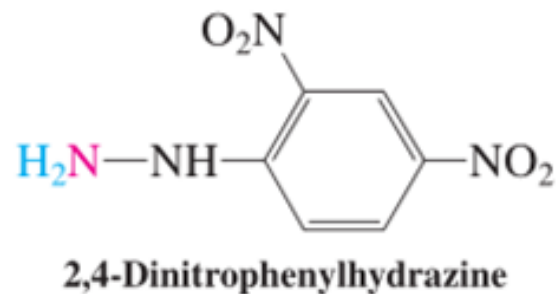




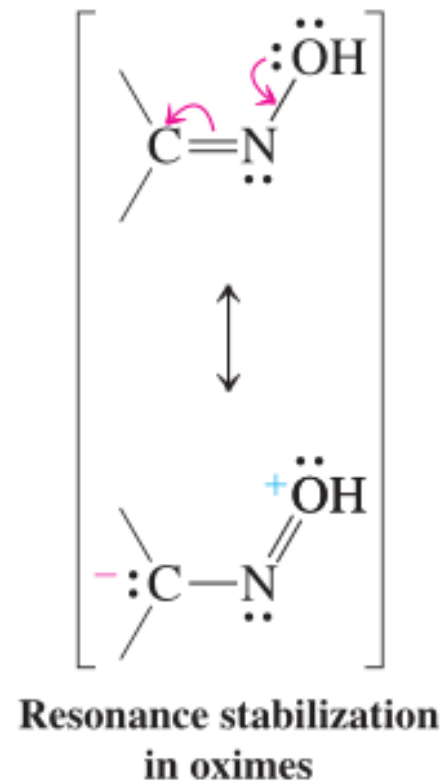
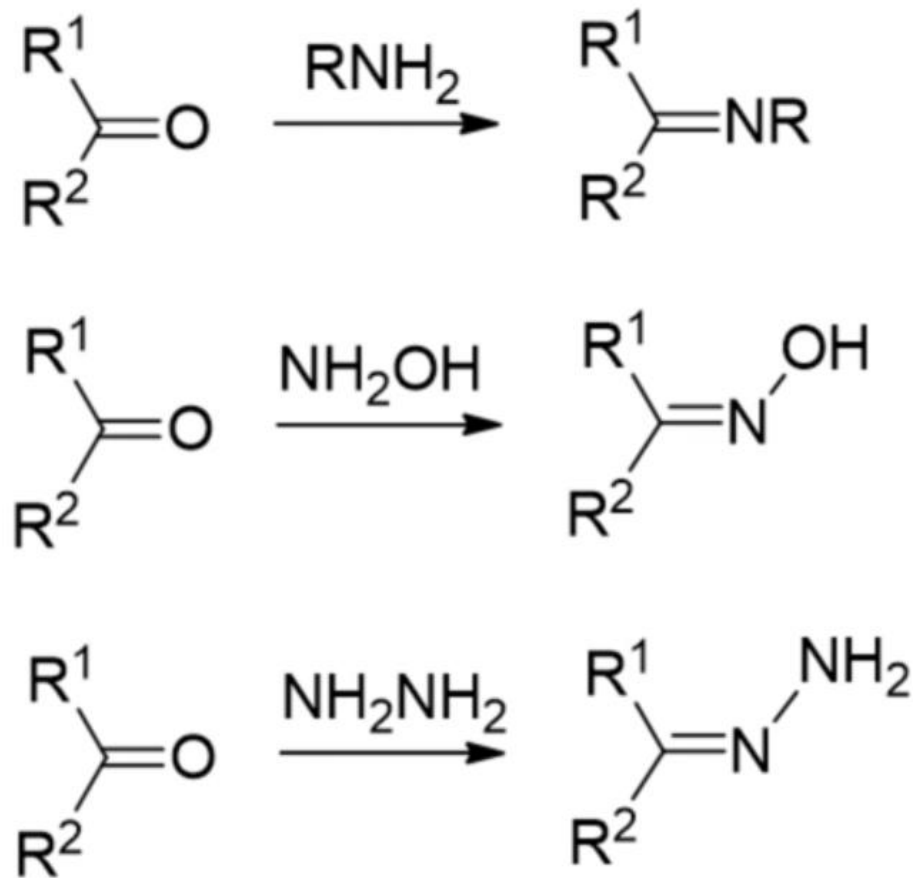
# Nucleophilic Addition to Carbonyl Group

b. With ammonia derivatives  $\text{:}\ddot{\text{N}}\text{H}_2\text{Y}$

$\text{H}_2\text{NOH}$   
Hydroxylamine



# Nucleophilic Addition to Carbonyl Group

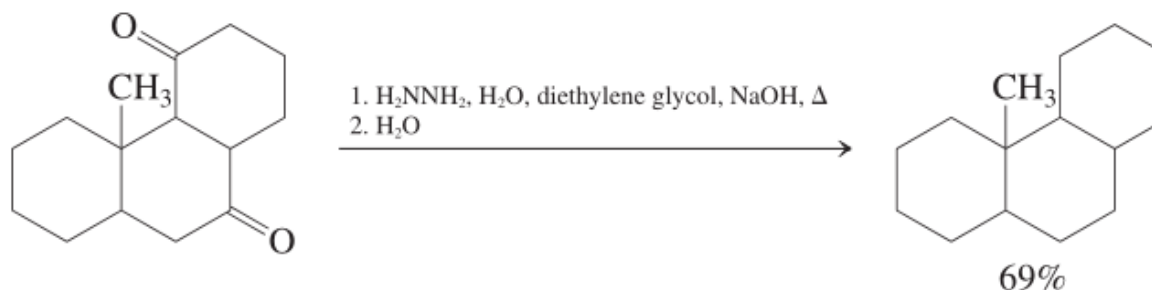
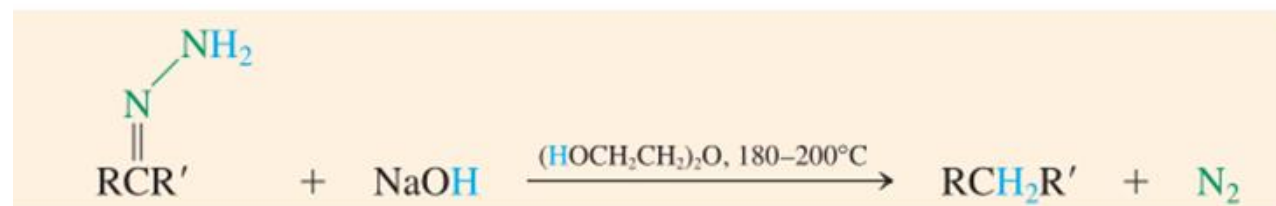
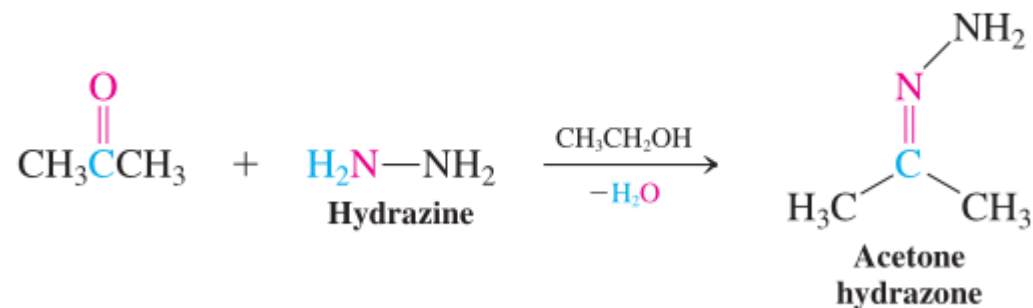


# Nucleophilic Addition to Carbonyl Group

## Application 1: Reduction of the carbonyl group to methylene Deoxygenation of the Carbonyl Group

### Wolff-Kishner-Huang Reduction

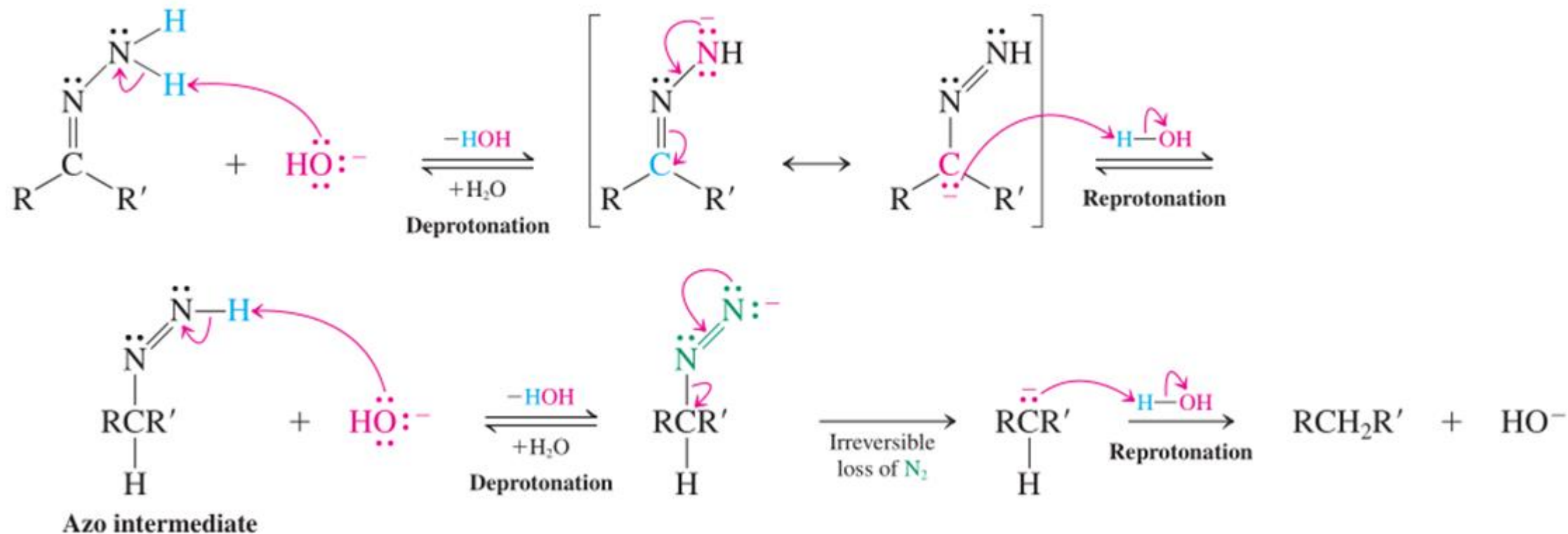
#### Synthesis of a Hydrazone



# Nucleophilic Addition to Carbonyl Group

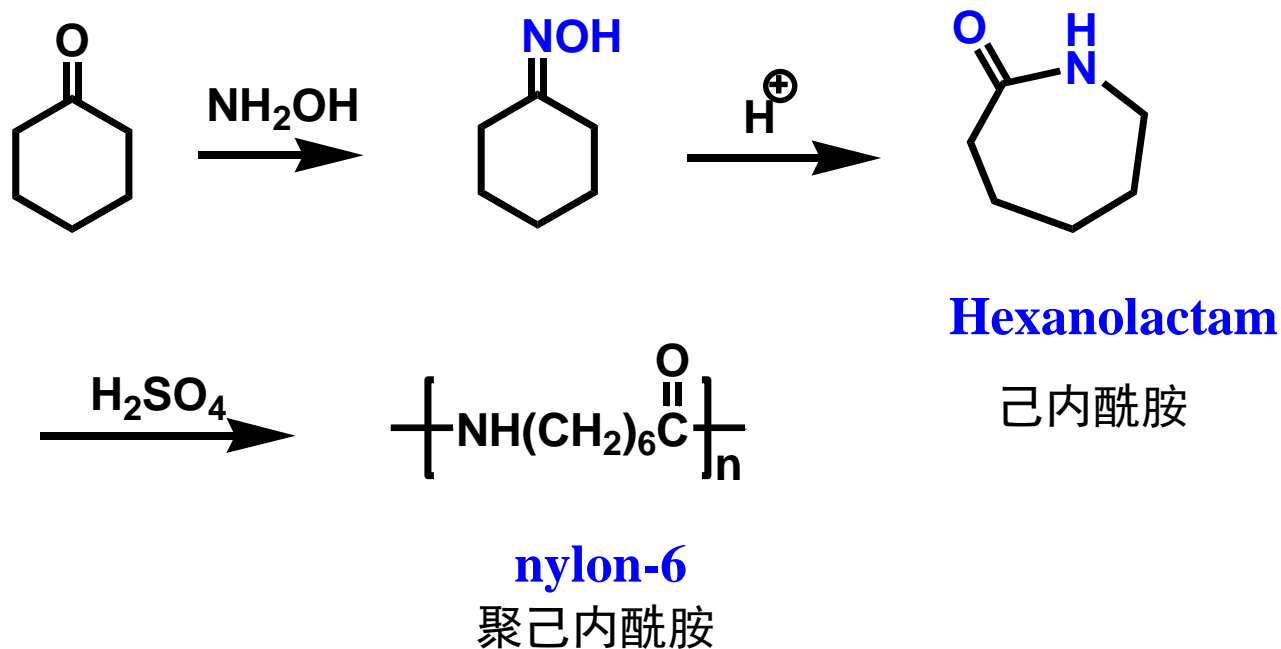
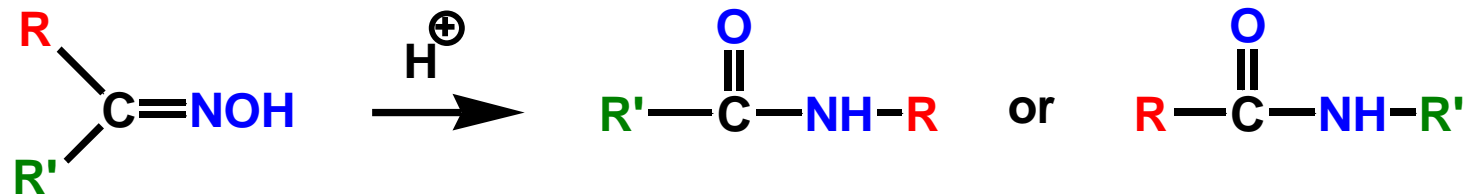
## Mechanism of Nitrogen Elimination in the Wolff-Kishner-Huang Reduction

The mechanism of nitrogen elimination includes a sequence of base-mediated hydrogen shifts.



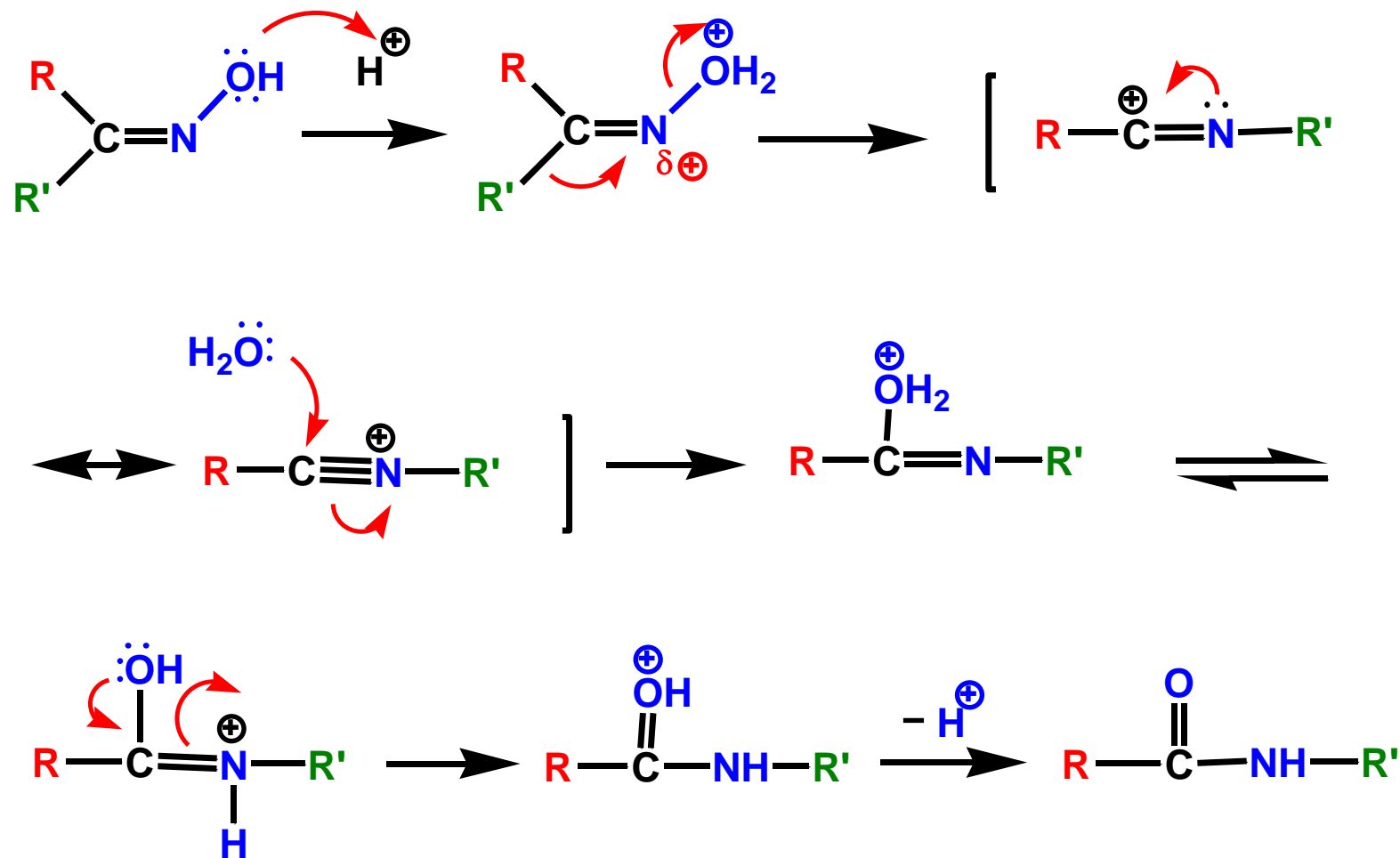
# Nucleophilic Addition to Carbonyl Group

