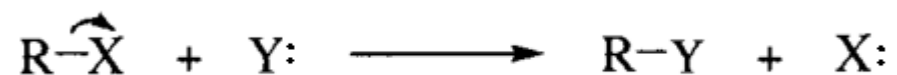


Chapter 5

Aliphatic Nucleophilic Substitution

脂肪族亲核取代反应

At saturated carbon

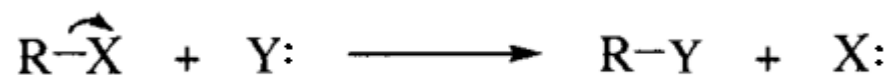


Qiong Li

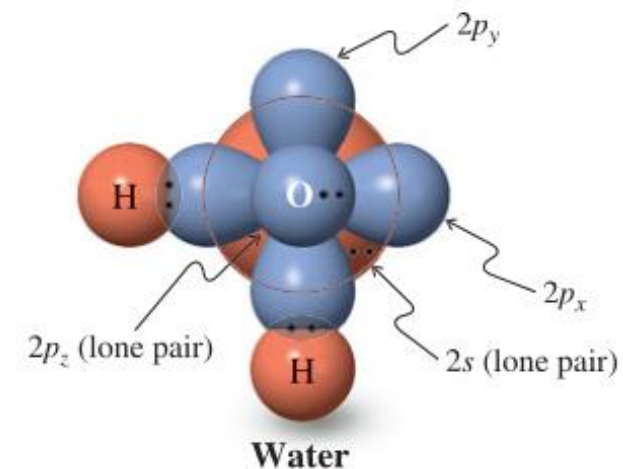
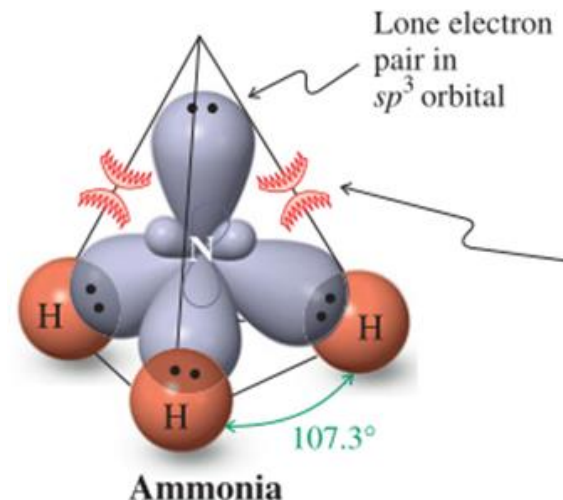
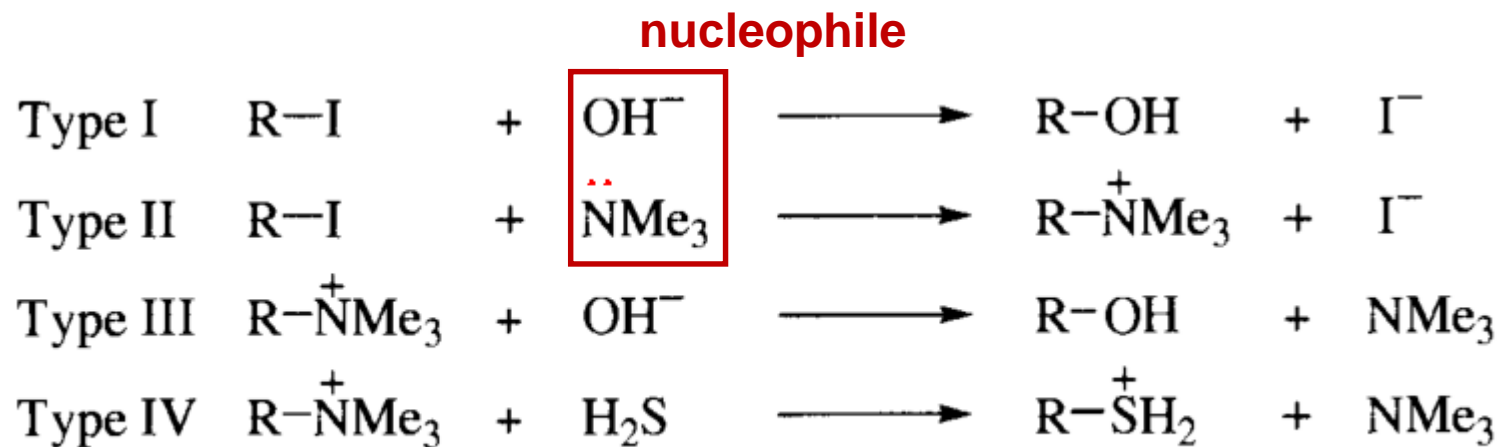
March 11, 2024

5.1 Nucleophilic Substitution reaction

general equation of S_N



There are four charge types for the equation

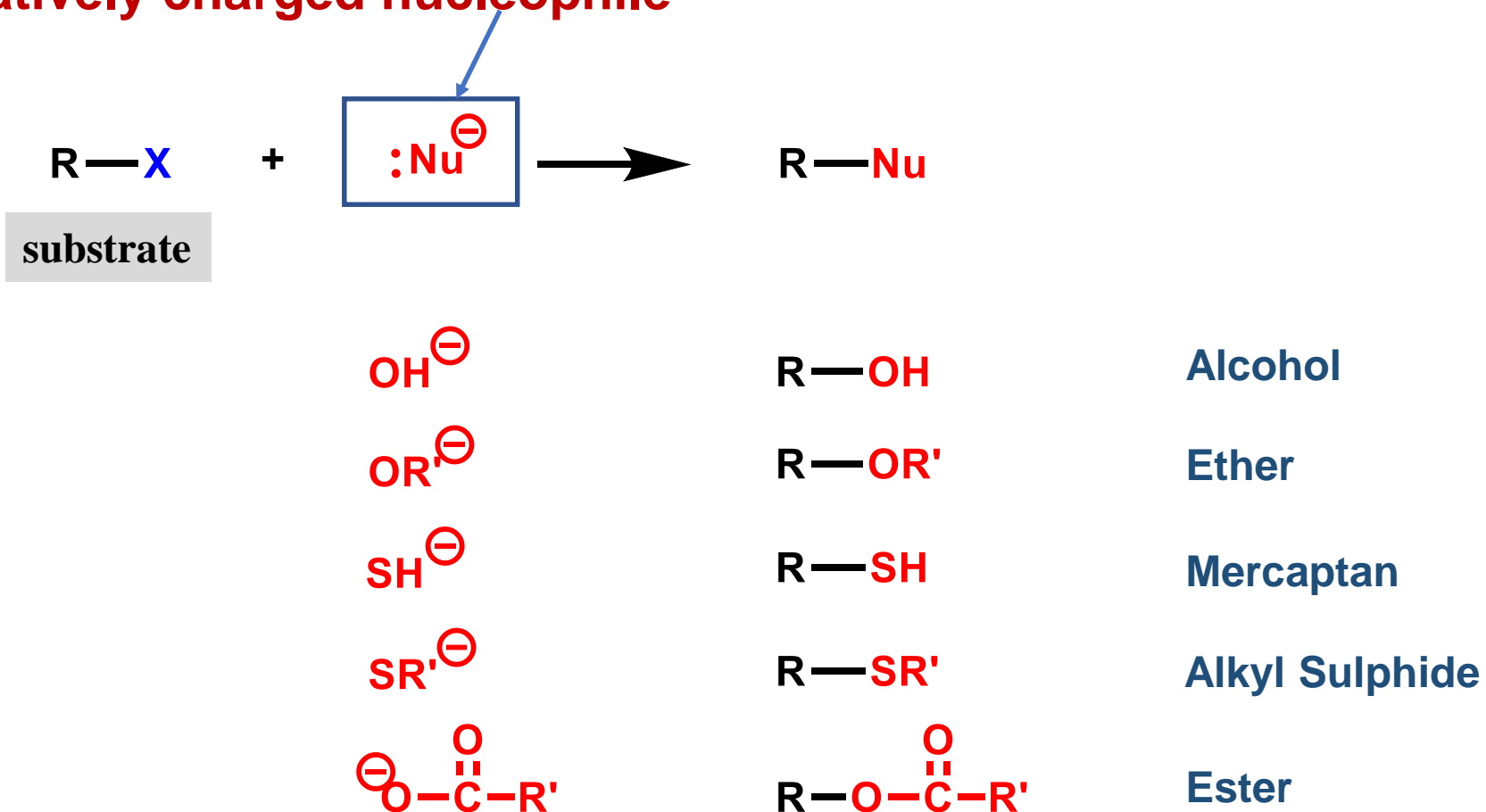


Nucleophile must have an unshared pair of electrons, it can be neutral or negatively charged.

Substrate (RX) may be neutral or positively charged.

5.1 Nucleophilic Substitution reaction

with negatively charged nucleophile



5.1 Nucleophilic Substitution reaction

with negatively charged nucleophile



Nitrile



Alkyne



Alkyl malonate



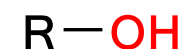
Iodoalkane

5.1 Nucleophilic Substitution reaction

with neutral nucleophile



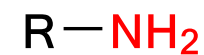
When HNu is a solvent,
The reaction is called
Solvolysis(溶剂解)



Alcohol



Ether



primary amine



secondary amine



tertiary amine



quaternary ammonium salt

Nucleophilic substitution at
an alkyl carbon is also said
to **alkylate the nucleophile**.



5.2 Mechanisms

Several distinct mechanisms are possible for aliphatic nucleophilic substitution reactions. Depending on the substrate, nucleophile, leaving group, and reaction condition.

In all of them, **Nucleophile (attacking reagent)** must carry electron pair with it. it can be neutral or negatively charged.

By far the most common mechanisms that occur at a saturated carbon are the **S_N1** and **S_N2** mechanisms.

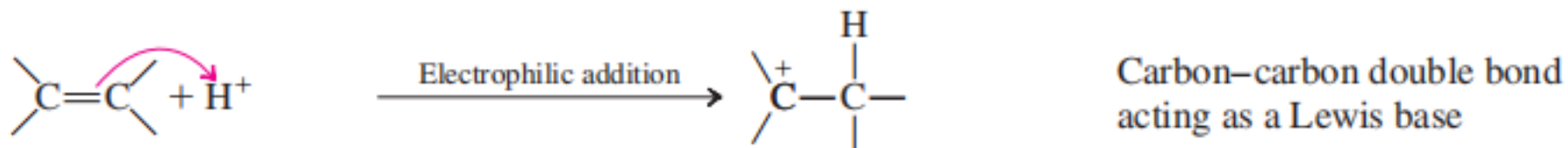
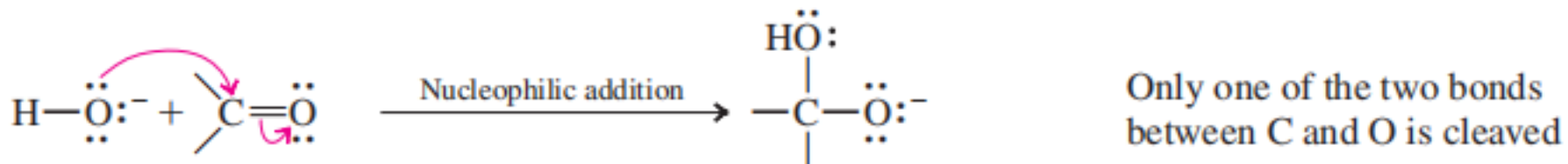
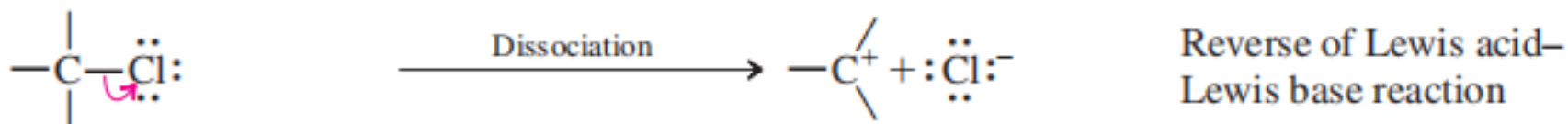
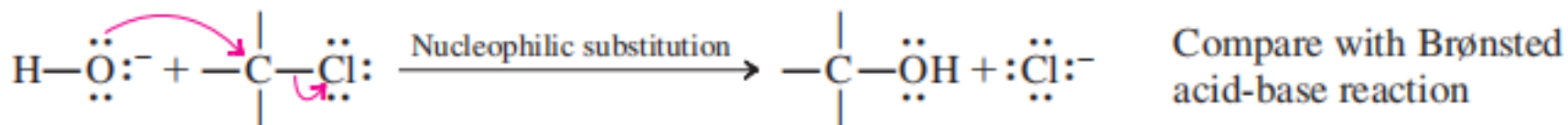
Classification of the nucleophilic substitution **mechanisms**

S_N1 , S_N2 , The neighboring-Group mechanism, S_Ni , SET

5.2 Mechanisms

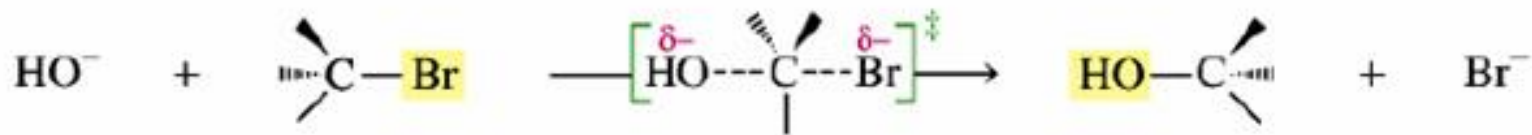
Reaction Mechanisms Involving Polar Functional Groups: Using “Electron-Pushing” Arrows

Curved-Arrow Representations of Several Common Types of Mechanisms



5.2 Mechanisms

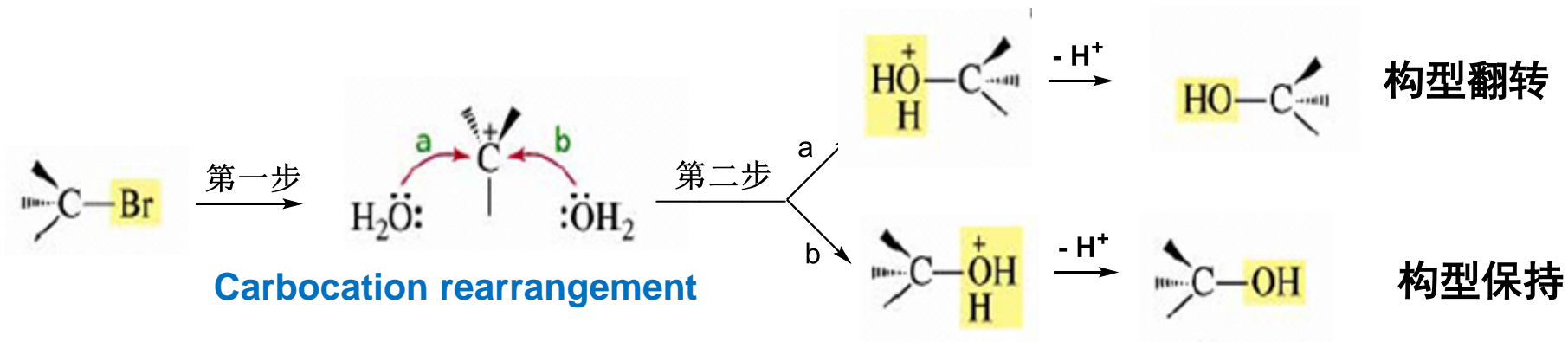
S_N2 mechanism $A_N D_N$ Bond breaking is concurrent with bond formation $r = k [RX][Nu^-]$



one-step process with no intermediate.

Walden 翻转

S_N1 mechanism $D_N^+ A_N$ Bond breaking happens first, then bond formation happens $r = k [RX]$

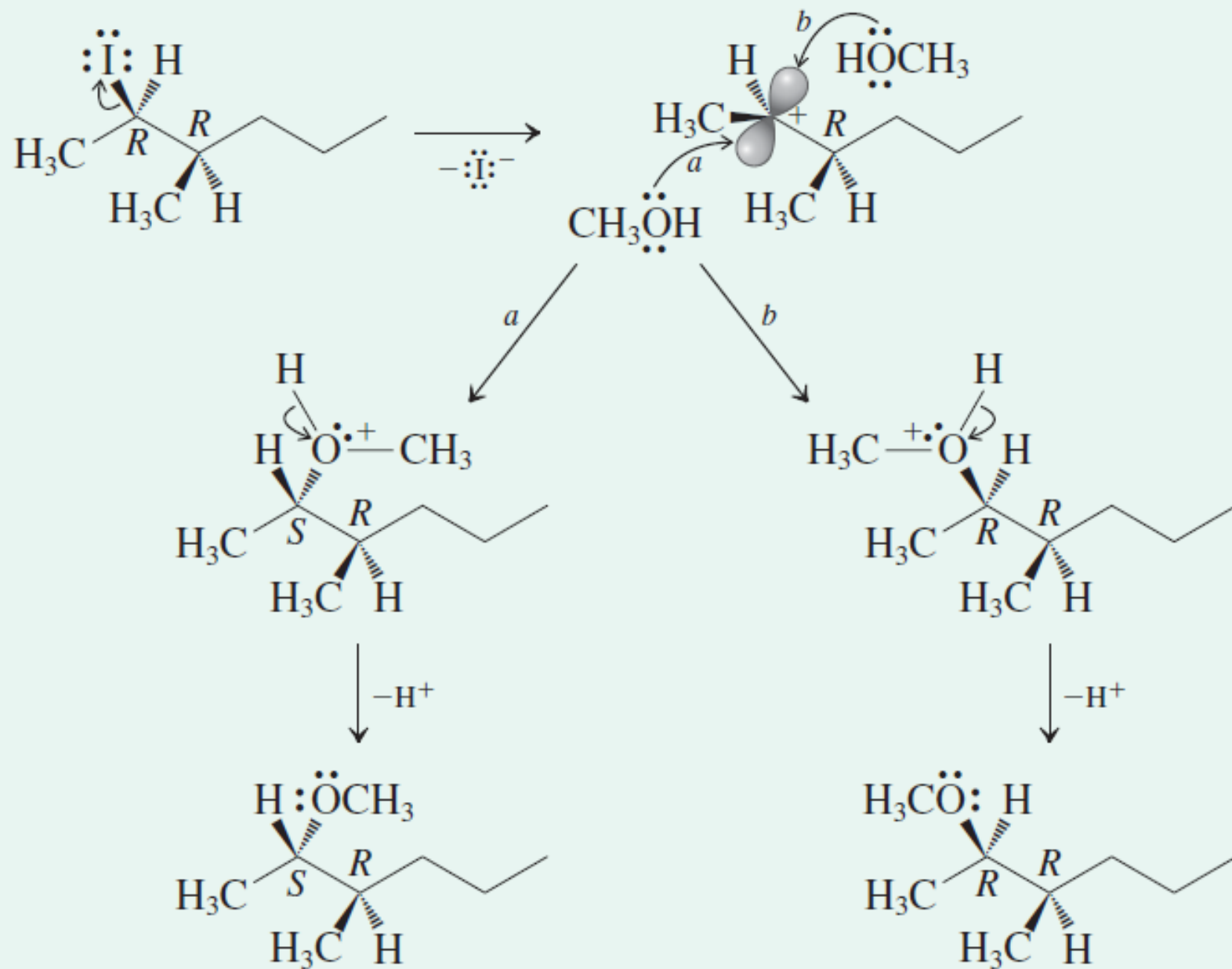


Carbocation rearrangement

two-step process with carbocation intermediate.

S_N1 Mechanism Exercise

Gentle warming of (2*R*,3*R*)-2-iodo-3-methylhexane in methanol gives two stereoisomeric methyl ethers. How are they related to each other? Explain mechanistically



5.2 Mechanisms

IUPAC 机理命名

A: 键生成

D: 键断裂

E: 亲电性

N: 亲核性

+: 连续反应



SN1反应

成为 DN + AN

5.2 Mechanisms

S:取代, Ad:加成, E:消除,
E:亲电性, N:亲核性, R:自由基性
1: 单分子, 2: 双分子



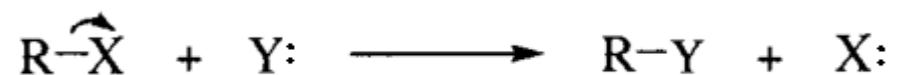
E1, E2, SN1, SN2

Chapter 5-2

Aliphatic Nucleophilic Substitution

脂肪族亲核取代反应

At saturated carbon

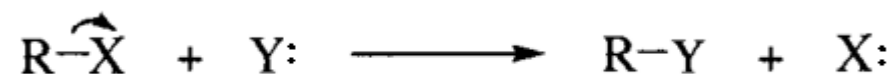


Qiong Li

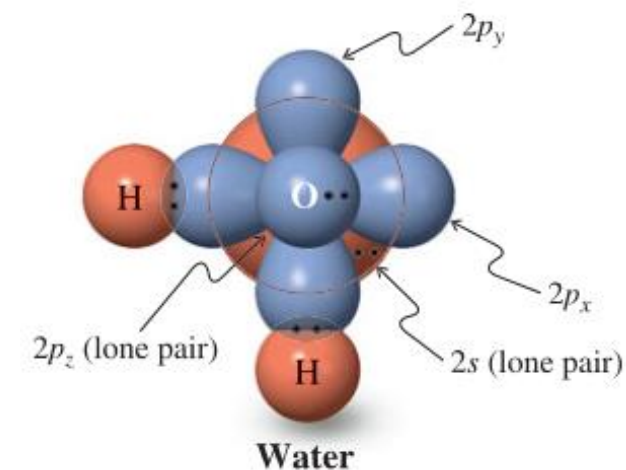
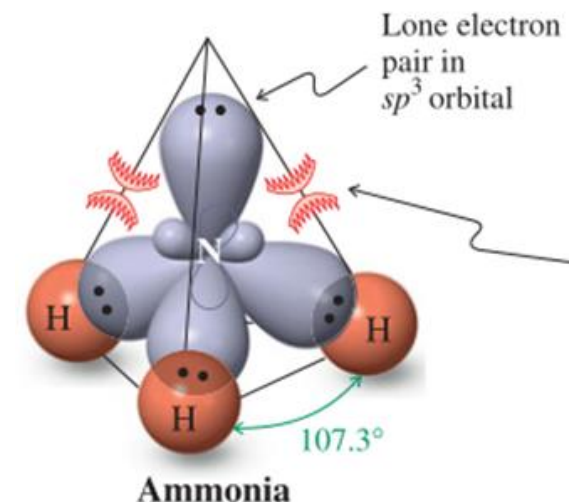
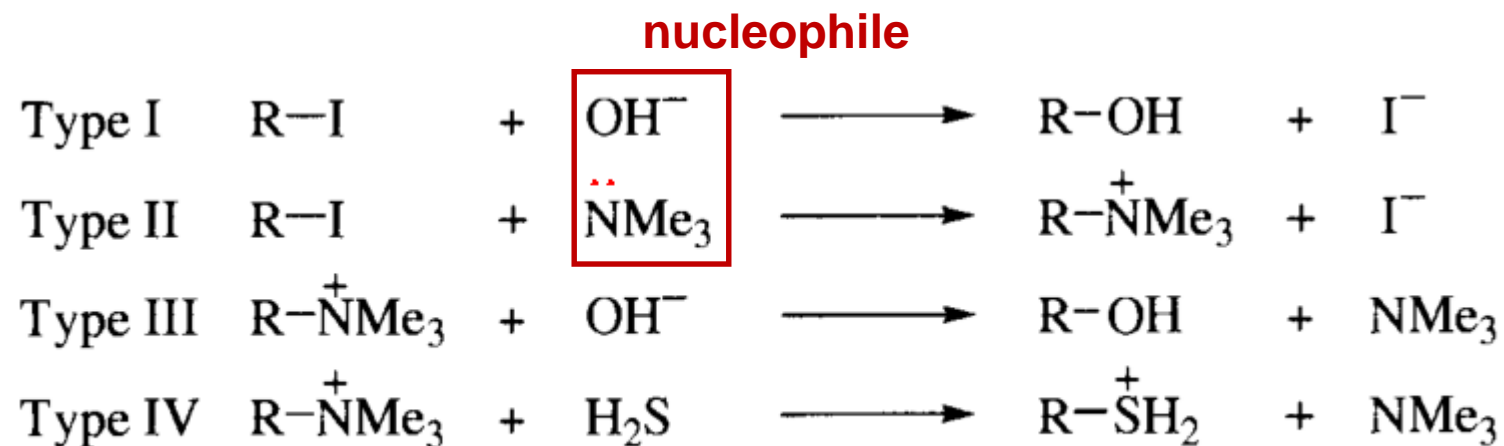
March 11, 2024

Review

general equation of S_N



There are four charge types for the equation

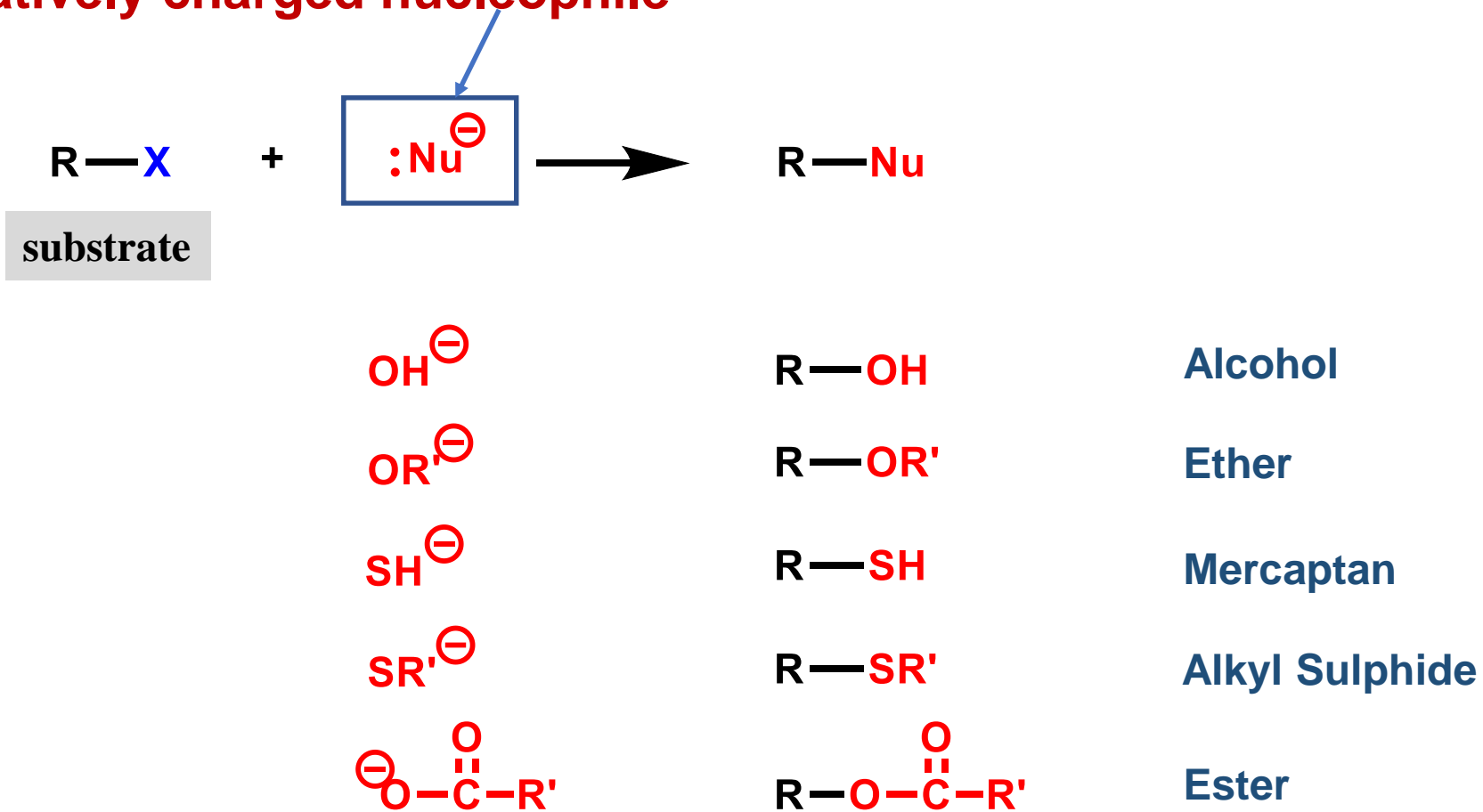


Nucleophile must have an unshared pair of electrons, it can be neutral or negatively charged.

