

# In-Class Quiz

- QuizCST370-S19\_Quiz\_5 on iLearn
- Password is jellyfish

## CST 370 – ADVANCED ALGORITHMS

### 7. Best-, Worst-, & Average-Case Complexity Analysis

# Objectives

You will be able to...

1. Informally define best-, worst-, and average-case complexities.
2. Identify edge cases that change the complexity of a given algorithm.
3. Informally define Big Omega and Big Theta notation.
4. Identify the formal definitions of Big Omega and Big Theta
5. Use Big O, Big Omega, and Big Theta notation to express the best-, worst-, and average-case performance of algorithms.

# Calculating Big O

## Time Complexity

Is the complexity always the same for all inputs?

# Calculating Big O

## Time Complexity

Is the complexity always the same for all inputs?

You guessed it...**no**. Computational complexity describes the relationship between input size and efficiency, but other attributes of input can affect its efficiency.

# Calculating Big O

## Time Complexity

```
int someFunc(int x) {  
    int sum = 0;  
    if (x % 100 == 0)  
        sum += x;  
    else if (x % 100 == 1)  
        for (int i = 0; i < x; i++)  
            for (int j = 0; j < x; j++)  
                sum += i*j;  
    else {  
        for (int i = 0; i < x; i++)  
            sum += i*i;  
    }  
    return sum;  
}
```

# Calculating Big O

## Time Complexity

```
int someFunc(int x) {  
    int sum = 0;                                O(1)  
    if (x % 100 == 0)                          O(1)  
        sum += x;                                O(1)  
    else if (x % 100 == 1)                      O(1)  
        for (int i = 0; i < x; i++)            O(n)  
            for (int j = 0; j < x; j++)        O(n)  
                sum += i*j;                      O(1)  
    else {  
        for (int i = 0; i < x; i++)            O(n)  
            sum += i*i;                      O(1)  
    return sum;                                O(1)  
}
```

# Calculating Big O

## Time Complexity

```
int someFunc(int x) {  
    int sum = 0;                                O(1)  
    if (x % 100 == 0)                          O(1)  
        sum += x;  
    else if (x % 100 == 1)                      O(n2)  
        for (int i = 0; i < x; i++)  
            for (int j = 0; j < x; j++)  
                sum += i*j;  
    else {  
        for (int i = 0; i < x; i++)  
            sum += i*i;  
    }  
    return sum;                                  O(1)
```

# Calculating Big O

## Time Complexity

```
int someFunc(int x) {  
    int sum = 0;  
    if (x % 100 == 0)  
        sum += x;  
    else if (x % 100 == 1)  
        for (int i = 0; i < x; i++)  
            for (int j = 0; j < x; j++)  
                sum += i*j;  
    else {  
        for (int i = 0; i < x; i++)  
            sum += i*i;  
    }  
    return sum;  
}
```

$O(1)$   
 $O(1)$   
 $O(n^2)$   
 $O(n)$   
 $O(1)$

Only one of these three will be executed on each input.

Therefore, the Big O will vary for different inputs.

# Calculating Big O

## Best-Case Time Complexity

```
int someFunc(int x) {  
    int sum = 0;  
    if (x % 100 == 0)  
        sum += x;  
    else if (x % 100 == 1)  
        for (int i = 0; i < x; i++)  
            for (int j = 0; j < x; j++)  
                sum += i*j;  
    else {  
        for (int i = 0; i < x; i++)  
            sum += i*i;  
    }  
    return sum;  
}
```

$O(1)$

$O(1)$

$O(n^2)$

$O(n)$

$O(1)$

Assuming we get to pick the input, what is the best time complexity we can achieve?

# Calculating Big O

## Worst-Case Time Complexity

```
int someFunc(int x) {  
    int sum = 0;  
    if (x % 100 == 0)  
        sum += x;  
    else if (x % 100 == 1)  
        for (int i = 0; i < x; i++)  
            for (int j = 0; j < x; j++)  
                sum += i*j;  
    else {  
        for (int i = 0; i < x; i++)  
            sum += i*i;  
    }  
    return sum;  
}
```

$O(1)$

$O(1)$

$O(n^2)$

$O(n)$

$O(1)$

Assuming we get to pick the input, what is the worst time complexity we can achieve?

# Calculating Big O

## Average-Case Time Complexity

```
int someFunc(int x) {  
    int sum = 0;  
    if (x % 100 == 0)  
        sum += x;  
    else if (x % 100 == 1)  
        for (int i = 0; i < x; i++)  
            for (int j = 0; j < x; j++)  
                sum += i*j;  
    else {  
        for (int i = 0; i < x; i++)  
            sum += i*i;  
    }  
    return sum;  
}
```

$O(1)$

$O(1)$

$O(n^2)$

$O(n)$

$O(1)$

Assuming we **don't** get to pick the input, what is the time complexity we should expect?

# Calculating Big O

## Average-Case Time Complexity

```
int someFunc(int x) {  
    int sum = 0;  
    if (x % 100 == 0)  
        sum += x;  
    else if (x % 100 == 1)  
        for (int i = 0; i < x; i++)  
            for (int j = 0; j < x; j++)  
                sum += i*j;  
    else {  
        for (int i = 0; i < x; i++)  
            sum += i*i;  
    }  
    return sum;  
}
```

What makes input “average”?

$O(1)$

$O(1)$

$O(n^2)$

$O(n)$

$O(1)$

# Calculating Big O

## Average-Case Time Complexity

```
int someFunc(int x) {  
    int sum = 0;  
    if (x % 100 == 0)  
        sum += x;  
    else if (x % 100 == 1)  
        for (int i = 0; i < x; i++)  
            for (int j = 0; j < x; j++)  
                sum += i*j;  
    else {  
        for (int i = 0; i < x; i++)  
            sum += i*i;  
    }  
    return sum;  
}
```

What makes input “average”?

$O(1)$

$O(1)$

$O(n^2)$

$O((\text{Best} + \text{Worst}) / 2)$ ?

$O(n)$

$O(1)$

# Calculating Big O

## Average-Case Time Complexity

```
int someFunc(int x) {  
    int sum = 0;  
    if (x % 100 == 0)  
        sum += x;  
    else if (x % 100 == 1)  
        for (int i = 0; i < x; i++)  
            for (int j = 0; j < x; j++)  
                sum += i*j;  
    else {  
        for (int i = 0; i < x; i++)  
            sum += i*i;  
    }  
    return sum;  
}
```

$O(1)$

$O(1)$

$O(n^2)$

$O(n)$

$O(1)$

What makes input “average”?

$\Theta((\text{Best} + \text{Worst}) / 2)$ ?

Complexity when given  
“average” inputs.

# Calculating Big O

## Average-Case Time Complexity

What makes input “average”?

- Input that has no special specifications (unlike the best and worst case input which we constructed to have certain properties)
- The majority of possible inputs
- The typical input for the problem
- No scientific or mathematical definition, but identifying it will become second nature with practice
- The average case is often the same as the best or worst case

# Best-, Worst-, & Average-Case Complexities

## Linear Search

```
int linearSearch(vector<int> &vec, int x) {  
    for (int i = 0; i < vec.size(); i++) {  
        if (vec[i] == x)  
            return i;  
    }  
    return -1;  
}
```

# Linear Search

## Computational Complexity

**Best**

**Average**

**Worst**

**Space**

# Best-, Worst-, & Average-Case Complexities

## Linear Search: Best Case

```
int linearSearch(vector<int> &vec, int x) {  
    for (int i = 0; i < vec.size(); i++) {  
        if (vec[i] == x)  
            return i;  
    }  
    return -1;  
}
```

# Best-, Worst-, & Average-Case Complexities

## Linear Search: Best Case

```
int linearSearch(vector<int> &vec, int x) {  
    for (int i = 0; i < vec.size(); i++) {  
        if (vec[i] == x)  
            return i;  
    }  
    return -1;  
}
```

x is the first element (index 0 in vec)

[8, 4, 3, 6, 1, 5, 10, 9], x = 8

# Best-, Worst-, & Average-Case Complexities

## Linear Search: Best Case O(1)

```
int linearSearch(vector<int> &vec, int x) {    O(1) (the if statement evaluates to true on
    for (int i = 0; i < vec.size(); i++) {
        if (vec[i] == x)                      O(1)
            return i;                         O(1)
    }
    return -1;                            O(1) (but never executes)
}
```

x is the first element (index 0 in vec)

[8, 4, 3, 6, 1, 5, 10, 9], x = 8

# Best-, Worst-, & Average-Case Complexities

## Linear Search: Worst Case

```
int linearSearch(vector<int> &vec, int x) {  
    for (int i = 0; i < vec.size(); i++) {  
        if (vec[i] == x)  
            return i;  
    }  
    return -1;  
}
```

x is not found (not in vec)

[8, 4, 3, 6, 1, 5, 10, 9], x = 11

# Best-, Worst-, & Average-Case Complexities

## Linear Search: Worst Case $O(n)$

```
int linearSearch(vector<int> &vec, int x) {          O(n) (the if statement never evaluates to true  
    for (int i = 0; i < vec.size(); i++) {           so all n iterations are executed)  
        if (vec[i] == x)                            O(1)  
            return i;                             O(1)  
    }                                         O(1)  
    return -1;                                O(1)  
}
```

$x$  is not found (not in  $\text{vec}$ )

$[8, 4, 3, 6, 1, 5, 10, 9]$ ,  $x = 11$

# Best-, Worst-, & Average-Case Complexities

## Linear Search: Average Case

```
int linearSearch(vector<int> &vec, int x) {  
    for (int i = 0; i < vec.size(); i++) {  
        if (vec[i] == x)  
            return i;  
    }  
    return -1;  
}
```

x is found somewhere in the middle  
(in index  $0 < i < \text{vec.size()} - 1$  in vec)  
[8, 4, 3, 6, 1, 5, 10, 9], x = 6

# Best-, Worst-, & Average-Case Complexities

## Linear Search: Average Case $O(n)$

```
int linearSearch(vector<int> &vec, int x) {  
    for (int i = 0; i < vec.size(); i++) {           O(n) (WHY?)  
        if (vec[i] == x)                            O(1)  
            return i;                             O(1)  
    }  
    return -1;                                O(1) (but never executes)  
}
```

$x$  is found somewhere in the middle  
(in index  $0 < i < \text{vec.size()} - 1$  in  $\text{vec}$ )  
 $[8, 4, 3, 6, 1, 5, 10, 9]$ ,  $x = 6$

# Best-, Worst-, & Average-Case Complexities

## Linear Search: Average Case $O(n)$

```
int linearSearch(vector<int> &vec, int x) {  
    for (int i = 0; i < vec.size(); i++) {           O(n) (WHY?)  
        if (vec[i] == x)                            O(1)  
            return i;                             O(1)  
    }  
    return -1;                                O(1) (but never executes)  
}
```

$x$  is found somewhere in the middle  
(in index  $0 < i < \text{vec.size()} - 1$  in  $\text{vec}$ )  
[8, 4, 3, 6, 1, 5, 10, 9],  $x = 6$

If  $x$  has equal probability of being found in any position, on average it is in position  $n/2$ , so the loop executes  $n/2$  times, which is just  $O(n)$  because we drop constants.

