Clojure Concurrency

- Speaker: Rich Hickey
- Meeting: Western Massachusetts Developer's Group March 2008
- Video 1: https://www.youtube.com/watch?v=dGVqrGmwOAw
- Video 2, side by side video and slides, thus smaller original video: https://www.youtube.com/watch?v=nDAfZK8m5_8&t=77s
- Slides: ClojureConcurrency/ClojureConcurrencyTalk.pdf
- Source code: https://github.com/jafingerhut/clojure-ants-simulation/blob/master/src/ants.clj

[Video 1 is somewhat better if you want to try to follow it for the discussion of the program, since it is larger and somewhat better resolution of the original video.

Video 2 is worse for that, but nicer for the parts of the talk where Rich is using the slides.]

[Historical note written in 2019: This talk was given in March 2008, before Clojure 1.0 was released in 2009. Amazingly, even the few deprecated things that are in the example program still work in the latest versions of Clojure in 2019, due to Rich Hickey's commitment to backwards compatibility. There will be editor notes within square brackets in a few places that mention information that is current as of 2019.]

[Time 0:00:00]

slide title: Clojure

A Dynamic Programming Language for the JVM

Concurrency Support

Rich Hickey

Hi. Welcome. Thank you all for waiting. My name is Rich Hickey, and I am here to talk about Clojure, a programming language I developed to solve a number of problems, one of which was: how to write concurrent programs easily.

So tonight's talk is going to be sort of a general overview of Clojure, and a specific look at some of the concurrency support that is built into the language.

[Time 0:00:35]

slide title: Agenda

- + Introduction
- + Feature Tour
- + Shared state, multithreading and locks
- + Refs, Transactions, and Agents
- + Walkthrough Multithreaded ant colony simulation
- + Q&A

So I am going to start with a little introduction.

As I said, I will give you a quick tour of the features, but one of the things I really would like to do tonight is look at a piece of software written in Clojure, and so I would like hopefully to move at a good pace, get through my slides, and the ideas behind what I am going to be showing you. And then look at some code. And hopefully we will have more opportunity to look into the syntax of Clojure, and some of its features. When we are looking at this program, we will see some of the things in action. So a quick feature tour.

Then I am going to set up sort of the problem space. In particular, talk about shared state, how we do it today, how you do it in C#, Java, or C++, what happens when we get into a multi-threaded context, and specifically what are some of the current solutions for that in those spaces. Locking, in particular. That is something I have done a lot of, over a long period of time, and I am tired of trying to get right, because it is simply far too difficult.

So I will set up some straw men there, and then we will turn and look at what Clojure does. It tries to solve these problems in a number of different ways, so refs, transactions, and agents are features of Clojure.

[Time 0:02:06]

And then we will do the walkthrough. We will look at an actual program. It is kind of a cool little ant colony simulation that is multi-threaded, it has some graphic components, and something would generally be hard to do correctly in most common languages.

And then hopefully we will have some time for questions. Questions throughout are OK. As I said, I am going to try to go quickly in the early going, but at any point you have a question, don't stay stuck.

[Time 0:02:35]

slide title: Introduction

- + Who are you?
 - + Know / use Lisp?
 - + Java / C# / Scala?
 - + ML / Haskell?
 - + Python, Ruby, Groovy?
 - + Clojure?
- + Any multithreaded programming?

So I am a software developer. I have done a bunch of things: scheduling systems, automation systems, audio analysis, fingerprinting, election projection systems, and machine listening software. I am an architect, and specialize in blank paper new designs, hard problems, things like that.

I have worked in C++, Java, C#, Mathematica, Common Lisp, Python, a bunch of other things.

And so I would like to try to get an idea, quickly, of where you are at, so I know what not to bother with, or what you need to hear. How many people here use Lisp at any point, Common Lisp or Scheme? OK, that is good. About half.

And then how about the Java, C#, Scala thing? So almost everybody does that.

Anybody with any ML or Haskell? Just a couple. OK.

Python, Ruby, Groovy? OK.

How about Clojure? Anyone using or trying Clojure? A couple of people in the room, OK. That is neat.

And then how about multi-threaded programming? So about half. So those are the people who will groan in acknowledgment as we get to all of these points.

[Time 0:04:07]

Great! So it is a great audience. It seems like the ML, Haskell side is least well represented, and the few people here who have that will be the ones nodding in acknowledgement at some of this, I think.

When you come to Clojure, you will see it has three main flavors to it. One is interoperability with Java. It lives on the JVM. But I get people coming to Clojure from Scheme who have never used Java who are like: What is this dot? And where do I put it? In between what? What is Javadoc, and where do I find it?

And then there are people coming from Java, who are like: What is Lisp? What are all of the parentheses? And everything else.

And I do not get as many people coming from ML or Haskell, mostly because I guess by the time you have figured out Haskell, you are tired and you are just going to stay there.

[Audience laughter]

But a lot of Clojure is inspired in particular by Haskell and ML, also, is a definite thing. And for tonight's example, ML will be poignant.

[Time 0:05:22]

slide title: Clojure Fundamentals

- + Functional
 - + Immutable, persistent data structures
 - + No mutable local variables
- + Lisp
 - + Not CL or Scheme
- + Hosted on, and embracing, the JVM
- + Supporting Concurrency
- + Open Source

But Clojure kind of plays in the same space as Python, Ruby, and Groovy in being a dynamic language, that is as accessible, I think, as those, but as performant as Java, and I would like to believe is useful in any context in which you would use Java, not just for scripting or lightweight problems.

So some fundamentals of Clojure. It is a functional language. You may hear people say: Oh, you can do functional programming in Lisps or Scheme. And you can, mostly by convention, or good habits.

But Clojure is functional, sort of like the way ML is functional. In other words, you cannot change things, pretty much. Most of the data structures are immutable.

[Time 0:06:02]

And that has a lot of value to a program, but it is going to have particular value when we talk about concurrency, and what it takes to make a program safe in the presence of multiple threads.

So it is functional. It has immutable persistent data structures. I will explain what data structure persistence is a little bit later.

There are no mutable local variables. You do not do traditional looping by iteration and mutation of a counter, or anything like that. So it is much more functional than most Lisps – probably the most functional Lisp.

But it is, in fact, a Lisp. Most people here have touched some Lisp, and I would say a characteristic of a Lisp that sort of crosses through all of the families, is the fact that code is data, that there is a reader that the language is defined in terms of the interpretation of a data structure, and not in terms of a stream of characters and the syntax for a grammar with characters. And Clojure is a Lisp in that sense.

It is in many other ways. It does have parentheses and S expressions, and things like that. But it is a Lisp at the core philosophical level.

But it is *not* Common Lisp or Scheme. I am not going to have enough time in tonight's talk to draw a lot of contrasts. And the one thing I would say is when I get people coming to Clojure that have experience with Common Lisp or Scheme, they have to be reminded almost continuously to not suppose things. I reuse some of the same names, some syntax, for different meanings, almost opposite meanings. It is not a superficial thing, and it is not just to be different. There are reasons why I use the names I do. One of the reasons is: there are

only so many good names, and if they have to mean what Common Lisp said they mean forever and ever and ever, that is not fair.

[Time 0:07:57]

So they mean different things. So you may have a presumption when you look at some code, because it looks similar, I have seen two parens around this and that word, just to take a step back. Maybe what you are supposing might not be correct. If it really seems to be a clash with what you think it means, I will clarify for you. But just remember, it is not Common Lisp or Scheme. It has no backwards compatibility with those things. It makes no presumption of bringing code from those languages into Clojure. It is a new Lisp.

Another fundamental of Clojure is: it is hosted on, and embraces, the JVM. I am leaving out the rationale part of this talk so I can get to the concurrency things, but I believe it is important for new languages to embrace a platform like .NET or the JVM because there is too much wheel reinventing on the part of new languages. Every new language reinvents a whole new library for doing the same old things: talking to a database, talking to XML, sockets. I think there is no reason to do that. There are languages, and there are libraries, and when you go to a framework like Java, the JVM, the libraries are substantial. Like Clojure has the most libraries of any language. That is fair to say, because it has its own plus Java's, and Java has huge libraries.

But there are other aspects to that, though, that matter. For instance, when Java is your implementation language, you have a major advantage over Lisps that are hosted, for instance, on C, in that your hosting language shares a memory model and a garbage collector with your hosted language, which means that things that used to be foreign function interfaces and kind of ugly, potentially, now are really nice. And you will see that Clojure embraces the JVM bidirectionally quite extensively, and to its benefit.

Another fundamental of Clojure – if you are going to say Clojure has four legs, it is functional programming, Lisp, being hosted on Java, and the fourth is concurrency. Direct support for concurrency, primitives for concurrency. And it is designed to support concurrency that pervades the entire language, including the data structure design.

[Time 0:10:01]

The last point of Clojure is that it is open source, and accessible, and downloadable, and in Subversion. You can participate pretty directly. So it is not a proprietary thing.

[Time 0:10:16]

slide title: Clojure Features

- + Dynamic development
 - + REPL, reader, on-the-fly compilation to JVM bytecode
- + Primitives numbers, including arbitrary-precision integers & ratios, characters, strings, symbols, keywords, regexes
- + Aggregates lists, maps, sets, vectors
 - + read-able, persistent, immutable, extensible
- + Abstract sequences + library

So I am going to quickly do – mostly enumerate – the features of Clojure, briefly, before we dig in.

It supports dynamic development like Lisp. So you have all experienced that in Lisp. A REPL. It has a reader. In particular, Clojure is not interpreted. It is always compiled. Everything you enter that is evaluated by Clojure is compiled on the fly into JVM byte code and loaded. So everything is byte code. There is no interpreter in Clojure.

It has all of the basic primitives: numbers, arbitrary precision integers that you are used to from Lisps, ratios, characters. Strings are Java strings, so therefore they are also immutable strings. Symbols, keywords, it has regex literals and some other things like that.

In addition, Clojure has a set of aggregates. It has the classic Lisp-like lists, with the difference being that they are guaranteed immutable and persistent. There is no set-car! [from Scheme] or rplaca [from Common Lisp], or anything like that.

In addition, it has maps and sets and vectors, and these are as first class in Clojure as lists are in Lisp. Don't say that too fast. Which means that maps are readable. There is reader syntax for them. There is printing for them. Ditto sets. Ditto vectors. All of these are persistent, immutable. Again, persistence I will talk about in a little bit.

And they are extensible. All of the data types in Clojure are represented by abstractions. There are interfaces in the Java side, which means that they are not hardwired.

[Time 0:12:00]

In Lisps traditionally, the whole library is built around cons cells, but it is hardwired to those cons cells. And many people are frustrated by Common Lisp's inability to extend the sequence library to their own data structures. That is not the case in Clojure. All of the data structures are defined by interfaces, and all of the useful library is defined in terms of abstractions, not in terms of concrete things.

The big one is the sequence library. There is a big sequence library. Everything that used to be built on top of conses is built on top of this logical thing called the sequence, and sequences are supported on all of the data types: on strings, on all of the Java collections, anything that is Iterable [a Java interface]. Which means your library and any work you do on logical sequences works on everything, not just lists.

[Time 0:12:47]

slide title: Clojure Features

- + Metadata
- + First-class functions (fn), closures
- + Recursive functional looping
- + Destructuring binding in let / fn / loop
- + List comprehensions (for)
- + Macros
- + Multimethods
- + Concurrency support

Clojure supports metadata as a first class entity. That means the ability to associate related data with a data structure, without impacting its equality semantics. So you can have two vectors that contain 1, 2, 3, and 4. They are equal. But this vector can have a piece of data that says: I got this from this Internet site. And this one can have a piece of metadata that says: I found this on the road outside. And they are equal. Their values are equal, but their metadata is different.

Metadata is sort of an open system. I do not dictate its use, but you can use it in your own programs to check sources, like I just described, whether or not things have been validated, where they came from, have you ever looked at them, how old are they? Things that are not really part of their value proper, but are about the data. That is what metadata means. There is direct metadata support for all of the interesting data structures in Clojure. There is reader support for it. It is a first class concept. And I think Clojure is kind of unique in having that.

It has the typical Lisp things. Functions are first class data types, closures.

[Time 0:13:54]

It has a functional looping construct. It does not have proper tail call optimization, and that is because the JVM does not. And Clojure uses the JVM calling architecture, both for performance and interoperability. So Clojure is very fast, and Clojure calls are Java calls. They use the Java stack. But the B side of that is that I

cannot do tail call optimization as in Scheme, which means I cannot offer recursive calls as the method of doing loops, as you can in Scheme, although you cannot in Common Lisp.

So what Clojure has is a first class functional looping construct that is recursive, and is functional, and feels exactly like you would do with function calls in Scheme. It is less general than full tail call optimization, but it is what I can do on the JVM, and it is quite pretty, I think. So it has that.

It has an extensive destructuring binding system, not just for lists. Does everyone know what destructuring binding is? OK, it is where in a context where you would bind variables with names, that you can not just put in variables, but you can put in things that look like data structures that mirror the data structure you are getting passed, and can pick out and name pieces of the data structure you are being passed. And that is called destructuring.

In Common Lisp it is available in the macro system, and as a standalone function called destructuring-bind [Common Lisp HyperSpec says it is a macro – http://clhs.lisp.se/Body/m_destru.htm]. But in Clojure, it is built in to let, fn – which is the lambda of Clojure, and loop, which means that all of those constructs can destructure their arguments. And they can destructure very interesting things, including sequences generally, and maps. So it is a very powerful way to write elegant things. If you have pattern matching envy, this is half of that, except the part of that that I do not like, which is the conditional part.

It has list comprehensions in a construct called for.

[Time 0:16:01]

It has macros, and they are Common Lisp style macros. Although Clojure is a Lisp-1, and typically there was an argument that you really could not have a Lisp-1 with hygienic macros – without having hygienic macros, without that being a disaster. And that is why Common Lisp is a Lisp-2, which means it has a separate namespace for functions.

[See this link for more background on Lisp-1 vs. Lisp-2: https://en.wikipedia.org/wiki/Common_Lisp#The_function_

But it ends up that this is a solvable problem, and it is solvable with a combination of two things. One is that you have namespaces, which Clojure does. So you can segment your names into namespaces. The other thing that you need to solve this problem, by having sort of Common Lisp style macros – procedural macros – in a Lisp-1, is to distinguish between symbols and variables. And in Common Lisp they are sort of unified, so when the reader reads, it creates data cells, because symbols are places.

In Clojure that is not the case. The reader reads symbols, and symbols are just symbols. There is no storage associated with them. And there is something called Vars in Clojure, which compilation will find, given a symbol, the Var to which it corresponds. That is a storage location.

So it has macros. They are Common Lisp style macros. And therefore they are a lot of fun, and very powerful, and arbitrarily powerful because you can write little programs that can transform one piece of code into another. So Clojure has that.

It has multimethods, which is a way of doing polymorphism without any inheritance. Clojure multimethods are completely general. Essentially you have a function, and it takes arguments. You can say: I would like to dispatch this differently depending on what the arguments are. And it does not have to depend on the types. It can depend on the values of the arguments. It is sort of an arbitrary way to do dispatch.

So if you are familiar with virtual functions. They always dispatch on type. If you are familiar with Common Lisp generic functions, they always dispatch on type or value of the arguments.