Substrate (RX) may be neutral or positively charged.

Review

with negatively charged nucleophile



Review

with negatively charged nucleophile



Nitrile



Alkyne



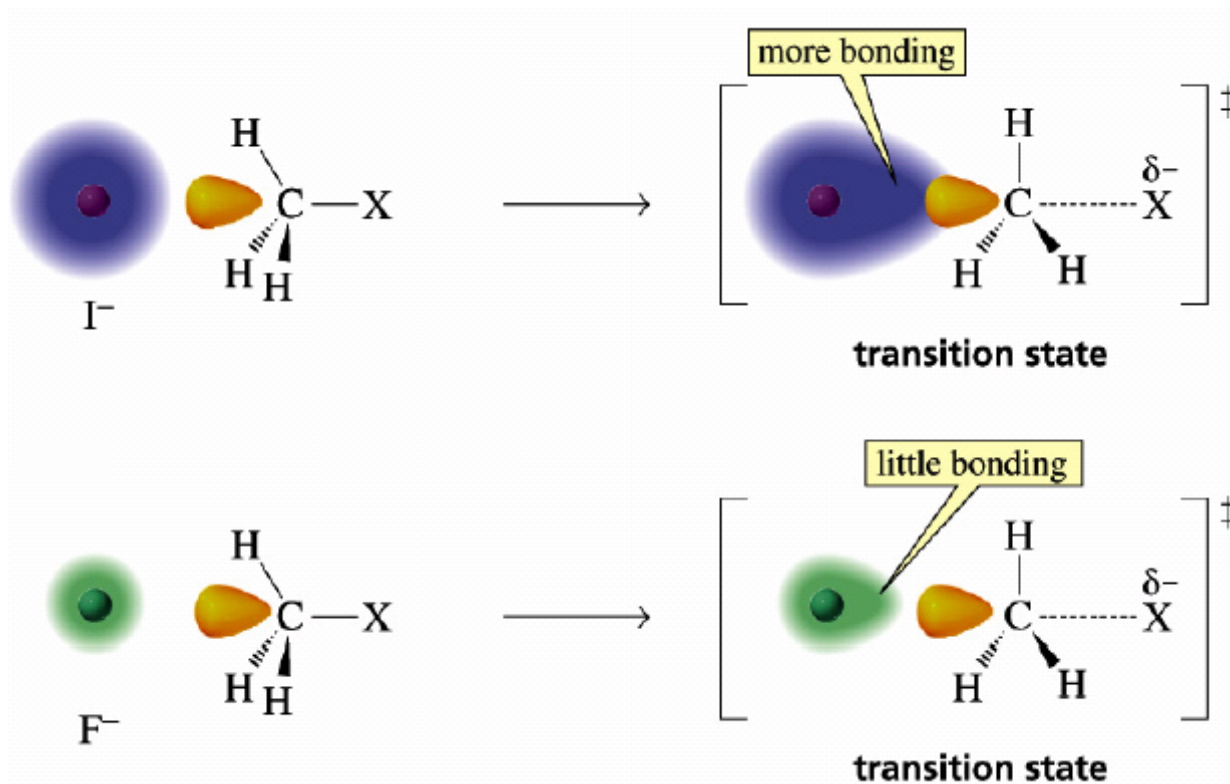
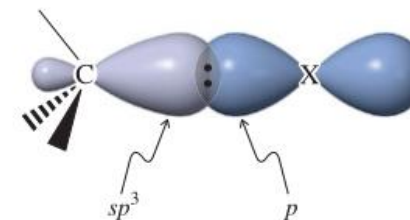
Alkyl malonate



Iodoalkane

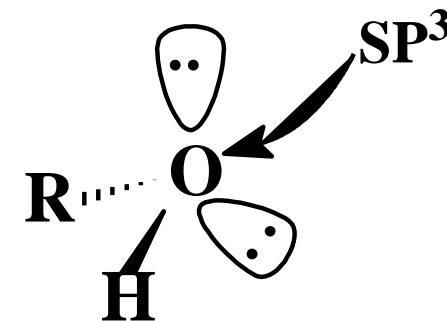
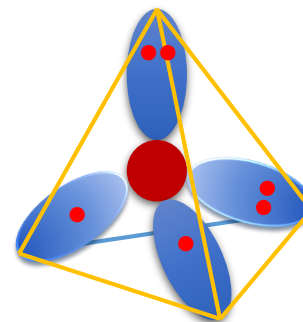
1.3 Inductive and Field Effects

Inducted Dynamic Nuclear Polarization

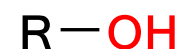


Review

with neutral nucleophile



When HNu is a solvent,
The reaction is called
Solvolysis(溶剂解)



Alcohol



Ether



primary amine



secondary amine



tertiary amine



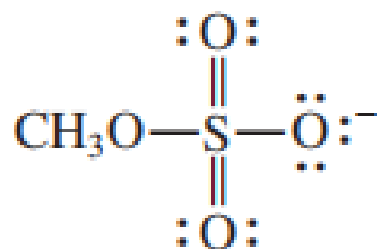
quaternary ammonium salt

Nucleophilic substitution at
an alkyl carbon is also said
to **alkylate the nucleophile**.

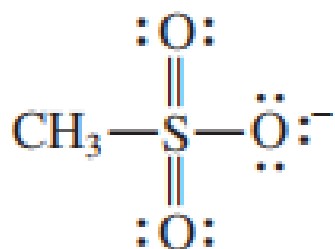


Review

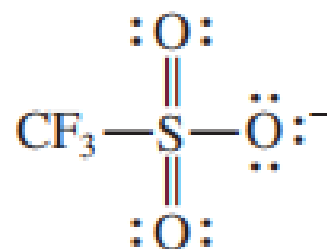
Sulfate and Sulfonate Leaving Groups



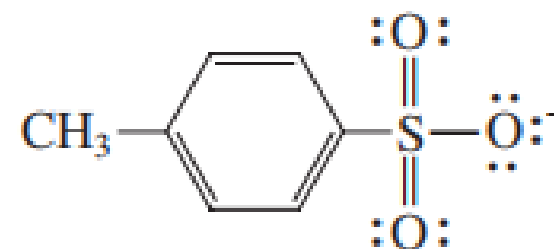
Methyl sulfate ion



Methanesulfonate ion
(Mesylate ion)



Trifluoromethanesulfonate ion
(Triflate ion)



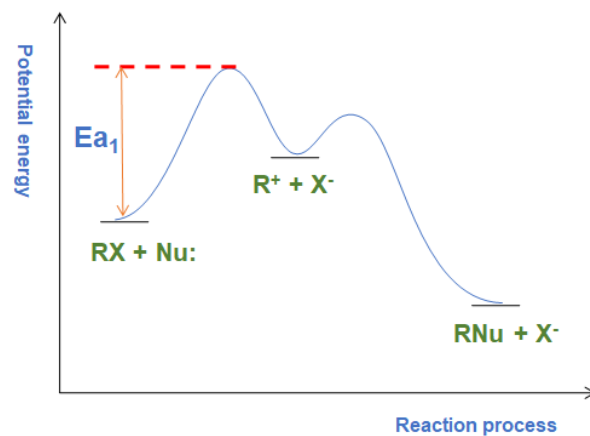
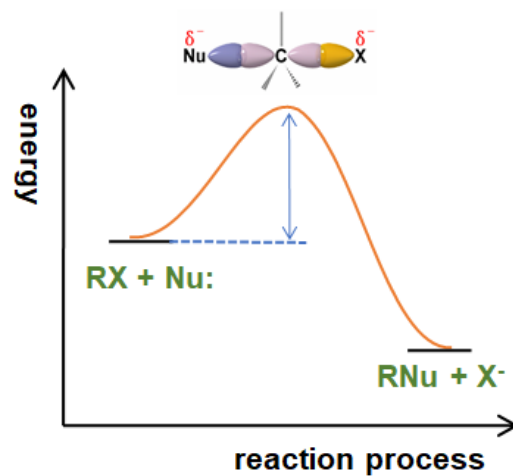
4-Methylbenzenesulfonate ion
(*p*-Toluenesulfonate ion,
tosylate ion)

-OMs

-OTs

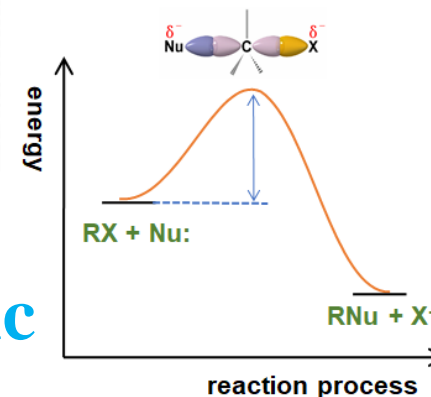
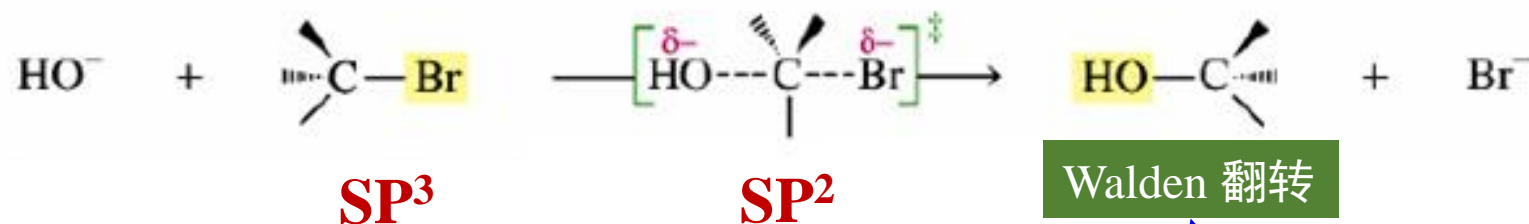
Classification of the nucleophilic substitution mechanisms

S_N2 , S_N1 , The neighboring-Group mechanism, S_Ni , SET



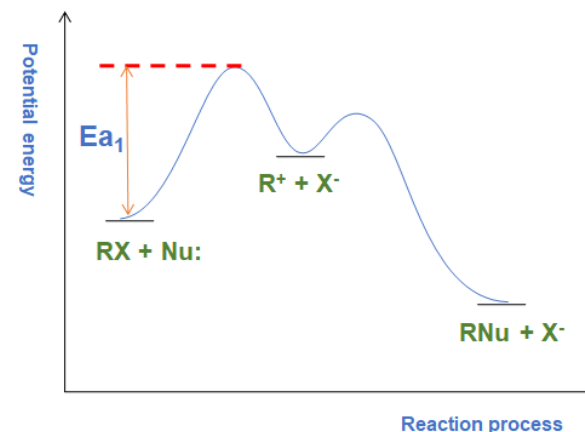
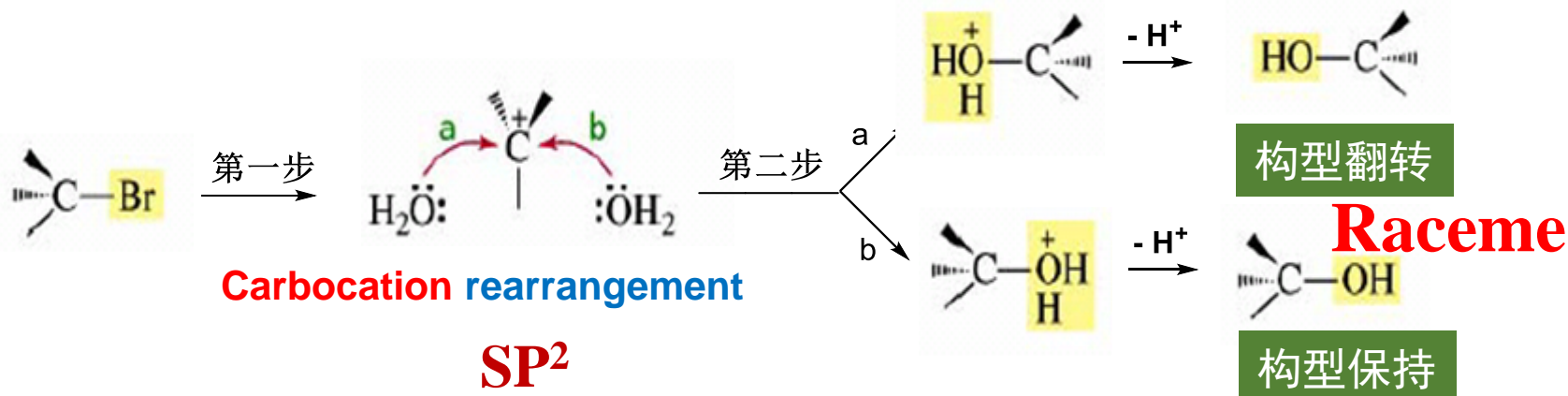
Review

S_N2 mechanism $A_N D_N$ Bond breaking is concurrent with bond formation $r = k [RX][Nu^-]$



one-step process with no intermediate. The S_N2 reaction is stereospecific

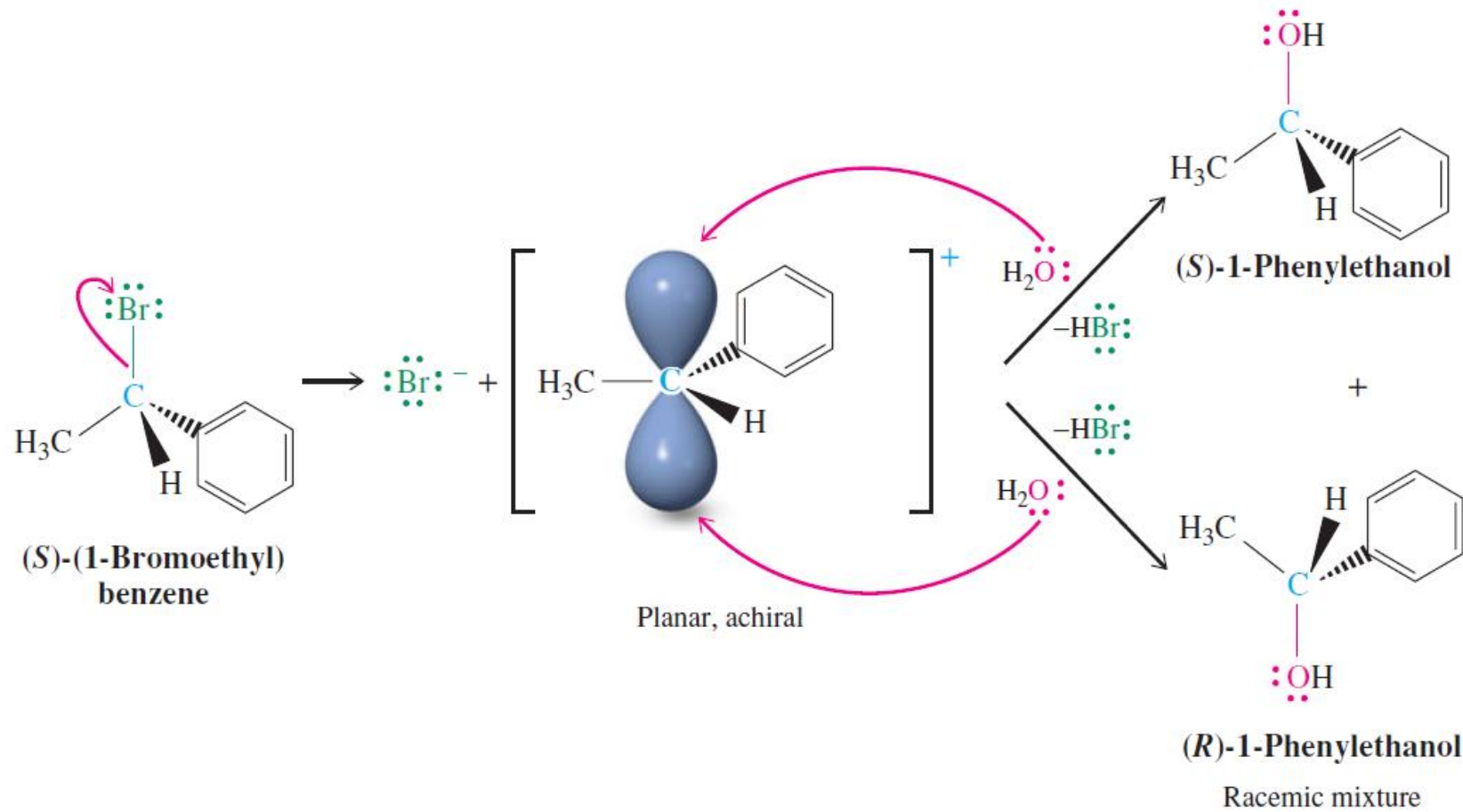
S_N1 mechanism $D_N^+ A_N$ Bond breaking happens first, then bond formation happens $r = k [RX]$



two-step process with carbocation intermediate.

转型 > 保型?

Review



Enantiomer

对映异构体

Raceme

外消旋体

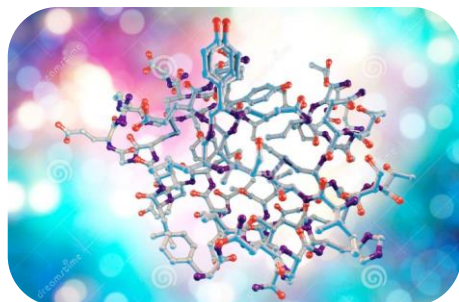
In general, the formation of **racemic products** from optically active substrates is strong evidence for the intermediate being a symmetrical, achiral species/enantiomers, such as a **carbocation**.

Fundamentals of Stereochemistry

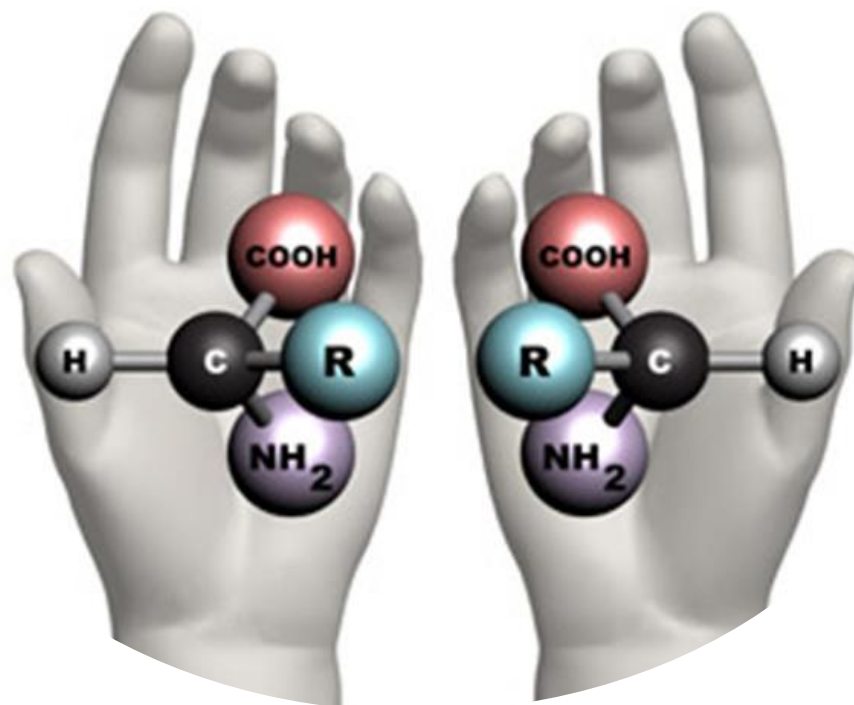
Chirality



海螺



蛋白质

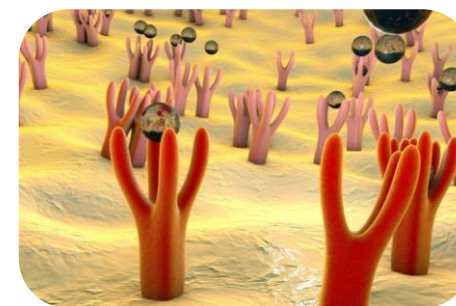


手性药物

手性：左右手互为镜像但无法重叠的性质

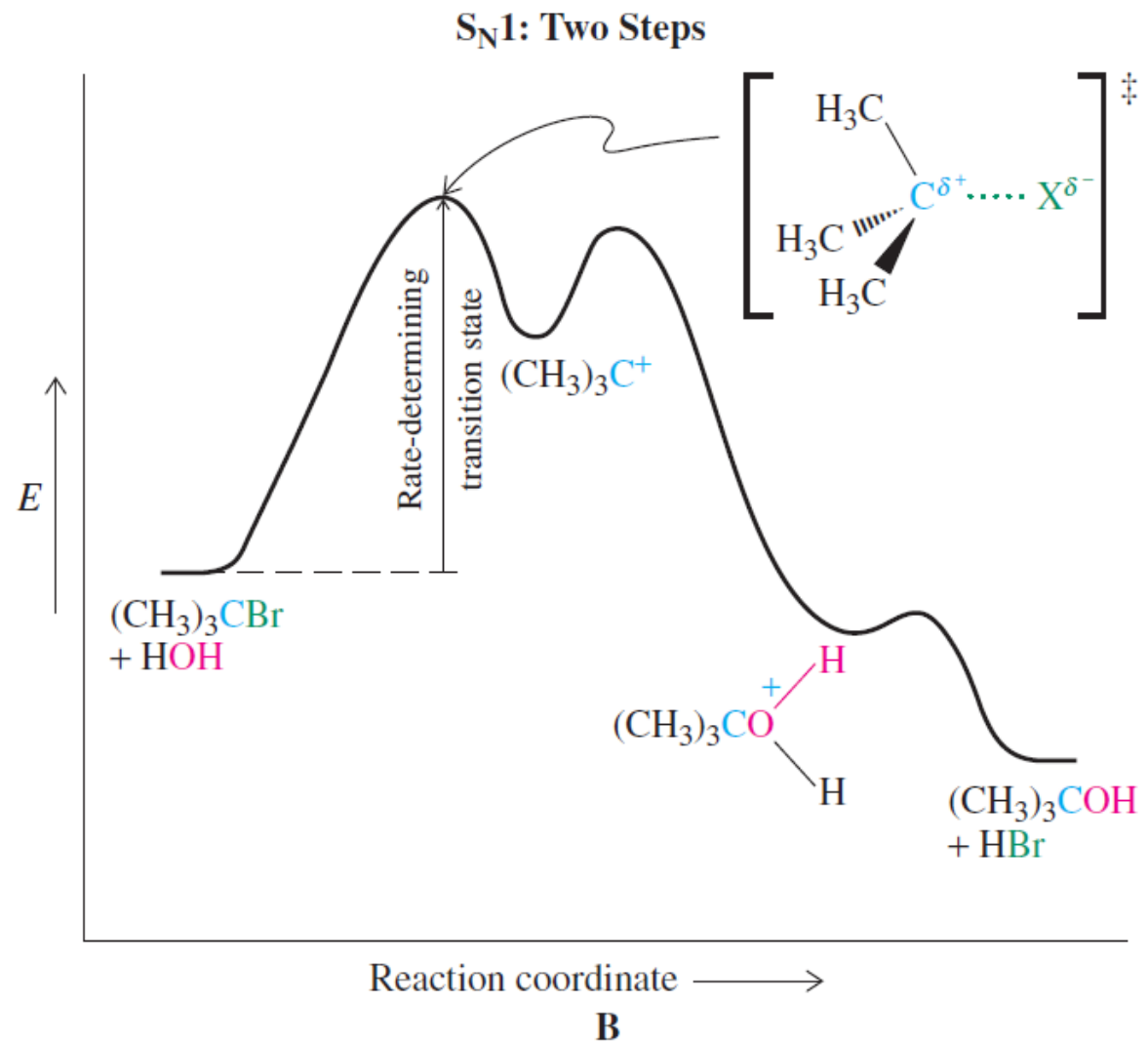
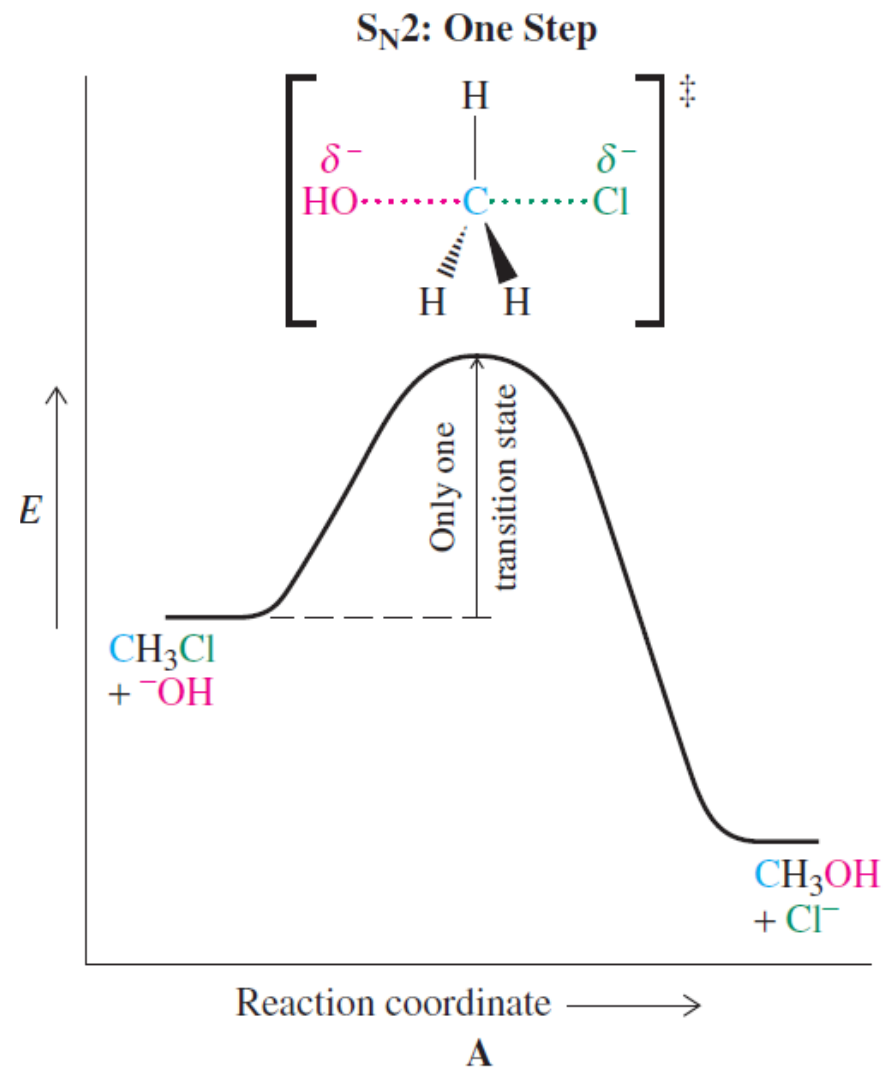