# Best-, Worst-, & Average-Case Complexities

## Linear Search: Average Case $O(n)$

```
int linearSearch(vector<int> &vec, int x) {  
    for (int i = 0; i < vec.size(); i++) {           O(n) (WHY?)  
        if (vec[i] == x)                            O(1)  
            return i;                             O(1)  
    }  
    return -1;                                O(1) (but never executes)  
}
```

$x$  is found somewhere in the middle  
(in index  $0 < i < \text{vec.size()} - 1$  in  $\text{vec}$ )  
 $[8, 4, 3, 6, 1, 5, 10, 9]$ ,  $x = 6$

Alternatively, think of  $x$  as being located in position  $k * n$  where  $0 < k < 1$ . Then the loop executes  $k * n$  times which again is just  $O(n)$  because we drop constants.

# Best-, Worst-, & Average-Case Complexities

## Linear Search: Space O(1)

```
int linearSearch(vector<int> &vec, int x) {  
    for (int i = 0; i < vec.size(); i++) {          O(1)  
        if (vec[i] == x)  
            return i;  
    }  
    return -1;  
}
```

# Linear Search

## Computational Complexity

**Best**

**Average**

**Worst**

**Space**

---

$O(1)$

$O(n)$

$O(n)$

$O(1)$

# Best-, Worst-, & Average-Case Complexities

## Space Complexity

When we talk about space, we usually only give the worst-case. Why?

# Best-, Worst-, & Average-Case Complexities

## Space Complexity

When we talk about space, we usually only give the worst-case. Why? In practice, the best-, worst-, and average-case complexities are usually the same for space. In addition, as time is typically the more constrained resource, it usually suffices to ensure that we won't run out of memory in the worst case.

# Best-, Worst-, & Average-Case Complexities

## Binary Search

```
int binarySearch(vector<int> &vec,
    int x, int l, int r) {
    if (l > r)
        return -1;
    int m = (l + r) / 2;
    if (x < vec[m])
        return binarySearch(vec, x, l, m - 1);
    else if (x > vec[m])
        return binarySearch(vec, x, m + 1, r);
    else
        return m;
}
```

# Best-, Worst-, & Average-Case Complexities

## Binary Search: Best Case

```
int binarySearch(vector<int> &vec,
    int x, int l, int r) {
    if (l > r)
        return -1;
    int m = (l + r) / 2;
    if (x < vec[m])
        return binarySearch(vec, x, l, m - 1);
    else if (x > vec[m])
        return binarySearch(vec, x, m + 1, r);
    else
        return m;
}
```

x is the middle element

[1, 3, 4, 5, 6, 8, 9, 10], x = 5

# Best-, Worst-, & Average-Case Complexities

## Binary Search: Best Case O(1)

```
int binarySearch(vector<int> &vec,
    int x, int l, int r) {
    if (l > r)                                O(1)
        return -1;
    int m = (l + r) / 2;
    if (x < vec[m])                           Never Executes
        return binarySearch(vec, x, l, m - 1); O(1)
    else if (x > vec[m])
        return binarySearch(vec, x, m + 1, r); Never Executes
    else                                         O(1) (Since x is the middle element x = vec[m]
        return m;                            O(1) so no recursive calls are made)
}
```

x is the middle element

[1, 3, 4, 5, 6, 8, 9, 10], x = 5

# Best-, Worst-, & Average-Case Complexities

## Binary Search: Worst Case

```
int binarySearch(vector<int> &vec,
    int x, int l, int r) {
    if (l > r)
        return -1;
    int m = (l + r) / 2;
    if (x < vec[m])
        return binarySearch(vec, x, l, m - 1);
    else if (x > vec[m])
        return binarySearch(vec, x, m + 1, r);
    else
        return m;
}
```

x is not found (not in vec)

[1, 3, 4, 5, 6, 8, 9, 10], x = 11

# Best-, Worst-, & Average-Case Complexities

## Binary Search: Worst Case $O(\log n)$

```
int binarySearch(vector<int> &vec,
    int x, int l, int r) {
    if (l > r)                                O(1)
        return -1;
    int m = (l + r) / 2;
    if (x < vec[m])                            O(1)
        return binarySearch(vec, x, l, m - 1);  What is the height of the recursive tree?
    else if (x > vec[m])                      O(1)
        return binarySearch(vec, x, m + 1, r);  What is the height of the recursive tree?
    else                                         O(1)
        return m;
}
```

x is not found (not in vec)

[1, 3, 4, 5, 6, 8, 9, 10], x = 11

# Best-, Worst-, & Average-Case Complexities

## Binary Search: Worst Case $O(\log n)$

```
int binarySearch(vector<int> &vec,
    int x, int l, int r) {
    if (l > r)                                O(1)
        return -1;
    int m = (l + r) / 2;
    if (x < vec[m])                            O(1)
        return binarySearch(vec, x, l, m - 1);  What is the height of the recursive tree?
    else if (x > vec[m])                      O(1)
        return binarySearch(vec, x, m + 1, r);  What is the height of the recursive tree?
    else                                         O(1)
        return m;
}
x is not found (not in vec)
[1, 3, 4, 5, 6, 8, 9, 10], x = 11
Never Executes
If x is not in vec, we recurse until l > r (the full max height of the recursive tree). Since we split the search space in half every recursive call, the height is  $O(\log n)$ .
```

# Best-, Worst-, & Average-Case Complexities

## Binary Search: Average Case

```
int binarySearch(vector<int> &vec,
    int x, int l, int r) {
    if (l > r)
        return -1;
    int m = (l + r) / 2;
    if (x < vec[m])
        return binarySearch(vec, x, l, m - 1);
    else if (x > vec[m])
        return binarySearch(vec, x, m + 1, r);
    else
        return m;
}
```

x is found in an arbitrary index in vec

[1, 3, 4, 5, 6, 8, 9, 10], x = 9

# Best-, Worst-, & Average-Case Complexities

## Binary Search: Average Case $O(\log n)$

```
int binarySearch(vector<int> &vec,          O(1)
                int x, int l, int r) {
    if (l > r)                      O(1)
        return -1;                  Never Executes
    int m = (l + r) / 2;            O(1)
    if (x < vec[m])               What is the height of the recursive tree?
        return binarySearch(vec, x, l, m - 1); O(1)
    else if (x > vec[m])
        return binarySearch(vec, x, m + 1, r); O(1)
    else
        return m;
}
```

$x$  is found in an arbitrary index in  $\text{vec}$

$[1, 3, 4, 5, 6, 8, 9, 10]$ ,  $x = 9$

# Best-, Worst-, & Average-Case Complexities

## Binary Search: Average Case $O(\log n)$

```
int binarySearch(vector<int> &vec,
    int x, int l, int r) {
    if (l > r)
        return -1;
    int m = (l + r) / 2;
    if (x < vec[m])
        return binarySearch(vec, x, l, m - 1);
    else if (x > vec[m])
        return binarySearch(vec, x, m + 1, r);
    else
        return m;
}
```

x is found in an arbitrary index in vec  
[1, 3, 4, 5, 6, 8, 9, 10], x = 9

$O(1)$   
 $O(1)$   
Never Executes  
 $O(1)$   
What is the height of the recursive tree?  
 $O(1)$   
What is the height of the recursive tree?  
 $O(1)$   
 $O(1)$

If x is in vec, we recurse partway down the recursive tree. If x is equally likely to be found in any index, on average we will have to go halfway down the tree. This is  $\log n/2$  operations which is still  $O(\log n)$ .

# Best-, Worst-, & Average-Case Complexities

## Binary Search: Space $O(\log n)$

```
int binarySearch(vector<int> &vec,
    int x, int l, int r) {
    if (l > r)
        return -1;
    int m = (l + r) / 2;                      O(1)
    if (x < vec[m])
        return binarySearch(vec, x, l, m - 1);
    else if (x > vec[m])
        return binarySearch(vec, x, m + 1, r);
    else
        return m;
}
```

$O(1)$  variables  
+  $O(\log n)$  recursive stack  
=  $O(\log n)$

# Best-, Worst-, & Average-Case Complexities

## Binary Search: Explicit Space $O(1)$

```
int binarySearch(vector<int> &vec,
                 int x, int l, int r) {
    if (l > r)
        return -1;
    int m = (l + r) / 2;
    if (x < vec[m])
        return binarySearch(vec, x, l, m - 1);
    else if (x > vec[m])
        return binarySearch(vec, x, m + 1, r);
    else
        return m;
}
```

For recursive algorithms, I'm only going to care about explicit space complexity from now on.

- You shouldn't have to worry about implementing something recursively or iteratively in an interview.
- Every recursive algorithm can be rewritten iteratively (but it can get complicated).