## Application 2: Beckmann Rearrangement



# Nucleophilic Addition to Carbonyl Group

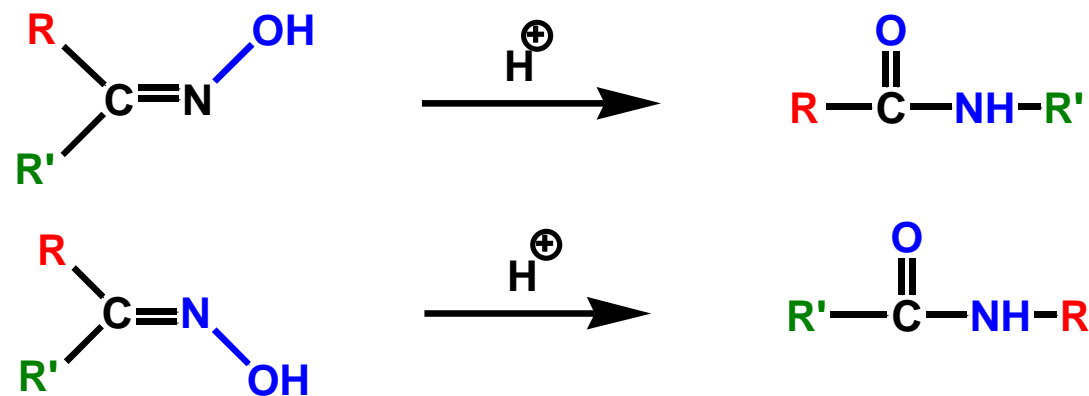
## Mechanism of Beckmann Rearrangement



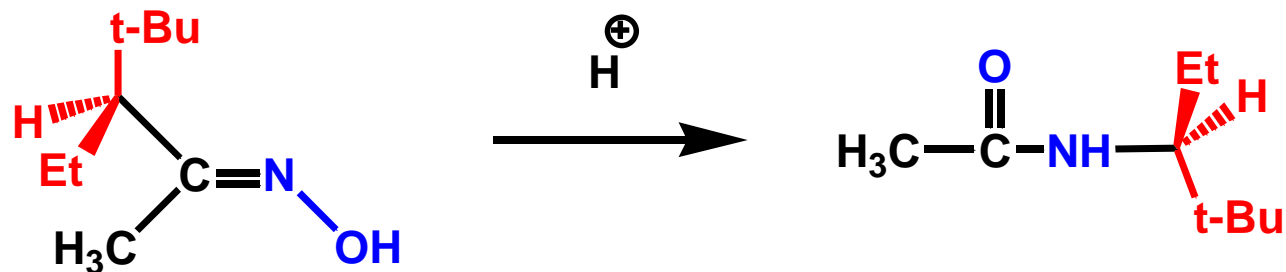
# Nucleophilic Addition to Carbonyl Group

## Stereochemistry of Beckmann Rearrangement

Trans co-migration-elimination



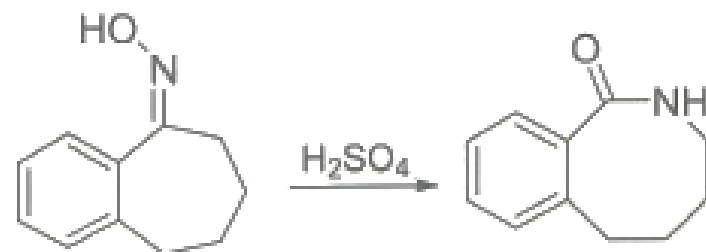
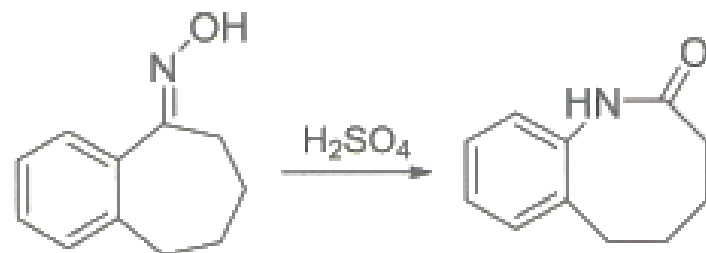
The configuration of the migrating group remained.



## Exercise 5

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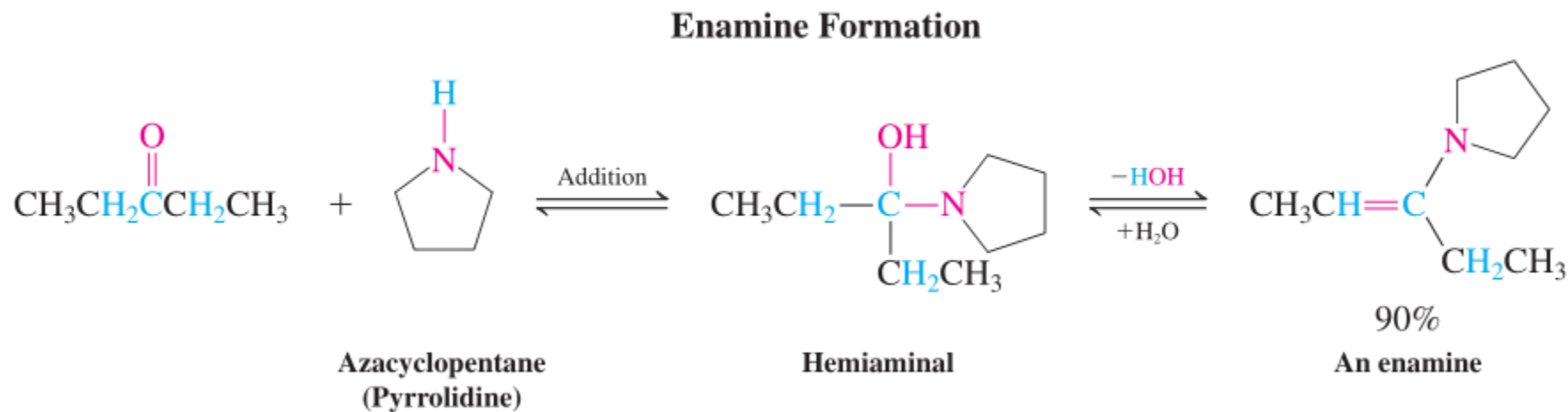
Propose the mechanisms for the reactions below





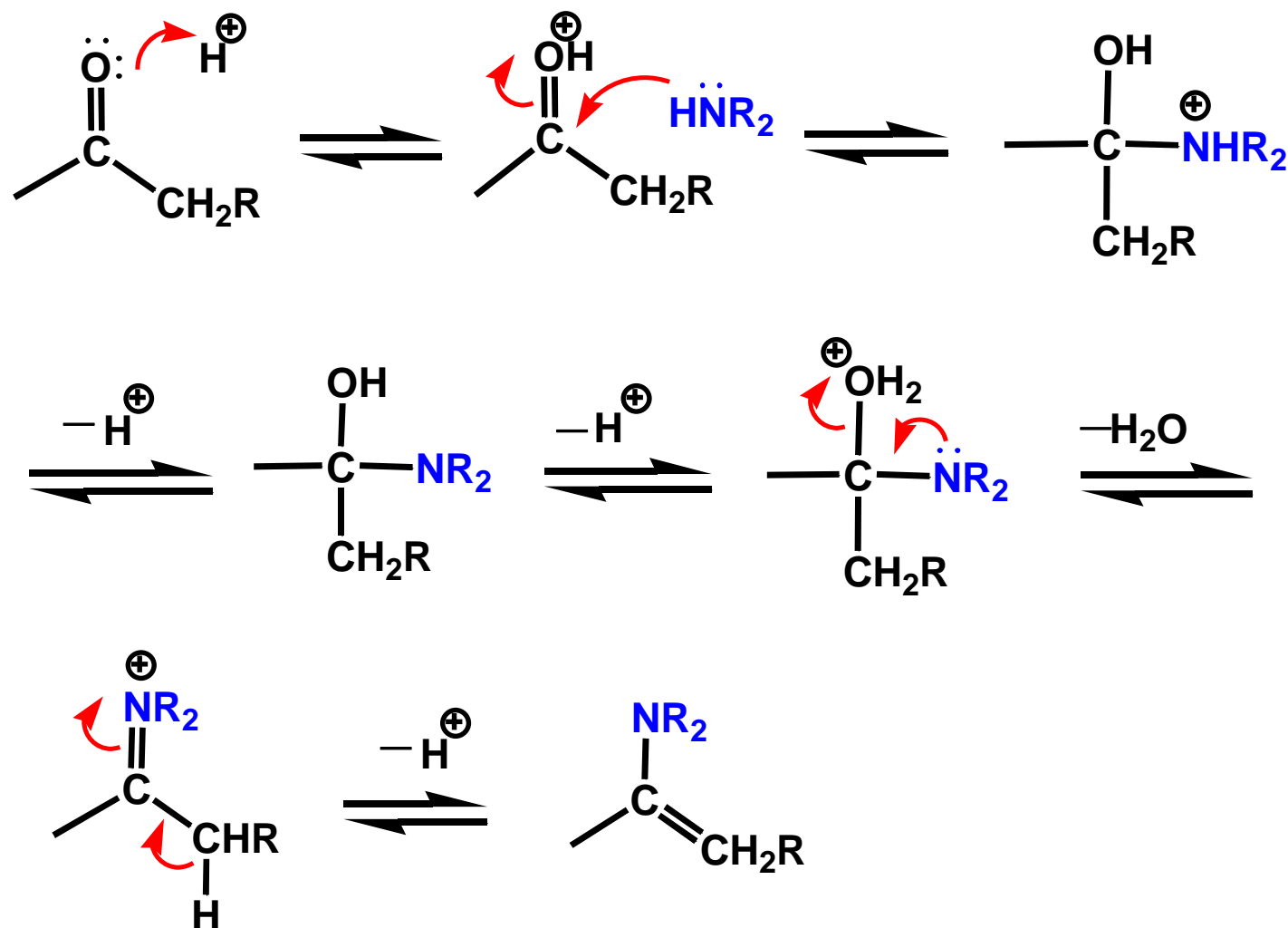
# Nucleophilic Addition to Carbonyl Group

c. With secondary amines form enamines  $R_2\ddot{N}H$



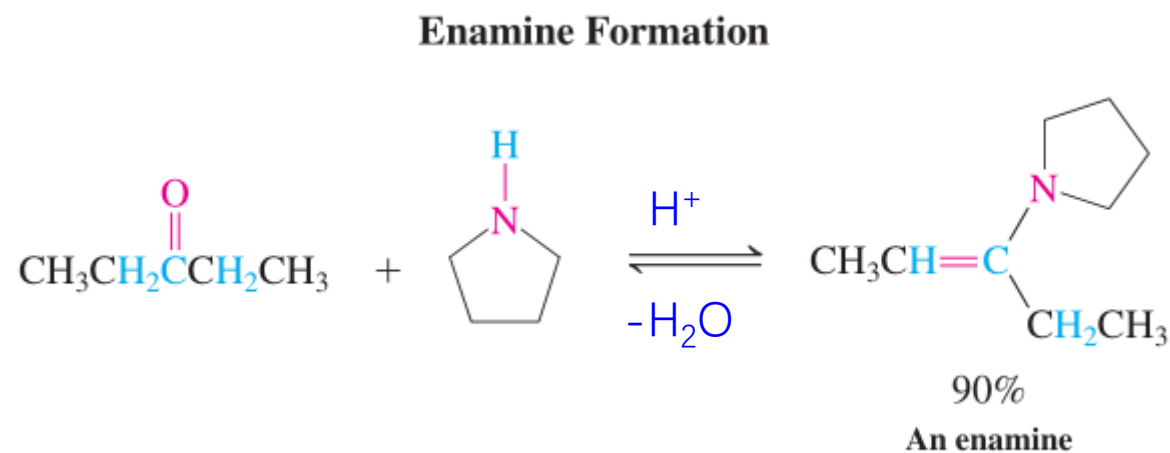
# Nucleophilic Addition to Carbonyl Group

**Mechanism of enamine Formation** from secondary amines and aldehydes or ketones



## Exercise 6

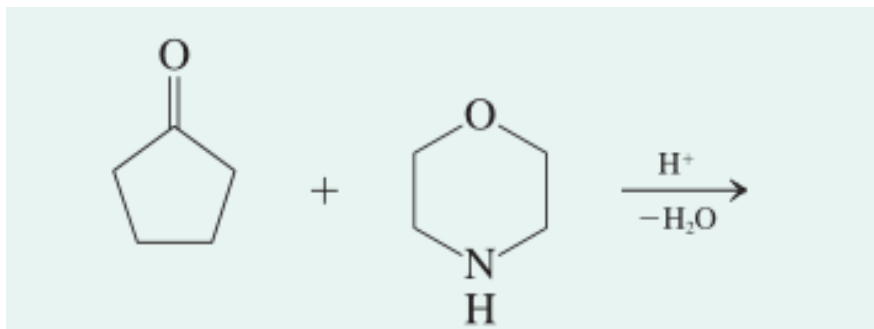
Formulate a detailed mechanism for the acid-catalyzed enamine formation shown below.



## Exercise 7

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Write the product, in addition to the mechanism of its formation, for the following acid-catalyzed reaction.

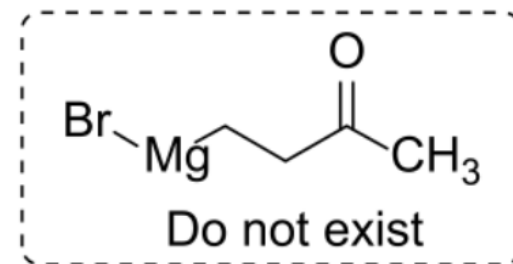
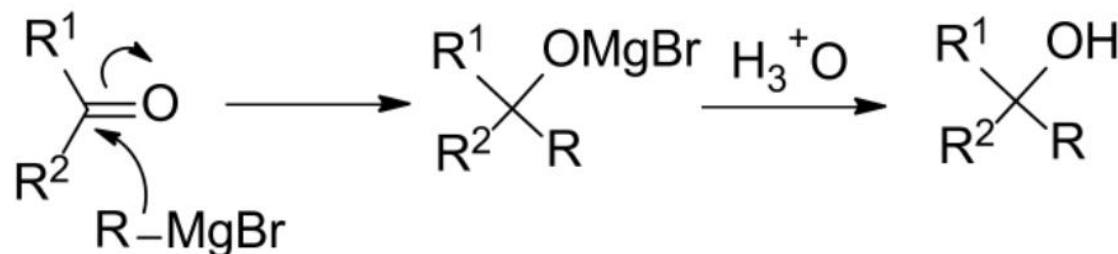


# Nucleophilic Addition to Carbonyl Group

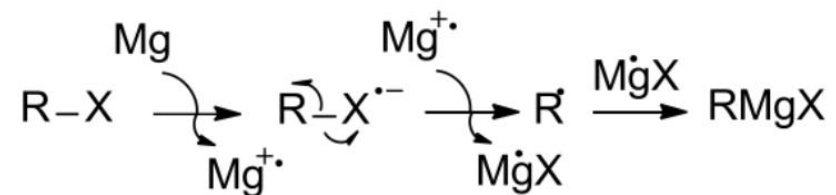
## d. with carbon nucleophiles

### 1. Nucleophilic addition of Organometallic Reagent

#### a. With Grignard Reagent $\text{RMgX}$ ( $\text{R}^-$ )



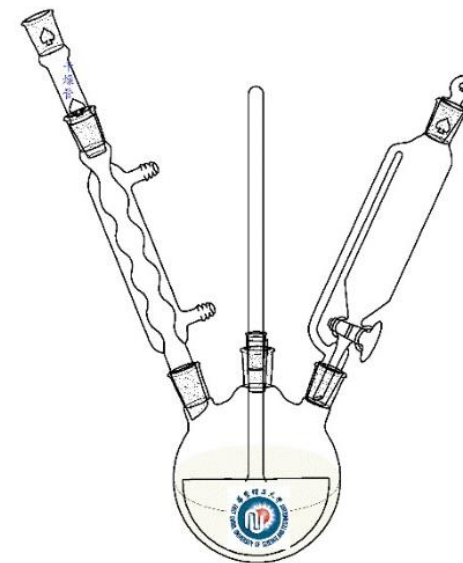
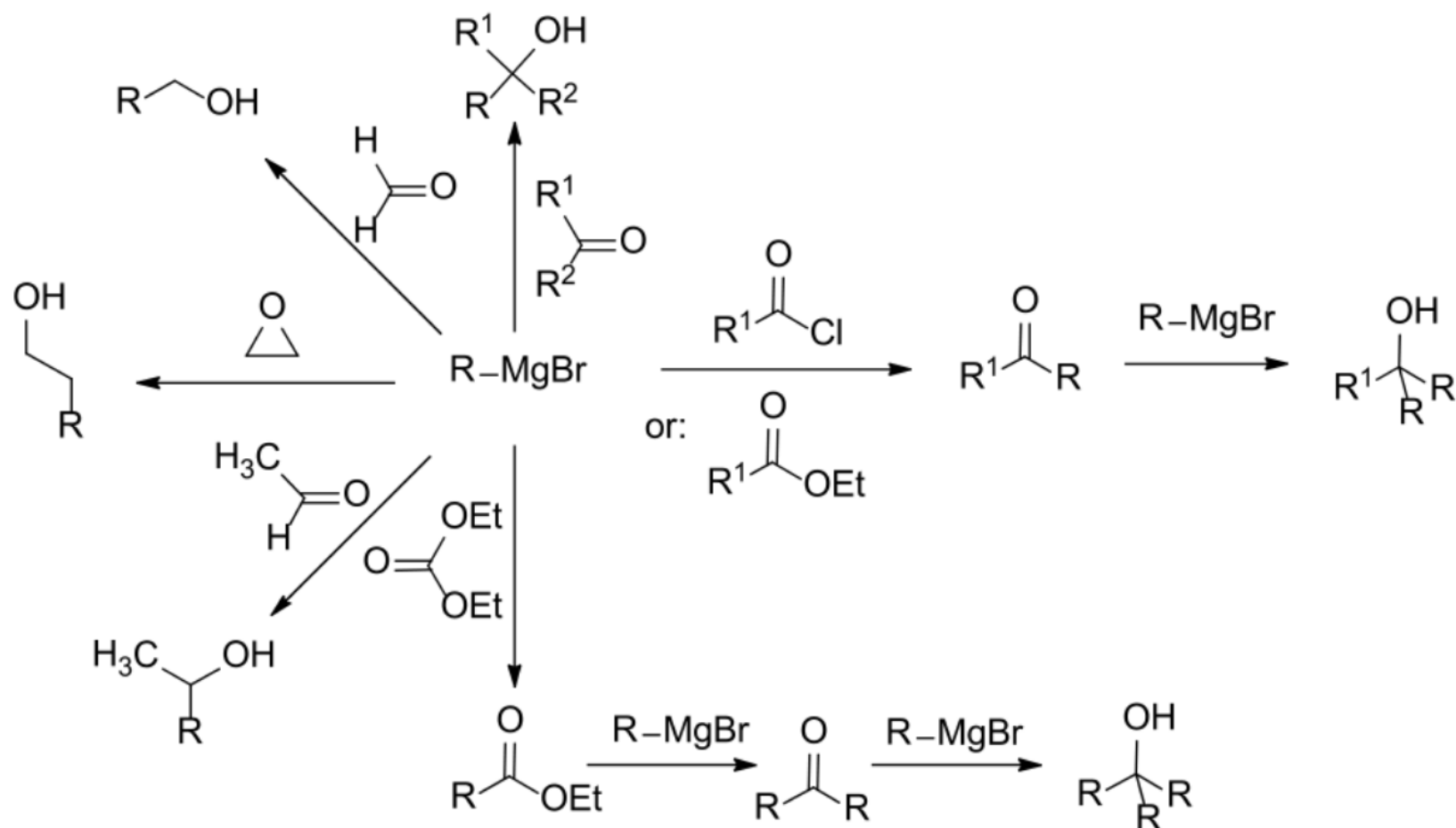
**Formation of  $\text{RMgX}$ :**  
single electron transfer (SET) mechanism



