# ns-3 Public Safety Communications documentation

Release psc-dev

**National Institute of Standards and Technology (NIST)** 

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This is documentation for *ns-3* models relating to public safety communications, extracted from the overall *ns-3 Model Library* documentation.

This document is written in reStructuredText for Sphinx and is maintained in the doc/psc-models directory of the PSC repository.

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## PUBLIC SAFETY COMMUNICATIONS

# 1.1 Public Safety Communications Overview

*ns-3* support for public safety communications (PSC) is based on relatively new capabilities for 4G LTE systems introduced in 3GPP Release 12 and later releases. Device-to-Device (D2D) Proximity Services (ProSe) communications are terms relating to features allowing for UEs to discover each other, synchronize, and communicate with each other, with or without the use of an eNodeB. These services make use of a so-called *sidelink* channel between UEs.

Support for public safety communications is distributed among the following four ns-3 modules:

- 1) psc: (this module) Support for models and scenarios that are specific to public safety communications.
- 2) lte: Support for ProSe (sidelink communications).
- 3) buildings: Support for pathloss models including building effects, as defined by 3GPP with relevance to public safety scenarios.
- 4) antenna: Parabolic antenna model as described in 3GPP document TR 36.814.

Documentation for the PSC features implemented in the *ns-3* lte, buildings, and antenna modules is provided in the respective module documentation. This chapter documents the *ns-3* psc module.

At present, a large portion of the code related to public safety communications is found in the ProSe and UE-to-Network relay implementation in the lte module. This is because the ProSe services of sidelink communications, discovery, and synchronization are deeply connected to the LTE models and difficult to factor into a separate module. Features intrisic to the low-level operation of ProSe in LTE are found in the lte module.

The psc module also includes:

- 1) an extensive model of mission-critical push-to-talk (MCPTT) for use in off-network scenarios.
- 2) a UDP-based application to generate many-to-many traffic models in a scenario.
- 3) an energy consumption model for flying a (single/multi)rotor unmanned aerial vehicle (UAV).
- 4) a new HTTP application.

There is no support for legacy public safety communications such as land mobile radio system (LMRS). While LTE sidelink supports both IPv4 and IPv6, the UE-to-Network Relay feature only supports IPv6.

Future extensions to this module or other related modules such as LTE are planned for the following features:

- 1) support for on-network modes of MCPTT, and possibly other public safety applications.
- 2) public safety scenario support code and example programs.

## 1.1.1 Acknowledgments

Public safety communications features are based on development led by the Wireless Networks Division of the U.S. National Institute of Standards and Technology, described in publications ([NIST2016], [NIST2017], and [NIST2019]). Users of the D2D features of *ns-3* are requested to cite [NIST2017] in academic publications based on these models.

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The integration of the initial ProSe module with the LTE and buildings module, as well as the creation of additional examples and tests, was assisted by CTTC and the University of Washington. This work was performed under the financial assistance award 70NANB17H170 from U.S. Department of Commerce, National Institute of Standards and Technology.

# 1.2 Mission Critical Push-to-Talk (MCPTT)

An MCPTT service provides a reliable, always-on, always-available means of communication for first responders. This communication can be one-to-one or one-to-many. There are two modes of operation: on-network and offnetwork. In on-network mode, communication takes place via a client/server setup, which means that, in LTE, a UE sends signals to an eNodeB, and talks with an MCPTT server via the core-network. But in off-network mode, communication is supported by UE devices in a peer-to-peer like fashion, where signals are only sent from UE to UE.

A call is the logical channel between MCPTT applications. Call control is the protocol used to create and manage these logical channels. There are three types of calls that can take place between a set of users: basic, broadcast, and private. A basic group call is one where a logical channel is setup for a group of users associated with a particular group ID so that members of the group can contend to talk in order to communicate with the other members of the group. A broadcast group call is like a basic group call, but only the initiator is allowed to speak, and once the initiator is done speaking the call is terminated. A private call exists when there is a logical channel between two applications for two users to communicate. Each type of call may be basic, imminent peril, or emergency, and this "call status" dictates which physical resources are used by this call.

When off-network, all call control messages are sent to all other applications using the same socket. When a user wants to start or join a group call for a particular group ID, the UE sends out periodic "GROUP CALL PROBE" messages. If a UE with same group ID is already part of an on-going call, the UE that received the probe will respond with a "GROUP CALL ANNOUNCEMENT" message. When a UE who is not part of an on-going call receives this "GROUP CALL ANNOUNCEMENT" message, the UE then automatically joins the call or waits for the user to choose between joining the call or ignoring the call. This announcement message is also sent periodically by members of an on-going call. While part of a group call, floor control (described in the following paragraph) is used to control which UE has permission to send media, and thus, which user is allowed to talk, at any given time during a call. A user may also change the "status" of a call at any point and this is communicated via the "GROUP CALL ANNOUNCEMENT" message.

In an MCPTT group call, only a single member of the group is allowed to talk at a time, and during this time all other group members need to listen. This is facilitated by the floor control protocol. The protocol consists of floor participants and a floor control server. The floor participants make requests to the floor control server, while the floor control server receives and handles these requests. In the on-network version there is a centralized MCPTT server that acts as the floor control server, but in the off-network case, the UE of the current speaker is the one acting as the floor control server (also known as the current arbitrator). It is optional to use floor control for a private call.

When off-network, all floor control messages are sent to all members of the group. When a user wants to talk, which is indicated by a PTT press during a call, the UE requests permission to send data by sending a "Floor Request" message. The current arbitrator of the call, which is the device of the user who is currently allowed to speak, will send a "Floor Granted" message to give permission to another UE to transmit media, allowing the requesting user to speak to the other members of the group, a "Floor Deny" message to deny the UE permission to send media, or a "Floor Queue Info" message if the UE must wait to transmit media. After sending a request, if the UE does not receive an appropriate message after a given amount of time, the UE will assume that there is currently no arbitrator and will send a "Floor Taken" message, giving itself permission to transmit media.

## 1.2.1 Design Documentation

The MCPTT model was implemented based on the 3GPP specifications TS 24.379 [TS24379] and TS 24.380 [TS24380] release 14.4.0. This includes off-network models for floor control, basic group calls, broadcast group calls, and private calls. There are also several test cases that were invented by NIST that are also included with this model. This model was created and is intended to be used with the Proximity Services (ProSe) which is provided by LTE.

The MCPTT model includes:

- A set of classes that can be used to model an MCPTT application using call control and floor control in the off-network case
- Helper classes to act as a sink for time-sensitive message and state change traces that can be used to capture the behavior of the different off-network MCPTT state machines (i.e. protocols)
- A helper class that allows users to configure and deploy MCPTT applications in a scenario with nodes
- A few examples that show how the model can be used
- Test cases produced by NIST to check state machine behavior

The MCPTT model does not take into account security. The model only goes as far as including dummy variables in appropriate messages that would carry security fields. Also, the MCPTT model currently does not make use of ProSe Per-Packet Priority (PPPP). Even though the MCPTT model includes and appropriately maintains PPPP as specified in the standards, there currently is no support in ProSe for this feature and, thus, it is not used.

**Note: MCPTT** was created specifically for LTE, and the main component that ties MCPTT to LTE is the use of PPPP, which is provided to lower layers of LTE when sending messages for physical resource selection, but the current implementation of the off-network model does not make use of this feature since there is currently no support for it in the current ns-3 implementation of ProSe. This means that the current MCPTT model is capable of being used over more technologies than just LTE since it is just an application in *ns-3*.

#### Design

In ns-3, the ns3::McpttHelper is the main class that a user should use to initialize and install applications to an ns3::Node. This helper can be used to initially configure some of the components that are associated with an MCPTT application, such as the application's data rate when generating media, the random variable that should be used to simulate a PTT, the IP address used by the application when addressing the group, etc. Once created and installed, it is up to the user to setup calls. This can be done before or after the application is started but not "dynamically" by the application itself. So if a user wanted a scenario with three UE's that will eventually take part in

the same on-going group call, the user will have to first query the ns3::McpttPttApplication objects of the three ns3::Node's to create those calls. Once the calls are created, the user will also have to query the application to select the current call to reflect things such as a PTT or call release at any given time throughout the simulation.

In the following code snippet, a basic group call is created for N users with group ID 1. One second after the applications are started, the first user will push the PTT button.

```
ObjectFactory callFac;
callFac.SetTypeId (McpttCallMachineGrpBasic::GetTypeId ());
callFac.Set ("GroupId", UintegerValue (1));
ObjectFactory floorFac;
floorFac.SetTypeId (McpttFloorMachineBasic::GetTypeId ());
for (uint32_t idx = 0; idx < clientApps.GetN (); idx++)</pre>
    Ptr<McpttPttApp> pttApp =
      DynamicCast<McpttPttApp, Application> (clientApps.Get (idx));
    pttApp->CreateCall (callFac, floorFac);
    pttApp->SelectCall (0);
    if (idx == 0)
      {
        Simulator::Schedule (Seconds (start.GetSeconds () + 1.0),
          &McpttPttApp::TakePushNotification, pttApp);
      }
  }
```

#### **MCPTT Application**

The ns3::McpttPttApp is the core component of the MCPTT model. It is the object used to manages calls and provide an API with functions that would be available to a user like, starting a call, releasing a call, entering an emergency alert, etc. It also houses a few entities to help simulate the behavior of how an MCPTT application may be used. This class also implements the ns3::McpttMediaSink interface, which allows for an instance of the ns3::McpttMediaSrc class to generate and pass media messages for transmission, and the ns3::McpttPushable interface, which can be attached to by an ns3::McpttPusher to simulate button push and release events.

The ns3::McpttMediaSrc is simply a class that generates RTP media messages to be sent. It is used by the application to help model data traffic in the network. It is a rather simple traffic model but can be configured to alter data rate and message size.

The ns3::McpttMsgParser is a class that reads the header of a packet to determine which message is being received. This class is used by the application as well as in some test cases.

The ns3::McpttPusher is class used to simulate the pushing of a button. The application uses this class to simulate a user that is using the PTT button. This model is also simple but allows the user to configure it with a random variable to reflect how often a user may push and release the PTT button.

The ns3::McpttCall class simply aggregates the components needed to have an MCPTT call. This includes a channel for both floor control and media messages, and state machines for call control and floor control.

The ns3::McpttChan class is a wrapper around the ns3:Socket class to provide an interface similar to the functions required by the specifications, as well as handle opening and closing sockets on the fly. This class is used by the ns3::McpttCall and ns3::McpttPttApp classes.

The ns3::McpttCallMachine is an interface created to handle the different types of calls that are available. This includes functions for starting and leaving calls. There are many subclasses: \*ns3::McpttCallMachineGrpBasic for basic group calls \*ns3::McpttCallMachineGrpBroadcast

for broadcast group calls \* ns3::McpttCallMachinePrivate for private calls, and \* ns3::McpttCallMachineNull to "turn-off" call control. Each of the state machines except for the null state machine have several classes associated with each to represent the different states of the state machine. For example, the class ns3::McpttCallMachineGrpBroadcastStateB1 is a model of the "B1: start-stop" state of the Broadcast call control state machine from the 3GPP standard describing call control.

The ns3::McpttFloorMachine is an interface created to represent the floor control protocol. There are two subclasses: - ns3::McpttFloorMachineBasic for the floor control protocol - ns3::McpttFloorMachineNull to "turn-off" floor control

Just like the state machines for call control, the ns3:McpttFloorMachineBasic class has an ns3::McpttFloorMachineBasicState member which is derived by many classes such as ns3:McpttFloorMachineBasicStateHasPerm to represent the different states of the floor control state machine.

The ns3::McpttEmergAlertMachine is an interface to represent the state machine used to maintain a user's emergency alert status. This can be found in TS 24.379 [TS24379]. There is currently one subclass: -ns3::McpttEmergAlertMachineBasic for the emergency alert protocol

In the standard, there is one emergency alert machine associated with one user, but in the current implementation there is an emergency alert machine associated with each ns3::McpttCallMachineGrpBasic instance. Unlike the previous state machines, there is no "state" class associated with this machine, all the logic is self-contained.

The ns3::McpttCallTypeMachine is an interface for the call type machines described in the standard. These state machines exist to maintain the call type (e.g. basic, emergency, etc.) of a call. There are two subclasses: \* ns3::McpttCallTypeMachineGrpBasic for a basic group call, and \* ns3::McpttCallTypeMachinePriv for a private call.

These state machines are also self-contained and are not associated with any "state" classes.

Most state machines, if not all, have many members that are of the types ns3::McpttCounter and ns3::McpttTimer. These classes are used to provide an API to mirror the actions that can be requested of counters and timers that are defined throughout the standards. The ns3::McpttCounter class provides an interface that can be used as counters are described in the standard with functions like, "Increment" and "Reset". The ns3::McpttTimer class does the same for timers described in the standard with functions like "Start", "Stop", and "Restart". The ns3::McpttTimer is simply a wrapper around the ns3::Timer class.

The ns3::McpttMsg class is a base class for all MCPTT off-network messages. This class is derived from by the ns3::McpttCallMsg class for call control messages, the ns3::McpttFloorMsg for floor control, and the ns3::McpttMediaMsg for RTP media messages. The ns3::McpttCallMsg and ns3::McpttFloorMsg classes both have many subclasses to represent each floor control or call control message used in the off-network portion of the standard. For example, the ns3::McpttFloorMsgRequest class represents the "Floor Request" message described in TS 24.380 [TS24380]. These classes are sent between the MCPTT applications and are consumed by the appropriate state machines throughout the simulation.

As mentioned above, the ns3::McpttMediaMsg class is used to represent an RTP media message, but the actual header for an RTP packet is modeled by the ns3::McpttRtpHeader class. This class just defines the fields needed for a basic RTP header which is used by the media message.

The ns3::McpttCallMsgField and ns3::McpttFloorMsgField classes represent call control and floor control message fields, respectively. These classes are also just used as a base and have many child classes. For example, the ns3::McpttFloorMsgRequest class, which represents a "Floor Request" message contains a member of type ns3::McpttFloorMsgFieldUserId that describes the ID of the MCPTT user making the floor request.

The ns3::McpttEntityId class only exist for simulation to associate an ID with various entities described in the standard. One may find that counters, timers, and various states have an ID, and this ID just helps distinguish between multiple objects of the same type.

The ns3::McpttFloorQueue class is a wrapper around the std::vector class that provides an interface for the floor queue that is described in TS 24.380 [TS24380]. This class is only associated with a floor machine for use

when queueing is enabled in floor control. One can enable queueing in floor control for a particular call, simply by setting the capacity of this queue to a size greater than zero.

The ns3::McpttQueuedUserInfo class is used by the ns3::McpttFloorQueue class to represent the structure of information needed to store for a user when they are placed in the queue, and made to wait to transmit during floor control.

#### **Helpers**

#### There are four helpers:

- ns3::McpttHelper for deploying MCPTT applications,
- ns3::McpttMsgStats for tracing transmitted MCPTT application messages,
- ns3::McpttProseCollisionDetector for examining ProSe operation, and
- ns3::McpttStateMachineStats for tracing state machine state transitions.

As stated previously, the ns3::McpttHelper is used to configure and deploy MCPTT applications. This is the class that a user should use to configure settings that will be common across the applications and use it to create several copies of the same configuration.

The ns3::McpttMsgStats class has the necessary sink functions to connect to and trace messages sent from app to app. One can set the name of the output file by setting the ns3::McpttMsgStats::OutputFileName attribute. The user can also specify which types of messages should be captured. So if a user wanted to capture all message types the user should then set, ns3::McpttMsgStats::CallControl, ns3::McpttMsgStats::FloorControl, and ns3::McpttMsgStats::Media attributes to "true". If the user also wishes to include not just the type of message in the trace but also the contents of the message the user can set ns3::McpttMsgStats::IncludeMessageContent to "true" as well.

The McpttProseCollisionDetector class is used in the setup delay example for detecting when collisions occur in the PSCCH and PSSCH of the ProSe Sidelink channel.

The ns3::McpttStateMachineStats class has the necessary functions to act as a sink to trace state transitions of the various state machines throughout a simulation. One can set the name of the output file by setting the ns3::McpttStateMachineStats::OutputFileName attribute.

#### **Scope and Limitations**

Only the logic of the protocols described for call control and floor control were meant to be captured by the current MCPTT model. There is a feature described throughout the call control document called PPPP or Prose Per-Packet Priority that is taken into consideration at the application but is not propagated to the lower layers as specified because currently this feature is not supported by the ProSe model.

#### 1.2.2 User Documentation

#### **Examples**

#### There are two MCPTT examples in the 'psc/examples' folder:

- example-mcptt.cc is a basic scenario with two users deployed randomly using the *ns-3* WiFi module in Adhoc mode
- mcptt-lte-sl-out-of-covrg-comm.cc is an adaptation of the LTE Sidelink example lte-sl-out-of-covrg-comm Mode 2 ProSe example

#### mcptt-lte-sl-out-of-covrg-comm

The program mcptt-lte-sl-out-of-covrg-comm.cc is an adaptation of the LTE Sidelink example lte-sl-out-of-covrg-comm.cc documented in the LTE module. The example was adapted to replace the simple UDP application with MCPTT. The following code excerpts highlight the main aspects of configuring MCPTT in a program.

The first block of code below highlights the use of the class ns3::McpttHelper to encapsulate configuration statements on the key objects involved in the MCPTT service. The helper exposes some methods that allow for custom configuration of the PttApp class and attributes, MediaSrc class and attributes, and Pusher class and attributes. In the below, the configuration of ns3::McpttPusher to Automatic operation means that the pushing and releasing times will be driven by random variables, and additional methods to easily configure these random variables are provided. Following the configuration of these objects, the usual pattern in *ns-3* for using the application helper to install onto the set of ueNodes, configure a start time, and configure a stop time are followed.

```
ApplicationContainer clientApps;
McpttHelper mcpttHelper;
if (enableNsLogs)
   mcpttHelper.EnableLogComponents ();
mcpttHelper.SetPttApp ("ns3::McpttPttApp",
                       "PeerAddress", Ipv4AddressValue (peerAddress),
                       "PushOnStart", BooleanValue (true));
mcpttHelper.SetMediaSrc ("ns3::McpttMediaSrc",
                       "Bytes", UintegerValue (msgSize),
                       "DataRate", DataRateValue (dataRate));
mcpttHelper.SetPusher ("ns3::McpttPusher",
                       "Automatic", BooleanValue (true));
mcpttHelper.SetPusherPushVariable ("ns3::NormalRandomVariable",
                       "Mean", DoubleValue (pushTimeMean),
                       "Variance", DoubleValue (pushTimeVariance));
mcpttHelper.SetPusherReleaseVariable ("ns3::NormalRandomVariable",
                       "Mean", DoubleValue (releaseTimeMean),
                       "Variance", DoubleValue (releaseTimeVariance));
clientApps.Add (mcpttHelper.Install (ueNodes));
clientApps.Start (startTime);
clientApps.Stop (stopTime);
```

The above will prepare each UE for the service, but call configuration remains to be configured. For a basic group call type, using the basic floor machine, the helper provides a single statement to configure the call, as follows.

```
mcpttHelper.ConfigureBasicGrpCall (clientApps, usersPerGroup);
```

This method encapsulates the following operations:

- sets the call control state machine type to ns3::McpttCallMachineGrpBasic
- sets the floor control state machine type to ns3::McpttFloorMachineBasic
- iterates across the clientApps in the provided application container. If the provided usersPerGroup value is equal to or greater than the size of the clientApps container, all instances of McpttPttApp will be included in a call with the same GroupId. If usersPerGroup is less than the size of clientApps, the first usersPerGroup will be placed into a call with the first GroupId, the second usersPerGroup will be placed into a call with the second GroupId, and so on until the clientApps have all been handled. The base GroupId is an optional argument to this method, but defaults to the value of 1 if not provided.
- creates the call instance on each McpttPttApp

The various configuration variables used in the above are set near the top of the main program, as follows.

```
// MCPTT configuration
uint32_t usersPerGroup = 2;
DataRate dataRate = DataRate ("24kb/s");
uint32_t msgSize = 60; //60 + RTP header = 60 + 12 = 72
double pushTimeMean = 5.0; // seconds
double pushTimeVariance = 2.0; // seconds
double releaseTimeMean = 5.0; // seconds
double releaseTimeVariance = 2.0; // seconds
Ipv4Address peerAddress = Ipv4Address ("225.0.0.0");
Time startTime = Seconds (2);
Time stopTime = simTime;
```

Here, it is worth noting that the configuration sets two users per group, and the example only has two UEs, so both UEs will belong to the same GroupId. Also, the peerAddress value is set to an IPv4 multicast address. This value should not be changed without similarly changing the IPv4 group address of the TFT configuration further down in the LTE configuration part of the program. The TFT controls the mapping of IP packets to sidelink bearers. If the packets are sent to a peer address for which a suitable TFT is not configured, they will be dropped in the sending UE's stack.

Another difference with respect to the D2D example is that, presently, only IPv4 addressing is supported, so there is no command-line option to use IPv6.

A further difference with respect to the D2D example is that the command-line option to enable *ns-3* logging, --enableNsLogs, will not enable LTE logging as in the LTE D2D example, but will instead enable all MCPTT logs, as shown above.

Finally, we note two tracing statements inserted near the bottom of the program:

```
NS_LOG_INFO ("Enabling MCPTT traces...");
mcpttHelper.EnableMsgTraces ();
mcpttHelper.EnableStateMachineTraces ();
```

These statements are explained in the next section. Some other aspects of LTE tracing are omitted in this modified example, in order to focus on the MCPTT configuration.

#### **Traces**

There are currently only two traces that can be activated by using the ns3::McpttHelper, and this can be done by following the example given below, after all the applications have been created.

```
NS_LOG_INFO ("Enabling MCPTT traces...");
mcpttHelper.EnableMsgTraces (); // Enable message trace
mcpttHelper.EnableStateMachineTraces (); // Enable state trace
```

The ns3::McpttMsgStats class is used for tracing MCPTT messages at the application layer and produces a file with the default name "mcptt\_msg\_stats.txt" with the following file format.

```
time(ms) userid rx/tx bytes
```

There may also be an additional field at the end of the row called "message" that will be included if the ns3::McpttMsgStats::IncludeMessageContent attribute is set to "true". The "time(ms)" column describes the time (in milliseconds) at which a message was sent/received. The "userid" column contains the user ID of the MCPTT user that sent/received the message. The "rx/tx" column indicates if the message was sent or received, i.e. if "rx" is the value in the column then that means the message was received, while a value of "tx" indicates that the message was sent. The "bytes" columns indicates the size (in bytes) of the message that was generated at the

application layer. The "message" column, if present, includes the string representation of the message that was sent and includes message field names and values.

The ns3::McpttStateMachineStats is used for tracing state machine state transitions and produces a file with the default name, "mcptt\_state\_machine\_stats.txt", with the following format.

```
time(ms) userid callid typeid oldstate newstate
```

The "time(ms)" column describes the time (in milliseconds) at which the state transition took place. The "userid" column contains the user ID of the MCPTT user that the state machine is associated with. The "callid" column contains the ID of the call the state machine is associated with. The "typeid" column contains the string representation of the state machine's ns3::TypeId. The "oldstate" column contains the string representation of the ns3::McpttEntityId which gives the name of the state that the state machine was in BEFORE the transition took place. And finally, the "newstate" column contains the string representation of the ns3::McpttEntityId which gives the name of the state that the state machine was in AFTER the transition took place.

## 1.2.3 Testing Documentation

The National Institute of Standards and Technology (NIST) used the 3GPP standards to create over 50 test cases. More information about those test cases can be found in NIST technical report NISTIR 8236 [NIST.IR.8236].

# 1.3 UDP Group Echo Server

The class ns3:: UdpGroupEchoServer implements a group echo server that echoes received UDP datagrams to a set of clients. The policy of generating replies can be tailored through the use of attributes.

The relevance of this model to public safety communications is that such scenarios often require a many-to-many group communications application, and existing *ns-3* applications are not suitable to generate such traffic.

The UDP group echo implementation is authored by Fernando J. Cintron (fernando.cintron@nist.gov) and is derived from the UdpEchoServer found in the *ns-3* applications module.

## 1.3.1 Model Description

The implementation is provided in the following files:

- src/psc/model/udp-group-echo-server. {h,cc} The model itself
- src/psc/helper/udp-group-echo-helper. {h, cc} Helper code for configuration

Additionally, a simple example is provided at src/psc/examples/example-udp-group-echo.cc.

The model is based on the ns3::UdpEchoServer, but differs in that the existing server only handles one client, while the group echo server handles one or more clients. The behavior of the ns3::UdpEchoServer can be reproduced (i.e., it is a special case of this object).

The model works as follows. The UdpGroupEchoServer is an *ns-3* application, listening for UDP datagrams on a configured UDP port. Upon receipt of a datagram for the first time from a client, the server records the client and a timestamp for when the packet was received. The server then decides whether to forward the packet back to one or more clients, on a pre-configured 'EchoPort'. The set of possible clients is built dynamically based on received packets.

There are a few configurable policies:

1. The server may be configured to echo only to the client that originated the packet (similar to the UdpEchoServer).

- 2. The server may be configured to echo to a group of clients including the sender. Furthermore, the sending client may be excluded from the response.
- 3. The server may be configured to echo to a group of clients including the sender, so long as the server has heard from each client within a configurable timeout period. Furthermore, the sending client may be excluded from the response.

#### **Attributes**

The following is the list of attributes:

- Port: Port on which the server listens for incoming packets, default value of 9.
- EchoPort: Port on which the server echoes packets to client, default value of 0.
- Mode: Mode of operation, either no group session (reply to sender only), timeout limited session (replicate to all clients for which a packet has been received from them within the configured timeout period), and infinite session (reply to all known clients). The default is no group session.
- Timeout: Inactive client session expiration time, default of zero seconds.
- EchoClient: Whether the server echoes back to the sending client, default value of true.,

#### **Trace sources**

The model also provides a Rx trace source for all received datagrams.

## 1.3.2 **Usage**

A simple example based on CSMA links is provided in the file src/psc/examples/example-udp-group-echo.cc.

By default, node n0 is the client and node n1 is the server, although additional nodes can be added with the -nExtra argument. If there are more nodes, the highest numbered node is the server.

Each client is configured with an on-off traffic generator that sends traffic at random times to the server. The following program options exercise some of the configuration of the server:

By default, the number of extra CSMA nodes/devices is zero, so there will be only one client and one server. By running with the existing defaults, the program will configure the server to echo back to the client, use the 'InfSession' mode of operation (to echo without considering timeout value) and a timeout value of 0 (which is not used in this mode). Logging is also enabled, and running the program with defaults yields output such as:

This shows that node 10.1.2.1 sent data at time 8.7006 that was echoed back to the client. Running with the option --nExtra=2 shows:

Here, one packet sent leads to three packets echoed, and the data under the 'Session' column shows the time in seconds since the last packet was received from each client. In mode 'InfSession', there is no explicit timeout, but if we set a timeout to be something small, such as 5ms, and set the mode to 'TimeoutLimited', we can suppress the response to node 10.1.2.2 because it has last polled the server over 6ms ago.

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As seen above, the session has expired to 10.1.2.2 with this timeout and mode setting, so the echo response is suppressed.

The C++ code for setting the server is fairly standard *ns-3* syntax and container/helper-based code, as exemplified below:

```
uint16_t serverPort = 9;
// Attributes 'timeout', 'mode', 'echoClient' may be set above
UdpGroupEchoServerHelper echoServer (serverPort);
echoServer.SetAttribute ("Timeout", TimeValue (timeout));
echoServer.SetAttribute ("Mode", StringValue (mode));
echoServer.SetAttribute ("EchoClient", BooleanValue (echoClient));
ApplicationContainer serverApps = echoServer.Install (csmaNodes.Get (nCsma - 1));
serverApps.Start (Seconds (1.0));
serverApps.Stop (Seconds (simTime));
```

# 1.4 UAV Mobility Energy Model

The class ns3::psc::UavMobilityEnergyModel implements an Energy Model that bases the current on how a Node is moving.

This model is roughly based on the energy model released under the GNU GPL v2 found here: ns3-urbanuavmobility

The model is intended to provide simple energy costs for flying a (single/multi)rotor unmanned aerial vehicle (UAV). The default costs are not intended to perfectly model reality, but rather to consider flight time in cases where otherwise it may have been neglected.

## 1.4.1 Model Description

The implementation is provided in the following files:

- src/psc/model/uav-mobility-energy-model.{h,cc} The model
- src/psc/model/uav-mobility-energy-model-helper.{h,cc} A helper

A low-level example may be found in:

```
src/psc/examples/uav-mobility-energy-example.cc.
```

An example using the helper may be found in:

```
src/psc/examples/uav-mobility-energy-helper-example.cc.
```

The model sets the current based on five basic modes for movement:

- STOP: Not Moving
- HOVER: The Node has a velocity of 0 m/s, but the Node is off of the ground (z > 0)
- ASCEND/DESCEND: The Node is moving only on the z-axis
- MOVE: The Node is moving on the x-axis or y-axis. Any z movement is added to the calculated current.

The current set when the Node is stopped (not above the ground [z = 0]) is zero amps, and is not configurable.

The current set when the Node is hovering is fixed and may be set through the HoverCurrent attribute.

The model connects to the CourseChange trace in the MobilityModel aggregated onto the Node passed to ConnectMobility () or Init (). When the attached MobilityModel notifies this model of a course change this model queries the current velocity and position of the Node. The model will use the velocity and position to determine the state this model should be in (e.g. Ascending, Hovering, Stopped). Each state will incur a different current draw from the attached EnergySource. This model then notifies the attached EnergySource of this new current via UpdateEnergySource ().

When the attached EnergySource depletes, this model will be notified and the EnergyDepleted trace will be called. However, there is no default behavior beyond this. So, if you want your Node to do something when the attached EnergySource depletes (i.e. Crash to the ground) then your program must connect to the EnergyDepleted trace and implement the desired behavior. See the example for how to go about this.

The current for ascending, descending, and moving is calculated based on the speed at which the Node is moving (except for descending which is based on the inverted speed [1/speed]) multiplied by a conversion factor. Each mode has its own conversion factor and is configurable through the AscendEnergyConversionFactor, DescendEnergyConversionFactor, and MoveEnergyConversionFactor attributes respectively.

#### **Attributes**

The model exposes the following attributes:

- AscendEnergyConversionFactor: The conversion factor applied to the speed of the Node when it is ascending. In  $\frac{A}{m/s}$ .
- DescendEnergyConversionFactor: The conversion factor applied to the inverted speed of the Node when it is descending. In  $\frac{A}{(m/s)^{-1}}$ .
- MoveEnergyConversionFactor: The conversion factor applied to the speed of the Node when it is moving. In  $\frac{A}{m/s}$ .
- **HoverCurrent:** The fixed amperage set when the Node is hovering. In amperes.
- **EnergySource:** The energy source this model draws from.

#### **Trace sources**

The model exposes the following trace sources:

- **EnergyDepleted:** Called when the attached Energy Source has indicated that it is depleted.
- EnergyRecharged: Called when the attached Energy Source has indicated that it has been recharged.
- **TotalEnergyConsumption:** The total energy consumed by the model. In Joules.
- **State:** The current mobility state detected by the model along with the velocity. The possible states may be found in ns3::psc::UavMobilityEnergyModel::State
- Current: The current draw from the attached energy source, along with the velocity.