# Binary Search

## Computational Complexity

**Best**

**Average**

**Worst**

**Space**

---

$O(1)$

$O(\log n)$

$O(\log n)$

$O(1)$

# Now it's your turn!

Work together to fill out the worksheet

- Your worksheet has on it all the algorithms we've talked about so far.
- Work together to fill out the time/space complexities for each algorithm.
- Use the notes sections to write down edge cases for the best/worst complexities.

# Quick Sort

## Computational Complexity

**Best**

**Average**

**Worst**

**Space**

# Best-, Worst-, & Average-Case Complexities

## Quick Sort

```
void quickSort(vector<int> &vec, int l, int r) {  
    if (r - l > 0) {  
        int pivot = partition(vec, l, r);  
        quickSort(vec, l, pivot - 1);  
        quickSort(vec, pivot + 1, r);  
    }  
}
```

# Best-, Worst-, & Average-Case Complexities

## Quick Sort: Best Case

```
void quickSort(vector<int> &vec, int l, int r) {  
    if (r - l > 0) {  
        int pivot = partition(vec, l, r);  
        quickSort(vec, l, pivot - 1);  
        quickSort(vec, pivot + 1, r);  
    }  
}
```

Partition always splits the array into two equal halves (pivots around the median element)

# Best-, Worst-, & Average-Case Complexities

## Quick Sort: Best Case $O(n \log n)$

```
void quickSort(vector<int> &vec, int l, int r) {  
    if (r - l > 0) {  
        int pivot = partition(vec, l, r);  
        quickSort(vec, l, pivot - 1);  
        quickSort(vec, pivot + 1, r);  
    }  
}
```

$O(1)$   
 $O(n)$   
What is the height of the recursive tree?  
What is the height of the recursive tree?

Partition always splits the array into two equal halves (pivots around the median element)

# Best-, Worst-, & Average-Case Complexities

## Quick Sort: Best Case $O(n \log n)$

```
void quickSort(vector<int> &vec, int l, int r) {  
    if (r - l > 0) {  
        int pivot = partition(vec, l, r);  
        quickSort(vec, l, pivot - 1);  
        quickSort(vec, pivot + 1, r);  
    }  
}
```

$O(1)$   
 $O(n)$   
What is the height of the recursive tree?  
What is the height of the recursive tree?

Partition always splits the array into two equal halves (pivots around the median element)

If we split the array exactly in half every time until the resulting arrays have size 1, we will split it  $\log n$  times (by definition) so each recursive tree will have height  $\log n$ .

# Best-, Worst-, & Average-Case Complexities

## Quick Sort: Worst Case

```
void quickSort(vector<int> &vec, int l, int r) {  
    if (r - l > 0) {  
        int pivot = partition(vec, l, r);  
        quickSort(vec, l, pivot - 1);  
        quickSort(vec, pivot + 1, r);  
    }  
}
```

Partition always splits the array into  
two pieces of lengths 0 and size – 1  
(one half is always empty)

# Best-, Worst-, & Average-Case Complexities

## Quick Sort: Worst Case $O(n^2)$

```
void quickSort(vector<int> &vec, int l, int r) {  
    if (r - l > 0) {  
        int pivot = partition(vec, l, r);  
        quickSort(vec, l, pivot - 1);  
        quickSort(vec, pivot + 1, r);  
    }  
}
```

$O(1)$   
 $O(n)$   
What is the height of the recursive tree?  
What is the height of the recursive tree?

Partition always splits the array into  
two pieces of lengths 0 and size – 1  
(one half is always empty)

# Best-, Worst-, & Average-Case Complexities

## Quick Sort: Worst Case $O(n^2)$

```
void quickSort(vector<int> &vec, int l, int r) {  
    if (r - l > 0) {  
        int pivot = partition(vec, l, r);  
        quickSort(vec, l, pivot - 1);  
        quickSort(vec, pivot + 1, r);  
    }  
}
```

$O(1)$   
 $O(n)$   
What is the height of the recursive tree?  
What is the height of the recursive tree?

Partition always splits the array into two pieces of lengths 0 and size – 1 (one half is always empty)

If we split the array in two so that one piece always has size 0 until the resulting arrays have size 1, we only reduce the size of the array by 1 at every split. Therefore one recursive tree will have height 0 and the other will have height n (visualize a recursive tree that looks like a linked list).

# Best-, Worst-, & Average-Case Complexities

## Quick Sort: Average Case

```
void quickSort(vector<int> &vec, int l, int r) {  
    if (r - l > 0) {  
        int pivot = partition(vec, l, r);  
        quickSort(vec, l, pivot - 1);  
        quickSort(vec, pivot + 1, r);  
    }  
}
```

Partition splits the array into two  
non-zero length pieces

# Best-, Worst-, & Average-Case Complexities

## Quick Sort: Average Case $O(n \lg n)$

```
void quickSort(vector<int> &vec, int l, int r) {  
    if (r - l > 0) {  
        int pivot = partition(vec, l, r);  
        quickSort(vec, l, pivot - 1);  
        quickSort(vec, pivot + 1, r);  
    }  
}
```

$O(1)$   
 $O(n)$   
What is the height of the recursive tree?  
What is the height of the recursive tree?

Partition splits the array into two  
non-zero length pieces

# Best-, Worst-, & Average-Case Complexities

## Quick Sort: Average Case $O(n \lg n)$

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void quickSort(vector<int> &vec, int l, int r) {  
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        quickSort(vec, pivot + 1, r);  
    }  
}
```

Partition splits the array into two non-zero length pieces

$O(1)$

$O(n)$

What is the height of the recursive tree?

What is the height of the recursive tree?

If we always partition the array around an arbitrary pivot, each element is equally likely to be on the left or right sides, so on average, each side ends up with on average  $n/2$  elements which makes the height on average  $\lg n$ .

# Best-, Worst-, & Average-Case Complexities

## Quick Sort: Space $O(1)$

```
void quickSort(vector<int> &vec, int l, int r) {  
    if (r - l > 0) {  
        int pivot = partition(vec, l, r);           O(1)  
        quickSort(vec, l, pivot - 1);  
        quickSort(vec, pivot + 1, r);  
    }  
}  
  