**Sensitive to:**  $\text{C}^{\delta+}$ , Carbonyl group ( $\text{CO}_2\text{.....}$ ),  $\text{H}^+$  (acid,  $\text{H}_2\text{O}$ ,  $\text{ROH}$ ,  $\text{RCOOH}$ ,  $\text{RNH}_2\text{.....}$ )

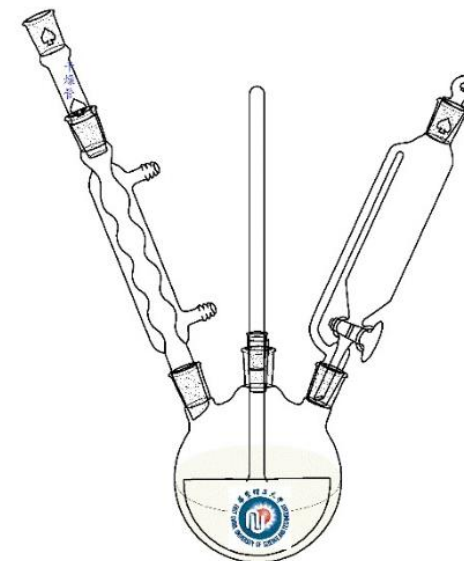
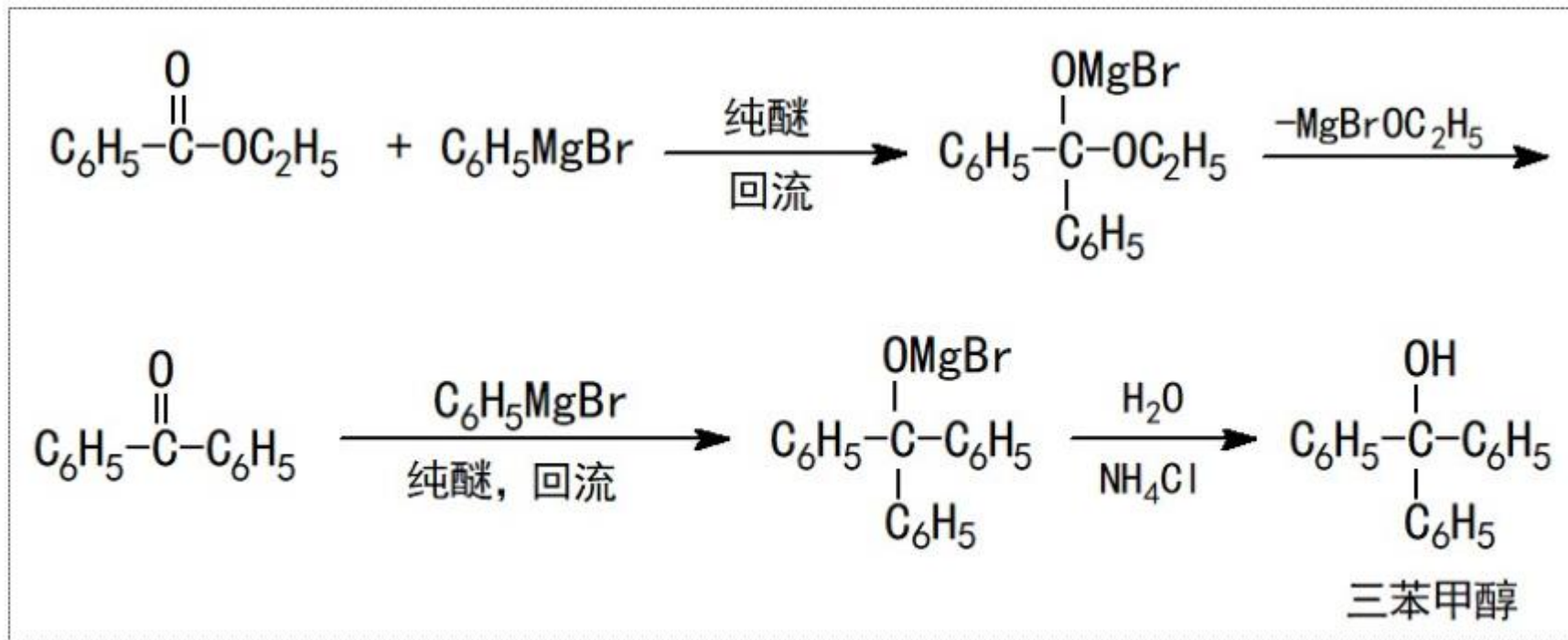
# Nucleophilic Addition to Carbonyl Group

## Application: Preparation of Alcohols



# Nucleophilic Addition to Carbonyl Group

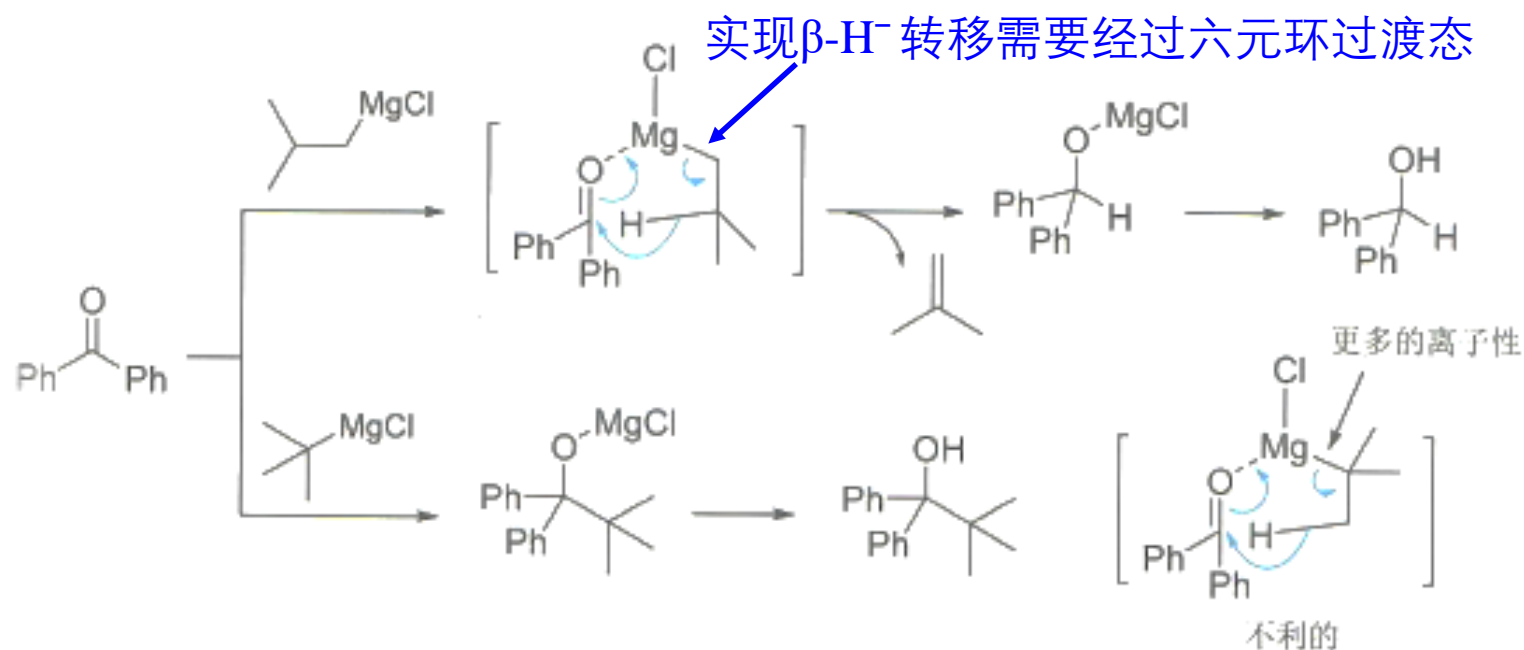
## Preparation of Triphenylmethanol



# Nucleophilic Addition to Carbonyl Group

## Competitive reactions in Grignard reaction:

1. Competition between nucleophilicity and basicity.
2. For some Grignard's reagent with  $\beta$ -H, pay attention to the competition between carbon nucleophiles ( $R^-$ ) and hydrogen nucleophiles ( $H^-$ ) "



两种格式试剂C-Mg键的性质不同，造成了反应性的差异。

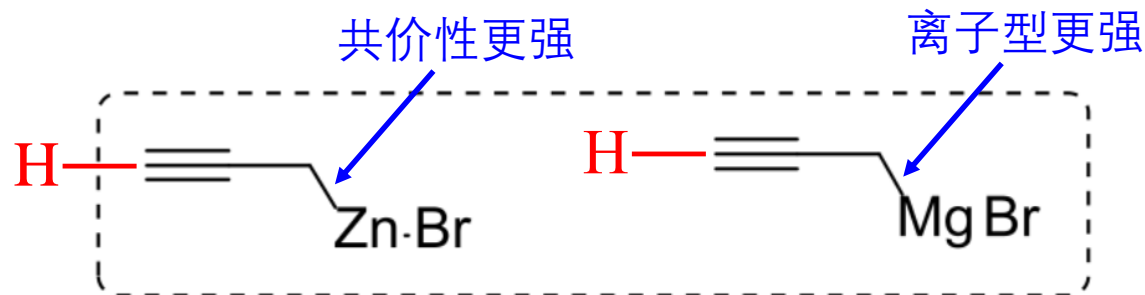
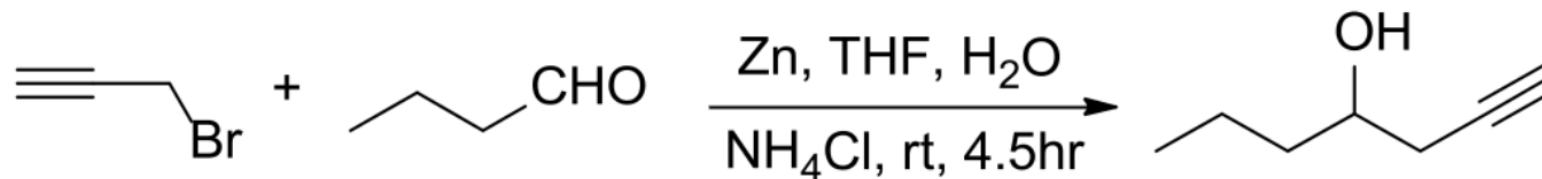


# Nucleophilic Addition to Carbonyl Group

b. With Organozinc Reagent    The advantages are their safety and simplicity

## 1) Barbier coupling reaction (RZnX, RSnX.....)

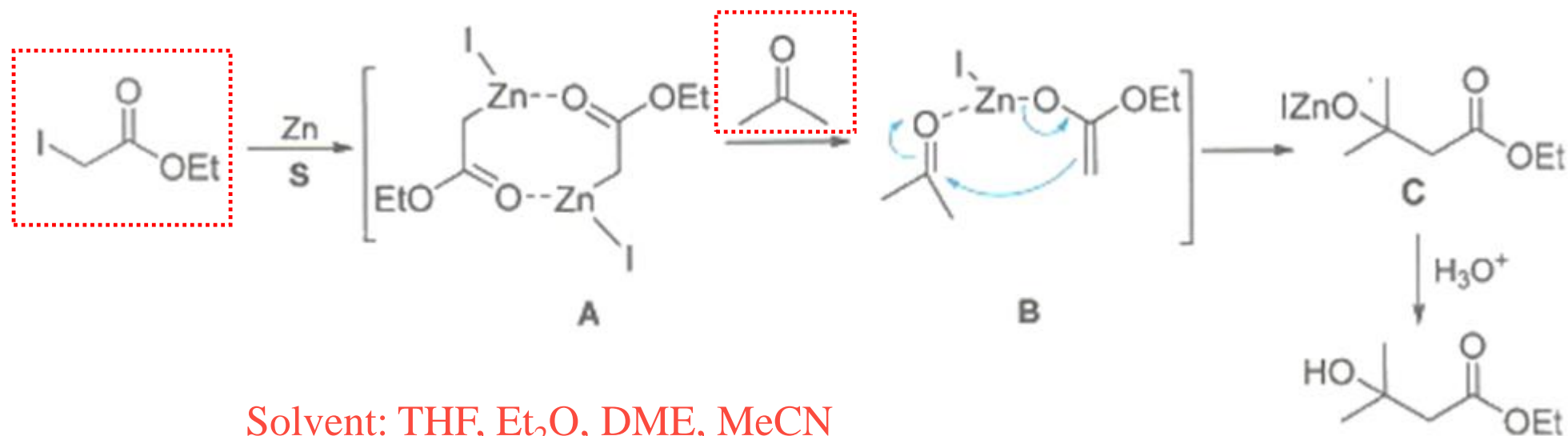
This transformation **cannot** be achieved with Grignard reagent, Why?



# Nucleophilic Addition to Carbonyl Group

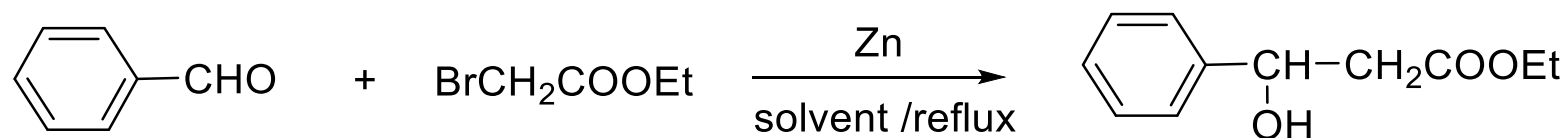
## 2) Reformatsky Reaction

醛酮与  $\alpha$ -卤代酸酯的有机锌试剂反应生成  $\beta$ -羟基酯



Solvent: THF,  $\text{Et}_2\text{O}$ , DME, MeCN

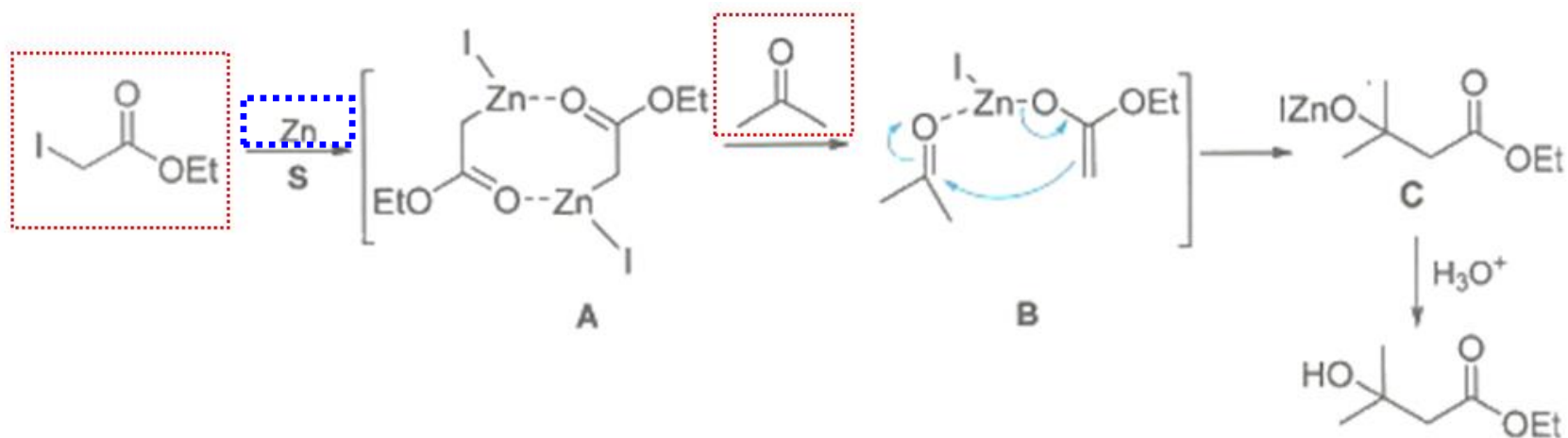
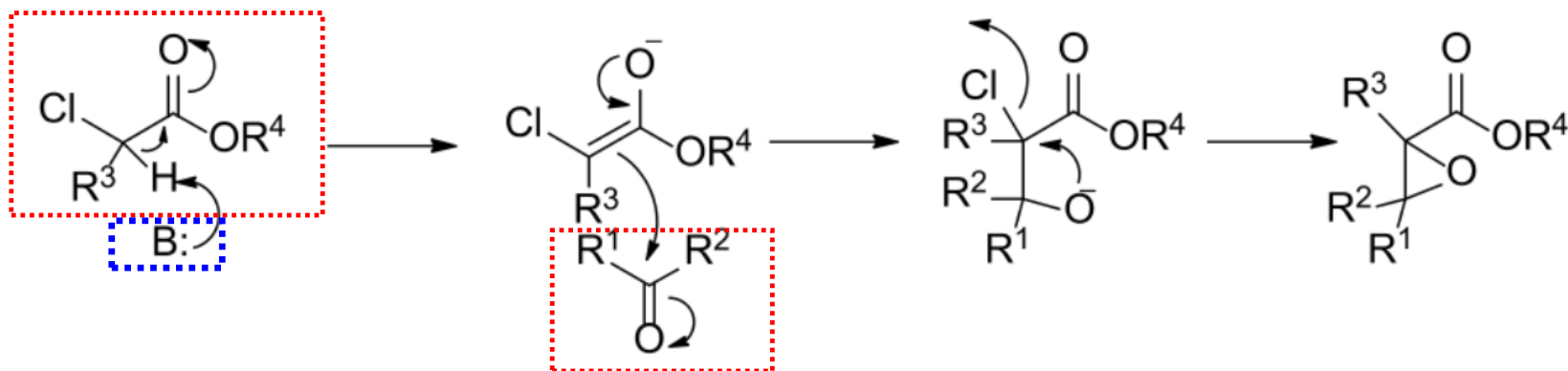
Propose the mechanisms for the reactions below