#### 1.4.2 Tests

The following tests have been written for this model and may be found in src/psc/test/uav-mobility-energy-model-test.cc:

- **UavMobilityEnergyModelTestCaseEnergyConsumption:** Tests if the model correctly sets the current on the EnergySource as well as if the correct amount of energy is deducted from the EnergySource.
- **UavMobilityEnergyModelTestCaseZeroEnergyCost:** The model supports making any of the possible states consume no energy. Configure each state as such and make sure no energy is consumed.
- UavMobilityEnergyModelTestCaseMoveEnergy: Move combines the cost of the 2D movement (x, y) and the ascend/descend cost (z). Tests that when a Node has both 2D movement and is ascending that the ascend/descend cost is accounted for and is correct, along with the 2D movement only.
- UavMobilityEnergyModelTestCaseLowEnergyTrace: Tests if the EnergyDepleted trace is actually called.
- **UavMobilityEnergyModelTestCaseLiIonEnergySource:** Make sure the model actually draws energy from another EnergySource that is not the BasicEnergySource.
- **UavMobilityEnergyModelTestCaseMobilityModel:** Tests that the model correctly integrates with a MobilityModel. Tests that the MOVE state is correctly determined from the attached MobilityModel
- UavMobilityEnergyModelTestCaseChangeCostsRuntime: The model supports changing the cost of any of the states (except for STOP) while the simulation is running. Tests if this feature actually works.
- UavMobilityEnergyModelTestCaseTotalEnergyConsumption: The model tracks the total Joules consumed over the lifetime of the model. This total is available through both the GetTotalEnergyConsumption () and through the TotalEnergyConsumption trace source. Validate this total is correct from both sources.
- **UavMobilityEnergyModelTestCaseInitialVelocity:** Tests if a velocity set before the simulation starts works correctly.
- **UavMobilityEnergyModelTestCaseState:** Tests if the model correctly sets the state and that the State trace has the correct state.
- UavMobilityEnergyModelTestCaseTraceCurrent; Tests if the model correctly sets the current and that the Current trace has the correct current.
- **UavMobilityEnergyModelTestCaseAttributeEnergySource:** Tests if setting the EnergySource through the EnergySource attribute works properly.
- UavMobilityEnergyModelTestCaseFixedStateChangeSpeed: Assures that if the speed/velocity at which the Node is moving is changed, but the state does not (i.e. moving, then moving faster in the same direction) that the current is updated (and the Current trace notified) and the state remains the same (and the State trace is not notified)
- **UavMobilityEnergyModelTestCaseVelocityTraces:** Several of the traces have the velocity from the MobilityModel included. Validate that the included velocities are correct.

## 1.4.3 **Usage**

The model may be installed on any Node with a Mobility Model installed. The helper may also be used for a simpler installation. The helper will create any of the necessary components on the Node and install and init the model.

Each Node requires exactly one Energy Source passed to SetEnergySource() and an aggregated MobilityModel when it is passed to ConnectMobility () when using the low-level API. Alternatively, both the EnergySource and Node may be passed to Init () for convenience. If the helper is used, it allows for a passed Energy Source, or an Energy Source aggregated onto the Node.

## 1.5 Intel HTTP Model

The classes ns3::psc::IntelHttpClient, ns3::psc::IntelHttpHeader, and ns3::psc::IntelHttpServer implement a configurable HTTP model.

This model is an implementation of the model defined in this Intel paper: A NEW TRAFFIC MODEL FOR CURRENT USER WEB BROWSING BEHAVIOR

## 1.5.1 Model Description

The implementation is provided in the following files:

- src/psc/model/intel-http-client. {h,cc} The client application
- src/psc/model/intel-http-header. {h,cc} The header used in packets
- src/psc/model/intel-http-server. {h,cc} The server application
- src/psc/helper/intel-http-helper.{h,cc} The helper

An example may be found in: src/psc/examples/example-intel-http.cc.

This model represents a configurable HTTP client and corresponding HTTP server. The model is based on analysis of logs from ten HTTP Squid proxy caches in the 2007 timeframe (HTTP/1.0 and HTTP/1.1 clients). The log data included requests from 60,000 clients.

In the following description, names containing an 'Rvs' suffix denote names of an ns3::RandomVariableStream object. Unless otherwise specified, sizes are in Bytes.

The HTTP client generates a request size from RequestSizeRvs and sends a request to the server defined by RemoteAddress and RemotePort.

The server receives the request and generates a size for the response page from HtmlSizeRvs as well as the number of embedded objects referenced on generated page. The 'page' is then transferred back to the client.

Once the client receives the page, it will wait a time defined by ParseTimeRvs to 'parse' the received page. Once parsed, the model goes over each embedded object and checks against the probability that it is cached defined by CacheThreshold.

If the embedded object is not cached the client will generate another request size (from Request SizeRvs). The server generates Inter-Arrival Time for the embedded object from EmbeddedObjectIatRvs and waits that time, generates a size for the object from EmbeddedObjectSizeRvs and sends that response. The client then parses that response.

Once all embedded objects have been received, the client will read the completed page for an amount of time defined by ReadTimeRvs.

All packets carry an application header, the IntelHttpHeader. This header has 3 fields. First, a Type field describing whether the packet refers to an HTML object (the main object) or an embedded object. Next, a numeric field (NumberOfObjects) with variable meaning, depending on the type of the packet:

- In an HTML Object Request, the field is meaningless.
- In an HTML Object Response, the field details how many embedded objects are associated with the HTML Object served.
- In an Embedded Object Request, the field indicates the sequence number of the embedded object requested within the list of embedded objects for the last HTML object requested.
- In an Embedded Object Response, the field indicates the sequence number of the embedded object served within the list of embedded objects for the last HTML object requested.

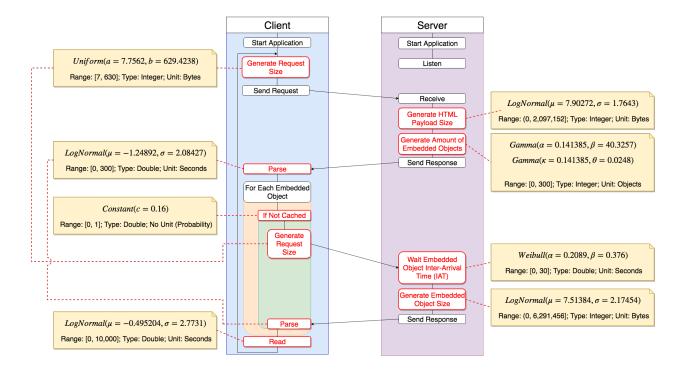
Finally, a Payload Size field, with the size of the Request message (for Requests) or the size of the object served (for Responses).

#### Limitations

The ns3::psc::IntelHttpServer may only serve one ns3::psc::IntelHttpClient at a time.

This restriction may be circumvented by installing several ns3::psc::IntelHttpServer applications on one Node on different ports. See the MultipleServerClients example in src/psc/examples/example-intel-http.cc for a demonstration of one server Node serving many client nodes.

#### **Model Flow Diagram**



#### **Attributes**

## IntelHttpClient

- **RemoteAddress:** The address of the server to communicate with.
- **RemotePort:** The port on the server to send to.
- **CacheThreshold:** Threshold to decide if an embedded object is cached or not. If the value generated by CacheRvs is less than or equal to this value, then the object was cached and will not be requested.
- CacheRvs: Stream that generates the value compared with the CacheThreshold to decide if an embedded object is cached or not. Should generate a value between 0 and 1.
- RequestSizeRvs: Random Variable Stream to generate the size of the requests.
- ParseTimeRvs: Random Variable Stream to generate the time required to parse a downloaded file. Bound by ParseTimeLowBound and ParseTimeHighBound.

- **ReadTimeRvs:** Random Variable Stream to generate the time spent reading a page after it has been downloaded. Bound by ReadTimeLowBound and ReadTimeHighBound.
- **SocketBufferSize:** Size in Bytes of the socket send and receive buffers.

#### IntelHttpHeader

IntelHttpHeader exposes no attributes.

#### IntelHttpServer

- **Port:** Port on which we listen for incoming packets.
- HtmlSizeRvs: Random Variable Stream to generate the size of the HTML pages. Bound by HtmlSizeLowBound and HtmlSizeHighBound.
- EmbeddedObjectAmountRvs: Random Variable Stream to generate the amount of embedded objects.

  Bound by EmbeddedObjectAmountLowBound and EmbeddedObjectAmountHighBound.
- EmbeddedObjectIatRvs: Random Variable Stream to generate the IAT of embedded objects. Bound by EmbeddedObjectIatLowBound and EmbeddedObjectIatHighBound.
- EmbeddedObjectSizeRvs: Random Variable Stream to generate the size of the embedded objects. EmbeddedObjectSizeLowBound and EmbeddedObjectSizeHighBound.

#### **Trace sources**

#### IntelHttpClient

- Rx: General trace for receiving an application packet of any kind. If the application packet was fragmented, only one line will be traced when the last fragment is received. This trace source is invoked for all application packets received (i.e. all the Responses). This source makes available the received application packet (with the IntelHttpHeader) and the address of the node that sent the packet. As the IntelHttpHeader is included, trace sinks can make use of the RequestType and NumberOfObjects fields to match packet entries with the corresponding transmission trace.
- RxMainObject: Trace for when an application-level packet containing a main object is fully received. This source is invoked once for each Response with an HTML object (main object). If the Response message was fragmented, the trace will be invoked only when the final fragment has been received. This source makes available the received application packet (with the IntelHttpHeader) and the address of the node that sent the packet. As the IntelHttpHeader is included, trace sinks can make use of the RequestType and NumberOfObjects fields to match packet entries with the corresponding transmission trace.
- RxEmbeddedObject: Trace for when an application-level packet containing an embedded object is fully received. This source is invoked once for each Response for an embedded object. If the Response message was fragmented, the trace will be invoked only when the final fragment has been received. This source makes available the received application packet (with the IntelHttpHeader) and the address of the node that sent the packet. As the IntelHttpHeader is included, trace sinks can make use of the RequestType and NumberOfObjects fields to match packet entries with the corresponding transmission trace.
- Tx: General trace for sending an application packet of any kind. This trace source is invoked for all application packets sent (i.e. all the Requests). This source makes available the application packet sent (with the IntelHttpHeader). As the IntelHttpHeader is included, trace sinks can make use of the

RequestType and NumberOfObjects fields to match packet entries with the corresponding reception trace.

- TxRequestMainObject: Trace for when an application-level packet containing a Request for a main object is sent. This source is invoked once for each Request with an HTML object (main object). This source makes available the transmitted application packet (with the IntelHttpHeader). As the IntelHttpHeader is included, trace sinks can make use of the RequestType and NumberOfObjects fields to match packet entries with the corresponding reception trace.
- TxRequestEmbeddedObject: Trace for when an application-level packet containing a Request for an embedded object is sent. This source is invoked once for each Request with an embedded object. This source makes available the received application packet (with the IntelHttpHeader). As the IntelHttpHeader is included, trace sinks can make use of the RequestType and NumberOfObjects fields to match packet entries with the corresponding reception trace.
- CacheHit: Trace called when object on a page was cached and does not need to be transmitted.
- CacheMiss: Trace called when object on a page was not cached and needs to be requested.

#### IntelHttpServer

- Rx: General trace for receiving an application packet of any kind. If the application packet was fragmented, only one line will be traced when the last fragment is received. This trace source is invoked for all application packets received (i.e. all the Requests). This source makes available the received application packet (with the IntelHttpHeader) and the address of the node that sent the packet. As the IntelHttpHeader is included, trace sinks can make use of the RequestType and NumberOfObjects fields to match packet entries with the corresponding transmission trace.
- RxRequestMainObject: Trace for when an application-level packet containing a Request for a main object is fully received. This source is invoked once for each Request with an HTML object (main object). If the request message was fragmented, the trace will be invoked only when the final fragment has been received. This source makes available the received application packet (with the IntelHttpHeader) and the address of the node that sent the packet. As the IntelHttpHeader is included, trace sinks can make use of the RequestType and NumberOfObjects fields to match packet entries with the corresponding transmission trace.
- RxRequestEmbeddedObject: Trace for when an application-level packet containing a Request for an embedded object is fully received. This source is invoked once for each Request for an embedded object. If the Request message was fragmented, the trace will be invoked only when the final fragment has been received. This source makes available the received application packet (with the IntelHttpHeader) and the address of the node that sent the packet. As the IntelHttpHeader is included, trace sinks can make use of the RequestType and NumberOfObjects fields to match packet entries with the corresponding transmission trace.
- Tx: General trace for sending an application packet of any kind. This trace source is invoked for all application packets sent (i.e. all the Responses). This source makes available the application packet sent (with the IntelHttpHeader). As the IntelHttpHeader is included, trace sinks can make use of the RequestType and NumberOfObjects fields to match packet entries with the corresponding reception trace.
- TxMainObject: Trace for when an application-level packet containing a main object is sent. This source is invoked once for each Response with an HTML object (main object). This source makes available the transmitted application packet (with the IntelHttpHeader). As the IntelHttpHeader is included, trace sinks can make use of the RequestType and NumberOfObjects fields to match packet entries with the corresponding reception trace.

• TxEmbeddedObject: Trace for when an application-level packet containing an embedded object is sent. This source is invoked once for each Response with an embedded object. This source makes available the received application packet (with the IntelHttpHeader). As the IntelHttpHeader is included, trace sinks can make use of the RequestType and NumberOfObjects fields to match packet entries with the corresponding reception trace.

#### 1.5.2 Tests

The following tests have been written for this model and may be found in src/psc/test/intel-http-model-test.cc:

- IntelHttpTestCaseAllCacheHits: Test with a perfect *CacheThreshold* that no embedded objects are requested/transmitted.
- IntelHttpTestCaseAllCacheMisses: Test with a *CacheThreshold* of 0 that all embedded are requested/transmitted.
- IntelHttpTestCaseCacheTotal: Verify the total number of cache hits/misses matches the total embedded objects. Also verifies the 'objectsLeft' parameter passed to the *CacheHit* and *CacheMiss* traces.
- IntelHttpTestCaseEmbeddedObjectSequence: Tests the, given a constant *EmbeddedObjectIatRvs*, embedded objects are both requested and transmitted in order.
- IntelHttpTestCaseEventOrder: Test that each event defined by the *Model Flow Diagram* happens in the correct order.
- IntelHttpTestCaseHeaderContent: Verify the request types in each possible packet are of the correct type. As well as the embedded object count.
- IntelHttpTestCaseIpv6: Verify the model still works when providing IPv6 addresses instead of IPv4 ones.
- **IntelHttpTestCaseObjectInterArrival:** Verify that, after receiving a request for an embedded object, the server will wait the time from the *EmbeddedObjectIatRvs* before responding.
- IntelHttpTestCaseParseTime: Test the client waits the time from the RequestSizeRvs before continuing.
- IntelHttpTestCaseReadTime: Test that the client waits the time from *ReadTimeRvs* before requesting another main object.
- IntelHttpTestCaseTxRxCountSizes: Verify the specific transmit (i.e. *TxMainObject*) and receive (i.e. *RxEmbeddedObject*) traces are called the same number of times as the general ones (*Tx/Rx*). Also verifies the packets of each type are of the correct size.

## 1.5.3 **Usage**

A simple usage example is provided in: src/psc/examples/example-intel-http.cc.

The following attributes are exposed for users to change but are set to defaults that match the Intel cache logs, so in practice, most users will not change these values:

- HtmlSizeRvs, HtmlSizeLowBound, and HtmlSizeHighBound.
- EmbeddedObjectAmountRvs, EmbeddedObjectAmountLowBound, and EmbeddedObjectAmountHighBound.
- $\bullet \ \, \textbf{EmbeddedObjectIatLowBound}, \ \, \textbf{and} \ \, \textbf{EmbeddedObjectIatHighBound}.$
- EmbeddedObjectSizeRvs, EmbeddedObjectSizeLowBound, and EmbeddedObjectSizeHighBound.

- RequestSizeRvs.
- ParseTimeRvs, ParseTimeLowBound, and ParseTimeHighBound.
- ReadTimeRvs, ReadTimeLowBound, and ReadTimeHighBound.

On the other hand the following attributes are more likely to be changed by users:

- RemoteAddress, RemotePort, Port: Besides the basic configuration match between client and server
  regarding the connection address and port, as the server model only supports a single client, when configuring scenarios with multiple clients special attention must be paid to ensure there are enough servers for
  all the clients, and that each of them use a unique connection string (address and port).
- CacheThreshold, and CacheRvs: Intel's whitepaper defines the cache mechanism but it does not specify the parameters (threshold or distribution to use). Based on this, the model provides default values for both parameters (CacheThreshold and CacheRvs) that are functional (a 16 % cache hit ratio on the client fits a small cache size with diverse (i.e. multiple different sites) browsing). However, these values may not fit all use cases (e.g., local caches in corporate environments where browsing is restricted to intranet pages that share the same structure, images, etc. can easily reach 90 % hit ratios).
- SocketBufferSize: The models configure a socket buffer size set to the maximum size by default (2,147,483,647 Bytes), to avoid the case where the server generates embedded objects with sizes larger than the available socket size. The maximum buffer size allows us to avoid dropping these packets and distorting the distribution configured by the user (or proposed by Intel). However, depending on the area of study and the interests of the users running the simulations, this may lead to unrealistic results, so the parameter is available for configuration as needed (with the caveat that the user will need to ensure that the distribution chosen for the Embedded Object sizes does not generate values that may overflow the buffer).

## LTE D2D MODELS

This chapter is an excerpt of the LTE module documentation chapter, containing the D2D-related models that have been added to the *ns-3* LTE module.

# 2.1 Design Documentation

## 2.2 LTE Sidelink

#### 2.2.1 Overview

This section describes the *ns-3* support for LTE Device to Device (D2D) communication based on the model published in [NIST2016] [NIST2017] and extended to support UE-to-Network Relay operations [NIST2019].

3GPP introduced D2D Proximity Services (ProSe) in release 12 to allow ProSe enabled devices to exchange information directly, i.e., without traversing the eNB. Figure *Non-Roaming Reference Architecture* shows new ProSe entities and the new interfaces added to the network [TS23303].

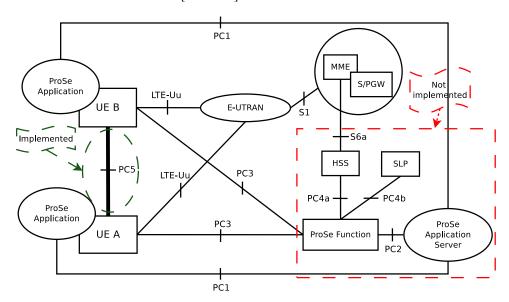


Fig. 1: Non-Roaming Reference Architecture

At the time of writing this documentation only the new radio interface, i.e., PC5 is implemented. This interface is also known as Sidelink at physical layer. The model supports all the three following LTE D2D functionalities defined under ProSe services:

- 1. Direct communication
- 2. Direct discovery
- 3. Synchronization

These LTE D2D functionalities can operate regardless of the network status of the UEs. Thus, four scenarios were identified by 3GPP [TR36843]:

- 1A. Out-of-Coverage
- 1B. Partial-Coverage
- 1C. In-Coverage-Single-Cell
- 1D. In-Coverage-Multi-Cell

At this stage, the model has been tested for the four scenarios. The Table *ns-3 LTE Sidelink supported/tested scenarios* gives more information about the support of these four scenarios for all the three ProSe services.

Description UE A UE B Direct Com-Direct Dis-Synchronization Example munication covery Out-of-Out-of-Out-of-1A Yes Yes Yes Coverage Coverage Coverage RA = Mode 2RA = Type 1Autonomous synchronization Partial Out-of-1B In-Yes Yes Yes Coverage Coverage Coverage RA = Mode 2RA = Type 1Network and Single Cell autonomous synchronization 1C Yes In-In-In-Yes Yes Network Coverage Coverage Coverage RA = Mode 1RA = Type 1RA = Mode 2Single Cell chronization 1D In-Yes Yes In-In-Yes Coverage Coverage Coverage RA = Mode 1RA = Type 1Network Multiple RA = Mode 2chronization Cells **RA** = **Resource** Allocation

Table 1: ns-3 LTE Sidelink supported/tested scenarios

The model is developed in a way that simulating the above ProSe services are not interdependent. In the following, we describe all the changes introduced in the *ns-3* LTE architecture and its protocol stack to realize Sidelink functionality.

#### 2.2.2 Architecture

#### eNB architecture

There is no change in the eNB data (Ite-design:ref:*fig-ca-enb-data-plane*), control (Ite-design:ref:*fig-ca-enb-ctrl-plane*) plane and neither in its PHY/channel (Ite-design:ref:*fig-lte-enb-phy*) model.

#### **UE** architecture

Figure *UE data plane architecture* and Figure *UE control plane architecture* show the current UE model architecture in the data plane and the control plane, respectively.

The class LteSlUeNetDevice was created to handle packets in the data plane when the UEs are using the UE-to-Network Relay functionality and have established a one-to-one direct communication link with another UE over the Sidelink. Each UE has an LteSlueNetDevice object per active one-to-one direct communication link and the EpcUeNas is now in charge of filtering the data packets towards the appropriate interface.

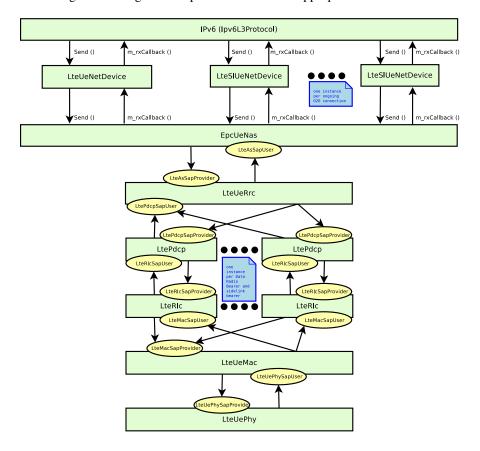


Fig. 2: UE data plane architecture

In the control plane, the class LteSlUeController was added to the model to provide a framework for the implementation of custom algorithms controlling the different decision processes involved in the UE-to-Network Relay functionality, e.g., Relay selection, connection setup, IP configuration, etc.. More details are provided below in the Sidelink UE Controller section.

The Sidelink uses the same spectrum than the uplink for transmission and reception. Figure *PHY and channel model architecture for the UE* shows that a new instance of the SpectrumPhy class is now present in the PHY/channel architecture of the UE in order to support the reception of packets transmitted by other UEs in the uplink spectrum.

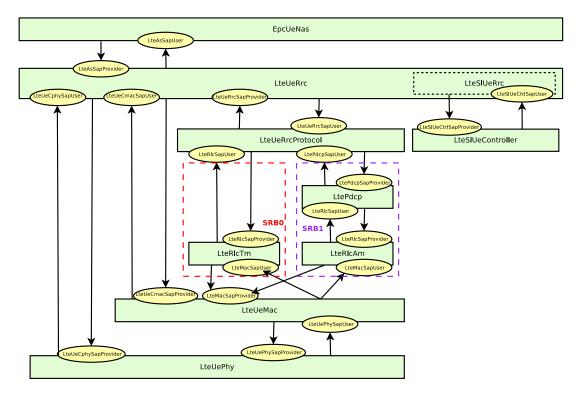


Fig. 3: UE control plane architecture

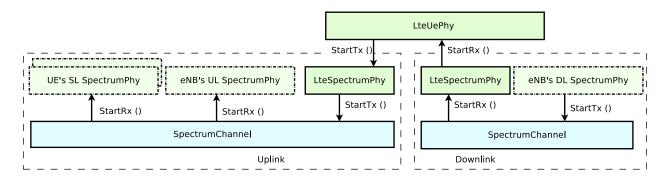


Fig. 4: PHY and channel model architecture for the UE

#### **NAS**

In LTE module, the NAS layer functionality is provided by EpcUeNas class. In addition to its existing capabilities, this class has been extended to support LTE ProSe services, namely direct communication, direct discovery, and UE-to-Network Relay.

For direct communication, it supports the functions to activate/deactivate Sidelink bearers. Since there is no EPS bearer for Sidelink communication, the existing TFT cannot be used to map IP packets to a Sidelink bearer. Therefore, a new type of TFT, called LteSlTft is implemented. It maps IP packets to the Sidelink bearers based only on their destination IP address. Moreover, the Send function has been extended for both UE NAS "Active" and "Off" states. In the active state, if there is a Sidelink bearer established between the source and the destination UE, it utilizes Sidelink bearer to send the packet, thus, prioritizing a Sidelink bearer over normal LTE uplink bearer. On the other hand, in off state in which previously UE was unable to send the packets, is now able to use a Sidelink bearer, if established.

The extensions for the direct communication are also useful for UE-to-Network Relay communication by helping in sending and receiving of packets for the communication between Relay UE and Remote UE. Additionally, to support public safety communication scenarios using UE-to-Network Relays, the NAS has also been extended to include the transfer of IPv6 packets. The EpcueNas now uses TFTs for ingress filtering to forward the received packets to the appropriate NetDevice. For the Remote UE, an LteSlTft is created to receive any packet with the destination address matching the address generated for the Remote UE's LteSlUeNetDevice. For a Relay UE, an LteSlTft is created to receive any packet with the source address prefix matching the prefix delegated for relay purposes by the core network. Upon receiving a packet in the DoRecvData function of EpcUeNas, a check is initially made to verify if the Source IP address and the Destination IP address in the packet header matches the ingress LteSlTft. If yes, the packet is forwarded onto the appropriate sidelink callback function. Otherwise, the packet is forwarded onto the uplink callback function.

For the direct discovery, it conveys the information, e.g., list of discovery applications and the nature of the application, i.e., announcing or monitoring to LteUeRrc class.

#### **RRC**

The RRC layer has been modified to support all the three ProSe services. Among all the new changes, a major modification which is common in both eNB and UE RRC is the addition of two new classes called LteSlEnbRrc and LteSlUeRrc. Both the classes serve a common purpose of holding Sidelink resource pool (i.e., communication and discovery) configuration done through the functions added to the LteHelper class. Figures *Relationship between LteEnbRrc and LteSlEnbRrc* and *Relationship between LteUeRrc and LteSlUeRrc* show the relationship between LteEnbRrc<-->LteSlEnbRrc and LteUeRrc<-->LteSlUeRrc classes.

Note: Only the key responsibilities of the new classes are shown. For complete view of these classes please refer to their class APIs.

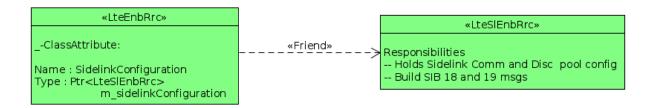


Fig. 5: Relationship between LteEnbRrc and LteSlEnbRrc

The following Figure *ns-3 LTE Sidelink pool configuration flow* shows the interaction among the classes to configure a Sidelink pool.

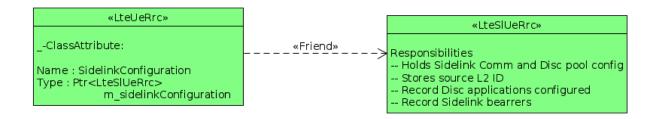


Fig. 6: Relationship between LteUeRrc and LteSlUeRrc

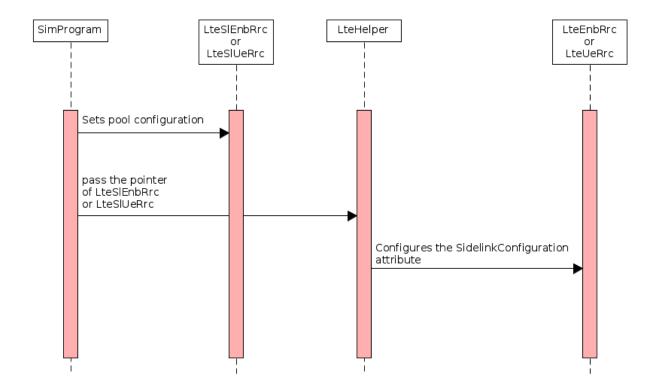


Fig. 7: ns-3 LTE Sidelink pool configuration flow

The Sidelink pools, for both in-coverage and out-of-coverage UEs are configured through the user's simulation script. Moreover, all the RRC SAP classes, i.e., control and data including the LteRrcSap class have been extended to support Sidelink functionalities.

In the following we will explain the remaining modifications specifically introduced in the eNB and UE RRC layer.

#### **eNB RRC**

To support in-coverage Sidelink scenarios, SIB18 and SIB19 were added to broadcast Sidelink resource pool configuration for communication and discovery respectively. For simplicity, we use the same periodicity (default: 80 ms) of all the SIB messages, which could be configured by changing the attribute SystemInformationPeriodicity value. The resource pools are configured through LteHelper as discussed earlier. Similar to other SIB messages, SIB18 and SIB19 are defined in LteRrcSap class. By receiving these SIB messages, a UE can deduce the type of ProSe service an eNB can support. The eNB is also now capable of processing SidelinkUeInformation messages sent by the UEs [TS36331]. In general, this type of message contains information related to the frequency the UE is intended to use for Sidelink, resources required by the UE for Sidelink communication or discovery and a list of destination identities. We note that, at this moment only one Tx/Rx pool per UE is supported. In response to the SidelinkUeInformation, eNB sends a RrcConnectionReconfiguration message containing the resource allocation information as per the supported ProSe services. In case of in-coverage Sidelink communication, resource allocation is performed as per MODE1, which is also referred as Scheduled mode [TR36843]. In this mode, the RrcConnectionReconfiguration, as per the standard, includes the specifications of the pool to be used and the timing specification for Sidelink Buffer Status Report (SL-BSR) transmission and re-transmission. On the other hand, if the pool is for MODE 2, i.e., UeSelected mode, it only includes the dedicated pool specifications.

For the in-coverage discovery, only Type1, i.e. UE selected resource allocation is supported.

#### **UE RRC**

The LteUeRrc and LteSlUeRrc classes have been extended to support all the ProSe services for the scenarios in Table *ns-3 LTE Sidelink supported/tested scenarios*. To highlight all the modifications, lets discuss them in context of each ProSe service.

#### Sidelink direct communication

The UE RRC now supports the creation of Sidelink bearers for both in-coverage and out-of-coverage UEs. A new function <code>DoActivateSidelinkRadioBearer</code> is implemented for this purpose. If the configuration of the TFT used indicates the nature of the Sidelink radio bearer as <code>Bidirectional</code>, the creation of Sidelink bearers for Tx and Rx, which is to populate the Tx/Rx pool configuration along with the list of destinations to the lower layers, occurs at the same time. Only the creation of PDCP/RLC instances for TX bearer is done in <code>DoActivateSidelinkRadioBearer</code> function. The creation of PDCP/RLC instances for Rx occurs upon the reception of first Sidelink packet [TS36300]. For the in-coverage case, the bearer establishment procedure involves the communication with the eNB by sending <code>SidelinkUeInformation</code> message for the sake of resource allocation as shown in Figures <code>ns-3 LTE Sidelink in-coverage radio bearer activation (Tx)</code> and <code>ns-3 LTE Sidelink in-coverage radio bearer activation (Rx)</code>.

For the out-of-coverage UEs, the Sidelink bearer activation and the resource allocation is done by the UE autonomously. Figure *ns-3 LTE Sidelink out-of-coverage radio bearer activation (Tx)* and *ns-3 LTE Sidelink out-of-coverage radio bearer activation (Rx)* show sequence diagrams of the out-of-coverage Sidelink bearer activation for TX and RX respectively.