[Time 0:18:06]

Multimethods take that one step further and they say: dispatching is a function of the arguments. You can say what that function is going to be. It can pick out the types. It can look at the values. It can go ask in

a database. Then the dispatch function returns a value, and dispatching happens on that. It is probably impossible to understand from that brief a description, but it is a way to support polymorphism that is independent of inheritance. So it is pretty powerful, and very flexible.

In particular, because it is independent of inheritance, it allows you to divide up your data space into multiple hierarchies, which is typically hard – to have multiple taxonomies in a single program. That is easy in Clojure.

And Clojure has concurrency support, which we are going to hear a lot about.

[Time 0:18:52]

slide title: Clojure Features

- + Java interop
 - + Call methods, access fields, arrays
 - + Proxy interfaces / classes
 - + Sequence functions extended to Java strings, arrays, Collections
 - + Clojure data structures implement Collection / Callable / Iterable / Comparable etc where appropriate
- + Namespaces, zippers, XML and more!

More and more. Java. Of course it is hosted on the JVM. Hopefully it has decent Java interoperability, and it does. You can certainly call methods of any Java classes, instantiate classes, access fields, access arrays, create arrays, fill them up. Anything you want to talk to Java.

In addition, you can create proxies for interfaces and classes, which essentially allows you to "implement" those classes. And we will see a little bit of that in the demo program.

The whole sequence library, because it is written in terms of these abstractions, is extended to Java types. So you can write algorithms in Clojure that manipulate Clojure's logical sequence. Those algorithms will work on Java strings, Java arrays, anything that is a Java collection, anything that is Java Iterable, which is pretty much anything interesting in Java.

In addition, going the other way, where it is appropriate, Clojure data structures implement Java interfaces. All of the Clojure collections implement the read only portion of Collection [a prominent Java interface]. Obviously they are not writable, so they opt out of the optional part, which happens to be the writable part of the Collection interface.

[Time 0:20:02]

Clojure functions are Callable [a Java interface], so you can just pass them right to the Executors framework to execute them in threads in Java.

All Clojure data structures are Iterable. Things that can be are Comparable, etc. etc. So there is a great ability to create some data structure in Clojure and hand it off to Java, and have Java be able to consume it without any conversion or transformation, because of these interfaces being implemented.

And much, much more. It has namespaces, as I mentioned. It has functional trees, zippers, XML support, inspectors, and all kinds of neat things, which you will have to look at the web site and find out more about. We will see lots of this used in the program, but not all of it.

Any questions? Is this too fast? OK.

[Time 0:21:06]

slide title: State - You're doing it wrong

+ Mutable objects are the new spaghetti code

- + Hard to understand, test, reason about
- + Concurrency disaster
- + Terrible default architecture (Java / C# / Python / Ruby / Groovy / CLOS ...)
- + Doing the right thing is very difficult
 - + Languages matter!

OK! Now we get to the meat of it.

State. You are doing it wrong. Which is OK, because I was doing it wrong, too. We were all doing it wrong.

I think one of the things that drove me to Clojure, and you have to be driven to do something like writing a new language, because it is definitely an act of insanity to do. But one of the things that drove me to it is that I am completely convinced that mutable objects, and the whole approach that is sort of implied by the design of Java, C#, Python, all of the languages that have followed along this path – is very much the wrong way to do most things. It is an OK way to do some things, but it is the wrong way to do most things.

[Time 0:22:02]

Mutable objects are the new spaghetti code. And by that, I mean that you, eventually, with mutable objects, create an intractable mess. And encapsulation does not get rid of that. Encapsulation just means: well, I am in charge of this mess. But the real mess comes from this network that you create of objects that can change, and your inability to look at the state of a system and understand how it got there, how to get it there to test it next time. So it is hard to understand a program where things can change out from underneath you.

It is very hard to test it. I think it is very interesting and telling, all of the emphasis on test driven design. And I think people are driven to this because they know these systems are completely intractable without constant testing.

But rather than question whether or not they were using a decent language in the first place, or maybe this was not a good idea, they are just going to test it to death to try to keep it running. And I think it is better to question the fundamental principle about whether or not you really should have this much mutability in your program, because the vast majority of most programs do not need it, and should not have it, and would be easier to understand if they did not have it.

Anybody that knows Haskell is going: Yes! Yes, of course! Yes!

And Haskell is great. I think it is not the only way to achieve that. I think Clojure is in a unique position in providing maybe the friendliest face ever presented to functional programming, I think, that is out there. Because you end up doing this programming with these immutable data structures, and it is not too painful. And then you realize: Wow. I am worrying a lot less than I usually do when I write programs. I have less stress. I can just bravely go and hand this data to some part of the program I do not understand, because I know nothing bad can happen. And that is a good feeling that you want to achieve.

[Time 0:24:02]

So that is the first: hard to understand, test, and reason about. But if you put concurrency in the mix, there is no doubt about it. Mutable objects are a disaster. They are a complete catastrophe, and I am going to show you exactly why. But I want you to understand that you do not have to believe me. I even carried a book this whole way. I just drove, so I did not actually carry it. But has anybody read this book? "Java Concurrency in Practice". How many people have read this book? Oh, boy, and all of these people are programming in Java. You have to read this book, because it is a fantastic book. And it is a book that will scare you to death, because what it basically says is: this is impossibly hard. It is just so difficult to get right.

But the other thing you are going to see if you were to read this book is the mention of immutability over and over and over and over again. Just, if things were immutable, there would be no problem.

The problem is: it is hard to do things immutably in Java, because you are fighting the stream. You are running upstream, trying to do the right thing. But it ends up being the case that if you can use immutable data structures pervasively in your programs, concurrency becomes very much easier. It is not everything, and you will see the infrastructure that is in Clojure, you need something beyond immutability. You need some coordination mechanisms to fully solve the problem. But there is no doubt about it that mutable objects are really bad for concurrent programs.

So as a default architecture, I think mutable objects are terrible. They really are, and we need to start questioning whether or not that is the right way to start. I do not care how much you test. Do the right thing, and you will not need this kind of testing, and you will still have a more reliable system.

[Time 0:25:56]

But one of the things I came to was: I decided I knew what the right thing was, and I started coding all of my Java and C# in a completely bizarre manner, with no data inside the objects. Everything was a static method that took data, and returned data. So I did functional programming in C# and Java.

And it is very hard, because it is not idiomatic. And people would look at my code and be like: Oh, my god! What happened? There is this class with 27 static methods, and no data. I love that, and I tried to teach young programmers to do that, if they are stuck in Java and C#, their programs will be better if they are functional. But functional programming in languages that default to object oriented programming, especially mutable objects, is very difficult.

So you can do all of the testing you want, but I think languages matter. I think that a language that does the right thing, by default, makes everything easier. And unfortunately there was not one in the space I wanted, which was to target Java and have it be a Lisp. Therefore, the act of insanity that is Clojure.

[Time 0:27:08]

slide title: Concurrency

- + Interleaved / simultaneous execution
- + Must avoid seeing / yielding inconsistent data
- + The more components there are to the data, the more difficult to keep consistent
- + The more steps in a logical change, the more difficult to keep consistent
- + Opportunities for automatic parallelism
 - + Emphasis here on coordination

So let us talk a little bit about the problem of concurrency. What do we mean by concurrency? We mean things happening at the same time. That is what it really means. Whether or not things are happening at the same time depends a little bit on whether or not you have more than one CPU, and what your operating system is, and what platform you are running on. But certainly Java and the JVM is capable of simultaneous execution. That is, multiple threads are running on separate CPUs at the same time.

But even if you do not have actual concurrency, if you use threads at all, you have simulated concurrency. Which means you have interleaved execution. A little bit of this process happens, a little bit of that, and back and forth. So even on a single CPU, as soon as you have a multithreaded program, you have the problems of concurrency, which is that you would like to avoid seeing inconsistent data, or producing inconsistent data.

[Time 0:28:07]

And that ends up being a difficult thing to do. The more components there are, in particular, the more difficult it is. The more steps involved in an operation that you want to perform in your program – in order to do this, I need to take some money out of this account and put it over there, but check with the account manager.

These seven things happen, and that is a unit of work. If you want to make that unit of work happen, or not happen, in a program – of course in a database there is transaction support that makes this easier – but in your program, you have similar kinds of things you need to achieve. That is all on you. And the more steps there are, the more difficult this becomes.

There are also aspects of concurrency related to parallelism. And there are parallel programming languages, and languages that support automatic detection of the ability to employ parallelism. You just say: do this to everything in this sequence, and the language figures out: well because everything is immutable, I could put this on – split this into two jobs and put one job on each CPU.

Clojure does not do anything like that. It is not really a parallel programming language. And so the emphasis here is going to be on the coordination aspects of programs that are concurrent, where the concurrency comes from your deciding to execute things simultaneously, not the system deciding to do that.

Everybody get what concurrency is about? What we are talking about today? OK.

[Time 0:29:33]

slide title: Explicit Locks

- + lock / synchronized (col) { ... }
- + Only one thread can have the lock, others block
- + Requires coordination
 - + All code that performs non-atomic access to coll must put that in a lock block
 - + Synchronized handles single-method jobs only

So this is the straw man part of the thing. I am not talking about Clojure here. I am talking about what you have to do today if you are programming in, let us just say, Java and C#, because we have almost unanimous recognition of those two languages.

So what do you do now? What we are going to talk about is: what do you do now if you want to manipulate a collection in your program, from multiple threads. Well, you could lock it. You could lock it, or synchronize. And inside that block you have exclusive access to the collection.

[Time 0:30:06]

So we use locks. Only one thread can have the lock at a time. Everybody else blocks waiting for that.

It requires coordination. In particular, you have to choose to do this. Right? Any code that is going to manipulate this collection has to know: Oh! I think other people might be using this, so I better lock it when I look at it or change it. So it is manual, and you have to decide to do it. It is a convention.

Of course you could say: well you could have synchronized primitive on the Java side, at least, which they have fallen out of use of, because it is really not that useful. It only can handle one method interactions. So if you need to check if something was in a collection, and if it was not, put something in, that is two steps. Synchronize does not help you with a two step job. So you have to lock it, and then you can do those two step jobs.

So there is locking. Everybody has used locks, yes? At some point, hopefully?

[Time 0:31:03]

slide title: Single Lock Problems

- + Can't enforce coordination via language / code
 - + This is not a small problem
- + Even when correct, can cause throughput bottleneck on multi-CPU

machines

- + Your app is running on a multi-CPU machine
- + Readers block readers

So what is wrong with this? What are the problems in doing this?

Well, you cannot enforce it. Everybody has to choose to do this. If you forget to lock, and you are part of the program, you have just made a mistake. And the language is not going to help you detect this mistake. There is nothing in Java or C# that helps you with this. So there is no enforcement.

That is a *big* problem, because keeping track of what things you have to lock – you do not lock everything you touch, right? In your Java and C# programs? No. You have to decide. Well, I think this is something worth sharing, so I should lock this thing.

That is a big problem, because what happens is you decide later that you are sharing this thing. Well, all kinds of access to this thing is throughout your program now. Those people did not make that decision. You have to go and figure out where to retrofit the locks.

Even when you put the locks in everywhere you should, you have a bottleneck that comes from this on multi-CPU machines, because these locks are sort of super-powerful global, no one is getting in here, and everybody else that wanted to touch this thing is waiting. So it can cause a bottleneck on a multi-CPU machine.

[Time 0:32:15]

And guess what? You are running on a multi-CPU machine. This is no longer a maybe some day. This is definitely happening. On the most mundane hardware, we have got multiple CPUs. And it is only going to get worse, because they cannot make the CPUs faster, so they are making more of them. Yay! Lucky us.

Another problem with the single lock is that it really is too much. For instance, readers block other readers, which is kind of a shame, because they are not really going to interfere with each other. But because you are locking as a reader to prevent a writer from messing you up, you are also locking out other readers. So that is inefficient.

[Time 0:32:55]

slide title: Enhancing Read Parallelism

So how can we fix that? Because there are solutions to the read parallelism problem.

[Time 0:33:00]

slide title: Enhancing Read Parallelism

- + Multi-readers / single-writer locks
 - + Readers don't block each other
 - + One writer at a time
 - + Writers wait for reader(s)

And the solution is a special kind of lock. Not the one you get for free by using lock or synchronized in C# and Java, but one you manually ask for, which would be something like a multi-reader single-writer lock.

And these have special properties. In particular, readers do not block each other. So multiple readers can be in there reading, and they do not block each other. So that is an enhancement to the parallelism support.

You only get one writer at a time with one of these things. Writers still wait for readers in this strategy. But it is better for read parallelism.

So that is one solution.

[Time 0:33:39]

slide title: CopyOnWrite Collections

- + Reads get a snapshot
- + Lock-free reading
- + Atomic writes
- + Internally, copy the representation and swap it
 - + Writes can be expensive (copying)
- + Multi-step writes still require locks

What else do we have? Copy on write collections. Not in C#, but in Java we do. Anybody use copy on write collections ever, yet? They are kind of an unusual thing, but they have very neat multi-threaded properties. In particular what happens in a copy on write collection is: when the collection is changed, inside the implementation of the collection, a copy is made of the data, and then it is atomically swapped in for the data that was there.

[Time 0:34:10]

Which means that whenever anybody reads, they get a copy of the data that was there that is never going to change. Because what is going to happen is a new set of data is going to get swapped in. So reads are lock free. Anybody who wants to read a copy on write collection can read it freely and there is no locking associated with that.

Writes are atomic, because you sort of prepare all of the data over here, and then, with an atomic compareand-swap operation, swap in the data. It appears to change instantaneously. So those are actually lock-free as well. Because compare-and-swap is a lock-free operation. It leverages a processor primitive that can change a memory value atomically, without anybody seeing it in an in between state.

The problem with copy on write collections – I mean, those are really nice properties. Now we are completely lock free. No blocking in readers. No blocking of writers by readers. A lot of nice things. The problem in general with copy on write is that it is very expensive to write, because it is usually a full copy. So if you read the docs for copy on write ArrayList, I think it is called in Java [Java class name is CopyOnWriteArrayList], they will say: this is for mostly read situations, occasional write situations. If you are writing a lot, this falls down.

And there are still limitations. A multi-step interaction with a copy on write collection still requires a lock. Which makes sense, because if I am going to change this and that, and maybe I need to read it in between, well somebody else could get in the middle of that. And nothing about it atomically swapping is going to help that. So if you have a multi-step operation, you still have to lock.

So this is not a cure-all. But that is basically all you get. There is nothing better in Java or C# today than these solutions. And we are still only talking about a [i.e. one] collection here.

[Time 0:36:08]

slide title: Persistent Data Structures

- + Immutable, + old version of the collection is still available after 'changes'
- + Collection maintains its performance guarantees for most operations
 - + Therefore new versions are not full copies
- + All Clojure data structures persistent
 - + Hash map and vector both based upon array mapped hash tries (Bagwell)
 - + Sorted map is red-black tree

There is, in fact, another way to do a data structure that has much better properties for concurrency, and it is called a persistent data structure. And unfortunately persistent is one of those words that has been used over and over again in programming. Most people when they hear persistent, they think of putting it in a database, storing it somehow, being able to serialize it. That is not what this notion of persistence is.

When we talk about a persistent data structure in functional programming, what we mean is that the data structure is immutable. And so what is going to happen is: when you want to produce a "changed" version of the data structure, you are going to have to produce another data structure. And what we want to have happen is when we produce the new data structure, we want the old one to remain accessible.

