THE STRENGTH OF THE BESICOVITCH-DAVIES THEOREM

Bjørn Kjos-Hanssen and Jan Reimann

Measure-Theoretic Regularity

• For Lebesgue measure λ , and any measurable E

$$\lambda(E) = \sup\{\lambda(K) : K \subseteq E, K \text{ compact}\}$$

$$= \inf\{\lambda(U) : U \supseteq E, U \text{ open}\}.$$
Outer regularity

- Holds more generally for any positive Borel measure on a σ -compact Hausdorff space in which any compact set has finite measure.
- Not true in general for arbitrary Borel measures.

Example: s-dimensional Hausdorff measure \mathcal{H}^s , 0 < s < 1.

Open sets $U \subseteq \mathbb{R}$ have infinite measure.

Hausdorff Measures

• Fix a non-negative real s. Given a parameter $\varepsilon > 0$, cover a set as well as possible with open sets of diameter at most ε :

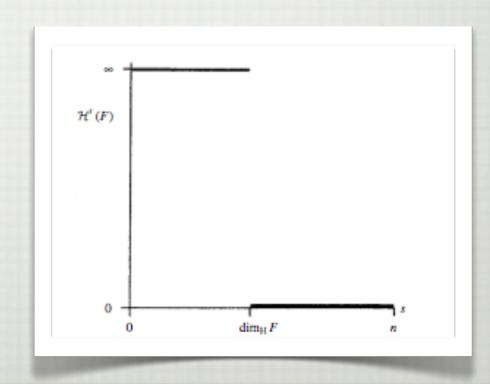
$$\mathcal{H}^{s}_{\varepsilon}(E) = \inf \left\{ \sum_{i} \operatorname{diam}(U_{i})^{s} \colon \bigcup_{i} U_{i} \supseteq E, \ \operatorname{diam}(U_{i}) < \varepsilon \right\}$$

• Then let $\varepsilon \to \infty$:

$$\mathcal{H}^{s}(E) = \lim_{\varepsilon \to \infty} \mathcal{H}^{s}_{\varepsilon}(E) \in [0, \infty]$$

- The restriction to the measurable sets yields a Borel measure.
- Hausdorff dimension:

$$\dim_H(E) = \inf\{s \colon \mathcal{H}^s(E) = 0\}$$



Besicovitch-Davies Theorem

• Thm: [Besicovitch, 1952; Davies, 1952]

For any analytic set $E \subseteq \mathbb{R}^n$ and for any $s \ge 0$, $\mathcal{H}^s(E) = \sup \{ \mathcal{H}^s(K) \colon K \subseteq E, K \text{ compact} \}.$

- Howroyd [1995]: true in any compact metric space, hence in particular in Cantor space 2^{ω} .
- Result is a fundamental tool in the study of fractals, since closed sets of finite measure are generally well-behaved.

Our Motivation

- Does this result hold effectively? In other words, given a $\sum_{n=0}^{\infty}$ set of reals of positive Hausdorff s-measure, does there exist an effectively closed subset of positive measure?
- To keep notation simple we concentrate on a simpler result:

Every analytic set of positive Hausdorff dimension has a closed subset of positive dimension.

- Motivation: Studying the set of reals MIN of minimal Turing degree.
 - Has Hausdorff dimension I. [Greenberg-Miller, using Kumabe-Lewis forcing]
 - Is a Π_4^0 set of reals.

Our Motivation

- By Besicovitch-Davies, MIN has a closed subset of dimension arbitrarily close to 1.
- In particular, this set supports a "nice" probability measure (close to Lebesgue measure), and hence contains reals that "almost" look like Martin-Löf random reals.
- But no real of minimal degree can be ML-random, since, by van Lambalgen, it splits into two relatively random reals.
- However, this set cannot be effectively closed, since every effectively closed set of reals contains a real of r.e. Turing degree.
- Effective descriptive set theory allows us to give a more specific answer:

The closed subset is quite complicated.

Complexity of Index Sets

- We first look at the index set complexity of deciding whether a set has positive Hausdorff dimension.
- Problem: Given an index for an effective (lightface) Borel set of reals, decide whether the class is nonempty / of positive dimension / of positive Lebesgue measure.

Family	Nonempty?	Positive Hausdorff dimension?	Positive Lebesgue measure?
Σ_1^0	Σ^{0}_{1} -complete	Σ_{I}^{0} -complete	Σ_{I}^{0} -complete
Π_1^0	Π^0_1 -complete	Σ_2^0 -complete	Σ_2^0 -complete
Π_2^0	Σ -complete	Σ -complete	Σ_3^0 -complete

Bounding Parameters

• "Fundamental Theorem" of effective descriptive set theory:

$$\Sigma_n^0 = \{ E \subseteq 2^\omega : \text{ exists } X \in 2^\omega \text{ such that } E \text{ is } \Sigma_n^0(X) \}.$$

(same for Π_n^0).

• Question: Given a Σ set of reals, how complex is the parameter X that gives a $\Pi_1^0(X)$ subset of positive measure?

Bounding Parameters (Lebesgue)

- For Lebesgue measure: interesting connection with algorithmic randomness.
- THM: [Simpson, building on work by Kjos-Hanssen, Miller, and Solomon]

For any real X,

every $\Sigma_{\alpha+\mathbf{2}}^{\mathbf{0}}$ set of reals has a $\Sigma_{\mathbf{2}}^{\mathbf{0}}(\mathbf{X})$ subset of the same Lebesgue measure

iff
$$\emptyset^{(\alpha)} \leq_{LR} X$$

 $(Y \leq_{LR} X \text{ means: every } X \text{-random is also } Y \text{-random; } X \text{ detects at least as much non-randomness as } Y \text{ does.})$

Bounding Parameters (Hausdorff)

• Given a Σ set U of reals of positive Hausdorff dimension, let

$$S(U) = \{X \in 2^{\omega} : \text{ exists } E \subseteq U, E \text{ is } \Pi_1^0(X), \dim_H(E) > 0\}.$$

- THM: Let Y be a real.
 - (1) If for some U, every member of S(U) computes Y, then Y is hyperarithmetic.
 - (2) There is a Π_2^0 set U such that if Y is hyperarithmetic, then every member of S(U) computes Y.
 - (3) If Y is Π complete, then Y computes a member of S(U), for any U.
 - In particular, we can always find an effectively closed subset of positive dimension relative to Kleene's O.

Beyond Analytic

- One can show (in ZFC) that there exists a set of reals of dimension 1/2 that does not have a closed subset of positive dimension.
- One proves this by constructing a universal measure zero set of positive dimension. [Zindulka]
 - Universal measure zero: does not support a continuous probability measure.
 - Any such set cannot have a closed subset of positive dimension, since such a set would be uncountable and closed and hence have a perfect subset, over which we could continuously distribute a unit mass.
- Construction is based on result by Grzegorek: There exists a u.m.z. set Z such that $|Z| = \operatorname{non} \mathcal{L}$

—— least cardinality of non-measurable set