As mentioned earlier, the UE RRC is now also capable of processing new SIB18 message and the Sidelink direct communication configuration received in RrcConnectionReconfiguration message. For in-coverage

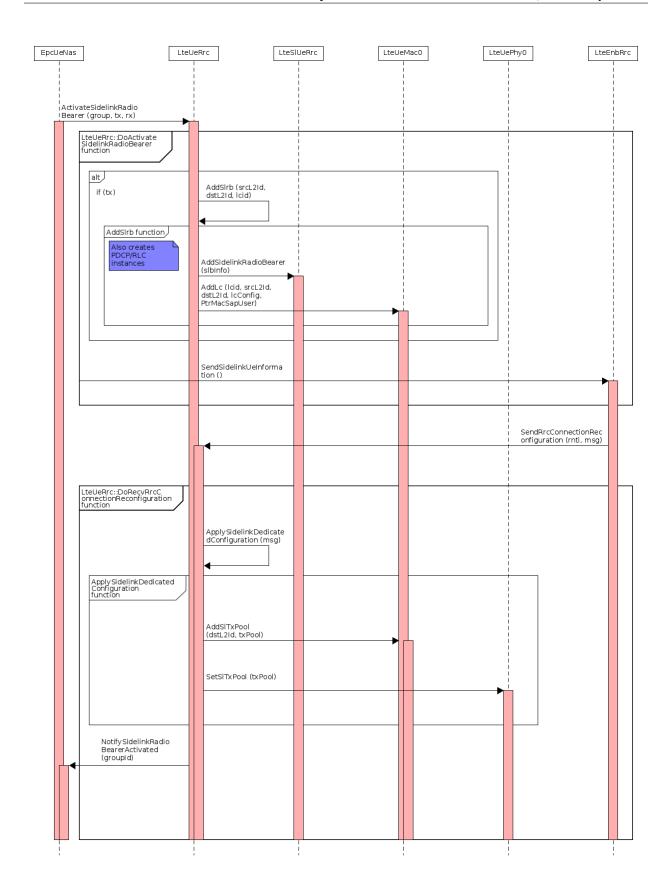


Fig. 8: ns-3 LTE Sidelink in-coverage radio bearer activation (Tx)

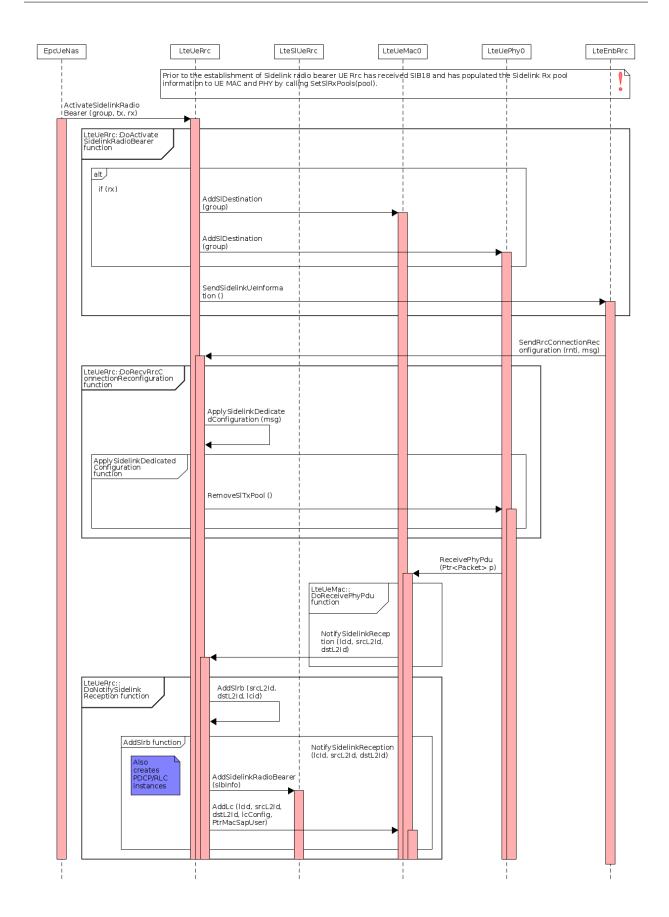


Fig. 9: ns-3 LTE Sidelink in-coverage radio bearer activation (Rx)

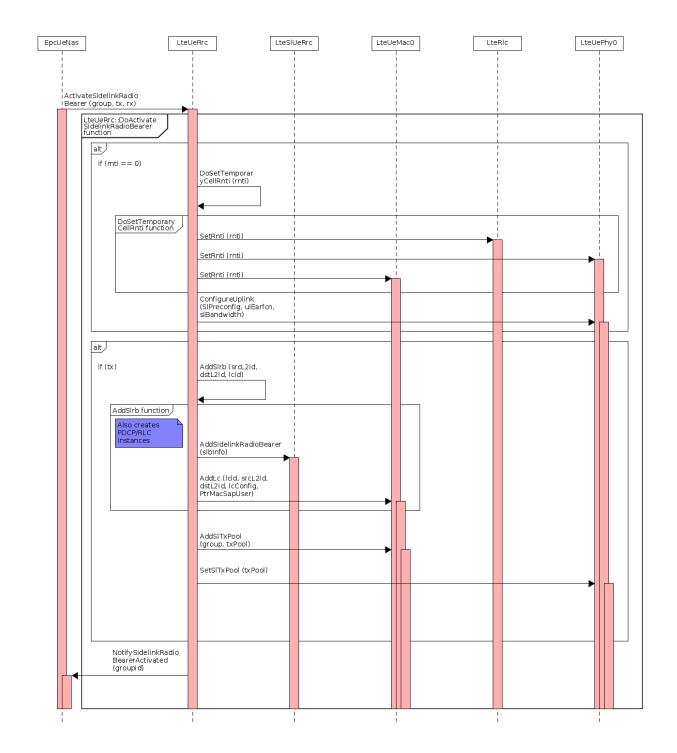


Fig. 10: ns-3 LTE Sidelink out-of-coverage radio bearer activation (Tx)

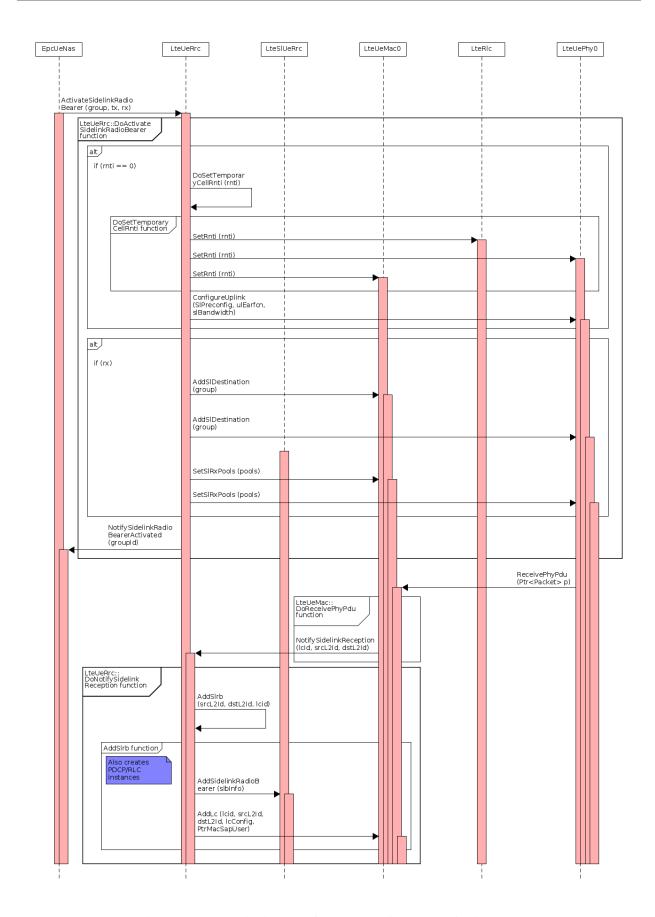


Fig. 11: ns-3 LTE Sidelink out-of-coverage radio bearer activation (Rx)

UEs, resource allocation can be done in MODE 1 and MODE 2. In MODE 1, the resources are set to "Scheduled" and the eNB is responsible of scheduling the exact number of resources (i.e., with the help of the scheduler), while in MODE 2, resources are "UeSelected" and a UE receives only one dedicated resource pool through RrcConnectionReconfiguration message to choose the resources from [TS36331]. By standard the SIB18 message is used as an indicator that an eNB supports ProSe communication services, thus, the UE transmits SidelinkUeInformation if it has received SIB18 message. A UE in "IDLE\_CAMPED\_NORMALLY" or in "CONNECTED\_NORMALLY" is able to receive SIB18 messages, upon which, Rx pools are updated and also populated to the MAC and PHY layers. On the other hand, if the UE is out-of-coverage it uses a pre-configured pool and MODE 2 for resource allocation.

#### Sidelink direct discovery

For the Sidelink direct discovery, LteUeRrc and LteSlueRrc classes now support creation/removal of discovery applications for both in-coverage and out-of-coverage UEs. The LteSlueRrc class has functions called StartDiscoveryApps and StopDiscoveryApps to accomplish this process. These functions take in as arguments the application codes as payloads and the discovery role of the UE which are populated using the LteSidelinkHelper. For the in-coverage UEs, communication occurs with the eNB by transmitting the SidelinkUeInformation message for resource allocation, followed by the eNB RrcConnectionReconfiguration message. An in-coverage UE only transmits SidelinkUeInformation if it has received SIB19 message from its serving eNB. We note that, for in-coverage UEs, Scheduled resource allocation mode is not supported yet. The model supports only Type1, i.e., "UeSelected" resource allocation. An in-coverage UE can receive a list of multiple pools with the criteria of selection either RANDOM or RSRPBASED via the RrcConnectionReconfiguration message. A UE in IDLE\_CAMPED\_NORMALLY or in CONNECTED\_NORMALLY can receive SIB19 messages, upon which, Rx pools are updated and populated to the MAC and PHY layers. On the other hand, when out-of-coverage, the UE only uses the pre-configured pool.

The classes LteUerrc, LteSlUerrc and LteSlDiscHeader are also extended to incorporate Model B application discovery along with Model A application discovery. In model A, the announcing UE periodically broadcasts PC5\_DISCOVERY messages, called announcements, to indicate its presence. In model B, the discoverer UE seeks to discover UEs by sending a request message with the required application code. The discoveree UEs check if the application code is present in a list of monitored codes. If present, the UE then transmits the same application code as the intended response message payload. For both Model A and Model B discovery, the payloads are added to the appropriate message in the LteSlDiscHeader class and transmitted using the TransmitApp and TransmitDiscoveryMessage functions in LteSlUerrc and LteUerrc classes respectively. In case multiple requests from separate UEs are received, the model ensures that only one PC5\_DISCOVERY response message is sent per discovery period. Multiple responses are not needed since these messages are broadcasted.

### Note: At this stage only one pool is supported

#### Sidelink synchronization

The LteUeRrc class holds the main implementation for performing Sidelink synchronization. In particular, it supports

- Activation/Deactivation of Sidelink Synchronization Signal (SLSS)
- The configuration of the SLSS
- Delivering of the configured SLSS to phy layer for transmission
- Reception and L3 filtering of the SLSS from other UEs
- Selection of a Synchronization Reference UE (SyncRef)
- Notification of SyncRef change to the lower layers

By setting the attribute UeSlssTransmissionEnabled == true enables the transmission of SLSS. The purpose of synchronization process is to align the frame and subframe number of a UE with a SyncRef. An SLSS from a SyncRef is considered detectable if;

$$SRSRP_{strongestSyncRef}[dBm] - MinSrsrp[dBm] > syncRefMinHyst[dB]$$
 (2.1)

MinSrsrp is the minimum threshold for detecting SLSS configured using MinSrsrp attribute of LteUeRrc class and syncRefMinHyst is the pre-configured hysteresis value, which could be configured from the user's simulation script. In case more than one SyncRefs are detected during a scanning period (Refer to the UE phy section below for details), a SyncRef with the highest Sidelink RSRP (S-RSRP) is selected. Upon re-selection of the SyncRef, if a better SyncRef is detected the UE selects this new SyncRef if;

$$SRSRP_{NewStrongestSyncRef}[dBm] - SRSRP_{OldStrongestSyncRef}[dBm] > syncRefDiffHyst[dB] \\$$

where syncRefDiffHyst is a threshold representing how higher the S-RSRP of the newly detected SyncRef should be than the currently selected SyncRef's S-RSRP to consider a change. On the other hand, if the S-RSRP of the previously selected SyncRef satisfies the (2.1) and its S-RSRP is greater than a pre-configured syncTxThreshOoC (i.e. out-of-coverage synchronization threshold) [TS36331] the SyncRef is considered as valid till the next re-selection.

In case, the previously selected SyncRef is not valid anymore and no other SyncRef has been detected, the UE itself becomes a SyncRef by choosing SLSS-ID and the Sidelink synchronization offset indicator. Different from the standard [TS36331], the current implementation for the sake of simplicity selects a SLSS-ID as SLSS-ID = IMSI \* 10, while the synchronization offset indicator, as per the standard, is randomly selected between the two pre-configured offsets, i.e., <code>syncOffsetIndicator1</code> and <code>syncOffsetIndicator2</code>. We note that, when a Synchronization process is followed by ProSe group communication or discovery, a UE could only be able to receive a message from other UE, if they have same SLSS-ID and the group id is known to the receiving UE. However, when simulating only ProSe communication or discovery the SLSS-ID of all the UEs are initialized to 0 and the UE receives the transmission if the group id is known. Moreover, the transmission of the SLSS is subjective to the data transmission by the UE. At the beginning of every Sidelink Control (SC) period, a notification by the UE MAC layer is sent to the UE RRC, indicating if there is data to be transmitted. The function <code>DoNotifyMacHasSlDataToSend</code> and <code>DoNotifyMacHasNoSlDataToSend</code> of <code>LteUeRrc</code> class serve this purpose. If there is no data to be transmitted the UE stops transmitting the SLSS.

#### **UE-to-Network Relay communication**

The classes LteUeRrc and LteSlueRrc now support the creation and maintenance of one-to-one direct communication links between two UEs using the Sidelink. A new type of signaling protocol, called PC5 signaling, has been defined for this purpose [TS24334]. In the model, the PC5 signaling messages are modeled as ns-3 headers and are listed in Table PC5 Signaling Messages together with the acronyms used in the rest of the section to refer to them. One-to-one direct communication links are used for UE-to-Network Relay communication functionality and two roles are defined for the UEs in this context: Relay UE and Remote UE. Figure One-to-one direct communication typical PC5 messages exchange shows a typical PC5 messages exchange between a Remote UE and a Relay UE to successfully establish and maintain a one-to-one direct communication link between them.

The Remote UE initiates the procedure by sending a DCRq message to the Relay UE. After the successful exchange of the DSMCm and DSMCp messages for link authentication, the Relay UE sends a DCA message to accept the connection. Once established, the Relay UE can request additional information from the Remote UE, by sending a RUIRq message, for which the Remote UE responds back with a RUIRs message. This procedure is optional and deactivated by the default, but it can be enabled using the attribute "RuirqEnabled" of the class LteSlueRrc.

For both UEs, the reception of PC5 data or PC5 signaling messages indicates that the connection is active. Additionally, probe DCK messages are periodically sent by the Remote UE to maintain the one-to-one direct communication link connection in the event that there is no data to exchange. The Relay UE then responds by sending a DCKA message.

When either the Remote UE or the Relay UE requires to end the one-to-one direct communication link, it sends a DCR message to the peer UE, which then replies with a DCRA message.

During the one-to-one direct communication link setup, the Relay UE sends a DCRj message if it cannot accept the connection. Currently in the model, this only happen when the DSMCp message is not received on time (i.e., T4111 expires). The Remote UE can in turn reject the DSMCm and send a DSMRj. Currently, no logic is implemented for this, i.e., the Remote UE always accept the DSMCm.

Note: Rekeying messages are defined in the model but currently not used in the implementation.

Acronym	PC5 Signaling Message	Message ID		
DCA	DIRECT_COMMUNICATION_ACCEPT	2		
DCK	DIRECT_COMMUNICATION_KEEPALIVE	4		
DCKA	DIRECT_COMMUNICATION_KEEPALIVE_ACK	5		
DCR	DIRECT_COMMUNICATION_RELEASE	6		
DCRA	DIRECT_COMMUNICATION_RELEASE_ACCEPT	7		
DCRj	DIRECT_COMMUNICATION_REJECT	3		
DCRq	DIRECT_COMMUNICATION_REQUEST	1		
DRRq *	DIRECT_REKEYING_REQUEST	15		
DRRs *	DIRECT_REKEYING_RESPONSE	16		
DRT *	DIRECT_REKEYING_TRIGGER	17		
DSMCm	DIRECT_SECURITY_MODE_COMMAND	12		
DSMCp	DIRECT_SECURITY_MODE_COMPLETE	13		
DSMRj	DIRECT_SECURITY_MODE_REJECT	14		
RUIRq	REMOTE_UE_INFO_REQUEST	18		
RUIRs	REMOTE_UE_INFO_RESPONSE	19		
* Messages defined but currently not used in the implementation				

Table 2: PC5 Signaling Messages

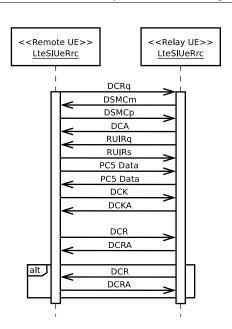


Fig. 12: One-to-one direct communication typical PC5 messages exchange

The class LteSlUeRrc uses a map of LteSlO2oCommParams objects indexed by an LteSlPc5ContextId structure to keep track of each active one-to-one direct communication link. The LteSlPc5ContextId is composed of the Layer 2 ID of the peer UE and the context ID. The context ID is a sequence number that each UE

having a Remote UE role increments when it starts a relay direct communication process. This sequence number is then sent in the DCRq to be used by the Relay UE for the LteSlPc5ContextId of the corresponding LteSlO2oCommParams object on his side.

The class LteSlo2oCommParams implements the one-to-one direct communication link state machine, the different one-to-one communication timers and counters, and also keeps the copies of certain PC5 signaling messages used for retransmission purposes. Figure *One-to-one direct communication link state machine for the Relay UE* and Figure *One-to-one direct communication link state machine for the Remote UE* depict the one-to-one direct communication link state machines depending on the UE role.

The timers involved in the one-to-one direct communication link establishment and maintenance are listed in Table *One-to-one direct communication link timers* together with their start, stop, and expiration conditions. Timers T4100, T4101, T4103, and TRUIR control the retransmission of DCRq, DCK, DCR, and RUIRq messages, respectively, bounded by the corresponding maximum number of retransmissions listed in Table *One-to-one direct communication PC5 signaling messages retransmission limits*.

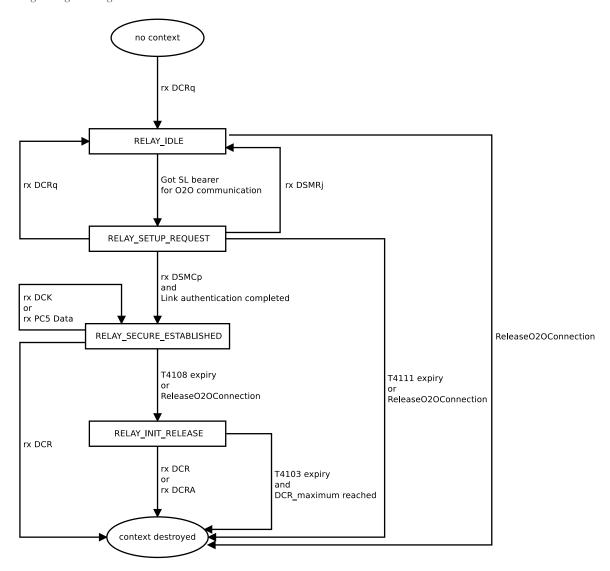


Fig. 13: One-to-one direct communication link state machine for the Relay UE

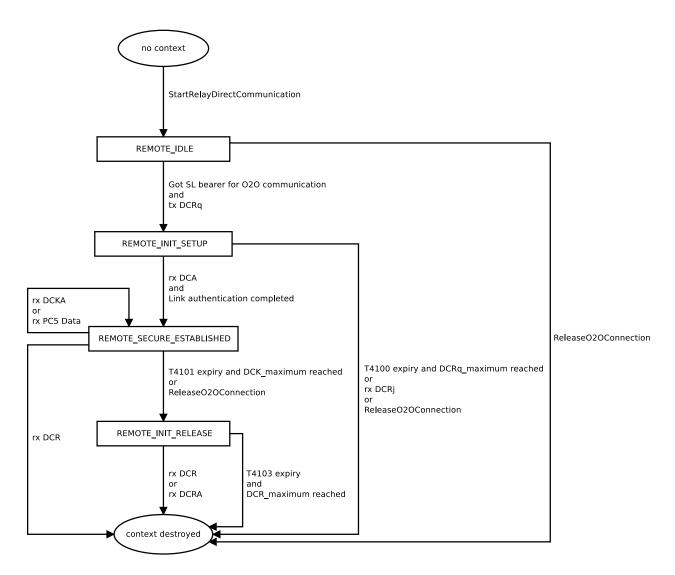


Fig. 14: One-to-one direct communication link state machine for the Remote UE

Timer	UE	Start	Stop	On Expiry	
	Role				
T4100	Remote	Upon transmitting a DCRq	Upon receiving a DCA or a DCRj	Retransmit DCRq	
T4101	Remote	Upon transmitting a DCK	Upon receiving a DCKA or PC5	Retransmit DCK	
			data		
T4102	Remote	Upon completing connection	Upon receiving a DCKA or PC5	Transmit DCK	
		and upon receiving a DCKA or	data		
		PC5 data thereafter			
T4103	Remote	Upon transmitting a DCR	Upon receiving a DCRA	Retransmit DCR	
	Relay				
T4108	Relay	Upon completing connection	Upon receiving a DCK or PC5	Initiate connection	
		and upon receiving a DCK or	data	release	
		PC5 data thereafter			
T4111	Relay	Upon transmitting a DSMCm	Upon receiving a DSMCp or	Abort connection	
			DSMRj	setup	
TRUIR	Relay	Upon transmitting a RUIRq	Upon receiving a RUIRs	Retransmit RUIRq	

Table 3: One-to-one direct communication link timers

Table 4: One-to-one direct communication PC5 signaling messages retransmission limits

Variable	UE	Description
	Role	
DCRq_maximum	Remote	Maximum number of DCRq retransmissions
DCK_maximum	Remote	Maximum number of DCK retransmissions
DCR_maximum	Remote	Maximum number of DCR retransmissions
	Relay	
RUIR_maximum	Relay	Maximum number of RUIR retransmissions

#### **UE-to-Network Relay discovery and selection**

The classes LteUeRrc and LteSlUeRrc were extended to support the creation/removal of UE-to-Network Relay services, which are identified by relay service codes, and are used for the UE-to-Network Relay discovery procedure. Both discovery models (A and B) are supported for UE-to-Network Relay discovery.

With Model A, the Relay UE periodically broadcasts PC5\_DISCOVERY messages of type *UE-to-Network Relay Discovery Announcement* to indicate its presence and includes the supported relay service code in the message. Remote UEs monitor those messages and react accordingly when they find the relay service code of interest.

With Model B, the Remote UE sends a PC5\_DISCOVERY message of type *UE-to-Network Relay Discovery Solicitation* containing the relay service code it is looking for. Relay UEs monitor those messages and verify if the relay service code in the solicitation message is in their list of provided service codes. In affirmative case, they respond by sending a message of type *UE-to-Network Relay Discovery Response*, which are monitored by the Remote UEs. In case multiple solicitations from separate Remote UEs are received, the model ensures that only one PC5\_DISCOVERY response message is sent per discovery period. Multiple responses are not needed since these messages are broadcasted.

For both discovery models, the appropriate parameters depending on the discovery message type are set in an LteSlDiscHeader object in the function TransmitRelayMessage of the LteSlUeRrc, which is then passed to lower layers for transmission using the TransmitDiscoveryMessage function in the LteUeRrc.

As in the Sidelink Direct Discovery, the relay service code and the role of the UE (Remote UE or Relay UE) are populated using the LteSidelinkHelper. When using Model A, the Relay UE sends announcements every

discovery period. When using Model B, the frequency of the solicitation messages sent by the Remote UEs can be set with the attribute LteSlUeRrc::RelaySolFreq.

When a Remote UE starts the UE-to-Network Relay service, the LteUeRrc notifies the LteUePhy to enable the Sidelink Discovery Reference Signals Received Power (SD-RSRP) measurements. Afterwards, the SD-RSRP measurement reports are received periodically by the LteUeRrc which processes them (e.g., apply L3 filtering if it is enabled) and report the valid Relay UEs together with their relay service code and the (L3 filtered) SD-RSRP to the LteSlueRrc. A Relay UE is considered valid if its (L3 filtered) SD-RSRP satisfies:

$$SD$$
- $RSRP[dBm] - qRxLevMin[dBm] > minHyst[dB]$ 

where qRxLevMin and minHyst are provided via the Sidelink preconfiguration if the Remote UE is out-of-coverage, or via the SIB19 broadcasted by the eNB if the Remote UE is in-coverage. In either case, these parameters must be configured in the simulation scenario.

Then, the LteSlUeRrc reports the list of valid Relay UEs to the LteSlUeController which executes the configured Relay UE selection algorithm and report back the selected Relay UE (if any) to the LteSlUeRrc. Depending on the result of the selection algorithm, the LteSlUeRrc determines if the Remote UE should:

- disconnect from its current Relay UE if any, e.g., if the current Relay UE is not suitable anymore, or a most suitable Relay UE was selected, and/or
- try to connect (i.e., start the one-to-one direct communication link setup) to the selected Relay UE, or
- perform no action, e.g., no suitable Relay UE is available, or the current Relay UE is still the most suitable.

An example of the overall UE-to-Network Relay selection process and the functions involved is depicted in Figure *UE-to-Network Relay selection mechanism*. and the extensions made to the other components of the model to support them are described on each corresponding section in the rest of the document.

#### Sidelink UE controller

The class LteSlUeController was created to provide a framework for the implementation of custom UE-to-Network Relay configurations and algorithms. The Sidelink UE Controller service interface is provided by two Service Access Points (SAPs):

- The LteSlUeCtrlSapProvider part is provided by the LteSlUeController and used by the LteSlUeRrc
- The LteSlUeCtrlSapUser part is provided by the LteSlUeRrc and used by the LteSlUeController

Currently, no primitives are implemented for the LteSlUeCtrlSapUser and the following functions are defined for the LteSlUeCtrlSapProvider:

- LteSlUeCtrlSapProvider::RecvRelayServiceDiscovery is used to indicate that the Remote UE received a UE-to-Network Relay Discovery Announcement or a UE-to-Network Relay Discovery Response containing a monitored relay service code.
- LteSlUeCtrlSapProvider::Pc5ConnectionStarted indicates that the one-to-one direct communication link setup procedure started. This occurs for Remote UE when it starts the relay direct communication service and it sends the first DCRq to a given Relay UE, and for the Relay UE the indication is done when it receives a DCRq from a Remote UE and process it.
- LteSlUeCtrlSapProvider::Pc5SecuredEstablished indicates that the one-to-one direct communication link setup was completed successfully by the UE. Currently, this happens when the Relay UE receives DSMCp message from the Remote UE and the link authentication was completed, and when the Remote UE receives the corresponding DCA message from the Relay UE. This function should configure the UE to be able to use the one-to-one direct communication link to send and receive data on it and towards the network, e.g., IP configuration and forwarding, traffic filtering and Sidelink bearers configuration.

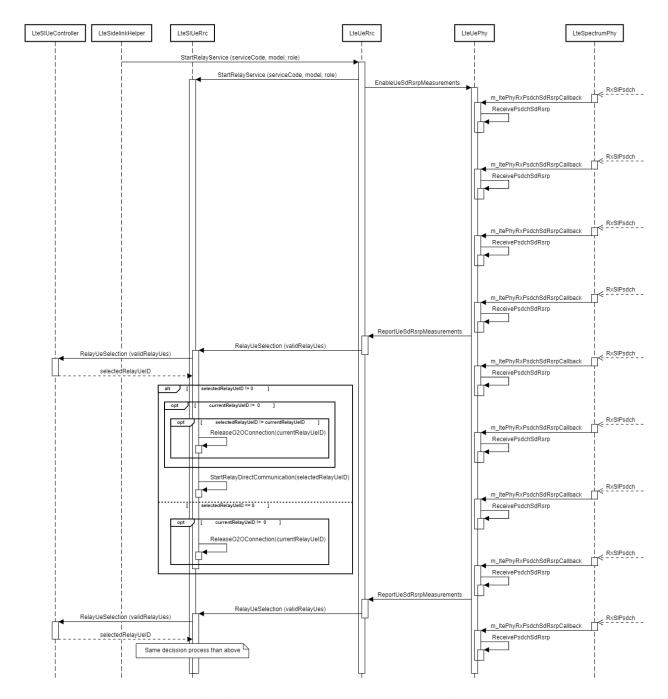


Fig. 15: UE-to-Network Relay selection mechanism.

- LteSlUeCtrlSapProvider::Pc5ConnectionTerminated indicates that the direct communication link release procedure is complete for an established one-to-one link. This happen for the UEs in both roles, when they receive a DCR, or when they already started the procedure and receive a DCRA message, or when T4103 expires and DCR\_maximum was reached. This function should implement the logic to clean up the configurations in the UE associated to the released link.
- LteSlUeCtrlSapProvider::Pc5ConnectionAborted is called by the Remote UE when the direct one-to-one direct communication link setup fails, i.e., when a DCRj message is received or when T4100 expires and DCRq\_maximum was reached. This function should implement the logic to clean up the configurations associated to the link being setup.
- LteSlUeCtrlSapProvider::RecvRemoteUeReport is used by the Relay UE upon connecting to a Remote UE to indicate that the network was informed about the Remote UE connection.
- LteSlUeCtrlSapProvider::RelayUeSelection is called during the Relay selection procedure and it is the primitive that should implement the Relay selection logic of the controller given the list of valid detected Relay UEs and their corresponding Sidelink Discovery Reference Signals Received Power (SD-RSRP). This function should return the ID of the selected Relay UE if any, or zero otherwise to indicate no suitable Relay UE is available.

A Sidelink UE controller is implemented by writing a subclass of the LteSlUeController superclass and implementing each of the above mentioned SAP interface functions. Users may develop their own controller this way, or may use the provided example implementation called LteSlBasicUeController, which is available in the model to provide the following baseline implementation of the functions mentioned above:

- LteSlBasicUeController::DoRecvRelayServiceDiscovery does not implement any logic but can be edited to store the content of the discovery messages (e.g. status indicator) and contribute to future Relay UE selection algorithms.
- LteSlBasicUeController::DoPc5ConnectionStarted does not implement any logic and is currently only used for tracing the start of the one-to-one connection setup.
- LteSlBasicUeController::DoPc5SecuredEstablished of this controller interacts with the LteUeNetDevice of the UE and the LteSidelinkHelper to create the LteSlUeNetDevice corresponding to the one-to-one direct communication link that was established. It also configures static IPv6 addresses and routing, enables the packet forwarding for the Relay UE, and configures the appropriate packet filters by creating the corresponding LteSlTft objects. Please note that this controller sets the Relay UE address as the default route for the Remote UE. Thus, all packets from the Remote UE will be sent to Relay UE instead of to the eNodeB after the connection is established.
- LteSlBasicUeController::DoPc5ConnectionTerminated of this controller reverts the configurations made during the LteSlBasicUeController::DPc5SecuredEstablished call for the link being released.
- LteSlBasicUeController::DoPc5ConnectionAborted resets the link setup process for the Remote UE.
- LteSlBasicUeController::DoRecvRemoteUeReport is used by the Relay UE to inform the network about a new Remote UE connection. This is done by calling the helper function LteSidelinkHelper::RemoteUeContextConnected with the corresponding Remote UE information.
- LteSlBasicUeController::DoRelayUeSelection currently implements the following three Relay UE selection algorithms, and the controller will use the one configured in the LteSlBasicUeController::RelayUeSelectionAlgorithm attribute.
  - RANDOM\_NO\_RESELECTION: (Default) If not connected to any Relay UE, the algorithm randomly selects a Relay UE from the list of valid Relay UEs, and then returns its ID. Otherwise, it simply returns the ID of the Relay UE to which the Remote UE is already connected.