There are recipes for producing new data structures from old, where the old ones should not be used any more. And that is not true persistence. In true persistence, the old version is still there. So I can have something. You can get a changed version. My thing is still fine. Your thing is fine. Both cannot be changed.

But the trick with a persistent data structure is: for whatever kind of data structure it is, so for a list you have constant time access to the head, linear time access to the middle. For a vector you have constant or near-constant time access to anywhere, random access. And if it is an ArrayList, maybe constant time insertion at the end. For a hash table, you expect constant time random lookups by key.

[Time 0:37:50]

Whatever your performance guarantees are, in the big O notion, for your data structure, must be maintained for a persistent collection, to really be considered a persistent collection. Otherwise it would be like copy on write. I mean I can take your hash table and make a new hash table, and just shovel everything into it one by one, but what is the cost of that operation? It is linear in the size of the collection. Well that is not the behavior of hash tables. You expect an insertion to be constant time, not linear.

[https://en.wikipedia.org/wiki/Big_O_notation]

So a persistent data structure maintains the performance guarantees of its operations across changes. So there is a big difference with copy on write. I will show you how it does that in one slide. But the implication of that is that the new versions cannot be full copies. If I have a hash table here, and I am going to have a new hash table with one new entry in it, and I am not going to have that be linear time to copy everything, then somehow it cannot be a full copy, because the full copy would have to touch everything. So they have to share structure, and I will show you one of the ways that happens.

But already, this is sort of a magical thing now. I have an immutable collection, and I have a really inexpensive way to make a new version of that with a small change to it. And they share structure, which means they have good memory characteristics, and all of the performance guarantees are still met. This is like magic. It is really, really good. And it is really, really good stuff.

If you have been programming in Lisp, you have been programming with a persistent collection, as long as you have not called set-car! or rplaca, all along, which is the cons cell. If you use a cons cell in a functional manner, it is a persistent data structure. If you cons onto a list, do you affect the original list? No. You get this new list that shares a tail with the other list. Its tail is the other list. That is an example of a persistent data structure. It is a very very simple persistent data structure. But it does show you what is involved. There is a shared structure, and there is sort of a recursiveness to the definition of the data structure. This new list is the old list with one more thing at the front. Sort of a recursive definition of a list.

[Time 0:40:04]

And certainly Scheme's whole heritage of recursion and lists is about that. It ends up that, in my experience, there is no way I could have written the programs I have written over the course of my career without vectors and hash tables. There is simply no way. I do not think production code can survive without data structures with those kinds of performance characteristics. So lists are not good enough.

Even trees with traditional log N kind of performance are not good enough for the programs that I have written. Certainly a lot of people writing in functional programming languages are OK with log N trees. Typically the way to implement a persistent data structure is to use some sort of a tree. For a list, it is linear. When you start talking about a map, you say tree. And vectors, you do not even do. It is still a research topic how to do a purely functional vector.

But I knew in Clojure that I needed persistent data structures, and I needed vectors and hash tables. And I spent a lot of time researching and designing a persistent hash map, and a persistent vector, which sort of are – they are the magic secret sauce of Clojure. It makes Clojure a high performance language with all of the functional goodness.

In addition, Clojure does have a sorted map, and that is sort of a classic functional red-black tree implementation. So how do you do a hash map tree thing?

[Time 0:41:33]

slide title: Bit-partitioned hash tries

Bit-partitioned hash tries

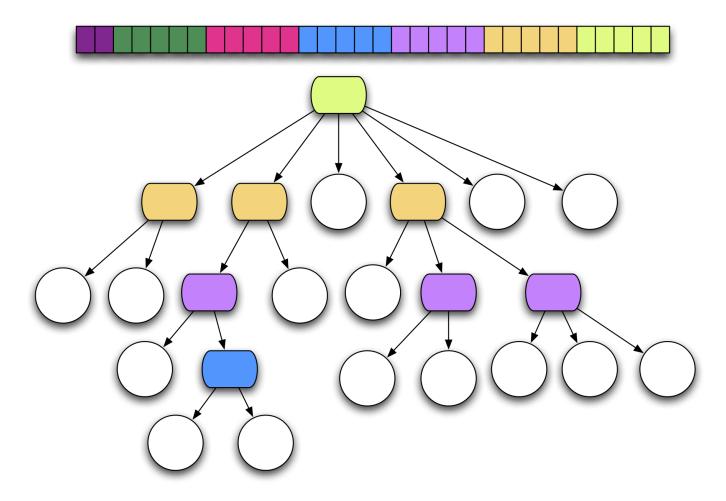


Figure 1: 00.41.33 Bit-partitioned hash tries

with any kind of decent performance. It is still a tree. It ends up that the way you do a really fast "hash table" is to use a tree, and to use a tree with a very high branching factor. In fact, the factor is 32. And you still use hashing. So what you end up with is something that was originally started by this guy Bagwell. He wrote

the paper, and if you search for array mapped hash tries, or "try-s", however you want to pronounce it, and Bagwell, you will find the paper, the two papers that I read.

[Time 0:42:15]

But his were not functional. So what I did was produce persistent functional versions of these data structures. But the basic idea behind a bit-partitioned hash trie is that you take the hash of the value, and you mask out ranges. In this case, 5-bit ranges, so there is 32-way branching. And you use as much of the hash, in 5-bit chunks, as you need to distinguish one object from another in the table.

So if you put one in, the first guy in, he only uses a 5-bit hash, because he is the only guy there. If the next guy is different in those first 5 bits, he is also in the first level. If eventually you have a collision and you get a new guy who is the same in the first 5 bits, the first 5 bits being these light green ones over here, only then will you use the next 5 bits and go one more level in the trie. So you have a very high branching factor, and you are only using as much of the hash, and as much depth, as you need to represent the objects.

This ends up yielding a hash table with very, very good performance. Is it constant time? No. I mean, in technical algorithmic complexity terms, it is log [base] 32 N, instead of log N. But log 32, that is a really small number. For instance, this is the map for all 32 bits. I mean, you would have to have a huge data structure to use all 32 bits, and how many levels are we talking? Seven at the most, and it ends up that the first level is partitioned off to the side, so it is six.

So in a gigantic data structure, way bigger than you are going to have, it would be a max of six steps. And in most common cases it is three steps or fewer. At the point something is three steps or fewer, I do not care if it is log N or whatever. It does not matter. That is constant time to me. It is a small enough number that I can ignore it.

[Time 0:44:11]

And there are implementations that are technically constant time, and have amazingly high constant factors that are much slower than this, and I investigated some of the technically constant time vector solutions, which have these amazingly complicated implementations. So this is a really good implementation. The insert times are decent, but the lookup times are stunningly good, definitely as good or better than the hash table that comes with Java. Which is really amazing, because this has all of that goodness I just talked about. It is immutable and it is persistent.

So let us talk a little bit about how you do the persistence side. The trick is ...

[Time 0:44:52]

slide title: Path Copying

... to share structure. So what would happen if we took this hash table [referring to previous slide], and added something to it. In other words, we made a new version of it with one additional thing, because we do not really change anything.

Well the version we started with is just sitting there. It never gets touched. The new version needs to put one new entry, and in order to do that it needs to copy the path to the new entry, and *only* the path to the new entry. Everything else, all of these dashed lines, are pointers to things that are in the old data structure, and therefore are shared structure.

So the old tree is this bit over here, and the new one is mostly that, but this right edge is new. Just the path to the new node. So in this case, this was as deep as four, and you have four new nodes to produce a new entry.

[Audience member: It seems that you could produce the nodes that are outlined in gray, you are going to make copies of those.]

Path Copying

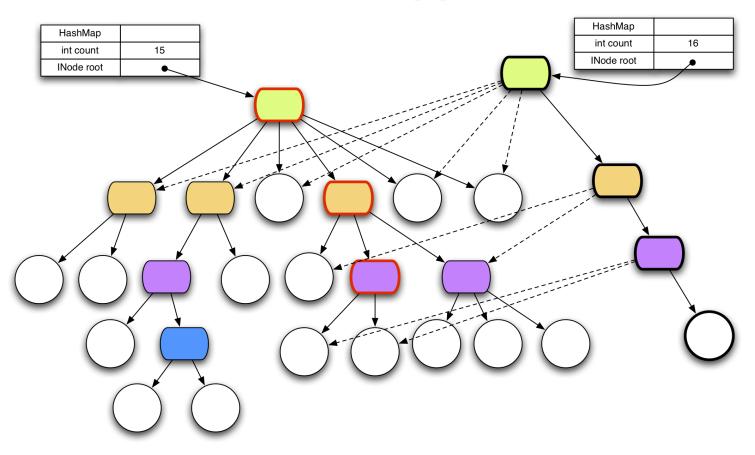


Figure 2: 00.44.52 Path Copying

You are going to make copies of those, correct, and that is what all of the dashes are. Those are copies of those nodes.

[Time 0:45:57]

But again, it is very shallow. There are some tricks that are used to make manipulating the edge of this cheap. But this data structure is used both for the hash tables, which use the bits of the hash as the trie, and the vector also works similarly, except there it is the index of the vector that partitions the table, and it fills completely.

[Time 0:46:29]

slide title: Structural Sharing

- + Key to efficient 'copies' and therefore persistence
- + Everything is final so no chance of interference
- + Thread safe
- + Iteration safe

So these two data structures really let Clojure do what it does, and you will see the value of that later.

So generally this technique is called structural sharing. It means you produce a new value, and you share as much structure with the original one as you could.

In cons, onto the head of a list, that sharing was complete. The entire original list is the tail of the new list. But that is a pretty simple operation, and that is a pretty simple data structure. These are rich data structures with arbitrary insertion. The vector has ArrayList kind of capabilities to insert and grow at the end, so it is not a statically sized vector. And the hash map is a full map. Lookups, make new associations, and all of that.

So structural sharing is the key to this efficient persistence. It allows you to keep the performance characteristics there. In the implementations you will see everything is final. You will never see more bizarre classes than you will in the implementation of Clojure, in Java. A bunch of classes where everything is final, and you sit there and say: what utility could this possibly have? And yet, there it is. It is a vector. It is a map. It is a queue. They are all in there. Sets. And they are all final. So it is possible to do this.

It is not very idiomatic Java code, but it certainly is cool.

[Time 0:47:59]

And also falling out of this is thread safety. Because this data structure can never change, nothing bad can ever happen by having two threads look at it. It is also safe for iteration, and all of those nightmares of collections that can change of: it throws ConcurrentModificationException. Everybody has seen that. Everybody has had that happen to them. That never happens with Clojure data structures.

So we looked at, there are a couple of different locking strategies. Then this copy on write collections. That is available all on the Java side. Then there is this new notion of persistent data structures. Unfortunately, Java does not come with it. But it is possible in Java. The Clojure ones are written in Java. Yet you do not normally see it.

[Time 0:48:42]

slide title: Multi-component change

- + Preceding was the easy part
- + Many logical activities involve multiple data structures / multiple steps
- + Two locking options
 - + Coarse granularity locks

+ Fine granularity locks

Let us go back a little bit to the locking problem now. Everything I talked about before, that was the easiest thing you could possibly do multi-threaded. We are talking about only one data structure, trying to control access to one thing. The trickiest thing we had was a multi-step operation on that one thing, which we could lock the one thing and do our multiple steps.

That is baby steps in concurrent programming, because what happens is, in real life you have multiple activities and multiple data structures. When this thread connects, I need to put them in this thing, only if they are not in this other one. I want to move somebody from this pool to that pool, but I want to make sure they are never in two pools, and never in no pools.

All of those kinds of things are very hard, because now all of a sudden you have upped your game. You need to control more than one data asset in the same logical operation. And if we go back to locking. So again, we are not talking about Clojure now. We are talking about what you have to do today if you are using Java or C#, you have two options really.

One is what I would call coarse granularity locks. The other is fine granularity. So we will look at them.

[Time 0:49:57]

slide title: Coarse Granularity Locking

- + Create external Lock representing a set of data structures
- + Clients must obtain a lock to manipulate _any_ of the structures
- + Each multi-part logical operation requires only one lock

In coarse granularity locking, you are going to create some lock over here, and you are going to say: this lock is the lock you use to control this set of things. OK. Whenever you want to manipulate anything in this set of things, you grab this lock. And it is nice. You grab this lock, and you can manipulate any of the data structures. What is nice about it is that each multi-part operation only requires the acquisition of one lock.

And it is fairly easy to describe this and document this in your program. To say: OK, here is the deal. There is A, B, and C over here, and there is a lock for those, and if you ever want to touch A, B, or C, you grab lock ABC-lock.

[Time 0.50.38]

slide title: Coarse Granularity Locking

- + Safest
- + Can be confusing as to what constitutes the set(s), what needs to be locked
 - + X needs a / b / c, Y needs b / c / d
- + Least throughput
 - + Possible needless blocking
- + Should reads lock?

It is very safe. It is the safest, if you are going to use locks.

It can be confusing. If you have a lot of different sets of things in your program, like one part of your program needs to use A, B, and C, and another part need to use B, C, and D, well is there and ABC-lock? Is there a BCD-lock? If there is, you already have a bug in your program. Or is there and ABCD-lock? People have to know what lock do they need to use for what data structures.

This is not good from a throughput standpoint. Remember we talked about about with one data structure already, it was not good to be locking wholesale for reads and things like that. Now you are talking about

multiple data structures, which means there are going to be more people interested in these data structures, everybody grabbing a single lock, or queuing up for that single lock. Lots of needless blocking in this scenario.

And you have this question mark of: well, if I am just reading, should I grab this lock? I do not know. Do you want to do a flying read on some data that might be changing?

Sometimes, you do. Or sometimes you have to, because you cannot incur the cost of holding the world down while you read something. I want you to remember that, because later we are going to hold the world down while we read something, but we will not really.

[Time 0:51:49]

slide title: Fine Granularity Locking

- + Use locks on data structures themselves
- + Clients must obtain a lock on each of the structures
- + A multi-part logical operation may require several locks

What is the other option? We go fine. We knew every object in these programming languages, every object has a lock associated with it. So one of the things we can do is we can lock the data structures themselves, all of the ones that participate in our operation.

[Time 0:52:03]

So now you say if I need to use A, B, and C, I need to lock A, B, and C, and then I can use them. Move something from A to B, and look it up in C, and move it from C to A. Do whatever I need to do. And any logical operation may require multiple locks.

So that is fine granularity.

[Time 0:52:21]

slide title: Fine Granularity Locking

- + Dangerous
- + Locking order is critical
 - + X locks a / b, Y locks b / a deadlock possible
 - + Very difficult to enforce locking order
- + Best throughput
 - + Minimal blocking
- + Should reads lock?

This is a very dangerous thing. This is where most programs end up, because most programs start with somebody not thinking about locking. And then somebody says: well, we are going to put a socket on the program, so we can add this new stuff, or have other users come in. And we want to monitor, oh, and logging, and then I need a background thread to generate reports.

And you are like: oh, my god. Now all of this stuff that was written, when nobody thought would be seen from more than one thread, is going to be seen from more than one thread. And you have this ad hoc locking that goes on.

But even with the best laid plans, it is still extremely difficult to do right, because you lay your plans, and you do that, and then what happens in all programs? Things change. New requirements are added. And remembering what your strategy was when you go back and have to add these new requirements, and making sure you account for everything, is extremely hard. And in fact, I would almost go to say it is almost impossible. And I sit and help people who are working on multi-threaded programs, and you just have stare at it and work it through your mind the possible interactions, all day long. It is *extremely* difficult.