核酸



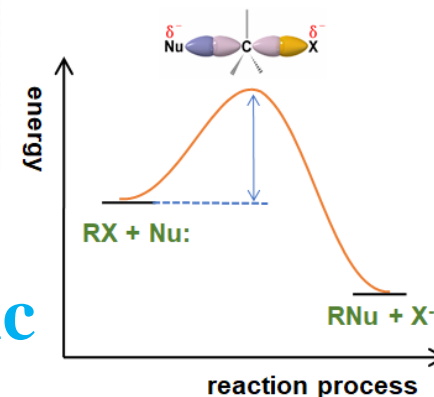
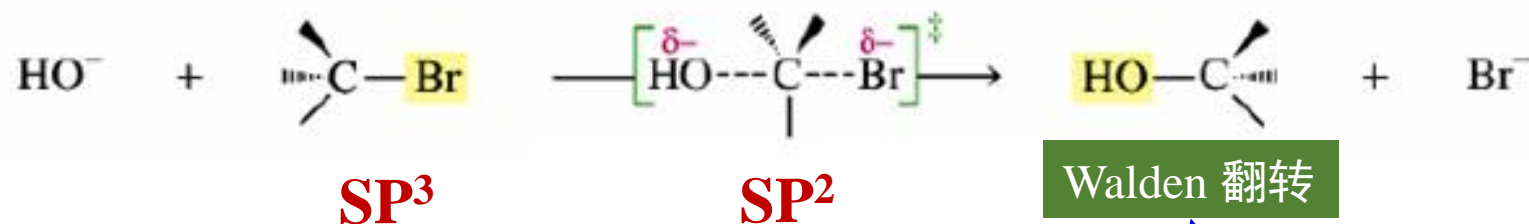
受体

Review



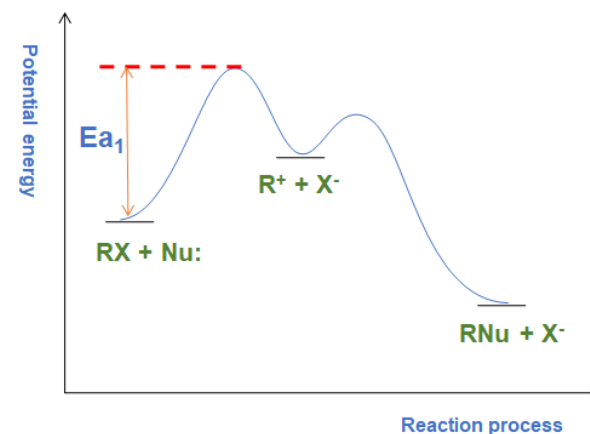
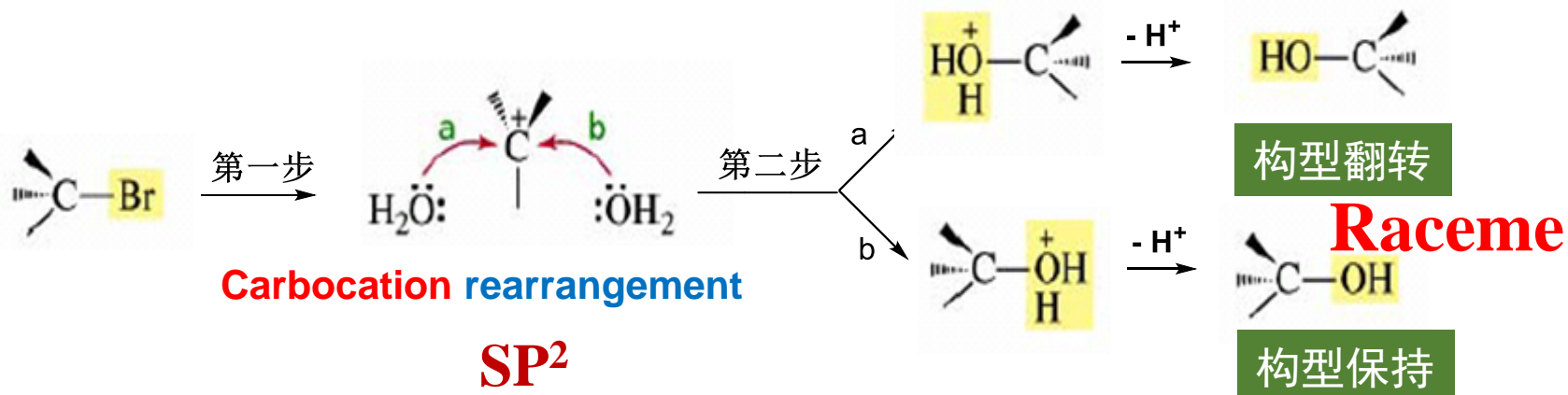
Review

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one-step process with no intermediate. The S_N2 reaction is stereospecific

S_N1 mechanism $D_N^\ddagger + A_N$ Bond breaking happens first, then bond formation happens $r = k [RX]$



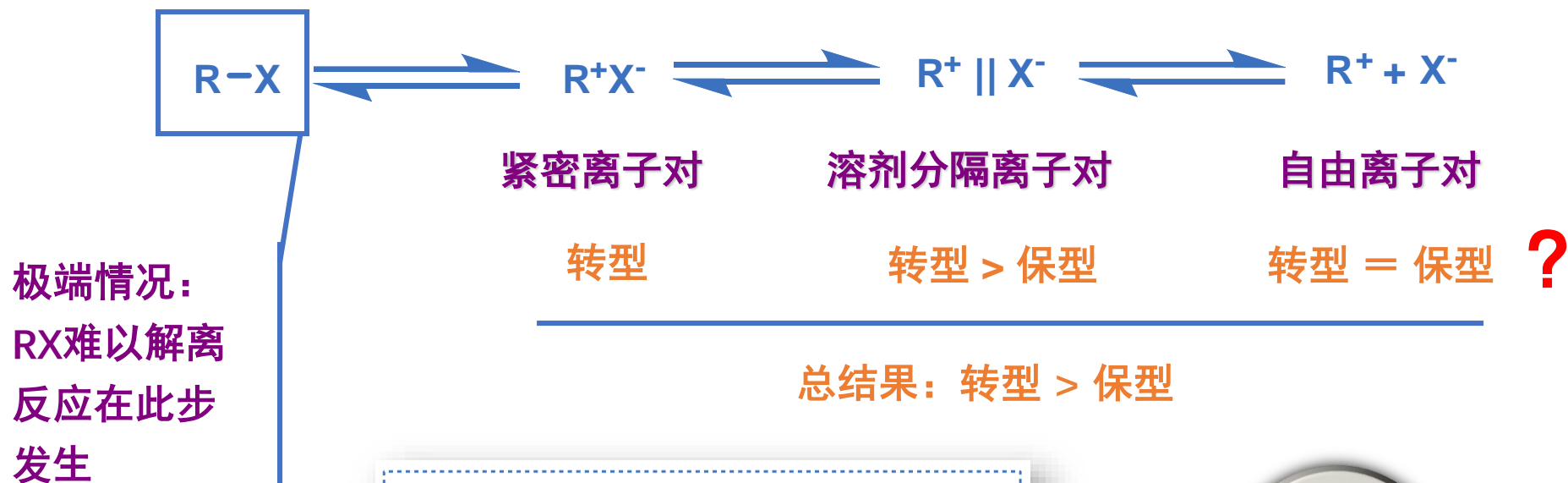
two-step process with carbocation intermediate.

转型 > 保型 ?

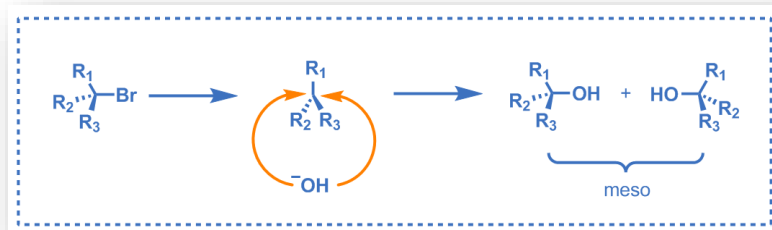
Review

Ion Pair Theory 离子对理论

描述S_N1过程



S_N2反应！

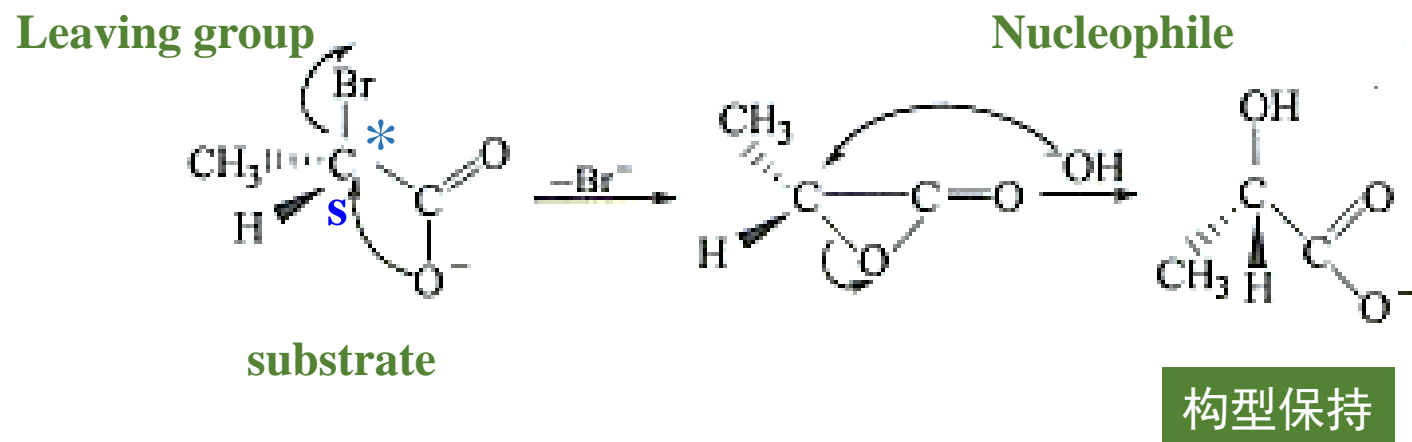


Winstein S.



5.4 The Neighboring-Group Mechanism 邻基参与机理

(S)- α -溴代丙酸盐的水解反应



The configuration at the chiral carbon is retained.
and not inverted or racemized why?

5.4 The Neighboring-Group Mechanism

5.4.1 The structure of the substrates

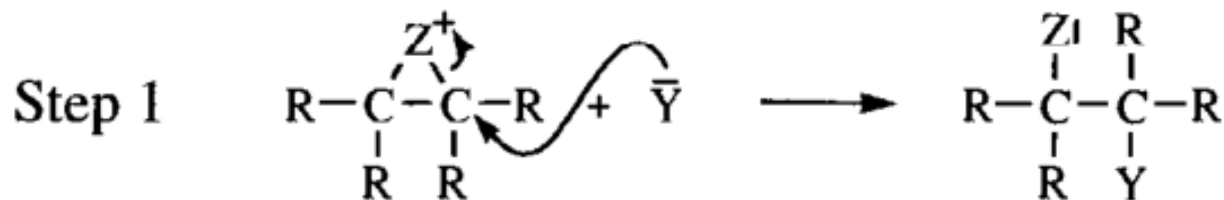


There is usually a group with an unshared pair of electrons β to the leaving group

在离去基团的 β -位通常连有一个带有未共用电子对的基团



intramolecular $\text{S}_{\text{N}}2$



intermolecular $\text{S}_{\text{N}}2$

5.4 The Neighboring-Group Mechanism

5.4.2 the characteristics of the neighboring-group mechanism

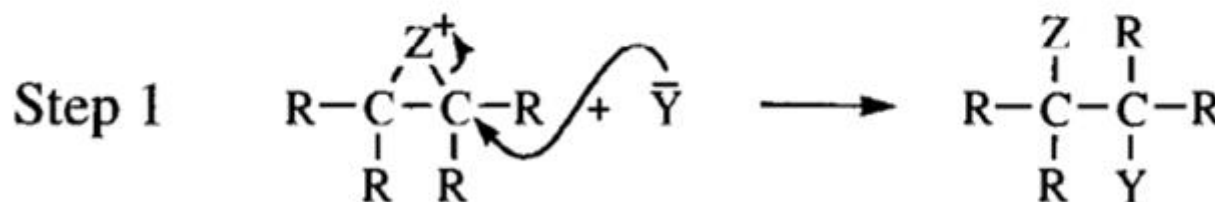
1. The configuration at a chiral carbon is retained
2. The rate of reaction is greater than expected

The rate law followed in the neighboring-group mechanism is the first-order law, Y does not take part in the rate-determining step.

$$\text{Rate} = k[\text{RX}]$$



intramolecular $\text{S}_{\text{N}}2$
rate-determining step

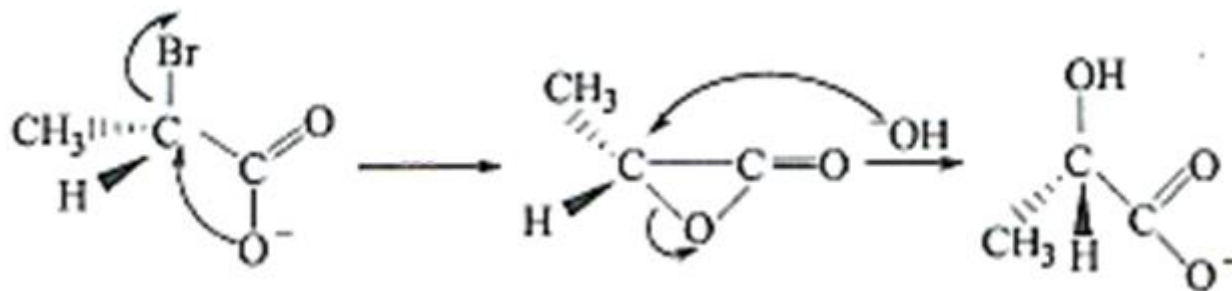


intermolecular $\text{S}_{\text{N}}2$

5.4 The Neighboring-Group Mechanism

The **first important evidence** for the existence of this mechanism **was the demonstration** that **retention of configuration** can occur if the substrate is suitable.

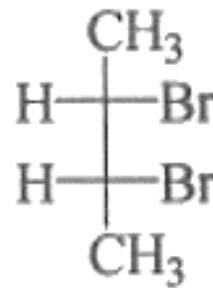
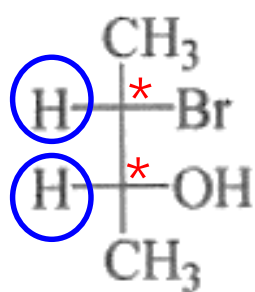
例1 (S) - α -溴代丙酸盐的水解反应 (O负离子作为邻近基团)



5.4 The Neighboring-Group Mechanism

例2：3-溴-丁-2-醇与HBr的反应（Br作为邻近基团）

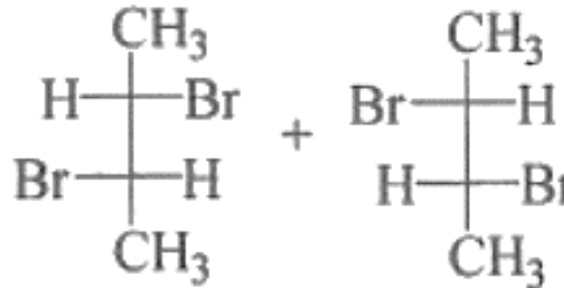
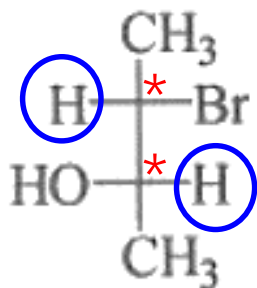
reaction



erythro 赤式

内消旋体 **Meso**

Chiral compound 有旋光性的



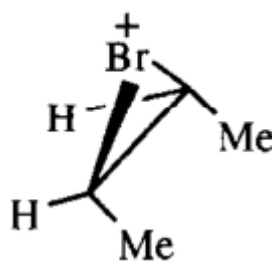
threo 苏式

外消旋体

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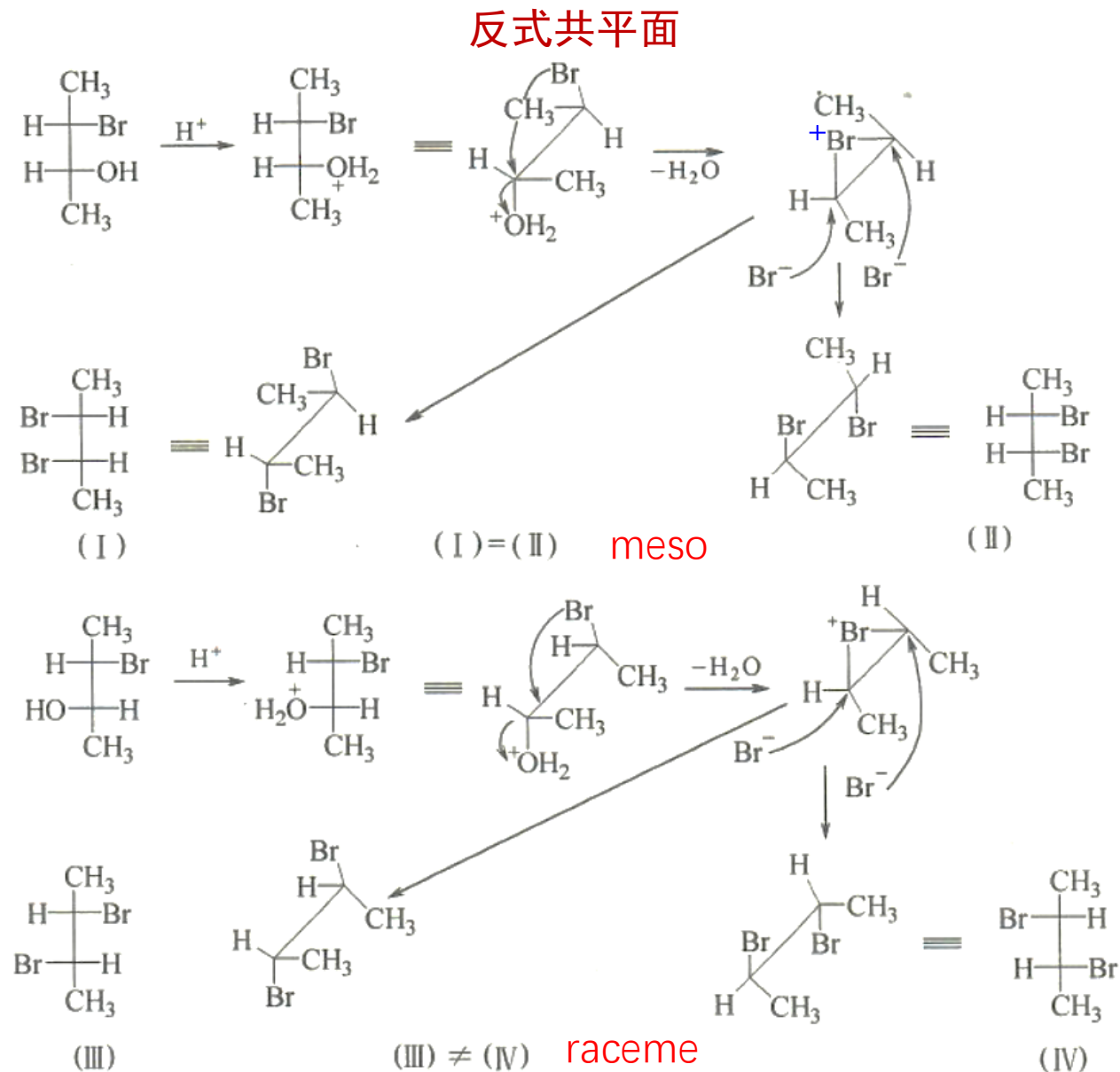
5.4 The Neighboring-Group Mechanism

mechanism



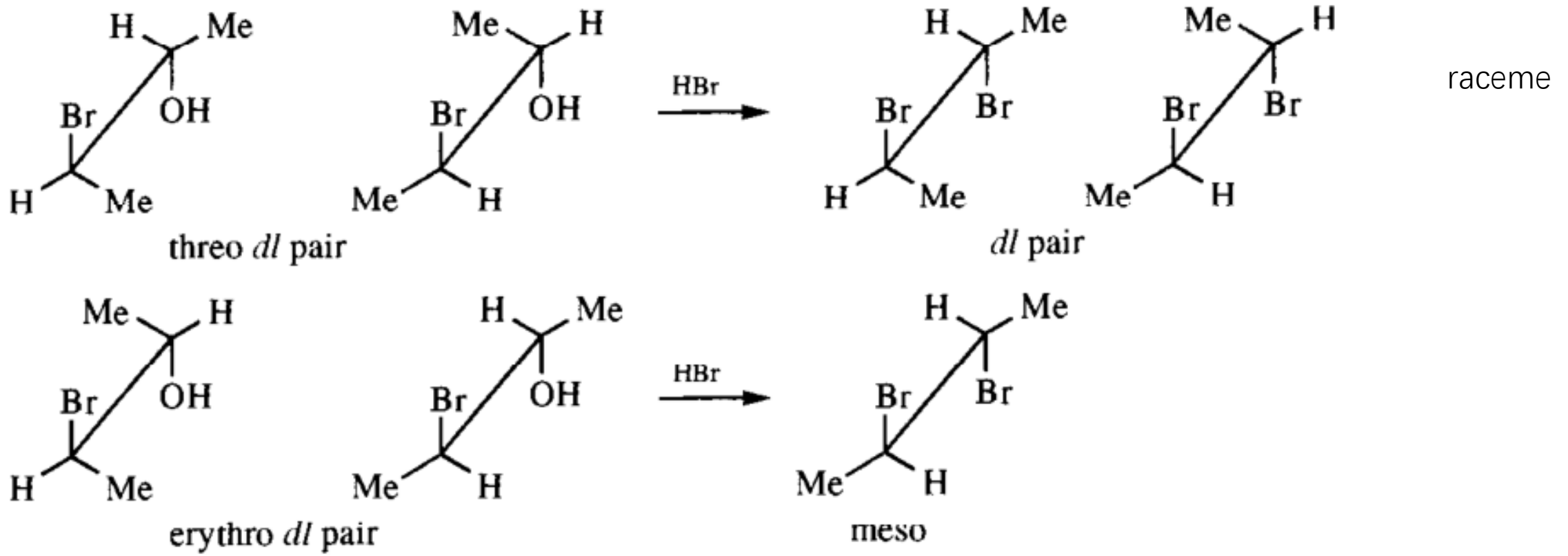
symmetric

Product of the intramolecular S_N2



5.4 The Neighboring-Group Mechanism

Stereochemistry



Review (The Neighboring-Group Mechanism)

The structure of the substrates

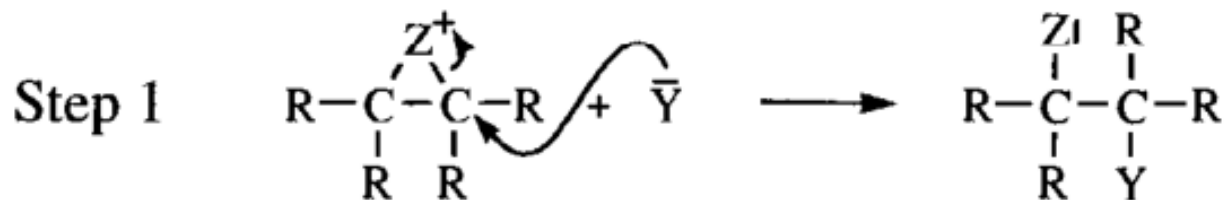


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intramolecular $\text{S}_{\text{N}}2$



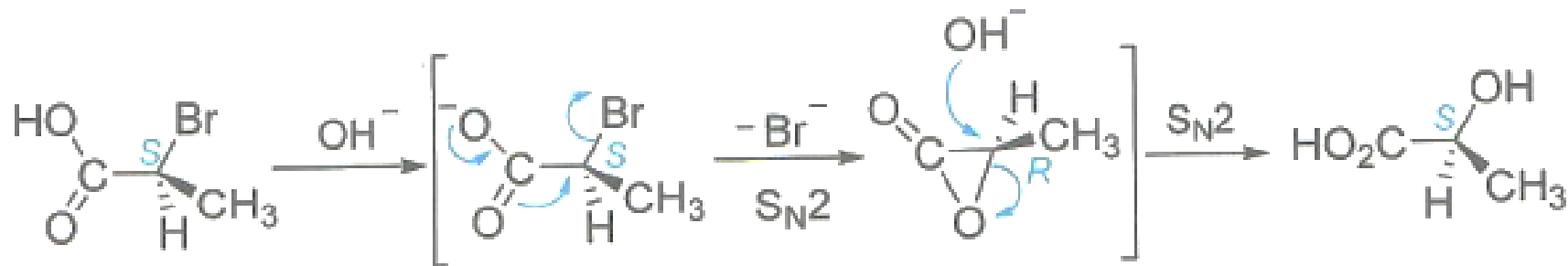
intermolecular $\text{S}_{\text{N}}2$

Review (The Neighboring-Group Mechanism)

the characteristics of the neighboring-group mechanism

1. The configuration at a chiral carbon is retained
2. The rate of reaction is greater than expected
3. Carbon skeleton reconstruction may occur

例1 (S) - α -溴代丙酸盐的水解反应 (O负离子作为邻近基团)



Review (The Neighboring-Group Mechanism)