# Nucleophilic Addition to Carbonyl Group

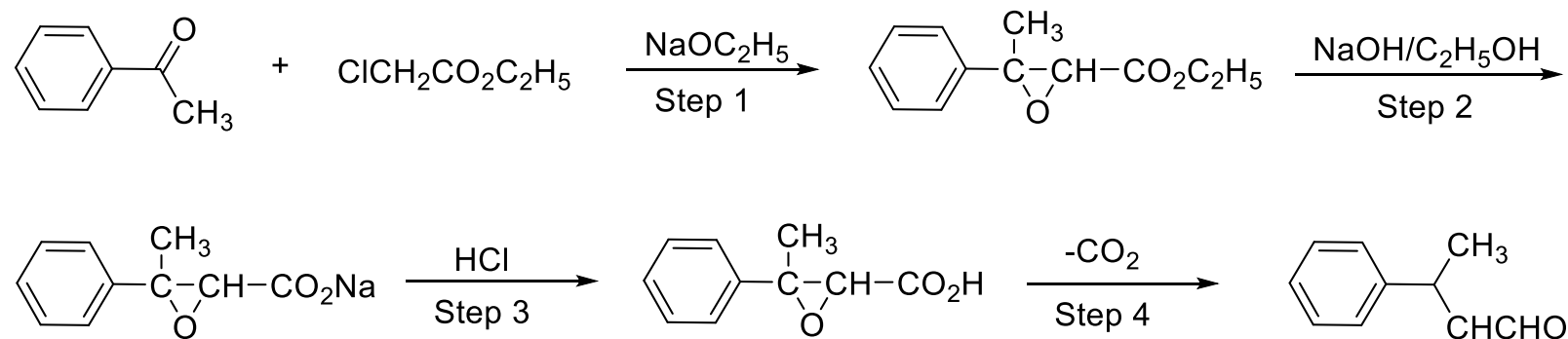
## 2') Darzens Reaction

醛酮在碱作用下与  $\alpha$ -卤代酸酯反应生成  $\alpha, \beta$ -环氧酯

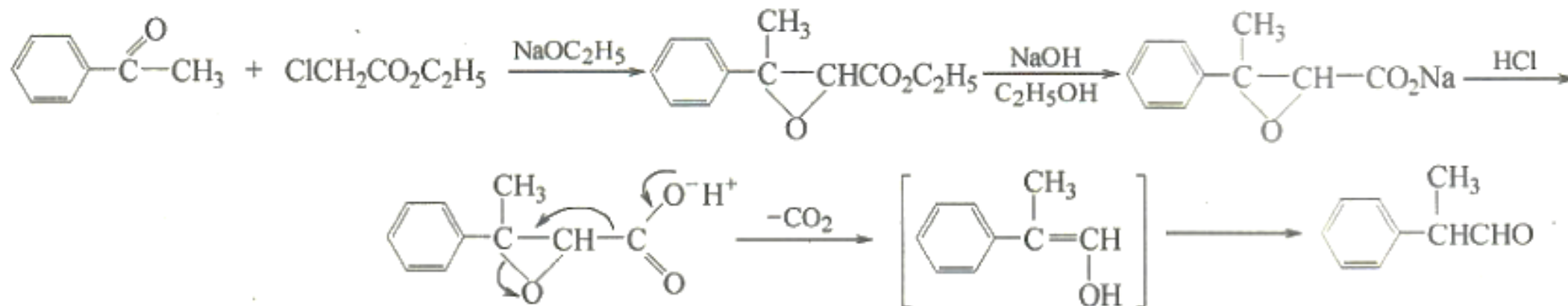


# Nucleophilic Addition to Carbonyl Group

## Application: Preparation of Epoxy Compounds



## mechanism

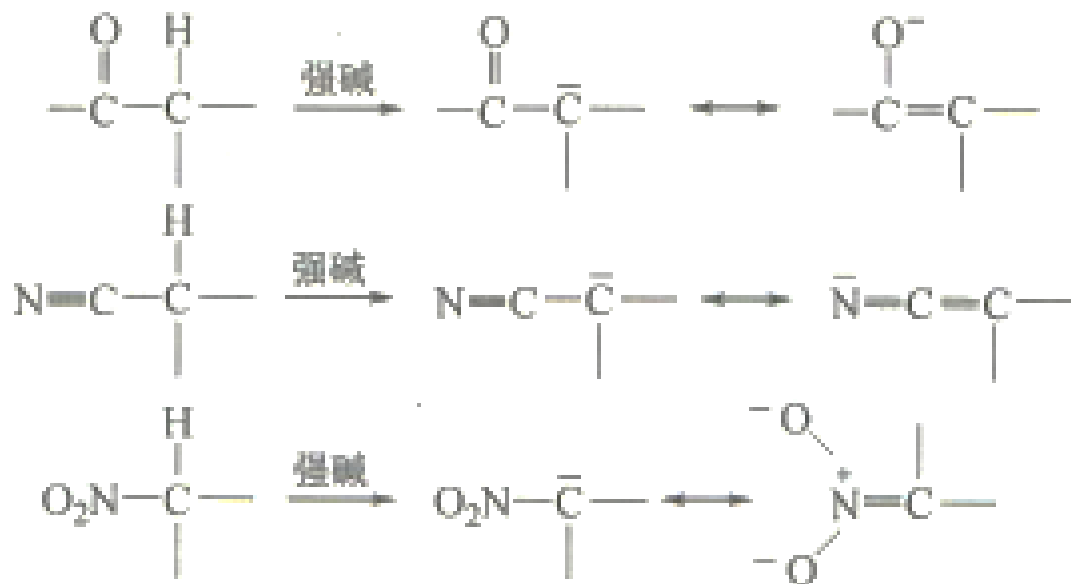


## 6.4 Carbanion

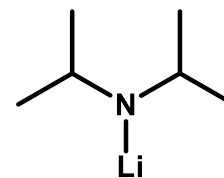
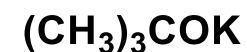
### 碳亲核试剂的来源

#### 1. 金属有机化合物

#### 2. 有机分子中的C-H键失去质子后形成的共轭碱

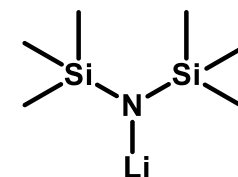


#### Strong Base:



lithium diisopropamide

LDA



lithium bis(trimethylsilyl)amide

LHMDS

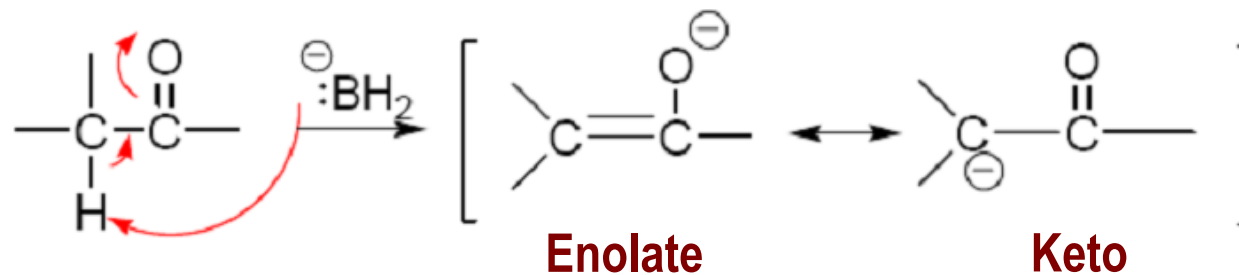
大位阻碱（亲核性弱，副反应少）

# Nucleophilic Addition to Carbonyl Group

## 2. Nucleophilic addition with Enolate or Enol

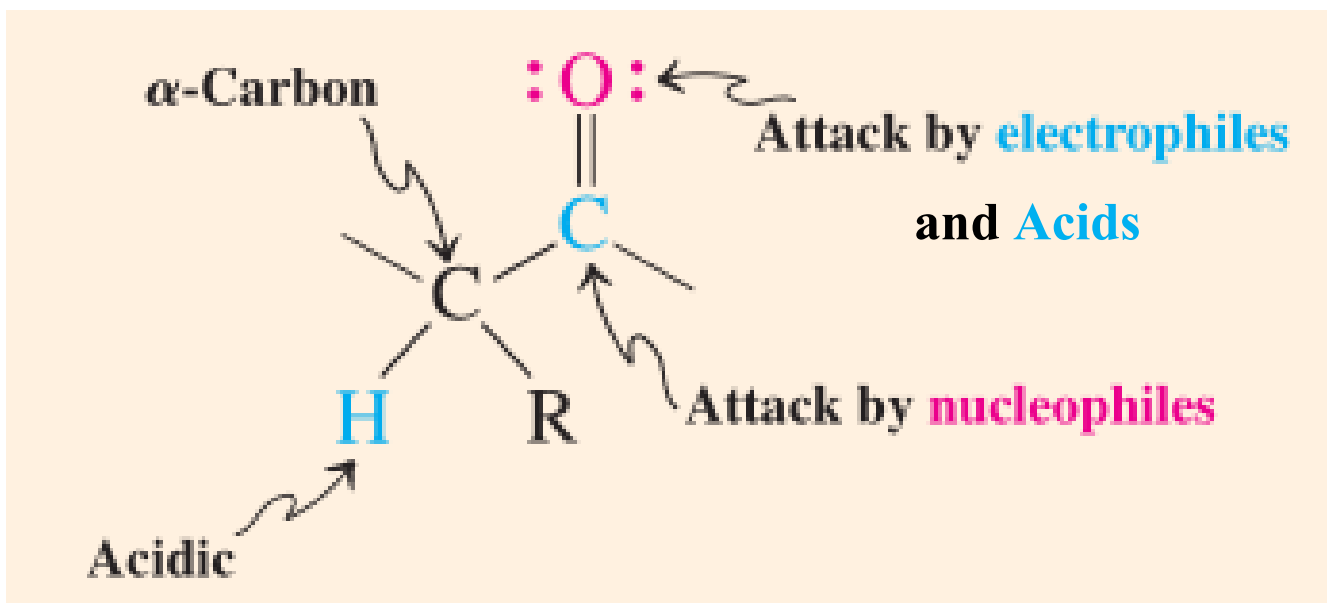
碳负离子在反应中是亲核试剂，在很多情况下，以烯醇负离子结构形式存在。

$\alpha$ -H acidity



## 8.1 Structure and Reactivity

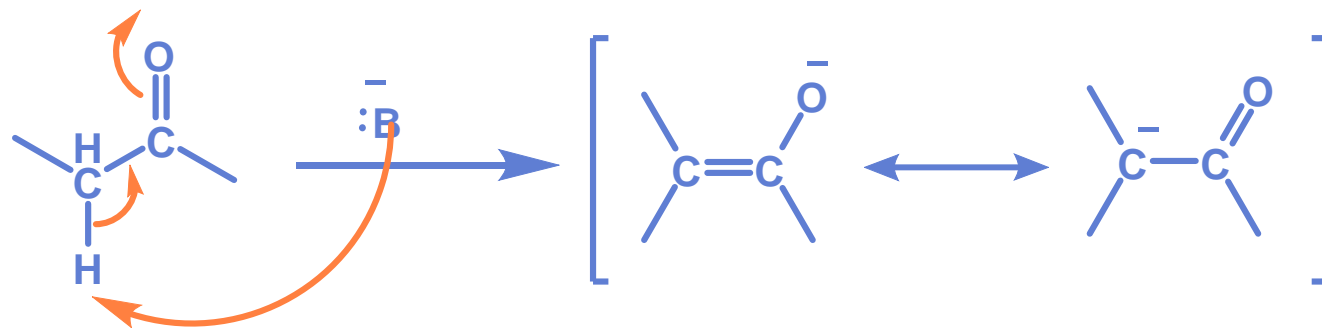
### Regions of Reactivity in Carbonyl Compounds



# Nucleophilic Addition to Carbonyl Group

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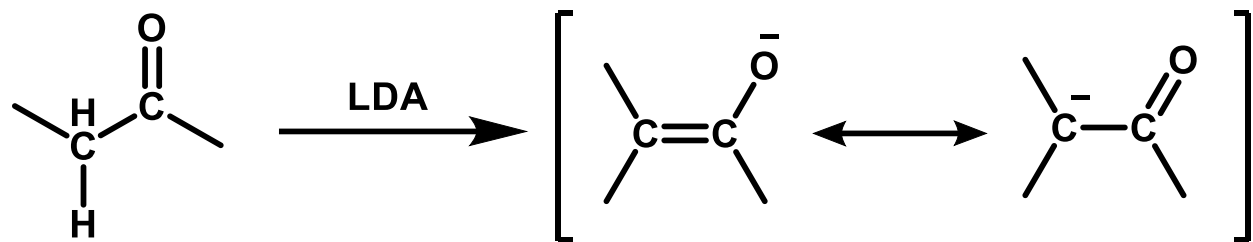
## Formation of enolate



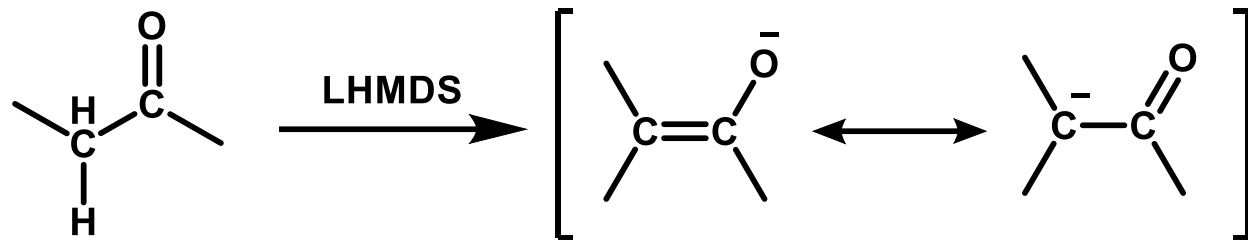
Which “Base”?



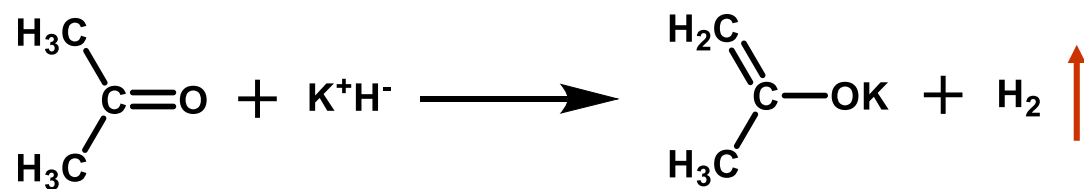
# Nucleophilic Addition to Carbonyl Group



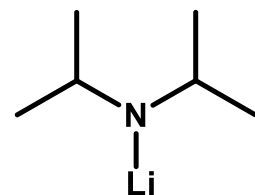
enolate



enolate

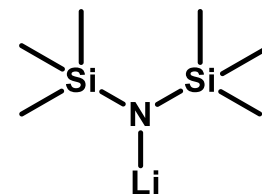


enolate



lithium diisopropylamide

LDA

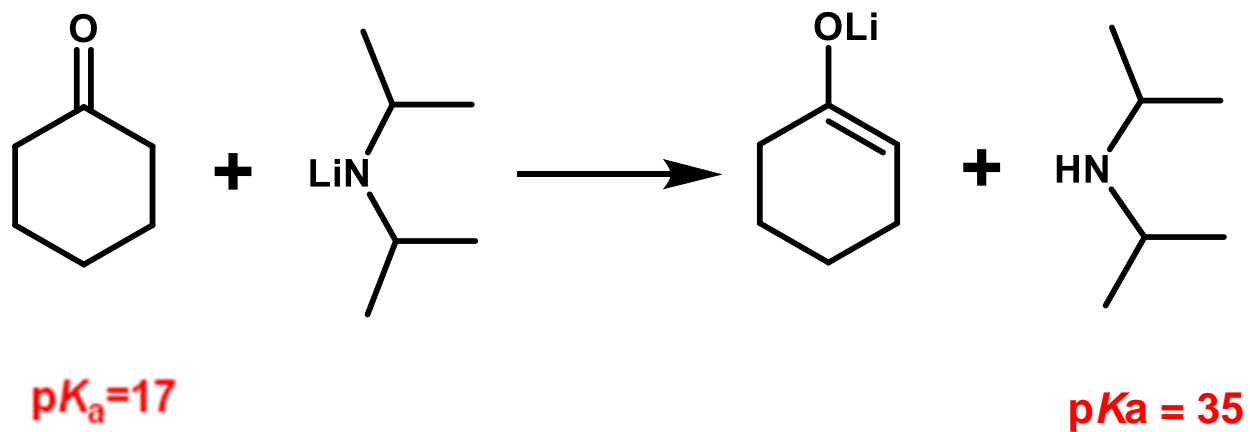
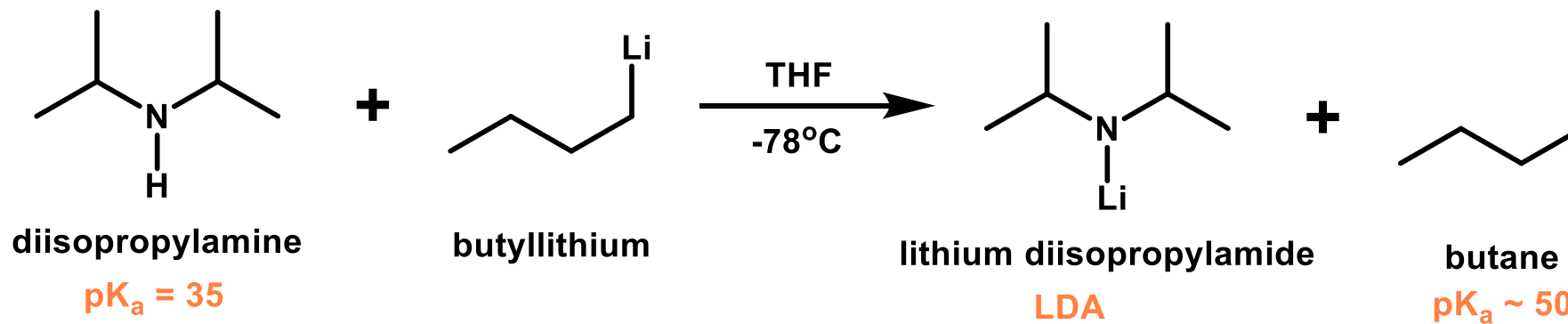