But we know what the basic problem is with this, even before we get into these strategies, which is: in formulating a strategy, you need to consider lock order.

If X wants to lock A and B, and Y wants to lock B and A, you have a problem, because that is a recipe for a deadlock. X will get A, Y will get B, X is waiting for Y, Y is waiting for X. You are toast. Your program is over. It is very possible to do this.

[Time 0:54:02]

It is very difficult to enforce locking orders. You have to have conventions. Let us lock things alphabetically. We will number them in order to lock them correctly. That is not a bad strategy, in fact, because at least it is deterministic and mechanical. But it is hard to do.

On the other hand, if you can do this correctly, it will give you better throughput than the global kind of locks, because there is a minimum amount of blocking. It is just as much blocking as is required for the very few things you are touching.

We have this same question, though: should I lock if I am reading? You could get very random results if you do not.

[Time 0:54:41]

slide title: Concurrency Methods

- + Conventional way:
 - + Direct references to mutable objects
 - + Lock and pray (manual / convention)
- + Clojure way:
 - + Indirect references to immutable persistent data structures
 - + Concurrency semantics for references
 - + Automatic / enforced
 - + No locks!

So let us compare these two concurrency methods. The first is what I would call the conventional way. It is the way you have to do it today if you are not using something very special, and I do not know of anything special for Java or C#. I mean a special language or something that does this for you.

And I really want to talk about two things. One is: what is the structure of your program? I mentioned mutable objects or whatever, but there is a structural aspect to a program written with mutable objects, and that is: that program is composed of direct references to things that can change. That is your typical C# or Java program. It is full of direct references to things that can change.

As soon as you have done that architecturally, you are stuck with this locking stuff. There is nothing else you could do. And what do you do? You lock and you hope for the best.

The aspects of this that are bad are: it is manual, as we saw. We have to choose to lock. And everything is convention. Every application has to decide we are locking A before B. We are locking in alphabetical order. There is a lock over here for these three things. Do not forgot to lock that when you do this.

Where does all of that stuff go? It is not in the programming language. It is on napkins, or in some docs somewhere, or whatever, in some guy's head. But it is not in your program, unfortunately. And that is bad.

[Time 0:55:58]

We will contrast that with the Clojure way. And what Clojure does is two things. We have these immutable persistent data structures, which we talked about, and then we have the acknowledgement that there will be programs in which you need to feel like those things are changing.

So rather than have them actually change, because we do not want data structures to change, what we are going to do is we are going to have a reference to something. And we are going to keep that reference around. That will be a stable reference. But what it refers to, that can change.

So therefore that reference is indirect. It is sort of a pointer. It is a cell that holds a pointer to a thing. The cell we will always be able to keep track of. The pointer to the thing, that may change. So this cell can mutate. It is an atomic thing that can mutate. What it is called in Clojure is references.

So the different architecture in Clojure is you have indirect references to things that could never change, but those references can be made to refer to other things over the course of an application. That gives you the same effect, right? When we had references to things that could change, I could remember Fred, and I could look at Fred at one point in the program, and it would be something. And I could look at Fred at another point in the program and it would be something else.

This can achieve the same effect. I have a reference. I am going to call the reference Fred. At one point in the program it refers to this. And at another point in the program it refers to that. Same feel. I will look at Fred. I will get different information. The difference is: this is indirect. I do not really have a pointer to this data structure or that data structure. I have a reference that I hold onto.

And that those references can change. So now here is a part of Clojure that is mutable. This is the first thing so far I have talked about in Clojure that is mutable. Nothing else you saw, none of the strings, characters, all of those data structures, lists, vectors, maps, sets. None of that can change.

The only thing that can change are these reference types. And what is important about them is: they have concurrency semantics. Because they are indirect, your program is not twiddling them. Your program has to use functions to make them point to different things. And those functions have concurrency semantics, which I will talk about in a minute.

[Time 0.58:09]

Which means that they are automatic. The programming language is going to help you change these things to point to other things, and not mess that up. And it is enforced. For instance, some of these references cam only be changed inside of a transaction. And guess what? If you try to change it outside of a transaction, it throws an exception. You cannot do that.

So there is a *big* difference now between these two things. One, it is all convention. It is all manual. Things could change out from underneath you.

In the other, things never change. If you actually dereference one of these references, you would get an immutable object. If somebody makes that reference point to something else, do you care, while you are looking at this and calculating? No. This will never change while you are looking at it. That is really beautiful.

And in the application program, there are no locks. So there is no locking required to do any of the things concurrency-wise that Clojure supports.

[Time 0.59:01]

slide title: Clojure References

- + The only things that mutate are references themselves, in a controlled way
- + 3 types of mutable references
 - + Vars Isolate changes within threads
 - + Refs Share synchronous coordinated changes between threads
 - + Agents Share asynchronous independent changes between threads

So I said these things are generically called references. That is kind of a little reuse of the word, because you will see one of the reference types is called "ref". So do not get confused by that.

So the references are the only things in Clojure that are Clojure's – I mean, you can use Java in Clojure and all kinds of stuff can happen. That can change, because it is still Java. I do not protect you from the mutation that is associated with Java or side effects. You go there. You do that.

But in Clojure, only these references can change. They are the only thing that can really mutate. This is inspired by ML. In ML there is a thing called a reference. And it is a really neat idea. It is the thing in ML that can change. It is Standard ML I am talking about here. It also has arrays, which I will not talk about, but the reference was kind of a neat idea.

The problem for me in just copying that into Clojure was it has no concurrency semantics. In ML it is just a free for all cell. But it was a neat thing because it was indirect, and you did not need to make mutable composite data structures.

[Time 1:00:00]

If you have an easy way to turn one data structure into a changed version of itself, you do not really need data structures where you can twiddle the bits, because you can make those incremental changes cheaply. So all you ever need is a reference that you can change, and you just refer to changed versions.

So there are three types of these references in Clojure. There are things called Vars, and a Var is used actually to hold global variables and functions. So there is a global notion to a Var. In addition, Vars have the ability to be bound to values inside a thread. And once they are bound inside a thread, that binding is independent of other threads. So I can bind a Var called X to a value in this thread. I can bind it to a different value in that thread. And these threads can manipulate X completely independently, without interfering with each other.

And when they are done with that binding, it unrolls like a stack. I will explain that a little bit more, but the premise of Vars is that Vars are for isolating changes within threads. This thread is the only one that will see what it does to X. This thread is the only one that will see what it does with X. Because that is one thing you need to do in programs.

Another thing you need to do in programs is the most complex case. It is the: I need to move this from this account to that account, and I want to make sure it is in one account, or the other, never in both, and never in none. That is really hard. I need to change two things. I need to make that whole change atomic.

And for that you need a transactional system, and the refs in Clojure are for that. So what are you doing there? You are sharing values. You are sharing them synchronously. And you are sharing coordinated changes. Refs allow you to change more than one thing in a coordinated operation. And those changes can be seen from multiple threads. So as distinct from Vars, which are sort of hiding their changes in threads, refs make changes that can be seen from multiple threads. And it does that by using transactions.

[Time 1:02:09]

Again, I am going to break each of these down. So second recipe. So now I want to change multiple things, and do that atomically.

The third thing you might want to do is: you might want to have things change asynchronously and independently, but still be accessible from multiple threads. And agents are the third kind of reference in Clojure. Support that.

So with an agent you have something that can change. You can make requests for it to change, and it will eventually do that. But it will do that on its own time, independently. But other threads can see what is happening in different agents. It is a whole different flavor of things, and you use these for different purposes. You will see in the program I have written, I am going to use agents and refs. Agents are sort of the workers. They kind of make things happen. And the refs are the world view. It has to change atomically in a composite manner.

[Time 1:03:04]

slide title: Vars

- + Like Common Lisp's special vars
 - + dynamic scope
 - + stack discipline
- + Shared root binding established by `def`
 - + root can be unbound
- + Can be changed (via `set!`) but only if first thread-locally bound using `binding`
- + Functions stored in vars, so they too can be dynamically rebound
 - + context / aspect-like idioms

So let us break these down. I am not going to talk at all about Vars, other than to say: if you know Common Lisp, it is sort of like special variables in Common Lisp, except they have thread semantics. Common Lisp does not have any thread semantics. Some particular Common Lisps do, but Clojure does. So it says what happens when you bind this in a thread. It is independent from other threads.

Vars have a stack discipline, so if you bind it to something, and then bind it again, and bind it again, as the stack unwinds, those bindings unwind, and it eventually gets restored to its root state. That has a lot of utility. You can use it for logging, and for context kinds of programming.

You can **set!** Vars, very explicitly, sort of like assignment, set this X to be 5. But only if it is thread locally bound, which means that that is going to be a thread local assignment. It will only be seen in that thread. They are very cool. I could talk for two hours about them.

[Time 1:04:04]

slide title: Refs and Transactions

- + Software transactional memory system (STM)
- + Refs can only be changed within a transaction
- + All changes are Atomic and Isolated
 - + Every change to Refs made within a transaction occurs or none do
 - + No transaction sees the effects of any other transaction while it is running
- + Transactions are speculative
 - + Will be retried automatically if conflict
 - + Must avoid side-effects!

Refs and transactions are the ones I want to talk the most about. So how does this work? I said, magically, you can change more than one ref, and the change to the set of refs will happen atomically. That smells a lot like a database transaction, and in fact it is a transaction system meant for use inside your programs. A transaction system meant for use inside your program is often called a software transactional memory system. And I have used the term for this.

There are people who make software transactional memories that are much more atomic. Clojure's is a very application level notion of STM. If you read STM papers, sometimes there are all these cells, and they are building trees out of these cells. Clojure STMs are about references to aggregates, and the system for allowing changes to those aggregates.

So what happens? A ref can only be changed inside a transaction, and that is enforced. When you make a change in a transaction, that change is atomic and isolated. So you know the ACID of databases? Well, it cannot be consistent, because there are no constraints. It cannot be durable, because it is in memory. But it has the other two pieces.

It is atomic and isolated, which means that every change you make to a set of refs inside a transaction either happens, or none of the changes you made happen. So that is the atomic. Everybody understands that, I think, that has used a database.

The other thing is that they are isolated. So while you are mucking about in your transaction, you do not see the effects of other transactions, and they do not see yours, until one or the other commits.

A big difference with transactions in a software transactional memory system, at least most of them are implemented this way, is that transactions are speculative and they are automatically retried. So if I want to move something from A to B, and you want to move something from A to B, and we both get in, and you win, like you get there first, I do not get a failure. My transaction will automatically retry. Just, I will be second.

[Time 1:06:13]

So that is a unique part, that the transactions are retried. You sort of get that effect with a database, but mostly by locking. They just block you. Now sometimes you get in there, you start doing your work, only to find out, ooh, I cannot get at this piece I needed to change, or somebody else changed it.

But so they will be automatically retried. A key thing about that is: therefore your transaction cannot have any side effects in it. If you make a Java call that says "print", well guess what? You may be automatically retried. You may be automatically retried dozens of times. You are going to get a lot of print statements. So no side effects are allowed in transactions. You have to only manipulate refs in transactions.

[Audience member: Is there an option that if you have tbd if I am not first there, then do not retry. Is there a way to disable that?]

What tends to happen is that you are going to be looking at data in your transaction, and when you retry, that situation that said "you are go" is not true any more. Therefore you will abort, because your conditional says: I cannot do my job now. If you can, then you will. So that is how it works.

There are some transaction systems in Haskell they have like an if-else notion, but I really feel that that is merging a transaction system with a coordination system, and I do not think it is a good idea.

[Time 1:07:33]

slide title: The Clojure STM

- + Surround code with `(dosync ...)`
- + Uses Multiversion Concurrency Control (MVCC)
- + All reads of Refs will see a consistent snapshot of the 'Ref world' as of the starting point of the transaction, + any changes it has made.
- + All changes made to Refs during a transaction will appear to occur at a single point in the timeline.
- + Readers never block writers / readers, writers never block readers, supports commute

So a little bit of details about the STM in Clojure. It is very neat in its implementation. Certainly from a user standpoint, it is the easiest thing you could think of. You wrap your code with this sync thing, dosync, and anything that you do inside that is in a transaction. That includes any calls you make, any calls those make, etc. etc. It is a dynamic scope of the transaction. If another transaction is started underneath it, it is absorbed by the parent transaction, so they will all happen, or nothing will happen.

[Time 1:08:05]

And that is it! You say dosync and you manipulate references inside that. And magically that work is atomic. And it is magic.

Under the hood it is really, really complicated. And Clojure's STM is kind of unique, in that it uses multi-version concurrency control. Does anybody know what that is? Anybody use Oracle or PostgresQL? Those are database systems that use multi-version concurrency control. The idea behind multi-version concurrency control is that you want to keep readers from blocking writers. And the way you do that is: you keep old versions of the data around to support lingering reads. And writers can continue to produce new data. And readers will see sort of a snapshot of the world at the time they were started. That is how Clojure works. It is a snapshot system.

Which means that if I start a transaction, all of the reads that I do inside that transaction appear to have happened at the same point in time, even though things may have happened since then. It is as if I got a snapshot of the reference world at the point in time my transaction occurred. And I will only see values of references from that point in time, or things that I myself have changed in my transaction. No other values.

In addition, if I make changes in a transaction, when I commit, those changes will appear to happen, from everybody else's perspective, as if they happened instantly at the same point in time. So nothing is spread out over time. You are reading a point in time, you are writing a new point in time. And the effect of that from a consumer standpoint is: you always see a consistent view of the entire world. Every reference you see inside of a transaction, whether you are a reader or a writer.

[Time 1:09:56]

But the magic of multi-version concurrency control is that readers never block writers, or other readers. They never block them. So there is a great amount of throughput as writers can continue to proceed.

And writers never block readers. Because when you are reading, you are reading the snapshot at a point in time. If you are reading time 10, and they produced time 11, 12, and 13, you do not care. As long as this system can continue to deliver you 10, you will see the world as of 10.

It is a very powerful system, and it is extremely easy to use. It does the right thing.

Wait, there is one other thing. Oh, yeah. And the other thing the Clojure STM does is it supports commute, which is an interesting thing. Typically, sometimes, some of the changes you need to make are commutative. Like you just need to increment a counter, or add a value to a sum, as a result of your transaction. Well, there is a way to do that old school. Read, add, write back. As soon as you have done that, you have done a writing transaction to something, which means that nobody else could have written it.

But if I am getting something from a feed, and you are getting something from a feed, and both of our jobs are just to like add them to this bucket, well that is commutative. I have something, I can add it. You have something, you can add it. If I had to read, modify, set it, and you had to read, modify, set it, we could not cooperate. But since our operations are commutative, I could say: look, when this transaction completes, just add 1 to this. And you say: when this transaction completes, just add 1 to that. The order of those two things does not matter. And so Clojure STM supports commute as a proper operation.

[Time 1:11:40]

slide title: Agents

- + Manage independent state
- + State changes through actions, which are ordinary functions (state => new-state)
- + Actions are dispatched using `send` or `send-off`, which return immediately
- + Actions occur asynchronously on thread-pool threads
- + Only one action per agent happens at a time

All right, agents. Wow, time is flying.

Agents manage independent state. The idea here is that there is a piece of state. An agent is responsible for it. You can get that state to change by sending the agent an action. An action is an ordinary function. It says: take whatever your state is, apply this function to it, and that is your new state.