- MAX\_SDRSRP\_NO\_RESELECTION: If not connected to any Relay UE, the algorithm selects the Relay UE with the strongest SD-RSRP from the list of valid Relay UEs, and then returns its ID. Otherwise, it simply returns the ID of the Relay UE to which the Remote UE is already connected.
- MAX\_SDRSRP: The algorithm selects the Relay UE with the strongest SD-RSRP from the list of valid Relay UEs, and then returns its ID, no matter the Remote UE current connection status.
- Additionally, two traces are provided which can be hooked up to some custom functions:
  - LteSlBasicUeController::RelayUeSelection traces each time a Remote UE selects a new Relay UE, and
  - LteSlBasicUeController::Pc5ConnectionStatus traces each status change of each one-to-one connection between a Remote UE and a Relay UE.

Note: Only IPv6 is supported when using the UE-to-Network Relay functionality

#### **PDCP**

The LtePdcp class has been extended to include ProSe source Layer 2 ID and the ProSe Layer-2 Group ID to identify the PDCP/RLC pair to be used in the receiving UE. The reason is that in Sidelink, each radio bearer is associated with one PDCP entity. And, each PDCP entity is associated to one RLC entity, since it uses RLC-UM mode for the transmission / reception [TS36323]. Thus, given the nature of Sidelink communication, i.e., one to many, it may happen that multiple UEs transmit to a single UE, assigning LCIDs independently. It may happen that two UEs select same LCID for the same group. Therefore, for a receiving UE to distinguish between two Sidelink Radio bearers (SLRBs) with the similar LCIDs requires additional identifiers. An enumeration for the Service Data Unit (SDU) type is added in the LtePdcpSapUser class to support the differentiation between distinct types of packets such as IP, ARP, PC5\_SIGNALING, and NON\_IP at the RRC layer.

#### **RLC**

Similar to the PDCP layer, the RLC layer now has ProSe source Layer 2 ID and the ProSe Layer-2 Group ID. This is achieved by extending the structure of TransmitPduParameters of LteMacSapProvider class. As mentioned earlier, only RLC-UM mode is used for Sidelink. Moreover, LteRlcUm class is also extended in accordance to the specification define in section 7.1 of [TS36322]. It says that "For RLC entity configured for Sidelink Traffic Channel (STCH), the state variables VR (UR) and VR (UH) are initially set to the SN of the first received PDU". A new attribute named "ChannelType" is introduced, which is set to type STCH for Sidelink.

#### **MAC**

Among the following eNB and UE specific enhancements for ProSe, one of the important change, irrespective of the eNB or UE implementation, is the limitation to the maximum frame number, which is now set to 1024. This is because all the computations to determine correctly the boundaries of ProSe communication and discovery periods and their resource allocation considers the maximum frame number to be 1024. This limitation also helps us to implement the rollover constraints more accurately, e.g., to have a configurable offset to be applied at the beginning of each communication and discovery periods. This change mainly impacted all the schedulers. In particular, every scheduler maintains a map of uplink resource allocation using the SFnSF (i.e., combination of frame and subframe number) as an unique key to update the PUSCH based CQIs. By limiting the frame number to 1024, means that a combination could repeat after 10 sec of simulation, thus, a c++ map would not allow to insert new allocation information with the same SFnSF combination. To handle this, we appropriately remove any old allocation information before inserting a new one.

#### **eNB MAC**

LteEnbMac has been extended to support in-coverage ProSe communication, i.e., Mode 1 resource allocation. The SAP between the LteEnbRrc and LteEnbMac is extended to receive the pool configuration to be conveyed to the scheduler to schedule the resources. If the type of resource allocation for the configured resource pool is Scheduled the eNB MAC is now capable of receiving Sidelink Buffer status Report (SLBSR) from the UEs. To achieve this, the structure MacCeListElement\_s in ff-mac-common.h file is extended to support BSR of type SLBSR. The reporting of the BSR in uplink is the same as shown in Figure Ite-design:ref:fig-ca-uplink-bsr. To handle the scheduling of Sidelink resources for MODE 1, a scheduler RrSlffMacScheduler based on the existing Round Robin implementation is also provided. Thus, making it the only scheduler, for the time being, in LTE module capable of supporting Sidelink and normal LTE resource scheduling. It also makes sure that Sidelink and the uplink resources are not scheduled in the same subframe. For Sidelink discovery, as stated before, the "Scheduled" mode is not implemented yet.

#### **UE MAC**

The LteUeMac is extended to support all the three ProSe services. The scheduling of Sidelink transmissions is executed in a new function called DoSlDelayedSubframeIndication that occurs after the downlink control messages are processed in order to know if there are any uplink transmissions scheduled in the current subframe.

The DoNotifyUlTransmission function in the UE MAC is where the PHY notifies the MAC that it has scheduled a transmission. The UE MAC now processes the discovery message's PHY PDU, the Sidelink Control Information message's PHY PDU and the data message's PHY PDU separately.

In the following we explain these extensions in context of each ProSe service.

#### Sidelink direct communication

The UE MAC is now capable of receiving the RLC buffer status notifications with extended Sidelink identifiers, i.e., layer 2 source and destination ID. A tuple SidelinkLcIdentifier of LteUeMac holds the *LCID*, *SrcL2Id* and *DstL2Id*, which is then used as a key for a c++ map storing all the buffer status notifications from RLC layer.

Before a Sidelink D2D communication transmission on the Physical Sidelink Shared Channel (PSSCH) takes place, a Sidelink grant needs to be configured. The grant contains information used to configure how, when, and where in the Sidelink resource pool transmissions will occur. This information is exchanged between communicating parties using the Sidelink Control Information Message (SCI) on the Physical Sidelink Control Channel (PSCCH).

For "Scheduled" resources, i.e. MODE 1, a UE is now capable of processing the Sidelink DCI (i.e., DCI 5 [TS36212]) message containing the resource allocation information for PSCCH and PSSCH transmissions. A UE for transmitting PSCCH uses the PSCCH resource indicated in the SL DCI from the eNB. To ensure the reliability of Sidelink Control information (SCI), each control message is transmitted twice using two different subframe, each utilizing 1 RB belonging to the configured resource block pool [TS36213]. Thus, supporting 2 PSCCH transmissions per grant. While the PSSCH (i.e. Data) transmissions are computed asper the current frame and subframe number and the information including in the SL DCI, i.e., Time Resource Pattern Index (iTrp), Starting RB index and the total number of RBs to be used. Since there are no HARQ feedbacks in Sidelink PSSCH, each transport block is transmitted using 4 HARQ transmissions (i.e. RV = 4). Hence, for each grant every transport block is transmitted using 4 subframes.

On the other hand, for the "UeSelected" resource scheduling, i.e. MODE 2, LteUeMac chooses a random PSCCH resource among the available resources and similarly transmits twice using two subframes. While for transmitting PSSCH it uses a pre-configured pool configuration to determine RBs and subframe for 4 PSSCH transmissions. The LteUeMac has been enhanced to dynamically configure the Modulation and Coding Scheme (MCS), and the number of Physical Resource Blocks (PRBs) to be used in the PSSCH of each Sidelink period. When there is data to be transmitted over the PSSCH, the transmission buffer size and the available number of transmissions per PSSCH are used to determine the Transport Block Size (TBS) required per Sidelink period. The number of transmission opportunities in

the PSSCH is configured with the KTRP value. Network operators have the option to choose a single KTRP value, or a range from the acceptable values, by setting the trpt- subset accordingly in the Sidelink pool configuration [TS36.213].

A set of configuration metrics are implemented in the LTE UE MAC class; the grant scheduling is performed following the configuration (including optimization goal when applicable) associated with the metrics to meet the required TBS.

- 1. Fixed (FIXED): Default setting. Uses grant configuration specified by user through the LteUeMac attributes: Ktrp, UseSetTrp, SetTrpIndex, SlGrantMcs, and SlGrantSize. Ktrp and SetTrpIndex are used to specify the number of active subframes and the index of the TRP respectively [TS36213]. The last two attributes are used to specify the MCS and the number of RBs (per subframe) to be used for Sidelink transmissions.
- 2. Random (RANDOM): Selects a grant configuration (MCS, PRBs, KTRP) at random. For each enabled KTRP value, keep record of all <PRBs, MCS> pairs satisfying the required TBS, choose <PRB, MCS> pair at random.
- 3. Minimum PRBs Usage (MIN\_PRB): Selects a grant configuration that utilizes the least number of PRBs per transmission. For each enabled KTRP value, find the <PRB, MCS> pair with the fewer number of PRBs satisfying the required TBS and choose the <PRB, MCS> pair with fewer PRBs.
- 4. Maximum Coverage (MAX\_COVERAGE): Selects a grant configuration that would maximize the communication range. For each enabled KTRP value, keep record of all <PRBs, MCS> pairs satisfying the required TBS. Evaluate and rank each pair with utility function D = 10 log (PRB) + SNR\_threshold (Target BLER at 4th HARQ transmission). Choose the <PRB, MCS> pair having the lowest value D.

Use the SIScheduler attribute from the UE MAC to select the scheduling grant metric (LteUeMac::FIXED is the default).

Moreover, upon the reception of a PHY PDU the LteUeMac class is now able to notify UE RRC to establish a Sidelink radio bearer for the reception.

#### Sidelink direct discovery

The list of pending discovery messages (received from RRC) to be sent are stored in the m\_discPendingTxMsgs variable. The Sidelink discovery grant related variables are stored in the DiscGrant structure. This contains the discovery message to be sent in a selected resource and a list of PSDCH transmissions within the pool (each discovery message can be retransmitted up to 3 times). The Sidelink discovery pool information is stored in the DiscPoolInfo structure. This contains a list of grants for the current discovery period and the next discovery period. These extensions help the model support the transmission of multiple discovery messages within a Sidelink period. Each discovery message occupies 2 contiguous RBs in a subframe belonging to the assigned pool.

In reception, the discovery messages are passed to the RRC layer, where they are appropriately processed.

#### Sidelink synchronization

For the Sidelink synchronization, LteUeMac receives notification from LteUePhy upon change of time, i.e, change of frame and subframe number when a new SyncRef is selected.

#### **PHY**

#### **eNB PHY**

The LTE D2D module of *ns-3* at the time of writing this documentation does not support Radio Link Failure (RLF) functionality. Therefore, to simulate out-of-coverage scenarios a workaround has been implemented to disable eNB PHY layer. An eNB can be disable by configuring the attribute "DisableEnbPhy" of LteHelper. Additionally, new conditions have been implemented to disregard control messages such as Sidelink Control Information SCI, Master

Information Block for Sidelink MIB\_SL, and Sidelink Discovery Message SL\_DISC\_MSG as these do not pertain to uplink control messages to the eNB.

#### **UE PHY**

As shown in Figure *PHY* and channel model architecture for the *UE*, to receive the Sidelink transmission in the uplink channel the LteUePhy includes an additional LteSpectrumPhy instance. In particular, the function DoConfigureUplink, which is called by LteUeRrc is responsible of adding this new instance to the channel. The LteUePhy class has new functions PhyPscchPduReceived, PhyPsdchPduReceived, and PhyPsbchPduReceived to respectively receive control, discovery, and broadcast PHY PDU respectively. Similarly, LteSpectrumPhy is extended with new function RxSIPscch, RxSIPsdch, and RxSIPsbch (and corresponding callbacks for interconnecting PHY and MAC) to accomplish reception of control, discovery, and broadcast messages respectively. It also has the function StartRandomInitialSubframeIndication for triggering the SubframeIndication method by randomly choosing the frame and subframe number. Additionally, the function SetDownlinkCqiPeriodicity sets the periodicty for the downlink periodic wideband (P10) and aperiodic subband (A30) CQI reporting. The function InitializeDiscRxPool is used to initialize the discovery reception pool taking in appropriate frame number and subframe number as parameters.

To differentiate between sending uplink and Sidelink MAC PDUs, separate functions DoSendMacPdu and DoSend-SlMacPdu respectively have been used. To aid in packet burst transfer, the function GetSlPhyParameters has been created to get the transmission parameters for the given packet burst. Occasionally, the UE PHY informs the MAC through the NotifyUlTransmission function that other transmissions with higher priority needs to occur and that the MAC should not schedule any Sidelink transmissions.

The LteUePhy class is extensively edited to support all the three ProSe services.

#### Sidelink direct communication

The LteUePhy is now capable of receiving and transmitting Sidelink control messages. In particular, DCI format 5, and SCI format 0 is now supported [TS36212]. The UE PHY receives the information related to the Sidelink communication pool from the UE RRC in order to compute the boundaries of an SC period to correctly map the frame/subframe and the RBs associated with the PSCCH and PSSCH. At the beginning of each subframe, it checks if the Sidelink transmission pool is added, it make sure to initialize it in order to compute exactly the frame and subframe number of the next SC period. This is needed to remove the Sidelink transmission grant associated to the previous SC period, since a grant is valid only for one SC period.

#### Sidelink direct discovery

For the discovery, the LteUePhy now also supports the transmission and reception of the discovery messages. A UE upon the reception of a discovery message, simply passes it to the MAC layer, which in turn delivers it to the RRC for further filtering.

The LteUePhy was also extended to support the measurements storage, layer-1 filtering, and report to upper layers of the Sidelink Discovery Reference Signals Received Power (SD-RSRP), which is used for UE-to-Network Relay (re)selection in the model. When a Remote UE starts the UE-to-Network Relay service, the LteUeRrc notifies the LteUePhy to enable the SD-RSRP measurements. The LteUePhy then calculates the SD-RSRP of each received discovery message and stores them indexed by Relay UE ID and Service Code in the function ReceivePsdchSdRsrp. The SD-RSRP measurements are reported to the LteUeRrc periodically. A layer-1 filtering measurement period is defined as 4 discovery periods. Given that the length of the discovery period is configurable, the function GetUeSdRsrpMeasurementFilterPeriod calculates the layer-1 filtering measurement period, and the reporting function ReportUeSdRsrpMeasurements is scheduled to be executed with this periodicity. This function averages the SD-RSRP of each Relay ID and Service Code that were stored during a layer-1 measurement period, and then reports these quantities to the LteUeRrc. The trace

LteUePhy::ReportUeSdRsrpMeasurements was also added, which can be hooked up with a custom function to monitor the SD-RSRP measurements values.

#### Sidelink synchronization

The LteUePhy is extended to support Sidelink synchronization functionality. In particular, it supports:

- · Transmission and reception of SLSS
- · Scheduling of SLSS scanning periods
- Measuring S-RSRP and reporting it to the RRC layer
- Synchronizing to the chosen SyncRef
- · Performing change of timing
- · Scheduling of the SyncRef re-selection process

In the current implementation, all the UEs by default are perfectly synchronized, i.e., all the UEs in a simulation upon being initialized pick the same frame and subframe 1 to start with. Therefore, to simulate synchronization and to make every UE to pick a random frame and subframe number a new attribute "UeRandomInitialSubframeIndication" is introduced. We note that, in our model a UE being synchronized to a SyncRef refers to a state, where both the UEs have similar SLSS-ID and are aligned on frame and subframe level. The SLSS is represented by MIB-SL, which is modeled as a broadcast message. MIB-SL is implemented as per the standard, [TS36331], with additional metadata fields: SLSSID, MIB-SL creation time, MIB-SL reception time and the reception offset. The S-RSRP of the SLSS received by a UE is computed as explained in the section lte-design:ref:sec-phy-ue-measurements. Only those SLSS are considered detected, which have the S-RSRP greater than the configured minimum S-RSRP and for which MIB-SL has been decoded successfully. The minimum S-RSRP is configured through the attribute "MinSrsrp". The modeled synchronization process can be categorized by three sequential processes:

- 1. Scanning
- 2. Measurement
- 3. Evaluation

To tailor these processes, the following new attributes have been introduced in the LteUePhy class.

- · UeSlssScanningPeriod
- UeSlssInterScanningPeriodMin
- UeSlssInterScanningPeriodMax
- · UeSlssMeasurementPeriod
- · UeSlssEvaluationPeriod
- NSamplesSrsrpMeas

For more information about these attributes the reader is referred to the API of LteUePhy class. After the scanning process, only 6 detected SyncRefs with the highest S-RSRP are measured during the measurement period. Moreover, if a UE receives multiple SLSS from different UEs but have the same SLSS-ID and the reception offset, they are considered as different S-RSRP samples of the same SyncRef. The start of the measurement and evaluation processes are subject to the detection of at least 1 SynchRef during the scanning process. At least one SyncRef selection process within 20 seconds is scheduled as per the standard [TS36331]. The MIB-SL is transmitted with a fixed periodicity of 40 ms [TS36331]. The LteUeRrc class is responsible of scheduling and delivering the MIB-SL to LteUePhy class. Once a suitable SyncRef is selected, the change of timing is performed upon subframe indication, i.e., before the next subframe to avoid any miss alignments. We also note that the transmission of a MIB-SL has priority over the transmission of SCI, if they are scheduled in the same subframe. On the other hand, an MIB-SL can not be transmitted if a SyncRef scanning or measurement process is in progress. The LteUeAcc and LteUeRrc class are

notified about the successful change of timings using the existing SAP interfaces, i.e., LteUeCphySapUser and LteUePhySapUser respectively.

#### LteSpectrumPhy

As mentioned before, the LteUePhy class includes an additional LteSpectrumPhy instance to receive Sidelink transmissions from other UEs. Therefore, new functions are implemented in LteSpectrumPhy class to relay the received Sidelink transmission to the LteUePhy class. Those functions are RxSIPscch, RxSIPsdch, and RxSIPsbch (and corresponding callbacks for interconnecting PHY and MAC) to accomplish reception of control, discovery, and broadcast messages, respectively. To model the half duplex for Sidelink, since it is difficult for the UE to receive and transmit at the same time using the same frequency, a new attribute "CtrlFullDuplexEnabled" is introduced. This attribute is "false" by default, thus, all the Sidelink simulations are performed using half duplex mode.

To notify LteUePhy about the reception of SLSS a new function SetLtePhyRxSlssCallback was implemented, which is hooked to the ReceiveSlss function of LteUePhy through a callback, while installing UE devices in the LteHelper class. Similarly, the function SetLtePhyRxPsdchSdRsrpCallback was implemented to set the callback that hooks to the ReceivePsdchSdRsrp function of LteUePhy, which is used to measure the Sidelink Discovery Reference Signals Received Power (SD-RSRP) of each received discovery message. For other Sidelink signals, i.e., control and data the already implemented callbacks are utilized.

The LteSpectrumPhy class is still responsible for evaluating the TB BLER, however, with the introduction of new physical Sidelink channels, i.e. PSCCH, PSSCH, PSDCH, and PSBCH, a new physical error model LteNistErrorModel is implemented. This error model uses the BLER vs SNR curves for LSM from [NISTBLERD2D], for all the Sidelink physical channels. In particular, these curves are obtained by extending the LTE toolbox in Matlab and performing Monte Carlo simulations by considering AWGN channel and SISO mode for transmission. In the following, we plot these curves for each Sidelink physical channel.

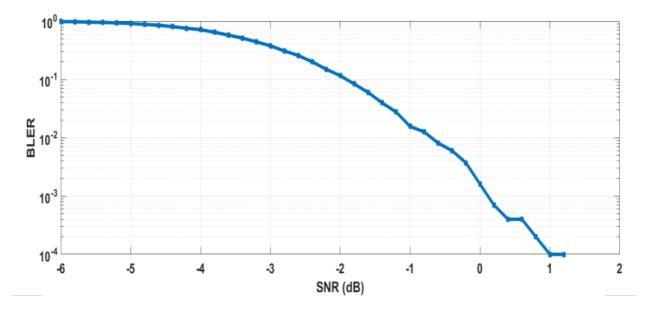


Fig. 16: BLER vs SNR of PSCCH (First transmission)

Different from the already existing error models, e.g., LteMiErrorModel, which uses the Mutual Information Based Effective SINR (MIESM) technique for soft combining process lte-design:ref:sec-data-phy-error-model, the LteNistErrorModel uses a weighted averaging algorithm explained in [NISTBLERD2D] for this purpose. Therefore, a new class LteSlHarq is implemented to store the SINR values of each Sidelink transmission that is used for soft combining process of the retransmission. It is to be noted that, as per the standard, no HARQ feedback is available for any Sidelink physical channels. Moreover, to handle interference in D2D scenarios, since all the Sidelink

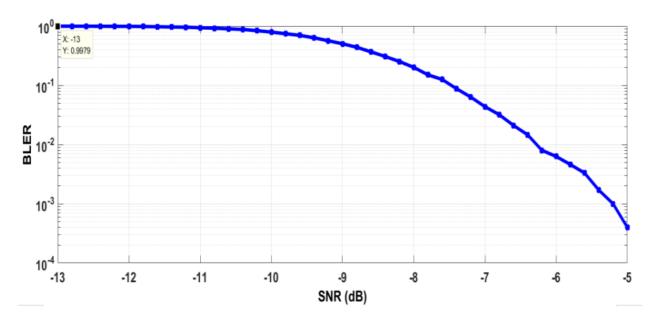


Fig. 17: BLER vs SNR of PSBCH

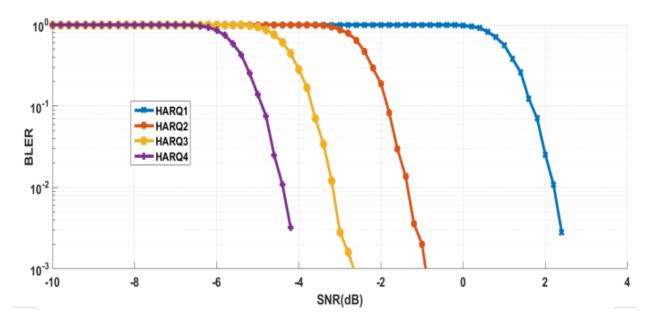


Fig. 18: BLER vs SNR of PSDCH

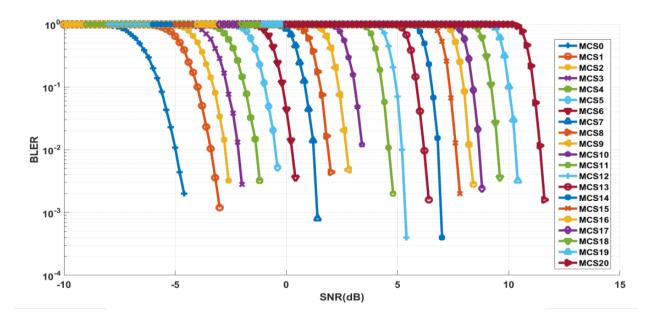


Fig. 19: BLER vs SNR of PSSCH (HARQ 1)

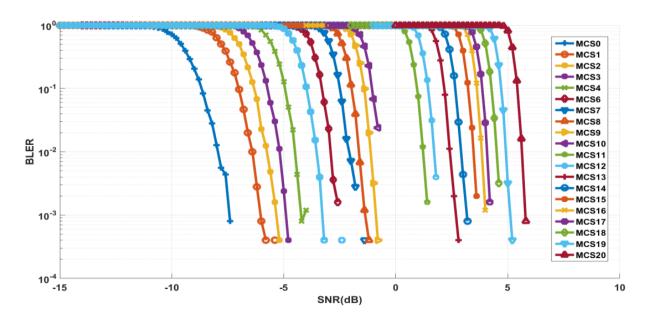


Fig. 20: BLER vs SNR of PSSCH (HARQ 2)

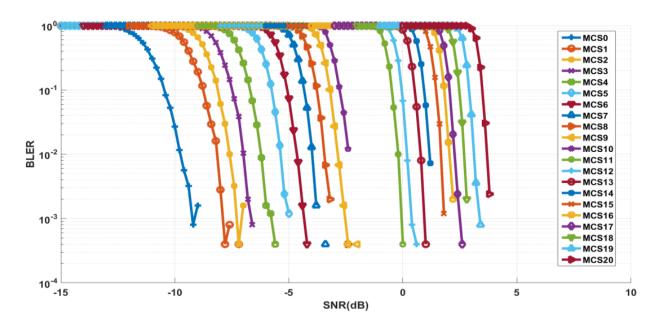


Fig. 21: BLER vs SNR of PSSCH (HARQ 3)

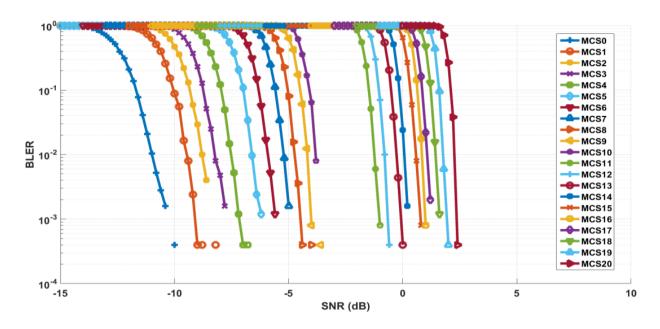


Fig. 22: BLER vs SNR of PSSCH (HARQ 4)

physical channels employ broadcast solution, a new interference model is implemented. This is needed because unlike LTE implementation in which unwanted signals are filtered on the basis of a cell id embedded in transmitted/received LteSpectrumSignalParameters, for D2D scenarios two signals received at the same time could be intended for a single UE. This overlapping of signal could occur in out-of-coverage scenarios, where two UEs pick an identical resource to transmit. To tackle such conditions two new classes, LteSlInterference and LteSlChunkProcessor are implemented.

The LteSpectrumPhy class, at the time of reception maintains a vector to store the information about the received signal(s). These signals including the overlapping ones (i.e., they occur at the same time and have equal duration) are passed to LteSlInterference class, which maintains the indexing of each signal by storing them into a vector. Once the signal(s) duration is elapsed, a call to ConditionallyEvaluateChunk function is invoked. This function iterates over this vector and computes the perceived SINR, interference, and the power of each signal, which are then passed to the respective LteSlChunkProcessor instance along with their signal id. Similarly, each LteSlChunkProcessor instance maintain its assigned values by storing them in a vector as per the signal id. For example, an LteSlChunkProcessor initialized to store the computed SINR values will maintain a vector containing the SINR of each overlapping signal as per their signal id. In the end, each vector is passed to the respective function, which are hooked through a callback in LteHelper class at the time of installing the UE device. For instance, the UpdateSlSinrPerceived function of LteSpectrumPhy receive the vector of perceived SINR values.

Based on the BLER computation in LteSpectrumPhy, the messages received on Sidelink physical channels can be divided into three types.

- 1. The messages whose BLER computation is performed without HARQ, e.g., SCI and MIB-SL
- The messages whose BLER computation is performed with HARQ and follow the control information, e.g., Sidelink data
- 3. The messages whose BLER computation is performed with HARQ but do not have the control information, e.g., Discovery messages

For first type of messages, we compute the average SINR per RB for each received TB and sort them in the descending order of the SINR. Then, we try to decode them by computing the BLER with the help of LteNistErrorModel. For the second type of signals, we have the transport block information available in m\_expectedSlTbs given by LteUePhy class. With this information, we perform a TB to SINR index mapping and retrieve the SINR of the expected TB from the perceived SINR vector. Then, this SINR and the HARQ info (if it is the retransmission) along with other parameters are used to compute the BLER of this message. Finally, the third type of messages, similar to the first type, are decoded by first storing them in desending order of the SINR, since we do not have the prior information about the TB. The only difference, is that the discovery messages can be retransmitted up to 3 times, therefore, we maintain the HARQ history of each transmission and use it along with other parameters for computing the BLER. We also note that for type 1 and type 3 messages, if the received TBs collide, i.e., they use the same RBs, the UE will try to decode the sorted TBs one at a time, and if any of the TB is decoded the remaining TB(s) are marked corrupted, thus, are not received by the UE. Alternatively, by setting the DropRbOnCollisionEnabled attribute all the colliding TBs can be dropped irrespective of their perceived SINR.

# 2.2.3 Frequency Hopping

The D2D model also supports the frequency hopping on Sidelink PSSCH for the "UeSelected" resource scheduling, i.e., MODE 2. At the time of writing this documentation, only the *inter-subframe* hopping mode, with constant (i.e., Type 1) and pseudo-random (Type 2) is supported.

Note: The documentation for this section will be extended in the later release of the D2D code. Users, interested to gain further information are referred to [NISTFREQHOPP]

# 2.2.4 Helpers

Four helper objects are used to setup simulations and configure the various components. These objects are:

- LteHelper, which takes care of the configuration of the LTE/Sidelink radio access network, as well as of coordinating the setup and release of EPS and Sidelink radio bearers and start/stop ProSe discovery. The LteHelper class provides both the API definition and its implementation.
- EpcHelper, which takes care of the configuration of the Evolved Packet Core. The EpcHelper class is an abstract base class, which only provides the API definition; the implementation is delegated to the child classes in order to allow for different EPC network models.
- CcHelper, which takes care of the configuration of the LteEnbComponentCarrierMap, basically, it creates a user specified number of LteEnbComponentCarrier. LteUeComponentCarrierMap is currently created starting from the LteEnbComponentCarrierMap. LteHelper:InstallSingleUeDevice, in this implementation, is needed to invoke after the LteHelper:InstallSingleEnbDevice to ensure that the LteEnbComponentCarrierMap is properly initialized.
- LteSidelinkHelper, this helper class is provided to ease the burden of a user to configure public safety scenarios involving Sidelink. In particular, it uses other helper classes, e.g., LteHelper to activate/deactivate Sidelink bearers, create groups for Sidelink broadcast or groupcast communication and Lte3gppHexGridEnbTopologyHelper to associate UEs to a Sidelink group or an eNB using wrap around method.

#### Note: Wrap-around functionality is not fully supported yet.

It is possible to create a simple LTE-only simulations by using the LteHelper alone, or to create complete LTE-EPC simulations by using both LteHelper and EpcHelper. When both helpers are used, they interact in a master-slave fashion, with the LteHelper being the Master that interacts directly with the user program, and the EpcHelper working "under the hood" to configure the EPC upon explicit methods called by the LteHelper. The exact interactions are displayed in the Figure lte-design:ref:fig-helpers.