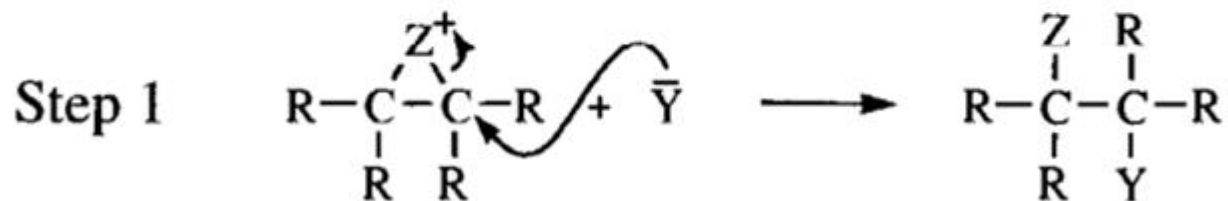
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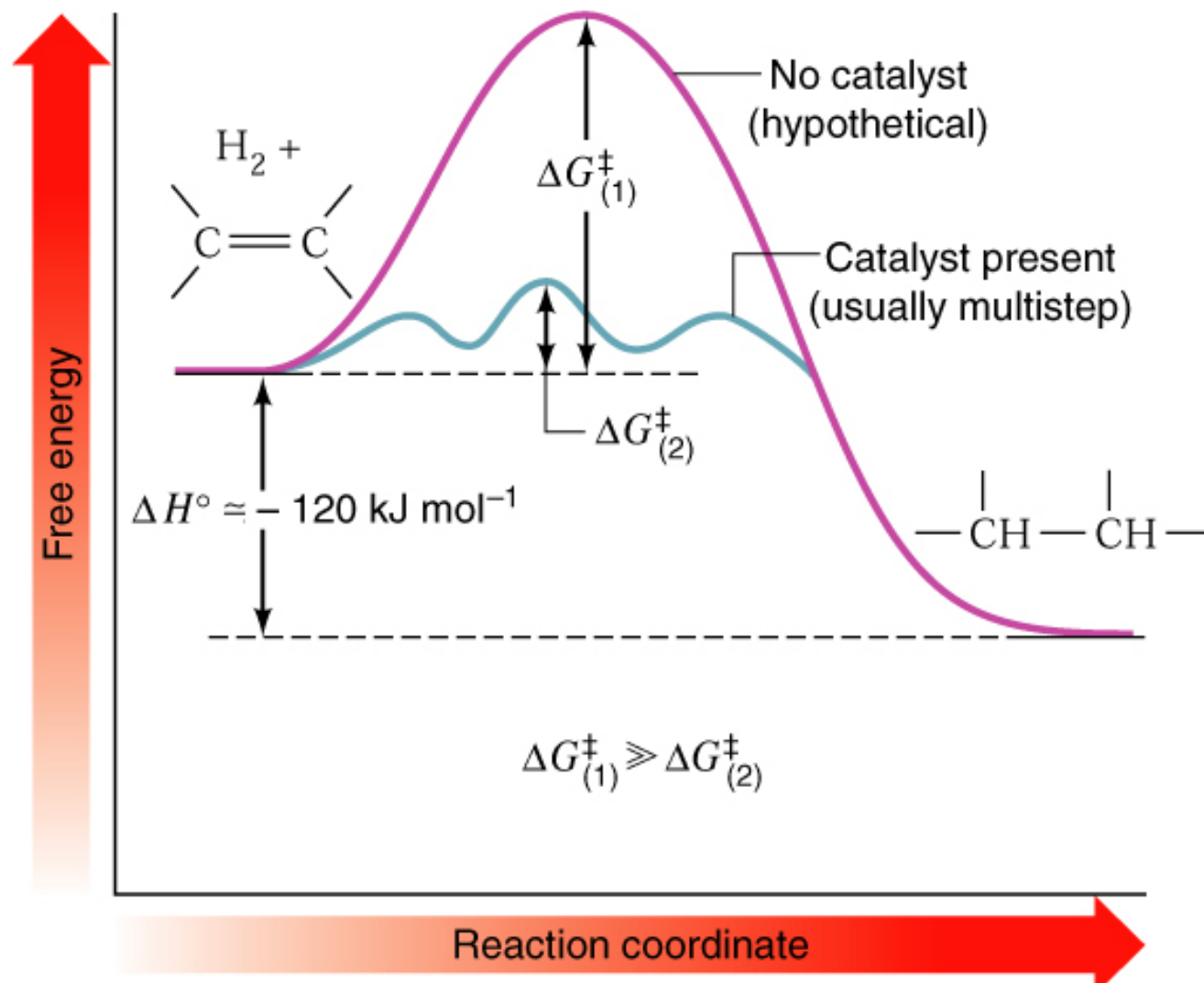


intramolecular S_N2
rate-determining step



intermolecular S_N2

5.4 The Neighboring-Group Mechanism

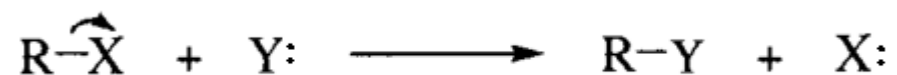


Chapter 5

Aliphatic Nucleophilic Substitution

脂肪族亲核取代反应

At saturated carbon

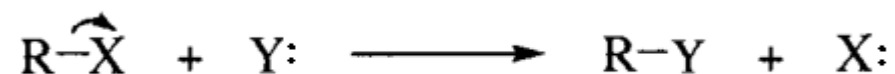


Qiong Li

March 18, 2024

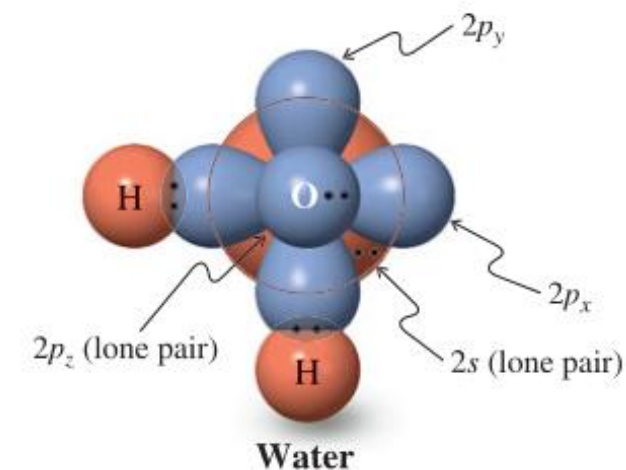
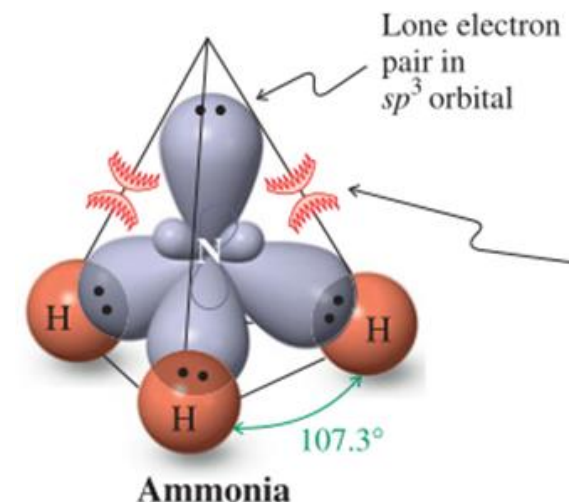
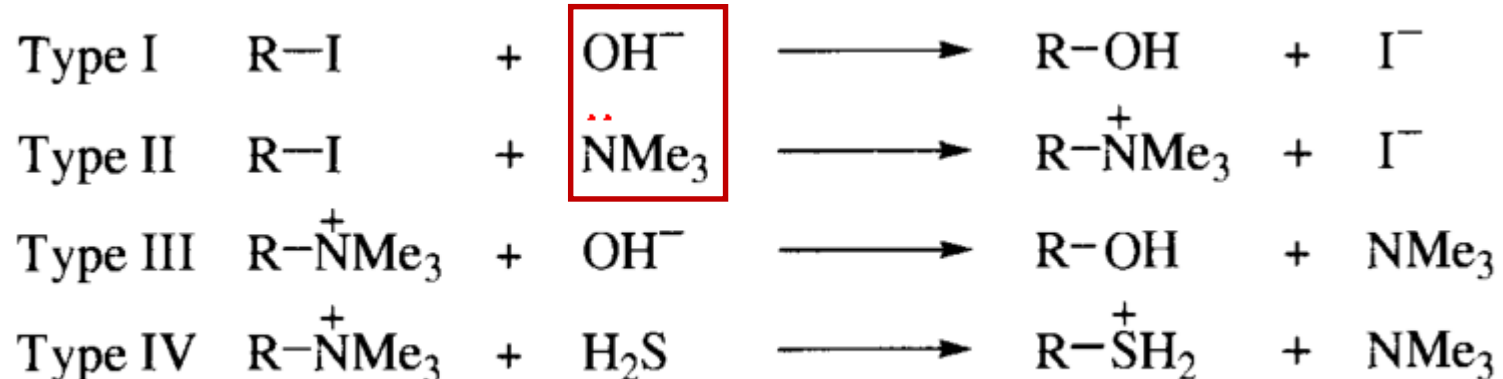
Review

general equation of S_N



There are four charge types for the equation

nucleophile

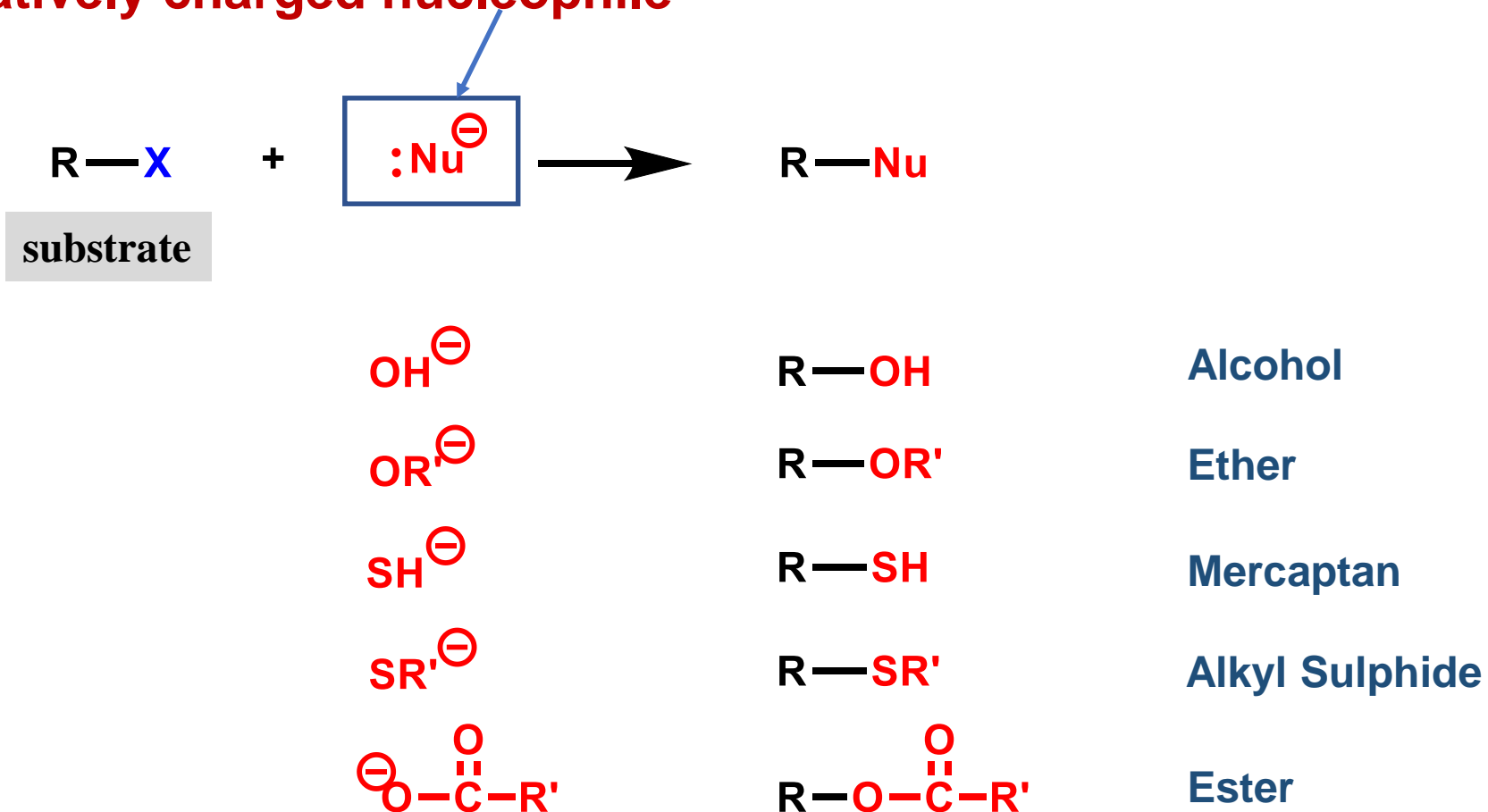


Nucleophile must have an unshared pair of electrons, it can be neutral or negatively charged.

Substrate (RX) may be neutral or positively charged.

Review

with negatively charged nucleophile



Review

with negatively charged nucleophile



Nitrile



Alkyne



Alkyl malonate



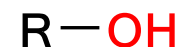
Iodoalkane

Review

with neutral nucleophile



When HNu is a solvent,
The reaction is called
Solvolysis(溶剂解)



Alcohol



Ether



primary amine



secondary amine



tertiary amine



quaternary ammonium salt

Nucleophilic substitution at
an alkyl carbon is also said
to **alkylate the nucleophile**.



Review

Several distinct mechanisms are possible for aliphatic nucleophilic substitution reactions. Depending on the substrate, nucleophile, leaving group, and reaction condition.

In all of them, **Nucleophile (attacking reagent)** must carry electron pair with it. it can be neutral or negatively charged.

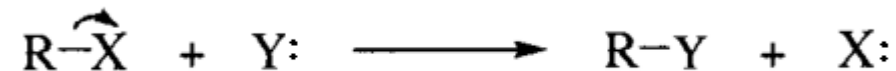
By far the most common mechanisms that occur at a saturated carbon are the **S_N1** and **S_N2 mechanisms**.

Classification of the nucleophilic substitution mechanisms

S_N1, S_N2, The neighboring-Group mechanism, S_Ni

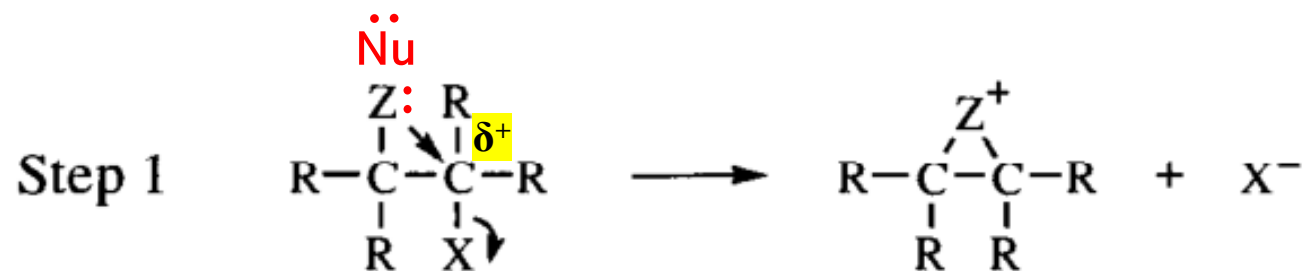
Review (The Neighboring-Group Mechanism)

The structure of the substrates



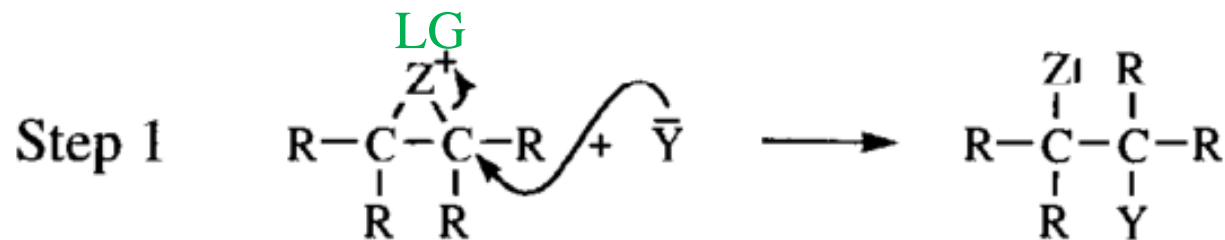
There is usually a group with an unshared pair of electrons β to the leaving group

在离去基团的 β -位通常连有一个带有未共用电子对的基团



$$\text{Rate} = k[\text{RX}]$$

intramolecular $\text{S}_{\text{N}}2$
rate-determining step



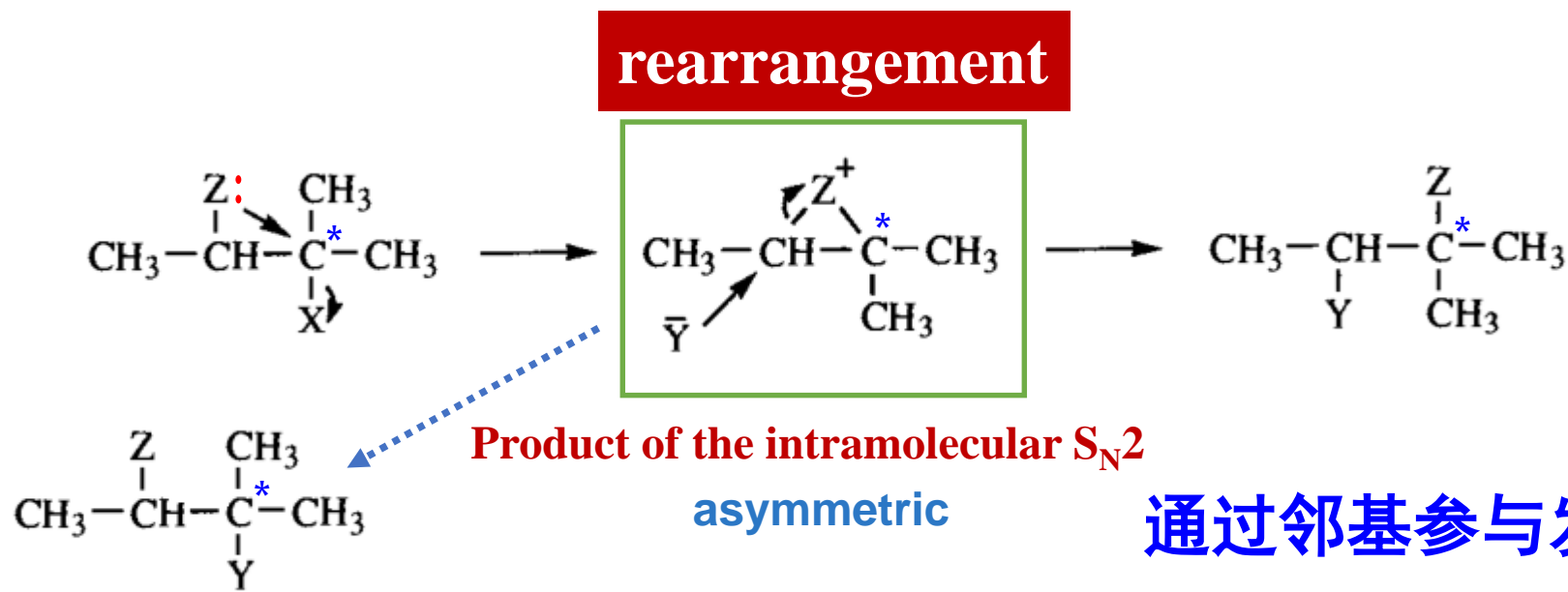
intermolecular $\text{S}_{\text{N}}2$

Review (The Neighboring-Group Mechanism)

the characteristics of the neighboring-group mechanism

1. The configuration at a chiral carbon is retained
2. The rate of reaction is greater than expected
3. Carbon skeleton reconstruction may occur

In such cases, substitution and rearrangement products are often produced together.

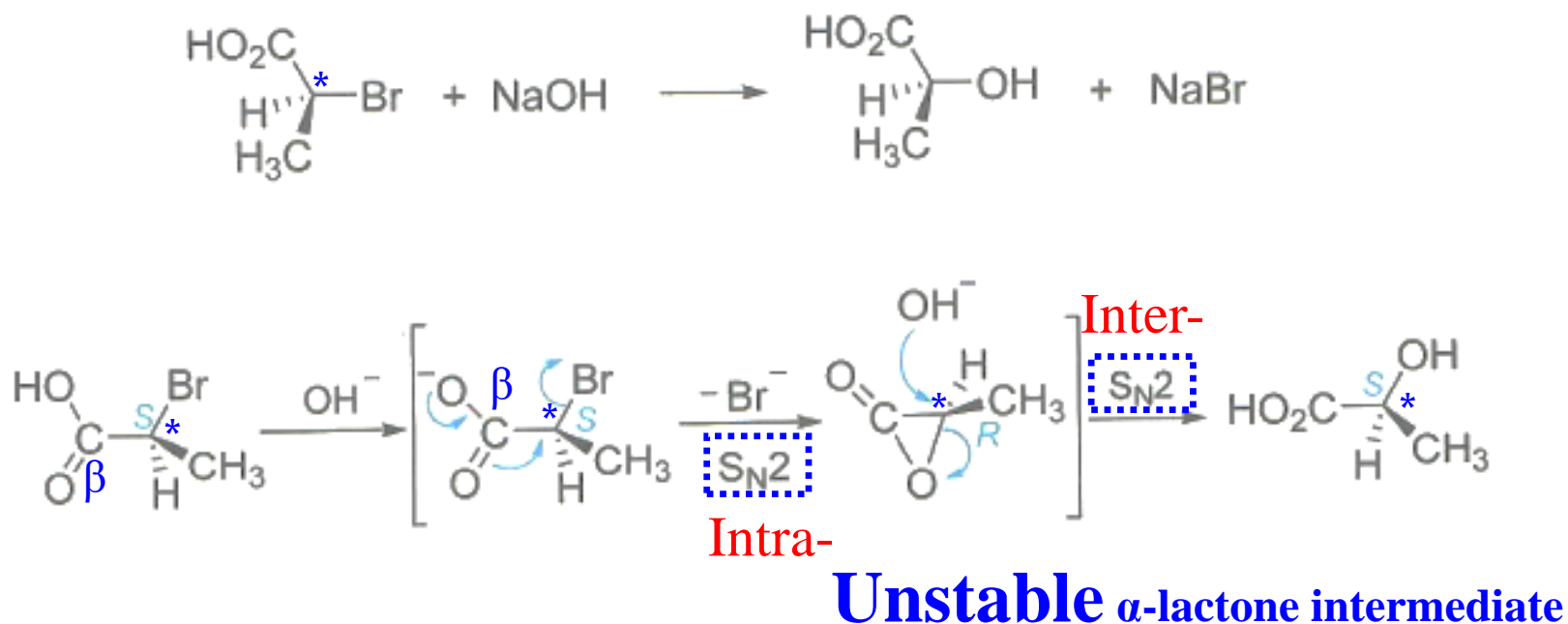


5.4 The Neighboring-Group Mechanism

5.4.3 Some of the more important neighboring groups

a. Neighboring group participation by O atom (-COO⁻)

例1 (S) - α -溴代丙酸盐的碱性水解反应 (O负离子作为邻近基团)

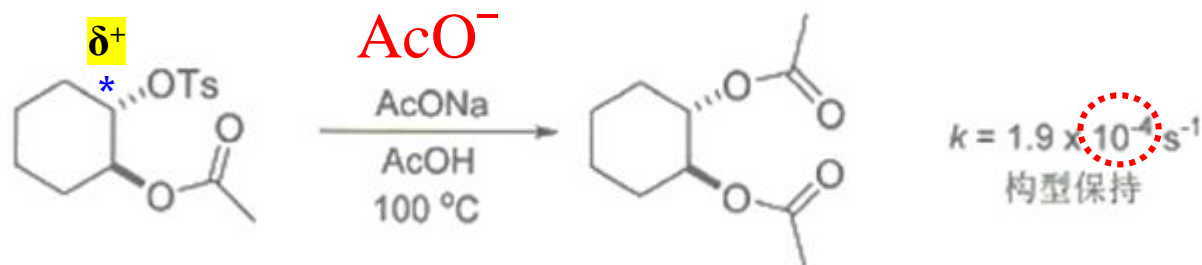


5.4 The Neighboring-Group Mechanism

Neighboring group participation by **O atom** (-COOR)

例2: acetolysis of anti isomer of 2-(tosyloxy)cyclohexyl acetate (酯基氧原子作为邻近基团)