[Time 1:12:03]

The trick is: you send actions to agents, using a function called **send** or **send-off**. That send, it returns right away. And what ends up happening is that request for change gets queued up in that agent. And eventually, asynchronously, in a thread pool, that action will happen.

This is for a much looser kind of concurrency: updating caches, updating incrementers, independent workers. It has a very high degree of independence. The nice thing about it is: somebody else requests change, and they immediately continue their work. The change happens eventually in a separate thread pool.

The agent system under the hood makes sure that no more than one action is happening per agent at a time, so all of the activities on an agent are serialized by the system. That would be the hard part if you were going to try to do it yourself. So there is this beautiful nonblocking way to say: do this work, and you know it will happen.

If you say, from a single source: do this, do that, do the other, this, that, and the other will happen in order.

[Time 1:13:09]

slide title: Agents

- + Agent state always accessible, via `deref` / `@`, but may not reflect all actions
- + Can coordinate with actions using `await`
- + Any dispatches made during an action are held until *after* the state of the agent has changed
- + Agents coordinate with transactions any dispatches made during a transaction are held until it commits
- + Agents are not Actors (Erlang / Scala)

The cool thing about agents in Clojure versus actor systems like Erlang, is that the state is always available. If I want to go and see what the state of this guy is, I can just access it. It may not be – it may not reflect all of the actions that have been sent to it yet, but if you are using agents, it is because that does not matter to you. But it is extremely convenient, versus systems where you have to make a request for a change, and then make a request that somebody sends you a message back that something happened, which is how you have to do it in Erlang.

There are lots of other cool features in agents. In particular, sometimes you would like to make sure that the work you asked for gets done. There is a way to do that using await. So if you put an await message in a queue, you will block. And when the agent gets to that point in the queue, you will unblock. So I can say do this, that, and the other thing, await. Now I will wait for you to do those things, and come back. So there is some coordination available.

[Time 1:14:11]

If you have an agent's actions send other actions to agents, which is kind of a neat thing to be able to do, those dispatches get sent *after* the agent state has changed. So you can know: I have successfully done this, and now I am ready to have you do the next step. Go do the next step. That happens in order. If you tried to write it in your program, you would see that you cannot actually write it in that order, but the agent system will make it happen in that order, which is useful.

Agents cooperate with transactions. They are a way to achieve once-only side effects in transactions, because what happens is: if you dispatch an action inside a transaction, that will only get sent if and when the

transaction commits. So even though the transaction may get retried, and you think you are sending this message over and over, you really are not. It will only ever get sent once. So if you want to tie some side effect to that, you could.

[Audience member: Can you use await inside a transaction?]

No, you cannot do that. I mean, generally blocking in transactions is a problem, because you are in a transaction and you are trying to get your work done and get out. Yeah, any blocking operation.

It is important to note also that all of these features, like for instance transactions, you do not need Clojure threads to do that. You can do Clojure transactions on any thread. You can dispatch operations with the Executors framework in Java and run Clojure transactions on those threads. You can run Clojure transactions on the UI thread of Swing. I [meaning the Clojure implementation] do not need to own the thread for this to work.

But I would prefer that you not call agents actors, because actors are different. They are designed to serve the same purpose, but they are very different, from, for instance, what is in Erlang or Scala.

[Time 1:16:05]

slide title: Walkthrough

- + Ant colony simulation
- + World populated with food and ants
- + Ants find food, bring home, drop pheromones
- + Sense pheromones, food, home
- + Ants act independently, on multiple real threads
- + Model pheromone evaporation
- + Animated GUI
- + < 250 lines of Clojure

All right. [Looks at his watch] Woo hoo!

So what are we going to do here? We are going to write a program. I am not going to write it in front of you. I wrote a program that is a simulation of an ant colony. I should tell you right away, I do not know anything about ants, so this is not a very good simulation of an ant colony. But I guess you could use it, if you did know something about ants, to experiment with what would be a good simulation of an ant colony.

And the idea is pretty simple. We are going to have a world populated with food and ants. And ants are going to wander around, try to find food, and bring it back home. There is a designated place in the world, which is home. Along the way, they are going to drop pheromones. When they are doing their behavior, they are going to sense pheromones, they are going to sense food, and sense home, and try to make good decisions, mostly random, about how to leverage that information.

In particular, we want the ants to act independently on real threads. So a lot of systems say: oh we have threads. They are fake in-system threads. And fake in-system threads are over, because people are going to have these multi CPU boxes, and you need to get on these other CPUs. So we need real threads.

I am not saying that this would be necessary. If you had this task to do, most people would just write a big loop, right? Tell each ant: behave, behave, behave, behave, behave. Then draw. Then go back and say: go, go, go, go, go, go, then draw. I mean I have done it a dozen times.

But as an example of a system that would require a lot of coordination, doing it with multiple threads is a good example of what is hard about concurrent programming. So we are going to have the ants act independently. That becomes terrifyingly complex.

[Time 1:18:01]

We are going to throw in: we want to model pheromone evaporation, just because it is hard, and it probably is realistic. Also the screen gets really ugly when it just builds up, because it is green. It just turns all green, so I need it to evaporate. But the idea is that by evaporating, only the valuable paths would be retained.

We should have an animated GUI. Why not? What the heck. This is a couple of hour project in Clojure. And it ends up being really tiny, and as you will see, pretty simple.

[Time 1:18:37]

[One place you can find the source code for the program described here is: https://github.com/jafingerhut/clojure-ants-simulation/blob/master/src/ants.clj

Names of things in this font (inside backquotes in the MarkDown source) are symbols that you can search for in the program, or in a few cases Clojure function or Java class names that are mentioned in the talk, but not used in the program.]

So, why should I update iTunes? I do not think so.

All right. So, what we have here is some Clojure source code for this ants program. This is running in AquaMacs Emacs. You will note my complete ineptitude at Emacs, because I never learned it before I had Clojure. When I program in Common Lisp, I use LispWorks. It has its own IDE and I was happy there, but I am really lucky that somebody has written a nice Clojure mode for Emacs, so I have syntax highlighting and a bunch of other neat features, only a fraction of which I know how to use.

So I will be fumbling along a little bit, but the idea is that up top here we have an editor, and in the bottom we have a REPL for Clojure.

[Audience member: Do you know how to increase the font size?]

Oh, man. I am already running at a pretty low res here. I am not sure. I think you might want to move up if it is a problem, because I want to try to get enough on the screen at one time. It is already tough, because I am leaving room for my simulation over here. There is another seat here if you want.

[Time 1:19:59]

So the REPL. So here is a little Clojure. It is a Lisp. That means that operations are in parentheses, and the operator is first. And it seems like there is enough Lisp experience in the room that I do not have to explain that it is not function and then open paren, but it is the same number of parens.

But Clojure has got, as I said, literals. That is a vector. That is a map. OK. Key, value, key, value, key, value. You see it prints with commas? Commas are white space. I could have typed them in if I wanted to. But they do not do anything.

Just a couple of other little quick things. Maps are functions of their keys, which means I have a map now in the operator position, and I can look up a. You see that.

And we can just do a couple of quick thingies here, just to show the immutability. So I will define m1 as this map of a, b, and c. You will notice it is a hash map, so the printing order is not predictable, because it is hashed.

And the function assoc, which is short for associate, will create a version of a map with the changes that you request. So I can – oops.

Awwwww! Oh, that has got to be this recorder thing that timed out. Oh, man! Sorry about that. I do not think that I have recorded something longer than this before.

All right. Any questions?

[Audience laughter]

Yeah, if you want to get cozy here.

What was I showing you. Oh, I was showing you – so let us just make this map again. OK, that does not crash my machine, so that is good.

And then if I were to – well let me just save this one, too. Key a, 42.

So assoc is what "changes" a map. You say associate this map at this key, with this new value. It gives you a new map. So there is m2. m1 is fine. This scales to maps with hundreds of thousands of keys.

And what is neat about Clojure is: you use maps essentially as little structures, where you would use objects, typically, and also as things where you would use hash tables to store lots of stuff. Same stuff. No reason to have two different things. They are both good for both purposes.

So that is maps. Vectors we saw are square brackets. I will give you some heads up as to what we are seeing as we go through.

It would be great if you could get a sense as – although I guess everybody here has used Lisp, so you know what it is like to work in a REPL. But to work in a REPL when you are working with Java, it was totally cool to do this program, because essentially I built up my data, built up my representation, and defined some stuff. And then I needed to see it. And I started the GUI, and I could keep my GUI up while I changed it and resized it. Things that are just impossible in Java. Every one of them would have been change the program, recompile it, reload it. So it is fun to bring that interactive development experience into the Java world.

So let us look at what we have here.

```
(def dim 80)
```

We are going to have a square world. It will be 80 units wide.

```
(def nants-sqrt 7)
```

We are going to keep track of the number of ants as the square root of the number of ants, which just makes some things later easier. So there are going to be 49 ants.

```
[Time 1:24:06]

(def food-places 35)
(def food-range 100)
(def pher-scale 20.0)
(def food-scale 30.0)
(def evap-rate 0.99)
```

We have values for the number of places of food. The range of amount of food that goes in a place. Some scaling values for the drawing the rendering of pheromones and food, and evaporation rate. That is pretty low, right?

```
(def animation-sleep-ms 100)
(def ant-sleep-ms 40)
(def evap-sleep-ms 1000)
```

And some other factors here. Times for how long we are going to sleep in the animation loop, and in this ant behavior, and evaporation agents.

So def basically defines a global thing of that name with the value that is supplied. Again, if you know Common Lisp, it is a lot like defvar.

```
(defstruct cell :food :pher) ; may also have :ant and :home
```

Here we have defstruct cell. And all defstruct does is define something that is called a struct map.

[defstruct still exists in Clojure. In 2019, the clojure.org reference documentation says "Most uses of StructMaps would now be better served by records." https://clojure.org/reference/data_structures – the code still works as described, so this note is mainly to new Clojure developers who wonder why almost no code they see uses defstruct.]

And let me see. We should just do this as we go. So if I do this keystroke, I am sending these guys to the bottom to be evaluated, without having to copy them and type them. We will make running true. So now I have a definition of a cell.

Once you have a struct, you can create one by saying struct cell, and then pass the arguments that were supplied to the defstruct itself. So this will yield – well, what does that look like? Map. Structs are maps. Structs are maps with a little optimization, which is that: I am going to have a lot of these cells. In fact, I am going to have 80 by 80, 6400 of these cells, all of whom will have a food key and a pher key. So duplicating those keys over and over is a waste. By making a struct out of it, those instances of this map will share those keys. That is really all it does, but in every way structs are maps.

```
[Time 1:26:03]
```

In particular, you can add stuff to them, just like you could any other map.

So I have defined a struct for the cells. So each cell will have a count of food and a count of pheromones. I have in comments here, they may also have an ant in the cell. They may also be designated as a home cell.

This variability in data is really cool, versus objects where you say: oh, every cell has this, and these will always be false, or some ridiculous value you do not need because they do not – it does not really have an ant. It either has one or it does not.

So the world is a two D [i.e. two dimensional, like a matrix from mathematics] vector of refs to cells. There are not actually two D vectors in Clojure. There are only vectors of vectors, just like there are arrays of arrays in Java.

So this is just a little function inside. We can look at it. We are going to map. A fn is a literal. It is like lambda [from Common Lisp or Scheme]. It is a literal function whose argument we do not care about. We are going to create a struct cell object with no food and no pheromones, zero zero. And we are going to call ref on that, which means we are going to create a reference around that data structure. And that reference is those refs I talked about earlier. That is something now that can only be changed inside a transaction.

So we are going to end up with 6400 references to these cell maps.

[Audience member: Just a dumb clarifying question. map is mapping tbd]

They are both in Clojure. That is correct. This is map the verb. Right. There are maps, and there is map the verb. And welcome to not enough good words in English.

So let us make this. Boom! And now we have a world.

```
[Time 1:28:00]
```

So the world is there. Of course, because this is a vector of vectors, it is kind of cumbersome to always dereference that way, so I am going to make a helper function called place, and that will let me find a spot in

the world, a particular cell in this two dimensional thing, by passing –

```
(defn place [[x y]]
  (-> world (nth x) (nth y)))
```

Woah! That is a weird looking argument list. Because it is not just symbols, right? What is it? This is an argument list, and then inside that is a vector. This is an example of destructuring that I showed you before. This is a function that expects to get passed a vector, and by writing the binding as a vector, it can name the pieces inside the vector directly. As opposed to saying: I take a vector called V, and then inside saying: and the first thing in V I want to call X, and the second thing in V I want to call Y. By using destructuring, I can just directly say: I take a vector, and I am going to name the first thing X and the second thing Y. And that will be a place.

So we define that function, and we can try it. What is the place at 22 22? That is in our world, right? And we get back some ref. I do not usually care about the refs. I might want to see what is inside them. And we can do that by calling deref, or there is a reader macro @, which wraps whatever you pass as deref. So I can look inside that place and I see one of those cells. That is good. Everything is good so far.

```
(defstruct ant :dir) ; may also have :food
```

Now I have the same thing, ants. An ant has a direction, always. The direction is, 0 is north, and then it is clockwise through 8, so it is a square world with square cells. So an ant has a direction, but we also have a comment: it may also have food. If it has found some food, it will have a food attribute in its map, and that will be set, but that is optional.

Now we can create an ant. Now so here is the first instance where creating an ant is a destructive operation, because what is going to happen is ants are going to live in the world, and that is really where their data is going to be. So creating an ant means placing an ant in one of these cells.

So when you create an ant, you are going to get two things. One is: where does it go in the world? And the other is: which direction is it facing initially? And now we see the first use of sync. When I talked about you can only change references in transactions? Well sync is the primitive that starts a transaction. So anything that is inside the parens of this sync is going to be inside this transaction. The nil is a place holder argument, and eventually I think I got rid of these by making a macro down here. I got tired of writing sync nil, so I wrote a dosync. And eventually that will be part of Clojure.

So to create an ant, we start a transaction. Then we say we find the place at that location. I just called place before. That gives us a reference to the place that we are going to call p. And we are going to create an ant by calling struct ant – like we said struct whatever before. With the direction. That will give us a new ant object.

And then we are going to call alter. alter is one of the ways you can change a reference. There is set!, alter, and commute. alter is kind of the nicest way to do it, because alter says alter this reference by calling this function with these arguments. That is what alter means.

So this says alter the thing at p, which we know is a little map, associating :ant with this ant we just created. That is going to change that.

```
[Time 1:31:56]
```

Now that does not change the data structure that was there. We saw what does assoc do? It creates a new map. It does not change the map that is there. So this is going to say, at that reference in the world, place a new map where there is an ant in it. Do not change the map that was there. That is going to get swapped out. So no maps ever change. No data structures in Clojure ever change. We are just saying: this reference is going to point to a new map, which is the map that was there, with the association with this ant.

So just like we saw assoc before. So this is going to create a new map, taking what was in p, associating :ant with a, then replacing p with that. That is what alter does. It calls a function of the state of the thing, yields a new state, puts that back in the reference.

And then we are going to return an agent – which is the other kind of reference in Clojure; we had refs and agents – an agent that tracks the location. So the idea here is: the world is a set of references to cells, and the cells have food, pheromones, maybe ants, maybe they are marked as home. That is going to be a transactional world. We can only change it in a transaction.