lithium bis(trimethylsilyl)amide

大位阻强碱

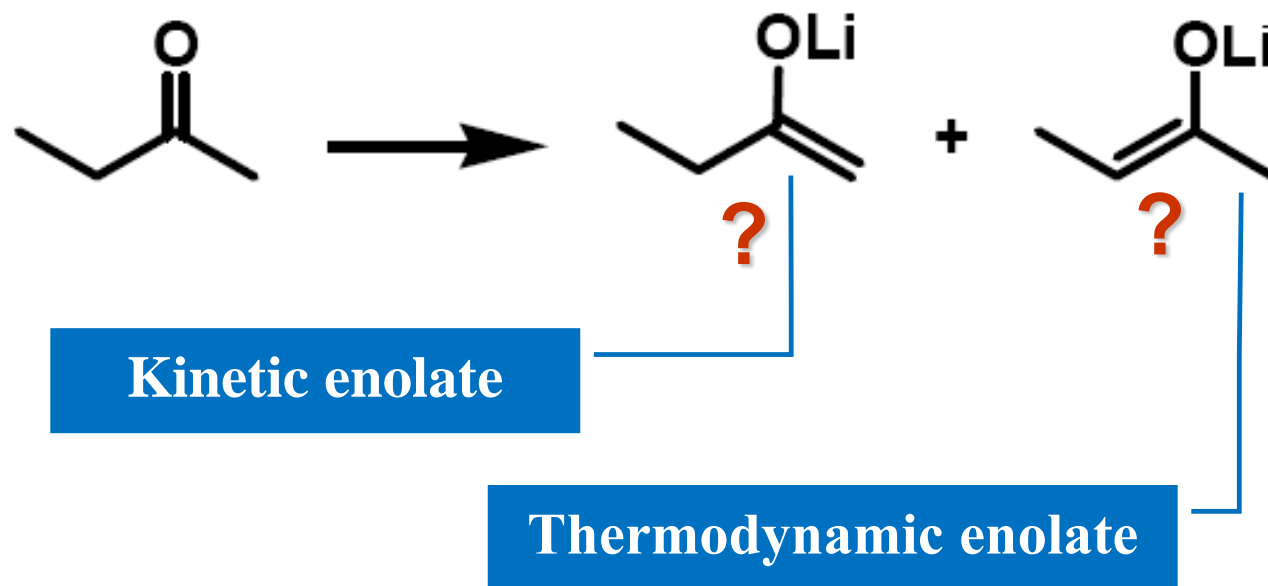
LHMDS

# Nucleophilic Addition to Carbonyl Group



# Nucleophilic Addition to Carbonyl Group

## Regioselective Formation of Enolate Anions

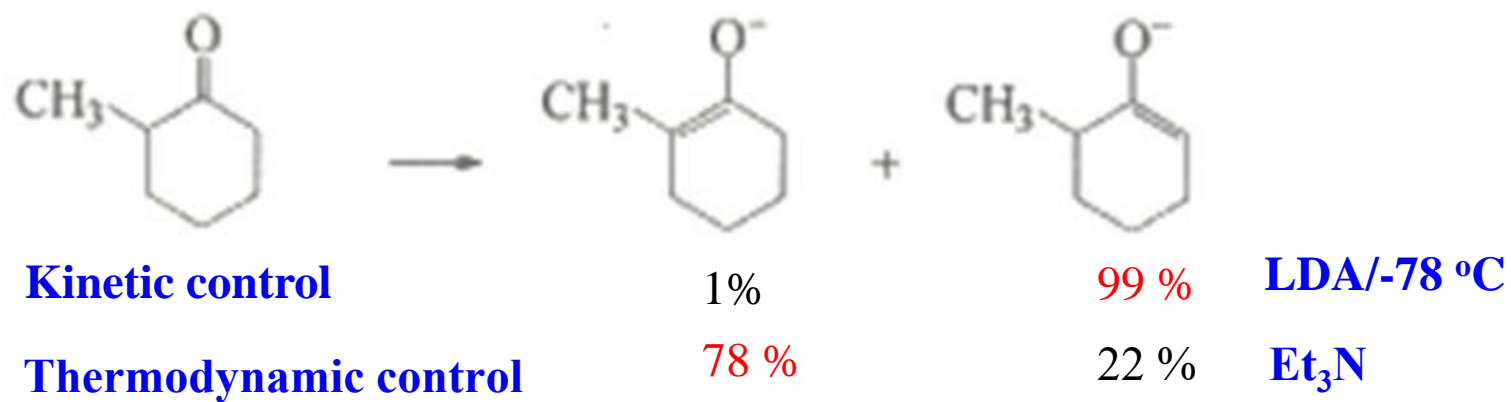


Generally:

1. Low temperature gives the kinetic enolate.
2. High temperature, relatively weak base in a protic solvent gives the thermodynamic enolate.

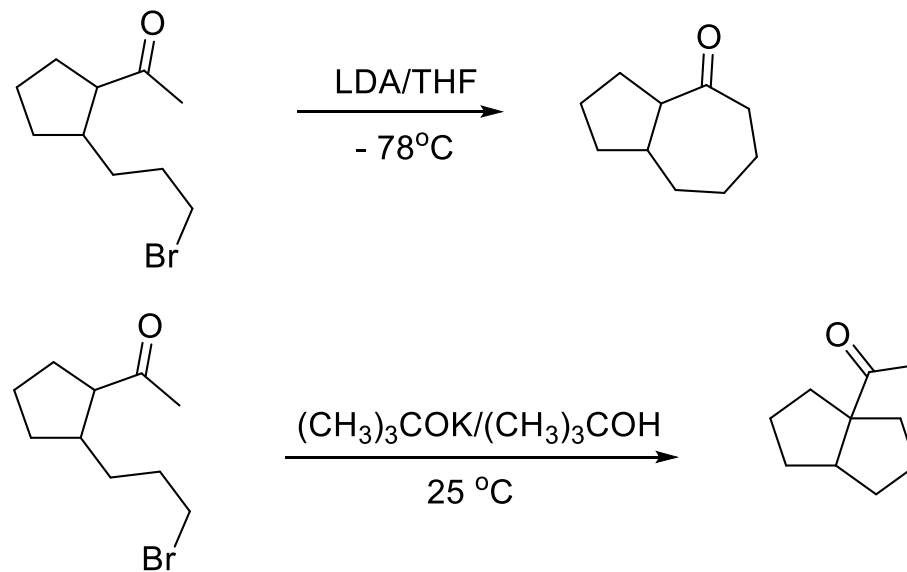
# Nucleophilic Addition to Carbonyl Group

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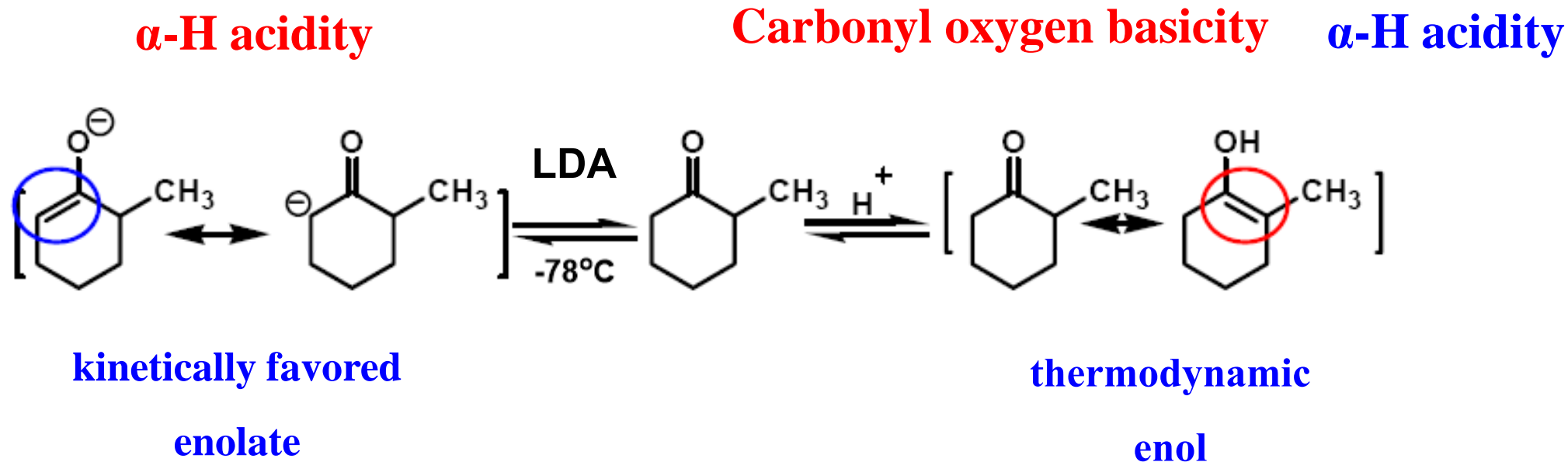


## Exercise 8

Propose the mechanisms for the reactions below



# Nucleophilic Addition to Carbonyl Group



Two special examples:

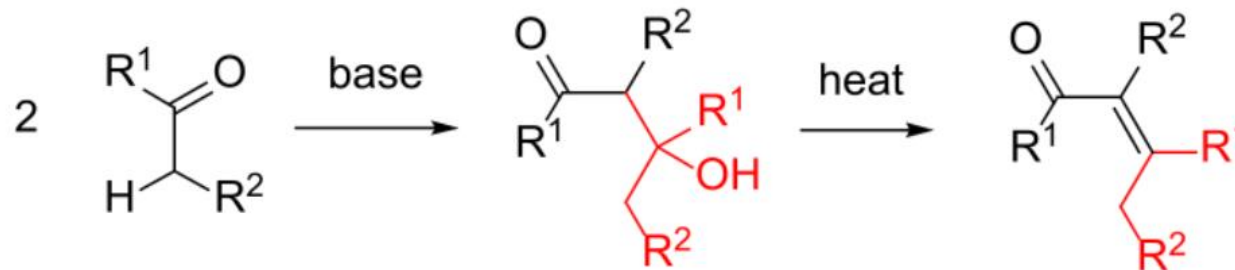
1. The kinetically favored **enolate** can be formed cleanly through the use of LDA.
2. In acid conditions, the thermodynamic **enol** is formed predominantly.

# Nucleophilic Addition to Carbonyl Group

## a. Aldol Reaction

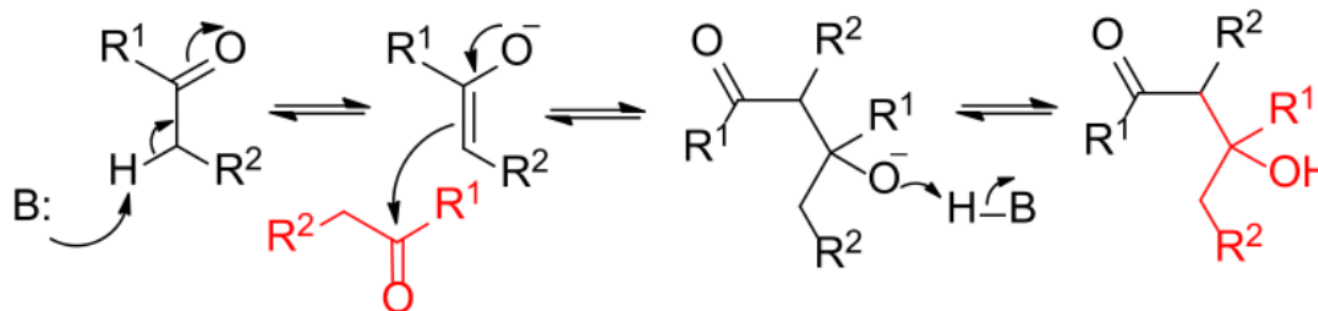
**Enolate** as Nucleophile

**Base-catalyzed**



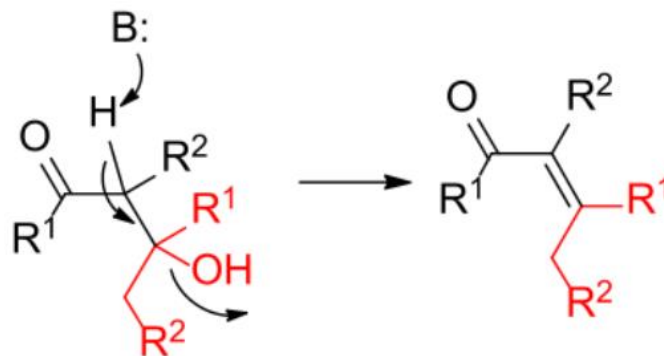
### Mechanism

**Base-catalyzed  
Condensation**



**Nucleophilic  
Addition**

**Base-catalyzed  
Hydration**



**Elimination**

# Nucleophilic Addition to Carbonyl Group

## a. Aldol Reaction

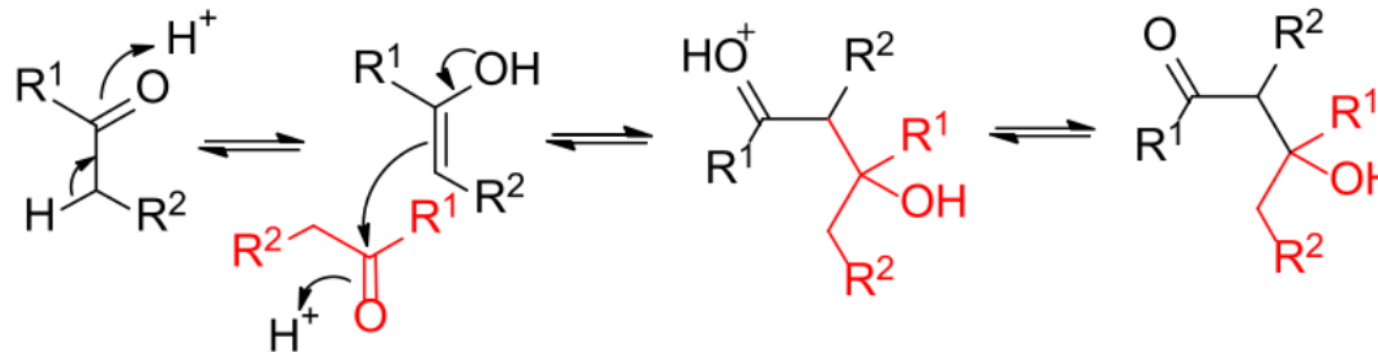
**Enol** as Nucleophile

**Acid-catalyzed**



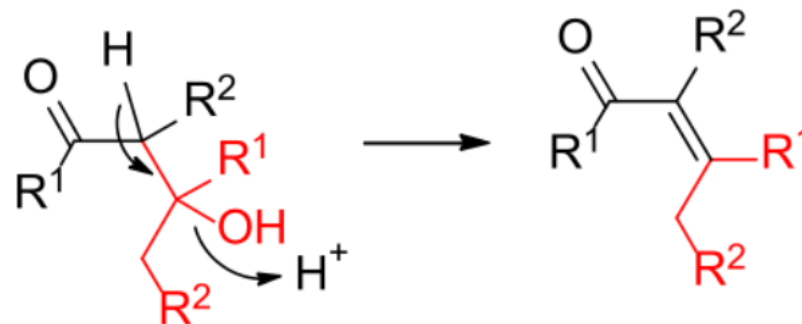
### Mechanism

**acid-catalyzed  
Condensation**



**Nucleophilic  
Addition**

**acid-catalyzed  
Hydration**

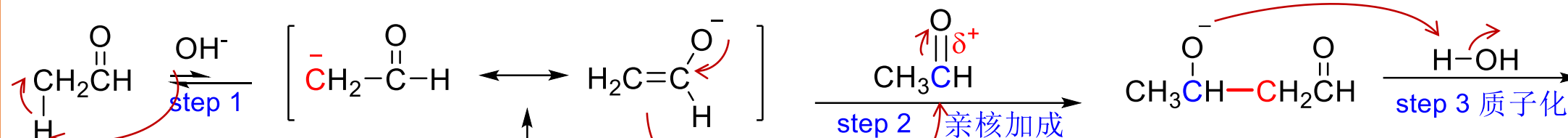


**Elimination**

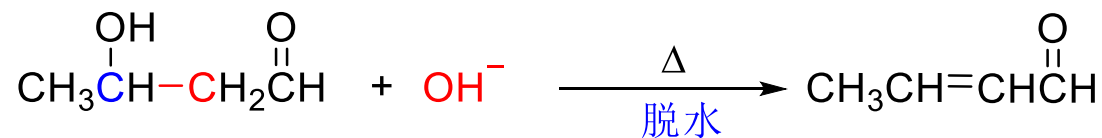


# Nucleophilic Addition to Carbonyl Group

## Mechanism



亲核试剂 (低平衡浓度)



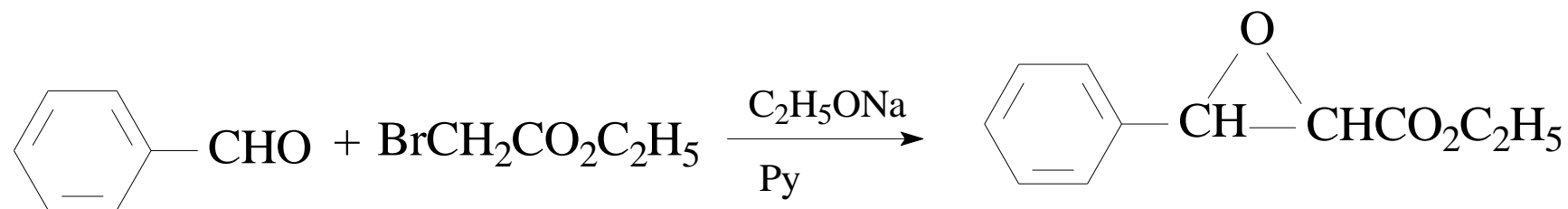
$\beta$ -羟基醛

催化剂再生

$\alpha, \beta$ -不饱和醛

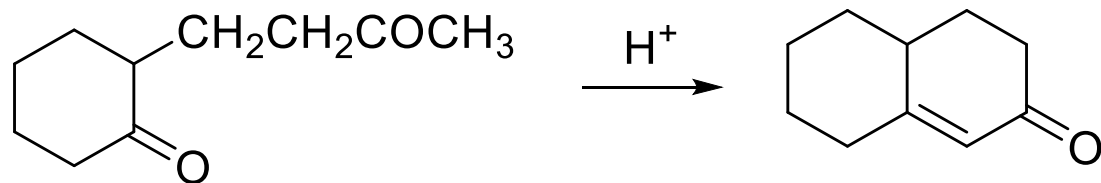
## Exercise 9

Propose the mechanisms for the reactions below



### Darzens Reaction

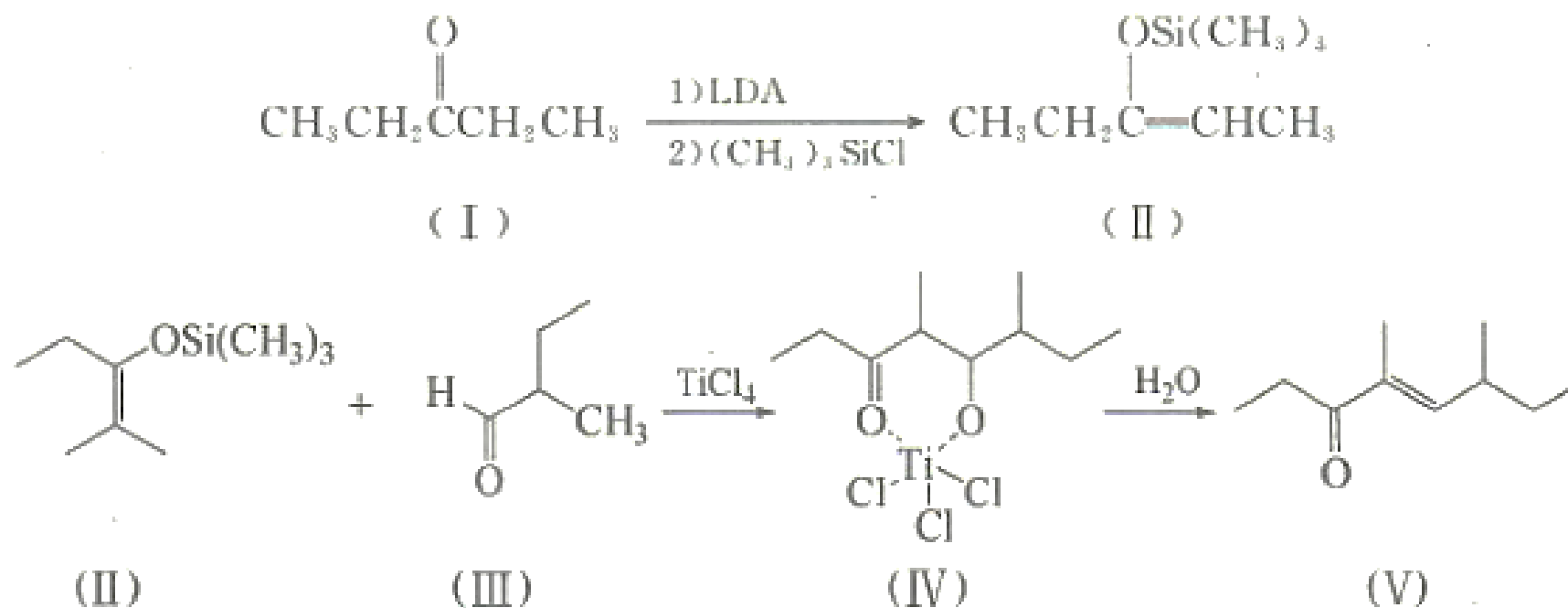
醛酮在碱作用下与  $\alpha$ -卤代酸酯反应生成  $\alpha, \beta$ -环氧酯