O(1) variables  
+ O(log n) recursive stack  
= O(log n)
```

# Quick Sort

How to pick a pivot?

1. First element
2. Last element
3. Average element
4. Median element
5. Random element
6. Middle element
7. Median of first, last, and middle elements

# Quick Sort

How to pick a pivot?

1. First element   
Causes worst-case behavior  
(does not split the array) if  
array is sorted
2. Last element   
Difficult/expensive to compute
3. Average element   
What we did in class
4. Median element   
Even better than what we did in  
class
5. Random element   
Even better than what we did in  
class
6. Middle element
7. Median of first, last, and middle elements

# Quick Sort

## Computational Complexity

**Best**

**Average**

**Worst**

**Space**

---

$O(n \log n)$

$O(n \log n)$

$O(n^2)$

$O(1)$

# Big O Notation

## Informal Definition

$O(n)$  : Read this as “Big O of n.”

Big O is an *approximation* that tells us about an algorithm’s *worst-case scenario* use of time or space.

# Big $\Omega$ Notation

## Informal Definition

$\Omega(n)$  : Read this as “Big Omega of n.” Assume n is the input size.  
If this is confusing, think of  $\Omega(n)$  time as meaning an algorithm runs in  
*approximately* n operations *at least*.

Similarly,  $\Omega(n)$  space means an algorithm consumes *approximately* n  
units of memory *at least*.

Big  $\Omega$  is an *approximation* that tells us about an algorithm’s *best-case*  
*scenario* use of time or space.

# Big O Notation

## Formal Definition

Mathematically, Big-O is **the least** (loose) upper bound on the number of operations or amount of memory used by an algorithm.

# Big $\Omega$ Notation

## Formal Definition

Mathematically, Big- $\Omega$  is **the greatest** (loose) lower bound on the number of operations or amount of memory used by an algorithm.

# Big O & Big $\Omega$ Notation

## Usage

Instead of saying an algorithm is best-case  $O(n)$  and worst-case  $O(n^2)$ , we can instead say it is  $O(n^2)$  and  $\Omega(n)$ . These statements are equivalent and both acceptable.

# Big O & Big Ω Notation

## Usage

Instead of saying an algorithm is best-case  $O(n)$  and worst-case  $O(n^2)$ , we can instead say it is  $O(n^2)$  and  $\Omega(n)$ . These statements are equivalent and both acceptable.

*Note: As you have seen, Big-O is sometimes qualified with “x-case” to refer to a case other than the worst-case (the formal definition). Big-Ω is never used to refer to a case other than the best-case. Remember, if you see Big-O without the “x-case” qualifier you should infer worst-case.*

# Big Θ Notation

## Informal Definition

$\Theta(n)$  : Read this as “Big Theta of n.” Assume  $n$  is the input size.  
If this is confusing, think of  $\Theta(n)$  time as meaning an algorithm *always* runs in *approximately*  $n$  operations.  