Then we are going to have agents, an agent per ant. And agents are these asynchronous guys that are going to do work. Their state is going to be the location where their ant is. So there is a presumption here that no two ants in the same place. Not allowed. No two ants can occupy the same space.

Now that would be potentially a hard thing to maintain if you were to write this manually with locks and everything like that. You would have to make sure no two ants can be in the same place. That is going to happen in this system. And these agents are going to be in charge of making sure that that is the case.

So we are going to take the location we are passed and we are going to wrap it in an agent, and that is what we are going to return when we create an ant. We should try creating an ant.

So we are going to define a to be: create an ant at 0 0 in direction 0.

```
[Time 1:34:00]
```

Oh, wait. I did not evaluate create-ant. Now we have defined create-ant. Now I can call create-ant. So I am evaluating this line here. create-ant. a. And then we can see a is – it is just an agent. Agents can be deref'ed like references can, and we see it is [0 0].

But now if we want to look at that place [0 0], what should we see? An ant. Cool! And really it was this much fun when I first did it, I promise.

[Audience laughter]

```
(def home-off (/ dim 4))
(def home-range (range home-off (+ nants-sqrt home-off)))
```

I am going to move a little bit more quickly here, so there is an offset for where home is. And a range. That is where I have the ants square root now, because what I need is a little square in part of the world where the ants start, and they all have to be in unique spaces, therefore look how convenient this is to have counted the ants by their square root. So we get a nice square big enough to hold the ants.

```
(defn setup
  "places initial food and ants, returns seq of ant agents"
[]
  (sync nil
      (dotimes [i food-places]
            (let [p (place [(rand-int dim) (rand-int dim)])]
```

OK. So we have a setup function that is going to place the initial food and ants, and it is going to return a sequence of ant agents. So if we are placing food and ants, we are definitely modifying the world. We better be in a transaction. So there is our sync.

Then we are going to go through for the number of food places – that was one of those variables earlier. For each food place, we are going to find the place, pick a random place for the food to go. A random location. And we will alter that location, putting in a random amount of food, in the range of food we said before. So alter p, same thing we saw before. We are finding a place.

But this transaction – well first of all, it is manipulating a lot of places. The number of foods. And it is also going through the home range. This is the list comprehension of Clojure, called for.

```
[Time 1:36:01]
```

It says for each x in this sequence, whatever it is, and each y in that sequence. They are truly nested, so y's sequence could refer to x. y's sequence expression could refer to x. For each of those, we are going to do some work.

The real job of for returns a lazy sequence, but I do not have time to talk about sequences. doal1 means: make sure we touch everything in that sequence, because there is actually a side effect associated with this, which is to modify this place. That is all doal1 means.

So we are going to alter the place. We are going to say this is a home spot. And we are going to put an ant there. So all the ants start at home. So it is going to create a little square, and put all of the ants in the square. OK? In a transaction. So a whole bunch of things changed in that transaction.

```
(defn bound
```

```
"returns n wrapped into range O-b"
[b n]
  (let [n (rem n b)]
    (if (neg? n)
          (+ n b)
          n)))
```

bound, this is just a helper function for me, often in this world. Well first of all the world is a torus, so I am going to connect the sides around to each other, and I am going to connect the top to the bottom. Everybody who has written cheesy games knows how to do this. And then you end up with a donut. So we have a donut world. The top wraps around to the bottom. The sides wrap around to each other.

So between the donut world having to go from one end to the other, and the direction world having to wrap around, this bound function makes it easy to say: take a number and make sure it fits in between these two numbers, by wrapping.

```
(defn wrand
```

```
"given a vector of slice sizes, returns the index of a slice given a random spin of a roulette wheel with compartments proportional to slices."
[slices]
```

wrand, did I do bound? wrand is a weighted random thing. So this is a way often times when you are doing systems and simulations where you need a random number, you need to make a random selection, and the things you are picking from have different probabilities. You pretend you have a roulette wheel, where each slice on the wheel is a different size. Then you spin the wheel, and of course bigger slices have bigger chances of getting picked, and smaller slices have smaller slices. wrand does that.

```
[Time 1:38:02]
```

Given a vector of slice sizes, it returns the index of a slice given a random spin, with a probability proportioned to the size of the compartments. That is a mouthful. We should try this one, because I have no idea. But it takes a vector. So if we said [1 2 3 4], and this is going to return the index. I can keep trying this, and I should get higher numbers more often, because they have bigger probabilities. Now if I change this to a really high number, I am almost always going to get 3. So that is working.

```
(def dir-delta {0 [0 -1]

1 [1 -1]

2 [1 0]

3 [1 1]

4 [0 1]

5 [-1 1]

6 [-1 0]

7 [-1 -1]})
```

Direction delta [dir-delta]. This is another helper function. It just says: if I needed to walk in this direction, what would the X and Y offsets be? What is cool about this function is what? How did we define all of the other functions? defn. defn. What is wrong with this function? It is not a function. What did we say about maps? Maps are functions of their keys. Direction delta, it does not need to be anything more than a map. You are going to pass it a number. You are going to get back one of these things. And it is going to work just like a function. Let us try it. Yay! That looks a lot like a function call. But that is a really cool thing to be able to do. You can write programs much more simply that way.

```
(defn delta-loc
  "returns the location one step in the given dir. Note the world is a torus"
  [[x y] dir]
    (let [[dx dy] (dir-delta (bound 8 dir))]
        [(bound dim (+ x dx)) (bound dim (+ y dy))]))
```

Delta location [delta-loc] basically takes a location and applies the delta and gives you the new X and Y positions of the location. You can just trust me that that one works.

```
(defmacro dosync [& body]
  `(sync nil ~@body))
```

Then I got tired of writing sync nil, so I wrote a little macro that does sync nil, and I call it dosync. From now on I will only use dosync.

OK. Ant agent functions. We have a bunch of small behaviors.

How are we doing for time. Do I need to wrap this? Is everybody OK? Are we going to get kicked out of the

room? Is this pace OK? Do you want to see what happens at the end? OK.

So turn. turn turns an ant at the location. At this point, all of these ant functions are going to affect the world, so they are all going to be transactional.

```
[Time 1:40:03]
```

So turn is going to take a location and an amount. It is going to start a transaction. It is going to find the place where the ant is, and in particular these functions turn, move, whatever, these are functions of the ant agent. What did we say the state of an ant agent is? Remember?

It is just a location. Right. So you will see these are all functions of at least a location. They may have some other data, but all of these functions about the ants are functions of locations. Because these functions are going to become actions of the ant agents. So remember, an action of an agent is a function of its state, possibly and some other values. But at least a function of its state.

So all of these things we are going to see about ant actions, are actually ant agent functions. So it just takes a location and amount to turn. We are going to find the place, get the ant that is there, which is just a piece of data now. Then we are going to alter the place, associating the ant with the result of altering the ant by changing its direction that amount.

So we create a new ant. We do not change anything really. Create a new ant, create a new cell with that new ant, and put that new cell in that old place, because the only thing we can really change is the place, the reference. So this is programming with immutable data.

We will see that this is all really worthwhile, hopefully, later, but it is not actually that hard, even if it was not worthwhile, I do not think, when you get the hang of it. Does everybody see how turn works? And note also: it returns location. This thing does not change the location. But remember, an action is a function of the state of the thing, so it better take a location, and return a location. Because the return value of this will be the new state of the agent.

```
(alter oldp assoc :pher (inc (:pher @oldp))))
newloc))
```

Now move. We move an ant in the direction it is heading. There is a caveat here that says: you must call this in a transaction that has already figured out there is no ant in the way. And you will see this function itself does not have sync in it. And if you were to call it, it would fail if you call it on its own, because you would not be in a transaction. So this is saying: you have got to be in a transaction. It ends up being inefficient to constantly recheck for the presence of the ant inside move, so the decision making process of the behavior is going to find that we have a free path here, and then call move. Absolutely, we can move, because I already checked that there is no ant in the way, thus enforcing the no two ants in the same space.

So how does this work? We get what I will call the oldp, where we were. We are going to move from this place. That is where we are now. We are going to get the ant that is there. We are going to get a new location by calling that delta function that figures out the new location.

I did not evaluate this. Make sure you catch me if I do not evaluate something. I do not think I did this one, either.

[In several parts of the code walkthrough, Rich types expressions into his REPL session that are not in the code, to demonstrate various behaviors of the code interactively. I have not attempted to reproduce this REPL interaction in this transcript.]

So we get a new location. We call that delta location function, which says the new location is [22 23] from where you are, pointing in the direction of the ant. And we find the place there, and that is going to be our target spot. We are going to alter the new place and put the ant there. We are going to alter the old place and dissoc – that says remove this key from the map. So there is no more ant in the other one.

And then if it is not home – we do not want to spread pheromones all around home, because it just causes everybody to just stay home. So we do not drop pheromones at home, but otherwise when we move, we leave pheromones behind. So this just says: if the place where we were is not home, alter it, incrementing its pheromone.

So a lot of work in there. A couple of places altered. This is a hard job with locks. Locks, what are you going to do?

```
[Time 1:44:00]
```

What order do you lock places in a world like this? Very hard. Very hard problem.

Very easy [the Clojure version]. Well here I did not even show you how easy it was, because this function does not even do the transaction. Everybody good with that one?

Remind me of this, because my program will not work if I do not evaluate all of these.

take-food. So here it says, again, you have to call this in a transaction that figured out there is food there. There is more than 0 food in this place when this is called. And the same thing: find out where we are, get the ant there, alter the place, decrementing its food, and give the food to the ant. And put that ant back in there.

Notice this alter, this assoc, took two key/value pairs. assoc takes as many as you need. So this is changing two attributes of the map, and yielding a new map, and putting that back in p. Returns the same location.

Oh, yeah. Note move returned a new location. That is the new state of the agent. It is the new place. So that is one of the few that returns a different value here.

So take-food does not move you. Like that.

drop-food, same story. You have to figure it out in a transaction that you have food, and you are going to put it where you are. Same kind of thing. You are going to increment the food at the place where you are, and disassociate :food from you. The ant has no food now.

Now we get to the behavior. This is a little bit more involved, but it is as complicated as this program gets. I need a helper function to rank things by some sorting function. So I do not want them sorted. I want their ranks.

So what does it say? It returns a map of Xs, a map of them to their 1-based rank when you sort them by their key function. So I am going to have a bunch of things. I am going to sort them by some function. And then I am going to return a map of the thing to its rank by that sort order. Just trust me that that works, because it is not that interesting. It calls reduce and some other things, but it is really not that interesting.

```
[Time 1:46:04]
(defn behave
   "the main function for the ant agent"
   [loc]
[This is a fairly long function, so I will not copy and paste it all here, but instead paste parts of it below just before those parts are discussed.]
```

So behave. So this is the main loop for ant behavior. And the ant agent is going to be executing behave, over and over again. Each ant agent is going to be executing behave.

```
(let [p (place loc)
        ant (:ant @p)
        ahead (place (delta-loc loc (:dir ant)))
        ahead-left (place (delta-loc loc (dec (:dir ant))))
```

```
ahead-right (place (delta-loc loc (inc (:dir ant))))
places [ahead ahead-left ahead-right]]
```

So what happens? We are going to find all of our places. Where are we? What is the ant at the place we are at? What is ahead of us? What is to the left? What is to the right? And we are going to make a list of places that are in front of us, kind of, which is ahead, ahead slightly to the left, ahead slightly to the right. We are going to use them later, so I did not want to keep evaluating them.

So we are going to let all of these values. So that is all let does. Name, value, name, value. We are going to start a transaction. We are going to ignore these next two lines, the next three lines, for the moment. And we are going to look at the logic.

```
(if (:food ant)
```

Basically, an ant is doing one of two things. It has either got food and it is trying to get home, or it is foraging. So we are going to say if the ant has food – and Clojure has Common Lisp style truth logic, which is nil or false, because false has got to be there for Java, means false, and anything else means true. So I do not care about the value of food in the ant, I just care that it is there. And that will tell me that.

So if I have food, I am going home. So what are the cases, the conditions, here? One is: I am home. If this place is marked as home, I am there. So the ant is going to drop the food. Oh, I have got to explain this. It is going to drop the food and turn around.

```
(-> loc drop-food (turn 4))
```

The arrow is a really neat macro. It basically is like a threading thing. It says take location, and pour it into the first function. Now this is not even wrapped in a function, so it is going to make a function out of it. drop-food is going to say drop food on the location, and then take the result of that, and thread it in to turn. And that will be the value of the first argument to turn.

```
[Time 1:48:00]
```

Essentially, the effect of this is to say: drop food of location, and then turn of that, 4. But this is a more straightforward way to write it. It is kind of a chaining thing. That is right.

So it is a function of location. drop-food at the location, then turn that thing by 4, which is the location.

So that is what happens. We find home. We drop the food. We turn around 180 degrees.

```
(and (:home @ahead) (not (:ant @ahead)))
  (move loc)
```

If we see that there is home in front of us, and there is no ant there, we call move. Remember that it is a requirement of move that we be in a transaction, which we are, and we know that there is no ant in front of us. So those are true, and we can call move. These functions cooperate sort of very symbiotically.

Otherwise it is not one of those two things. So now we have to do some sort of selection. And this is probably too complicated to explain well, but I will explain it quickly, which is that I need to rank the possibilities, three possibilities: moving forward, turning slightly left, or turning slightly right. Those are the three things I can do now, or that I am letting the ants do. So I am going to rank the choices two ways.

One is: I am going to rank it by if there is home in front of us, that is worth 1. And this is a bunch of little neat Clojure stuff.

```
#(if (:home %) 1 0)
```

One is sharp [meaning #] open paren is a function literal. So instead of having to say fn something, and then write this, and then that something there, I can say sharp, the body, and just use percent, %1, %2, %3, as the positional arguments of this anonymous function. It is just the most succinct way to write an anonymous function in Clojure.

But this is the same as fn of x, if home x, 1, otherwise 0. It is just two fewer parens. It ends up being seven characters shorter to do it this way. So when it is a short function, this is neat. Bigger functions, do not bother with this. Write fn. It is not that hard.

```
[Time 1:50:00]
```

So we are composing that little function with deref, because we need to get something out of the places. But essentially we are sorting the places by their home content. And then we are also making another map, which is the places sorted by their pheromone content. Then we are merging these two maps with plus [meaning +], which means we are going to combine at each key with plus. So we are going to add together the scores from these two weightings, and we are going to use that to be the ranks.

So we have ranked now the three choices. Then we are going to call a vector, and like maps are functions of their keys, vectors are functions of their indices. So we have a vector of the three actions. We might want to move. We might want to turn left. We might want to turn right. So we put three functions in a vector. Then we make a random roulette choice of those ranks, picking one of those actions. That becomes the first argument of a function call on the location. This is probably just too clever, and I should have done it in more steps. But that is what is happening.

So I am taking an array, a vector, of functions, choosing them with that roulette wheel thing, based upon the ranks I calculated before. That yields a function, that expression, and the function I am calling on the location. So I am either going to move, turn left, or turn right. That is the whole case for going home.

```
(and (pos? (:food @p)) (not (:home @p)))
  (-> loc take-food (turn 4))
```

If I am foraging, it is very similar. If I have found food where I am, and I am not at home, because you do not want to take food back out of your home. So if I have found food and I am not home, then I am going to take the food and turn around. Hopefully turning around points me sort of towards home.

```
(and (pos? (:food @ahead)) (not (:home @ahead)) (not (:ant @ahead)))
  (move loc)
```

Otherwise, if there is food ahead of me, and that is also not home, and there is no ant there – remember that was a caveat, then I can move. I will go there, and then of course on the next cycle I will take that food.