### Acid-catalyzed Aldol Reaction

## 6.5 Condensation Reactions

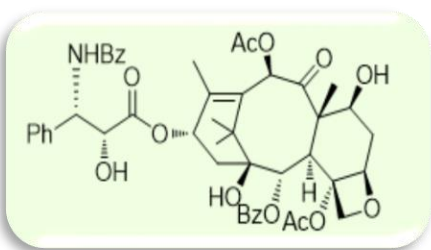
### Mukaiyama-Carrera Aldol Reaction 对交叉羟醛缩合的改进



# 药物发现、人类健康、与生态保护



红豆杉



紫杉醇 (Taxol)



制约瓶颈：天然稀缺资源获取



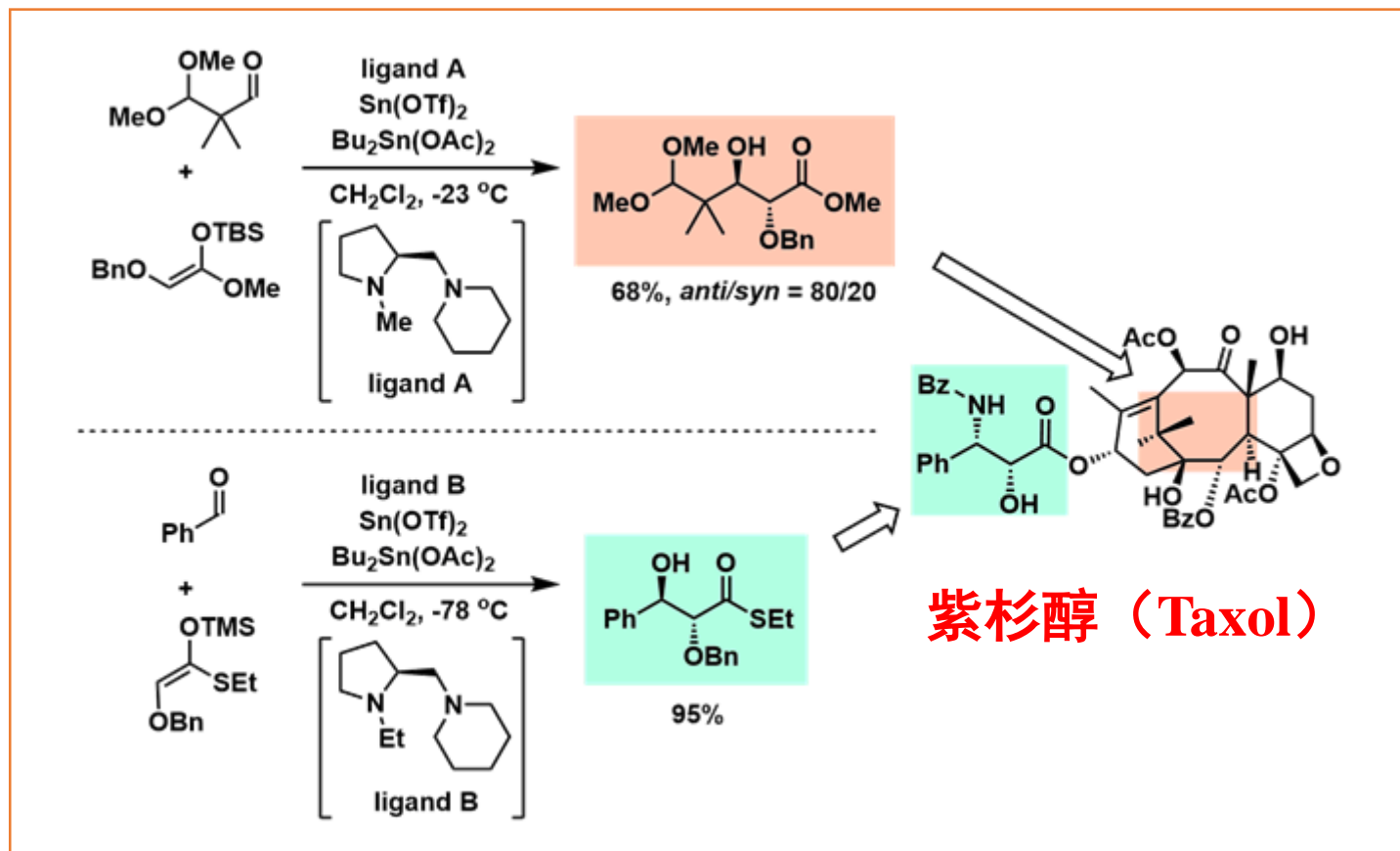
汉德事件

90年代，云南红豆杉资源消耗80%



# 合成方法学在药物合成中的作用

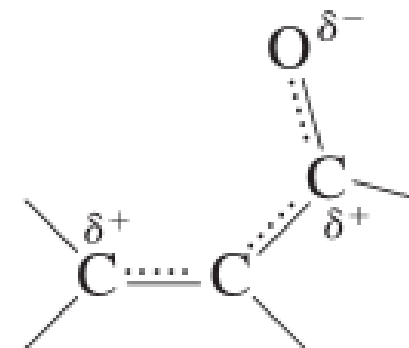
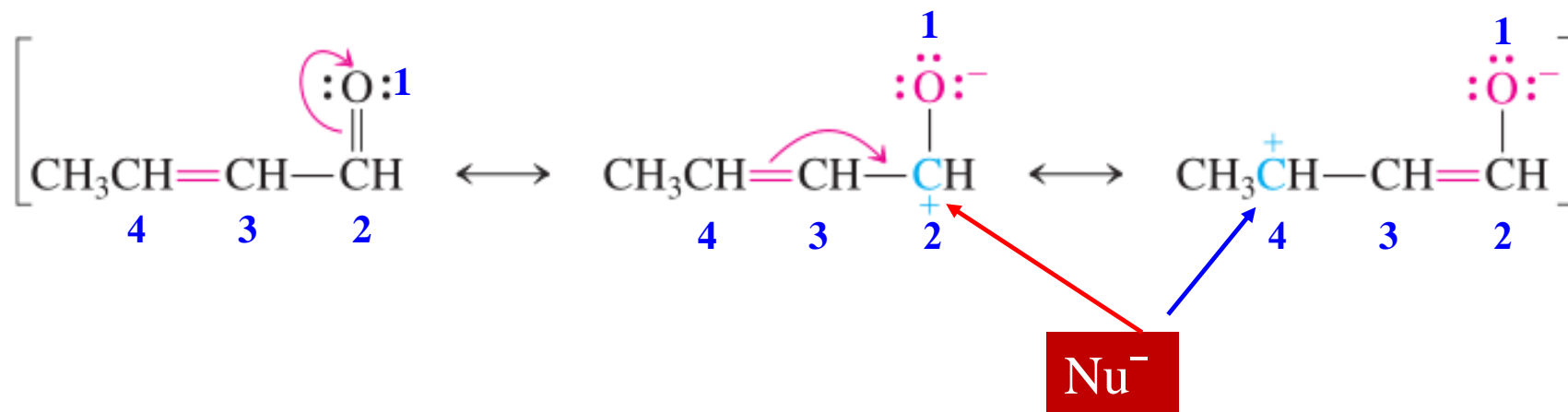
|| Mukaiyama采用**羟醛缩合反应**，实现紫杉醇人工全合成



# Nucleophilic Addition to Carbonyl Group

## 2'. Conjugate Additions of Enolate Ions: Michael Addition and Robinson Annulation

Resonance Forms of 2-Butenal



Competing reaction  $\longrightarrow$

- 1, 2- addition
- 1, 4- addition (conjugate addition)

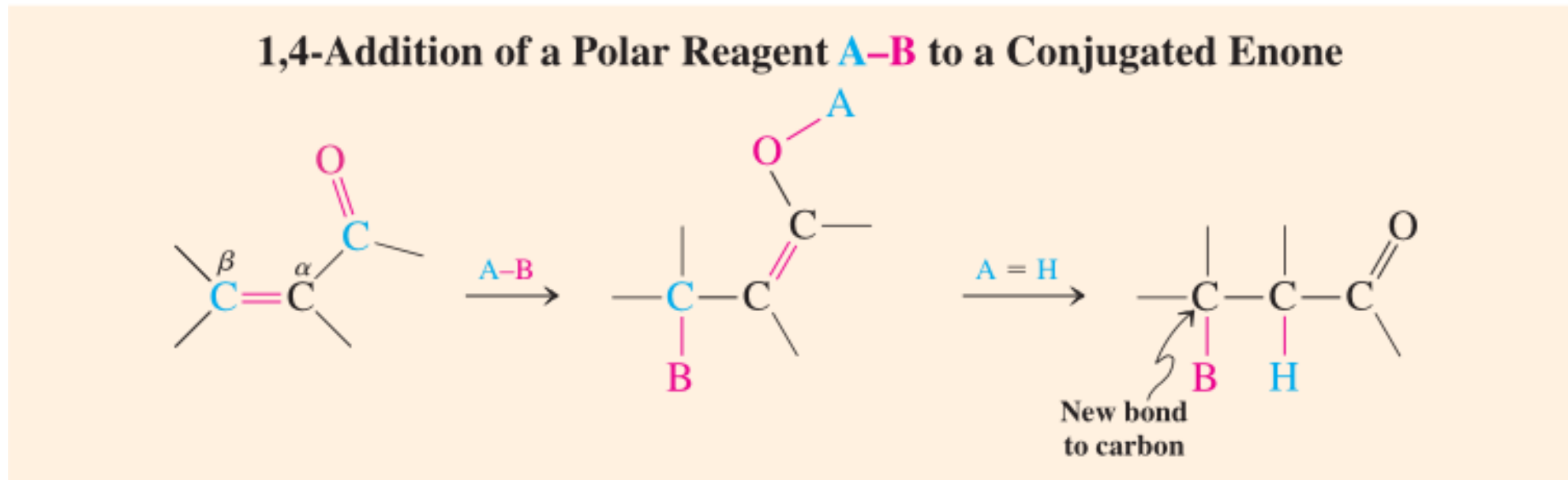


# Nucleophilic Addition to Carbonyl Group

**1, 4- addition (conjugate addition)**  $\longrightarrow$  the end result appears to be that of **3,4-addition**

The initial product of conjugate addition to an  $\alpha, \beta$ -unsaturated carbonyl compound is an enol, which subsequently rapidly tautomerizes to its keto form.

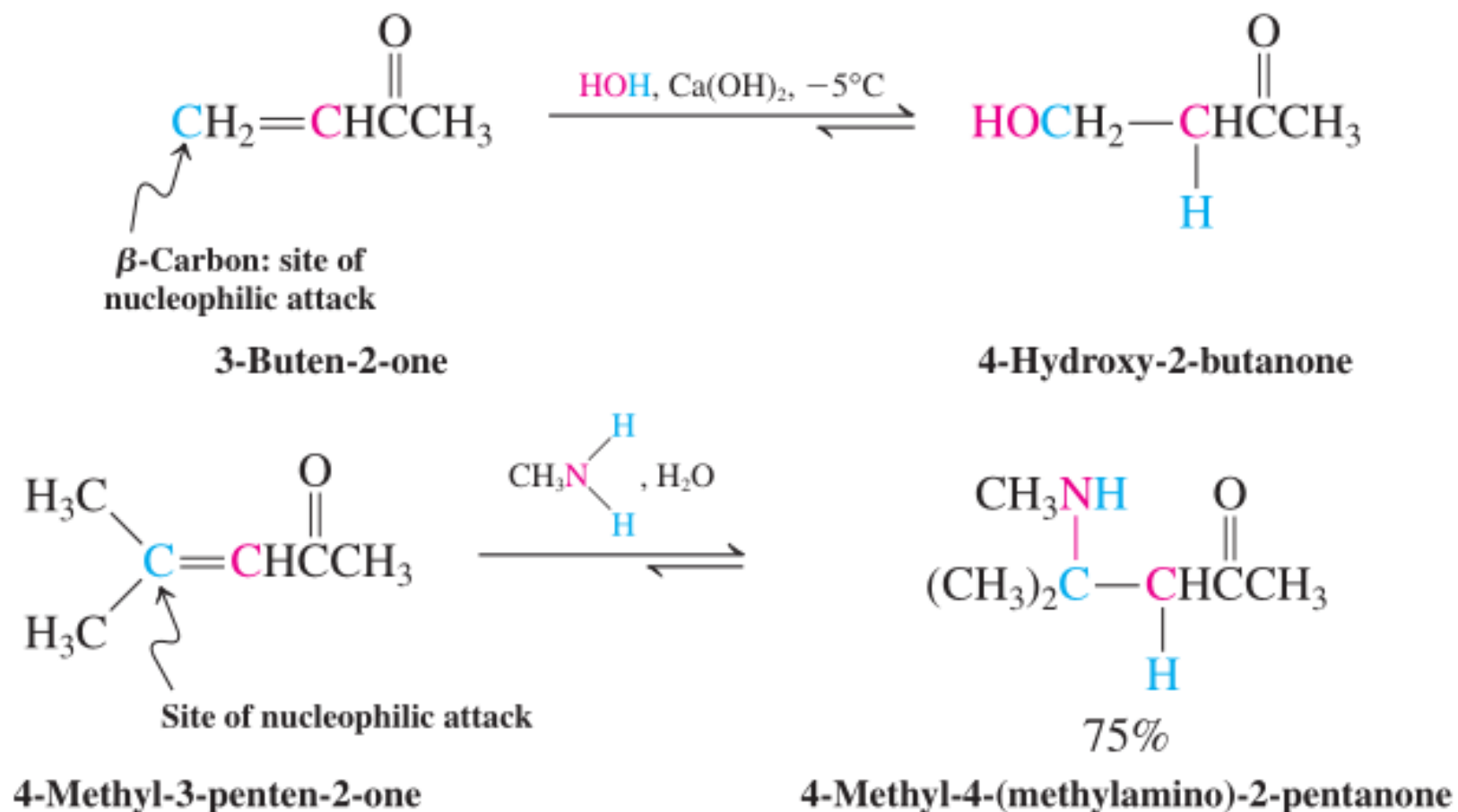
## 1, 4- addition + tautomerism



# Nucleophilic Addition to Carbonyl Group

Oxygen and nitrogen nucleophiles undergo conjugate additions

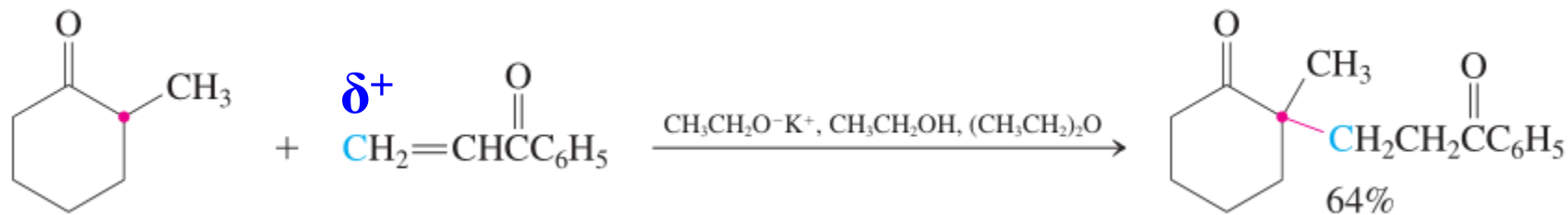
Hydrogen cyanide also undergoes conjugate addition