# 2.3 User Documentation

# 2.3.1 Sidelink simulation output

Similarly, for the Sidelink the LTE model currently supports the output to file of PHY, MAC and RRC level KPIs. You can enable them in the following way:

```
Ptr<LteHelper> lteHelper = CreateObject<LteHelper> ();

// configure all the simulation scenario here...

//Transmission traces
lteHelper->EnableSlPscchMacTraces ();
lteHelper->EnableSlPsschMacTraces ();
lteHelper->EnableSlPsdchMacTraces ();

//Reception traces
lteHelper->EnableSlRxPhyTraces ();
lteHelper->EnableSlPscchRxPhyTraces ();
lteHelper->EnableSlPscchRxPhyTraces ();
lteHelper->EnableDiscoveryMonitoringRrcTraces ();
Simulator::Run ();
```

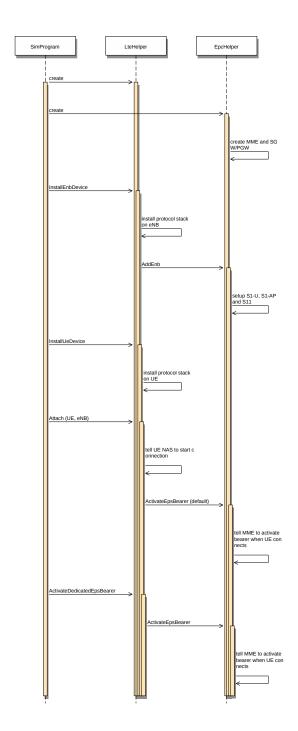


Fig. 23: Sequence diagram of the interaction between LteHelper and EpcHelper.

The Sidelink PSCCH and PSSCH MAC level KPIs are gathered at UE MAC and are written into two files, first one for PSCCH and the second for PSSCH. These KPIs are basically the trace of resource allocation for the Sidelink control and data transmissions for every SC period in MODE 1 and MODE 2. For PSCCH KPIs the format is the following:

- 1. Simulation time in milliseconds
- 2. Cell ID
- 3. unique UE ID (IMSI)
- 4. Sidelink-specific UE ID (RNTI)
- 5. Current frame number
- 6. Current subframe number
- 7. Sidelink Control (SC) period starting frame number
- 8. Sidelink Control (SC) period starting subframe number
- 9. PSCCH resource index
- 10. Transport block size (PSCCH)
- 11. Total number of RBs allocated for PSCCH
- 12. Index of the first RB used for PSCCH
- 13. Hopping flag
- 14. Hopping value (value of 255 is assigned when hopping is not enabled)
- 15. Total number of RBs allocated for PSSCH
- 16. Index of the first RB used for PSSCH
- 17. Time Resource Pattern Index (iTRP) used for PSSCH
- 18. MCS
- 19. Layer 1 group destination id (8 LSBs of the L2 group destination id at UE MAC)
- 20. Drop flag (dropped = 1: not dropped = 0)

while for PSSCH MAC KPIs the format is:

- 1. Simulation time in milliseconds
- 2. Cell ID
- 3. unique UE ID (IMSI)
- 4. Sidelink-specific UE ID (RNTI)
- 5. Current frame number
- 6. Current subframe number
- 7. Frame number at which the SC period starts
- 8. Subframe number at which the SC period starts
- 9. Total number of RBs allocated for PSSCH
- 10. Index of the first RB used for PSSCH
- 11. MCS
- 12. Transport block size
- 13. Redundancy version

14. Drop flag (dropped = 1: not dropped = 0)

Similarly, the file containing the PSDCH MAC KPIs have the following content:

- 1. Simulation time in milliseconds
- 2. Cell ID
- 3. unique UE ID (IMSI)
- 4. Sidelink-specific UE ID (RNTI)
- 5. Current frame number
- 6. Current subframe number
- 7. Frame number at which the discovery period starts
- 8. Subframe number at which the discovery period starts
- 9. PSDCH resource index
- 10. Total number of RBs allocated for PSSCH
- 11. Index of the first RB used for PSSCH
- 12. MCS
- 13. Transport block size
- 14. Redundancy version
- 15. Discovery message type
- 16. Discovery content type
- 17. Discovery model
- 18. Content. The information in this field vary depending on the type of UE:
  - Normal UE: Application code
  - Relay UE: Relay Service Code; Announcer info; Relay UE Id; Status indicator; Spare
- 19. Drop flag (dropped = 1: not dropped = 0)

The names of the files used for Sidelink MAC KPI output can be customized via the ns-3 attributes:

- ns3::MacStatsCalculator::SlCchOutputFilename
- ns3::MacStatsCalculator::SlSchOutputFilename
- ns3::MacStatsCalculator::SlDchOutputFilename

Sidelink PHY KPIs are distributed in two different files whose names are configurable through the following attributes:

- ns3::PhyRxStatsCalculator::SlCchRxOutputFilename
- ns3::PhyRxStatsCalculator::SlRxOutputFilename

In the SIPscchRx file, the following content is available:

- 1. Simulation time in milliseconds
- 2. Cell ID (Fixed to 0 for Sidelink)
- 3. Unique UE ID (IMSI)
- 4. Sidelink-specific UE ID (RNTI) Note: It is the RNTI of transmitting UE
- 5. PSCCH resource index

- 6. Transport block size (PSCCH) in bytes
- 7. Hopping flag
- 8. Hopping value (value of 255 is assigned when hopping is not enabled)
- 9. Total number of RBs for PSSCH
- 10. Index of the first RB used for PSSCH
- 11. Time Resource Pattern Index (iTRP) used for PSSCH
- 12. MCS
- 13. Layer 1 group destination id (8 LSBs of the L2 group destination id at UE MAC)
- 14. Correctness in the reception of the TB (correct = 1: error = 0)

The parameters of SIRx file, which stores the PSSCH, PSDCH and PSBCH PHY reception results are:

- 1. Simulation time in milliseconds
- 2. Cell ID (Fixed to 0 for Sidelink)
- 3. Unique UE ID (IMSI)
- 4. Sidelink-specific UE ID (RNTI) Note: It is the RNTI of transmitting UE
- 5. Layer of transmission
- 6. MCS
- 7. Transport block size in bytes
- 8. Redundancy version
- 9. New Data Indicator flag
- 10. Correctness in the reception of the TB (correct = 1: error = 0)
- 11. Average perceived SINR per RB in linear units

# Note: At the time of writing this documentation, the RNTI for the PSBCH signals is not reported, thus, is set to zero.

And Finally, the name of the file, which stores the RRC level KPIs for PSDCH monitoring is configurable through the attribute ns3::RrcStatsCalculator::SlDchRxRrcOutputFilename. The content of this file is the following:

- 1. Simulation time in milliseconds
- 2. Cell ID
- 3. unique UE ID (IMSI)
- 4. Sidelink-specific UE ID (RNTI)
- 5. Discovery message type
- 6. Discovery content type
- 7. Discovery model
- 8. Content. The information in this field vary depending on the type of UE:
  - Normal UE: Application code
  - Relay UE: Relay Service Code; Announcer info; Relay UE Id; Status indicator; Spare

# 2.3.2 LTE Sidelink PHY Error Model

The Sidelink physical error model consists of the data, control, and the discovery error model. All of them are active by default. It is possible to deactivate them with the ns3 attribute system, in detail:

Besides the error models, one more attribute, i.e., "DropRbOnCollisionEnabled" is also introduced only for the Sidelink transmissions. This is implemented by keeping in mind the scenarios in which the resources are autonomously scheduled by a UE, which increases the probability of two UEs choosing the same RBs to transmit. Therefore, causing a collision between the TBs. By using this attribute, a user can choose to drop such collided TBs. It can be configured as follows:

#### 2.3.3 LTE Sidelink

A new directory "d2d-examples" in the LTE module examples directory holds the examples for simulating ProSe services, i.e., direct communication, direct discovery, synchronization, and UE-to-Network Relay. These examples can be divided into the following two categories:

1. Simple examples

These examples cover the different ProSe services using simple scenarios. The examples included in this category are the following:

- Direct communication:
  - lte-sl-in-covrg-comm-mode1
  - lte-sl-in-covrg-comm-mode2
  - lte-sl-out-of-covrg-comm
- Direct discovery:
  - lte-sl-in-covrg-discovery
  - lte-sl-in-covrg-discovery-multi
  - lte-sl-in-covrg-discovery-collision
  - lte-sl-out-of-covrg-discovery-collision
- Synchronization:
  - lte-sl-out-of-covrg-synch
  - lte-sl-out-of-covrg-synch-twotx
- UE-to-Network Relay:
  - lte-sl-in-covrg-relay
  - lte-sl-in-covrg-relay-building
  - lte-sl-relay-cluster

- lte-sl-relay-cluster-selection
- Multicell scenarios:
  - lte-sl-in-covrg-comm-mode1-multicell
  - lte-sl-in-covrg-comm-mode2-multicell
  - lte-sl-in-covrg-discovery-multicell
  - lte-sl-in-covrg-relay-mode2-multicell

#### 2. Detailed examples

These examples can be used to simulate out-of-coverage ProSe communication, discovery and synchronization. In particular, these examples covers the simulation scenarios used for the study published in [NIST2017]. The users interested in the extensive simulation campaigns described in this study, are referred to a "README.txt" file for more information. The examples included in this category are:

- wns3-2017-pscch
- wns3-2017-pssch
- · wns3-2017-synch
- wns3-2017-discovery

The Sidelink example scripts follow the same rules as writing an LTE simulation script, however, there are additional configurations required to simulate Sidelink. Following are some rules of thumb for writing Sidelink scripts;

- Sidelink simulations require EPC.
- eNB should be disabled to simulate out-of-coverage scenarios.
- Sidelink pools should be configured before the start of the simulation.
- Lte3gppHexGridEnbTopologyHelper cannot be use without initializing eNB nodes and appropriate antenna model. \* It is possible to avoid the initialization of eNB nodes in out-of-coverage scenarios, if hexagonal ring topology is not used.

In general, all the D2D examples are highly parameterizable and could be divided in the following parts:

- 1. Configuration of LTE and Sidelink default parameters, e.g., the ones configured by calling Config::SetDefault function
- 2. Topology configuration
- 3. Sidelink pool configuration
- 4. IP configuration (if any)
- 5. Application configuration (if any)
- 6. Sidelink bearer configuration (if any)
- 7. Service configuration (if any)

In the following, we will discuss four examples from the "Simple examples" category (i.e., lte-sl-in-covrg-commmode1, lte-sl-in-covrg-comm-mode2, lte-sl-out-of-covrg-comm, and lte-sl-in-covrg-relay) and two examples from the "Detailed examples" category, which are wns3-2017-synch and wns3-2017-discovery. We choose wns3-2017-synch, since it also simulates the PSCCH and PSSCH.

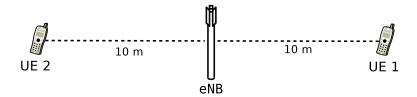


Fig. 24: Sidelink simple in-coverage scenario

#### Ite-sl-in-covrg-comm-mode1

This example simulates an in-coverage MODE 1 ProSe communication by using the following scenario.

Both the UEs are in-coverage of the eNB where UE1 sends the data to UE2 via Sidelink by using the resources assigned by the eNB. A user can configure the simulation time and the output of NS logs of the specified classes by using the corresponding command line variables in the simulation script. For example, a user can run the simulation as follows:

```
./waf --run "lte-sl-in-covrg-comm-model --simTime=7 --enableNsLogs=false"
```

The simulation time is in seconds. Moreover, this example can support IPv6 instead of the default IPv4 if the --useIPv6 command-line argument is provided.

## Configuration of LTE and Sidelink default parameters

The simulation script begins with the configuration of the parameters of the Sidelink scheduler as follows.

• Configure the Sidelink scheduler:

```
Config::SetDefault ("ns3::RrSlFfMacScheduler::Itrp", UintegerValue (0));
Config::SetDefault ("ns3::RrSlFfMacScheduler::SlGrantSize", UintegerValue (5));
```

The ns3::RrSlFfMacScheduler is a very simple round robin scheduler, which uses a fixed TRP index value and number of RBs to be allocated to a UE.

• Configure the frequency and the bandwidth:

```
Config::SetDefault ("ns3::LteEnbNetDevice::DlEarfcn", UintegerValue (100));
Config::SetDefault ("ns3::LteUeNetDevice::DlEarfcn", UintegerValue (100));
Config::SetDefault ("ns3::LteEnbNetDevice::UlEarfcn", UintegerValue (18100));
Config::SetDefault ("ns3::LteEnbNetDevice::DlBandwidth", UintegerValue (50));
Config::SetDefault ("ns3::LteEnbNetDevice::UlBandwidth", UintegerValue (50));
```

We use the LTE Band 1 for both LTE and Sidelink communication.

• Configure the error models:

```
Config::SetDefault ("ns3::LteSpectrumPhy::DropRbOnCollisionEnabled",
BooleanValue (false));
```

• Configure the transmit power of the eNB and the UEs:

```
Config::SetDefault ("ns3::LteUePhy::TxPower", DoubleValue (23.0));
Config::SetDefault ("ns3::LteEnbPhy::TxPower", DoubleValue (30.0));
```

The powers configured are in dBm.

## **Topology configuration**

• Instantiating LTE, EPC and Sidelink helpers :

```
Ptr<LteHelper> lteHelper = CreateObject<LteHelper> ();
Ptr<PointToPointEpcHelper> epcHelper = CreateObject<PointToPointEpcHelper> ();
lteHelper->SetEpcHelper (epcHelper);

Ptr<LteSidelinkHelper> proseHelper = CreateObject<LteSidelinkHelper> ();
proseHelper->SetLteHelper (lteHelper);
```

• Configuring the pathloss model:

• Enabling the Sidelink:

```
lteHelper->SetAttribute ("UseSidelink", BooleanValue (true));
```

#### Note: Attribute "UseSidelink" must be set before installing the UE devices.

• Configuring the scheduler:

```
lteHelper->SetSchedulerType ("ns3::RrSlFfMacScheduler");
```

• Creating the eNB and UE nodes and setting their mobility:

```
mobilityeNodeB.SetMobilityModel ("ns3::ConstantPositionMobilityModel");
mobilityeNodeB.SetPositionAllocator (positionAllocEnb);
mobilityeNodeB.Install (enbNode);

MobilityHelper mobilityUel;
mobilityUe1.SetMobilityModel ("ns3::ConstantPositionMobilityModel");
mobilityUe1.SetPositionAllocator (positionAllocUe1);
mobilityUe1.Install (ueNodes.Get (0));

MobilityHelper mobilityUe2;
mobilityUe2.SetMobilityModel ("ns3::ConstantPositionMobilityModel");
mobilityUe2.SetPositionAllocator (positionAllocUe2);
mobilityUe2.Install (ueNodes.Get (1));
```

• Installing LTE devices to the nodes:

```
NetDeviceContainer enbDevs = lteHelper->InstallEnbDevice (enbNode);
NetDeviceContainer ueDevs = lteHelper->InstallUeDevice (ueNodes);
```

#### Sidelink pool configuration

This example simulates an in-coverage scenario, therefore, the eNB will be configured with a pre-configured dedicated Sidelink pool. As mentioned in *RRC*, in this scenario the LteSlEnbRrc class will be responsible for holding this per-configured pool configuration. The pool configuration starts by setting a flag in LteSlEnbRrc as an indication that the Sidelink is enabled. It is configured as follows:

```
Ptr<LteSlEnbRrc> enbSidelinkConfiguration = CreateObject<LteSlEnbRrc> ();
enbSidelinkConfiguration->SetSlEnabled (true);
```

For configuring Sidelink parameters, the "setup" structure of the field "commTxResources-r12" of IE "SL-Preconfiguration" defined in the standard [TS36331] is converted into a C++ structure. This example uses this structure to configure the pool parameters for Sidelink control. The pool configuration is done by using the LteSlPreconfigPoolFactory in the following manner,

```
LteRrcSap::SlCommTxResourcesSetup pool;
pool.setup = LteRrcSap::SlCommTxResourcesSetup::SCHEDULED;
//BSR timers
pool.scheduled.macMainConfig.periodicBsrTimer.period =
                                           LteRrcSap::PeriodicBsrTimer::sf16;
pool.scheduled.macMainConfig.retxBsrTimer.period = LteRrcSap::RetxBsrTimer::sf640;
//MCS
pool.scheduled.haveMcs = true;
pool.scheduled.mcs = 16;
//resource pool
LteSlResourcePoolFactory pfactory;
pfactory.SetHaveUeSelectedResourceConfig (false); //since we want eNB to schedule
pfactory.SetControlPeriod("sf40");
pfactory.SetControlBitmap(0x0000000FF); //8 subframes for PSCCH
pfactory.SetControlOffset(0);
pfactory.SetControlPrbNum(22);
pfactory.SetControlPrbStart(0);
```

```
pfactory.SetControlPrbEnd(49);
pool.scheduled.commTxConfig = pfactory.CreatePool ();
uint32_t groupL2Address = 255;
enbSidelinkConfiguration->AddPreconfiguredDedicatedPool (groupL2Address, pool);
lteHelper->InstallSidelinkConfiguration (enbDevs, enbSidelinkConfiguration);
```

The resources for data are computed by the scheduler on the basis of the scheduler's attributes configured in the start of this simulation script and the above pool configuration.

Similarly, for the UEs we need to enable the Sidelink in LteSlUeRrc by setting a flag and a preconfiguration object, which will be initialized with the pool configurations once the UE receives an RrcConnectionReconfiguration message from the eNB, as shown in Figures ns-3 LTE Sidelink in-coverage radio bearer activation (Tx) and ns-3 LTE Sidelink in-coverage radio bearer activation (Rx):

```
//pre-configuration for the UEs
Ptr<LteSlUeRrc> ueSidelinkConfiguration = CreateObject<LteSlUeRrc> ();
ueSidelinkConfiguration->SetSlEnabled (true);
LteRrcSap::SlPreconfiguration preconfiguration;
ueSidelinkConfiguration->SetSlPreconfiguration (preconfiguration);
lteHelper->InstallSidelinkConfiguration (ueDevs, ueSidelinkConfiguration);
```

#### **IP** configuration

• Installing the IP stack on the UEs and assigning IP address:

The above configuration is similar to any usual LTE example with EPC.

• Attaching the UEs to the eNB:

```
lteHelper->Attach (ueDevs);
```

#### **Application configuration**

• Installing applications and activating Sidelink radio bearers. Note that two configuration options (IPv4 and IPv6) are supported; we only document below the IPv4 path through the code:

```
Ipv4Address groupAddress4 ("225.0.0.0"); //use multicast address as destination
...
remoteAddress = InetSocketAddress (groupAddress4, 8000);
localAddress = InetSocketAddress (Ipv4Address::GetAny (), 8000);
...
OnOffHelper sidelinkClient("ns3::UdpSocketFactory", remoteAddress);
sidelinkClient.SetConstantRate (DataRate ("16kb/s"), 200);

ApplicationContainer clientApps = sidelinkClient.Install (ueNodes.Get (0));
//onoff application will send the first packet at :
//(2.9 (App Start Time) + (1600 (Pkt size in bits) / 16000 (Data rate)) = 3.0 sec clientApps.Start (slBearersActivationTime + Seconds (0.9));
clientApps.Stop (simTime - slBearersActivationTime + Seconds (1.0));

ApplicationContainer serverApps;
PacketSinkHelper sidelinkSink ("ns3::UdpSocketFactory", localAddress);
serverApps = sidelinkSink.Install (ueNodes.Get (1));
serverApps.Start(Seconds (2.0));
```

In this example, an "OnOff" application is installed in the UE 1, which sends a 200 byte packet with the constant bit rate of 16 kb/s. On the other hand, the UE 2 is configured with the "PacketSink" application.

The Sidelink radio bearers are activated by calling the ActivateSidelinkBearer method of LteSidelinkHelper as follows:

The ActivateSidelinkBearer method takes the following three parameters as input,

- 1. Time to activate the bearer
- 2. Devices for which the bearer will be activated
- 3. The Sidelink traffic flow template

The tft in this example is an object of LteSlTft class created by initializing its following parameters,

- 1. Direction of the bearer, i.e., "Transmit", "Receive" or Bidirectional
- 2. An IPv4 multicast address of the group
- 3. Sidelink layer 2 group address (used as Sidelink layer 2 group id)
- Activating the Sidelink traces:

```
lteHelper->EnableSlPscchMacTraces ();
lteHelper->EnableSlPsschMacTraces ();
lteHelper->EnableSlTxPhyTraces ();
lteHelper->EnableSlRxPhyTraces ();
lteHelper->EnableSlPscchRxPhyTraces ();
```

The above code will enable all the Sidelink related traces. We note that, the user can also use the classical function "LteHelper::EnableTraces ()", but this will also output the LTE traces.

Upon the completion of the simulation, the following trace files can be found in the repository's root folder,

- · SlCchMacStats.txt
- SISchMacStats.txt
- SlCchRxPhyStats.txt
- SlRxPhyStats.txt

For more information related to the above files please refer to the Sidelink simulation output section.

• UePacketTrace.tr

The information in this file is obtained by using the traces "TxWithAddresses" and "RxWithAddresses" of OnOff and PacketSink application, respectively. Following table shows the snippet of the data from this file for the two UEs,

Time (sec) NodelD IMSI PktSize (bytes) IP[src] IP[dst] tx/rx 3 200 7.0.0.2:49153 225.0.0.0:8000 4 1 3.09693 5 2 200 7.0.0.2:49153 7.0.0.3:8000 rx

Table 5: UePacketTrace.tr

The first row shows that the UE 1 with IMSI 1 transmits a multicast packet of 200 bytes and the UE 2 receives the packet transmitted by the UE 1. As per the client's application data rate and ON time, i.e., 16 kb/s and 2 seconds, respectively, a total of 20 packets are sent and received by the transmitting and the receiving UE.

# Ite-sl-in-covrg-comm-mode2

This example simulates an in-coverage MODE 2 ProSe communication using the same scenario *Sidelink simple in-coverage scenario*, used for previous example. The only difference is that the resources are not scheduled by the eNB, instead, the UE 1 selects the resources autonomously from a pool specified by the eNB through RrcConnectionReconfiguration message. Besides using the same scenario, this example also has the same application, therefore, in the following, we will discuss only those configurations, which are MODE 2 specific. A user can run the simulation as follows:

```
./waf --run "lte-sl-in-covrg-comm-mode2 --simTime=7 --enableNsLogs=false"
```

The simulation time is in seconds. Similarly, this example supports IPv6 as an option if the --useIPv6 argument is provided.

# Configuration of LTE and Sidelink default parameters

• Configuring parameters for PSSCH resource selection :

```
// Fixed MCS and the number of RBs
Config::SetDefault ("ns3::LteUeMac::SlGrantMcs", UintegerValue (16));
Config::SetDefault ("ns3::LteUeMac::SlGrantSize", UintegerValue (5));

// For selecting subframe indicator bitmap
Config::SetDefault ("ns3::LteUeMac::Ktrp", UintegerValue (1));
//use default Trp index of 0
Config::SetDefault ("ns3::LteUeMac::UseSetTrp", BooleanValue (true));
```

The above parameters of LteUeMac class enable the UE to select the time (i.e., frame/subframe) and the frequency (i.e., RBs) resources autonomously. If the attribute "UseSetTrp" is false, a UE will select the TRP index randomly from the range of values depending on the KTRP value [TS36213].

• Configure the error models:

Along with the error model configuration, by setting the "DropRbOnCollisionEnabled" attribute all the TBs collided in time and frequency are dropped. This attribute could be of particular interest for the users, e.g., to study the impact of collisions in MODE 2. In this example, this attribute has no impact as only one UE is acting as a transmitter. It is included just to make the users aware of this attribute.

### **Topology configuration**

• There is no need to configure the scheduler, since this example uses MODE 2 for resource selection.

## Sidelink pool configuration

The Sidelink pool configuration is similar to the MODE 1 configuration, e.g., the configuration for Sidelink control is the same. However, the following additional configurations are needed for in-coverage MODE 2.

```
LteRrcSap::SlCommTxResourcesSetup pool;

pool.setup = LteRrcSap::SlCommTxResourcesSetup::UE_SELECTED;
pool.ueSelected.havePoolToRelease = false;
pool.ueSelected.havePoolToAdd = true;
pool.ueSelected.poolToAddModList.nbPools = 1;
pool.ueSelected.poolToAddModList.pools[0].poolIdentity = 1;

//Data
pfactory.SetDataBitmap(0xFFFFFFFFFF);
pfactory.SetDataOffset(8); //After 8 subframes of PSCCH
pfactory.SetDataPrbNum(25);
pfactory.SetDataPrbStart(0);
pfactory.SetDataPrbEnd(49);
```

Compared to MODE 1, the pool resources are now indicated as "UE\_SELECTED" along with other parameters. Moreover, now we need to configure the pool parameters related to the data, i.e., PSSCH. In addition to the subframe indicator bitmap specified by KTRP and iTRP, Mode 2 introduces another level of subframe filtering for the subframe pool via "DataBitmap" to limit the number of possible values for iTRP. The PSSCH transmission occurs on the filtered subframes after applying TRP bitmap on this "DataBitmap". Users interested to learn about how it is done are referred to 4. SidelinkCommPoolPsschTestCase.

Finally, at the end of the simulation the trace files, similar to the previous example, can be found in the repository's root folder. One important difference, compared to MODE 1, is that in the trace files "SICchMacStats.txt" and "SIC-

chRxPhyStats.txt" the parameters, e.g., PSCCH resource index and the starting RB for PSSCH are randomly selected by the UE for every SC period. Furthermore, a similar amount of packets, i.e. 20, are sent and received by the UEs.

# Ite-sl-out-of-covrg-comm

This example simulates an out-of-coverage MODE 2 ProSe communication by using the following scenario.



Fig. 25: Sidelink simple out-of-coverage scenario

This example allows a user to configure the simulation time and the output of NS logs of the specified classes by using the corresponding command line variables in the simulation script. For example, a user can run the simulation as follows:

```
./waf --run "lte-sl-out-of-covrg-comm --simTime=7 --enableNsLogs=false"
```

The simulation time is in seconds, and --useIPv6 can be used to run the example with IPv6 instead of IPv4.

#### Configuration of LTE and Sidelink default parameters

• Configuring parameters for PSSCH resource selection :

```
// Fixed MCS and the number of RBs
Config::SetDefault ("ns3::LteUeMac::SlGrantMcs", UintegerValue (16));
Config::SetDefault ("ns3::LteUeMac::SlGrantSize", UintegerValue (5));

// For selecting subframe indicator bitmap
Config::SetDefault ("ns3::LteUeMac::Ktrp", UintegerValue (1));
//use default Trp index of 0
Config::SetDefault ("ns3::LteUeMac::UseSetTrp", BooleanValue (true));
```

The above parameters of LteUeMac class enable the UE to select the time (i.e., frame/subframe) and the frequency (i.e., RBs) resources autonomously. If the attribute "UseSetTrp" is false, a UE will select the TRP index randomly from the range of values depending on the KTRP value [TS36213].

• Configure the frequency:

```
uint32_t ulEarfcn = 18100;
uint16_t ulBandwidth = 50;
```

Here, it is not necessary to configure the EARFCNs and the bandwidth of the UE and eNB for the two reasons. First, in this example we will not instantiate the eNB node, thus, setting these attributes would have no impact. Second, both the UEs will use only the Sidelink to communicate, therefore, the EARFCN and the bandwidth are specified in the pool configuration. At this stage, the above two variables are initialized to be used later to configure the pathloss model and the Sidelink pool. Similar, to the previous simple examples, we use the LTE Band 1 for Sidelink communication.

• Configure the error models :

Along with the error model configuration, by setting the "DropRbOnCollisionEnabled" attribute all the TBs collided in time and frequency are dropped. This attribute could be of particular interest for the users, e.g., to study the impact of collisions in MODE 2. In this example, this attribute has no impact as only one UE is acting as a transmitter. It is included just to make the users aware of this attribute.

• Configure the transmit power for the UEs :

```
Config::SetDefault ("ns3::LteUePhy::TxPower", DoubleValue (23.0));
```

The power configured is in dBm.

## **Topology configuration**

• Instantiating LTE, EPC and Sidelink helpers :

```
Ptr<LteHelper> lteHelper = CreateObject<LteHelper> ();
Ptr<PointToPointEpcHelper> epcHelper = CreateObject<PointToPointEpcHelper> ();
lteHelper->SetEpcHelper (epcHelper);

Ptr<LteSidelinkHelper> proseHelper = CreateObject<LteSidelinkHelper> ();
proseHelper->SetLteHelper (lteHelper);
```

• Enabling the Sidelink:

```
lteHelper->SetAttribute ("UseSidelink", BooleanValue (true));
```

#### Note: Attribute "UseSidelink" must be set before installing the UE devices.

• Configuring the pathloss model and bypass the use of eNB nodes

The use of eNB nodes can be bypassed by using the above commands strictly in the order they are listed. The command "IteHelper->Initialize ()" basically performs the channel model initialization of all the component carriers. Therefore, it is necessary to configure any desired pathloss model before issuing this command. The commands in step 3 are to properly configure the frequency attribute of the pathloss model used, which is normally done in InstallSingleEnb method of LteHelper.

• Creating the UE nodes and setting their mobility:

```
NodeContainer ueNodes;
ueNodes.Create (2);
Ptr<ListPositionAllocator> positionAllocUe1 =
                                     CreateObject<ListPositionAllocator> ();
positionAllocUe1->Add (Vector (0.0, 0.0, 1.5));
Ptr<ListPositionAllocator> positionAllocUe2 =
                                     CreateObject<ListPositionAllocator> ();
positionAllocUe2->Add (Vector (20.0, 0.0, 1.5));
//Install mobility
MobilityHelper mobilityUel;
mobilityUe1.SetMobilityModel ("ns3::ConstantPositionMobilityModel");
mobilityUe1.SetPositionAllocator (positionAllocUe1);
mobilityUe1.Install (ueNodes.Get (0));
MobilityHelper mobilityUe2;
mobilityUe2.SetMobilityModel ("ns3::ConstantPositionMobilityModel");
mobilityUe2.SetPositionAllocator (positionAllocUe2);
mobilityUe2.Install (ueNodes.Get (1));
```

• Installing LTE devices to the nodes :

```
NetDeviceContainer ueDevs = lteHelper->InstallUeDevice (ueNodes);
```

#### Sidelink pool configuration

This example simulates an out-of-coverage scenario, therefore, both the UEs are configured with a pre-configured Sidelink communication pool. As mentioned in *RRC*, in this scenario the LteSlUeRrc class will be responsible for holding this per-configured pool configuration. The pool configuration starts by setting a flag in LteSlUeRrc as an indication that the Sidelink is enabled. It is configured as follows:

```
Ptr<LteSlUeRrc> ueSidelinkConfiguration = CreateObject<LteSlUeRrc> ();
ueSidelinkConfiguration->SetSlEnabled (true);
```

For configuring Sidelink communication pre-configured pool parameters, the IE "SL-Preconfiguration" defined in the standard [TS36331] is converted into a C++ structure and similar to the basic LTE layer 3 messages it can be found in

LteRrcSap class. This example uses this structure to configure the Sidelink communication pool parameters. The pool configuration is done by using the LteSlPreconfigPoolFactory in the following manner,

```
LteRrcSap::SlPreconfiguration preconfiguration;
preconfiguration.preconfigGeneral.carrierFreq = ulEarfcn; //18100
preconfiguration.preconfigGeneral.slBandwidth = ulBandwidth; // 50 RBs
preconfiguration.preconfigComm.nbPools = 1;
LteSlPreconfigPoolFactory pfactory;
//Control
pfactory.SetControlPeriod("sf40");
pfactory.SetControlBitmap(0x0000000FF); //8 subframes for PSCCH
pfactory.SetControlOffset(0);
pfactory.SetControlPrbNum(22);
pfactory.SetControlPrbStart(0);
pfactory.SetControlPrbEnd(49);
//Data
pfactory.SetDataBitmap(0xFFFFFFFFF);
pfactory.SetDataOffset(8); //After 8 subframes of PSCCH
pfactory.SetDataPrbNum(25);
pfactory.SetDataPrbStart(0);
pfactory.SetDataPrbEnd(49);
preconfiguration.preconfigComm.pools[0] = pfactory.CreatePool ();
ueSidelinkConfiguration->SetSlPreconfiguration (preconfiguration);
lteHelper->InstallSidelinkConfiguration (ueDevs, ueSidelinkConfiguration);
```

In addition to the subframe indicator bitmap specified by KTRP and iTRP, Mode 2 introduces another level of subframe filtering for the subframe pool via "DataBitmap" to limit the number of possible values for iTRP. The PSSCH transmission occurs on the filtered subframes after applying TRP bitmap on this "DataBitmap". Users interested to learn about how it is applied are referred to 4. SidelinkCommPoolPsschTestCase.