Similarly,  $\Theta(n)$  space means an algorithm *always* consumes *approximately*  $n$  units of memory.

# Big Θ Notation

## Informal Definition

$\Theta(n)$  : Read this as “Big Theta of n.” Assume  $n$  is the input size.  
If this is confusing, think of  $\Theta(n)$  time as meaning an algorithm *always* runs in *approximately*  $n$  operations.  
Similarly,  $\Theta(n)$  space means an algorithm *always* consumes *approximately*  $n$  units of memory.

# Big Θ Notation

## Formal Definition

Mathematically, Big- $\Theta$  is the asymptotic tight bound on the number of operations or amount of memory used by an algorithm.

*Note: An algorithm is  $\theta(n)$  if and only if it is both  $O(n)$  and  $\Omega(n)$ . This means not all algorithms have a Big- $\Theta$  representation. If an algorithm has a Big- $\Theta$  representation, it tells us the worst- and best- (and therefore average-) cases are the same. You cannot use Big- $\Theta$  to refer to the average case otherwise.*

# Big O Notation

## Common Complexity Classes Compared

Retro video about sorting (1980)

<https://youtu.be/gv0JUEqaAXo?t=125>

# Why do we care?

Efficient algorithms are important.

<https://www.mercurial-scm.org/repo/hg/rev/0b03454abae7>

Using mercurial (source control) at Facebook was slow because of an inefficient algorithm in mercurial.

So we fixed it!

# Why was it slow in the first place?

Working code is more important.

“Premature Optimization is the root of all evil”

~Donald Knuth

Facebook's codebase was larger than the input size  
mercurial expected.

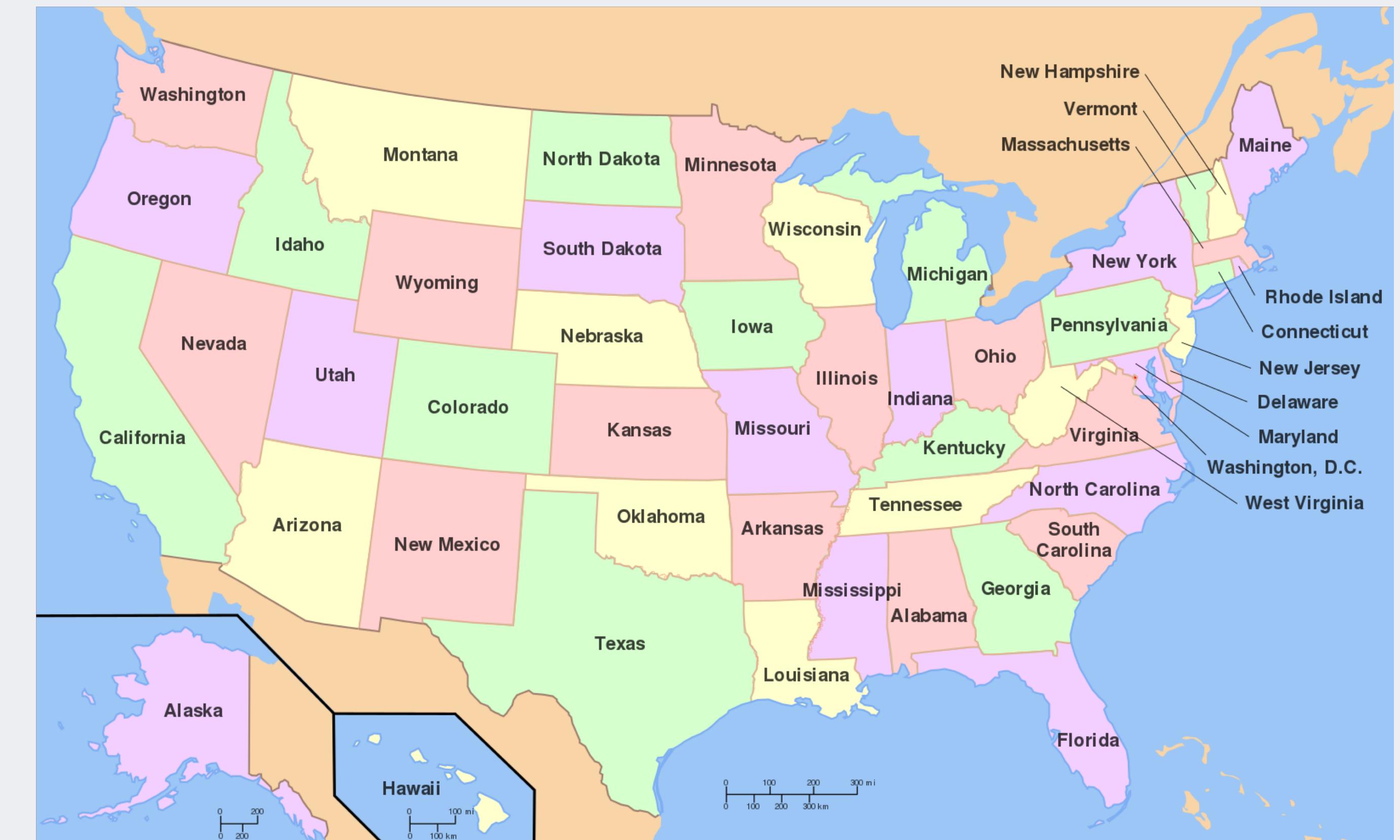
# Break!

10-minute break

This wraps up the Computational Complexity unit. We're going to start talking about new data structures and get back into coding next!

# What do these have in common?

- A Map of the continental US



# What do these have in common?

- A Map of the continental US
- An NBA team schedule

**Golden State Warriors Schedule - 2018-19**

2018-19 ▾ Regular Season ▾ Add to Calendar

**Regular Season**

DATE	OPPONENT	RESULT	W-L	HI POINTS
Tue, Oct 16	vs  Oklahoma City	 108-100	1-0	Curry 32
Fri, Oct 19	@  Utah	 124-123	2-0	Durant 38
Sun, Oct 21	@  Denver	 100-98	2-1	Curry 30
Mon, Oct 22	vs  Phoenix	 123-103	3-1	Curry 29
Wed, Oct 24	vs  Washington	 144-122	4-1	Curry 51
Fri, Oct 26	@  New York	 128-100	5-1	Durant 41
Sun, Oct 28	@  Brooklyn	 120-114	6-1	Curry 35
Mon, Oct 29	@  Chicago	 149-124	7-1	Thompson 52
Wed, Oct 31	vs  New Orleans	 131-121	8-1	Curry 37
Fri, Nov 2	vs  Minnesota	 116-99	9-1	Durant 33
Mon, Nov 5	vs  Memphis	 117-101	10-1	Thompson 27
Thu, Nov 8	vs  Milwaukee	 134-111	10-2	Thompson 24
Sat, Nov 10	vs  Brooklyn	 116-100	11-2	Durant 28