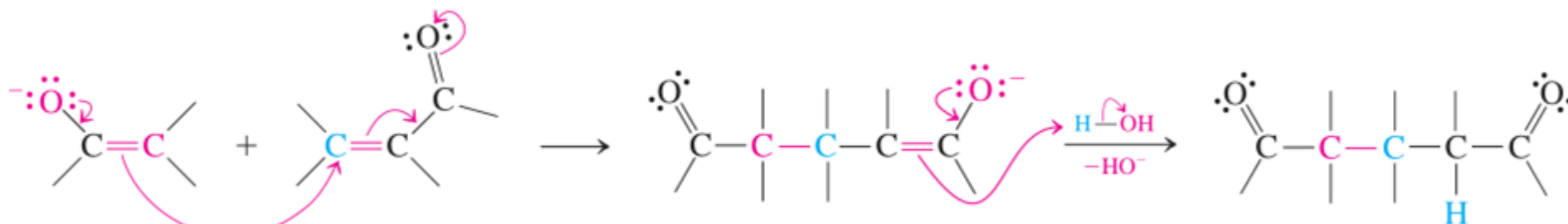


# Nucleophilic Addition to Carbonyl Group

## a. Michael Addition 1) 1,4-Additions(conjugate addition) of Enolate Ions



## Mechanism Michael Addition

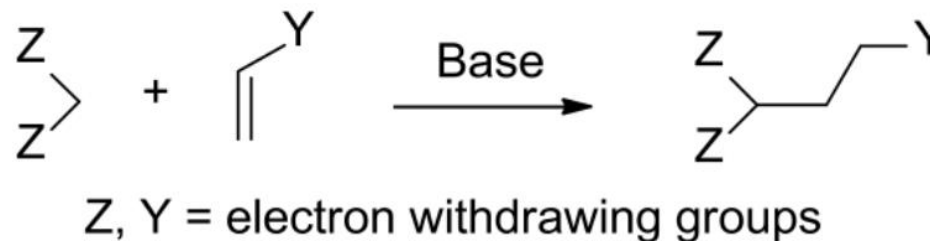


# Nucleophilic Addition to Carbonyl Group

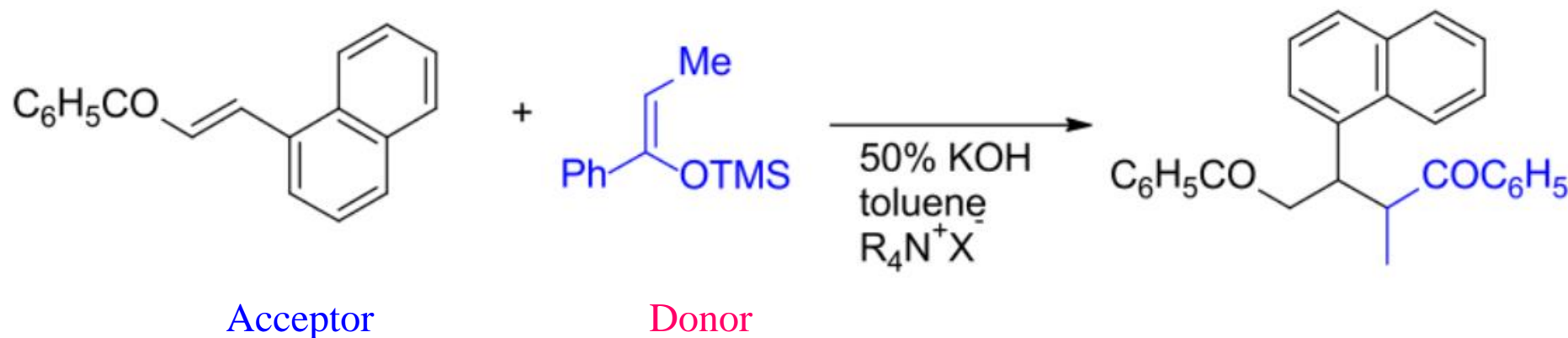
## General equation of Michael Addition

Preparation of 1, 5-dicarbonyl compounds

碳亲核试剂对 $\alpha,\beta$ -不饱和羰基化合物的共轭加成

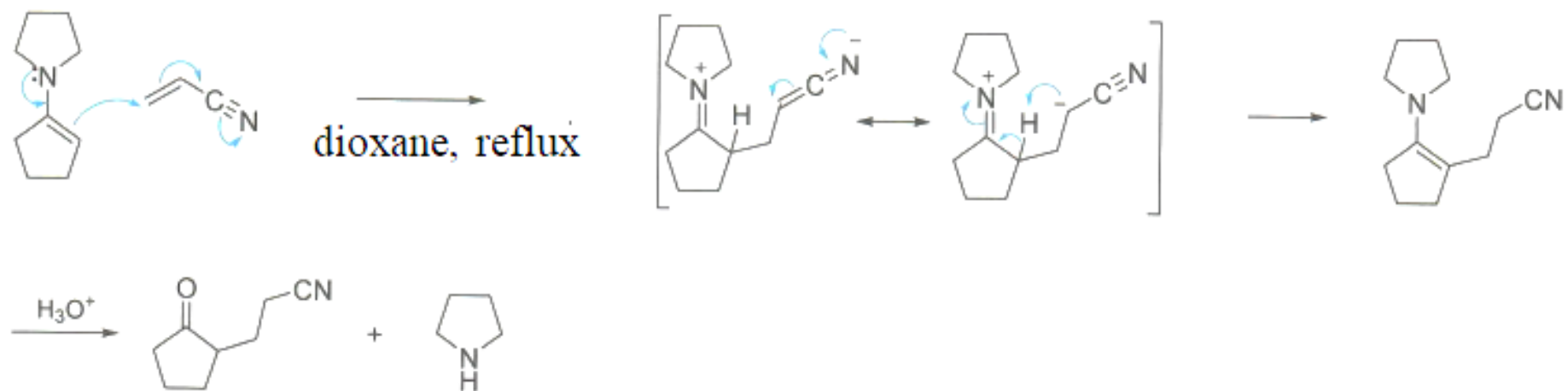
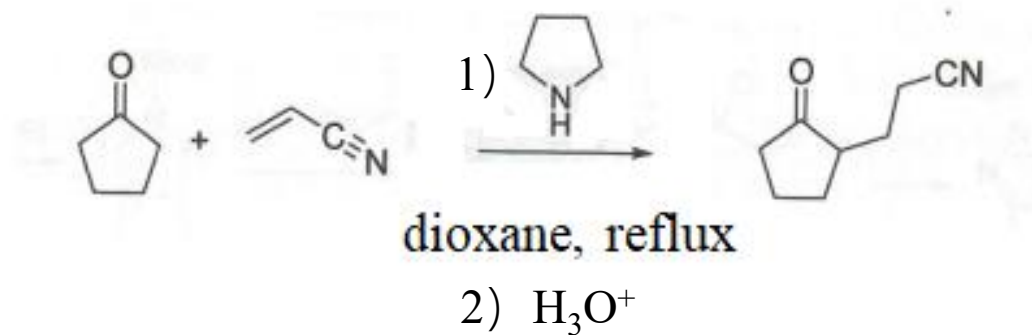


## 2) 1,4-Additions (conjugate addition) of Silyl Enol Ether Mukaiyama Michael Addition



# Nucleophilic Addition to Carbonyl Group

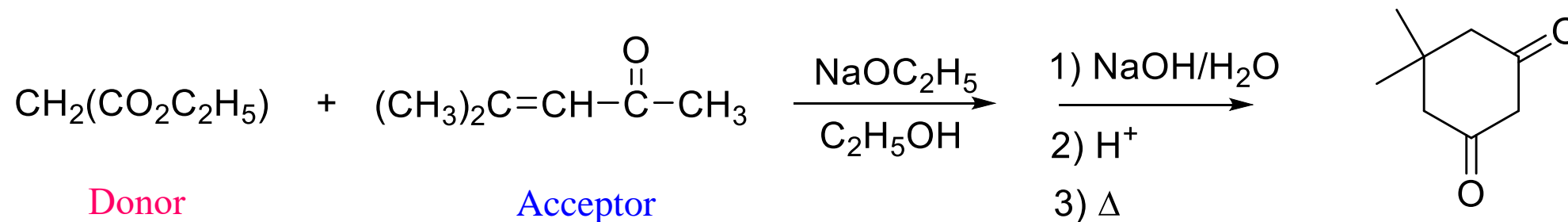
## 3) 1,4-Additions (conjugate addition) of enamine



# Exercise 10

Propose the mechanism for the reaction below

Michael addition + Intramolecular Claisen Ester Condensation+ Decarboxylation

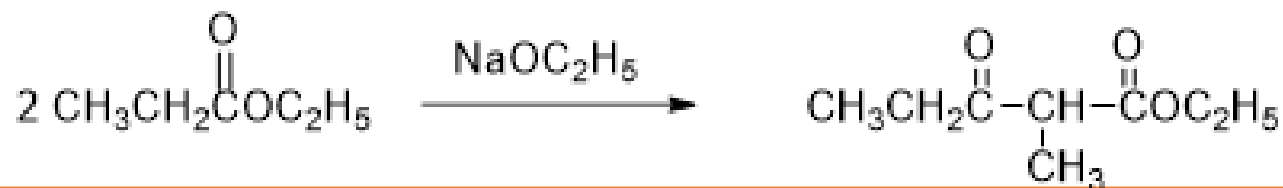


## 6.5 Condensation Reactions

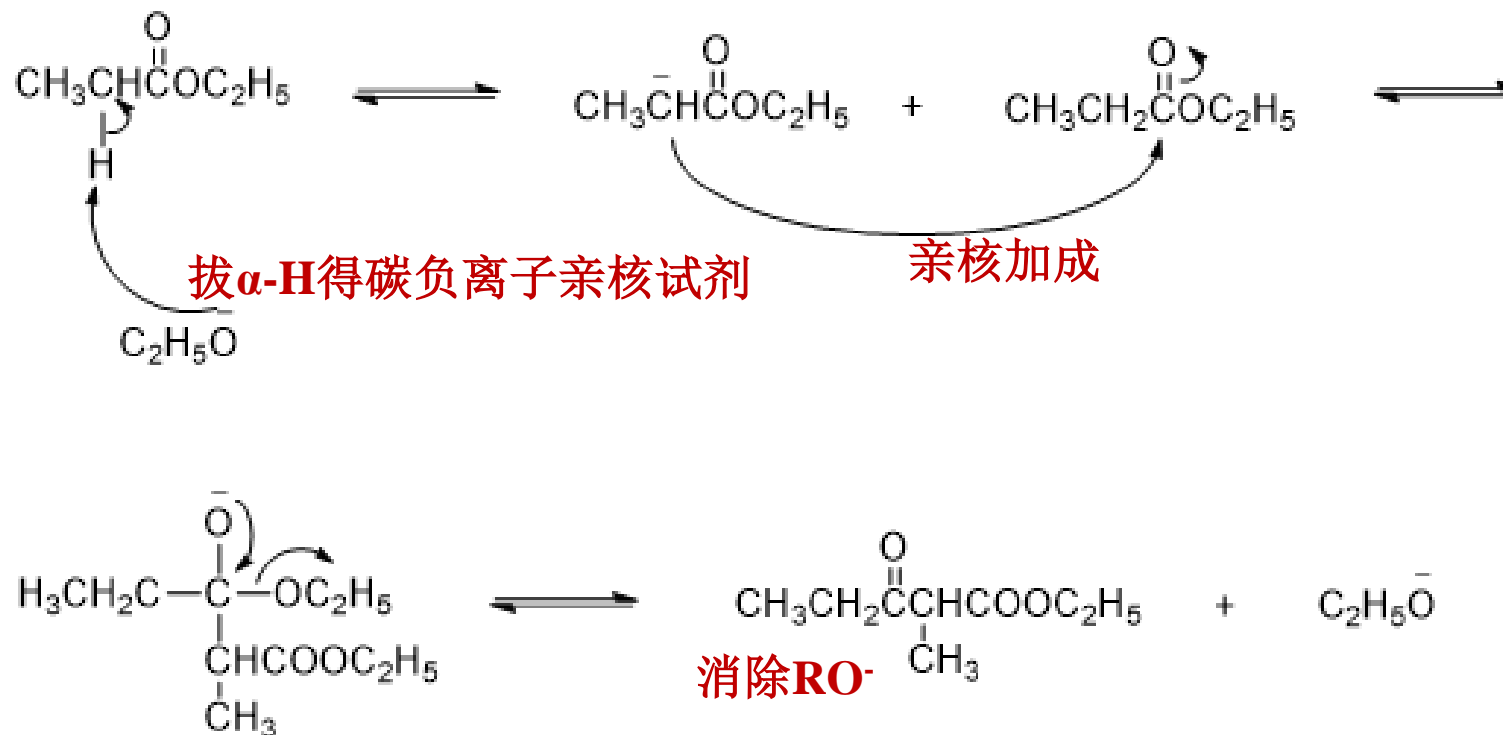
### Claisen Condensation

含活泼  $\alpha$ -氢的酯在碱作用下的缩合得到酮酯

#### self-condensation



#### Mechanism



## 6.5 Condensation Reactions

---



pKa = 11

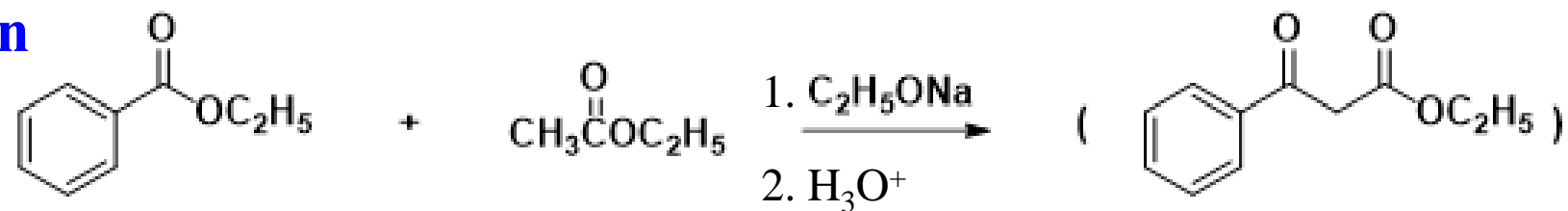
不可逆

pKa = 17

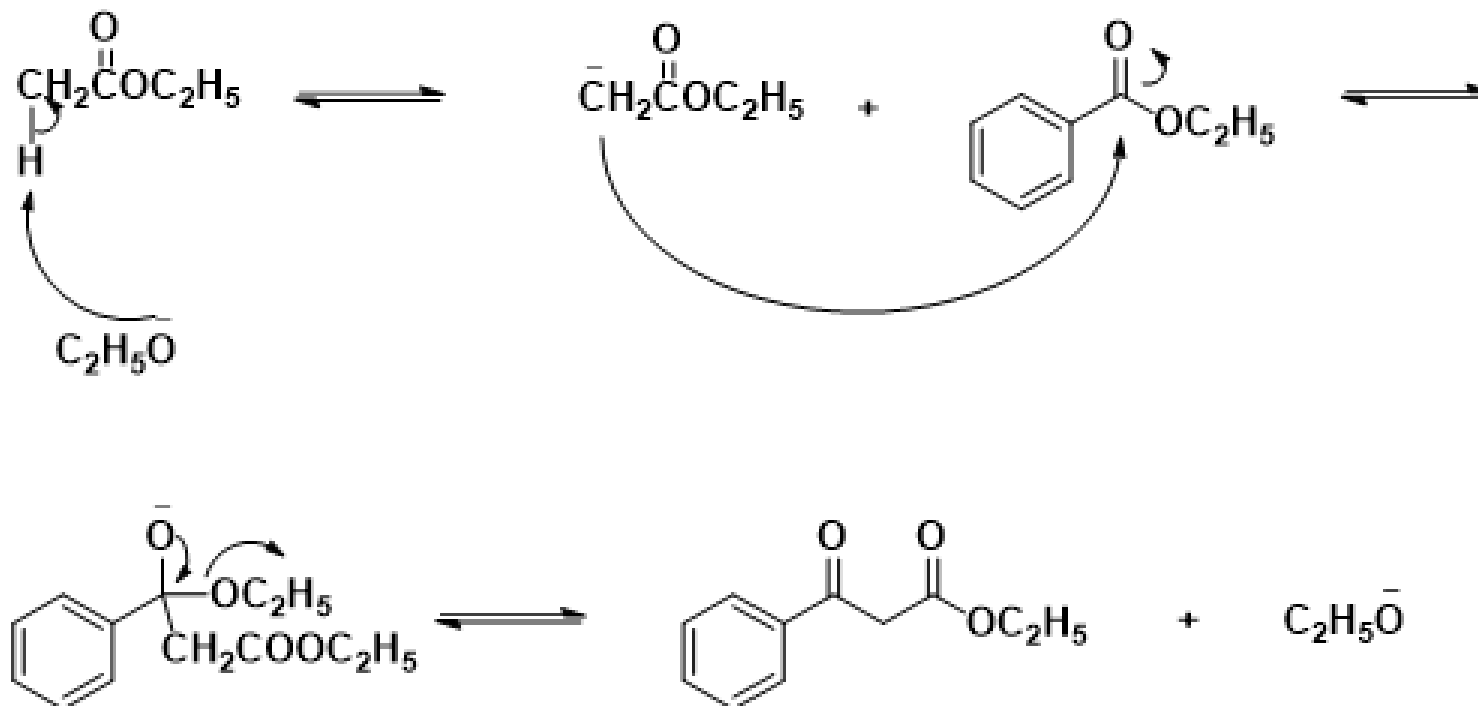


## 6.5 Condensation Reactions

### Mixed-condensation



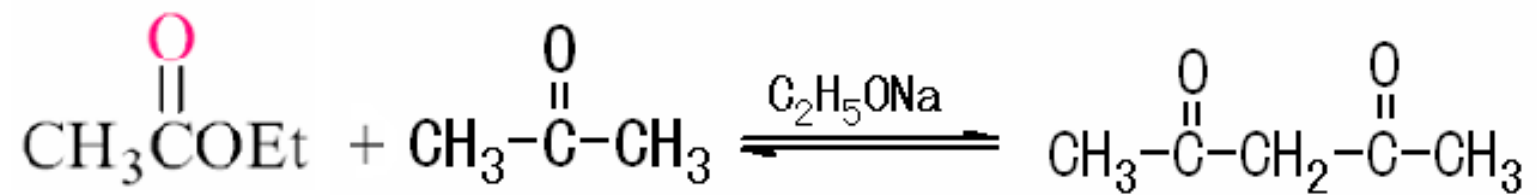
### Mechanism



## 6.5 Condensation Reactions

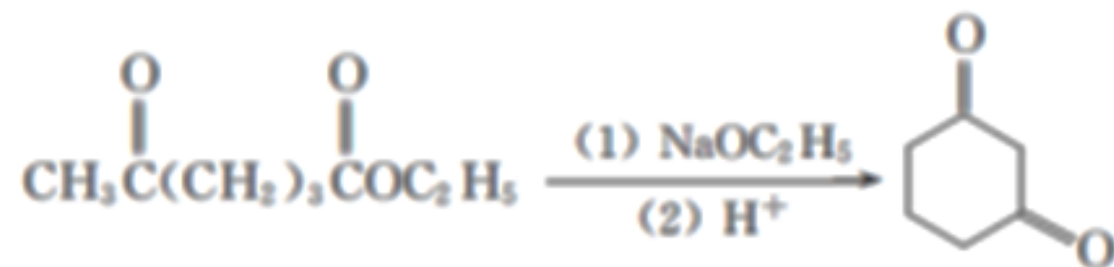
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### Ketone ester condensation