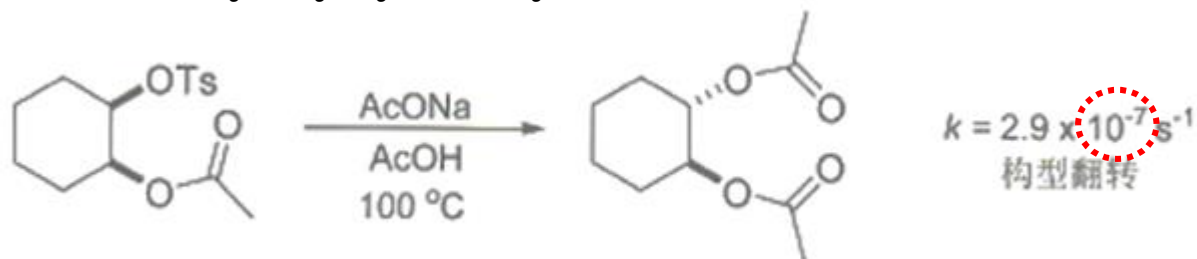
例2 1-乙酰氧基-2对甲苯磺酰氧基环己烷的乙酸解反应 (羰基氧原子作为邻近基团)



anti-isomer

Configuration retained

anti-2-(tosyloxy)cyclohexyl acetate



syn-isomer

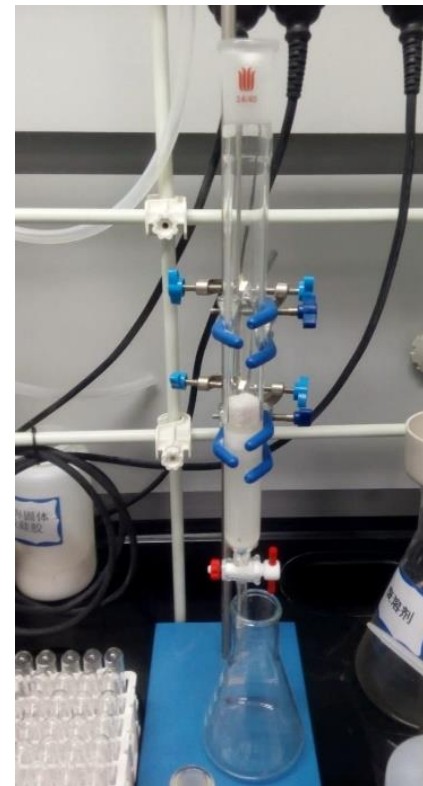
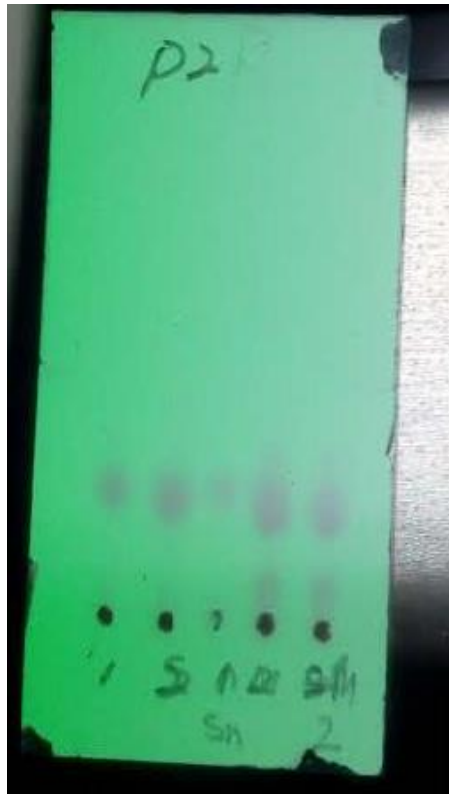
Configuration inverted

syn-2-(tosyloxy)cyclohexyl acetate

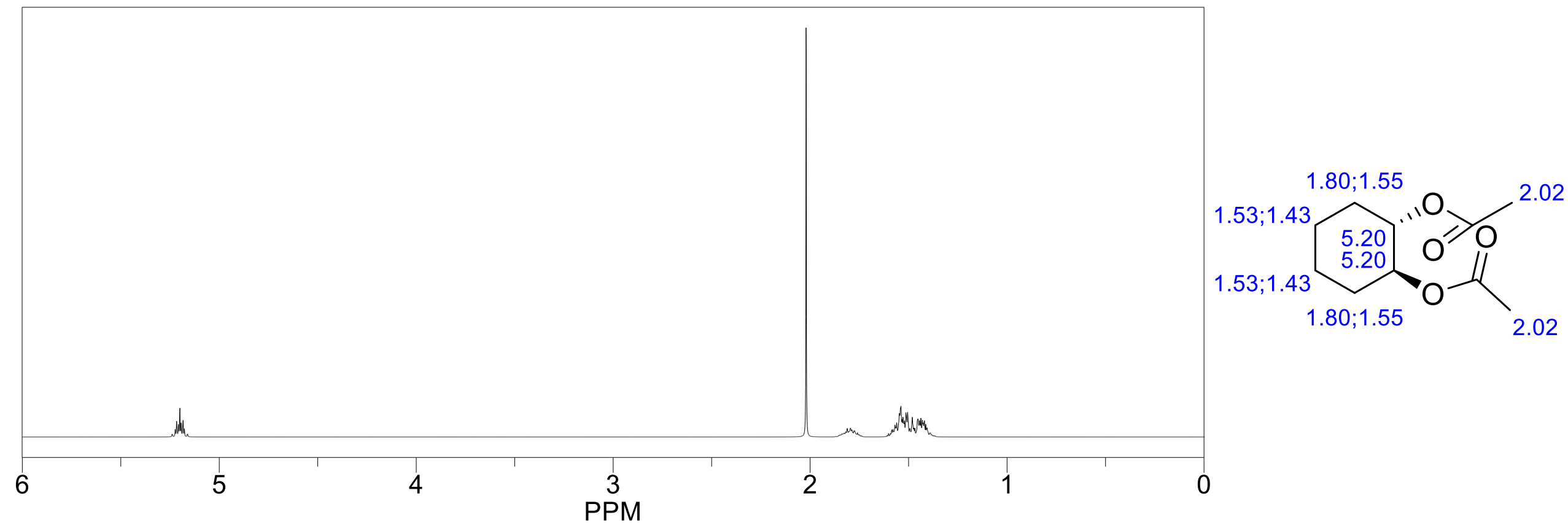
The Neighboring-Group Mechanism
intramolecular S_N2 + intermolecular S_N2

anti-isomer is about 10^3 times faster than that of syn-isomer

5.4 The Neighboring-Group Mechanism



5.4 The Neighboring-Group Mechanism



5.4 The Neighboring-Group Mechanism

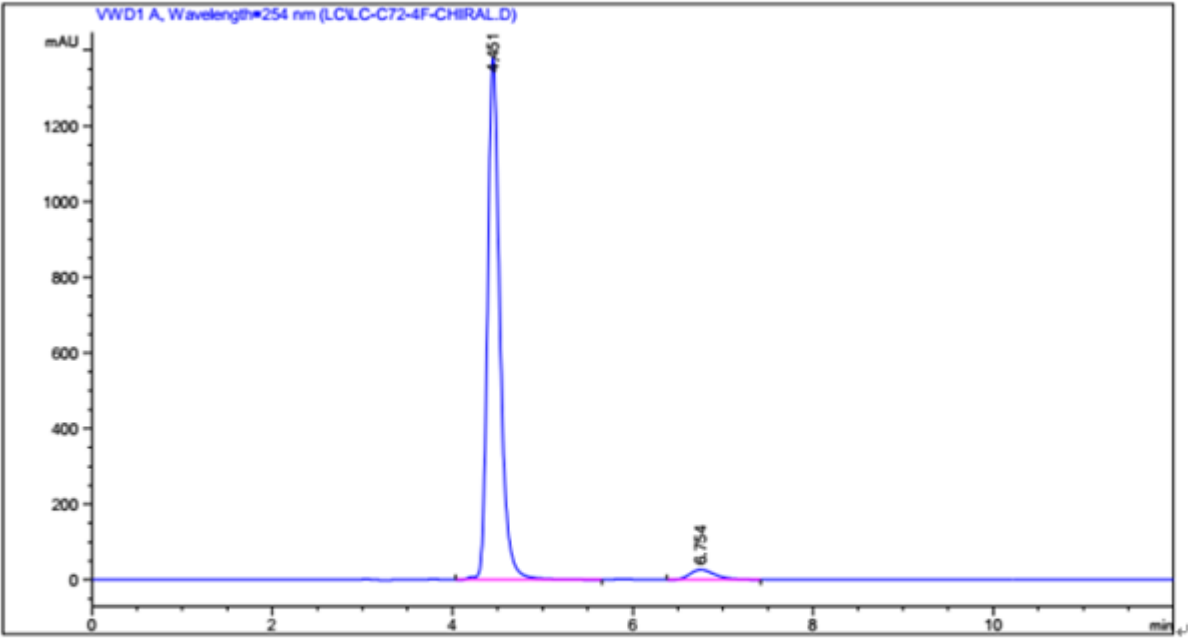
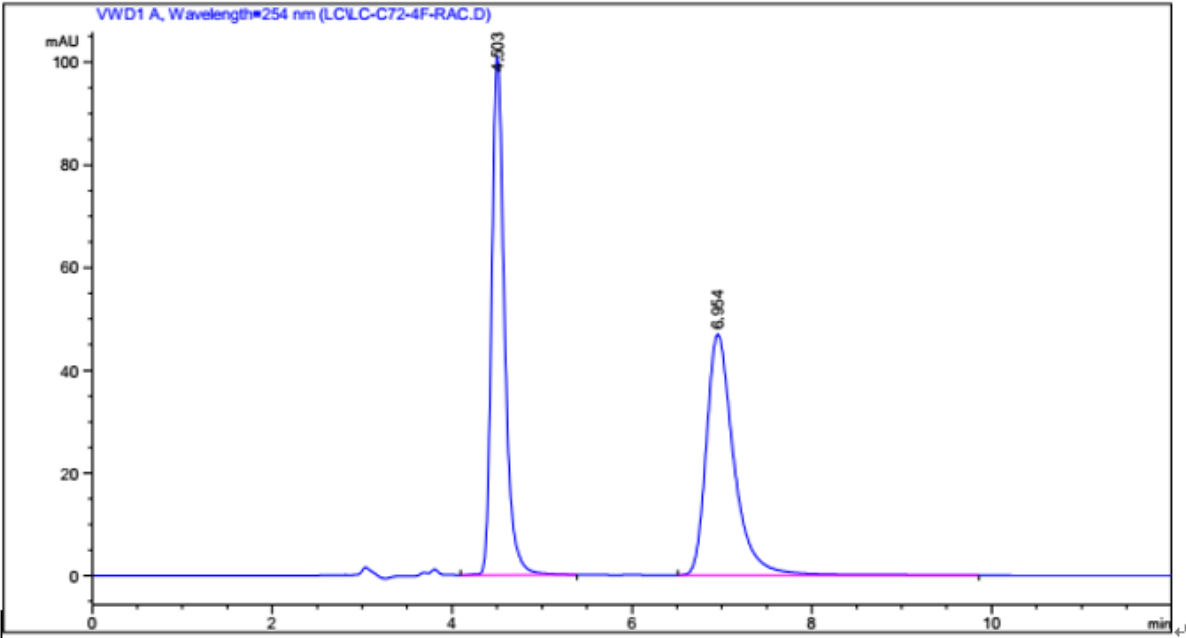


HPLC



GC

5.4 The Neighboring-Group Mechanism

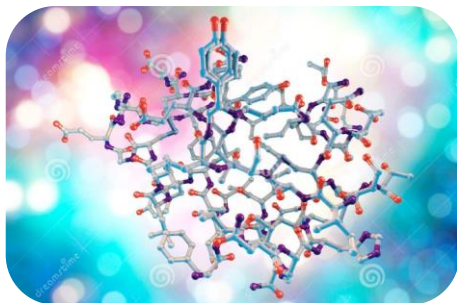


Fundamentals of Stereochemistry

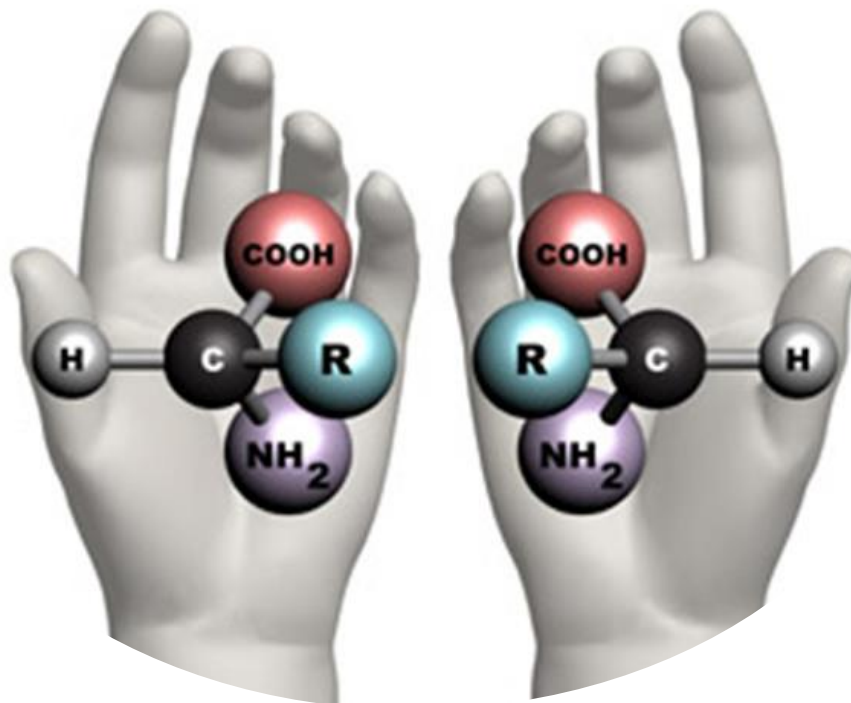
Chirality



海螺



蛋白质

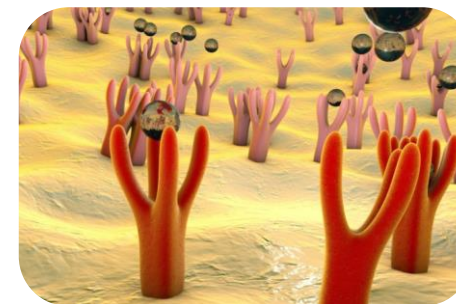


手性药物

手性：左右手互为镜像但无法重叠的性质

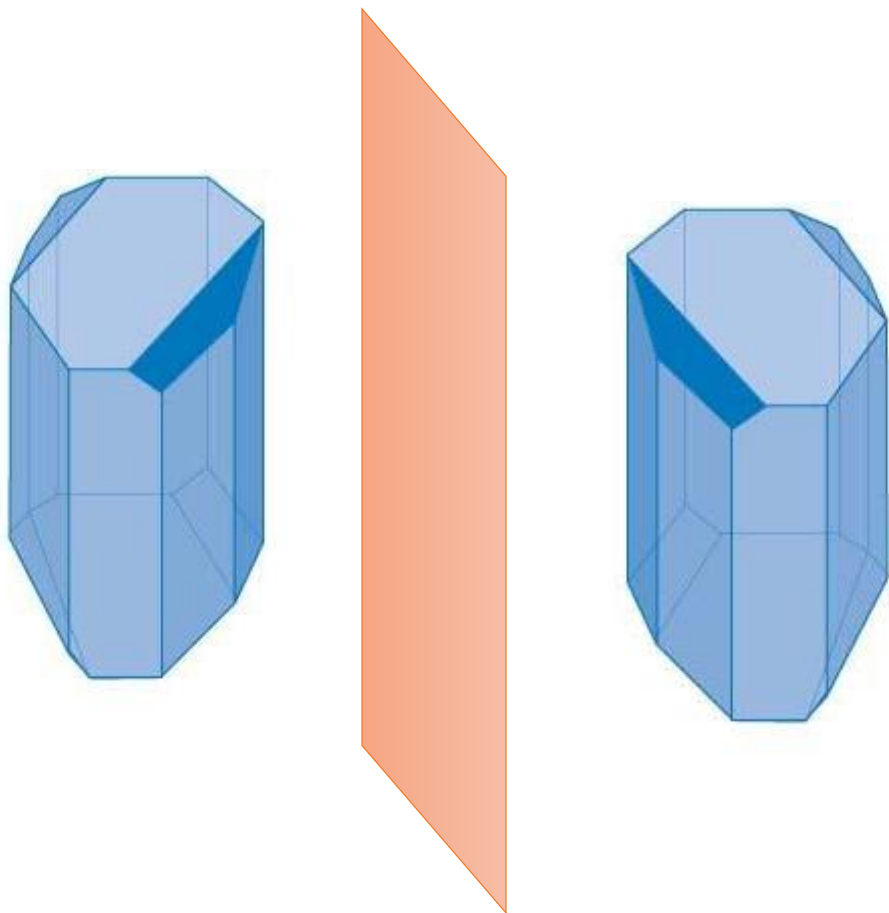


核酸

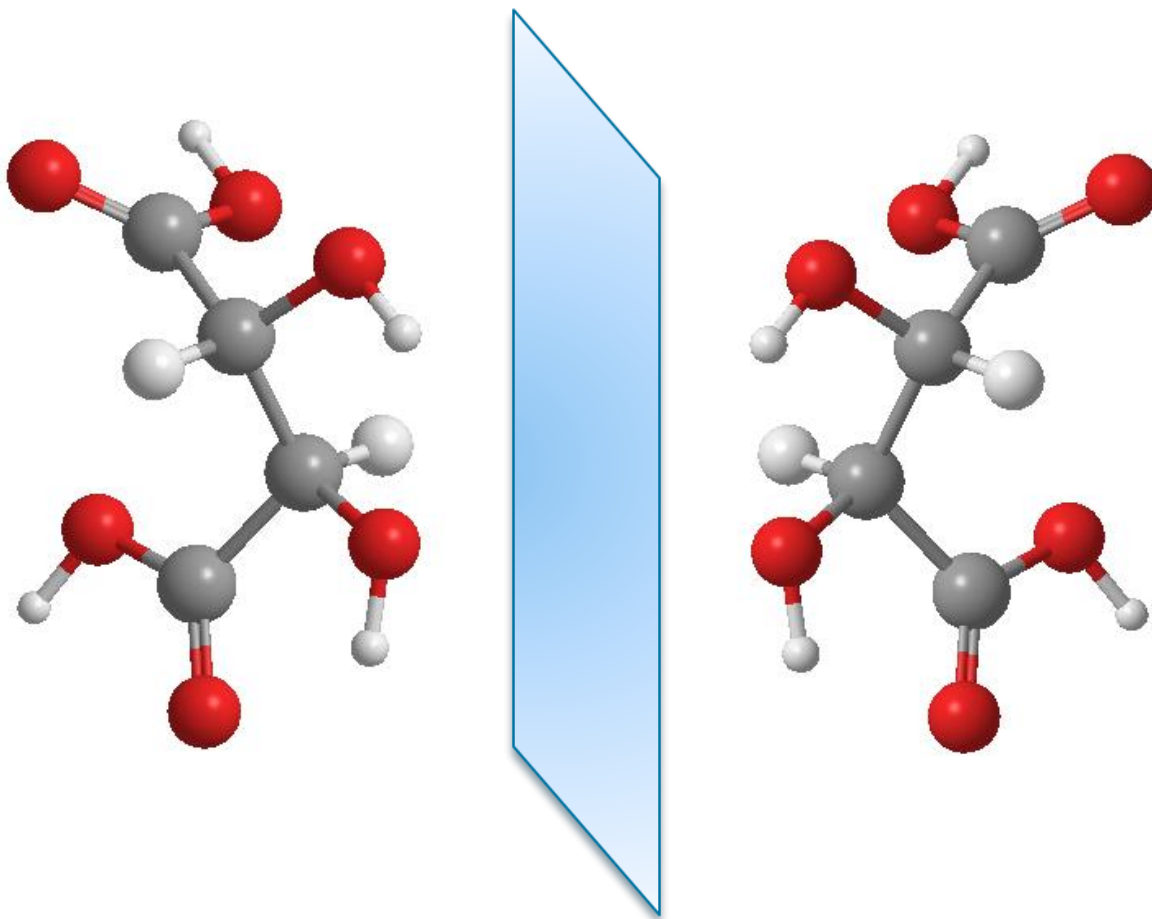


受体

Fundamentals of Stereochemistry



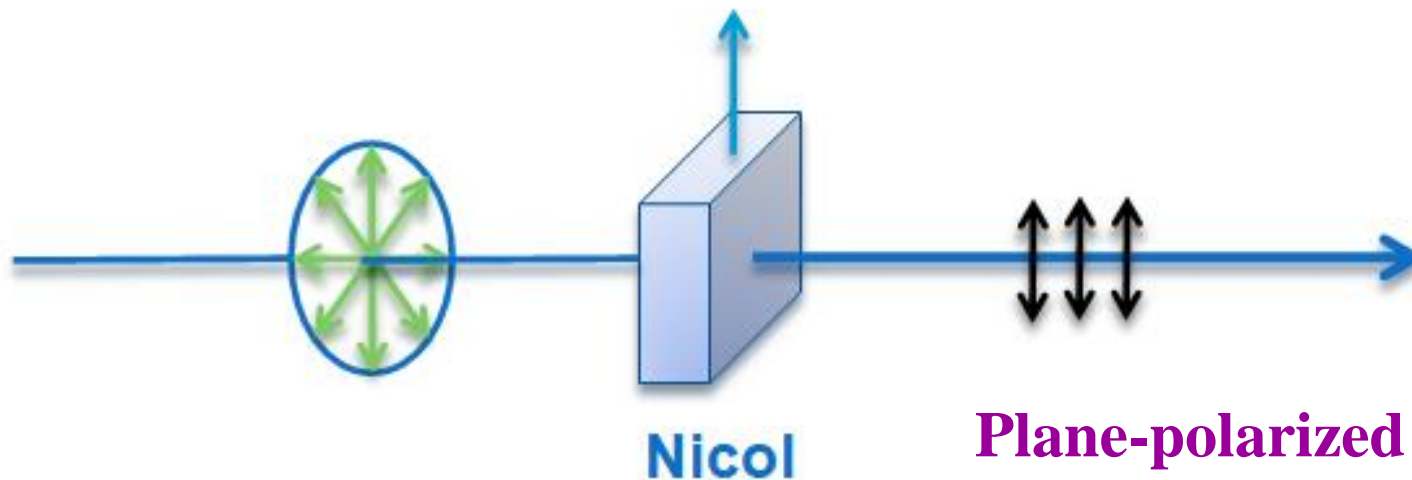
Chirality 手性



Chirality 手性

Fundamentals of Stereochemistry

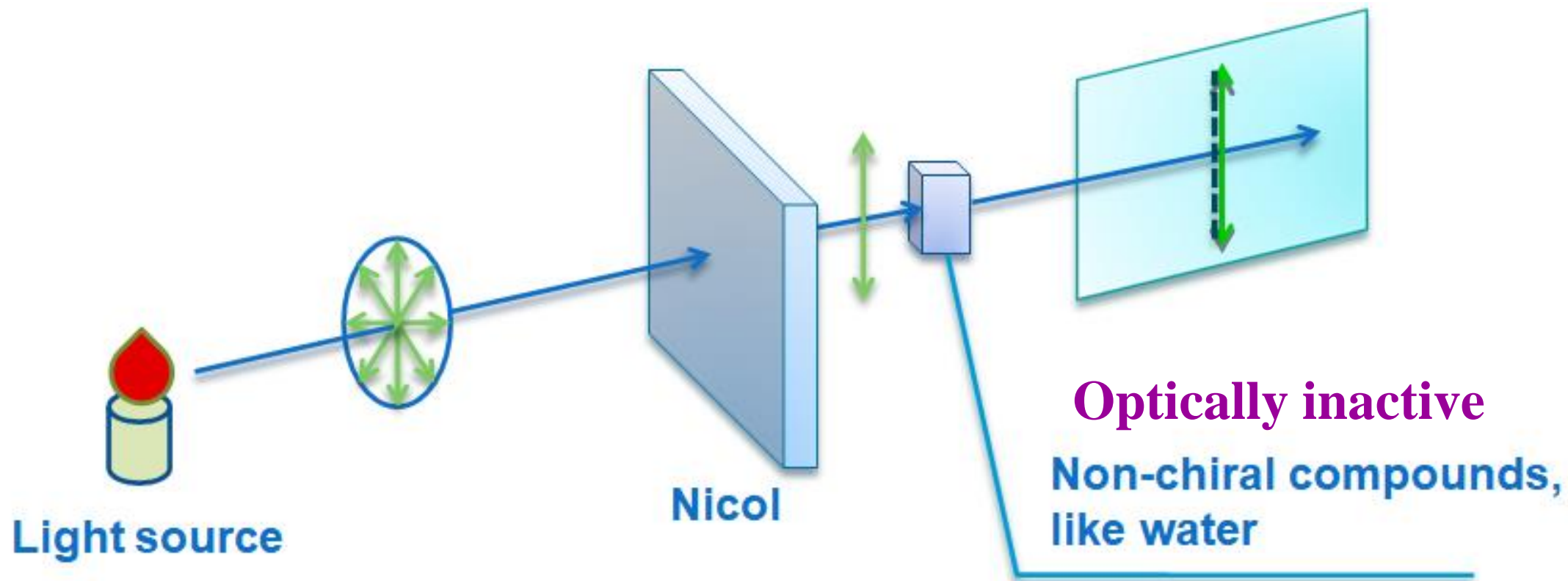
1. Optical Activity and Chirality



Plane-polarized light

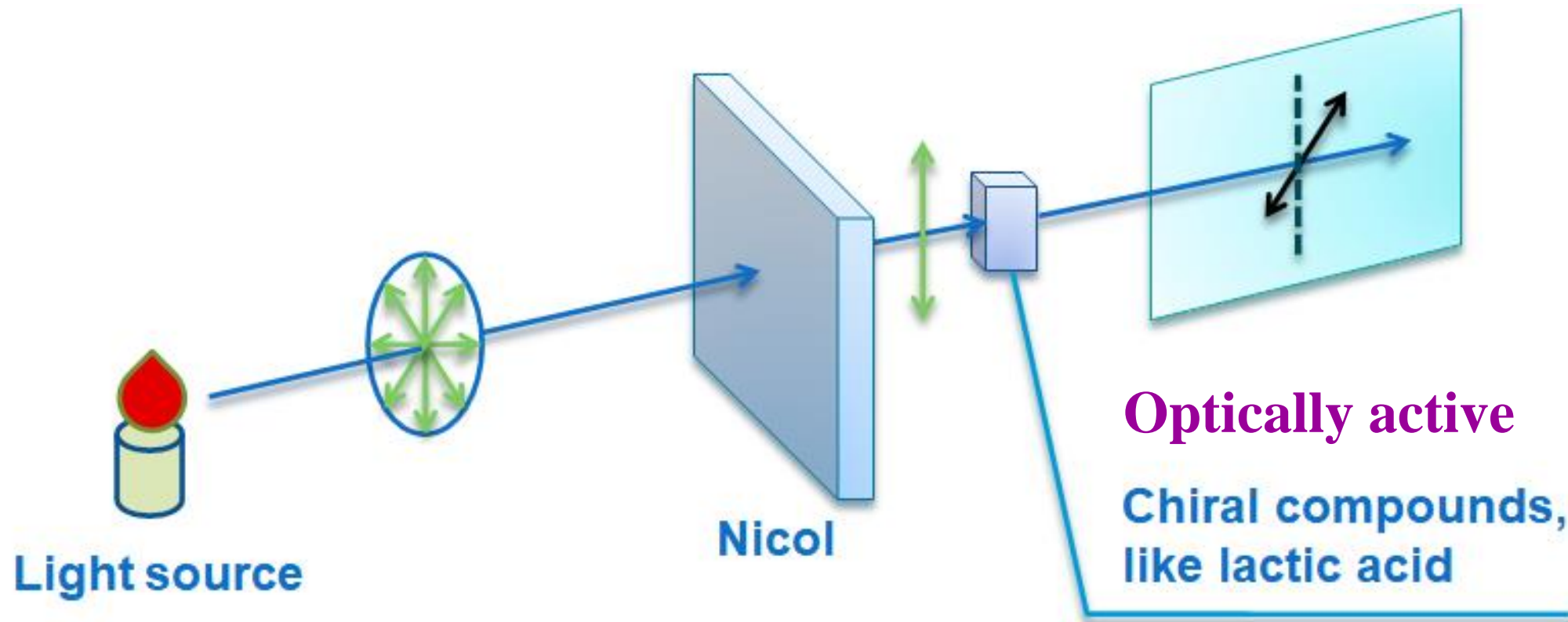
平面偏振光（偏振光）

Fundamentals of Stereochemistry



该化合物具**没有“光学活性”**、**没有“旋光性”**

Fundamentals of Stereochemistry



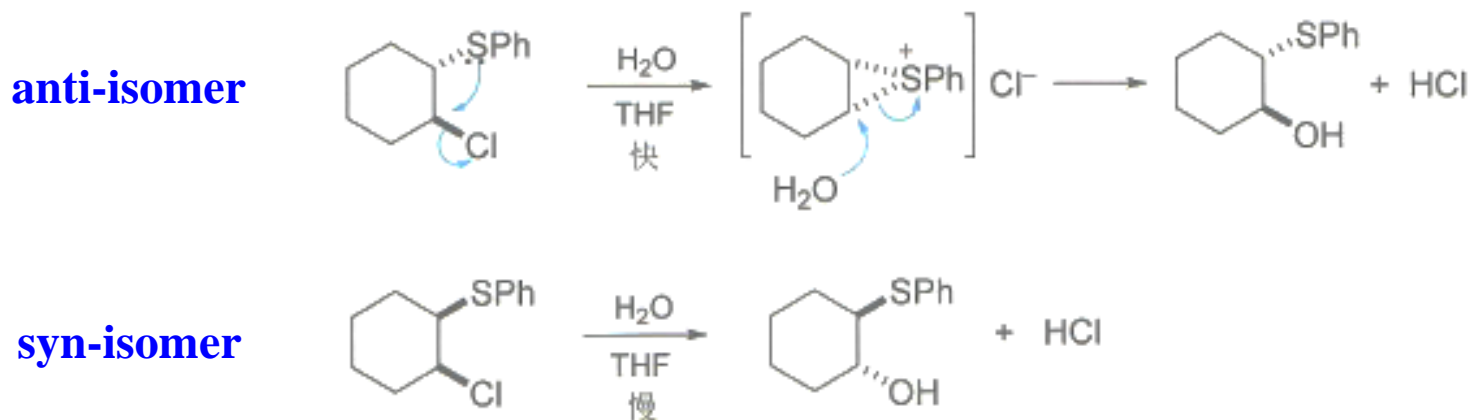
该化合物具有“光学活性”、“旋光性”