Otherwise, exactly the same kind of logic, except – and the only reason why I duplicated it is that because I thought I might tweak it, but I ended up not.

```
[Time 1:51:58]
```

Same kind of logic, except for I am going to rank the choices in front of me by their food content and their pheromone content. So I am looking for food, instead of looking for home. And the pheromones also, I try to stay on the path, because theoretically the paths should lead to good things. That is why all of the ants are on them.

So that is behave. That is the biggest function in there, and that is the main loop of the agents, the ant agents.

And finally we have an evaporate function. What happens is: the pheromones accumulate everywhere, but fresh pheromones are more valuable than really old ones, so letting them accumulate indefinitely is not that useful. And theoretically, in my very limited ant knowledge, I think pheromones evaporate. So we are going to make pheromones evaporate.

Now evaporating, that is a big job. To make pheromones evaporate, I have to go through every cell, because it gets all over the place. And I have to make there be less of it. So this is a function that is going to walk through the entire 6400 cell world, and in a little transaction, one per cell, I am going to multiply the amount

of pheromone that is in a place by this evaporation rate, which is 0.99, diminishing it slightly, and putting that back in there. So I am just tweaking the pheromones.

So this evaporate function is going to be running. We will get to the simultaneity in a second. We are going to be running and walking through, and making all of the pheromones evaporate, while everything else is going on.

[Audience member: Is there a small threshold where it just zeroes out?]

Well eventually you are multiplying by zeroes, so ... but I do not force it to zero. So you just keep getting smaller and smaller, and eventually you run out of float I guess. OK, so that is evaporate.

Another use of the for. Again dorun because for is lazy, so I need to force this to happen. That is what dorun does. And there is a mini-transaction. Note that this is not a transaction around the whole loop. That would really pin down the world, because this is a writing transaction.

```
[Time 1:54:00]
```

But I do not really care. I am just supposed to evaporate everything, so I can do that in little jobs. There are a lot of little jobs to evaporate across the whole world.

That is all of the logic of the program. That is the entire simulation logic, up there.

(import

```
'(java.awt Color Graphics Dimension)
'(java.awt.image BufferedImage)
'(javax.swing JPanel JFrame))
```

So, let us have fun. UI! I need to use some Java stuff to do some UI. I need Color, Graphics, and Dimension from there, BufferedImage from there. And you will notice already that this is smaller than Java. Yes? To do the same job? A bunch of lines in Java.

```
;pixels per world cell
(def scale 5)
```

I do not want 80 by 80. That would be too tiny for us to see. I need to scale the world, so in pixels, it is going to be each cell is five pixels. That is what scale is.

And then I am going to do this backwards from render, because Clojure requires you to define things before they are used, so you are seeing definitions that are used later, but let us look at the overall picture in render, which is that we are going to be given a graphics context. Anybody ever done Swing? The idea is: there is a painting thread, and it calls you. And it says: here is a graphics context to paint into, and then you have to use it.

So the cool thing about render is: what is the Java render going to have to do? render is going to paint the whole world. So painting the whole world means finding out where all of the food is, where all of the ants are, where all of the pheromones are, and drawing that. It basically has to touch the whole world. But it only has to read the whole world. But I would really like for this to be a high quality report. And this is a classic problem. This looks like an ant simulation, but it is a really hard problem.

And has anybody ever written an app with a whole bunch of stuff going on, and somebody says: I would like a report, and I want to make sure that nobody appears in two places at once, nobody is missing, all of the values add up. And now you are saying: Aw! I would have to lock everything in order to have a valid report where everything is synchronized!

```
[Time 1:56:00]
```

Guess what? STM does this kind of stuff, and that is a very, very hard thing to do. So what do we do? We make a little transaction, and we rip through every place and put it in a vector. Boom, like that.

Now what did we say about transactions? When you read in a transaction, everything you read is as if a point in time. So even though you will see the ants are going to be moving around, and the evaporator is going to be evaporating things, this renderer is going to get a consistent view of the world when it does this dosync and runs through the places. Always. You will never see two ants in one cell. You will never see a little crisscross of ants. If you counted the ants, they would all be there.

And you will have to trust me on that, but that is the deal. So we are not going to hold the transaction open while we are painting, because that is time consuming. So we are going to create a transaction, and we are going to run through every place. Right, that is the whole range. And apply vector to that. So we will copy them into a vector.

Now we do not need to make copies of the maps, right? Because they are never going to change, so only the references end up in this vector.

[Audience member: So you could also have the ants moving multiple cells between paint operations]

Oh, no. This is all going to happen at the same time. Yeah. Everything is happening at the same time. While this is happening, everything is changing.

[Audience member: But then if the paint takes a long time, before the next one happens, the ants may have moved multiple cells.]

That is right. As in most animations of simulations, it is not a one-to-one relationship between – I mean, it would be easy to do that the old way. In the loop way, I would tick every ant, then paint, then tick every ant, then paint. And I would know that every paint cycle would show everything that happened in one cycle of the world. But I really want a more simultaneous world.

[Audience member: tbd cycles falling down tbd]

```
[Time 1:58:00]
```

Well the other thing is: some of these ants – I mean, this behavior is pretty consistent, but some of these behavior branches take longer than others. So in a real simulation, some ants may take more time to do their

action than other ants. So there is really is not a notion of frame, frame, frame, in the activity world. So we really have that independence. There is the activity world. Then there is the animation, which are snapshots.

But that is a very real world thing. If you have a real world system that has to do reporting, you have this problem. And if that real world system is multi-threaded, you have a very difficult problem. I have yet to see an elegant solution to: lock the world and give me a valid report. And what ends up happening is: most systems have this copious logging, and then time points, and then they recreate things backwards.

So, we copy the world very quickly, and then a lot of this is just basic Swing stuff. We are going to create a BufferedImage, which is not where we are drawing. We are going to create the image there.

```
(doto bg
  (.setColor (. Color white))
  (.fillRect 0 0 (. img (getWidth)) (. img (getHeight))))
```

doto is a way to do multiple operations to a Java thing. It is as if I called . bg setColor, blah, . bg fillRect. But I can say doto bg, and I do not have to say . bg over and over again, which is already better than Java.

So I set the background color to white, and I fill the rectangle. I am going to blank out the whole thing.

```
(dorun
  (for [x (range dim) y (range dim)]
     (render-place bg (v (+ (* x dim) y)) x y)))
```

Then for every cell, I am going to render the place, and render the place is a helper function, we will see.

And then we are going to go and create a box around home, in blue. So fillRect draws a solid rect. That is a white background. We are going to look at render-place in a second. Then we draw a rectangle around home.

```
(. g (drawImage img 0 0 nil))
(. bg (dispose))))
```

Then we take that BufferedImage and we put it into the Panel, which is the thing we actually see on the screen. So we draw off in the background, and then we paint onto the foreground. And that makes it animation, as opposed to drawing while you are showing, and then you get all flickery and nastiness. So that is render.

```
[Time 2:00:03]
```

So render called render-place. render-place says – it is called once for each place, and this takes the graphics context, the place, and the X and Y locations, and says:

If there is pheromone there, draw some green scaled by the amount of pheromone and that scale factor I said before.

If there is food there, draw some food. Same thing, there is a food scaling factor. It is going to draw that.

```
(when (:ant p)
  (render-ant (:ant p) g x y)))
```

And if there is an ant there, we are going to call render-ant and draw the ant.

Then we have render-ant. This is more complicated. In fact, I do not even use half of this, but the bottom line is: an ant is a little line. It is either red, if the ant has food, or it is black if the ant does not. It is pointing in the direction. Believe me, it is not very exciting looking. It is like a dash. But we draw a dash for the ant.

```
(defn fill-cell [#^Graphics g x y c]
  (doto g
      (.setColor c)
      (.fillRect (* x scale) (* y scale) scale scale)))
```

And finally we have a helper function we saw we filled cells in green and red and whatever, and so fill-cell is a helper function that just takes a graphics context and does this.

```
(defn fill-cell [#^Graphics g x y c]
```

This is an interesting thing right here, we have not seen before, which is sharp caret [sharp caret meaning #^. That is an older syntax that still works fine in 2019, but is more often written ^ without the #]. This is a metadata literal.

[Audience member: Can you scroll up tbd]

Is that OK?

So this is a metadata literal. This is metadata actually being supplied to the compiler. This is associating the metadata flag:tag to Graphics for the symbol g. When the compiler sees that, it says: this g is going to be representing a Graphics. It is a type hint. And we have not seen any types so far. I mean, Clojure is dynamically typed. But Clojure also supports type hints. And given just the hint that this is a Graphics, all of the code in here will not use reflection. It will generate calls to Java that do not use reflection.

And you will see there are only one, two.... two! Two type hints in this whole program. And that is all it takes to have this run fast enough to work. Given that this is a Graphics, it knows the signatures of these functions, and they are called directly. No reflection.

```
[Time 2:02:06]
```

So what did we say? We did scale, so fill-cell, render an ant [render-ant], render a place [render-place], render the whole world [render].

I need a Panel. That is a graphics thingy, right? JPanel, paint.

```
(proxy [JPanel] []
  (paint [g] (render g)))
```

I have just defined an instance of a derived class of JPanel and defined the paint function. That is it. That is all it takes. So you say proxy, you put one class, and zero or more interfaces, and you will get a proxy class defined that is a derivee of that class, and implements those interfaces. And then you can supply closures in here that define the function. So we only care about paint. paint is a Java function now. This is going to be called from Java. It gets passed a graphics context, and it is going to call that render function.

So this little, between here and there, is all it takes to define a derived Java class in Clojure, and implement its function.

And then this doto is that same thing. I am going to create a new one of these, and then I am going to immediately set its preferred size. So doto this new proxy, setPreferredSize this dimension. OK? So I will make one of those.

So I have to be here. I have two panels now.

```
(def frame (doto (new JFrame) (.add panel) .pack .show))
```

frame is make a new JFrame. So also use doto. Look how fast and easy this is. Not multiple lines. doto a new JFrame, add a panel, pack it, and show it.

```
[Time 2:03:58]
```

Cool! I wonder what would happen if I called that? Wait. I just did. Oh! Woah, cool! We have a world. Oh, we have the ant we put on it before. Right?

So, I have programmed in Java and C#, I never did anything incrementally like this there, and this is so much fun.

Oh, what is happening? I am not seeing that. So is this going to be a good place for it? OK. Cool! So we are drawing. I like that.

And now we need to just do the agents part. Like nothing is really happening. This is a pretty boring world. We have not populated it. We did not call setup. And we did not start any activities up.

So we saw the behave function, which is the main action of the ant agent. We are also going to have an animation agent, whose job it is to call repaint, essentially. So we will make an agent for that.

```
(def animator (agent nil))
```

And he does not really have any useful state. So animator is an agent with nil as its state. His job is really just to perform side effects. And this is going to be his main action.

```
(defn animation [x]
  (when running
        (send-off *agent* #'animation))
```

```
(. panel (repaint))
(. Thread (sleep animation-sleep-ms))
nil)
```

We are ignoring the running part now. His main action is to call repaint, and then sleep. That is what the animation action does.

```
(def evaporator (agent nil))
```

And then there is another agent. Again, he does not have very useful state, because he is mostly being run for side effects. The evaporator. And his main action is evaporation.

```
(defn evaporation [x]
  (when running
      (send-off *agent* #'evaporation))
  (evaporate)
  (. Thread (sleep evap-sleep-ms))
  nil)
```

And he is just going to call evaporate and then sleep.

So we have an animator agent, with an activity for him, an evaporator agent and an activity for him. We already defined the behavior for the ant agents. A bunch of those.

```
[Time 2:06:04]
```

So now we have seen this run. We just want to go check up here. running is true. I do not know if I ever did this, but let us make sure we did. Chances are good I forgot to evaluate something, so I may want to load this file. But we will see what happens.

So we can look now at those lines I told you to ignore. Sorry I am scrolling so much. Which is: now we are inside the behave. Oh wait. There we go.

```
(dosync
  (when running
        (send-off *agent* #'behave))
```

We are inside behave. And we said dosync, and we said when we are running, send-off, which is one of these send an action, send-off to *agent*.

agent is this agent that is currently running. Because on any particular thread, there can only be one agent at a time. We know that this behave function is an action, which means that it is going to be called on an agent, which means that this value of *agent* will be bound, in this action, to the agent this action is being called on.

So this is basically saying: send me, send myself, this same function again. Do it again. So each agent is going to be told to do something, and when he does it, he is going to tell himself to do it again one more time. Those all get queued, because we know when you dispatch an action inside an action, when does it go off? After your state changes. So that is when this is going to happen. Even though it comes at this point in the code, it is only going to happen after a state changes. So he is going to behave once, and he will have sent himself a message or reminder, behave again, as long as running is true.

```
(when running
  (send-off *agent* #'animation))
```

Similarly, if we now look at the parts of these other functions we were not supposed to look at, the animation thing does the same thing. Send the agent that is currently executing animation, animation again.

```
(when running
  (send-off *agent* #'evaporation))
```

And the evaporator does the same thing. So these guys are going to be in infinite loops, broken by running.

[Time 2:08:02]

OK so far?

[Audience member: Is that *agent* a magic variable?]

agent is an example of a Var, which I did not talk about, but Vars get bound thread-locally, and the agent system will bind the Var *agent* to the currently executing agent. That is part of the agent system.

So because, remember agent functions are functions of their state, they do not really have access to their reference, except this way. It is a nice way to do it, because most functions of agents are really just functions of their state, and they do not need to access this. And you can test them independently by making them functions of data, as opposed to functions of references.

[Audience member: This may be a dumb one, but to me the def evaporator and the def evaporation, they look like separate expression. So what is tying them together?]

Nothing. I am going to send the evaporator the evaporation action in a minute. They are not connected.

So normally I would not have done all of this independent evaluation. I just would have loaded this file. I am going to see if I actually got everything.

```
(def ants (setup))
```

So we remember – I do not know if you remember, but up at the top when we called **setup**, **setup** distributed food, and set up home, and put ants in home, and it returned a sequence of the ant agents. So if I called **setup**, I would like to keep track of that in **ants**. I am going to do that here.

So I am going to call setup, and capture the list of agents into ants. Woohoo! So what is in ants? Wow. A lot of agents. Cool. So we have 49 ant agents now.

```
(send-off animator animation)
```

Now we have the first use of agent actions. We are going to send the animator the action animation.

[Time 2:10:00]

Remember, actions are functions. The animator is an agent. We created him before. So we are going to send him animate. And he will do that over and over.

Woah! Cool. He is animating now. I should have done thread counts before. Let us see. I do not even know where we are yet [looking for the JVM process in the Mac's Activity Monitor, he does not find it in the list of the first few with the most threads]. We will have the most threads soon. Let us just put it that way.

[Audience laughter]

But there was a thread created, and that thread is running this animation loop. But nothing is changing yet, because we have not set the ants off.

```
(dorun (map #(send-off % behave) ants))
```

So now what I am going to do is, again, this dorun is because map is lazy. So if I said map this function across the ants, it would do the first one, but if I never consumed the result, none of the other side effects would happen. So dorun just forces the side effects of what would otherwise be a lazy functional thing.