#### IP configuration

• Installing the IP stack on the UEs and assigning IP addresses (IPv4 code statements shown below):

# **Application configuration**

• Installing applications and activating Sidelink radio bearers :

```
//Set Application in the UEs
OnOffHelper sidelinkClient("ns3::UdpSocketFactory", remoteAddress);
sidelinkClient.SetConstantRate (DataRate ("16kb/s"), 200);

ApplicationContainer clientApps = sidelinkClient.Install (ueNodes.Get (0));
//onoff application will send the first packet at :
//(2.9 (App Start Time) + (1600 (Pkt size in bits) / 16000 (Data rate)) = 3.0 sec clientApps.Start (slBearersActivationTime + Seconds (0.9));
clientApps.Stop (simTime - slBearersActivationTime + Seconds (1.0));

ApplicationContainer serverApps;
PacketSinkHelper sidelinkSink ("ns3::UdpSocketFactory", localAddress);
serverApps = sidelinkSink.Install (ueNodes.Get (1));
serverApps.Start(Seconds (2.0));
```

In this example, an "OnOff" application is installed in the UE 1, which sends a 200 byte packet with the constant bit rate of 16 kb/s. On the other hand, the UE 2 is configured with the "PacketSink" application.

The Sidelink radio bearers are activated by calling the ActivateSidelinkBearer method of LteSidelinkHelper as follows:

The ActivateSidelinkBearer method takes the following three parameters as input,

- 1. Time to activate the bearer
- 2. Devices for which the bearer will be activated
- 3. The Sidelink traffic flow template

The tft in this example is an object of LteSlTft class created by initializing its following parameters,

- 1. Direction of the bearer, i.e., "Transmit", "Receive" or Bidirectional
- 2. An IPv4 multicast address of the group
- 3. Sidelink layer 2 group address (used as Sidelink layer 2 group id)
- Activating the Sidelink traces:

```
lteHelper->EnableSlPscchMacTraces ();
lteHelper->EnableSlPsschMacTraces ();
lteHelper->EnableSlTxPhyTraces ();
lteHelper->EnableSlRxPhyTraces ();
lteHelper->EnableSlPscchRxPhyTraces ();
```

The above code will enable all the Sidelink related traces.

Upon the completion of the simulation, the following trace files can be found in the repository's root folder,

- · SlCchMacStats.txt
- · SISchMacStats.txt
- SlCchRxPhyStats.txt
- · SlRxPhyStats.txt

For more information related to the above files please refer to the Sidelink simulation output section.

• UePacketTrace.tr

The information in this file is obtained by using the traces "TxWithAddresses" and "RxWithAddresses" of OnOff and PacketSink application, respectively. Following table shows the snippet of the data from this file for the two UEs,

Table 6: UePacketTrace.tr

Time (sec)	tx/rx	NodeID	IMSI	PktSize (bytes)	IP[src]	IP[dst]
3	tx	3	1	200	7.0.0.2:49153	225.0.0.0:8000
3.08893	rx	4	2	200	7.0.0.2:49153	7.0.0.3:8000

The first row shows that the UE 1 with IMSI 1 transmits a multicast packet of 200 bytes and the UE 2 receives the packet transmitted by the UE 1. As per the client's application data rate and ON time, i.e., 16 kb/s and 2 seconds, respectively, a total of 20 packets are sent and received by the transmitting and the receiving UE.

## Ite-sl-in-covrg-relay

This example simulates an in-coverage UE-to-Network Relay scenario, which uses both, direct discovery and direct communication. The topology of the access network is the one depicted in *Sidelink simple in-coverage scenario* in which two UEs are in-coverage of a single eNB. In this scenario, UE 1 has the role of *Relay UE* and UE 2 has the role of *Remote UE*. Additionally, there is a Remote Host in the network with which the Remote UE communicates, using the connection to the eNB at the beginning of the simulation, and using the Relay UE once connected to it.

When the relay service starts in the simulation, both are UEs are configured to perform UE-to-Network Relay discovery for their respective roles. Thus, the Remote UE will be able to detect the Relay UE, select it, and hence establish a one-to-one communication connection with it (See section *RRC* for more information about the protocols). Then, all the packets the Remote UE application sends to the Remote Host are transmitted on the Sidelink to the Relay UE which then forward them to the network via the eNB, to finally be routed in the network to the Remote Host. Packets from the Remote Host towards the Remote UE follow the reverse path (i.e., Remote Host -> Network -> eNB -> Relay UE -> Remote UE).

The scenario uses MODE 2 (UE\_SELECTED) for both, direct discovery and direct communication.

The user can configure the simulation time (in seconds) and to output the configured NS logs by using the corresponding command line variables in the simulation script. For example, a user can run the simulation as follows:

```
./waf --run "lte-sl-in-covrg-relay --simTime=10 --enableNsLogs=false"
```

#### Configuration of LTE and Sidelink default parameters

• Configuring parameters for PSSCH resource selection :

```
// Fixed MCS and the number of RBs
Config::SetDefault ("ns3::LteUeMac::SlGrantMcs", UintegerValue (16));
Config::SetDefault ("ns3::LteUeMac::SlGrantSize", UintegerValue (5));

// For selecting subframe indicator bitmap
Config::SetDefault ("ns3::LteUeMac::Ktrp", UintegerValue (1));
//use default Trp index of 0
Config::SetDefault ("ns3::LteUeMac::UseSetTrp", BooleanValue (false));
```

The scenario uses MODE 2 for the Sidelink communication, where the UEs select the resources autonomously from a pool specified by the eNB through RrcConnectionReconfiguration message. The configuration here is similar to the one in the lte-sl-in-covrg-comm-mode2 scenario.

• Configure the frequency and the bandwidth:

```
Config::SetDefault ("ns3::LteEnbNetDevice::DlEarfcn", UintegerValue (100));
Config::SetDefault ("ns3::LteUeNetDevice::DlEarfcn", UintegerValue (100));
Config::SetDefault ("ns3::LteEnbNetDevice::UlEarfcn", UintegerValue (18100));
Config::SetDefault ("ns3::LteEnbNetDevice::DlBandwidth", UintegerValue (50));
Config::SetDefault ("ns3::LteEnbNetDevice::UlBandwidth", UintegerValue (50));
```

We use the LTE Band 1 for both LTE and Sidelink communication.

• Reduce frequency of Downlink CQI report to allow for sidelink transmissions :

```
Config::SetDefault ("ns3::LteUePhy::DownlinkCqiPeriodicity",

TimeValue (MilliSeconds (79)));
```

The Downlink CQI report is sent in the Uplink. In this implementation, the Sidelink uses the same spectrum as the Uplink and Uplink transmissions have higher priority than Sidelink transmissions. Thus, we need to space out in time the Downlink CQI report to avoid too frequent Sidelink transmission drops. Setting the attribute value to 79 ms in the scenario script results in a periodicity of 80 ms in simulation time.

• Configure the transmission power of the Radio Access Network nodes :

```
// Set the UEs power in dBm
Config::SetDefault ("ns3::LteUePhy::TxPower", DoubleValue (23.0));
// Set the eNBs power in dBm
Config::SetDefault ("ns3::LteEnbPhy::TxPower", DoubleValue (30.0));
```

## **Topology configuration**

• Instantiating LTE, EPC and Sidelink helpers and connecting them:

```
Ptr<LteHelper> lteHelper = CreateObject<LteHelper> ();
Ptr<PointToPointEpcHelper> epcHelper = CreateObject<PointToPointEpcHelper> ();
lteHelper->SetEpcHelper (epcHelper);
Ptr<LteSidelinkHelper> proseHelper = CreateObject<LteSidelinkHelper> ();
proseHelper->SetLteHelper (lteHelper);
```

• Configuring the Sidelink UE Controller:

```
Config::SetDefault ("ns3::LteSlBasicUeController::ProseHelper",
PointerValue (proseHelper));
```

As described in the *RRC* section, the Sidelink UE controller was created to provide a framework for the implementation of custom UE-to-Network Relay configurations and algorithms. The default controller in the model is

the LteSlBasicUeController, which uses the instance of LteSidelinkHelper in the scenario to perform some of its tasks and must be connected to it using the attribute system as shown above.

• Configuring the pathloss model:

• Enabling the Sidelink:

```
lteHelper->SetAttribute ("UseSidelink", BooleanValue (true));
```

• Creating the Remote Host and the Internet:

```
Ptr<Node> pgw = epcHelper->GetPgwNode ();

// Create a single RemoteHost
NodeContainer remoteHostContainer;
remoteHostContainer.Create (1);
Ptr<Node> remoteHost = remoteHostContainer.Get (0);
InternetStackHelper internet;
internet.Install (remoteHostContainer);
// Create the Internet
PointToPointHelper p2ph;
p2ph.SetDeviceAttribute ("DataRate", DataRateValue (DataRate ("100Gb/s")));
p2ph.SetChannelAttribute ("Mtu", UintegerValue (1500));
p2ph.SetChannelAttribute ("Delay", TimeValue (Seconds (0.010)));
NetDeviceContainer internetDevices = p2ph.Install (pgw, remoteHost);
```

• Creating the eNB and UE nodes and setting their possition and mobility:

```
NodeContainer enbNode;
enbNode.Create (1);
NodeContainer ueNodes;
ueNodes.Create (2);
Ptr<ListPositionAllocator> positionAllocEnb =
                                     CreateObject<ListPositionAllocator> ();
positionAllocEnb->Add (Vector (0.0, 0.0, 30.0));
Ptr<ListPositionAllocator> positionAllocUe1 =
                                     CreateObject<ListPositionAllocator> ();
positionAllocUel->Add (Vector (10.0, 0.0, 1.5));
Ptr<ListPositionAllocator> positionAllocUe2 =
                                     CreateObject<ListPositionAllocator> ();
positionAllocUe2->Add (Vector (-10.0, 0.0, 1.5));
//Install mobility
MobilityHelper mobilityeNodeB;
mobilityeNodeB.SetMobilityModel ("ns3::ConstantPositionMobilityModel");
mobilityeNodeB.SetPositionAllocator (positionAllocEnb);
mobilityeNodeB.Install (enbNode);
MobilityHelper mobilityUel;
mobilityUe1.SetMobilityModel ("ns3::ConstantPositionMobilityModel");
mobilityUe1.SetPositionAllocator (positionAllocUe1);
mobilityUe1.Install (ueNodes.Get (0));
MobilityHelper mobilityUe2;
mobilityUe2.SetMobilityModel ("ns3::ConstantPositionMobilityModel");
mobilityUe2.SetPositionAllocator (positionAllocUe2);
mobilityUe2.Install (ueNodes.Get (1));
```

• Installing LTE devices to the nodes :

```
NetDeviceContainer enbDevs = lteHelper->InstallEnbDevice (enbNode);
NetDeviceContainer ueDevs = lteHelper->InstallUeDevice (ueNodes);
```

# Sidelink pool configuration

In this example, the eNB will be configured with the Sidelink communication and discovery pools to be used, which are hold by the LteSlEnbRrc class as mentioned in *RRC*. The pool configuration starts by setting a flag in LteSlEnbRrc as an indication that the Sidelink is enabled and it is configured as follows:

```
Ptr<LteSlEnbRrc> enbSidelinkConfiguration = CreateObject<LteSlEnbRrc> ();
enbSidelinkConfiguration->SetSlEnabled (true);
```

• Configure Sidelink communication pool:

The above code uses the Sidelink helper to obtain a Mode 2 (UE\_SELECTED) Sidelink communication pool configured with default values, which is set as the default pool to be used by all the UEs attached to the eNB.

• Enable Sidelink discovery:

```
enbSidelinkConfiguration->SetDiscEnabled (true);
```

• Configure Sidelink discovery pool:

Similarly to the communication pool, the Sidelink helper is used to obtain a Sidelink discovery pool configured with default values, which is then installed as the discovery pool to be used by all the UEs attached to the eNB.

• Configure UE-to-Network Relay selection parameters :

The parameters for UE-to-Network Relay (re)selection are broadcasted in the SIB19 to the UEs attached to the eNB. These parameters must be configured in the scenario when using UE-to-Network Relay, as they are required by the LteUeRrc for SD-RSRP measurement and Relay Selection. In this case, the Sidelink helper is used to obtain a default configuration for those parameters, which are then installed in the eNB Sidelink configuration.

• Install Sidelink configuration on the eNB:

```
lteHelper->InstallSidelinkConfiguration (enbDevs, enbSidelinkConfiguration);
```

• Configure and install Sidelink preconfiguration on the UEs:

```
Ptr<LteSlUeRrc> ueSidelinkConfiguration = CreateObject<LteSlUeRrc> ();
ueSidelinkConfiguration->SetSlEnabled (true);
LteRrcSap::SlPreconfiguration preconfiguration;
ueSidelinkConfiguration->SetSlPreconfiguration (preconfiguration);
ueSidelinkConfiguration->SetDiscEnabled (true);
uint8_t nTxResources = 3;
ueSidelinkConfiguration->SetDiscTxResources (nTxResources);
```

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#### IP configuration

• Installing the IP stack on the UEs and assigning IP address (**Please note that the UE-to-Network Relay functionality only supports IPv6 configuration**):

```
//Install the IP stack on the UEs and assign network IP addresses
internet. Install (ueNodes);
Ipv6InterfaceContainer ueIpIface;
ueIpIface = epcHelper->AssignUeIpv6Address (NetDeviceContainer (ueDevs));
//Set the default gateway for the UEs
Ipv6StaticRoutingHelper Ipv6RoutingHelper;
for (uint32_t u = 0; u < ueNodes.GetN (); ++u)
  Ptr<Node> ueNode = ueNodes.Get (u);
  // Set the default gateway for the UE
  Ptr<Ipv6StaticRouting> ueStaticRouting =
             Ipv6RoutingHelper.GetStaticRouting (ueNode->GetObject<Ipv6> ());
  ueStaticRouting->SetDefaultRoute (epcHelper->GetUeDefaultGatewayAddress6 (),
                                       1);
//Configure IP for the nodes in the Internet (PGW and RemoteHost)
Ipv6AddressHelper ipv6h;
ipv6h.SetBase (Ipv6Address ("6001:db80::"), Ipv6Prefix (64));
Ipv6InterfaceContainer internetIpIfaces = ipv6h.Assign (internetDevices);
internetIpIfaces.SetForwarding (0, true);
internetIpIfaces.SetDefaultRouteInAllNodes (0);
//Set route for the Remote Host to join the LTE network nodes
Ipv6StaticRoutingHelper ipv6RoutingHelper;
Ptr<Ipv6StaticRouting> remoteHostStaticRouting =
     ipv6RoutingHelper.GetStaticRouting (remoteHost->GetObject<Ipv6> ());
remoteHostStaticRouting->AddNetworkRouteTo ("7777:f000::", Ipv6Prefix (60),
                             internetIpIfaces.GetAddress (0, 1), 1, 0);
//Configure UE-to-Network Relay network
proseHelper->SetIpv6BaseForRelayCommunication ("7777:f00e::", Ipv6Prefix (60));
//Configure route between PGW and UE-to-Network Relay network
proseHelper->ConfigurePgwToUeToNetworkRelayRoute (pgw);
```

The above configuration is similar to any usual LTE example with EPC and IPv6, except the two last commands, which are used to configure the UE-to-Network Relay subnetwork and to add a route in the PGW for the packets directed to it. In this example, this route will be used for the packets from the Remote Host towards the Remote UE once connected to the Relay UE.

• Attaching the UEs to the eNB:

```
lteHelper->Attach (ueDevs);
```

# **Application configuration**

• Installing applications:

```
// interface 0 is localhost, 1 is the p2p device
Ipv6Address remoteHostAddr = internetIpIfaces.GetAddress (1, 1);
uint16_t echoPort = 8000;
//Set echo server in the remote host
UdpEchoServerHelper echoServer (echoPort);
ApplicationContainer serverApps = echoServer.Install (remoteHost);
serverApps.Start (Seconds (1.0));
serverApps.Stop (Seconds (10.0));
//Set echo client in the remote UEs
UdpEchoClientHelper echoClient (remoteHostAddr, echoPort);
echoClient.SetAttribute ("MaxPackets", UintegerValue (20));
echoClient.SetAttribute ("Interval", TimeValue (Seconds (0.5)));
echoClient.SetAttribute ("PacketSize", UintegerValue (200));
ApplicationContainer clientApps = echoClient.Install (ueNodes.Get (1));
clientApps.Start (Seconds (2.0));
clientApps.Stop (Seconds (10.0));
```

In this example, an UdpEchoClient application is installed on UE 2, i.e., the Remote UE, and an UdpEchoServer application is installed on the Remote Host. The Remote UE application will send packets every 0.5 seconds to the Remote Host, whose application then echoes back the packet to the Remote UE upon reception.

#### Service configuration

• Configure network bearer for UE-to-Network Relay packet routing :

```
//Setup dedicated bearer for the Relay UE
Ptr<EpcTft> tft = Create<EpcTft> ();
EpcTft::PacketFilter dlpf;
dlpf.localIpv6Address = proseHelper->GetIpv6NetworkForRelayCommunication ();
dlpf.localIpv6Prefix = proseHelper->GetIpv6PrefixForRelayCommunication ();
tft->Add (dlpf);
EpsBearer bearer (EpsBearer::NGBR_VIDEO_TCP_DEFAULT);
lteHelper->ActivateDedicatedEpsBearer (ueDevs.Get (0), bearer, tft);
```

In the above code, we configure an EPS bearer for the Relay UE with a packet filter corresponding to the UE-to-Network Relay subnetwork. This will be used to support the routing of packet directed to the Remote UE towards the Relay UE.

• Configure start of UE-to-Network Relay services :

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```
proseHelper, ueDevs.Get (1), serviceCode,
  LteSlUeRrc::ModelA, LteSlUeRrc::RemoteUE);
```

The function LteSidelinkHelper::StartRelayService is the one used from the scenario to start the UE-to-Network Relay functionality on a given UE. In this example, both UEs are configured with the same service code and to use direct discovery Model A. We observe the role differentiation in the last parameter of the respective function calls. As described before, UE 1 will have the role of Relay UE and UE 2 will have the role of Remote UE. The Relay UE service will start first, at 2 seconds of simulation time. Then, the Relay UE will start to advertise the service code on its UE-to-Network Relay Discovery Announcements. At 4 seconds of simulation time, the Remote UE starts its service and proceeds to monitor the announcements and to measure the their SD-RSRP. Eventually (4 discovery periods), the Relay Selection procedure will be executed, the Remote UE will select UE 1 as its Relay UE (as it is the only one in the system) and then it will start the one-to-one connection procedure to connect to it. Please note that even though the Remote UE service starts at 4 s, the Remote UE will start sending its traffic using the Relay UE (instead of the network) only after the one-to-one connection is established.

Upon the completion of the simulation, the following trace files contain the generated output:

#### AppPacketTrace.txt

The information in this file is obtained by using the traces <code>TxWithAddresses</code> and <code>RxWithAddresses</code> of <code>UdpEchoClient</code> and <code>UdpEchoServer</code> applications. The following table shows a snippet of the data from this file. Please note that the IPv6 address used by the Remote UE changes in simulation time. An IPv6 address belonging to the UE-to-Network Relay subnet is assigned to it when it connects to the Relay UE. This last is unknown during the scenario configuration when the trace sinks are connected. Thus, for simplicity and legibility, the IPv6 address of the Remote UE is set to Zero when the trace sinks of the UEs are connected, and thus appear as Zero on the traces. This has no impact on the behavior of the scenario whatsoever, and the actual IPv6 address the Remote UE is using can be observed in the traces by looking to the source address of the packets received by the Remote Host.

time (s)	tx/rx	Node	IMSI	PktSize	IP[src]	IP[dst]
		ID		(bytes)		
3	tx-UE	6	2	200	:::49153	6001:db80::200:ff:fe00:7:8000
3.02193	rx-RH	3	nan	200	7777:f00d::2:49153	6001:db80::200:ff:fe00:7:8000
3.02193	tx-RH	3	nan	200	6001:db80::200:ff:fe00:7:8000	7777:f00d::2:49153
3.035	rx-UE	6	2	200	6001:db80::200:ff:fe00:7:8000	:::49153
7	tx-UE	6	2	200	:::49153	6001:db80::200:ff:fe00:7:8000
7.11293	rx-RH	3	nan	200	7777:f00e::4:49153	6001:db80::200:ff:fe00:7:8000
7.11293	tx-RH	3	nan	200	6001:db80::200:ff:fe00:7:8000	7777:f00e::4:49153
7.21093	rx-UE	6	2	200	6001:db80::200:ff:fe00:7:8000	:::49153

Table 7: AppPacketTrace.txt

We observe that the packet received by the Remote Host before the relay service starts for the Remote UE, i.e., with t < 4.0 s, comes from (and it is transmitted back to) the address 7777: f00d: 2 which belongs to the network. However, the packet received at 7.11593 s comes from (and it is transmitted back to) the address 7777: f00e: 4 which belongs to the UE-to-Network Relay network. In both cases, the packet is successfully received back by the Remote UE, which means that the bidirectional communication with the Remote Host is possible before and after connecting to the Relay UE.

- DlPdcpStats.txt
- UlPdcpStats.txt

These two traces are standard to the ns-3 LTE simulations, but in this example they allow us to verify which UE is using the Downlink and the Uplink for communicating with the network. Tables *UlPdcpStats.txt* and

*DlPdcpStats.txt* show snippets of the data on the corresponding files. In this example, the Relay UE IMSI is 1 and the Remote UE IMSI is 2. We see that before the relay service is activated for the Remote UE (t < 4.0 s), this last is using the Uplink to send its packet towards the Remote Host and the Downlink to receive the corresponding echoed packet. Once the Remote UE connects to the Relay UE, we see in the traces that it is the Relay UE who is communicating with the network using Uplink and Downlink, i.e., the Relay UE is forwarding the packets received from the Remote UE in the Sidelink to the network using the Uplink and receiving packets in the Downlink and forwarding them to the Remote UE on the Sidelink.

Table 8: UlPdcpStats.txt

start (s)	end (s)	CellId	IMSI	RNTI	LCID	nTxPDUs	TxBytes	
2.75	3	1	2	2	3	1	250	
3.25	3.5	1	2	2	3	1	250	
7	7.25	1	1	1	4	1	250	
7.5	7.75	1	1	1	4	1	250	

Table 9: DlPdcpStats.txt

start (s)	end (s)	CellId	IMSI	RNTI	LCID	nTxPDUs	TxBytes	
3.5	3.75	1	2	2	3	1	250	
4	4.25	1	2	2	3	1	250	
7	7.25	1	1	1	4	1	250	
7.5	7.75	1	1	1	4	1	250	

In the following we will discuss the detailed examples mentioned above, however, we will mainly discuss about the topology and the Sidelink configuration, since the example scripts already contain the details of the default attributes and also, most of them are already covered by the previous examples.

#### wns3-2017-synch

The scenario in this example is composed of 1 hexagonal cell site divided into 3 sectors. Each sector has 1 UE, i.e., 3 in total, which are randomly dropped. These UEs are then grouped in a single group by choosing randomly one UE as a transmitter while the other 2 UEs act as receivers.

#### **Topology configuration**

In the following, we walk through the example line by line and discuss each important topology configuration. However, some configuration parameters are skipped, which are already elaborated in the previous sections of LTE. Therefore, it is highly recommended for the new users to go through the basic LTE configuration before digging into D2D examples.

• Randomizing the frame and subframe number of the UEs:

```
Config::SetDefault ("ns3::LteUePhy::UeRandomInitialSubframeIndication",

BooleanValue (unsyncSl));
```

As mentioned in the design documentation *Sidelink synchronization*, by default all the UEs are perfectly synchronized, i.e., all the UEs in a simulation upon being initialized pick the same frame and subframe 1 to start with. Therefore, to simulate synchronization and to make every UE to pick a random frame and subframe number the attribute "UeRandomInitialSubframeIndication" should be set.

• Instantiating LteSidelinkHelper and setting LteHelper:

```
Ptr<LteSidelinkHelper> proseHelper = CreateObject<LteSidelinkHelper> ();
proseHelper->SetLteHelper (lteHelper);
```

• Instantiating Lte3gppHexGridEnbTopologyHelper and setting LteHelper

• Disabling the eNB for out-of-coverage scenario :

```
lteHelper->DisableEnbPhy (true);
```

#### Note: Call to disable eNB PHY should happen before installing the eNB devices

• Configure the parameters for hexagonal ring topology:

```
topoHelper->SetNumRings (numRings); // 1 Ring
topoHelper->SetInterSiteDistance (isd); // 500 m
topoHelper->SetMinimumDistance (10); // in meter
topoHelper->SetSiteHeight (32); // in meter
```

The code above will set the parameters to build 1 ring, i.e., 1 hexagonal site, which will have 500 meters of inter-site distance between the sites, if the number of rings is more than 1. The minimum distance between an eNB and the UEs is set to 10 m and the eNB is at the height of 32 m above the ground.

• Creating eNB nodes:

```
NodeContainer sectorNodes; sectorNodes.Create (topoHelper->GetNumNodes ());
```

Call to topoHelper->GetNumNodes () will return 3, which in turns would create 3 sector nodes, since, it requires 3 eNBs to cover one hexagon.

• Fixing eNB mobility and installing eNB devices :

The call <code>SetPositionAndInstallEnbDevice</code> will compute the position of each site and the antenna orientation of each eNB. The antenna orientation of each eNB in a hexagon is 30, 150 and 270 degrees, respectively <code>[TS25814]</code> <code>[TR36814]</code>. As mentioned before, even if the eNB PHY is disabled, the hexagonal topology requires to instantiate the eNB nodes to form the sectors of an hexagon. It is also to be noted that the use of antenna models, e.g., <code>Parabolic3dAntennaModel</code>, <code>ParabolicAntennaModel</code>, or <code>CosineAntennaModel</code> is needed to configure antenna orientation. Moreover, the function <code>SetPositionAndInstallEnbDevice</code> is also responsible to call <code>InstallEnbDevice</code> of <code>LteHelper</code> for each eNB in this scenario.

• Creating UE nodes :

```
NodeContainer ueResponders;
ueResponders.Create (ueRespondersPerSector * sectorNodes.GetN ());
```

This will create 3 UE nodes in total, 1 for each sector of hexagonal site.

Enabling Sidelink

```
lteHelper->SetAttribute ("UseSidelink", BooleanValue (true));
```

#### Note: Attribute "UseSidelink" must be set before installing the UE devices.

• Fixing UE mobility and installing UE devices :

```
MobilityHelper mobilityResponders;
mobilityResponders.SetMobilityModel ("ns3::ConstantPositionMobilityModel");
topoHelper->DropUEsUniformlyPerSector (ueResponders);
```

This will place 1 UE per sector by choosing their position randomly with in the sector, taking into account the configured minimum eNB to UE distance of 10 m. This will also install the UE devices by calling InstallUeDevice of LteHelper. The installation of the IP stack and IP address assignment is done in a similar fashion as explain in lte-design:ref:sec-evolved-packet-core

• Creating groups of UEs:

The AssociateForBroadcast function will basically form the specified number of groups, i.e., 1 in this example, by choosing the transmitting UE randomly from the total number of UEs. After choosing the transmitting UE, the receiving UEs of the group are selected if the Sidelink RSRP, calculated as per the method defined in [TS36214], between the transmitting UE and the receiving UE is higher than -112 dBm. In this example, UE node 7 with IMSI 2 is selected as a transmitter while remaining 2 UEs will act as receivers. It is to be noted that AssociateForBroadcast is only responsible for forming the groups and not installing any application.

All the UEs in a group are stored in a NetDeviceContainer, such that the first UE in this container is the transmitter of the group. Finally, this NetDeviceContainer is stored in a vector (createdgroups), which is used to install appropriate application in UE devices.

#### **Application configuration**

• Installing applications and activating Sidelink radio bearers :

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```
//Create sidelink bearers
  //Use Tx for the group transmitter and Rx for all the receivers
  //split Tx/Rx
  NetDeviceContainer txUe ((*gIt).Get (0));
  NetDeviceContainer rxUes = proseHelper->
                                      RemoveNetDevice ((*gIt), (*gIt).Get (0));
  Ptr<LteSlTft> tft = Create<LteSlTft> (LteSlTft::TRANSMIT,
                                    groupRespondersIpv4Address, groupL2Address);
  //Sidelink bearer activation
  proseHelper->ActivateSidelinkBearer (Seconds (1.0), txUe, tft);
  tft = Create<LteSlTft>
               (LteSlTft::RECEIVE, groupRespondersIpv4Address, groupL2Address);
  proseHelper->ActivateSidelinkBearer (Seconds (1.0), rxUes, tft);
  UdpEchoClientHelper echoClientHelper
                                (groupRespondersIpv4Address, grpEchoServerPort);
  clientRespondersApps = echoClientHelper.Install ((*gIt).Get (0)->GetNode ());
  groupL2Address++;
  groupRespondersIpv4Address = Ipv4AddressGenerator::
                                           NextAddress (Ipv4Mask ("255.0.0.0"));
// Server Application :
  PacketSinkHelper clientPacketSinkHelper ("ns3::UdpSocketFactory",
                           InetSocketAddress (Ipv4Address::GetAny (), echoPort));
  ApplicationContainer clientRespondersSrvApps =
                                    clientPacketSinkHelper.Install (ueResponders);
```

In the previous step we obtained the vector createdgroups, containing a group formed by one transmitter UE and 2 receiver UEs. Now, it is easy to anticipate that the client application will be installed in the transmitter UE and the receiver UEs will have server application. In this example, a user can configure any of the two client applications, i.e., OnOff and UdpEchoClientApplication, where the later one is the default client application.

The Sidelink radio bearers for Tx (for transmitting UE) and Rx (for receiving UEs) are activated by calling the *ActivateSidelinkBearer* 'method of LteSidelinkHelper.

Finally, a PacketSink application is installed as a server application in the receiving UEs.