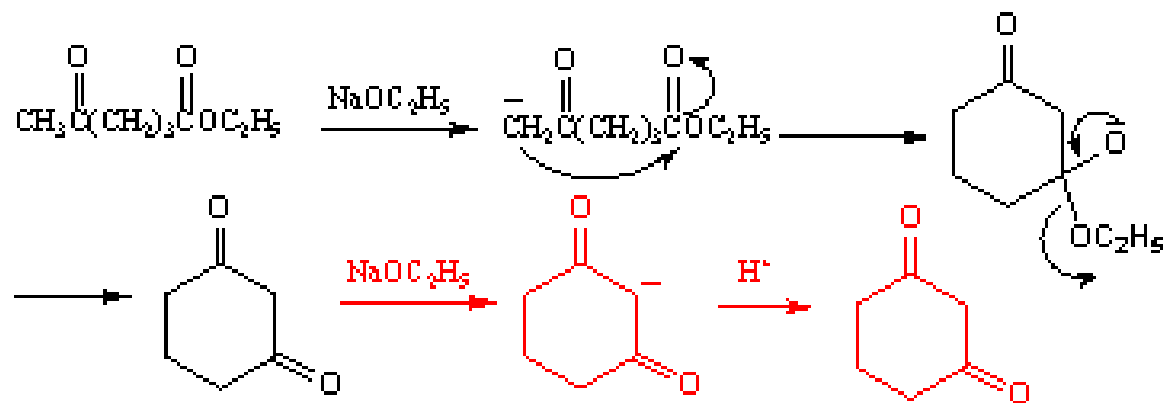




## 6.5 Condensation Reactions



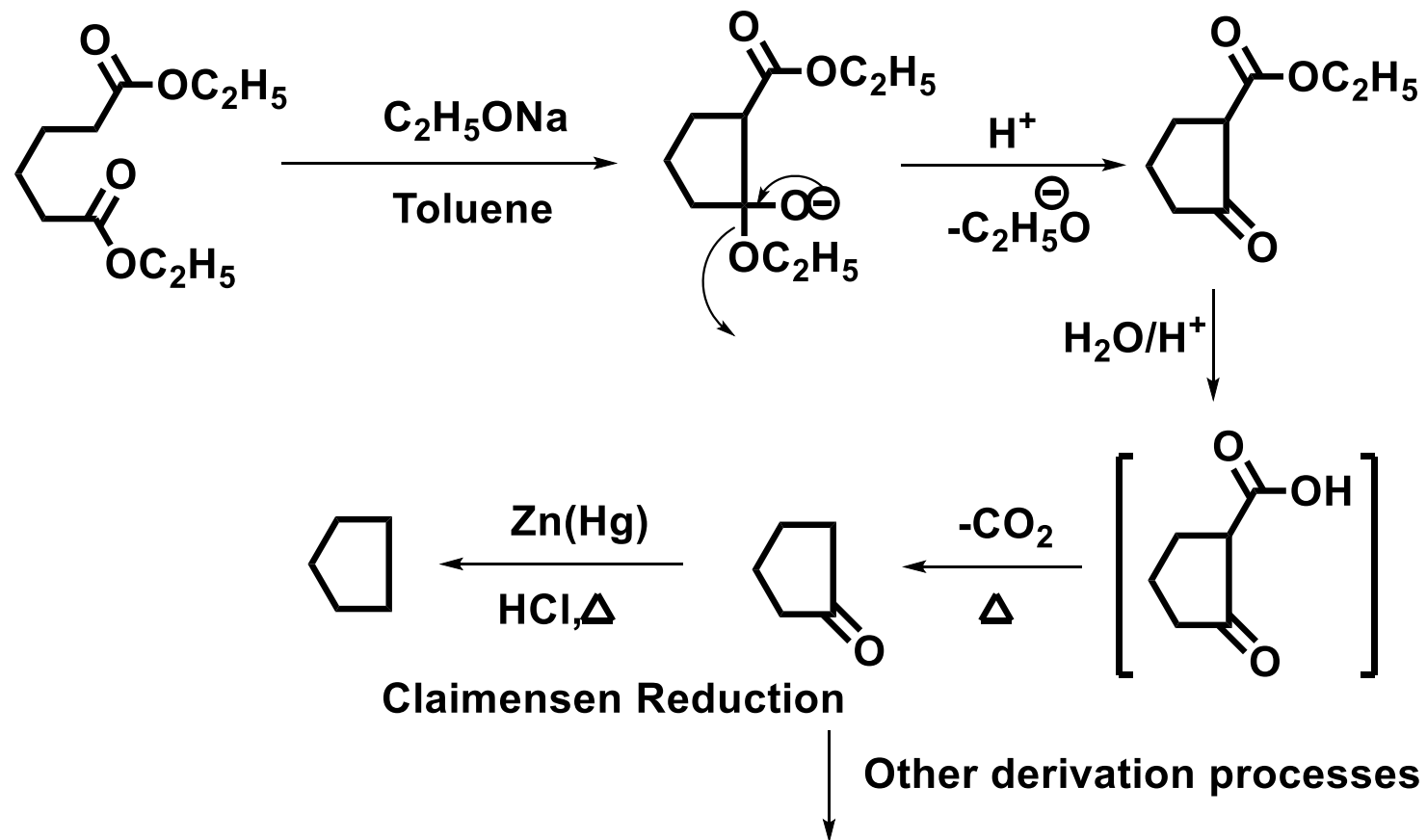
Mechanism ★



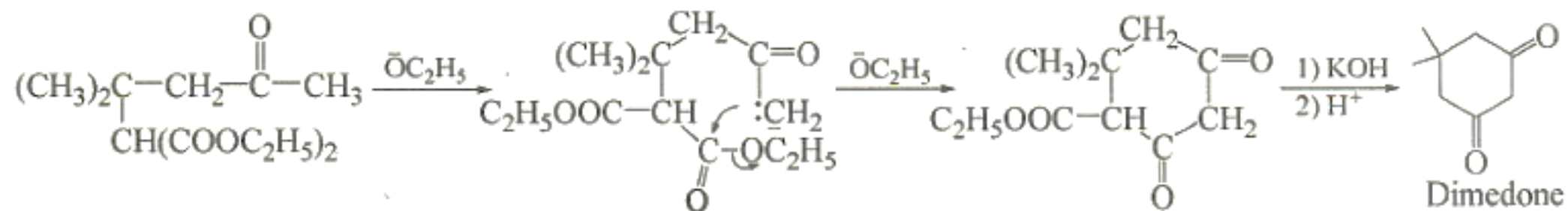
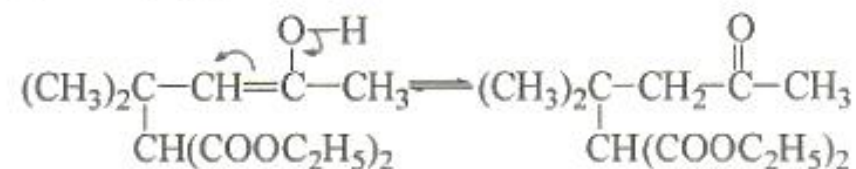
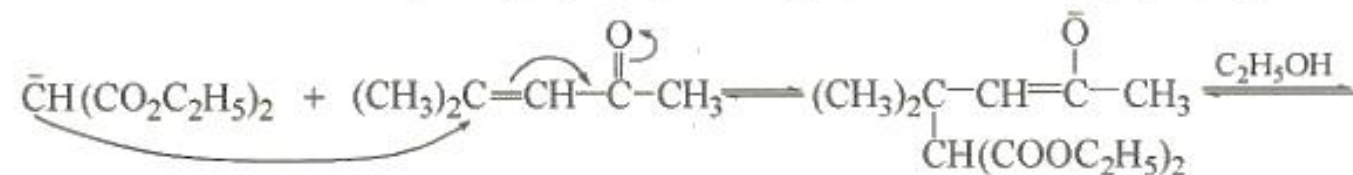
## 6.5 Condensation Reactions

### Dieckman Condensation

分子内酯缩合反应，用于五、六元环化合物的制备。



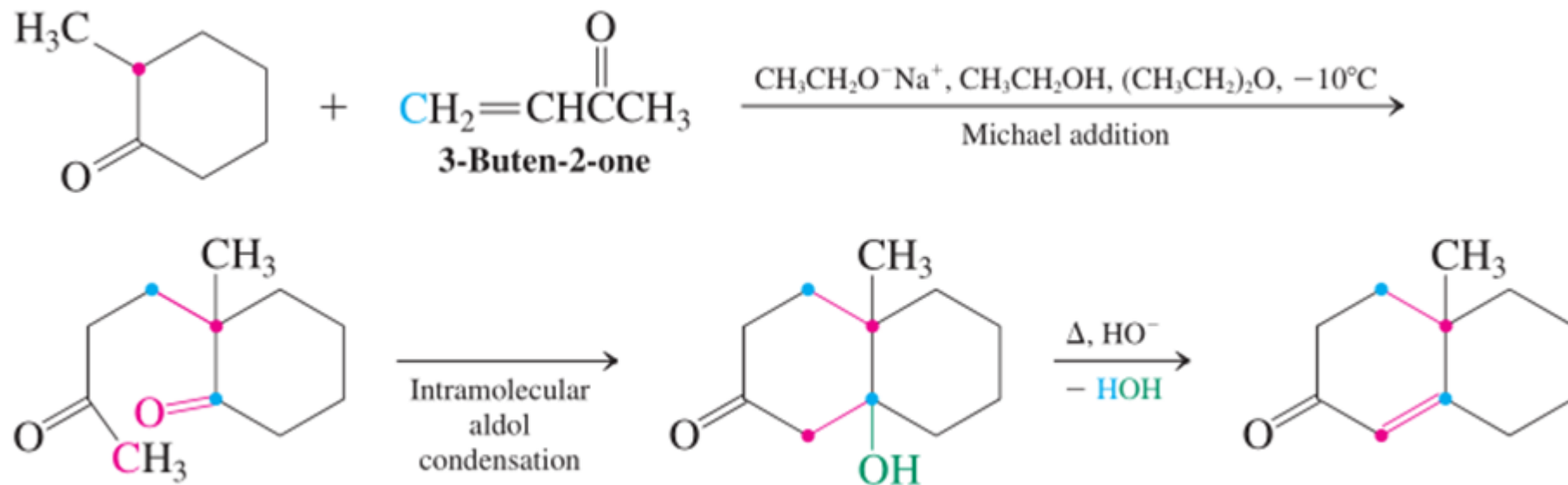
# Exercise 10



# Nucleophilic Addition to Carbonyl Group

## b. Robinson Annulation

### Michael Addition Followed by Intramolecular Aldol Condensation

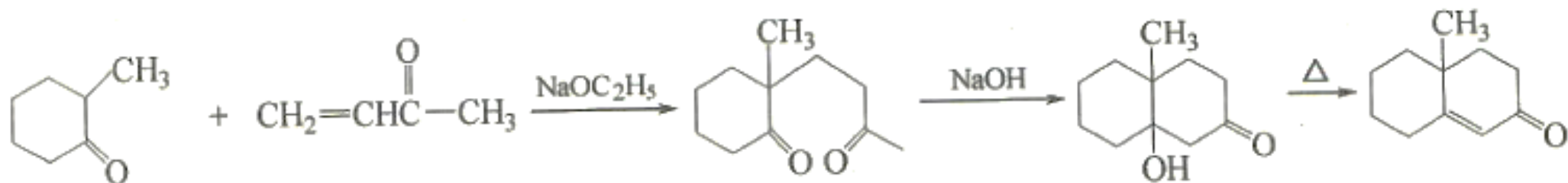


# Exercise 11

Propose the mechanism for the reaction below

Robinson Annulation

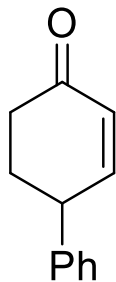
Michael addition + Intramolecular Aldol Condensation



## Exercise 12

---

Propose a synthesis of

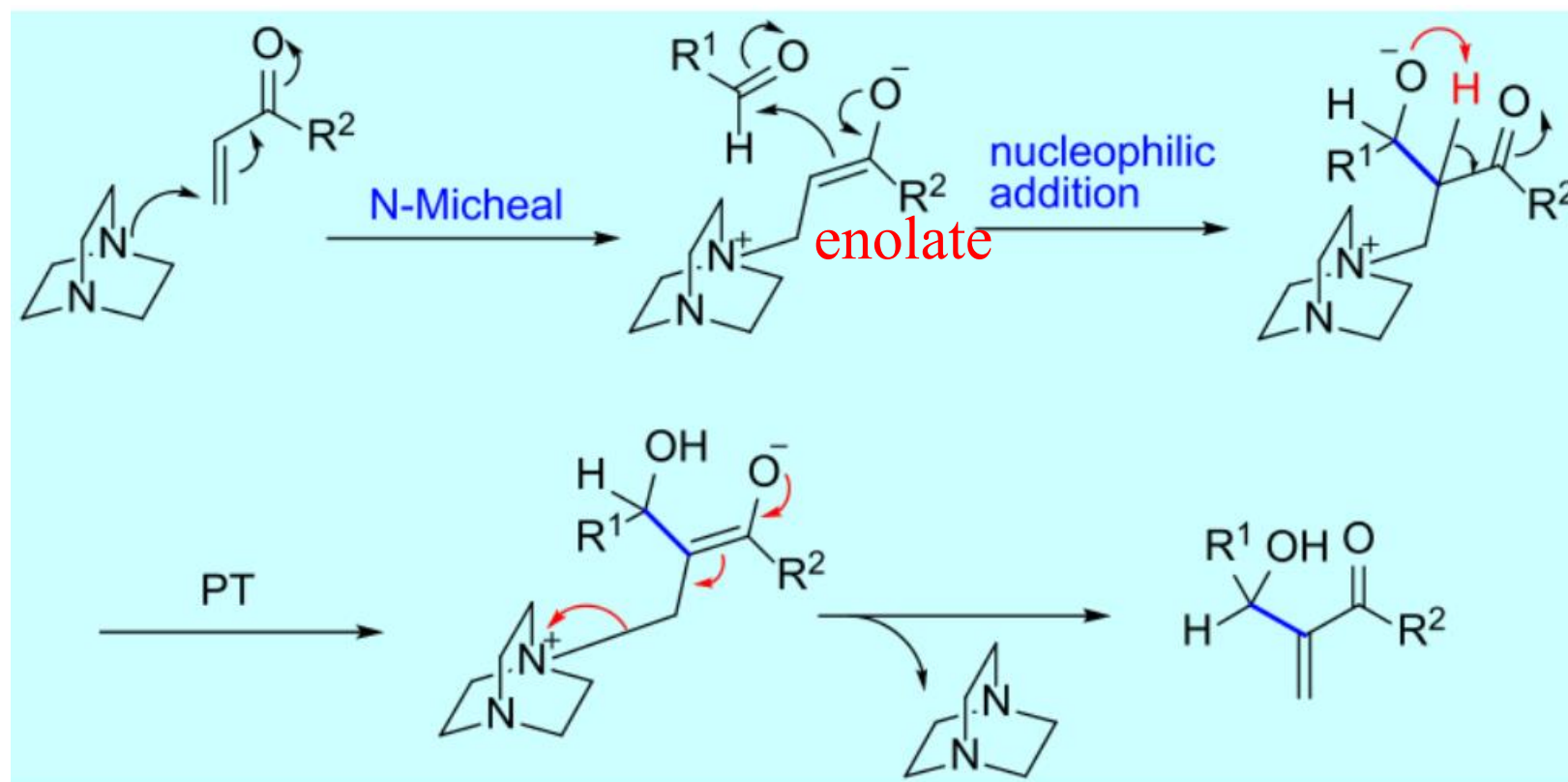
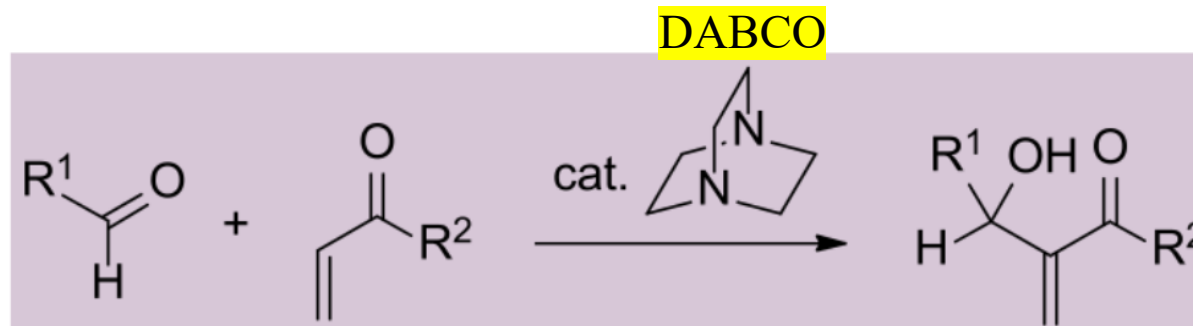


using Michael or Robinson reactions.

# Nucleophilic Addition to Carbonyl Group

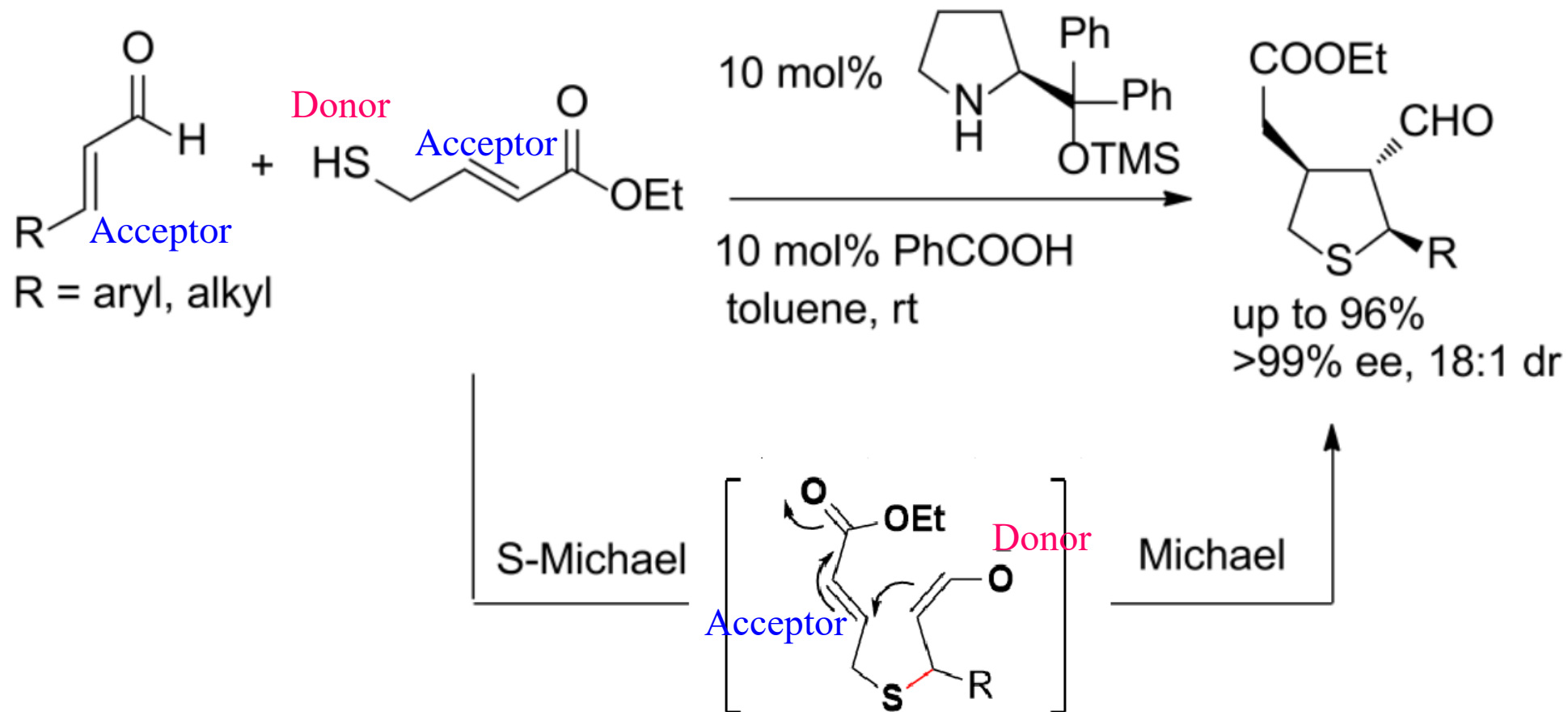
## c. Morita-Baylis-Hillman Reaction

### N-Michael Addition



# Nucleophilic Addition to Carbonyl Group

## S-Michael Addition





# Nucleophilic Addition to Carbonyl Group

## O-Michael Addition      Michael Addition---Nitroalkene as acceptor (了解)