# What do these have in common?

- A Map of the continental US
- An NBA team schedule
- A college course schedule

## Cohort 5

Semester	Course	Title	Institution	Requirement
FALL	CSS 1	Introduction to Computer Science & Programming Fundamentals	Hartnell	Major
	ENG 1A	College Composition & Reading	Hartnell	A2
	MAT 3A	Analytic Geometry & Calculus I	Hartnell	B4 / Major
	PHL 10	Ethics	Hartnell	C2
	CST 286	Physics of Computing	CSUMB	B1 & B3
WINTER	BIO 42	Human Biology	Hartnell	E
	ENG 2	Critical Thinking & Writing	Hartnell	A3
	MUS 5	Ethnic Music in the U.S.	Hartnell	C1
	SPRING	CSS 2A	Hartnell	Major
SPRING	CSS 7	Discrete Structures	Hartnell	Major
	CST 205	Multimedia Design & Programming	CSUMB	Major
SUMMER	COM 1	Introduction to Public Speaking	Hartnell	A1
	POL 1	American Political Institutions	Hartnell	US 2 & 3
FALL	ENG 1B	College Literature & Composition	Hartnell	C2
	CSS 2B	Data Structures & Algorithms	Hartnell	Major
	CSS 3	Computer Architecture & Assembly Language Programming	Hartnell	Major
	BIO 48/48L	Environmental Science / Environmental Science Lab	Hartnell	B2 & B3
	MATH 270	Mathematics for Computing	CSUMB	Major
WINTER	HIS 17B	United States History B (Civil War-Present)	Hartnell	US1
	CST 300	Major ProSeminar	CSUMB	Major
	SPRING	CST 338	CSUMB	Major
		CST 336	CSUMB	Major
		CST 370	CSUMB	Major
SUMMER	<i>Left open for internship experience</i>			
	CST 363	Introduction to Database Systems	CSUMB	Major
	CST 334	Operating Systems	CSUMB	Major
	FALL	CST 462S	CSUMB	Major / UDGE

# What do these have in common?

They can be modeled with graphs!

- A Map of the continental US.
- An NBA team schedule.
- Course schedule.

Graphs and Graph algorithms we'll be talking about in this unit can be used to create these maps, schedules, and solve other problems!

CST 370 – ADVANCED ALGORITHMS

## 7.2 Introduction to Graphs

# Objectives

You will be able to...

1. Informally and formally define a graph.
2. Define and identify properties of a graph (cyclic/acyclic, directed/undirected, connected/unconnected).
3. Represent a graph using adjacency list notation.

# Graphs

## Informal Definition

A graph is a data structure that models connections between different items.

- Countries are connected by borders
- Teams are connected by games played against each other
- Courses are connected by pre-requisites

# Do Now

## Representing Friendships

Draw a diagram to represent your social network (i.e., who you are friends with, who your friends are friends with, who your friends' friends are friends with...)

# Graphs

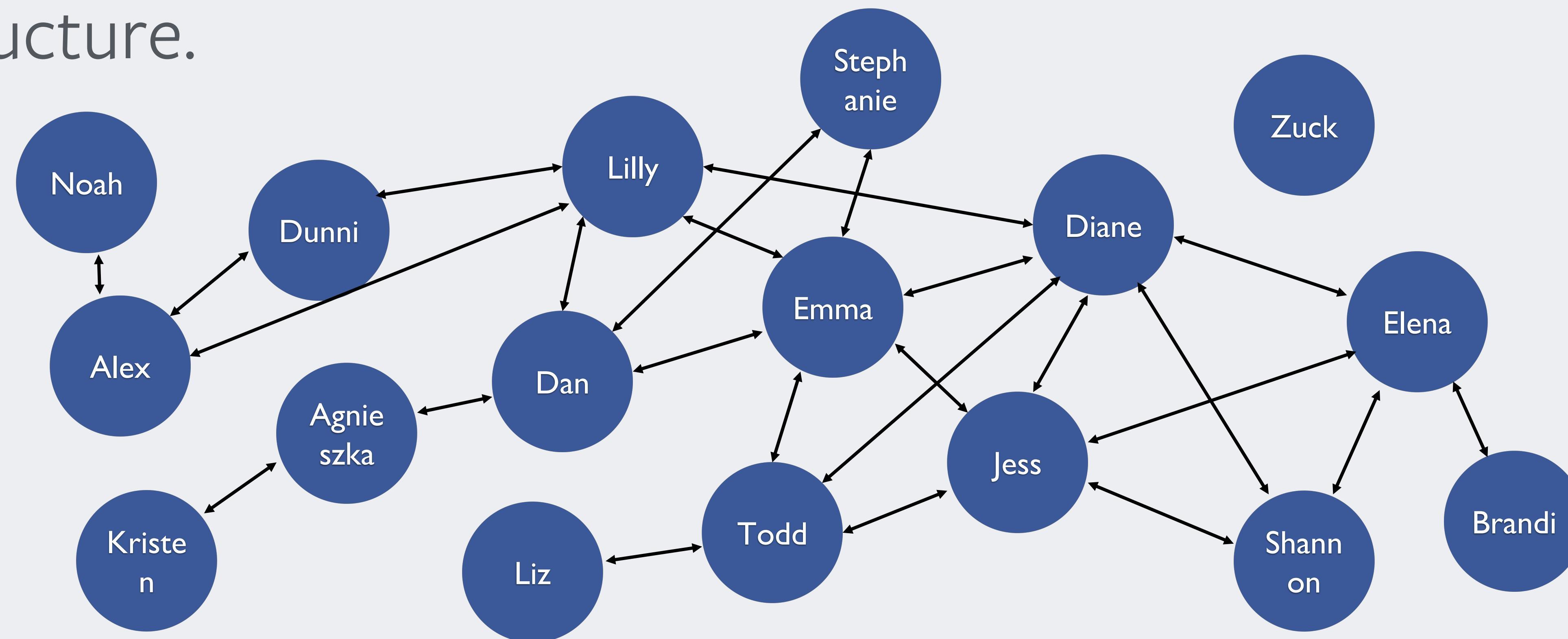
## Use Case

Suppose we want to represent friendships in a data structure.

# Graphs

# Use Case

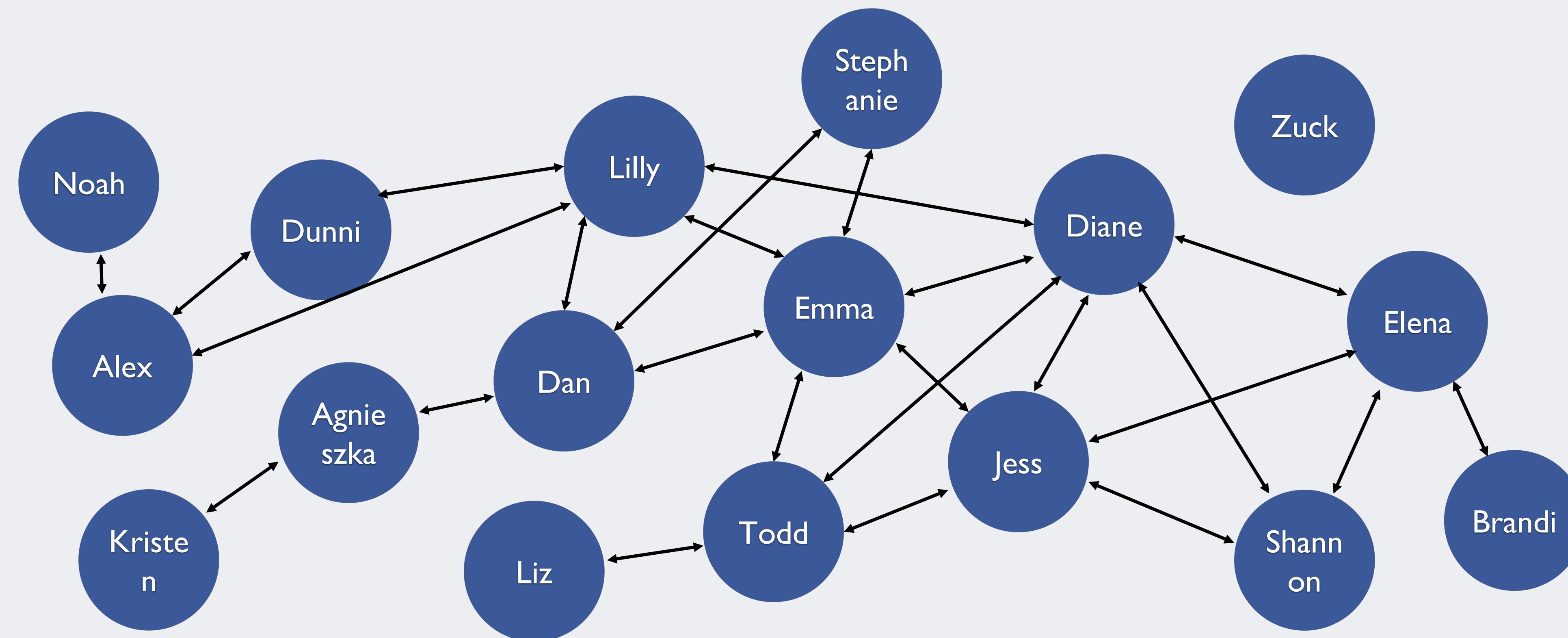
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# Graphs

# Properties

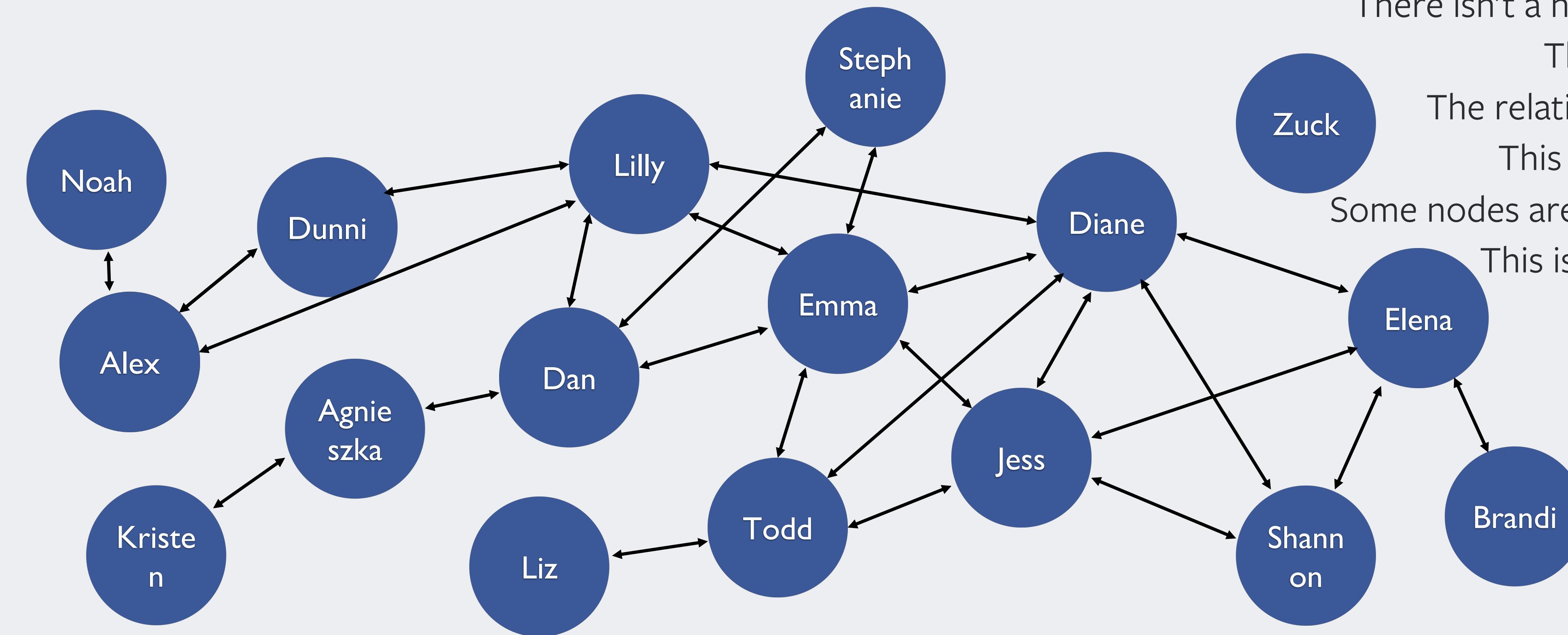