# Sidelink pool configuration

This example simulates an out-of-coverage scenario, therefore, all the UEs will be configured with a pre-configured Sidelink pool. As mentioned in *RRC*, in this scenario the LteSlUeRrc class will be responsible for holding this per-configured pool configuration. The pool configuration starts by setting a flag in LteSlUeRrc as an indication that the Sidelink is enabled. It is configured as follows:

```
Ptr<LteSlUeRrc> ueSidelinkConfiguration = CreateObject<LteSlUeRrc> (); ueSidelinkConfiguration->SetSlEnabled (true);
```

For configuring Sidelink pre-configured pool parameters, the IE "SL-Preconfiguration" defined in the standard [TS36331] is converted into a C++ structure and similar to the basic LTE layer 3 messages it can be found in LteRrcSap class. This example uses this structure to configure the Sidelink pool parameters for control, data and synchronization in the following manner,

```
LteRrcSap::SlPreconfiguration preconfiguration;
preconfiguration.preconfigGeneral.carrierFreq = 23330;
preconfiguration.preconfigGeneral.slBandwidth = 50;
preconfiguration.preconfigComm.nbPools = 1;
//cont.rol
preconfiguration.preconfigComm.pools[0].scCpLen.cplen = LteRrcSap::SlCpLen::NORMAL;
preconfiguration.preconfigComm.pools[0].scPeriod.period = LteRrcSap::
                                                      PeriodAsEnum (slPeriod).period;
preconfiguration.preconfigComm.pools[0].scTfResourceConfig.prbNum = pscchRbs;
preconfiguration.preconfigComm.pools[0].scTfResourceConfig.prbStart = 3;
preconfiguration.preconfigComm.pools[0].scTfResourceConfig.prbEnd = 46;
preconfiguration.preconfigComm.pools[0].scTfResourceConfig.offsetIndicator.offset = 0;
\verb|preconfiguration.preconfigComm.pools[0].scTfResourceConfig.subframeBitmap.|
                                                           bitmap = pscchTrpNumber;
//data
preconfiguration.preconfigComm.pools[0].dataCpLen.cplen = LteRrcSap::SlCpLen::NORMAL;
preconfiguration.preconfigComm.pools[0].dataHoppingConfig.hoppingParameter = 0;
preconfiguration.preconfigComm.pools[0].dataHoppingConfig.numSubbands = LteRrcSap::
                                                            SlHoppingConfigComm::ns4;
preconfiguration.preconfigComm.pools[0].dataHoppingConfig.rbOffset = 0;
preconfiguration.preconfigComm.pools[0].trptSubset.subset = std::bitset<3> (0x7);
preconfiguration.preconfigComm.pools[0].dataTfResourceConfig.prbNum = 25;
preconfiguration.preconfigComm.pools[0].dataTfResourceConfig.prbStart = 0;
preconfiguration.preconfigComm.pools[0].dataTfResourceConfig.prbEnd = 49;
preconfiguration.preconfigComm.pools[0].dataTfResourceConfig.offsetIndicator.
                                                                offset = pscchLength;
preconfiguration.preconfigComm.pools[0].dataTfResourceConfig.subframeBitmap.bitmap
                                                       std::bitset<40>(0xFFFFFFFFF);
preconfiguration.preconfigComm.pools[0].scTxParameters.alpha = LteRrcSap::
                                                                 SlTxParameters::al09;
preconfiguration.preconfigComm.pools[0].scTxParameters.p0 = -40;
preconfiguration.preconfigComm.pools[0].dataTxParameters.alpha = LteRrcSap::
                                                                SlTxParameters::al09;
preconfiguration.preconfigComm.pools[0].dataTxParameters.p0 = -40;
//Synchronization
preconfiguration.preconfigSync.syncOffsetIndicator1 = 18;
preconfiguration.preconfigSync.syncOffsetIndicator2 = 29;
preconfiguration.preconfigSync.syncTxThreshOoC = syncTxThreshOoC;
preconfiguration.preconfigSync.syncRefDiffHyst = syncRefDiffHyst;
preconfiguration.preconfigSync.syncRefMinHyst = syncRefMinHyst;
preconfiguration.preconfigSync.filterCoefficient = filterCoefficient;
```

Finally, the configured pool is stored in LteSlUeRrc class by calling SetSlPreconfiguration function

```
ueSidelinkConfiguration->SetSlPreconfiguration (preconfiguration);
```

Then, by calling the InstallSidelinkConfiguration method of LteHelper class it configures the LteUeRrc attribute named "SidelinkConfiguration" of a UE, which is nothing but a pointer to the LteSlUeRrc object used to configure the pool:

```
lteHelper->InstallSidelinkConfiguration (ueRespondersDevs, ueSidelinkConfiguration);
```

This interaction of classes to populate the Sidelink pool is also depicted in ns-3 LTE Sidelink pool configuration flow.

Alternatively, the pool configuration for control and data can also be done in a semi-automatic way by using the LteSlPreconfigPoolFactory. This would ease the configuration of the pool by only changing the parameters of interest and leaving the rest as default. For example, the above configuration can also be done as follows,

```
preconfiguration.preconfigGeneral.carrierFreq = 23330;
preconfiguration.preconfigGeneral.slBandwidth = 50;
preconfiguration.preconfigComm.nbPools = 1;
LteSlPreconfigPoolFactory pfactory;
//Cont.rol
pfactory.SetControlPrbStart (3);
pfactory.SetControlPrbEnd (46);
//Data
pfactory.SetDataOffset (pscchLength);
//Synchronization
preconfiguration.preconfigSync.syncOffsetIndicator1 = 18;
preconfiguration.preconfigSync.syncOffsetIndicator2 = 29;
preconfiguration.preconfigSync.syncTxThreshOoC = syncTxThreshOoC;
preconfiguration.preconfigSync.syncRefDiffHyst = syncRefDiffHyst;
preconfiguration.preconfigSync.syncRefMinHyst = syncRefMinHyst;
preconfiguration.preconfigSync.filterCoefficient = filterCoefficient;
preconfiguration.preconfigComm.pools[0] = pfactory.CreatePool ();
ueSidelinkConfiguration->SetSlPreconfiguration (preconfiguration);
lteHelper->InstallSidelinkConfiguration (ueRespondersDevs, ueSidelinkConfiguration);
```

Upon the completion of the simulation, the information related to the topology and the data related to the synchronization process gathered through the traces is written to the following files,

· nPositions.txt

This file stores the position of all the nodes, i.e., eNBs and the UEs in the simulation.

• prose-connections.txt

This file stores the information, e.g., Node id, and IMSI of the transmitting and the corresponding receiving UEs.

· FirstScan.txt

This file stores the start time (in ms) of the first scanning period and the IMSI of each UE nodes. The start time for each UE is randomly chosen between 2000 ms and 4000 ms defined by the variables "firstScanTimeMin" and "firstScanTimeMax" in the simulation script.

· SyncRef.txt

The information in this file is gathered by listening to the trace "ChangeOfSyncRef" of LteUeRrc.

prev prev prev Time (ms) **IMSI** prev curr curr curr curr **SLSSID** RxOff-Fra-Sfra-**SLSSID** RxOff-Fra-Sfraset meNo meNo set meNo meNo 

Table 10: SyncRef.txt

It is to be noted that the data in first and last three rows of above table are written by this simulation script to mark the start and end of the simulation. From row 4 we can observe that the UE with IMSI 1 becomes the SyncRef, this can be deduced by looking at its SLSS-ID, which is SLSS-ID = IMSI  $\star$  10 as explained in *RRC*. On the other hand, UEs with IMSI 2 and 3 selects IMSI 1 as their SyncRef and use the same SLSS-ID, as shown in row 5 and 6.

#### pscr-ue-pck.tr

The information in this file is obtained by using the traces "TxWithAddresses" and "RxWithAddresses" of UdpEchoClient and PacketSink application respectively. Following table shows the snippet of data from this file for all the three UEs,

	F F							
Time (ms)	tx/rx	NID	IMSI	UEtype	size (bytes)	IP[src]	IP[dst]	
21485	t	7	2	resp	40	7.0.0.3:49153	225.0.0.1:8000	
21488	r	6	1	resp	40	7.0.0.3:49153	7.0.0.2:8000	
21488	r	8	3	resp	40	7.0.0.3:49153	7.0.0.4:8000	

Table 11: pscr-ue-pck.tr

The first row shows that the first UE with node id 4 and IMSI 1 acts as a transmitter and sends a multicast packet of 40 bytes. Similarly, the other two UEs act as receiver.

#### • TxSlss.txt

This file stores the information gathered by listening to the trace "SendSLSS" of LteUeRrc. Following is the snippet of the information stored in this file.

Table 12: TxSlss.txt

Time (ms)	IMSI	SLSSID	txOffset (ms)	inCoverage	FrameNo	SframeNo
20038	2	20	29	0	639	4
20078	2	20	29	0	643	4
20118	2	20	29	0	647	4
20158	2	20	29	0	651	4

It can be observed that UE with IMSI 1 being a SyncRef is transmitting SLSS with a fixed periodicity of 40 ms. Moreover, at the time when this UE chose to become a SyncRef it randomly selects one of the two configured offsets, i.e., syncOffsetIndicator1 and syncOffsetIndicator2. In this example, it has selected syncOffsetIndicator2, which is set to 29 ms.

#### wns3-2017-discovery

This example deploys 10 out-of-coverage UEs, distributed randomly within an area of 100 m x 100 m. This example script also illustrates how an out-of-coverage Sidelink related simulation can be performed without instantiating the eNB nodes.

## **Topology configuration**

Compared to the topology of the synchronization example that we discussed before, this example is very simple. For instance, it does not use hexagonal topology or form groups of UEs, i.e, it does not use Lte3gppHexGridEnbTopologyHelper and LteSidelinkHelper. The LteHelper and PointToPointEpcHelper are initialized in the same way like any other LTE example with EPC. Also, the call to disable the eNB PHY can also be skipped, since it does not instantiates the eNB nodes. Moreover, this example only focuses on simulating ProSe out-of-coverage discovery, therefore, there is no need to configure the IP of the UEs since discovery is purely a MAC layer application where the messages are filtered on the basis of ProSeAppCode.

The first Sidelink related configuration in this example is to enable the Sidelink.

Enabling Sidelink

```
lteHelper->SetAttribute ("UseSidelink", BooleanValue (true));
```

#### Note: Attribute "UseSidelink" must be set before installing the UE devices.

• Work-around to bypass the use of eNB nodes

```
(1)
 lteHelper->SetAttribute ("PathlossModel",
                         StringValue ("ns3::FriisPropagationLossModel"));
(2)
 lteHelper->Initialize ();
(3)
 double ulFreq = LteSpectrumValueHelper::GetCarrierFrequency (23330);
 NS_LOG_LOGIC ("UL freq: " << ulFreq);
 Ptr<Object> uplinkPathlossModel = lteHelper->GetUplinkPathlossModel ();
 Ptr<PropagationLossModel> lossModel = uplinkPathlossModel->
                                 GetObject<PropagationLossModel> ();
 NS_ABORT_MSG_IF (lossModel == NULL, "No PathLossModel");
 bool ulFreqOk = uplinkPathlossModel->
        SetAttributeFailSafe ("Frequency", DoubleValue (ulFreq));
 if (!ulFreqOk)
     NS_LOG_WARN ("UL propagation model does not have a Frequency attribute");
   }
```

The use of eNB nodes can be bypassed by using the above commands strictly in the order they are listed. The command "IteHelper->Initialize ()" basically performs the channel model initialization of all the component carriers. Therefore, it is necessary to configure any desired pathloss model before issuing this command. The commands in step 3 are to properly configure the frequency attribute of the pathloss model used, which is normally done in InstallSingleEnb method of LteHelper.

• Creating the UE node, fixing their mobility, and installing UE devices :

```
NodeContainer ues;
ues.Create (nbUes);
//Position of the nodes
Ptr<ListPositionAllocator> positionAllocUe =
                         CreateObject<ListPositionAllocator> ();
for (uint32_t u = 0; u < ues.GetN (); ++u)
    Ptr<UniformRandomVariable> rand = CreateObject<UniformRandomVariable> ();
   double x = rand -> GetValue (-100, 100);
   double y = rand -> GetValue (-100, 100);
   double z = 1.5;
    positionAllocUe->Add (Vector (x, y, z));
// Install mobility
MobilityHelper mobilityUe;
mobilityUe.SetMobilityModel ("ns3::ConstantPositionMobilityModel");
mobilityUe.SetPositionAllocator (positionAllocUe);
mobilityUe.Install (ues);
NetDeviceContainer ueDevs = lteHelper->InstallUeDevice (ues);
```

The above commands will simply create 10 UEs and position each UE at a random location in an area of 100 m x 100 m. The UE devices are installed in a usual way by calling InstallUeDevice of LteHelper class.

#### Service configuration

• Configuring discovery applications and starting the discovery process :

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Given the broadcast nature of the discovery process, the applications are configured such that each UE will be able to transmit discovery messages with one ProSeAppCode, i.e., one announce app and will be able to monitor/receive the discovery messages from all other UEs (Notice the two for loops at the beginning). The UE device index in the NetDeviceContainer is used as a ProSeAppCode, which is later in LteUeRrc is converted into a 184 bitset [TS23003]. Finally, at 2 sec the method "SIStartDiscovery" implemented in this example calls the StartDiscovery of LteHelper class to start the discovery process.

# Sidelink pool configuration

This example simulates an out-of-coverage scenario, therefore, all the UEs will be configured with a pre-configured Sidelink discovery pool. As mentioned in *RRC*, in this scenario the LteSlUeRrc class will be responsible for holding this per-configured pool configuration. The pool configuration starts by setting a flag in LteSlUeRrc as an indication that the Sidelink discovery is enabled. It is configured as follows:

```
Ptr<LteSlUeRrc> ueSidelinkConfiguration = CreateObject<LteSlUeRrc> ();
ueSidelinkConfiguration->SetDiscEnabled (true);
```

For configuring Sidelink discovery pre-configured pool parameters, the IE "SL-Preconfiguration" defined in the standard [TS36331] is converted into a C++ structure and similar to the basic LTE layer 3 messages it can be found in LteRrcSap class. This example uses this structure to configure the Sidelink discovery pool parameters in the following way,

```
LteRrcSap::SlPreconfiguration preconfiguration;
preconfiguration.preconfigGeneral.carrierFreq = 23330;
preconfiguration.preconfigGeneral.slBandwidth = 50;
preconfiguration.preconfigDisc.nbPools = 1;
preconfiguration.preconfigDisc.pools[0].cpLen.cplen = LteRrcSap::SlCpLen::NORMAL;
preconfiguration.preconfigDisc.pools[0].discPeriod.period = LteRrcSap::
                                                              SlPeriodDisc::rf32;
preconfiguration.preconfigDisc.pools[0].numRetx = 0;
preconfiguration.preconfigDisc.pools[0].numRepetition = 1;
preconfiguration.preconfigDisc.pools[0].tfResourceConfig.prbNum = 10;
preconfiguration.preconfigDisc.pools[0].tfResourceConfig.prbStart = 10;
preconfiguration.preconfigDisc.pools[0].tfResourceConfig.prbEnd = 49;
preconfiguration.preconfigDisc.pools[0].tfResourceConfig.offsetIndicator.offset = 0;
preconfiguration.preconfigDisc.pools[0].tfResourceConfig.subframeBitmap.
                                                   bitmap = std::bitset<40>(0x11111);
preconfiguration.preconfigDisc.pools[0].txParameters.txParametersGeneral.alpha =
                                                     LteRrcSap::SlTxParameters::al09;
preconfiguration.preconfigDisc.pools[0].txParameters.txParametersGeneral.p0 = -40;
preconfiguration.preconfigDisc.pools[0].txParameters.txProbability =
                             SidelinkDiscResourcePool::TxProbabilityFromInt (txProb);
```

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```
NS_LOG_INFO ("Install Sidelink discovery configuration in the UEs...");
ueSidelinkConfiguration->SetSlPreconfiguration (preconfiguration);
lteHelper->InstallSidelinkConfiguration (ueDevs, ueSidelinkConfiguration);
```

Alternatively, the discovery pool configuration can also be done in a semi-automatic way by using the LteSlDiscResourcePoolFactory. This would ease the configuration of the pool by only changing the parameters of interest and leaving the rest as default. For example, the above configuration can also be done as follows,

```
preconfiguration.preconfigGeneral.carrierFreq = 23330;
preconfiguration.preconfigGeneral.slBandwidth = 50;
preconfiguration.preconfigComm.nbPools = 1;

LteSlDiscPreconfigPoolFactory pfactory;
pfactory.SetDiscPrbEnd (49);

preconfiguration.preconfigDisc.pools[0] = pfactory.CreatePool ();

ueSidelinkConfiguration->SetSlPreconfiguration (preconfiguration);
lteHelper->InstallSidelinkConfiguration (ueDevs, ueSidelinkConfiguration);
```

Upon the completion of the simulation a user can find the following files containing the information of the simulated discovery process.

· discovery\_nodes.txt

This file stores the position of all the UEs in the simulation.

SlDchMacStats.txt

This file logs the discovery message transmissions (announcements) by listening to the "SIPsdchScheduling" trace of LteUeMac class.

SlRxPhyStats.txt

This file contains the information about the reception of the discovery announcements at the physical layer of a recepient UE. In particular, it is obtained by listening to the "SIPhyReception" trace of LteSpectrumPhy class.

By comparing the traces from UE MAC and UE PHY, one can notice that the phy layer receives a particular discovery messages exactly after 4 ms of the processing delay between UE MAC and PHY. The CellId is 0 because of out-of-coverage scenario.

#### · SlDchRxRrcStats.txt

This file contains the information about the reception of discovery messages by each UE. The data is obtained by listening to the "DiscoveryMonitoring" trace of LteUeRrc class. Following is snippet from this file.

Time (ms)	IMSI	CellId	RNTI	DisType	ContentType	DiscModel	Content
2240	2	0	2	1	0	1	1
2240	3	0	3	1	0	1	1
2240	4	0	4	1	0	1	1
2240	5	0	5	1	0	1	1

Table 13: SIDchRxRrcStats.txt

From the above table it can be observed that the UE with IMSI 2, 3, 4, and 5 have received a discovery message. This is a message of type open discovery (indicated by DiscType) used to announce (indicated by ContentType) a discovery model A (indicated by DiscModel) content. The content in this message is the ProSeAppCode of IMSI 1. In other

words, these UEs have discovered a UE with ProSeAppCode 1. For more information about the discovery message parameters please have a look at [TS24334].

# 2.4 Testing Documentation

# 2.5 LTE Sidelink tests

# 2.5.1 Unit Tests

#### **Sidelink Communication pool**

The test suite <code>Sidelink-comm-pool</code> checks the validity of Sidelink communication parameters computed during a simulation, e.g., the expected starting frame and subframe number, index of the starting Resource Block (RB), and the number of RBs in a subframe. The test suite contains a pre-configured communication pool for which these parameters are computed. Table <code>Sidelink communication pool configuration</code> below lists the configuration of the pool used.

Table 11. Sidelink communication pool comiguration						
Parameter	Value					
Control period	sf120 (120 ms)					
Control bitmap	0x0000000003 (40 bits)					
Number of control RBs	1					
First control RB index	10					
End control RB index	40					
Control Offset	80 ms					
Data Bitmap	0xCCCCCCCC (40 bits)					
Number of data RBs	1					
First data RB index	0					
End data RB index	49					
Data Offset	8 ms					

Table 14: Sidelink communication pool configuration

There are four test cases:

#### 1. SidelinkCommPoolNextFrameSubframeTestCase

This test checks the starting frame and subframe number of the next Sidelink Control (SC) period given the current frame and subframe number. Let Fr be the current frame number and Sf the current subframe number. The absolute subframe number  $Sf_{abs}$ , since the Sf=0 of Fr=0 is give by :

$$Sf_{abs} = 10 * (Fr \mod 1024) + Sf \mod 10;$$

The number of current Sidelink control period  $ScPr_{cur}$  is calculated as,

$$ScPr_{cur} = \left\lfloor \frac{Sf_{abs} - Sc_{offset}}{ScPr} \right\rfloor$$

where  $Sc_{\text{offset}}$  is the Sidelink control offset and ScPr is the Sidelink control period from Table Sidelink communication pool configuration. The range of  $ScPr_{\text{cur}}$  is :  $0 \le ScPr_{\text{cur}} < \frac{10240}{ScPr}$ 

If the frame number is the last period, the next SC period will start after  $Sc_{
m offset}$ 

Finally, the next start frame and subframe number is calculated as,

$$Next_{start} = Sc_{offset} + ScPr_{cur} * ScPr$$

$$Fr_{next} = \frac{Next_{start}}{10} \mod 1024$$

$$Sf_{next} = Next_{start} \mod 10$$

Three different configuration has been tested:

1. 
$$Fr = 0$$
 and  $Sf = 5$ 

For this configuration, the expected behavior is that the next Sidelink control period will start in frame number 8 and subframe 0 because of the  $Sc_{\text{offset}}$  of 80 msec.

2. 
$$Fr = 1023$$
 and  $Sf = 0$ 

This configuration tests the roll over upon reaching the maximum frame number range. Here, the expected behavior is to have a  $Sc_{\text{offset}}$  of 80 msec once again starting from Sf = 0 of Fr = 0.

3. 
$$Fr = 1002$$
 and  $Sf = 0$ 

This configuration reflects the condition when the current frame and subframe number are part of an on going Sidelink control period. The expected behavior is that the next period will start in frame 1004 and subframe 0, i.e., right after the remaining frames/subframes of the current Sidelink control period.

#### 2. SidelinkCommPoolResourceOpportunityTestCase

This test takes the PSCCH resource and transmission number as an input and verifies the frame, subframe number, index of the starting RB, and the number of RBs available in a subframe. The total number of resources in a PSCCH pool are calculated as per [TS36213] 14.2.1.1.

$$RES_{PSCCH} = L_{PSCCH} * \left\lfloor \frac{RB_{PSCCH}}{2} \right\rfloor$$

where

 $L_{\rm PSCCH}=2$  is the total number of subframes available for PSCCH in this pool

 $RB_{\mathrm{PSCCH}} = 2$  (i.e., RB 10 and RB 40) is the total number of resource blocks per subframe available for PSCCH in this pool.

Hence, 
$$RES_{PSCCH} = 2$$

These resources in time and frequency are indexed from 0 to  $RES_{PSCCH} - 1$ . In this test, it will range from 0 -> 1, therefore, we test both the PSCCH resources to verify the frame/subframe number, index of the starting RB and the total number of RBs in a subframe available for Sidelink Control Information (SCI) transmission. We note that, to ensure the reliability of the SCI message delivery, each message is transmitted in two identical instances, where each instance occupies one RB. The two instances are transmitted over two different subframes. Therefore, for each PSCCH resource there will be two transmissions. As per [TS36213] 14.2.1.1, for a resource index  $n_{PSCCH}$  first transmission occurs in RB a1 of subframe b1 where

$$a1 = \left\lfloor \frac{n_{\text{PSCCH}}}{L_{\text{PSCCH}}} \right\rfloor$$
$$b1 = n_{\text{PSCCH}} \bmod L_{\text{PSCCH}}$$

and the second transmission occurs in RB a2 of subframe b2 where

$$a2 = \left\lfloor \frac{n_{\rm PSCCH}}{L_{\rm PSCCH}} \right\rfloor + \left\lfloor \frac{RB_{\rm PSCCH}}{2} \right\rfloor$$
 
$$b2 = (n_{\rm PSCCH} + 1 + \frac{n_{\rm PSCCH}}{L_{\rm PSCCH}} \mod (L_{\rm PSCCH} - 1)) \mod L_{\rm PSCCH}$$

 $RES_{PSCCH} = 2$  would mean that we have following four configuration to verify,

1.  $n_{PSCCH} = 0$ , Transmission 0:

For  $n_{\text{PSCCH}} = 0$ , the expected behavior is that the first transmission of PSCCH would be in RB = 10 of Fr = 0 and Sf = 0 and number of RBs for this transmission would be 1.

2.  $n_{\text{PSCCH}} = 0$ , Transmission 1:

For  $n_{\text{PSCCH}} = 0$ , the expected behavior is that the second transmission of PSCCH would be in RB = 40 of Fr = 0 and Sf = 1 and number of RBs for this transmission would be 1.

3.  $n_{\text{PSCCH}} = 1$ , Transmission 0:

For  $n_{\text{PSCCH}} = 1$ , the expected behavior is that the first transmission of PSCCH would be in RB = 40 of Fr = 0 and Sf = 0 and number of RBs for this transmission would be 1.

4.  $n_{PSCCH} = 1$ , Transmission 1:

For  $n_{\text{PSCCH}} = 1$ , the expected behavior is that the second transmission of PSCCH would be in RB = 10 of Fr = 0 and Sf = 1 and number of RBs for this transmission would be 1.

## 3. SidelinkCommPoolSubframeOpportunityTestCase

This test verifies index/indices of the RBs available for a given frame - subframe number. The following three configurations have been tested.

1. Fr = 8 and Sf = 0

This configuration refers to the start of SC period, i.e., after 80 ms of offset from Fr=0 and Sf=0. Thus, we should be on the first subframe of the control channel. The expected RB indices available for this subframe are 10 and 40

2. 
$$Fr = 8$$
 and  $Sf = 1$ 

This configuration refers to second subframe of the SC period. The expected RB indices available for this subframe are 10 and 40.

3. 
$$Fr = 8$$
 and  $Sf = 2$ 

This configuration refers the subframe number, which is not part of SC period as indicated by control bit map. Thus, in this subframe none of the resource block will be allocated for PSCCH transmission.

## 4. SidelinkCommPoolPsschTestCase

This test checks for the frame-subframe number for PSSCH transmissions. In this test beside the parameters from the pool configuration, an important parameter, i.e., Time Resource Pattern index (iTRP) is provided. All the tests are performed with iTRP = 5, which corresponds to the bit map of (0,0,0,0,0,1,0,0) give by the table Table 14.1.1.1-1 of [TS36213]. Every transmission on PSSCH is transmitted using 4 HARQ processes using 4 different subframes. Therefore, this test verifies the frame and subframe number of all the 4 transmissions.

1. 
$$Fr = 0$$
,  $Sf = 5$ ,  $iTRP = 5$ , Transmission 0

Starting from Fr = 0, the first PSSCH transmission should occur on subframe :

$$Sf_{T0} = Sc_{offset} + Data_{offset} + Sf_{TRP}$$

where  $Sc_{\text{offset}}$  and  $Data_{\text{offset}}$  values are from the Table Sidelink communication pool configuration and  $Sf_{\text{TRP}}$  are obtained after applying TRP bit map on active bits of data bit map. For example,

	TRP bit map					TRP bit				
	0 0	0 0	0 1	0 0	0 0	0 0	0 1	0 0		
0 0	1 1 0	0 1 1 0	0 1 '1' 0	0 1 1 0	0 1 1 0	0 1 1 0	0 1 '1' 0	0 0 1 1 0 0	[1]1[0]0[	1 1
<b> </b>					Data bit	map				[

If we apply the TRP bit map on the active bits of data bit map, starting from the least significant bit (lbs) of TRP bit map the sixth bit indicates an active subframe. In other words, an offset of 11 subframes as per data bit map, hence,  $Sf_{\text{TRP}} = 11$ . By using above equation the first PSSCH transmission since subframe 0, would occur on 80 + 8 + 11 = 99 subframe, i.e. Fr = 9 and Sf = 9.

Similarly, the remaining three transmissions and all other transmissions will occur every 16 ms. This has been tested by the following tests.

2. 
$$Fr = 0$$
,  $Sf = 5$ ,  $iTRP = 5$ , Transmission 1

The transmission should occur after 16 ms of transmission 0, i.e., in Fr = 11, Sf = 5.

3. 
$$Fr = 0$$
,  $Sf = 5$ ,  $iTRP = 5$ , Transmission 2

The transmission should occur after 16 ms of transmission 1, i.e., in Fr = 13, Sf = 1.

4. 
$$Fr = 0$$
,  $Sf = 5$ ,  $iTRP = 5$ , Transmission 3

The transmission should occur after 16 ms of transmission 2, i.e., in Fr = 14, Sf = 7.

# Sidelink discovery pool

The test suite Sidelink-disc-pool checks the validity of Sidelink discovery parameters computed during a simulation, e.g., the expected starting frame and subframe number, index of the starting Resource Block (RB) and the number of RBs in a subframe. The test suite contains a pre-configured discovery pool for which these parameters are computed. Table *Sidelink discovery pool configuration* below lists the configuration of the pool used.

Parameter	Value
Discovery cyclic prefix length	NORMAL
Discovery period	rf32 (320 ms)
Number of retransmissions	0
Number of repetition	1
Number of RBs	1
First RB index	10
End RB index	11
Offset	80 ms
Bitmap	0x0000000003 (40 bits)

Table 15: Sidelink discovery pool configuration

There are three test cases:

#### 1. SidelinkDiscPoolNextFrameSubframeTestCase

This test checks the starting frame and subframe number of the next Discovery Period (DiscPr) given the current frame and subframe number. Let Fr be the current frame number and Sf the current subframe number. The absolute subframe number  $Sf_{\rm abs}$ , since Sf=0 of Fr=0 is given by :

$$Sf_{abs} = 10 * (Fr \mod 1024) + Sf \mod 10;$$

The number of current discovery period  $DiscPr_{cur}$  is calculated as,

$$DiscPr_{cur} = \left| \frac{Sf_{abs} - Disc_{offset}}{DiscPr} \right|$$

where  $Disc_{\text{offset}}$  is the discovery offset and DiscPr is the discovery period from Table Sidelink discovery pool configuration. The range of  $DiscPr_{\text{cur}}$  is :  $0 \le DiscPr_{\text{cur}} < \frac{10240}{DiscPr}$ 

If the frame number is the last period, the next DiscPr will start after  $Disc_{offset}$ 

Finally the next start frame and subframe number are calculated as,

$$\begin{aligned} Next_{\rm start} &= Disc_{\rm offset} + DiscPr_{\rm cur} * DiscPr \\ Fr_{\rm next} &= \frac{Next_{\rm start}}{10} \bmod 1024 \\ Sf_{\rm next} &= Next_{\rm start} \bmod 10 \end{aligned}$$

Four different configurations have been tested:

1. 
$$Fr = 0$$
 and  $Sf = 5$ 

For this configuration, the expected behavior is that the next discovery period will start in frame number 8 and subframe 0 because of the  $Disc_{\text{offset}}$  of 80 msec.

2. 
$$Fr = 8$$
 and  $Sf = 0$ 

Here, we are at the start of first discovery period the next discovery period will start after DiscPr = 320ms in frame number 40 and subframe 0.

3. 
$$Fr = 1023$$
 and  $Sf = 0$ 

This configuration tests the roll over upon reaching the maximum frame number range. Here the expected behavior is to have a  $Disc_{offset}$  of 80 msec once again starting from frame number 0.

4. 
$$Fr = 800$$
 and  $Sf = 0$ 

This configuration reflects the condition when the current frame and subframe number are part of an on going discovery period. The expected behavior is that the next discovery period will start in frame 808 and subframe 0, i.e., right after the remaining frames/subframes of the current discovery period.

#### 2. SidelinkDiscPoolResourceOpportunityTestCase

This test takes as input the PSDCH resource number from the configured pool and checks for the correct frame/subframe number of the starting RB and the total number of RBs in a subframe assigned for Sidelink discovery. The computation of these parameters are done as per 14.3.1 and 14.3.3 of [TS36213]. Specifically, the methods GetPsdchTransmissions and ComputeNumberOfPsdchResources in lte-sl-pool.cc, respectively implements the mentioned clauses of the standard.

The total number of resources for PSDCH are calculated as,

$$RES_{PSDCH} = \left\lfloor \frac{L_{PSDCH}}{Retx + 1} \right\rfloor * \left\lfloor \frac{RB_{PSDCH}}{2} \right\rfloor$$

where

 $L_{PSDCH} = 2$  is the total number of subframes available for PSDCH in this pool

Retx = 0 is the total number of retransmission allowed

 $RB_{\mathrm{PSDCH}}=2$  (i.e., RB 10 and RB 11) is the total number of resource blocks per subframe available for PSDCH in this pool.

These resources in time and frequency are indexed from 0 to  $RES_{PSDCH} - 1$ . In this test, it will range from 0 -> 1, therefore, we test both the PSDCH resources to verify the frame/subframe number, index of the starting RB and the total number of RBs in a subframe available for Sidelink discovery.

#### 1. PsdchResourceNo:0

By selecting the PsdchResourceNo = 0 the expected frame and subframe would be 0 and since, the first RB index of the configured pool is 10 the index of the starting RB is expected to be 10 and, at last, the total number of resource blocks are expected to be 2.

#### 2. PsdchResourceNo:1

Compared to the PsdchResourceNo:0 case, by choosing resource number 1 we expect the discovery transmission to occur on subframe = 1.

#### 3. SidlelinkDiscPoolRbsPerSubframeTestCase

This test checks for the total number of resource blocks available in a single subframe and also verifies the indices of the resource blocks. The following three configurations have been tested.

1. 
$$Fr = 8$$
 and  $Sf = 0$ 

If we go to 80 ms, we should be on the first subframe of the discovery channel and RB 10 and 11 should be assigned for discovery transmission.

2. 
$$Fr = 8$$
 and  $Sf = 1$ 

If we go to 81 ms, we should be on the second subframe of the discovery channel and RB 10 and 11 should be assigned for discovery transmission.

2. 
$$Fr = 8$$
 and  $Sf = 2$ 

If we go to 82 ms, we we should be outside the discovery channel and there should be no resource block assignment during this subframe.

## **System Tests**

## In-coverage Sidelink communication

The test suite sidelink-in-coverage-comm is a system test to test in-coverage Sidelink communication. In particular, it contains two cases to test Mode 1 and Mode 2 for Sidelink communication, respectively. In Mode 1, D2D communications are assisted by the eNodeB, i.e., resource scheduling is performed dynamically by the eNodeB. In Mode 2, UEs manage resource scheduling autonomously relying on pre-configured settings, and both, PSCCH and PSSCH, resources are selected at random from their respective resource pools.

This test creates a scenario with two UEs, which are in coverage of one eNodeB. One of the UE will send traffic directly to the other UE via Sidelink as per configured mode, i.e., Mode 1 or Mode 2. Default configuration will send 10 packets per second for 2 seconds. The expected output is that the receiver UE node would receive 20 packets.

#### Out of coverage Sidelink communication

Similar to the in-coverage test, the test suite sidelink-out-of-coverage-comm is a system test to test the out of coverage Sidelink communication. This test creates a scenario with two out of coverage UEs, where one of the UE will send traffic directly to the other UE via Sidelink. Default configuration will send 10 packets per second for 2 seconds. The expected output is that the receiver UE node would receive 20 packets.

### Sidelink synchronization

The test suite sidelink-synch is a system test to test the synchronization of the UEs in a test scenario with a selected SyncRef UE. In particular, it simulates the following two topologies by varying the traffic type, traffic direction in the sidelink TFT, and the random stream.

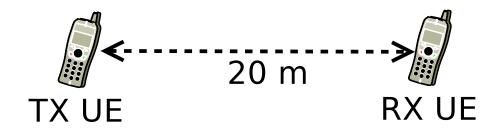


Fig. 26: Sidelink synchronization test topology 1

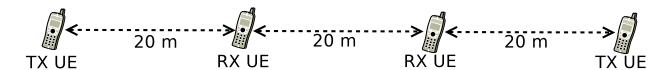


Fig. 27: Sidelink synchronization test topology 2

There are four test cases, two for each topology. Each of the four tests uses ten different random number streams. The reason behind changing the random stream value is to make sure that at the time of applying the change of timings, the newly computed frame and subframe number is aligned with the selected SyncRef UE since the computation of an absolute subframe number is sensitive to the combination of the frame and subframe number used. Currently, all the tests are performed using an OnOff application configured to generate CBR traffic. The test for the alignment of the frame and subframe number is performed after 0.3 ms the trace ChangeOfSyncRef gets trigger from the RRC layer of the synchronizing UE. This delay of 0.3 ms is needed because if the SynchRef UE is instantiated after the synchronizing UE in the simulation script, the event triggering the subframe indication method, which is responsible to update the frame and subframe number at the physical layer of the SyncRef will happen after this UE.

Let's take an example of node 5 (RX UE) and node 6 (TX UE/SyncRef) in topology 2. In the following logs, node 5 is synchronizing with node 6 by changing its frame to 982 and subframe to 7. At this stage, the frame and subframe number at the SyncRef UE (i.e., Node 6) would be 982 and 6, respectively. This is because the SubframeIndication method with frame 982 and subframe 7 for node 6 is later in the simulator event list. Therefore, we chose to delay the CheckSfnAlignment method in the test.