5.4 The Neighboring-Group Mechanism

b. Neighboring group participation by **S atom**

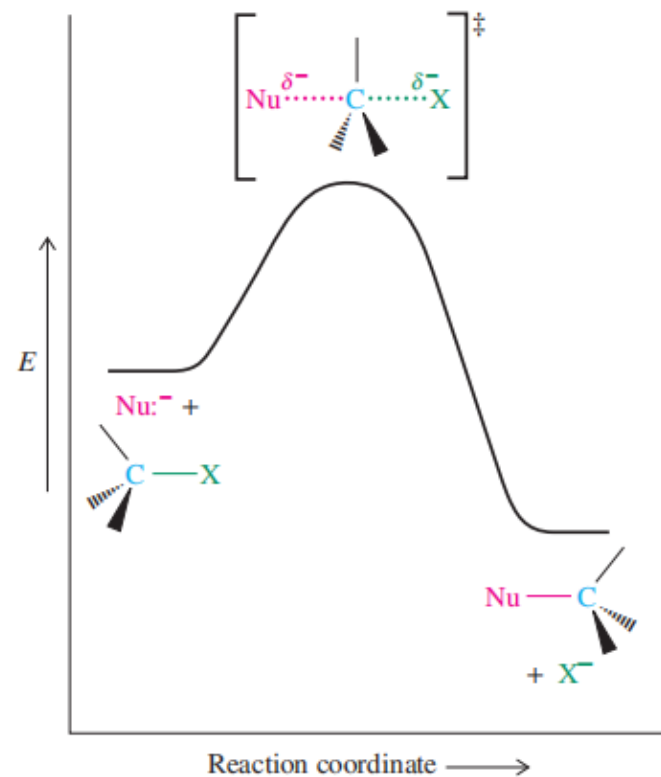
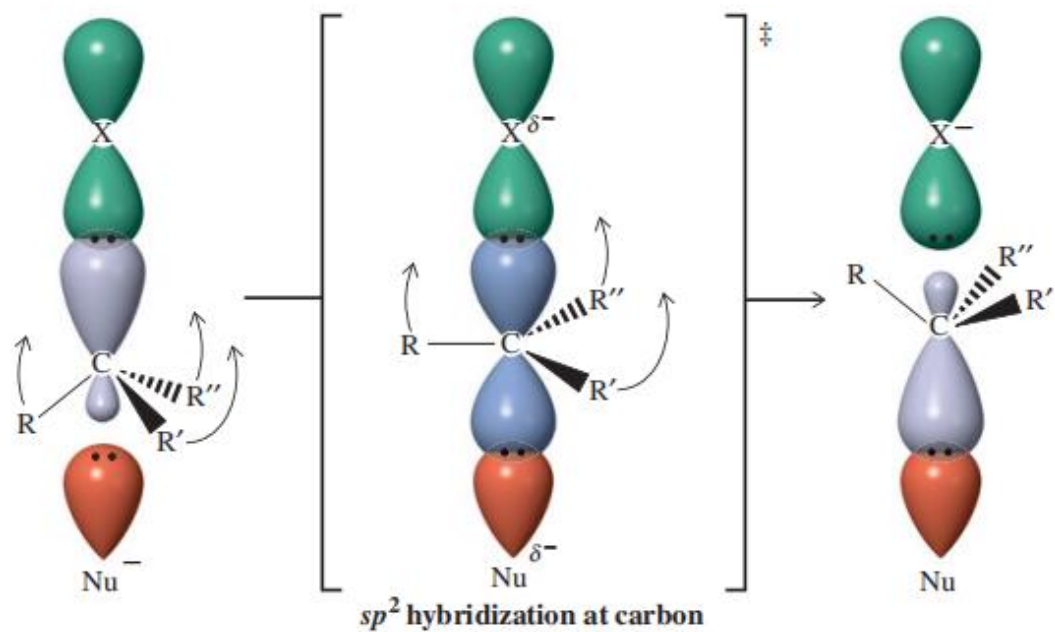
例3: hydrolysis of anti isomer of 1-chloro-2-thiophenyl cyclohexane (S原子作为邻近基团)

例3 反式-1-氯-2-苯硫基环己烷的水解反应 (S原子作为邻近基团)

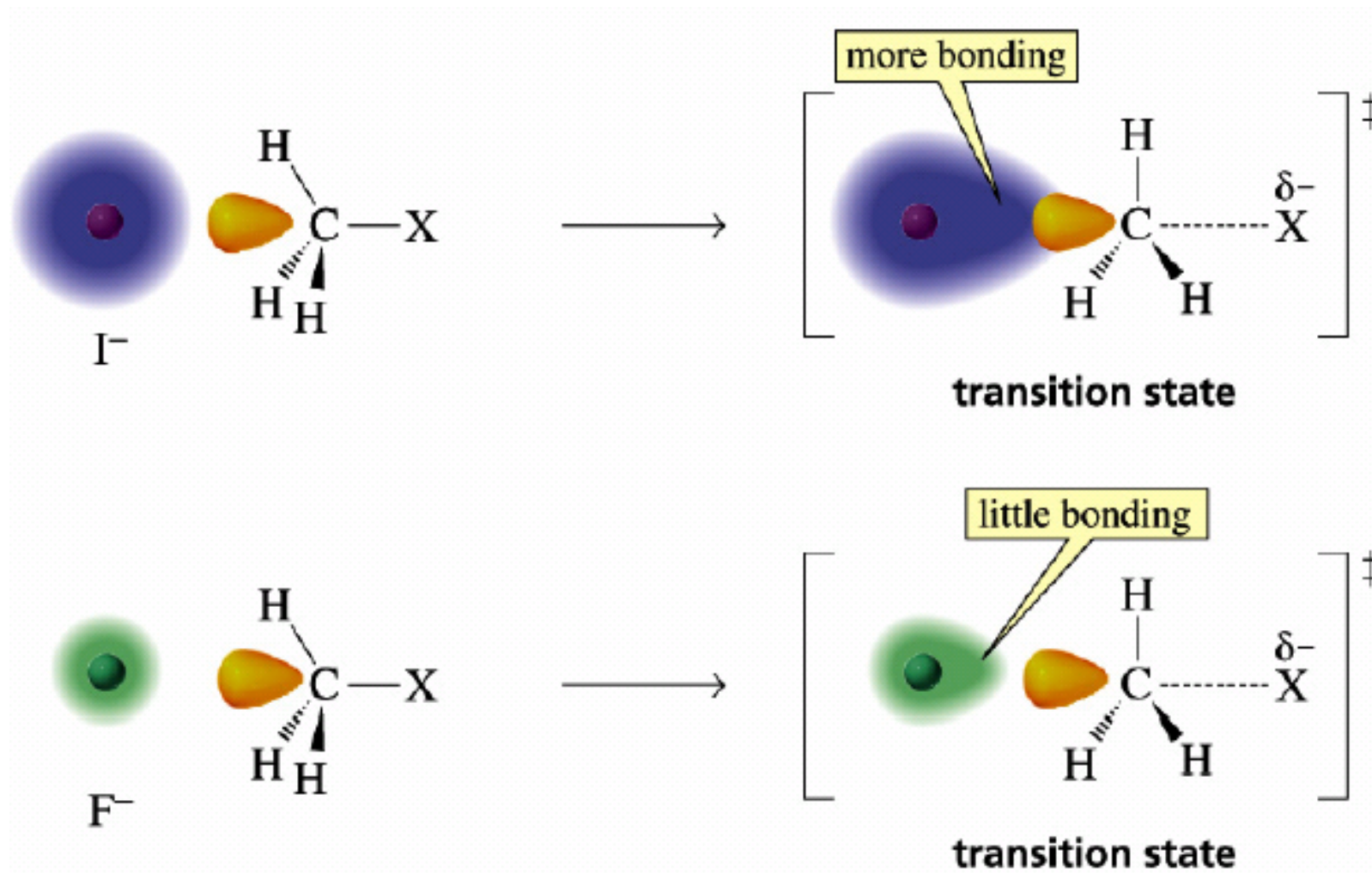


anti-isomer is 10^5 times faster than that of syn-isomer

Transition State of S_N2 Reaction



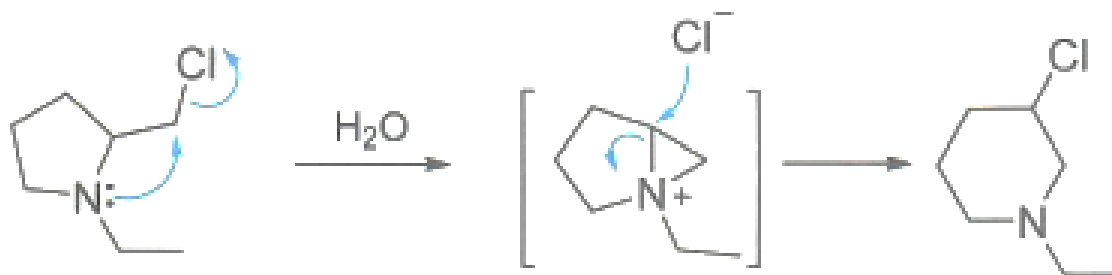
Inducted Dynamic Nuclear Polarization



5.4 The Neighboring-Group Mechanism

c. Neighboring group participation by **N atom**

例4 2-氯甲基-N-乙基吡咯烷通过N邻基参与发生扩环（N原子作为邻近基团，碳骨架重构）



2-(chloromethyl)-N-ethylpyrrolidine

3-chloro-1-ethylpiperidine

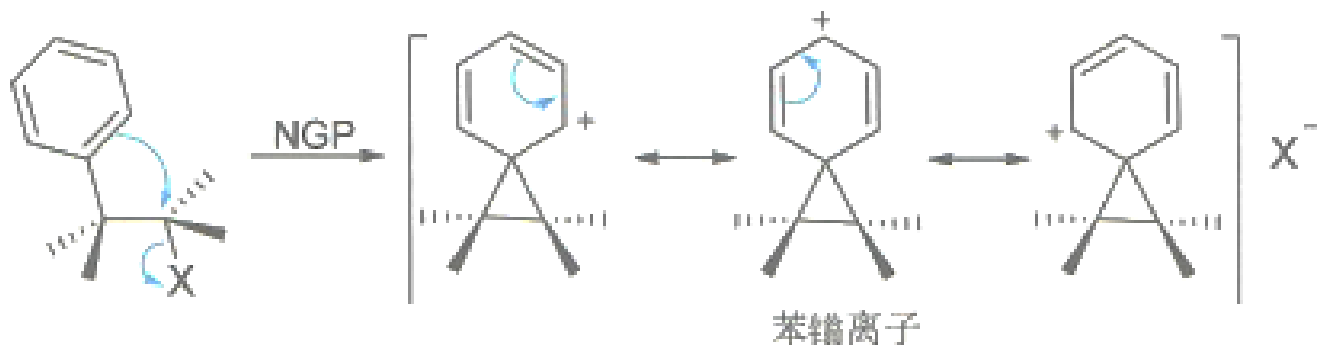
1. The configuration at a chiral carbon is retained
2. The rate of reaction is greater than expected
3. Carbon skeleton reconstruction may occur

5.4 The Neighboring-Group Mechanism Homework

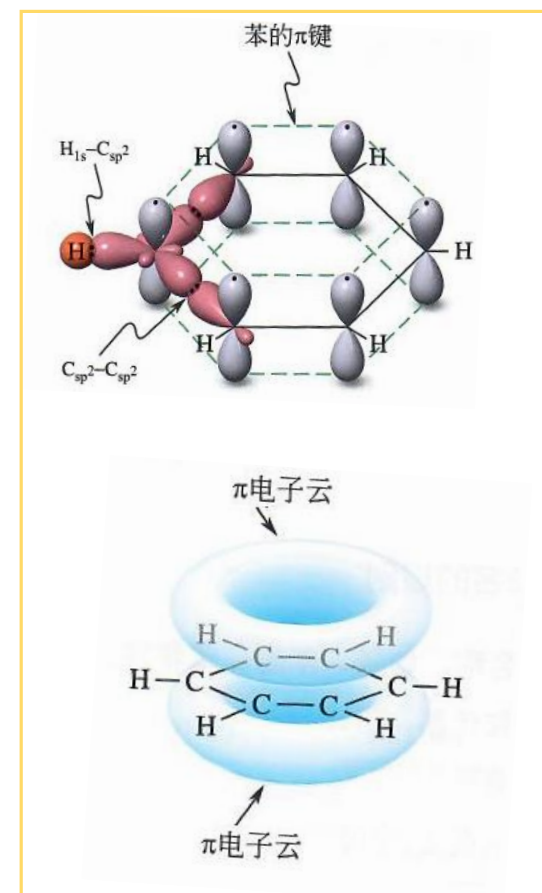
5.4 The Neighboring-Group Mechanism

d. Neighboring group participation by **aromatic ring**

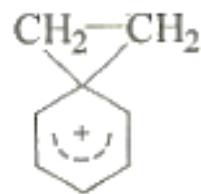
具有 6π 电子的苯环是常见的邻基参与基团



Phenonium ion intermediate
resonance stabilization



5.4 The Neighboring-Group Mechanism



(IV)



(VI)

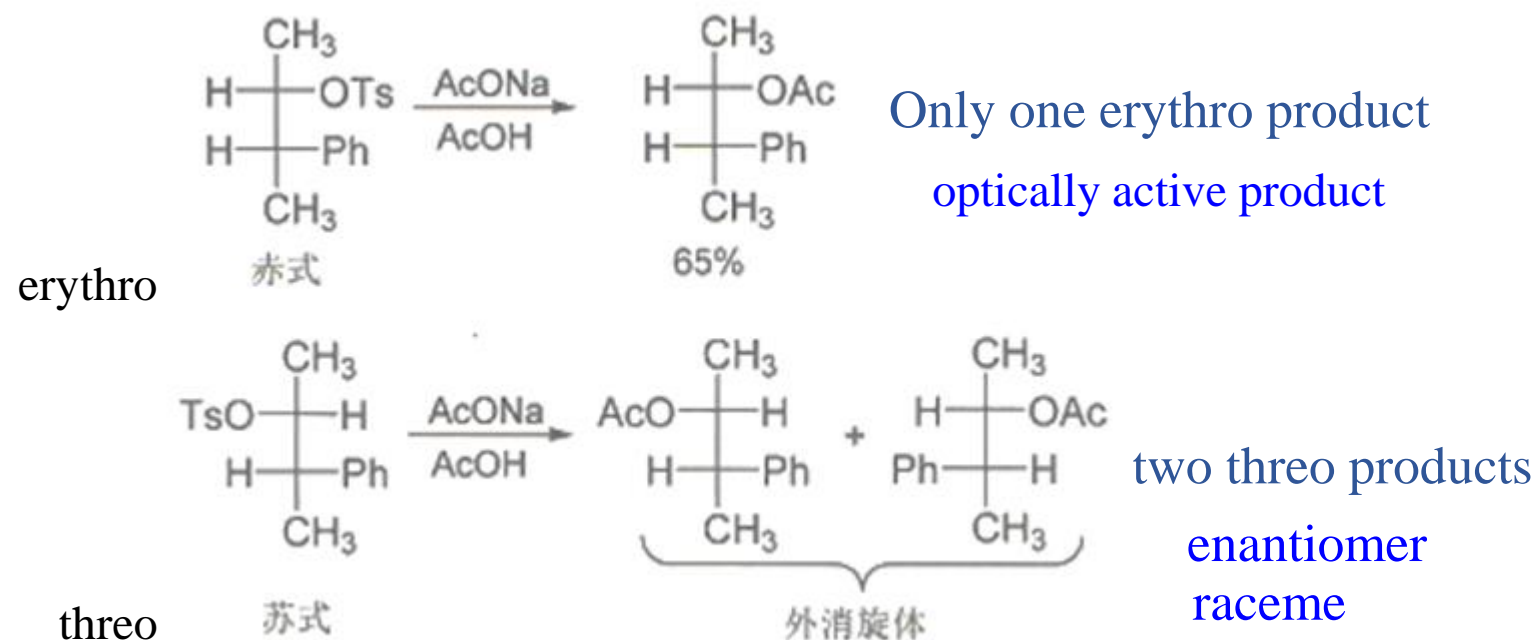
NMR证实，苯鎓离子IV结构和苯环方向亲电取代反应中间体 σ -络合物VI是相似的。

5.4 The Neighboring-Group Mechanism

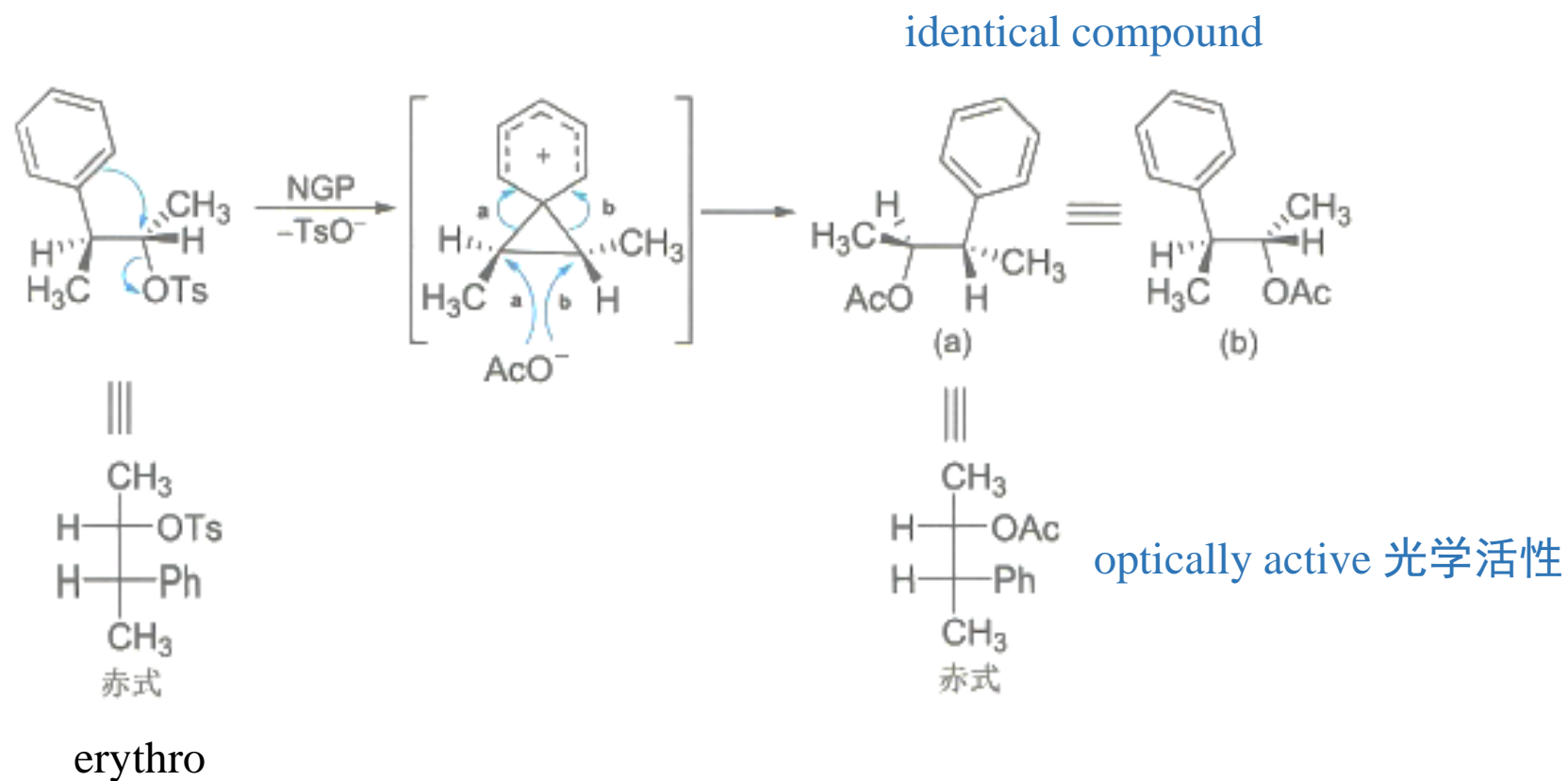
例5: acetolysis of 3-phenylbutan-2-yl 4-methylbenzenesulfonate (苯基作为邻近基团)

例5: 3-苯基丁-2-醇对甲苯磺酸酯的乙酸解 (苯基作为邻近基团)

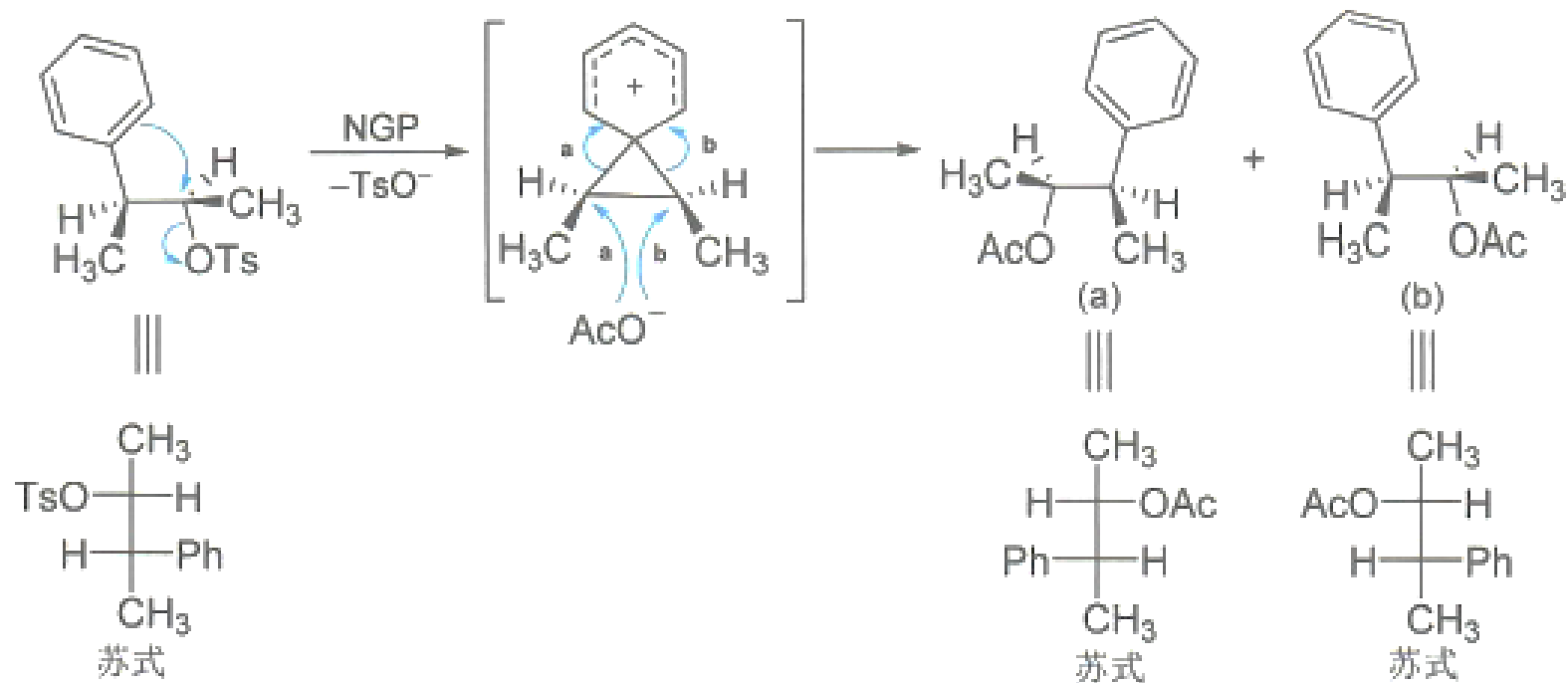
Results of stereochemical studies



5.4 The Neighboring-Group Mechanism



5.4 The Neighboring-Group Mechanism



threo

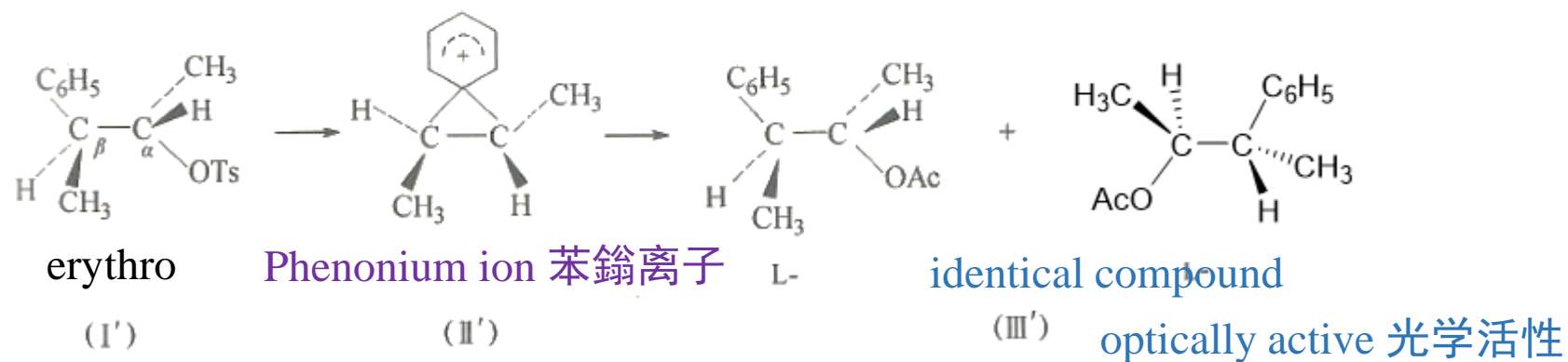
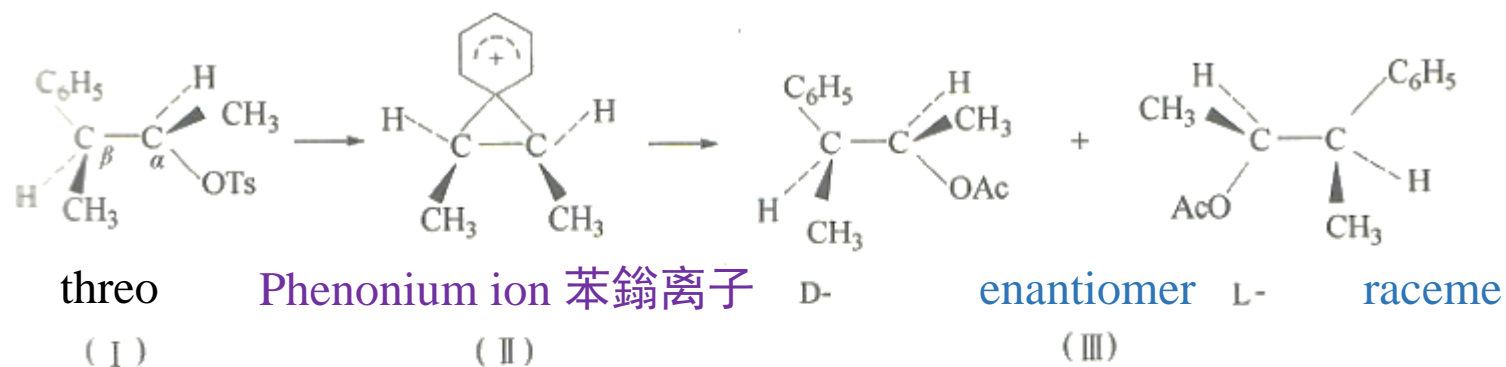
Threo product 1

Threo product 2

enantiomer

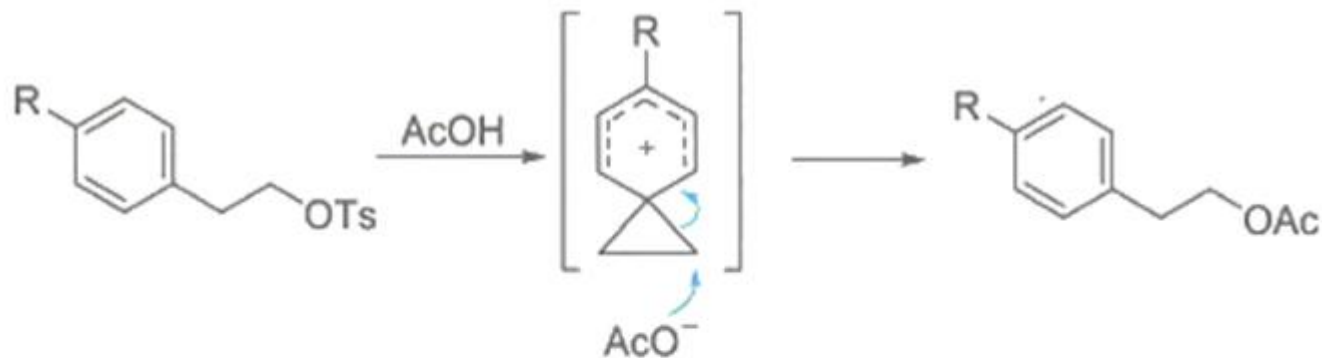
raceme

5.4 The Neighboring-Group Mechanism



5.4 The Neighboring-Group Mechanism

Exercise: which of the following compounds is the most reactive towards acetolysis reaction?