This looks a little like an n-ary tree, except..



# Graphs

## Properties

This looks a little like an n-ary tree, except...



There isn't a hierarchy – you can go in circles

This is called **cyclic**.

The relationships are bi-directional.

This is called **undirected**.

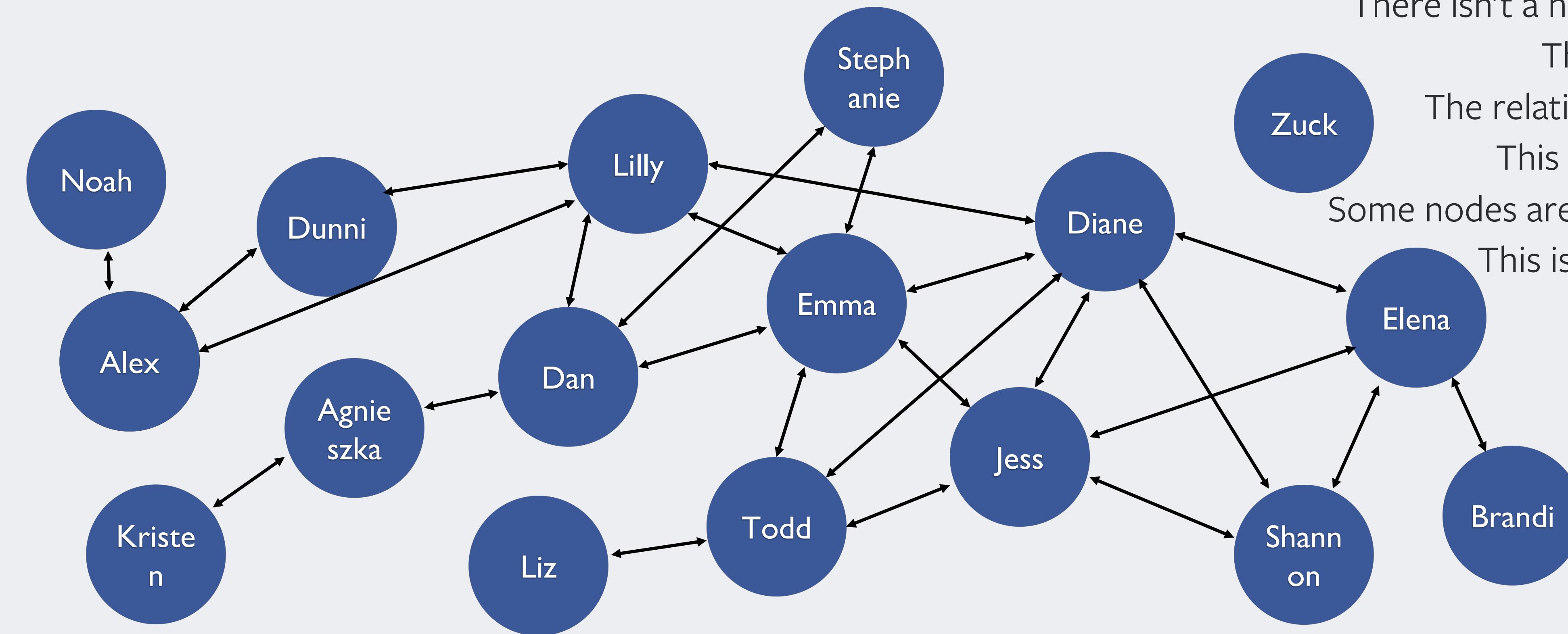
Some nodes are unreachable from other nodes.

This is called **unconnected**.

# Graphs

## Properties

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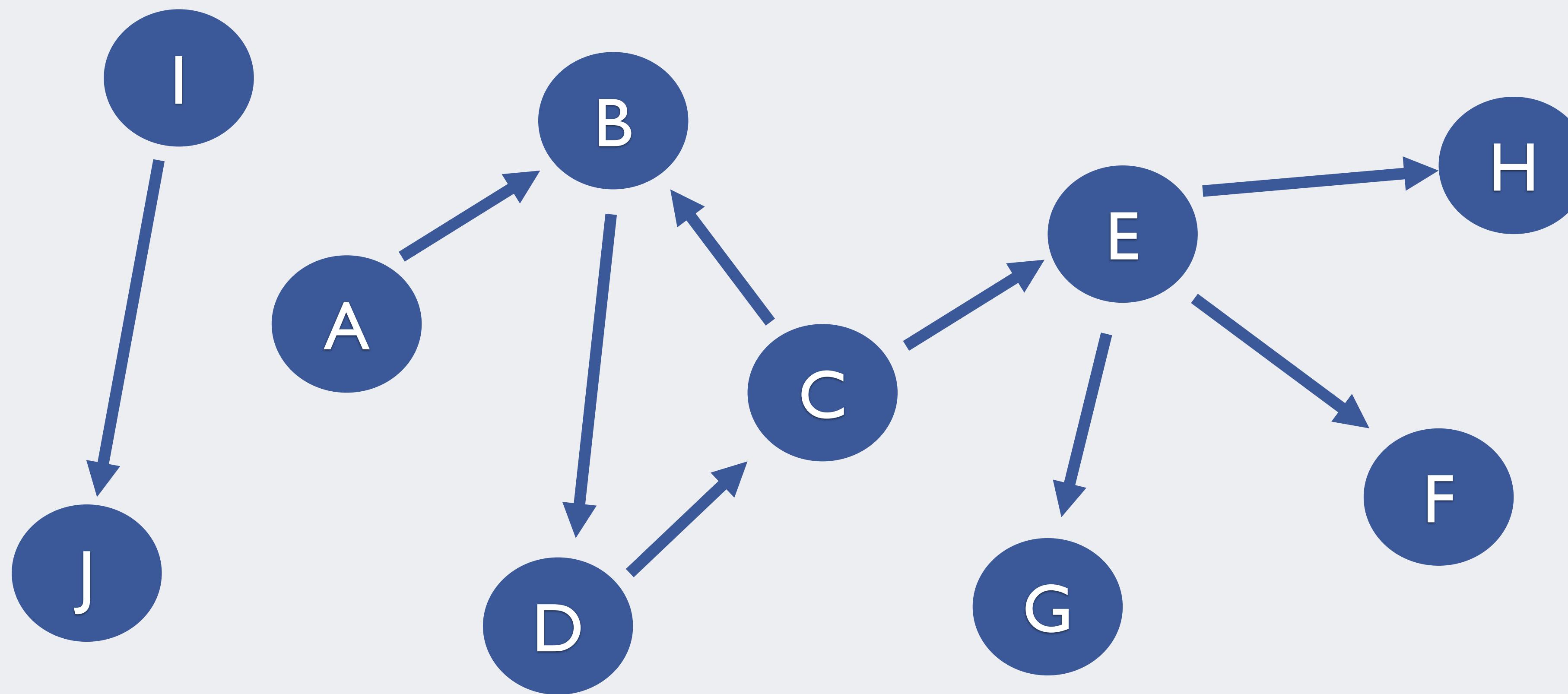
This is called **unconnected**.

# Graphs

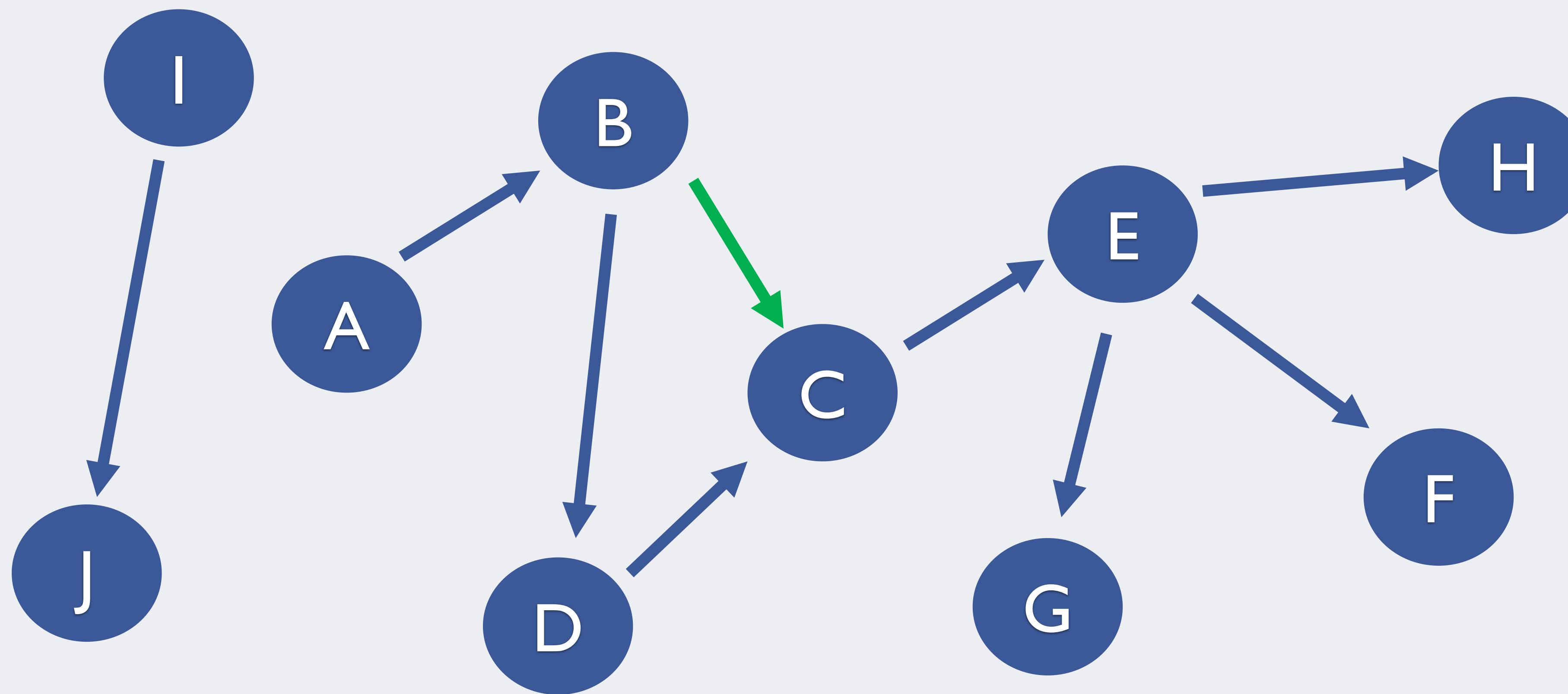
## Properties

- Graphs may be **acyclic** or **cyclic**.
- Graphs may be **directed** or **undirected**.
- Graphs may be **connected** or **unconnected**.

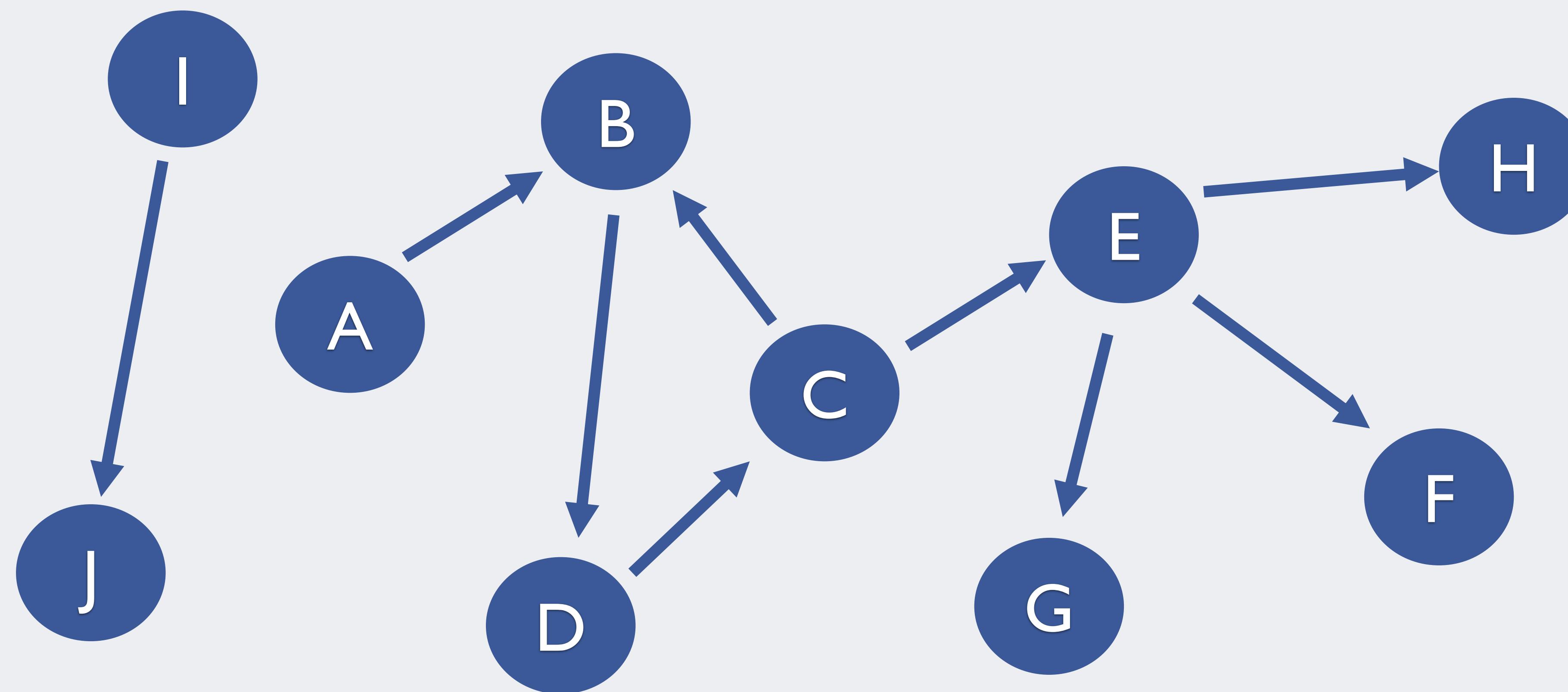
# Cyclic Graph



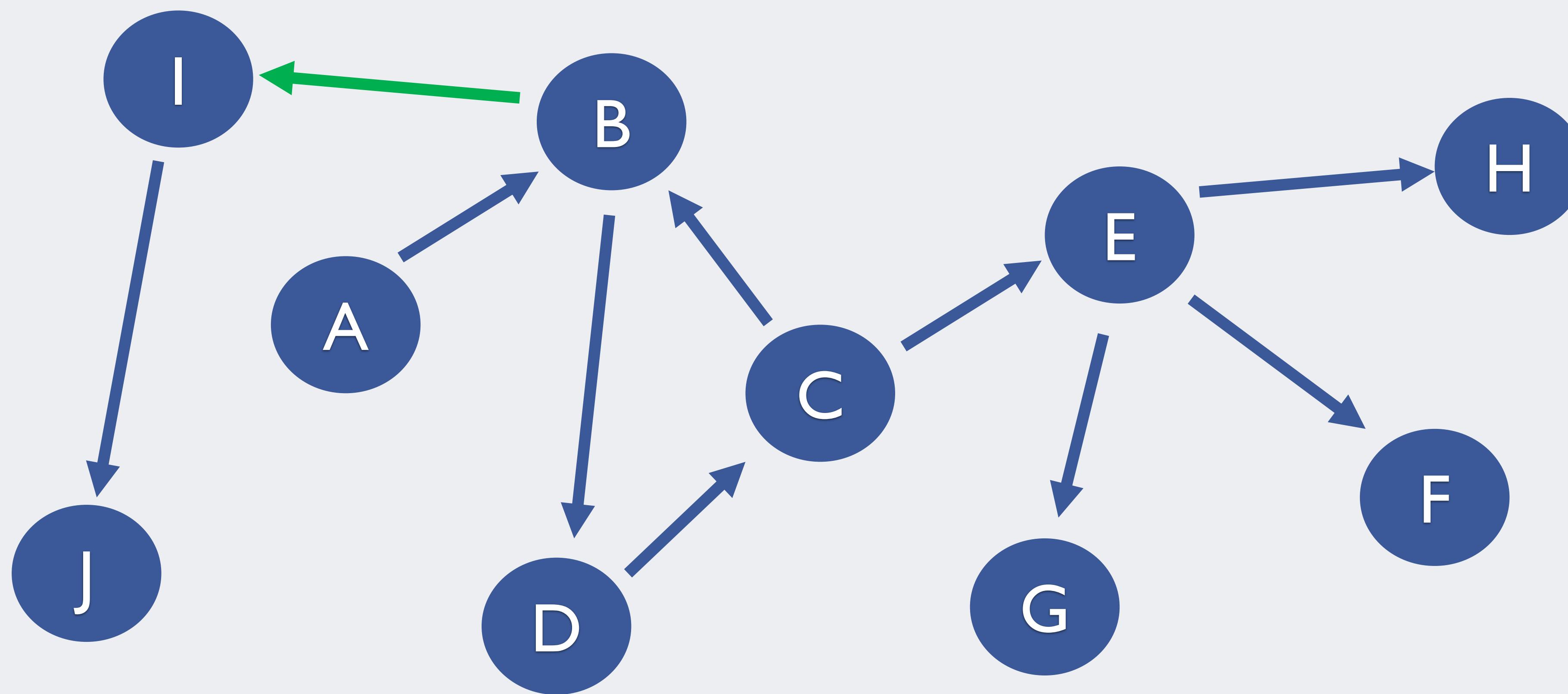
# Acyclic Graph



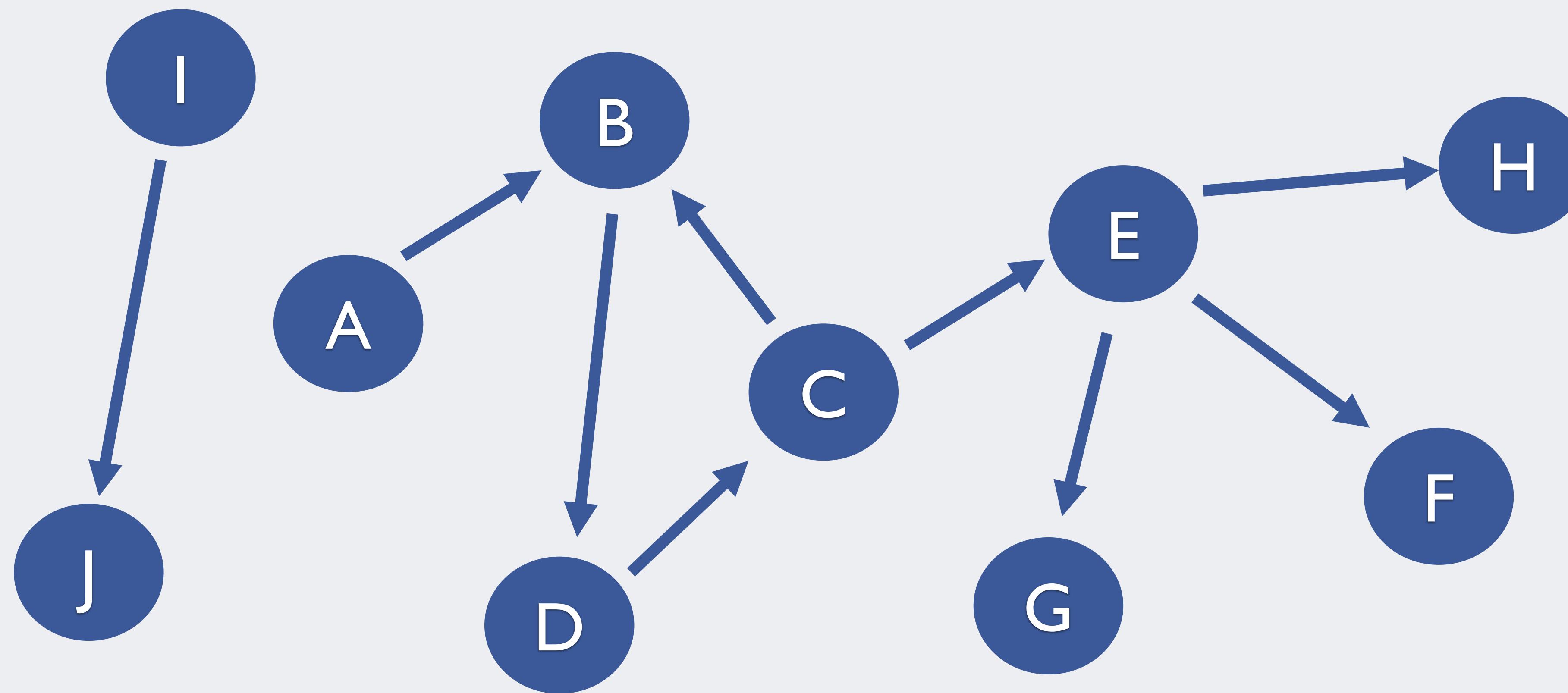
# Unconnected Graph



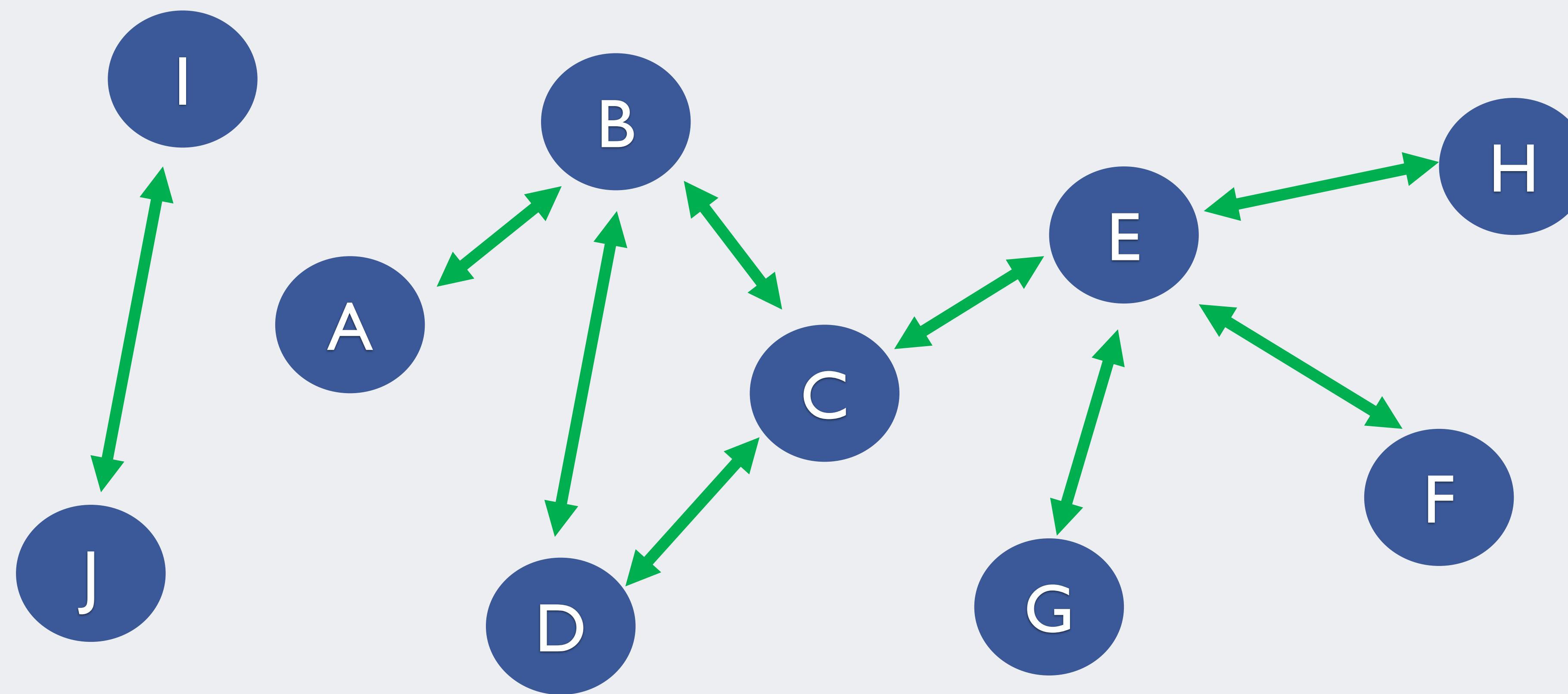
# Connected Graph



# Directed Graph



# Undirected Graph



# Graphs

## Informal Definition

A tree is just a specific type of graph — a *connected acyclic directed graph!*

# Graphs

## Informal Definition

A tree is just a specific type of graph — a *connected acyclic directed graph!*

Therefore an informal definition for **a graph is a generalization of a tree** where each of these properties (connected, cyclic, directed) is optional.

# Graphs

## Formal Definition

But if graphs can have any combination of these properties, what do all graphs have in common?

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Formally, **a graph is a set of vertices and a set of edges between two vertices.**

# Graphs

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But if graphs can have any combination of these properties, what do all graphs have in common?

Formally, **a graph is a set of vertices and a set of edges between two vertices.**

Vertices (singular: vertex) is just the term for nodes in graphs.

Edges are the relationships or connections between nodes.

# Graphs

## Formal Definition

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Formally, **a graph is a set of vertices and a set of edges between two vertices.**

Vertices (singular: vertex) is just the term for nodes in graphs.

Edges are the relationships or connections between nodes.

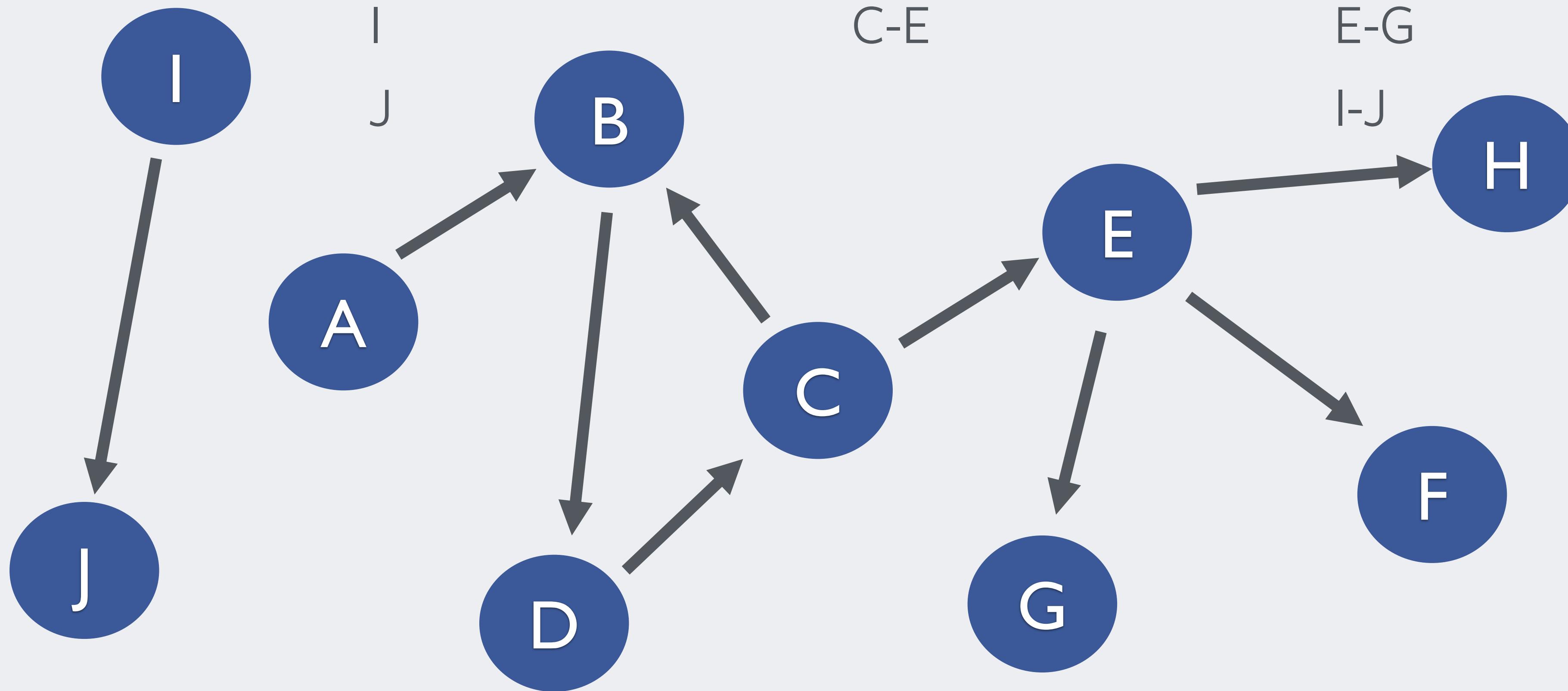
We say two vertices are *adjacent* if there is an edge between them.

We call all the vertices adjacent to a vertex  $v$  the *neighbors* of  $v$ .

10 Vertices:

A  
B  
C  
D  
E

F  
G  
H  
I  
J



9 Edges:

A-B  
B-D  
C-B  
C-E

D-C  
E-F  
E-H  
E-G  
I-J

# Graphs

## Representations

Recall how we represented a binary tree using a Node struct:

```
struct TreeNode {  
    string data;  
    TreeNode *left;  
    TreeNode *right;  
};
```

# Graphs

## Representations

We can also represent a graph using a Node struct as we did a binary tree, storing an array of neighbors instead of left and right children.

```
struct GraphNode {  
    string data;  
    vector<GraphNode * > neighbors;  
};
```

# Graphs

## Representations

Whereas we represented an entire tree by a single node – its root – we represent a graph by an array of all its nodes:

```
vector<GraphNode * > vertices
```

# Graphs

## Representations

Whereas we represented an entire tree by a single node – its root – we represent a graph by an array of all its nodes:

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# Graphs

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Whereas we represented an entire tree by a single node – its root – we represent a graph by an array of all its nodes:

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Why can we not just use a root node?

# Graphs

## Representations

Whereas we represented an entire tree by a single node – its root – we represent a graph by an array of all its nodes:

```
vector<GraphNode * > vertices
```

This is called an **adjacency list** representation of a graph.

Why can we not just use a root node?

In an unconnected graph, we will not be able to reach all nodes in the graph from any single starting point!

# Graphs

## Use Case

We saw an example of using a graph to represent a social network (friendships); more generally, graphs are useful for representing relationships between entities:

- Geographic locations (flight finding, driving/walking directions, air traffic routes, etc.)
- Transportation systems (design, directions, etc.)
- Recommendation engines
- Page rank algorithms
- Network routing
- AI for game playing
- Matching problems (i.e., organ donors to patients)
- Scheduling problems (i.e., MLB season calendar)
- Clustering/classification problems (i.e., diseases, species, consumer markets, text for natural language processing)
- Robotics