So we are mapping send-off to this ant, behave. This is just a quickie function literal for sending behave to each ant. We are mapping this function across the ants, and then forcing it to happen with dorun.

Woah! Cool. There they go.

(send-off evaporator evaporation)

And then we are going to start the evaporator. So now the evaporator is going. And if we look here, woo! Now we are top. 68 threads. We are using more than 100% of the CPU, which means we are using 2 CPUs, and we are cranking away there.

And there we go. Ants turn red, remember, when they have food. So there are red ants, and the green is the pheromone build up. And we have an animation. And that is multi-threaded programming in Clojure.

[Time 2:12:00]

No locks. Some of what is happening in here would be extremely difficult to do with locks, or impossible. The graphics rendering has got a consistent view of the world. It is not necessarily the latest, but when you really think about worlds, there is no such thing as the latest, without stopping it. But it has a consistent view of the world.

evaporation is this big time consuming job. It is running in the background. Each ant is looking at a set of things, a set of places, and making decisions about what to do. Those sets of places can overlap, and those decisions can conflict. But by running them in transactions, the constraints of the system's logic are enforced. So no two ants in the same place, no taking food from home, and etc. etc.

And the drawing is not impeded by the ant motion. It is not impeded by the evaporation. There are 51 threads associated with this: 49 ants, an evaporator, and an animator.

We will let that go.

[Time 2:13:22]

slide title: Thanks for listening!

[Clojure logo]

http://www.clojure.org

Does anybody have any questions?

[Audience member: I remember reading that there was a thread pool for agents?]

Oh, yeah, there are thread pools behind this.

[Audience member: But it is not one.]

Oh yeah, so there is a thread pool. There are actually two thread pools in the agent system. One is used by the function **send**. The function **send** uses a fixed thread pool. That is a multiple of the processors. Well, it is related to the number of processors.

[Time 2:14:06]

There is also send-off, and send-off will allocate a thread per request. And the idea is that if you had requests that might block, if you have actions that might block, you could consume your thread pool with send. So any time you might block you should use send-off.

Because these ant threads are sleeping, that is technically blocking, and the right thing to do is use send-off, and so what I have done here is use send-off.

I could rearchitect this to use send, and make the waits much smaller than they are, because now each ant will be waiting in a shared set of threads, and get exactly the same behavior.

But that is a choice you have to make. If you only had a fixed thread pool, you could not have blocking operations. If you only have a thread per operation, you cannot get maximum efficiency, because a fixed thread pool is the most efficient way to crunch calculations. So the agent system gives you both.

Also remember for transactions only you could also put Clojure functions into the Executors framework of Java. I am not against that. It is a good framework, and it has futures and some other features, so you have to pick the right tool for the job.

But these are pretty high powered tools with very low overhead ease of use. All we did was put sync around stuff, pretty much.

Any other questions? Any other questions about Clojure generally?

[Audience member: Not a question, but since the recording got screwed up, you might want to plug your blip.tv screencast.]

Yes, blip.tv is good. Oh, I should just put up my ...

[Time 2:16:00]

Yeah, you can find out what really is just – go to clojure.org. On there are links to the Google group, which is the main way we communicate. There is an IRC. There is also blip.tv, which is a place where I put screencasts, like maybe I will put the first half of this talk, if it ended up on my hard drive intact, which is probably not the case. But I am doing a series of things. It is likely I will give this talk again, because I prepped all of the slides. And I will make the slides available.

[As of 2019, the Clojure and ClojureScript Google group are still used a little bit, but not as much as in the past. Other more common places for discussion and questions are the Clojurians Slack channel at https://clojurians.slack.com and Q&A forum at https://ask.clojure.org]

And basically everything Clojure you can get through the site. If you look in the upper right, you will see nav to the wiki, blip.tv, which has a bunch of talks I have given on sequences, and some of the other core data structures of Clojure. If you want to start from scratch with Clojure, some of those can be useful, if somewhat informal. They are like lunch time talks I gave.

[As of 2019, I do not think blip.tv exists any longer. The clojure.org home page has a link to "Clojure TV", which is a YouTube channel containing many videos about Clojure: https://www.youtube.com/user/ClojureTV/videos

Yes?

[Audience member: That is awesome. Thank you. You mentioned Erlang a couple of times. I am just curious, one of the things that the Erlang community differentiates, or is distinctive to the Erlang community is the distributed part tbd.]

Yes. Clojure does not yet have a distributed concurrency story. Erlang made a very conscious decision to unify their concurrency primitive with distribution. And there are a bunch of tradeoffs associated with that. It makes it transparent to distribute things. At least, it makes it code transparent to distribute things. That is, you do not have to change your code. You can move your process to another machine, and it will work.

In practice, there are behavioral differences to moving to a different machine, which is that: if you were sending a lot of data, and it was local, it worked. And now that it is across the thin wire, it does not work so well.

There are some good papers from Sun, I think, that are critical of transparent distribution.

[Time 2:18:01]

I happen to be sympathetic with those papers. But I think for the problem domain they [i.e. Erlang language designers] were in, they valued the transparent distribution more than the others.

The other thing about having transparent distribution is that all of your failure modes are failure modes of distributed systems, which are the worst failure modes. In other words, they have to build systems where every interaction is as if it could have the failures you have with a distributed system.

The other major difference I would say between the actors of Erlang, because Erlang is really an actor system. It is actually kind of a very pure rendition of the original actor model. The difference between actors and agents is: there is no access to actor state except via a message send. And that is because that is the only thing you can distribute. Clojure agent state is directly accessible. That cannot be distributed.

So there is a tradeoff there. I find that the ease of use of programming with direct accessibility to that state is worth distinguishing the two systems: a distributed messaging system, and an asynchronous messaging system for in process. So that is what I did in Clojure. I anticipate looking at a distributed system for Clojure, but it will not be a unification of agents, and it will be distinct.

[Audience member: So it will be like a fourth kind of ...]

Correct. It will be like a fourth thing. I do not know if it will even feel like references, because at a certain point it may be even easier to wrap JMS, or something like that.

[I am not certain, but suspect that JMS refers to Java Message Service: https://en.wikipedia.org/wiki/Java_Message_

But those are the tradeoffs. That is why I said agents are not actors, because they are different. And they are strictly not better or worse. There are tradeoffs. They have different characteristics.

[Audience member: Just talking about Erlang, too. One of the nice features of it is hot swapping out code, because you are passing all of the state pretty much every tbd around.]

Uh huh.

[Time 2:20:04]

[Audience member: Can you do something like that in Clojure?]

Oh, yeah. I can change this program right now.

[Audience member: So as it is running, older agents can be running.]

Well, it is not the same. Essentially what they do is they down processes to start them anew with new functions. So they are like the system keeps running, but the system keeps running because the system is designed to tolerate processes dying and they are restarting. That is how they keep running in Erlang.

Here this will literally keep running. There are other big differences with actors and agents, and one of the things I like about agents is: an actor is waiting in a message loop for things it anticipated having been sent. You are in a loop. You have got a pattern match against messages you were predefined to receive.

I can, right now, send a different, a new action to any of these agents that are running, that it never knew about. In other words, I can define a function that is a function of the behavior of an ant – like I could call drop-food on all ants, and they would drop their food wherever they are. They are not waiting to be told drop-food. Like no one is waiting to be told "fall down" or "get hit over the head". So in simulations I think building something that knows it could do X, Y, and Z means limiting a system to doing X, Y, and Z. Or, downing the system, downing the processes, and starting it with a new function. So they have code loading. It is tied into process regeneration.

Whereas in Clojure, I could change the function that draws the ants right now, to draw them in a different color. Let us just do it.

[Audience member: Those are just a bunch of lines. I cannot even see ants.]

[Audience laughter]

Well, I am not going to change them to be graphics or anything like that. So what is a color. What is this? Red? This is my red one. Yeah. Red, green, blue. So I can make them purple, right?

[Time 2:22:00]

Everybody see red? Some red? Woah! Purple!

No problem. No problem changing the functions of this thing at all. I can change any of these functions while they are running. They will be instantly used. And they will not start, stop, and they will not drop their state.

So it is different. I do not want to beat up on Erlang. I think Erlang is very cool. I looked a lot at Erlang. But in all of these things, there are multiple decision points, and design is about choosing between tradeoffs, not right and wrong. So Erlang has a much better distribution story than Clojure, because it has a distribution story.

On the other hand, I would find it extremely tedious to have to wait for messages in order to read state of agents. And I think that is a recipe in Erlang, and they will admit it, for manual deadlocks, because you have to set up: I sent you this, and I am waiting for you to reply back to me. And you go into this nested: I am waiting for a response from Fred. And it is possible to write deadlocks that way.

You can write deadlocks with await in Clojure, but await you are really using strictly for synchronization. In order to read somebody's state, you do not need that. So it is a little bit different. In every way there are distinctions between the two.

But yeah, hot code loading. Sure. Change the behaviors as they are running, no problem.

[Audience member: When you do a hot code load like that, render-ant is a Var, right?]

Yes.

[Audience member: And you are changing the binding of the Var when the compiler recompiles the byte code?]

Correct. That is one of the reasons why Vars are mutable at the root, globally, so that you can redefine functions.

[Time 2:24:08]

[Audience member: What if two people were trying to do that in the same thing?]

Don't do that.

[Audience laughter]

That is def. What I did to do that is I called def. def means "establish this as the meaning of this thing". It is not set! In fact, you can call set! on Vars, but if you call set! on the root value, you will get an exception that it is not allowed.

So in programs in nested contexts, when you want to call set!, you better have a thread local binding or it will fail. So you have to distinguish between def and set!.

[Audience member: Would you disallow that, when you do that programmatically?]

You cannot do the wrong thing with set!, but def is an absolute "I know what I am doing". I am in charge of the world, and I am defining what this means for everybody. And the only reason why there is that "hole" is because you have to have it in order to have programs where you can fix them without restarting them. There has to be something that is settable like that. And so the root values of Vars are that way.

Other questions? Yes.

[Audience member: In some of Simon Peyton Jones talks about Haskell, he draws this chart where you have safe languages. I forget what the axes are, but he is talking about features coming over in to C# and Java

from those other languages, sort of functional stuff coming over. Do you see some of this stuff, especially because of your interop being amenable to being tbd into Java? I do not care, because I do not use Java, but I am curious.]

I would hope not. I would really love for Java to not change at all any more, because right now Java is my infrastructure language. If they make it more complex, they make my interop job more complex.

But I do think that there are fundamental problems with languages like Java, in that when you say class blah, you start with a mutable thing. You have to expend effort to do the right thing. And even then – like the Java book talks about concurrency.

[Time 2:26:02]

But if you do that, and you do not have the persistent data structures of Clojure, you really cannot effectively use large immutable collections, because to change them means to copy them. So it is a bigger story.

You can use the Clojure class library from Java. I mean, it is a very nice class library, and I am really proud of it. And it is somewhat awkward and non-idiomatic to use it from Java. But you can. You can use all of this from Java. You can write Java programs that use all of the Clojure stuff.

[Audience member: I have done a couple of little toy languages. Just curious from, like, idea to getting the REPL working, how long of a span was it? How long have you been working on it?]

I have been working on Clojure for a couple of years. Two years. I mean, I have been playing around with the idea of trying to make Lisp and Java interoperate for four or five. But Clojure as a language, it has been through a large genesis. It supported C# for a while. It was a compiler written in Common Lisp that generated C# and Java source code.

And it was only over the summer that I decided to stop supporting .NET, and to try to focus on compilation to byte code. Because that is where they differ. When I was generating source code, they were pretty similar. I mean, annoyingly different, but not appreciably different. But then I decided to generate byte code, and it greatly accelerated the completion of Clojure, and it has ended up much more dynamic. And the intimacy with Java is a big payoff. And now that Java is open source and the libraries are so available, I am completely happy with where it has ended up.

But it is a huge amount of work. The thing with Clojure is: it is less the compiler. I mean one of the things – I am presuming some knowledge of Lisp, but almost all of the things you are seeing in Clojure, for and the destructuring binding, those things are all written in Clojure.

[Time 2:28:00]

I added for. You could have added for. That is just a macro. Destructuring is also just a macro. I did not change the compiler one bit. There is nothing there. The compiler is a super primitive Lisp. It has if, set!, def, lambda – fn, and seven other things, plus five for Java. There are about a dozen primitive things, and the rest of Clojure the language is written in Clojure. There is a boot.clj. It is like a tour of a language self constructing. when is defined, and cond. Nothing exists before that thing starts, pretty much.

[Since about 2010 or so, the file boot.clj mentioned above is called core.clj, here: https://github.com/clojure/ble. There is no need to read it in order to understand and use Clojure, but some people may like to read and learn from it.]

But a big part of Clojure, this class library, was a huge job. The persistent data structures are a big deal. And they are a core underpinning in how Clojure works. It is a big class library. So that was a big job. A much bigger job than the Lisp compiler, I think.

Anything else?

It has a lot of attributes you are not necessarily seeing here. Like it is very fast. You should find it faster than Python or Ruby, or any of those, by a significant amount. And with hints, in every area other than arithmetic, because all numbers are boxed in Clojure, calling speed should be equivalent to Java. On my desktop machine, I can make ten million function calls a second in Clojure. So it is suitable for real applications.

[Somewhere somewhere in or near 2010, there has been support for Java primitive long and double values for loops, function arguments, and return values, so numbers are not all boxed, i.e. inside of a Long or Double Java object, now.]

The persistent data structures are in striking distance of all of the Java data structures. That is, within a factor of 2 to 3 or 4, but the benefits from using them are immense. Just try thinking about doing this program the way you would have with cells that you would change in place and locks.

[Time 2:30:04]

Because the immutability, it is kind of subtle. For instance, this **render**, it snapshotted the world. Well, it could not have if those cells, if those maps inside the cells were really changing. But they are not. They are getting swapped out. So when it scans the world, it captures maps that will never change. That scales up globally to the way you think about writing programs. When you know your data can never change out from underneath you, everything is different.

[Audience member: And there is still garbage collection for all of that.]

Absolutely.

Correct. If you do not track your trail, it goes away. And one of the other key things there, if you are thinking about targeting Java with a language, the ephemeral garbage collection in Java is stunningly good. It is really, really good. And it is a premise of Clojure that it be considered free.

For instance, there is the sequence operation in Clojure, which is like cons, but it is an abstraction. When you use that abstraction on a vector, for instance, you have to allocate memory every step. That is so cheap, it is just a pointer bump. And because it all ends up in gen 0, it is nothing to GC [gen 0 refers to where objects are first 'put' when allocated by a generational garbage collector]. And the things I see HotSpot doing with Clojure are really great. It loves all final classes. It just loves Clojure code. So it is really good.

Anything else?

Well, look. They work! They have put all of this food around home. You know, I cannot actually say they would not have done it if they were behaving randomly. I mean, just from encountering home, I do not really know that I have a decent behavior. I just know that they do not get stuck banging into each other like they did the first few times I tried.

[Audience laughter]

[Time 2:32:00]

Well thank you. I really appreciate you hanging out here.

[Audience applause]

[Audience member: How did you come up with the name?]

I did a Google search, and I had zero hits. I grabbed the domains. I was so happy. My brother did the logo.

[Audience member: Much better than the Haskell logo. I think that is a big selling point.]

You have got to have a good logo.

It has it all. Good. You saw it all. Peace and the Yin Yang. That is right.

[Time 2:32:35]