```
+6.440000000s 5 LteUePhy:SubframeIndication(0xf6e430, 973, 10)
+6.440000000s 5 LteUePhy:ChangeOfTiming(0xf6e430, 973, 10)
+6.440000000s 5 LteUePhy:ChangeOfTiming(): The UE is not currently transmitting Sidelink communication... Applying the change of timing
+6.440000000s 5 LteUePhy:ChangeOfTiming(): mibTime frame/subframe = 982/3
+6.440000000s 5 LteUePhy:ChangeOfTiming(): currentTime frame/subframe = 973/10
+6.440000000s 5 LteUePhy:ChangeOfTiming(): rxSubframe frame/subframe = 973/6
```

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```
+6.440000000s 5 LteUePhy:ChangeOfTiming(): UE RNTI 3 does not have a Tx pool and changed the Subframe Indication from 973/10 to 982/7

+6.440000000s 5 LteUeRrc:DoReportChangeOfSyncRef(0xf70080)

+6.440000000s 5 LteUeRrc:DoReportChangeOfSyncRef(): 0xf70080 UE IMSI 3 reported successful change of SyncRef, selected SyncRef SLSSID 40 offset 36

+6.440000000s 5 LteUePhy:SubframeIndication(): (re)synchronization successfully performed

.
.
.+6.440000000s 6 LteUePhy:SubframeIndication(0xf73e60, 982, 7)

+6.440299999s Time of CheckSfnAlignment in the test
```

Finally, the test would pass if the synchronizing UE has a similar frame and subframe number as its SyncRef UE.

# In-coverage Relay communication

The test suite sl-in-covrg-lrelay-lremote-regular is a system test to test the in-coverage sidelink communication scenario between a Relay UE and a Remote UE. The test uses as parameters the simulation time, number of packets for the echo client application, the interval between packets for the echo client application, and the packet size for the echo client application. The echo client is installed on the Remote UE and the echo server is installed on a remote host machine on the network. After the successful setup of the one-to-one communication state machine, the packets are expected to flow from the Remote UE to the Relay UE and packet gateway and ultimately end up at the remote host, which echoes the packets back on the reverse route. The test is considered to have passed if both the UEs reach the right state by transmitting the 'Remote UE Info Request' and 'Remote UE Info Response' messages between each other and if at least one packet has been echoed between the Remote UE and the remote host.

The test suite sl-in-covrg-lrelay-lremote-keepalive modifies the timers associated with the one-to-one communication state machine to force the Relay UE and the Remote UE to transmit 'Direct Communication Keepalive' and 'Direct Communication Keepalive Acknowledgement' messages between each other. The test is considered to have passed if both the UEs keep the link alive by receiving the respective keepalive messages.

The test suites sl-in-covrg-lrelay-lremote-disconnect-remote and sl-in-covrg-lrelay-lremote-disconnect-relay forces the Remote UE and the Relay UE respectively to relinquish the established one-to-one communication link. The tests are considered to have passed if the appropriate UE sends the 'Direct Communication Release' message and the other UE responds with the 'Direct Communication Release Accept' message.

The test suites sl-in-covrg-lrelay-lremote-reconnect-remote and sl-in-covrg-lrelay-lremote-reconnect-relay forces the Remote UE and the Relay UE respectively to relinquish the established one-to-one communication link and reestablish it again later in the simulation. Since the UEs are in coverage of the network, once the sidelink path for the packets between the UEs is removed, the Remote UE uses the uplink path to send the packets to the remote host machine. The tests are considered to have passed if the packets inspected at the remote host have appropriate source IP addresses at specific times in the scenario.

The test suite sl-in-covrg-lrelay-2remote-regular is a system test to test the in-coverage sidelink communication scenario between a Relay UE and two Remote UEs. Each Remote UE sends certain number of packets to the remote host and receives the echoes of the packets from the remote host. The test is considered to have passed if

the collective number of packets sent from the Remote UEs is equal to the collective number of packets received at the Remote UEs.

The test suite sl-in-covrg-2relay-1remote-regular is a system test to test the in-coverage sidelink communication scenario between two Relay UEs and a Remote UE. The remote host can receive packets originating from the Remote UE through either Relay UEs. To ensure the packets have taken both paths through each Relay UE, the source address of the packets received at the remote host are inspected for two different IP addresses. The test is considered to have passed if the number of packets received at the remote host is equal to the number of packets sent from the remote host and the received packets at the remote host are from two different source IP addresses.

The test suite sl-in-covrg-2relay-1remote-disconnect-relay builds on the sl-in-covrg-2relay-1remote-regular by disconnecting the Relay UEs one by one. Once the first Relay UE is disconnected, the Remote UE is forced to use the second Relay UE. Once the second Relay UE is also disconnected, the Remote UE is forced to use its uplink. The test is considered to have passed if the received packets at the remote host are from three different source IP addresses, namely Remote UE uplink IP address, Remote UE IP address under first Relay UE and Remote UE IP address under second Relay UE.

#### Out of coverage Relay communication

The test suite <code>sl-ooc-1relay-1remote-regular</code> adds to the <code>sl-in-covrg-1relay-1remote-regular</code> test suite by having the necessary preconfiguration in the Remote UE to help the UEs operate out of network coverage. The out-of-coverage and in-coverage scenarios are similar in input parameters and the passing conditions for the individual test cases. The test suite <code>sl-ooc-1relay-1remote-misaligned</code> is a special case of the test suite <code>sl-ooc-1relay-1remote-regular</code> where the preconfiguration parameters can be modified for the Remote UE. The comments provided in the test suite tabulate the modifications that can be made to the variables and the responses that can be expected from running the test suite.

The test suites <code>sl-ooc-relay-generic-traffic-rm2rh</code> and <code>sl-ooc-relay-generic-traffic-rm2rm</code> are system tests to verify the UE-to-Network Relay functionality in scenarios with multiple Relay UEs and with multiple out-of-coverage Remote UEs that are not attached to the network. Both tests suites share the same topology comprising 'nRelayUes' Relay UEs that are deployed around the eNB uniformly on a circle of a given radius. Each Relay UE has a cluster of 'nRemoteUesPerRelay' Remote UEs deployed around itself uniformly on a circle of another given radius. The cluster of Remote UEs around a given Relay UE are interested only in its Relay Service Code and thus will connect only to that Relay UE. The UEs start their relay service sequentially in time. First the Relay UE, then the cluster of Remote UEs associated to that Relay UE (sequentially as well), then the next Relay UE, and so on.

difference between both test suites the direction of the is sl-ooc-relay-generic-traffic-rm2rh, each Remote UE sends traffic to a Remote Host in the network, which echoes back the message to the Remote UE. In sl-ooc-relay-generic-traffic-rm2rm, the first Remote UE connected to the first Relay UE is the echoServer. Each of the other Remote UEs send traffic to that Remote UE, which echoes back the message to the corresponding initiating Remote UE. In both test suites, each initiating Remote UE starts sending traffic 1.00 s after the start of the one-to-one connection procedure with its Relay UE and remain active during 10.0 s. The simulation time is calculated so that the last Remote UE can have its 10.0s of traffic activity. Each test suite contains several test cases with different number of Relay UEs (nRelayUes) and Remote UEs per Relay UE (nRemoteUesPerRelay). Each test case passes if at least 50% of the initiating Remote UEs in the test received back at least 50% of their transmitted packets. Given that the Remote UEs are out-of-coverage, the only way that they can reach the peer node (Remote Host or first Remote UE depending on the suite) and receive back the echoed message, is by successfully connecting to the Relay UE. Thus, these two test suites can be used to verify both UE-to-Network Relay one-to-one link setup and UE-to-Network Relay communication.

#### In-coverage Relay discovery

The test suite sl-in-covrg-discovery-1relay-1remote modifies sl-in-covrg-1relay-1remote-regular by making one UE the Relay UE and the other UE the Remote UE. The passing condition for the single test case

in sl-in-covrg-discovery-1 relay-1 remote is that in both Model A and Model B discovery modes, the Remote UE can successfully discover the relay service code broadcasted by the Relay UE.

**CHAPTER** 

THREE

# **BUILDINGS MODULE**

This chapter is an excerpt of the Buildings module documentation chapter, containing the 3GPP-related models that have been added to the *ns-3* buildings module.

# 3.1 Design documentation

# 3.1.1 3GPP aligned propagation loss models

In the following we describe all the propagation loss models, which are compliant with 3GPP standards. In particular, following propagation loss models are implemented:

- Hybrid3gppPropagationLossModel
- IndoorToIndoorPropagationLossModel
- OutdoorToIndoorPropagationLossModel
- OutdoorToOutdoorPropagationLossModel
- ScmUrbanMacroCellPropagationLossModel
- UrbanMacroCellPropagationLossModel

# Hybrid3gppPropagationLossModel

The Hybrid3gppPropagationLossModel pathloss model is a combination of the following pathloss models:

- IndoorToIndoorPropagationLossModel
- OutdoorToIndoorPropagationLossModel
- OutdoorToOutdoorPropagationLossModel
- UrbanMacroCellPropagationLossModel

This wrapper class is created to make it easier to evaluate the pathloss in different environments, e.g., Macro cell, D2D outdoor, indoor, hybrid (i.e. outdoor to indoor) and with buildings. The following pseudo-code illustrates how the different pathloss models are integrated in Hybrid3gppPropagationLossModel:

```
if (Macro Cell Communication)
    then
    L = UrbanMacroCell
else (D2D communication)
    if (NodeA is outdoor)
        then
```

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#### IndoorToIndoorPropagationLossModel

The model is defined by 3GPP for D2D indoor to indoor scenarios [TR36843] [TR36814]. It considers LOS and NLOS scenarios for 700 MHz frequency (Public Safety use cases) by taking into account the shadowing according to a log-normal distribution. For the case when UE is inside the same building as hotspot the standard deviation is 3 dB and 4 dB for LOS and NLOS, respectively. For the scenario when UE is in a different building the standard deviation is 10 dB.

#### UE is inside a different building as the indoor hotzone

$$L_{\text{NLOS}}[dB] = max(131.1 + 42.8 \log_{10}(R), 147.4 + 43.3 \log_{10}(R))$$

# UE is inside the same building as the indoor hotzone

$$L_{\text{LOS}}[\text{dB}] = 89.5 + 16.9 \log_{10}(R)$$
  
$$L_{\text{NLOS}}[\text{dB}] = 147.4 + 43.3 \log_{10}(R)$$

where the probability of LOS is given as:

$$Prob(R) = \left\{ \begin{array}{ll} 1 & \text{if } R \leq 0.018Km \\ e^{\frac{-(R-0.018)}{0.027}} & \text{if } 0.018Km \leq R \leq 0.037Km \\ 0.5 & \text{if } R \geq 0.037Km \end{array} \right.$$

According to the standard [TR36843], the pathloss for 700 MHz band is computed by applying  $20 \log_{10}(f_c)$  to the pathloss at 2 GHz as follows,

$$LOSS[\mathrm{dB}] = LOSS + 20\log_{10}(\frac{f_\mathrm{c}}{2}) \quad \text{if } 0.758GHz \leq f_\mathrm{c} \leq 0.798GHz \ .$$

where

 $f_{\rm c}$ : frequency [GHz]

R: distance between the hotspot and UE [Km]

#### OutdoorToIndoorPropagationLossModel

This model is implemented for outdoor to indoor scenarios as per the specifications in [TR36843]. The model supports both Line-of-Sight (LOS) and Non Line-of-Sight (NLOS) scenarios by taking in to account the shadowing according to a log-normal distribution with standard deviation of 7 dB for both the scenarios.

The pathloss equations used by this model is:

$$L_{LOS}[dB] = PL\_B1\_tot(d_{in} + d_{out}) + 20 + 0.5d_{in}$$

$$L_{\text{NLOS}}[dB] = PL\_B1\_tot(d_{in} + d_{out}) + 20 + 0.5d_{in} - 0.8h_{ms}$$

PL\_B1\_tot is computed as follows,

$$PL\_B1\_tot(d_{in} + d_{out}) = max(PL_{freespace}(d), PL\_B1(d_{in} + d_{out}))$$

where  $PL_{freespace}$  is free space path loss from Eq. 4.24 in [winner].

$$PL_{freespace} = 20\log_{10}(d) + 46.4 + 20\log_{10}(\frac{f_c}{5})$$

and  $PL\_B1$  is the path loss from Winner + B1 channel model for LOS and NLOS scenarios in hexagonal layout [winnerfinal]:

#### For LOS

$$PL\_B1_{\text{LOS}}[\text{dB}] = \begin{cases} 22.7 \log_{10}(d_{in} + d_{out}) + 27 + 20 \log_{10}(f_{\text{c}}) + LOS_{offset} & \text{if } 3m \leq d \leq d_{\text{BP}} \\ 40 \log_{10}(d_{in} + d_{out}) + 7.56 - 17.3 \log_{10}(h_{\text{bs}}^{'}) - & \text{if } d_{\text{BP}} \leq d \leq 5000m \\ 17.3 \log_{10}(h_{\text{ms}}^{'}) + 2.7 \log_{10}(f_{\text{c}}) + LOS_{offset} \end{cases}$$

where the  $LOS_{offset}$  is 0 dB and the breakpoint distance is given by

$$d_{\mathrm{BP}} \approx 4 h_{\mathrm{bs}}^{'} h_{\mathrm{ms}}^{'} (\frac{f_{\mathrm{c}}[Hz]}{c})$$

the LOS probability is computed as follows:

$$P_{\text{LOS}} = min(\frac{18}{d}, 1)(1 - e^{\frac{-d}{36}}) + e^{\frac{-d}{36}}$$

and the effective antenna height of the eNB and UE is computed as:

$$h_{\rm bs}^{'} = h_{\rm bs} - 1$$

$$h_{\rm ms}^{'}=h_{\rm ms}-1$$

#### For NLOS

The model supports frequency bands of 700 MHz for Public Safety and 2 GHz for general scenarios in NLOS. The pathloss equations used are the following:

for 700 MHz:

$$PL\_B1_{\rm NLOS}[{\rm dB}] = \left\{ \begin{array}{ll} (44.9 - 6.55 \log_{10}(h_{\rm bs})) \log_{10}(d_{in} + d_{out}) + 5.83 \log_{10}(h_{\rm bs}) + & \text{if } 3m \leq d \leq 2000m \\ 16.33 + 26.16 \log_{10}(f_{\rm c}) + NLOS_{offset} \end{array} \right.$$

for 2 GHz:

$$PL\_B1_{\rm NLOS}[{\rm dB}] = \begin{cases} (44.9 - 6.55 \log_{10}(h_{\rm bs})) \log_{10}(d_{in} + d_{out}) + 5.83 \log_{10}(h_{\rm bs}) + & \text{if } 3m \leq d \leq 2000m \\ 14.78 + 34.97 \log_{10}(f_{\rm c}) + NLOS_{offset} \end{cases}$$

where the  $NLOS_{offset}$  is 5 dB.

The remaining parameters used in the above equations are:

 $f_{\rm c}$ : frequency [GHz]

d: distance between the eNB and UE [m]

 $d_{\mathrm{in}}$  : distance from the wall to the indoor terminal [m]

 $d_{\rm out}$ : distance between the outdoor terminal and the point on the wall that is nearest to the the indoor terminal [m]

 $h_{\rm bs}$ : eNB antenna height above the ground [m]

 $h_{
m ms}$  : UE antenna height above the ground [m]

 $h_{\rm bs}^{'}$  : effective antenna height of the eNB [m]

 $h_{
m ms}^{'}$  : effective antenna height of the UE [m]

 $LOS_{offset}$ : line-of-sight offset

 $NLOS_{offset}$ : non line-of-sight offset

c: speed of light in vacuum  $(3x10^8 m/s)$ 

# OutdoorToOutdoorPropagationLossModel

This propagation loss model is defined by 3GPP for Device to Device (D2D) outdoor to outdoor scenario [TR36843]. The model supports both LOS and NLOS scenarios by taking in to account the shadowing according to a log-normal distribution with standard deviation of 7 dB for both the scenarios.

The pathloss equation used by this model is:

$$PL\_B1\_tot(d) = max(PL_{freespace}(d), PL\_B1(d))$$

where  $PL_{freespace}$  is free space path loss from Eq. 4.24 in [winner].

$$PL_{freespace} = 20\log_{10}(d) + 46.4 + 20\log_{10}(\frac{f_c}{5})$$

and  $PL_B1$  is the path loss from Winner + B1 channel model [winnerfinal] for hexagonal layout and is given by:

$$L_{\rm LOS}[{\rm dB}] = \left\{ \begin{array}{ll} 22.7\log_{10}(d) + 27 + 20\log_{10}(f_{\rm c}) + LOS_{offset} & \text{if } 3m \leq d \leq d_{\rm BP} \\ 40\log_{10}(d) + 7.56 - 17.3\log_{10}(h_{\rm bs}^{'}) - 17.3\log_{10}(h_{\rm ms}^{'}) + 2.7\log_{10}(f_{\rm c}) + LOS_{offset} & \text{if } d_{\rm BP} \leq d \leq 5000m \end{array} \right.$$

where the breakpoint distance is given by:

$$d_{\mathrm{BP}} \approx 4h_{\mathrm{bs}}^{'}h_{\mathrm{ms}}^{'}(\frac{f_{\mathrm{c}}[Hz]}{c})$$

The implemented model supports two range of frequency bands 700 MHz and 2 GHz in NLOS scenarios. The pathloss equations are the following:

for 700 MHz:

$$L_{\rm NLOS}[{\rm dB}] = \left\{ \begin{array}{ll} (44.9 - 6.55 \log_{10}(h_{\rm bs})) \log_{10}(d) + 5.83 \log_{10}(h_{\rm bs}) + & \text{if } 3m \leq d \leq 2000m \\ 16.33 + 26.16 \log_{10}(f_{\rm c}) + NLOS_{offset} \end{array} \right.$$

for 2 GHz:

$$L_{\rm NLOS}[{\rm dB}] = \left\{ \begin{array}{ll} (44.9 - 6.55 \log_{10}(h_{\rm bs})) \log_{10}(d) + 5.83 \log_{10}(h_{\rm bs}) + & \text{if } 3m \leq d \leq 2000m \\ 14.78 + 34.97 \log_{10}(f_{\rm c}) + NLOS_{offset} \end{array} \right.$$

and the probability of LOS is:

$$P_{\text{LOS}} = min(\frac{18}{d}, 1)(1 - e^{\frac{-d}{36}}) + e^{\frac{-d}{36}}$$

According to the standard while calculating Winner + B1 pathloss the following values shall be used

$$h_{\rm bs} = h_{\rm ms} = 1.5m$$
 
$$h_{\rm bs}^{'} = h_{\rm ms}^{'} = 0.8m$$
 
$$LOS_{offset} = 0dB$$
 
$$NLOS_{offset} = -5dB$$

where

 $f_{\rm c}$ : frequency [GHz]

d : distance between the eNB and UE  $\left[ \mathbf{m} \right]$ 

 $h_{\rm bs}$ : eNB antenna height above the ground [m]

 $h_{
m ms}$  : UE antenna height above the ground [m]

 $h_{\mathrm{bs}}^{'}$  : effective antenna height of the eNB [m]

 $h_{\mathrm{ms}}^{'}$  : effective antenna height of the UE [m]

 $LOS_{offset}$ : line-of-sight offset

 $NLOS_{offset}$ : non line-of-sight offset

c: speed of light in vacuum  $(3x10^8 m/s)$ 

We note that, the model returns a free space path loss value if the distance between a transmitter and a receiver is less than 3 m.

#### **ScmUrbanMacroCellPropagationLossModel**

This propagation loss model is based on the specifications defined for 3GPP Spatial Channel Model (SCM) [TR25996] for NLOS urban macro-cell scenario. The pathloss is based on the modified COST231 Okumura Hata urban propagation model for frequencies ranging from 150 – 2000 MHz. The model also considers shadowing according to a log-normal distribution with standard deviation of 8 dB, as defined in the standard [TR25996].

The pathloss expression used by this model is:

$$L[\mathrm{dB}] = (44.9 - 6.55 \log_{10}{(h_\mathrm{bs})}) \log_{10}(\frac{d}{1000}) + 45.5 + (35.46 - 1.1(h_\mathrm{ms})) \log_{10}(f_\mathrm{c}) - 13.82 \log_{10}(h_\mathrm{bs}) + 0.7(h_\mathrm{ms}) + C_\mathrm{c}$$

where

 $f_{\rm c}$ : frequency [MHz]

 $h_{\mathrm{bs}}$  : eNB antenna height above the ground [m]

 $h_{
m ms}$ : UE antenna height above the ground [m]

d: distance between the eNB and UE [m]

C: Constant factor

The value of C = 3dB for urban macro-cell scenario.

#### **UrbanMacroCellPropagationLossModel**

This propagation loss model is developed and documented by 3GPP in [TR36814]. The implemented model covers an urban macro-cell scenario for the frequency range of 2 - 6 GHz with different antennas, building heights and street widths. It is designed for both LOS and NLOS scenarios by taking in to account the shadowing according to a log-normal distribution with standard deviation of 4 dB and 6 dB, for LOS and NLOS, respectively.

The pathloss expressions used by this model are:

$$L_{\rm LOS}[{\rm dB}] = \left\{ \begin{array}{ll} 22\log_{10}(d) + 28 + 20\log_{10}(f_{\rm c}) & \text{if } 10m \leq d \leq d_{\rm BP} \\ 40\log_{10}(d) + 7.8 - 18.0\log_{10}(h_{\rm bs}^{'}) - 18.0\log_{10}(h_{\rm ms}^{'}) + 2\log_{10}(f_{\rm c}) & \text{if } d_{\rm BP} \leq d \leq 5000m \end{array} \right.$$

$$L_{\text{NLOS}}[\text{dB}] = \begin{cases} 161.04 - 7.1 \log_{10}(W) + 7.5 \log_{10}(h) - & \text{if } 10m \le d \le 5000m \\ (24.37 - 3.7(\frac{h}{h_{\text{bs}}})^2) \log_{10}(h_{\text{bs}}) + (43.42 - 3.1 \log_{10}(h_{\text{bs}}))(\log_{10}(d) - 3) + \\ 20 \log_{10}(f_c) - (3.2 - (\log_{10}(11.75h_{\text{ms}}))^2 - 4.97) \end{cases}$$

where the breakpoint distance is given by:

$$d_{\mathrm{BP}} \approx 4h_{\mathrm{bs}}^{'}h_{\mathrm{ms}}^{'}(\frac{f_{\mathrm{c}}[Hz]}{c})$$

The probability of LOS is given by:

$$P_{\text{LOS}} = min(\frac{18}{d}, 1)(1 - e^{\frac{-d}{63}}) + e^{\frac{-d}{63}}$$

and the effective antenna heights of the eNB and UE are computed as:

$$h_{\rm bs}^{'} = h_{\rm bs} - 1$$

$$h'_{\rm ms} = h_{\rm ms} - 1$$

and the above parameters are

 $f_{\rm c}$ : frequency [GHz]

d : distance between the eNB and UE  $\left[ \mathbf{m} \right]$ 

h: average height of the building [m]

W: street width [m]

 $h_{\rm bs}$ : eNB antenna height above the ground [m]

 $h_{\rm ms}$ : UE antenna height above the ground [m]

 $h_{\rm bs}^{'}$ : effective antenna height of the eNB [m]

 $h'_{\rm ms}$ : effective antenna height of the UE [m]

c: speed of light in vacuum  $(3x10^8m/s)$ 

The model returns 0 dB loss if the distance between a transmitter and a receiver is less than 10 m. Therefore, a user should carefully deploy the UEs, such that, the distance between an eNB and a UE is 10 m or above.

**CHAPTER** 

**FOUR** 

# ANTENNA MODULE

This chapter is an excerpt of the Antenna module documentation chapter, containing the parabolic antenna models that have been added to the *ns-3* antenna module.

# 4.1 Design documentation

#### 4.1.1 Provided models

In this section we describe the PSC-related antenna radiation pattern models that are included within the antenna module.

#### **ParabolicAntennaModel**

This model is based on the parabolic approximation of the main lobe radiation pattern. It is often used in the context of cellular system to model the radiation pattern of a cell sector, see for instance [R4-092042a] and [Calcev]. The antenna gain in dB is determined as:

$$g_{dB}(\phi, \theta) = -\min\left(12\left(\frac{\phi - \phi_0}{\phi_{3dB}}\right)^2, A_{max}\right)$$

where  $\phi_0$  is the azimuthal orientation of the antenna (i.e., its direction of maximum gain),  $\phi_{3dB}$  is its 3 dB beamwidth, and  $A_{max}$  is the maximum attenuation in dB of the antenna. Note that this radiation pattern is independent of the inclination angle  $\theta$ .

#### Parabolic3dAntennaModel

Another 3GPP-defined antenna model is the Parabolic3dAntennaModel, drawn from 3GPP TR 36.814 [TR36814]. The model, for 3-sector cell sites with fixed antenna patterns, is defined in Table A.2.1.1-2, 3GPP Case 1 and 3 (Macro-cell). Both a horizontal and vertical antenna pattern is defined, and an equation for combining the two methods is provided. So in contrast to ParabolicAntennaModel, different horizontal and vertical configuration parameters are required. The attributes HorizontalBeamwidth, Orientation, MaxHorizontalAttenuation, VerticalBeamwidth, and MaxVerticalAttenuation are configured with the suggested default values. In addition, attributes for mechanical and electrical tilt are defined; these help to adjust the azimuth angle with respect to the reference system of the antenna.

# 4.2 Testing Documentation

In this section we describe the PSC-related test suites included with the antenna module that verify its correct functionality.

The unit test suite parabolic-antenna-model checks that the ParabolicAntennaModel class works properly. Several test cases are provided that check for the antenna gain value calculated at different directions and for different values of the orientation, the maximum attenuation and the beamwidth. The reference gain is calculated by hand. Each test case passes if the reference gain in dB is equal to the value returned by ParabolicAntennaModel within a tolerance of 0.001, which accounts for the approximation done for the calculation of the reference values.

The unit test suite parabolic-3d-antenna-model is based on the ParabolicAntennaModel tests. A sequence of test cases at different directions is defined:

- 1. test horizontal plane with a 60 deg beamwidth; gain is -20dB at +-77.460 degrees from boresight
- 2. test positive orientation with a 60 deg beamwidth; gain is -10dB at +-54.772 degrees from boresight
- 3. test negative orientation and different beamwidths with a 80 deg beamwidth; gain is -20dB at +- 73.030 degrees from boresight
- 4. test vertical plane
- 5. test tilt

The reference gain is calculated by hand. Each test case passes if the reference gain in dB is equal to the value returned by Parabolic3dAntennaModel within a tolerance of 0.001, which accounts for the approximation done for the calculation of the reference values.

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