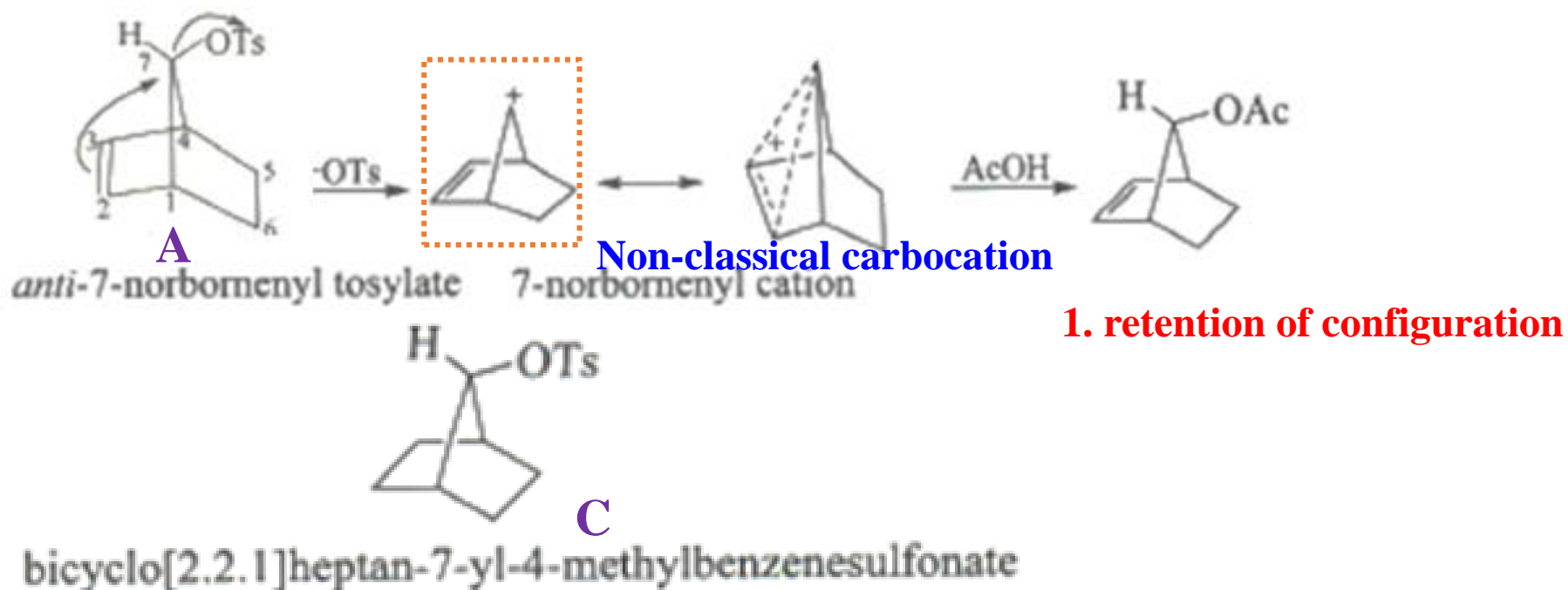


R	yield / %
NO_2	0
CF_3	0
Cl	0
H	38
CH_3	71
OCH_3	94

5.4 The Neighboring-Group Mechanism

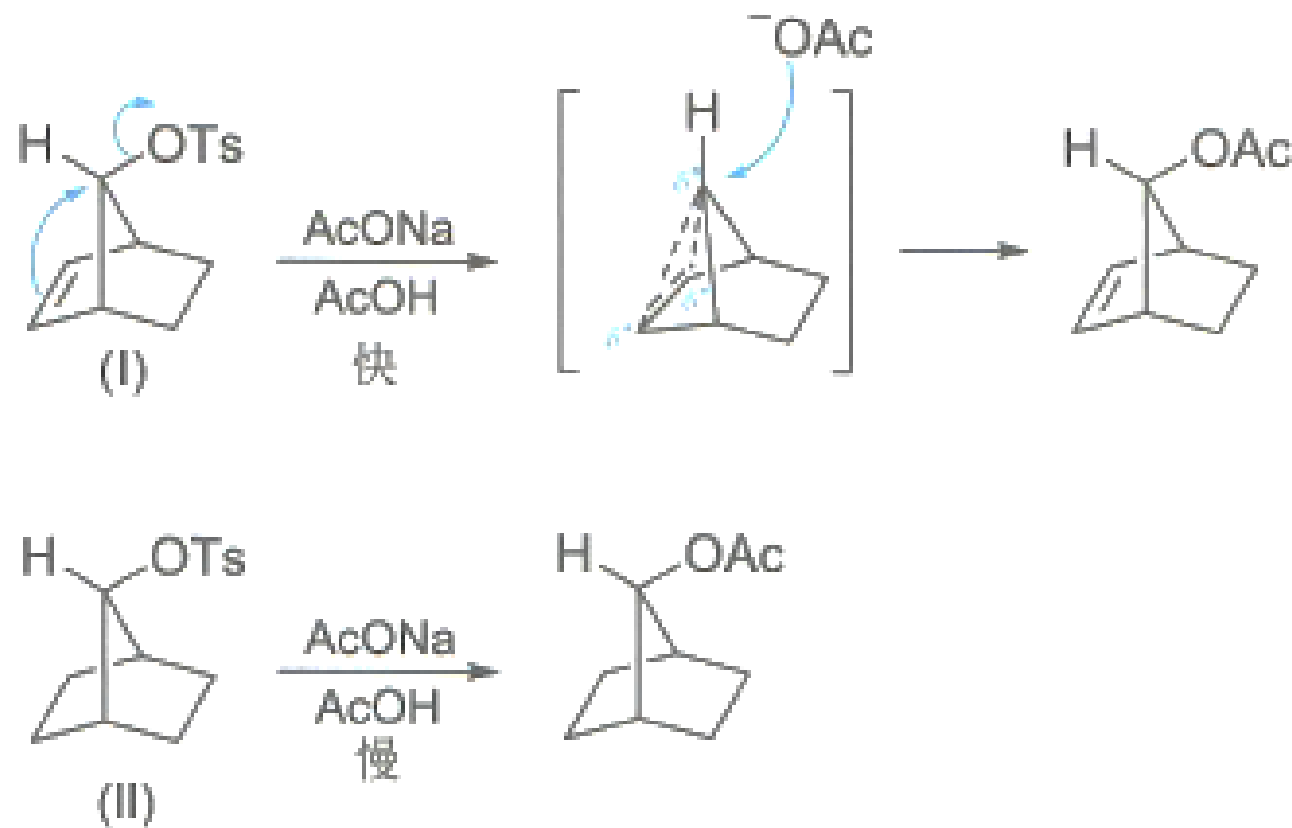
e. Neighboring group participation by π bonds π -electrons of C=C can act as a neighboring group

例6: acetolysis of anti isomer of 7-norbornenyl tosylate (π -bond作为邻近基团)



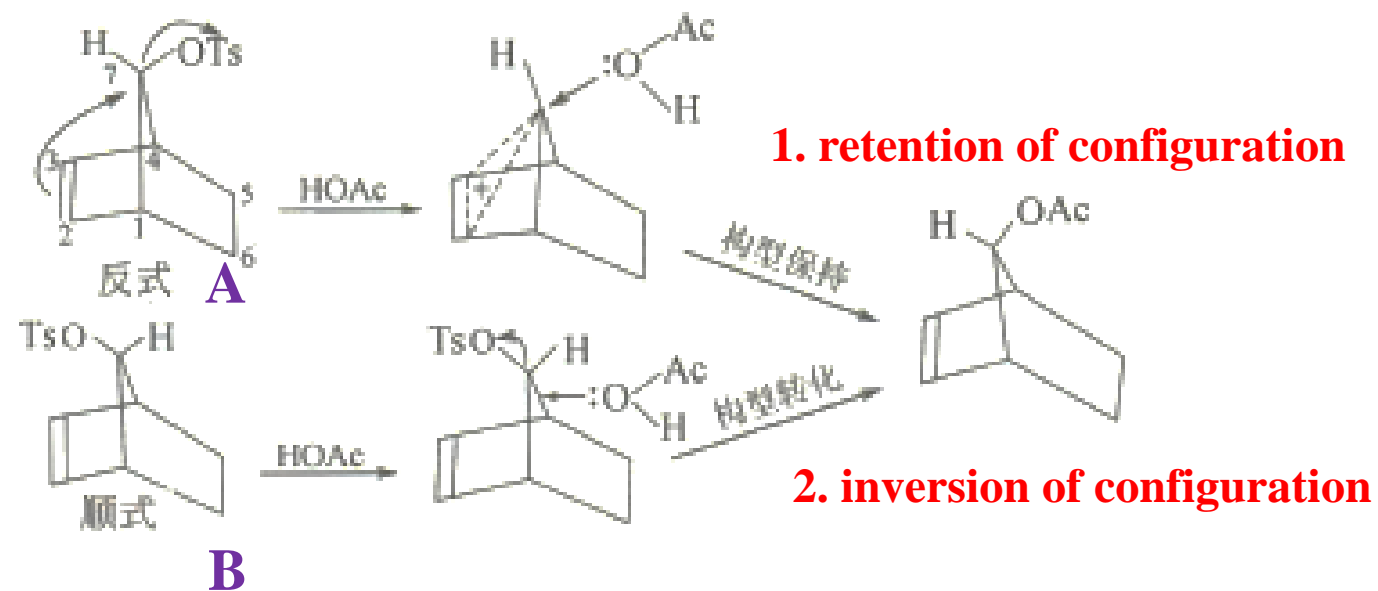
2. anti isomer of 7-norbornenyl tosylate **A** is 10^{11} times faster than that of saturated analog **C**

5.4 The Neighboring-Group Mechanism



2. anti isomer of 7-norbornenyl tosylate **A** is 10^{11} times faster than that of saturated analog **C**

5.4 The Neighboring-Group Mechanism



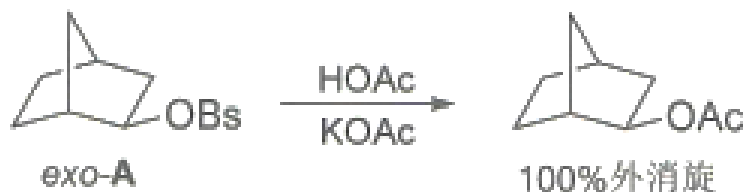
3. The syn-isomer **B**, where the double bond is not in a position to participate in the ionization step, reacts 10^{11} times slower than the anti-isomer **A**

5.4 The Neighboring-Group Mechanism

f. Neighboring group participation by σ bonds

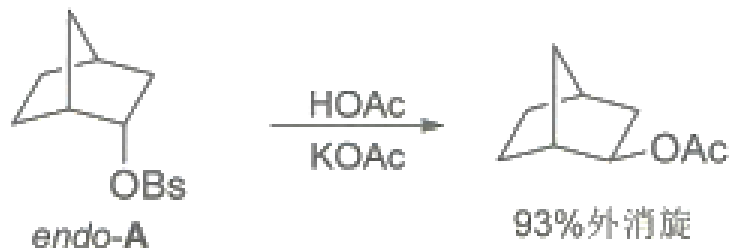
Non-classical carbocation by neighboring-group participation by C=C π -bonds and C–C and C–H σ -bonds, are **called non-classical**(or bridged) **carbocations**

例7: acetolysis (σ -bond作为邻近基团)

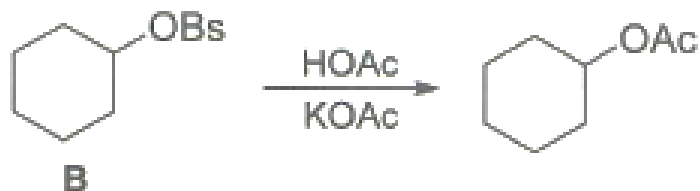


reaction rate

350



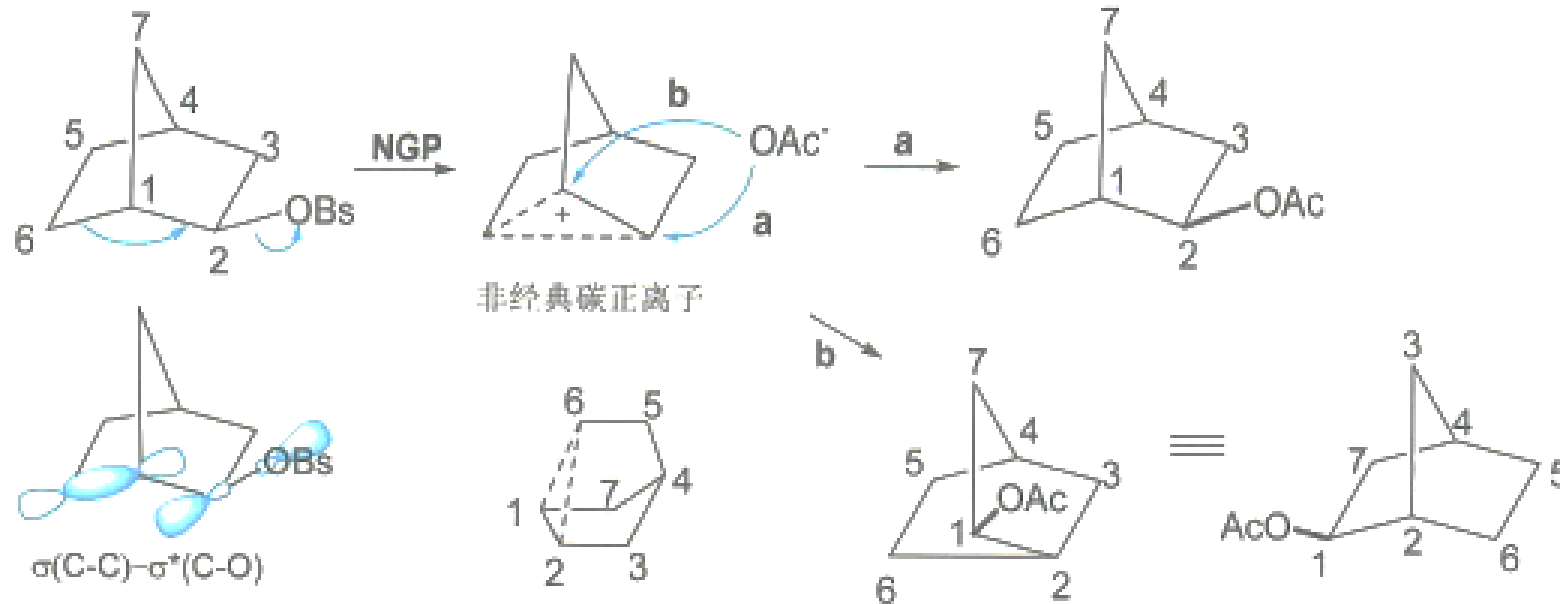
1



1

5.4 The Neighboring-Group Mechanism

f. Neighboring group participation by σ bonds



5.4 The Neighboring-Group Mechanism

Conclusion

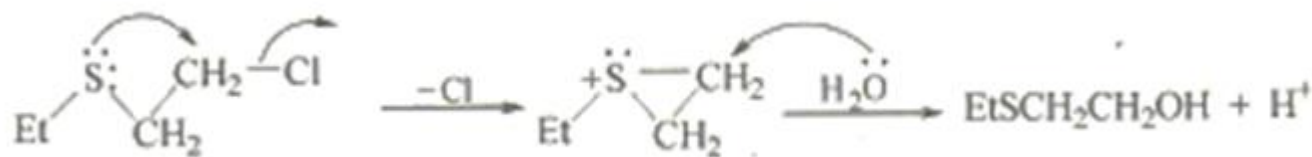
the characteristics of the neighboring-group mechanism

1. The rate of reaction is greater than expected. 反应速率明显增快

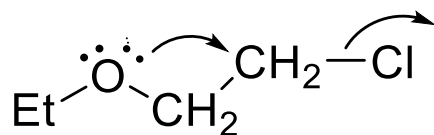
Exercise

A比B发生水解反应的速率快 10^4 倍

Why?



A



B

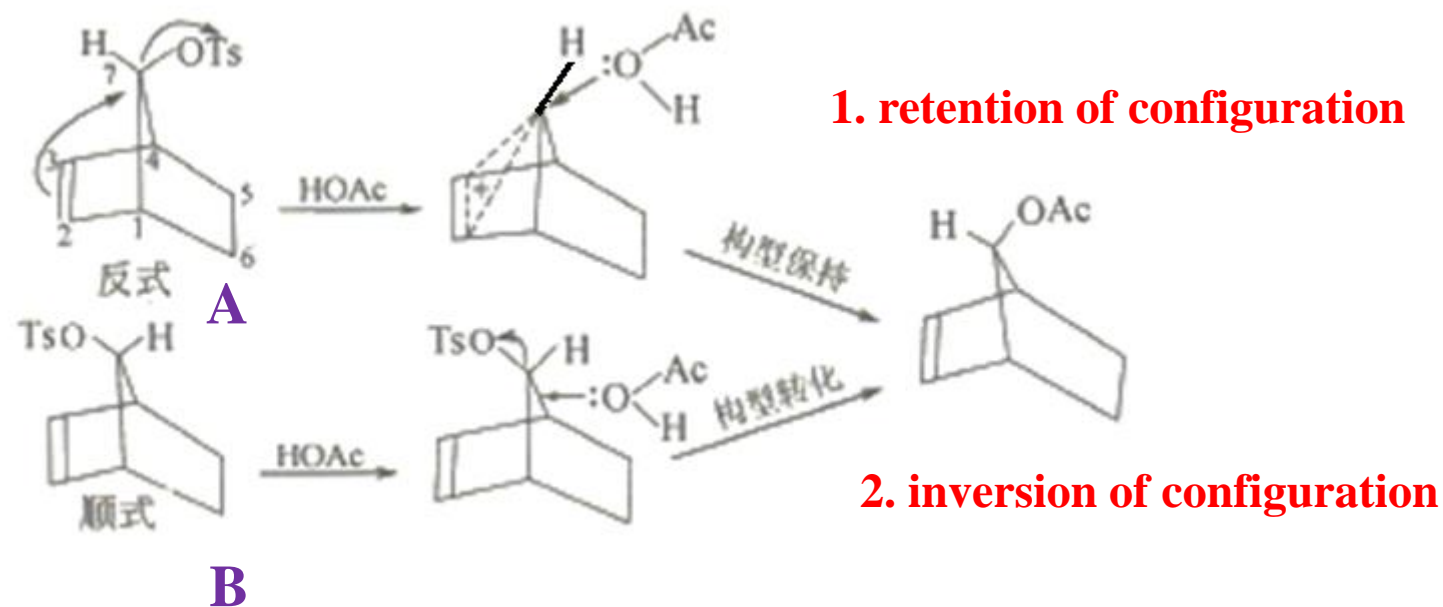
5.4 The Neighboring-Group Mechanism

2. The configuration at a chiral carbon is retained 反应物中的手性碳反应前后构型保持

Exercise

A 得到构型保持的乙酸解产物 B 得到构型反转产物

Why?



5.4 The Neighboring-Group Mechanism

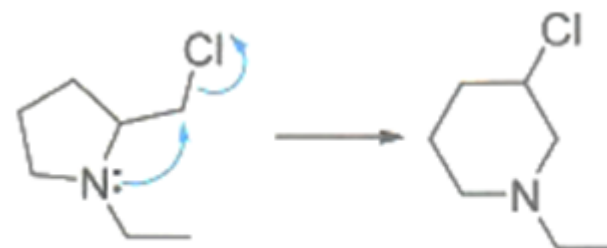
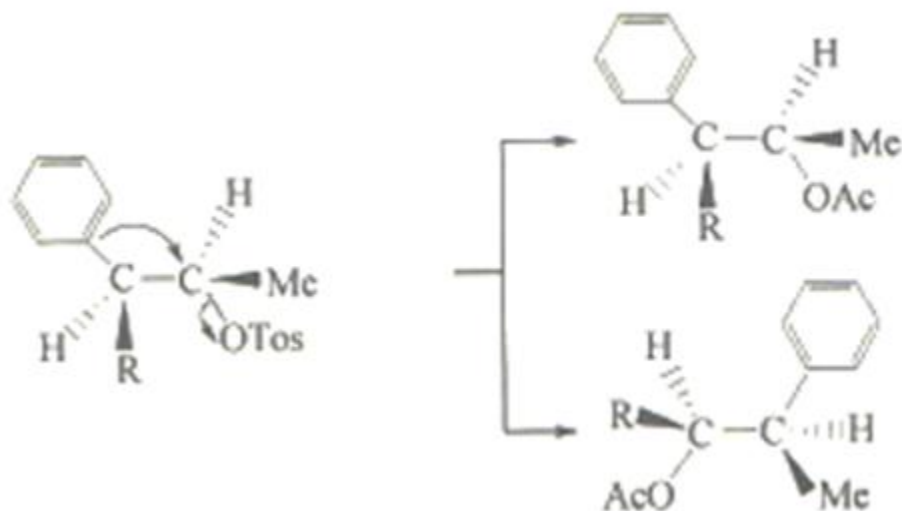
3. There may be intramolecular rearrangement products through the neighboring-group

Participation. 邻基参与作用可导致生成分子内重排产物。

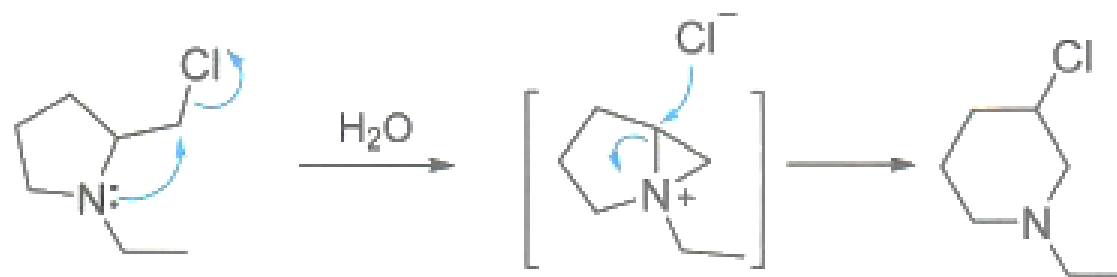
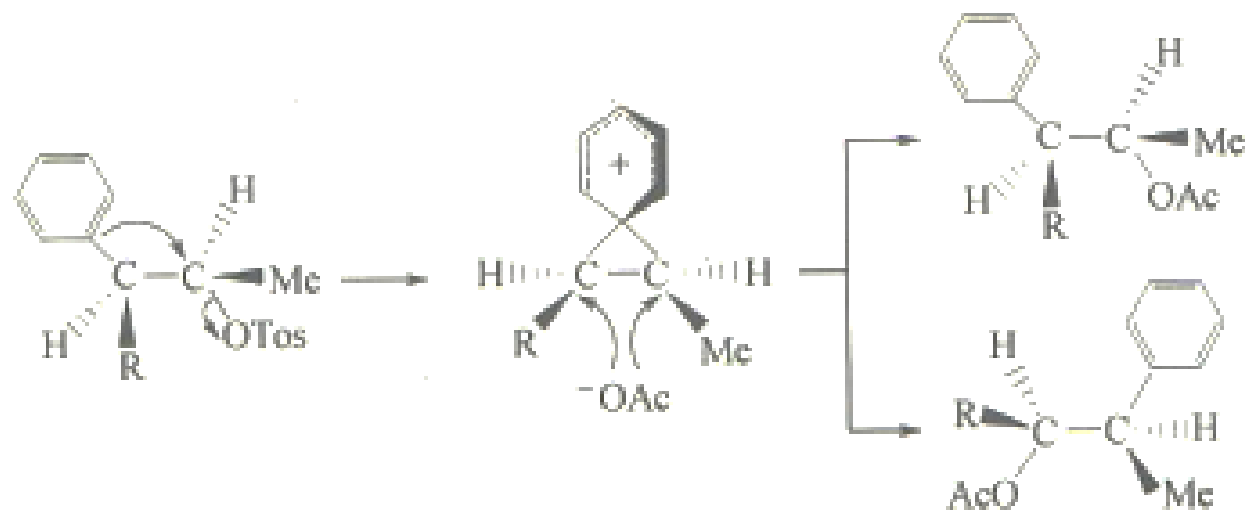
Exercise

反应得到了骨架重构的产物

Why?



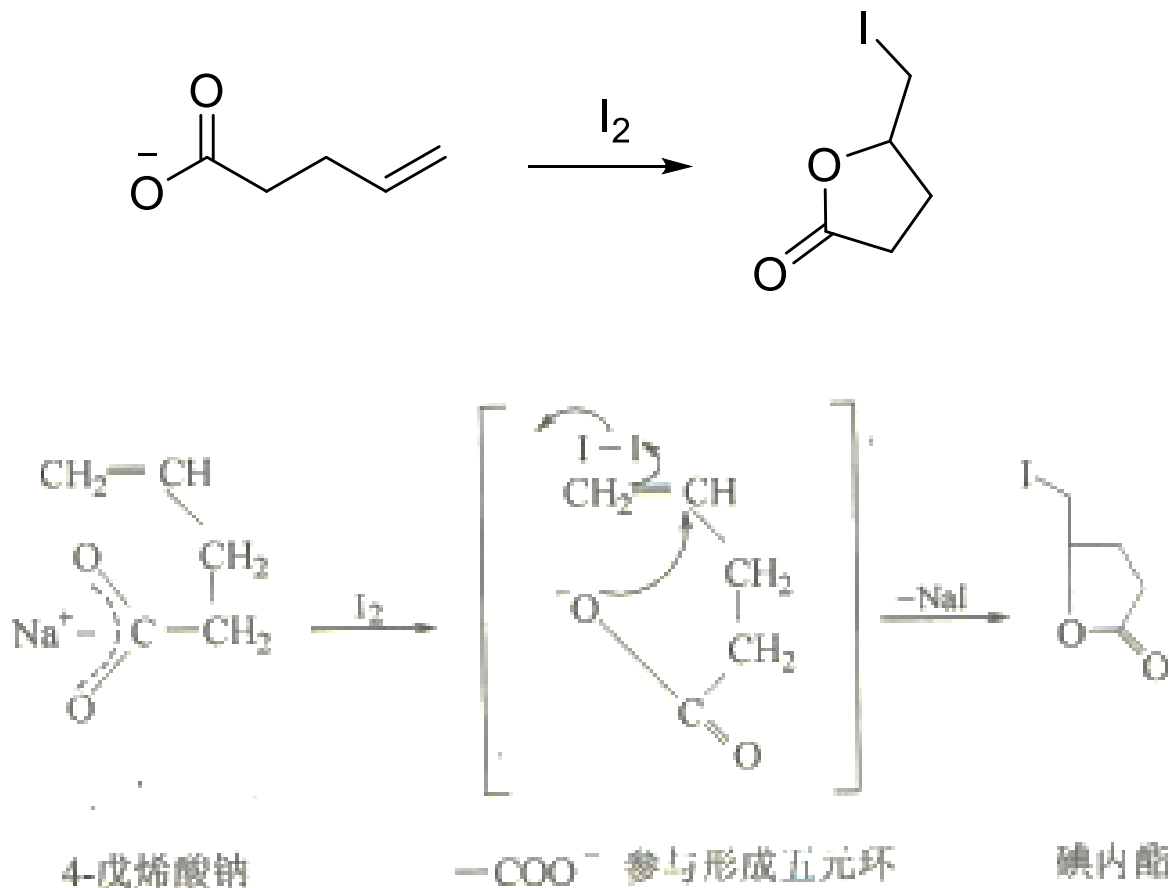
5.4 The Neighboring-Group Mechanism



5.4 The Neighboring-Group Mechanism

4. There may be cyclic products through the neighboring-group participation.

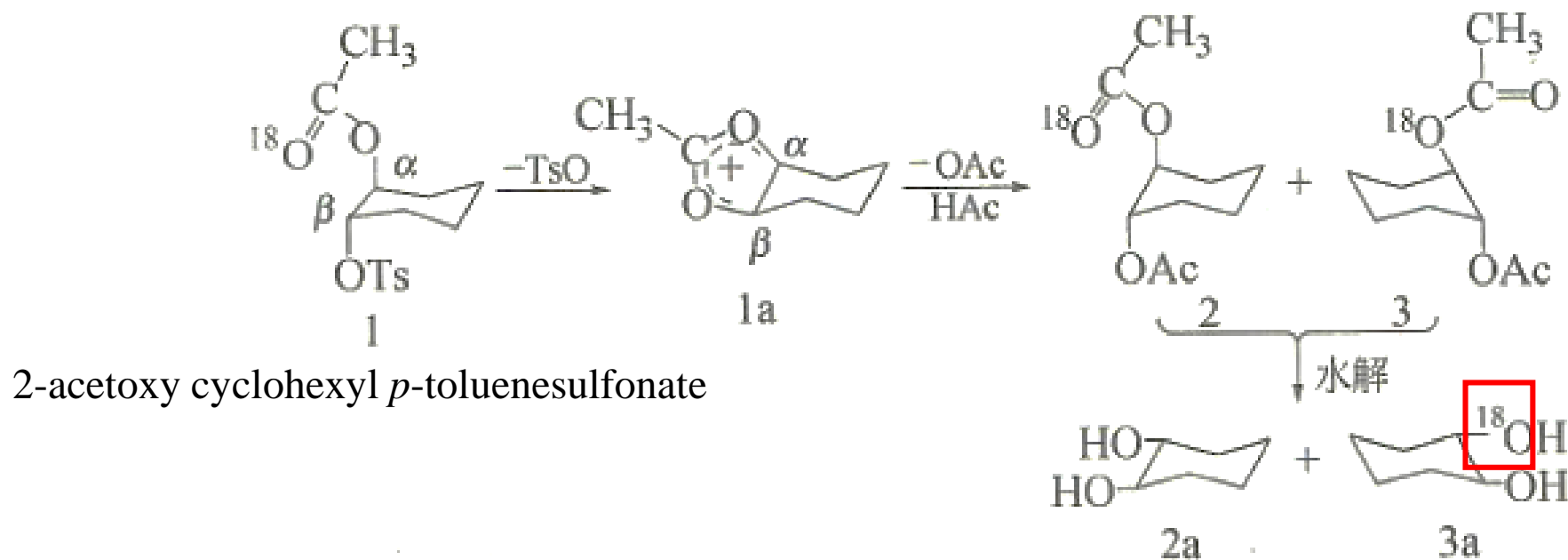
邻基参与作用可导致易生成环状化合物。



5.4 The Neighboring-Group Mechanism

例3：1-O-乙酰基-2-O-对甲苯磺酰基-反-1, 2-环己醇的乙酸解（同位素标记）（羰基作为邻近基团）

Solvolysis of 2-acetoxy cyclohexyl *p*-toluenesulfonate

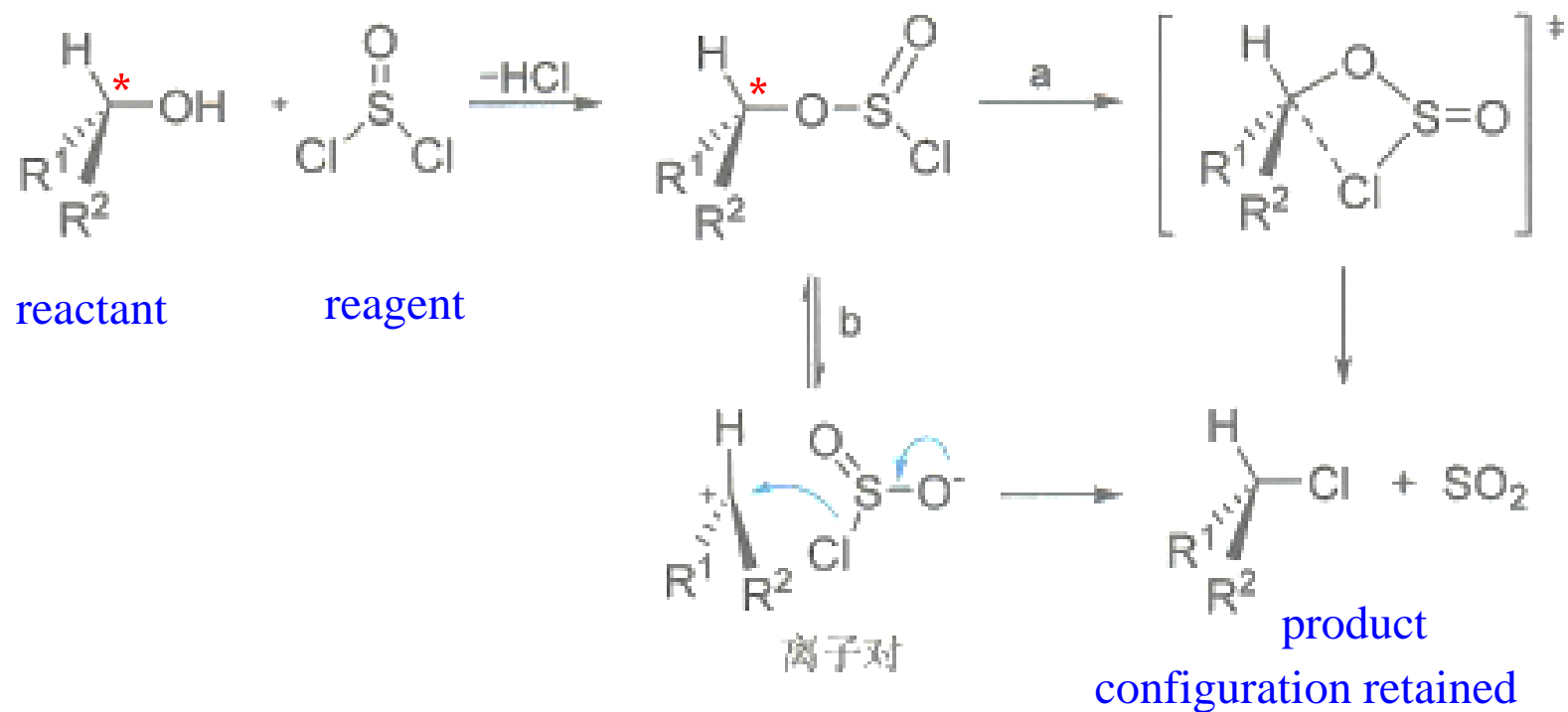
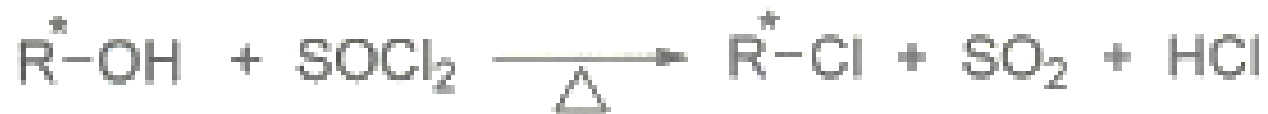


The rates of solvolysis of the cis and trans isomer of 2-acetoxy cyclohexyl *p*-toluenesulfonate differ by a factor of about 670, the trans isomer being more reactive.



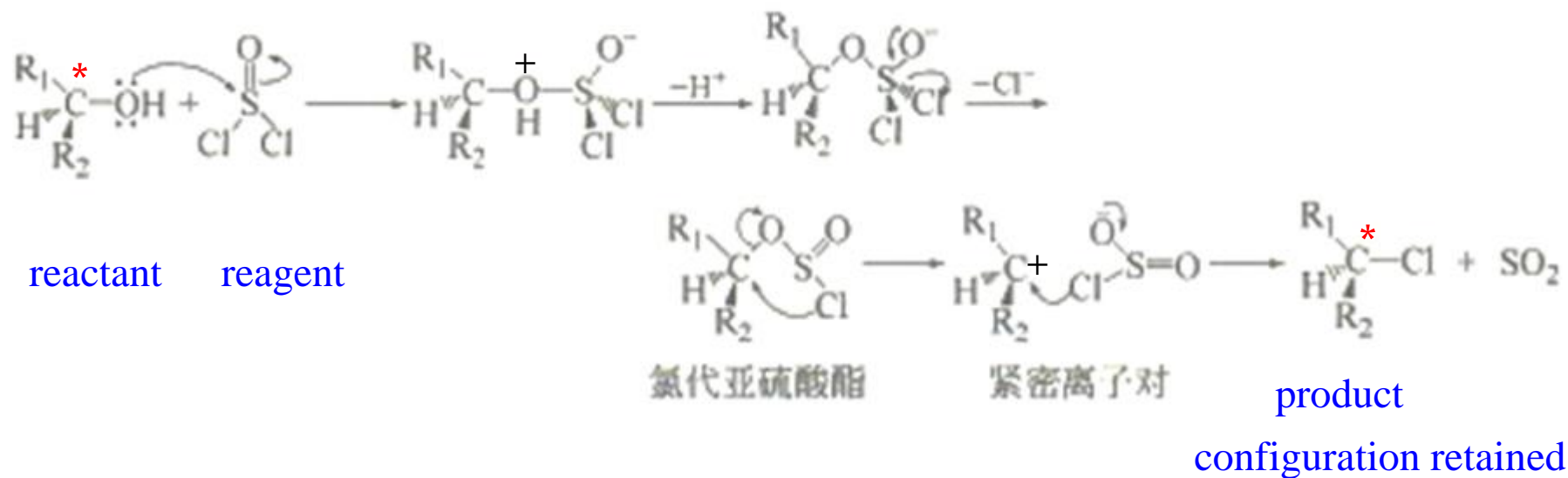
5.5 S_Ni mechanism Internal Nucleophilic Substitution 内部亲核取代反应

In a few reactions, nucleophilic substitution proceeds with **retention of configuration**, even where there is no possibility of a neighboring-group effect.

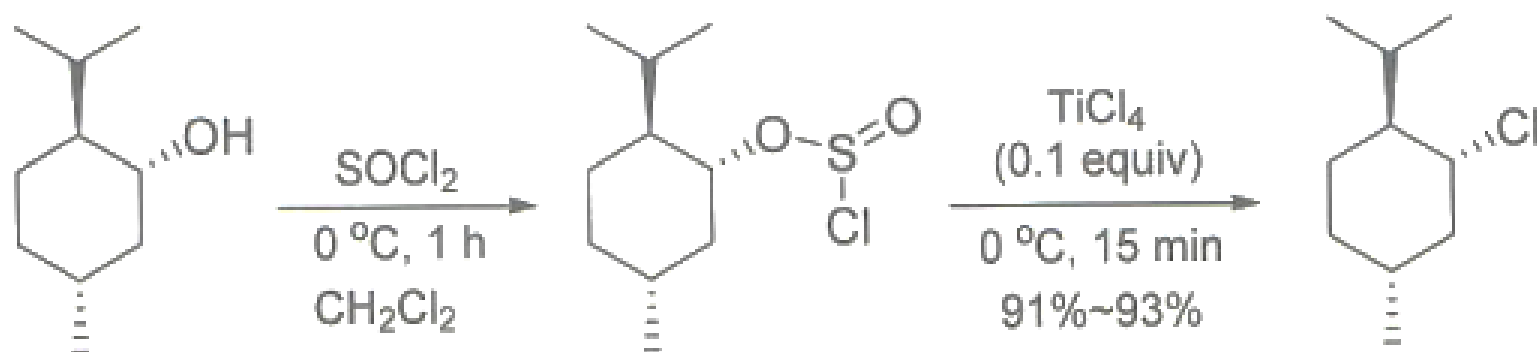


5.5 S_Ni mechanism Internal Nucleophilic Substitution 内部亲核取代反应

In a few reactions, nucleophilic substitution proceeds with **retention of configuration**, even where there is no possibility of a neighboring-group effect.

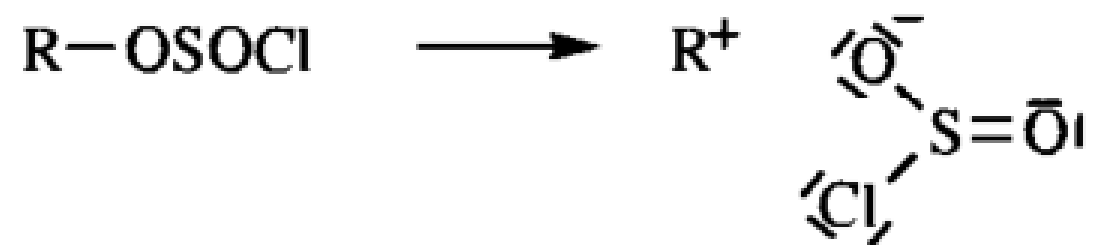


5.5 S_Ni mechanism

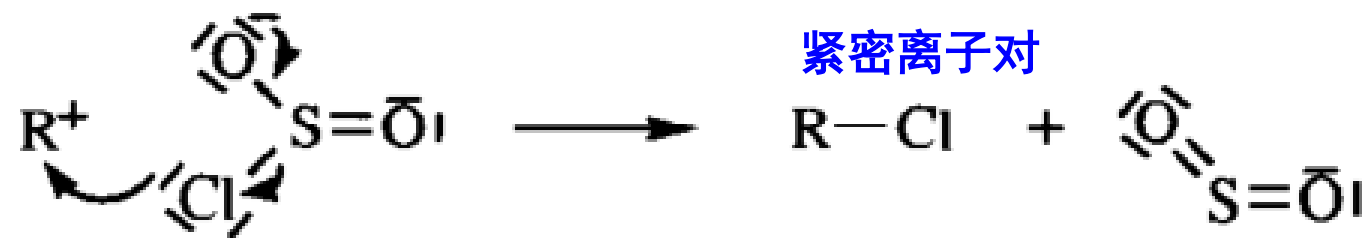


5.5 S_Ni mechanism

Step 1



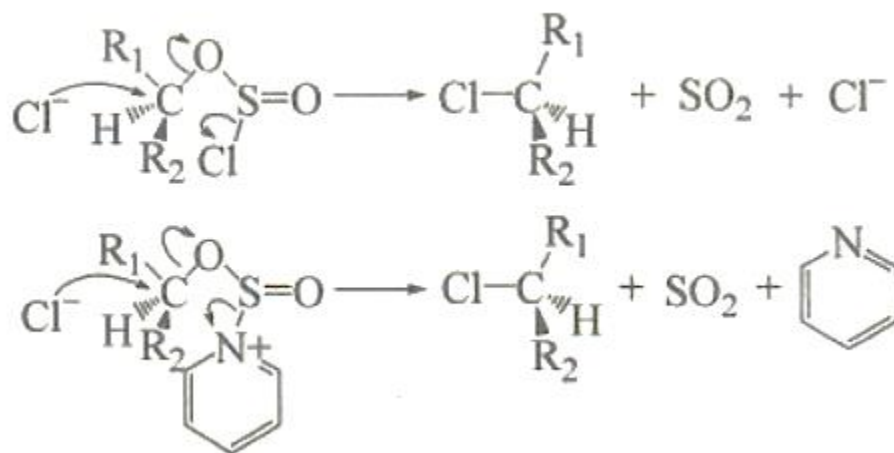
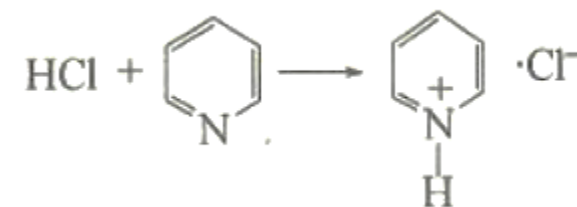
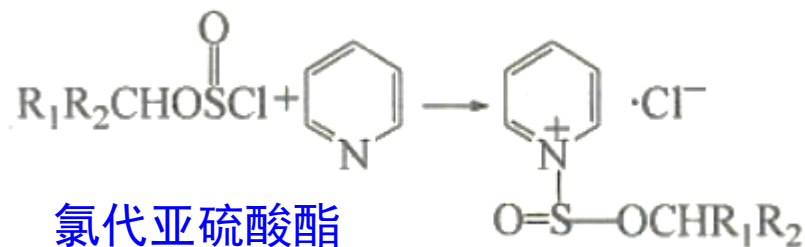
Step 2



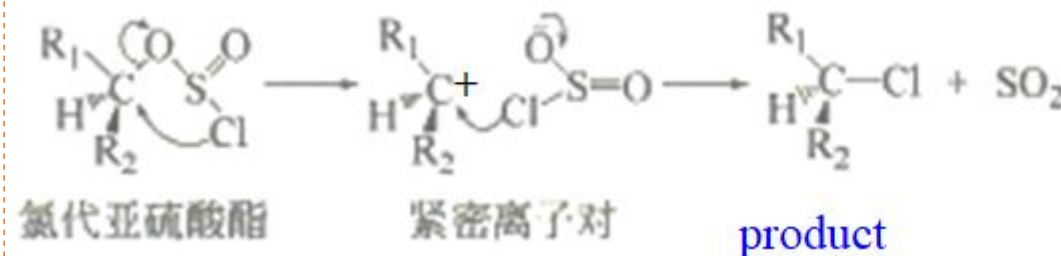
5.5 S_Ni mechanism

Evidence for this mechanism :

If addition of **pyridine** to the mixture of alcohol and thionyl chloride.



S_Ni mechanism



亲核试剂的类型和反应

① 含氧亲核试剂，含氧亲核试剂有 H_2O 、 ROH 、 OH^- 和 RO^- ，它们的反应包括各种水解反应和醇解反应。

② 含硫亲核试剂，含硫亲核试剂有 H_2S 、 S_2^{2-} 、 RS^- 、 RSO_2^- 、 $\text{S}_2\text{O}_3^{2-}$ 等。

③ 含氮亲核试剂，含氮亲核试剂有 NH_3 、 RNH_2 、 R_2NH 、 R_3N 、 ClSO_2NCO 、 Li_3N 、 NO_2^- 、 NaN_3 、 NCO^- 、 NCS^- 等。

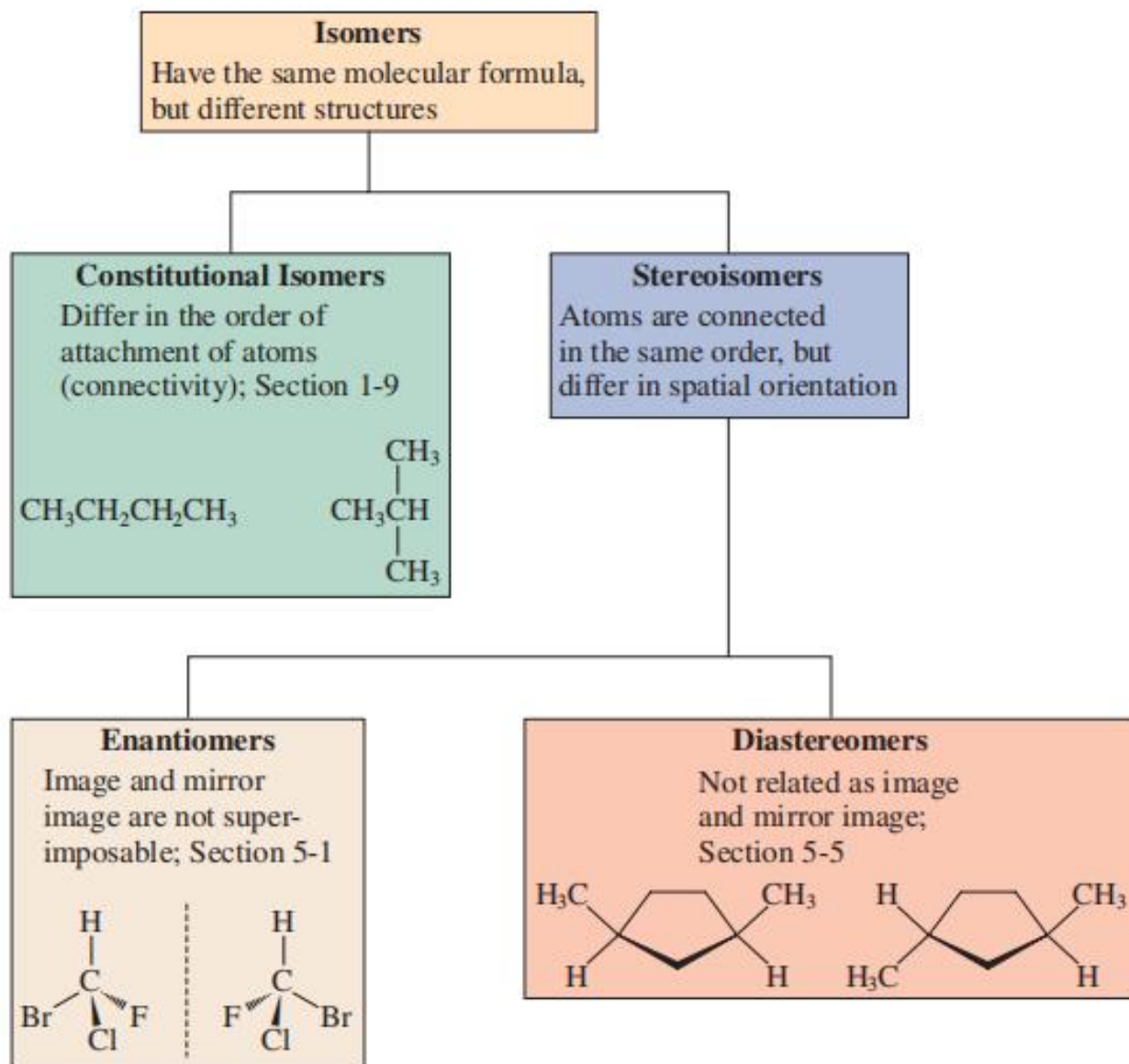
④ 卤素亲核试剂，含卤素的亲核试剂有 HX 、 X^- 、 LiI 、 SOCl_2 、 ClCSCl 等。

⑤ 负氢离子，能提供负氢离子作为亲核试剂的化合物有 LiAlH_4 、 AlH_3 、 NaBH_4 、 $\text{LiAlH}(\text{O}-t\text{-Bu})_3$ 等。

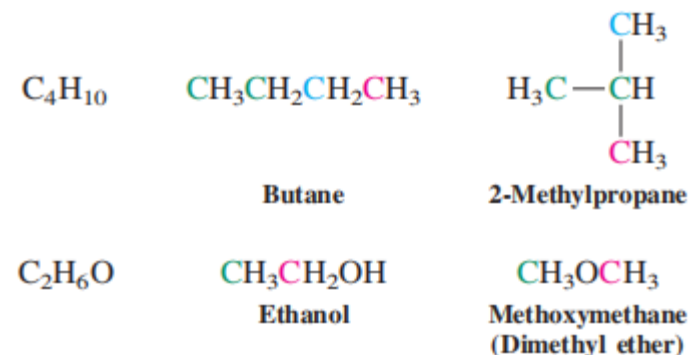
⑥ 碳亲核试剂，碳亲核试剂有 RMgX 、 R_2CuLi 、 RLi 、叶立德、 R_3B 、 $\text{RC}\equiv\text{C}^-$ 、 R_3Al 、 ArCu 及各种活泼亚甲基产生的负碳离子。

⑦ 溶剂解 (Solvolysis)，溶剂作为亲核试剂的亲核取代反应，称为溶剂解或溶剂解反应。所用的溶剂有水、乙醇、乙酸等。

Fundamentals of Stereochemistry



Constitutional Isomers



Stereoisomers

